



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 11-01-2012 , and ending 10-31-2013

Name of foundation JOSEPH ALEXANDER FOUNDATION INC		A Employer identification number 51-0175951	
Number and street (or P O box number if mail is not delivered to street address) PO Box 433		B Telephone number (see instructions) (813) 902-2691	
City or town, state, and ZIP code FT Washington, PA 19034		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 15,302,991		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	379,354			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	23,679	23,679	23,679	
	4 Dividends and interest from securities.	265,351	265,351	265,351	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-23,840			
	b Gross sales price for all assets on line 6a _____ 2,856,284				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	644,544	289,030	289,030	
	13 Compensation of officers, directors, trustees, etc	69,800	10,470		59,330
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	19,950	2,993		16,957
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	7,359	859		4,866
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	119,528	111,336		8,192
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	216,637	125,658		89,345
	25 Contributions, gifts, grants paid	722,000			722,000
	26 Total expenses and disbursements. Add lines 24 and 25	938,637	125,658		811,345
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-294,093			
	b Net investment income (if negative, enter -0-)		163,372		
	c Adjusted net income (if negative, enter -0-)			289,030	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	26,927	52,814	52,814
	2	Savings and temporary cash investments	97,129	1,663,854	1,663,854
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	957		
	10a	Investments—U S and state government obligations (attach schedule)	3,349,300	319,122	319,122
	b	Investments—corporate stock (attach schedule)	8,432,376	13,159,160	13,159,160
	c	Investments—corporate bonds (attach schedule).	1,951,182	98,750	98,750
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)	17,321	9,291	9,291
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	13,875,192	15,302,991	15,302,991

Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	42,552	83,697	
	23	Total liabilities (add lines 17 through 22)	42,552	83,697	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	13,832,640	15,219,294	
	30	Total net assets or fund balances (see page 17 of the instructions)	13,832,640	15,219,294	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	13,875,192	15,302,991	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	13,832,640
2	Enter amount from Part I, line 27a	2	-294,093
3	Other increases not included in line 2 (itemize) ▶ _____	3	1,725,539
4	Add lines 1, 2, and 3	4	15,264,086
5	Decreases not included in line 2 (itemize) ▶ _____	5	44,792
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	15,219,294

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	-23,840
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	812,089	13,739,739	0 05911
2010	794,240	14,094,529	0 05635
2009	805,572	14,405,506	0 05592
2008	798,786	14,542,640	0 05493
2007	844,002	16,756,231	0 05037
2	Total of line 1, column (d).		2 0 27667
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0 05534
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.		4 14,370,255
5	Multiply line 4 by line 3.		5 795,178
6	Enter 1% of net investment income (1% of Part I, line 27b).		6 1,634
7	Add lines 5 and 6.		7 796,812
8	Enter qualifying distributions from Part XII, line 4.		8 811,345
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,634
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	1,634
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,634
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	5,855	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	5,855
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	4,221
11		Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ 4,221	Refunded ☐	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either - By language in the governing instrument, or - By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶JOSEPH ALEXANDER FOUNDATION Telephone no ▶(813) 902-2691 Located at ▶PO Box 433 FT Washington PA ZIP +4 ▶190340433			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Form 990-PF (2012)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 University of Pennsylvania located in Philidelphia, Pennsylvania School of Medicine for Research	100,000
2 WESTERN WALL HERITAGE FOUNDATION, NEW YORK, NY, EXCAVATION ACTIVITIES AND MUSEUM EXHIBITING HISTORICAL AND RELIGIOUS ARTIFACTS	50,000
3 YESHIVA UNIVERSITY, NEW YORK, NY FUNDING FOR general purposes	50,000
4 Genesis Foundation, Marlboro, New Jersey Provide school scholarships	50,000

