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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

For calendar year 2012 or tax year beginning DEC 1, 2012, and ending NOV 30, 2013

Name of foundation
THE EDWARD E. HOOD, JR. FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
CUMMINGS & LOCKWOOD (SMM), P.O. BOX 120

City or town, state, and ZIP code
STAMFORD, CT 06904

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ 1,695,950. (Part I, column (d) must be on cash basis)

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____

A Employer identification number
06-6304421

B Telephone number
203-351-4343

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	4,464.		N/A	
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	20,563.	20,563.		STATEMENT 1
4	Dividends and interest from securities	40,304.	40,304.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	3,476.			
b	Gross sales price for all assets on line 6a	18,451.			
7	Capital gain net income (from Part IV, line 2)		3,476.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	68,807.	64,343.		
13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees STMT 3	3,473.	3,473.		0.
b	Accounting fees				
c	Other professional fees				
17	Interest				
18	Taxes STMT 4	991.	0.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 5	11,944.	11,944.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	16,408.	15,417.		0.
25	Contributions, gifts, grants paid	70,000.			70,000.
26	Total expenses and disbursements. Add lines 24 and 25	86,408.	15,417.		70,000.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	<17,601.>			
b	Net investment income (if negative, enter -0-)		48,926.		
c	Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	33,984.	23,558.	23,558.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 6	1,206,841.	1,199,666.	1,268,212.
	c Investments - corporate bonds STMT 7	387,261.	387,261.	404,180.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	1,628,086.	1,610,485.	1,695,950.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	1,958,504.	1,958,504.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	<330,418.>	<348,019.>	
30 Total net assets or fund balances	1,628,086.	1,610,485.		
31 Total liabilities and net assets/fund balances	1,628,086.	1,610,485.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,628,086.
2 Enter amount from Part I, line 27a	2	<17,601.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,610,485.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,610,485.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 114.338917 SHS KRAFT FOODS GROUP INC.	P	07/15/98	12/17/12
b 500 SHS HEWLETT-PACKARD CO	P	07/27/98	10/18/13
c RESERVE FUND - WORTHLESS	P		12/15/12
d 44.661083 SHS KRAFT FOODS GROUP INC.	P	09/11/07	12/17/12
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,121.		1,665.	3,456.
b 11,330.		11,006.	324.
c		711.	<711.>
d 2,000.		1,593.	407.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			3,456.
b			324.
c			<711.>
d			407.
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,476.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	85,813.	1,568,568.	.054708
2010	64,687.	1,485,596.	.043543
2009	59,592.	1,391,959.	.042812
2008	41,365.	1,220,095.	.033903
2007	41,531.	1,598,279.	.025985

2 Total of line 1, column (d)	2	.200951
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.040190
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	1,664,017.
5 Multiply line 4 by line 3	5	66,877.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	489.
7 Add lines 5 and 6	7	67,366.
8 Enter qualifying distributions from Part XII, line 4	8	70,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	489.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	489.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	489.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012		6a	689.
b Exempt foreign organizations - tax withheld at source		6b	
c Tax paid with application for extension of time to file (Form 8868)		6c	
d Backup withholding erroneously withheld		6d	
7 Total credits and payments. Add lines 6a through 6d		7	689.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	200.
11 Enter the amount of line 10 to be Credited to 2013 estimated tax		11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► JOHN R. MUSICARO, JR., ESQ. Telephone no ► 203-351-4343 Located at ► CUMMINGS & LOCKWOOD, 6 LANDMARK SQ, STAMFORD, CT ZIP+4 ► 06901			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EDWARD E. HOOD, JR. CUMMINGS & LOCKWOOD 6 LANDMARK SQUARE STAMFORD, CT 06901	TRUSTEE 0.50	0.	0.	0.
KAY T. HOOD CUMMINGS & LOCKWOOD 6 LANDMARK SQUARE STAMFORD, CT 06901	CO-TRUSTEE 0.25	0.	0.	0.
LISA H. BEZZEG CUMMINGS & LOCKWOOD 6 LANDMARK SQUARE STAMFORD, CT 06901	CO-TRUSTEE 0.25	0.	0.	0.
MOLLY H. O'BRIEN CUMMINGS & LOCKWOOD 6 LANDMARK SQUARE STAMFORD, CT 06901	CO-TRUSTEE 0.25	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,635,503.
b	Average of monthly cash balances	1b	53,854.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,689,357.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,689,357.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	25,340.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,664,017.
6	Minimum investment return. Enter 5% of line 5	6	83,201.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	83,201.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	489.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	489.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	82,712.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	82,712.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	82,712.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	70,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	70,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	489.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	69,511.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				82,712.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			64,570.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 70,000.				
a Applied to 2011, but not more than line 2a			64,570.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				5,430.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				77,282.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

N/A

- ▶

☐ 4942(I)(3) or ☐ 4942(I)(5)

- (4) Gross investment income**

[illegible]

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

EDWARD E. HOOD, JR.

