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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2012

Open to Public
Inspection

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning 12-01-2012 , and ending 11-30-2013

Name of foundation ALVORD FAMILY FOUNDATION C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS		A Employer identification number 04-6820195			
Number and street (or P O box number if mail is not delivered to street address) 75 FEDERAL STREET-18TH FLOOR		B Telephone number (see instructions) (617) 368-4901			
City or town, state, and ZIP code BOSTON, MA 02110		C If exemption application is pending, check here <input checked="" type="checkbox"/> <input type="checkbox"/>			
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input checked="" type="checkbox"/> <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input checked="" type="checkbox"/> <input type="checkbox"/>			
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input checked="" type="checkbox"/> <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input checked="" type="checkbox"/> <input type="checkbox"/>			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,955,437		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc , received (attach schedule)		758,540			
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		16	16	16	
4 Dividends and interest from securities.		1,928	1,928	1,928	
5a Gross rents					
b Net rental income or (loss) _____					
6a Net gain or (loss) from sale of assets not on line 10		243,525			
b Gross sales price for all assets on line 6a 1,374,709					
7 Capital gain net income (from Part IV, line 2)			740,316		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)		80	80	80	
12 Total. Add lines 1 through 11		1,004,089	742,340	2,024	
Revenue					
13 Compensation of officers, directors, trustees, etc		5,000	5,000	5,000	0
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)		6,125	6,125	6,125	0
c Other professional fees (attach schedule)		1,978	1,978	1,978	0
17 Interest					
18 Taxes (attach schedule) (see instructions)		768	0	0	0
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)		160	160	160	0
24 Total operating and administrative expenses.					
Add lines 13 through 23		14,031	13,263	13,263	0
25 Contributions, gifts, grants paid.		239,575			239,575
26 Total expenses and disbursements. Add lines 24 and 25		253,606	13,263	13,263	239,575
Operating and Administrative Expenses					
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		750,483			
b Net investment income (if negative, enter -0-)			729,077		
c Adjusted net income (if negative, enter -0-)				0	

	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing				
	2 Savings and temporary cash investments	261,705	1,189,917	1,189,917	
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U S and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)	905,546	721,942	765,520	
	c Investments—corporate bonds (attach schedule)				
	11 Investments—land, buildings, and equipment basis ►				
Less accumulated depreciation (attach schedule) ►					
12 Investments—mortgage loans					
13 Investments—other (attach schedule)					
14 Land, buildings, and equipment basis ►					
Less accumulated depreciation (attach schedule) ►					
15 Other assets (describe ►)					
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,167,251	1,911,859	1,955,437		
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ►)				
	23 Total liabilities (add lines 17 through 22)	0	0		
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds	0	0		
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0		
29 Retained earnings, accumulated income, endowment, or other funds	1,167,251	1,911,859			
30 Total net assets or fund balances (see page 17 of the instructions)	1,167,251	1,911,859			
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,167,251	1,911,859			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,167,251
2	Enter amount from Part I, line 27a	2	750,483
3	Other increases not included in line 2 (itemize) ►	3	0
4	Add lines 1, 2, and 3	4	1,917,734
5	Decreases not included in line 2 (itemize) ►	5	5,875
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,911,859

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
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1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
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a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
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a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
		2 740,316
		3 -1,729

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	36,000	908,388	0.039631
2010	28,500	983,904	0.028966
2009	23,000	780,986	0.029450
2008	1,000	421,870	0.002370
2007	62,175	709,545	0.087627

2 Total of line 1, column (d).	2 0.188044
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 0.037609
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4 1,529,457
5 Multiply line 4 by line 3.	5 57,521
6 Enter 1% of net investment income (1% of Part I, line 27b).	6 7,291
7 Add lines 5 and 6.	7 64,812
8 Enter qualifying distributions from Part XII, line 4.	8 239,575

