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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2012**

Open to Public Inspection

For calendar year 2012 or tax year beginning 12/1/2012, and ending 11/30/2013

|                                                                                                                                                                                                                                                                                                            |                                                                                                                                                         |                                                                                                                                                                                     |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>The Thomas &amp; William Gilbane Foundation</b>                                                                                                                                                                                                                                   |                                                                                                                                                         | <b>A</b> Employer identification number<br><b>05-6006170</b>                                                                                                                        |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>7 Jackson Walkway</b>                                                                                                                                                                                               | Room/suite                                                                                                                                              | <b>B</b> Telephone number (see instructions)                                                                                                                                        |
| City or town, state, and ZIP code<br><b>Providence RI 02903</b>                                                                                                                                                                                                                                            |                                                                                                                                                         | <b>C</b> If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| <b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |                                                                                                                                                         | <b>D</b> 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| <b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                   |                                                                                                                                                         | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 2,594,039</b>                                                                                                                                                                                              | <b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| <b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) |                                                                                            | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| <b>Revenue</b>                                                                                                                                                            | <b>1</b> Contributions, gifts, grants, etc., received (attach schedule)                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>3</b> Interest on savings and temporary cash investments                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>4</b> Dividends and interest from securities                                            | 57,184                             | 57,184                    |                         |                                                             |
|                                                                                                                                                                           | <b>5 a</b> Gross rents                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>b</b> Net rental income or (loss)                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>6 a</b> Net gain or (loss) from sale of assets not on line 10                           | 122,536                            |                           |                         |                                                             |
|                                                                                                                                                                           | <b>b</b> Gross sales price for all assets on line 6a <b>1,182,639</b>                      |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>7</b> Capital gain net income (from Part IV, line 2)                                    |                                    | 122,536                   |                         |                                                             |
|                                                                                                                                                                           | <b>8</b> Net short-term capital gain                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>9</b> Income modifications                                                              |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>10 a</b> Gross sales less returns and allowances                                        |                                    |                           |                         |                                                             |
| <b>b</b> Less: Cost of goods sold                                                                                                                                         |                                                                                            |                                    |                           |                         |                                                             |
| <b>c</b> Gross profit or (loss) (attach schedule)                                                                                                                         |                                                                                            |                                    |                           |                         |                                                             |
| <b>11</b> Other income (attach schedule)                                                                                                                                  |                                                                                            |                                    |                           |                         |                                                             |
| <b>12</b> Total. Add lines 1 through 11                                                                                                                                   | 179,720                                                                                    | 179,720                            |                           |                         |                                                             |
| <b>Operating and Administrative Expenses</b>                                                                                                                              | <b>13</b> Compensation of officers, directors, trustees, etc                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>14</b> Other employee salaries and wages                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>15</b> Pension plans, employee benefits                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>16 a</b> Legal fees (attach schedule)                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>b</b> Accounting fees (attach schedule)                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>c</b> Other professional fees (attach schedule)                                         | 20,368                             | 20,368                    |                         |                                                             |
|                                                                                                                                                                           | <b>17</b> Interest                                                                         |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>18</b> Taxes (attach schedule) (see instructions)                                       | 900                                |                           |                         |                                                             |
|                                                                                                                                                                           | <b>19</b> Depreciation (attach schedule) and depletion                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>20</b> Occupancy                                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>21</b> Travel, conferences, and meetings                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>22</b> Printing and publications                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                           | <b>23</b> Other expenses (attach schedule)                                                 | 50                                 |                           |                         |                                                             |
|                                                                                                                                                                           | <b>24</b> Total operating and administrative expenses. Add lines 13 through 23             | 21,318                             | 20,368                    | 0                       | 0                                                           |
|                                                                                                                                                                           | <b>25</b> Contributions, gifts, grants paid                                                | 134,150                            |                           |                         | 134,150                                                     |
| <b>26</b> Total expenses and disbursements. Add lines 24 and 25                                                                                                           | 155,468                                                                                    | 20,368                             | 0                         | 134,150                 |                                                             |
| <b>27</b> Subtract line 26 from line 12                                                                                                                                   |                                                                                            |                                    |                           |                         |                                                             |
| <b>a</b> Excess of revenue over expenses and disbursements                                                                                                                | 24,252                                                                                     |                                    |                           |                         |                                                             |
| <b>b</b> Net investment income (if negative, enter -0-)                                                                                                                   |                                                                                            | 159,352                            |                           |                         |                                                             |
| <b>c</b> Adjusted net income (if negative, enter -0-)                                                                                                                     |                                                                                            |                                    | 0                         |                         |                                                             |

For Paperwork Reduction Act Notice, see instructions.

HTA

Form **990-PF** (2012)

514

17

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

|                                    |                                                                                                                                                             | Beginning of year | End of year    |                       |
|------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                                    |                                                                                                                                                             | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                      | 1 Cash—non-interest-bearing                                                                                                                                 | 4,008             | 3,821          | 3,821                 |
|                                    | 2 Savings and temporary cash investments                                                                                                                    | 184,501           | 49,037         | 49,037                |
|                                    | 3 Accounts receivable <span>▶</span> -----<br>Less allowance for doubtful accounts <span>▶</span> -----                                                     |                   |                |                       |
|                                    | 4 Pledges receivable <span>▶</span> -----<br>Less allowance for doubtful accounts <span>▶</span> -----                                                      |                   |                |                       |
|                                    | 5 Grants receivable                                                                                                                                         |                   |                |                       |
|                                    | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)                                   |                   |                |                       |
|                                    | 7 Other notes and loans receivable (attach schedule) <span>▶</span> -----<br>Less allowance for doubtful accounts <span>▶</span> -----                      |                   |                |                       |
|                                    | 8 Inventories for sale or use                                                                                                                               |                   |                |                       |
|                                    | 9 Prepaid expenses and deferred charges                                                                                                                     |                   |                |                       |
|                                    | 10 a Investments—U S and state government obligations (attach schedule)                                                                                     |                   |                |                       |
|                                    | b Investments—corporate stock (attach schedule)                                                                                                             | 1,332,532         | 1,489,208      | 2,105,271             |
|                                    | c Investments—corporate bonds (attach schedule)                                                                                                             | 309,820           | 276,249        | 290,429               |
|                                    | 11 Investments—land, buildings, and equipment basis <span>▶</span> -----<br>Less accumulated depreciation (attach schedule) <span>▶</span> -----            |                   |                |                       |
|                                    | 12 Investments—mortgage loans                                                                                                                               |                   |                |                       |
|                                    | 13 Investments—other (attach schedule)                                                                                                                      | 100,264           | 134,697        | 145,481               |
|                                    | 14 Land, buildings, and equipment basis <span>▶</span> -----<br>Less accumulated depreciation (attach schedule) <span>▶</span> -----                        |                   |                |                       |
|                                    | 15 Other assets (describe <span>▶</span> ----- )                                                                                                            |                   |                |                       |
|                                    | 16 <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)                                                       | 1,931,125         | 1,953,012      | 2,594,039             |
| <b>Liabilities</b>                 | 17 Accounts payable and accrued expenses                                                                                                                    |                   |                |                       |
|                                    | 18 Grants payable                                                                                                                                           |                   |                |                       |
|                                    | 19 Deferred revenue                                                                                                                                         |                   |                |                       |
|                                    | 20 Loans from officers, directors, trustees, and other disqualified persons                                                                                 |                   |                |                       |
|                                    | 21 Mortgages and other notes payable (attach schedule)                                                                                                      |                   |                |                       |
|                                    | 22 Other liabilities (describe <span>▶</span> ----- )                                                                                                       |                   |                |                       |
|                                    | 23 <b>Total liabilities</b> (add lines 17 through 22)                                                                                                       | 0                 | 0              |                       |
| <b>Net Assets or Fund Balances</b> | <b>Foundations that follow SFAS 117, check here</b> <span>▶</span> <input type="checkbox"/><br><b>and complete lines 24 through 26 and lines 30 and 31.</b> |                   |                |                       |
|                                    | 24 Unrestricted                                                                                                                                             |                   |                |                       |
|                                    | 25 Temporarily restricted                                                                                                                                   |                   |                |                       |
|                                    | 26 Permanently restricted                                                                                                                                   |                   |                |                       |
|                                    | <b>Foundations that do not follow SFAS 117, check here</b> <span>▶</span> <input type="checkbox"/><br><b>and complete lines 27 through 31.</b>              |                   |                |                       |
|                                    | 27 Capital stock, trust principal, or current funds                                                                                                         | 1,931,125         | 1,953,012      |                       |
|                                    | 28 Paid-in or capital surplus, or land, bldg, and equipment fund                                                                                            |                   |                |                       |
|                                    | 29 Retained earnings, accumulated income, endowment, or other funds                                                                                         |                   |                |                       |
|                                    | 30 <b>Total net assets or fund balances</b> (see instructions)                                                                                              | 1,931,125         | 1,953,012      |                       |
|                                    | 31 <b>Total liabilities and net assets/fund balances</b> (see instructions)                                                                                 | 1,931,125         | 1,953,012      |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                            |   |           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-----------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,931,125 |
| 2 Enter amount from Part I, line 27a                                                                                                                       | 2 | 24,252    |
| 3 Other increases not included in line 2 (itemize) <span>▶</span> -----                                                                                    | 3 |           |
| 4 Add lines 1, 2, and 3                                                                                                                                    | 4 | 1,955,377 |
| 5 Decreases not included in line 2 (itemize) <span>▶</span> Cumulative prior year adjustments discovered during the automation of Financial r              | 5 | 2,365     |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30                                                      | 6 | 1,953,012 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a</b> Various Sales in custodial accounts                                                                                     | P                                            | Various                              | Various                          |
| <b>b</b> Capital Gain Distributions                                                                                               |                                              |                                      |                                  |
| <b>c</b>                                                                                                                          |                                              |                                      |                                  |
| <b>d</b>                                                                                                                          |                                              |                                      |                                  |
| <b>e</b>                                                                                                                          |                                              |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| <b>a</b> 1,182,639    |                                            | 1,067,581                                       | 115,058                                      |
| <b>b</b>              |                                            |                                                 |                                              |
| <b>c</b>              |                                            |                                                 |                                              |
| <b>d</b>              |                                            |                                                 |                                              |
| <b>e</b>              |                                            |                                                 |                                              |

| (i) FMV as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| <b>a</b>               |                                      |                                                 | 115,058                                                                                         |
| <b>b</b>               |                                      |                                                 | 7,178                                                                                           |
| <b>c</b>               |                                      |                                                 |                                                                                                 |
| <b>d</b>               |                                      |                                                 |                                                                                                 |
| <b>e</b>               |                                      |                                                 |                                                                                                 |

|                                                                                                                                                                                                         |                                                                                   |          |         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|----------|---------|
| <b>2</b> Capital gain net income or (net capital loss)                                                                                                                                                  | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | <b>2</b> | 122,536 |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).<br>If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8. |                                                                                   | <b>3</b> | 0       |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2011                                                                 | 116,091                                  | 2,275,199                                    | 0.051025                                                    |
| 2010                                                                 | 113,780                                  | 2,243,528                                    | 0.050715                                                    |
| 2009                                                                 | 135,667                                  | 2,080,229                                    | 0.065217                                                    |
| 2008                                                                 | 231,367                                  | 2,092,306                                    | 0.110580                                                    |
| 2007                                                                 | 227,878                                  | 2,645,278                                    | 0.086145                                                    |

|                                                                                                                                                                                     |          |           |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-----------|
| <b>2</b> Total of line 1, column (d)                                                                                                                                                | <b>2</b> | 0.363682  |
| <b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | <b>3</b> | 0.072736  |
| <b>4</b> Enter the net value of noncharitable-use assets for 2012 from Part X, line 5                                                                                               | <b>4</b> | 2,443,323 |
| <b>5</b> Multiply line 4 by line 3                                                                                                                                                  | <b>5</b> | 177,718   |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                 | <b>6</b> | 1,594     |
| <b>7</b> Add lines 5 and 6                                                                                                                                                          | <b>7</b> | 179,312   |
| <b>8</b> Enter qualifying distributions from Part XII, line 4                                                                                                                       | <b>8</b> | 134,150   |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

|                                                                                                                                                                                                                                       |    |       |       |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-------|-------|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) |    | 1     | 3,187 |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                     |    |       |       |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                              |    |       |       |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                           |    | 2     | 0     |
| 3 Add lines 1 and 2                                                                                                                                                                                                                   |    | 3     | 3,187 |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                         |    | 4     |       |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                             |    | 5     | 3,187 |
| 6 Credits/Payments                                                                                                                                                                                                                    |    |       |       |
| a 2012 estimated tax payments and 2011 overpayment credited to 2012                                                                                                                                                                   | 6a | 1,800 |       |
| b Exempt foreign organizations—tax withheld at source                                                                                                                                                                                 | 6b |       |       |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                 | 6c | 2,300 |       |
| d Backup withholding erroneously withheld                                                                                                                                                                                             | 6d |       |       |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                 | 7  | 4,100 |       |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                   | 8  |       |       |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                       | 9  | 0     |       |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                          | 10 | 913   |       |
| 11 Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> 913 Refunded <input type="checkbox"/>                                                                                                    | 11 | 0     |       |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                              | Yes | No |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                     |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                       |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____                                                                                                                 |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____                                                                                                                                                                     |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                               |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                 |     | X  |
| 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                              |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                           | N/A |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                         |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?         | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                                                                                                           | X   |    |
| 8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/>                                                                                                                                                                                                              |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                       | X   |    |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                        |     | X  |

