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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2012

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning Nov 1, 2012, and ending Oct 31, 2013

Name of foundation THE BERAN FAMILY FOUNDATION		A Employer identification number 22-6780379
Number and street (or P O box number if mail is not delivered to street address) 145 KENT ROAD		B Telephone number (see the instructions) (201) 567-2333
City or town TENAFLY		C If exemption application is pending, check here <input type="checkbox"/>
State ZIP code NJ 07670		D 1 Foreign organizations, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial Return of a former public charity		D 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Final return <input type="checkbox"/> Amended return		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<input type="checkbox"/> Address change <input type="checkbox"/> Name change		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 2,190,692.		
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)				
	2 Ck <input type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	97,702.			
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		188,655.		
	8 Net short-term capital gain			188,655.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	97,702.	188,655.	188,655.		
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch) L-16c Stmt	1,750.	1,750.	1,750.	
	17 Interest				
	18 Taxes (attach schedule) (see instrs)				
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Line 23 Stmt	514.	514.	514.	
	24 Total operating and administrative expenses. Add lines 13 through 23	2,264.	2,264.	2,264.	
	25 Contributions, gifts, grants paid	117,281.			
26 Total expenses and disbursements. Add lines 24 and 25	119,545.	2,264.	2,264.		
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-21,843.				
b Net investment income (if negative, enter -0-)		186,391.			
c Adjusted net income (if negative, enter -0-)			186,391.		

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	109,031.	26,233.	26,233.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) L-10b Stmt	1,745,605.	1,995,215.	2,164,459.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	1,854,636.	2,021,448.	2,190,692.	
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)			
NET FUND ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>			
	24 Unrestricted	1,854,636.	2,021,448.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	1,854,636.	2,021,448.	
	31 Total liabilities and net assets/fund balances (see instructions)	1,854,636.	2,021,448.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year —Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).	1	1,854,636.
2 Enter amount from Part I, line 27a	2	-21,843.
3 Other increases not included in line 2 (itemize) GAIN ON SALE OF SECURITIES	3	188,655.
4 Add lines 1, 2, and 3	4	2,021,448.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) —Part II, column (b), line 30	6	2,021,448.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE ATTACHED SCHEDULE		P	Various	Various
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,682,334.		2,493,679.	188,655.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a 0.	0.	0.	188,655.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	188,655.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).			
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	— []	3	188,655.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	112,868.	0.	0.000000
2010	105,648.	0.	0.000000
2009	104,264.	0.	0.000000
2008	26,361.	0.	0.000000
2007	750.	0.	0.000000

2 Total of line 1, column (d)	2	0.000000
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.000000
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	0.
5 Multiply line 4 by line 3	5	0.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,864.
7 Add lines 5 and 6	7	1,864.
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	3,728.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,728.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,728.
6 Credits/Payments:			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6a		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		85.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		3,813.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		0.
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions)		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Form 990-PF (2012)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		X

Website address N/A

14 The books are in care of ORGANIZATION Telephone no (201) 567-2333
 Located at T TENAFLY NJ ZIP + 4 07670

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year. 15

16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1 b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement -see instructions.)	2 b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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Form 990-PF (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here. ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SAMUEL BERAN MT KISCO NY 07670	TRUSTEE 1.00	0.	0.	0.
DEBORAH ADOVCATE T ENAFLY NJ 07670	TRUSTEE 1.00	0.	0.	0.
CHAYA LOTTNER T ENAFLY NJ 07670	TRUSTEE 1.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 -see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

