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AMENDED

Form **990-PF**

**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No 1545-0052

**2012**

Department of the Treasury  
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2012, or tax year beginning **11/01**, 2012, and ending **10/31**, 2013

**GEORGE VON HOFFMANN FOUNDATION, INC.**  
**16751 EAGLE BLUFF COURT**  
**CHESTERFIELD, MO 63005**

**A** Employer identification number  
**43-6029902**

**B** Telephone number (see the instructions)  
**(636) 537-4530**

**C** If exemption application is pending, check here ☐

**D 1** Foreign organizations, check here ☐

**2** Foreign organizations meeting the 85% test, check here and attach computation ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

**F** If the foundation is in a 60 month termination under section 507(b)(1)(B), check here ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☒ Amended return ☐ Address change ☐ Name change

**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16) **\$ 5,709,646**

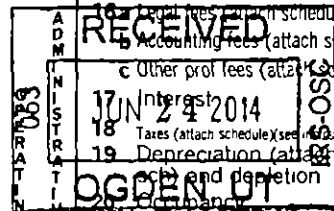
**J** Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>REVENUE</b>				
<b>1</b> Contributions, gifts, grants, etc. received (att sch)				
<b>2</b> Check <input checked="" type="checkbox"/> if the found is not req to att Sch B				
<b>3</b> Interest on savings and temporary cash investments	31,704.	31,704.	31,704	
<b>4</b> Dividends and interest from securities	76,033.	76,033	76,033	
<b>5a</b> Gross rents				
<b>b</b> Net rental income or (loss)				
<b>6a</b> Net gain/(loss) from sale of assets not on line 10	37,835			
<b>b</b> Gross sales price for all assets on line 6a	874,349.			
<b>7</b> Capital gain net income (from Part IV line 2)		37,835.		
<b>8</b> Net short-term capital gain			0	
<b>9</b> Income modifications				
<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold				
<b>c</b> Gross profit/(loss) (att sch)				
<b>11</b> Other income (attach schedule)				
<b>12 Total</b> Add lines 1 through 11	145,572	145,572.	107,737	
<b>13</b> Compensation of officers, directors, trustees, etc.	0			
<b>14</b> Other employee salaries and wages				
<b>15</b> Pension plans, employee benefits				
<b>16</b> Fees (attach schedule)				
<b>a</b> Accounting fees (attach sch)	SEE ST 2	1,281.	1,281	
<b>c</b> Other prof fees (attach sch)	SEE ST 3	33,600.	33,600	
<b>17</b> Interest				
<b>18</b> Taxes (attach schedule) (see instructions)	SEE STM 4	3,310.	3,310.	
<b>19</b> Depreciation (attach schedule)				
<b>20</b> Depletion				
<b>21</b> Travel, conferences, and meetings				
<b>22</b> Printing and publications				
<b>23</b> Other expenses (attach schedule)				
<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	38,191.	38,191		
<b>25</b> Contributions, gifts, grants paid <b>PART XV</b>	212,000.			212,000
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	250,191	38,191	0.	212,000
<b>27 Subtract line 26 from line 12.</b>				
<b>a Excess of revenue over expenses and disbursements</b>	-104,619			
<b>b Net investment income</b> (if negative enter 0)		107,381.		
<b>c Adjusted net income</b> (if negative enter 0)			107,737.	

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# AMENDED

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>A S S E T S</b>	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	212,194.	100,682.	100,682.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)	4,093,006.	4,099,899.	5,608,964.	
14 Land, buildings, and equipment basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe )				
16 <b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item I)	4,305,200.	4,200,581.	5,709,646.	
<b>L I A B I L I T I E S</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe )			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
<b>F U N D  A S S E T S  O R  B A L A N C E S</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> <input checked="" type="checkbox"/>			
	24 Unrestricted	4,305,200.	4,200,581.	
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 <b>Total net assets or fund balances</b> (see instructions)	4,305,200.	4,200,581.	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions)	4,305,200.	4,200,581.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,305,200.
2 Enter amount from Part I, line 27a	2	-104,619.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	4,200,581.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	4,200,581.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 5				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(j) Fair Market Value as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of column (j) over column (k), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	37,835.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	<div> <div>If gain, also enter in Part I, line 8, column (c) (see instructions)</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	3	-2,233.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes

☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	180,500.	4,829,109.	0.037377
2010	327,917.	4,839,913.	0.067753
2009	207,768.	4,777,577.	0.043488
2008	113,950.	4,307,268.	0.026455
2007	97,260.	3,044,278.	0.031948