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	13,654,945
b	Average of monthly cash balances.	1b	920,362
c	Fair market value of all other assets (see instructions).	1c	13,784
d	Total (add lines 1a, b, and c).	1d	14,589,091
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	14,589,091
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	218,836
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	14,370,255
6	Minimum investment return. Enter 5% of line 5.	6	718,513

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	718,513
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	1,634
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,634
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	716,879
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	716,879
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	716,879

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	811,345
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	811,345
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,634
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	809,711
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				716,879
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				12,962
c From 2009.				89,203
d From 2010.				92,262
e From 2011.				128,216
f Total of lines 3a through e.	322,643			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 811,345				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				716,879
e Remaining amount distributed out of corpus	94,466			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	417,109			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions.				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	417,109			
10 Analysis of line 9				
a Excess from 2008.				12,962
b Excess from 2009.				89,203
c Excess from 2010.				92,262
d Excess from 2011.				128,216
e Excess from 2012.				94,466

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

Harvey Mackler
PO Box 433
FT Washington,PA 190340433
(813) 902-2691
n/a

b

The form in which applications should be submitted and information and materials they should include

The application should be submitted through a written application Those details that relate to the specific required content of the application can be obtained by contacting the Foundation

c

Any submission deadlines

none

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

There are no restrictions on limitations or awards, such as by type or location, one's charitable field or endeavor, the type of the applying institution or any other type of factor Accordingly, as there are no limitations or restrictions inherent in the process, the Foundation can affirm to the fact that there is no discrimination of any kind relating to the decisions made by the Foundation regarding the approval process for the granting of awards, grants or contributions

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE SCHEDULE OF GRANTS PAID SEE SCHEDULE OF GRANTS PAID SEE SCH attached, PA 19034	NONE	CHAR	CHARITABLE	357,500
SEE SCHEDULE OF GRANTS PAID see schedule of grants paid see sch attached, PA 19034	NONE	CHAR	EDUCATIONAL	224,500
SEE SCHEDULE OF GRANTS PAID SEE SCHEDULE OF GRANTS PAID SEE SCH attached, PA 19034	NONE	CHAR	MEDICAL	125,000
SEE SCHEDULE OF GRANTS PAID SEE SCHEDULE OF GRANTS PAID See sch Attached, PA 19034	NONE	CHAR	RELIGIOUS	15,000
Total			3a	722,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14	23,679	
4	Dividends and interest from securities. . . .			14	265,351	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory			18	-23,840	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). .				265,190	
13	Total. Add line 12, columns (b), (d), and (e).					265,190

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

*****	2014-02-18	*****	May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No
Signature of officer or trustee	Date	Title	

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

**Paid
Preparer
Use
Only**

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN P00353949
David Blitz	David Blitz			
Firm's name ☐	Joel Popkin & Company PC		Firm's EIN ☐	
	1430 Broadway			
Firm's address ☐	New York, NY 10018		Phone no (212) 575-7800	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HELEN MACKLER	FORMER SECY 0 00	1,500		
PO Box 433 Ft Washington, PA 190340433				
ROBERT WEINTRAUB	Former Pres 0 00	1,500		
110 East 59Th Street New York, NY 10022				
RANDI WINDHEIM	secy/director 0 00	25,567	6,250	
PO Box 433 FT Washington, PA 190340433				
HARVEY MACKLER	pres/director 0 00	25,233	6,250	
PO Box 433 FT Washington, PA 190340433				
JUSTIN WINDHEIM	Director 0 00	1,050		
PO BOX 433 Ft Washington, PA 190340433				
JESSICA ROSENBERG	Director 0 00	1,050		
PO BOX 433 Ft Washington, PA 190340433				
ALEXANDER MACKLER	Director 0 00	1,400		
PO Box 433 FT Washington, PA 190340433				

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2012

Name of the organization JOSEPH ALEXANDER FOUNDATION INC	Employer identification number 51-0175951
---	--

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization JOSEPH ALEXANDER FOUNDATION INC	Employer identification number 51-0175951
--	---

Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization JOSEPH ALEXANDER FOUNDATION INC	Employer identification number 51-0175951
--	---

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

TY 2012 Accounting Fees Schedule**Name:** JOSEPH ALEXANDER FOUNDATION INC**EIN:** 51-0175951**Software ID:** 12000229**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Joel Popkin & Co	19,950	2,993	0	16,957

TY 2012 Other Assets Schedule**Name:** JOSEPH ALEXANDER FOUNDATION INC**EIN:** 51-0175951**Software ID:** 12000229**Software Version:** 2012v2.0

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Miscellaneous Asset		385	385
Federal Excise tax receivable	5,831	2,563	2,563
Accrued Interest Receivable	3,552	6,343	
Accrued interest receivable			6,343

TY 2012 Other Decreases Schedule

Name: JOSEPH ALEXANDER FOUNDATION INC

EIN: 51-0175951

Software ID: 12000229

Software Version: 2012v2.0

Description	Amount
deferred federal excise tax	44,792

TY 2012 Other Expenses Schedule**Name:** JOSEPH ALEXANDER FOUNDATION INC**EIN:** 51-0175951**Software ID:** 12000229**Software Version:** 2012v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Rental Expenses	4,307	646		3,661
Office Expenses	1,092	164		928
NYS and PA Filing fees	900	135		765
Moving Expense	2,675	401		2,274
Investment broker fees	109,891	109,891		
Insurance	663	99		564

TY 2012 Other Liabilities Schedule**Name:** JOSEPH ALEXANDER FOUNDATION INC**EIN:** 51-0175951**Software ID:** 12000229**Software Version:** 2012v2.0

Description	Beginning of Year - Book Value	End of Year - Book Value
Accrued expenses and taxes payable	2,014	
Deferred taxes payable	40,538	83,697

TY 2012 Substantial Contributors Schedule

Name: JOSEPH ALEXANDER FOUNDATION INC

EIN: 51-0175951

Software ID: 12000229

Software Version: 2012v2.0

Name	Address
Trust FBO Alfred Helen Mackler	12109 Clear Harbor Drive Tampa, FL 33626

TY 2012 Taxes Schedule**Name:** JOSEPH ALEXANDER FOUNDATION INC**EIN:** 51-0175951**Software ID:** 12000229**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
sundry and miscellaneous	2,092	314		1,778
Payroll taxes	1,667	250		1,417
Foreign taxes paid	1,966	295		1,671
Federal excise taxes-current provision	1,634			

SCHEDULE - CAPITAL TRANSACTIONS

YEAR ENDED October 31, 2013

[illegible]

SCHEDULE - CAPITAL TRANSACTIONS

NAME _____

YEAR ENDED October 31, 2013

[illegible]

SCHEDULE - CAPITAL TRANSACTIONS

NAME.

YEAR ENDED

[illegible]

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2013

	Market Value
Recapitulation:	
Common Stocks - Neuberger Berman	\$ 5,428,066
Common Stocks - Merrill Lynch - Acct 04004	6,117,559
Common Stocks - Merrill Lynch - Acct 02319	278,647
Common Stocks - Merrill Lynch - Acct 02409	1,109
Bank Certificates of Deposit	739,738
Municipal Bonds	319,122
Corporate Bonds	98,750
Mututal Funds	594,041
Total	\$ 13,577,032
Less: Municipal Bonds - Line 10(a)	(319,122)
Corporate Bonds - Line 10(c)	(98,750)
Investment in Corporate Stock - Line 10(b)	<u>\$ 13,159,160</u>