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input checked="" type="checkbox"/> and enter "N/A" on line 1		1	7,291
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2.		3	7,291
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	7,291
6	Credits/Payments			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,576	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d.	7	1,576	
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached <input checked="" type="checkbox"/>	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	5,715	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be Credited to 2013 estimated tax <input checked="" type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
1c	Did the foundation file Form 1120-POL for this year?		Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
(1)	On the foundation <input checked="" type="checkbox"/> \$ _____ 0	(2)	On foundation managers <input checked="" type="checkbox"/> \$ _____ 0	
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		Yes	No
	<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		Yes	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?		Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		Yes	No
	<i>If "Yes," attach the statement required by General Instruction T.</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either		Yes	
	● By language in the governing instrument, or			
	● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?			
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>		Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
	<input checked="" type="checkbox"/> MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>		Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> <input checked="" type="checkbox"/>		Yes	

Part VII-A Statements Regarding Activities (continued)

11		No
12		No
13	Yes	

Website address ► N/A

14	The books are in care of ► FORTIS MANAGEMENT LLC	Telephone no ► (781) 899-4350
	Located at ► 800 SOUTH STREET SUITE 255 WALTHAM MA	ZIP +4 ► 02453

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ►	15
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16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16
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See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ► Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ► Yes <input checked="" type="checkbox"/> No		
1b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. ►	Yes	
1c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	No	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ► Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ► Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012).		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here. ►			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		7b	
	<i>If "Yes" to 6b, file Form 8870.</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOEL B ALVORD	TRUSTEE 1 00	0	0	0
C/O SHAWMUT CAPITAL 75 FEDERAL ST 18TH FL BOSTON, MA 02110				
SETH W ALVORD	TRUSTEE 0 00	0	0	0
239 OENOKE RIDGE NEW CANAAN, CT 06840				
SARAH H ALVORD	TRUSTEE 5 00	5,000	0	0
16 BELLEVUE AVENUE CAMBRIDGE, MA 02140				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.			►	0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE"

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and

1	
2	
All other program-related investments See page 24 of the instructions	
3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	807,708
b	Average of monthly cash balances.	1b	745,040
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,552,748
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,552,748
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	23,291
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,529,457
6	Minimum investment return. Enter 5% of line 5.	6	76,473

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	76,473
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	7,291
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	7,291
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	69,182
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	69,182
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	69,182

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	239,575
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the	3a	
a	Suitability test (prior IRS approval required).	3b	
b	Cash distribution test (attach the required schedule).	4	239,575
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	5	7,291
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	6	232,284
6	Adjusted qualifying distributions. Subtract line 5 from line 4.		

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				69,182
2 Undistributed income, if any, as of the end of 2012			0	
a Enter amount for 2011 only.		0		
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007.	26,698			
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				
f Total of lines 3a through e.	26,698			
4 Qualifying distributions for 2012 from Part XII, line 4 ► \$ 239,575			0	
a Applied to 2011, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.			69,182	
e Remaining amount distributed out of corpus	170,393			0
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			
6 Enter the net total of each column as indicated below:	197,091			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	26,698			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	170,393			
10 Analysis of line 9				
a Excess from 2008.				
b Excess from 2009.				
c Excess from 2010.				
d Excess from 2011.				
e Excess from 2012.	170,393			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. <input type="text"/>			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

JOEL B ALVORD

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			► 3a	239,575
b Approved for future payment				
Total			► 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments.					
3	Interest on savings and temporary cash investments					16
4	Dividends and interest from securities.					1,928
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					80
8	Gain or (loss) from sales of assets other than inventory					243,525
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory.					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e).			0	0	245,549
13	Total Add line 12, columns (b), (d), and (e).				13	245,549
	<i>(See worksheet in line 13 instructions to verify calculations.)</i>					

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
3,4	THE FOUNDATION ACCOMPLISHES ITS CHARITABLE PURPOSE BY MAKING DIRECT
7&8	GIFTS THE SOURCE OF THESE GIFTS IS INCOME AND/OR PRINCIPAL OF ITS INVESTMENT PORTFOLIO DIVIDENDS, INTEREST AND CAPITAL GAINS ARE THE THE PRIMARY SOURCE OF INCOME FROM THIS INVESTMENT PORTFOLIO

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization or

(2) Other assets. 1a(2) No

b Other transactions

(4) Reimbursement arrangements. 1b(4) No

(6) Performance of services or membership or fundraising solicitations. **1b(6)** **No**

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations?