**Part VII-A Statements Regarding Activities (continued)**

|           |                                                                                                                                                                                                                                                                                                                                  |           |     |         |
|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----|---------|
| <b>11</b> | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                          | <b>11</b> |     | X       |
| <b>12</b> | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                         | <b>12</b> |     | X       |
| <b>13</b> | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ▶                                                                                                                                                                                         | <b>13</b> | X   |         |
| <b>14</b> | The books are in care of ▶ Paul J. Choquette, Jr.<br>Located at ▶ 7 Jackson Walkway, Providence, RI<br>Telephone no ▶ (401) 456-5800<br>ZIP+4 ▶ 02903                                                                                                                                                                            |           |     |         |
| <b>15</b> | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶                                                                                                                                              | <b>15</b> |     |         |
| <b>16</b> | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶ | <b>16</b> | Yes | No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Yes       | No  |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----|
| <b>1a</b> | During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                              |           |     |
| (1)       | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                          |           |     |
| (2)       | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                  |           |     |
| (3)       | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                      |           |     |
| (4)       | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                            |           |     |
| (5)       | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                   |           |     |
| (6)       | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                 |           |     |
| <b>b</b>  | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input checked="" type="checkbox"/>                                                                                                                                                   | <b>1b</b> | N/A |
| <b>c</b>  | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?                                                                                                                                                                                                                                                                                                         | <b>1c</b> |     |
| <b>2</b>  | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                   |           |     |
| <b>a</b>  | At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ▶ 20____, 20____, 20____, 20____                                                                                                                                                                                                              |           |     |
| <b>b</b>  | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions )                                                                                                                                                                                         | <b>2b</b> | N/A |
| <b>c</b>  | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                                                                                |           |     |
| <b>3a</b> | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                  |           |     |
| <b>b</b>  | If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012 ) | <b>3b</b> | N/A |
| <b>4a</b> | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                 | <b>4a</b> | X   |
| <b>b</b>  | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?                                                                                                                                                                                                                                                               | <b>4b</b> | X   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b** N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b****b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870☐ Yes ☒ No**7b** N/A**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address                                              | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| Paul J. Choquette, Jr.<br>7 Jackson Walkway Providence, RI 02903  | Trustee<br>00                                             | 0                                         |                                                                       |                                       |
| Thomas F. Gilbane, Jr.<br>7 Jackson Walkway Providence, RI 02903  | Trustee<br>.00                                            | 0                                         |                                                                       |                                       |
| William J. Gilbane, Jr.<br>7 Jackson Walkway Providence, RI 02903 | Trustee<br>00                                             | 0                                         |                                                                       |                                       |
| Robert V. Gilbane<br>7 Jackson Walkway Providence, RI 02903       | Trustee<br>00                                             | 0                                         |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

▶

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000              | (b) Type of service | (c) Compensation |
|--------------------------------------------------------------------------|---------------------|------------------|
| NONE                                                                     |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
| Total number of others receiving over \$50,000 for professional services |                     | ▶                |

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|        | Expenses |
|--------|----------|
| 1 NONE |          |
| 2      |          |
| 3      |          |
| 4      |          |

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

|                                                          | Amount |
|----------------------------------------------------------|--------|
| 1 NONE                                                   |        |
| 2                                                        |        |
| All other program-related investments. See instructions. |        |
| 3                                                        |        |
| Total. Add lines 1 through 3                             | ▶ 0    |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                             |           |           |
|----------|-------------------------------------------------------------------------------------------------------------|-----------|-----------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes  |           |           |
| <b>a</b> | Average monthly fair market value of securities                                                             | <b>1a</b> | 2,472,817 |
| <b>b</b> | Average of monthly cash balances                                                                            | <b>1b</b> | 7,714     |
| <b>c</b> | Fair market value of all other assets (see instructions)                                                    | <b>1c</b> |           |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                       | <b>1d</b> | 2,480,531 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> |           |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                        | <b>2</b>  |           |
| <b>3</b> | Subtract line 2 from line 1d                                                                                | <b>3</b>  | 2,480,531 |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)  | <b>4</b>  | 37,208    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | <b>5</b>  | 2,443,323 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | <b>6</b>  | 122,166   |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                           |           |         |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 122,166 |
| <b>2a</b> | Tax on investment income for 2012 from Part VI, line 5                                                    | <b>2a</b> | 3,187   |
| <b>b</b>  | Income tax for 2012 (This does not include the tax from Part VI.)                                         | <b>2b</b> |         |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 3,187   |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 118,979 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  |         |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 118,979 |
| <b>6</b>  | Deduction from distributable amount (see instructions)                                                    | <b>6</b>  |         |
| <b>7</b>  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 118,979 |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                                      |           |         |
|----------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                            |           |         |
| <b>a</b> | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26                                                                          | <b>1a</b> | 134,150 |
| <b>b</b> | Program-related investments—total from Part IX-B                                                                                                     | <b>1b</b> |         |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                            | <b>2</b>  |         |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the                                                                                  |           |         |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                                       | <b>3a</b> |         |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                                                | <b>3b</b> |         |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                    | <b>4</b>  | 134,150 |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | <b>5</b>  |         |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                | <b>6</b>  | 134,150 |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2011 | (c)<br>2011 | (d)<br>2012 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2012 from Part XI, line 7                                                                                                                       |               |                            |             | 118,979     |
| <b>2</b> Undistributed income, if any, as of the end of 2012                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2011 only                                                                                                                                               |               |                            | 0           |             |
| <b>b</b> Total for prior years 20____, 20____, 20____                                                                                                                             |               |                            |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2012                                                                                                                          |               |                            |             |             |
| <b>a</b> From 2007                                                                                                                                                                | 97,016        |                            |             |             |
| <b>b</b> From 2008                                                                                                                                                                | 126,022       |                            |             |             |
| <b>c</b> From 2009                                                                                                                                                                | 32,305        |                            |             |             |
| <b>d</b> From 2010                                                                                                                                                                | 2,391         |                            |             |             |
| <b>e</b> From 2011                                                                                                                                                                | 4,599         |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 262,333       |                            |             |             |
| <b>4</b> Qualifying distributions for 2012 from Part XII, line 4 <b>►</b> \$ 134,150                                                                                              |               |                            |             |             |
| <b>a</b> Applied to 2011, but not more than line 2a                                                                                                                               |               |                            |             |             |
| <b>b</b> Applied to undistributed income of prior years (Election required—see instructions)                                                                                      |               |                            |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required—see instructions)                                                                                              |               |                            |             |             |
| <b>d</b> Applied to 2012 distributable amount                                                                                                                                     |               |                            |             | 118,979     |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 15,171        |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2012<br>(If an amount appears in column (d), the same amount must be shown in column (a) )                                     |               |                            |             |             |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 277,504       |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b                                                                                                          |               | 0                          |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               |                            |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions                                                                                                            |               |                            |             |             |
| <b>e</b> Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions                                                                              |               |                            | 0           |             |
| <b>f</b> Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013                                                                |               |                            |             | 0           |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)                                  |               |                            |             |             |
| <b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)                                                                              | 97,016        |                            |             |             |
| <b>9</b> Excess distributions carryover to 2013.<br>Subtract lines 7 and 8 from line 6a                                                                                           | 180,488       |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                      |               |                            |             |             |
| <b>a</b> Excess from 2008                                                                                                                                                         | 126,022       |                            |             |             |
| <b>b</b> Excess from 2009                                                                                                                                                         | 32,305        |                            |             |             |
| <b>c</b> Excess from 2010                                                                                                                                                         | 2,391         |                            |             |             |
| <b>d</b> Excess from 2011                                                                                                                                                         | 4,599         |                            |             |             |
| <b>e</b> Excess from 2012                                                                                                                                                         | 15,171        |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| Tax year  | Prior 3 years |           |           | (e) Total |
|-----------|---------------|-----------|-----------|-----------|
| (a) 2012  | (b) 2011      | (c) 2010  | (d) 2009  |           |
| 122,166   | 113,760       | 112,176   | 104,011   | 452,113   |
| 103,841   | 96,696        | 95,350    | 88,409    | 384,296   |
| 134,150   | 116,091       | 113,780   | 135,667   | 499,688   |
|           |               |           |           | 0         |
| 134,150   | 116,091       | 113,780   | 135,667   | 499,688   |
|           |               |           |           |           |
| 1,953,012 | 1,931,125     | 1,935,105 | 1,956,264 | 7,775,506 |
| 1,953,012 | 1,931,125     | 1,935,105 | 1,956,264 | 7,775,506 |
|           |               |           |           | 0         |
|           |               |           |           |           |
|           |               |           |           | 0         |
|           |               |           |           | 0         |
|           |               |           |           | 0         |
|           |               |           |           | 0         |

**b** 85% of line 2a

**c** Qualifying distributions from Part XII, line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

**3** Complete 3a, b, or c for the alternative test relied upon

**a** "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

**c** "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

NOT APPLICABLE

**b** The form in which applications should be submitted and information and materials they should include

NOT APPLICABLE

**c** Any submission deadlines

NOT APPLICABLE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NOT APPLICABLE

**Part XV Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                                      | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|---------|
| Name and address (home or business)                            |                                                                                                           |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i><br>See Schedule Attached  |                                                                                                           |                                |                                  | 134,150 |
| <b>Total</b>                                                   |                                                                                                           |                                | ▶ <b>3a</b>                      | 134,150 |
| <b>b</b> <i>Approved for future payment</i><br><br><i>None</i> |                                                                                                           |                                |                                  |         |
| <b>Total</b>                                                   |                                                                                                           |                                | ▶ <b>3b</b>                      | 0       |

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

| Enter gross amounts unless otherwise indicated                                                                             | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(See instructions ) |
|----------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|--------------------------------------------------------------------|
|                                                                                                                            | (a)<br>Business code      | (b)<br>Amount | (c)<br>Exclusion code                | (d)<br>Amount |                                                                    |
| <b>1</b> Program service revenue                                                                                           |                           |               |                                      |               |                                                                    |
| <b>a</b> N/A                                                                                                               |                           |               |                                      |               |                                                                    |
| <b>b</b>                                                                                                                   |                           |               |                                      |               |                                                                    |
| <b>c</b>                                                                                                                   |                           |               |                                      |               |                                                                    |
| <b>d</b>                                                                                                                   |                           |               |                                      |               |                                                                    |
| <b>e</b>                                                                                                                   |                           |               |                                      |               |                                                                    |
| <b>f</b>                                                                                                                   |                           |               |                                      |               |                                                                    |
| <b>g</b> Fees and contracts from government agencies                                                                       |                           |               |                                      |               |                                                                    |
| <b>2</b> Membership dues and assessments                                                                                   |                           |               |                                      |               |                                                                    |
| <b>3</b> Interest on savings and temporary cash investments                                                                |                           |               |                                      |               |                                                                    |
| <b>4</b> Dividends and interest from securities                                                                            |                           |               |                                      |               |                                                                    |
| <b>5</b> Net rental income or (loss) from real estate                                                                      |                           |               |                                      |               |                                                                    |
| <b>a</b> Debt-financed property                                                                                            |                           |               |                                      |               |                                                                    |
| <b>b</b> Not debt-financed property                                                                                        |                           |               |                                      |               |                                                                    |
| <b>6</b> Net rental income or (loss) from personal property                                                                |                           |               |                                      |               |                                                                    |
| <b>7</b> Other investment income                                                                                           |                           |               |                                      |               |                                                                    |
| <b>8</b> Gain or (loss) from sales of assets other than inventory                                                          |                           |               |                                      |               |                                                                    |
| <b>9</b> Net income or (loss) from special events                                                                          |                           |               |                                      |               |                                                                    |
| <b>10</b> Gross profit or (loss) from sales of inventory                                                                   |                           |               |                                      |               |                                                                    |
| <b>11</b> Other revenue <b>a</b>                                                                                           |                           |               |                                      |               |                                                                    |
| <b>b</b>                                                                                                                   |                           |               |                                      |               |                                                                    |
| <b>c</b>                                                                                                                   |                           |               |                                      |               |                                                                    |
| <b>d</b>                                                                                                                   |                           |               |                                      |               |                                                                    |
| <b>e</b>                                                                                                                   |                           |               |                                      |               |                                                                    |
| <b>12</b> Subtotal. Add columns (b), (d), and (e)                                                                          |                           | 0             |                                      | 0             | 0                                                                  |
| <b>13 Total.</b> Add line 12, columns (b), (d), and (e)<br>(See worksheet in line 13 instructions to verify calculations ) |                           |               |                                      | 13            | 0                                                                  |

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



Part I, Line 16c (990-PF) - Other Professional Fees

|             |                | 20,368                         | 20,368                | 0                   | 0                                                       |
|-------------|----------------|--------------------------------|-----------------------|---------------------|---------------------------------------------------------|
| Description |                | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes (Cash Basis Only) |
| 1           | Custodial Fees | 20,368                         | 20,368                |                     |                                                         |

Part I, Line 18 (990-PF) - Taxes

|             |            | 900                                  | 0                        | 0                      | 0                                           |
|-------------|------------|--------------------------------------|--------------------------|------------------------|---------------------------------------------|
| Description |            | Revenue<br>and Expenses<br>per Books | Net Investment<br>Income | Adjusted<br>Net Income | Disbursements<br>for Charitable<br>Purposes |
| 1           | Income tax | 900                                  |                          |                        |                                             |

Part I, Line 23 (990-PF) - Other Expenses

|             |                               | 50                             | 0                     | 0                   | 0                                     |
|-------------|-------------------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| Description |                               | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
| 1           | State of RI Annual Filing Fee | 50                             |                       |                     |                                       |

