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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052






2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 11-01-2012 , and ending 10-31-2013

Name of foundation MORRIS BRAUN FOUNDATION		A Employer identification number 20-1921857	
Number and street (or P O box number if mail is not delivered to street address) 241 E DEERPATH		B Telephone number (see instructions) (847) 615-4540	
City or town, state, and ZIP code LAKE FOREST, IL 60045		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,891,891		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	2	2		
	4 Dividends and interest from securities. . . . .	38,911	38,911		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	110,817			
	b Gross sales price for all assets on line 6a _____ 655,273				
	7 Capital gain net income (from Part IV, line 2) . . . .		110,817		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	149,730	149,730		
	13 Compensation of officers, directors, trustees, etc	27,163	10,213		16,950
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .	4,146	4,146		
	b Accounting fees (attach schedule) . . . . .	4,625	4,625		
	c Other professional fees (attach schedule) . . . . .	22,060	22,060		
	17 Interest . . . . .	7			
	18 Taxes (attach schedule) (see instructions)	3,812	472		
	19 Depreciation (attach schedule) and depletion . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	86	86		
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	61,899	41,602		16,950
	25 Contributions, gifts, grants paid . . . . .	80,680			80,680
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	142,579	41,602		97,630
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	7,151			
	b <b>Net investment income</b> (if negative, enter -0-)		108,128		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	423	597	597
	2	Savings and temporary cash investments . . . . .	67,435	69,942	69,942
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	322,272	 332,065	328,164
	b	Investments—corporate stock (attach schedule) . . . . .	968,932	 951,049	1,225,919
	c	Investments—corporate bonds (attach schedule). . . . .	251,372	 263,056	266,393
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)		 876	 876
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,610,434	1,617,585	1,891,891
	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds . . . . .	1,610,434	1,617,585	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
Net Assets or Fund Balances	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	1,610,434	1,617,585	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	1,610,434	1,617,585	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	1,610,434
2	Enter amount from Part I, line 27a . . . . .	2	7,151
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	1,617,585
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	1,617,585

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	<div><div>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</div></div>	2	110,817
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) <div>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .</div>		3	18,309

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	73,825	1,740,577	0 04241
2010	69,305	1,697,459	0 04083
2009	93,383	1,602,497	0 05827
2008	88,988	1,531,072	0 05812
2007	44,775	1,781,278	0 02514

2	Total of line 1, column (d). . . . .	2	0 22477
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 04496
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5. . . . .	4	1,809,425
5	Multiply line 4 by line 3. . . . .	5	81,343
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	1,081
7	Add lines 5 and 6. . . . .	7	82,424
8	Enter qualifying distributions from Part XII, line 4. . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	97,630

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	1,081
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2. . . . .		3	1,081
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	1,081
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	2,100	
b		Exempt foreign organizations—tax withheld at source . . . . .	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld . . . . .	6d		
7		Total credits and payments Add lines 6a through 6d. . . . .		7	2,100
8		Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	3
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	1,016
11		Enter the amount of line 10 to be Credited to 2013 estimated tax	1,016	Refunded	11

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?. . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation  \$ _____ (2) On foundation managers  \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"><li>By language in the governing instrument, or</li><li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .</li></ul>	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)  IL _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶FIRST MIDWEST BANK Telephone no ▶(847) 615-4540 Located at ▶241 E DEERPATH LAKE FOREST IL ZIP +4 ▶600451913			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?. . . . .	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		No
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i> ). . . . .	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		No
	Organizations relying on a current notice regarding disaster assistance check here.			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA CHYETTE	CO-TRUSTEE	16,950		
5447 FIRETHORN COURT	3 00			
CINCINNATI, OH 45242				
TIMOTHY G CARROLL	CO-TRUSTEE	0		
231 S EDGEWOOD AVENUE	1 00			
LA GRANGE, IL 605252151				
FIRST MIDWEST BANK	CO-TRUSTEE	10,213		
241 E DEERPATH	2 00			
LAKE FOREST, IL 600451913				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

## Part IX-A Summary of Direct Charitable Activities

1	NONE	0
2		
3		
4		

## Part IX-B Summary of Program-Related Investments (see instructions)

**Total.** Add lines 1 through 3. . . . . 



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	1,766,376
b	Average of monthly cash balances. . . . .	1b	70,604
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	1,836,980
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	1,836,980
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	27,555
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,809,425
6	Minimum investment return. Enter 5% of line 5. . . . .	6	90,471

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	90,471
2a	Tax on investment income for 2012 from Part VI, line 5. . . . .	2a	1,081
b	Income tax for 2012 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	1,081
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	89,390
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	89,390
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	89,390

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	97,630
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	97,630
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	1,081
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	96,549
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				89,390
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only. . . . .			76,466	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007. . . . .				
b From 2008. . . . .				
c From 2009. . . . .				
d From 2010. . . . .				
e From 2011. . . . .				
f Total of lines 3a through e. . . . .				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 97,630				
a Applied to 2011, but not more than line 2a			76,466	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d Applied to 2012 distributable amount. . . . .				21,164
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013. . . . .				68,226
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a. . . . .				
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	80,680
b Approved for future payment				
Total . . . . .			3b	

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments			14	2	
<b>4</b> Dividends and interest from securities. . . . .			14	38,911	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	110,817	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory. . .					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). . . . .				149,730	
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . .				149,730	149,730

[illegible]

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash. . . . .	<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets. . . . .	<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .	<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .	<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .	<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .	<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .	<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .	<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .	<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.			

