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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

For calendar year 2012 or tax year beginning NOV 1, 2012, and ending OCT 31, 2013

Name of foundation Kanter Family Foundation % Joel Kanter		A Employer identification number 36-3682199
Number and street (or P.O. box number if mail is not delivered to street address) 8000 Towers Crescent Drive	Room/suite 1300	B Telephone number 703-448-7688
City or town, state, and ZIP code Vienna, VA 22182		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 11,761,783.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		53,664.	53,664.		Statement 1
4 Dividends and interest from securities		107,106.	107,106.		Statement 2
5a Gross rents		27.	27.		Statement 3
b Net rental income or (loss)		27.			
6a Net gain or (loss) from sale of assets not on line 10		943,440.			
b Gross sales price for all assets on line 6a		2,522,119.			
7 Capital gain net income (from Part IV, line 2)			943,440.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		19,661.	19,661.		Statement 4
12 Total. Add lines 1 through 11		1,123,898.	1,123,898.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees Stmt 5		3,000.	0.		0.
c Other professional fees Stmt 6		28,280.	27,568.		0.
17 Interest		274.	0.		0.
18 Taxes Stmt 7		78,640.	1,034.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 8		103,527.	87,878.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		213,721.	116,480.		0.
25 Contributions, gifts, grants paid		602,963.			602,963.
26 Total expenses and disbursements. Add lines 24 and 25		816,684.	116,480.		602,963.
27 Subtract line 26 from line 12		307,214.			
a Excess of revenue over expenses and disbursements			1,007,418.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1 Cash - non-interest-bearing			254,002.	121,659.	121,659.	
	2 Savings and temporary cash investments			1,523,456.	995,481.	995,481.	
	3 Accounts receivable ▶						
	Less allowance for doubtful accounts ▶						
	4 Pledges receivable ▶						
	Less allowance for doubtful accounts ▶						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons						
	7 Other notes and loans receivable ▶						
	Less allowance for doubtful accounts ▶						
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments - U S and state government obligations						
	b Investments - corporate stock Stmt 9			7,659,252.	8,690,108.	9,967,239.	
	c Investments - corporate bonds						
Liabilities	11 Investments - land, buildings, and equipment basis ▶						
	Less accumulated depreciation ▶						
	12 Investments - mortgage loans						
	13 Investments - other Stmt 10			675,481.	677,404.	677,404.	
	14 Land, buildings, and equipment basis ▶						
	Less accumulated depreciation ▶						
	15 Other assets (describe ▶)						
	16 Total assets (to be completed by all filers)			10,112,191.	10,484,652.	11,761,783.	
	17 Accounts payable and accrued expenses				500.		
	18 Grants payable						
Net Assets or Fund Balances	19 Deferred revenue						
	20 Loans from officers, directors, trustees, and other disqualified persons						
	21 Mortgages and other notes payable						
	22 Other liabilities (describe ▶)						
23 Total liabilities (add lines 17 through 22)			0.	500.			
Foundations that follow SFAS 117, check here ▶ ☐	24 Unrestricted						
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here ▶ ☒	27 Capital stock, trust principal, or current funds			0.	0.	
		28 Paid-in or capital surplus, or land, bldg, and equipment fund			0.	0.	
		29 Retained earnings, accumulated income, endowment, or other funds			10,112,191.	10,484,152.	
		30 Total net assets or fund balances			10,112,191.	10,484,152.	
	31 Total liabilities and net assets/fund balances			10,112,191.	10,484,652.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,112,191.
2 Enter amount from Part I, line 27a	2	307,214.
3 Other increases not included in line 2 (itemize) ▶ Partnership Book-Tax Differences	3	64,747.
4 Add lines 1, 2, and 3	4	10,484,152.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,484,152.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	See attached schedule	P		
b	See attached schedule	P		
c	From Partnerships - see schedule	P		
d	From Partnerships - see schedule	P		
e	Distribution In Excess of Basis-Paramount Credit	P		
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 289,123.		234,702.	54,421.
b 2,114,685.		1,343,345.	771,340.
c		632.	-632.
d 116,388.			116,388.
e 1,923.			1,923.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			54,421.
b			771,340.
c			-632.
d			116,388.
e			1,923.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	943,440.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statement			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 2,522,119.		1,578,679.	943,440.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) FMV as of 12/31/69	(l) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			943,440.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	943,440.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	563,419.	10,972,210.	.051350
2010	552,974.	9,329,321.	.059273
2009	497,471.	8,116,513.	.061291
2008	713,150.	7,294,880.	.097760
2007	716,025.	9,367,620.	.076436

2 Total of line 1, column (d)	2	.346110
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.069222
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	10,461,077.
5 Multiply line 4 by line 3	5	724,137.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,074.
7 Add lines 5 and 6	7	734,211.
8 Enter qualifying distributions from Part XII, line 4	8	602,963.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
 See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	20,148.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	20,148.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	20,148.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	50,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	50,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	452.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	29,400.	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ 29,400. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X	
Website address ▶ N/A				
14	The books are in care of ▶ Joel S Kanter	Telephone no ▶	703-448-7688	
	Located at ▶ 8000 Towers Crescent Drive, Suite 1300, Vienna, V	ZIP+4 ▶	22182	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	▶	15	N/A
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		16	Yes No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No	
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	10,427,038.
b	Average of monthly cash balances	1b	193,345.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	10,620,383.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	10,620,383.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	159,306.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,461,077.
6	Minimum investment return. Enter 5% of line 5	6	523,054.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	523,054.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	20,148.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	20,148.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	502,906.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	502,906.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	502,906.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	602,963.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	602,963.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	602,963.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				502,906.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	262,243.			
b From 2008	349,058.			
c From 2009	104,992.			
d From 2010	91,522.			
e From 2011	71,513.			
f Total of lines 3a through e	879,328.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$	602,963.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				502,906.
e Remaining amount distributed out of corpus	100,057.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	979,385.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	262,243.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	717,142.			
10 Analysis of line 9				
a Excess from 2008	349,058.			
b Excess from 2009	104,992.			
c Excess from 2010	91,522.			
d Excess from 2011	71,513.			
e Excess from 2012	100,057.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
3 Subtract line 2d from line 2c. Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

See Statement 12

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ACLU of Utah 355 N 300 West Salt Lake City, UT 84103	None		General	1,000.
Artists of Utah P.O. Box 526292 Salt Lake City, UT 84152	None		General	500.
Ballet West 50 W 200 South Salt Lake City, UT 84101	None		General	1,000.
Center for American Progress 1333 H St NW 10th Fl Washington, DC 20005	None		General	20,000.
Chicago Sinai Congregation 15 W Delaware Place Chicago, IL 60610	None		General	500.
Total			See continuation sheet(s)	602,963.
b Approved for future payment				
None				
Total				0.

Kanter Family Foundation
% Joel Kanter

36-3682199

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Educational Fund to Stop Gun Violence 1424 L St NW Ste 2-1 Washington, DC 20005	None		General	10,000.
Experimental Station 6100 S Blackstone Chicago, IL 60637	None		General	4,775.
Georgetown Day School 4530 MacArthur Blvd NW Washington, DC 20007	None		General	25,000.
HEAL Utah 824 S 400 W Ste B-111 Salt Lake City, UT 84101	None		General	1,000.
Hyde Park Art Center 5020 S Cornell Ave Chicago, IL 60615	None		General	73,600.
International Sculpture Center 19 Fairgrounds Rd Ste B Hamilton, NJ 08619	None		General	8,500.
Kennedy Center PO Box 101510 Arlington, VA 22210	None		General	20,000.
Kenya Education Fund 360 E 72nd #3405 NY, NY 10021	None		General	10,000.
Media Matters for America 1625 Massachusetts Ave NW Ste 300 Washington, DC 20036	None		General	25,000.
Mikva Challenge Grant Foundation 25 E Washington St Ste 820 Chicago, IL 60602	None		General	1,500.
Total from continuation sheets				579,963.