2 Total of line 1, column (d)	2	0.207021
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.041404
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	5,269,836.
5 Multiply line 4 by line 3	5	218,192.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,074.
7 Add lines 5 and 6	7	219,266.
8 Enter qualifying distributions from Part XII, line 4	8	212,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	2,148.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,148.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	2,148.
6 Credits/Payments			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a 1,920.		
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	SEE STATEMENT 6	7	1,365.
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	SEE STATEMENT 7	9	783.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?  <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MD MO</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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**Part VII-A Statements Regarding Activities (continued)**

<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	<b>11</b>	<input type="checkbox"/>	<input checked="" type="checkbox"/> X
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	<b>12</b>	<input type="checkbox"/>	<input checked="" type="checkbox"/> X
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <span style="float: right;">▶ N/A</span>	<b>13</b>	<input checked="" type="checkbox"/> X	<input type="checkbox"/>
The books are in care of ▶ <u>DALE VON HOFFMANN</u> Telephone no ▶ <u>(636) 530-3507</u> Located at ▶ <u>16751 EAGLE BLUFF COURT CHESTERFIELD MO</u> ZIP + 4 ▶ <u>63005</u>			
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> – Check here and enter the amount of tax-exempt interest received or accrued during the year	<b>15</b>	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> N/A
<b>16</b> At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ▶	<b>16</b>	<input type="checkbox"/>	<input checked="" type="checkbox"/> X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
<b>1 a</b> During the year did the foundation (either directly or indirectly) <ul style="list-style-type: none"> <li><b>(1)</b> Engage in the sale or exchange, or leasing of property with a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></li> <li><b>(2)</b> Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></li> <li><b>(3)</b> Furnish goods, services, or facilities to (or accept them from) a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></li> <li><b>(4)</b> Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></li> <li><b>(5)</b> Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></li> <li><b>(6)</b> Agree to pay money or property to a government official? (<b>Exception.</b> Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></li> </ul>			
<b>b</b> If any answer is 'Yes' to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <span style="float: right;">▶ <input type="checkbox"/></span>	<b>1 b</b>	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/>
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	<b>1 c</b>	<input type="checkbox"/>	<input checked="" type="checkbox"/> X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): <ul style="list-style-type: none"> <li><b>a</b> At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?                      If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __ <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span></li> <li><b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer 'No' and attach statement – see instructions)</li> <li><b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here                      ▶ 20 __ , 20 __ , 20 __ , 20 __</li> </ul>	<b>2 b</b>	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/>
<b>3 a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>			
<b>b</b> If 'Yes,' did it have excess business holdings in 2012 as a result of <b>(1)</b> any purchase by the foundation or disqualified persons after May 26, 1969, <b>(2)</b> the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or <b>(3)</b> the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	<b>3 b</b>	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/>
<b>4 a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4 a</b>	<input type="checkbox"/>	<input checked="" type="checkbox"/> X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	<b>4 b</b>	<input type="checkbox"/>	<input checked="" type="checkbox"/> X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**
**5 a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐
**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No
**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No
**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A
**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**
**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 SEE PART XV	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1 a	5,193,649.
b Average of monthly cash balances	1 b	156,438.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	5,350,087.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,350,087.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	80,251.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,269,836.
6 Minimum investment return. Enter 5% of line 5	6	263,492.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		263,492.
2 a Tax on investment income for 2012 from Part VI, line 5	2 a	2,148.
b Income tax for 2012 (This does not include the tax from Part VI.)	2 b	
c Add lines 2a and 2b	2 c	2,148.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	261,344.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	261,344.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	261,344.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	212,000.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	212,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	212,000.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2012)

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
<b>1</b> Distributable amount for 2012 from Part XI, line 7				261,344.
<b>2</b> Undistributed income, if any, as of the end of 2012				
<b>a</b> Enter amount for 2011 only			21,473.	
<b>b</b> Total for prior years. 20____, 20____, 20____		0.		
<b>3</b> Excess distributions carryover, if any, to 2012.				
<b>a</b> From 2007				
<b>b</b> From 2008				
<b>c</b> From 2009				
<b>d</b> From 2010				
<b>e</b> From 2011				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>212,000.</u>				
<b>a</b> Applied to 2011, but not more than line 2a			21,473.	
<b>b</b> Applied to undistributed income of prior years (Election required — see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required — see instructions)	0.			
<b>d</b> Applied to 2012 distributable amount				190,527.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b Taxable amount — see instructions		0.		
<b>e</b> Undistributed income for 2011. Subtract line 4a from line 2a Taxable amount — see instructions			0.	
<b>f</b> Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				70,817.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
<b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
<b>9</b> Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2008				
<b>b</b> Excess from 2009				
<b>c</b> Excess from 2010				
<b>d</b> Excess from 2011				
<b>e</b> Excess from 2012				

N/A

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

- b** 85% of line 2a

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon

- a 'Assets' alternative test – enter**

- (1) Value of all assets**

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**

- (2)** Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

### **1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

# AMENDED

**Part XV** Supplementary Information (continued)

**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE STATEMENT 9				
<b>Total</b>			▶ <b>3 a</b>	212,000.
<b>b</b> Approved for future payment				
<b>Total</b>			▶ <b>3 b</b>	

## **Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	31,704.	
4	Dividends and interest from securities			14	76,033.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					37,835.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				107,737.	37,835.
13	<b>Total.</b> Add line 12, columns (b), (d), and (e)				13	145,572.

