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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 12-01-2012 , and ending 11-30-2013

Name of foundation
ALLEN I GROSS CHARITABLE FOUNDATION

A Employer identification number
11-2906887

Number and street (or P O box number if mail is not delivered to street address) 50 BROADWAY 3RD FL
Room/suite

B Telephone number (see instructions)
(212) 837-4602

City or town, state, and ZIP code
NEW YORK, NY 10004

C If exemption application is pending, check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 13,484,119

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	250,000			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	66,073	66,073		
	4	Dividends and interest from securities	143,503	143,503		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	132,557			
	b	Gross sales price for all assets on line 6a 2,124,438				
	7	Capital gain net income (from Part IV, line 2)		132,557		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-27,000		-27,000	
	12	**Total.** Add lines 1 through 11	565,133	342,133	-27,000	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	17,591	14,073		3,518
	c	Other professional fees (attach schedule)	29,051	29,051		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	17,000	17,000		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	60	60		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	63,702	60,184		3,518
	25	Contributions, gifts, grants paid	295,228			409,228
	26	**Total expenses and disbursements.** Add lines 24 and 25	358,930	60,184		412,746
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	206,203			
	b	**Net investment income** (if negative, enter -0-)		281,949		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	5,588,770	508,902	508,891
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
	4	Pledges receivable ▶ ______ Less allowance for doubtful accounts ▶ ______			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ ______ Less allowance for doubtful accounts ▶ ______			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	5,725,879	11,002,150	11,002,150
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ ______ Less accumulated depreciation (attach schedule) ▶ ______			
	15	Other assets (describe ▶ ______)	2,072,150	1,973,078	1,973,078
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	13,386,799	13,484,130	13,484,119
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ______)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ✓ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	13,386,799	13,484,130	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	13,386,799	13,484,130	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	13,386,799	13,484,130	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,386,799
2	Enter amount from Part I, line 27a	2	206,203
3	Other increases not included in line 2 (itemize) ▶ ______	3	
4	Add lines 1, 2, and 3	4	13,593,002
5	Decreases not included in line 2 (itemize) ▶ ______	5	108,872
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	13,484,130

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	132,557
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	35,808

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	516,367	8,032,888	0 064282
2010	341,838	10,622,635	0 032180
2009	522,827	12,232,007	0 042743
2008	708,363	9,428,294	0 075132
2007	408,413	12,732,182	0 032077

2	**Total** of line 1, column (d).	2	0 246414
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 049283
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	11,428,942
5	Multiply line 4 by line 3.	5	563,253
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,819
7	Add lines 5 and 6.	7	566,072
8	Enter qualifying distributions from Part XII, line 4.	8	412,746

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	5,639
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	5,639
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	5,639
6	Credits/Payments				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	8,715		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	9,000		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	17,715
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	12,076
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 12,076	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶THE ORGANIZATION Telephone no ▶(212) 837-4602

Located at ▶C/O GFI 50 BROADWAY 3RD FLR NEW YORK CITY NY ZIP +4 ▶10004

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☑			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	9,153,660
b	Average of monthly cash balances	1b	2,107,333
c	Fair market value of all other assets (see instructions)	1c	341,994
d	**Total** (add lines 1a, b, and c)	1d	11,602,987
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	11,602,987
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	174,045
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	11,428,942
6	**Minimum investment return.** Enter 5% of line 5	6	571,447

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	571,447
2a	Tax on investment income for 2012 from Part VI, line 5	2a	5,639		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	5,639
3	Distributable amount before adjustments Subtract line 2c from line 1			3	565,808
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	565,808
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	565,808

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	412,746
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	412,746
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	412,746

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				565,808
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			384,883	
b Total for prior years 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 412,746				
a Applied to 2011, but not more than line 2a			384,883	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				27,863
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				537,945
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				409,228
b *Approved for future payment*				
See Additional Data Table				
Total . . . . ▶ **3b**				214,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	66,073	
4 Dividends and interest from securities. . . .			14	143,503	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	132,557	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a FLOWTHRU INC - PARTNERSHIP	531190	-27,000			
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		-27,000		342,133	

13 Total. Add line 12, columns (b), (d), and (e). **13** 315,133

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-10-02	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☑ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
ALAN BOTWINICK	ALAN BOTWINICK	2014-10-08		

Firm's name ▶	ROTH & COMPANY LLP	Firm's EIN ▶
Firm's address ▶	1428 36TH STREET SUITE 200 BROOKLYN, NY 11218	Phone no (718) 236-1600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
HSBC - SEE ATTACHED STATEMENTS	P		
AMAZON COM INC	P	2013-02-14	2013-08-08
ARCELORMITTAL SA NY REG	P	2012-06-25	2013-03-04
BAYERISCHE MOTOREN WERKE A G ADR BLA	P	2013-02-12	2013-08-08
FC020114 CALL@MW+50BP	P	2013-09-03	2013-09-30
CREDIT SUISSE GROUP SPON ADR	P	2013-10-02	2013-11-18
DIEBOLD INC	P	2012-10-15	2013-08-09
ERICSSON SEK 10 NEW 2002 ADR	P	2012-06-25	2013-04-25
FACTOR 0 109290050000 FNMA PL AB4297	P	2013-02-20	2013-08-20
GEO GROUP INC MERGER EFF 06/2014	P	2012-12-31	2013-08-09
HMS HOLDINGS CORP	P	2013-03-07	2013-08-09
INTESA SANPAOLO SPON ADR	P	2013-08-30	2013-10-15
DTD042808 FC110108 NTS B/E	P	2012-05-17	2013-05-01
MALLINCKRODT PUB LTD CO	P	2013-01-11	2013-07-03
ADR	P	2013-02-21	2013-08-13
NOVARTIS AG SPON ADR	P	2013-03-01	2013-05-21
PIMCO TOTAL RETURN FUND CLASS A	P	2013-01-07	2013-09-03
PRICELINE GROUP INC NEW	P	2012-09-18	2013-08-13
RIVERBED TECHNOLOGY INC	P	2013-01-18	2013-08-13
RYLAND GROUP INC	P	2012-09-21	2013-08-13
SILGAN HOLDINGS INC	P	2013-08-13	2013-09-04
SOCIETE GENERALE FRANCE SPONS ADR AD	P	2013-08-16	2013-12-04
SUMITOMO CORP SPON ADR	P	2013-09-11	2013-11-27
TECK RESOURCES LTD CL B ORD CAD	P	2013-01-07	2013-08-13
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2013-05-24	2013-12-02
FACTOR 1 449270000000	P	2013-01-22	2013-05-02
US TSY NOTE 02 000 % DUE 02/15/23 DT	P		
WELLCARE HEALTH PLANS INC	P	2012-09-11	2013-08-09
ALLIANZ FIXED INCOME SHARES SERIES	P	2012-05-10	2013-06-13
AMERIPRISE FINANCIAL INC	P	2012-05-17	2013-08-28