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

*****	2013-12-31	*****	
Signature of officer or trustee	Date	Title	
			May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

May the IRS discuss this return with the preparer shown below (see instr )? ☒ **Yes** ☐ **No**

**Paid  
Preparer  
Use  
Only**

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN P00391565
	James A Gioia CPA	James A Gioia CPA			
	Firm's name <input type="checkbox"/>	James Gioia & CompanyPC			Firm's EIN <input type="checkbox"/>
		30 North Walkup Avenue			
	Firm's address <input type="checkbox"/>	Crystal Lake, IL 600144318			Phone no (815) 459-5700

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
416 SYMANTEC CORP	P	2010-09-29	2012-10-31
104 PRUDENTIAL FINL INC	P	2010-05-25	2012-10-31
33 ANALOG DEVICES INC	P	2011-01-19	2012-10-31
51 ANALOG DEVICES INC	P	2011-01-18	2012-10-31
FRC SH NEW NEWSCORP LLC	P	2010-08-31	2013-07-18
98 INTERNATIONAL PAPER CO	P	2013-01-14	2013-10-10
124 INTERNATIONAL PAPER CO	P	2012-12-17	2013-10-10
80 RAYTHEON CO DELAWARE	P	2008-11-25	2013-10-23
5 RAYTHEON CO DELAWARE	P	2006-05-31	2013-10-23
69 RAYTHEON CO DELAWARE	P	2006-05-31	2013-10-22
31 RAYTHEON CO DELAWARE	P	2006-05-31	2013-10-21
23 RAYTHEON CO DELAWARE	P	2006-05-04	2013-10-21
260 TWENTY-FIRST CENTURY	P	2012-10-04	2013-10-10
55 TWENTY-FIRST CENTURY	P	2010-08-31	2013-10-10
61 GOLDMAN SACHS GROUP INC	P	2012-09-25	2013-10-10
5 GOLDMAN SACHS GROUP INC	P	2012-09-24	2013-10-10
30 CHEVRON CORP	P	2010-01-29	2013-10-10
30 CHEVRON CORP	P	2009-09-23	2013-10-10
15 CHEVRON CORP	P	2007-02-05	2013-10-10
9 CHEVRON CORP	P	2004-08-30	2013-10-10
16 LEGRAND SA	P	2012-10-23	2013-08-29
29 VODAFONE GRO P PLC	P	2012-10-23	2013-08-29
89 TESCO PLC SPNRD ADR	P	2012-10-23	2013-09-12
85 TESCO PLC SPNRD ADR	P	2012-10-23	2013-09-11
37 TESCO PLC SPNRD ADR	P	2012-10-23	2013-09-10
77 NIKE INC CL B	P	2012-12-12	2013-09-23
44 NIKE INC CL B	P	2012-12-12	2013-09-20
5 NIKE INC CL B	P	2012-12-12	2013-09-19
54 NIKE INC CL B	P	2012-12-11	2013-09-19
41 NIKE INC CL B	P	2012-12-11	2013-09-18

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18 MICROSOFT CORP	P	2012-03-14	2013-08-28
7 MICROSOFT CORP	P	2012-03-13	2013-08-28
4 LEGRAND SA	P	2012-10-23	2013-07-31
30 UNITED CONTL HLDGS INC	P	2013-01-14	2013-07-31
32 USBANCORP	P	2012-08-16	2013-07-31
8 UNILEVER NV NY REG SHS	P	2012-10-23	2013-07-31
2 TIME WARNER CABLE INC	P	2013-07-31	2013-08-06
5 TIME WARNER CABLE INC	P	2012-10-04	2013-08-06
48 TIME WARNER CABLE INC	P	2012-10-04	2013-08-05
14 TIME WARNER CABLE INC	P	2012-09-25	2013-08-05
37 TIME WARNER CABLE INC	P	2012-09-24	2013-08-05
9 TRW AUTOMOTIVE HLDGS CRP	P	2013-02-20	2013-07-31
17 TESCO PLC SPNRD ADR	P	2012-10-23	2013-07-31
15 TERADATA CORP	P	2012-11-13	2013-07-31
23 SUNTRUST BANKS INC	P	2013-01-14	2013-07-31
21 SUNCOR ENERGY INC	P	2013-01-14	2013-07-31
10 SVENSKA HANDELSBANKEN AB	P	2012-10-23	2013-07-31
4 REYNOLDS AMERICAN INC	P	2012-10-23	2013-07-31
82 PFIZER INC	P	2012-08-16	2013-07-31
3 PEPSICO INC	P	2012-10-23	2013-07-31
10 PACKAGING CORP AMERICA	P	2013-02-20	2013-07-31
13 ORACLE CORP \$0 01	P	2012-10-31	2013-07-31
27 NIKE INC CL B	P	2012-12-11	2013-07-31
7 NESTLES A REP RG SH ADR	P	2012-10-23	2013-07-31
7 NOVARTIS ADR	P	2012-10-23	2013-07-31
4 MASTERCARD INC	P	2012-12-11	2013-07-31
11 MARATHON OIL CORP	P	2013-02-20	2013-07-31
11 LOWE'S COMPANIES INC	P	2013-04-29	2013-07-31
5 KRAFT FOODS GROUP INC	P	2012-10-23	2013-07-31
20 INGERSOLL-RAND CO LTD	P	2012-11-13	2013-07-31