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

* * * * *

2014-03-21

* * * * *

Signature of officer or trustee

Dat

—
Title

May the IRS discuss this return
with the preparer shown below
(see instr.)? Yes No

Signature of officer or trustee		Date	Title	[Redacted]	
Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	FORTIS MANAGEMENT GROUP LLC	FORTIS MANAGEMENT GROUP LLC			P00392990
	Firm's name <input type="checkbox"/>	FORTIS MANAGEMENT GROUP LLC		Firm's EIN <input type="checkbox"/> 20-2577763	
	Firm's address <input type="checkbox"/>	800 SOUTH STREET SUITE 255		Phone no (781) 899-4350	
Firm's address <input type="checkbox"/>	WALTHAM, MA 02453				

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2012**Name of the organization**ALVORD FAMILY FOUNDATION
C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS**Employer identification number**

04-6820195

Organization type (check one)**Filers of:****Section:**

Form 990 or 990-EZ

- 501(c)() (enter number) organization
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
 4947(a)(1) nonexempt charitable trust treated as a private foundation
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33^{1/3}% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organizationALVORD FAMILY FOUNDATION
C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS**Employer identification number**

04-6820195

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOEL B ALVORD C/O SHAWMUT CAPITAL 75 FEDERAL ST 1 BOSTON, MA 02110	\$ 758,540	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/>
			(Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution)

Name of organizationALVORD FAMILY FOUNDATION
C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS**Employer identification number**

04-6820195

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	70,000 SHS PRIMUS GUARANTY & 6,000 SHS FIRST UNITED CORP	\$ 758,540	2013-06-17
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization ALVORD FAMILY FOUNDATION C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS			Employer identification number 04-6820195
Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of <i>exclusively religious, charitable, etc., contributions of \$1,000 or less</i> for the year (Enter this information once. See instructions) ► \$ Use duplicate copies of Part III if additional space is needed			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	—	—	—
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
—	—	—	—
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	—	—	—
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
—	—	—	—
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	—	—	—
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
—	—	—	—
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	—	—	—
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
—	—	—	—

TY 2012 Accounting Fees Schedule

Name: ALVORD FAMILY FOUNDATION

C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS

EIN: 04-6820195

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FINANCIAL AND ACCOUNTING	6,125	6,125	6,125	0

TY 2012 Investments Corporate Stock Schedule

Name: ALVORD FAMILY FOUNDATION
C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS
EIN: 04-6820195

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VALENCE TECHNOLOGY	140,458	35
ENVIRONMENTAL WAST INTL INC	254,991	98,175
FAR EAST ENERGY CORP	0	0
PRIMUS GUARANTY LTD	0	0
PRIMUS GUARANTY LTD	0	0
SYNOVUS FINANCIAL CORP	0	0
SYNOVUS FINANCIAL CORP	0	0
AMERICAN SUPERCONDUCTOR CORP	0	0
AMERICAN SUPERCONDUCTOR CORP	0	0
AVALON RARE METALS INC	0	0
BANNER CORPORATION	0	0
BAKERVILLE GOLD MINES LTD	0	0
PETAQUILLA MINERALS LTD	0	0
SYNOVUS FINANCIAL CORP	0	0
TAG OIL LTD	0	0
TAYLOR CAP GROUP	0	0
NORTHERN GRAPHITE CORP	0	0
FIRST UNITED CORP	39,365	46,500
NEWSTAR FINL CORP	31,953	54,250
NEWSTAR FINL CORP	138,030	175,000
OCWEN FINL CORP	66,271	339,960
OFG BANCORP	50,874	51,600

TY 2012 Other Decreases Schedule

Name: ALVORD FAMILY FOUNDATION

C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS

EIN: 04-6820195

Description	Amount
UNREALIZED GAIN ON CONTRIBUTION OF SECURITIES	5,875

TY 2012 Other Expenses Schedule

Name: ALVORD FAMILY FOUNDATION

C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS

EIN: 04-6820195

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEES	125	125	125	0
OTHER ACCOUNT FEES	35	35	35	0