Kanter Family Foundation
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36-3682199

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Museum of Contemporary Art 220 E Chicago Ave Chicago, IL 60611	None		General	6,500.
National Council of Jewish Women PO Box 71869 Salt Lake City, UT 84171	None		General	250.
Neighborhood House 1050 West 500 South Salt Lake City, UT 84104	None		General	25,850.
Openlands 25 E. Washington St Chicago, IL 60602	None		General	1,500.
Pan-Massachusetts Challenge 77 4th Ave Needham, MA 02494	None		General	1,500.
ProgressNow Education 1600 University Ave West Ste 309B St Paul, MN 55104	None		General	50,000.
Rock The Vote 1001 Connecticut Ave NW Ste 640 Washington, DC 20036	None		General	10,000.
Rowland Hall St Mark's School 720 Guardsman Way Salt Lake City, UT 84108	None		General	20,000.
SB Dance 138 W 300 South Lake City, UT 84101	None		General	500.
Society for Contemporary Art 111 S Michigan Ave Chicago, IL 60603	None		General	1,000.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Temple Har Shalom P.O. Box 681236 Park City, UT 84068	None		General	13,600.
Temple Rodef Shalom P.O. Box 223433 Chantilly, VA 20153	None		General	8,738.
The Langley School 1411 Balls Hill Rd McLean, VA 22101	None		General	75,000.
U of C Laboratory Schools 1427 E 60th St Ste 120 Chicago, IL 60637	None		General	15,000.
University of Chicago 1427 E 60th St Ste 120 Chicago, IL 60637	None		General	5,000.
University of Chicago Smart Museum 1427 E 60th St Ste 120 Chicago, IL 60637	None		General	25,000.
Utah Cultural Alliance P.O. Box 521613 Salt Lake City, UT 84152	None		General	1,125.
Utah Film Center 122 S Main St. Salt Lake City, UT 84101	None		General	1,500.
Utah Health & Human Rights Project 225 S 200 East Ste 250 Salt Lake City, UT 84111	None		General	500.
Utah Museum of Contemporary Art 20 South West Temple Salt Lake City, UT 84101	None		General	50,000.
Total from continuation sheets				

Kanter Family Foundation
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Voter Participation Center 1640 Rhode Island Ave. NW, Ste 825 Washington, DC 20036	None		General	5,000.
Wolf Trap Foundation 1645 Trap Road Vienna, VA 22182	None		General	5,400.
ABU Education Fund PO Box 521847 Salt Lake City, UT 84152	None		General	10,000.
Alligator Press Productions PO Box 526368 Salt Lake City, UT 84152	None		General	500.
Association of Small Foundations 1720 N Street NW Washington, DC 20036	None		General	725.
Black Student Fund 3636 16th St NW 4th Fl Washington, DC 20010	None		General	5,000.
Boys & Girls Club of Greater Salt Lake 669 S 200 East Ste 100 Salt Lake City, UT 84111	None		General	500.
Boys & Girls Club 669 S 200 East Ste 100 Salt Lake City, UT 84111	None		General	500.
Chicago Public Media 848 E Grand Ave. Chicago, IL 60611-2643	None		General	2,500.
Cincinnati Art Museum 953 Eden Park Drive Cincinnati, OH 45202	None		General	100.
Total from continuation sheets				

Kanter Family Foundation
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Crohn's & Colitis Foundation 733 Third Avenue Ste 510 New York, NY 10017	None		General	2,500.
Equality Utah 175 W 200 South, Ste 3001 Salt Lake City, UT 84101	None		General	1,000.
Global Goods Partners 115 W 30th St Ste 400 New York, NY 10001	None		General	2,500.
Human Rights Watch 1640 Rhode Island Ave. NW Washington, DC 20036	None		General	1,000.
James Beard Foundation 167 W 12th Street New York, NY 10011	None		General	1,000.
Maliheh Free Clinic 415 E 3900 South Salt Lake City, UT 84107	None		General	1,000.
March of Dimes 1275 Mamoroneck Ave White Plains, NY 10605	None		General	100.
National History Museum of Utah 540 Arapleen Drive Ste 250 Salt Lake City, UT 84108	None		General	1,000.
New Democrat Network 901 15th St. NW Ste. 705 Washington, DC 20005	None		General	25,000.
Pancreatic Cancer Action Network 1500 Rosecrans Ave Ste 200 Manhattan Beach, CA 90266	None		General	500.
Total from continuation sheets				

Kanter Family Foundation
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Putney Open Door Fund 345 Hickory Ridge Road Putney, VT 05346	None		General	1,000.
The Brennan Center 161 Ave of the Americas, 12th Fl New York, NY 10013	None		General	10,000.
The Community Foundation 6550 Millrock Drive, Ste 125 Salt Lake City, UT 84121	None		General	1,000.
Lukemia & Lymphoma Society 5296 S Commerce Drive Ste 101 Murray, UT 84107	None		General	200.
UJC Federations 1601 Montrose Rd Rockville, MD 20852-4816	None		General	10,000.
Total from continuation sheets				

Part XVI-A

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
		14	53,664.	
		14	107,106.	
		14	27.	
		18	19,661.	
		18	943,440.	
	0.		1,123,898.	0.
			13	1,123,898.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

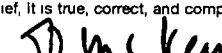
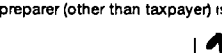
Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge				May the IRS discuss this return with the preparer shown below (see Instr)? ☒ Yes ☐ No	
	 Signature of officer or trustee		7/12/14 Date		Vice President Title	
Paid Preparer Use Only	Print/Type preparer's name Edward Blum		Preparer's signature 		Check ☐ if self-employed	
	Date		PTIN P00174974			
	Firm's name ▶ Morrison Brown Argiz & Farra LLC				Firm's EIN ▶ 01-0720052	
	Firm's address ▶ 1450 Brickell Avenue, 18th Floor Miami, FL 33131				Phone no 305 373-5500	

Kanter Family Foundation

11/1/2012 through 10/31/2014

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
SHORT TERM							
KFF - Karm CALL IMO	11/17/12 50 IMPERIAL OIL LTD NEW ADJ 3 FOR 1 STOCK SPLIT EXP 11/17/12	1,600.00	11/19/2012	8/9/2012	799.51		0
KFF - Karm CALL MPC	11/17/12 60 MARATHON PETROLEUM CORPORATION EXP 11/17/12	900	11/19/2012	10/17/2012	584.72		0
KFF - Karm CALL COP1	11/17/12 80 CONOCOPHILLIPS SHS DISTR DEL 100COP & 50PSX EXP 11/17/12	1,200.00	11/19/2012	8/9/2012	1,979.60		0
KFF - Karm CALL HES	11/17/12 60 HESS CORPORATION EXP 11/17/12	900	11/19/2012	8/9/2012	404.73		0
KFF - Karm CALL VLO	12/22/12 34 VALERO ENERGY CORP NEW EXP 12/22/12	3,200.00	12/18/2012	8/9/2012	1,944.94	1,569.00	375.94
KFF - Karm CALL CVX	12/22/12 125 CHEVRON CORPORATION EXP 12/22/12	2,300.00	12/24/2012	8/9/2012	1,379.29		0
KFF - Karm CALL TOT	01/19/13 55 TOTAL S A EXP 01/19/13	1,000.00	1/22/2013	8/9/2012	499.69		0
KFF - Karm CALL XOM	01/19/13 97 50 EXXON MOBIL CORP EXP 01/19/13	800	1/22/2013	10/17/2012	615.75		0
KFF - Karm CALL BHI	01/19/13 60 BAKER HUGHES INC EXP 01/19/13	1,300.00	1/22/2013	8/9/2012	922.59		0
KFF - Karm CALL BP	01/19/13 48 BP P L C SPONSORED ADR EXP 01/19/13	1,100.00	1/22/2013	8/9/2012	604.66		0
KFF - Karm CALL MPC	01/19/13 67 50 MARATHON PETROLEUM CORPORATION EXP 01/19/13	900	1/22/2013	12/13/2012	584.7		0
KFF - Karm CALL EOG	01/19/13 130 EOG RES INC EXP 01/19/13	700	1/22/2013	12/13/2012	615.76		0
KFF - Karm CALL VLO	03/16/13 39 VALERO ENERGY CORP NEW EXP 03/16/13	3,000.00	2/11/2013	12/18/2012	1,649.03		0
KFF - Karm CALL HES	02/16/13 60 HESS CORPORATION EXP 02/16/13	900	2/19/2013	12/13/2012	359.71		0
KFF - Karm CALL OXY	02/16/13 82 50 OCCIDENTAL PETE CORP EXP 02/16/13	800	2/19/2013	12/13/2012	607.73		0
KFF - Karm CALL SLB	03/16/13 82 50 SCHLUMBERGER LTD EXP 03/16/13	800	3/18/2013	12/13/2012	447.73		0
KFF - Karm CALL VLO	03/16/13 39 VALERO ENERGY CORP NEW EXP 03/16/13	200	3/18/2013	12/18/2012	109.94		0
KFF - Karm CALL APA	04/20/13 92 50 APACHE CORP EXP 04/20/13	700	4/22/2013	12/13/2012	461.76		0
KFF - Karm CALL HES	04/20/13 77 50 HESS CORPORATION OPEN CONTRACT	800	4/22/2013	3/5/2013	391.69		0
KFF - Karm CALL CVX	06/22/13 125 CHEVRON CORPORATION EXP 06/22/13	2,300.00	6/24/2013	1/16/2013	1,333.1		0
KFF - Karm CALL HAL	07/20/13 44 HALLIBURTON CO EXP 07/20/13	2,300.00	7/18/2013	4/16/2013	1,402.09	1,219.87	182.22
KFF - Karm CALL OXY	08/17/13 105 OCCIDENTAL PETE CORP EXP 08/17/13	800	8/17/2013	5/15/2013	527.68		0
KFF - Karm CALL MRO	10/19/13 40 MARATHON OIL CORP EXP 10/19/13	1,800.00	10/21/2013	7/18/2013	1,079.30		0
KFF - Karm CALL CVX	12/21/13 125 CHEVRON CORPORATION EXP 12/21/13	2,300.00	12/23/2013	10/17/2013	1,264.15		0
KFF - Karm CALL APA	01/18/14 100 APACHE CORP EXP 01/18/14	700	1/21/2014	10/17/2013	496.74		0
KFF - Karm CALL BHI	01/18/14 57 50 BAKER HUGHES INC EXP 01/18/14	1,300.00	1/21/2014	10/17/2013	714.52		0
KFF - Karm CALL EOG	01/18/14 200 EOG RES INC EXP 01/18/14	700	1/21/2014	10/17/2013	2,134.71		0
KFF - Karm CALL HAL	01/18/14 57 50 HALLIBURTON CO EXP 01/18/14	2,300.00	1/21/2014	10/17/2013	1,287.15		0
KFF - Karm CALL HES	01/18/14 90 HESS CORPORATION EXP 01/18/14	800	1/21/2014	10/17/2013	759.7		0
KFF - Karm CALL OXY	01/18/14 110 OCCIDENTAL PETE CORP EXP 01/18/14	800	1/21/2014	10/17/2013	407.71		0
KFF - Karm CALL SLB	01/18/14 100 SCHLUMBERGER LTD EXP 01/18/14	800	1/21/2014	10/17/2013	727.7		0
KFF - Karm CALL COP	02/22/14 80 CONOCOPHILLIPS EXP 02/22/14	1,200.00	2/22/2014	10/17/2013	659.55		0
KFF - Karm CALL TOT	02/22/14 65 TOTAL S A EXP 02/22/14	1,000.00	2/22/2014	10/17/2013	599.62		0
KFF - Karm CALL MPC	04/19/14 100 MARATHON PETROLEUM CORPORATION EXP 04/19/14	900	4/20/2014	1/17/2014	1,349.62		0
KFF - Mark CORONADO BIOSCIENCES INC Warrant		340	5/5/2013	5/22/2013	0		0
KFF - ML - IC STRYKER CORP 2013-09 72 500		900	9/11/2013	4/15/2013	638.99		0
KFF - ML - IC AETNA INC 2013-10 70 000		600	9/23/2013	4/16/2013	299.99		0
KFF - ML - IC UNITEHEALTH 2013-09 70 000		1,400.00	10/21/2013	7/18/2013	1,161.98		0
KFF - ML - IC STRYKER CORP 2013-09 72 500		900	12/23/2013	9/20/2013	494.99		0
KFF - ML - IC AMGN 00130 AMGEN INC COM PV \$0.0001 EXP 01-18-14		700	1/21/2014	10/16/2013	594.99		0
KFF - ML - IC UNH 00080 UNITEDHEALTH GROUP INC EXP 12-21-13		600	3/24/2014	1/17/2014	449.99		0
KFF - ML - IC SVK 00082 5 STRYKER CORP EXP 03-22-14		800	3/24/2014	1/17/2014	399.99		0
KFF - ML - IC ZMH 00105 ZIMMER HOLDINGS INC COM EXP 03-22-14		2,600.00	11/19/2012	9/18/2012	2,347.94		0
KFF - ML - IC OMR 00010 OMR 121117C00010000 EXP 11-17-12		2,500.00	11/19/2012	10/17/2012	1,334.97		0
KFF - ML - IC OMR 00012 5 OMR 121117C00012500 EXP 11-17-12		3,500.00	1/22/2013	10/17/2012	2,112.35		0
KFF - ML - IC PM 00097 5 PHILIP MORRIS INTL INC EXP 01-19-13		2,600.00	2/19/2013	9/18/2012	2,107.75		0
KFF - ML - IC OMR 00012 5 OMR 130216C00012500 EXP 02-16-13							