(See worksheet in line 13 instructions to verify calculations )

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



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**GEORGE VON HOFFMANN FOUNDATION, INC.**

**43-6029902**

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**STATEMENT 1  
FORM 990-PF  
EXPLANATION OF AMENDED RETURN**

REINVESTED DIVIDENDS WERE INCORRECTLY BEING RECORDED AS PROFESSIONAL FEES ON THE ORIGINALLY FILED RETURN. FOR THE AMENDED RETURN, THEY WERE PROPERLY REMOVED FROM PROFESSIONAL FEES AND WERE RECORDED AS A CHANGE IN UNREALIZED VALUE OF THE INVESTMENT.

**STATEMENT 2  
FORM 990-PF, PART I, LINE 16B  
ACCOUNTING FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 1,281.	\$ 1,281.		
<b>TOTAL</b>	<u>\$ 1,281.</u>	<u>\$ 1,281.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**STATEMENT 3  
FORM 990-PF, PART I, LINE 16C  
OTHER PROFESSIONAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	\$ 33,600.	\$ 33,600.		
<b>TOTAL</b>	<u>\$ 33,600.</u>	<u>\$ 33,600.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**STATEMENT 4  
FORM 990-PF, PART I, LINE 18  
TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES AND LICENSES	\$ 3,310.	\$ 3,310.		
<b>TOTAL</b>	<u>\$ 3,310.</u>	<u>\$ 3,310.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**STATEMENT 5  
FORM 990-PF, PART IV, LINE 1  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	32,000.00 US TSY 1.375% NOV 15 2012	PURCHASED	12/10/2010	11/08/2012
2	2,000.00 US TSY 1.375% NOV 15 2012	PURCHASED	4/27/2011	11/08/2012
3	4,000.00 US TSY 1.375% NOV 15 2012	PURCHASED	12/08/2011	11/08/2012
4	3,000.00 GOLDMAN SACHS GRO 6.15% 18	PURCHASED	12/09/2009	1/18/2013
5	3,000.00 WACHOVIA CORPORAT 5.25% 14	PURCHASED	10/11/2007	1/14/2013

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## STATEMENT 5 (CONTINUED)