TY 2012 Other Income Schedule

Name: ALVORD FAMILY FOUNDATION

C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS

EIN: 04-6820195

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
LITIGATION PROCEEDS - BANK OF AMERICA	80	80	80

TY 2012 Other Professional Fees Schedule

Name: ALVORD FAMILY FOUNDATION

C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS

EIN: 04-6820195

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	1,978	1,978	1,978	0

TY 2012 Substantial Contributors Schedule

Name: ALVORD FAMILY FOUNDATION

C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS

EIN: 04-6820195

Name	Address
JOEL B ALVORD	C/O SHAWMUT CAPITAL PTNRS 75 FEDERAL ST BOSTON MA 02110 BOSTON, MA 02110

TY 2012 Taxes Schedule

Name: ALVORD FAMILY FOUNDATION

C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS

EIN: 04-6820195

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAXES	768	0	0	0

TY 2012 IRS Payment

Name: ALVORD FAMILY FOUNDATION
C/O JOEL B ALVORD SHAWMUT CAPITAL PTRS

EIN: 04-6820195

Routing Transit Number: 011000138

Bank Account Number: 009398579497

Type of Account: Checking

Payment Amount in Dollars and 5,715

Cents:

Requested Payment Date: 2014-04-15

Taxpayer's Daytime Phone Number: (617) 368-4901

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
300 SHS BARRICK GOLD CORP	P	2013-04-15	2013-04-23
1000 SHS NORTHERN GRAPHITE CORP	P	2012-05-17	2013-04-23
1700 SHS SYNOVUS FINL CORP	P	2010-03-08	2013-02-13
10800 SHS SYNOVUS FINL CORP	P	2010-03-08	2013-02-13
4285 SHS BANNER CORP	P	2011-02-07	2013-04-25
1 SHS BANNER CORP	P	2011-02-22	2013-04-25
1428 SHS BANNER CORP	P	2011-02-22	2013-04-25
2857 SHS BANNER CORP	P	2011-03-17	2013-04-25
5000 SHS PRIMUS GUARANTY LTD	P	2009-06-26	2013-06-17
4000 SHS PRIMUS GUARANTY LTD	P	2009-06-29	2013-06-17
3900 SHS PRIMUS GUARANTY LTD	P	2009-07-07	2013-06-17
1100 SHS PRIMUS GUARANTY LTD	P	2009-07-07	2013-06-18
300 SHS PRIMUS GUARANTY LTD	P	2009-07-22	2013-06-18
1200 SHS PRIMUS GUARANTY LTD	P	2009-07-22	2013-06-18
2700 SHS PRIMUS GUARANTY LTD	P	2009-07-22	2013-06-18
1821 SHS PRIMUS GUARANTY LTD	P	2009-07-22	2013-06-18
2879 SHS PRIMUS GUARANTY LTD	P	2009-07-22	2013-06-19
1100 SHS PRIMUS GUARANTY LTD	P	2009-07-22	2013-06-19
200 SHS PRIMUS GUARANTY LTD	P	2009-07-24	2013-06-19