KFF - ML - I CALL OMER 00015 OMER 130216C00015000 EXP 02-16-13	2,500 00	2/19/2013	10/17/2012	1,909 95	0	1909 95
KFF - ML - I CALL OMER 00010 OMER 130518C00010000 EXP 05-18-13	1,000 00	5/20/2013	12/6/2012	635 54	0	635 54
KFF - ML - I CALL OMER 00010 OMER 130518C00010000 EXP 05-18-13	2,500 00	5/20/2013	12/6/2012	1,713 86	0	1713 86
KFF - ML - I CALL OMER 00010 OMER 130518C00010000 EXP 05-18-13	1,600 00	5/20/2013	12/6/2012	1,016 86	0	1016 86
KFF - ML - I CORONADO BIOSCIENCES INC	340	5/22/2013	6/28/2013	2,849 18	0	2849 18
KFF - ML - I CALL OMER 00007 5 OMEROS CORP EXP 08-17-13	1,200 00	8/19/2013	2/26/2013	599 38	0	599 38
KFF - ML - I CALL OMER 00007 5 OMEROS CORP EXP 08-17-13	1,400 00	8/19/2013	2/26/2013	699 27	0	699 27
KFF - ML - I CALL OMER 00007 5 OMEROS CORP EXP 08-17-13	1,000 00	8/19/2013	2/26/2013	449 47	0	449 47
KFF - ML - I CALL OMER 00007 5 OMEROS CORP EXP 08-17-13	1,500 00	8/19/2013	2/26/2013	674 22	0	674 22
KFF - ML - I MARKET VECTOR 2013-06 50 000	100	6/24/2013	1/11/2013	169 99	0	169 99
KFF - ML - I C SPDR GOLD TRU 2013-06 180 000	100	6/24/2013	1/11/2013	121 99	0	121 99
KFF - ML - I C BARRICK GOLD 2013-07 37 000	700	7/22/2013	2/15/2013	384 99	0	384 99
KFF - ML - I C ISHARES SILVE 2013-07 35 000	200	7/22/2013	1/11/2013	109 99	0	109 99
KFF - ML - I C STILLWATER MI 2013-07 12 000	3,000 00	7/22/2013	4/15/2013	1,799 96	0	1799 96
KFF - NM Chicago Investors XI, LLC (NB Strategic Co-Investment Partners)	2,013 52	7/12/2012	11/1/2012	2,013 52	2,013 52	0
KFF - NM Chicago Investors XI, LLC (HF Seligman)	62,500 00	4/20/2012	11/16/2012	57,416 65	62,500 00	-5083 35
KFF - NM Chicago Investors XI, LLC (NB Strategic Co-Investment Partners)	1,964 32	7/12/2012	1/17/2013	1,964 32	1,964 32	0
KFF - NM First Hand Tickets Corp Promissory Note dtd1 28 13 due 3 29 13	85,000 00	1/28/2013	2/19/2013	85,000 00	85,000 00	0
KFF - NM First Hand Tickets Corp Promissory Note dtd1 28 13 due 3 29 13	15,000 00	1/28/2013	2/20/2013	15,000 00	15,000 00	0
KFF - NM Chicago Investors XI, LLC (HF Seligman Holdback)	5,741 67	11/16/2012	6/24/2013	5,741 67	5,741 67	0
KFF - NM First Hand Tickets Corp Promissory Note dtd1 05 02 13	50,000 00	5/2/2013	7/18/2013	50,000 00	50,000 00	0
KFF - NM Chicago Investors IX, LLC (KCB Real Estate)	1,078 88	6/21/2013	8/28/2013	1,078 88	1,078 88	0
KFF - NM Chicago Investors IX, LLC (KCB Real Estate)	964 66	6/21/2013	12/30/2013	964 66	964 66	0
KFF - NM Chicago Investors X, LLC (RCP Fund II)	1,309 79	8/14/2013	4/22/2014	1,309 79	1,309 79	0
KFF - UBS - C MEDTRONIC INC 2012-11 43 000	1,000 00	11/19/2012	5/24/2012	479 98	0	479 98
KFF - UBS - C AETNA INC 2012-12 48 000	1,400 00	12/24/2012	10/17/2012	755 98	0	755 98
KFF - UBS - C UNITEDHEALTH 2012-12 60 000	900	12/24/2012	10/18/2012	575 98	0	575 98
KFF - UBS - C JOHNSON & JOH 2013-01 72 500	700	1/16/2013	8/9/2012	321 99	224	97 99
KFF - UBS - C CAMGEN INC 2013-01 100 000	700	1/22/2013	10/18/2012	370 99	0	370 99
KFF - UBS - C MERCK & CO IN 2013-01 47 000	1,000 00	1/22/2013	8/9/2012	649 98	0	649 98
KFF - UBS - C UNITEDHEALTH 2013-03 57 500	900	3/18/2013	1/16/2013	377 99	0	377 99
KFF - UBS - C STRYKER CORP 2013-03 57 500	700	3/18/2013	10/18/2012	594 98	0	594 98
KFF - UBS - C BECTON DICKIN 2013-06 90 000	600	6/5/2013	1/16/2013	269 99	0	269 99
KFF - UBS - C UNITEDHEALTH 2013-09 70 000	900	6/21/2013	4/15/2013	638 99	638 99	0
KFF - UBS - C AETNA INC 2013-07 60 000	1,400 00	6/21/2013	4/15/2013	1,497 97	1,497 97	0
KFF - UBS - C JOHNSON & JOH 2013-10 90 000	700	6/21/2013	4/16/2013	391 99	391 99	0
KFF - UBS - C ST JUDE MEDIC 2013-07 46 000	1,000 00	6/21/2013	4/15/2013	699 98	699 98	0
KFF - UBS - C STRYKER CORP 2013-09 72 500	600	6/21/2013	4/16/2013	299 99	299 99	0
KFF - UBS - C ZIMMER HOLDIN 2013-06 80 000	800	6/24/2013	1/16/2013	583 99	0	583 99
KFF - UBS - C GOLDCORP INC 2012-11 47 000	500	11/19/2012	10/17/2012	289 99	0	289 99
KFF - UBS - C NEWMONT MININ 2012-12 65 000	1,100 00	12/24/2012	9/18/2012	747 98	0	747 98
KFF - UBS - C BARRICK GOLD 2013-01 40 000	700	1/22/2013	8/9/2012	699 98	0	699 98
KFF - UBS - C GOLDCORP INC 2013-04 47 000	500	4/22/2013	12/13/2012	279 99	0	279 99
KFF - UBS - C NEWMONT MININ 2013-04 47 000	1,100 00	4/22/2013	2/15/2013	691 98	0	691 98
KFF - UBS - C MARKET VECTOR 2013-05 25 000	100	5/20/2013	1/15/2013	39 99	0	39 99
KFF - UBS - C BARRICK GOLD 2013-07 37 000	700	6/18/2013	2/15/2013	384 99	384 99	0
KFF - UBS - C ISHARES SILVE 2013-07 35 000	200	6/18/2013	1/11/2013	109 99	109 99	0
KFF - UBS - C MARKET VECTOR 2013-06 50 000	100	6/18/2013	1/11/2013	169 99	169 99	0
KFF - UBS - C SPDR GOLD TRU 2013-06 180 000	100	6/18/2013	1/11/2013	121 99	121 99	0
KFF - UBS - C STILLWATER MI 2013-07 12 000	3,000 00	6/18/2013	4/15/2013	1,799 96	1,799 96	0
TOTAL SHORT TERM				289,122 54	234,701 55	54,420 99

