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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 12-01-2012 , and ending 11-30-2013

Name of foundation The Gumbo Foundation		A Employer identification number 04-3727883	
Number and street (or P O box number if mail is not delivered to street address) 347 E Palace Ave		B Telephone number (see instructions)	
City or town, state, and ZIP code Santa Fe, NM 87501		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 5,175,712		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) ☐ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	3,757	3,757		
	4 Dividends and interest from securities.	74,861	74,861		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	576,586			
	b Gross sales price for all assets on line 6a <u>4,455,007</u>				
	7 Capital gain net income (from Part IV, line 2)		576,586		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	655,204	655,204		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	☒ 3,729			3,729
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	☒ 6,501			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	☒ 31,095	30,454		641
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	41,325	30,454		4,370
	25 Contributions, gifts, grants paid	209,000			209,000
	26 Total expenses and disbursements. Add lines 24 and 25	250,325	30,454		213,370
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	404,879			
	b Net investment income (if negative, enter -0-)		624,750		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	306		
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)		4,619,368	5,025,224
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	4,348,688		
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)	15,983	150,488	150,488
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,364,977	4,769,856	5,175,712

Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	

Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	4,364,977	4,769,856	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	4,364,977	4,769,856	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,364,977	4,769,856	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	4,364,977
2	Enter amount from Part I, line 27a	2	404,879
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	4,769,856
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,769,856

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	576,586
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))		
2011	205,539	4,375,884	0 04697		
2010	239,954	4,625,418	0 05188		
2009	259,099	4,479,865	0 05784		
2008	224,187	4,182,799	0 05360		
2007	233,751	4,814,057	0 04856		
2 Total of line 1, column (d).				2	0 25884
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years				3	0 05177
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.				4	4,880,187
5 Multiply line 4 by line 3.				5	252,633
6 Enter 1% of net investment income (1% of Part I, line 27b).				6	6,248
7 Add lines 5 and 6.				7	258,881
8 Enter qualifying distributions from Part XII, line 4.				8	213,370

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	12,495
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	12,495
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	12,495
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	6,636	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	6,636
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	5,859
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2013 estimated tax ☐	Refunded ☐	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NM _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶Paul B Schmidt SecTreas Telephone no ▶(505) 984-8944 Located at ▶347 E Palace Ave Santa Fe NM ZIP +4 ▶87501			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

5b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

No

6a

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6b

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

7a

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

YesNo

If "Yes" to 6b, file Form 8870.

7b

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	4,804,017
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	150,488
d	Total (add lines 1a, b, and c).	1d	4,954,505
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	4,954,505
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	74,318
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,880,187
6	Minimum investment return. Enter 5% of line 5.	6	244,009

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	244,009
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	12,495
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	12,495
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	231,514
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	231,514
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	231,514

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	213,370
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	213,370
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	213,370
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				231,514
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			140,187	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 213,370				
a Applied to 2011, but not more than line 2a			140,187	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				73,183
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				158,331
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.				
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . .				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2012)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2012)

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

*****	2014-04-07	*****	May the IRS discuss this return with the preparer shown below (see Instr.)? ☒ Yes ☐ No
Signature of officer or trustee	Date	Title	

May the IRS discuss this return with the preparer shown below (see instr. 2)? ☒ **Yes** ☐ **No**

**Paid
Preparer
Use
Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☒	PTIN P00042507
	Jeanne Milholland	Jeanne Milholland			
	Firm's name ☐	Jeanne Milholland PC		Firm's EIN ☐	
		1554 Camino Amado			
	Firm's address ☐	Santa Fe, NM 87505		Phone no (505) 986-8608	

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

William Hobson Hogan
Mary Emily Hogan
Tracey Elise Hogan
Elise W Schmidt

TY 2012 Accounting Fees Schedule**Name:** The Gumbo Foundation**EIN:** 04-3727883**Software ID:** 12000229**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Tax compliance & bookkeeping	3,729	0	0	3,729

TY 2012 Investments Corporate Stock Schedule

Name: The Gumbo Foundation

EIN: 04-3727883

Software ID: 12000229

Software Version: 2012v2.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Barclays	4,619,368	5,025,224

TY 2012 Other Assets Schedule**Name:** The Gumbo Foundation**EIN:** 04-3727883**Software ID:** 12000229**Software Version:** 2012v2.0

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Pending Security Transactions	15,983	150,488	150,488

TY 2012 Other Expenses Schedule**Name:** The Gumbo Foundation**EIN:** 04-3727883**Software ID:** 12000229**Software Version:** 2012v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Miscellaneous	641			641
Investment fees	30,454	30,454		

TY 2012 Taxes Schedule

Name: The Gumbo Foundation

EIN: 04-3727883

Software ID: 12000229

Software Version: 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise Taxes	6,501			

The Gumbo Foundation - Barclays Combined Accounts
December 1, 2012 - November 30, 2013

Security Description	Buy Date	Sell Date	adjusted Cost B	Proceeds	Realized Gain/Loss
INTERCONTINENTALEXCHANGE INC	13 Feb 2013	25 Jun 2013	22,735.16	26,660.98	3,925.82
APPLE INC	23 Nov 2010	25 Jun 2013	12,336.89	16,063.46	3,726.57
APPLE INC	15 Jan 2009	25 Jun 2013	4,053.47	20,079.32	16,025.85
APPLE INC	07 Feb 2013	25 Jun 2013	5,048.42	4,417.45	-630.97
APPLE INC	18 Feb 2010	25 Jun 2013	5,250.70	10,441.25	5,190.55
APPLE INC	10 Aug 2009	25 Jun 2013	5,615.97	13,653.94	8,037.97
APPLE INC	02 Jul 2009	25 Jun 2013	702.95	2,007.93	1,304.98
APPLE INC	08 Nov 2012	25 Jun 2013	7,090.69	5,220.62	-1,870.07
APPLE INC	07 Feb 2013	25 Jun 2013	7,758.47	6,826.97	-931.50
ABBOTT LABORATORIES	30 Mar 2012	25 Jun 2013	10,102.42	12,109.94	2,007.52
ABBOTT LABORATORIES	19 Jun 2012	25 Jun 2013	1,419.34	1,654.56	235.22
ABBOTT LABORATORIES	15 Jun 2012	25 Jun 2013	2,496.30	2,921.87	425.57
AETNA INC NEW	08 Feb 2013	25 Jun 2013	29,866.72	36,726.45	6,859.73
AMERICAN INTERNATIONAL GROUP INC	11 Dec 2012	25 Jun 2013	17,707.74	22,264.23	4,556.49
AMERICAN INTERNATIONAL GROUP INC	01 Feb 2013	25 Jun 2013	7,954.97	8,870.91	915.94
ALTERA CORP	30 Apr 2013	25 Jun 2013	10,282.85	10,461.37	178.52
ALTERA CORP	24 Apr 2012	25 Jun 2013	16,109.87	15,143.15	-966.72
ALTERA CORP	19 Dec 2012	25 Jun 2013	2,498.15	2,324.75	-173.40
ALTERA CORP	14 Mar 2013	25 Jun 2013	2,796.40	2,518.48	-277.92
AMERICAN TOWER CORPORATION REIT	12 Aug 2005	25 Jun 2013	1,001.05	3,179.93	2,178.88
AMERICAN TOWER CORPORATION REIT	05 Aug 2005	25 Jun 2013	160.07	505.90	345.83
AMERICAN TOWER CORPORATION REIT	19 May 2006	25 Jun 2013	2,690.43	6,504.41	3,813.98
AMERICAN TOWER CORPORATION REIT	21 Oct 2005	25 Jun 2013	3,940.53	12,286.10	8,345.57
AMERICAN TOWER CORPORATION REIT	07 Jan 2008	25 Jun 2013	4,538.13	8,528.00	3,989.87
AMERICAN TOWER CORPORATION REIT	08 Jun 2006	25 Jun 2013	4,669.93	11,202.04	6,532.11
AMERICAN TOWER CORPORATION REIT	18 Feb 2010	25 Jun 2013	5,731.25	9,467.53	3,736.28
AMERICAN TOWER CORPORATION REIT	04 Apr 2006	25 Jun 2013	760.50	1,806.78	1,046.28
AMERICAN TOWER CORPORATION REIT	02 Jul 2009	25 Jun 2013	769.03	1,806.78	1,037.75
AMAZON.COM INC	14 Dec 2011	25 Jun 2013	13,208.83	20,635.50	7,426.67
AMAZON.COM INC	15 Apr 2013	25 Jun 2013	4,827.04	4,887.36	60.32
AMAZON.COM INC	26 Apr 2013	25 Jun 2013	6,209.79	6,516.47	306.68
ACTIVISION BLIZZARD INC	06 Feb 2013	25 Jun 2013	2,402.09	2,756.03	353.94
ACTIVISION BLIZZARD INC	15 Jan 2013	25 Jun 2013	6,531.07	7,708.69	1,177.62
ACTIVISION BLIZZARD INC	20 Nov 2012	25 Jun 2013	945.52	1,146.07	200.55
AVALONBAY COMMUNITIES INC	11 Feb 2013	25 Jun 2013	20,421.09	21,176.92	755.83
BOEING CO	08 Jun 2012	25 Jun 2013	1,116.48	1,575.55	459.07
BOEING CO	03 Oct 2011	25 Jun 2013	1,510.54	2,461.80	951.26
BOEING CO	02 Jul 2009	25 Jun 2013	245.07	590.83	345.76
BOEING CO	18 Feb 2010	25 Jun 2013	3,475.56	5,514.43	2,038.87
BOEING CO	27 Sep 2010	25 Jun 2013	4,125.63	6,302.20	2,176.57
BOEING CO	29 Apr 2010	25 Jun 2013	4,949.76	6,597.61	1,647.85
BOEING CO	01 Mar 2011	25 Jun 2013	5,228.95	7,188.45	1,959.50
BOEING CO	04 Mar 2013	25 Jun 2013	6,324.13	8,074.69	1,750.56
BOEING CO	25 Oct 2012	25 Jun 2013	7,244.13	10,044.13	2,800.00
BANK OF AMERICA CORP	18 Jun 2012	25 Jun 2013	1,328.55	2,146.88	818.33
BANK OF AMERICA CORP	15 Feb 2012	25 Jun 2013	3,457.50	5,430.34	1,972.84
BANK OF AMERICA CORP	13 Feb 2012	25 Jun 2013	4,752.42	7,248.87	2,496.45
BANK OF AMERICA CORP	06 Jun 2012	25 Jun 2013	6,356.54	10,860.68	4,504.14
BANK OF AMERICA CORP	23 Jan 2012	25 Jun 2013	6,745.04	11,706.81	4,961.77
BANK OF AMERICA CORP	13 Mar 2012	25 Jun 2013	894.85	1,389.16	494.31
***ANHEUSER-BUSCH INBEV SA SPONSORED ADR	05 Feb 2013	25 Jun 2013	12,520.44	12,348.93	-171.51