Settlement Date



Form 990-PF  
y.E 11/30/2013  
05-6006170

## Portfolio Detail

Account: 36-16-100-8552620 THOMAS &amp; WILLIAM GILBANE FDN

Dec. 01, 2012 through Nov. 30, 2013

| Units                   | Description                                 | CUSIP<br>Sector (2) | Market Value/<br>Market Price | % of<br>Total | Average Unit Cost | Tax Cost/<br>Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|-------------------------|---------------------------------------------|---------------------|-------------------------------|---------------|-------------------|------------------------|-------------------------|-------------------|----------------------------|-----------------|
| <b>Cash/Currency</b>    |                                             |                     |                               |               |                   |                        |                         |                   |                            |                 |
| <b>Cash Equivalents</b> |                                             |                     |                               |               |                   |                        |                         |                   |                            |                 |
| 489.960                 | BOFA MONEY MARKET RESERVES<br>CAPITAL CLASS | 097100515           | \$489.96                      | 1.5%          | \$489.96          | \$489.96               | \$0.00                  | \$0.04            | \$0.28                     | 0.05%           |
|                         | <b>Total Cash Equivalents</b>               |                     | <b>\$489.96</b>               | <b>1.5%</b>   | <b>\$489.96</b>   | <b>\$489.96</b>        | <b>\$0.00</b>           | <b>\$0.04</b>     | <b>\$0.28</b>              | <b>0.05%</b>    |
|                         | <b>Total Cash/Currency</b>                  |                     | <b>\$489.96</b>               | <b>1.5%</b>   | <b>\$489.96</b>   | <b>\$489.96</b>        | <b>\$0.00</b>           | <b>\$0.04</b>     | <b>\$0.28</b>              | <b>0.05%</b>    |

## Equities

| (2) Industry Sector Codes                                               |                                                                                                                         |                  |                    |              |                   |                   |               |               |                 |              |
|-------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|------------------|--------------------|--------------|-------------------|-------------------|---------------|---------------|-----------------|--------------|
| CND = Consumer Discretionary<br>CNS = Consumer Staples<br>ENR = Energy  |                                                                                                                         |                  |                    |              |                   |                   |               |               |                 |              |
| FIN = Financials<br>HEA = Health Care<br>IND = Industrials              |                                                                                                                         |                  |                    |              |                   |                   |               |               |                 |              |
| IFT = Information Technology<br>MAT = Materials<br>OEQ = Other Equities |                                                                                                                         |                  |                    |              |                   |                   |               |               |                 |              |
| TEL = Telecommunication Services<br>UTL = Utilities                     |                                                                                                                         |                  |                    |              |                   |                   |               |               |                 |              |
| <b>U.S. Large Cap</b>                                                   |                                                                                                                         |                  |                    |              |                   |                   |               |               |                 |              |
| 507.078                                                                 | COLUMBIA CONTRARIAN CORE FUND<br>CLASS Z SHARES FORMERLY COLUMBIA<br>COMMON STOCK FUND CLASS Z SHARES<br>Ticker: SMGI X | 19765P406<br>OEQ | \$10,821.04        | 32.0%        | \$6,586.94        | \$4,234.10        | \$0.00        | \$0.00        | \$82.65         | 0.76%        |
| 110.814                                                                 | COLUMBIA DIVIDEND INCOME FUND<br>CLASS Z SHARES<br>Ticker: GSFTX                                                        | 19765N245<br>OEQ | 2,024.57           | 6.0%         | 1,358.58          | 665.99            | 0.00          | 0.00          | 39.78           | 1.96%        |
|                                                                         | <b>Total U.S. Large Cap</b>                                                                                             |                  | <b>\$12,845.61</b> | <b>38.0%</b> | <b>\$7,945.52</b> | <b>\$4,900.09</b> | <b>\$0.00</b> | <b>\$0.00</b> | <b>\$122.43</b> | <b>0.95%</b> |
| <b>U.S. Mid Cap</b>                                                     |                                                                                                                         |                  |                    |              |                   |                   |               |               |                 |              |
| 78.706                                                                  | COLUMBIA ACORN FUND<br>CLASS Z SHARES<br>Ticker: ACRN X                                                                 | 197199409<br>OEQ | \$3,007.36         | 8.9%         | \$1,203.41        | \$1,803.95        | \$0.00        | \$0.00        | \$14.80         | 0.49%        |
|                                                                         | <b>Total U.S. Mid Cap</b>                                                                                               |                  | <b>\$3,007.36</b>  | <b>8.9%</b>  | <b>\$1,203.41</b> | <b>\$1,803.95</b> | <b>\$0.00</b> | <b>\$0.00</b> | <b>\$14.80</b>  | <b>0.49%</b> |
| <b>U.S. Small Cap</b>                                                   |                                                                                                                         |                  |                    |              |                   |                   |               |               |                 |              |
| 143.911                                                                 | EATON VANCE ATLANTA CAP SMID-CAP<br>FUND CLI<br>Ticker: EISM X                                                          | 277902698<br>OEQ | \$3,475.45         | 10.3%        | \$2,347.19        | \$1,128.26        | \$0.00        | \$0.00        | \$0.00          | 0.00%        |
|                                                                         | <b>Total U.S. Small Cap</b>                                                                                             |                  | <b>\$3,475.45</b>  | <b>10.3%</b> | <b>\$2,347.19</b> | <b>\$1,128.26</b> | <b>\$0.00</b> | <b>\$0.00</b> | <b>\$0.00</b>   | <b>0.00%</b> |

Settlement Date



# Portfolio Detail

Dec. 01, 2012 through Nov. 30, 2013

05-6006170

THOMAS &amp; WILLIAM GILBANE FDN

Account: 36-16-100-8552620

| Units                          | Description                                                          | CUSIP<br>Sector (2) | Market Value/<br>Market Price | % of<br>Total | Average Unit Cost    | Tax Cost/<br>Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|--------------------------------|----------------------------------------------------------------------|---------------------|-------------------------------|---------------|----------------------|------------------------|-------------------------|-------------------|----------------------------|-----------------|
| <b>Equities (cont)</b>         |                                                                      |                     |                               |               |                      |                        |                         |                   |                            |                 |
| <b>International Developed</b> |                                                                      |                     |                               |               |                      |                        |                         |                   |                            |                 |
| 64.496                         | COLUMBIA ACORN INTERNATIONAL<br>FUND CLASS Z SHARES<br>Ticker: ACINX | 197199813<br>OEQ    | \$3,114.51<br>48,290          | 9.2%          | \$1,347.31<br>20,890 |                        | \$1,767.20              | \$0.00            | \$72.17                    | 2.31%           |
|                                | <b>Total International Developed</b>                                 |                     | <b>\$3,114.51</b>             | <b>9.2%</b>   | <b>\$1,347.31</b>    |                        | <b>\$1,767.20</b>       | <b>\$0.00</b>     | <b>\$72.17</b>             | <b>2.31%</b>    |
| <b>Emerging Markets</b>        |                                                                      |                     |                               |               |                      |                        |                         |                   |                            |                 |
| 153.189                        | LAZARD EMERGING MKTS EQUITY<br>PORTFOLIO INSTL CL<br>Ticker: LZEMX   | 52106N889<br>OEQ    | \$2,988.72<br>19,510          | 8.9%          | \$2,674.68<br>17,460 |                        | \$314.04                | \$0.00            | \$54.54                    | 1.82%           |
|                                | <b>Total Emerging Markets</b>                                        |                     | <b>\$2,988.72</b>             | <b>8.9%</b>   | <b>\$2,674.68</b>    |                        | <b>\$314.04</b>         | <b>\$0.00</b>     | <b>\$54.54</b>             | <b>1.82%</b>    |
|                                | <b>Total Equities</b>                                                |                     | <b>\$25,431.65</b>            | <b>75.3%</b>  | <b>\$15,518.11</b>   |                        | <b>\$9,913.54</b>       | <b>\$0.00</b>     | <b>\$263.94</b>            | <b>1.03%</b>    |

## Fixed Income

|                                  |                                                  |           |                     |              |                     |  |                 |               |                 |              |
|----------------------------------|--------------------------------------------------|-----------|---------------------|--------------|---------------------|--|-----------------|---------------|-----------------|--------------|
| <b>Investment Grade Taxable</b>  |                                                  |           |                     |              |                     |  |                 |               |                 |              |
| 262.000                          | COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES  | 19765H362 | \$2,614.76<br>9,980 | 7.7%         | \$2,599.04<br>9,920 |  | \$15.72         | \$2.37        | \$23.32         | 0.89%        |
| 524.460                          | COLUMBIA STRATEGIC INCOME FUND<br>CLASS Z SHARES | 19765L694 | 3,204.45<br>6,110   | 9.5          | 2,979.27<br>5,681   |  | 225.18          | 0.00          | 137.93          | 4.30         |
|                                  | <b>Total Investment Grade Taxable</b>            |           | <b>\$5,819.21</b>   | <b>17.2%</b> | <b>\$5,578.31</b>   |  | <b>\$240.90</b> | <b>\$2.37</b> | <b>\$161.25</b> | <b>2.77%</b> |
| <b>Global High Yield Taxable</b> |                                                  |           |                     |              |                     |  |                 |               |                 |              |
| 0.000                            | PIMCO EMERGING MKT CURRENCY FUND<br>INSTL        | 72201F409 | \$0.00<br>10,120    | 0.0%         | \$0.00              |  | \$0.00          | \$0.85        | \$0.00          | 0.00%        |
|                                  | <b>Total Global High Yield Taxable</b>           |           | <b>\$0.00</b>       | <b>0.0%</b>  | <b>\$0.00</b>       |  | <b>\$0.00</b>   | <b>\$0.85</b> | <b>\$0.00</b>   | <b>0.00%</b> |
|                                  | <b>Total Fixed Income</b>                        |           | <b>\$5,819.21</b>   | <b>17.2%</b> | <b>\$5,578.31</b>   |  | <b>\$240.90</b> | <b>\$3.22</b> | <b>\$161.25</b> | <b>2.77%</b> |

## Real Estate

|                     |                                      |           |                      |      |                      |  |          |        |         |       |
|---------------------|--------------------------------------|-----------|----------------------|------|----------------------|--|----------|--------|---------|-------|
| <b>Public REITs</b> |                                      |           |                      |      |                      |  |          |        |         |       |
| 128.187             | REMS REAL ESTATE VALUE OPPTY<br>FUND | 432787307 | \$2,024.07<br>15,790 | 6.0% | \$1,619.81<br>12,636 |  | \$404.26 | \$0.00 | \$45.51 | 2.24% |

Settlement Date



# Portfolio Detail

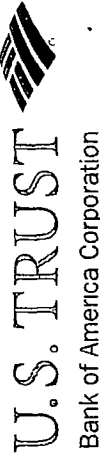
Account: 36-16-100-8552620 THOMAS & WILLIAM GILBANE FDN

05-6006170

Dec. 01, 2012 through Nov. 30, 2013

| Units               | Description | CUSIP<br>Sector (2) | Market Value/<br>Market Price | % of<br>Total | Average Unit Cost | Tax Cost/<br>Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|---------------------|-------------|---------------------|-------------------------------|---------------|-------------------|------------------------|-------------------------|-------------------|----------------------------|-----------------|
| Real Estate (cont)  |             |                     |                               |               |                   |                        |                         |                   |                            |                 |
| Public REITs (cont) |             |                     |                               |               |                   |                        |                         |                   |                            |                 |
| Total Public REITs  |             |                     | \$2,024.07                    | 6.0%          | \$1,619.81        | \$1,619.81             | \$404.26                | \$0.00            | \$45.51                    | 2.24%           |
| Total Real Estate   |             |                     | \$2,024.07                    | 6.0%          | \$1,619.81        | \$1,619.81             | \$404.26                | \$0.00            | \$45.51                    | 2.24%           |
| Total Portfolio     |             |                     | \$33,764.89                   | 100.0%        | \$23,206.19       | \$23,206.19            | \$10,558.70             | \$3.26            | \$470.98                   | 1.39%           |

Settlement Date



Form 990-PF  
y.e. 11/30/2013

### Portfolio Detail

Account: 36-16-100-8517450 THOMAS & WILLIAM GILBANE FDN 05-6006170 Dec 01, 2012 through Nov. 30, 2013

| Units                         | Description                                                        | CUSIP<br>Sector (2) | Market Value/<br>Market Price | % of<br>Total | Tax Cost/<br>Average Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|-------------------------------|--------------------------------------------------------------------|---------------------|-------------------------------|---------------|--------------------------------|-------------------------|-------------------|----------------------------|-----------------|
| <b>Cash Equivalents</b>       |                                                                    |                     |                               |               |                                |                         |                   |                            |                 |
| 180.000                       | BOFA MONEY MARKET RESERVES<br>CAPITAL CLASS<br>(Income Investment) | 097100515           | \$180.00                      | 0.0%          | \$180.00<br>1,000              | \$0.00                  | \$0.03            | \$0.10                     | 0.05%           |
| 960.440                       | BOFA MONEY MARKET RESERVES<br>CAPITAL CLASS                        | 097100515           | 960.44                        | 0.1           | 960.44<br>1,000                | 0.00                    | 0.63              | 0.55                       | 0.05            |
| <b>Total Cash Equivalents</b> |                                                                    |                     | <b>\$1,140.44</b>             | <b>0.1%</b>   | <b>\$1,140.44</b>              | <b>\$0.00</b>           | <b>\$0.66</b>     | <b>\$0.65</b>              | <b>0.05%</b>    |
| <b>Total Cash/Currency</b>    |                                                                    |                     | <b>\$1,140.44</b>             | <b>0.1%</b>   | <b>\$1,140.44</b>              | <b>\$0.00</b>           | <b>\$0.66</b>     | <b>\$0.65</b>              | <b>0.05%</b>    |