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
28 INTERNATIONAL PAPER CO	P	2012-10-23	2013-07-31
35 INTERNATIONAL BUSINESS MACHINES	P	2013-02-22	2013-08-08
6 INTERNATIONAL BUSINESS MACHINES	P	2013-02-21	2013-08-08
8 INTERNATIONAL BUSINESS MACHINES	P	2013-02-20	2013-08-08
2 INTERNATIONAL BUSINESS MACHINES	P	2013-02-20	2013-07-31
31 HENNES AND MAURITZ AB	P	2012-10-23	2013-07-31
4 GENUINE PARTS CO	P	2012-10-23	2013-07-31
14 GOLDMAN SACHS GROUP INC	P	2012-09-24	2013-07-31
6 EMERSON ELECTRIC CO	P	2012-10-23	2013-07-31
45 EMC CORP MASS	P	2012-10-31	2013-07-31
24 DISNEY WALT CO	P	2012-12-11	2013-07-31
4 DBS GROUP HLDGS SPN ADR	P	2012-10-23	2013-07-31
33 COCA COLA COMPANY	P	2012-09-10	2013-07-31
42 CVS CAREMARK CORP	P	2012-08-16	2013-07-31
97 COMCAST CORP CL A	P	2012-09-24	2013-07-31
1 BIOGEN IDEC INC	P	2013-06-11	2013-07-31
4 BHP BILLITON PLC SP ADR	P	2012-10-23	2013-07-31
13 APPLIED MATERIAL INC	P	2013-05-23	2013-07-31
8 AT&T INC	P	2012-10-23	2013-07-31
22 AMERICAN INTERNATIONAL	P	2012-09-17	2013-07-31
22 AGILENT TECHNOLOGIES INC	P	2012-08-27	2013-07-31
36 VALERO ENERGY CORP	P	2011-11-14	2013-07-31
9 TRAVELERS COMPANIES INC	P	2005-09-30	2013-07-31
19 3M CO	P	2012-06-25	2013-07-31
16 ROSS STORES INC	P	2008-10-20	2013-07-31
15 RAYTHEON CO DELAWARE	P	2006-05-04	2013-07-31
27 PHILIP MORRIS INTL INC	P	2011-10-17	2013-07-31
88 TWENTY-FIRST CENTURY	P	2010-08-30	2013-07-31
170 MICROSOFT CORP	P	2009-11-09	2013-08-08
53 MICROSOFT CORP	P	2002-11-22	2013-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
78 MICROSOFT CORP	P	2002-11-22	2013-07-31
39 MERCK & CO INC	P	2012-06-25	2013-07-31
11 MCKESSON CORP	P	2009-03-09	2013-07-31
47 JPMORGAN CHASE & CO	P	2012-06-25	2013-07-31
3 GOOGLE INC CL A	P	2012-06-25	2013-07-31
37 EXXON MOBIL CORP	P	2009-09-23	2013-08-08
14 EXXON MOBIL CORP	P	2008-11-25	2013-08-08
4 EXXON MOBIL CORP	P	2006-12-28	2013-08-08
21 EXXON MOBIL CORP	P	2006-10-16	2013-08-08
29 EXXON MOBIL CORP	P	2006-09-26	2013-08-08
48 EXXON MOBIL CORP	P	2001-06-14	2013-08-08
30 EXXON MOBIL CORP	P	2001-06-14	2013-07-31
18 DOVER CORP	P	2008-05-27	2013-07-31
17 DR PEPPER SNAPPLE GROUP INC COM	P	2010-07-14	2013-07-31
12 DISCOVER FINL SVCS	P	2011-05-23	2013-07-31
13 CHUBB CORP	P	2009-01-12	2013-07-31
61 CITIGROUP INC	P	2012-06-25	2013-07-31
1 CHEVRON CORP	P	2002-10-02	2013-07-31
17 CHEVRON CORP	P	2001-06-14	2013-07-31
3 APPLE INC	P	2012-06-25	2013-08-08
10 APPLE INC	P	2010-05-05	2013-08-08
13 APPLE INC	P	2010-05-04	2013-08-08
6 APPLE INC	P	2010-05-03	2013-07-31
314 ACTIVISION BLIZZARD INC	P	1961-08-01	2013-08-09
251 ACTIVISION BLIZZARD INC	P	2011-08-16	2013-08-08
108 ACTIVISION BLIZZARD INC	P	2011-08-15	2013-08-08
15 ACTIVISION BLIZZARD INC	P	2011-08-15	2013-07-31
48 VODAFONE GROU PLC	P	2012-10-23	2013-07-26
13 UNITED TECHNOLOGIES	P	2012-10-23	2013-07-24
2 SANOFI ADR	P	2013-02-25	2013-07-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
19 SANOFI ADR	P	2012-10-23	2013-07-16
26 ROCHE HLDG LTD	P	2012-10-23	2013-07-17
11 ROYAL DUTCH SHEL PLC	P	2012-10-23	2013-07-24
65 NEW NEWSCORP LLC	P	2012-10-04	2013-07-18
13 JOHNSON & JOHNSON CO	P	2012-10-23	2013-07-22
25 GLAXOSMITHKLINE PLC ADR	P	2012-10-23	2013-07-22
1,000 US TREASURY 1 25% SEP 30 2015	P	2012-08-20	2013-06-26
57 NEW NEWSCORP LLC	P	2010-08-31	2013-07-18
111 NEW NEWSCORP LLC	P	2010-08-30	2013-07-18
4,000 US TREASURY 1 25% SEP 30 2015	P	2011-03-14	2013-06-26
13,000 US TREASURY 1 25% SEP 30 2015	P	2010-10-28	2013-06-26
1 TIME WARNER CABLE INC	P	2012-09-24	2013-06-06
16 TIME WARNER CABLE INC	P	2012-09-20	2013-06-06
11 TIME WARNER CABLE INC	P	2012-09-19	2013-06-06
11 TIME WARNER CABLE INC	P	2012-09-19	2013-06-05
19 TIME WARNER CABLE INC	P	2012-09-18	2013-06-05
20 TIME WARNER CABLE INC	P	2012-09-18	2013-06-04
9 TIME WARNER CABLE INC	P	2012-09-17	2013-06-04
29 TIME WARNER CABLE INC	P	2012-09-17	2013-06-03
32 KLA-TENCOR CORP	P	2012-09-04	2013-05-30
31 KLA-TENCOR CORP	P	2012-08-31	2013-05-29
9 KLA-TENCOR CORP	P	2012-08-30	2013-05-29
7,000 US TREASURY 2 625% JUN 30 2014	P	2012-08-20	2013-06-05
2 VERIZON COMMUNICATIONS COM	P	2012-10-23	2013-05-02
23 POWER ASSETS HLDGS LTD	P	2012-10-23	2013-05-23
71 POWER ASSETS HLDGS LTD	P	2012-10-23	2013-05-22
22 KLA-TENCOR CORP	P	2012-08-30	2013-05-28
19 KLA-TENCOR CORP	P	2012-08-29	2013-05-28
12 KLA-TENCOR CORP	P	2012-08-29	2013-05-24
28 KLA-TENCOR CORP	P	2012-08-28	2013-05-24