***ANHEUSER-BUSCH INBEV SA SPONSORED ADR	01 Feb 2013	25 Jun 2013	24,250.10	23,132.49	-1,117.61
CITIGROUP INC COM	20 Nov 2012	25 Jun 2013	10,708.45	13,931.91	3,223.46
CITIGROUP INC COM	01 Feb 2013	25 Jun 2013	4,786.16	5,253.78	467.62
CITIGROUP INC COM	14 Mar 2013	25 Jun 2013	5,746.15	5,675.96	-70.19
CITIGROUP INC COM	12 Dec 2012	25 Jun 2013	5,839.83	7,364.68	1,524.85
CBRE GROUP INC CL A	01 Aug 2011	25 Jun 2013	2,039.16	2,019.24	-19.92
CBRE GROUP INC CL A	11 Nov 2009	25 Jun 2013	2,118.39	4,233.90	2,115.51
CBRE GROUP INC CL A	12 Apr 2012	25 Jun 2013	2,199.42	2,562.05	362.63
CBRE GROUP INC CL A	16 May 2013	25 Jun 2013	2,265.67	1,975.82	-289.85
CBRE GROUP INC CL A	12 Nov 2009	25 Jun 2013	3,190.91	6,339.99	3,149.08
CBRE GROUP INC CL A	18 Feb 2010	25 Jun 2013	3,499.20	5,840.61	2,341.41
CBRE GROUP INC CL A	02 Apr 2012	25 Jun 2013	4,144.63	4,516.15	371.52
CBRE GROUP INC CL A	08 Aug 2011	25 Jun 2013	4,715.97	6,122.87	1,406.90
CROWN CASTLE INTL CORP	06 Mar 2007	25 Jun 2013	1,889.34	4,095.65	2,206.31
CROWN CASTLE INTL CORP	31 Oct 2011	25 Jun 2013	2,488.81	4,095.65	1,606.84
CROWN CASTLE INTL CORP	28 Jan 2008	25 Jun 2013	2,893.96	5,870.43	2,976.47
CROWN CASTLE INTL CORP	18 Feb 2010	25 Jun 2013	3,161.10	5,597.38	2,436.28
CROWN CASTLE INTL CORP	04 May 2007	25 Jun 2013	3,708.71	7,372.17	3,663.46
CROWN CASTLE INTL CORP	02 Jul 2009	25 Jun 2013	482.21	1,365.22	883.01
CHIPOTLE MEXICAN GRILL INC COMMON STOCK	03 Aug 2012	25 Jun 2013	2,682.41	3,246.13	563.72
CHIPOTLE MEXICAN GRILL INC COMMON STOCK	19 Oct 2012	25 Jun 2013	2,685.99	3,967.48	1,281.49
CHIPOTLE MEXICAN GRILL INC COMMON STOCK	25 Oct 2012	25 Jun 2013	3,197.40	4,688.85	1,491.45
CHIPOTLE MEXICAN GRILL INC COMMON STOCK	26 Sep 2012	25 Jun 2013	5,376.22	6,131.57	755.35
COSTCO WHOLESALE CORP-NEW	02 Jul 2009	25 Jun 2013	1,351.07	3,301.83	1,950.76
COSTCO WHOLESALE CORP-NEW	18 Feb 2010	25 Jun 2013	3,285.37	5,943.30	2,657.93
COSTCO WHOLESALE CORP-NEW	24 Apr 2009	25 Jun 2013	3,426.95	7,924.40	4,497.45
COSTCO WHOLESALE CORP-NEW	04 Dec 2009	25 Jun 2013	3,891.24	7,264.03	3,372.79
COSTCO WHOLESALE CORP-NEW	02 Aug 2010	25 Jun 2013	4,000.23	7,704.27	3,704.04
COSTCO WHOLESALE CORP-NEW	30 Apr 2009	25 Jun 2013	4,445.39	10,125.62	5,680.23
SALESFORCE.COM INC	11 May 2012	25 Jun 2013	10,195.47	11,123.04	927.57
SALESFORCE.COM INC	18 Jul 2012	25 Jun 2013	5,164.77	5,711.83	547.06
DOLLAR GENERAL CORPORATION	18 Apr 2013	25 Jun 2013	10,101.72	9,921.28	-180.44
DOLLAR GENERAL CORPORATION	13 Dec 2012	25 Jun 2013	16,045.71	17,928.80	1,883.09
EMC CORP-MASS	03 Oct 2011	25 Jun 2013	1,166.48	1,330.33	163.85
EMC CORP-MASS	06 May 2010	25 Jun 2013	1,794.83	2,304.33	509.50
EMC CORP-MASS	14 Sep 2011	25 Jun 2013	3,823.93	4,109.78	285.85
EMC CORP-MASS	14 Mar 2013	25 Jun 2013	4,479.18	4,181.05	-298.13
EMC CORP-MASS	25 Oct 2012	25 Jun 2013	5,499.50	5,416.36	-83.14
EMC CORP-MASS	19 Nov 2010	25 Jun 2013	6,938.75	7,578.16	639.41
EMC CORP-MASS	29 Jan 2013	25 Jun 2013	8,202.53	8,148.31	-54.22
EMC CORP-MASS	08 Jun 2012	25 Jun 2013	862.40	831.46	-30.94
EQUINIX INC	02 Jul 2009	25 Jun 2013	1,435.60	3,531.46	2,095.86
EQUINIX INC	20 Feb 2009	25 Jun 2013	1,655.35	6,533.20	4,877.85
EQUINIX INC	23 Sep 2011	25 Jun 2013	2,259.74	4,414.32	2,154.58
EQUINIX INC	22 Feb 2010	25 Jun 2013	3,501.99	6,533.19	3,031.20
EQUINIX INC	05 Nov 2012	25 Jun 2013	5,127.75	4,944.04	-183.71
EQUINIX INC	04 Aug 2008	25 Jun 2013	862.81	1,942.30	1,079.49
EQUINIX INC	03 Jun 2013	25 Jun 2013	9,227.44	8,122.35	-1,105.09
EXELON CORPORATION	12 Mar 2013	25 Jun 2013	29,844.95	28,881.10	-963.85
FEDEX CORP	17 Apr 2013	25 Jun 2013	14,919.33	15,378.39	459.06
FEDEX CORP	19 Apr 2013	25 Jun 2013	5,825.97	6,131.89	305.92
FEDEX CORP	08 May 2013	25 Jun 2013	8,830.89	8,467.84	-363.05
GENERAL ELECTRIC CO	03 Oct 2011	25 Jun 2013	1,565.45	2,384.33	818.88
GENERAL ELECTRIC CO	08 Jun 2012	25 Jun 2013	2,016.53	2,430.63	414.10
GENERAL ELECTRIC CO	23 Dec 2009	25 Jun 2013	2,571.50	3,865.86	1,294.36