### Equities

| (2) Industry Sector Codes                                               |                                                    |                  |                       |      |                       |           |        |          |       |
|-------------------------------------------------------------------------|----------------------------------------------------|------------------|-----------------------|------|-----------------------|-----------|--------|----------|-------|
| CND = Consumer Discretionary<br>CNS = Consumer Staples<br>ENR = Energy  |                                                    |                  |                       |      |                       |           |        |          |       |
| FIN = Financials<br>HEA = Health Care<br>IND = Industrials              |                                                    |                  |                       |      |                       |           |        |          |       |
| IFT = Information Technology<br>MAT = Materials<br>OEQ = Other Equities |                                                    |                  |                       |      |                       |           |        |          |       |
| TEL = Telecommunication Services<br>UTL = Utilities                     |                                                    |                  |                       |      |                       |           |        |          |       |
| <b>U.S. Large Cap</b>                                                   |                                                    |                  |                       |      |                       |           |        |          |       |
| 200.000                                                                 | ACCENTURE PLC<br>CLA COM<br>IRELAND<br>Ticker: ACN | G1151C101<br>IFT | \$15,494.00<br>77,470 | 0.9% | \$16,350.00<br>81,750 | -\$856.00 | \$0.00 | \$372.00 | 2.40% |
| 200.000                                                                 | AIR PRODS & CHEMS INC<br>Ticker: APD               | 009158106<br>MAT | 21,766.00<br>108,830  | 1.2  | 18,469.00<br>92,345   | 3,297.00  | 0.00   | 568.00   | 2.61  |
| 60.000                                                                  | APPLE INC<br>Ticker: AAPL                          | 037833100<br>IFT | 33,364.20<br>556,070  | 1.9  | 10,883.58<br>181,393  | 22,480.62 | 0.00   | 732.00   | 2.19  |
| 565.000                                                                 | AT&T INC<br>Ticker: T                              | 00206R102<br>TEL | 19,893.65<br>35,210   | 1.1  | 16,009.30<br>28,335   | 3,884.35  | 0.00   | 1,017.00 | 5.11  |
| 300.000                                                                 | BAXTER INTL INC<br>Ticker: BAX                     | 071813109<br>HEA | 20,535.00<br>68,450   | 1.2  | 21,802.50<br>72,675   | -1,267.50 | 0.00   | 588.00   | 2.86  |
| 150.000                                                                 | BECTON DICKINSON & CO<br>Ticker: BDX               | 075887109<br>HEA | 16,288.50<br>108,590  | 0.9  | 11,574.75<br>77,165   | 4,713.75  | 0.00   | 327.00   | 2.00  |
| 75.000                                                                  | BLACKROCK INC<br>CLA<br>Ticker: BLK                | 09247X101<br>FIN | 22,706.25<br>302,750  | 1.3  | 13,235.01<br>176,467  | 9,471.24  | 126.00 | 504.00   | 2.22  |

\*18640660340\*

Settlement Date



## Portfolio Detail

Dec. 01, 2012 through Nov. 30, 2013

Account: 36-16-100-8517450 THOMAS & WILLIAM GILBANE FDN

05-6006170

| Units                        | Description                                                             | CUSIP<br>Sector (2) | Market Value/<br>Market Price | % of<br>Total | Tax Cost/<br>Average Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|------------------------------|-------------------------------------------------------------------------|---------------------|-------------------------------|---------------|--------------------------------|-------------------------|-------------------|----------------------------|-----------------|
| <b>Equities (Cont)</b>       |                                                                         |                     |                               |               |                                |                         |                   |                            |                 |
| <b>U.S. Large Cap (cont)</b> |                                                                         |                     |                               |               |                                |                         |                   |                            |                 |
| 250.000                      | CATERPILLAR INC<br>Ticker: CAT                                          | 149123101<br>IND    | 21,150.00<br>84.600           | 1.2           | 21,869.75<br>87.479            | -719.75                 | 0.00              | 600.00                     | 2.83            |
| 215.000                      | CHEVRON CORP<br>Ticker: CVX                                             | 166764100<br>ENR    | 26,324.60<br>122.440          | 1.5           | 16,567.12<br>77.056            | 9,757.48                | 215.00            | 860.00                     | 3.26            |
| 375.000                      | COCA COLA CO<br>Ticker: KO                                              | 191216100<br>CNS    | 15,071.25<br>40.190           | 0.9           | 16,005.19<br>42.681            | -933.94                 | 105.00            | 420.00                     | 2.78            |
| 4,626.083                    | COLUMBIA SELECT LARGE CAP GROWTH<br>FUND CLASSZ SHARES<br>Ticker: UMLGX | 19765V688<br>OEQ    | 87,849.32<br>18.990           | 5.0           | 65,000.00<br>14.051            | 22,849.32               | 0.00              | 0.00                       | 0.00            |
| 175.000                      | DEERE & CO<br>Ticker: DE                                                | 244199105<br>IND    | 14,742.00<br>84.240           | 0.8           | 15,211.88<br>86.925            | -469.88                 | 0.00              | 357.00                     | 2.42            |
| 300.000                      | DISCOVER FINL SVCS<br>Ticker: DFS                                       | 254709108<br>FIN    | 15,990.00<br>53.300           | 0.9           | 15,071.50<br>50.238            | 918.50                  | 0.00              | 240.00                     | 1.50            |
| 775.000                      | EMC CORP<br>Ticker: EMC                                                 | 268648102<br>IFT    | 18,483.75<br>23.850           | 1.0           | 12,652.76<br>16.326            | 5,830.99                | 0.00              | 310.00                     | 1.67            |
| 350.000                      | EMERSON ELEC CO<br>Ticker: EMR                                          | 291011104<br>IND    | 23,446.50<br>66.990           | 1.3           | 20,771.24<br>59.346            | 2,675.26                | 150.50            | 602.00                     | 2.56            |
| 200.000                      | HONEYWELL INTL INC<br>Ticker: HON                                       | 438516106<br>IND    | 17,702.00<br>88.510           | 1.0           | 12,022.00<br>60.110            | 5,680.00                | 90.00             | 360.00                     | 2.03            |
| 100.000                      | INTERNATIONAL BUSINESS MACHS<br>Ticker: IBM                             | 459200101<br>IFT    | 17,968.00<br>179.680          | 1.0           | 12,049.23<br>120.492           | 5,918.77                | 95.00             | 380.00                     | 2.11            |
| 445.000                      | J P MORGAN CHASE & CO<br>Ticker: JPM                                    | 46625H100<br>FIN    | 25,462.90<br>57.220           | 1.4           | 18,070.13<br>40.607            | 7,392.77                | 0.00              | 676.40                     | 2.65            |
| 150.000                      | JOHNSON & JOHNSON<br>Ticker: JNJ                                        | 478160104<br>HEA    | 14,199.00<br>94.660           | 0.8           | 9,788.25<br>65.255             | 4,410.75                | 99.00             | 396.00                     | 2.78            |
| 116.000                      | KRAFT FOODS GROUP INC<br>Ticker: KFT                                    | 50076Q106<br>CNS    | 6,161.92<br>53.120            | 0.3           | 4,721.49<br>40.703             | 1,440.43                | 0.00              | 243.60                     | 3.95            |
| 200.000                      | MCDONALDS CORP<br>Ticker: MCD                                           | 580135101<br>CND    | 19,474.00<br>97.370           | 1.1           | 20,255.00<br>101.275           | -781.00                 | 162.00            | 648.00                     | 3.32            |
| 250.000                      | OCCIDENTAL PETE CORP DEL<br>Ticker: OXY                                 | 674599105<br>ENR    | 23,740.00<br>94.960           | 1.3           | 18,640.11<br>74.560            | 5,099.89                | 0.00              | 640.00                     | 2.69            |

## Portfolio Detail

Account: 36-16-100-8517450 THOMAS & WILLIAM GILBANE FDN 05-6006170

Dec. 01, 2012 through Nov. 30, 2013

| Units                        | Description                                             | CUSIP<br>Sector (2) | Market Value/<br>Market Price | % of<br>Total | Tax Cost/<br>Average Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|------------------------------|---------------------------------------------------------|---------------------|-------------------------------|---------------|--------------------------------|-------------------------|-------------------|----------------------------|-----------------|
| <b>Equities (cont)</b>       |                                                         |                     |                               |               |                                |                         |                   |                            |                 |
| <b>U.S. Large Cap (cont)</b> |                                                         |                     |                               |               |                                |                         |                   |                            |                 |
| 200.000                      | PEPSICO INC<br>Ticker: PEP                              | 713448108<br>CNS    | 16,892.00<br>84.460           | 1.0           | 12,747.86<br>63.739            | 4,144.14                | 0.00              | 454.00                     | 2.68            |
| 265.000                      | PHILIP MORRIS INTL INC<br>Ticker: PM                    | 718172109<br>CNS    | 22,668.10<br>85.540           | 1.3           | 13,637.57<br>51.463            | 9,030.53                | 0.00              | 996.40                     | 4.39            |
| 210.000                      | PNC FINL SVCS GROUP INC<br>Ticker: PNC                  | 693475105<br>FIN    | 16,159.50<br>76.950           | 0.9           | 10,861.36<br>51.721            | 5,298.14                | 0.00              | 369.60                     | 2.28            |
| 300.000                      | PROCTER & GAMBLE CO<br>Ticker: PG                       | 742718109<br>CNS    | 25,266.00<br>84.220           | 1.4           | 17,494.02<br>58.313            | 7,771.98                | 0.00              | 721.80                     | 2.85            |
| 425.000                      | QUALCOMM INC<br>Ticker: QCOM                            | 747525103<br>IFT    | 31,271.50<br>73.580           | 1.8           | 25,905.84<br>60.955            | 5,365.66                | 148.75            | 595.00                     | 1.90            |
| 300.000                      | SCHLUMBERGER LTD<br>NETHERLANDS ANTILLES<br>Ticker: SLB | 806857108<br>ENR    | 26,526.00<br>88.420           | 1.5           | 20,231.49<br>67.438            | 6,294.51                | 0.00              | 375.00                     | 1.41            |
| 650.000                      | TJX COS INC NEW<br>Ticker: TJX                          | 872540109<br>CND    | 40,872.00<br>62.880           | 2.3           | 14,743.75<br>22.683            | 26,128.25               | 94.25             | 377.00                     | 0.92            |
| 675.000                      | WELLS FARGO & CO<br>NEW COM<br>Ticker: WFC              | 949746101<br>FIN    | 29,713.50<br>44.020           | 1.7           | 18,458.07<br>27.345            | 11,255.43               | 202.50            | 810.00                     | 2.72            |
| 150.000                      | 3M CO<br>Ticker: MMM                                    | 88579Y101<br>IND    | 20,026.50<br>133.510          | 1.1           | 16,718.25<br>111.455           | 3,308.25                | 95.25             | 381.00                     | 1.90            |
| <b>Total U.S. Large Cap</b>  |                                                         |                     | <b>\$727,207.94</b>           | <b>41.2%</b>  | <b>\$537,818.00</b>            | <b>\$189,389.94</b>     | <b>\$1,583.25</b> | <b>\$15,920.80</b>         | <b>2.18%</b>    |
| <b>U.S. Mid Cap</b>          |                                                         |                     |                               |               |                                |                         |                   |                            |                 |
| 300.000                      | COACH INC<br>Ticker: COH                                | 189754104<br>CND    | \$17,370.00<br>57.900         | 1.0%          | \$16,925.29<br>56.418          | \$444.71                | \$0.00            | \$405.00                   | 2.33%           |
| 300.000                      | JPMORGAN ALERIAN MLP INDEX ETN<br>Ticker: AMJ           | 46625H365<br>ENR    | 13,671.00<br>45.570           | 0.8           | 11,155.26<br>37.184            | 2,515.74                | 173.61            | 656.70                     | 4.80            |
| 6,238.303                    | SCOUT MID CAP FUND<br>Ticker: UMBMX                     | 81063U206<br>OEQ    | 113,162.82<br>18.140          | 6.4           | 100,000.00<br>16.030           | 13,162.82               | 0.00              | 704.93                     | 0.62            |
| <b>Total U.S. Mid Cap</b>    |                                                         |                     | <b>\$144,203.82</b>           | <b>8.2%</b>   | <b>\$128,080.55</b>            | <b>\$16,123.27</b>      | <b>\$173.61</b>   | <b>\$1,766.63</b>          | <b>1.22%</b>    |