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
39 KLA-TENCOR CORP	P	2012-08-28	2013-05-23
4 CST BRANDS INC	P	2012-10-23	2013-05-15
13 CANON INC ADR REP5SH	P	2012-10-23	2013-05-22
13 CANON INC ADR REP5SH	P	2012-10-23	2013-05-21
11 CAPITAL ONE FINCL CORP	P	2012-10-23	2013-04-29
39 VERIZON COMMUNICATIONS COM	P	2012-02-14	2013-05-02
40 VERIZON COMMUNICATIONS COM	P	2010-01-29	2013-05-02
94 VERIZON COMMUNICATIONS COM	P	2009-07-28	2013-05-02
116 VERIZON COMMUNICATIONS COM	P	2009-04-06	2013-05-01
60 L BRANDS INC	P	2010-06-02	2013-04-30
115 L BRANDS INC	P	2010-06-02	2013-04-29
1 CST BRANDS INC	P	2011-11-28	2013-05-15
27 CST BRANDS INC	P	2011-11-28	2013-05-15
18 CST BRANDS INC	P	2011-11-15	2013-05-15
7 CST BRANDS INC	P	2011-11-14	2013-05-15
36 CAPITAL ONE FINCL CORP	P	2010-04-05	2013-04-29
115 CAPITAL ONE FINCL CORP	P	2010-03-30	2013-04-29
57 CAPITAL ONE FINCL CORP	P	2010-03-29	2013-04-29
13,000 US TREASURY 2 0% FEB 15 2022	P	2012-02-27	2013-05-23
75 TAIWAN S MANUFACTURING	P	2012-10-23	2013-04-05
81 RECKITT BENCKISER GROUP	P	2012-10-23	2013-04-16
163 RECKITT BENCKISER GROUP	P	2012-10-23	2013-04-15
53 PFIZER INC	P	2012-10-23	2013-04-16
90 POWER ASSETS HLDGS LTD	P	2012-10-23	2013-04-24
30 POWER ASSETS HLDGS LTD	P	2012-10-23	2013-04-23
23 POWER ASSETS HLDGS LTD	P	2012-10-23	2013-04-22
38 POWER ASSETS HLDGS LTD	P	2012-10-23	2013-04-19
34 POWER ASSETS HLDGS LTD	P	2012-10-23	2013-04-18
51 POWER ASSETS HLDGS LTD	P	2012-10-23	2013-04-17
10 JOHNSON & JOHNSON CO	P	2012-10-23	2013-04-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
17 JOHNSON & JOHNSON CO	P	2012-10-23	2013-04-16
24 GLAXOSMITHKLINE PLC ADR	P	2012-10-23	2013-04-24
31 CANON INC ADR REP5SH	P	2012-10-23	2013-04-16
14 VERIZON COMMUNICATIONS COM	P	2012-02-14	2013-04-25
98 VERIZON COMMUNICATIONS COM	P	2012-02-13	2013-04-25
10 CHUNGHWA TELECOM CO LTD	P	2012-10-23	2013-03-04
7 CHUNGHWA TELECOM CO LTD	P	2012-10-23	2013-03-01
8 CHUNGHWA TELECOM CO LTD	P	2012-10-23	2013-02-28
11 CHUNGHWA TELECOM CO LTD	P	2012-10-23	2013-02-27
9 CHUNGHWA TELECOM CO LTD	P	2012-10-23	2013-02-26
16 UNITEDHEALTH GROUP INC COM	P	2012-10-23	2013-02-22
23 TAIWAN S MANUFACTURING	P	2012-10-23	2012-01-29
16 HSBC HLDG PLC	P	2012-10-23	2013-01-29
9 DIAGEO PLC SP5D ADR	P	2012-10-23	2013-02-25
10 CHUNGHWA TELECOM CO LTD	P	2012-10-23	2013-02-25
13 CHUNGHWA TELECOM CO LTD	P	2012-10-23	2013-01-29
15,000 GENERAL ELEC CO 5 0% 2013	P	2012-08-20	2013-02-01
86 UNITEDHEALTH GROUP INC COM	P	2011-01-03	2013-02-22
97 UNITEDHEALTH GROUP INC COM	P	2011-01-03	2013-02-21
12 UNITEDHEALTH GROUP INC COM	P	2011-01-03	2013-02-20
126 UNITEDHEALTH GROUP INC COM	P	2010-12-14	2013-02-20
43 UNITEDHEALTH GROUP INC COM	P	2010-12-13	2013-02-20
22 FOREST LABS INC	P	2010-01-29	2013-02-07
18 FOREST LABS INC	P	2010-01-29	2013-02-06
26 FOREST LABS INC	P	2009-09-23	2013-02-06
14 FOREST LABS INC	P	2009-09-23	2013-02-05
32 FOREST LABS INC	P	2008-11-25	2013-02-05
44 FOREST LABS INC	P	2008-11-25	2013-02-04
24 FOREST LABS INC	P	2008-11-25	2013-02-01
20 FOREST LABS INC	P	2008-08-27	2013-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
3 FOREST LABS INC	P	2008-08-27	2013-01-31
40 FOREST LABS INC	P	2008-08-26	2012-01-31
43 FOREST LABS INC	P	2008-08-26	2013-01-30
43 FOREST LABS INC	P	2008-08-26	2013-01-29
17 WESTERN DIGITAL CORP COM	P	2012-10-23	2013-01-15
22 WESTERN DIGITAL CORP COM	P	2012-08-20	2013-01-15
25 MARATHON OIL CORP	P	2012-10-23	2013-01-15
29 GAP INC DELAWARE	P	2012-08-20	2012-12-28
46 GAP INC DELAWARE	P	2012-06-25	2012-12-28
159 GAP INC DELAWARE	P	2012-06-25	2012-12-27
12 CHEVRON CORP	P	2012-08-20	2013-01-14
20 WESTERN DIGITAL CORP COM	P	2010-01-29	2013-01-15