## FORM 990-PF, PART IV, LINE 1

## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
6	16,000.00 WACHOVIA CORPORAT 5.25% 14	PURCHASED	7/16/2008	1/14/2013
7	5,000.00 WACHOVIA CORPORAT 5.25% 14	PURCHASED	1/25/2011	1/14/2013
8	2,000.00 WACHOVIA CORPORAT 5.25% 14	PURCHASED	8/11/2011	1/14/2013
9	2,000.00 CITIGROUP INC 5.37% 2020	PURCHASED	1/20/2011	1/30/2013
10	3,000.00 MERRILL LYNCH & 6.40%2017	PURCHASED	5/31/2011	1/29/2013
11	24,000.00 BB&T CORPORATION 3.95% 16	PURCHASED	4/27/2010	3/14/2013
12	1,000.00 BB&T CORPORATION 3.95% 16	PURCHASED	2/29/2012	3/14/2013
13	28,000.00 PEPSICO INC 1.25%AUG13 17	PURCHASED	9/14/2012	2/27/2013
14	36,000.00 FEDERAL HOME LN MTG CORP	PURCHASED	7/16/2008	3/20/2013
15	7,000.00 FEDERAL HOME LN MTG CORP	PURCHASED	7/08/2009	3/20/2013
16	3,000.00 FEDERAL HOME LN MTG CORP	PURCHASED	9/24/2009	3/20/2013
17	4,000.00 FEDERAL HOME LN MTG CORP	PURCHASED	3/15/2012	3/20/2013
18	2,000.00 FEDERAL HOME LN MTG CORP	PURCHASED	9/27/2012	3/20/2013
19	31,000.00 FEDERAL NATL MTG ASSOC	PURCHASED	11/29/2011	3/20/2013
20	5,000.00 AT&T INC 5.50%FEB01 18	PURCHASED	1/28/2009	5/01/2013
21	5,000.00 AMERICAN EXPRESS CO	PURCHASED	5/28/2009	5/01/2013
22	7,000.00 HSBC HOLDINGS PLC 4.00% 22	PURCHASED	3/14/2013	5/01/2013
23	6,000.00 CITIGROUP INC 5.37% 2020	PURCHASED	1/20/2011	5/01/2013
24	6,000.00 CELLCO PART/VERI 5.55% 14	PURCHASED	3/19/2010	5/01/2013
25	6,000.00 COMCAST CORP 5.15%MAR01 20	PURCHASED	3/11/2010	5/01/2013
26	6,000.00 ENTERPRISE PRODUC 4.05% 22	PURCHASED	2/01/2012	5/01/2013
27	6,000.00 GENL ELEC CAP COR 5.30% 21	PURCHASED	2/09/2011	5/01/2013
28	6,000.00 GOLDMAN SACHS GRO 6.15% 18	PURCHASED	12/09/2009	5/01/2013
29	6,000.00 KRAFT FOODS INC 4.12% 2016	PURCHASED	6/24/2010	5/01/2013
30	6,000.00 MERRILL LYNCH & 6.40% 2017	PURCHASED	5/31/2011	5/01/2013
31	11,000.00 FEDERAL HOME LN M 2.37% 22	PURCHASED	10/25/2012	5/01/2013
32	8,000.00 PETROBRAS INTL FL 3.50% 17	PURCHASED	2/14/2012	5/01/2013
33	8,000.00 TIME WARNER INC N 4.75% 21	PURCHASED	10/13/2011	5/01/2013
34	7,000.00 TIME WARNER CABLE 6.75% 18	PURCHASED	2/27/2013	5/01/2013
35	7,000.00 WELLS FARGO & CO 3.50% 22	PURCHASED	1/14/2013	5/01/2013
36	6,000.00 FEDERAL HOME LN MTG CORP	PURCHASED	4/27/2011	5/01/2013
37	18,000.00 FEDERAL HOME LN MTG CORP	PURCHASED	8/12/2011	5/01/2013
38	7,000.00 CATERPILLAR FINANCIAL SE	PURCHASED	6/26/2012	5/01/2013
39	4,000.00 FEDERAL NATL MTG ASSOC	PURCHASED	10/11/2007	5/01/2013
40	14,000.00 FEDERAL NATL MTG ASSOC	PURCHASED	7/16/2008	5/01/2013
41	15,000.00 FEDERAL NATL MTG ASSOC	PURCHASED	7/16/2008	5/08/2013
42	2,000.00 FEDERAL NATL MTG ASSOC	PURCHASED	9/24/2009	5/08/2013
43	2,000.00 FEDERAL NATL MTG ASSOC	PURCHASED	2/09/2010	5/08/2013
44	11,000.00 US TSY 4.250% AUG 15 2013	PURCHASED	2/25/2009	5/01/2013
45	4,000.00 US TSY 4.250% AUG 15 2013	PURCHASED	7/29/2009	5/01/2013
46	5,000.00 US TSY 1.375% NOV 30 2015	PURCHASED	11/28/2011	5/01/2013
47	9,000.00 US TSY 4.875% AUG 15 2016	PURCHASED	5/13/2010	5/01/2013
48	3,000.00 US TSY 4.875% AUG 15 2016	PURCHASED	10/25/2010	5/01/2013
49	13,000.00 US TSY 3.375% NOV 15 2019	PURCHASED	12/10/2009	5/01/2013
50	9,000.00 US TSY 2.625% AUG 15 2020	PURCHASED	7/26/2012	5/01/2013
51	1,000.00 US TSY 2.625% AUG 15 2020	PURCHASED	8/16/2012	5/01/2013
52	14,000.00 US TSY 1.250% APR 15 2014	PURCHASED	5/24/2011	5/01/2013
53	9,000.00 US TSY 2.625% JAN 31 2018	PURCHASED	1/19/2012	5/01/2013
54	23,000.00 FEDERAL NATL MTG ASSOC	PURCHASED	3/20/2013	5/01/2013
55	10,000.00 FEDERAL HOME LN MTG CORP	PURCHASED	11/08/2012	5/01/2013
56	3,000.00 US TSY 4.250% AUG 15 2013	PURCHASED	2/09/2010	6/12/2013
57	17,000.00 US TSY 4.250% AUG 15 2013	PURCHASED	3/11/2010	6/12/2013
58	10,000.00 US TSY 4.250% AUG 15 2013	PURCHASED	3/11/2010	6/20/2013
59	3,000.00 US TSY 4.250% AUG 15 2013	PURCHASED	12/17/2010	6/20/2013
60	3,000.00 US TSY 4.250% AUG 15 2013	PURCHASED	4/27/2011	6/20/2013
61	4,000.00 US TSY 4.250% AUG 15 2013	PURCHASED	12/08/2011	6/20/2013