LONG TERM

KFF - karm CALL MRO 01/19/13 31 MARATHON OIL CORP EXP 01/19/13

1,800 00 1/16/2013 8/9/2012 1,133 44 1,818 68 -685 24

KFF - Karmi Valero Energy Corp DELA NEW	3,000.00	4/7/2010	2/8/2013	116,997.37	60,268.22	56,729.15
KFF - Karmi Occidental Petroleum Corp DE	600	2/8/2005	2/15/2013	49,498.89	18,182.25	31,316.64
KFF - Karmi Occidental Petroleum Corp DE	200	9/25/2006	2/15/2013	16,499.63	9,110.50	7,389.13
KFF - Karmi Hess Corporation	100	12/1/2010	2/15/2013	5,999.87	7,342.53	-1,342.66
KFF - Karmi Hess Corporation	100	12/1/2010	2/15/2013	5,999.87	7,333.94	-1,334.07
KFF - Karmi Hess Corporation	100	12/1/2010	2/15/2013	5,999.87	7,333.96	-1,334.09
KFF - Karmi Hess Corporation	400	12/1/2010	2/15/2013	23,999.46	29,335.96	-5,336.50
KFF - Karmi Hess Corporation	200	12/1/2010	2/15/2013	11,999.73	14,668.00	-2,668.27
KFF - Karmi Valero Energy Corp DELA NEW	200	4/7/2010	3/15/2013	7,799.82	4,017.88	3,781.94
KFF - Karmi CALL HAL 04/20/13 39 HALLIBURTON CO EXP 04/20/13	2,300.00	4/16/2013	12/13/2012	1,333.25	1,817.87	-484.62
KFF - Karmi CALL OXY 05/18/13 90 OCCIDENTAL PETE CORP OPEN CONTRACT	800	5/15/2013	3/5/2013	399.69	912.3	-512.61
KFF - Karmi CALL MRO 07/20/13 36 MARATHON OIL CORP EXP 07/20/13	1,800.00	7/18/2013	1/16/2013	1,115.29	1,728.68	-613.39
KFF - Karmi CALL TOT 11/16/13 55 TOTAL S A EXP 11/16/13	1,000.00	8/16/2013	7/19/2013	649.6	1,700.38	-1,050.78
KFF - Karmi CALL HAL OCT 50	2,300.00	10/17/2013	7/18/2013	1,103.11	3,772.82	-2,669.71
KFF - Karmi CALL MPC 01/18/14 82 50 MARATHON PETROLEUM CORPORATION EXP 01/18/14	900	1/17/2014	10/17/2013	584.66	3,330.35	-2,745.69
KFF - Karmi CALL BP 04/19/14 47 BP P L C SPONSORED ADR EXP 04/19/14	1,100.00	4/16/2014	10/17/2013	527.6	1,485.44	-957.84
KFF - Karmi CALL RDSA 04/19/14 70 ROYAL DUTCH SHELL PLC EXP 04/19/14	1,000.00	4/17/2014	10/17/2013	599.62	5,700.40	-5,100.78
KFF - Karmi CALL XOM 04/19/14 95 EXXON MOBIL CORP EXP 04/19/14	800	4/17/2014	10/17/2013	527.71	4,400.32	-3,872.61
KFF - Mark Vytters, Inc Common Stock	2,679.00	10/30/2009	7/17/2013	0	0	0.00
KFF - Mark WaferGen Bio-systems, Inc Note dtd 5 27 11 due 11 27 14 (common equivalents)	43,860.00	5/27/2011	8/31/2013	25,000.00	25,000.00	0.00
KFF - Mark WaferGen Bio-systems, Inc Series A-1 Preferred (common equivalents)	48,077.00	5/27/2011	8/31/2013	25,000.00	25,000.00	0.00
KFF - Mark WaferGen Bio-systems, Inc Warrant dtd 5 27 11 due 5 27 16	91,937.00	5/27/2011	8/31/2013	1,497.97	5,740.00	-4,242.03
KFF - ML - IC AETNA INC 2013-07 60 000	1,400.00	7/18/2013	4/15/2013	699.98	5,700.00	-5,000.02
KFF - ML - IC ST JUDE MEDIC 2013-07 46 000	1,000.00	9/20/2013	9/11/2013	62,998.90	64,102.36	-1,103.46
KFF - ML - I Unitedhealth GP Inc	900	10/16/2013	4/16/2013	391.99	665	-273.01
KFF - ML - IC JOHNSON & JOH 2013-10 90 000	700	10/16/2013	7/18/2013	1,099.98	1,925.00	-825.02
KFF - ML - IC ST JUDE MEDIC 2013-10 55 000	1,100.00	10/17/2013	10/25/2013	1,469.97	1,960.00	-490.03
KFF - ML - IC AET 00065 AETNA INC NEW EXP 12-21-13	1,400.00	12/18/2013	10/25/2013	1,039.98	4,300.00	-3,260.02
KFF - ML - ICALL BMY 00052 5 BRISTOL-MYERS SQUIBB CO EXP 01-18-14	2,000.00	1/17/2014	10/25/2013	1,099.98	7,150.00	-6,050.02
KFF - ML - ICALL STJ 00060 ST JUDE MEDICAL INC EXP 01-18-14	1,100.00	1/17/2014	10/17/2013	359.99	1,360.00	-1,000.01
KFF - ML - ICALL ZMH 00095 ZIMMER HOLDINGS INC COM EXP 01-18-14	800	1/17/2014	10/25/2013	62,112.60	0	62,112.60
KFF - ML - IPROLOR BIOTECH INC 46R0	10,000.00	6/16/2009	4/24/2013	60,655.66	0	60,655.66
KFF - ML - IPROLOR BIOTECH INC 46R0	10,000.00	6/16/2009	4/24/2013	63,649.02	0	63,649.02
KFF - ML - IPROLOR BIOTECH INC 46R0	10,000.00	6/16/2009	6/17/2013	12,522.28	0	12,522.28
KFF - ML - IPROLOR BIOTECH INC 46R0	2,000.00	6/16/2009	6/20/2013	25,041.50	0	25,041.50
KFF - ML - IPROLOR BIOTECH INC 46R0	4,000.00	6/16/2009	6/20/2013	25,039.56	0	25,039.56
KFF - ML - IPROLOR BIOTECH INC 46R0	20,000.00	6/16/2009	7/18/2013	136,120.45	0	136,120.45
KFF - ML - IPROLOR BIOTECH INC 46R0	3,500.00	6/16/2009	7/19/2013	24,149.73	0	24,149.73
KFF - ML - IPROLOR BIOTECH INC 46R0	10,000.00	6/16/2009	7/19/2013	68,999.38	0	68,999.38
KFF - ML - IPROLOR BIOTECH INC 46R0	10,000.00	6/16/2009	7/19/2013	69,010.77	0	69,010.77
KFF - ML - IPROLOR BIOTECH INC 46R0	5,000.00	6/16/2009	7/19/2013	34,595.89	0	34,595.89
KFF - ML - IPROLOR BIOTECH INC 46R0	5,527.00	6/16/2009	7/19/2013	38,151.74	0	38,151.74
KFF - ML - IPROLOR BioTech, Inc (Common - former escrow shares)	5,973.00	11/1/2007	7/19/2013	41,231.87	0	41,231.87
KFF - ML - ICornedix, Inc	383	4/18/2006	8/21/2013	375.41	0	375.41
KFF - ML - I WaferGen Bio-systems, Inc	754.603	5/23/2007	9/27/2013	112,500.00	112,500.00	0.00
KFF - ML - I WaferGen Bio-systems, Inc	14,044.00	10/22/2013	9/27/2013	0	50,000.00	-50,000.00
KFF - ML - I PHILIP MORRIS INTL INC 60155	500	12/27/2004	10/25/2013	44,155.48	21,128.00	23,027.48
KFF - ML - ICALL OMER 00010 OMEROS CORP EXP 02-22-14	1,000.00	2/20/2014	9/19/2013	849.99	4,400.00	-3,550.01
KFF - ML - ICALL OMER 00012 OMEROS CORP EXP 02-22-14	2,000.00	2/20/2014	9/19/2013	999.98	4,800.00	-3,800.02
KFF - ML - ICALL OMER 00012 OMEROS CORP EXP 02-22-14	2,000.00	2/20/2014	9/19/2013	999.98	4,800.00	-3,800.02
KFF - ML - ICALL OMER 00013 OMEROS CORP EXP 02-22-14	3,000.00	2/20/2014	9/19/2013	1,499.97	7,200.00	-5,700.03
KFF - ML - ICALL OMER 00013 OMEROS CORP EXP 02-22-14	1,200.00	2/20/2014	9/23/2013	1,199.98	1,620.00	-420.02
KFF - ML - ICALL OMER 00013 OMEROS CORP EXP 02-22-14	1,000.00	2/20/2014	9/23/2013	999.98	1,350.00	-350.02