91 WESTERN DIGITAL CORP COM	P	2008-11-25	2013-01-15
9 WESTERN DIGITAL CORP COM	P	2008-11-25	2013-01-14
95 WESTERN DIGITAL CORP COM	P	2008-02-21	2013-01-14
97 WESTERN DIGITAL CORP COM	P	2008-02-20	2013-01-14
30 NRG ENERGY INC	P	2009-09-23	2013-01-15
39 NRG ENERGY INC	P	2009-08-11	2013-01-15
16 NRG ENERGY INC	P	2009-08-10	2013-01-15
136 NRG ENERGY INC	P	2009-08-10	2013-01-14
60 NRG ENERGY INC	P	2008-11-25	2013-01-14
90 NRG ENERGY INC	P	2007-12-27	2013-01-14
76 NRG ENERGY INC	P	2007-12-26	2013-01-14
147 MARATHON OIL CORP	P	2011-08-22	2013-01-15
43 MARATHON OIL CORP	P	2011-08-22	2013-01-14
15 MARATHON OIL CORP	P	2011-08-15	2013-01-14
30 MARATHON OIL CORP	P	2010-01-29	2013-01-14
87 MARATHON OIL CORP	P	2009-07-06	2013-01-14
63 GAP INC DELAWARE	P	2010-07-26	2012-12-27
157 DISCOVER FINL SVCS	P	2011-05-23	2013-01-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
47 DISCOVER FINL SVCS	P	2011-05-17	2013-01-14
40 CHEVRON CORP	P	2007-02-05	2013-01-14
30 AMGEN INC	P	2010-10-19	2013-01-14
26 AMGEN INC	P	2010-10-18	2013-01-14
45 ALTERA CORP	P	2010-11-09	2013-01-15
53 ALTERA CORP	P	2010-11-08	2013-01-15
143 ALTERA CORP	P	2010-11-08	2013-01-14
9 3M CO	P	2012-06-25	2012-12-19
27 ORACLE CORP \$0 01	P	2012-10-31	2012-12-19
2 MASTERCARD INC	P	2012-12-11	2012-12-19
23 J P MORGAN CHASE	P	2012-06-25	2012-12-19
16 INGERSOLL-RAND CO LTD	P	2012-11-13	2012-12-19
14 INTERNATIONAL PAPER CO	P	2012-10-23	2012-12-19
9 HONEYWELL INTERNATIONAL	P	2012-10-23	2012-12-12
1 GOOGLE INC CL A	P	2012-06-25	2012-12-19
6 GOLDMAN SACHS GROUP INC	P	2012-09-24	2012-12-19
13 FLUOR CORP NEW DEL	P	2012-10-23	2012-12-13
13 WALT DISNEY COMPANY	P	2012-12-11	2012-12-19
14 CA INC	P	2012-03-14	2012-12-14
16 CA INC	P	2012-03-13	2012-12-14
74 CA INC	P	2012-03-13	2012-12-13
73 CA INC	P	2012-03-12	2012-12-13
5 CF INDS HLDGS INC	P	2012-08-20	2012-12-18
22 CF INDS HLDGS INC	P	2012-02-06	2012-12-18
44 CF INDS HLDGS INC	P	2012-02-06	2012-12-17
1,000 US TREASURY 1 50% AUG 31 2018	P	2012-08-20	2012-12-19
1,000 US TREASURY INFLATION BOND	P	2012-08-20	2012-12-19
1,000 FEDERAL NATL MORTGAGE ASSN	P	2012-09-19	2012-12-19
1,000 WAL-MART STORES INC 2 87%	P	2012-08-20	2012-12-19
1,000 GOLDMAN SACHS GR 5 75%	P	2012-08-20	2012-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1,000 CISCO SYSTEMS INC 4 45%	P	2012-08-20	2012-12-19
1,000 GENERAL ELEC CO 5 0% 2013	P	2012-08-20	2012-12-19
1,000 BHP BILLITON FIN 1 62% 17	P	2012-08-20	2012-12-19
18 WESTERN DIGITAL CORP COM	P	2008-02-20	2012-12-19
23 WESTERN DIGITAL CORP COM	P	2008-02-19	2012-12-19
28 VALERO ENERGY CORP	P	2011-11-14	2012-12-19
311 MARATHON OIL CORP	P	2011-08-15	2012-12-17
40 HONEYWELL INTERNATIONAL	P	2010-01-29	2012-12-12
20 HONEYWELL INTERNATIONAL	P	2008-11-25	2012-12-12
30 HONEYWELL INTERNATIONAL	P	2008-11-25	2012-12-11
118 HONEYWELL INTERNATIONAL	P	2007-06-26	2012-12-11
130 GAP INC DELAWARE	P	2010-07-26	2012-12-26
101 GAP INC DELAWARE	P	2010-07-26	2012-12-21
85 GAP INC DELAWARE	P	2008-12-25	2012-12-21
33 GAP INC DELAWARE	P	2008-11-25	2012-12-19
14 FLUOR CORP NEW DEL	P	2011-05-12	2012-12-13
51 FLUOR CORP NEW DEL	P	2011-05-11	2012-12-13
44 FLUOR CORP NEW DEL	P	2011-05-11	2012-12-12
35 FLUOR CORP NEW DEL	P	2011-05-10	2012-12-12
58 FLUOR CORP NEW DEL	P	2011-05-10	2012-12-11
28 FLUOR CORP NEW DEL	P	2011-05-09	2012-12-11
8 EXXON MOBIL CORP	P	2001-06-14	2012-12-19
15 DR PEPPER SNAPPLE GROUP INC COM	P	2010-07-14	2012-12-19
20 DISH NETWORK	P	2011-08-02	2012-12-12
78 DISH NETWORK	P	2011-08-01	2012-12-12
86 DISH NETWORK	P	2011-08-01	2012-12-11
97 DISH NETWORK	P	2011-07-20	2012-12-11
12 DISH NETWORK	P	2011-07-19	2012-12-11
28 CA INC	P	2010-01-29	2012-12-13
42 CA INC	P	2010-01-29	2012-12-12