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Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a Average monthly fair market value of securities	1 a	
b Average of monthly cash balances	1 b	
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	0.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	0.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	0.
6 Minimum investment return. Enter 5% of line 5	6	0.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	0.
2 a Tax on investment income for 2012 from Part VI, line 5	2 a	3,728.	
b Income tax for 2012. (This does not include the tax from Part VI.)	2 b		
c Add lines 2a and 2b	2 c		3,728.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3		0.
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5		0.
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7		0.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1 a	
b Program-related investments—total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	0.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				0.
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only			0.	
b Total for prior years: 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2012:				
a From 2007	0.			
b From 2008	0.			
c From 2009	0.			
d From 2010	0.			
e From 2011	0.			
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: \$				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2012 distributable amount				
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008	0.			
b Excess from 2009	0.			
c Excess from 2010	0.			
d Excess from 2011	0.			
e Excess from 2012	0.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMIT WOMEN 817 BROADWAY #4 NEW YORK NY 10003	N/A	PUBLIC	UNRESTRICTED	180.
SOLOMON SCHECHTER DAY SCHOOL 275 MCKINLEY AVENUE NEW MILFROD NJ 07646	N/A	PUBLIC	UNRESTRICTED	11,477.
BIRCH WATHEN LENOX SCHOOL 210 EAST 77TH STREET NEW YORK NY 10075	N/A	PUBLIC	UNRESTRICTED	10,500.
UJA FEDERATION 50 EISENHOWER DRIVE PARAMUS NJ 07652	N/A	PUBLIC	UNRESTRICTED	6,174.
ANTI DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK NY 10158	N/A	PUBLIC	UNRESTRICTED	700.
CHAPPAQUA SCHOOL FOUNDATION PO BOX 202 CHAPPAQUA NY 10514	N/A	PUBLIC	UNRESTRICTED	1,000.
PROJECT KIDS WORLDWIDE 80 FIFTH AVE NEW YORK NY 10027	N/A	PUBLIC	UNRESTRICTED	200.
AMERICAN FRIENDS OF SHAARE CHAIM 3534 BEKELEY ROAD CLEVELAND OH 44118	N/A	PUBLIC	UNRESTRICTED	10,000.
AMERICAN JEWISH COMMITTEE 165 EAST 56 STREET NEW YORK NY 10022	N/A	PUBLIC	UNRESTRICTED	2,090.
See Line 3a statement				74,960.
Total			3 a	117,281.
b Approved for future payment				
Total			3 b	

TEEA0503 03/14/13

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545-0047

2012

Name of the organization

THE BERAN FAMILY FOUNDATION

Employer identification number

22-6780379

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

☐ 501(c)(____) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ,

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
INVESTMENT FEES	339.	339.	339.	
OTHER FEES	175.	175.	175.	
Total	<u>514.</u>	<u>514.</u>	<u>514.</u>	

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person <input type="checkbox"/> Business <input type="checkbox"/> SARA SPINDEL H ACKENSACK NJ 07670	TRUSTEE 1.00	0.	0.	0.
Person <input type="checkbox"/> Business <input type="checkbox"/> MINDY SATSKY NEW YORK, NY NEW YORK NY 07670	TRUSTEE 1.00	0.	0.	0.