GENERAL ELECTRIC CO	18 Feb 2010	25 Jun 2013	2,574.21	3,680.67	1,106.46
GENERAL ELECTRIC CO	22 Feb 2010	25 Jun 2013	3,681.38	5,254.79	1,573.41
GENERAL ELECTRIC CO	23 Dec 2010	25 Jun 2013	4,640.16	5,949.26	1,309.10
GENERAL ELECTRIC CO	23 Apr 2010	25 Jun 2013	4,817.99	5,879.81	1,061.82
GENERAL ELECTRIC CO	06 Apr 2010	25 Jun 2013	5,525.96	6,875.21	1,349.25
GENERAL ELECTRIC CO	22 Jul 2011	25 Jun 2013	5,965.79	7,222.44	1,256.65
GENERAL ELECTRIC CO	19 Apr 2013	25 Jun 2013	8,282.74	8,796.57	513.83
GENERAL ELECTRIC CO	26 Nov 2010	25 Jun 2013	8,514.51	12,454.08	3,939.57
GOOGLE INC CL A	19 Jun 2012	25 Jun 2013	1,161.60	1,739.04	577.44
GOOGLE INC CL A	06 Mar 2012	25 Jun 2013	1,200.89	1,739.04	538.15
GOOGLE INC CL A	11 Feb 2011	25 Jun 2013	14,801.10	20,868.53	6,067.43
GOOGLE INC CL A	18 Jun 2012	25 Jun 2013	2,286.87	3,478.09	1,191.22
GOOGLE INC CL A	28 Nov 2011	25 Jun 2013	2,344.88	3,478.09	1,133.21
GOOGLE INC CL A	20 Apr 2012	25 Jun 2013	3,634.44	5,217.13	1,582.69
GOOGLE INC CL A	10 May 2012	25 Jun 2013	3,680.43	5,217.13	1,536.70
GOOGLE INC CL A	24 Feb 2011	25 Jun 2013	4,869.44	6,956.18	2,086.74
GOOGLE INC CL A	10 Oct 2011	25 Jun 2013	5,328.70	8,695.22	3,366.52
GOOGLE INC CL A	26 Jan 2011	25 Jun 2013	9,901.39	13,912.35	4,010.96
HALLIBURTON COMPANY	24 Jan 2012	25 Jun 2013	11,573.32	13,622.19	2,048.87
HALLIBURTON COMPANY	19 Dec 2012	25 Jun 2013	1,494.07	1,775.01	280.94
HALLIBURTON COMPANY	03 Jul 2012	25 Jun 2013	3,009.77	4,169.22	1,159.45
HALLIBURTON COMPANY	07 Jun 2012	25 Jun 2013	4,286.00	6,274.46	1,988.46
HALLIBURTON COMPANY	20 Jun 2012	25 Jun 2013	4,287.64	5,985.51	1,697.87
HALLIBURTON COMPANY	07 May 2013	25 Jun 2013	5,771.93	5,490.16	-281.77
HALLIBURTON COMPANY	18 Jun 2012	25 Jun 2013	925.76	1,320.94	395.18
HONEYWELL INTL INC	06 Feb 2012	25 Jun 2013	14,579.44	18,778.27	4,198.83
HONEYWELL INTL INC	24 Apr 2013	25 Jun 2013	5,695.39	5,897.31	201.92
JUNIPER NETWORKS	15 Apr 2013	25 Jun 2013	15,367.41	15,161.76	-205.65
JPMORGAN CHASE & CO	08 Jun 2012	25 Jun 2013	1,191.24	1,872.41	681.17
JPMORGAN CHASE & CO	14 Nov 2008	25 Jun 2013	1,381.36	2,028.44	647.08
JPMORGAN CHASE & CO	13 Mar 2012	25 Jun 2013	1,403.52	1,768.38	364.86
JPMORGAN CHASE & CO	03 Oct 2011	25 Jun 2013	1,611.89	2,756.59	1,144.70
JPMORGAN CHASE & CO	29 Dec 2008	25 Jun 2013	3,958.65	7,021.51	3,062.86
JPMORGAN CHASE & CO	16 Jul 2012	25 Jun 2013	4,057.43	5,981.29	1,923.86
JPMORGAN CHASE & CO	22 Dec 2011	25 Jun 2013	4,124.68	6,397.38	2,272.70
JPMORGAN CHASE & CO	19 Nov 2010	25 Jun 2013	4,210.63	5,565.20	1,354.57
JPMORGAN CHASE & CO	02 Jul 2009	25 Jun 2013	5,166.15	8,061.74	2,895.59
JPMORGAN CHASE & CO	22 May 2012	25 Jun 2013	5,322.09	8,061.74	2,739.65
JPMORGAN CHASE & CO	18 Feb 2010	25 Jun 2013	5,740.02	7,437.60	1,697.58
JPMORGAN CHASE & CO	18 Feb 2009	25 Jun 2013	639.30	1,560.34	921.04
L BRANDS INC	22 May 2013	25 Jun 2013	10,197.84	9,753.74	-444.10
L BRANDS INC	11 Apr 2013	25 Jun 2013	15,320.89	15,078.49	-242.40
L BRANDS INC	22 May 2013	25 Jun 2013	18,366.51	17,566.69	-799.82
***LIBERTY GLOBAL PLC CLASS A	19 Apr 2013	25 Jun 2013	24,537.72	23,778.96	-758.76
LOWES COMPANIES INC	22 Dec 2011	25 Jun 2013	1,199.66	1,862.80	663.14
LOWES COMPANIES INC	06 Jun 2012	25 Jun 2013	2,194.64	3,170.73	976.09
LOWES COMPANIES INC	22 May 2012	25 Jun 2013	3,403.97	5,192.07	1,788.10
LOWES COMPANIES INC	08 Feb 2012	25 Jun 2013	7,645.44	11,256.09	3,610.65
LOWES COMPANIES INC	13 Mar 2012	25 Jun 2013	907.20	1,189.02	281.82
LOWES COMPANIES INC	08 Jun 2012	25 Jun 2013	918.72	1,307.92	389.20
MASTERCARD INCORPORATED	21 Jul 2010	25 Jun 2013	4,642.85	13,009.60	8,366.75
MASTERCARD INCORPORATED	13 May 2010	25 Jun 2013	475.23	1,131.27	656.04
MASTERCARD INCORPORATED	14 Jan 2011	25 Jun 2013	5,654.49	13,575.24	7,920.75
MARRIOTT INTERNATIONAL CLASS A	29 Nov 2011	25 Jun 2013	1,589.48	2,108.70	519.22
MARRIOTT INTERNATIONAL CLASS A	28 Oct 2011	25 Jun 2013	1,979.04	2,499.21	520.17