\*18650660350\*

Settlement Date



# Portfolio Detail

Account: 36-16-100-8517450 THOMAS & WILLIAM GILBANE FDN

Dec. 01, 2012 through Nov. 30, 2013

05-6006170

| Units                   | Description                                                           | CUSIP<br>Sector (2) | Market Value/<br>Market Price | % of<br>Total | Average Unit Cost     | Tax Cost/<br>Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|-------------------------|-----------------------------------------------------------------------|---------------------|-------------------------------|---------------|-----------------------|------------------------|-------------------------|-------------------|----------------------------|-----------------|
| 5,407.354               | EATON VANCE ATLANTA CAP SMID-CAP<br>FUND CL1<br>Ticker: EISM X        | 277902698<br>OEQ    | \$130,587.60<br>24.150        | 7.4%          | \$75,000.00<br>13.870 |                        | \$55,587.60             | \$0.00            | \$0.00                     | 0.00%           |
| Total U.S. Small Cap    |                                                                       |                     |                               |               |                       |                        |                         |                   |                            |                 |
|                         |                                                                       |                     | \$130,587.60                  | 7.4%          | \$75,000.00           |                        | \$55,587.60             | \$0.00            | \$0.00                     | 0.00%           |
| International Developed |                                                                       |                     |                               |               |                       |                        |                         |                   |                            |                 |
| 1,112.279               | COLUMBIA ACORN INTERNATIONAL<br>FUND CLASS Z SHARES<br>Ticker: ACIN X | 197199813<br>OEQ    | \$53,711.95<br>48.290         | 3.0%          | \$33,785.62<br>30.375 |                        | \$19,926.33             | \$0.00            | \$1,244.64                 | 2.31%           |
| 400.000                 | ISHARES GLOBAL TIMBER &<br>FORESTRY ETF<br>Ticker: WOOD               | 464288174<br>OEQ    | 20,479.60<br>51.199           | 1.2           | 18,346.00<br>45.865   |                        | 2,133.60                | 0.00              | 218.00                     | 1.06            |
| 400.000                 | ISHARES INC MSCI AUSTRALIA<br>ETF<br>Ticker: EWA                      | 464286103<br>OEQ    | 10,144.00<br>25.360           | 0.6           | 7,572.00<br>18.930    |                        | 2,572.00                | 0.00              | 574.80                     | 5.66            |
| 300.000                 | ISHARES MSCI CANADA<br>ETF<br>Ticker: EWC                             | 464286509<br>OEQ    | 8,727.00<br>29.090            | 0.5           | 7,263.00<br>24.210    |                        | 1,464.00                | 0.00              | 204.00                     | 2.33            |
| 1,250.000               | ISHARES MSCI SINGAPORE<br>ETF<br>Ticker: EWS                          | 464286673<br>OEQ    | 16,975.00<br>13.580           | 1.0           | 14,400.00<br>11.520   |                        | 2,575.00                | 0.00              | 730.00                     | 4.30            |
| 250.000                 | NESTLE S.A.<br>SPONSORED ADR<br>SWITZERLAND<br>Ticker: NSRG Y         | 641069406<br>CNS    | 18,314.75<br>73.259           | 1.0           | 17,348.75<br>69.395   |                        | 966.00                  | 0.00              | 454.00                     | 2.47            |
| 225.000                 | NOVARTIS A.G.<br>SPONSORED ADR<br>SWITZERLAND<br>Ticker: NVS          | 66987V109<br>HEA    | 17,802.00<br>79.120           | 1.0           | 12,691.13<br>56.405   |                        | 5,110.87                | 0.00              | 462.83                     | 2.60            |
| 275.000                 | ROCHE HLDGLTD<br>SPONSORED ADR<br>SWITZERLAND<br>Ticker: RHHBY        | 771195104<br>HEA    | 19,225.53<br>69.911           | 1.1           | 17,375.88<br>63.185   |                        | 1,849.65                | 0.00              | 446.60                     | 2.32            |

Settlement Date



## Portfolio Detail

Account: 36-16-100-8517450 THOMAS &amp; WILLIAM GILBANE FDN

05-6006 170

Dec. 01, 2012 through Nov. 30, 2013

| Units                                 | Description                                                        | CUSIP<br>Sector (2) | Market Value/<br>Market Price | % of<br>Total | Average Unit Cost     | Tax Cost/<br>Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|---------------------------------------|--------------------------------------------------------------------|---------------------|-------------------------------|---------------|-----------------------|------------------------|-------------------------|-------------------|----------------------------|-----------------|
| <b>Equities (cont)</b>                |                                                                    |                     |                               |               |                       |                        |                         |                   |                            |                 |
| <b>International Developed (cont)</b> |                                                                    |                     |                               |               |                       |                        |                         |                   |                            |                 |
| 500.000                               | VANGUARD FTSE DEVELOPED MARKETS<br>ETF<br>Ticker: VEA              | 921943858<br>OEQ    | 20,560.00<br>41.120           | 1.2           | 19,704.50<br>39.409   |                        | 855.50                  | 0.00              | 608.00                     | 2.95            |
| 250.000                               | WISDOMTREE JAPAN HEDGED EQUITY<br>FUND<br>Ticker: DXJ              | 97717W851<br>OEQ    | 12,577.50<br>50.310           | 0.7           | 11,321.25<br>45.285   |                        | 1,256.25                | 0.00              | 119.75                     | 0.95            |
| <b>Total International Developed</b>  |                                                                    |                     | <b>\$198,517.33</b>           | <b>11.2%</b>  | <b>\$159,808.13</b>   |                        | <b>\$38,709.20</b>      | <b>\$0.00</b>     | <b>\$5,062.62</b>          | <b>2.55%</b>    |
| <b>Emerging Markets</b>               |                                                                    |                     |                               |               |                       |                        |                         |                   |                            |                 |
| 500.000                               | ISHARES MSCI EMERGING MKTS MIN<br>VOL ETF<br>Ticker: EEMV          | 484286533<br>OEQ    | \$29,690.00<br>59.380         | 1.7%          | \$31,522.50<br>63.045 |                        | -\$1,832.50             | \$0.00            | \$506.50                   | 1.70%           |
| 5,383.979                             | LAZARD EMERGING MKTS EQUITY<br>PORTFOLIO INSTL CL<br>Ticker: LZEMX | 52106N889<br>OEQ    | 105,041.43<br>19.510          | 5.9           | 95,561.77<br>17.749   |                        | 9,479.66                | 0.00              | 1,916.70                   | 1.82            |
| 500.000                               | WISDOMTREE EMERGING MKTS<br>SMALLCAP DIV FUND<br>Ticker: DGS       | 97717W281<br>OEQ    | 23,720.00<br>47.440           | 1.3           | 21,290.00<br>42.580   |                        | 2,430.00                | 0.00              | 764.00                     | 3.22            |
| <b>Total Emerging Markets</b>         |                                                                    |                     | <b>\$158,451.43</b>           | <b>9.0%</b>   | <b>\$148,374.27</b>   |                        | <b>\$10,077.16</b>      | <b>\$0.00</b>     | <b>\$3,187.20</b>          | <b>2.01%</b>    |
| <b>Total Equities</b>                 |                                                                    |                     | <b>\$1,358,968.12</b>         | <b>76.9%</b>  | <b>\$1,049,080.95</b> |                        | <b>\$309,887.17</b>     | <b>\$1,756.86</b> | <b>\$25,937.25</b>         | <b>1.90%</b>    |

## Fixed Income

## Investment Grade Taxable

2014

|            |                                                                                                          |           |                        |      |                        |  |             |          |            |               |
|------------|----------------------------------------------------------------------------------------------------------|-----------|------------------------|------|------------------------|--|-------------|----------|------------|---------------|
| 25,000.000 | GENERAL ELEC CAP CORP<br>SR UNSECD MTN SER A<br>DTD 06/09/06 5.650% DUE 06/09/14<br>Moody's: A1 S&P: AA+ | 36962GX41 | \$25,690.50<br>102.762 | 1.5% | \$27,530.50<br>110.122 |  | -\$1,840.00 | \$674.86 | \$1,412.50 | 5.49%<br>0.40 |
|------------|----------------------------------------------------------------------------------------------------------|-----------|------------------------|------|------------------------|--|-------------|----------|------------|---------------|

2015

|            |                                                                                     |           |                      |     |                      |  |           |        |          |              |
|------------|-------------------------------------------------------------------------------------|-----------|----------------------|-----|----------------------|--|-----------|--------|----------|--------------|
| 25,000.000 | METLIFE INC<br>JR SUB NT<br>DTD 06/23/05 5.000% DUE 06/15/15<br>Moody's: A3 S&P: A- | 59156RAN8 | 26,638.25<br>106.553 | 1.5 | 27,154.25<br>108.617 |  | -\$516.00 | 576.39 | 1,250.00 | 4.69<br>0.73 |
|------------|-------------------------------------------------------------------------------------|-----------|----------------------|-----|----------------------|--|-----------|--------|----------|--------------|

\*18660660360\*

Settlement Date

# Portfolio Detail

Account: 36-16-100-8517450 THOMAS & WILLIAM GILBANE FDN Dec. 01, 2012 through Nov. 30, 2013

05-6006170

| Units                                  | Description                                   | CUSIP<br>Sector (2) | Market Value/<br>Market Price | % of<br>Total | Average Unit Cost    | Tax Cost/<br>Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|----------------------------------------|-----------------------------------------------|---------------------|-------------------------------|---------------|----------------------|------------------------|-------------------------|-------------------|----------------------------|-----------------|
| <b>Fixed Income (cont)</b>             |                                               |                     |                               |               |                      |                        |                         |                   |                            |                 |
| <b>Investment Grade Taxable (cont)</b> |                                               |                     |                               |               |                      |                        |                         |                   |                            |                 |
| 5,480.840                              | COLUMBIA CORPORATE INCOME FUND CLASS Z SHARES | 19765N518           | 56,123.80<br>10,240           | 3.2           | 41,544.77<br>7,580   |                        | 14,579.03               | 145.58            | 1,748.39                   | 3.11            |
| 2,515.091                              | COLUMBIA SHORT TERM BOND FUND CLASS Z SHARES  | 19765H362           | 25,100.61<br>9,980            | 1.4           | 25,000.00<br>9,940   |                        | 100.61                  | 22.73             | 223.84                     | 0.89            |
| 8,606.299                              | COLUMBIA STRATEGIC INCOME FUND CLASS Z SHARES | 19765L694           | 52,584.49<br>6,110            | 3.0           | 52,703.69<br>6,124   |                        | -119.20                 | 0.00              | 2,263.46                   | 4.30            |
| <b>Total Investment Grade Taxable</b>  |                                               |                     | <b>\$186,137.65</b>           | <b>10.5%</b>  | <b>\$173,933.21</b>  |                        | <b>\$12,204.44</b>      | <b>\$1,419.56</b> | <b>\$6,898.19</b>          | <b>3.70%</b>    |
| <b>Global High Yield Taxable</b>       |                                               |                     |                               |               |                      |                        |                         |                   |                            |                 |
| 2,723.312                              | FRANKLIN FTLG RATE DAILY ACCESS FUND CLAD     | 353612781           | \$25,054.47<br>9,200          | 1.4%          | \$25,000.00<br>9,180 |                        | \$54.47                 | \$77.92           | \$955.88                   | 3.81%           |
| 625.000                                | ISHARES BOXX \$ HIGH YIELD CORP BOND ETF      | 464288513           | 58,357.50<br>93,420           | 3.3           | 56,746.88<br>90,795  |                        | 1,640.62                | 0.00              | 3,565.00                   | 6.10            |
| 1,485.149                              | PIMCO EMERGING MKT CURRENCY FUND INSTL        | 72201F409           | 15,029.71<br>10,120           | 0.9           | 14,990.30<br>10,093  |                        | 39.41                   | 17.29             | 255.45                     | 1.70            |
| <b>Total Global High Yield Taxable</b> |                                               |                     | <b>\$98,471.68</b>            | <b>5.6%</b>   | <b>\$96,737.18</b>   |                        | <b>\$1,734.50</b>       | <b>\$95.21</b>    | <b>\$4,776.33</b>          | <b>4.85%</b>    |
| <b>Total Fixed Income</b>              |                                               |                     | <b>\$284,609.33</b>           | <b>16.1%</b>  | <b>\$270,670.39</b>  |                        | <b>\$13,938.94</b>      | <b>\$1,514.77</b> | <b>\$11,674.52</b>         | <b>4.10%</b>    |

## Real Estate

|                           |                                   |           |                       |             |                      |  |                   |               |                   |              |
|---------------------------|-----------------------------------|-----------|-----------------------|-------------|----------------------|--|-------------------|---------------|-------------------|--------------|
| <b>Public REITs</b>       |                                   |           |                       |             |                      |  |                   |               |                   |              |
| 250.000                   | PLUM CREEK TIMBER CO INC          | 729251108 | \$10,935.00<br>43,740 | 0.6%        | \$8,911.25<br>35,645 |  | \$2,023.75        | \$0.00        | \$440.00          | 4.02%        |
| 1,566.186                 | REMS REAL ESTATE VALUE OPPTY FUND | 432787307 | 24,730.08<br>15,790   | 1.4         | 19,250.99<br>12,292  |  | 5,479.09          | 0.00          | 556.00            | 2.24         |
| 200.000                   | SIMON PPTY GROUP INC NEW          | 828806109 | 29,970.00<br>149,850  | 1.7         | 29,807.00<br>149,035 |  | 163.00            | 0.00          | 960.00            | 3.20         |
| <b>Total Public REITs</b> |                                   |           | <b>\$65,635.08</b>    | <b>3.7%</b> | <b>\$57,969.24</b>   |  | <b>\$7,665.84</b> | <b>\$0.00</b> | <b>\$1,956.00</b> | <b>2.98%</b> |
| <b>Total Real Estate</b>  |                                   |           | <b>\$65,635.08</b>    | <b>3.7%</b> | <b>\$57,969.24</b>   |  | <b>\$7,665.84</b> | <b>\$0.00</b> | <b>\$1,956.00</b> | <b>2.98%</b> |

Settlement Date



**Account:** 36-16-100-8517450 THOMAS & WILLIAM GILBANE FDN *05-6006170*

Dec. 01, 2012 through Nov. 30, 2013

## Portfolio Detail

| Units                  | Description                            | CUSIP<br>Sector (2) | Market Value/<br>Market Price | % of<br>Total | Average<br>Unit Cost  | Tax Cost/<br>Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|------------------------|----------------------------------------|---------------------|-------------------------------|---------------|-----------------------|------------------------|-------------------------|-------------------|----------------------------|-----------------|
| <b>Tangible Assets</b> |                                        |                     |                               |               |                       |                        |                         |                   |                            |                 |
| <b>Commodities</b>     |                                        |                     |                               |               |                       |                        |                         |                   |                            |                 |
| 1,000.000              | UNITED STS COMMODITY INDEX FUND<br>ETF | 911717106           | \$55,850.00<br>55.850         | 3.2%          | \$58,370.00<br>58.370 |                        | -\$2,520.00             | \$0.00            | \$0.00                     | 0.00%           |
|                        | <b>Total Commodities</b>               |                     | <b>\$55,850.00</b>            | <b>3.2%</b>   | <b>\$58,370.00</b>    |                        | <b>-\$2,520.00</b>      | <b>\$0.00</b>     | <b>\$0.00</b>              | <b>0.00%</b>    |
|                        | <b>Total Tangible Assets</b>           |                     | <b>\$55,850.00</b>            | <b>3.2%</b>   | <b>\$58,370.00</b>    |                        | <b>-\$2,520.00</b>      | <b>\$0.00</b>     | <b>\$0.00</b>              | <b>0.00%</b>    |
|                        | <b>Total Portfolio</b>                 |                     | <b>\$1,766,202.97</b>         | <b>100.0%</b> | <b>\$1,437,231.02</b> |                        | <b>\$328,971.95</b>     | <b>\$3,272.29</b> | <b>\$39,568.42</b>         | <b>2.24%</b>    |