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ASML HLDG NV GDR EUR	P	2012-10-23	2013-11-21
BJ'S RESTAURANTS INC	P	2011-10-31	2013-08-08
CASH AMER INTL INC	P	2011-06-06	2013-08-08
CERNER CORP	P	2012-08-15	2013-08-28
CONCUR TECHNOLOGIES INC	P	2011-12-28	2013-08-08
DELPHI AUTOMOTIVE PLC	P	2012-05-16	2013-08-09
EQUITY LIFESTYLE PROPERTIES INC REIT	P	2012-07-13	2013-08-09
0 106098530000 FORD MOTOR CRDT CO LL	P	2012-07-10	2013-08-23
GENL DYNAMICS CORP	P	2011-11-17	2013-08-09
GOLDMAN SACHS GROUP INC	P	2012-05-02	2013-08-09
HUNT J B TRANS SVCS INC	P	2011-10-04	2013-08-13
IPG PHOTONICS CORP	P	2011-11-01	2013-08-13
JPMORGAN CHASE & CO	P	2012-05-16	2013-08-13
LONZA GROUP AG ADR	P	2011-08-12	2013-08-13
MALLINCKRODT PUB LTD CO	P	2012-05-17	2013-07-01
MONSANTO CO NEW	P	2012-05-11	2013-08-13
NEWMONT MINING CORP (HOLDING CO)	P	2012-05-08	2013-11-08
ORACLE CORP	P	2011-10-04	2013-08-13
PIMCO TOTAL RETURN FUND CLASS A	P	2011-10-04	2013-09-03
PRUDENTIAL FINANCIAL INC	P	2011-10-04	2013-08-13
REDWOOD TRUST INC	P	2011-10-04	2013-08-13
SACRAMENTO CNTY CA PE NS TAX 2011B B	P		
SBA COMMUNICATIONS CORP CL A	P	2012-06-21	2013-08-13
SOTHEBYS	P	2011-06-03	2013-08-13
SYMANTEC CORP	P	2011-10-04	2013-08-13
TEREX CORP DELA NEW	P	2012-01-26	2013-08-13
TWO HARBORS INVT CORP REIT SBI	P	2012-07-13	2013-08-09
UNITED THERAPEUTICS CORP	P	2011-11-30	2013-08-09
FC 08/31/12	P	2012-03-01	2013-08-09
WASTE CONNECTIONS INC	P	2008-08-06	2013-08-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
YUM BRANDS INC	P	2011-10-04	2013-08-09
HSBC - SEE ATTACHED STATEMENTS	P		
AMERICAN CAMPUS COMMUNITIES INC REIT	P	2012-10-26	2013-08-08
ARTISAN PARTNERS ASSET MGMT INC	P	2013-03-08	2013-08-08
BATS SER C	P	2012-05-09	2013-02-05
CNOOC LTD SPON ADR	P	2012-06-25	2013-01-15
CSX CORPORATION	P	2013-02-06	2013-08-08
DISCOVER FINANCIAL SERVICES	P	2013-02-06	2013-08-09
EVEREST RE GROUP LTD BERMUDA	P	2013-01-07	2013-08-09
0 651282420000 FNMA PL AB5468 03 500	P	2012-01-10	2013-01-09
GILEAD SCIENCES INC	P	2012-10-03	2013-08-09
HOME DEPOT INC	P	2012-10-12	2013-08-13
INTESA SANPAOLO SPON ADR	P	2013-09-11	2013-10-15
JGC CORP ADR	P	2012-12-05	2013-08-13
MALLINCKRODT PUB LTD CO	P	2013-01-07	2013-08-13
NEWS CORP NEW CL A	P	2013-01-31	2013-07-01
NXP SEMICONDUCTORS N V COM EUR	P	2013-01-11	2013-08-13
PIMCO TOTAL RETURN FUND CLASS A	P	2013-03-28	2013-09-03
PRICESMART INC	P	2013-08-13	2013-10-01
RIVERBED TECHNOLOGY INC	P	2013-01-18	2013-08-13
RYLAND GROUP INC	P	2012-10-18	2013-08-13
SILVER BAY RLTY TR CORP REIT	P	2013-03-28	2013-04-24
SOCIETE GENERALE FRANCE SPONS ADR AD	P	2013-08-16	2013-12-10
SUMITOMO CORP SPON ADR	P	2013-10-02	2013-11-27
TELEFONICA S A SPON ADR	P	2013-03-04	2013-07-11
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2013-05-24	2013-12-18
US TSY NOTE 00 500 % DUE 05/31/13 DT	P		
FC 08/15/13	P	2013-03-01	2013-08-27
WPX ENERGY INC	P	2013-02-27	2013-08-09
ALLIANZ SE ADR	P	2011-03-18	2013-07-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ANALOG DEVICES INC	P	2011-10-04	2013-08-08
AT&T INC	P	2011-10-04	2013-08-08
BLACKROCK INC	P	2012-01-11	2013-08-08
CASH AMER INTL INC	P	2011-10-31	2013-08-08
CERNER CORP	P	2012-08-20	2013-08-28
COSTCO WHOLESALE CORP	P	2011-10-04	2013-08-09
DELPHI AUTOMOTIVE PLC	P	2012-05-23	2013-08-09
EXPERIAN PLC SPON ADR	P	2012-06-25	2013-06-28
DTD060112 FC122012 MED TERM NTS	P	2012-05-29	2013-06-20
GENL MILLS INC	P	2011-10-04	2013-08-09
GOOGLE INC CL A	P	2011-10-04	2013-10-18
HUNTSMAN CORP	P	2011-06-03	2013-08-13
IPG PHOTONICS CORP	P	2012-04-03	2013-08-13
KANSAS CITY STHN NEW	P	2011-10-04	2013-08-13
LONZA GROUP AG ADR	P	2011-08-12	2013-08-13
MALLINCKRODT PUB LTD CO	P	2011-03-16	2013-07-03
MONSTER WORLDWIDE INC	P	2011-08-31	2013-08-13
NEWMONT MINING CORP (HOLDING CO)	P	2012-05-09	2013-11-08
ORACLE CORP	P	2012-01-03	2013-08-13
PIMCO TOTAL RETURN FUND CLASS A	P	2012-01-11	2013-09-03
PUBLIC STORAGE REIT	P	2011-10-04	2013-08-13
REGAL BELOIT CORP	P	2011-06-03	2013-08-13
080124 DTD 101311	P	2011-10-31	2013-03-28
SBA COMMUNICATIONS CORP CL A	P	2012-06-27	2013-08-13
ST JUDE MEDICAL INC	P	2011-12-21	2013-08-13
SYSCO CORP	P	2011-10-04	2013-08-13
TEREX CORP DELA NEW	P	2012-03-30	2013-08-13
TYCO INTL LTD	P	2011-11-16	2013-08-28
UNITED THERAPEUTICS CORP	P	2012-02-14	2013-08-09
US TSY NOTE 03 125 % DUE 05/15/19 DT	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
WASTE CONNECTIONS INC	P	2011-06-03	2013-08-09
ZIMMER HOLDINGS INC	P	2011-10-04	2013-08-09
ACORDA THERAPEUTICS INC	P	2012-10-08	2013-08-08
AMGEN INC	P	2013-01-29	2013-08-08
ASML HLDG NV GDR EUR	P	2012-10-23	2013-10-17
BROADSOFT INC COM	P	2013-03-01	2013-08-08
COCA-COLA ENTERPRISES INC NEW	P	2012-11-01	2013-08-08
CULLEN FROST BANKERS INC	P	2012-10-25	2013-08-09
DOLLAR GEN CORP NEW	P	2013-01-07	2013-08-09
EXPERIAN PLC SPON ADR	P	2012-06-25	2013-01-28
0 688666990000 FNMA PL AL0069 05 000	P	2012-06-07	2013-01-09
GILEAD SCIENCES INC	P	2012-10-12	2013-08-09
HOME DEPOT INC	P	2012-11-09	2013-08-13
INTL BUSINESS MACH	P	2012-09-10	2013-08-13
JOHNSON CONTROLS INC	P	2012-10-05	2013-08-13
MARSH & MCLENNAN COS INC	P	2013-02-06	2013-08-13
NEWS CORP NEW CL A	P	2013-01-31	2013-08-13
NXP SEMICONDUCTORS N V COM EUR	P	2013-01-24	2013-08-13
PIMCO TOTAL RETURN FUND CLASS A	P	2013-04-30	2013-09-03
PRICESMART INC	P	2013-09-10	2013-10-01
ROBERT HALF INTL INC	P	2013-02-06	2013-08-13
RYLAND GROUP INC	P	2012-11-20	2013-08-13
SILVER BAY RLTY TR CORP REIT	P	2013-03-28	2013-04-24
SOFTBANK CORP ADR	P	2013-07-17	2013-10-10
SUMITOMO MITSUI FINANCIAL SPON ADR	P	2012-06-25	2013-02-15
TELEFONICA S A SPON ADR	P	2013-03-04	2013-08-15
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2013-06-06	2013-12-18
FC 11/30/11	P	2012-05-09	2013-02-05
US TSY NOTE 02 000 % DUE 02/15/23 DT	P		
ZILLOW INC CL A	P	2012-11-05	2013-08-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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ALLIANZ SE ADR	P	2010-07-20	2013-08-09
ANHEUSER BUSCH INBEV SPON ADR	P	2012-05-03	2013-08-08
ATLAS AIR WORLDWIDE HOLDINGS INC NEW	P	2011-06-03	2013-08-08
BLACKROCK INC	P	2012-05-24	2013-08-08
CAVIUM INC COM	P	2012-01-19	2013-08-08
CHARLES RIVER LABORATORIES	P	2011-10-04	2013-08-08
COVANCE INC	P	2012-01-26	2013-08-09
DELPHI AUTOMOTIVE PLC	P	2012-06-06	2013-08-09
EZCHIP SEMICONDUCTOR LTD ORD ILS	P	2011-11-02	2013-08-09
FORTINET INC	P	2011-12-28	2013-08-09
GENL MILLS INC	P	2012-02-22	2013-08-09
GOOGLE INC CL A	P	2012-05-08	2013-10-18
HUTCHISON WHAMPOA LTD ADR HONG KONG	P	2012-06-25	2013-07-22
ITAU UNIBANCO HLDG SA ADR	P	2010-05-27	2013-08-13
KAYDON CORP MERGER-EFF-11/2013	P	2011-10-04	2013-08-13
LOREAL CO ADR FRANCE ADR	P	2009-03-23	2013-08-13
MALLINCKRODT PUB LTD CO	P	2011-04-20	2013-07-03
MONSTER WORLDWIDE INC	P	2011-08-31	2013-08-13
NICE SYSTEMS LTD SPON ADR	P	2012-03-27	2013-08-13
PACIFIC DRILLING S A	P	2012-02-17	2013-08-13
PINNACLE ENTERTAINMENT GROUP	P	2011-10-27	2013-08-13
QEP RES INC COM	P	2011-10-04	2013-08-13
REINSURANCE GROUP AMER INC NEW	P	2011-10-04	2013-08-13
SALESFORCE COM INC	P	2011-10-04	2013-08-13
SBA COMMUNICATIONS CORP CL A	P	2012-06-28	2013-08-13
ST JUDE MEDICAL INC	P	2012-03-27	2013-08-13
SYSMEX CORP UNSPONSORED ADR	P	2012-05-17	2013-08-13
TEREX CORP DELA NEW	P	2012-04-04	2013-08-13
TYCO INTL LTD	P	2012-03-27	2013-08-28
UNIVERSAL ELECTRONICS INC	P	2011-06-03	2013-08-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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FC 11/15/09	P	2011-05-03	2013-02-05
WASTE CONNECTIONS INC	P	2011-10-31	2013-08-09
ACORDA THERAPEUTICS INC	P	2012-12-13	2013-08-08
AMGEN INC	P	2013-02-01	2013-08-08
ASML HLDG NV GDR EUR	P	2013-01-25	2013-11-21
BUFFALO WILD WINGS INC	P	2012-08-28	2013-08-08
COCA-COLA ENTERPRISES INC NEW	P	2012-11-09	2013-08-08
CYBERONICS INC	P	2013-02-05	2013-08-09
DOMINO'S PIZZA INC	P	2012-08-15	2013-08-09
EXPERIAN PLC SPON ADR	P	2012-06-25	2013-05-07
0 240340980000 FNMA PL AL1953 04 500	P	2013-04-12	2013-08-20
GILEAD SCIENCES INC	P	2012-10-16	2013-08-09
HOME DEPOT INC	P	2012-11-15	2013-08-13
INTL BUSINESS MACH	P	2012-10-26	2013-08-13
LABORATORY CORP AMER HLDGS NEW	P	2013-01-07	2013-08-13
MARSH & MCLENNAN COS INC	P	2013-02-27	2013-08-13
NEWS CORP NEW CL A	P	2013-02-05	2013-08-13
NXP SEMICONDUCTORS N V COM EUR	P	2013-01-29	2013-08-13
PIMCO TOTAL RETURN FUND CLASS A	P	2013-05-31	2013-09-03
PRICESMART INC	P	2013-09-11	2013-10-01
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2012-09-26	2013-01-25
SANOFI SPON ADR	P	2012-06-25	2013-03-19
SILVER BAY RLTY TR CORP REIT	P	2013-03-28	2013-08-13
SOFTBANK CORP ADR	P	2013-08-09	2013-10-10
SUMITOMO MITSUI FINANCIAL SPON ADR	P	2012-06-25	2013-03-06
TELEFONICA S A SPON ADR	P	2013-03-26	2013-08-15
TUMI HLDGS INC	P	2012-11-09	2013-08-09
US TSY NOTE 00 750 % DUE 10/31/17 DT	P		
FC 08/15/13	P	2013-03-05	2013-08-27
ZURICH INS GROUP LTD SPON ADR	P	2012-09-28	2013-02-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ALLIANZ SE ADR	P	2010-07-20	2013-08-14
ANHEUSER BUSCH INBEV SPON ADR	P	2012-06-27	2013-08-08
ADR	P	2009-03-23	2013-08-08
BORGWARNER INC BRE PROPERTIES INC CL	P	2011-10-04	2013-08-08
CAVIUM INC COM	P	2012-03-09	2013-08-08
CHEMTURA CORP	P	2012-04-09	2013-08-08
CREDIT SUISSE GROUP SPON ADR	P	2012-09-17	2013-11-04
DEVON ENERGY CORP NEW	P	2011-10-04	2013-08-09
EZCHIP SEMICONDUCTOR LTD ORD ILS	P	2012-08-08	2013-08-09
FRANKLIN RESOURCES INC	P	2012-01-11	2013-08-09
GEO GROUP INC MERGER EFF 06/2014	P	2011-06-03	2013-08-09
GOOGLE INC CL A	P	2012-05-09	2013-10-18
ICICI BANK LTD SPON ADR	P	2010-05-17	2013-08-13
ITAU UNIBANCO HLDG SA ADR	P	2012-07-30	2013-08-13
KBR INC	P	2012-07-03	2013-08-13
LORILLARD INC	P	2012-02-29	2013-08-13
MALLINCKRODT PUB LTD CO	P	2012-05-21	2013-08-13
MONSTER WORLDWIDE INC	P	2011-08-31	2013-08-13
NIPPON TELEG & TEL CORP SPON ADR	P	2012-06-25	2013-07-17
PANTRY INC	P	2012-02-27	2013-08-13
POLYCOM INC	P	2011-12-15	2013-08-13
QLIK TECHNOLOGIES INC COM	P	2011-06-03	2013-08-13
REPSOL SA SPON ADR	P	2012-06-25	2013-12-04
SALESFORCE COM INC	P	2012-06-19	2013-08-13
SCHLUMBERGER LTD NETHERLANDS ANTILLE	P	2010-07-20	2013-08-13
ST JUDE MEDICAL INC	P	2012-04-11	2013-08-13
SYSMEX CORP UNSPONSORED ADR	P	2012-05-18	2013-08-13
TESCO PLC SPONS ADR UNITED KINGDOM	P	2011-11-04	2013-08-13
ULTA SALON COSMETICS & FRAGRANCE INC	P	2012-02-13	2013-08-09
UNIVERSAL ELECTRONICS INC	P	2011-10-31	2013-08-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
USG CORP NEW	P	2012-07-25	2013-08-09
WEB COM GROUP INC	P	2012-06-04	2013-08-09
AEROPOSTALE INC	P	2012-11-09	2013-08-08
ANALOG DEVICES INC ANGLOGOLD HOLDING	P	2013-02-27	2013-08-08
AT&T INC	P	2012-08-15	2013-08-08
BUNGE LIMITED	P	2013-02-14	2013-08-08
COLFAX CORP	P	2012-08-09	2013-08-08
DELL INC MERGER-EFF-10/2013	P	2012-05-08	2013-04-24
EBAY INC	P	2012-05-08	2013-01-14
FACEBOOK INC CL A	P	2012-10-26	2013-08-09
0 41134702	P	2012-08-22	2013-04-22
GILEAD SCIENCES INC	P	2013-01-07	2013-08-09
HOME DEPOT INC	P	2013-01-07	2013-08-13
INTL BUSINESS MACH	P	2013-01-07	2013-08-13
LAUDER ESTEE COS CL A	P	2013-01-07	2013-08-13
MCDONALDS CORP	P	2013-01-07	2013-08-13
NIPPON TELEG & TEL CORP SPON ADR	P	2012-06-25	2013-01-25
NXP SEMICONDUCTORS N V COM EUR	P	2013-02-05	2013-08-13
PIMCO TOTAL RETURN FUND CLASS A	P	2013-06-28	2013-09-03
PRUDENTIAL PLC ADR UNITED KINGDOM	P	2012-06-25	2013-05-07
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2012-09-26	2013-07-11
SANOFI SPON ADR	P	2012-06-25	2013-05-02
SILVER BAY RLTY TR CORP REIT	P	2013-03-28	2013-08-13
SOFTBANK CORP ADR	P	2013-09-11	2013-10-10
SUMITOMO MITSUI FINANCIAL SPON ADR	P	2012-06-25	2013-04-19
TELEFONICA S A SPON ADR	P	2013-08-09	2013-08-15
TWENTY-FIRST CENTY FOX INC CL A	P	2013-01-31	2013-08-09
FC 04/30/13	P	2012-11-21	2013-03-01
US TSY NOTE 02 000 % DUE 02/15/23 DT	P		
3M CO	P	2011-10-04	2013-08-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ALLIANZ SE ADR	P	2010-07-20	2013-08-22
APPLE INC	P	2011-10-04	2013-08-08
ATWOOD OCEANICS INC	P	2011-10-11	2013-08-08
04/2014	P	2011-10-04	2013-08-08
CBS CORP NEW CL B	P	2012-04-27	2013-08-08
CHICO'S FAS INC	P	2012-02-22	2013-08-08
CREDIT SUISSE GROUP SPON ADR	P	2012-09-26	2013-11-04
DIAGEO PLC NEW GB SPON ADR	P	2011-10-04	2013-08-09
FACEBOOK INC CL A	P	2012-05-23	2013-08-09
FRESENIUS MEDICAL CARE AG & CO KGAA	P	2011-05-20	2013-08-09
GEO GROUP INC MERGER EFF 06/2014	P	2011-10-31	2013-08-09
GULFPORT ENERGY CORP NEW	P	2011-10-18	2013-08-09
IMPERIAL OIL LTD NEW CANADA CAD	P	2009-03-23	2013-08-13
JACOBS ENGINEERING GROUP INC	P	2011-10-04	2013-08-13
KIRBY CORPORATION	P	2011-10-04	2013-08-13
LORILLARD INC	P	2012-04-05	2013-08-13
MASTERCARD INC CL A	P	2012-08-02	2013-08-13
MONSTER WORLDWIDE INC	P	2011-10-31	2013-08-13
NIPPON TELEG & TEL CORP SPON ADR	P	2012-06-25	2013-08-14
PAREXEL INTL CORP	P	2011-07-19	2013-08-13
POLYCOM INC	P	2012-03-23	2013-08-13
QLIK TECHNOLOGIES INC COM	P	2011-06-06	2013-08-13
REPUBLIC SERVICES INC	P	2011-10-04	2013-08-13
SALIX PHARMACEUTICALS LTD (DELA)	P	2011-06-03	2013-08-13
SCHLUMBERGER LTD NETHERLANDS ANTILLE	P	2012-05-09	2013-08-13
STANLEY BLACK & DECKER INC COM	P	2011-10-04	2013-08-13
SYSMEX CORP UNSPONSORED ADR	P	2012-05-21	2013-08-13
TEVA PHARMACEUTICALS IND LTD ISRAEL	P	2011-10-04	2013-08-13
ULTA SALON COSMETICS & FRAGRANCE INC	P	2012-03-12	2013-08-09
UNTD NAT FOODS INC	P	2012-01-06	2013-08-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
VEECO INSTRUMENTS INC DELAWARE	P	2011-06-03	2013-08-09
WELLPOINT INC	P	2012-03-28	2013-08-09
AETNA INC	P	2013-01-07	2013-08-08
DTD073013 FC011514 NTS B/E ANGLOGOLD	P	2013-08-06	2013-10-29
AVALONBAY COMMUNITIES INC SBI	P	2013-02-06	2013-08-08
CANON INC ADR JAPAN SPON ADR	P	2012-08-22	2013-04-11
COMERICA INC COMPANHIA ENERGETICA DE	P	2013-03-06	2013-08-08
DELL INC MERGER-EFF-10/2013	P	2012-05-09	2013-04-24
EBAY INC	P	2012-05-09	2013-01-14
FACEBOOK INC CL A	P	2012-11-15	2013-08-09
FNMA PL AL3006 05 0000 DUE 07/01/37	P		
GLAXO SMITHKLINE PLC ADR	P	2012-06-25	2013-01-25
HONDA MOTOR CO ADR JAPAN ADR	P	2012-06-21	2013-03-06
INTL BUSINESS MACH INTL LEASE FIN CO	P	2013-01-17	2013-08-13
LEAR CORP COM NEW	P	2012-08-30	2013-08-13
MCKESSON CORP	P	2013-01-07	2013-08-13
NIPPON TELEG & TEL CORP SPON ADR	P	2013-05-23	2013-08-14
OAO GAZPROM LEVEL 1 ADR PROGRAM (RUS	P		
PIMCO TOTAL RETURN FUND CLASS A	P	2013-07-31	2013-09-03
PTC INC COM	P	2012-12-28	2013-08-28
ROSS STORES INC	P	2012-11-16	2013-11-01
SANOFI SPON ADR	P	2013-08-09	2013-11-29
SILVER BAY RLTY TR CORP REIT	P	2013-03-28	2013-08-13
SOFTBANK CORP ADR	P	2013-10-02	2013-10-10
SUMITOMO MITSUI FINANCIAL SPON ADR	P	2012-06-25	2013-05-23
TERADATA CORP NEW (DELA)	P	2012-08-24	2013-08-13
TWENTY-FIRST CENTY FOX INC CL A	P	2013-02-05	2013-08-09
US TSY NOTE 00 750 % DUE 10/31/17 DT	P		
FC 08/15/13	P	2013-03-12	2013-08-27
ABBOTT LABS	P	2011-10-04	2013-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ALLIANZ SE ADR	P	2011-03-18	2013-08-22
APPLE INC	P	2011-11-16	2013-08-08
BABCOCK & WILCOX CO NEW COM	P	2011-10-04	2013-08-08
BRITISH AMER TOBACCO PLC GB SPON ADR	P	2012-06-25	2013-08-08
CBS CORP NEW CL B	P	2012-06-27	2013-08-08
CISCO SYSTEMS INC	P	2011-04-28	2013-06-21
CSL LTD EXCHANGE EFF 06/2014 ADR	P	2010-08-24	2013-08-08
DISCOVER FINANCIAL SERVICES	P	2011-11-14	2013-08-09
FANUC CORP ADR FHLMC 04 875 % DUE 11	P	2009-04-30	2013-08-09
FRESH MKT INC	P	2011-06-03	2013-08-09
GEOSPACE TECHNOLOGIES CORP	P	2011-06-03	2013-08-09
GULFPORT ENERGY CORP NEW	P	2011-10-31	2013-08-09
ING GROEP N V NL SPON ADR	P	2012-06-25	2013-08-28
JACOBS ENGINEERING GROUP INC	P	2012-07-03	2013-08-13
KIRBY CORPORATION	P	2012-06-27	2013-08-13
LORILLARD INC	P	2012-04-11	2013-08-13
MCKESSON CORP	P	2011-10-17	2013-08-13
MONSTER WORLDWIDE INC	P	2012-03-02	2013-08-13
NOBLE ENERGY INC NOKIA CORP B/E 05 3	P	2011-10-04	2013-08-13
PAREXEL INTL CORP	P	2011-10-31	2013-08-13
POLYCOM INC	P	2012-03-23	2013-08-13
QLIK TECHNOLOGIES INC COM	P	2012-02-21	2013-08-13
RIVERBED TECHNOLOGY INC	P	2011-06-03	2013-08-13
SALIX PHARMACEUTICALS LTD (DELA)	P	2011-06-06	2013-08-13
SCHNEIDER ELEC SE UNSPONSORED ADR	P	2010-10-15	2013-08-09
STARBUCKS CORP	P	2011-10-04	2013-08-13
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	P	2009-03-23	2013-08-13
THERMO FISHER SCIENTIFIC INC	P	2011-10-04	2013-08-13
ULTA SALON COSMETICS & FRAGRANCE INC	P	2012-05-11	2013-08-09
UNTD TECHNOLOGIES CORP	P	2011-10-04	2013-08-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
VEECO INSTRUMENTS INC DELAWARE	P	2011-10-31	2013-08-09
WESTERN UNION CO	P	2012-01-25	2013-08-09
AIA GROUP LTD SPON ADR	P	2012-09-21	2013-08-08
DTD073013 FC011514 NTS B/E ANGLOGOLD	P	2013-08-06	2013-10-29
BAIDU INC ADS REPSNTG CL A ORD SHS S	P	2013-01-11	2013-08-08
CANON INC ADR JAPAN SPON ADR	P	2012-12-18	2013-04-11
SPONSORED ADR PAR 01 REP NON	P	2013-03-21	2013-05-14
DELL INC MERGER-EFF-10/2013	P	2012-06-06	2013-04-24
EBAY INC	P	2012-08-30	2013-08-09
FACEBOOK INC CL A	P	2012-11-16	2013-08-09
0 486701770000 FNMA PL AL3006 05 000	P	2013-03-26	2013-08-20
GOOGLE INC CL A	P	2012-11-28	2013-10-18
HONDA MOTOR CO ADR JAPAN ADR	P	2012-06-21	2013-05-24
DTD052411 FC111511 B/E INTL LEASE FI	P	2013-07-01	2013-10-21
LENNAR CORP RECLASSIFICATION AS OF	P	2012-10-16	2013-08-13
MEDASSETS INC	P	2013-01-15	2013-08-13
NIPPON TELEG & TEL CORP SPON ADR	P	2013-08-09	2013-08-14
SPON ADR	P	2013-02-04	2013-08-09
PIMCO TOTAL RETURN FUND CLASS A	P	2013-08-30	2013-09-03
PTC INC COM	P	2013-01-03	2013-08-28
ROSS STORES INC ROYAL BANK OF SCOTLA	P	2013-08-13	2013-11-01
SANOFI SPON ADR	P	2013-08-30	2013-11-29
SIRIUS XM RADIO INC NAME CHANGE 11	P	2012-10-19	2013-08-13
SOURCEFIRE INC MERGER-EFF-10/2013	P	2013-02-15	2013-08-13
SWEDBANK AB ADR	P	2012-06-25	2013-01-11
TERADATA CORP NEW (DELA)	P	2012-11-27	2013-08-13
TWO HARBORS INVT CORP REIT SBI	P	2013-02-06	2013-08-09
FC 04/30/13	P	2012-11-21	2013-03-05
USG CORP NEW VERIZON COMMUNICATIONS	P	2012-08-09	2013-08-09
ACCENTURE PLC IRELAND CL A	P	2011-10-04	2013-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ALLIANZ SE ADR	P	2011-03-18	2013-12-04
APPLE INC	P	2012-05-21	2013-08-08
BALLY TECHNOLOGIES INC	P	2011-06-03	2013-08-08
BROADSOFT INC COM	P	2011-07-19	2013-08-08
CDN NATL RAILWAY CO CAD	P	2011-03-09	2013-08-08
COCHLEAR LTD ADR	P	2010-07-19	2013-08-08
CSX CORPORATION	P	2011-12-21	2013-08-08
DISCOVER FINANCIAL SERVICES	P	2011-12-15	2013-08-09
05152004 FHLMC 04 875 % DUE 111513 D	P	2012-05-09	2013-11-15
FRESH MKT INC FRISCO TX ECO DEV CORP	P	2011-10-31	2013-08-09
GLIMCHER RLTY TRUST SBI	P	2012-07-05	2013-08-09
HEALTH NET INC	P	2011-10-04	2013-08-13
INTESA SANPAOLO SPON ADR	P	2012-08-22	2013-09-30
JETBLUE AIRWAYS CORP	P	2011-06-03	2013-08-13
KOHLS CORP	P	2011-10-06	2013-08-13
LOUISIANA PACIFIC CORP	P	2012-06-21	2013-08-13
MEDASSETS INC	P	2011-06-03	2013-08-13
MONSTER WORLDWIDE INC	P	2012-04-09	2013-08-13
FC111509 CALL@MW+40BP	P	2011-06-08	2013-09-03
PARTNERRE LTD ORD	P	2011-10-04	2013-08-13
POTLATCH CORP NEW (HOLDING CO) REIT	P	2011-10-04	2013-08-13
QLOGIC CORP	P	2011-10-04	2013-08-13
RIVERBED TECHNOLOGY INC	P	2012-05-31	2013-08-13
SALIX PHARMACEUTICALS LTD (DELA)	P	2011-10-31	2013-08-13
SCHNEIDER ELEC SE UNSPONSORED ADR	P	2010-10-15	2013-10-28
STATE STREET CORP	P	2011-10-04	2013-08-13
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	P	2012-07-31	2013-08-13
THORATEC CORP NEW	P	2011-10-31	2013-08-09
UMB FINANCIAL CORP	P	2011-06-03	2013-08-09
US TSY NOTE 00 125 % DUE 09/30/13 DT	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
VIACOM INC NEW CL B	P	2011-10-04	2013-08-09
WESTERN UNION CO	P	2012-02-08	2013-08-09
ALIGN TECHNOLOGY INC	P	2013-01-31	2013-08-08
DTD073013 FC011514 NTS B/E ANGLOGOLD	P	2013-08-06	2013-10-30
BAIDU INC ADS REPSNTG CL A ORD SHS S	P	2013-01-11	2013-08-08
CBS CORP NEW CL B	P	2012-11-09	2013-08-08
CORNERSTONE ONDEMAND INC	P	2013-03-08	2013-08-09
DELL INC MERGER-EFF-10/2013	P	2012-11-28	2013-04-24
EBAY INC	P	2012-10-16	2013-08-09
FACEBOOK INC CL A	P	2012-11-27	2013-08-09
0 486701770000 FNMA PL AL3423 05 000	P	2013-03-26	2013-08-23
GOOGLE INC CL A	P	2012-12-18	2013-10-18
HONDA MOTOR CO ADR JAPAN ADR	P	2012-06-21	2013-06-06
DTD052411 FC111511 B/E	P	2013-07-01	2013-10-21
LENNAR CORP RECLASSIFICATION AS OF	P	2012-10-19	2013-08-13
MONSANTO CO NEW	P	2013-01-07	2013-08-13
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2012-06-25	2013-03-15
ORACLE CORP	P	2013-03-18	2013-08-13
PIMCO TOTAL RETURN FUND CLASS A	P	2013-08-30	2013-09-03
RALPH LAUREN CORP CL A	P	2012-09-10	2013-08-13
DTD070303 FC010304 GROUP PLC NTS ROY	P	2012-11-29	2013-05-30
SANOFI SPON ADR	P	2013-08-30	2013-12-10
SIRIUS XM RADIO INC NAME CHANGE 11	P	2012-10-25	2013-08-13
STARBUCKS CORP	P	2013-01-07	2013-08-13
SYNOPSYS INC	P	2013-02-06	2013-08-13
TEVA PHARMACEUTICALS IND LTD ISRAEL	P	2012-06-25	2013-01-16
TYCO INTL LTD	P	2013-01-07	2013-08-28
US TSY NOTE 01 000 % DUE 10/31/16 DT	P		
DTD110311 FC050112 CALL@MW+25BP	P	2012-05-10	2013-02-05
ACCENTURE PLC IRELAND CL A	P	2012-05-09	2013-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ALLSTATE CORP	P	2011-10-04	2013-08-08
APPLIED MATERIALS INC	P	2011-10-04	2013-08-08
BARCLAYS PLC ADR	P	2012-06-25	2013-09-17
BROADSOFT INC COM	P	2011-10-31	2013-08-08
CELGENE CORP	P	2011-10-04	2013-08-08
COGNEX CORP	P	2011-08-30	2013-08-08
CUBIST PHARMACEUTICALS INC	P	2011-12-05	2013-08-09
DOLLAR GEN CORP NEW	P	2012-06-05	2013-08-09
5152004	P	2012-05-17	2013-11-15
667BE/R/ 6 300 021530 DTD 101507	P	2011-03-21	2013-03-11
GLOBAL PAYMENTS INC	P	2011-10-04	2013-08-09
HESS CORP	P	2011-10-04	2013-08-13
INTESA SANPAOLO SPON ADR	P	2012-08-22	2013-10-10
JETBLUE AIRWAYS CORP	P	2011-10-31	2013-08-13
KOHLS CORP	P	2012-03-09	2013-08-13
LOUISIANA PACIFIC CORP	P	2012-07-30	2013-08-13
MEDASSETS INC	P	2011-10-31	2013-08-13
MONSTER WORLDWIDE INC	P	2012-05-18	2013-08-13
NOKIAN TYRES OYJ UNSPONSORED ADR	P	2012-03-07	2013-08-13
PATTERSON COMPANIES INC	P	2011-10-04	2013-08-13
PPG INDUSTRIES INC	P	2011-10-04	2013-08-13
QUAKER CHEMICAL CORP	P	2011-06-03	2013-08-13
ROCHE HLDG LTD SPONS ADR SWITZ ADR	P	2009-03-23	2013-08-09
SANOFI SPON ADR	P	2012-06-25	2013-06-26
SCHWAB CHARLES CORP NEW	P	2012-05-08	2013-07-31
STATE STREET CORP	P	2012-01-26	2013-08-13
TALISMAN ENERGY INC CAD	P	2012-02-27	2013-08-13
TIBCO SOFTWARE INC	P	2011-06-03	2013-08-09
UMB FINANCIAL CORP	P	2011-06-06	2013-08-09
FC 03/31/12	P	2012-05-11	2013-09-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
VISA INC CL A	P	2011-10-04	2013-08-09
WESTERN UNION CO	P	2012-02-22	2013-08-09
ALIGN TECHNOLOGY INC ALLEGHENY TECHN	P	2013-02-06	2013-08-08
DTD073013 FC011514 NTS B/E ANGLOGOLD	P	2013-08-06	2013-10-30
BAIDU INC ADS REPSNTG CL A ORD SHS S	P	2013-02-04	2013-08-08
CENTRICA PLC NEW 2004 SPON ADR	P	2013-08-09	2013-12-10
CORNERSTONE ONDEMAND INC	P	2013-03-21	2013-08-09
DELPHI AUTOMOTIVE PLC	P	2012-09-18	2013-08-09
EBAY INC	P	2012-12-18	2013-08-09
FACEBOOK INC CL A	P	2012-12-18	2013-08-09
0 61057003	P	2013-06-14	2013-08-20
GRAINGER W W INC	P	2012-11-16	2013-08-09
HONDA MOTOR CO ADR JAPAN ADR	P	2012-06-21	2013-06-06
INVESCO LTD	P	2012-08-21	2013-08-13
LENNAR CORP RECLASSIFICATION AS OF	P	2012-11-09	2013-08-13
MOODYS CORP	P	2012-10-10	2013-08-13
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2012-11-06	2013-04-01
PEARSON PLC SPON ADR PETROBRAS INTL	P	2012-06-25	2013-03-01
PIMCO TOTAL RETURN FUND CLASS A	P	2013-08-30	2013-09-03
RALPH LAUREN CORP CL A	P	2012-12-10	2013-08-13
DTD120412 FC061513 B/E ROYAL BK SCOT	P	2013-06-26	2013-09-30
SANOFI SPON ADR	P	2013-09-11	2013-12-10
SIRIUS XM RADIO INC NAME CHANGE 11	P	2012-10-26	2013-08-13
STATE STREET CORP	P	2013-03-20	2013-08-13
SYNOPSYS INC	P	2013-02-27	2013-08-13
TEVA PHARMACEUTICALS IND LTD ISRAEL	P	2012-08-09	2013-01-16
ULTIMATE SOFTWARE GROUP INC	P	2013-01-18	2013-08-09
FC 04/30/12	P	2012-05-09	2013-02-05
VIROPHARMA INC MERGER - EFF - 01/201	P	2013-01-18	2013-08-09
ACE LTD CHF	P	2011-10-04	2013-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALLSTATE CORP ALLY FINANCIAL INC NTS	P	2011-10-21	2013-08-08
APPLIED MATERIALS INC	P	2012-04-03	2013-08-08
BARD C R INC	P	2012-05-08	2013-09-19
BUNGE LIMITED	P	2009-03-23	2013-08-08
CELGENE CORP	P	2012-05-14	2013-08-08
COGNEX CORP	P	2011-08-30	2013-08-08
D R HORTON INC	P	2012-07-13	2013-08-09
DOLLAR GEN CORP NEW	P	2012-06-07	2013-08-09
FIFTH THIRD BANCORP	P	2011-10-04	2013-08-09
FULTON FINCL CORP PA	P	2012-07-25	2013-08-09
GLOBAL PAYMENTS INC	P	2012-02-08	2013-08-09
HEXCEL CORP NEW	P	2011-10-10	2013-08-13
INTL BUSINESS MACH	P	2011-10-04	2013-08-13
JGC CORP ADR	P	2011-05-11	2013-08-13
KRATON PERFORMANCE POLYMERS INC	P	2011-10-31	2013-08-13
LUFKIN INDUSTRIES INC	P	2011-06-03	2013-07-02
MEDIDATA SOLUTIONS INC	P	2011-06-03	2013-08-13
MOSAIC CO	P	2011-10-04	2013-08-13
NOKIAN TYRES OYJ UNSPONSORED ADR	P	2012-06-27	2013-08-13
PERKINELMER INC	P	2011-10-04	2013-08-13
PPL CORP	P	2011-10-06	2013-08-13
QUAKER CHEMICAL CORP	P	2011-06-06	2013-08-13
ROCKWELL COLLINS INC	P	2011-10-04	2013-08-13
SANOFI SPON ADR	P	2012-06-25	2013-08-14
SCHWAB CHARLES CORP NEW	P	2012-05-09	2013-07-31
STERIS CORP	P	2011-10-04	2013-08-13
TALISMAN ENERGY INC CAD	P	2012-02-28	2013-08-13
TIDEWATER INC	P	2011-10-04	2013-08-09
UMB FINANCIAL CORP	P	2011-10-31	2013-08-09
US TSY NOTE 00 125 % DUE 09/30/13 DT	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
VITAMIN SHOPPE INC	P	2011-06-03	2013-08-09
WEYERHAEUSER CO	P	2011-10-04	2013-08-09
DTD071213 FC021514 CALL@MW+50BP	P	2013-09-10	2013-09-30
DTD073013 FC011514 NTS B/E	P	2013-09-11	2013-10-30
BAIDU INC ADS REPSNTG CL A ORD SHS S	P	2013-02-05	2013-08-08
CHART INDUSTRIES INC	P	2012-11-02	2013-08-08
CREDIT SUISSE GROUP SPON ADR	P	2012-09-17	2013-03-01
DELTA AIR LINES INC DELA NEW	P	2013-01-24	2013-08-09
ELECTRICITE DE FRANCE ADR	P	2013-04-25	2013-09-27
FEDEX CORP	P	2013-02-05	2013-08-09
FORTINET INC	P	2012-11-01	2013-08-09
GRAINGER W W INC	P	2013-01-07	2013-08-09
INTERCONTINENTALEXCHANGE INC NAME C	P		
INVESCO LTD	P	2012-11-28	2013-08-13
LENNAR CORP RECLASSIFICATION AS OF	P	2012-11-16	2013-08-13
MOODYS CORP	P	2012-11-09	2013-08-13
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2012-11-06	2013-05-24
DTD012711 FC072711 CALL@MW+30BP PETR	P	2013-09-03	2013-09-30
PIMCO TOTAL RETURN FUND CLASS A	P	2013-07-31	2013-09-10
RAYMOND JAMES FINANCIAL CORP	P	2012-08-30	2013-08-13
DTD120412 FC061513 B/E	P	2013-06-26	2013-09-30
SANOFI SPON ADR	P	2013-10-02	2013-12-10
SIRIUS XM RADIO INC NAME CHANGE 11	P	2012-12-31	2013-08-13
STIFEL FINANCIAL CORP	P	2013-02-06	2013-08-13
SYSMEX CORP UNSPONSORED ADR	P	2012-11-29	2013-08-13
TEVA PHARMACEUTICALS IND LTD ISRAEL	P	2013-02-06	2013-08-13
UNILEVER NV N Y SHS NEW NETHERLANDS	P	2012-05-08	2013-01-23
US TSY NOTE 01 375 % DUE 02/28/19 DT	P		
VODAFONE GROUP PLC NEW SPON ADR	P	2012-06-25	2013-02-13
ACE LTD CHF	P	2012-03-21	2013-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
DTD110204 FC051505 STEP UP CPN	P	2011-03-01	2013-11-15
APPLIED MATERIALS INC	P	2012-08-07	2013-08-08
BARD C R INC	P	2012-05-09	2013-10-02
CABOT OIL & GAS CORP	P	2012-06-28	2013-08-08
CELGENE CORP	P	2012-06-19	2013-08-08
COGNEX CORP	P	2011-08-30	2013-08-08
DANAHER CORP	P	2011-10-04	2013-08-09
DOLLAR GEN CORP NEW	P	2012-07-30	2013-08-09
FIRST NIAGARA FINL GROUP NEW	P	2011-10-04	2013-08-09
FUSION-IO INC COM REVERSE SPLIT EFF	P	2012-04-13	2013-08-09
GOLDCORP INC NEW CAD	P	2011-10-04	2013-08-09
HEXCEL CORP NEW	P	2011-10-31	2013-08-13
INTL BUSINESS MACH	P	2012-05-17	2013-08-13
JOHNSON & JOHNSON COM	P	2011-03-18	2013-09-13
KROGER COMPANY	P	2011-10-04	2013-08-13
LUFKIN INDUSTRIES INC	P	2011-06-06	2013-07-02
MEDIDATA SOLUTIONS INC	P	2011-06-06	2013-08-13
MTN GROUP LTD SPON ADR	P	2009-11-20	2013-08-13
NORTHWEST PIPE CO	P	2011-06-03	2013-08-13
PFIZER INC	P	2011-10-04	2013-08-13
PRECISION CASTPARTS CORP	P	2012-01-27	2013-08-13
QUALCOMM INC	P	2011-10-04	2013-08-13
ROSS STORES INC	P	2012-06-29	2013-11-01
SANOFI SPON ADR	P	2012-06-25	2013-09-10
SEATTLE GENETICS INC	P	2011-06-03	2013-08-13
STERIS CORP	P	2012-01-26	2013-08-13
TALISMAN ENERGY INC CAD	P	2012-06-28	2013-08-13
TORCHMARK CORP	P	2012-05-08	2013-07-19
UNICHARM CORP SPON ADR	P	2010-08-18	2013-08-09
FC 03/31/12	P	2012-05-17	2013-09-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
VITAMIN SHOPPE INC	P	2011-06-06	2013-08-09
WHITING PETROLEUM CORP NEW	P	2011-10-19	2013-08-09
ALLERGAN INC	P	2012-11-05	2013-08-08
ANHEUSER BUSCH INBEV 04 125% 011515	P		
ADR	P	2013-02-21	2013-08-08
CHECK POINT SOFTWARE TECH LTD ILS	P	2012-10-25	2013-08-09
CREDIT SUISSE GROUP SPON ADR	P	2012-09-17	2013-05-20
DEUTSCHE BANK AG REG SHS ORD EUR	P	2013-10-10	2013-11-15
ELECTRICITE DE FRANCE ADR	P	2013-04-25	2013-12-18
FEDEX CORP FHLMC 04 875 % DUE 111513	P	2013-02-07	2013-08-09
FORTINET INC	P	2012-12-11	2013-08-09
GULFPORT ENERGY CORP NEW	P	2012-08-10	2013-08-09
EFF-11/2013	P	2013-02-06	2013-08-13
ITAU UNIBANCO HLDG SA ADR	P	2012-09-25	2013-08-13
DTD030413 FC091513 CALL@MW+50BP	P	2013-03-01	2013-04-30
MOODYS CORP	P	2013-02-06	2013-08-13
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2012-11-16	2013-05-24
DTD012711 FC072711 CALL@MW+30BP	P	2013-09-03	2013-09-30
PIMCO TOTAL RETURN FUND CLASS A	P	2013-08-30	2013-09-10
REINSURANCE GROUP AMER INC NEW	P	2013-02-27	2013-08-13
RTI INTL METALS INC (HOLDING COMPANY	P	2012-09-26	2013-08-13
SBA COMMUNICATIONS CORP CL A	P	2012-09-12	2013-08-13
SOCIETE GENERALE FRANCE SPONS ADR AD	P	2012-12-07	2013-11-26
STRYKER CORP	P	2012-11-09	2013-08-13
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	P	2012-12-05	2013-08-13
TEXAS INDUSTRIES MERGER - EFF - 07	P	2012-10-04	2013-08-13
UNILEVER NV N Y SHS NEW NETHERLANDS	P	2012-05-09	2013-01-23
FC 08/31/12	P	2012-10-16	2013-08-09
VODAFONE GROUP PLC NEW SPON ADR	P	2012-06-25	2013-02-15
AGL RESOURCES INC	P	2011-10-04	2013-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
ALTERA CORP	P	2012-05-21	2013-08-08
ARIAD PHARMACEUTICALS INC	P	2012-03-14	2013-08-08
BARD C R INC	P	2012-05-17	2013-10-02
CABOT OIL & GAS CORP	P	2012-06-29	2013-08-08
CENTRICA PLC NEW 2004 SPON ADR	P	2012-06-25	2013-12-10
COGNEX CORP	P	2011-10-31	2013-08-08
DASSAULT SYSTEMS S A SPON ADR	P	2009-03-23	2013-08-09
EBAY INC	P	2012-04-20	2013-08-09
FMC TECHNOLOGIES INC FNMA PL 725027	P	2011-10-04	2013-08-09
FUSION-IO INC COM REVERSE SPLIT EFF	P	2012-04-27	2013-08-09
GOLDCORP INC NEW CAD	P	2012-05-15	2013-08-09
HITACHI LTD ADR NEW JAPAN	P	2012-06-25	2013-10-17
INTL BUSINESS MACH	P	2012-05-18	2013-08-13
JOHNSON CONTROLS INC	P	2011-10-04	2013-08-13
LAS VEGAS SANDS CORP	P	2011-10-04	2013-08-13
LVMH MOET HENNESSY LOUIS NEW ADR	P	2009-08-03	2013-08-13
MEDIDATA SOLUTIONS INC	P	2011-10-31	2013-08-13
MWI VETERINARY SUPPLY INC	P	2011-11-03	2013-08-13
NORTHWEST PIPE CO OAO GAZPROM LEVEL	P	2011-06-06	2013-08-13
PFIZER INC	P	2012-02-08	2013-08-13
PRECISION CASTPARTS CORP	P	2012-02-24	2013-08-13
RALPH LAUREN CORP CL A	P	2011-10-04	2013-08-13
ROSS STORES INC	P	2012-07-05	2013-11-01
SANOFI SPON ADR	P	2012-06-25	2013-11-29
SEATTLE GENETICS INC	P	2011-10-31	2013-08-13
STEVEN MADDEN LTD	P	2011-06-03	2013-08-13
TANGER FACTORY OUTLET CENTERS INC	P	2012-08-06	2013-08-13
TRIUMPH GROUP INC	P	2011-06-03	2013-08-09
UNILEVER PLC AMER SHS NEW SPON ADR	P	2009-03-23	2013-08-09
US TSY NOTE 00 500 % DUE 05/31/13 DT	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
VITAMIN SHOPPE INC	P	2011-10-31	2013-08-09
WPP PLC NEW SPON ADR	P	2009-03-23	2013-08-09
ALLERGAN INC	P	2012-11-09	2013-08-08
DTD020510 CALL@MW+30BP WORLD WID	P	2012-05-10	2013-02-05
BARCLAYS PLC ADR	P	2012-06-25	2013-03-19
CHECK POINT SOFTWARE TECH LTD ILS	P	2013-02-06	2013-08-09
CREDIT SUISSE GROUP SPON ADR	P	2012-09-17	2013-06-26
DEUTSCHE BANK AG REG SHS ORD EUR	P	2013-10-15	2013-11-15
ELECTRICITE DE FRANCE ADR	P	2013-05-03	2013-12-18
5152004	P	2012-05-09	2013-02-05
FRANKLIN RESOURCES INC	P	2012-10-05	2013-08-09
HALLIBURTON CO (HOLDING COMPANY)	P	2013-02-15	2013-08-09
INTESA SANPAOLO SPON ADR	P	2013-06-26	2013-10-10
ITAU UNIBANCO HLDG SA ADR	P	2012-12-12	2013-08-13
LIQUIDITY SERVICES INC	P	2012-12-04	2013-08-13
NATL INSTRUMENTS CORP	P	2013-08-13	2013-10-03
NISSAN MTR LTD SPONS ADR JAPAN ADR	P	2013-01-15	2013-05-24
PETROCHINA CO LTD SPON ADR	P	2013-01-15	2013-05-17
POLYCOM INC	P	2013-03-08	2013-08-13
REPSOL SA SPON ADR	P	2013-01-28	2013-12-04
RTI INTL METALS INC (HOLDING COMPANY	P	2013-03-06	2013-08-13
SBERBANK SPON ADR	P	2012-10-03	2013-08-16
SOCIETE GENERALE FRANCE SPONS ADR AD	P	2013-01-11	2013-11-26
STRYKER CORP	P	2013-01-07	2013-08-13
TALISMAN ENERGY INC CAD	P	2012-11-09	2013-08-13
THERMO FISHER SCIENTIFIC INC	P	2013-01-07	2013-08-13
UNION PACIFIC CORP	P	2013-01-07	2013-08-09
US TSY NOTE 01 750 % DUE 05/15/23 DT	P		
WALT DISNEY CO (HOLDING CO) DISNEY C	P	2012-08-22	2013-08-09
AIA GROUP LTD SPON ADR	P	2012-02-10	2013-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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AMAZON COM INC	P	2011-10-04	2013-08-08
ARIAD PHARMACEUTICALS INC	P	2012-05-14	2013-08-08
BG GROUP PLC SPON ADR	P	2009-03-23	2013-08-09
CANON INC ADR JAPAN SPON ADR	P	2011-03-18	2013-04-01
CENTURYLINK INC	P	2011-10-04	2013-08-08
COHERENT INC	P	2011-06-03	2013-08-08
DBS GROUP HLDGS LTD SPON ADR	P	2011-07-20	2013-08-09
ECOLAB INC	P	2011-10-04	2013-08-09
0 092706310000 FNMA PL 725027 05 000	P	2011-05-26	2013-04-22
GARMIN LTD SHS CHF	P	2011-10-04	2013-08-09
GOLDCORP INC NEW CAD	P	2012-07-03	2013-08-09
HONEYWELL INTL INC	P	2011-10-04	2013-08-13
INTL BUSINESS MACH	P	2012-05-21	2013-08-13
JP MORGAN INTERNATIONAL VALUE SMA FU	P	2012-06-26	2013-07-11
LAUDER ESTEE COS CL A	P	2011-10-04	2013-08-13
MACY'S INC	P	2011-10-04	2013-08-13
METLIFE INC	P	2011-10-04	2013-08-13
NESTLE S A SPONSORED ADR REPSTG REG	P		
SPON ADR	P	2009-03-23	2013-08-09
PHILIP MORRIS INTL INC	P	2011-10-04	2013-08-13
PRECISION CASTPARTS CORP	P	2012-03-06	2013-08-13
RANGE RESOURCES CORP	P	2012-05-14	2013-08-13
ROSS STORES INC	P	2012-10-26	2013-11-01
SANOFI SPON ADR	P	2012-07-06	2013-11-29
SHFL ENTMT INC COM	P	2011-06-03	2013-08-13
STIFEL FINANCIAL CORP	P	2011-08-26	2013-08-13
TECH DATA CORP	P	2011-10-04	2013-08-13
TRIUMPH GROUP INC	P	2011-10-31	2013-08-09
UNION PACIFIC CORP	P	2011-10-04	2013-08-09
FC 11/30/11	P	2012-05-09	2013-05-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
WABCO HOLDINGS INC	P	2011-06-03	2013-08-09
WPX ENERGY INC	P	2012-03-05	2013-08-09
ALLERGAN INC	P	2013-01-07	2013-08-08
APPLE INC ARCELORMITTAL NTS B/E 05 0	P	2013-01-07	2013-08-08
BARD C R INC	P	2012-11-28	2013-10-02
CHINA CONSTR BK CORP ADR	P	2012-06-25	2013-06-19
CREDIT SUISSE GROUP SPON ADR	P	2012-12-14	2013-11-04
DIAGEO PLC NEW GB SPON ADR	P	2013-01-07	2013-08-09
ENI SPA IT SPON ADR	P	2012-06-25	2013-04-25
FIFTH THIRD BANCORP	P	2013-02-06	2013-08-09
FRESH MKT INC	P	2013-03-06	2013-08-09
HCA HOLDINGS INC	P	2012-11-09	2013-08-09
INTESA SANPAOLO SPON ADR	P	2013-08-09	2013-10-10
J P MORGAN CHASE & CO 04 750% 050113	P		
LOCKHEED MARTIN CORP	P	2013-01-30	2013-08-13
NATL INSTRUMENTS CORP	P	2013-09-10	2013-10-03
NOBLE ENERGY INC	P	2013-01-07	2013-08-13
PETROLEO BRASILEIRO SA SPON ADR	P	2012-10-03	2013-08-13
POTASH CORP SASK INC CANADA CAD	P	2012-09-25	2013-08-13
RIO TINTO PLC SPON ADR	P	2012-06-25	2013-01-16
RTS BARCLAYS PLC SPON ADR EXP 10/02/	P	2013-08-09	2013-09-24
SBERBANK SPON ADR	P	2012-11-08	2013-08-16
SOCIETE GENERALE FRANCE SPONS ADR AD	P	2013-01-11	2013-12-04
SUMITOMO CORP SPON ADR	P	2012-06-25	2013-04-02
TEAM HEALTH HOLDINGS INC	P	2013-01-29	2013-08-13
THERMON GROUP HLDGS INC	P	2012-09-27	2013-08-09
UNITED STATES TREAS BOND DUE 02/15/1	P		
FC 11/15/13	P	2013-11-08	2013-12-06
WALT DISNEY CO (HOLDING CO) DISNEY C	P	2012-09-06	2013-08-09
AIR LIQUIDE ADR FRANCE ADR	P	2009-03-23	2013-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
AMERICAN TOWER CORP REIT	P	2011-10-04	2013-08-08
ARIAD PHARMACEUTICALS INC	P	2012-05-31	2013-08-08
BIOMARIN PHARMACEUTICAL INC	P	2011-06-06	2013-08-08
CANON INC ADR JAPAN SPON ADR	P	2011-03-18	2013-04-03
CERNER CORP	P	2011-10-04	2013-08-08
COHERENT INC	P	2011-06-03	2013-08-28
DECKERS OUTDOOR CORP	P	2012-07-03	2013-08-09
EMC CORP MASS	P	2011-10-04	2013-08-09
0 092706310000 FNMA PL 725027 05 000	P	2011-06-20	2013-04-22
GENESCO INC	P	2011-06-03	2013-08-09
GOLDMAN SACHS GROUP INC	P	2012-01-11	2013-08-09
HOST HOTELS & RESORTS INC (REIT)	P	2011-10-04	2013-08-13
INTL BUSINESS MACH	P	2012-07-20	2013-08-13
JP MORGAN TR I EMERGING MKTS EQUITY	P		
LEAR CORP COM NEW	P	2012-02-24	2013-08-13
MALLINCKRODT PUB LTD CO	P	2011-03-16	2013-07-01
MICROSOFT CORP	P	2012-05-08	2013-07-31
ADR	P	2009-03-23	2013-08-13
OASIS PETROLEUM INC	P	2011-10-28	2013-08-13
PIMCO TOTAL RETURN FUND CLASS A	P	2011-10-04	2013-08-30
PRICELINE GROUP INC NEW	P	2012-01-18	2013-08-13
RANGE RESOURCES CORP	P	2012-06-27	2013-08-13
ROYAL DUTCH SHELL PLC CL A SPON ADR	P	2012-06-25	2013-11-08
SAP SE SPON ADR	P	2009-03-23	2013-08-13
SHFL ENTMT INC COM	P	2011-10-31	2013-08-13
SUMITOMO CORP SPON ADR	P	2012-06-25	2013-11-27
TERADATA CORP NEW (DELA)	P	2012-03-27	2013-08-13
TURKIYE GARANTI BK SPON ADR	P	2011-03-09	2013-08-09
UNITED PARCEL SERVICE INC CL B	P	2012-07-05	2013-08-09
US TSY NOTE 00 500 % DUE 05/31/13 DT	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
WALT DISNEY CO (HOLDING CO) DISNEY C	P	2012-08-08	2013-08-09
XINYI GLASS HOLDINGS LTD ADR	P	2012-06-22	2013-08-09
ALTERA CORP	P	2013-02-27	2013-08-08
DTD022812 FC082512 CALL@MW+50BP	P	2012-11-02	2013-01-09
BARD C R INC	P	2013-08-09	2013-10-02
CHINA CONSTR BK CORP ADR CIT GROUP I	P	2012-06-25	2013-06-20
CREDIT SUISSE GROUP SPON ADR	P	2013-08-09	2013-11-18
DIAMONDROCK HOSPITALITY CO REIT	P	2012-10-26	2013-08-09
ENI SPA IT SPON ADR	P	2012-06-25	2013-05-22
FMC TECHNOLOGIES INC FNMA PL 735402	P	2013-01-29	2013-08-09
FULTON FINCL CORP PA	P	2012-10-15	2013-08-09
HCA HOLDINGS INC	P	2012-12-11	2013-08-09
INTESA SANPAOLO SPON ADR	P	2013-08-09	2013-10-15
DTD042808 FC110108 NTS B/E J P MORGA	P	2012-05-10	2013-02-05
LONZA GROUP AG ADR	P	2013-02-08	2013-08-13
NATL INSTRUMENTS CORP	P	2013-09-11	2013-10-03
NORDSTROM INC	P	2013-03-01	2013-08-13
PFIZER INC	P	2013-01-09	2013-08-13
POTASH CORP SASK INC CANADA CAD	P	2012-12-12	2013-08-13
RIO TINTO PLC SPON ADR	P	2012-06-25	2013-03-01
RTS BARCLAYS PLC SPON ADR EXP 10/02/	P	2013-08-30	2013-09-24
SBERBANK SPON ADR	P	2013-08-09	2013-08-16
SOCIETE GENERALE FRANCE SPONS ADR AD	P	2013-02-13	2013-12-04
SUMITOMO CORP SPON ADR	P	2013-08-09	2013-11-27
TEAM HEALTH HOLDINGS INC	P	2013-02-12	2013-08-13
THERMON GROUP HLDGS INC	P	2013-03-06	2013-08-09
STRIP	P	2012-05-09	2013-02-05
US TSY NOTE 01 750 % DUE 05/15/23 DT	P		
WALT DISNEY CO (HOLDING CO) DISNEY C	P	2013-01-24	2013-08-09
ALEXANDRIA REAL ESTATE EQUITIES	P	2011-10-04	2013-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
AMERIPRISE FINANCIAL INC	P	2011-10-04	2013-08-08
ARM HOLDINGS PLC SPON ADR	P	2010-10-15	2013-08-08
BIOMARIN PHARMACEUTICAL INC	P	2011-10-31	2013-08-08
CANON INC ADR JAPAN SPON ADR	P	2011-03-18	2013-04-11
CERNER CORP	P	2011-10-04	2013-08-28
COHERENT INC	P	2011-10-31	2013-08-28
DEERE AND CO	P	2011-10-04	2013-08-09
ENTERGY CORP NEW	P	2011-10-04	2013-08-09
0 092706310000 FNMA PL 735676 05 000	P	2011-10-07	2013-04-22
GENESCO INC	P	2011-06-06	2013-08-09
GOLDMAN SACHS GROUP INC	P	2012-04-18	2013-08-09
HSBC HOLDINGS PLC NEW GB SPON ADR	P	2011-03-18	2013-09-06
INTUITIVE SURGICAL INC NEW	P	2011-10-04	2013-08-13
CL	P	2011-10-04	2013-09-06
LIFE TECHNOLOGIES CORP MERGER-EFF-	P	2011-10-04	2013-08-13
MALLINCKRODT PUB LTD CO	P	2012-05-11	2013-07-01
MID AMER APARTMENT COMMUNITIES INC	P	2011-12-15	2013-08-13
NESTLE S A SPONSORED ADR REPSTG REG	P		
OCCIDENTAL PETROLEUM CRP	P	2011-10-04	2013-08-13
PIMCO TOTAL RETURN FUND CLASS A	P	2011-10-04	2013-09-03
PROASSURANCE CORP	P	2012-06-08	2013-08-13
RAYMOND JAMES FINANCIAL CORP	P	2011-10-04	2013-08-13
RTI INTL METALS INC (HOLDING COMPANY	P	2011-07-14	2013-08-13
SAP SE SPON ADR	P	2012-05-03	2013-08-13
SONOVA HLDG AG ADR	P	2011-08-11	2013-08-13
SVENSKA HANDELBANKEN AB ADR	P	2012-07-20	2013-08-13
TERADATA CORP NEW (DELA)	P	2012-05-21	2013-08-13
TWO HARBORS INVT CORP REIT SBI	P	2011-07-26	2013-08-09
UNITED PARCEL SERVICE INC CL B	P	2012-07-11	2013-08-09
FC 11/30/11	P	2012-05-17	2013-05-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
WASHINGTON FEDERAL INC	P	2011-10-04	2013-08-09
XINYI GLASS HOLDINGS LTD ADR	P	2012-06-25	2013-08-09
AMAZON COM INC	P	2013-01-07	2013-08-08
ARCELORMITTAL SA NY REG	P	2012-06-25	2013-01-15
BASF SE SPON ADR	P	2012-06-25	2013-03-20
FC020114 CALL@MW+50BP CIT GROUP INC	P	2013-09-03	2013-09-30
CREDIT SUISSE GROUP SPON ADR	P	2013-08-30	2013-11-18
DIAMONDROCK HOSPITALITY CO REIT	P	2012-11-09	2013-08-09
ENSTAR GROUP LTD ORD	P	2012-12-31	2013-08-09
0 110004420000 FNMA PL 745275 B/E	P	2013-07-17	2013-08-20
FUSION-IO INC COM REVERSE SPLIT EFF	P	2012-11-01	2013-08-09
HILL ROM HOLDINGS INC	P	2013-02-06	2013-08-13
INTESA SANPAOLO SPON ADR	P	2013-08-16	2013-10-15
DTD042808 FC110108 NTS B/E J P MORGA	P	2012-05-10	2013-05-01
LORILLARD INC	P	2012-09-13	2013-08-13
NESTLE S A SPONSORED ADR REPSTG REG	P		
NORFOLK STHN CORP	P	2013-01-07	2013-08-13
PHILIP MORRIS INTL INC	P	2013-01-07	2013-08-13
PRECISION CASTPARTS CORP	P	2013-01-07	2013-08-13
RIO TINTO PLC SPON ADR	P	2012-07-09	2013-03-01
RTS BARCLAYS PLC SPON ADR EXP 10/02/	P	2013-09-11	2013-09-24
SCHWAB CHARLES CORP NEW	P	2012-05-08	2013-03-15
SOCIETE GENERALE FRANCE SPONS ADR AD	P	2013-08-09	2013-12-04
SUMITOMO CORP SPON ADR	P	2013-08-30	2013-11-27
TECK RESOURCES LTD CL B ORD CAD	P	2013-01-07	2013-08-13
THORATEC CORP NEW	P	2012-11-27	2013-08-09
US AIRWAYS GROUP INC MERGER EFF 1	P	2012-09-11	2013-08-09
FC 11/15/13	P	2013-11-21	2013-12-06
WELLCARE HEALTH PLANS INC	P	2012-08-20	2013-08-09
ALLIANZ FIXED INCOME SHARES SERIES	P	2012-05-10	2013-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
AMERIPRISE FINANCIAL INC	P	2011-10-04	2013-08-28
ARM HOLDINGS PLC SPON ADR	P	2012-07-31	2013-08-08
BJ'S RESTAURANTS INC	P	2011-06-03	2013-08-08
CASH AMER INTL INC	P	2011-06-03	2013-08-08
CERNER CORP	P	2012-07-30	2013-08-28
COLFAX CORP	P	2012-02-07	2013-08-08
DEL FRISCOS RESTAURANT GROUP INC	P	2012-07-30	2013-08-09
EQT CORP	P	2011-10-04	2013-08-09
0 106098530000 FNMA PL 735676 05 000	P	2012-07-10	2013-08-20
GENESCO INC	P	2011-10-31	2013-08-09
GOLDMAN SACHS GROUP INC	P	2012-04-18	2013-08-09
HSBC HOLDINGS PLC NEW GB SPON ADR	P	2012-06-25	2013-09-06
INVESCO LTD	P	2012-07-30	2013-08-13
JPMORGAN CHASE & CO	P	2011-10-04	2013-08-13
LOCKHEED MARTIN CORP	P	2011-10-04	2013-08-13
MALLINCKRODT PUB LTD CO	P	2012-05-14	2013-07-01
MONSANTO CO NEW	P	2011-10-04	2013-08-13
ADR	P	2011-10-04	2013-08-13
OCCIDENTAL PETROLEUM CRP	P	2012-02-14	2013-08-13
PIMCO TOTAL RETURN FUND CLASS A	P	2011-10-04	2013-09-03
PROASSURANCE CORP	P	2012-07-25	2013-08-13
RAYONIER INC REIT	P	2011-10-04	2013-08-13
RTS BARCLAYS PLC SPON ADR EXP 10/02/	P	2012-06-25	2013-09-24
SASOL LTD SPON ADR	P	2009-03-23	2013-08-13
SONOVA HLDG AG ADR	P	2011-08-11	2013-08-13
SWATCH GROUP AG ADR	P	2010-09-21	2013-08-13
TERADYNE INC	P	2011-06-03	2013-08-13
TWO HARBORS INVT CORP REIT SBI	P	2012-02-22	2013-08-09
UNITED PARCEL SERVICE INC CL B	P	2012-07-25	2013-08-09
US TSY NOTE 01 375 % DUE 02/28/19 DT	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
WASHINGTON FEDERAL INC	P	2012-02-27	2013-08-09
XINYI GLASS HOLDINGS LTD ADR	P	2012-06-26	2013-08-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,698			2,698
296		269	27
338		340	-2
1,314		1,313	1
19,273		19,148	125
375		409	-34
61		67	-6
340		244	96
1,472		1,485	-13
214		170	44
472		579	-107
455		377	78
2,000		2,068	-68
79		81	-2
337		344	-7
222		204	18
4,799		5,066	-267
958		640	318
14		31	-17
868		798	70
3,048		3,164	-116
120		106	14
247		262	-15
107		151	-44
878		841	37
32,647		32,709	-62
462		428	34
30,360		29,973	387
86		48	38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
360		220	140
63		106	-43
204		249	-45
93		73	20
1,296		668	628
389		199	190
75		70	5
8,373		8,534	-161
87		64	23
487		339	148
75		36	39
522		436	86
545		369	176
178		209	-31
17		15	2
194		145	49
1,353		2,239	-886
666		559	107
27,538		27,875	-337
967		524	443
54		30	24
73		54	19
1,239		1,114	125
162		94	68
714		489	225
59		60	-1
1,545		846	699
29,707		29,859	-152
44		24	20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
520		343	177
334			334
37		45	-8
1,502		1,082	420
1,395		1,367	28
1,041		902	139
104		86	18
100		79	21
134		111	23
24,088		23,381	707
356		210	146
318		238	80
299		276	23
635		612	23
34		32	2
11		10	1
108		85	23
69		73	-4
1,734		1,638	96
83		96	-13
289		261	28
7		7	
584		511	73
62		67	-5
328		328	
240		240	
16,006		17,220	-1,214
39		29	10
468		428	40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
98		64	34
176		139	37
835		550	285
204		280	-76
186		142	44
694		481	213
278		138	140
347		282	65
50,000		50,000	
1,415		1,024	391
998		493	505
1,827		1,801	26
464		431	33
109		50	59
1,441		1,269	172
69		60	9
248		531	-283
1,082		1,850	-768
400		380	20
5,630		5,810	-180
160		106	54
939		934	5
48,516		46,581	1,935
367		288	79
639		393	246
132		102	30
683		492	191
233		159	74
810		519	291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,664		1,170	494
166		103	63
669		506	163
220		175	45
561		330	231
327		228	99
307		256	51
71		55	16
271		220	51
254		212	42
31,732		31,359	373
475		272	203
159		122	37
378		400	-22
458		310	148
42		37	5
28		22	6
287		237	50
268		286	-18
578		539	39
78		71	7
325		306	19
6		6	
662		549	113
488		387	101
504		474	30
481		454	27
1,001		1,003	-2
1,253		487	766