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2013

<u>Name of Security</u>	<u>Number of Shares Par Value</u>	<u>Market Value</u>
Common stocks - Neuberger Berman:		
American Express	4,500	\$ 368,100
Amgen Inc.	800	92,912
Aon Plc	3,900	308,451
Boeing Co.	2,700	352,350
Cabot Oil & Gas	9,000	317,880
CF Industries	1,100	237,160
Emc Corp.	8,200	197,382
IBM	3,000	537,630
Kinder Morgan Management LLC	2,169	163,369
M & T Bank Corp.	2,200	247,566
MC Kesson Corp.	2,100	328,314
Occidental Pete Corp.	2,700	259,416
Potash Corp.	5,175	160,943
Proctor & Gamble	2,000	161,500
Texas Instrument Inc.	7,200	303,012
Viacom Inc.	3,900	324,831
Wells Fargo	25,000	<u>1,067,250</u>
Total Common Stocks - Neuberger Berman		\$ <u><u>5,428,066</u></u>

JOSEPH ALEXANDER FOUNDATION, INC
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2013

Name of Security	Number of Shares Par Value	Market Value
Common stocks Merrill Lynch - Acct 04004		
ABBVIE Inc	2,400	\$ 116,280
Abbott	700	€3,427
American M&M	20,000	428,200
American Wtr Wks Co	1,500	64,405
AMN Elec Power Co	2,000	73,680
Aplicis	840	74,592
Aplicis Corp L Real Estate	2,000	48,333
AT&T	3,600	130,320
Baxter Intl	7,000	137,740
BCE Inc	1,100	47,881
Bristol-Myers Squibb	2,300	120,790
BT Group	3,000	181,890
Centurylink	1,500	50,790
Coca Cola	7,000	79,140
Colony Financial Inc	4,000	80,923
Comp de Debiar	2,000	74,400
Conoco Phillips	7,000	75,230
CSX Corp	6,500	169,390
Danaher	2,000	114,180
Digital Realty	1,100	52,425
Duke Energy	475	51,262
Exelon	1,500	42,810
Exxon Mobil	3,000	268,800
Fairpoint Commun caton	80	
First Energy Corp	7,000	37,470
Freight-McMian	1,750	64,330
General Electric	6,000	156,840
Glaxo Smith Kline PLC	2,100	110,523
Grupo Mexico	48,446	153,579
Home Properties Inc	1,000	60,310
Intel Corp	1,000	97,680
Johnson & Johnson	1,000	92,610
Kraft Foods Group Inc	2,000	108,750
Marathon Oil	2,400	84,624
Marathon Petroleum	1,000	71,560
McDonalds	1,500	144,780
Mead Johnson	1,000	61,560
Micrusoft	2,000	70,810
National Grid PLC	800	50,344
National Retail NPHS	2,500	36,000
Newell Rubbermaid Inc	2,000	59,260
Nexera	800	67,800
Oracle Corp	3,000	100,500
Pfizer	4,000	122,740
Phillips 66	500	52,215
Procter Gamble	3,900	316,925
Public Service Ent	1,400	46,900
Target	1,000	64,790
Telefonos Espana	7,551	131,567
Thomson Reuters Corp	3,000	112,710
United Healthcare	4,500	407,170
United Technologies	2,000	212,500
Ventas Inc	830	52,191
Verizon Communications	3,242	163,753
Vodafone Group PLC	4,000	147,420
Wal-Mart Mexico	20,000	52,180
Zimmer Holdings	1,000	87,470
Common Stocks Merrill Lynch - Acct 04004		\$ 6,117,559
Common Stocks Merrill Lynch - Acct 02319		\$ 278,647
Common Stocks Merrill Lynch - Acct 02409		\$ 1,109

JOSEPH ALEXANDER FOUNDATION, INC.
 CERTIFICATES OF DEPOSIT
YEAR ENDED OCTOBER 31, 2013

<u>Name of Security</u>	<u>Due Date</u>	<u>Market Value</u>
Goldman Sachs Bank, New York	11/14/13	\$ 99,962
Bank of India, New York	11/20/13	124,931
Bank of China, New York	11/21/13	119,927
Bank of Baroda, New York	12/09/13	99,918
Held at Merrill Lynch		444,738
Community Bank, New Jersey	04/03/14	200,000
Everhome Bank, Florida	04/24/14	95,000
Total Certificates of Deposit		<u><u>\$ 739,738</u></u>