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
A BETTER CHANCE IN DARIEN PO BOX 3204 DARIEN, CT 06820	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	2,000.
A BETTER CHANCE IN DARIEN PO BOX 3204 DARIEN, CT 06820	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	7,500.
ARCH FOUNDATION FOR THE UNIVERSITY OF GEORGIA INC, (CVM SCHOLARSHIP), 501 D.W. BROOKS DRIVE ATHENS, GA 30602-7372	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	6,000.
DARIEN LAND TRUST PO BOX 1074 DARIEN, CT 06820	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	500.
DARIEN YMCA, 2420 POST ROAD DARIEN, CT 06820	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	5,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	70,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVII . Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|--|-------|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: Edward E. Hood Date: 4/11/14 Title: Trustee

May the IRS discuss this return with the preparer shown below (see instr 1)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

CAROL J. DEROSIER

Preparer's signature

Carol L. Delpierre

Date _____

4/9/14

Check ☐ if
self-employed

PTIN

P01246704

Firm's name ► CUMMINGS & LOCKWOOD LLC

Firm's EIN ► 06-0312590

Firm's address ► 6 LANDMARK SQUARE
STAMFORD, CT 06901

Phone no 860-313-4926

Form **990-PF** (2012)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization

Employer identification number

THE EDWARD E. HOOD, JR. FOUNDATION

06-6304421

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization	Employer identification number
THE EDWARD E. HOOD, JR. FOUNDATION	06-6304421

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	EDWARD E. HOOD, JR. C/O CUMMINGS & LOCKWOOD (JRM) P.O. BOX 120 STAMFORD, CT 06904	\$ 4,464.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

THE EDWARD E. HOOD, JR. FOUNDATION

06-6304421

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	<div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div>	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	<div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div>	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	<div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div>	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	<div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div>	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	<div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div>	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	<div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div> <div style="border-bottom: 1px solid black; height: 1em;"></div>	\$ _____	_____

Name of organization

Employer identification number

THE EDWARD E. HOOD, JR. FOUNDATION

06-6304421

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EE MIXON SECOND DRAGOONS FOUNDATION, 217 PAINTED FALL WAY CARY, NC 27513	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	1,000.
EQUESTRIAN LAND CONSERVATION RESOURCE, 4037 IRON WORKS PARKWAY LEXINGTON, KY 40511	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	1,000.
EQUESTRIAN AID FOUNDATION 11924 WEST FOREST HILL BLVD, SUITE 22-377 WELLINGTON, FL 33414	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	1,000.
FIRST CONGREGATIONAL CHURCH OF DARIEN, 14 BROOKSIDE RD DARIEN, CT 06820	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	9,000.
FIRST CONGREGATIONAL CHURCH OF DARIEN, NURSEY SCHOOL, 14 BROOKSIDE RD DARIEN, CT 06820	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	5,000.
FOOTHILLS EQUESTRIAN NATURE CENTER 3381 HUNTING COUNTRY ROAD TRYON, NC 28722	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	5,000.
FOOTHILLS HUMANE SOCIETY INC. 989 LITTLE MOUNTAIN ROAD COLUMBUS, NC 28722	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	2,000.
GARRY LOZIER MEMORIAL SCHOLARSHIP FUND, C/O CHILDRENS SCHOOL INC, 118 SCOFIELDTOWN ROAD STAMFORD, CT 06903	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	500.
HOSPICE OF THE CAROLINA FOOTHILLS, INC. 130 FOREST GLEN DRIVE COLUMBUS, NC 08722	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	3,000.
NATIONAL EATING DISORDERS ASSOCIATION (NEDA), 165 WEST 46TH STREET NEW YORK, NY 10036	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	500.
Total from continuation sheets				49,000.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PACOLET AREA CONSERVANCY INC 850 N. TRADE STREET TRYON, NC 28782	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	2,500.
SKIDMORE COLLEGE, 815 NORTH BROADWAY SARATOGA SPRINGS, NY 12866	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	2,000.
SPARTANBURG HUMANE SOCIETY, 150 DEXTER ROAD SPARTANBURG, SC 29303-2088	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	1,000.
ST LUKE'S HOSPITAL FOUNDATION, INC. 101 HOSPITAL DRIVE COLUMBUS, NC 28722	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	2,500.
STEPS TO HOPE INC, PO BOX 518 COLUMBUS, NC 28722	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	2,000.
THE COMMUNITY FUND OF DARIEN P.O. BOX 926 DARIEN, CT 06820	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	250.
THERMAL BELT OUTREACH MINISTRY, PO BOX 834 COLUMBUS, NC 08722	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	8,000.
TREE CONSERVANCY OF DARIEN, 25 OLD KINGS HWY N STE 13 166 DARIEN, CT 06820	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	250.
TRYON RIDING & HUNT CLUB 6985 S. NC 9 HWY COLUMBUS, NC 28722	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	1,000.
WESTMINSTER SCHOOL, ANNUAL FUND, 995 HOPMEADOW STREET SIMSBURY, CT 06070	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	250.
Total from continuation sheets				