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,158		1,493	665
2,121		1,568	553
515		712	-197
835		507	328
529		530	-1
95		56	39
83		47	36
500		261	239
426		426	
331		343	-12
367		270	97
2,995		1,808	1,187
262		197	65
996		1,272	-276
30		28	2
3,217		1,302	1,915
106		97	9
37		124	-87
521		549	-28
465		517	-52
898		427	471
116		98	18
133		92	41
902		589	313
147		114	33
266		220	46
207		131	76
31		23	8
100		78	22
753		567	186

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,119		1,017	102
569		448	121
458		322	136
220		173	47
360		295	65
1,392		998	394
383		302	81
646		563	83
879		474	405
178		141	37
6,328		6,401	-73
237		137	100
397		307	90
378		386	-8
197		175	22
126		110	16
20		16	4
144		122	22
219		228	-9
385		366	19
275		236	39
246		179	67
3		3	
404		357	47
367		275	92
302		290	12
702		667	35
2,825		3,028	-203
615		577	38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
650		461	189
303		220	83
1,931		576	1,355
773		464	309
529		496	33
676		503	173
186		149	37
117		106	11
578		560	18
592		385	207
962		640	322
3,993		2,448	1,545
1,940		2,340	-400
635		710	-75
122		102	20
391		392	-1
11		10	1
61		195	-134
590		503	87
522		516	6
20		32	-12
1,050		990	60
918		531	387
541		415	126
1,485		1,076	409
320		236	84
1,242		798	444
1,433		1,639	-206
106		82	24
491		289	202

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
255		170	85
778		453	325
68		64	4
49		45	4
388		408	-20
1,159		1,106	53
581		356	225
1,909		2,260	-351
1,856		1,389	467
154		89	65
10,093		10,190	-97
237		154	83
318		252	66
189		194	-5
264		247	17
483		454	29
207		219	-12
287		239	48
149		151	-2
142		88	54
255		189	66
439		286	153
52		65	-13
368		333	35
318		218	100
489		506	-17
328		246	82
31,097		31,103	-6
1,061		640	421

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
362		263	99
461		380	81
648		426	222
50		41	9
108		69	39
580		516	64
354		257	97
786		450	336
270		222	48
2,618		2,873	-255
642		333	309
669		371	298
1,617		1,421	196
183		97	86
84		52	32
130		135	-5
633		427	206
75		152	-77
316		263	53
1,436		669	767
10		19	-9
102		96	6
105		80	25
1,386		775	611
990		839	151
890		500	390
207		134	73
79		71	8
319		268	51
1,351		885	466

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
640		1,019	-379
87		69	18
321		230	91
15,965		14,590	1,375
132		129	3
112		102	10
42		35	7
1,975		2,341	-366
1,856		1,407	449
501		286	215
581		596	-15
460		396	64
378		387	-9
73		39	34
247		200	47
237		227	10
179		182	-3
609		515	94
155		108	47
1,745		1,747	-2
24		30	-6
110		112	-2
331		243	88
130		150	-20
164		125	39
5,649		5,990	-341
503		332	171

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
181		160	21
922		775	147
63		40	23
539		495	44
595		352	243
1,463		1,038	425
2,131		977	1,154
50		24	26
2,964		1,366	1,598
1,082		635	447
1,370		889	481
103		62	41
356		190	166
122		77	45
84		45	39
261		271	-10
124		73	51
196		328	-132
127		70	57
205		88	117
10		20	-10
644		546	98
619		1,379	-760
208		114	94
1,920		1,688	232
1,018		514	504
1,428		810	618
1,203		653	550
106		87	19
2,418		1,585	833

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
101		82	19
147		153	-6
347		280	67
15,965		14,590	1,375
136		122	14
261		275	-14
10		9	1
1,251		1,160	91
53		47	6
115		70	45
2,897		2,932	-35
998		679	319
236		198	38
48,560		47,795	765
193		221	-28
662		540	122
343		342	1
426		517	-91
9		9	
609		529	80
2,169		1,901	268
370		338	32
218		166	52
981		563	418
659		479	180
130		123	7
109		129	-20
31,058		31,103	-45
740		502	238
1,242		892	350

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
856		681	175
461		546	-85
2,200		1,140	1,060
238		316	-78
2,189		1,641	548
1,126		1,330	-204
52		42	10
151		69	82
28,000		28,000	
512		363	149
989		928	61
31		22	9
222		168	54
580		525	55
102		100	2
1,031		634	397
1,229		737	492
168		330	-162
30,732		30,097	635
88		51	37
127		90	37
70		75	-5
333		320	13
208		104	104
756		649	107
208		92	116
600		515	85
523		556	-33
486		334	152

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,040		484	556
313		308	5
1,145		812	333
21,380		19,453	1,927
271		228	43
162		105	57
846		565	281
988		722	266
53		48	5
154		103	51
5,072		5,130	-58
998		726	272
109		99	10
48,560		47,795	765
32		39	-7
292		287	5
633		558	75
466		508	-42
7		7	
179		161	18
102,351		100,565	1,786
303		290	13
337		251	86
291		222	69
76		68	8
114		120	-6
798		718	80
2,103		2,113	-10
512		410	102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
50		23	27
109		71	38
663		424	239
208		252	-44
1,279		545	734
221		141	80
1,428		896	532
163		141	22
4,000		4,000	
27,537		25,065	2,472
48		40	8
226		149	77
445		290	155
139		99	40
51		50	1
615		406	209
71		32	39
215		400	-185
1,320		1,168	152
85		55	30
1,301		556	745
708		417	291
3,209		1,637	1,572
410		286	124
1,437		855	582
139		78	61
11		14	-3
782		859	-77
122		83	39
44,000		43,930	70

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
2,339		1,083	1,256
276		267	9
660		476	184
21,380		19,453	1,927
136		107	29
255		255	
53		33	20
500		283	217
160		157	3
77		55	22
2,539		2,559	-20
520		378	142
364		330	34
33		24	9
96		115	-19
693		438	255
94		84	10
193		208	-15
9		9	
179		154	25
50,304		48,118	2,186
605		592	13
61		45	16
1,111		963	148
38		35	3
266		288	-22
877		568	309
1,016		1,015	1
1,241		1,075	166
899		584	315

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
50		27	23
47		37	10
3,011		2,490	521
1,313		1,006	307
142		71	71
937		613	324
38		37	1
380		341	39
232		119	113
25		18	7
48		51	-3
1,141		717	424
567		513	54
1,551		1,105	446
484		495	-11
1,062		1,059	3
3,182		841	2,341
89		94	-5
635		461	174
38		19	19
189		167	22
71		41	30
74		51	23
829		572	257
995		593	402
132		86	46
11		14	-3
56		41	15
61		37	24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
301		284	17
138		78	60
50,585		49,061	1,524
26,726		25,445	1,281
136		96	40
900		519	381
646		590	56
370		253	117
711		476	235
108		105	3
621		606	15
260		207	53
166		123	43
96		109	-13
347		229	118
242		185	57
25,019		24,738	281
10		10	
44		35	9
50,304		48,118	2,186
403		404	-1
180		136	44
321		301	20
739		565	174
79		76	3
2,389		2,026	363
321		334	-13
450		369	81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
50,000		49,206	794
47		35	12
2,324		2,014	310
681		361	320
284		133	151
110		73	37
3,015		1,813	1,202
54		51	3
21		17	4
204		505	-301
82		131	-49
147		100	47
189		200	-11
1,325		873	452
117		65	52
885		868	17
172		45	127
1,154		921	233
599		526	73
2,050		1,209	841
219		164	55
1,751		1,270	481
77		62	15
977		716	261
751		342	409
44		36	8
43		43	
692		475	217
2,664		1,951	713
5,000		4,989	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
774		726	48
51		45	6
185		182	3
1,324		1,333	-9
59		45	14
28		21	7
2,147		2,234	-87
542		331	211
324		319	5
559		542	17
1,184		592	592
183		145	38
346		385	-39
51,797		51,035	762
416		279	137
132		110	22
25,019		24,738	281
169		170	-1
67		58	9
350		255	95
220		177	43
849		579	270
71		53	18
535		562	-27
793		535	258
2,589		2,177	412
1,980		2,047	-67
157		167	-10
46		39	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
36		34	2
660		522	138
581		493	88
151		80	71
978		893	85
55		34	21
4,623		1,347	3,276
693		526	167
540		362	178
215		534	-319
27		33	-6
632		584	48
189		198	-9
708		443	265
624		420	204
1,527		761	766
430		91	339
895		424	471
57		50	7
410		293	117
219		169	50
536		387	149
77		64	13
53		36	17
292		154	138
1,763		1,106	657
886		935	-49
2,247		1,332	915
1,328		599	729

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
731		636	95
3,593		1,138	2,455
185		182	3
1,065		1,086	-21
236		157	79
59		51	8
240		207	33
548		584	-36
292		193	99
1,036		1,069	-33
296		261	35
322		298	24
517		358	159
476		521	-45
399		524	-125
2,997		2,728	269
419		380	39
761		846	-85
69		70	-1
174		164	10
318		305	13
456		473	-17
503		395	108
355		285	70
32		34	-2
370		263	107
318		261	57
323		248	75
2,772		2,066	706

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,183		835	348
151		137	14
1,265		1,078	187
178		222	-44
138		126	12
58		53	5
3,239		2,910	329
1,024		537	487
317		313	4
117		94	23
27		39	-12
1,257		640	617
378		394	-16
624		512	112
463		301	162
49		26	23
1,684		901	783
581		628	-47
2,469		1,728	741
219		166	53
79		66	13
465		365	100
899		629	270
1,117		507	610
562		398	164
107		86	21
77		57	20
2,383		1,213	1,170
17,000		17,050	-50

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,588		1,349	239
19		18	1
185		197	-12
461		522	-61
1,162		972	190
14		13	1
295		247	48
262		233	29
233		197	36
39		33	6
683		461	222
313		260	53
632		528	104
372		267	105
865		831	34
255		210	45
769		1,287	-518
1,148		1,614	-466
276		223	53
93			93
228		223	5
44		35	9
146		160	-14
644		539	105
406		526	-120
195,150		196,420	-1,270
388		309	79
4,348		2,403	1,945

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
759		578	181
264		227	37
571		249	322
417		534	-117
49		32	17
1,335		1,260	75
58		44	14
590		456	134
5,071		5,004	67
920		560	360
649		530	119
70		41	29
189		194	-5
145		94	51
39		32	7
3,507		3,335	172
4,378		2,132	2,246
1,248		998	250
26,625		26,875	-250
958		512	446
395		310	85
462		452	10
3,903		1,940	1,963
684		315	369
829		892	-63
130		139	-9
1,984		2,331	-347
351		318	33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
712		554	158
472		277	195
72		72	
31,263		29,379	1,884
2,324		2,343	-19
453		445	8
462		498	-36
40		34	6
473		394	79
54		47	7
51		41	10
195		164	31
71		60	11
2,019		2,081	-62
371		311	60
741		719	22
60		54	6
322		290	32
403		534	-131
414		357	57
29			29
479		490	-11
305		238	67
557		604	-47
362		298	64
638		680	-42
933		933	
323		273	50
68		57	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
90		37	53
3,434		1,560	1,874
127		70	57
410		489	-79
325		227	98
111		103	8
82		63	19
134		127	7
4,437		4,401	36
1,912		1,141	771
487		445	42
1,165		1,116	49
386		360	26
11,298		9,732	1,566
75		37	38
6		5	1
63		59	4
616		490	126
26,550		26,875	-325
594		538	56
88		49	39
477		484	-7
675		585	90
1,912		1,545	367
1,345		969	376
130		134	-4
671		644	27
263		237	26
3,000		3,008	-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
111		62	49
586		341	245
296		269	27
375		311	64
95		66	29
19,273		19,148	125
317		317	
30		25	5
711		539	172
3,225		3,242	-17
261		561	-300
109		102	7
526		472	54
6,000		6,242	-242
652		581	71
74		65	9
265		259	6
658		568	90
52		47	5
53			53
2,487		1,842	645
685		606	79
235		239	-4
27		41	-14
560		571	-11
807		515	292
98,487		99,069	-582
462		385	77
13,216		13,387	-171

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
86		37	49
745		468	277
722		1,092	-370
448		554	-106
186		148	38
1,744		1,036	708
586		378	208
169		104	65
158		161	-3
496		409	87
162		116	46
111		87	24
33		22	11
2,452		1,310	1,142
3,103		1,787	1,316
11		10	1
1,263		791	472
741		601	140
352		419	-67
15,237		15,423	-186
198		180	18
56		36	20
88			88
1,043		682	361
46		32	14
1,677		1,007	670
877		797	80
306		288	18
351		297	54

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
22		16	6
340		192	148

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			2,698
			27
			-2
			1
			125
			-34
			-6
			96
			-13
			44
			-107
			78
			-68
			-2
			-7
			18
			-267
			318
			-17
			70
			-116
			14
			-15
			-44
			37
			-62
			34
			387
			38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			140
			-43
			-45
			20
			628
			190
			5
			-161
			23
			148
			39
			86
			176
			-31
			2
			49
			-886
			107
			-337
			443
			24
			19
			125
			68
			225
			-1
			699
			-152
			20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			177
			334
			-8
			420
			28
			139
			18
			21
			23
			707
			146
			80
			23
			23
			2
			1
			23
			-4
			96
			-13
			28
			73
			-5
			-1,214
			10
			40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			34
			37
			285
			-76
			44
			213
			140
			65
			391
			505
			26
			33
			59
			172
			9
			-283
			-768
			20
			-180
			54
			5
			1,935
			79
			246
			30
			191
			74
			291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			494
			63
			163
			45
			231
			99
			51
			16
			51
			42
			373
			203
			37
			-22
			148
			5
			6
			50
			-18
			39
			7
			19
			113
			101
			30
			27
			-2
			766

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			665
			553
			-197
			328
			-1
			39
			36
			239
			-12
			97
			1,187
			65
			-276
			2
			1,915
			9
			-87
			-28
			-52
			471
			18
			41
			313
			33
			46
			76
			8
			22
			186

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			102
			121
			136
			47
			65
			394
			81
			83
			405
			37
			-73
			100
			90
			-8
			22
			16
			4
			22
			-9
			19
			39
			67
			47
			92
			12
			35
			-203
			38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			189
			83
			1,355
			309
			33
			173
			37
			11
			18
			207
			322
			1,545
			-400
			-75
			20
			-1
			1
			-134
			87
			6
			-12
			60
			387
			126
			409
			84
			444
			-206
			24
			202

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			85
			325
			4
			4
			-20
			53
			225
			-351
			467
			65
			-97
			83
			66
			-5
			17
			29
			-12
			48
			-2
			54
			66
			153
			-13
			35
			100
			-17
			82
			-6
			421

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			99
			81
			222
			9
			39
			64
			97
			336
			48
			-255
			309
			298
			196
			86
			32
			-5
			206
			-77
			53
			767
			-9
			6
			25
			611
			151
			390
			73
			8
			51
			466

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-379
			18
			91
			1,375
			3
			10
			7
			-366
			449
			215
			-15
			64
			-9
			34
			47
			10
			-3
			94
			47
			-2
			-6
			-2
			88
			-20
			39
			-341
			171

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			21
			147
			23
			44
			243
			425
			1,154
			26
			1,598
			447
			481
			41
			166
			45
			39
			-10
			51
			-132
			57
			117
			-10
			98
			-760
			94
			232
			504
			618
			550
			19
			833

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			19
			-6
			67
			1,375
			14
			-14
			1
			91
			6
			45
			-35
			319
			38
			765
			-28
			122
			1
			-91
			80
			268
			32
			52
			418
			180
			7
			-20
			-45
			238
			350

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			175
			-85
			1,060
			-78
			548
			-204
			10
			82
			149
			61
			9
			54
			55
			2
			397
			492
			-162
			635
			37
			37
			-5
			13
			104
			107
			116
			85
			-33
			152

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			556
			5
			333
			1,927
			43
			57
			281
			266
			5
			51
			-58
			272
			10
			765
			-7
			5
			75
			-42
			18
			1,786
			13
			86
			69
			8
			-6
			80
			-10
			102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			27
			38
			239
			-44
			734
			80
			532
			22
			2,472
			8
			77
			155
			40
			1
			209
			39
			-185
			152
			30
			745
			291
			1,572
			124
			582
			61
			-3
			-77
			39
			70

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1,256
			9
			184
			1,927
			29
			20
			217
			3
			22
			-20
			142
			34
			9
			-19
			255
			10
			-15
			25
			2,186
			13
			16
			148
			3
			-22
			309
			1
			166
			315

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			23
			10
			521
			307
			71
			324
			1
			39
			113
			7
			-3
			424
			54
			446
			-11
			3
			2,341
			-5
			174
			19
			22
			30
			23
			257
			402
			46
			-3
			15
			24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			17
			60
			1,524
			1,281
			40
			381
			56
			117
			235
			3
			15
			53
			43
			-13
			118
			57
			281
			9
			2,186
			-1
			44
			20
			174
			3
			363
			-13
			81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			794
			12
			310
			320
			151
			37
			1,202
			3
			4
			-301
			-49
			47
			-11
			452
			52
			17
			127
			233
			73
			841
			55
			481
			15
			261
			409
			8
			217
			713
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			48
			6
			3
			-9
			14
			7
			-87
			211
			5
			17
			592
			38
			-39
			762
			137
			22
			281
			-1
			9
			95
			43
			270
			18
			-27
			258
			412
			-67
			-10
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			2
			138
			88
			71
			85
			21
			3,276
			167
			178
			-319
			-6
			48
			-9
			265
			204
			766
			339
			471
			7
			117
			50
			149
			13
			17
			138
			657
			-49
			915
			729

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			95
			2,455
			3
			-21
			79
			8
			33
			-36
			99
			-33
			35
			24
			159
			-45
			-125
			269
			39
			-85
			-1
			10
			13
			-17
			108
			70
			-2
			107
			57
			75
			706