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
40 CA INC	P	2009-09-23	2012-12-12
92 CA INC	P	2008-11-25	2012-12-12
138 CA INC	P	2008-11-25	2012-12-11
34 CA INC	P	2007-09-18	2012-12-11
7 CHEVRON CORP	P	2001-06-14	2012-12-19
1 APPLE INC	P	2010-05-03	2012-12-19
1,000 US TREASURY 1 25% SEP 30 2015	P	2010-10-28	2012-12-19
1,000 US TREASURY 1 00% OCT 31 2016	P	2011-11-17	2012-12-19
55 SYMANTEC CORP	P	2012-08-20	2012-11-02
80 PRUDENTIAL FINL INC	P	2012-01-31	2012-11-01
12 PRUDENTIAL FINL INC	P	2012-01-30	2012-11-01
20 PRUDENTIAL FINL INC	P	2012-01-30	2012-11-01
58 PRUDENTIAL FINL INC	P	2012-01-30	2012-10-31
12 PRUDENTIAL FINL INC	P	2012-01-30	2012-10-31
52 NTT DOCOMO INC	P	2012-10-23	2012-11-13
19 NTT DOCOMO INC	P	2012-10-23	2012-11-12
39 NTT DOCOMO INC	P	2012-10-23	2012-11-12
10 EXPRESS SCRIPTS INC	P	2012-08-16	2012-11-14
134 EXPRESS SCRIPTS INC	P	2012-08-16	2012-11-14
113 EXPRESS SCRIPTS INC	P	2012-08-16	2012-11-13
10 EXPRESS SCRIPTS INC	P	2012-08-16	2012-11-13
136 WESTERN UNION CO	P	2011-06-16	2012-11-15
263 WESTERN UNION CO	P	2011-06-15	2012-11-15
89 WESTERN UNION CO	P	2011-06-15	2012-11-14
74 WESTERN UNION CO	P	2011-06-14	2012-11-14
165 WESTERN UNION CO	P	2011-06-14	2012-11-13
33 WESTERN UNION CO	P	2011-06-13	2012-11-14
79 WESTERN UNION CO	P	2011-06-13	2012-11-13
33 WESTERN UNION CO	P	2011-06-13	2012-11-13
87 SYMANTEC CORP	P	2010-11-02	2012-11-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
119 SYMANTEC CORP	P	2010-11-02	2012-11-01
250 SYMANTEC CORP	P	2010-11-01	2012-11-01
53 SYMANTEC CORP	P	2010-09-29	2012-11-01
62 ANALOG DEVICES INC	P	2011-01-20	2012-11-02
26 ANALOG DEVICES INC	P	2011-01-20	2012-11-01
59 ANALOG DEVICES INC	P	2011-01-19	2012-11-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,578		6,540	1,038
5,927		5,639	288
1,290		1,295	-5
1,994		2,020	-26
12		4	8
4,292		3,976	316
5,431		4,715	716
6,143		3,668	2,475
384		228	156
5,222		3,152	2,070
2,331		1,416	915
1,730		1,061	669
8,474		5,733	2,741
1,793		607	1,186
9,565		7,073	2,492
784		583	201
3,462		2,203	1,259
3,462		2,156	1,306
1,731		1,109	622
1,039		433	606
814		618	196
917		810	107
1,573		1,342	231
1,499		1,282	217
650		558	92
5,300		3,826	1,474
3,031		2,186	845
349		248	101
3,774		2,676	1,098
2,801		2,032	769