Total

0. 0. 0.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year TEMPLE EMANU-EL ONE EAST 65 ST NEW YORK NY 10065	N/A	PUBLIC	UNRESTRICTED	Person or Business <input checked="" type="checkbox"/> 15,268.
TEMPLE SHAARY TEFILA 250 EAST 79TH ST NEW YORK NY 10075	N/A	PUBLIC	UNRESTRICTED	Person or Business <input checked="" type="checkbox"/> 3,014.
DUKE UNIVERSITY NC DURHAM NC 27708	N/A	PUBLIC	UNRESTRICTED	Person or Business <input checked="" type="checkbox"/> 2,500.
JEWISH FEDERATION 1391 MARTINE AVENUE SCOTCH PLAINS NJ 07076	N/A	PUBLIC	UNRESTRICTED	Person or Business <input checked="" type="checkbox"/> 250.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
<u>BLYTHEDALE CHILDREN'S HOSPITAL</u>				Person or <input type="checkbox"/>
<u>95 BRADHURST AVENUE</u>				Business <input checked="" type="checkbox"/>
<u>VALHALLA NY 10595</u>	N/A	PUBLIC	UNRESTRICTED	298.
<u>AMERICAN FRIEND OF AHAV</u>				Person or <input type="checkbox"/>
<u>ONE BATTERY PARK PLAZA 25TH FL</u>				Business <input checked="" type="checkbox"/>
<u>NEW YORK NY 10004</u>	N/A	PUBLIC	UNRESTRICTED	2,500.
<u>OLD OAKS FOUNDATION</u>				Person or <input type="checkbox"/>
<u>3100 PURCHASE STREET</u>				Business <input checked="" type="checkbox"/>
<u>NEW YORK NY 10577</u>	N/A	PUBLIC	UNRESTRICTED	1,000.
<u>UNIVERSITY OF MARYLAND</u>				Person or <input type="checkbox"/>
<u>X</u>				Business <input checked="" type="checkbox"/>
<u>COLLEGE PARK MD 20742</u>	N/A	PUBLIC	UNRESTRICTED	500.
<u>UNIVERSITY OF PENNSYLVANIA</u>				Person or <input type="checkbox"/>
<u>3451 WALNUT STREET</u>				Business <input checked="" type="checkbox"/>
<u>PHILADELPHIA PA 19104</u>	N/A	PUBLIC	UNRESTRICTED	2,500.
<u>WHITE PLAINS HOSPITAL</u>				Person or <input type="checkbox"/>
<u>41 EAST POST ROAD</u>				Business <input checked="" type="checkbox"/>
<u>WHITE PLAINS NY 10601</u>	N/A	PUBLIC	UNRESTRICTED	1,500.
<u>CAMP RAMAH</u>				Person or <input type="checkbox"/>
<u>3080 BROADWAY</u>				Business <input checked="" type="checkbox"/>
<u>NEW YORK NY 10027</u>	N/A	PUBLIC	UNRESTRICTED	700.
<u>CHILDRENS ACADEMY</u>				Person or <input type="checkbox"/>
<u>131 W 72ND STREET</u>				Business <input checked="" type="checkbox"/>
<u>NEW YORK NY 10002</u>	N/A	PUBLIC	UNRESTRICTED	2,500.
<u>DREXEL UNIVERSITY</u>				Person or <input type="checkbox"/>
<u>3141 CHESTNUT STREET</u>				Business <input checked="" type="checkbox"/>
<u>PHILADELPHIA PA 19104</u>	N/A	PUBLIC	UNRESTRICTED	500.
<u>JEWISH FAMILY SERVICE</u>				Person or <input type="checkbox"/>
<u>655 WESTFIELD AVE</u>				Business <input checked="" type="checkbox"/>
<u>ELIZABETH NJ 07208</u>	N/A	PUBLIC	UNRESTRICTED	2,680.
<u>AESTHETIC SURGERY EDUCATION</u>				Person or <input type="checkbox"/>
<u>11262 MONARCH STREET</u>				Business <input checked="" type="checkbox"/>
<u>GARDEN GROVE CA 92841</u>	N/A	PUBLIC	UNRESTRICTED	1,000.
<u>CENTRAL FUND OF ISRAEL</u>				Person or <input type="checkbox"/>
<u>980 AVE OF THE AMERICAS</u>				Business <input checked="" type="checkbox"/>