***SANOFI SPONSORED ADR	17 Apr 2013	25 Jun 2013	17,045.39	16,072.63	-972.76
***SANOFI SPONSORED ADR	08 May 2013	25 Jun 2013	9,111.33	8,209.40	-901.93
SUNTRUST BANKS INC	18 Jun 2012	25 Jun 2013	1,225.80	1,672.34	446.54
SUNTRUST BANKS INC	22 Dec 2010	25 Jun 2013	1,527.94	1,641.37	113.43
SUNTRUST BANKS INC	23 Dec 2010	25 Jun 2013	3,511.88	3,778.26	266.38
SUNTRUST BANKS INC	04 Jun 2012	25 Jun 2013	4,509.24	6,627.44	2,118.20
SUNTRUST BANKS INC	12 Jan 2012	25 Jun 2013	4,631.66	6,844.23	2,212.57
SUNTRUST BANKS INC	13 May 2011	25 Jun 2013	5,116.88	5,760.30	643.42
SUNTRUST BANKS INC	21 Mar 2011	25 Jun 2013	6,158.70	6,441.62	282.92
SUNTRUST BANKS INC	21 Dec 2010	25 Jun 2013	918.60	1,021.99	103.39
TOLL BROTHERS INC	09 May 2013	25 Jun 2013	17,699.81	15,598.72	-2,101.09
TOLL BROTHERS INC	22 May 2013	25 Jun 2013	9,160.68	7,654.63	-1,506.05
THE TRAVELERS COMPANIES INC	08 Jun 2012	25 Jun 2013	1,050.94	1,342.61	291.67
THE TRAVELERS COMPANIES INC	16 Dec 2011	25 Jun 2013	4,587.77	6,318.16	1,730.39
THE TRAVELERS COMPANIES INC	31 Jan 2012	25 Jun 2013	5,605.07	7,581.79	1,976.72
THE TRAVELERS COMPANIES INC	13 Mar 2012	25 Jun 2013	6,397.02	8,766.44	2,369.42
VIACOM INC CLASS B	25 Sep 2012	25 Jun 2013	10,001.59	11,939.68	1,938.09
VIACOM INC CLASS B	14 Mar 2013	25 Jun 2013	4,942.69	5,145.27	202.58
WALGREEN CO	15 Jan 2013	25 Jun 2013	17,607.23	19,833.56	2,226.33
WALGREEN CO	05 Feb 2013	25 Jun 2013	5,351.90	5,711.01	359.11
WALGREEN CO	05 Mar 2013	25 Jun 2013	7,897.19	8,632.91	735.72
XILINX INC	21 Oct 2010	25 Jun 2013	3,016.28	4,662.41	1,646.13
XILINX INC	31 May 2013	25 Jun 2013	4,113.60	3,885.34	-228.26
XILINX INC	22 Dec 2010	25 Jun 2013	4,571.66	6,371.96	1,800.30
XILINX INC	19 May 2011	25 Jun 2013	5,580.34	6,022.27	441.93
XILINX INC	13 Oct 2010	25 Jun 2013	6,189.28	9,052.84	2,863.56
XILINX INC	30 Apr 2013	25 Jun 2013	8,066.66	8,275.77	209.11
ISHARES MSCI EMERGING MARKETS ETF	27 Jan 2010	24 Jun 2013	28,115.50	26,257.85	-1,857.65
ISHARES MSCI EMERGING MARKETS ETF	27 Nov 2009	24 Jun 2013	29,664.51	26,547.60	-3,116.91
ISHARES MSCI EMERGING MARKETS ETF	28 Dec 2009	24 Jun 2013	30,331.54	26,547.59	-3,783.95
ISHARES MSCI EMERGING MARKETS ETF	06 Jan 2010	24 Jun 2013	39,250.62	32,921.92	-6,328.70
GOLDMAN SACHS ENHANCED INCOME FUND INSTL SHR	12 Jun 2009	24 Jun 2013	100,000.00	98,231.01	-1,768.99
GOLDMAN SACHS ENHANCED INCOME FUND INSTL SHR	23 Jun 2009	24 Jun 2013	1,108.47	1,088.86	-19.61
GOLDMAN SACHS ENHANCED INCOME FUND INSTL SHR	06 Jan 2010	24 Jun 2013	35,000.00	34,202.89	-797.11
GOLDMAN SACHS ENHANCED INCOME FUND INSTL SHR	20 Nov 2009	24 Jun 2013	50,000.00	48,861.28	-1,138.72
GOLDMAN SACHS ENHANCED INCOME FUND INSTL SHR	26 Feb 2009	24 Jun 2013	623,248.96	618,005.50	-5,243.46
GOLDMAN SACHS ENHANCED INCOME FUND INSTL SHR	08 Sep 2009	24 Jun 2013	75,000.00	73,367.88	-1,632.12
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	03 Jul 2012	24 Jun 2013	100,000.00	99,441.32	-558.68
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	14 Mar 2003	24 Jun 2013	100,000.00	103,315.61	3,315.61
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	29 Feb 2008	24 Jun 2013	1,002.57	993.92	-8.65
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Aug 2007	24 Jun 2013	1,003.47	917.99	-85.48
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Jan 2007	24 Jun 2013	1,006.31	877.67	-128.64
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Mar 2008	24 Jun 2013	1,009.09	1,005.99	-3.10
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	28 Sep 2007	24 Jun 2013	1,009.80	905.14	-104.66
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 Apr 2008	24 Jun 2013	1,015.76	970.45	-45.31
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Oct 2007	24 Jun 2013	1,015.91	910.62	-105.29
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 May 2008	24 Jun 2013	1,022.10	977.82	-44.28
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 Nov 2007	24 Jun 2013	1,022.15	946.03	-76.12
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Jan 2008	24 Jun 2013	1,038.77	1,008.70	-30.07
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 Jun 2008	24 Jun 2013	1,050.56	1,050.28	-0.28
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Jul 2008	24 Jun 2013	1,057.67	1,081.73	24.06
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	28 Nov 2008	24 Jun 2013	1,066.61	1,556.79	490.18
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	29 Aug 2008	24 Jun 2013	1,087.17	1,113.50	26.33
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 Jan 2009	24 Jun 2013	1,088.65	1,462.83	374.18
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 Sep 2008	24 Jun 2013	1,094.74	1,215.86	121.12

**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Oct 2008	24 Jun 2013	1,102.90	1,481.96	379.06
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Dec 2008	24 Jun 2013	1,186.30	1,669.78	483.48
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	03 Jan 2005	24 Jun 2013	1,379.70	1,193.08	-186.62
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	29 Dec 2006	24 Jun 2013	1,575.20	1,380.60	-194.60
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Dec 2007	24 Jun 2013	1,578.93	1,472.86	-106.07
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	12 Jun 2009	24 Jun 2013	20,000.00	23,382.59	3,382.59
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 Apr 2003	24 Jun 2013	316.31	323.04	6.73
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 May 2003	24 Jun 2013	756.65	736.76	-19.89
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	02 Jun 2003	24 Jun 2013	762.04	734.96	-27.08
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 Jul 2003	24 Jun 2013	767.44	721.57	-45.87
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 Aug 2003	24 Jun 2013	772.79	733.39	-39.40
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	02 Sep 2003	24 Jun 2013	778.10	733.53	-44.57
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 Oct 2003	24 Jun 2013	783.34	725.01	-58.33
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	03 Nov 2003	24 Jun 2013	826.77	754.40	-72.37
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	28 Apr 2006	24 Jun 2013	830.79	739.09	-91.70
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 Dec 2003	24 Jun 2013	832.50	753.82	-78.68
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 May 2006	24 Jun 2013	835.14	746.70	-88.44
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 Jun 2006	24 Jun 2013	837.90	758.71	-79.19
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	02 Jan 2004	24 Jun 2013	838.24	749.47	-88.77
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	02 Feb 2004	24 Jun 2013	844.07	744.38	-99.69
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 Mar 2004	24 Jun 2013	849.70	757.81	-91.89
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 Apr 2004	24 Jun 2013	855.56	764.96	-90.60
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	03 May 2004	24 Jun 2013	861.34	772.07	-89.27
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 Jun 2004	24 Jun 2013	867.33	794.46	-72.87
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Jan 2006	24 Jun 2013	896.25	803.36	-92.89
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	28 Feb 2006	24 Jun 2013	901.84	803.31	-98.53
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Jan 2005	24 Jun 2013	903.61	787.13	-116.48
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Mar 2006	24 Jun 2013	907.33	808.20	-99.13
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	28 Feb 2005	24 Jun 2013	909.63	783.73	-125.90
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Jul 2006	24 Jun 2013	913.01	824.62	-88.39
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Mar 2005	24 Jun 2013	915.39	814.36	-101.03
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	29 Apr 2005	24 Jun 2013	921.55	837.65	-83.90
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 May 2005	24 Jun 2013	927.79	841.18	-86.61
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 Jun 2005	24 Jun 2013	934.16	833.14	-101.02
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Aug 2006	24 Jun 2013	937.75	840.56	-97.19
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	29 Jul 2005	24 Jun 2013	940.28	831.30	-108.98
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Aug 2005	24 Jun 2013	946.47	835.73	-110.74
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	28 Feb 2007	24 Jun 2013	948.88	820.53	-128.35
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 Sep 2005	24 Jun 2013	952.80	852.98	-99.82
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	29 Sep 2006	24 Jun 2013	953.15	850.07	-103.08
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 Jul 2004	24 Jun 2013	953.66	865.74	-87.92
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 Mar 2007	24 Jun 2013	954.44	828.35	-126.09
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Oct 2005	24 Jun 2013	959.18	872.98	-86.20
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 Apr 2007	24 Jun 2013	959.96	826.09	-133.87
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	02 Aug 2004	24 Jun 2013	960.94	869.02	-91.92
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Oct 2006	24 Jun 2013	962.90	851.30	-111.60
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 May 2007	24 Jun 2013	965.38	828.75	-136.63
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 Nov 2005	24 Jun 2013	965.74	876.70	-89.04
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 Sep 2004	24 Jun 2013	968.13	866.70	-101.43
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	29 Jun 2007	24 Jun 2013	971.05	854.24	-116.81
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 Dec 2005	24 Jun 2013	972.31	879.30	-93.01
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 Oct 2004	24 Jun 2013	975.34	867.69	-107.65
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	30 Nov 2006	24 Jun 2013	976.75	857.14	-119.61
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 Nov 2004	24 Jun 2013	982.65	864.45	-118.20
**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	01 Dec 2004	24 Jun 2013	989.75	862.17	-127.58