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
596		590	6
232		227	5
207		154	53
1,054		777	277
1,197		1,060	137
321		286	35
229		228	1
572		496	76
5,610		4,765	845
1,636		1,339	297
4,325		3,550	775
664		546	118
286		256	30
889		966	-77
805		646	159
669		719	-50
227		171	56
198		167	31
2,408		1,968	440
251		207	44
540		398	142
422		404	18
1,706		1,338	368
476		452	24
503		434	69
2,450		1,928	522
402		386	16
491		420	71
284		231	53
1,228		921	307

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,361		1,036	325
6,583		7,003	-420
1,129		1,191	-62
1,505		1,604	-99
391		401	-10
229		212	17
330		242	88
2,315		1,632	683
369		283	86
1,182		1,098	84
1,557		1,193	364
211		182	29
1,329		1,245	84
2,591		1,879	712
4,390		3,533	857
219		222	-3
230		253	-23
213		189	24
283		282	1
1,006		764	242
988		826	162
1,294		807	487
754		404	350
2,237		1,633	604
1,085		236	849
1,081		692	389
2,419		1,821	598
2,638		970	1,668
5,476		4,907	569
1,707		1,534	173

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,484		2,257	227
1,888		1,556	332
1,353		429	924
2,637		1,665	972
2,670		1,686	984
3,389		2,566	823
1,282		1,096	186
366		310	56
1,923		1,459	464
2,656		1,854	802
4,396		2,153	2,243
2,810		1,346	1,464
1,549		941	608
797		669	128
597		295	302
1,129		586	543
3,199		1,639	1,560
127		36	91
2,151		823	1,328
1,385		1,716	-331
4,618		2,541	2,077
6,004		3,371	2,633
2,720		1,596	1,124
5,416		3,431	1,985
4,428		2,742	1,686
1,905		1,184	721
270		164	106
1,427		1,334	93
1,358		1,013	345
103		95	8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
982		816	166
1,630		1,270	360
784		766	18
1,007		742	265
1,200		922	278
1,297		1,122	175
1,017		1,018	-1
883		326	557
1,719		633	1,086
4,069		3,904	165
13,225		13,004	221
94		96	-2
1,503		1,493	10
1,033		1,022	11
1,029		1,022	7
1,777		1,757	20
1,906		1,849	57
858		829	29
2,751		2,673	78
1,799		1,655	144
1,723		1,589	134
500		460	40
7,182		7,172	10
105		89	16
219		191	28
681		591	90
1,224		1,124	100
1,057		990	67
655		625	30
1,529		1,436	93

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,138		2,001	137
131		83	48
493		417	76
484		417	67
631		652	-21
2,046		1,480	566
2,099		1,111	988
4,932		2,751	2,181
6,151		3,562	2,589
2,994		1,532	1,462
5,754		2,936	2,818
33		16	17
881		429	452
587		330	257
228		127	101
2,064		1,539	525
6,592		4,866	1,726
3,267		2,425	842
13,204		13,087	117
1,238		1,136	102
1,142		939	203
2,306		1,889	417
1,634		1,335	299
844		749	95
282		250	32
216		191	25
354		316	38
317		283	34
477		424	53
852		709	143



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,416		1,206	210
1,228		1,077	151
1,153		994	159
739		531	208
5,170		3,743	1,427
307		312	-5
216		219	-3
249		250	-1
341		343	-2
280		281	-1
873		889	-16
410		348	62
906		781	125
1,067		1,004	63
312		312	
414		406	8
15,000		15,000	
4,692		3,184	1,508
5,354		3,591	1,763
667		444	223
7,007		4,632	2,375
2,391		1,597	794
780		658	122
643		538	105
928		749	179
506		403	103
1,156		713	443
1,586		980	606
874		535	339
728		729	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
109		109	
1,456		1,494	-38
1,564		1,606	-42
1,563		1,606	-43
755		574	181
977		993	-16
795		729	66
884		1,027	-143
1,402		1,224	178
4,841		4,232	609
1,346		1,350	-4
889		789	100
4,043		1,041	3,002
397		103	294
4,192		3,006	1,186
4,280		2,940	1,340
704		837	-133
915		1,125	-210
375		457	-82
3,165		3,885	-720
1,396		1,297	99
2,094		3,901	-1,807
1,769		3,279	-1,510
4,675		3,756	919
1,376		1,099	277
480		410	70
960		548	412
2,783		1,465	1,318
1,918		1,185	733
6,241		3,857	2,384

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,868		1,183	685
4,487		2,957	1,530
2,606		1,728	878
2,258		1,486	772
1,540		1,516	24
1,813		1,791	22
4,950		4,831	119
844		771	73
924		839	85
982		964	18
1,011		815	196
777		739	38
547		518	29
554		552	2
720		562	158
768		699	69
744		721	23
653		646	7
306		385	-79
350		442	-92
1,617		2,042	-425
1,595		1,988	-393
1,024		1,067	-43
4,506		4,113	393
8,979		8,226	753
1,032		1,025	7
1,529		1,466	63
1,008		1,008	
1,051		1,055	-4
1,185		1,085	100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,158		1,152	6
1,004		1,005	-1
1,021		1,018	3
741		546	195
947		684	263
963		684	279
9,548		8,510	1,038
2,460		1,541	919
1,230		517	713
1,856		775	1,081
7,299		6,629	670
3,972		2,445	1,527
3,165		1,900	1,265
2,664		1,049	1,615
1,071		407	664
801		991	-190
2,919		3,668	-749
2,556		3,164	-608
2,033		2,541	-508
3,381		4,211	-830
1,632		2,018	-386
712		359	353
672		590	82
736		581	155
2,870		2,313	557
3,168		2,550	618
3,573		3,079	494
442		375	67
612		629	-17
923		943	-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
879		898	-19
2,022		1,405	617
3,056		2,107	949
753		852	-99
777		339	438
530		266	264
1,024		1,000	24
1,018		1,005	13
1,034		983	51
4,607		4,592	15
691		686	5
1,152		1,143	9
3,306		3,314	-8
684		684	
743		817	-74
272		399	-127
562		613	-51
502		707	-205
6,733		8,150	-1,417
5,819		6,872	-1,053
515		515	
1,710		2,678	-968
3,306		5,223	-1,917
1,119		1,768	-649
930		1,488	-558
2,077		3,318	-1,241
415		831	-416
994		1,568	-574
415		415	
1,635		1,457	178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,206		1,993	213
4,635		4,115	520
983		833	150
2,488		2,413	75
1,043		1,012	31
2,366		2,316	50