<u>NEW YORK NY 10018</u>	N/A	PUBLIC	UNRESTRICTED	240.
<u>HOPE'S DOOR</u>				Person or <input type="checkbox"/>
<u>860 F AVENUE STE 100</u>				Business <input checked="" type="checkbox"/>
<u>PLANO TX 75074</u>	N/A	PUBLIC	UNRESTRICTED	250.
<u>AMERICAN FRIENDS OF YESHIVA</u>				Person or <input type="checkbox"/>
<u>1802 AVENUE O</u>				Business <input checked="" type="checkbox"/>
<u>BROOKLYN NY 11230</u>	N/A	PUBLIC	UNRESTRICTED	180.
<u>AMERICAN MUSEUM NATIONAL HISTORY</u>				Person or <input type="checkbox"/>
<u>200 CENTRAL PARK N</u>				Business <input checked="" type="checkbox"/>
<u>NEW YORK NY 10024</u>	N/A	PUBLIC	UNRESTRICTED	452.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
BERGEN COUNTY HS JEWISH STUDIES 140 MAIN STREET HACKENSACK NJ 07601	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 1,000.
BERKSHIRE HILLS EMANUEL CAMP 159 EMPIRE ROAD COPAQUE NY 12516	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 6,024.
JEWISH NATIONAL FUND 42 EAST 69TH STREET NEW YORK NY 10021	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 3,595.
JCC PALISADES 411 EAST CLINTON AVENUE TENAFLY NJ 07670	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 4,216.
LUSTERGARDEN FOUNDATION 1111 STEWART AVENUE BETHPAGE NY 11714	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 5,000.
MOUNT KISCO VOLUNTEER FIRE DEPT 322 LEXINGTON AVE MT KISCO NY 10549	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 100.
NATIONAL HEADACHE FOUNDATION 820 N CRIENANS ST STE 411 CHICAGO IL 60610	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 500.
NATIONAL CONF OF SYNAGOGUE YOUTH 11TH & BROADWAY NEW YORK NY 10004	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 4,675.
NETWORK FOR GOOD 1140 CONNECTICUT AVE NW WASHINGTON DC 20036	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 371.
OHR TORAH STONE INSTITUTION 49 W 45TH STREET NEW YORK NY 10036	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 1,000.
ORTHODOX UNION 11TH & BROADWAY FL 13 NEW YORK NY 10004	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 36.
P.E.F. ISRAEL ENDOWMENT FUND 317 MADISON AVE STE 607 NEW YORK NY 10017	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 3,000.
PET RESGTOO INC 24 W RAILROAD AVE STE 172 TENAFLY NJ 07670	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 500.
TENAFLY HIGH SCHOOL 500 TENAFLY ROAD TENAFLY NJ 07670	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 31.
THE BRANDEIS SCHOOL 25 FROST LANE LAWRENCE NY 11559	N/A	PUBLIC	UNRESTRICTED	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 1,080.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a <i>Paid during the year</i>				
UNION COLLEGE				Person or <input type="checkbox"/>
807 UNION STREET				Business <input checked="" type="checkbox"/>
SCHENECTANY NY 12308	N/A	PUBLIC	UNRESTRICTED	1,000.
WASHINGTON UNIVERSITY				Person or <input type="checkbox"/>
ONE BROOKINGS DRIVE				Business <input checked="" type="checkbox"/>
ST LOUIS MO 63130	N/A	PUBLIC	UNRESTRICTED	5,000.