353 SHS PRIMUS GUARANTY LTD	P	2009-07-24	2013-06-19
1000 SHS PRIMUS GUARANTY LTD	P	2009-07-24	2013-06-19
4447 SHS PRIMUS GUARANTY LTD	P	2009-07-24	2013-06-19
2000 SHS PRIMUS GUARANTY LTD	P	2009-07-29	2013-06-19
1900 SHS PRIMUS GUARANTY LTD	P	2009-08-04	2013-06-19
1100 SHS PRIMUS GUARANTY LTD	P	2009-08-04	2013-06-20
8900 SHS PRIMUS GUARANTY LTD	P	2009-12-17	2013-06-20
1100 SHS PRIMUS GUARANTY LTD	P	2009-12-17	2013-06-21
8900 SHS PRIMUS GUARANTY LTD	P	2009-12-22	2013-06-21
1100 SHS PRIMUS GUARANTY LTD	P	2009-12-22	2013-06-24
8900 SHS PRIMUS GUARANTY LTD	P	2010-01-14	2013-06-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1100 SHS PRIMUS GUARANTY LTD	P	2010-01-14	2013-06-25
8900 SHS PRIMUS GUARANTY LTD	P	2010-02-02	2013-06-25
1100 SHS PRIMUS GUARANTY LTD	P	2010-02-12	2013-06-26
1400 SHS PRIMUS GUARANTY LTD	P	2010-03-08	2013-06-26
3064 SHS PRIMUS GUARANTY LTD	P	2010-03-08	2013-06-26
3436 SHS PRIMUS GUARANTY LTD	P	2010-03-08	2013-06-26
10000 SHS SYNOVUS FINL CORP	P	2010-03-08	2013-07-03
5000 SHS SYNOVUS FINL CORP	P	2011-02-22	2013-07-03
5400 SHS TAYLOR CAP GROUP INC	P	2011-02-09	2013-07-16
2600 SHS TAYLOR CAP GROUP INC	P	2011-02-10	2013-07-16
500 SHS AMERICAN SUPERCONDUCTOR	P	2010-05-25	2013-04-23
500 SHS AMERICAN SUPERCONDUCTOR	P	2011-04-14	2013-04-23
1000 SHS AVALON RARE METALS INC	P	2011-08-05	2013-04-23
5000 SHS BARKERVILLE GOLD MINES LTD	P	2011-07-25	2013-04-23
45000 SHS FAR EAST ENERGY CORP	P	2010-10-05	2013-04-23
1000 SHS NORTHERN GRAPHITE CORP	P	2012-02-14	2013-04-23
5000 SHS PETAQUILLA MINERALS LTD	P	2011-07-25	2013-04-23
1000 SHS TAG OIL LTD	P	2010-06-15	2013-04-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,307		6,018	-711
842		1,860	-1,018
4,624		4,402	222
29,375		27,972	1,403
132,832		72,700	60,132
31		16	15
44,267		22,891	21,376
88,565		46,741	41,824
50,232		12,110	38,122
40,186		9,318	30,868
39,181		8,970	30,211
11,093		2,530	8,563
3,025		831	2,194
12,102		3,360	8,742
27,229		7,506	19,723
18,365		5,026	13,339
28,933		7,946	20,987
11,070		3,036	8,034
2,013		614	1,399
3,552		1,087	2,465
10,063		3,048	7,015
44,752		13,697	31,055
20,127		5,960	14,167
19,121		6,004	13,117
11,088		3,476	7,612
89,710		28,391	61,319
11,113		3,509	7,604
89,913		27,590	62,323
11,122		3,410	7,712
89,991		32,663	57,328