Form 990 PF

YE 11/30/2013

## Portfolio Detail

Account: 36-16-100-8515617 GILBANE THE THOMAS &amp; WILLIAM FDN 05-6006170

Dec. 01, 2012 through Nov. 30, 2013

| Units                         | Description                                                                    | CUSIP<br>Sector (2) | Market Value/<br>Market Price | % of<br>Total | Average Unit Cost  | Tax Cost/<br>Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|-------------------------------|--------------------------------------------------------------------------------|---------------------|-------------------------------|---------------|--------------------|------------------------|-------------------------|-------------------|----------------------------|-----------------|
| <b>Cash/Currency</b>          |                                                                                |                     |                               |               |                    |                        |                         |                   |                            |                 |
| <b>Cash Equivalents</b>       |                                                                                |                     |                               |               |                    |                        |                         |                   |                            |                 |
| 2,799,480                     | CUSTODY BANK OF AMERICA<br>MONEY MARKET SAVINGS ACCOUNT<br>(Income Investment) | 99Z188676           | \$2,799.48                    | 0.8%          | \$2,799.48         | 1,000                  | \$0.00                  | \$0.03            | \$0.53                     | 0.01%           |
| 38,137,460                    | CUSTODY BANK OF AMERICA<br>MONEY MARKET SAVINGS ACCOUNT                        | 99Z188676           | 38,137.46                     | 10.3          | 38,137.46          | 1,000                  | 0.00                    | 0.22              | 7.25                       | 0.01            |
| <b>Total Cash Equivalents</b> |                                                                                |                     | <b>\$40,936.94</b>            | <b>11.1%</b>  | <b>\$40,936.94</b> |                        | <b>\$0.00</b>           | <b>\$0.25</b>     | <b>\$7.78</b>              | <b>0.01%</b>    |
| <b>Total Cash/Currency</b>    |                                                                                |                     | <b>\$40,936.94</b>            | <b>11.1%</b>  | <b>\$40,936.94</b> |                        | <b>\$0.00</b>           | <b>\$0.25</b>     | <b>\$7.78</b>              | <b>0.01%</b>    |

## Equities

| (2) Industry Sector Codes |                                                             | CND = Consumer Discretionary<br>CNS = Consumer Staples<br>ENR = Energy |  | FIN = Financials<br>HEA = Health Care<br>IND = Industrials<br><i>Port. B</i><br><i>Line 106 Col 6</i> |      | IFT = Information Technology<br>MAT = Materials<br>OEQ = Other Equities<br><i>Port. B</i><br><i>Line 106 Col 6</i> |            | TEL = Telecommunication Services<br>UTL = Utilities |         |       |
|---------------------------|-------------------------------------------------------------|------------------------------------------------------------------------|--|-------------------------------------------------------------------------------------------------------|------|--------------------------------------------------------------------------------------------------------------------|------------|-----------------------------------------------------|---------|-------|
| U.S. Large Cap            |                                                             |                                                                        |  |                                                                                                       |      |                                                                                                                    |            |                                                     |         |       |
| 100,000                   | ABBOTT LABS<br>Ticker: ABT                                  | 002824100<br>HEA                                                       |  | \$3,819.00<br>38,190                                                                                  | 1.0% | \$2,211.66<br>22,117                                                                                               | \$1,607.34 | \$0.00                                              | \$88.00 | 2.30% |
| 100,000                   | ABBVIE INC<br>Ticker: ABBV                                  | 00287Y109<br>HEA                                                       |  | 4,845.00<br>48,450                                                                                    | 1.3  | 2,398.34<br>23,983                                                                                                 | 2,446.66   | 0.00                                                | 160.00  | 3.30  |
| 100,000                   | AMGEN INC<br>Ticker: AMGN                                   | 031162100<br>HEA                                                       |  | 11,408.00<br>114,080                                                                                  | 3.1  | 7,827.00<br>78,270                                                                                                 | 3,581.00   | 47.00                                               | 188.00  | 1.64  |
| 100,000                   | BAXTER INTL INC<br>Ticker: BAX                              | 071813109<br>HEA                                                       |  | 6,845.00<br>68,450                                                                                    | 1.9  | 2,531.33<br>25,313                                                                                                 | 4,313.67   | 0.00                                                | 196.00  | 2.86  |
| 100,000                   | BERKSHIRE HATHAWAY INC DEL<br>NEW CL B COM<br>Ticker: BRK B | 084670702<br>FIN                                                       |  | 11,653.00<br>116,530                                                                                  | 3.2  | 4,920.00<br>49,200                                                                                                 | 6,733.00   | 0.00                                                | 0.00    | 0.00  |
| 30,000                    | BLACKROCK INC<br>CLA<br>Ticker: BLK                         | 09247X101<br>FIN                                                       |  | 9,082.50<br>302,750                                                                                   | 2.5  | 8,373.90<br>279,130                                                                                                | 708.60     | 50.40                                               | 201.60  | 2.22  |
| 200,000                   | BRISTOL MYERS SQUIBB CO<br>Ticker: BMY                      | 110122108<br>HEA                                                       |  | 10,276.00<br>51,380                                                                                   | 2.8  | 5,614.00<br>28,070                                                                                                 | 4,662.00   | 0.00                                                | 280.00  | 2.72  |

\*18470660170\*

Settlement Date



Portfolio Detail

25-6006170

GILBANE THE THOMAS & WILLIAM FDN

Account: 36-16-100-8515617

Dec 01, 2012 through Nov. 30, 2013

| Units                 | Description                          | CUSIP<br>Sector (2) | Market Value/<br>Market Price | % of<br>Total | Average Unit Cost   | Tax Cusi/<br>Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|-----------------------|--------------------------------------|---------------------|-------------------------------|---------------|---------------------|------------------------|-------------------------|-------------------|----------------------------|-----------------|
| Equities (cont)       |                                      |                     |                               |               |                     |                        |                         |                   |                            |                 |
| U.S. Large Cap (cont) |                                      |                     |                               |               |                     |                        |                         |                   |                            |                 |
| 240.000               | Coca Cola CO<br>Ticker: KO           | 191216100<br>CNS    | 9,645.60<br>40.190            | 2.6           | 5,139.60<br>21.415  |                        | 4,506.00                | 67.20             | 268.80                     | 2.78            |
| 200.000               | COLGATE PALMOLIVE CO<br>Ticker: CL   | 194162103<br>CNS    | 13,162.00<br>65.810           | 3.6           | 7,622.00<br>38.110  |                        | 5,540.00                | 0.00              | 272.00                     | 2.06            |
| 150.000               | CVS CAREMARK CORP<br>Ticker: CVS     | 126650100<br>CNS    | 10,044.00<br>66.960           | 2.7           | 7,215.00<br>48.100  |                        | 2,829.00                | 0.00              | 135.00                     | 1.34            |
| 100.000               | HOME DEPOT INC<br>Ticker: HD         | 437076102<br>CND    | 8,067.00<br>80.670            | 2.2           | 6,727.00<br>67.270  |                        | 1,340.00                | 0.00              | 156.00                     | 1.93            |
| 300.000               | INTEL CORP<br>Ticker: INTC           | 458140100<br>IFT    | 7,152.00<br>23.840            | 1.9           | 7,671.00<br>25.570  |                        | -519.00                 | 67.50             | 270.00                     | 3.77            |
| 125.000               | JOHNSON & JOHNSON<br>Ticker: JNJ     | 478160104<br>HEA    | 11,832.50<br>94.660           | 3.2           | 7,303.50<br>58.428  |                        | 4,529.00                | 82.50             | 330.00                     | 2.78            |
| 150.000               | LILLY ELI & CO<br>Ticker: LLY        | 532457108<br>HEA    | 7,533.00<br>50.220            | 2.0           | 4,923.00<br>32.820  |                        | 2,610.00                | 73.50             | 294.00                     | 3.90            |
| 60.000                | MCDONALDS CORP<br>Ticker: MCD        | 580135101<br>CND    | 5,842.20<br>97.370            | 1.6           | 5,181.60<br>86.360  |                        | 660.60                  | 48.60             | 194.40                     | 3.32            |
| 130.000               | MERCK & CO INC<br>Ticker: MRK        | 58933Y105<br>HEA    | 6,477.90<br>49.830            | 1.8           | 4,864.60<br>37.420  |                        | 1,613.30                | 0.00              | 228.80                     | 3.53            |
| 600.000               | PFIZER INC<br>Ticker: PFE            | 717081103<br>HEA    | 19,038.00<br>31.730           | 5.2           | 11,641.00<br>19.402 |                        | 7,397.00                | 144.00            | 576.00                     | 3.02            |
| 100.000               | PHILIP MORRIS INTL INC<br>Ticker: PM | 718172109<br>CNS    | 8,554.00<br>85.540            | 2.3           | 4,965.00<br>49.650  |                        | 3,589.00                | 0.00              | 376.00                     | 4.39            |
| 150.000               | PROCTER & GAMBLE CO<br>Ticker: PG    | 742718109<br>CNS    | 12,633.00<br>84.220           | 3.4           | 7,816.07<br>52.107  |                        | 4,816.93                | 0.00              | 360.90                     | 2.85            |
| 140.000               | SOUTHERN CO<br>Ticker: SO            | 842587107<br>UTL    | 5,688.20<br>40.630            | 1.5           | 4,475.40<br>31.967  |                        | 1,212.80                | 71.05             | 284.20                     | 4.99            |
| 170.000               | WAL-MART STORES INC<br>Ticker: WMT   | 931142103<br>CNS    | 13,771.70<br>81.010           | 3.7           | 9,777.70<br>57.516  |                        | 3,994.00                | 0.00              | 319.60                     | 2.32            |
| 125.000               | YUM BRANDS INC<br>Ticker: YUM        | 988498101<br>CND    | 9,710.00<br>77.680            | 2.6           | 8,035.00<br>64.280  |                        | 1,675.00                | 0.00              | 185.00                     | 1.90            |
| Total U.S. Large Cap  |                                      |                     | \$207,079.60                  | 56.2%         | \$137,233.70        |                        | \$69,845.90             | \$651.75          | \$5,364.30                 | 2.59%           |

Settlement Date



# Portfolio Detail

**Account:** 36-16-100-8515617 GILBANE THE THOMAS & WILLIAM FDN

05-6006170

Dec 01, 2012 through Nov. 30, 2013

| Units                                | Description                                                      | CUSIP            | Market Value/<br>Market Price | % of<br>Total | Average Unit Cost     | Tax Cost/<br>Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|--------------------------------------|------------------------------------------------------------------|------------------|-------------------------------|---------------|-----------------------|------------------------|-------------------------|-------------------|----------------------------|-----------------|
| 325.000                              | D R HORTON INC<br>Ticker: DHI                                    | 23331A109<br>CND | \$6,461.00<br>19.880          | 1.8%          | \$6,877.00<br>21.160  | \$6,877.00<br>21.160   | -\$416.00               | \$0.00            | \$48.75                    | 0.75%           |
| <b>Total U.S. Mid Cap</b>            |                                                                  |                  |                               |               |                       |                        |                         |                   |                            |                 |
|                                      |                                                                  |                  | <b>\$6,461.00</b>             | <b>1.8%</b>   | <b>\$6,877.00</b>     |                        | <b>-\$416.00</b>        | <b>\$0.00</b>     | <b>\$48.75</b>             | <b>0.75%</b>    |
| <b>International Developed</b>       |                                                                  |                  |                               |               |                       |                        |                         |                   |                            |                 |
| 150.000                              | BHP BILLITON LTD<br>SPONSORED ADR<br>AUSTRALIA<br>Ticker: BHP    | 088606108<br>MAT | \$10,233.00<br>68.220         | 2.8%          | \$4,440.00<br>29.600  | \$4,440.00<br>29.600   | \$5,793.00              | \$0.00            | \$348.00                   | 3.40%           |
| 200.000                              | BP PLC<br>SPONSORED ADR<br>UNITED KINGDOM<br>Ticker: BP          | 055622104<br>ENR | 9,402.00<br>47.010            | 2.6           | 8,775.00<br>43.875    | 8,775.00<br>43.875     | 627.00                  | 114.00            | 456.00                     | 4.85            |
| 70.000                               | DIAGEO PLC<br>SPONSORED ADR NEW<br>UNITED KINGDOM<br>Ticker: DEO | 25243Q205<br>CNS | 8,936.90<br>127.670           | 2.4           | 8,302.70<br>118.610   | 8,302.70<br>118.610    | 634.20                  | 0.00              | 209.86                     | 2.34            |
| 250.000                              | ISHARES MSCI EAFE ETF<br>Ticker: EFA                             | 464287465<br>OEQ | 16,560.00<br>66.240           | 4.5           | 15,247.50<br>60.990   | 15,247.50<br>60.990    | 1,312.50                | 0.00              | 440.25                     | 2.65            |
| 16.000                               | NORTEL NETWORKS CORP<br>NEW COM<br>CANADA<br>Ticker: NRTL Q      | 656568508<br>IFT | 0.10<br>0.006                 | 0.0           | 134.64<br>8.415       | 134.64<br>8.415        | -134.54                 | 0.00              | 0.00                       | 0.00            |
| 100.000                              | NOVARTIS AG<br>SPONSORED ADR<br>SWITZERLAND<br>Ticker: NVS       | 66987V109<br>HEA | 7,912.00<br>79.120            | 2.1           | 4,735.00<br>47.350    | 4,735.00<br>47.350     | 3,177.00                | 0.00              | 205.70                     | 2.60            |
| <b>Total International Developed</b> |                                                                  |                  |                               |               |                       |                        |                         |                   |                            |                 |
|                                      |                                                                  |                  | <b>\$53,044.00</b>            | <b>14.4%</b>  | <b>\$41,634.84</b>    |                        | <b>\$11,409.16</b>      | <b>\$114.00</b>   | <b>\$1,659.81</b>          | <b>3.12%</b>    |
| <b>Emerging Markets</b>              |                                                                  |                  |                               |               |                       |                        |                         |                   |                            |                 |
| 500.000                              | ISHARES CHINA LARGE-CAP<br>ETF<br>Ticker: FXI                    | 464287184<br>OEQ | \$20,065.00<br>40.130         | 5.4%          | \$19,541.70<br>39.083 | \$19,541.70<br>39.083  | \$523.30                | \$0.00            | \$462.50                   | 2.30%           |

1. The first step in the process is to identify the problem or issue that needs to be addressed. This involves gathering information and understanding the context of the problem.