**GOLDMAN SACHS HIGH YIELD BOND FUND-INSTL SHS	31 Jul 2007	24 Jun 2013	997 23	919 38	-77 85
AMERICAN EXPRESS COMPANY	24 Mar 2011	09 Jul 2013	11,918 64	20,289.21	8,370 57
AMERICAN EXPRESS COMPANY	05 Aug 2011	09 Jul 2013	1,436.77	2,323.19	886 42
BERKSHIRE HATHAWAY INC DEL CL B	09 Jul 2013	18 Jul 2013	5,190.30	5,316.95	126 65
DEVON ENERGY CORPORATION NEW	25 Jan 2011	09 Jul 2013	3,993.97	2,607.09	-1,386.88
DEVON ENERGY CORPORATION NEW	04 Feb 2011	09 Jul 2013	4,811 34	2,995 39	-1,815.95
DEVON ENERGY CORPORATION NEW	11 Feb 2011	09 Jul 2013	1,033 70	721 11	-312 59
DEVON ENERGY CORPORATION NEW	23 Feb 2011	09 Jul 2013	2,961 85	1,830 51	-1,131.34
LAXOSMITHKLINE PLC SPONSORED ADR(FRM GLAXO W	09 Jul 2013	05 Aug 2013	7,215 56	7,309.97	94 41
3M COMPANY	09 Jul 2013	26 Aug 2013	12,432 32	12,651 06	218.74
OMNICOM GROUP INC	09 Jul 2013	29 Jul 2013	28,691.41	29,329.67	638 26
***SCHLUMBERGER LTD	03 Jul 2008	09 Jul 2013	1,937 44	1,455 40	-482 04
***SCHLUMBERGER LTD	12 Aug 2008	09 Jul 2013	3,723 20	3,063 99	-659 21
***SCHLUMBERGER LTD	13 Mar 2009	09 Jul 2013	4,069 72	7,889 77	3,820.05
***SCHLUMBERGER LTD	02 Jul 2009	09 Jul 2013	1,293.75	1,914.99	621.24
***SCHLUMBERGER LTD	17 Aug 2009	09 Jul 2013	4,176.02	6,281.18	2,105 16
***SCHLUMBERGER LTD	18 Feb 2010	09 Jul 2013	4,192 19	4,902 38	710.19
***SCHLUMBERGER LTD	22 Feb 2010	09 Jul 2013	3,488.12	4,442.79	954 67
SYSCO CORP	09 Jul 2013	10 Dec 2013	19,672 18	20,586 61	914 43
***TE CONNECTIVITY LTD	09 Jul 2013	04 Nov 2013	9,365 58	10,352.25	986 67
TIME WARNER INC	09 Jul 2013	14 Aug 2013	6,129.63	6,240.49	110 86
WAL-MART STORES INC	15 Mar 2013	09 Jul 2013	10,175 18	10,701 57	526.39
VERTEX PHARMACEUTICALS INC	04 Jun 2012	10 Jul 2013	1,558.10	2,193.80	635 70
VERTEX PHARMACEUTICALS INC	19 Nov 2012	10 Jul 2013	1,241.77	2,437 55	1,195.78
VERTEX PHARMACEUTICALS INC	20 Nov 2012	10 Jul 2013	3,902.19	7,556.41	3,654.22
VERTEX PHARMACEUTICALS INC	11 Dec 2012	10 Jul 2013	10,560 44	20,556 67	9,996.23
***ASTRAZENECA PLC SPONSORED ADR	10 Jul 2013	04 Dec 2013	3,711.08	4,299.67	588 59
***BCE INC NEW	10 Jul 2013	17 Sep 2013	2,799 93	2,870.05	70 12
***BP P L C SPONSORED ADR (FRM BP AMOCO PLC)	26 Mar 2013	10 Jul 2013	7,506.63	7,506 63	0 00
MERCK & CO INC	25 Jun 2012	10 Jul 2013	14,761 24	17,785.45	3,024 21
MERCK & CO INC	12 Dec 2012	10 Jul 2013	14,066.09	14,861.16	795.07
MERCK & CO INC	18 Dec 2012	10 Jul 2013	1,459 02	1,581.99	122 97
***NATIONAL GRID PLC NEW SPONSORED ADR	10 Jul 2013	13 Sep 2013	2,333.33	2,407.19	73 86
PEPSICO INC	29 Mar 2005	10 Jul 2013	1,519 60	2,405.51	885 91
PEPSICO INC	14 Jul 2005	10 Jul 2013	2,227 05	3,317.94	1,090 89
PEPSICO INC	04 Apr 2006	10 Jul 2013	3,178.45	4,562 17	1,383.72
PEPSICO INC	19 Feb 2009	10 Jul 2013	2,239.04	3,566 79	1,327.75
PEPSICO INC	15 Oct 2009	10 Jul 2013	6,252 91	8,294 85	2,041.94
PROCTER & GAMBLE CO	24 Jul 2009	10 Jul 2013	2,006 81	2,870 23	863.42
PROCTER & GAMBLE CO	18 Feb 2010	10 Jul 2013	4,713.75	5,979 65	1,265 90
PROCTER & GAMBLE CO	24 Feb 2012	10 Jul 2013	7,401 94	8,849.87	1,447.93
AT&T INC	23 Apr 2012	10 Jul 2013	2,240.25	2,591 47	351 22
AT&T INC	23 Apr 2012	10 Jul 2013	1,260.00	1,455.48	195.48
AT&T INC	14 Nov 2012	10 Jul 2013	4,341 11	4,508 45	167 34
AT&T INC	06 Feb 2013	10 Jul 2013	600 06	603.49	3.43
***AIR LIQUIDE-ADR	10 Jul 2013	24 Jul 2013	2,256 75	2,360 25	103 50
RESENIUS MEDICAL CARE AG & CO KGAA SPONSORED	10 Jul 2013	07 Oct 2013	3,634.97	3,678.63	43.66
***LONZA GROUP AG ZUERICH UNSPONSORED ADR	10 Jul 2013	12 Sep 2013	982 98	1,033 92	50.94
***LONZA GROUP AG ZUERICH UNSPONSORED ADR	10 Jul 2013	13 Sep 2013	3,429.00	3,590.17	161.17
***LONZA GROUP AG ZUERICH UNSPONSORED ADR	10 Jul 2013	27 Sep 2013	2,987 04	3,202 82	215.78
POTASH CORP OF SASKATCHEWAN INC CANADIAN LISTI	10 Jul 2013	19 Sep 2013	6,815.75	5,592.39	-1,223.36
***XINYI GLASS HOLDINGS LTD UNSPONSORED ADR	10 Jul 2013	23 Sep 2013	1,744.20	2,058 67	314.47
***BAE SYSTEMS PLC SPONSORED ADR	09 Jul 2013	15 Aug 2013	968 37	1,077.05	108 68
***CREDIT SUISSE GROUP SPONSORED ADR	09 Jul 2013	15 Aug 2013	463 24	520.33	57 09
SEMENTS DELHAIZE FRERES ET CIE LE LION S A SPONS	09 Jul 2013	15 Aug 2013	1,519 92	1,622 60	102.68

***INFOSYS LIMITED SPONS ADR REPSTG 1 ORD SHRS	09 Jul 2013	15 Aug 2013	621.75	733.20	111.45
***ING GROEP NV-SPONSORED ADR	09 Jul 2013	15 Aug 2013	2,657.20	3,240.77	583.57
***BANCO SANTANDER S A SPONSORED ADR	09 Jul 2013	14 Aug 2013	3.59	6.47	2.88
***BANCO SANTANDER S A SPONSORED ADR	09 Jul 2013	13 Nov 2013	3.35	8.04	4.69
***SOCIETE GENERALE SPONSORED ADR	09 Jul 2013	15 Aug 2013	1,079.27	1,423.19	343.92
***UBS AG	09 Jul 2013	15 Aug 2013	683.40	815.83	132.43
***VODAFONE GROUP PLC SPONSORED ADR NO PAR	09 Jul 2013	20 Nov 2013	8,004.51	10,127.49	2,122.98
STON SCIENTIFIC CORP SENIOR NOTES R/MD 4 50 1/15/2	12 Jul 2013	12 Sep 2013	39,854.78	39,978.28	123.50
Total			3,064,687.25	3,485,214.80	420,527.55

The Gumbo Foundation – Goldman Sachs Combined Accounts
December 1, 2012 – November 31, 2013