KFF - NM	Chicago Investors X, LLC (RCP)	3,424 03	1/11/2011	11/14/2012	3,424 03	3,424 03	0 00
KFF - NM	Chicago Investors IX, LLC (Carlyle)	3,179 70	10/16/2007	12/18/2012	3,179 70	3,179 70	0 00
KFF - NM	Chicago Investors VII, LLC (ML Carlyle Distressed Participation)	2,226 73	4/16/2008	1/9/2013	2,226 73	2,226 73	0 00
KFF - NM	Chicago Investors VII, LLC (ML Carlyle Distressed Participation)	6,850 81	10/30/2008	1/9/2013	6,850 81	6,850 81	0 00
KFF - NM	Chicago Investors VII, LLC (ML Carlyle Distressed Participation)	2,068 05	8/10/2009	1/9/2013	2,068 05	2,068 05	0 00
KFF - NM	Chicago Investors IX, LLC (Carlyle)	5,784 30	10/16/2007	1/16/2013	5,784 30	5,784 30	0 00
KFF - NM	Chicago Investors X, LLC (RCP)	2,463 49	1/11/2011	1/22/2013	2,463 49	2,463 49	0 00
KFF - NM	Chicago Investors X, LLC (RCP)	2,914 04	3/31/2011	1/22/2013	2,914 04	2,914 04	0 00
KFF - NM	Chicago Investors X, LLC (UBS Distressed-Oaktree)	3,150 00	12/21/2009	1/24/2013	3,150 00	3,150 00	0 00
KFF - NM	Chicago Investors IV, LLC (UBS Distressed-Oaktree)	18,210 00	1/25/2011	2/5/2013	18,210 00	18,210 00	0 00
KFF - NM	Chicago Investors IV, LLC (Vintox)	58,333 33	7/19/2010	2/12/2013	58,333 33	58,333 33	-1,997 23
KFF - NM	Chicago Investors V, LLC (HF Ivory)	6,825 00	12/21/2009	2/21/2013	6,825 00	6,825 00	0 00
KFF - NM	Chicago Investors IV, LLC (UBS Structured Product Participation)	7,500 00	9/18/2009	2/28/2013	8,317 10	7,500 00	817 10
KFF - NM	Chicago Investors X, LLC (UBS Distressed-Oaktree)	1,875 00	12/21/2009	4/1/2013	1,875 00	1,875 00	0 00
KFF - NM	Pacific Biosciences Laboratories, Inc (2nd Escrow)	108,360 00	1/11/2011	4/26/2013	111,773 86	0	111,773 86
KFF - NM	Chicago Investors IV, LLC (Titan - Warrant)	30,000 00	3/28/2008	4/30/2013	10,499 43	30,000 00	-19,500 57
KFF - NM	Chicago Investors IV, LLC (Vintox)	11,790 00	1/25/2011	5/14/2013	4,929 58	11,790 00	-6,860 42
KFF - NM	Chicago Investors VII, LLC (ML Carlyle Distressed Participation)	6,838 01	8/10/2009	5/22/2013	6,838 01	6,838 01	0 00
KFF - NM	Chicago Investors VII, LLC (ML Carlyle Distressed Participation)	7,254 12	1/22/2010	5/22/2013	7,254 12	7,254 12	0 00
KFF - NM	Chicago Investors X, LLC (UBS Distressed-Oaktree)	3,150 00	12/21/2009	5/28/2013	3,150 00	3,150 00	0 00
KFF - NM	Chicago Investors X, LLC (UBS Distressed-Oaktree)	488	4/14/2010	5/28/2013	488	488	0 00
KFF - NM	Chicago Investors X, LLC (RCP)	42 16	3/31/2011	5/29/2013	42 16	42 16	0 00
KFF - NM	Chicago Investors X, LLC (RCP)	1,413 05	8/5/2011	5/29/2013	1,413 05	1,413 05	0 00
KFF - NM	Chicago Investors IX, LLC (Carlyle)	8,366 70	10/16/2007	6/25/2013	8,366 70	8,366 70	0 00
KFF - NM	Chicago Investors IX, LLC (Carlyle)	7,949 70	1/24/2008	6/25/2013	7,949 70	7,949 70	0 00
KFF - NM	Chicago Investors VII, LLC (ML Carlyle Distressed Participation)	1,152 99	1/22/2010	7/16/2013	1,152 99	1,152 99	0 00
KFF - NM	Chicago Investors XI, LLC (NB Strategic Co-Investment Partners)	2,969 73	7/12/2012	7/18/2013	2,969 73	2,969 73	0 00
KFF - NM	Chicago Investors X, LLC (RCP)	2,244 65	8/5/2011	7/25/2013	2,244 65	2,244 65	0 00
KFF - NM	Chicago Investors X, LLC (UBS Distressed-Oaktree)	2	4/14/2010	8/1/2013	2	2	0 00
KFF - NM	Chicago Investors X, LLC (RCP)	92 3	8/5/2011	9/13/2013	92 3	92 3	0 00
KFF - NM	Chicago Investors X, LLC (RCP)	1,123 30	12/30/2011	9/13/2013	1,123 30	1,123 30	0 00
KFF - NM	Chicago Investors IX, LLC (UBS Paulson Participation)	75,000 00	9/24/2009	10/22/2013	43,945 60	75,000 00	-31,054 40
KFF - NM	Chicago Investors VI, LLC (Heppelwhite)	47,000 00	5/11/2012	10/24/2013	31,903 86	47,000 00	-15,096 14
KFF - NM	Chicago Investors XI, LLC (NB Strategic Co-Investment Partners)	226 26	7/12/2012	10/25/2013	226 26	226 26	0 00
KFF - NM	Chicago Investors IV, LLC (UBS Structured Product Participation)	7,500 00	10/22/2010	10/31/2013	5,425 50	7,500 00	-2,074 50
KFF - NM	Chicago Investors X, LLC (RCP)	1,683 80	12/30/2011	11/14/2013	1,683 80	1,683 80	0 00
KFF - NM	Chicago Investors X, LLC (RCP)	3,214 15	1/20/2012	11/14/2013	3,214 15	3,214 15	0 00
KFF - NM	Chicago Investors VIII, LLC (Reynoso)	200,000 00	2/1/2007	11/26/2013	221,603 24	200,000 00	21,603 24
KFF - NM	Chicago Investors X, LLC (UBS Distressed-Oaktree)	260	4/14/2010	11/26/2013	260	260	0 00
KFF - NM	Chicago Investors X, LLC (UBS Distressed-Oaktree)	1,988 00	6/16/2010	11/26/2013	1,988 00	1,988 00	0 00
KFF - NM	Chicago Investors X, LLC (UBS Distressed-Oaktree)	1,194 50	7/13/2010	11/26/2013	1,194 50	1,194 50	0 00
KFF - NM	Chicago Investors X, LLC (RCP)	405 49	1/20/2012	12/17/2013	405 49	405 49	0 00
KFF - NM	Chicago Investors X, LLC (RCP)	2,719 06	3/28/2012	12/17/2013	2,719 06	2,719 06	0 00
KFF - NM	Chicago Investors IV, LLC (Titan)	75,000 00	10/25/2006	1/22/2014	101,693 78	75,000 00	26,693 78
KFF - NM	Chicago Investors IX, LLC (Carlyle)	5,550 30	1/24/2008	1/22/2014	5,550 30	5,550 30	0 00
KFF - NM	Chicago Investors IX, LLC (Carlyle)	9,000 00	4/2/2008	1/22/2014	9,000 00	9,000 00	0 00
KFF - NM	Chicago Investors IX, LLC (Carlyle)	1,513 20	8/16/2008	1/22/2014	1,513 20	1,513 20	0 00
KFF - NM	Chicago Investors XII, LLC (Yuan)	28,500 00	3/25/2013	4/1/2014	28,500 00	28,500 00	0 00
KFF - NM	Chicago Investors X, LLC (RCP Fund II)	1,500 00	1/31/2013	4/22/2014	1,500 00	1,500 00	0 00
KFF - UBS - BIOTECH HOLDINGS TRUST DEP RCPTS		400	7/20/2011	1/7/2013	61,273 86	42,054 16	19,219 70
KFF - UBS - C ZIMMER HOLDIN 2013-01		800	1/16/2013	10/18/2012	399 99	1,440 00	-1,040 01
KFF - UBS - C BRISTOL MYERS 2013-03		2,000 00	2/13/2013	10/18/2012	1,299 97	1,420 00	-120 03
KFF - UBS - C AETNA INC 2013-04		1,400 00	3/12/2013	1/16/2013	923 98	2,296 00	-1,372 02
KFF - UBS - C AMGEN INC 2013-04		700	3/12/2013	2/15/2013	489 99	1,925 00	-1,435 01