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STATEMENT 5 (CONTINUED)  
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CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
62	1,000.00 US TSY 4.250% AUG 15 2013	PURCHASED	3/15/2012	6/20/2013
63	1,000.00 US TSY 4.250% AUG 15 2013	PURCHASED	8/29/2012	6/20/2013
64	2,000.00 US TSY 4.250% AUG 15 2013	PURCHASED	1/15/2013	6/20/2013
65	26,000.00 US TSY 2.625% AUG 15 2020	PURCHASED	8/16/2012	6/12/2013
66	5,000.00 APPLE INC 1.00%MAY03 18	PURCHASED	5/08/2013	7/19/2013
67	5,000.00 AT&T INC 5.50%FEB01 18	PURCHASED	1/28/2009	7/19/2013
68	5,000.00 AMERICAN EXPRESS CO	PURCHASED	5/28/2009	7/19/2013
69	5,000.00 HSBC HOLDINGS PLC 4.00% 22	PURCHASED	3/14/2013	7/22/2013
70	4,000.00 CITIGROUP INC 5.37% 2020	PURCHASED	1/20/2011	7/22/2013
71	6,000.00 CELLCO PART/VERI 5.55% 14	PURCHASED	3/19/2010	7/22/2013
72	3,000.00 COMCAST CORP 5.15%MAR01 20	PURCHASED	3/11/2010	7/22/2013
73	3,000.00 ENTERPRISE PRODUC 4.05% 22	PURCHASED	2/01/2012	7/22/2013
74	4,000.00 GENL ELEC CAP COR 5.30% 21	PURCHASED	2/09/2011	7/22/2013
75	3,000.00 GOLDMAN SACHS GRO 6.15% 18	PURCHASED	12/09/2009	7/22/2013
76	5,000.00 KRAFT FOODS INC 4.12% 2016	PURCHASED	6/24/2010	7/22/2013
77	3,000.00 MERRILL LYNCH & 6.40% 2017	PURCHASED	5/31/2011	7/22/2013
78	13,000.00 FEDERAL HOME LN M 2.37% 22	PURCHASED	10/25/2012	7/22/2013
79	4,000.00 PETROBRAS INTL FL 3.50% 17	PURCHASED	2/14/2012	7/22/2013
80	5,000.00 RIO TINTO FIN USA 1.62% 17	PURCHASED	6/11/2013	7/22/2013
81	3,000.00 TIME WARNER INC N 4.75% 21	PURCHASED	10/13/2011	7/22/2013
82	3,000.00 TIME WARNER CABLE 6.75% 18	PURCHASED	2/27/2013	7/22/2013
83	4,000.00 WELLS FARGO & CO 3.50% 22	PURCHASED	1/14/2013	7/22/2013
84	11,000.00 FEDERAL HOME LN MTG CORP	PURCHASED	8/12/2011	7/22/2013
85	7,000.00 FEDERAL HOME LN MTG CORP	PURCHASED	9/15/2011	7/22/2013
86	6,000.00 CATERPILLAR FINANCIAL SE	PURCHASED	6/26/2012	7/22/2013
87	5,000.00 FEDERAL NATL MTG ASSOC	PURCHASED	2/09/2010	7/22/2013
88	2,000.00 FEDERAL NATL MTG ASSOC	PURCHASED	1/25/2011	7/22/2013
89	6,000.00 US TSY 1.375% NOV 30 2015	PURCHASED	11/28/2011	7/22/2013
90	9,000.00 US TSY 4.875% AUG 15 2016	PURCHASED	10/25/2010	7/22/2013
91	7,000.00 US TSY 3.375% NOV 15 2019	PURCHASED	12/10/2009	7/22/2013
92	11,000.00 US TSY 1.250% APR 15 2014	PURCHASED	5/24/2011	7/22/2013
93	11,000.00 US TSY 2.625% JAN 31 2018	PURCHASED	1/19/2012	7/22/2013
94	17,000.00 FEDERAL NATL MTG ASSOC	PURCHASED	3/20/2013	7/22/2013
95	7,000.00 FEDERAL HOME LN MTG CORP	PURCHASED	11/08/2012	7/22/2013
96	7,000.00 AMERICAN EXPRESS CO	PURCHASED	5/28/2009	8/09/2013
97	4,000.00 AMERICAN EXPRESS CO	PURCHASED	1/25/2011	8/09/2013
98	3,000.00 AMERICAN EXPRESS CO	PURCHASED	12/08/2011	8/09/2013
99	13,000.00 TIME WARNER CABLE 6.75% 18	PURCHASED	2/27/2013	10/16/2013
100	690.00 ISHARES RUSSELL 1000	PURCHASED	6/19/2008	5/02/2013

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	32,004.		32,004.	0.				\$ 0.
2	2,000.		2,000.	0.				0.
3	4,000.		4,046.	-46.				-46.
4	3,529.		3,183.	346.				346.
5	3,200.		2,963.	237.				237.
6	17,066.		14,125.	2,941.				2,941.
7	5,333.		5,176.	157.				157.
8	2,133.		2,079.	54.				54.
9	2,332.		2,040.	292.				292.
10	3,512.		3,273.	239.				239.
11	26,194.		24,087.	2,107.				2,107.
12	1,091.		1,070.	21.				21.