Description	Trade Date	Date Sold / Cov Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	
ABBVIE INC. CMN	30-Mar-12	7-Jan-13	5,307.33	4,872.52	434.81
ABBVIE INC. CMN	30-Mar-12	17-Apr-13	7,955.77	6,082.69	1,873.08
ABBVIE INC. CMN	15-Jun-12	17-Apr-13	3,457.22	2,707.02	750.2
ABBVIE INC. CMN	19-Jun-12	17-Apr-13	1,957.70	1,539.15	418.55
ADOBE SYSTEMS INC	30-Mar-11	22-Mar-13	5,820.23	4,494.55	1,325.68
ADOBE SYSTEMS INC	22-Jul-11	22-Mar-13	6,669.89	4,668.99	2,000.90
ADOBE SYSTEMS INC	3-Oct-11	22-Mar-13	1,869.27	1,050.28	818.99
ADOBE SYSTEMS INC	18-Jun-12	22-Mar-13	1,232.02	945.98	286.04
ADOBE SYSTEMS INC	1-Nov-10	22-Mar-13	2,676.46	1,776.23	900.23
ADOBE SYSTEMS INC	2-Nov-10	22-Mar-13	849.67	564.9	284.77
ADOBE SYSTEMS INC	3-Nov-10	22-Mar-13	1,401.95	948.63	453.32
AMERICAN TOWER C	5-Aug-05	13-Dec-12	1,909.47	571.66	1,337.81
ACTIVISION BLIZZARI	20-Nov-12	18-Apr-13	6,407.95	5,099.06	1,308.89
ACTIVISION BLIZZARI	20-Nov-12	26-Apr-13	3,539.86	2,701.49	838.37
ACTIVISION BLIZZARI	20-Nov-12	17-May-13	9,525.98	7,215.22	2,310.76
ACTIVISION BLIZZARI	20-Nov-12	3-Jun-13	6,125.27	4,738.86	1,386.41
AVON PRODUCTS IN	18-Jul-11	22-Feb-13	1,798.51	2,435.44	-636.93
AVON PRODUCTS IN	18-Jul-11	21-Feb-13	2,109.78	2,850.57	-740.79
AVON PRODUCTS IN	2-Nov-10	21-Feb-13	5,366.62	7,596.18	-2,229.56
BOEING COMPANY C	2-Jul-09	6-Feb-13	1,447.01	776.07	670.94
BOEING COMPANY C	18-Feb-09	6-Feb-13	228.48	114.9	113.58
BANK OF AMERICA C	23-Jan-12	6-Feb-13	2,811.87	1,717.18	1,094.69
BANK OF AMERICA C	23-Jan-12	1-Mar-13	5,435.88	3,492.58	1,943.30
BED BATH & BEYON	17-Oct-12	9-May-13	19,182.54	17,045.38	2,137.16
BED BATH & BEYON	23-Nov-12	9-May-13	3,058.09	2,641.24	416.85
CBRE GROUP INC CN	11-Nov-09	8-Mar-13	4,754.30	2,107.53	2,646.77
CBRE GROUP INC CN	2-Jul-09	8-Mar-13	1,102.80	392.41	710.39
CROWN CASTLE INTL	6-Mar-07	3-Jun-13	1,204.76	535.31	669.45
CROWN CASTLE INTL	29-Mar-05	3-Jun-13	8,149.87	1,826.92	6,322.95
CROWN CASTLE INTL	4-Apr-06	3-Jun-13	2,834.74	1,138.68	1,696.06
CELGENE CORPORAT	1-Apr-11	1-Feb-13	5,076.44	2,881.01	2,195.43
CELGENE CORPORAT	4-Apr-11	1-Feb-13	10,762.05	6,067.19	4,694.86
CELGENE CORPORAT	13-Mar-12	1-Feb-13	1,319.87	976.04	343.83
CELGENE CORPORAT	25-Jun-12	1-Feb-13	8,629.95	5,211.60	3,418.35
CF INDUSTRIES HOLL	20-Feb-13	15-Apr-13	12,402.52	14,606.02	-2,203.50
CF INDUSTRIES HOLL	4-Mar-13	15-Apr-13	3,897.94	4,413.37	-515.43
CME GROUP INC CN	2-Jul-09	11-Dec-12	212.56	241.14	-28.58

CME GROUP INC. CMN	19-Feb-09	11-Dec-12	4,516.81	3,140.12	1,376.69
CME GROUP INC. CMN	17-Mar-09	11-Dec-12	2,656.95	2,072.71	584.24
CME GROUP INC. CMN	14-Oct-09	13-Feb-13	2,558.83	2,787.03	-228.2
CME GROUP INC. CMN	18-Feb-10	13-Feb-13	3,411.78	3,523.08	-111.3
CME GROUP INC. CMN	4-Nov-10	13-Feb-13	6,254.92	6,471.31	-216.39
CME GROUP INC. CMN	28-Oct-11	13-Feb-13	2,274.52	2,210.42	64.1
CME GROUP INC. CMN	30-Aug-10	13-Feb-13	6,823.56	6,098.03	725.53
CME GROUP INC. CMN	2-Jul-09	13-Feb-13	1,194.12	1,265.97	-71.85
CHIPOTLE MEXICAN	3-Aug-12	15-Apr-13	5,410.65	4,768.73	641.92
CHIPOTLE MEXICAN	3-Aug-12	8-May-13	3,606.28	2,980.45	625.83
DIRECTV CMN	7-Nov-12	20-Feb-13	14,829.39	15,171.07	-341.68
DUKE ENERGY CORP	24-Sep-12	12-Apr-13	25,167.86	22,294.84	2,873.02
DUKE ENERGY CORP	18-Oct-12	12-Apr-13	3,282.77	2,945.52	337.25
DUKE ENERGY CORP	6-Feb-13	12-Apr-13	5,763.08	5,422.23	340.85
DEVON ENERGY COR	25-Jan-11	6-Feb-13	291.44	424.89	-133.45
DEVON ENERGY COR	21-Jan-11	6-Feb-13	1,515.50	2,164.08	-648.58
EQUINIX INC CMN	4-Aug-08	28-Feb-13	2,546.25	941.24	1,605.01
GENERAL ELECTRIC C	23-Dec-09	6-Feb-13	3,397.12	2,340.53	1,056.59
GENERAL MOTORS C	10-Sep-12	11-Apr-13	13,604.08	10,687.61	2,916.47
GENERAL MOTORS C	3-Oct-12	11-Apr-13	5,500.14	4,539.15	960.99
GOOGLE, INC. CMN C	1-Nov-12	14-Mar-13	18,899.36	15,865.30	3,034.06
GOOGLE, INC. CMN C	26-Jan-11	28-Feb-13	7,223.19	5,569.53	1,653.66
GOOGLE, INC. CMN C	26-Jan-11	18-Apr-13	4,601.51	3,713.02	888.49
HALLIBURTON COMI	24-Jan-12	25-Jan-13	6,697.37	5,926.94	770.43
HALLIBURTON COMI	24-Jan-12	6-Feb-13	1,690.04	1,472.97	217.07
HALLIBURTON COMI	20-Sep-11	28-May-13	6,085.91	5,281.05	804.86
HALLIBURTON COMI	30-Apr-10	23-May-13	6,597.50	4,662.76	1,934.74
HALLIBURTON COMI	18-Feb-10	23-May-13	3,061.58	2,212.36	849.22
HALLIBURTON COMI	30-Apr-10	28-May-13	1,401.08	975.22	425.86
HALLIBURTON COMI	2-Jun-10	28-May-13	4,597.27	2,465.60	2,131.67
JOHNSON & JOHNSC	14-Mar-12	12-Dec-12	18,959.07	17,313.67	1,645.40
JOHNSON & JOHNSC	15-Jun-12	18-Dec-12	4,952.43	4,618.41	334.02
JOHNSON & JOHNSC	14-Mar-12	18-Dec-12	20,163.48	18,550.37	1,613.11
JOHNSON & JOHNSC	8-Jun-12	18-Dec-12	1,556.48	1,378.96	177.52
JOHNSON & JOHNSC	18-Jun-12	18-Dec-12	1,202.73	1,127.61	75.12
JPMORGAN CHASE &	14-Nov-08	11-Dec-12	256.06	212.52	43.54
JPMORGAN CHASE &	31-Oct-07	11-Dec-12	10,498.14	11,635.21	-1,137.07
JPMORGAN CHASE &	14-Nov-08	6-Feb-13	2,727.13	1,983.49	743.64
ELI LILLY & CO CMN	18-Dec-12	6-Feb-13	1,293.33	1,186.27	107.06
ELI LILLY & CO CMN	18-Dec-12	14-Mar-13	24,057.55	21,599.93	2,457.62
LOWES COMPANIES	22-Dec-11	6-Feb-13	1,497.95	995.46	502.49
LOWES COMPANIES	22-Dec-11	1-Mar-13	4,023.28	2,680.08	1,343.20
LAM RESEARCH COR	7-Mar-12	6-Feb-13	1,324.29	1,245.33	78.96
LAM RESEARCH COR	8-Mar-12	3-May-13	2,217.33	1,957.49	259.84
LAM RESEARCH COR	7-Mar-12	3-May-13	7,206.30	6,266.80	939.5
LAM RESEARCH COR	8-Mar-12	29-May-13	5,983.20	5,219.96	763.24
LAM RESEARCH COR	8-Jun-12	29-May-13	934.88	748.5	186.38