JOSEPH ALEXANDER FOUNDATION, INC.
 INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2013

	<u>Quantity</u>	<u>Market Value</u>
Municipal Bonds:		
Gloucester NJ Impt 4.5%	30,000	\$ 32,271
Delaware Transn Auth 5.0%	5,000	5,769
Milford Del 3.0%	10,000	10,606
Wilmington Del 5.0%	15,000	17,858
Delaware Transn Auth 5.0%	10,000	11,865
Puerto Rico Commth Pub 5.25%	25,000	24,066
Delaware River & Bay Auth 5.0%	75,000	78,623
Wilmington Del 5.0%	15,000	17,111
Upper Freehold, NJ Reg L 4.3%	25,000	25,628
Wilmington Del 5.0%	10,000	11,216
Sustainable Egy Util Inc 5.0%	35,000	37,759
Puerto Rico Commth Wlth 5.5%	10,000	9,209
New Castle Cnty Del 5.0%	15,000	15,689
P R Sales Tax Filing Corp.	25,000	21,452
Total Municipal Bonds		<u><u>\$ 319,122</u></u>

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2013

	<u>Par Value</u>	<u>Market Value</u>
Corporate Bonds:		
SLM Corp		
FLT% due 6/23/14	100,000	\$ 98,750
Total corporate bonds		<u><u>\$ 98,750</u></u>

JOSEPH ALEXANDER FOUNDATION, INC.
INVESTMENT IN MARKETABLE SECURITIES
YEAR ENDED OCTOBER 31, 2013

	<u>Par Value</u>	<u>Market Value</u>
Mutual Funds:		
Alps Alerian Mlp	3,000	\$ 53,610
Guggenheim Spin-off Etf	2,000	86,800
ING Prime Rate Tr	7,500	46,275
Nuveen Energy Mlp	4,118	79,313
Spdr Sp Divid Etf	500	36,170
Virtus Premium Alpha	18,207	291,873
Total Mutual Funds		<u><u>\$ 594,041</u></u>

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2013

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
<u>Religious</u>		
Friends of Jewish Chapel Annapolis, MD	Unrestricted	\$ 2,500
West Point Chapel West Point, NY	Unrestricted	2,500
Wilshire Blvd Temple Los Angeles, CA	Unrestricted	<u>10,000</u>
Total Religious Grants		<u><u>\$ 15,000</u></u>
<u>Medical</u>		
Albert Einstein College of Medicine New York, NY	Optic Nerve Research	\$ 25,000
University of Pennsylvania Philadelphia, PA	Unrestricted	<u>100,000</u>
Total Medical Grants		<u><u>\$ 125,000</u></u>
Grants Recapitulation:		
Charitable		\$ 357,500
Educational		224,500
Religious		15,000
Medical		<u>125,000</u>
Total Grants Paid		<u><u>\$ 722,000</u></u>

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2013

<u>Recipient</u> <u>Educational</u>	<u>Purpose</u>	<u>Amount</u>
Bar Ilan University New York, NY	Ruth Rackman Center	\$ 50,000
Delaware Valley Reading Association Glenside, PA	Unrestricted	5,000
Genesis Foundation Marlboro, NJ	Scholarship	50,000
JTS New York, NY	Unrestricted	5,000
Marymount Manhattan College New York, NY	Unrestricted	2,500
OHR Torah Stone New York, NY	Unrestricted	15,000
Pi Kappa Alpha Memphis, TN	Unrestricted	3,000
PPEF Irving, TX	Unrestricted	25,000
Thomas Fitzwater School Willow Grove, PA	Unrestricted	1,500
Upper Dublin Education fdn 4c Maple Glen, PA	Unrestricted	10,000
Westchester Golf Assn West Nyack, NY	Scholarship	7,500
Yeshiva University New York, NY	Science Education	50,000
Total Educational Grants		<u>\$ 224,500</u>