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			348
			14
			187
			-44
			12
			5
			329
			487
			4
			23
			-12
			617
			-16
			112
			162
			23
			783
			-47
			741
			53
			13
			100
			270
			610
			164
			21
			20
			1,170
			-50

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			239
			1
			-12
			-61
			190
			1
			48
			29
			36
			6
			222
			53
			104
			105
			34
			45
			-518
			-466
			53
			93
			5
			9
			-14
			105
			-120
			-1,270
			79
			1,945

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			181
			37
			322
			-117
			17
			75
			14
			134
			67
			360
			119
			29
			-5
			51
			7
			172
			2,246
			250
			-250
			446
			85
			10
			1,963
			369
			-63
			-9
			-347
			33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			158
			195
			1,884
			-19
			8
			-36
			6
			79
			7
			10
			31
			11
			-62
			60
			22
			6
			32
			-131
			57
			29
			-11
			67
			-47
			64
			-42
			50
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			53
			1,874
			57
			-79
			98
			8
			19
			7
			36
			771
			42
			49
			26
			1,566
			38
			1
			4
			126
			-325
			56
			39
			-7
			90
			367
			376
			-4
			27
			26
			-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			49
			245
			27
			64
			29
			125
			5
			172
			-17
			-300
			7
			54
			-242
			71
			9
			6
			90
			5
			53
			645
			79
			-4
			-14
			-11
			292
			-582
			77
			-171

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			49
			277
			-370
			-106
			38
			708
			208
			65
			-3
			87
			46
			24
			11
			1,142
			1,316
			1
			472
			140
			-67
			-186
			18
			20
			88
			361
			14
			670
			80
			18
			54

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			6
			148

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ALLEN I GROSS 1345 EAST 27TH ST BROOKLYN, NY 11210	PRESIDENT 5 00	0	0	0
EDITH GROSS 1345 EAST 27TH ST BROOKLYN, NY 11210	TRUSTEE 5 00	0	0	0
BRIAN GROSS 2822 AVE L BROOKLYN, NY 11210	TRUSTEE 5 00	0	0	0
JONATHAN GROSS 1224 EAST 24TH ST BROOKLYN, NY 11210	TRUSTEE 5 00	0	0	0
CAROLYN WEISER 1609 EAST 29TH ST BROOKLYN, NY 11210	TRUSTEE 5 00	0	0	0
ZAHAVA HURWITZ 975 EAST 19TH ST BROOKLYN, NY 11230	TRUSTEE 5 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HASC 5902 14TH AVENUE BROOKLYN, NY 11219			CHARITY	24,000
AGUDATH ISRAEL BAIS BINYOMIN 2913-15 AVENUE L BROOKLYN, NY 11210			CHARITY	30,000
BAIS YAAKOV D'RAV MEIR 85 PARKVILLE AVENUE BROOKLYN, NY 11230			CHARITY	34,000
YESHIVA TIFERES YISROEL 1271 EAST 35TH STREET BROOKLYN, NY 11210			CHARITY	26,000
ADAS YEREIM 185 WILSON STREET BROOKLYN, NY 11211			CHARITY	3,600
AGUDATH ISRAEL BAIS BINYOMIN 2913-15 AVENUE L BROOKLYN, NY 11210			CHARITY	23,000
AGUDATH ISRAEL OF AMERICA 42 BROADWAY NEW YORK, NY 10004			CHARITY	1,162
AGUDATH YISROEL 42 BROADWAY NEW YORK, NY 10004			CHARITY	6,000
AGUDIST BENEVOLENT SOCIETY 1769 46TH STREET BROOKLYN, NY 11204			CHARITY	200
AIBB CHESED FUND BROOKLYN BROOKLYN, NY 11218			CHARITY	1,000
AIPAC BROOKLYN BROOKLYN, NY 11218			CHARITY	1,500
AISH HATORAH 408 SOUTH LAKE DRIVE LAKEWOOD, NJ 08701			CHARITY	5,180
ALIYAS SHLOMO BROOKLYN BROOKLYN, NY 11218			CHARITY	100
AMERICAN FRIENDS OF EMUNAH V'CHESED BROOKLYN BROOKLYN, NY 11218			CHARITY	1,800
AMERICAN FRIENDS BROOKLYN BROOKLYN, NY 11218			CHARITY	500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ANSHE MA'AMAD FUND BROOKLYN BROOKLYN,NY 11218			CHARITY	50
AVIGDOR'S HELPING HAND 138-45 78TH DRIVE FLUSHING,NY 11367			CHARITY	250
BAIS CHANA BROOKLYN BROOKLYN,NY 11218			CHARITY	100
BAIS MEDRESH ELYON 70 MAIN STREET MONSEY,NY 10952			CHARITY	200
BAIS SHMUEL BROOKLYN BROOKLYN,NY 11218			CHARITY	180
BAIS YAAKOV D'CHASIDAS GUR 1975 51ST STREET BROOKLYN,NY 11204			CHARITY	300
BAIS YAAKOV D'RAV MEIR 85 PARKVILLE AVENUE BROOKLYN,NY 11230			CHARITY	22,000
BAYIT HAFILAH BROOKLYN BROOKLYN,NY 11218			CHARITY	100
BAYIT LEPLETOT 1362 EAST 21ST ST BROOKLYN,NY 11210			CHARITY	100
BAYSWATER NEIGHBORS FUND BROOKLYN BROOKLYN,NY 11218			CHARITY	360
BE'ER HAGOLAH 671 LOUISIANA AVENUE BROOKLYN,NY 11239			CHARITY	500
BENSALEM OUTREACH CENTER 2446 BRISTOL RD BENSALEM,PA 19020			CHARITY	100
BETH MEDRASH LTORAH V'HOROAH 606 EAST 2ND STREET BROOKLYN,NY 11218			CHARITY	360
BETH OLOTH 704 EAST 3RD STREET BROOKLYN,NY 11218			CHARITY	100
BIKUR CHOLIM 5612 11TH AVENUE BROOKLYN,NY 11219			CHARITY	1,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BIKUR CHOLIM D'ARUGAS HABOSEM BROOKLYN BROOKLYN, NY 11218			CHARITY	50
BIKUR CHOLIM NEFESH CHAYA 3018 BEDFORD AVENUE BROOKLYN, NY 11210			CHARITY	100
BINA BROOKLYN BROOKLYN, NY 11218			CHARITY	180
BMG 617 6TH STREET LAKEWOOD, NJ 08701			CHARITY	1,200
BNEI DOVID BROOKLYN BROOKLYN, NY 11218			CHARITY	50
BNEI YAAKOV BROOKLYN BROOKLYN, NY 11218			CHARITY	72
BOBBIE'S PLACE 1401 LOCUST AVENUE BROOKLYN, NY 11230			CHARITY	50
BONEI OLAM 1755 46TH STREET BROOKLYN, NY 11204			CHARITY	1,500
BROOKLYN JEWISH EXPERIENCE 998 EAST 21ST STREET BROOKLYN, NY 11210			CHARITY	100
CENTRAL YESHIVA BETH YOSEF 1429 49TH STREET BROOKLYN, NY 11219			CHARITY	100
CHAI LIFE LINE 151 WEST 30TH STREET NEW YORK, NY 10001			CHARITY	2,210
CHAIM MEIR CAMP FUND 132 NASSAU ST NEW YORK, NY 10038			CHARITY	180
CHESED 247 286 NORTH MAIN STREET SUI SPRING VALLEY, NY 10977			CHARITY	200
CHILD LIFE SOCIETY 1347 43RD STREET BROOKLYN, NY 11218			CHARITY	360
CHOFETZ CHAIM HERITAGE FUND 361 SPOOK ROCK ROAD SUFFERN, NY 10901			CHARITY	50

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHUSH 430 KENT AVENUE BROOKLYN,NY 11211			CHARITY	100
COJO 1523 AVENUE M BROOKLYN,NY 11230			CHARITY	150
CONG SHAARE SHOMOYIM BROOKLYN BROOKLYN,NY 11218			CHARITY	250
CONGREGATION BAIS TOREH 89 W CARLTON RD SUFFERN,NY 10901			CHARITY	500
CONGREGATION BNAI YAAKOV BROOKLYN BROOKLYN,NY 11218			CHARITY	36
CONGREGATION BNEI DA BROOKLYN BROOKLYN,NY 11218			CHARITY	50
CONGREGATION GRAND PARK BARUCH BROOKLYN BROOKLYN,NY 11218			CHARITY	1,000
CONGREGATION YECHEZKEL SHRAGA BROOKLYN BROOKLYN,NY 11218			CHARITY	125
DARKEI ISH BROOKLYN BROOKLYN,NY 11218			CHARITY	50
DERECH HATORA - KIRYAT BROOKLYN BROOKLYN,NY 11218			CHARITY	180
ECHO WOMEN 295 WEST RTE 59 MONSEY,NY 10952			CHARITY	180
EDUCATIONAL INSTITUTE OHOLEI TORAH BROOKLYN BROOKLYN,NY 11218			CHARITY	360
EMUNAS YISROEL 4310 16TH AVENUE BROOKLYN,NY 11204			CHARITY	100
ESROG CHALLAP BROOKLYN BROOKLYN,NY 11218			CHARITY	774
EZER L'SHABBOS 5014 16TH AVENUE BROOKLYN,NY 11204			CHARITY	500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FRIENDS OF IR DAVID BROOKLYN BROOKLYN, NY 11218			CHARITY	180
FRIENDS OF UNITED HATZALAH 208 EAST 51ST STREET NEW YORK, NY 10022			CHARITY	100
FRIENDS OF YAD SARAH 450 PARK AVENUE NEW YORK, NY 10022			CHARITY	360
GEMILAS CHESED BROOKLYN BROOKLYN, NY 11218			CHARITY	10,000
GUARDIAN OF THE SICK 5216 11TH AVENUE BROOKLYN, NY 11219			CHARITY	180
HAAZINU 1164 49TH STREET BROOKLYN, NY 11219			CHARITY	180
HASC 5902 14TH AVENUE BROOKLYN, NY 11219			CHARITY	42,000
HASC SUMMER PROGRAM 5902 14TH AVENUE BROOKLYN, NY 11219			CHARITY	200
HOROWITZ FUND BROOKLYN BROOKLYN, NY 11218			CHARITY	1,000
ICHUD 3908 16TH AVENUE BROOKLYN, NY 11218			CHARITY	1,036
JEP CAMP SCHOLORSHIP FUND 110 ROCKAWAY TURNPIKE LAWRENCE, NY 11559			CHARITY	180
JEP 110 ROCKAWAY TURNPIKE LAWRENCE, NY 11559			CHARITY	36
JEWISH CENTER FOR SPECIAL ED 1760 53RD STREET BROOKLYN, NY 11204			CHARITY	680
JEWISH EDUCATION PROGRAM 110 ROCKAWAY TURNPIKE LAWRENCE, NY 11559			CHARITY	100
KARLIN STOLIN BROOKLYN BROOKLYN, NY 11218			CHARITY	180

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KEHILLAS MORESHES YAAKOV BROOKLYN BROOKLYN, NY 11218			CHARITY	100
KEREN MISHPACHA BROOKLYN BROOKLYN, NY 11218			CHARITY	360
KEREN YAAKOV ZEV BROOKLYN BROOKLYN, NY 11218			CHARITY	150
KEREN YISROEL MORDECHAI BROOKLYN BROOKLYN, NY 11218			CHARITY	180
KEREN YITZCHOK ZVI BROOKLYN BROOKLYN, NY 11218			CHARITY	36
KEREN ZICHRON ROSH BROOKLYN BROOKLYN, NY 11218			CHARITY	500
KHAL SUSREGEN BROOKLYN BROOKLYN, NY 11218			CHARITY	100
KHAL ZICHRON ARYE BROOKLYN BROOKLYN, NY 11218			CHARITY	100
KIMPITORIN AID BROOKLYN BROOKLYN, NY 11218			CHARITY	410
KOLEL NACHLAS TZVI BROOKLYN BROOKLYN, NY 11218			CHARITY	180
KOLLEL AVREICHIM BROOKLYN BROOKLYN, NY 11218			CHARITY	360
KOLLEL BAIS HATORAH BROOKLYN BROOKLYN, NY 11218			CHARITY	100
KOLLEL BNEI TORAH BROOKLYN BROOKLYN, NY 11218			CHARITY	1,000
KOLLEL OHEL MOSHE BROOKLYN BROOKLYN, NY 11218			CHARITY	36
KOLLEL SHOMRE HACHOMOS BROOKLYN BROOKLYN, NY 11218			CHARITY	200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KOLLEL YAD CHAIM BROOKLYN BROOKLYN, NY 11218			CHARITY	100
KSAV SOFER BROOKLYN BROOKLYN, NY 11218			CHARITY	250
MASORES BAIS YAAKOV 1395 OCEAN AVENUE BROOKLYN, NY 11230			CHARITY	360
MATAH BSETA BAMBI 1667 54TH STREET BROOKLYN, NY 11204			CHARITY	360
MATNAS MICHOEL BROOKLYN BROOKLYN, NY 11218			CHARITY	180
MEMORIAL SLOAN K 1275 YORK AVENUE NEW YORK, NY 10065			CHARITY	100
MERKAZ COMMUNITY CENTER 1739 OCEAN AVENUE BROOKLYN, NY 11230			CHARITY	9,000
MERKAZ HATORAH 3495 RICHMOND ROAD STATEN ISLAND, NY 10309			CHARITY	100
MESIVTA TIFERES JERUSALEM 145 E BROADWAY NEW YORK, NY 10002			CHARITY	500
MIRRER YESHIVA 1791 OCEAN PARKWAY BROOKLYN, NY 11223			CHARITY	2,036
MUZIM V'TZEDEK BROOKLYN BROOKLYN, NY 11218			CHARITY	18
NER HAMIZRACH BROOKLYN BROOKLYN, NY 11218			CHARITY	180
N'SHAI AHAVAS CHESED BROOKLYN BROOKLYN, NY 11218			CHARITY	280
OHAV ZEDEK BELLE HARBOR BROOKLYN BROOKLYN, NY 11218			CHARITY	50
OHEL 4510 16TH AVENUE BROOKLYN, NY 11204			CHARITY	5,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OHEL AVRAHAMS JEWISH STUDENT INFO C BROOKLYN BROOKLYN, NY 11218			CHARITY	50
OHEL MOSHE BROOKLYN BROOKLYN, NY 11218			CHARITY	180
OHR NAAVA 2201 EAST 23RD STREET BROOKLYN, NY 11229			CHARITY	5,720
OLD BROADWAY SYNAGOGUE 15 OLD BROADWAY NEW YORK, NY 10027			CHARITY	430
PARTNERS IN TORAH BROOKLYN BROOKLYN, NY 11218			CHARITY	540
PEARL BLAU LEARNING CENTER 112 HADASSAH LANE LAKEWOOD, NJ 08701			CHARITY	180
P'EYLIM LEV L'ACHIM 1034 EAST 12TH STREET BROOKLYN, NY 11230			CHARITY	2,072
PRIORITY 1 PB BOX 486 CEDARHURST, NY 11516			CHARITY	180
PROJECT INSPIRE 1407 BROADWAY SUITE 1703A NEW YORK, NY 10018			CHARITY	25,000
PROJECT RANANA 1179 EAST 13TH STREET BROOKLYN, NY 11230			CHARITY	2,500
PROSPECT PARK YESHIVA 1784 EAST 17TH STREET BROOKLYN, NY 11229			CHARITY	20,800
P'TACH BROOKLYN BROOKLYN, NY 11218			CHARITY	1,100
RCCS 1555 56TH STREET BROOKLYN, NY 11219			CHARITY	1,000
RACHEL'S PLACE BROOKLYN BROOKLYN, NY 11218			CHARITY	500
RAJE 2915 OCEAN PARKWAY BROOKLYN, NY 11235			CHARITY	1,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
RAV CHESED BROOKLYN BROOKLYN, NY 11218			CHARITY	180
RIVKAH LAUFER BIKUR CHOLIM 1406 57TH STREET BROOKLYN, NY 11219			CHARITY	860
ROCKLAND EIRUV BROOKLYN BROOKLYN, NY 11218			CHARITY	180
SAMCHENU BROOKLYN BROOKLYN, NY 11218			CHARITY	1,800
SASOVER KOLLEL BROOKLYN BROOKLYN, NY 11218			CHARITY	180
SATMAR BIKUR CHOLOM 545 BEDFORD AVENUE BROOKLYN, NY 11211			CHARITY	610
SHALOM YITZCHOK TORAH INSTITUTE BROOKLYN BROOKLYN, NY 11218			CHARITY	360
SH'OR YOSHUV INSTITUTE 1 CEDAR LAWN AVENUE LAWRENCE, NY 11559			CHARITY	6,000
SHUL FIVE FUND BROOKLYN BROOKLYN, NY 11218			CHARITY	1,000
SINAI ACADEMY 2025 79TH STREET BROOKLYN, NY 11214			CHARITY	3,180
SKATE 24 TO FIND A CURE BROOKLYN BROOKLYN, NY 11218			CHARITY	100
SOCIETY FOR THE EDUCATION OF RUSSIA BROOKLYN BROOKLYN, NY 11218			CHARITY	180
TAI OF LAKEWOOD BROOKLYN BROOKLYN, NY 11218			CHARITY	200
TALMUD TORAH BROOKLYN BROOKLYN, NY 11218			CHARITY	36
TARYAG 2294 NOSTRAND AVENUE BROOKLYN, NY 11210			CHARITY	4,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TEHILLAT NAAVA SHLACH LECHMECHA BROOKLYN BROOKLYN,NY 11218			CHARITY	50
THE BOSTON MARATHON BROOKLYN BROOKLYN,NY 11218			CHARITY	500
THE CHEDER 129 ELMWOOD AVENUE BROOKLYN,NY 11230			CHARITY	100
THE DAVIS MEMORIAL FUND 275 TENNANT AVENUE 106 MORGAN HILL,CA 95037			CHARITY	36
THE HEBRON FUND 1760 OCEAN AVENUE BROOKLYN,NY 11230			CHARITY	280
TIFERES BEVODOH L'KALLAH BROOKLYN BROOKLYN,NY 11218			CHARITY	400
TORAH COMMUNITCATIONS BROOKLYN BROOKLYN,NY 11218			CHARITY	96
TORAH UMISORAH 620 FOSTER AVENUE BROOKLYN,NY 11230			CHARITY	1,460
TZEDAKAH V'CHESED BROOKLYN BROOKLYN,NY 11218			CHARITY	144
UTA BROOKLYN BROOKLYN,NY 11218			CHARITY	360
WHITE LAKE TORAH BROOKLYN BROOKLYN,NY 11218			CHARITY	100
YAD BATYAH L'KALLAH 1256 EAST 26TH STREET BROOKLYN,NY 11230			CHARITY	780
YAD ELIEZER 1102 EAST 26TH STREET BROOKLYN,NY 11210			CHARITY	230
YAD EPHRAIM 5017 10TH AVENUE BROOKLYN,NY 11219			CHARITY	200
YEREIM CHAPEL 93 BROADWAY BROOKLYN,NY 11249			CHARITY	180

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YESHIVA & MESIFTA ARUGAH HABOSEM 40 LYNCH ST BROOKLYN,NY 11206			CHARITY	36
YESHIVA & MESIFTA MKOR CHAIM 1571 55TH STREET BROOKLYN,NY 11219			CHARITY	380
YESHIVA & MESIFTA OF BROOKLYN 1200 OCEAN PARKWAY BROOKLYN,NY 11230			CHARITY	500
YESHIVA & MESIFTA TORAH EMES 1904 AVENUE N BROOKLYN,NY 11230			CHARITY	50
YESHIVA BAIS YOSEF 1502 AVENUE N BROOKLYN,NY 11230			CHARITY	350
YESHIVA CHASAN SOFER 1705 49TH STREET BROOKLYN,NY 11204			CHARITY	3,080
YESHIVA DERECH CHAIM 1573 39TH STREET BROOKLYN,NY 11218			CHARITY	50
YESHIVA EMEK HATORAH 12 SHARON CT LAKEWOOD,NJ 08701			CHARITY	125
YESHIVA GEDOLAH OF MONSEY 260 SADDLE RIVER ROAD MONSEY,NY 10952			CHARITY	360
YESHIVA GEDOLAH OF PASSAIC 35 ASCENSION ST PASSAIC,NJ 07055			CHARITY	200
YESHIVA IMREI YOSEF 5801 15TH AVENUE BROOKLYN,NY 11219			CHARITY	100
YESHIVA MORESHES YEHOSHUA 817 CENTRAL AVE LAKEWOOD,NJ 08701			CHARITY	500
YESHIVA OF KASHO 165 HAINES ROAD BEDFORD HILLS,NY 10507			CHARITY	500
YESHIVA OF STATEN ISLAND 1870 DRUMGOOLE ROAD EAST STATEN ISLAND,NY 10309			CHARITY	360
YESHIVA OHR REUVEN 259 GRANDVIEW AVENUE SUFFERN,NY 10901			CHARITY	500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YESHIVA OHR SHRAGA VERETZKY 1102 AVENUE L BROOKLYN,NY 11219			CHARITY	100
YESHIVA OHR YITZCHOK BROOKLYN BROOKLYN,NY 11218			CHARITY	180
YESHIVA PEER HATORAH 501 PROSPECT ST LAKEWOOD,NJ 08701			CHARITY	1,000
YESHIVA RABBI ISR HIRSCH BROOKLYN BROOKLYN,NY 11218			CHARITY	100
YESHIVA TIFERES YISROEL 1271 EAST 35TH STREET BROOKLYN,NY 11210			CHARITY	27,370
YESHIVA TORAH TEMIMAH 555 OCEAN PARKWAY BROOKLYN,NY 11218			CHARITY	180
YESHIVA TORAH VODAATH 425 EAST 9TH STREET BROOKLYN,NY 11218			CHARITY	10,600
YESHIVA ZEEV HATORAH BROOKLYN BROOKLYN,NY 11218			CHARITY	360
ZICHRON SHLOMO REFUAH FUND 1319 51ST STREET BROOKLYN,NY 11219			CHARITY	266
ZICHRON YAAKOV BROOKLYN BROOKLYN,NY 11218			CHARITY	180
VARIOUS RECOGNIZED CHARITIES BROOKLYN BROOKLYN,NY 11218			CHARITY	2,680
Total . . . . ▶ **3a**				409,228

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
b *Approved for future payment*				
TOMCHAI SHABBOS6225 NEW UTRECHT AVENUE BROOKLYN,NY 11219			CHARITY	1,000
SINAI ACADEMY2025 79TH STREET BROOKLYN,NY 11214			CHARITY	1,000
PROSPECT PARK YESHIVA1784 EAST 17TH STREET BROOKLYN,NY 11229			CHARITY	1,000
KLEINMAN FAMILY HOLOCAUST EDUCATION5923 STRICKLAND AVENUE BROOKLYN,NY 11234			CHARITY	1,000
MIRRER YESHIVA1791 OCEAN PARKWAY BROOKLYN,NY 11223			CHARITY	2,500
PROJECT INSPIRE1407 BROADWAY SUITE 1703A NEW YORK,NY 10018			CHARITY	9,500
YESHIVA TORAH VODAATH425 EAST 9TH STREET BROOKLYN,NY 11218			CHARITY	18,000
PROSPECT PARK YESHIVA1784 EAST 17TH STREET BROOKLYN,NY 11229			CHARITY	18,500
AGUDATH ISRAEL BAIS BINYOMIN 2913-15 AVENUE L BROOKLYN,NY 11210			CHARITY	20,000
YESHIVA CHOFETZ CHAIM1271 EAST 35TH STREET BROOKLYN,NY 11210			CHARITY	27,500
CHASDEI LEVPOB 297257 BROOKLYN,NY 11229			CHARITY	32,000
BETH JACOB DAY SCHOOL85 PARKVILLE AVENUE BROOKLYN,NY 11230			CHARITY	32,000
PROJECT INSPIRE1407 BROADWAY SUITE 1703A NEW YORK,NY 10018			CHARITY	50,000
Total . . . . ▶ **3b**				214,000

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TY 2012 Accounting Fees Schedule

Name: ALLEN I GROSS CHARITABLE FOUNDATION

EIN: 11-2906887

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROTH & COMPANY LLP	17,591	14,073		3,518

TY 2012 Compensation Explanation

Name: ALLEN I GROSS CHARITABLE FOUNDATION

EIN: 11-2906887

Person Name	Explanation
ALLEN I GROSS	
EDITH GROSS	
BRIAN GROSS	
JONATHAN GROSS	
CAROLYN WEISER	
ZAHAVA HURWITZ	

TY 2012 General Explanation Attachment

Name: ALLEN I GROSS CHARITABLE FOUNDATION

EIN: 11-2906887

Identifier	Return Reference	Explanation
GENERAL ELECTIONS		YEAR ENDING NOVEMBER 30, 2013 11-2906887 ALLEN I GROSS CHARITABLE FOUNDATION 50 BROADWAY 3RD FL NEW YORK, NY 10004 NOL CARRYBACK ELECTION UNDER IRC SECTION 172(B)(3), THE TAXPAYER ELECTS TO RELINQUISH THE ENTIRE CARRYBACK PERIOD WITH RESPECT TO ANY REGULAR TAX AND AMT NET OPERATING LOSS INCURRED DURING THE CURRENT TAX YEAR

TY 2012 Investments Corporate Stock Schedule

Name: ALLEN I GROSS CHARITABLE FOUNDATION

EIN: 11-2906887

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INVESTMENT IN NOTE	23,021	23,021
BROKERAGE ACCOUNTS	10,979,129	10,979,129

TY 2012 Other Assets Schedule

Name: ALLEN I GROSS CHARITABLE FOUNDATION

EIN: 11-2906887

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
INVESTMENTS	418,045	318,973	318,973
LOANS RECEIVEABLE	1,654,105	1,654,105	1,654,105

TY 2012 Other Decreases Schedule

Name: ALLEN I GROSS CHARITABLE FOUNDATION

EIN: 11-2906887

Description	Amount
UNREALIZED LOSS ON SECURITIES	108,872

TY 2012 Other Expenses Schedule

Name: ALLEN I GROSS CHARITABLE FOUNDATION

EIN: 11-2906887

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
OFFICE EXPENSE	60	60		

TY 2012 Other Income Schedule

Name: ALLEN I GROSS CHARITABLE FOUNDATION

EIN: 11-2906887

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FLOWTHRU INC - PARTNERSHIP	-27,000		-27,000

TY 2012 Other Professional Fees Schedule

Name: ALLEN I GROSS CHARITABLE FOUNDATION

EIN: 11-2906887

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT SERVICE CHARGES	29,051	29,051		

TY 2012 Taxes Schedule

Name: ALLEN I GROSS CHARITABLE FOUNDATION

EIN: 11-2906887

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE TAXES				
FEDERAL TAXES	17,000	17,000		

TY 2012 GeneralDependencySmall

Name: ALLEN I GROSS CHARITABLE FOUNDATION

EIN: 11-2906887

Business Name or Person Name:

Taxpayer Identification Number:

Form, Line or Instruction Reference:

Regulations Reference:

Description: WAIVE NOL CARRYBACK

Attachment Information: YEAR ENDING: NOVEMBER 30, 2013 11-2906887 ALLEN I GROSS CHARITABLE FOUNDATION 50 BROADWAY 3RD FL NEW YORK, NY 10004 NOL CARRYBACK ELECTION UNDER IRC SECTION 172(B)(3), THE TAXPAYER ELECTS TO RELINQUISH THE ENTIRE CARRYBACK PERIOD WITH RESPECT TO ANY REGULAR TAX AND AMT NET OPERATING LOSS INCURRED DURING THE CURRENT TAX YEAR.