KFF - UBS - C ST JUDE MEDIC 2013-04 43 000	1,000 00	3/12/2013	1/16/2013	479 99	1,250 00	-770 01
KFF - UBS - STRYKER CORP 72459	700	11/21/2005	3/15/2013	40,249 10	31,108 00	9,141 10
KFF - UBS - C MERCK & CO IN 2013-07 44 000	1,000 00	4/15/2013	2/15/2013	509 99	3,410 00	-2,900 01
KFF - UBS - C MEDTRONIC INC 2013-05 48 000	1,000 00	5/14/2013	1/16/2013	529 99	1,800 00	-1,270 01
KFF - UBS - BECTON DICKINSON & CO	600	1/10/2011	6/4/2013	53,999 06	49,745 10	4,253 96
TOTAL LONG TERM				2,114,685 03	1,343,345 05	771,339 98
OVERALL TOTAL				2,403,807 57	1,578,046 60	825,760 97

Investments: Partnerships	EIN	2012 K-1 Income (Loss)								Total		
		Ord Income	Rental Income	Interest	Dividends	Royalties	40%		Other Investment Income		Expenses	Book-Tax Differences
							STCG	LTCG				
Beecken Petty O'Keffe Fund LP	20-0469925			2	4,637			30,114		(1,717)		33,036
Paramount Credit Partners LLC	26-3987810			280						-	-	280
The Living English Company	26-2425146	(1,203)		16							(44)	(1,231)
Chicago Investors LLC	20-2349255	3,399	284	11,347	3,033	15	396	1,319	(192)	(10,786)	15,389	24,204
Chicago Investors III	46-0887540	316	(1,649)	4,420	215	2	1,545		203	(4,083)	8,867	9,836
Chicago Investors IV LLC	20-5431086	54	(2)	3,264	1,329		5,463	(1,167)	(1,481)	(6,557)	7,627	8,530
Chicago Investors V LLC	20-5496399	67	(6)	4,223	2,060		9,459	1,500	1,309	(13,347)	7,338	12,603
Chicago Investors VI LLC	20-5581906	56		161	10,418		(15,890)	37,409	9,636	(7,036)	32,227	66,981
Chicago Investors VII LLC	20-5582043	37	(85)	2,753	3,262		(4,227)	15,784	31	(4,154)	7,110	20,511
Chicago Investors VIII LLC	20-8191332	-		-			-		3,123	(3,714)	59	(532)
Chicago Investors IX LLC	20-8220149	(341)	(62)	3,838	10,945		(18,869)	19,411	(1,233)	(11,566)	7,910	10,033
Chicago Investors X LLC	20-8298738	(2)	(3)	3,863	2,125	10	14,272	9,780	533	(13,258)	(19,033)	(1,713)
Chicago Investors XI LLC	45-5028980	-		902	1,159		7,219	2,238	(2,646)	(10,050)	(2,677)	(3,855)
Chicago Investors XII LLC	90-0861226	-	-							(87)	(26)	(113)
		2,383	(1,523)	35,069	39,183	27	(632)	116,388	9,283	(86,355)	64,747	178,570

Kanter Family Foundation Dividend Income

11/1/2012 through 10/31/2013

Date	Account	Description	Amount
11/16/2012	KFF - Karmin - Oil	Baker Hughes Inc	195.00
11/21/2012	KFF - Karmin - Oil	APACHE CORP 04953	119 00
12/3/2012	KFF - Karmin - Oil	PHILLIPS 66 SHS	150 00
12/3/2012	KFF - Karmin - Oil	Conocophillips	792 00
12/10/2012	KFF - Karmin - Oil	MARATHON PETROLEUM CORP	315 00
12/10/2012	KFF - Karmin - Oil	Exxon Mobile Corp	456 00
12/10/2012	KFF - Karmin - Oil	Marathon Oil Co	306 00
12/10/2012	KFF - Karmin - Oil	CHEVRON CORP 144X5	2,070 00
12/12/2012	KFF - Karmin - Oil	Valero Energy Corp DELA NEW	560 00
12/20/2012	KFF - Karmin - Oil	Royal Dutch Shell PLC	1,138 70
12/21/2012	KFF - Karmin - Oil	Royal Dutch Shell PLC	-1,133 08
12/21/2012	KFF - Karmin - Oil	Bp Plc Ads	594 00
12/21/2012	KFF - Karmin - Oil	Royal Dutch Shell PLC	860 00
12/21/2012	KFF - Karmin - Oil	Royal Dutch Shell PLC	169 96
12/26/2012	KFF - Karmin - Oil	Royal Dutch Shell PLC	-1,138 70
12/26/2012	KFF - Karmin - Oil	Royal Dutch Shell PLC	1,133 08
12/26/2012	KFF - Karmin - Oil	Royal Dutch Shell PLC	170 81
12/27/2012	KFF - Karmin - Oil	Occidental Petroleum Corp DE	432 00
12/27/2012	KFF - Karmin - Oil	HALLIBURTON CO HLDG CO	207 00
12/31/2012	KFF - Karmin - Oil	HESS CORP 35DX1	90 00
1/1/2013	KFF - Karmin - Oil		1 61
1/4/2013	KFF - Karmin - Oil	Imperial Oil Ltd Com New	194 47
1/10/2013	KFF - Karmin - Oil	TOTAL S A	778 36
1/11/2013	KFF - Karmin - Oil	Schlumberger LTD	220 00
1/31/2013	KFF - Karmin - Oil	Eog Resources Inc	119 00
2/1/2013	KFF - Karmin - Oil		1 62
2/15/2013	KFF - Karmin - Oil	Baker Hughes Inc	195 00
2/22/2013	KFF - Karmin - Oil	APACHE CORP 04953	119 00
3/1/2013	KFF - Karmin - Oil	Conocophillips	792 00
3/1/2013	KFF - Karmin - Oil	PHILLIPS 66 SHS	187.50
3/1/2013	KFF - Karmin - Oil		2 35
3/11/2013	KFF - Karmin - Oil	CHEVRON CORP 144X5	2,070 00
3/11/2013	KFF - Karmin - Oil	Exxon Mobile Corp	456 00
3/11/2013	KFF - Karmin - Oil	Marathon Oil Co	306 00
3/11/2013	KFF - Karmin - Oil	MARATHON PETROLEUM CORP	315 00
3/13/2013	KFF - Karmin - Oil	Valero Energy Corp DELA NEW	40 00
3/27/2013	KFF - Karmin - Oil	HALLIBURTON CO HLDG CO	287 50
3/28/2013	KFF - Karmin - Oil	Bp Plc Ads	594 00
3/28/2013	KFF - Karmin - Oil	Royal Dutch Shell PLC	860 00
4/1/2013	KFF - Karmin - Oil	HESS CORP 35DX1	80 00
4/1/2013	KFF - Karmin - Oil	Imperial Oil Ltd Com New	141 44
4/1/2013	KFF - Karmin - Oil		3 02
4/11/2013	KFF - Karmin - Oil	Total Fina ELF SA	532 26
4/12/2013	KFF - Karmin - Oil	Schlumberger LTD	250 00
4/15/2013	KFF - Karmin - Oil	Occidental Petroleum Corp DE	512 00
4/30/2013	KFF - Karmin - Oil	Eog Resources Inc	131 25
5/1/2013	KFF - Karmin - Oil		2 74
5/17/2013	KFF - Karmin - Oil	Baker Hughes Inc	195 00
5/22/2013	KFF - Karmin - Oil	APACHE CORP 04953	140 00
6/3/2013	KFF - Karmin - Oil	Conocophillips	792 00
6/3/2013	KFF - Karmin - Oil	PHILLIPS 66 SHS	187 50
6/3/2013	KFF - Karmin - Oil		2 82
6/10/2013	KFF - Karmin - Oil	CHEVRON CORP 144X5	2,300 00
6/10/2013	KFF - Karmin - Oil	Exxon Mobile Corp	504 00
6/10/2013	KFF - Karmin - Oil	Marathon Oil Co	306 00
6/10/2013	KFF - Karmin - Oil	MARATHON PETROLEUM CORP	315 00