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,110		4,037	7,073
89,888		30,705	59,183
11,110		3,795	7,315
14,140		5,879	8,261
30,946		12,857	18,089
34,703		14,431	20,272
29,599		26,000	3,599
14,800		12,688	2,112
115,102		56,484	58,618
55,419		27,469	27,950
1,232		13,485	-12,253
1,232		6,643	-5,411
972		4,670	-3,698
4,750		8,520	-3,770
2,169		25,548	-23,379
842		1,812	-970
1,705		4,154	-2,449
5,166		2,534	2,632

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(I) Gains (Col (h) gain minus
col (k), but not less than -0-) or
Losses (from col (h))**

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			-711
			-1,018
			222
			1,403
			60,132
			15
			21,376
			41,824
			38,122
			30,868
			30,211
			8,563
			2,194
			8,742
			19,723
			13,339
			20,987
			8,034
			1,399
			2,465
			7,015
			31,055
			14,167
			13,117
			7,612
			61,319
			7,604
			62,323
			7,712
			57,328

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			7,073
			59,183
			7,315
			8,261
			18,089
			20,272
			3,599
			2,112
			58,618
			27,950
			-12,253
			-5,411
			-3,698
			-3,770
			-23,379
			-970
			-2,449
			2,632

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
A SAFE PLACE 24 AMELIA DRIVE NANTUCKET, MA 02554	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	100
AMERICAN REPERTORY THEATER 64 BRATTLE ST CAMBRIDGE, MA 02138	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	5,000
ANCIENT BURYING GROUND ASSOCIATION PO BOX 347 HARTFORD, MA 06141	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	100
ASSOCIATION OF THE BOSTON PUBLIC LIBRARY 700 BOYLSTON ST BOSTON, MA 02116	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000
BIGELOW COOPERATIVE DAY CARE NEW HOME CAMPAIGN 44 PARK STREET SOMERVILLE, MA 02143	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000
BOSTON SYMPONY ORCHESTRA 301 MASSACHUSETTS AVE BOSTON, MA 02115	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	5,000
BUZZARDS BAY COALITION 114 FRONT ST NEW BEDFORD, MA 02740	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000
CURE 223 WEST ERIE ST STE 2 SW CHICAGO, IL 60654	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	10,000
DARTMOUTH COLLEGE 7 LEBANON ST STE 302 HANOVER, NH 03755	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	13,500
EMERALD NECKLACE CONSERVANCY 125 THE FENWAY BOSTON, MA 02115	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,500
FREE FOR ALL CONCERT FUND FIDUCIARY TRUST BOX 55806 BOSTON, MA 02205	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	50,000
HARTFORD STAGE 50 CHURCH ST HARTFORD, CT 06103	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	425
JUVENILE DIABETES RESEARCH FOUNDATION 26 BROADWAY 14TH FL NEW YORK, NY 10004	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500
LLOYD CENTER 430 POTOMSKA RD DARTMOUTH, MA 02748	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,000
NANTUCKET SHELLFISH ASSOCIATION 32 WARRENS LANDING ROAD PO BOX 194 NANTUCKET, MA 02554	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
NATIONAL BRAILLE PRESS 88 ST STEPHEN STREET BOSTON, MA 02115	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000
NEW BEDFORD SYMPHONY PO BOX 2053 NEW BEDFORD, MA 02741	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000
NEW BEDFORD WHALING MUSEUM 18 JOHNNY CAKE HILL NEW BEDFORD, MA 02740	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	6,600
NORMAN B LEVENTHAL MAP CENTER INC 700 BOYLSTON ST BOSTON, MA 02116	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500
NORTHFIELD MOUNT HERMON ONE LAMPLIGHTER WAY MOUNT HERMON, MA 01354	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	25,000
OUR SISTER'S SCHOOL 145 BROWNELL AVE NEW BEDFORD, MA 02740	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	51,000
PARK SCHOOL ANNUAL FUND 171 GODDARD AVE BROOKLINE, MA 02445	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,750
ROGERSON COMMUNITIES 1 FLORENCE STREET BOSTON, MA 02131	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,500
SANKATY HEAD FOUNDATION PO BOX 875 SIASCONSET, MA 02564	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000
THE JOEY FUND JOEY O'DONNELL FOUNDATION 55 CAMBRIDGE PKWY STE 200 CAMBRIDGE, MA 02142	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500
THE TEACHINGS OF LESTER HAVENS MD FUND (CHA FOUNDATION) 1493 CAMBRIDGE STREET CAMBRIDGE, MA 02139	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	250
THE WARREN FUND THE WARREN SCHOOL 293 SCHOOL ROAD WARREN, VT 05674	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	900
THE WOMEN'S FUND (COMMUNITY FOUNDATION OF SOUTHEASTERN MA) 63 UNION STREET NEW BEDFORD, MA 02740	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	250
TRINITY BOSTON FOUNDATION 206 CLARENDON STREET BOSTON, MA 02116	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	100
UNITED WAY OF MASS BAY 51 SLEEPER STREET BOSTON, MA 02110	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	12,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
URBAN IMPROV FREELANCE PLAYERS INC 8 ST JOHN STREET JAMAICA PLAIN, MA 02130	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	26,000
WADSWORTH ATHENEUM 600 MAIN ST HARTFORD, CT 06103	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,500
WESTPORT HISTORICAL SOCIETY/HANDY HOUSE 25 DRIFT ROAD WESTPORT, MA 02790	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	10,000
WHEELER SCHOOL 216 HOPE ST PROVIDENCE, RI 02906	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	5,000
ZEITERION THEATRE INC 684 PURCHASE ST NEW BEDFORD, MA 02740	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500
Total			► 3a	239,575