HSBC
HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage Account Statement

Account Number: HMP-010436
Statement Period: 03/01/2013 - 03/31/2013

ALLEN I GROSS CHARITABLE
FOUNDATION
50 BROADWAY
NEW YORK NY 10004-3856

Your Financial Advisor Is:
STEPHEN FARINACCI/SETH HART
(212) 260-4235

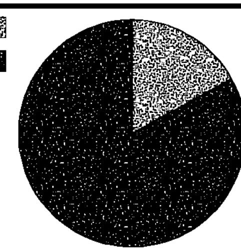
Valuation at a Glance

	h	d
Beginning Account Value	**$256,873.53**	**$249,466.84**
Cash Withdrawals	-4,179 18	-4,179 33
Dividends/Interest	433 91	996 31
Fees	19 92	-846 72
Change in Account Value*	-251,658 49	-243,947 41
Ending Account Value	**$1,489.69**	**$1,489.69**
Estimated Annual Income	$21 95	

* Change in Account Value includes the value of free receives/delivers

Asset Allocation

	h	d	ll
Cash, Money Funds, and Bank Deposits	248 80	17%	
Equities	1,240 89	83%	
Account Total (Pie Chart)	**$1,489.69**	**100%**	



Asset Allocation percentages are rounded to the nearest whole percentage

Pie Chart allocation only includes products that are of positive value

Summary of Gains and Losses

	h d	l d	l d
Short-Term Gain/Loss	40 64	-147 48	-17 36
Long-Term Gain/Loss	429 46	2,366 56	125 48
Net Gain/Loss	**470.10**	**2,219.08**	**108.12**

This summary excludes transactions where cost basis information is not available

Client Service Information

Your Financial Advisor Is: T53	Contact Information	Client Service Information
STEPHEN FARINACCI/SETH HART 769 BROADWAY NEW YORK NY 10003-	**Telephone Number:** (212) 260-4235	**Client Service Telephone Number:** (800) 662-3343
Investment Objective: GROWTH **Risk Exposure:** MODERATE RISK		If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Advisor

Default Tax Lot Disposition Method for Mutual Funds: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Electronic Delivery
You have not selected any account communications for electronic delivery To register and turn off paper communications, log in to your account or contact your Financial Advisor for more information

Portfolio Holdings

Q		b	d	l	l l	d	h	ld	ld
Cash, Money Funds, and Bank Deposits 17.00% of Portfolio									
Cash Balance				0 04	248 80				
Money Market									
HSBC US GOVT MNY MKT CL Y	03/01/13	0000002220	03/25/13	3,200 78	0 00	0 00	0 11	0 01%	0 01%
Total Money Market				**$3,200.78**	**$0.00**	**$0.00**	**$0.11**		
Total Cash, Money Funds, and Bank Deposits				**$3,200.82**	**$248.80**	**$0.00**	**$0.11**		

Portfolio Holdings *(continued)*

Q				k	k l	l d /		d l	d ld
Equities 83.00% of Portfolio									
Common Stocks									
STATE STR CORP COM			Security Identifier: STT						
CUSIP 857477103									
Dividend Option Cash									
3 000	10/04/11	30 7600	92 28	59 0900	177 27	84 99		3 12	1 76%
2 000	01/26/12	38 8450	77 69	59 0900	118 18	40 49		2 08	1 76%
16 000	03/20/13	60 1750	962 80	59 0900	945 44	-17 36		16 64	1 76%
21.000	**Total Covered**		**1,132.77**		**1,240.89**	**108.12**		**21.84**	
21.000	**Total**		**$1,132.77**		**$1,240.89**	**$108.12**		**$21.84**	
Total Common Stocks			**$1,132.77**		**$1,240.89**	**$108.12**		**$21.84**	
Total Equities			**$1,132.77**		**$1,240.89**	**$108.12**		**$21.84**	

		k l	l d /	d	d l
Total Portfolio Holdings	**$1,381.57**	**$1,489.69**	**$108.12**	**$0.00**	**$21.95**

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P L 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such All other securities in this section are securities which are "covered" under the new cost basis reporting rules Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed

Reporting requirements generally will be phased in over a three-year period, as follows

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period The market prices have been obtained from quotation services, which we believe to be reliable Securities for which a price is not available are marked "N/A" and are omitted from the Total

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only These figures are not considered to be a forecast or guarantee of future results

Portfolio Holdings *(continued)*

These figures are computed using information from providers believed to be reliable, however, no assurance can be made as to the accuracy Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions These figures assume that the position quantities, interest and dividend rates, and prices remain constant A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them Refer to www pershing com/business_continuity html for specific details as to formulas used to calculate the figures Accrued interest represents interest earned but not yet received

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested You will not receive confirmation of these reinvestments Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you A summary of this information is available to you promptly upon your written request directed to your introducing firm In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation Additional information regarding this procedure is available upon written request to your introducing firm

Pershing may execute foreign currency transactions as principal for your account Pershing may automatically convert foreign currency to or from U S dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law Your financial organization may also increase the currency conversion rate This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit Transactions converted by agents (such as depositories) will be billed at the rates such agents use

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement The right to vote your shares held on margin may be reduced by the amount of shares on loan The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account

Money Market Fund Detail

Other Money Market Funds

HSBC US GOVT MNY MKT CL Y

Account Number 0000002220 Current Yield 0 01% Activity Ending 03/25/13

03/01/13	Opening Balance		3,200 78	3,200 78
03/01/13	Deposit	MONEY FUND PURCHASE	0 04	3,200 82
03/04/13	Deposit	MONEY FUND PURCHASE	195 96	3,396 78
03/05/13	Deposit	MONEY FUND PURCHASE	227 97	3,624 75
03/06/13	Deposit	MONEY FUND PURCHASE	128 70	3,753 45
03/07/13	Deposit	MONEY FUND PURCHASE	34 16	3,787 61
03/08/13	Deposit	MONEY FUND PURCHASE	540 19	4,327 80
03/11/13	Deposit	MONEY FUND PURCHASE	255 34	4,583 14
03/12/13	Deposit	MONEY FUND PURCHASE	48 61	4,631 75
03/13/13	Deposit	MONEY FUND PURCHASE	37 62	4,669 37
03/14/13	Deposit	MONEY FUND PURCHASE	48 20	4,717 57
03/18/13	Deposit	MONEY FUND PURCHASE	99 83	4,817 40

Money Market Fund Detail *(continued)*

Other Money Market Funds *(continued)*

HSBC US GOVT MNY MKT CL Y *(continued)*

03/20/13	Deposit	MONEY FUND PURCHASE	4 90	4,822 30
03/21/13	Withdrawal	MONEY FUND REDEMPTION	-568 37	4,253 93
03/25/13	Deposit	MONEY FUND PURCHASE	66 82	4,320 75
03/26/13	Withdrawal	MONEY FUND REDEMPTION	-4,320 75	0 00
03/26/13	Withdrawal	SHARES REDEEMED	-0 03	-0 03
03/26/13	Deposit	ACCRUED DIVIDEND CREDIT	0 03	0 00
03/25/13	**Closing Balance**			**$0.00**
Total All Money Market Funds				**$0.00**

Activity Summary (All amounts shown are in base currency USD)

d	h	d
Securities		
Securities Bought	0 00	0 00
Securities Sold	2,782 78	16,630 85
Total Securities	**$2,782.78**	**$16,630.85**
Dividends and Interest	**$433.91**	**$996.31**
Fees	**$94.92**	**$94.92**
Taxes Withheld	**$0.00**	**$0.00**
Cash		
Withdrawals	0 00	0 00
Total Cash	**$0.00**	**$0.00**
Total Credits	**$3,311.61**	**$17,722.08**

b	h	d
Securities		
Securities Bought	-2,009 45	-23,790 05
Securities Sold	0 00	0 00
Total Securities	**-$2,009.45**	**-$23,790.05**
Dividends and Interest	**$0.00**	**$0.00**
Fees	**-$75.00**	**-$941.64**
Taxes Withheld	**-$0.23**	**-$0.38**
Cash		
Withdrawals	-4,178 95	-4,178 95
Total Cash	**-$4,178.95**	**-$4,178.95**
Total Debits	**-$6,263.63**	**-$28,911.02**

Transactions in Date Sequence

03/01/13		FOREIGN SECURITY DIVIDEND RECEIVED PRE	1 SHRS PARTNERRE LTD SHS ISIN# BMG6852T1053 RD 02/19 PD 03/01/13			0 64	USD
03/01/13		CASH DIVIDEND RECEIVED GAS	1 SHRS AGL RES INC COM RD 02/15 PD 03/01/13			0 47	USD
03/01/13		CASH DIVIDEND RECEIVED ALTR	1 SHRS ALTERA CORP RD 02/11 PD 03/01/13			0 10	USD
03/01/13		CASH DIVIDEND RECEIVED EQT	2 SHRS EQT CORPORATION COM RD 02/15 PD 03/01/13			0 06	USD
03/01/13		CASH DIVIDEND RECEIVED ETR	2 SHRS ENTERGY CORP NEW COM RD 02/14 PD 03/01/13			1 66	USD
03/01/13		CASH DIVIDEND RECEIVED GWW	3 SHRS GRAINGER WW INC RD 02/11 PD 03/01/13			2 40	USD
03/01/13		CASH DIVIDEND RECEIVED KR	3 SHRS KROGER CO COM RD 02/15 PD 03/01/13			0 45	USD
03/01/13		CASH DIVIDEND RECEIVED PTTAX	PIMCO TOTAL RETURN CLASS A FOR ACCRUAL PERIOD ENDING 02/28/13			179 68	USD
03/01/13		CASH DIVIDEND RECEIVED WFC	42 SHRS WELLS FARGO & CO NEW COM RD 02/01 PD 03/01/13			10 50	USD
03/04/13	02/27/13	SOLD AA	ALCOA INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-6 000	8 5440	51 25	USD
03/04/13	02/27/13	PURCHASED ALTR	ALTERA CORP SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	2 000	35 9365	-71 87	USD
03/04/13	02/27/13	PURCHASED ADI	ANALOG DEVICES INC COM SOLICITED ORDER Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	1 000	45 4352	-45 44	USD
03/04/13	02/27/13	SOLD GNTX	GENTEX CORP COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1 000	18 9470	18 94	USD
03/04/13	02/27/13	SOLD M	MACYS INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-2 000	40 6640	81 32	USD
03/04/13	02/27/13	PURCHASED MMC	MARSH & MCLENNAN COS INC COM SOLICITED ORDER Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	3 000	36 5967	-109 79	USD
03/04/13	02/27/13	SOLD NSC	NORFOLK SOUTHERN CORP SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1 000	72 9600	72 95	USD

Transactions in Date Sequence *(continued)*

03/04/13	02/27/13	PURCHASED RGA	REINSURANCE GROUP AMER INC COM NEW SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	1 000	57 8200		-57 82	USD
03/04/13	02/27/13	SOLD STJ	ST JUDE MED INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-2 000	40 9740		81 94	USD
03/04/13	02/27/13	SOLD SMTC	SEMTECH CORP SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1 000	30 7970		30 79	USD
03/04/13	02/27/13	SOLD STI	SUNTRUST BKS INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1 000	27 6500		27 64	USD
03/04/13	02/27/13	PURCHASED SNPS	SYNOPSYS INC SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	1 000	35 2330		-35 23	USD
03/04/13	02/27/13	SOLD AMTD	TD AMERITRADE HLDG CORP COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-5 000	19 1670		95 83	USD
03/04/13	02/27/13	SOLD VIAB	VIACOM INC NEW CL B SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-2 000	58 1470		116 28	USD
03/04/13	02/27/13	PURCHASED WPX	WPX ENERGY INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	2 000	14 5599		-29 12	USD
03/04/13		CASH DIVIDEND RECEIVED COL	1 SHRS ROCKWELL COLLINS INC DEL COM STK RD 02/11 PD 03/04/13				0 30	USD
03/05/13	02/28/13	SOLD RWT	REDWOOD TR INC COM SOLICITED ORDER Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-5 000	20 3230		101 61	USD
03/05/13		CASH DIVIDEND RECEIVED PFE	95 SHRS PFIZER INC COM RD 02/01 PD 03/05/13				22 80	USD
03/05/13		CASH DIVIDEND RECEIVED V	13 SHRS VISA INC COM CL A RD 02/15 PD 03/05/13				4 29	USD

03/06/13	03/01/13	SOLD GNTX	GENTEX CORP COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1 000	18 5360		18 53	USD
03/06/13	03/01/13	PURCHASED JWN	NORDSTROM INC SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	1 000	54 0600		-54 06	USD
03/06/13	03/01/13	SOLD WAFD	WASHINGTON FED INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-4 000	17 4260		69 69	USD
03/07/13	03/04/13	SOLD WMB	WILLIAMS COS INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-16 000	33 5740		537 16	USD
03/07/13		CASH DIVIDEND RECEIVED AMGN	4 SHRS AMGEN INC COM RD 02/13 PD 03/07/13				1 88	USD
03/07/13		CASH DIVIDEND RECEIVED QEP	4 SHRS QEP RES INC COM RD 02/25 PD 03/07/13				0 08	USD
03/07/13		FOREIGN SECURITY DIVIDEND RECEIVED TEVA	4 SHRS TEVA PHARMACEUTICAL INDUSTRIES LTD ADR ISIN# US8816242098 RD 02/21 PD 03/07/13				1 26	USD
03/07/13		FOREIGN TAX WITHHELD AT THE SOURCE TEVA	4 SHRS TEVA PHARMACEUTICAL INDUSTRIES LTD ADR ISIN# US8816242098 RD 02/21 PD 03/07/13				-0 19	USD
03/08/13	03/05/13	SOLD RE	EVEREST REINSURANCE GROUP LTD SHS ISIN# BMG3223R1088 SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-2 000	125 7500		251 49	USD
03/08/13		FOREIGN SECURITY DIVIDEND RECEIVED IVZ	7 SHRS INVESCO LTD ORD SHS ISIN# BMG491BT11088 RD 02/21 PD 03/08/13				1 21	USD
03/08/13		CASH DIVIDEND RECEIVED DBD	2 SHRS DIEBOLD INC COM RD 02/22 PD 03/08/13				0 58	USD
03/08/13		CASH DIVIDEND RECEIVED EXC	3 SHRS EXELON CORP COM RD 02/19 PD 03/08/13				1 58	USD
03/08/13		CASH DIVIDEND RECEIVED RGA	2 SHRS REINSURANCE GROUP AMER INC COM NEW RD 02/15 PD 03/08/13				0 48	USD
03/11/13	03/06/13	PURCHASED CMA	COMERICA INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	1 000	35 0900		-35 09	USD
03/11/13		CASH DIVIDEND RECEIVED CVX	11 SHRS CHEVRON CORP NEW COM RD 02/15 PD 03/11/13				9 90	USD
03/11/13		CASH DIVIDEND RECEIVED XOM	20 SHRS EXXON MOBIL CORP COM RD 02/11 PD 03/11/13				11 40	USD

Transactions in Date Sequence *(continued)*

03/11/13		CASH DIVIDEND RECEIVED HON	15 SHRS HONEYWELL INTL INC COM ISIN# US4385161066 RD 02/25 PD 03/11/13				6 15	USD
03/11/13		CASH DIVIDEND RECEIVED IBM	15 SHRS INTERNATIONAL BUSINESS MACHS CORP COM RD 02/08 PD 03/09/13				12 75	USD
03/11/13		CASH DIVIDEND RECEIVED LO	33 SHRS LORILLARD INC COM RD 03/01 PD 03/11/13				18 15	USD
03/11/13		CASH DIVIDEND RECEIVED MCO	21 SHRS MOODYS CORP COM RD 02/20 PD 03/11/13				4 20	USD
03/11/13		CASH DIVIDEND RECEIVED NSC	4 SHRS NORFOLK SOUTHERN CORP RD 02/01 PD 03/11/13				2 00	USD
03/11/13		CASH DIVIDEND RECEIVED TGT	19 SHRS TARGET CORP COM RD 02/20 PD 03/10/13				6 84	USD
03/11/13		CASH DIVIDEND RECEIVED UTX	23 SHRS UNITED TECHNOLOGIES CORP COM RD 02/15 PD 03/10/13				12 31	USD
03/12/13		CASH DIVIDEND RECEIVED ADI	2 SHRS ANALOG DEVICES INC COM RD 03/01 PD 03/12/13				0 68	USD
03/12/13		CASH DIVIDEND RECEIVED BWC	2 SHRS BABCOCK & WILCOX CO NEW COM RD 02/19 PD 03/12/13				0 16	USD
03/12/13		CASH DIVIDEND RECEIVED JNJ	32 SHRS JOHNSON & JOHNSON COM RD 02/26 PD 03/12/13				19 52	USD
03/12/13		CASH DIVIDEND RECEIVED PPG	8 SHRS PPG INDUSTRIES INC RD 02/22 PD 03/12/13				4 72	USD
03/12/13		CASH DIVIDEND RECEIVED MMM	9 SHRS 3M CO COM RD 02/15 PD 03/12/13				5 72	USD
03/12/13		CASH DIVIDEND RECEIVED UPS	11 SHRS UNITED PARCEL SVC INC CL B RD 02/25 PD 03/12/13				6 82	USD
03/13/13	03/08/13	SOLD FNFG	FIRST NIAGARA FINL GROUP INC NEW COM SOLICITED ORDER Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-6 000	8 3453		50 06	USD
03/13/13	03/08/13	SOLD MDC	M D C HLDGS INC FORMERLY M D C CORP COLO TO 6/20/85 COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1 000	39 5800		39 57	USD

03/13/13	03/08/13	PURCHASED PLCM	POLYCOM INC COM SOLICITED ORDER Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	7 000	10 0645		-70 45	USD
03/13/13	03/08/13	SOLD SMTC	SEMTECH CORP SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1 000	33 2355		33 23	USD
03/13/13	03/08/13	PURCHASED STI	SUNTRUST BKS INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	1 000	29 3555		-29 36	USD
03/13/13	03/08/13	SOLD WAFD	WASHINGTON FED INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1 000	17 6955		17 69	USD
03/13/13		CASH DIVIDEND RECEIVED AMAT	13 SHRS APPLIED MATERIALS INC RD 02/20 PD 03/13/13				1 17	USD
03/13/13		CASH DIVIDEND RECEIVED MET	34 SHRS METLIFE INC COM RD 02/06 PD 03/13/13				6 29	USD
03/15/13	03/12/13	SOLD URS	URS CORP NEW COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1 000	44 9900		44 99	USD
03/15/13	03/12/13	SOLD URS	URS CORP NEW COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1 000	45 1155		45 11	USD
03/15/13		CASH DIVIDEND RECEIVED CSX	6 SHRS CSX CORPORATION RD 02/28 PD 03/15/13				0 84	USD
03/15/13		CASH DIVIDEND RECEIVED CFR	1 SHRS CULLEN FROST BANKERS RD 03/01 PD 03/15/13				0 48	USD
03/15/13		CASH DIVIDEND RECEIVED EL	11 SHRS ESTEE LAUDER COMPANIES INC CL A RD 02/28 PD 03/15/13				1 98	USD
03/15/13		CASH DIVIDEND RECEIVED MCD	5 SHRS MCDONALDS CORP RD 03/01 PD 03/15/13				3 85	USD
03/15/13		CASH DIVIDEND RECEIVED TAP	3 SHRS MOLSON COORS BREWING CO CL B NON VTG STK ISIN# US60871R2094 RD 03/04 PD 03/15/13				0 96	USD
03/15/13		CASH DIVIDEND RECEIVED RHI	2 SHRS ROBERT HALF INTL INC RD 02/25 PD 03/15/13				0 32	USD
03/15/13		CASH DIVIDEND RECEIVED STI	4 SHRS SUNTRUST BKS INC COM RD 03/01 PD 03/15/13				0 20	USD
03/15/13		CASH DIVIDEND RECEIVED TDW	1 SHRS TIDEWATER INC RD 03/05 PD 03/15/13				0 25	USD
03/15/13		CASH DIVIDEND RECEIVED WY	5 SHRS WEYERHAEUSER CO RD 03/01 PD 03/15/13				0 85	USD
03/19/13		CASH DIVIDEND RECEIVED SWK	10 SHRS STANLEY BLACK & DECKER INC COM RD 03/11 PD 03/19/13				4 90	USD

Transactions in Date Sequence *(continued)*

03/20/13		FOREIGN SECURITY DIVIDEND RECEIVED RE	3 SHRS EVEREST REINSURANCE GROUP LTD SHS ISIN# BMG3223R1088 RD 03/06 PD 03/20/13			1 44	USD
03/20/13		CASH DIVIDEND RECEIVED LEA	3 SHRS LEAR CORP COM NEW RD 03/01 PD 03/20/13			0 51	USD
03/20/13		CASH DIVIDEND RECEIVED NOC	8 SHRS NORTHROP GRUMMAN CORP COM RD 03/04 PD 03/20/13			4 40	USD
03/21/13	03/18/13	PURCHASED ORCL	ORACLE CORP COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	14 000	36 3160	-508 42	USD
03/21/13		CASH DIVIDEND RECEIVED AGN	6 SHRS ALLERGAN INC COM RD 02/28 PD 03/21/13			0 30	USD
03/21/13		CASH DIVIDEND RECEIVED CCE	18 SHRS COCA COLA ENTERPRISES INC NEW COM RD 03/08 PD 03/21/13			3 60	USD
03/21/13		CASH DIVIDEND RECEIVED PRU	12 SHRS PRUDENTIAL FINL INC COM RD 02/26 PD 03/21/13			4 80	USD
03/22/13	03/19/13	SOLD FNFG	FIRST NIAGARA FINL GROUP INC NEW COM SOLICITED ORDER Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-3 000	8 4753	25 42	USD
03/22/13	03/19/13	SOLD MDC	M D C HLDGS INC FORMERLY M D C CORP COLO TO 6/20/85 COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-1 000	38 7420	38 73	USD
03/22/13		CASH DIVIDEND RECEIVED CTL	4 SHRS CENTURYLINK INC COM RD 03/11 PD 03/22/13			2 16	USD
03/22/13		FOREIGN SECURITY DIVIDEND RECEIVED GG	5 SHRS GOLDCORP INC NEW COM ISIN# CA3809564097 RD 03/14 PD 03/22/13			0 25	USD
03/22/13		FOREIGN TAX WITHHELD AT THE SOURCE GG	5 SHRS GOLDCORP INC NEW COM ISIN# CA3809564097 RD 03/14 PD 03/22/13			-0 04	USD
03/22/13		CASH DIVIDEND RECEIVED JWN	1 SHRS NORDSTROM INC RD 03/11 PD 03/22/13			0 30	USD

03/25/13	03/20/13	SOLD BK	BANK OF NEW YORK MELLON CORP COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-33 000	28 2604	932 56	USD
03/25/13	03/20/13	PURCHASED STT	STATE STR CORP COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	16 000	60 1750	-962 80	USD
03/25/13		ASSET BASED FEE USD999997	CLOSING FEE			94 92	USD
03/25/13		CASH DIVIDEND RECEIVED BLK	6 SHRS BLACKROCK INC COM RD 03/07 PD 03/25/13			10 08	USD
03/25/13		CASH DIVIDEND RECEIVED WLP	1 SHRS WELLPOINT INC COM RD 03/08 PD 03/25/13			0 38	USD
03/26/13		YOUR ASSET TRANSFERRED ACN	ACCENTURE PLC IRELAND CLASS SHS ISIN# IE00B4BNMY34 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-24 000		0 00	USD
03/26/13		YOUR ASSET TRANSFERRED COV	COVIDIEN PLC SHS NEW ISIN# IE00B68SQD29 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-14 000		0 00	USD
03/26/13		YOUR ASSET TRANSFERRED DLPH	DELPHI AUTOMOTIVE PLC SHS ISIN# JE00B783TY65 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-30 000		0 00	USD
03/26/13		YOUR ASSET TRANSFERRED RE	EVEREST REINSURANCE GROUP LTD SHS ISIN# BMG3223R1088 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13		YOUR ASSET TRANSFERRED IVZ	INVESCO LTD ORD SHS ISIN# BMG491BT11088 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-7 000		0 00	USD
03/26/13		YOUR ASSET TRANSFERRED PRE	PARTNERRE LTD SHS ISIN# BMG6852T1053 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13		YOUR ASSET TRANSFERRED ACE	ACE LIMITED SHS ISIN# CH0044328745 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-15 000		0 00	USD
03/26/13		YOUR ASSET TRANSFERRED GRMN	GARMIN LTD SHS ISIN# CH0114405324 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000		0 00	USD
03/26/13		YOUR ASSET TRANSFERRED TYC	TYCO INTL LTD SHS ISIN# CH0100383485 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-35 000		0 00	USD
03/26/13		YOUR ASSET TRANSFERRED CHKP	CHECK POINT SOFTWARE TECHNOLOGIES LTD SHS ISIN# IL0010824113 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/26/13		YOUR ASSET TRANSFERRED NXPI	NXP SEMICONDUCTORS NV COM ISIN# NL0009538784 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-23 000		0 00	USD
03/26/13		OUTGOING ACCOUNT TRANSFER FEE USD999997	OUTGOING ACCOUNT TRANSFER FEE ACCT HMP-010436			-75 00	USD
03/26/13		YOUR ASSET TRANSFERRED USD999997	ACAT BALANCE 0221 UBS FINANCIAL SERVICES INC A/C KU156591B			-4,178 95	USD

HSBC

HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage Account Statement

Statement Period: 03/01/2013 - 03/31/2013

Transactions in Date Sequence *(continued)*

			Q	d		
03/26/13	YOUR ASSET TRANSFERRED GAS	AGL RES INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED T	AT&T INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-16 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ABT	ABBOTT LABS COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-14 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ARO	AEROPOSTALE COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-5 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED AET	AETNA INC NEW COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-5 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ARE	ALEXANDRIA REAL ESTATE EQUITIES INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED AGN	ALLERGAN INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-6 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ALL	ALLSTATE CORP COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ALTR	ALTERA CORP 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-3 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED AMZN	AMAZON COM INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-6 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ACC	AMERICAN CAMPUS CMNTYS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED AMT	AMERICAN TOWER REIT COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED AMP	AMERIPRISE FINL INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED AMGN	AMGEN INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-4 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED APC	ANADARKO PETE CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ADI	ANALOG DEVICES INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000		0 00	USD

Transactions in Date Sequence *(continued)*

03/26/13	YOUR ASSET TRANSFERRED AAPL	APPLE INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-5 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED AMAT	APPLIED MATERIALS INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-13 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED AVB	AVALONBAY CMNTYS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED BRE	BRE PPTYS INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-1 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED BWC	BABCOCK & WILCOX CO NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED BIDU	BAIDU COM INC SPONS ADR REPSTG ORD SHS CL A 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-5 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED BIIB	BIOGEN IDEC INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-8 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED BLK	BLACKROCK INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-6 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED BWA	BORGWARNER INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-8 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CBS	CBS CORP CL B COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-16 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CSX	CSX CORPORATION 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-6 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CVS	CVS CAREMARK CORP 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-52 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED COG	CABOT OIL & GAS CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CELG	CELGENE CORP 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-12 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CTL	CENTURYLINK INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-4 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CERN	CERNER CORP 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-9 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CRL	CHARLES RIV LABORATORIES INTL INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CVX	CHEVRON CORP NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CSCO	CISCO SYSTEMS INC 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-7 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CCE	COCA COLA ENTERPRISES INC NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-18 000	0 00	USD

Transactions in Date Sequence *(continued)*

03/26/13	YOUR ASSET TRANSFERRED CMCSK	COMCAST CORP NEW CL A SPL 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-33 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CMA	COMERICA INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED COST	COSTCO WHOLESALE CORP NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-6 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CVD	COVANCE INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CFR	CULLEN FROST BANKERS 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DHI	D R HORTON INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DHR	DANAHER CORP COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-45 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DECK	DECKERS OUTDOOR CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DE	DEERE & CO 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DAL	DELTA AIRLINES INC COM NEW 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-18 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DVN	DEVON ENERGY CORP NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DEO	DIAGEO PLC SPONSORED ADR NEW ISIN# US25243Q2057 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-8 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DRH	DIAMONDROCK HOSPITALITY CO COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-7 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DBD	DIEBOLD INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DIS	DISNEY WALT CO DISNEY COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-27 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DFS	DISCOVER FINL SVCS COM INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-6 000		0 00	USD

Transactions in Date Sequence *(continued)*

			Q	d		
03/26/13	YOUR ASSET TRANSFERRED DG	DOLLAR GEN CORP NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-16 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED EMC	EMC CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-22 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED EQT	EQT CORPORATION COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED EBAY	EBAY INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-18 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ECL	ECOLAB INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ETR	ENTERGY CORP NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ELS	EQUITY LIFESTYLE PPTYS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED EXC	EXELON CORP COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-3 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ESRX	EXPRESS SCRIPTS HLDG CO COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-17 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED XOM	EXXON MOBIL CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-20 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED FTI	FMC TECHNOLOGIES INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED FB	FACEBOOK INC CL A 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-33 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED FDX	FEDEX CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-4 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED FITB	FIFTH THIRD BANCORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-14 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED FNFG	FIRST NIAGARA FINL GROUP INC NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED FLR	FLUOR CORP NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED BEN	FRANKLIN RESOURCES INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-6 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED FCX	FREEPORT-MCMORAN COPPER & GOLD INC CL B 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-3 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED FULT	FULTON FINL CORP PA COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-6 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED GD	GENERAL DYNAMICS CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD

Transactions in Date Sequence *(continued)*

03/26/13	YOUR ASSET TRANSFERRED GIS	GENERAL MILLS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-34 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED GILD	GILEAD SCIENCES INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-22 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED GSK	GLAXOSMITHKLINE PLC SPONS ADR 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-12 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED GPN	GLOBAL PMTS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED GG	GOLDCORP INC NEW COM ISIN# CA3809564097 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-5 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED GS	GOLDMAN SACHS GROUP INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED GOOG	GOOGLE INC CL A 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED GWW	GRAINGER WW INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000	0 00	USD
03/26/13	MONEY MARKET FUND INCOME RECEIVED 403993488	HSBC US GOVT CL Y INCOME REDEEMED		0 03	USD
03/26/13	YOUR ASSET TRANSFERRED HCA	HCA HLDGS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-13 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED HAL	HALLIBURTON CO COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-7 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED HNT	HEALTH NET INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED HES	HESS CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED HRC	HIL ROM HLDGS COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED HD	HOME DEPOT INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-15 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED HON	HONEYWELL INTL INC COM ISIN# US4385161066 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-15 000	0 00	USD

Transactions in Date Sequence *(continued)*

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03/26/13	YOUR ASSET TRANSFERRED HST	HOST HOTELS & RESORTS INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-4 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED JBHT	HUNT J B TRANS SVCS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ICE	INTERCONTINENTAL EXCH ANGE INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED IBM	INTERNATIONAL BUSINESS MACHS CORP COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-15 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ISRG	INTUITIVE SURGICAL INC COM NEW 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED JPM	JP MORGAN CHASE & CO COM ISIN# US46625H1005 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-55 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED JEC	JACOBS ENGR GROUP INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-5 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED JNJ	JOHNSON & JOHNSON COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-32 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED JCI	JOHNSON CTLS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-28 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED JEMSX	JP MORGAN EMERGING 5 - FRAC.7490- 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-525 749		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED KBR	KBR INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-4 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED KSU	KANSAS CITY SOUTHN COM NEW 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED KDN	KAYDON CORP COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED KEX	KIRBY CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED KSS	KOHLS CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED KR	KROGER CO COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-3 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED LH	LABORATORY CORP AMER HLDGS COM NEW 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED LVS	LAS VEGAS SANDS CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED EL	ESTEE LAUDER COMPANIES INC CL A 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED LEA	LEAR CORP COM NEW 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-3 000		0 00	USD

Transactions in Date Sequence *(continued)*

03/26/13	YOUR ASSET TRANSFERRED LEN	LENNAR CORP CL A COM STK 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-13 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED LIFE	LIFE TECHNOLOGIES CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED LMT	LOCKHEED MARTIN CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-28 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED LO	LORILLARD INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-33 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED M	MACYS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MMC	MARSH & MCLENNAN COS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-4 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MA	MASTERCARD INC CL A COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MCD	MCDONALDS CORP 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-5 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MCK	MCKESSON CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MET	METLIFE INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-34 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MAA	MID-AMER APT CMNTYS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TAP	MOLSON COORS BREWING CO CL B NON VTG STK ISIN# US60871R2094 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MON	MONSANTO CO NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-18 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MCO	MOODYS CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-21 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MOS	MOSAIC CO NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED NOV	NATIONAL OILWELL VARCO INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD

Transactions in Date Sequence *(continued)*

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03/26/13	YOUR ASSET TRANSFERRED NSRGY	NESTLE SA SPONSORED ADR REPSTG REG SHS ISIN# US6410694060 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-16 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED NWSA	NEWS CORP CL A 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-15 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED NBL	NOBLE ENERGY INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED JWN	NORDSTROM INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED NSC	NORFOLK SOUTHERN CORP 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED NOC	NORTHROP GRUMMAN CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-8 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED OXY	OCCIDENTAL PETE CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ORCL	ORACLE CORP COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-46 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PTTAX	PIMCO TOTAL RETURN 5 - FRAC 7700- 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-10,009 770	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PNC	PNC FINL SVCS GROUP INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-16 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PPG	PPG INDUSTRIES INC 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-8 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PPL	PPL CORP COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-6 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PDCO	PATTERSON COS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PKI	PERKINELMER INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-1 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PFE	PFIZER INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-95 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PM	PHILIP MORRIS INTL INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-31 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PLCM	POLYCOM INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-11 000	0 00	USD
03/26/13	CASH DIVIDEND RECEIVED PCH	3 SHRS POTLATCH CORP NEW COM RD 03/08 PD 03/26/13		0 93	USD
03/26/13	YOUR ASSET TRANSFERRED PCH	POTLATCH CORP NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PCP	PRECISION CASTPARTS CORP 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-6 000	0 00	USD

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Transactions in Date Sequence *(continued)*

03/26/13	YOUR ASSET TRANSFERRED PCLN	PRICELINE COM INC COM NEW 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PRU	PRUDENTIAL FINL INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-12 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PSA	PUBLIC STORAGE COMMON STOCK 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED QLGC	QLOGIC CORP 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-6 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED QEP	QEP RES INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-4 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED QCOM	QUALCOMM INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-26 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED RL	RALPH LAUREN CORP CL A 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-5 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED RRC	RANGE RES CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-6 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED RJF	RAYMOND JAMES FINL INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED RYN	RAYONIER INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED RWT	REDWOOD TR INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED RGA	REINSURANCE GROUP AMER INC COM NEW 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED RSG	REPUBLIC SVCS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED RHI	ROBERT HALF INTL INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED COL	ROCKWELL COLLINS INC DEL COM STK 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ROST	ROSS STORES INC (STATE OF INC CHGD FM CALF TO DELAWARE) 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-10 000		0 00	USD