Page 10 of 34

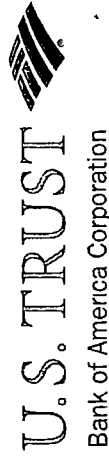
05-606170

GILBANE THE THOMAS &amp; WILLIAM FDN

Dec 01, 2012 through Nov. 30, 2013

| Units                   | Description                                         | CUSIP<br>Sector (2) | Market Value/<br>Market Price | % of<br>Total | Average Unit Cost    | Tax Cost/<br>Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld<br>YTM |
|-------------------------|-----------------------------------------------------|---------------------|-------------------------------|---------------|----------------------|------------------------|-------------------------|-------------------|----------------------------|----------------|
| Equities (cont)         |                                                     |                     |                               |               |                      |                        |                         |                   |                            |                |
| Emerging Markets (cont) |                                                     |                     |                               |               |                      |                        |                         |                   |                            |                |
| 450.000                 | ISHARES MSCI EMERGING MARKETS<br>ETF<br>Ticker: EEM | 464287234<br>OEO    | 19,057.50<br>42.350           | 5.2           | 14,652.00<br>32.560  |                        | 4,405.50                | 0.00              | 346.05                     | 1.81           |
| Total Emerging Markets  |                                                     |                     | \$39,122.50                   | 10.6%         | \$34,193.70          |                        | \$4,928.80              | \$0.00            | \$808.55                   | 2.06%          |
| Total Equities          |                                                     |                     | \$305,707.10                  | 82.9%         | \$219,939.24         |                        | \$85,767.86             | \$765.75          | \$7,881.41                 | 2.57%          |
| Real Estate             |                                                     |                     |                               |               |                      |                        |                         |                   |                            |                |
| Public REITs            |                                                     |                     |                               |               |                      |                        |                         |                   |                            |                |
| 160.000                 | PLUM CREEK TIMBER CO INC                            | 729251108           | \$6,998.40<br>43.740          | 1.9%          | \$6,509.73<br>40.686 |                        | \$488.67                | \$0.00            | \$281.60                   | 4.02%          |
| 180.000                 | RAYONIER INC<br>REIT                                | 754907103           | 7,939.80<br>44.110            | 2.2           | 5,562.85<br>30.905   |                        | 2,376.95                | 0.00              | 352.80                     | 4.44           |
| 80.000                  | VORNADO RLTY TR<br>SH BEN INT                       | 929042109           | 7,034.40<br>87.930            | 1.9           | 4,665.93<br>58.324   |                        | 2,368.47                | 0.00              | 233.60                     | 3.32           |
| Total Public REITs      |                                                     |                     | \$21,972.60                   | 6.0%          | \$16,738.51          |                        | \$5,234.09              | \$0.00            | \$868.00                   | 3.95%          |
| Total Real Estate       |                                                     |                     | \$21,972.60                   | 6.0%          | \$16,738.51          |                        | \$5,234.09              | \$0.00            | \$868.00                   | 3.95%          |
| Total Portfolio         |                                                     |                     | \$368,616.64                  | 100.0%        | \$277,614.69         |                        | \$91,001.95             | \$766.00          | \$8,757.19                 | 2.37%          |

Settlement Date



Form 990-PM  
y.e. 11/30/2013

## Portfolio Detail

Account: 36-16-100-2226975 THOMAS & WILLIAM GILBANE FDN

Dec. 01, 2012 through Nov. 30, 2013

CVS-6006170

| Units                  | Description                                                                    | CUSIP     | Market Value/<br>Market Price | % of<br>Total | Average Unit Cost   | Tax Cost/<br>Gain/Loss | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|------------------------|--------------------------------------------------------------------------------|-----------|-------------------------------|---------------|---------------------|------------------------|-------------------------|-------------------|----------------------------|-----------------|
| 6,469.800              | CUSTODY BANK OF AMERICA<br>MONEY MARKET SAVINGS ACCOUNT<br>(Income Investment) | 99Z188676 | \$6,469.80                    | 1.5%          | \$6,469.80<br>1,000 | \$0.00                 | \$0.00                  | \$0.10            | \$1.23                     | 0.01%           |
| Total Cash Equivalents |                                                                                |           |                               |               |                     |                        |                         |                   |                            |                 |
|                        |                                                                                |           | \$6,469.80                    | 1.5%          | \$6,469.80          | \$0.00                 | \$0.00                  | \$0.10            | \$1.23                     | 0.01%           |
|                        |                                                                                |           | \$6,469.80                    | 1.5%          | \$6,469.80          | \$0.00                 | \$0.00                  | \$0.10            | \$1.23                     | 0.01%           |

## Equities

| (2) Industry Sector Codes                               | CND = Consumer Discretionary<br>CNS = Consumer Staples<br>ENR = Energy | FIN = Financials<br>HEA = Health Care<br>IND = Industrials | IFT = Information Technology<br>MAT = Materials<br>OEQ = Other Equities | TEL = Telecommunication Services<br>UTL = Utilities |
|---------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------|-------------------------------------------------------------------------|-----------------------------------------------------|
| U.S. Large Cap                                          |                                                                        |                                                            |                                                                         |                                                     |
| 125,000<br>APACHE CORP<br>Ticker: APA                   | 037411105<br>ENR                                                       | \$11,436.25<br>91,490                                      | \$7,361.10<br>58,889                                                    | \$4,075.15                                          |
| 90,000<br>APPLE INC<br>Ticker: AAPL                     | 037833100<br>IFT                                                       | 50,046.30<br>556,070                                       | 3,488.85<br>38,765                                                      | 46,557.45                                           |
| 150,000<br>AUTOMATIC DATA PROCESSING INC<br>Ticker: ADP | 053015103<br>IFT                                                       | 12,003.00<br>80,020                                        | 972.60<br>6,484                                                         | 11,030.40                                           |
| 1,000,000<br>BANK AMER CORP<br>Ticker: BAC              | 060505104<br>FIN                                                       | 15,820.00<br>15,820                                        | 15,043.32<br>15,043                                                     | 776.68                                              |
| 150,000<br>CHEVRON CORP<br>Ticker: CVX                  | 166764100<br>ENR                                                       | 18,366.00<br>122,440                                       | 4,946.00<br>32,973                                                      | 13,420.00                                           |
| 125,000<br>COGNIZANT TECHNOLOGY SOLUTIONS<br>CLA        | 192446102<br>IFT                                                       | 11,736.25<br>93,890                                        | 5,472.19<br>43,778                                                      | 6,264.06                                            |
| 150,000<br>CONOCOPHILLIPS<br>Ticker: COP                | 20825C104<br>ENR                                                       | 10,920.00<br>72,800                                        | 6,812.45<br>45,416                                                      | 4,107.55                                            |
| 200,000<br>DOMINION RES INC VA NEW<br>Ticker: D         | 25746U109<br>UTL                                                       | 12,982.00<br>64,910                                        | 5,970.50<br>29,853                                                      | 7,011.50                                            |



\*18340660040\*

Settlement Date

## Portfolio Detail

05-6006 170

Account: 36-16-100-2226975 THOMAS & WILLIAM GILBANE FDN

Dec 01, 2012 through Nov 30, 2013

| Units                 | Description                                | CUSIP     | Sector (2) | Market Value/<br>Market Price | % of<br>Total | Tax Cost/<br>Average Unit Cost | Unrealized<br>Gain/Loss | Accrued<br>Income | Estimated<br>Annual Income | Cur Yld/<br>YTM |
|-----------------------|--------------------------------------------|-----------|------------|-------------------------------|---------------|--------------------------------|-------------------------|-------------------|----------------------------|-----------------|
| Equities (cont)       |                                            |           |            |                               |               |                                |                         |                   |                            |                 |
| U.S. Large Cap (cont) |                                            |           |            |                               |               |                                |                         |                   |                            |                 |
| 125.000               | DUKE ENERGY CORP<br>NEW COM<br>Ticker: DUK | 26441C204 | UTL        | 8,745.00<br>59.960            | 2.1           | 6,022.50<br>48.180             | 2,722.50                | 97.50             | 390.00                     | 4.46            |
| 200.000               | EMERSON ELEC CO<br>Ticker: EMR             | 291011104 | IND        | 13,398.00<br>66.990           | 3.2           | 5,353.00<br>26.765             | 8,045.00                | 86.00             | 344.00                     | 2.56            |
| 200.000               | EXXON MOBIL CORP<br>Ticker: XOM            | 30231G102 | ENR        | 18,696.00<br>93.480           | 4.4           | 10,347.33<br>51.737            | 8,348.67                | 126.00            | 504.00                     | 2.69            |
| 850.000               | GENERAL ELEC CO<br>Ticker: GE              | 369604103 | IND        | 22,661.00<br>26.660           | 5.4           | 14,065.51<br>16.548            | 8,595.49                | 0.00              | 646.00                     | 2.85            |
| 70.000                | GOLDMAN SACHS GROUP INC<br>Ticker: GS      | 38141G104 | FIN        | 11,825.80<br>168.940          | 2.8           | 7,580.25<br>108.289            | 4,245.55                | 38.50             | 154.00                     | 1.30            |
| 15.000                | GOOGLE INC<br>CLA COM<br>Ticker: GOOG      | 38259P508 | IFT        | 15,893.85<br>1,059.590        | 3.8           | 6,052.35<br>403.490            | 9,841.50                | 0.00              | 0.00                       | 0.00            |
| 250.000               | J P MORGAN CHASE & CO<br>Ticker: JPM       | 46625H100 | FIN        | 14,305.00<br>57.220           | 3.4           | 8,296.50<br>33.186             | 6,008.50                | 0.00              | 380.00                     | 2.65            |
| 85.000                | LOCKHEED MARTIN CORP<br>Ticker: LMT        | 539830109 | IND        | 12,041.95<br>141.670          | 2.9           | 7,898.20<br>92.920             | 4,143.75                | 113.05            | 452.20                     | 3.75            |
| 195.000               | LORILLARD INC<br>Ticker: LO                | 544147101 | CNS        | 10,009.35<br>51.330           | 2.4           | 8,047.65<br>41.270             | 1,961.70                | 107.25            | 429.00                     | 4.28            |
| 40.000                | MASTERCARD INC<br>CLA COM<br>Ticker: MA    | 57636Q104 | IFT        | 30,432.40<br>760.810          | 7.2           | 9,192.75<br>229.819            | 21,239.65               | 0.00              | 96.00                      | 0.31            |
| 200.000               | MICROSOFT CORP<br>Ticker: MSFT             | 594918104 | IFT        | 7,626.00<br>38.130            | 1.8           | 9,606.25<br>48.031             | -1,980.25               | 56.00             | 224.00                     | 2.93            |
| 125.000               | NEXTERA ENERGY INC<br>Ticker: NEE          | 65339F101 | UTL        | 10,573.75<br>84.590           | 2.5           | 7,430.00<br>59.440             | 3,143.75                | 82.50             | 330.00                     | 3.12            |
| 275.000               | ORACLE CORP<br>Ticker: ORCL                | 68389X105 | IFT        | 9,704.75<br>35.290            | 2.3           | 5,018.75<br>18.250             | 4,686.00                | 0.00              | 132.00                     | 1.36            |
| 0.000                 | PHILLIPS 66<br>Ticker: PSX                 | 718546104 | ENR        | 0.00<br>69.610                | 0.0           | 0.00                           | 0.00                    | 29.25             | 0.00                       | 0.00            |