Total

74,960.

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STA ASSOC LLC	TAX PREP	1,750.	1,750.	1,750.	

Total

1,750. 1,750. 1,750.

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
PER INVESTMENT STATEMENT	1,995,215.	2,164,459.
Total	<u><u>1,995,215.</u></u>	<u><u>2,164,459.</u></u>

THE BERAN FAMILY FOUNDATION
SCHEDULE OF LONG/SHORT TERM GAIN/(LOSS)
11/1/12 - 10/31/13

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	GROSS PROCEEDS	COST BASIS	GAIN (LOSS)	LONG TERM	SHORT TERM
AFLAC INC	400	3/16/2011	11/2/2012	19,842.26	21,302.68	(1,460.42)	(1,460.42)	-
AFLAC INC	100	6/17/2011	11/2/2012	4,960.57	4,636.64	323.93	323.93	-
TRANSOCEAN LIMITED	100	12/7/2011	11/5/2012	4,665.64	4,634.98	30.66	-	30.66
TRANSOCEAN LIMITED	200	1/25/2012	11/5/2012	9,331.30	9,458.97	(127.67)	-	(127.67)
AFLAC INC	500	6/17/2011	11/14/2012	24,619.43	23,183.16	1,436.27	1,436.27	-
JOHNSON & JOHNSON	425	6/11/2010	11/15/2012	28,903.47	25,030.51	3,872.96	3,872.96	-
PRIDENTIAK FINANCIAL INC	15,000	5/19/2010	11/15/2012	15,000.00	15,000.00	-	-	-
FOREST OIL CORP COM	250	5/13/2011	11/20/2012	1,584.78	5,825.89	(4,241.11)	(4,241.11)	-
FOREST OIL CORP COM	250	6/16/2011	11/20/2012	1,584.78	4,847.96	(3,263.18)	(3,263.18)	-
FOREST OIL CORP COM	500	7/15/2011	11/20/2012	3,169.58	8,960.14	(5,790.56)	(5,790.56)	-
WHIRLPOOL CORP	250	1/13/2012	11/20/2012	24,936.43	13,239.00	11,697.43	-	11,697.43
TIMKEN COMPANY	750	11/15/2012	11/28/2012	34,238.72	29,027.14	5,211.58	-	5,211.58
CROCS INC	1,000	3/15/2012	11/29/2012	13,453.77	19,934.00	(6,480.23)	-	(6,480.23)
WHIRLPOOL CORP	250	1/13/2012	11/29/2012	25,471.64	12,360.31	13,111.33	-	13,111.33
MARKET VECTORS ETF TRUST	1,000	2/13/2012	12/4/2012	20,670.52	28,764.00	(8,093.48)	-	(8,093.48)
WHIRLPOOL CORP	250	1/3/2012	12/4/2012	25,004.93	12,360.30	12,644.63	-	12,644.63
CALIFORNIA HOUSING	25,000	3/11/2010	12/4/2012	24,418.13	21,371.97	3,046.16	3,046.16	-
FOREST OIL CORP COM	1,000	11/4/2011	12/10/2012	6,200.65	15,443.00	(9,242.35)	(9,242.35)	-
WHIRLPOOL CORP	250	1/9/2012	12/10/2012	24,643.66	12,966.50	11,677.16	-	11,677.16
CONSOL ENERGY INC	1,000	3/6/2012	12/12/2013	33,779.23	32,186.25	1,592.98	-	1,592.98
WASTE MANAGEMENT INC DEL	1,000	4/17/2012	12/14/2012	33,174.77	35,860.64	(2,685.87)	-	(2,685.87)
CROCS INC	1,000	3/8/2012	12/18/2012	13,685.68	18,708.34	(5,022.66)	-	(5,022.66)
BLACKROCK GLOBAL	300	1/10/2011	12/27/2012	3,783.21	5,480.88	(1,697.67)	(1,697.67)	-
BLACKROCK GLOBAL	700	1/31/2012	12/27/2012	8,827.49	10,818.04	(1,990.55)	-	(1,990.55)
DISCOVER FINANCIAL SVCS	500	8/16/2010	12/27/2012	18,445.41	7,511.74	10,933.67	10,933.67	-
ABB LIMITED	1,000	1/28/2011	12/28/2012	20,175.53	24,392.11	(4,216.58)	(4,216.58)	-
ABB LIMITED	500	12/19/2011	12/31/2012	10,097.76	9,213.00	884.76	884.76	-
ABB LIMITED	500	5/22/2012	12/31/2012	10,097.77	8,515.51	1,582.26	-	1,582.26
PROCTER & GAMBLE COMPANY	500	41,172	1/4/2013	33,900.22	35,244.00	(1,343.78)	-	(1,343.78)
GOLDMAN SACHS GROUP INC	425	10/1/2012	1/11/2013	57,649.12	50,380.57	7,268.55	-	7,268.55
GENL MOTORS ACCEPT CORP	25,000	5/1/2010	1/15/2013	25,000.00	25,000.00	-	-	-
CROCS INC	500	1/15/2013	1/16/2013	6,995.83	10,599.33	(3,603.50)	-	(3,603.50)