Transactions in Date Sequence *(continued)*

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			Q	d	
03/26/13	YOUR ASSET TRANSFERRED SBAC	SBA COMMUNICATIONS CORP CL A 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED STJ	ST JUDE MED INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-23 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CRM	SALESFORCE COM INC COM STOCK 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-8 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SIRI	SIRIUS XM RADIO INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-208 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SWK	STANLEY BLACK & DECKER INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-10 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SBUX	STARBUCKS CORP COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-18 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED STE	STERIS CORP 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-4 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SYK	STRYKER CORP 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-6 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED STI	SUNTRUST BKS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-4 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SYMC	SYMANTEC CORP 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-6 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SNPS	SYNOPSYS INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-3 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SYY	SYSCO CORP 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-4 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TLM	TALISMAN ENERGY INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-9 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TGT	TARGET CORP COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-19 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TECD	TECH DATA 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TCK	TECK RES LTD CL B SUB VTG ISIN# CA8787422044 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-5 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TDC	TERADATA CORP DEL COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-8 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TEVA	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR ISIN# US8816242098 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-4 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TMO	THERMO FISHER SCIENTIFIC INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-17 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MMM	3M CO COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-9 000	0 00	USD

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Transactions in Date Sequence *(continued)*

03/26/13	YOUR ASSET TRANSFERRED TDW	TIDEWATER INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TMK	TORCHMARK CORP 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TRV	TRAVELERS COS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-14 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TWO	TWO HBRS INVT CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-17 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ULTA	ULTA SALON COSMETICS & FRAGRANCE INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-5 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED UNP	UNION PACIFIC CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-17 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED UPS	UNITED PARCEL SVC INC CL B 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED UTX	UNITED TECHNOLOGIES CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-23 000		0 00	USD
03/26/13	CASH DIVIDEND RECEIVED UNH	15 SHRS UNITEDHEALTH GROUP INC COM RD 03/15 PD 03/26/13			3 19	USD
03/26/13	YOUR ASSET TRANSFERRED UNH	UNITEDHEALTH GROUP INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-15 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED VIAB	VIACOM INC NEW CL B 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-13 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED V	VISA INC COM CL A 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-13 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED VOD	VODAFONE GROUP PLC SPON ADR NEW ISIN# US92857W2098 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-40 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED WAFD	WASHINGTON FED INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-6 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED WLP	WELLPOINT INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED WFC	WELLS FARGO & CO NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-58 000		0 00	USD

Transactions in Date Sequence *(continued)*

			Q	d		
03/26/13	YOUR ASSET TRANSFERRED WU	WESTERN UN CO COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-40 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED WY	WEYERHAEUSER CO 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-5 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED WLL	WHITING PETE CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-1 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED WPX	WPX ENERGY INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-3 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED YUM	YUM BRANDS INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-7 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ZMH	ZIMMER HLDGS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-2 000		0 00	USD
03/27/13	FOREIGN SECURITY DIVIDEND RECEIVED DLPH	30 SHRS DELPHI AUTOMOTIVE PLC SHS ISIN#JE00B783TY65 RD 03/15 PD 03/27/13			5 10	USD
03/27/13	CASH DIVIDEND RECEIVED APC	2 SHRS ANADARKO PETE CORP COM RD 03/13 PD 03/27/13			0 18	USD
03/27/13	CASH DIVIDEND RECEIVED HAL	7 SHRS HALLIBURTON CO COM RD 03/06 PD 03/27/13			0 88	USD
03/27/13	CASH DIVIDEND RECEIVED KSS	3 SHRS KOHLS CORP COM RD 03/13 PD 03/27/13			1 05	USD
03/27/13	CASH DIVIDEND RECEIVED QCOM	26 SHRS QUALCOMM INC RD 03/08 PD 03/27/13			6 50	USD
03/27/13	CASH DIVIDEND RECEIVED STE	4 SHRS STERIS CORP RD 02/27 PD 03/27/13			0 76	USD
03/28/13	CASH DIVIDEND RECEIVED GS	11 SHRS GOLDMAN SACHS GROUP INC COM RD 02/28 PD 03/28/13			5 50	USD
03/28/13	CASH DIVIDEND RECEIVED HD	15 SHRS HOME DEPOT INC COM RD 03/14 PD 03/28/13			5 85	USD
03/28/13	CASH DIVIDEND RECEIVED KDN	1 SHRS KAYDON CORP COM RD 03/11 PD 03/28/13			0 20	USD
03/28/13	CASH DIVIDEND RECEIVED PSA	1 SHRS PUBLIC STORAGE COMMON STOCK RD 03/13 PD 03/28/13			1 25	USD
03/28/13	CASH DIVIDEND RECEIVED RYN	1 SHRS RAYONIER INC COM RD 03/14 PD 03/28/13			0 44	USD
Total Value of Transactions				**$0.00**	**-$2,952.02**	

The price and quantity displayed may have been rounded

Schedule of Realized Gains and Losses Year-to-Date

				d f	Q		d	I d / / ll
Short Term								
01/07/13	05/16/12	SELL First In First Out	DELPHI AUTOMOTIVE PL # JE00B783TY65	DLPH	5 000	142 44	190 39	47 95
01/07/13	12/03/12	SELL First In First Out	EATON CORP PLC SHS I KQN827	ETN	16 000	830 49	893 25	62 76
01/07/13	03/27/12	SELL Versus Purchase	ADT CORP COM	ADT	1 000	34 16	46 61	12 45
01/07/13	01/11/12	SELL First In First Out	BLACKROCK INC COM	BLK	1 000	183 27	216 58	33 31
01/07/13	03/08/12	SELL First In First Out	CAMERON INTL CORP CO	CAM	1 000	53 90	58 96	5 06
01/07/13	04/27/12	SELL First In First Out	CAMERON INTL CORP CO	CAM	2 000	103 52	117 92	14 40
01/07/13	07/30/12	SELL First In First Out	CAMERON INTL CORP CO	CAM	3 000	154 44	176 87	22 43
01/07/13	01/18/12*	SELL First In First Out	COACH INC COM	COH	2 000	127 77	111 20	-16 57
01/07/13	01/24/12	SELL First In First Out	COACH INC COM	COH	2 000	137 85	111 19	-26 66
01/07/13	09/18/12	SELL First In First Out	GAP INC	GPS	4 000	144 22	124 80	-19 42
01/07/13	09/19/12	SELL First In First Out	GAP INC	GPS	6 000	216 22	187 19	-29 03

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

				d f	Q		d	l d / / ll
Short Term *(continued)*								
01/07/13	05/02/12	SELL First In First Out	INFORMATICA CORP	INFA	1 000	47 43	30 74	-16 69
01/07/13	05/24/12	SELL First In First Out	INFORMATICA CORP	INFA	1 000	42 75	30 73	-12 02
01/07/13	01/11/12	SELL First In First Out	NOVARTIS AG SPONSORE	NVS	1 000	56 25	64 23	7 98
01/07/13	01/26/12	SELL First In First Out	NOVARTIS AG SPONSORE 8 day(s) added to your holding period as a result of a wash sale	NVS	1 000	55 04	64 22	9 18
01/07/13	01/19/12	SELL First In First Out	WESTERN UN CO COM	WU	14 000	263 02	192 07	-70 95
01/07/13	01/25/12	SELL First In First Out	WESTERN UN CO COM	WU	3 000	57 50	41 16	-16 34
01/11/13	03/15/12	SELL First In First Out	COACH INC COM	COH	1 000	77 49	59 00	-18 49
01/11/13	05/10/12	SELL First In First Out	RANGE RES CORP COM	RRC	2 000	133 24	127 37	-5 87
01/24/13	03/15/12	SELL First In First Out	COACH INC COM	COH	1 000	77 48	51 28	-26 20
01/24/13	08/28/12	SELL First In First Out	COACH INC COM	COH	2 000	113 17	102 56	-10 61
01/24/13	08/29/12	SELL First In First Out	COACH INC COM	COH	1 000	56 29	51 28	-5 01
01/29/13	10/25/12	SELL First In First Out	CME GROUP INC COM	CME	1 000	54 53	57 92	3 39
01/31/13	03/27/12	SELL First In First Out	MICHAEL KORS HLDGS L N# VGG607541015	KORS	2 000	94 54	111 45	16 91

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Schedule of Realized Gains and Losses Year-to-Date *(continued)*

				d f	Q		d	l d / / ll
Short Term *(continued)*								
01/31/13	02/27/12	SELL First In First Out	CARBO CERAMICS INC C	CRR	1 000	95 77	79 11	-16 66
01/31/13	11/05/12	SELL First In First Out	VMWARE INC CL A COM	VMW	1 000	88 91	77 43	-11 48
01/31/13	11/09/12	SELL First In First Out	VMWARE INC CL A COM	VMW	4 000	357 14	309 71	-47 43
02/01/13	03/27/12	SELL First In First Out	MICHAEL KORS HLDGS L N# VGG607541015	KORS	2 000	94 54	112 45	17 91
02/01/13	07/03/12	SELL First In First Out	DR PEPPER SNAPPLE GR M ISIN# US26138E1091	DPS	1 000	43 88	45 27	1 39
02/01/13	12/18/12	SELL First In First Out	VMWARE INC CL A COM	VMW	2 000	191 69	155 09	-36 60
02/04/13	08/30/12	SELL Versus Purchase	NORFOLK SOUTHERN COR	NSC	1 000	72 51	68 69	0 00 -3 82[3]
02/04/13	01/07/13	SELL Versus Purchase	NORFOLK SOUTHERN COR 158 day(s) added to your holding period as a result of a wash sale	NSC	1 000	68 70	68 68	-0 02
02/05/13	03/27/12	SELL First In First Out	MICHAEL KORS HLDGS L N# VGG607541015	KORS	1 000	47 27	55 55	8 28
02/05/13	12/10/12	SELL Versus Purchase	MCGRAW-HILL COS INC	MHP	4 000	226 98	185 43	0 00 -41 55[3]
02/05/13	12/11/12	SELL Versus Purchase	MCGRAW-HILL COS INC	MHP	2 000	112 81	92 72	-20 09

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Short Term *(continued)*

02/06/13	03/27/12	SELL First In First Out	MICHAEL KORS HLDGS L N# VGG607541015	KORS	1 000	47 27	55 87	8 60
02/06/13	06/13/12	SELL First In First Out	MICHAEL KORS HLDGS L N# VGG607541015	KORS	2 000	80 71	111 74	31 03
02/06/13	04/26/12	SELL First In First Out	EDWARDS LIFESCIENCES	EW	1 000	81 05	84 19	3 14
02/06/13	12/11/12	SELL Versus Purchase	MCGRAW-HILL COS INC	MHP	1 000	56 41	44 66	-11 75
02/06/13	12/17/12	SELL Versus Purchase	MCGRAW-HILL COS INC	MHP	1 000	54 27	44 66	-9 61
02/06/13	12/18/12	SELL Versus Purchase	MCGRAW-HILL COS INC	MHP	1 000	54 00	44 66	-9 34
02/06/13	01/07/13	SELL Versus Purchase	MCGRAW-HILL COS INC 57 day(s) added to your holding period as a result of a wash sale	MHP	2 000	131 34	89 31	-42 03
02/06/13	02/27/12	SELL First In First Out	TECK RES LTD CL B SU # CA8787422044	TCK	1 000	40 24	36 89	0 00 -3 35[3]
02/07/13	04/26/12	SELL First In First Out	EDWARDS LIFESCIENCES	EW	1 000	81 05	85 63	4 58
02/07/13	01/07/13	SELL Versus Purchase	MCGRAW-HILL COS INC 57 day(s) added to your holding period as a result of a wash sale	MHP	2 000	131 33	87 77	-43 56
02/15/13	02/04/13	SELL First In First Out	ZOETIS INC CL A	ZTS	2 000	62 26	66 51	4 25
02/15/13	02/05/13	SELL First In First Out	ZOETIS INC CL A	ZTS	1 000	31 40	33 26	1 86
02/27/13	05/04/12	SELL First In First Out	GENTEX CORP COM	GNTX	1 000	22 38	18 94	-3 44

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Schedule of Realized Gains and Losses Year-to-Date *(continued)*

				d f	Q		d	I d / / II
Short Term *(continued)*								
02/27/13	01/07/13	SELL Versus Purchase	NORFOLK SOUTHERN COR	NSC	1 000	64 89	72 95	8 06
02/27/13	10/05/12	SELL First In First Out	TD AMERITRADE HLDG C	AMTD	4 000	63 83	76 66	12 83
03/01/13	05/04/12	SELL First In First Out	GENTEX CORP COM	GNTX	1 000	22 38	18 53	-3 85
03/04/13	12/17/12	SELL First In First Out	WILLIAMS COS INC COM	WMB	16 000	507 46	537 16	29 70
03/05/13	01/07/13	SELL First In First Out	EVEREST REINSURANCE SHS ISIN# BMG3223R1088	RE	1 000	110 95	125 74	14 79
Total Short Term						**$6,300.43**	**$6,104.23**	**-$147.48**
Long Term								
01/07/13	10/04/11	SELL First In First Out	ENSCO PLC SHS CL A I VLR192	ESV	1 000	38 52	62 49	23 97
01/07/13	10/04/11	SELL First In First Out	ALLSTATE CORP COM	ALL	5 000	114 10	208 19	94 09
01/07/13	10/04/11	SELL First In First Out	AMERICAN EAGLE OUTFI NEW COM	AEO	1 000	11 85	19 98	8 13
01/07/13	10/04/11	SELL First In First Out	AMERICAN TOWER REIT	AMT	2 000	105 02	154 15	49 13
01/07/13	10/04/11	SELL Versus Purchase	BAIDU COM INC SPONS ORD SHS CL A	BIDU	1 000	109 42	101 92	0 00 -7 50[3]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

				d f	Q		d	l d / / ll
Long Term *(continued)*								
01/07/13	11/16/11	SELL First In First Out	BANK OF NEW YORK MEL OM	BK	8 000	161 52	213 91	52 39
01/07/13	10/04/11	SELL First In First Out	CLIFFS NAT RES INC C	CLF	3 000	155 16	114 05	-41 11
01/07/13	10/04/11	SELL First In First Out	COGNIZANT TECHNOLOGY CORP CL A	CTSH	1 000	62 43	75 54	13 11
01/07/13	10/04/11	SELL First In First Out	EDWARDS LIFESCIENCES	EW	2 000	136 58	189 73	53 15
01/07/13	10/04/11	SELL First In First Out	FMC CORP NEW	FMC	2 000	67 11	119 63	52 52
01/07/13	01/11/12	SELL First In First Out	GOLDMAN SACHS GROUP 247 day(s) added to your holding period as a result of a wash sale	GS	2 000	265 19	266 85	1 66
01/07/13	10/04/11	SELL First In First Out	INTERCONTINENTAL EXC COM	ICE	1 000	117 07	127 05	9 98
01/07/13	10/04/11	SELL First In First Out	JP MORGAN CHASE & CO US46625H1005	JPM	5 000	145 59	226 39	80 80
01/07/13	10/04/11	SELL First In First Out	JOHNSON CTLS INC COM	JCI	6 000	156 52	188 99	32 47
01/07/13	10/04/11	SELL First In First Out	NEWMONT MNG CORP COM	NEM	2 000	123 28	90 35	-32 93
01/07/13	10/04/11	SELL First In First Out	ORACLE CORP COM	ORCL	9 000	251 35	309 50	58 15
01/07/13	10/04/11	SELL First In First Out	PERRIGO COMPANY	PRGO	1 000	92 27	108 92	16 65
01/07/13	10/04/11	SELL First In First Out	REED ELSEVIER NV SPO	ENL	8 000	174 48	236 47	61 99

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

				d f	Q		d	l d / / ll
Long Term *(continued)*								
01/07/13	10/04/11	SELL First In First Out	SNAP ON INC COM	SNA	1 000	43 52	79 72	36 20
01/07/13	10/04/11	SELL First In First Out	TD AMERITRADE HLDG C	AMTD	13 000	185 47	235 29	49 82
01/07/13	10/04/11	SELL First In First Out	TRAVELERS COS INC CO	TRV	3 000	139 47	218 69	79 22
01/07/13	10/06/11	SELL First In First Out	UNILEVER PLC SPON AD # US9047677045	UL	5 000	157 73	193 09	35 36
01/07/13	10/04/11	SELL First In First Out	UNITED TECHNOLOGIES	UTX	2 000	137 85	169 39	31 54
01/07/13	10/04/11	SELL First In First Out	VISA INC COM CL A	V	1 000	83 31	157 96	74 65
01/07/13	10/04/11	SELL First In First Out	VODAFONE GROUP PLC S W ISIN# US92857W2098	VOD	7 000	180 09	184 44	4 35
01/07/13	10/04/11	SELL First In First Out	WMS INDS INC COM	WMS	2 000	35 48	35 55	0 07
01/07/13	10/04/11	SELL First In First Out	WARNACO GROUP INC C/ 3/13 1 OLD/0 1822 NEW CU 69365 6100 PVH CORP AND 51 75 USD	934390402	2 000	83 32	145 43	62 11
01/09/13	10/04/11	SELL First In First Out	ABBVIE INC COM	ABBV	14 000	360 36	473 48	113 12
01/11/13	10/04/11	SELL First In First Out	LAS VEGAS SANDS CORP	LVS	1 000	38 47	52 05	13 58

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

				d f	Q		d	l d / / ll
Long Term *(continued)*								
01/11/13	10/04/11	SELL First In First Out	VISA INC COM CL A	V	1 000	83 31	160 74	77 43
01/17/13	10/04/11	SELL First In First Out	INTEL CORP COM	INTC	17 000	356 94	380 01	23 07
01/22/13	10/04/11	SELL First In First Out	LIFE TECHNOLOGIES CO	LIFE	3 000	111 93	184 76	72 83
01/23/13	11/16/11	SELL First In First Out	BANK OF NEW YORK MEL OM	BK	5 000	100 95	134 49	33 54
01/29/13	10/04/11	SELL First In First Out	EDWARDS LIFESCIENCES	EW	1 000	68 29	90 95	22 66
01/30/13	10/04/11	SELL First In First Out	AT&T INC COM	T	9 000	249 66	313 14	63 48
01/31/13	10/04/11	SELL First In First Out	EDWARDS LIFESCIENCES	EW	1 000	68 29	90 23	21 94
01/31/13	10/04/11	SELL First In First Out	INTUIT INCORPORATED	INTU	1 000	46 14	62 41	16 27
02/01/13	10/04/11	SELL First In First Out	INTUIT INCORPORATED	INTU	2 000	92 28	125 62	33 34
02/05/13	10/04/11	SELL First In First Out	INTUIT INCORPORATED	INTU	1 000	46 14	62 97	16 83
02/05/13	10/04/11	SELL First In First Out	TD AMERITRADE HLDG C	AMTD	7 000	99 87	137 54	37 67
02/06/13	11/16/11	SELL First In First Out	TYCO INTL LTD SHS IS 83485	TYC	3 000	68 31	91 13	22 82
02/06/13	10/04/11	SELL First In First Out	EDWARDS LIFESCIENCES	EW	1 000	68 29	84 20	15 91

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Long Term *(continued)*								
02/06/13	10/04/11	SELL First In First Out	LABORATORY CORP AMER NEW	LH	1 000	77 19	90 84	13 65
02/06/13	10/04/11	SELL First In First Out	TRAVELERS COS INC CO	TRV	3 000	139 47	234 81	95 34
02/12/13	10/04/11	SELL First In First Out	INTUIT INCORPORATED	INTU	5 000	230 70	306 66	75 96
02/20/13	10/04/11	SELL First In First Out	STAPLES INC	SPLS	6 000	76 20	82 98	6 78
02/21/13	01/11/12	SELL First In First Out	GOLDMAN SACHS GROUP 247 day(s) added to your holding period as a result of a wash sale	GS	2 000	265 19	304 10	38 91
02/21/13	10/04/11	SELL First In First Out	STANLEY BLACK & DECK	SWK	2 000	99 92	153 34	53 42
02/27/13	10/04/11	SELL First In First Out	ALCOA INC COM	AA	6 000	53 94	51 25	-2 69
02/27/13	10/04/11	SELL First In First Out	MACYS INC COM	M	2 000	51 90	81 32	29 42
02/27/13	12/21/11	SELL First In First Out	ST JUDE MED INC COM	STJ	2 000	65 42	81 94	16 52
02/27/13	10/04/11	SELL First In First Out	SEMTECH CORP	SMTC	1 000	21 22	30 79	9 57
02/27/13	12/13/11	SELL First In First Out	SUNTRUST BKS INC COM	STI	1 000	17 02	27 64	10 62

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

				d f	Q		d	l d / / ll
Long Term *(continued)*								
02/27/13	10/04/11	SELL First In First Out	TD AMERITRADE HLDG C	AMTD	1 000	14 27	19 17	4 90
02/27/13	10/04/11	SELL First In First Out	VIACOM INC NEW CL B	VIAB	2 000	74 45	116 28	41 83
02/28/13	10/04/11	SELL First In First Out	REDWOOD TR INC COM	RWT	5 000	50 70	101 61	50 91
03/01/13	10/04/11	SELL First In First Out	WASHINGTON FED INC C	WAFD	4 000	49 64	69 69	20 05
03/05/13	10/04/11	SELL First In First Out	EVEREST REINSURANCE SHS ISIN# BMG3223R1088	RE	1 000	77 07	125 75	48 68
03/08/13	10/04/11	SELL First In First Out	FIRST NIAGARA FINL G EW COM	FNFG	6 000	51 60	50 06	-1 54
03/08/13	10/04/11	SELL First In First Out	M D C HLDGS INC FORM CORP COLO TO 6/20/85 COM	MDC	1 000	15 29	39 57	24 28
03/08/13	10/04/11	SELL First In First Out	SEMTECH CORP	SMTC	1 000	21 22	33 23	12 01
03/08/13	10/04/11	SELL First In First Out	WASHINGTON FED INC C	WAFD	1 000	12 41	17 69	5 28
03/12/13	10/04/11	SELL First In First Out	URS CORP NEW COM	URS	1 000	29 38	44 99	15 61
03/12/13	10/04/11	SELL First In First Out	URS CORP NEW COM	URS	1 000	29 38	45 11	15 73
03/19/13	10/04/11	SELL First In First Out	FIRST NIAGARA FINL G EW COM	FNFG	3 000	25 80	25 42	-0 38
03/19/13	10/04/11	SELL First In First Out	M D C HLDGS INC FORM CORP COLO TO 6/20/85 COM	MDC	1 000	15 29	38 73	23 44

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Long Term *(continued)*								
03/20/13	11/16/11	SELL First In First Out	BANK OF NEW YORK MEL OM	BK	33 000	666 26	932 56	266 30
Total Long Term						**$7,423.86**	**$9,782.92**	**$2,366.56**
Total Short Term and Long Term						**$13,724.29**	**$15,887.15**	**$2,219.08**

* Noncovered under the cost basis rules as defined below

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P L 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such All other securities in this section are securities which are "covered" under the new cost basis reporting rules Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed

Reporting requirements generally will be phased in over a three-year period, as follows

Stock in a corporation acquired on or after January 1, 2011
Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital In addition, corporate action events may require adjustments to your original cost basis Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you

When you report your cost basis on your tax return, it should be verified using all of your own records In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H R 1424 You should consult with your tax advisor in order to properly report your gain or loss for tax purposes Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting

3 Realized loss is net of a disallowance due to a wash sale

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account If you do not select a method, your account will be defaulted to First In, First Out (FIFO) Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B

Cash Not Yet Received

	d	bl	Q	ld	f	d d
Dividends						
ALLSTATE CORP COM	02/28/13	04/01/13	2 000	0 250000	0 50	Cash
CBS CORP CL B COM	03/11/13	04/01/13	16 000	0 120000	1 92	Cash
COMERICA INC COM	03/15/13	04/01/13	1 000	0 170000	0 17	Cash
DEVON ENERGY CORP NEW COM	03/15/13	03/29/13	2 000	0 200000	0 40	Cash
ECOLAB INC	03/05/13	04/15/13	11 000	0 230000	2 53	Cash
FEDEX CORP COM	03/11/13	04/01/13	4 000	0 140000	0 56	Cash
FLUOR CORP NEW COM	03/04/13	04/02/13	11 000	0 160000	1 76	Cash
HESS CORP COM	03/15/13	03/29/13	3 000	0 100000	0 30	Cash
HIL ROM HLDGS COM	03/15/13	03/29/13	3 000	0 125000	0 38	Cash
JOHNSON CTLS INC COM	03/08/13	04/02/13	28 000	0 190000	5 32	Cash
KBR INC COM	03/15/13	04/15/13	4 000	0 080000	0 32	Cash
KANSAS CITY SOUTHN COM NEW	03/11/13	04/03/13	1 000	0 215000	0 22	Cash
LAS VEGAS SANDS CORP COM	03/21/13	03/29/13	11 000	0 350000	3 85	Cash
LOCKHEED MARTIN CORP COM	03/01/13	03/29/13	28 000	1 150000	32 20	Cash
MACYS INC COM	03/15/13	04/01/13	1 000	0 200000	0 20	Cash
MCKESSON CORP COM	03/01/13	04/01/13	3 000	0 200000	0 60	Cash
NATIONAL OILWELL VARCO INC	03/15/13	03/29/13	2 000	0 130000	0 26	Cash
NEWS CORP CL A	03/13/13	04/17/13	15 000	0 085000	1 28	Cash
OCCIDENTAL PETE CORP COM	03/08/13	04/15/13	11 000	0 640000	7 04	Cash
PPL CORP COM	03/08/13	04/01/13	6 000	0 367500	2 21	Cash
PRECISION CASTPARTS CORP	03/08/13	04/01/13	6 000	0 030000	0 18	Cash
RANGE RES CORP COM	03/15/13	03/29/13	6 000	0 040000	0 24	Cash
ROSS STORES INC (STATE OF INC CHGD FM CALF TO DELAWARE)	02/22/13	03/29/13	10 000	0 170000	1 70	Cash
THERMO FISHER SCIENTIFIC INC	03/15/13	04/15/13	17 000	0 150000	2 55	Cash
TRAVELERS COS INC COM	03/08/13	03/29/13	14 000	0 460000	6 44	Cash
UNION PACIFIC CORP COM	02/28/13	04/01/13	17 000	0 690000	11 73	Cash
VIACOM INC NEW CL B	03/15/13	04/01/13	13 000	0 275000	3 58	Cash
WESTERN UN CO COM	03/15/13	03/29/13	40 000	0 125000	5 00	Cash
Interest						
BRE PPTYS INC COM	03/15/13	03/29/13	1 000	0 395000	0 40	Cash
REDWOOD TR INC COM	03/15/13	03/29/13	3 000	0 280000	0 84	Cash
Total Cash Not Yet Received					**$94.68**	

Assets shown here are not reflected in your account This information has been received from sources we believe to be reliable Pershing does not guarantee the accuracy of the information

Messages

Pershing has been advised by your financial organization that it does not receive payment for directing order flow See the Terms and Conditions section of your brokerage account statement for a description of Pershing's payment for order flow practices

For additional information regarding order-routing practices and the venues to which your financial organization's orders are routed, you may visit www orderroutingdisclosure com

Upon written request to your financial organization, you may obtain the identity of the venue to which your orders were routed for the six months prior to your request and the time of transactions that may have resulted from such orders

Messages (continued)

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To review our Privacy Notice and learn more about our online privacy practices, please visit our website at
http //www us hsbc com/1/2/home/site/privacy

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HSBC Securities (USA) Inc 's net capital as of December 31, 2012 in accordance with SEC Rule 15c3-1, was $1,118,284,900 with a net capital requirement of $124,097,905 Clients may obtain HSBC Securities (USA) Inc 's Statement of Financial Condition, at no cost, by accessing

http //www us hsbc com/1/2/3/personal/other-services/investing/financial-statement,

or by contacting our Brokerage Customer Service Department at 1-800-662-3343

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HSBC Securities (USA) Inc would like you to be aware that quarterly reports on the routing of HSBC Securities (USA) Inc 's nondirected orders in covered equity and option securities can be obtained by accessing

www us hsbc com/1/2/3/personal/other-services/investing/financial-statement,

or by contacting our Brokerage Customer Service department at 1-800-662-3343 A report on the identity of the venue to which your orders were routed for execution in the six months preceding the request, whether those orders were directed or non-directed, and the time of the transactions that resulted from those orders, will also be provided upon request

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Please be advised that, in accordance with FINRA Rule 4370, HSBC Securities (USA) Inc 's Business Contingency Plan Disclosure can be obtained by accessing
www us hsbc com/1/2/3/personal/other-services/investing/financial-statement, or by contacting our Brokerage Customer Service Department at 1-800-662-3343

Brokerage services are provided by HSBC Securities (USA) Inc , member NYSE/FINRA/SIPC

Please contact your HSBC Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account, or reasonably modify any existing restrictions Please contact your advisor if you would like to request a copy of our ADV Part 2 A & B or Part 2A Appendix 1 for any of the parties involved in the management of your account, including applicable advisors or sub-advisors

Important Arbitration Disclosures and Important Arbitration Agreement

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed
- Arbitration awards are generally final and binding, a party's ability to have a court reverse or modify an arbitration award is very limited
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration In some cases, a claim that is ineligible for arbitration may be brought in court
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until, (I) the class certification is denied, (II) the class is decertified, or (III) the client is excluded from the class by the court Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein The laws of the State of New York govern

Pershing's contact information is as follows Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399, (201) 413-3330

TERMS AND CONDITIONS

GENERAL INFORMATION

1 ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS

2 ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS

3 WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS, ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU

4 WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW

5 TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER

6 YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED

7 IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST

8 INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES

9 DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU, USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS

10 PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS

11 PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION
YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED

12 IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH DETAILS AVAILABLE UPON REQUEST

13 A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW PERSHING COM

14 THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS

THE ROLE OF PERSHING

PERSHING CARRIES YOUR ACCOUNT AS CLEARING BROKER PURSUANT TO A CLEARING AGREEMENT WITH YOUR FINANCIAL INSTITUTION PERSHING MAY ACCEPT FROM YOUR FINANCIAL INSTITUTION WITHOUT INQUIRY OR INVESTIGATION (I) ORDERS FOR THE PURCHASE AND SALE OF SECURITIES AND OTHER PROPERTY AND (II) ANY OTHER INSTRUCTIONS CONCERNING YOUR ACCOUNT PERSHING IS NOT RESPONSIBLE OR LIABLE FOR ANY ACTS OR OMISSIONS OF YOUR FINANCIAL INSTITUTION OR ITS EMPLOYEES AND IT DOES NOT SUPERVISE THEM PERSHING PROVIDES NO INVESTMENT ADVICE NOR DOES IT ASSESS THE SUITABILITY OF ANY TRANSACTION OR ORDER PERSHING ACTS AS THE AGENT OF YOUR FINANCIAL INSTITUTION AND YOU AGREE THAT YOU WILL NOT HOLD PERSHING OR ANY PERSON CONTROLLING OR UNDER COMMON CONTROL WITH IT LIABLE FOR ANY INVESTMENT LOSSES INCURRED BY YOU

PERSHING PERFORMS SEVERAL KEY FUNCTIONS AT THE DIRECTION OF YOUR FINANCIAL INSTITUTION IT ACTS AS CUSTODIAN FOR FUNDS AND SECURITIES YOU MAY DEPOSIT WITH IT DIRECTLY OR THROUGH YOUR FINANCIAL INSTITUTION OR THAT IT RECEIVES AS THE RESULT OF SECURITIES TRANSACTIONS IT PROCESSES