## Portfolio Detail

Dec. 01, 2012 through Nov. 30, 2013

Page 9 of 26

The Thomas and William Gilbane Foundation  
05-6006170  
Y.E.: November 30, 2013

Form 990PF, Part XV  
Line 3(a) Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address<br><u>a. Paid during the year</u>                            | Relationship to<br>any Foundation<br>Manager | Foundation<br>status of<br>recipient | Purpose of<br>contribution | Amount     |
|--------------------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------|------------|
| Academy of Our Lady of Good Counsel High School<br>52 N Broadway<br>White Plains, NY 10603 | None                                         | Public                               | Education                  | \$500 00   |
| Aga Khan Foundation USA<br>1825 K Street, NW, Suite 901<br>Washington, D C, 20006          | None                                         | Public                               | Social                     | \$50 00    |
| Alta Community Enrichment<br>PO Box 8142<br>Alta, UT 84092                                 | None                                         | Public                               | Social                     | \$250 00   |
| Alzheimer's Assocation RI Chapter<br>245 Waterman St , Ste 306<br>Providence, RI 02906     | None                                         | Public                               | Research                   | \$100 00   |
| American Cancer Society<br>30 Speen Street<br>Framingham, MA 01701                         | None                                         | Public                               | Research                   | \$5,400 00 |
| American Red Cross<br>P O Box 37295<br>Washington, DC 20013                                | None                                         | Public                               | Social                     | \$100 00   |
| Archbishop Stepinac High School<br>950 Mamaroneck Avenue<br>White Plains, NY 10605         | None                                         | Public                               | Education                  | \$500 00   |
| Barrington Education Foundation<br>283 County Road<br>Barrington, RI 02806                 | None                                         | Public                               | Education                  | \$125 00   |
| Barrington Pop Warner<br>P O Box 192<br>Barrington, RI 02806                               | None                                         | Public                               | Social                     | \$1,000 00 |
| Bayside Family YMCA<br>70 West Street<br>Barrington, RI 02806                              | None                                         | Public                               | Social                     | \$1,500 00 |
| Black Warrior Riverkeeper<br>712 37th Street South<br>Birmingham, AL 35222                 | None                                         | Public                               | Social                     | \$100 00   |
| Boston College<br>Cadigan Alumni Center<br>140 Commonwealth Ave<br>Chestnut Hill, MA 02467 | None                                         | Public                               | Education                  | \$500 00   |

|                                                                                    |      |        |           |             |
|------------------------------------------------------------------------------------|------|--------|-----------|-------------|
| Bradley Hospital<br>P O Box H<br>Providence, RI 02901                              | None | Public | Research  | \$3,000 00  |
| The Brick Church School<br>62 E 92nd Street<br>NY, NY 10128                        | None | Public | Education | \$200 00    |
| Brown University<br>1 Prospect Street<br>Box 1860<br>Providence, RI 02912          | None | Public | Education | \$78,100 00 |
| Casey Middle School<br>1301 High Street<br>Boulder, CO 80304                       | None | Public | Education | \$500 00    |
| Convent of the Sacred Heart<br>1 East 91st Street<br>New York, NY 10128            | None | Public | Social    | \$250 00    |
| De La Salle Collegiate High School<br>14600 Common Rd<br>Warren, MI 48088          | None | Public | Education | \$5,000 00  |
| Deerfield Academy<br>P O Box 306 – Alumni Office<br>Deerfield, MA 01342            | None | Public | Education | \$500 00    |
| Down Syndrome Society of RI<br>99 Bald Hill Rd<br>Cranston, RI 02920               | None | Public | Research  | \$75 00     |
| East Bay Rowing<br>12 Joyce St<br>Barrington, RI 02806                             | None | Public | Social    | \$125 00    |
| Evergreen Middle School<br>2059 Hiwan Dr<br>Evergreen, CO 80439                    | None | Public | Education | \$1,435 00  |
| F L Olmsted and H H Richardson PTA<br>101 Lothrop Street<br>North Easton, MA 02356 | None | Public | Education | \$150 00    |
| Franciscan Life Center<br>271 Finch Avenue<br>Meriden, CT 06451                    | None | Public | Social    | \$100 00    |
| Friends of Alta<br>P O Box 8126<br>Alta, UT 84092                                  | None | Public | Social    | \$250 00    |
| Golf Fights Cancer<br>300 Arnold Palmer Blvd<br>Norton, MA 02766                   | None | Public | Social    | \$100 00    |

|                                                                                                  |      |        |           |            |
|--------------------------------------------------------------------------------------------------|------|--------|-----------|------------|
| The Gordon School<br>45 Maxfield Avenue<br>East Providence, RI 02914                             | None | Public | Education | \$1,300 00 |
| Harvard University<br>124 Mt Auburn Street<br>Cambridge, MA 02138                                | None | Public | Education | \$1,000 00 |
| High Mountain Institute<br>P O Box 970<br>Leadville, CO 80461                                    | None | Public | Education | \$500 00   |
| Little Traverse Conservancy<br>3264 Powell Road<br>Harbor Springs, MI 49740                      | None | Public | Social    | \$250 00   |
| Maine Huts & Trails<br>496 Main St, Unit C<br>Kingfield, ME 04947                                | None | Public | Social    | \$500 00   |
| Manhattan College<br>Advancement Office<br>4513 Manhattan College Parkway<br>Riverdale, NY 10471 | None | Public | Education | \$2,300 00 |
| March of Dimes Foundation<br>220 W Exchange St Suite 003<br>Providence, RI 02903                 | None | Public | Research  | \$100 00   |
| Massachusetts General Hospital<br>55 Fruit St<br>Boston, MA 02114                                | None | Public | Research  | \$1,050 00 |
| McAuley Ministries<br>622 Elmwood Ave<br>Providence, RI 02907                                    | None | Public | Social    | \$500 00   |
| Meals on Wheels of RI, Inc<br>70 Bath Street<br>Providence, RI 02908                             | None | Public | Social    | \$2,950 00 |
| Meeting Street<br>1000 Eddy Street<br>Providence, RI 02905                                       | None | Public | Education | \$100 00   |
| Middlebridge School, Inc<br>333 Ocean Road<br>Narragansett, RI 02882                             | None | Public | Education | \$1,000 00 |
| Minds Matter National, Inc<br>1120 Avenue of the Americas<br>4th Floor<br>New York, NY 10036     | None | Public | Social    | \$2,000 00 |
| National Pancreas Foundation<br>3 Bethesda Metro Center, Suite 700<br>Bethesda, MD 20814         | None | Public | Research  | \$250 00   |
| The Nature Conservancy<br>P O Box 6015<br>Albert Lea, MN 56007                                   | None | Public | Social    | \$500 00   |

|                                                                                                     |      |        |           |            |
|-----------------------------------------------------------------------------------------------------|------|--------|-----------|------------|
| Ocean Tides<br>635 Ocean Road<br>Narragansett, RI 02882                                             | None | Public | Education | \$500 00   |
| One Fund Boston, Inc<br>PO Box 990009<br>Boston, MA 02199                                           | None | Public | Social    | \$1,000 00 |
| Pan Massachusetts Challenge<br>77 Fourth Ave<br>Needham, MA 02494                                   | None | Public | Research  | \$150 00   |
| The Pelham Education Foundation<br>18 Franklin Place<br>Pelham, NY 10803                            | None | Public | Education | \$2,000 00 |
| Princeton University<br>330 Alexander Street, 4th Floor<br>P O Box 5357<br>Princeton, NJ 08543-5357 | None | Public | Education | \$100 00   |
| Providence College<br>1 Cunningham Square<br>Providence, RI 02918                                   | None | Public | Education | \$50 00    |
| Reach Out and Read RI<br>P O Box 603442<br>Providence, RI 02906                                     | None | Public | Social    | \$75 00    |
| Re-Focus, Inc<br>45 Greeley Street<br>Providence, RI 02904                                          | None | Public | Research  | \$1,500 00 |
| Relevant Radio<br>1496 Bellevue St Suite 202<br>Green Bay, WI 54311                                 | None | Public | Social    | \$365 00   |
| RI Community Food Bank<br>200 Niantic Ave<br>Providence, RI 02907                                   | None | Public | Social    | \$100 00   |
| Rocky Hill School<br>530 Ives Road<br>East Greenwich, RI 02818                                      | None | Public | Education | \$1,000 00 |
| Rowland Hall-St Mark's School<br>720 South Guardsman Way<br>Salt Lake City, UT 84108                | None | Public | Education | \$1,000 00 |
| Salisbury School, Inc<br>251 Cannan Road<br>Salisbury, CT 06068                                     | None | Public | Education | \$350 00   |
| San Miguel School<br>525 Branch Ave<br>Providence, RI 02904                                         | None | Public | Education | \$2,250 00 |
| Save The Bay, Inc<br>100 Save the Bay Dr<br>Providence, RI 02905                                    | None | Public | Social    | \$100 00   |

|                                                                                                 |      |        |           |            |
|-------------------------------------------------------------------------------------------------|------|--------|-----------|------------|
| Special Olympics of Massachusetts<br>512 Forest Street<br>Marlborough, MA 01752                 | None | Public | Social    | \$2,000 00 |
| St Lawrence University<br>23 Romoda Dr<br>Canton, NY 13617                                      | None | Public | Education | \$500 00   |
| Student Conservation Association<br>689 River Road<br>P O Box 550<br>Charlestown, NH 03603-0550 | None | Public | Education | \$550 00   |
| Susan G Komen for the Cure<br>5005 LBJ Frwy, Suite 250<br>Dallas, TX 75244                      | None | Public | Research  | \$100 00   |
| University of Denver<br>P O Box 910585<br>Denver, CO 80291-0585                                 | None | Public | Education | \$100 00   |
| Vermont Land Trust, Inc<br>8 Bailey Ave<br>Montpelier, VT 05602                                 | None | Public | Social    | \$1,000 00 |
| Wasatch Community Gardens<br>824 South 400 West, Suite 127<br>Salt Lake City, UT 84101          | None | Public | Social    | \$50 00    |
| Water 1 <sup>st</sup> International<br>1904 3 <sup>rd</sup> Avenue, #1012<br>Seattle, WA 98101  | None | Public | Social    | \$3,500 00 |
| The Wheeler School<br>216 Hope Street<br>Providence, RI 02906                                   | None | Public | Education | \$1,550 00 |
| Wild Salmon Center<br>721 NW 9th Ave<br>Portland, OR 97209                                      | None | Public | Social    | \$50 00    |

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\$134,150 00

**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box. ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

|                                                                                       |                                                                                         |                                         |
|---------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|-----------------------------------------|
| <b>Type or print</b><br>File by the due date for filing your return. See instructions | Name of exempt organization or other filer, see instructions                            | Employer identification number (EIN) or |
|                                                                                       | The Thomas & William Gilbane Foundation                                                 | 05-6006170                              |
|                                                                                       | Number, street, and room or suite no. If a P O box, see instructions                    | Social security number (SSN)            |
|                                                                                       | 7 Jackson Walkway                                                                       |                                         |
|                                                                                       | City, town or post office, state, and ZIP code. For a foreign address, see instructions |                                         |
|                                                                                       | Providence RI 02903                                                                     |                                         |

Enter the Return code for the return that this application is for (file a separate application for each return)

04

| Application Is For                       | Return Code | Application Is For       | Return Code |
|------------------------------------------|-------------|--------------------------|-------------|
| Form 990 or Form 990-EZ                  | 01          | Form 990-T (corporation) | 07          |
| Form 990-BL                              | 02          | Form 1041-A              | 08          |
| Form 4720 (individual)                   | 03          | Form 4720                | 09          |
| Form 990-PF                              | 04          | Form 5227                | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                | 12          |

- The books are in the care of ► Paul J. Choquette, Jr.

Telephone No ► (401) 456-5800

FAX No ►

- If the organization does not have an office or place of business in the United States, check this box. ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 7/15/2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☐ calendar year \_\_\_\_\_ or

► ☒ tax year beginning 12/1/2012, and ending 11/30/2013

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

|    |                                                                                                                                                                                     |    |    |       |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----|-------|
| 3a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                    | 3a | \$ | 4,100 |
| b  | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | \$ | 1,800 |
| c  | Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.             | 3c | \$ | 2,300 |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2013)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

Enter filer's identifying number, see instructions

|                                                                                            |                                                                       |  |                                         |  |
|--------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|--|-----------------------------------------|--|
| <b>Type or print</b><br><br>File by the due date for filing your return. See instructions. | Name of exempt organization                                           |  | Employer identification number (EIN) or |  |
|                                                                                            | The Thomas & William Gilbane Foundation                               |  | 05-6006170                              |  |
|                                                                                            | Number, street, and room or suite no. If a P.O. box, see instructions |  | Social security number (SSN)            |  |
|                                                                                            | 7 Jackson Walkway                                                     |  |                                         |  |
| City, town or post office, state, and ZIP code. For a foreign address, see instructions    |                                                                       |  | RI 02903                                |  |

Enter the Return code for the return that this application is for (file a separate application for each return)

04

| Application Is For                       | Return Code | Application Is For | Return Code |
|------------------------------------------|-------------|--------------------|-------------|
| Form 990 or Form 990-EZ                  | 01          |                    |             |
| Form 990-BL                              | 02          | Form 1041-A        | 08          |
| Form 4720 (individual)                   | 03          | Form 4720          | 09          |
| Form 990-PF                              | 04          | Form 5227          | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069          | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870          | 12          |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ☒ Paul J. Choquette, Jr., Trustee  
Telephone No. ☒ (401) 456-5800 FAX No. ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until 10/15/2014
- 5 For calendar year \_\_\_\_\_, or other tax year beginning 12/1/2012, and ending 11/30/2013
- 6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return  
☐ Change in accounting period
- 7 State in detail why you need the extension: Additional time is required to prepare a complete and accurate return due to the complexities of the Foundation's various investment accounts. The first extension was timely filed and approved.

|                                                                                                                                                                                                                                            |           |    |       |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----|-------|
| <b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                                                                 | <b>8a</b> | \$ | 4,100 |
| <b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | <b>8b</b> | \$ | 4,100 |
| <b>c</b> <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.                                                    | <b>8c</b> | \$ | 0     |

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature Paul J. Choquette, Jr. Title TrusteeDate 6/9/2014  
Form **8868** (Rev. 1-2013)