LAM RESEARCH COR	29-Nov-12	29-May-13	5,609.26	4,258.35	1,350.91
LAM RESEARCH COR	19-Nov-12	29-May-13	5,235.30	3,884.28	1,351.02
LAS VEGAS SANDS CO	3-Aug-12	19-Apr-13	3,500.17	2,527.47	972.7
LAS VEGAS SANDS CO	3-Aug-12	22-Apr-13	2,881.24	2,067.93	813.31
LAS VEGAS SANDS CO	6-Aug-12	22-Apr-13	3,681.58	2,690.86	990.72
LAS VEGAS SANDS CO	14-Aug-12	22-Apr-13	4,268.50	3,118.02	1,150.48
MASTERCARD INCOF	13-May-10	14-Jan-13	5,758.76	2,613.78	3,144.98
MARRIOTT INTERNA	5-Aug-11	21-Dec-12	4,307.40	3,244.42	1,062.98
MARRIOTT INTERNA	8-Aug-11	26-Apr-13	1,124.18	698.04	426.14
MARRIOTT INTERNA	5-Aug-11	26-Apr-13	2,498.19	1,678.15	820.04
MASCO CORPORATI	8-Jun-12	6-Feb-13	4,971.61	3,615.68	1,355.93
MASCO CORPORATI	8-Jun-12	10-May-13	5,972.04	3,721.64	2,250.40
MASCO CORPORATI	1-Aug-12	10-May-13	5,376.95	3,033.96	2,342.99
MASCO CORPORATI	31-Jul-12	10-May-13	2,252.80	1,271.99	980.81
MORGAN STANLEY C	1-Nov-11	25-Feb-13	8,864.76	6,309.15	2,555.61
MORGAN STANLEY C	22-Dec-11	14-Mar-13	5,472.90	3,807.72	1,665.18
MORGAN STANLEY C	1-Nov-11	14-Mar-13	567.73	405.47	162.26
MORGAN STANLEY C	13-Mar-12	14-Mar-13	5,404.78	4,391.89	1,012.89
MYLAN INC CMN	23-Dec-11	12-Dec-12	3,842.86	2,988.53	854.33
MYLAN INC CMN	8-Feb-12	12-Dec-12	6,376.91	5,182.38	1,194.53
MYLAN INC CMN	13-Mar-12	12-Dec-12	6,516.15	5,374.54	1,141.61
MYLAN INC CMN	3-Apr-12	12-Dec-12	6,571.84	5,531.35	1,040.49
MYLAN INC CMN	18-Jun-12	12-Dec-12	1,475.88	1,118.83	357.05
NIKE CLASS-B CMN C	21-Oct-09	8-May-13	6,642.10	3,410.63	3,231.47
NETAPP, INC. CMN	24-Feb-11	30-Apr-13	7,818.67	11,411.98	-3,593.31
NETAPP, INC. CMN	22-Nov-11	22-May-13	3,057.56	2,870.00	187.56
NETAPP, INC. CMN	11-Mar-11	22-May-13	3,952.46	5,053.31	-1,100.85
NETAPP, INC. CMN	19-Apr-11	22-May-13	4,213.47	5,338.79	-1,125.32
NETAPP, INC. CMN	19-Oct-11	22-May-13	3,654.16	3,760.21	-106.05
NETAPP, INC. CMN	24-Feb-11	22-May-13	2,125.38	2,891.04	-765.66
ORACLE CORPORATI	18-May-09	13-Dec-12	1,649.45	969.26	680.19
ORACLE CORPORATI	24-Apr-09	13-Dec-12	3,616.10	2,290.94	1,325.16
OCCIDENTAL PETRO	12-Dec-12	26-Mar-13	17,141.66	16,853.46	288.2
OCCIDENTAL PETRO	25-Jan-13	26-Mar-13	5,041.67	5,452.31	-410.64
OCCIDENTAL PETRO	14-Mar-13	26-Mar-13	4,576.28	4,970.20	-393.92
PFIZER INC. CMN	7-Oct-11	6-Feb-13	3,342.11	2,265.99	1,076.12
PROCTER & GAMBLE	17-Jan-12	1-Feb-13	21,377.93	18,774.47	2,603.46
PROCTER & GAMBLE	25-Jan-12	1-Feb-13	6,647.55	5,696.44	951.11
PROCTER & GAMBLE	13-Mar-12	1-Feb-13	982.03	881.01	101.02
PROCTER & GAMBLE	24-Jul-09	7-May-13	1,551.42	1,114.90	436.52
PROCTER & GAMBLE	24-Jun-09	7-May-13	4,111.26	2,696.01	1,415.25
PROCTER & GAMBLE	2-Jul-09	7-May-13	1,163.56	769.5	394.06
PPL CORPORATION C	12-Apr-11	6-Feb-13	1,503.96	1,305.40	198.56
PPL CORPORATION C	18-Jun-12	22-May-13	1,176.57	1,030.08	146.49
PPL CORPORATION C	25-Oct-12	22-May-13	4,738.09	4,375.29	362.8
PPL CORPORATION C	3-Apr-12	22-May-13	6,073.65	5,361.01	712.64
PPL CORPORATION C	3-Oct-11	22-May-13	1,430.97	1,286.55	144.42

PPL CORPORATION C	12-Apr-11	22-May-13	11,574.92	9,503.34	2,071.58
PPL CORPORATION C	14-Sep-11	22-May-13	4,324.70	3,810.11	514.59
PRUDENTIAL FINANC	18-Feb-10	6-Feb-13	1,289.61	1,114.00	175.61
PRUDENTIAL FINANC	9-Oct-09	6-Feb-13	820.66	713.67	106.99
RACKSPACE HOSTING	1-Mar-13	9-May-13	1,348.39	1,952.90	-604.51
RACKSPACE HOSTING	28-Feb-13	9-May-13	2,658.26	3,848.76	-1,190.50
RACKSPACE HOSTING	1-Mar-13	21-May-13	3,405.02	4,965.95	-1,560.93
RACKSPACE HOSTING	1-Mar-13	31-May-13	4,222.73	6,193.48	-1,970.75
RACKSPACE HOSTING	7-Mar-13	31-May-13	5,820.53	8,740.84	-2,920.31
EVEREST RE GROUP	27-Jan-11	6-Feb-13	1,521.74	1,120.35	401.39
EVEREST RE GROUP	27-Jan-11	15-Apr-13	992.15	689.45	302.7
EVEREST RE GROUP	1-Aug-11	17-Apr-13	3,098.29	2,050.27	1,048.02
EVEREST RE GROUP	2-Aug-11	17-Apr-13	3,594.01	2,407.42	1,186.59
EVEREST RE GROUP	8-Jun-12	17-Apr-13	1,487.18	1,216.92	270.26
EVEREST RE GROUP	28-Jan-11	17-Apr-13	11,649.55	8,007.44	3,642.11
EVEREST RE GROUP	3-Oct-11	17-Apr-13	1,735.04	1,107.55	627.49
EVEREST RE GROUP	27-Jan-11	17-Apr-13	1,735.04	1,206.53	528.51
TRANSOCEAN LTD. C	3-Oct-12	16-Jan-13	1,157.36	948.47	208.89
TRANSOCEAN LTD. C	3-Oct-12	14-Jan-13	3,086.09	2,529.24	556.85
TRANSOCEAN LTD. C	3-Oct-12	17-Jan-13	5,348.68	4,381.00	967.68
TRANSOCEAN LTD. C	3-Oct-12	5-Mar-13	4,786.51	4,110.01	676.5
TRANSOCEAN LTD. C	3-Oct-12	14-Mar-13	12,001.36	10,116.96	1,884.40
J M. SMUCKER CO. C	23-Apr-12	15-Jan-13	17,148.76	15,148.47	2,000.29
SUNTRUST BANKS IN	21-Dec-10	6-Feb-13	603.94	584.56	19.38
SUNTRUST BANKS IN	20-Dec-10	6-Feb-13	2,185.71	2,058.36	127.35
AT&T INC CMN	23-Apr-12	19-Apr-13	4,740.80	3,810.72	930.08
AT&T INC CMN	13-Mar-12	19-Apr-13	1,567.52	1,294.37	273.15
AT&T INC CMN	5-Mar-12	19-Apr-13	5,352.52	4,331.97	1,020.55
TEVA PHARMACEUTI	11-Jul-08	23-Apr-13	151.5	170.3	-18.8
TEVA PHARMACEUTI	10-Dec-10	23-Apr-13	6,476.29	9,045.90	-2,569.61
TEVA PHARMACEUTI	11-Jul-08	22-Apr-13	5,571.35	6,258.39	-687.04
TEVA PHARMACEUTI	18-Feb-10	23-Apr-13	1,022.57	1,558.98	-536.41
TEVA PHARMACEUTI	9-Aug-11	23-Apr-13	3,938.80	3,930.13	8.67
THE TRAVELERS CON	16-Dec-11	11-Dec-12	146.71	114.69	32.02
THE TRAVELERS CON	15-Dec-11	11-Dec-12	6,969.04	5,383.14	1,585.90
UNITEDHEALTH GRO	22-Dec-11	5-Feb-13	15,449.15	13,609.20	1,839.95
UNITEDHEALTH GRO	19-Jan-12	5-Feb-13	4,957.56	4,524.36	433.2
UNITEDHEALTH GRO	19-Dec-12	5-Feb-13	3,689.35	3,501.10	188.25
UNITEDHEALTH GRO	8-Jun-12	5-Feb-13	1,037.63	1,048.14	-10.51
URBAN OUTFITTERS	17-Jul-12	5-Feb-13	18,427.03	13,131.22	5,295.81
URBAN OUTFITTERS	7-Sep-11	11-Dec-12	4,276.49	2,770.93	1,505.56
URBAN OUTFITTERS	6-Sep-11	11-Dec-12	3,965.47	2,596.25	1,369.22
URBAN OUTFITTERS	31-Oct-11	11-Jan-13	2,822.81	1,820.24	1,002.57
URBAN OUTFITTERS	7-Sep-11	11-Jan-13	3,507.13	2,065.60	1,441.53
URBAN OUTFITTERS	31-Oct-11	8-Feb-13	85.29	55.16	30.13
URBAN OUTFITTERS	11-Jan-12	8-Feb-13	6,865.76	3,887.96	2,977.80
URBAN OUTFITTERS	21-Nov-11	8-Feb-13	4,136.51	2,484.73	1,651.78