YOUR FINANCIAL INSTITUTION IS RESPONSIBLE FOR ADHERENCE TO THE SECURITIES LAWS, REGULATIONS AND RULES WHICH APPLY TO IT REGARDING ITS OWN OPERATIONS AND THE SUPERVISION OF YOUR ACCOUNT, ITS SALES REPRESENTATIVES AND OTHER PERSONNEL YOUR FINANCIAL INSTITUTION IS ALSO RESPONSIBLE FOR APPROVING THE OPENING OF ACCOUNTS AND OBTAINING ACCOUNT DOCUMENTS, THE ACCEPTANCE AND, IN CERTAIN INSTANCES, EXECUTION OF SECURITIES ORDERS, THE ASSESSMENT OF THE SUITABILITY OF THOSE TRANSACTIONS, WHERE APPLICABLE, THE RENDERING OF INVESTMENT ADVICE, IF ANY, TO YOU AND IN GENERAL, FOR THE ONGOING RELATIONSHIP THAT IT HAS WITH YOU

INQUIRIES CONCERNING THE POSITIONS AND BALANCES IN YOUR ACCOUNT MAY BE DIRECTED TO THE PERSHING CUSTOMER SERVICE DEPARTMENT AT (201) 413-3333 ALL OTHER INQUIRIES REGARDING YOUR ACCOUNT OR ACTIVITY SHOULD BE DIRECTED TO YOUR FINANCIAL INSTITUTION YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT

FOR A DESCRIPTION OF OTHER FUNCTIONS PERFORMED BY PERSHING PLEASE CONSULT THE DISCLOSURE STATEMENT PROVIDED TO YOU UPON THE OPENING OF YOUR ACCOUNT THIS NOTICE IS NOT MEANT AS A DEFINITIVE ENUMERATION OF EVERY POSSIBLE CIRCUMSTANCE, BUT AS A GENERAL DISCLOSURE IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE OR IF YOU WOULD LIKE ADDITIONAL COPIES OF THE DISCLOSURE STATEMENT, PLEASE CONTACT YOUR FINANCIAL INSTITUTION

PERSHING IS A MEMBER OF THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC®) PLEASE NOTE THAT SIPC DOES NOT PROTECT AGAINST LOSS DUE TO MARKET FLUCTUATION IN ADDITION TO SIPC PROTECTION, PERSHING PROVIDES COVERAGE IN EXCESS OF SIPC LIMITS FOR MORE DETAILED INFORMATION PLEASE VISIT WWW PERSHING COM/STRENGTH_STABILITY HTML

THIS STATEMENT WILL BE DEEMED CONCLUSIVE YOU ARE ADVISED TO REPORT ANY INACCURACY OR DISCREPANCY (INCLUDING UNAUTHORIZED TRADING), TO YOUR FINANCIAL ORGANIZATION AND PERSHING, WITHIN TEN DAYS AFTER RECEIPT OF THIS STATEMENT PLEASE BE ADVISED THAT ANY ORAL COMMUNICATION SHOULD BE RE-CONFIRMED IN WRITING TO FURTHER PROTECT YOUR RIGHTS, INCLUDING YOUR RIGHTS UNDER THE SECURITIES INVESTOR PROTECTION ACT

YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT PERSHING'S CONTACT INFORMATION IS AS FOLLOWS PERSHING LLC, LEGAL DEPARTMENT, ONE PERSHING PLAZA, JERSEY CITY, NEW JERSEY 07399, (201) 413-3330 ERRORS AND OMISSIONS EXCEPTED

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS—RULE 607(A)(1)–(2)]

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION IN ADDITION PERSHING MAY EXECUTE CERTAIN EQUITY ORDERS AS PRINCIPAL THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT

BEST EXECUTION NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO) ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN LEGAL DEPT

HSBC
HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage
Account Statement

Account Number: HMP-010444
Statement Period: 03/01/2013 - 03/31/2013

ALLEN I GROSS CHARITABLE
FOUNDATION
50 BROADWAY
NEW YORK NY 10004- 3856

Valuation at a Glance

	h	d
Beginning Account Value	**$111,067.17**	**$108,738.56**
Cash Withdrawals	-4,350 95	-4,353 26
Dividends/Interest	103 25	133 30
Fees	-33 33	-448 27
Change in Account Value*	-106,667 93	-103,952 12
Ending Account Value	**$118.21**	**$118.21**
Estimated Annual Income	$0 06	

* Change in Account Value includes the value of free receives/delivers

Your Financial Advisor Is:
STEPHEN FARINACCI/SETH HART
(212) 260-4235

Asset Allocation

	h	d ll
Cash, Money Funds, and Bank Deposits	118 21	100%
Account Total	**$118.21**	**100%**

Your Account is 100% invested in Cash, Money Funds, and Bank Deposits

Summary of Gains and Losses

	h d	l d	l d
Long-Term Gain/Loss	605 72	-18 47	0 00
Net Gain/Loss	**605.72**	**-18.47**	**0.00**

This summary excludes transactions where cost basis information is not available

Client Service Information

Your Financial Advisor Is: T53	**Contact Information**	**Client Service Information**
STEPHEN FARINACCI/SETH HART 769 BROADWAY NEW YORK NY 10003-	**Telephone Number:** (212) 260-4235	**Client Service Telephone Number:** (800) 662-3343

Investment Objective: GROWTH
Risk Exposure: MODERATE RISK

If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Advisor

Default Tax Lot Disposition Method for Mutual Funds: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Electronic Delivery
You have not selected any account communications for electronic delivery To register and turn off paper communications, log in to your account or contact your Financial Advisor for more information

Portfolio Holdings

Q	l	l l	d	h	d ld
Cash, Money Funds, and Bank Deposits 100.00% of Portfolio					
Cash Balance	0.00	118.21			
Money Market					
HSBC US GOVT MNY MKT CL Y	1,998.90	0.00	0.00	0.06	0.01%
Total Money Market	$1,998.90	$0.00	$0.00	$0.06	
Total Cash, Money Funds, and Bank Deposits	$1,998.90	$118.21	$0.00	$0.06	

	k l	d	d l
Total Portfolio Holdings	$118.21	$0.00	$0.06

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period The market prices have been obtained from

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Portfolio Holdings *(continued)*

quotation services, which we believe to be reliable Securities for which a price is not available are marked "N/A" and are omitted from the Total

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only These figures are not considered to be a forecast or guarantee of future results These figures are computed using information from providers believed to be reliable, however, no assurance can be made as to the accuracy Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions These figures assume that the position quantities, interest and dividend rates, and prices remain constant A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them Refer to www pershing com/business_continuity html for specific details as to formulas used to calculate the figures Accrued interest represents interest earned but not yet received

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested You will not receive confirmation of these reinvestments Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow

Pershing may execute foreign currency transactions as principal for your account Pershing may automatically convert foreign currency to or from U S dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law Your financial organization may also increase the currency conversion rate This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit Transactions converted by agents (such as depositories) will be billed at the rates such agents use

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement The right to vote your shares held on margin may be reduced by the amount of shares on loan The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account

Money Market Fund Detail

Other Money Market Funds

HSBC US GOVT MNY MKT CL Y

Account Number 0000002221 Current Yield 0 01% Activity Ending 03/25/13

03/01/13	Opening Balance		1,998 90	1,998 90
03/05/13	Deposit	MONEY FUND PURCHASE	4 59	2,003 49
03/12/13	Deposit	MONEY FUND PURCHASE	1 28	2,004 77
03/14/13	Deposit	MONEY FUND PURCHASE	832 25	2,837 02
03/21/13	Deposit	MONEY FUND PURCHASE	1,503 77	4,340 79
03/26/13	Withdrawal	MONEY FUND REDEMPTION	-4,340 79	0 00
03/26/13	Withdrawal	SHARES REDEEMED	-0 02	-0 02

Money Market Fund Detail *(continued)*

Other Money Market Funds *(continued)*				
HSBC US GOVT MNY MKT CL Y *(continued)*				
03/26/13	Deposit	ACCRUED DIVIDEND CREDIT	0 02	0 00
03/25/13	**Closing Balance**			**$0.00**
Total All Money Market Funds				**$0.00**

Activity Summary (All amounts shown are in base currency USD)

Securities		
Securities Bought	0 00	0 00
Securities Sold	2,400 34	7,931 38
Total Securities	**$2,400.34**	**$7,931.38**
Dividends and Interest	**$103.25**	**$133.30**
Fees	**$45.47**	**$45.47**
Taxes Withheld	**$0.00**	**$0.00**
Cash		
Withdrawals	0 00	0 00
Total Cash	**$0.00**	**$0.00**
Total Credits	**$2,549.06**	**$8,110.15**

Securities		
Securities Bought	0 00	-5,034 53
Securities Sold	0 00	0 00
Total Securities	**$0.00**	**-$5,034.53**
Dividends and Interest	**$0.00**	**$0.00**
Fees	**-$78.80**	**-$493.74**
Taxes Withheld	**-$10.15**	**-$12.46**
Cash		
Withdrawals	-4,340 80	-4,340 80
Total Cash	**-$4,340.80**	**-$4,340.80**
Total Debits	**-$4,429.75**	**-$9,881.53**

Transactions in Date Sequence

03/04/13		FOREIGN SECURITY DIVIDEND RECEIVED BG	17 SHRS BUNGE LIMITED SHS ISIN# BMG169621056 RD 02/15 PD 03/04/13			4 59	USD
03/11/13		FOREIGN SECURITY DIVIDEND RECEIVED ITUB	170 SHRS ITAU UNIBANCO HLDG SA NS SPONSORED ADR REPSTG 500 PFD ISIN# US4655621062 RD 02/05 PD 03/11/13			1 28	USD
03/13/13	03/08/13	SOLD SWGAY	SWATCH GROUP AG ADR ISIN# US8701231065 SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-29 000	28 3308	821 57	USD
03/13/13		FOREIGN SECURITY DIVIDEND RECEIVED UL	33 SHRS UNILEVER PLC SPON ADR NEW ISIN# US9047677045 RD 02/08 PD 03/13/13			10 68	USD

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Brokerage Account Statement

Transactions in Date Sequence *(continued)*

I /	d /			Q		d		
03/20/13	03/15/13	SOLD AMX	AMERICA MOVIL SAB DE C V SPONSORED ADR REPSTG SER L SHS ISIN# US02364W1053 SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-84 000	18 7953		1,578 77	USD
03/25/13		ASSET BASED FEE USD999997	CLOSING FEE				45 47	USD
03/25/13		FOREIGN BOND INTEREST ITUB	ITAU UNIBANCO HLDG SA NS SPONSORED ADR REPSTG 500 PFD ISIN# US4655621062 RD 12/28 PD 03/25/13 INT @ 0 15782200 170 SHS				26 83	USD
03/25/13		FOREIGN TAX WITHHELD AT THE SOURCE ITUB	ITAU UNIBANCO HLDG SA NS SPONSORED ADR REPSTG 500 PFD ISIN# US4655621062 RD 12/28 PD 03/25/13 TAX @ 0 02367300 170 SHS				-4 02	USD
03/26/13		YOUR ASSET TRANSFERRED BG	BUNGE LIMITED SHS ISIN# BMG169621056 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-32 000			0 00	USD
03/26/13		OUTGOING ACCOUNT TRANSFER FEE USD999997	OUTGOING ACCOUNT TRANSFER FEE ACCT HMP-010444				-75 00	USD
03/26/13		YOUR ASSET TRANSFERRED USD999997	ACAT BALANCE 0221 UBS FINANCIAL SERVICES INC A/C KU156591B				-4,340 80	USD
03/26/13		YOUR ASSET TRANSFERRED AAGIY	AIA GROUP LTD SPONSORED ADR ISIN# US0013172053 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-171 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED AIQUY	AIR LIQUIDE ADR ISIN# US0091262024 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-162 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED AZSEY	ALLIANZ SE SPONS ADR REPSTG 1/10 SHS 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-234 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED BUD	ANHEUSER BUSCH INBEV SA NV SPONSORED ADR ISIN# US03524A1088 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-24 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED ARMH	ARM HLDGS PLC SPONSORED ADR 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-101 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED ATLKY	ATLAS COPCO AB SPON ADR NEW REPSTG ISIN# US0492557063 COM SER A 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-73 000			0 00	USD

Transactions in Date Sequence *(continued)*

03/26/13	YOUR ASSET TRANSFERRED BRGYY	BG GROUP PLC ADR FINAL INSTALLMENT NEW 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-106 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED BBVA	BANCO BILBAO VIZCAYA ARGENTARIA SA ISIN# US05946K1016 APONSORED ADR 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-135 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED BAMXY	BAYERISCHE MOTOREN WERKE A G ADR ISIN# US0727432066 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-41 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CMXHY	CSL LTD ADR ISIN# US12637N1054 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-70 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CNI	CANADIAN NATL RY CO COM ISIN# CA1363751027 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-22 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CHEOY	COCHLEAR LTD ADR ISIN# US1914592050 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-42 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DBSDY	DBS GROUP HLDGS LTD SPONS ADR 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-59 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DASTY	DASSAULT SYS S A SPONSORED ADR ISIN# US2375451083 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-35 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED FANUY	FANUC CORPORATION ADR ISIN# US3073051027 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-114 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED FMS	FRESENIUS MED CARE AKTIENGESELLSCHAFT SPONSORED ADR REPSTG SHS ISIN# US3580291066 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-80 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED OGZPY	GAZPROM O A O SPON ADR REG S RESTRICTION LIFTED ISIN# US3682872078 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-130 000	0 00	USD
03/26/13	MONEY MARKET FUND INCOME RECEIVED 403993488	HSBC US GOVT CL Y INCOME REDEEMED		0 02	USD
03/26/13	YOUR ASSET TRANSFERRED IBN	ICICI BK LTD ADR ISIN# US45104G1040 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-60 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED IMO	IMPERIAL OIL LTD COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-40 000	0 00	USD
03/26/13	FOREIGN BOND INTEREST ITUB	ITAU UNIBANCO HLDG SA NS SPONSORED ADR REPSTG 500 PFD ISIN# US4655621062 RD 03/08 PD 03/26/13 INT @ 0 19343400 170 SHS		32 88	USD
03/26/13	FEE ON FOREIGN DIVIDEND WITHHELD AT THE SOURCE ITUB	ITAU UNIBANCO HLDG SA NS SPONSORED ADR REPSTG 500 PFD ISIN# US4655621062 RD 03/08 PD 03/26/13 FEE @ 0 010 170 SHS		-1 70	USD

Transactions in Date Sequence *(continued)*

			Q	d	
03/26/13	FOREIGN TAX WITHHELD AT THE SOURCE ITUB	ITAU UNIBANCO HLDG SA NS SPONSORED ADR REPSTG 500 PFD ISIN# US4655621062 RD 03/08 PD 03/26/13 TAX @ 0 02901500 170 SHS		-4 93	USD
03/26/13	YOUR ASSET TRANSFERRED ITUB	ITAU UNIBANCO HLDG SA NS SPONSORED ADR REPSTG 500 PFD ISIN# US4655621062 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-170 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED JGCCY	JGC CORP ADR ISIN# US4661401004 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-31 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED LRLCY	LOREAL CO ADR ISIN# US5021172037 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-94 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED LVMUY	LVMH MOET HENNESSY LOUIS VUITTON ADR 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-41 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED LZAGY	LONZA GROUP AG ADR ISIN# US54338V1017 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-268 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MTNOY	MTN GROUP LTD SPONSORED ADR 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-58 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED NSRGY	NESTLE SA SPONSORED ADR REPSTG REG SHS ISIN# US6410694060 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-65 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED NKRKY	NOKIAN TYRES OYJ ADR ISIN# US65528V1070 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-80 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PBR	PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-56 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED POT	POTASH CORP OF SASKATCHEWAN INC COM ISIN# CA73755L1076 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-50 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED RHHBY	ROCHE HLDGS LTD SPONSORED ADR ISIN# US7711951043 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-58 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SAP	SAP AG SPONSORED ADR ISIN# US8030542042 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-61 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SSL	SASOL LTD SPONSORED ADR 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-22 000	0 00	USD

Transactions in Date Sequence *(continued)*

/ d /
I

			Q	d	
03/26/13	YOUR ASSET TRANSFERRED SLB	SCHLUMBERGER LTD COM ISIN# AN8068571086 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-30 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SBGSY	SCHNEIDER ELEC SA ADR ISIN# US80687P1066 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-178 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SONVY	SONOVA HLDG AG ADR ISIN# US83569C1027 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-85 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SVNLY	SVENSKA HANDELSBANKEN AB ADR ISIN# US86959C1036 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-58 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SWGAY	SWATCH GROUP AG ADR ISIN# US8701231065 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-55 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SSMXY	SYSMEX CORP ADR ISIN# US87184P1093 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-81 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TSM	TAIWAN SEMICONDUCTOR MFG CO SPONSORED ADR ISIN# US8740391003 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-158 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TSCDY	TESCO PLC SPON ADR ISIN# US8815753020 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-83 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TKGBY	TURKIYE GARANTI BANKASI ADR ISIN# US9001487019 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-496 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED UNICY	UNICHARM CORP SPONSORED ADR ISIN# US90460M2044 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-240 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED UL	UNILEVER PLC SPON ADR NEW ISIN# US9047677045 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-33 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED WPPGY	WPP PLC NEW ADR ISIN# US92937A1025 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-39 000	0 00	USD
03/26/13	YOUR ASSET TRANSFERRED XYIGY	XINYI GLASS HLDGS LTD ADS REPSTG 20 SHS 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-74 000	0 00	USD
03/27/13	FOREIGN SECURITY DIVIDEND RECEIVED CHEOY	42 SHRS COCHLEAR LTD ADR ISIN# US1914592050 RD 02/26 PD 03/27/13		18 99	USD
03/27/13	FOREIGN SECURITY DIVIDEND RECEIVED CHEOY	42 SHRS COCHLEAR LTD ADR ISIN# US1914592050 RD 02/26 PD 03/27/13		7 98	USD
03/27/13	FEE ON FOREIGN DIVIDEND WITHHELD AT THE SOURCE CHEOY	42 SHRS COCHLEAR LTD ADR ISIN# US1914592050 RD 02/26 PD 03/27/13		-2 10	USD

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Transactions in Date Sequence *(continued)*

03/27/13	FOREIGN TAX WITHHELD AT THE SOURCE CHEOY	42 SHRS COCHLEAR LTD ADR ISIN# US1914592050 RD 02/26 PD 03/27/13			-1 20	USD
Total Value of Transactions				**$0.00**	**-$1,880.69**	

The price and quantity displayed may have been rounded

Schedule of Realized Gains and Losses Year-to-Date

Long Term								
01/04/13	03/23/09*	SELL First In First Out	QIAGEN NV SHS ISIN# N 0	QGEN	76 000	1,218 56	1,407 64	189 08
02/04/13	07/20/10*	SELL First In First Out	SCHLUMBERGER LTD COM 68571086	SLB	7 000	418 33	556 39	138 06
02/07/13	09/01/11	SELL First In First Out	LI & FUNG LTD PLC AD 018971029 Your lot has been adjusted due to a wash sale for more than one year	LFUGY	154 000	755 04	396 26	-358 78
02/07/13	09/01/11	SELL First In First Out	LI & FUNG LTD PLC AD 018971029	LFUGY	408 000	1,559 33	1,049 84	-509 49
02/08/13	09/01/11	SELL First In First Out	LI & FUNG LTD PLC AD 018971029	LFUGY	130 000	496 85	334 35	-162 50
02/08/13	02/09/12	SELL First In First Out	LI & FUNG LTD PLC AD 018971029 Your lot has been adjusted due to a wash sale for more than one year	LFUGY	185 000	928 23	475 81	-452 42
02/14/13	07/20/10*	SELL First In First Out	SCHLUMBERGER LTD COM 68571086	SLB	8 000	478 10	639 45	161 35

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Long Term *(continued)*								
02/21/13	10/15/10*	SELL First In First Out	ARM HLDGS PLC SPONSO	ARMH	16 000	300 79	671 30	370 51
03/08/13	09/21/10*	SELL First In First Out	SWATCH GROUP AG ADR 1231065	SWGAY	29 000	531 14	821 57	290 43
03/15/13	03/23/09*	SELL First In First Out	AMERICA MOVIL SAB DE ORED ADR REPSTG SER L SHS ISIN # US02364W1053	AMX	84 000	1,263 48	1,578 77	315 29
Total Long Term						**$7,949.85**	**$7,931.38**	**-$18.47**
Total Short Term and Long Term						**$7,949.85**	**$7,931.38**	**-$18.47**

* Noncovered under the cost basis rules as defined below

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P L 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such All other securities in this section are securities which are "covered" under the new cost basis reporting rules Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed

Reporting requirements generally will be phased in over a three-year period, as follows

Stock in a corporation acquired on or after January 1, 2011
Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital In addition, corporate action events may require adjustments to your original cost basis Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you

When you report your cost basis on your tax return, it should be verified using all of your own records In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H R 1424 You should consult with your tax advisor in order to properly report your gain or loss for tax purposes Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account If you do not select a method, your account will be defaulted to First In, First Out (FIFO) Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B

Messages

Pershing has been advised by your financial organization that it does not receive payment for directing order flow See the Terms and Conditions section of your brokerage account statement for a description of

Messages *(continued)*

Pershing's payment for order flow practices

For additional information regarding order-routing practices and the venues to which your financial organization's orders are routed, you may visit www orderroutingdisclosure com

Upon written request to your financial organization, you may obtain the identity of the venue to which your orders were routed for the six months prior to your request and the time of transactions that may have resulted from such orders

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To review our Privacy Notice and learn more about our online privacy practices, please visit our website at
http //www us hsbc com/1/2/home/site/privacy

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HSBC Securities (USA) Inc 's net capital as of December 31, 2012 in accordance with SEC Rule 15c3-1, was $1,118,284,900 with a net capital requirement of $124,097,905 Clients may obtain HSBC Securities (USA) Inc 's Statement of Financial Condition, at no cost, by accessing

http //www us hsbc com/1/2/3/personal/other-services/investing/financial-statement,

or by contacting our Brokerage Customer Service Department at 1-800-662-3343

( )

HSBC Securities (USA) Inc would like you to be aware that quarterly reports on the routing of HSBC Securities (USA) Inc 's nondirected orders in covered equity and option securities can be obtained by accessing

www us hsbc com/1/2/3/personal/other-services/investing/financial-statement,

or by contacting our Brokerage Customer Service department at 1-800-662-3343 A report on the identity of the venue to which your orders were routed for execution in the six months preceding the request, whether those orders were directed or non-directed, and the time of the transactions that resulted from those orders, will also be provided upon request

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Please be advised that, in accordance with FINRA Rule 4370, HSBC Securities (USA) Inc 's Business Contingency Plan Disclosure can be obtained by accessing
www us hsbc com/1/2/3/personal/other-services/investing/financial-statement, or by contacting our Brokerage Customer Service Department at 1-800-662-3343

Brokerage services are provided by HSBC Securities (USA) Inc , member NYSE/FINRA/SIPC

Messages *(continued)*

Please contact your HSBC Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account, or reasonably modify any existing restrictions Please contact your advisor if you would like to request a copy of our ADV Part 2 A & B or Part 2A Appendix 1 for any of the parties involved in the management of your account, including applicable advisors or sub-advisors

Important Arbitration Disclosures and Important Arbitration Agreement

All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed
Arbitration awards are generally final and binding, a party's ability to have a court reverse or modify an arbitration award is very limited
The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings
The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date
The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry
The rules of some arbitration forums may impose time limits for bringing a claim in arbitration In some cases, a claim that is ineligible for arbitration may be brought in court
The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until, (I) the class certification is denied, (II) the class is decertified, or (III) the client is excluded from the class by the court Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein The laws of the State of New York govern

Pershing's contact information is as follows Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399, (201) 413-3330

HSBC
HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

TERMS AND CONDITIONS

GENERAL INFORMATION

1 ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS

2 ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS

3 WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS, ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU

4 WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW

5 TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER

6 YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED

7 IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST

8 INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES

9 DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU, USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS

10 PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS

11 PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION
YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED

12 IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH DETAILS AVAILABLE UPON REQUEST

13 A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW PERSHING COM

14 THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS

THE ROLE OF PERSHING

PERSHING CARRIES YOUR ACCOUNT AS CLEARING BROKER PURSUANT TO A CLEARING AGREEMENT WITH YOUR FINANCIAL INSTITUTION PERSHING MAY ACCEPT FROM YOUR FINANCIAL INSTITUTION WITHOUT INQUIRY OR INVESTIGATION (I) ORDERS FOR THE PURCHASE AND SALE OF SECURITIES AND OTHER PROPERTY AND (II) ANY OTHER INSTRUCTIONS CONCERNING YOUR ACCOUNT PERSHING IS NOT RESPONSIBLE OR LIABLE FOR ANY ACTS OR OMISSIONS OF YOUR FINANCIAL INSTITUTION OR ITS EMPLOYEES AND IT DOES NOT SUPERVISE THEM PERSHING PROVIDES NO INVESTMENT ADVICE NOR DOES IT ASSESS THE SUITABILITY OF ANY TRANSACTION OR ORDER PERSHING ACTS AS THE AGENT OF YOUR FINANCIAL INSTITUTION AND YOU AGREE THAT YOU WILL NOT HOLD PERSHING OR ANY PERSON CONTROLLING OR UNDER COMMON CONTROL WITH IT LIABLE FOR ANY INVESTMENT LOSSES INCURRED BY YOU

PERSHING PERFORMS SEVERAL KEY FUNCTIONS AT THE DIRECTION OF YOUR FINANCIAL INSTITUTION IT ACTS AS CUSTODIAN FOR FUNDS AND SECURITIES YOU MAY DEPOSIT WITH IT DIRECTLY OR THROUGH YOUR FINANCIAL INSTITUTION OR THAT IT RECEIVES AS THE RESULT OF SECURITIES TRANSACTIONS IT PROCESSES

YOUR FINANCIAL INSTITUTION IS RESPONSIBLE FOR ADHERENCE TO THE SECURITIES LAWS, REGULATIONS AND RULES WHICH APPLY TO IT REGARDING ITS OWN OPERATIONS AND THE SUPERVISION OF YOUR ACCOUNT, ITS SALES REPRESENTATIVES AND OTHER PERSONNEL YOUR FINANCIAL INSTITUTION IS ALSO RESPONSIBLE FOR APPROVING THE OPENING OF ACCOUNTS AND OBTAINING ACCOUNT DOCUMENTS, THE ACCEPTANCE AND, IN CERTAIN INSTANCES, EXECUTION OF SECURITIES ORDERS, THE ASSESSMENT OF THE SUITABILITY OF THOSE TRANSACTIONS, WHERE APPLICABLE, THE RENDERING OF INVESTMENT ADVICE, IF ANY, TO YOU AND IN GENERAL, FOR THE ONGOING RELATIONSHIP THAT IT HAS WITH YOU

INQUIRIES CONCERNING THE POSITIONS AND BALANCES IN YOUR ACCOUNT MAY BE DIRECTED TO THE PERSHING CUSTOMER SERVICE DEPARTMENT AT (201) 413-3333 ALL OTHER INQUIRIES REGARDING YOUR ACCOUNT OR ACTIVITY SHOULD BE DIRECTED TO YOUR FINANCIAL INSTITUTION YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT

FOR A DESCRIPTION OF OTHER FUNCTIONS PERFORMED BY PERSHING PLEASE CONSULT THE DISCLOSURE STATEMENT PROVIDED TO YOU UPON THE OPENING OF YOUR ACCOUNT THIS NOTICE IS NOT MEANT AS A DEFINITIVE ENUMERATION OF EVERY POSSIBLE CIRCUMSTANCE, BUT AS A GENERAL DISCLOSURE IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE OR IF YOU WOULD LIKE ADDITIONAL COPIES OF THE DISCLOSURE STATEMENT, PLEASE CONTACT YOUR FINANCIAL INSTITUTION

PERSHING IS A MEMBER OF THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC®) PLEASE NOTE THAT SIPC DOES NOT PROTECT AGAINST LOSS DUE TO MARKET FLUCTUATION IN ADDITION TO SIPC PROTECTION, PERSHING PROVIDES COVERAGE IN EXCESS OF SIPC LIMITS FOR MORE DETAILED INFORMATION PLEASE VISIT WWW PERSHING COM/STRENGTH_STABILITY HTML

THIS STATEMENT WILL BE DEEMED CONCLUSIVE YOU ARE ADVISED TO REPORT ANY INACCURACY OR DISCREPANCY (INCLUDING UNAUTHORIZED TRADING), TO YOUR FINANCIAL ORGANIZATION AND PERSHING, WITHIN TEN DAYS AFTER RECEIPT OF THIS STATEMENT PLEASE BE ADVISED THAT ANY ORAL COMMUNICATION SHOULD BE RE-CONFIRMED IN WRITING TO FURTHER PROTECT YOUR RIGHTS, INCLUDING YOUR RIGHTS UNDER THE SECURITIES INVESTOR PROTECTION ACT

YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT PERSHING'S CONTACT INFORMATION IS AS FOLLOWS PERSHING LLC, LEGAL DEPARTMENT, ONE PERSHING PLAZA, JERSEY CITY, NEW JERSEY 07399, (201) 413-3330 ERRORS AND OMISSIONS EXCEPTED

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS—RULE 607(A)(1)–(2)]

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION IN ADDITION PERSHING MAY EXECUTE CERTAIN EQUITY ORDERS AS PRINCIPAL THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT

BEST EXECUTION NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO) ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN LEGAL DEPT

HSBC

HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage Account Statement

Account Number: HMP-010451
Statement Period: 03/01/2013 - 03/31/2013

ALLEN I GROSS CHARITABLE
FOUNDATION
50 BROADWAY
NEW YORK NY 10004- 3856

Your Financial Advisor Is:
STEPHEN FARINACCI/SETH HART
(212) 260-4235

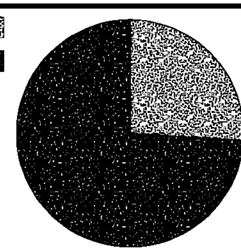
Valuation at a Glance

	h	d
Beginning Account Value	**$106,615.25**	**$101,019.08**
Cash Withdrawals	-909 59	-909 59
Dividends/Interest	30 71	175 63
Fees	-32 89	-417 17
Change in Account Value*	-102,934 38	-97,098 85
Ending Account Value	**$2,769.10**	**$2,769.10**
Estimated Annual Income	$11 04	

* Change in Account Value includes the value of free receives/delivers

Asset Allocation

	h	d	ll
Cash, Money Funds, and Bank Deposits	728 82	26%	
Equities	2,040 28	74%	
Account Total (Pie Chart)	**$2,769.10**	**100%**	



Asset Allocation percentages are rounded to the nearest whole percentage

Pie Chart allocation only includes products that are of positive value

Summary of Gains and Losses

	I d		
	h d		I d
Short-Term Gain/Loss	0 00	481 24	-18 91
Long-Term Gain/Loss	-443 27	350 13	-466 38
Net Gain/Loss	**-443.27**	**831.37**	**-485.29**

This summary excludes transactions where cost basis information is not available

Client Service Information

Your Financial Advisor Is: T53	**Contact Information**	**Client Service Information**
STEPHEN FARINACCI/SETH HART 769 BROADWAY NEW YORK NY 10003-	**Telephone Number:** (212) 260-4235	**Client Service Telephone Number:** (800) 662-3343
Investment Objective: GROWTH **Risk Exposure:** MODERATE RISK		If you have any questions concerning your investment objective or wish to make a change, please contact your Financial Advisor

Default Tax Lot Disposition Method for Mutual Funds: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for Stocks in a Dividend Reinvestment Plan: FIRST IN FIRST OUT
Default Tax Lot Disposition Method for All Other Securities: FIRST IN FIRST OUT