JOHNSON CONTROLS INC	250	1/13/2012	1/18/2013	7,503.68	7,503.68	-	-	-
JOHNSON CONTROLS INC	500	2/3/2012	1/18/2013	15,007.37	15,007.37	-	-	-
AMERICAN INTL GROUP INC	500	3/28/2012	1/23/2013	17,685.59	15,117.00	2,568.59	-	2,568.59
JOHNSON CONTROLS INC	250	12/19/2012	1/23/2013	7,468.44	9,079.09	(1,610.65)	(1,610.65)	-
MORGAN STANLEY	25,000	4/27/2010	1/29/2013	25,000.00	24,625.00	375.00	375.00	-
MORGAN STANLEY	15,000	4/4/2011	1/29/2013	15,000.00	14,970.00	30.00	30.00	-
CHESAPEAKE ENERGY CORP	1,200	6/6/2012	1/30/2013	24,729.03	22,267.76	2,461.27	-	2,461.27
CHESAPEAKE ENERGY CORP	800	6/11/2012	1/30/2013	16,486.03	15,152.00	1,334.03	-	1,334.03
ADVISORS DISCIPLINED TR	32	VARIOUS	2/1/2013	315.99	314.70	1.29	1.29	-
ADVISORS DISCIPLINED TR	2	VARIOUS	2/1/2013	20.41	19.20	1.21	-	1.21
NEW YORK COMMUNITY	1,000	7/20/2011	2/5/2013	13,227.69	15,423.00	(2,195.31)	(2,195.31)	-
NEW YORK COMMUNITY	1,000	12/13/2011	2/5/2013	13,227.70	12,352.10	875.60	875.60	-
EMERSON ELECTRIC COMPANY	500	7/27/2011	2/7/2013	28,213.35	25,553.00	2,660.35	2,660.35	-
NORDSON CORP	400	11/30/2012	2/12/2013	27,503.37	25,027.00	2,476.37	-	2,476.37
MORGAN STANLEY	25,000	8/5/2011	2/12/2013	25,000.00	25,250.00	(250.00)	(250.00)	-
MORGAN STANLEY	25,000	8/30/2011	2/12/2013	25,000.00	25,125.00	(125.00)	(125.00)	-
MORGAN STANLEY	25,000	10/28/2011	2/12/2013	25,000.00	24,187.50	812.50	812.50	-
MORGAN STANLEY	25,000	12/12/2012	2/12/2013	25,000.00	25,187.50	(187.50)	-	(187.50)
JOHNSON CONTROLS INC	500	12/19/2012	2/26/2013	15,015.65	17,172.63	(2,156.98)	(2,156.98)	-
BANK OF AMERICA CORP	10,000	11/14/2012	2/26/2013	10,000.00	10,037.50	(37.50)	-	(37.50)
CHECKPOINT SYSTEMS INC	500	5/29/2012	2/27/2013	5,937.31	4,040.84	1,896.47	-	1,896.47
CA INC	1,000	7/6/2011	3/4/2013	23,900.45	23,423.08	477.37	477.37	-
CHECKPOINT SYSTEMS INC	2,500	5/29/2012	3/5/2013	30,118.50	20,204.16	9,914.34	-	9,914.34
DU PONT E.I. DE NEMOURS	500	12/4/2012	3/6/2013	24,015.45	21,520.95	2,494.50	-	2,494.50
DU PONT E.I. DE NEMOURS	500	12/4/2012	3/8/2013	24,025.45	21,520.95	2,504.50	-	2,504.50
MORGAN STANLEY DEP SHS	200	1/8/2013	3/11/2013	4,412.56	4,010.00	402.56	-	402.56
MORGAN STANLEY DEP SHS	500	1/9/2013	3/11/2013	11,031.41	10,050.00	981.41	-	981.41
MORGAN STANLEY DEP SHS	500	1/10/2013	3/11/2013	11,031.42	10,150.00	881.42	-	881.42
MORGAN STANLEY DEP SHS	1,300	1/8/2013	3/11/2013	28,278.34	26,065.00	2,213.34	-	2,213.34
MORGAN STANLEY & COMPANY	25,000	2/29/2012	3/15/2013	25,000.00	24,718.75	281.25	281.25	-
KINDER MORGAN INC	1,000	11/9/2012	3/18/2013	35,934.58	34,452.86	1,481.72	-	1,481.72
MORGAN STANLEY	25,000	4/30/2012	3/18/2013	25,000.00	24,875.00	125.00	-	125.00
MORGAN STANLEY	25,000	1/14/2013	3/22/2013	25,000.00	25,125.00	(125.00)	-	(125.00)
SURBURBAN PROPANE PARTNERS	500	2/15/2012	3/25/2013	21,621.37	21,912.32	(290.95)	(290.95)	-
SURBURBAN PROPANE PARTNERS	500	6/13/2012	3/25/2013	21,621.37	19,443.00	2,178.37	-	2,178.37
GLADSTONE LAND CORP	1,000	1/28/2013	4/2/2013	15,352.78	15,000.00	352.78	-	352.78
NORFOLK SOUTHERN CORP	500	3/6/2012	4/4/2013	36,887.16	33,369.00	3,518.16	3,518.16	-
NORFOLK SOUTHERN CORP	250	10/12/2013	4/4/2013	18,443.58	16,909.00	1,534.58	-	1,534.58