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2013

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
<u>Charitable Grants</u>		
92nd Street Y New York, NY	Unrestricted	\$ 1,500
ALS Association Ambler, PA	Unrestricted	30,000
ALS Association of Florida Tampa, FL	Unrestricted	15,000
ALS Association of New York New York, NY	Unrestricted	5,000
Alzheimers Assn New York, NY	Unrestricted	5,000
American-Israel Friendship League New York, NY	Youth Exchange Program	15,000
American Friends Hebrew University New York, NY	Unrestricted	25,000
American Museum of Natural History New York, NY	Unrestricted	2,500
American Society for Yad Vashem New York, NY	Unrestricted	2,500
Big Brothers Big Sisters New York, NY	Unrestricted	2,500
Center for Jewish History New York, NY	Unrestricted	10,000
Chamah New York, NY	Unrestricted	25,000

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2013

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
Citymeals New York, NY	Unrestricted	\$ 1,500
CLAL New York, NY	Unrestricted	5,000
Cystic Fibrosis Broomall, PA	Unrestricted	5,000
Dorot New York, NY	Unrestricted	10,000
Down Syndrome of Central Florida Winter Park, FL	Unrestricted	2,500
ELEM/Youth in Distress New York, NY	Unrestricted	3,000
Eye Bank for Sight New York, NY	Unrestricted	2,500
Federation of Jewish Men's Clubs New York, NY	Unrestricted	5,000
Fegs Health & Human Services New York, NY	Unrestricted	1,500
Friends of IR David Foundation New York, NY	Unrestricted	15,000
Hillel-University of Delaware Newark, DE	Unrestricted	10,000
ICEE - Israel Ctr for Excellence through Education Chicago, IL	Unrestricted	5,000
International Center for Photography New York, NY	Unrestricted	5,000
Israel Guide Dogs New York, NY	Unrestricted	2,500

JOSEPH ALEXANDER FOUNDATION, INC
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2013

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
JEVS Human Services Philadelphia, PA	Unrestricted	\$ 7,500
Jewish Braille Institute New York, NY	Unrestricted	15,000
Jewish Federation of Tulsa Tulsa, OK	Unrestricted	5,000
Jewish Foundation for the Righteous New York, NY	Unrestricted	5,000
Jewish Museum New York, NY	Unrestricted	10,000
Keren Or New York, NY	Unrestricted	5,000
Lighthouse International New York, NY	Unrestricted	5,000
Lower East Side Tenement Museum New York, NY	Unrestricted	2,500
Macular Vision Research Foundation West Conshohocken, PA	Unrestricted	2,500
Madison Square Boys Club New York, NY	Unrestricted	2,500
Muscular Dystrophy Assn St. Petersburg, FL	Unrestricted	2,500
Museum of the City of New York New York, NY	Unrestricted	2,500
National Down Syndrome Society New York, NY	Unrestricted	5,000
Pediatric Cancer Foundation Mamaroneck, NY	Unrestricted	2,500
Promise Project New York, NY	Unrestricted	5,000

JOSEPH ALEXANDER FOUNDATION, INC.
SUMMARY OF GRANTS PAID
YEAR ENDED OCTOBER 31, 2013

<u>Recipient</u>	<u>Purpose</u>	<u>Amount</u>
School for Children with Hidden Intelligence Lakewood, NJ	Unrestricted	2,500
USC Shoah Fdn Los Angeles, CA	Unrestricted	10,000
Western Wall Heritage Foundation New York, NY	Excavations and Museum	50,000
Yad Sarah New York, NY	Unrestricted	<u>5,000</u>
Total Charitable Grants		<u><u>\$ 357,500</u></u>