Electronic Delivery
You have not selected any account communications for electronic delivery To register and turn off paper communications, log in to your account or contact your Financial Advisor for more information

Portfolio Holdings

					I	d			
Q		b	d	I	I		h	Id	Id
Cash, Money Funds, and Bank Deposits 26.00% of Portfolio									
Cash Balance				0 00	728 82				
Money Market									
HSBC US GOVT MNY MKT CL Y									
	03/01/13	0000002222	03/25/13	2,520 13	0 00	0 00	0 04	0 01%	0 01%
Total Money Market				**$2,520.13**	**$0.00**	**$0.00**	**$0.04**		
Total Cash, Money Funds, and Bank Deposits				**$2,520.13**	**$728.82**	**$0.00**	**$0.04**		

Portfolio Holdings *(continued)*

Q				k	k l	l d /		d l	d ld
Equities 74.00% of Portfolio									
Common Stocks									
CORNERSTONE ONDEMAND INC COM			Security Identifier: CSOD						
CUSIP 21925Y103									
Dividend Option Cash									
16 000	03/08/13	35 3200	565 12	34 1000	545 60	-19 52			
1 000	03/21/13	33 4900	33 49	34 1000	34 10	0 61			
17.000	**Total Covered**		**598.61**		**579.70**	**-18.91**			
17.000	**Total**		**$598.61**		**$579.70**	**-$18.91**		**$0.00**	
LUFKIN INDS INC COM			Security Identifier: LUFK						
CUSIP 549764108									
Dividend Option Cash									
12 000	06/03/11	88 2880	1,059 46	66 3900	796 68	-262 78		6 00	0 75%
10 000	06/06/11	86 7500	867 50	66 3900	663 90	-203 60		5 00	0 75%
22.000	**Total Covered**		**1,926.96**		**1,460.58**	**-466.38**		**11.00**	
22.000	**Total**		**$1,926.96**		**$1,460.58**	**-$466.38**		**$11.00**	
Total Common Stocks			**$2,525.57**		**$2,040.28**	**-$485.29**		**$11.00**	
Total Equities			**$2,525.57**		**$2,040.28**	**-$485.29**		**$11.00**	

		k l	l d /	d	d l
Total Portfolio Holdings	**$3,254.39**	**$2,769.10**	**-$485.29**	**$0.00**	**$11.04**

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P L 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered " noncovered," under the new cost basis reporting rules, and marked or denoted as such All other securities in this section are securities which are "covered" under the new cost basis reporting rules Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed

Reporting requirements generally will be phased in over a three-year period, as follows

Stock in a corporation acquired on or after January 1, 2011

Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012

Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period The market prices have been obtained from quotation services, which we believe to be reliable Securities for which a price is not available are marked "N/A" and are omitted from the Total

The estimated annual income (EAI) and estimated current yield (ECY) figures are estimates and for informational purposes only These figures are not considered to be a forecast or guarantee of future results These figures are computed using information from providers believed to be reliable, however, no assurance can be made as to the accuracy Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions These figures assume that the position quantities, interest and dividend rates, and prices remain constant A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them Refer to www pershing com/business_continuity html for specific details as to formulas used to calculate the figures Accrued interest represents interest earned but not yet received

The Estimated Price as of Date only appears when the price date does not equal the statement date and the price indicated is estimated since it is not reflective of a last trade price on a recognized exchange

The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested You will not receive confirmation of these reinvestments Upon written request to your financial institution, information pertaining to these transactions, including the time of execution and the name of the person from whom your security was purchased In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow

Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you A summary of this information is available to you promptly upon your written request directed to your introducing firm In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation Additional information regarding this procedure is available upon written request to your introducing firm

Pershing may execute foreign currency transactions as principal for your account Pershing may automatically convert foreign currency to or from U S dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law Your financial organization may also increase the currency conversion rate This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit Transactions converted by agents (such as depositories) will be billed at the rates such agents use

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement The right to vote your shares held on margin may be reduced by the amount of shares on loan The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account

HSBC
HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage Account Statement

Statement Period: 03/01/2013 - 03/31/2013

Money Market Fund Detail

Other Money Market Funds

HSBC US GOVT MNY MKT CL Y

Account Number 0000002222 Current Yield 0 01% Activity Ending 03/25/13

03/01/13	Opening Balance		2,520 13	2,520 13
03/04/13	Deposit	MONEY FUND PURCHASE	25 50	2,545 63
03/06/13	Deposit	MONEY FUND PURCHASE	1,671 17	4,216 80
03/08/13	Deposit	MONEY FUND PURCHASE	510 29	4,727 09
03/11/13	Withdrawal	MONEY FUND REDEMPTION	-1,442 21	3,284 88
03/12/13	Withdrawal	MONEY FUND REDEMPTION	-579 39	2,705 49
03/13/13	Withdrawal	MONEY FUND REDEMPTION	-1,647 11	1,058 38
03/18/13	Deposit	MONEY FUND PURCHASE	1 20	1,059 58
03/21/13	Withdrawal	MONEY FUND REDEMPTION	-75 00	984 58
03/26/13	Withdrawal	MONEY FUND REDEMPTION	-984 58	0 00
03/26/13	Withdrawal	SHARES REDEEMED	-0 01	-0 01
03/26/13	Deposit	ACCRUED DIVIDEND CREDIT	0 01	0 00
03/25/13	**Closing Balance**			**$0.00**
Total All Money Market Funds				**$0.00**

Activity Summary (All amounts shown are in base currency USD)

d	h	d
Securities		
Securities Bought	0 00	0 00
Securities Sold	3,055 02	9,267 86
Total Securities	**$3,055.02**	**$9,267.86**
Dividends and Interest	**$30.71**	**$175.63**
Fees	**$42.11**	**$42.11**
Cash		
Withdrawals	0 00	0 00
Total Cash	**$0.00**	**$0.00**
Total Credits	**$3,127.84**	**$9,485.60**

b	h	d
Securities		
Securities Bought	-3,934 56	-11,251 96
Securities Sold	0 00	0 00
Total Securities	**-$3,934.56**	**-$11,251.96**
Dividends and Interest	**$0.00**	**$0.00**
Fees	**-$75.00**	**-$459.28**
Cash		
Withdrawals	-909 59	-909 59
Total Cash	**-$909.59**	**-$909.59**
Total Debits	**-$4,919.15**	**-$12,620.83**

Transactions in Date Sequence

				Q		d	
03/01/13		CASH DIVIDEND RECEIVED GEO	51 SHRS GEO GROUP INC COM RD 02/15 PD 03/01/13			25 50	USD
03/05/13	02/28/13	SOLD CTRX	CATAMARAN CORP COM ISIN# CA1488871023 SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-26 000	52 5710	1,366 81	USD
03/05/13	02/28/13	SOLD IPI	INTREPID POTASH INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-27 000	19 7310	532 72	USD
03/06/13	03/01/13	PURCHASED BSFT	BROADSOFT INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	11 000	20 7600	-228 36	USD
03/07/13	03/04/13	SOLD SAPE	SAPIENT CORP COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-45 000	11 3402	510 29	USD
03/08/13		CASH DIVIDEND RECEIVED LUFK	32 SHRS LUFKIN INDS INC COM RD 03/01 PD 03/08/13			4 00	USD
03/11/13	03/06/13	PURCHASED TFM	FRESH MKT INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	12 000	38 4400	-461 28	USD
03/11/13	03/06/13	PURCHASED RTI	RTI INTL METALS INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	10 000	30 4595	-304 60	USD
03/11/13	03/06/13	PURCHASED THR	THERMON GROUP HLDGS INC COM SOLICITED ORDER Average Price Trade Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	33 000	20 6160	-680 33	USD
03/12/13	03/07/13	PURCHASED HMSY	HMS HLDGS CORP COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	19 000	30 4940	-579 39	USD
03/13/13	03/08/13	PURCHASED APAM	ARTISAN PARTNERS ASSET MGMT INC CL A SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	29 000	37 3100	-1,081 99	USD
03/13/13	03/08/13	PURCHASED CSOD	CORNERSTONE ONDEMAND INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	16 000	35 3200	-565 12	USD
03/15/13		CASH DIVIDEND RECEIVED TGI	30 SHRS TRIUMPH GROUP INC NEW COM RD 03/01 PD 03/15/13			1 20	USD
03/25/13		ASSET BASED FEE USD999997	CLOSING FEE			42 11	USD
03/26/13	03/21/13	PURCHASED CSOD	CORNERSTONE ONDEMAND INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	1 000	33 4900	-33 49	USD

Transactions in Date Sequence *(continued)*

				Q		d		
03/26/13	03/21/13	SOLD LUFK	LUFKIN INDS INC COM SOLICITED ORDER Solicited order AVERAGE UNIT PRICE TRANSACTION YOUR BROKER ACTED AS AGENT	-10 000	64 5220		645 20	USD
03/26/13		YOUR ASSET TRANSFERRED ESGR	ENSTAR GROUP LIMITED ORD SHS ISIN# BMG3075P1014 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-5 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED PACD	PACIFIC DRILLING SA LUXEMBOURG U S COMMON STOCK ISIN# LU0611695635 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-47 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED EZCH	EZCHIP SEMICONDUCTOR LIMITED ORD SHS 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-33 000			0 00	USD
03/26/13		OUTGOING ACCOUNT TRANSFER FEE USD999997	OUTGOING ACCOUNT TRANSFER FEE ACCT HMP-010451				-75 00	USD
03/26/13		YOUR ASSET TRANSFERRED USD999997	ACAT BALANCE 0221 UBS FINANCIAL SERVICES INC A/C KU156591B				-909 59	USD
03/26/13		YOUR ASSET TRANSFERRED ACOR	ACORDA THERAPEUTICS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-32 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED ALGN	ALIGN TECHNOLOGY INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-41 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED ANSS	ANSYS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-10 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED ARIA	ARIAD PHARMACEUTICALS INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-57 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED APAM	ARTISAN PARTNERS ASSET MGMT INC CL A 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-29 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED AAWW	ATLAS AIR WORLDWIDE HLDGS INC COM NEW 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-12 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED ATW	ATWOOD OCEANICS INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED BYI	BALLY TECHNOLOGIES INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-30 000			0 00	USD
03/26/13		YOUR ASSET TRANSFERRED BMRN	BIOMARIN PHARMACEUTICAL INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000			0 00	USD

Transactions in Date Sequence *(continued)*

			Q	d		
03/26/13	YOUR ASSET TRANSFERRED BJRI	BJS RESTAURANTS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-25 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED BSFT	BROADSOFT INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-26 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED BWLD	BUFFALO WILD WINGS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-13 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CSH	CASH AMER INTL INC 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-21 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CAVM	CAVIUM INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-30 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED GTLS	CHART INDS INC COM PAR 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-8 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CHMT	CHEMTURA CORP COM NEW 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-30 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CHS	CHICOS FAS INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-35 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CGNX	COGNEX CORP 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-24 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED COHR	COHERENT INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-27 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CFX	COLFAX CORP COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-44 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CNQR	CONCUR TECHNOLOGIES INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-13 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CBST	CUBIST PHARMACEUTICALS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-23 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED CYBX	CYBERONICS INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-12 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DFRG	DEL FRISCOS RESTAURANT GROUP INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-29 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED DPZ	DOMINOS PIZZA INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-14 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED FTNT	FORTINET INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-73 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TFM	FRESH MKT INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-40 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED FIO	FUSION-IO INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-60 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED GEO	GEO GROUP INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-51 000		0 00	USD

Transactions in Date Sequence *(continued)*

03/26/13	YOUR ASSET TRANSFERRED GCO	GENESCO INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-47 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED GEOS	GEOSPACE TECHNOLOGIES CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-20 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED GRT	GLIMCHER RLTY TR SH BEN INT 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-90 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED GPOR	GULFPORT ENERGY CORP COM NEW 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-38 000		0 00	USD
03/26/13	MONEY MARKET FUND INCOME RECEIVED 403993488	HSBC US GOVT CL Y INCOME REDEEMED			0 01	USD
03/26/13	YOUR ASSET TRANSFERRED HMSY	HMS HLDGS CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-19 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED HXL	HEXCEL CORP NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-35 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED HUN	HUNTSMAN CORP COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-99 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED IPGP	IPG PHOTONICS CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-17 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED JBLU	JETBLUE AIRWAYS CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-114 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED KRA	KRATON PERFORMANCE POLYMERS INCCOM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-25 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED LSTR	LANDSTAR SYSTEMS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-20 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED LQDT	LIQUIDITY SVCS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-13 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED LPX	LOUISIANA-PAC CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-99 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MWIV	MWI VETERINARY SPLY INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-6 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SHOO	MADDEN STEVEN LTD COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-32 000		0 00	USD

Transactions in Date Sequence *(continued)*

			Q	d		
03/26/13	YOUR ASSET TRANSFERRED MDAS	MEDASSETS INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-83 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MDSO	MEDIDATA SOLUTIONS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-44 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED MWW	MONSTER WORLDWIDE INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-214 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED NICE	NICE SYSTEMS LTD SPONS ADR 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-14 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED NWPX	NORTHWEST PIPE CO COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-23 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED OAS	OASIS PETE INC NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-32 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PMTC	PTC INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-46 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PTRY	PANTRY INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-43 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PRXL	PAREXEL INTL CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-32 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PNK	PINNACLE ENTMT INC 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-41 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED PRA	PROASSURANCE CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-16 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED KWR	QUAKER CHEM CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED QLIK	QLIK TECHNOLOGIES INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-53 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED RTI	RTI INTL METALS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-36 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED RBC	REGAL BELOIT CORP WISCONSIN ISIN# US7587501039 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-14 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED RVBD	RIVERBED TECHNOLOGY INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-63 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED RYL	RYLAND GROUP INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-41 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SHFL	SHFL ENTMT INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-79 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SLXP	SALIX PHARMACEUTICALS LTD COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-26 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SGEN	SEATTLE GENETICS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-25 000		0 00	USD

Transactions in Date Sequence *(continued)*

I / d /			Q	d		
03/26/13	YOUR ASSET TRANSFERRED SIRO	SIRONA DENTAL SYS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-31 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED BID	SOTHEBYS CL A 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-28 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED FIRE	SOURCEFIRE INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-13 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SF	STIFEL FINANCIAL CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-22 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED SKT	TANGER FACTORY OUTLET CENTERS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-28 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TMH	TEAM HEALTH HLDGS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-25 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TER	TERADYNE INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-53 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TEX	TEREX CORP NEW 01 PV 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-46 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TXI	TEXAS INDS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-13 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED THR	THERMON GROUP HLDGS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-54 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED THOR	THORATEC CORP COM NEW 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-29 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TIBX	TIBCO SOFTWARE INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-32 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TGI	TRIUMPH GROUP INC NEW COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-30 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TUMI	TUMI HLDGS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-32 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED TWO	TWO HBRS INVT CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-99 000		0 00	USD
03/26/13	YOUR ASSET TRANSFERRED UMBF	UMB FINL CORP COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-11 000		0 00	USD

Transactions in Date Sequence *(continued)*

03/26/13	YOUR ASSET TRANSFERRED USG	USG CORP (NEW) COMMON STOCK 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-39 000			0 00	USD
03/26/13	YOUR ASSET TRANSFERRED LCC	U S AWYS GROUP INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-43 000			0 00	USD
03/26/13	YOUR ASSET TRANSFERRED ULTI	ULTIMATE SOFTWARE GROUP INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-6 000			0 00	USD
03/26/13	YOUR ASSET TRANSFERRED UNFI	UNITED NAT FOODS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-22 000			0 00	USD
03/26/13	YOUR ASSET TRANSFERRED UTHR	UNITED THERAPEUTICS CORP DEL COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-32 000			0 00	USD
03/26/13	YOUR ASSET TRANSFERRED UEIC	UNIVERSAL ELCECTRS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-38 000			0 00	USD
03/26/13	YOUR ASSET TRANSFERRED VECO	VEECO INSTRS INC DEL COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-22 000			0 00	USD
03/26/13	YOUR ASSET TRANSFERRED VPHM	VIROPHARMA INC COM 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-41 000			0 00	USD
03/26/13	YOUR ASSET TRANSFERRED VSI	VITAMIN SHOPPE INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-42 000			0 00	USD
03/26/13	YOUR ASSET TRANSFERRED WBC	WABCO HLDGS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-20 000			0 00	USD
03/26/13	YOUR ASSET TRANSFERRED WCN	WASTE CONNECTIONS INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-52 000			0 00	USD
03/26/13	YOUR ASSET TRANSFERRED WWWW	WEB COM GROUP INC COM 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-30 000			0 00	USD
03/26/13	YOUR ASSET TRANSFERRED WCG	WELLCARE HEALTH PLANS INC 0221 UBS FINANCIAL SERVICES INC A/C KU156591B	-14 000			0 00	USD
03/26/13	YOUR ASSET TRANSFERRED Z	ZILLOW INC CL A 0221 UBS FINANCIAL SERVICES INC. A/C KU156591B	-14 000			0 00	USD
Total Value of Transactions					**$0.00**	**-$1,791.31**	

The price and quantity displayed may have been rounded

Schedule of Realized Gains and Losses Year-to-Date

Short Term								
01/02/13	06/21/12	SELL First In First Out	LOUISIANA-PAC CORP C	LPX	30 000	306 59	595 19	288 60

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

				d f	Q		d	I d / / II
Short Term *(continued)*								
01/08/13	08/20/12	SELL First In First Out	WELLCARE HEALTH PLAN	WCG	11 000	604 34	507 74	-96 60
01/18/13	02/15/12	MERF First In First Out	OPNET TECHNOLOGIES I 12/18/12 1 OLD / 0 2774 NEW C U 768573107 RIVERBED TECH INC	683757108	9 000	266 19	376 70	110 51
02/22/13	07/25/12	SELL First In First Out	USG CORP (NEW) COMMO	USG	17 000	289 60	468 33	178 73
Total Short Term						**$1,466.72**	**$1,947.96**	**$481.24**
Long Term								
01/18/13	12/12/11	SELL First In First Out	ONYX PHARMACEUTICALS OM	ONXX	9 000	346 05	695 23	349 18
01/18/13	11/10/11	MERF First In First Out	OPNET TECHNOLOGIES I 12/18/12 1 OLD / 0 2774 NEW C U 768573107 RIVERBED TECH INC	683757108	12 000	412 29	502 26	89 97
01/18/13	06/03/11	CLEU First In First Out	RIVERBED TECHNOLOGY	RVBD	0 825	30 62	15 89	0 00 -14 73[3]
01/29/13	06/03/11	SELL First In First Out	HERBALIFE LTD USD CO # KYG4412G1010	HLF	2 000	110 75	80 33	-30 42
01/29/13	06/06/11	SELL First In First Out	HERBALIFE LTD USD CO # KYG4412G1010	HLF	12 000	657 12	481 95	-175 17
01/29/13	10/31/11	SELL First In First Out	HERBALIFE LTD USD CO # KYG4412G1010	HLF	1 000	60 82	40 16	-20 66
01/30/13	06/03/11	SELL First In First Out	DUFF & PHELPS CORP N M	DUF	32 000	448 94	502 06	53 12

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Long Term *(continued)*								
01/30/13	06/06/11	SELL First In First Out	DUFF & PHELPS CORP N M	DUF	1 000	13 78	15 69	1 91
02/12/13	12/12/11	SELL First In First Out	ONYX PHARMACEUTICALS OM	ONXX	13 000	499 84	966 39	466 55
02/15/13	12/28/11	SELL First In First Out	FORTINET INC COM	FTNT	26 000	557 68	611 89	54 21
02/22/13	06/03/11	SELL First In First Out	REGAL BELOIT CORP WI IN# US7587501039	RBC	6 000	400 36	464 44	64 08
02/28/13	06/03/11	SELL First In First Out	CATAMARAN CORP COM I 871023	CTRX	23 358	1,020 56	1,227 90	207 34
02/28/13	10/31/11	SELL First In First Out	CATAMARAN CORP COM I 871023	CTRX	2 642	108 94	138 91	29 97
02/28/13	06/03/11	SELL First In First Out	INTREPID POTASH INC	IPI	19 000	585 72	374 88	-210 84
02/28/13	06/06/11	SELL First In First Out	INTREPID POTASH INC	IPI	8 000	243 68	157 84	-85 84
03/04/13	08/05/11	SELL First In First Out	SAPIENT CORP COM 91 day(s) added to your holding period as a result of a wash sale	SAPE	45 000	715 87	510 29	-205 58
03/21/13	06/03/11	SELL First In First Out	LUFKIN INDS INC COM	LUFK	10 000	882 89	645 20	-237 69
Total Long Term						**$7,095.91**	**$7,431.31**	**$350.13**
Total Short Term and Long Term						**$8,562.63**	**$9,379.27**	**$831.37**

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P L 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such All other securities in this section are securities which are "covered" under the new cost basis reporting rules Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed

Reporting requirements generally will be phased in over a three-year period, as follows

Stock in a corporation acquired on or after January 1, 2011
Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital In addition, corporate action events may require adjustments to your original cost basis Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you

When you report your cost basis on your tax return, it should be verified using all of your own records In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H R 1424 You should consult with your tax advisor in order to properly report your gain or loss for tax purposes Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting

[3] Realized loss is net of a disallowance due to a wash sale

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account If you do not select a method, your account will be defaulted to First In, First Out (FIFO) Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B

Cash Not Yet Received

	d	bl	Q	ld	f	d d
Dividends						
CHICOS FAS INC COM	03/18/13	04/01/13	35 000	0 055000	1 93	Cash
DOMINOS PIZZA INC COM	03/15/13	03/29/13	14 000	0 200000	2 80	Cash
HUNTSMAN CORP COM	03/15/13	03/29/13	99 000	0 125000	12 38	Cash
UMB FINL CORP COM	03/08/13	04/01/13	11 000	0 215000	2 37	Cash
Total Cash Not Yet Received					**$19.48**	

Assets shown here are not reflected in your account This information has been received from sources we believe to be reliable Pershing does not guarantee the accuracy of the information

Messages

Pershing has been advised by your financial organization that it does not receive payment for directing order flow See the Terms and Conditions section of your brokerage account statement for a description of Pershing's payment for order flow practices

For additional information regarding order-routing practices and the venues to which your financial organization's orders are routed, you may visit www orderroutingdisclosure com

Upon written request to your financial organization, you may obtain the identity of the venue to which your orders were routed for the six months prior to your request and the time of transactions that may have resulted from such orders

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To review our Privacy Notice and learn more about our online privacy practices, please visit our website at
http //www us hsbc com/1/2/home/site/privacy

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HSBC Securities (USA) Inc 's net capital as of December 31, 2012 in accordance with SEC Rule 15c3-1, was $1,118,284,900 with a net capital requirement of $124,097,905 Clients may obtain HSBC Securities (USA) Inc 's Statement of Financial Condition, at no cost, by accessing

http //www us hsbc com/1/2/3/personal/other-services/investing/financial-statement,

or by contacting our Brokerage Customer Service Department at 1-800-662-3343

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HSBC Securities (USA) Inc would like you to be aware that quarterly reports on the routing of HSBC Securities (USA) Inc 's nondirected orders in covered equity and option securities can be obtained by accessing

www us hsbc com/1/2/3/personal/other-services/investing/financial-statement,

or by contacting our Brokerage Customer Service department at 1-800-662-3343 A report on the identity of the venue to which your orders were routed for execution in the six months preceding the request, whether those orders were directed or non-directed, and the time of the transactions that resulted from those orders, will also be provided upon request

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Please be advised that, in accordance with FINRA Rule 4370, HSBC Securities (USA) Inc 's Business Contingency Plan Disclosure can be obtained by accessing
www us hsbc com/1/2/3/personal/other-services/investing/financial-statement, or by contacting our Brokerage Customer Service Department at 1-800-662-3343

Brokerage services are provided by HSBC Securities (USA) Inc , member NYSE/FINRA/SIPC

Please contact your HSBC Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account, or reasonably modify any existing restrictions Please contact your advisor if you would like to request a copy of our ADV Part 2 A & B or Part 2A Appendix 1 for any of the parties involved in the management of your account, including applicable advisors or sub-advisors

HSBC
HSBC Securities (USA) Inc
452 Fifth Avenue
New York, NY 10018
800-662-3343

Brokerage
Account Statement

Statement Period: 03/01/2013 - 03/31/2013

Important Arbitration Disclosures and Important Arbitration Agreement

All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed
Arbitration awards are generally final and binding, a party's ability to have a court reverse or modify an arbitration award is very limited
The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings
The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date
The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry
The rules of some arbitration forums may impose time limits for bringing a claim in arbitration In some cases, a claim that is ineligible for arbitration may be brought in court
The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until, (I) the class certification is denied, (II) the class is decertified, or (III) the client is excluded from the class by the court Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein The laws of the State of New York govern

Pershing's contact information is as follows Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399, (201) 413-3330

GENERAL INFORMATION

1 ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS

2 ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS

3 WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS, ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU

4 WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF, WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW

5 TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER

6 YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED

7 IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST

8 INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES

9 DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NONTAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU, USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS

10 PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS

11 PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED

12 IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH DETAILS AVAILABLE UPON REQUEST

13 A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW PERSHING COM

14 THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS

THE ROLE OF PERSHING

PERSHING CARRIES YOUR ACCOUNT AS CLEARING BROKER PURSUANT TO A CLEARING AGREEMENT WITH YOUR FINANCIAL INSTITUTION PERSHING MAY ACCEPT FROM YOUR FINANCIAL INSTITUTION WITHOUT INQUIRY OR INVESTIGATION (I) ORDERS FOR THE PURCHASE AND SALE OF SECURITIES AND OTHER PROPERTY AND (II) ANY OTHER INSTRUCTIONS CONCERNING YOUR ACCOUNT PERSHING IS NOT RESPONSIBLE OR LIABLE FOR ANY ACTS OR OMISSIONS OF YOUR FINANCIAL INSTITUTION OR ITS EMPLOYEES AND IT DOES NOT SUPERVISE THEM PERSHING PROVIDES NO INVESTMENT ADVICE NOR DOES IT ASSESS THE SUITABILITY OF ANY TRANSACTION OR ORDER PERSHING ACTS AS THE AGENT OF YOUR FINANCIAL INSTITUTION AND YOU AGREE THAT YOU WILL NOT HOLD PERSHING OR ANY PERSON CONTROLLING OR UNDER COMMON CONTROL WITH IT LIABLE FOR ANY INVESTMENT LOSSES INCURRED BY YOU

PERSHING PERFORMS SEVERAL KEY FUNCTIONS AT THE DIRECTION OF YOUR FINANCIAL INSTITUTION IT ACTS AS CUSTODIAN FOR FUNDS AND SECURITIES YOU MAY DEPOSIT WITH IT DIRECTLY OR THROUGH YOUR FINANCIAL INSTITUTION OR THAT IT RECEIVES AS THE RESULT OF SECURITIES TRANSACTIONS IT PROCESSES

YOUR FINANCIAL INSTITUTION IS RESPONSIBLE FOR ADHERENCE TO THE SECURITIES LAWS, REGULATIONS AND RULES WHICH APPLY TO IT REGARDING ITS OWN OPERATIONS AND THE SUPERVISION OF YOUR ACCOUNT, ITS SALES REPRESENTATIVES AND OTHER PERSONNEL YOUR FINANCIAL INSTITUTION IS ALSO RESPONSIBLE FOR APPROVING THE OPENING OF ACCOUNTS AND OBTAINING ACCOUNT DOCUMENTS, THE ACCEPTANCE AND, IN CERTAIN INSTANCES, EXECUTION OF SECURITIES ORDERS, THE ASSESSMENT OF THE SUITABILITY OF THOSE TRANSACTIONS, WHERE APPLICABLE, THE RENDERING OF INVESTMENT ADVICE, IF ANY, TO YOU AND IN GENERAL, FOR THE ONGOING RELATIONSHIP THAT IT HAS WITH YOU

INQUIRIES CONCERNING THE POSITIONS AND BALANCES IN YOUR ACCOUNT MAY BE DIRECTED TO THE PERSHING CUSTOMER SERVICE DEPARTMENT AT (201) 413-3333 ALL OTHER INQUIRIES REGARDING YOUR ACCOUNT OR ACTIVITY SHOULD BE DIRECTED TO YOUR FINANCIAL INSTITUTION YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT

FOR A DESCRIPTION OF OTHER FUNCTIONS PERFORMED BY PERSHING PLEASE CONSULT THE DISCLOSURE STATEMENT PROVIDED TO YOU UPON THE OPENING OF YOUR ACCOUNT THIS NOTICE IS NOT MEANT AS A DEFINITIVE ENUMERATION OF EVERY POSSIBLE CIRCUMSTANCE, BUT AS A GENERAL DISCLOSURE IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE OR IF YOU WOULD LIKE ADDITIONAL COPIES OF THE DISCLOSURE STATEMENT, PLEASE CONTACT YOUR FINANCIAL INSTITUTION

PERSHING IS A MEMBER OF THE SECURITIES INVESTOR PROTECTION CORPORATION (SIPC®) PLEASE NOTE THAT SIPC DOES NOT PROTECT AGAINST LOSS DUE TO MARKET FLUCTUATION IN ADDITION TO SIPC PROTECTION, PERSHING PROVIDES COVERAGE IN EXCESS OF SIPC LIMITS FOR MORE DETAILED INFORMATION PLEASE VISIT WWW PERSHING COM/STRENGTH_STABILITY HTML

THIS STATEMENT WILL BE DEEMED CONCLUSIVE YOU ARE ADVISED TO REPORT ANY INACCURACY OR DISCREPANCY (INCLUDING UNAUTHORIZED TRADING), TO YOUR FINANCIAL ORGANIZATION AND PERSHING, WITHIN TEN DAYS AFTER RECEIPT OF THIS STATEMENT PLEASE BE ADVISED THAT ANY ORAL COMMUNICATION SHOULD BE RE-CONFIRMED IN WRITING TO FURTHER PROTECT YOUR RIGHTS, INCLUDING YOUR RIGHTS UNDER THE SECURITIES INVESTOR PROTECTION ACT

YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT PERSHING'S CONTACT INFORMATION IS AS FOLLOWS PERSHING LLC, LEGAL DEPARTMENT, ONE PERSHING PLAZA, JERSEY CITY, NEW JERSEY 07399, (201) 413-3330 ERRORS AND OMISSIONS EXCEPTED

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICIES DISCLOSURES [REGULATION NMS—RULE 607(A)(1)–(2)]

PERSHING SENDS CERTAIN EQUITY ORDERS TO EXCHANGES, ELECTRONIC COMMUNICATION NETWORKS, OR BROKER-DEALERS DURING NORMAL BUSINESS HOURS AND DURING EXTENDED TRADING SESSIONS CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION IN ADDITION PERSHING MAY EXECUTE CERTAIN EQUITY ORDERS AS PRINCIPAL THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST PERSHING RECEIVES PAYMENTS FOR DIRECTING LISTED OPTIONS ORDER FLOW TO CERTAIN OPTION EXCHANGES COMPENSATION IS GENERALLY IN THE FORM OF A PER OPTION CONTRACT CASH PAYMENT

BEST EXECUTION NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO) ON CERTAIN LARGER ORDERS, OR IF THE DESIGNATED MARKET CENTERS DO NOT MAKE A MARKET IN THE SUBJECT SECURITY, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS IN ONE OR MORE MARKET SEGMENTS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN LEGAL DEPT