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STATEMENT 5 (CONTINUED)  
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 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
13	28,095.		28,113.	-18.				\$ -18.
14	37,489.		36,263.	1,226.				1,226.
15	7,289.		7,153.	136.				136.
16	3,124.		3,068.	56.				56.
17	4,165.		4,156.	9.				9.
18	2,083.		2,081.	2.				2.
19	31,774.		31,657.	117.				117.
20	5,920.		5,077.	843.				843.
21	5,339.		5,036.	303.				303.
22	7,764.		7,491.	273.				273.
23	7,175.		6,115.	1,060.				1,060.
24	6,205.		6,120.	85.				85.
25	7,269.		6,024.	1,245.				1,245.
26	6,673.		6,208.	465.				465.
27	6,998.		6,044.	954.				954.
28	7,101.		6,348.	753.				753.
29	6,529.		6,140.	389.				389.
30	7,063.		6,518.	545.				545.
31	11,626.		11,393.	233.				233.
32	8,372.		8,049.	323.				323.
33	9,296.		8,432.	864.				864.
34	8,698.		8,552.	146.				146.
35	7,542.		7,408.	134.				134.
36	6,540.		6,315.	225.				225.
37	19,621.		19,412.	209.				209.
38	7,162.		7,047.	115.				115.
39	4,641.		4,032.	609.				609.
40	16,244.		14,324.	1,920.				1,920.
41	17,369.		15,345.	2,024.				2,024.
42	2,316.		2,125.	191.				191.
43	2,316.		2,125.	191.				191.
44	11,134.		11,099.	35.				35.
45	4,049.		4,026.	23.				23.
46	5,142.		5,093.	49.				49.
47	10,331.		9,612.	719.				719.
48	3,444.		3,335.	109.				109.
49	15,027.		12,891.	2,136.				2,136.
50	9,957.		9,959.	-2.				-2.
51	1,106.		1,080.	26.				26.
52	14,149.		14,048.	101.				101.
53	9,862.		9,618.	244.				244.
54	23,861.		23,842.	19.				19.
55	10,468.		10,447.	21.				21.
56	3,022.		3,014.	8.				8.
57	17,126.		17,077.	49.				49.
58	10,064.		10,039.	25.				25.
59	3,019.		3,015.	4.				4.
60	3,019.		3,016.	3.				3.
61	4,025.		4,025.	0.				0.
62	1,006.		1,006.	0.				0.
63	1,006.		1,006.	0.				0.
64	2,013.		2,048.	-35.				-35.
65	27,694.		28,051.	-357.				-357.

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**STATEMENT 5 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
66	4,842.		4,979.	-137.				\$ -137.
67	5,769.		5,074.	695.				695.
68	5,261.		5,028.	233.				233.
69	5,135.		5,343.	-208.				-208.
70	4,490.		4,075.	415.				415.
71	6,115.		6,085.	30.				30.
72	3,439.		3,012.	427.				427.
73	3,134.		3,102.	32.				32.
74	4,410.		4,029.	381.				381.
75	3,432.		3,167.	265.				265.
76	5,362.		5,108.	254.				254.
77	3,425.		3,247.	178.				178.
78	12,674.		13,453.	-779.				-779.
79	4,057.		4,023.	34.				34.
80	4,933.		4,953.	-20.				-20.
81	3,273.		3,158.	115.				115.
82	3,301.		3,639.	-338.				-338.
83	4,077.		4,228.	-151.				-151.
84	11,869.		11,777.	92.				92.
85	7,553.		7,492.	61.				61.
86	6,003.		6,038.	-35.				-35.
87	5,698.		5,295.	403.				403.
88	2,279.		2,165.	114.				114.
89	6,136.		6,102.	34.				34.
90	10,160.		9,937.	223.				223.
91	7,720.		6,941.	779.				779.
92	11,091.		11,029.	62.				62.
93	11,708.		11,721.	-13.				-13.
94	17,542.		17,533.	9.				9.
95	7,283.		7,273.	10.				10.
96	7,357.		7,037.	320.				320.
97	4,204.		4,140.	64.				64.
98	3,153.		3,113.	40.				40.
99	14,508.		15,638.	-1,130.				-1,130.
100	50,240.		40,016.	10,224.				10,224.
TOTAL								\$ 37,835.