U.S. BANCORP CMN	31-Jan-12	12-Dec-12	4,620.37	4,072.58	547.79
U.S. BANCORP CMN	28-Sep-10	12-Dec-12	4,941.23	3,339.43	1,601.80
U.S. BANCORP CMN	16-May-11	12-Dec-12	4,844.97	3,781.89	1,063.08
U.S. BANCORP CMN	13-Mar-12	12-Dec-12	994.66	934.34	60.32
VIACOM INC CMN CI	25-Sep-12	19-Apr-13	8,028.55	6,741.40	1,287.15
VERTEX PHARMACEL	4-Jun-12	19-Apr-13	3,368.98	2,366.01	1,002.97
VERTEX PHARMACEL	8-Feb-12	19-Apr-13	9,778.25	4,364.52	5,413.73
VERTEX PHARMACEL	11-Dec-12	19-Apr-13	5,501.61	2,796.64	2,704.97
VERTEX PHARMACEL	11-Dec-12	18-Apr-13	3,443.93	1,753.12	1,690.81
XCEL ENERGY INC CM	11-Apr-12	6-Feb-13	1,714.26	1,615.01	99.25
XCEL ENERGY INC CM	25-Jun-12	12-Mar-13	11,658.29	11,228.16	430.13
XCEL ENERGY INC CM	11-Apr-12	12-Mar-13	15,129.74	13,623.38	1,506.36
XCEL ENERGY INC CM	25-Oct-12	12-Mar-13	5,785.75	5,568.48	217.27
XILINX INCORPORAT	13-Oct-10	27-Feb-13	4,301.15	3,107.92	1,193.23
			969,792.21	813,733.43	156,058.78

THE GUMBO FOUNDATION
2012 Distributions
(For Fiscal Year December 1, 2012 - November 30, 2013)

Agape Corner 1402 Holloway St. Durham, NC 27703	Child Welfare	\$ 1,000.00
AADD (All About Developmental Disabilities) 125 Clairemont Ave., Suite 300 Decatur, GA 30030	Developmental Disability Education	\$ 5,000.00
American Red Cross P.O. Box 4002018 Des Moines, IA 50340-2018	Disaster Relief	\$10,000.00
AOPA Air Safety Foundation 421 Aviation Way Frederick, MD 21701-4798	Aviation Safety	\$ 5,000.00
ASPCA American Society For the Prevention of Cruelty to Animals Foundation & Corporate Support 520 Eight ^h Ave., 7 th Floor New York, NY 10018	Animal Welfare	\$ 5,000.00
Athens Community Council On Aging 135 Hoyt Street Athens, GA 30601	Senior Welfare	\$ 9,000.00
Atlanta Pet Rescue & Adoption 4874 S. Atlanta Rd., SE Smyrna, GA 30080	Animal Welfare	\$ 3,000.00
Basset Hound Rescue of Georgia Att: Rosemary Glennie 135 Nina Celeste Lane Fayetteville, GA 30215	Animal Rescue	\$ 3,000.00
Best Friends Animal Society 5001 Angel Canyon Road Kanab, Utah 84741	Animal Welfare	\$ 5,000.00

Camp Sunshine 1850 Clairmont Rd. Decatur, GA 30033-3405	Children's Cancer	\$ 3,000.00
Create Your Dreams King Plow Arts Center, Studio T-108 887 West Marietta Street, NW Atlanta, GA 30318	Art Education	\$10,000.00
Duke University Eye Center P.O. Box 3802 Durham, NC 27710	Health Care	\$ 5,000.00
Durham Academy Development Office 3601 Ridge Road Durham, NC 27705	Educational Institution	\$10,000.00
Durham Rescue Mission Rescue Missions Ministries, Inc. P.O. Box 11858 Durham, NC 27703-0858	Homeless Assistance	\$ 2,000.00
The Elephant Sanctuary P.O. Box 393 Hohenwald, TN 38462-0393	Elephant Rescue	\$ 3,000.00
Equality Now 259 West 57 th St., Suite 1527 New York, NY 10107	Women's Rights	\$ 3,000.00
Espanola Valley Humane Society 108 Hamm Parkway Espanola, NM 87532	Animal Welfare	\$ 3,000.00
Feeding America 35 East Wacker Drive, Suite 2000 Chicago, IL 60601	Food Assistance	\$10,000.00
The Gleason Initiative Foundation P.O. Box 24493 New Orleans, LA 70184	Medical Assistance	\$ 5,000.00
Guiding Eyes for the Blind 611 Granite Springs Road	Seeing Eye Dogs	\$ 3,000.00

Yorktown Heights, NY 10598

Heart & Soul Animal Sanctuary
369 Montezuma Ave., Box 130
Santa Fe, NM 87501

Animal Welfare

\$ 3,000.00

Heifer Project International
1 World Avenue
Little Rock, AR 72202

Ending Hunger

\$ 4,000.00

The Horse Shelter, Inc.
1012 Marquez Place, Suite 201B
Santa Fe, NM 87505

Animal Welfare

\$ 3,000.00

Humane Society of the
United States
2100 L Street, NW
Washington, DC 20037

Animal Welfare

\$ 5,000.00

Kidzu Children's Museum
123 West Franklin Street, Suite B
Chapel Hill, NC 27516-2524

Museum

\$ 1,000.00

Kindred Spirits Animal Sanctuary
3749-A State Road 14
Santa Fe, NM 87508

Animal Welfare

\$ 3,000.00

Louisiana Endowment
for the Humanities
938 Lafayette Street, Suite 300
New Orleans, LA 70113

Culture
Preservation

\$ 5,000.00

Morris Animal Foundation
10200 East Girard Ave., Suite B430
Denver, CO 80231

Animal Health\$

\$ 5,000.00

Natural Resources
Defense Council
40 West 20th Street
New York, NY 10011

Environment
Protection

\$10,000.00

NEADS
Canines for Combat Veterans
P.O. Box 213
West Boylston, MA 01583

Assistance Dogs

\$ 6,000.00

New Mexico Women's Foundation 1000 Cordova Place, #411 Santa Fe, NM 87505	Women's Assistance	\$ 5,000.00
Planned Parenthood Federation of America, Inc. 434 West 33rd Street New York, NY 10001	Medical Assistance	\$ 5,000.00
Preservation Resource Center 923 Tchoupitoulas Street New Orleans, LA 70130	Neighborhood Preservation	\$ 5,000.00
The Rose of Athens Theater P.O. Box 626 Athens, GA 30603	Community Theater	\$5,000.00
St. Gerard House 620 Oakland Street Hendersonville, NC 28791	Autism Assistance	\$ 4,000.00
Samaritan Health Center P.O. Box 51339 Durham, NC 27717	Medical Assistance	\$ 2,000.00
Santa Fe Animal Shelter 100 Caja del Rio Road Santa Fe, NM 87507	Animal Welfare	\$ 3,000.00
Save Our Cemeteries P.O. Box 58105 New Orleans, LA 70158	Cemetery Preservation	\$ 1,000.00
Save The Children 54 Wilton Road Westport, CT 06880	Disaster Relief	\$ 5,000.00
Shriner's Hospitals for Children Office of Development 2900 Rocky Point Drive Tampa, FL 33607	Medical Research	\$ 1,000.00
Southern Poverty Law Center 400 Washington Ave. Montgomery, AL 36104	Human Rights	\$10,000.00

Southwest Collie Rescue 52 Estrada Calabasa Santa Fe, NM 87506	Animal Welfare	\$ 3,000.00
Super Cooper's Little Red Wagon Foundation c/o Jennifer Thompson 208 Glenhaven Dr. Chapel Hill, NC 27516	Pediatric Cancer Support	\$ 2,000.00
UNC Thurston Arthritis Research Center The Medical Foundation of North Carolina 880 Martin Luther King Jr. Blvd. Chapel Hill, NC 27514	Medical Research	\$5,000.00
US Fund for UNICEF 125 Maiden Lane New York, NY 10038	United Nations Relief	\$ <u>5,000.00</u>
Total		\$ <u>209,000.00</u>

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
William Hobson Hogan	Vice President 1 00	0		
347 E Palace Ave Santa Fe, NM 87501				
Mary Emily Hogan	Vice President 1 00	0		
347 E Palace Ave Santa Fe, NM 87501				
Tracey Elise Hogan	Vice President 1 00	0		
347 E Palace Ave Santa Fe, NM 87501				
Paul B Schmidt	Secretary/Treas 5 00	0		
347 E Palace Ave Santa Fe, NM 87501				
Elise W Schmidt	President 3 00	0		
347 E Palace Ave Santa Fe, NM 87501				