6/21/2013	KFF - Karmin - Oil	Bp Plc Ads	594.00
6/26/2013	KFF - Karmin - Oil	HALLIBURTON CO HLDG CO	287 50
6/27/2013	KFF - Karmin - Oil	Royal Dutch Shell PLC	900 00
6/28/2013	KFF - Karmin - Oil	Hess Corporation	80 00
7/1/2013	KFF - Karmin - Oil	Imperial Oil Ltd Com New	137 07
7/1/2013	KFF - Karmin - Oil		2 76
7/12/2013	KFF - Karmin - Oil	Schlumberger LTD	250 00
7/15/2013	KFF - Karmin - Oil	Occidental Petroleum Corp DE	512 00
7/18/2013	KFF - Karmin - Oil	Total Fina ELF SA	536 09
7/31/2013	KFF - Karmin - Oil	Eog Resources Inc	131 25
8/1/2013	KFF - Karmin - Oil		2 85
8/16/2013	KFF - Karmin - Oil	Baker Hughes Inc	195 00
8/22/2013	KFF - Karmin - Oil	APACHE CORP 04953	140 00
9/3/2013	KFF - Karmin - Oil		2 85
9/3/2013	KFF - Karmin - Oil	Conocophillips	828 00
9/10/2013	KFF - Karmin - Oil	CHEVRON CORP 144X5	2,300 00
9/10/2013	KFF - Karmin - Oil	Exxon Mobile Corp	504 00
9/10/2013	KFF - Karmin - Oil	Marathon Oil Co	342 00
9/10/2013	KFF - Karmin - Oil	MARATHON PETROLEUM CORP	378 00
9/20/2013	KFF - Karmin - Oil	Bp Plc Ads	594 00
9/25/2013	KFF - Karmin - Oil	HALLIBURTON CO HLDG CO	287 50
9/26/2013	KFF - Karmin - Oil	Royal Dutch Shell PLC	900.00
9/28/2013	KFF - Karmin - Oil	Hess Corporation	200 00
10/1/2013	KFF - Karmin - Oil	Imperial Oil Ltd Com New	139 42
10/2/2013	KFF - Karmin - Oil		2 78
10/11/2013	KFF - Karmin - Oil	Schlumberger LTD	250 00
10/15/2013	KFF - Karmin - Oil	Occidental Petroleum Corp DE	512 00
10/15/2013	KFF - Karmin - Oil	Total Fina ELF SA	557 30
10/31/2013	KFF - Karmin - Oil	Eog Resources Inc	131 25
7/26/2013	KFF - ML - Healthcare	AETNA INC (NEW)(CT)	280 00
7/26/2013	KFF - ML - Healthcare	Medtronic Inc	280 00
7/26/2013	KFF - ML - Healthcare	Zimmer Hldgs Inc	160 00
7/31/2013	KFF - ML - Healthcare	ST JUDE MEDICAL INC 66363	275 00
7/31/2013	KFF - ML - Healthcare	STRYKER CORP 72459	159 00
8/1/2013	KFF - ML - Healthcare	BRISTOL-MYERS SQUIBB CO 11011	700 00
9/6/2013	KFF - ML - Healthcare	Amgen Inc.	329 00
9/10/2013	KFF - ML - Healthcare	Johnson & Johnson	462 00
10/7/2013	KFF - ML - Healthcare	MERCK AND CO INC SHS 46RT8	430 00
10/25/2013	KFF - ML - Healthcare	AETNA INC (NEW)(CT)	280 00
10/25/2013	KFF - ML - Healthcare	Medtronic Inc	280 00
10/25/2013	KFF - ML - Healthcare	Zimmer Hldgs Inc	160 00
10/31/2013	KFF - ML - Healthcare	ST JUDE MEDICAL INC 66363	275 00
10/31/2013	KFF - ML - Healthcare	STRYKER CORP 72459	159 00
1/10/2013	KFF - ML - Main	Altria Group Inc 007B0	1,540.00
1/11/2013	KFF - ML - Main	PHILIP MORRIS INTL INC 601S5	2,975 00
4/10/2013	KFF - ML - Main	Altria Group Inc 007B0	1,540 00
4/12/2013	KFF - ML - Main	PHILIP MORRIS INTL INC 601S5	2,975.00
7/10/2013	KFF - ML - Main	Altria Group Inc 007B0	1,540 00
7/12/2013	KFF - ML - Main	PHILIP MORRIS INTL INC 601S5	2,975 00
10/10/2013	KFF - ML - Main	Altria Group Inc 007B0	1,680 00
10/11/2013	KFF - ML - Main	PHILIP MORRIS INTL INC 601S5	3,290 00
6/28/2013	KFF - ML - Precious Metals	GOLDCORP INC	25 00
7/26/2013	KFF - ML - Precious Metals	GOLDCORP INC	25 00
8/30/2013	KFF - ML - Precious Metals	GOLDCORP INC	25 00
9/16/2013	KFF - ML - Precious Metals	BARRICK GOLD CORPORATIO 072L1	35 00
9/27/2013	KFF - ML - Precious Metals	NEWMONT MINING CORP (NEW)	275 00
9/27/2013	KFF - ML - Precious Metals	GOLDCORP INC	25 00
9/30/2013	KFF - ML - Precious Metals	IMPALA PLATINUM SPON AD 382B4	73 08
10/17/2013	KFF - ML - Precious Metals	SIBANYE GOLD LTD SPON ADR	51 84
10/25/2013	KFF - ML - Precious Metals	GOLDCORP INC	25 00
11/23/2012	KFF - UBS		10 56
12/21/2012	KFF - UBS		9 24
1/2/2013	KFF - UBS		2.64