**STATEMENT 6**  
**FORM 990-PF, PART VI, LINE 7**  
**TOTAL CREDITS AND PAYMENTS**

TAX CREDITS AND PAYMENTS  
ORIGINAL RETURN OVERPAYMENT

\$ 1,920.  
-555  
TOTAL \$ 1,365.

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**STATEMENT 7**  
**FORM 990-PF, PART VI, LINE 9**  
**TAX DUE**

TAX DUE

TOTAL \$ 783.  
 TOTAL \$ 783.

**STATEMENT 8**  
**FORM 990-PF, PART VIII, LINE 1**  
**LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES**

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
BRYAN LUNDSTROM 10033 HARWICH DRIVE ST. LOUIS, MO 63126	VICE PRESIDENT 0	\$ 0.	\$ 0.	\$ 0.
DALE VON HOFFMANN 16751 EAGLE BLUFF COURT CHESTERFIELD, MO 63005	VICE PRES/SEC'Y 0	0.	0.	0.
ERIC VON HOFFMANN 2656 WEST MEYER RD FORISTELL, MO 63348	PRESIDENT 0	0.	0.	0.
CINDY WEIS 13462 MAPLE RIDGE COURT ST. LOUIS, MO 63141	VICE PRESIDENT 0	0.	0.	0.
GEORGE VON HOFFMANN III 11041 WESTPORT STATION, APT. E MARYLAND HEIGHTS, MO 63043	VICE PRESIDENT 0	0.	0.	0.
TOTAL		\$ 0.	\$ 0.	\$ 0.

**STATEMENT 9**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
AMERICAN CANCER SOCIETY 4207 LINDELL BLVD ST. LOUIS, MO 63108	N/A	P.C.	NO RESTRICTION	\$ 3,000.
UNITED NEGRO COLLEGE FUND 1805 7TH STREET, NW WASHINGTON, DC 20001	N/A	P.C.	NO RESTRICTION	3,000.

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**STATEMENT 9 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
MARCH OF DIMES PO BOX 8972 TOPEKA, KS 66608	N/A	P.C.	NO RESTRICTION	\$ 3,000.
AMERICAN HEART ASSOCIATION PO BOX 78851 PHOENIX, AZ 85062	N/A	P.C.	NO RESTRICTION	3,000.
EASTER SEAL SOCIETY 13975 MANCHESTER RD. MANCHESTER, MO 63011	N/A	P.C.	NO RESTRICTION	3,000.
DELTA WATERFOWL P.O. BOX 3128 BISMARCK, ND 58502	N/A	P.C.	NO RESTRICTION	3,000.
OFFICE OF DEVELOPMENT - UNIV OF MISSOURI 704 CONLEY AVE. COLUMBIA, MO 65201	N/A	P.C.	NO RESTRICTION	1,500.
WALKER SCOTTISH RITE CLINIC 3633 LINDELL BLVD. ST. LOUIS, MO 63108	N/A	P.C.	NO RESTRICTION	3,000.
AMERICAN RED CROSS 10195 CORPORATE SQUARE DR. ST. LOUIS, MO 63132	N/A	P.C.	NO RESTRICTIONS	3,000.
CHILDREN'S HOME SOCIETY 9445 LITZINGER RD. ST. LOUIS, MO 63144	N/A	P.C.	NO RESTRICTION	3,000.
FOREST PARK FOREVER 5595 GRAND DR IN FOREST PARK ST. LOUIS, MO 63112	N/A	P.C.	NO RESTRICTIONS	1,500.
EVANGELICAL CHILDRENS HOME 8240 ST. CHARLES ROCK ROAD ST. LOUIS, MO 63114	N/A	P.C.	NO RESTRICTION	3,000.
EPWORTH - WHERE KIDS FIND STRENGTH 110 NORTH ELM AVE. ST. LOUIS, MO 63119	N/A	P.C.	NO RESTRICTION	3,000.
HARRIS HOUSE 8327 S. BROADWAY ST. LOUIS, MO 63111	N/A	P.C.	NO RESTRICTION	3,000.