3 Grants and Contributions Paid During the Year (Continuation)

[illegible]

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
\$50,000 CISCO SYSTEMS 4.45% 1/15/20	2,225.
\$50,000 PACIFIC BELL TELEPHONE 7.125% 3/15/26	3,563.
\$50,000 VIRGINIA COMMONWEALTH TRANS BD 5.6% 5/15/25	2,800.
\$50,000 WALMART STORES 6.75% 10/15/23	3,375.
\$50,000 WALT DISNEY 5.5% 3/15/19	2,750.
\$50,000 WISCONSIN ELECTRICAL POWER 6.5% 6/1/28	3,250.
\$50,000 WISCONSIN G.O. 5.2% 5/1/26	2,600.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	20,563.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CATERPILLAR INC	896.	0.	896.
CHURCH & DWIGHT	864.	0.	864.
COLGATE PALMOLIVE	1,064.	0.	1,064.
CONOCOPHILIPS	534.	0.	534.
EXXON MOBIL CORP	1,200.	0.	1,200.
GENERAL ELECTRIC CO	7,600.	0.	7,600.
HCP INC	8,400.	0.	8,400.
HEWLETT-PACKARD CO	277.	0.	277.
INTEGRYS ENERGY GROUP INC.	2,448.	0.	2,448.
JOHNSON & JOHNSON	2,032.	0.	2,032.
JOHNSON CONTROLS	684.	0.	684.
MCDONALDS CORP	1,232.	0.	1,232.
MICROSOFT CORP	920.	0.	920.
MONDELEZ INTERNATIONAL, INC.	398.	0.	398.
PEPSICO INC	442.	0.	442.
PHILIP MORRIS INTERNATIONAL INC	1,745.	0.	1,745.
PHILLIPS 66	119.	0.	119.
PROCTOR & GAMBLE	1,183.	0.	1,183.
REYNOLDS AMERICAN INC.	2,440.	0.	2,440.
UNITED TECHNOLOGIES CORP	856.	0.	856.
VERIZON COMMUNICATIONS	2,282.	0.	2,282.
VISA INC CL A	528.	0.	528.
WASHINGTON REAL ESTATE	2,160.	0.	2,160.
TOTAL TO FM 990-PF, PART I, LN 4	40,304.	0.	40,304.

FORM 990-PF	LEGAL FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CUMMINGS & LOCKWOOD	3,473.	3,473.		0.	
TO FM 990-PF, PG 1, LN 16A	3,473.	3,473.		0.	

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EXCISE TAX F/Y/E 11/30/12	302.	0.		0.	
ESTIMATED EXCISE TAX FYE 11/30/13	689.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	991.	0.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
DIAMANT INVESTMENT CORP, INVESTMENT ADVISORY FEES	11,944.	11,944.		0.	
TO FORM 990-PF, PG 1, LN 23	11,944.	11,944.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
400 SHS CATERPILLAR INC	29,969.	33,840.		
800 SHS CHURCH & DWIGHT CO INC	26,123.	52,200.		
200 SHS CONOCOPHILLIPS	13,029.	14,560.		
500 SHS EXXON MOBIL CORP	29,239.	46,740.		
10,000 SHS GENERAL ELECTRIC	548,750.	266,600.		