NORFOLK SOUTHERN CORP	250	11/2/2012	4/4/2013	18,443 59	15,679 00	2,764 59	-	2,764 59
HESKA CORP NEW	1,000	9/25/2012	4/8/2013	8,798 60	9,290 50	(491 90)	-	(491 90)
MCGRAW-HILL COMPANIES	500	2/5/2013	4/8/2013	25,467 49	24,454 00	1,013.49	-	1,013.49
AMERICAN INTL GROUP INC	500	4/4/2012	4/15/2013	19,195 56	15,829 00	3,366 56	3,366 56	-
CALUMET SPECIALTY	1,000	10/17/2012	4/16/2013	36,116.84	31,869 50	4,247 34	-	4,247.34
CALUMET SPECIALTY	500	2/8/2013	4/16/2013	18,058 43	17,349.00	709 43	-	709.43
GOLDMAN SACHS GROUP INC	1,000	1/17/2013	4/18/2013	23,553 06	21,695 10	1,857.96	-	1,857.96
GOLDMAN SACHS GROUP INC	1,000	1/18/2013	4/18/2013	23,553 06	21,670 00	1,883 06	-	1,883 06
GOLDMAN SACHS GROUP INC	500	1/29/2013	4/18/2013	11,776 54	10,975 00	801.54	-	801.54
BNP PARIBAS	25,000	5/17/2010	4/29/2013	25,000.00	24,875 00	125 00	125 00	-
BNP PARIBAS	25,000	5/18/2010	4/29/2013	25,000 00	24,875 00	125.00	125 00	-
HESKA CORP NEW	1,000	9/25/2012	5/3/2013	8,281.83	9,290.50	(1,008 67)	-	(1,008.67)
HESKA CORP NEW	500	10/2/2012	5/3/2013	4,140.91	4,807 00	(666 09)	-	(666 09)
HESKA CORP NEW	500	10/5/2012	5/3/2013	4,140.92	4,929 48	(788 56)	-	(788 56)
CROCS INC	500	3/20/2012	5/6/2013	7,950 15	9,958 50	(2,008 35)	(2,008 35)	-
CROCS INC	500	5/8/2012	5/6/2013	7,950.15	8,584 00	(633 85)	-	(633 85)
CROCS INC	500	1/15/2013	5/6/2013	7,950 15	10,599 33	(2,649 18)	(2,649 18)	-
BARNES & NOBLE INC	1,550	12/10/2012	5/9/2013	33,510.62	24,451.50	9,059 12	-	9,059 12
BARNES & NOBLE INC	1,450	1/7/2013	5/9/2013	31,348.65	20,534 13	10,814.52	-	10,814 52
BARNES & NOBLE INC	1,000	3/4/2013	5/9/2013	21,619 77	16,671 00	4,948.77	-	4,948.77
CAMPBELL SOUP COMPANY	500	9/20/2012	5/17/2013	23,341 46	17,977 47	5,363 99	-	5,363 99
CORTS TRUST GOLDMAN SACH	1,000	4/15/2013	5/17/2013	25,000.00	25,250 00	(250.00)	-	(250 00)
WHITING USA TRUST II	1,000	11/16/2012	5/17/2013	13,085 70	16,474 00	(3,388 30)	-	(3,388 30)
LILLY ELI & COMPANY	250	2/27/2012	5/31/2013	13,180.76	9,901.00	3,279 76	-	3,279 76
FORD MOTOR COMPANY	2,500	9/19/2012	6/5/2013	38,245 32	26,979 48	11,265.84	-	11,265.84
MERCK & COMPANY INC	500	11/10/2011	6/18/2013	23,419 08	17,799 29	5,619 79	5,619 79	-
NOKIA CORP	5,000	2/7/2013	6/18/2013	18,053.79	21,280 00	(3,226.21)	-	(3,226 21)
MERCK & COMPANY INC	200	11/10/2011	6/20/2013	9,312.38	7,119 71	2,192 67	2,192.67	-
MERCK & COMPANY INC	300	1/3/2013	6/20/2013	13,968.57	12,827.50	1,141 07	-	1,141 07
FREEMPORT MCMORAN COPPER	500	12/7/2012	6/26/2013	13,298 90	16,392 00	(3,093.10)	-	(3,093 10)
PRUDENTIAL FINANCIAL INC	500	11/26/2012	7/1/2013	36,295 35	26,333 75	9,961 60	-	9,961 60
WHITING USA TRUST II	500	11/20/2012	7/1/2013	6,330.38	8,873 00	(2,542.62)	-	(2,542.62)
WHITING USA TRUST II	500	1/3/2013	7/1/2013	6,330 39	8,348.55	(2,018 16)	-	(2,018 16)
PRUDENTIAL FINANCIAL INC	500	11/29/2012	7/2/2013	36,488 35	26,499.00	9,989 35	-	9,989 35
DUNKIN BRANDS GRP INC	1,000	1/31/2013	7/8/2013	44,029 88	37,449 00	6,580.88	-	6,580.88