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**STATEMENT 9 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
MISSOURI BAPTIST CHILDRENS HOME 11300 ST. CHARLES ROCK ROAD BRIDGETON, MO 63044	N/A	P.C.	NO RESTRICTION	\$ 3,000.
MISSOURI COLLEGE FUND, INC. 3401 WEST TRUMAN BLVD. STE 202 JEFFERSON CITY, MO 65109	N/A	P.C.	NO RESTRICTIONS	3,000.
FEED MY PEOPLE 171 KINGSTON DR ST. LOUIS, MO 63125	N/A	P.C.	NO RESTRICTION	3,000.
CARDINAL GLENNON HOSPITAL - HONOR & MEM. 1465 SOUTH GRAND BLVD. ST. LOUIS, MO 63104	N/A	P.C.	NO RESTRICTION	3,000.
EAGLE RIVER MEMORIAL HOSPITAL 201 HOSPITAL RD. EAGLE RIVER, WI 54521	N/A	P.C.	NO RESTRICTION	3,000.
LOGOS SCHOOL 9137 OLD BONHOMME RD. ST. LOUIS, MO 63132	N/A	P.C.	NO RESTRICTION	3,000.
BACKSTOPPERS PO BOX 795168 ST. LOUIS, MO 63179	N/A	P.C.	NO RESTRICTIONS	3,000.
ST. LOUIS CHILDREN'S HOSPITAL PO BOX 955423 ST. LOUIS, MO 63195	N/A	P.C.	NO RESTRICTION	3,000.
SALVATION ARMY 10033 HARWICK DR. ST. LOUIS, MO 63126	N/A	P.C.	\$5,000 RELIEF FOR HAITI, \$50,000 PAVILION NAMING RIGHTS, \$20,000 FOR CAMP MIHASKA	55,000.
RONALD MCDONALD HOUSE 4381 W. PINE BLVD ST. LOUIS, MO 63108	N/A	P.C.	NO RESTRICTION	3,000.
ALZHEIMERS ASSOCIATION 9374 OLVE BLVD, STE 101 ST. LOUIS, MO 63132	N/A	P.C.	NO RESTRICTIONS	3,000.

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**STATEMENT 9 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
SHRINERS BURN HOSPITAL 3229 BURNET AVE. CINCINNATI, OH 45229	N/A	P.C.	NO RESTRICTIONS	\$ 3,000.
STAGES ST. LOUIS 444 CHESTERFIELD CENTER STE 215 CHESTERFIELD, MO 63017	N/A	P.C.	NO RESTRICTIONS	1,500.
UNITED SERVICES 4140 OLD MILL PARKWAY ST. PETERS, MO 63376	N/A	P.C.	NO RESTRICTIONS	3,000.
DELTA GAMMA CENTER 1750 S. BIG BEND BLVD. RICHMOND HEIGHTS, MO 63117	N/A	P.C.	NO RESTRICTIONS	3,000.
EAGLE RIVER RECREATION ASSOCIATION PO BOX 1495 EAGLE RIVER, WI 54521	N/A	P.C.	NO RESTRICTIONS	5,000.
JUNIOR ACHIEVEMENT OF MISSISSIPPI VALLEY 17339 N. OUTER FORTY ROAD CHESTERFIELD, MO 63005	N/A	P.C.	NO RESTRICTIONS	3,000.
CENTRAL INSTITUTE FOR THE DEAF 4560 CLAYTON AVE. ST. LOUIS, MO 63110	N/A	P.C.	NO RESTRICTIONS	3,000.
KETC9 3655 OLIVE ST. ST. LOUIS, MO 63108	N/A	P.C.	NO RESTRICTIONS	1,500.
SPECIAL OLYMPICS MISSOURI PO BOX 947 JEFFERSON CITY, MO 65102	N/A	P.C.	NO RESTRICTIONS	3,000.
SHRINERS HOSPITAL FOR CHILDREN 2001 S. LINDBERGH BLVD. ST. LOUIS, MO 63131	N/A	P.C.	NO RESTRICTIONS	15,000.
BARNES JEWISH HOSPITAL 600 S. TAYLOR AVE. STE 120 ST. LOUIS, MO 63110	N/A	P.C.	NO RESTRICTIONS	3,000.
CAMP RAINBOW 14309 MILLBRIAR CIRCLE CHESTERFIELD, MO 63017	N/A	P.C.	NO RESTRICTIONS	3,000.

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**STATEMENT 9 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
BOYS HOPE GIRLS HOPE 755 S. NEW BALLAS ROAD SUITE 120 ST. LOUIS, MO 63144	N/A	P.C.	NO RESTRICTIONS	\$ 25,000.
MATTHEWS-DICKEY 4245 N. KINGSHIGHWAY BLVD ST. LOUIS, MO 63115	N/A	P.C.	NO RESTRICTIONS	3,000.
NAMI ST. LOUIS 1750 S. BRENTWOOD BLVD, SUITE 511 ST. LOUIS, MO 63144	N/A	P.C.	NO RESTRICTIONS	3,000.
JOSHUA CHAMBERLAIN SOCIETY P.O. BOX 8475 OLIVETTE, MO 63132	NONE	P.C.	NO RESTRICTIONS	3,000.
BIG HEARTS UNDER THE BIG SKY 2033 11TH AVE, #8 HELENA, MT 59601	NONE	P.C.	NO RESTRICTIONS	5,000.
HIGH HOPES 1647 MALLORY LANE SUITE 103  BRENTWOOD , TN 37027	NONE	P.C.	NO RESTRICTIONS	2,000.

TOTAL \$ 212,000.