1/24/2013 KFF - UBS			6 16
2/21/2013 KFF - UBS			5 13
3/21/2013 KFF - UBS			4 72
4/23/2013 KFF - UBS			5 56
5/23/2013 KFF - UBS			5 06
6/21/2013 KFF - UBS			3 55
7/24/2013 KFF - UBS			1.81
8/23/2013 KFF - UBS			0 06
9/23/2013 KFF - UBS			0 04
10/24/2013 KFF - UBS			0 04
11/1/2012 KFF - UBS - Health Care	BRISTOL MYERS SQUIBB CO		680 00
11/23/2012 KFF - UBS - Health Care			0.71
12/7/2012 KFF - UBS - Health Care	Amgen Inc		252 00
12/11/2012 KFF - UBS - Health Care	JOHNSON & JOHNSON COM		427.00
12/11/2012 KFF - UBS - Health Care	BIOTECH HOLDINGS TRUST	DEP RCPTS	84 85
12/21/2012 KFF - UBS - Health Care	UNITEDHEALTH GROUP INC		191 25
12/21/2012 KFF - UBS - Health Care			0 60
12/26/2012 KFF - UBS - Health Care	BIOTECH HOLDINGS TRUST	DEP RCPTS	24 00
12/28/2012 KFF - UBS - Health Care	Medtronic Inc		260 00
12/31/2012 KFF - UBS - Health Care	BECTON DICKINSON & CO		297 00
1/2/2013 KFF - UBS - Health Care			0.20
1/8/2013 KFF - UBS - Health Care	MERCK & CO INC NEW COM		430 00
1/24/2013 KFF - UBS - Health Care			0 90
1/25/2013 KFF - UBS - Health Care	Zimmer Holdings Inc		144 00
1/25/2013 KFF - UBS - Health Care	AETNA INC		280 00
1/31/2013 KFF - UBS - Health Care	St Jude Medical Inc		253 00
1/31/2013 KFF - UBS - Health Care	STRYKER CORP		185 50
2/1/2013 KFF - UBS - Health Care	BRISTOL MYERS SQUIBB CO		700 00
2/21/2013 KFF - UBS - Health Care			1 16
3/7/2013 KFF - UBS - Health Care	Amgen Inc		329 00
3/12/2013 KFF - UBS - Health Care	JOHNSON & JOHNSON COM		427 00
3/21/2013 KFF - UBS - Health Care			1 16
3/26/2013 KFF - UBS - Health Care	UNITEDHEALTH GROUP INC		191 25
3/29/2013 KFF - UBS - Health Care	BECTON DICKINSON & CO		297 00
4/5/2013 KFF - UBS - Health Care	MERCK & CO INC NEW COM		430 00
4/23/2013 KFF - UBS - Health Care			1 65
4/26/2013 KFF - UBS - Health Care	AETNA INC		280 00
4/26/2013 KFF - UBS - Health Care	Medtronic Inc		260 00
4/26/2013 KFF - UBS - Health Care	Zimmer Holdings Inc		160 00
4/30/2013 KFF - UBS - Health Care	St Jude Medical Inc		275 00
5/1/2013 KFF - UBS - Health Care	BRISTOL MYERS SQUIBB CO		700 00
5/23/2013 KFF - UBS - Health Care			1 22
6/7/2013 KFF - UBS - Health Care	Amgen Inc.		329 00
6/11/2013 KFF - UBS - Health Care	JOHNSON & JOHNSON COM		462 00
6/21/2013 KFF - UBS - Health Care			1 34
6/26/2013 KFF - UBS - Health Care	UNITEDHEALTH GROUP INC		252 00
7/8/2013 KFF - UBS - Health Care	MERCK & CO INC NEW COM		430 00
11/23/2012 KFF - UBS - Precious Metals	GOLDCORP INC NEW		22 50
11/23/2012 KFF - UBS - Precious Metals			0.27
11/29/2012 KFF - UBS - Precious Metals	ASA LTD		280 00
12/17/2012 KFF - UBS - Precious Metals	BARRICK GOLD CORP		140 00
12/21/2012 KFF - UBS - Precious Metals	GOLDCORP INC NEW		22 50
12/21/2012 KFF - UBS - Precious Metals			0 24
12/28/2012 KFF - UBS - Precious Metals	NEWMONT MINING CORP	(HOLDING CO)	385 00
12/31/2012 KFF - UBS - Precious Metals	MARKET VECTORS RARE	EARTH STRATEGIC METALS E	113 50
1/2/2013 KFF - UBS - Precious Metals			0 07
1/24/2013 KFF - UBS - Precious Metals			0 07
1/25/2013 KFF - UBS - Precious Metals	GOLDCORP INC NEW		25 00
2/22/2013 KFF - UBS - Precious Metals	GOLDCORP INC NEW		25.00
3/15/2013 KFF - UBS - Precious Metals	BARRICK GOLD CORP		140.00
3/18/2013 KFF - UBS - Precious Metals	IMPALA PLATINUM HLDG LTD	SPON ADR	45 84
3/21/2013 KFF - UBS - Precious Metals	GOLD FIELDS LTD SPON ADR		114 21
3/22/2013 KFF - UBS - Precious Metals	GOLDCORP INC NEW		25 00

3/28/2013	KFF - UBS - Precious Metals	NEWMONT MINING CORP	(HOLDING CO)	467 50
4/26/2013	KFF - UBS - Precious Metals	GOLDCORP INC NEW		25 00
5/24/2013	KFF - UBS - Precious Metals	GOLDCORP INC NEW		25 00
5/29/2013	KFF - UBS - Precious Metals	ASA LTD		24 00
6/17/2013	KFF - UBS - Precious Metals	BARRICK GOLD CORP		140 00
6/27/2013	KFF - UBS - Precious Metals	NEWMONT MINING CORP	(HOLDING CO)	385 00
Total				<u>67,922 81</u>

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
Dr. Tattoff	16,742.
First Hands Tickets	1,741.
From Partnerships-See Schedule	35,069.
Northern Trust	24.
Other Interest	88.
Total to Form 990-PF, Part I, line 3, Column A	53,664.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
From Partnerships-See Schedule	39,183.	0.	39,183.
See Schedule	67,923.	0.	67,923.
Total to Fm 990-PF, Part I, ln 4	107,106.	0.	107,106.

Form 990-PF Rental Income Statement 3

Kind and Location of Property	Activity Number	Gross Rental Income
Royalties - From Partnerships - See schedule	2	27.
Total to Form 990-PF, Part I, line 5a		27.

Form 990-PF Other Income Statement 4

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Other Income From Partnerships-See Schedule	2,383.	2,383.	
Other Income From Partnerships-See Schedule	9,283.	9,283.	
Investment Return on 20/20 Gene Systems	7,995.	7,995.	
Total to Form 990-PF, Part I, line 11	19,661.	19,661.	

Form 990-PF	Accounting Fees			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Accounting	3,000.	0.		0.	
To Form 990-PF, Pg 1, ln 16b	3,000.	0.		0.	

Form 990-PF	Other Professional Fees			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Administrative Services	1,549.	1,549.		0.	
Investment Management Fees	26,019.	26,019.		0.	
Bank Charges	587.	0.		0.	
Filing Fees	125.	0.		0.	
To Form 990-PF, Pg 1, ln 16c	28,280.	27,568.		0.	

Form 990-PF	Taxes			Statement	7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Foreign Withholding	1,034.	1,034.		0.	
Federal Taxes	77,606.	0.		0.	
To Form 990-PF, Pg 1, ln 18	78,640.	1,034.		0.	

Form 990-PF	Other Expenses			Statement	8
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Insurance	15,773.	0.		0.	
From Partnerships-See Schedule	1,523.	1,523.		0.	
From Partnerships-See Schedule	86,355.	86,355.		0.	
Miscellaneous	-124.	0.		0.	
To Form 990-PF, Pg 1, ln 23	103,527.	87,878.		0.	

Form 990-PF	Corporate Stock		Statement	9
Description	Book Value		Fair Market Value	
Marketable Securities	4,180,110.		4,974,815.	
Non-Marketable Securities	4,509,998.		4,992,424.	
Total to Form 990-PF, Part II, line 10b	8,690,108.		9,967,239.	

Form 990-PF	Other Investments		Statement	10
Description	Valuation Method	Book Value	Fair Market Value	
Investments in Partnerships	COST	677,404.	677,404.	
Total to Form 990-PF, Part II, line 13		677,404.	677,404.	

Form 990-PF Part VIII - List of Officers, Directors Statement 11
Trustees and Foundation Managers

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account
Joel S Kanter 8000 Towers Crescent Drive, #1300 Vienna, VA 22182	Pres / Trea / Director 5.00	0.	0. 0.
Joshua S Kanter 200 West Madison Street, #3900 Chicago, IL 60606	VP / Sec / Director 5.00	0.	0. 0.
Janis S Kanter 200 West Madison Street, #3900 Chicago, IL 60606	VP / Director 5.00	0.	0. 0.
Ricki Kanter 8000 Towers Crescent Drive, #1300 Vienna, VA 22182	VP / Director 2.00	0.	0. 0.
Catherine M Kanter 200 West Madison Street, #3900 Chicago, IL 60606	VP / Director 2.00	0.	0. 0.
Thomas McCormick 200 West Madison Street, #3900 Chicago, IL 60606	VP / Director 2.00	0.	0. 0.
Phillip J Karmin 727 Baldwin Avenue Highland Park, IL 60035	Director 1.00	0.	0. 0.
Totals included on 990-PF, Page 6, Part VIII		0.	0. 0.

Form 990-PF

Grant Application Submission Information
Part XV, Lines 2a through 2d

Statement 12

Name and Address of Person to Whom Applications Should be Submitted

Joel S Kanter
8000 Towers Crescent Drive, Suite 1300
Vienna, VA 22182

Telephone Number

703-448-7688

Form and Content of Applications

Requests should be sent to the Foundation stating the intended purpose and use of the donation. The Foundation's scope of interest are educational and cultural activities and programs located in the greater Chicago, IL, Washinton DC and Salt Lake City areas.

Any Submission Deadlines

None

Restrictions and Limitations on Awards

None

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. Kanter Family Foundation % Joel Kanter	Employer identification number (EIN) or 36-3682199
	Number, street, and room or suite no. If a P.O. box, see instructions. 8000 Towers Crescent Drive, No. 1300	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Vienna, VA 22182	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

Joel S Kanter - 8000 Towers Crescent Drive, Suite 1300 -

- The books are in the care of ► **Vienna, VA 22182**

Telephone No. ► **703-448-7688**

FAX No. ► **303 381-2551**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **June 16, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year _____ or
- ☒ tax year beginning **NOV 1, 2012**, and ending **OCT 31, 2013**.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 50,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 50,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2013)