DUNKIN BRANDS GRP INC	350	2/4/2013	7/8/2013	15,410.46	13,170.50	2,239.96	-	2,239 96
DUNKIN BRANDS GRP INC	150	2/11/2013	7/8/2013	6,604 49	5,843 47	761 02	-	761 02
CHESAPEAKE ENERGY CORP	1,500	3/18/2013	7/18/2013	32,856 66	34,404 00	(1,547.34)	-	(1,547 34)
UNITED RENTALS INC	500	9/18/2012	7/23/2013	27,641 71	17,895 00	9,746 71	-	9,746.71
TRADE STREET RESIDENTIAL	900	5/13/2013	7/29/2013	6,788 86	9,000 00	(2,211 14)	-	(2,211 14)
TRADE STREET RESIDENTIAL	600	5/13/2013	7/29/2013	4,429 10	6,000 00	(1,570 90)	-	(1,570 90)
UNITED RENTALS INC	100	9/18/2012	7/29/2013	5,355 20	3,579 00	1,776 20	-	1,776 20
UNITED RENTALS INC	400	9/25/2012	7/29/2013	21,420.82	14,000 00	7,420 82	-	7,420 82
YAHOO INC	1,000	3/5/2013	8/1/2013	27,987 50	23,304.00	4,683 50	-	4,683.50
YAHOO INC	500	3/25/2013	8/1/2013	13,993.75	12,149 00	1,844 75	-	1,844 75
YAHOO INC	500	4/10/2013	8/1/2013	13,993 76	12,394.00	1,599 76	-	1,599 76
MICROSOFT CORP	1,000	4/29/2013	8/13/2013	31,825 94	33,084 00	(1,258 06)	-	(1,258 06)
CONAGRA FOODS INC	1,000	10/11/2012	8/15/2013	34,855 38	28,653 75	6,201 63	-	6,201 63
TRADE STREET RESIDENTIAL	1,000	5/13/2013	8/22/2013	6,722 64	10,000 00	(3,277 36)	-	(3,277 36)
PINNACLE FOODS INC DE	1,250	3/28/2013	8/29/2013	33,945 80	28,147 19	5,798 61	-	5,798 61
CAMPBELL SOUP COMPANY	500	9/20/2012	9/3/2013	21,128 96	17,977 47	3,151 49	-	3,151 49
CAMPBELL SOUP COMPANY	250	2/14/2013	9/3/2013	10,564 49	10,152 28	412 21	-	412 21
PINNACLE FOODS INC DE	750	3/2/2013	9/5/2013	20,825 32	16,888 31	3,937.01	-	3,937.01
PINNACLE FOODS INC DE	500	4/3/2013	9/5/2013	13,883 56	12,209.00	1,674 56	-	1,674 56
AMERICAN REALTY CAP	1,000	6/5/2013	9/10/2013	12,361 78	15,702 00	(3,340.22)	-	(3,340 22)
UNITEDHEALTH GROUP INC	750	7/8/2013	9/10/2013	55,265 64	50,762 80	4,502 84	-	4,502 84
AMERICAN REALTY CAP	500	4/16/2013	9/16/2013	6,069 45	8,414 00	(2,344.55)	-	(2,344 55)
BIG LOTS INC	1,000	10/8/2012	9/16/2013	36,669.85	31,506.00	5,163.85	-	5,163 85
BIG LOTS INC	500	2/7/2013	9/16/2013	18,334 93	16,844 00	1,490.93	-	1,490 93
BIG LOTS INC	500	6/5/2013	9/16/2013	18,334 93	17,111 98	1,222 95	-	1,222.95
AMERICAN REALTY CAP	1,000	3/20/2013	9/26/2013	12,247 38	14,629 00	(2,381.62)	-	(2,381.62)
ABBVIE INC	750	4/4/2013	10/8/2013	33,767 38	31,416 57	2,350 81	-	2,350 81
AMERICAN REALTY CAP	1,500	3/6/2013	10/8/2013	18,554 99	21,369 00	(2,814 01)	-	(2,814.01)
NEW JERSEY ST HOUSING AUTH	15,000	10/1/2011	10/22/2013	15,078.25	15,169 17	(90 92)	(90 92)	-
AFLAC INC	500	5/21/2013	10/29/2013	32,830 14	28,512 00	4,318 14	-	4,318 14
AFLAC INC	250	5/29/2013	10/29/2013	16,415 07	14,050 00	2,365 07	-	2,365.07
COACH UNC	500	1/23/2013	10/29/2013	24,670 61	26,304 00	(1,633 39)	-	(1,633 39)
AFLAC INC	250	5/29/2013	10/30/2013	15,995 24	14,050 00	1,945 24	-	1,945.24
AFLAC INC	250	6/14/2013	10/30/2013	15,995.24	14,821 50	1,173 74	-	1,173.74
WET SEAL INC	1500	6/10/2013	10/30/2013	4,943 82	7,856 77	(2,912 95)	-	(2,912 95)
				<u>2,682,334.04</u>	<u>2,493,678 55</u>	<u>188,655 49</u>	<u>(330 92)</u>	<u>188,986 41</u>