4,000 SHS HCP INC	83,669.	147,080.
800 SHS JOHNSON & JOHNSON	40,432.	75,728.
900 SHS JOHNSON CONTROLS INC	21,052.	45,459.
400 SHS MCDONALDS CORP	30,421.	38,948.
1,000 SHS MICROSOFT CORP	35,098.	38,130.
200 SHS PEPSICO	14,601.	16,892.
500 SHS PHILIP MORRIS INTL INC	10,719.	42,770.
500 SHS PROCTOR & GAMBLE	28,858.	42,110.
400 SHS UNITED TECHNOLOGIES CORP	24,692.	44,344.
400 SHS VISA INC	28,236.	81,834.
100 SHS. PHILLIPS 66	3,872.	6,961.
1,000 SHS. REYNOLDS AMERICAN INC.	40,097.	50,540.
1,100 SHS. VERIZON COMMUNICATIONS	42,040.	54,582.
900 SHS. INTEGRYS ENERGY GROUP INC	47,895.	48,366.
1,800 SHS. WASHINGTON REAL ESTATE INVESTMENT TRUST	56,103.	42,732.
800 SHS COLGATE PALMOLIVE CO	31,589.	52,648.
750 SHS MONDELEZ INTERNATIONAL INC	13,182.	25,148.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,199,666.	1,268,212.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
\$50,000 CISCO SYSTEMS 4.45% DUE 1/15/20	50,500.	54,986.
\$50,000 PACIFIC BELL TELEPHONE 7.125% DUE 3/15/26	59,500.	59,450.
\$50,000 VIRGINIA COMMONWEALTH TRANS BD 5.6% 5/15/25	51,250.	54,700.
\$50,000 WALMART 6.75% 10/15/23	60,082.	62,783.
\$50,000 WALT DISNEY 5.5% 3/15/19	55,000.	57,810.
\$50,000 WISCONSIN ELECTRICAL POWER 6.5%DUE 6/1/28	59,500.	60,318.
\$50,000 WISCONSIN G.O. 5.2% 5/1/26	51,429.	54,133.
TOTAL TO FORM 990-PF, PART II, LINE 10C	387,261.	404,180.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS
PART VII-A, LINE 10

STATEMENT 8

NAME OF CONTRIBUTORADDRESS

EDWARD E. HOOD, JR.

CUMMINGS & LOCKWOOD (JRM), 6 LANDMARK SQ
STAMFORD, CT 06901

	(Line 1a)		(Line 1b)	
	FMV of Securities	Calculated Averages	Cash Balances	Calculated Averages
Beginning (optional):				
1st month	<u>1,549,636.</u>		<u>33,273.</u>	
Ending:				
1st month	<u>1,540,918.</u>	<u>1,545,277.</u>	<u>38,467.</u>	<u>35,870.</u>
2nd month	<u>1,596,023.</u>	<u>1,568,471.</u>	<u>36,942.</u>	<u>37,705.</u>
3rd month	<u>1,634,257.</u>	<u>1,615,140.</u>	<u>40,137.</u>	<u>38,540.</u>
4th month	<u>1,668,100.</u>	<u>1,651,179.</u>	<u>46,597.</u>	<u>43,367.</u>
5th month	<u>1,695,579.</u>	<u>1,681,840.</u>	<u>51,534.</u>	<u>49,066.</u>
6th month	<u>1,660,391.</u>	<u>1,677,985.</u>	<u>57,682.</u>	<u>54,608.</u>
7th month	<u>1,635,573.</u>	<u>1,647,982.</u>	<u>62,686.</u>	<u>60,184.</u>
8th month	<u>1,669,803.</u>	<u>1,652,688.</u>	<u>60,974.</u>	<u>61,830.</u>
9th month	<u>1,600,108.</u>	<u>1,634,956.</u>	<u>64,454.</u>	<u>62,714.</u>
10th month	<u>1,628,036.</u>	<u>1,614,072.</u>	<u>70,996.</u>	<u>67,725.</u>
11th month	<u>1,686,497.</u>	<u>1,657,267.</u>	<u>87,362.</u>	<u>79,179.</u>
12th month	<u>1,671,852.</u>	<u>1,679,175.</u>	<u>23,558.</u>	<u>55,460.</u>
Total of all months		<u>19,626,032.</u>		<u>646,248.</u>
Number of months in year		<u>12</u>		<u>12</u>
Average monthly value		<u>1,635,503.</u>		<u>53,854.</u>
Number of months in year - override				