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,038
			288
			-5
			-26
			8
			316
			716
			2,475
			156
			2,070
			915
			669
			2,741
			1,186
			2,492
			201
			1,259
			1,306
			622
			606
			196
			107
			231
			217
			92
			1,474
			845
			101
			1,098
			769

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6
			5
			53
			277
			137
			35
			1
			76
			845
			297
			775
			118
			30
			-77
			159
			-50
			56
			31
			440
			44
			142
			18
			368
			24
			69
			522
			16
			71
			53
			307



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			325
			-420
			-62
			-99
			-10
			17
			88
			683
			86
			84
			364
			29
			84
			712
			857
			-3
			-23
			24
			1
			242
			162
			487
			350
			604
			849
			389
			598
			1,668
			569
			173

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			227
			332
			924
			972
			984
			823
			186
			56
			464
			802
			2,243
			1,464
			608
			128
			302
			543
			1,560
			91
			1,328
			-331
			2,077
			2,633
			1,124
			1,985
			1,686
			721
			106
			93
			345
			8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			166
			360
			18
			265
			278
			175
			-1
			557
			1,086
			165
			221
			-2
			10
			11
			7
			20
			57
			29
			78
			144
			134
			40
			10
			16
			28
			90
			100
			67
			30
			93

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			137
			48
			76
			67
			-21
			566
			988
			2,181
			2,589
			1,462
			2,818
			17
			452
			257
			101
			525
			1,726
			842
			117
			102
			203
			417
			299
			95
			32
			25
			38
			34
			53
			143

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			210
			151
			159
			208
			1,427
			-5
			-3
			-1
			-2
			-1
			-16
			62
			125
			63
			8
			1,508
			1,763
			223
			2,375
			794
			122
			105
			179
			103
			443
			606
			339
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-38
			-42
			-43
			181
			-16
			66
			-143
			178
			609
			-4
			100
			3,002
			294
			1,186
			1,340
			-133
			-210
			-82
			-720
			99
			-1,807
			-1,510
			919
			277
			70
			412
			1,318
			733
			2,384

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			685
			1,530
			878
			772
			24
			22
			119
			73
			85
			18
			196
			38
			29
			2
			158
			69
			23
			7
			-79
			-92
			-425
			-393
			-43
			393
			753
			7
			63
			-4
			100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6
			-1
			3
			195
			263
			279
			1,038
			919
			713
			1,081
			670
			1,527
			1,265
			1,615
			664
			-190
			-749
			-608
			-508
			-830
			-386
			353
			82
			155
			557
			618
			494
			67
			-17
			-20




Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-19
			617
			949
			-99
			438
			264
			24
			13
			51
			15
			5
			9
			-8
			-74
			-127
			-51
			-205
			-1,417
			-1,053
			-968
			-1,917
			-649
			-558
			-1,241
			-416
			-574
			178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			213
			520
			150
			75
			31
			50

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
UNIVERSITY OF CINCINNATI PO BOX 670559 CINCINNATI, OH 45267	NONE	PUBLIC	RESEARCH STUDIES IN SCHIZOPHRENIA	10,000
UNICEF 125 MAIDEN LANE NEW YORK, NY 10038	NONE	PUBLIC	DONATION FOR SAHEL REGION OF AFRICA	5,000
PLAN OF SOUTHWEST OHIO 4300 ROSSPLAIN ROAD CINCINNATI, OH 45236	NONE	PUBLIC	DONATION TO COGNITIVE ENHANCEMENT PROGRAM	5,800
ANIXTER CENTER 2001 N CLYBOURN AVE 302 CHICAGO, IL 60614	NONE	PUBLIC	FOR PURCHASE OF VAN AND SUPPORTIVE SERVICES	48,000
TRI-STATE PARKINSONS WELLNESS CHAPT 260 STETSON STREET STE 2300 CINCINNATI, OH 45219	NONE	PUBLIC	DONATION FOR FOREVER FITNESS AND YOGA FOR P D CLASSES	6,000
SHRINERS HOSPITAL FOR CHILDREN 2900 ROCKY POINT DRIVE TAMPA, FL 33607	NONE	PUBLIC	GENERAL OPERATING	1,500
JEEP JEWISH EDUCATION 9709 READING RD STE 1 CINCINNATI, OH 45215	NONE	PUBLIC	GENERAL OPERATING	1,000
CHABAD JEWISH CENTER 3977 HUNT ROAD CINCINNATI, OH 45236	NONE	PUBLIC	GENERAL OPERATING	2,380
F A S T FOUNDATION 4848 E CACTUS ROAD SCOTTSDALE, AZ 85254	NONE	PUBLIC	GENERAL OPERATING	1,000
<b>Total . . . . .</b>  <b>3a</b>				80,680

**TY 2012 Accounting Fees Schedule****Name:** MORRIS BRAUN FOUNDATION**EIN:** 20-1921857**Software ID:** 12000229**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
JAMES GIOIA & COMPANY PC	4,625	4,625	0	0

**TY 2012 Investments Corporate  
Bonds Schedule****Name:** MORRIS BRAUN FOUNDATION**EIN:** 20-1921857**Software ID:** 12000229**Software Version:** 2012v2.0

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
12,000 ANHEUSER-BUSCH INBEV WOR	11,875	11,302
11,000 WELLS FARGO & CO	11,841	11,164
20,000 GOLDMAN SACHS GROUP INC	21,573	22,680
12,000 CITIGROUP INC	11,643	12,810
21,000 CISCO SYSTEMS INC	23,823	23,281
19,000 VERIZON COMMUNICATIONS	23,201	22,444
11,000 PEPSICO INC	12,594	12,517
21,000 AT&T INC	21,534	23,953
23,000 AMERICAN EXPRESS CREDIT	23,762	23,885
23,000 BHP BILLITON FIN USA LTD	23,323	23,270
11,000 GENERAL ELEC CAP CORP	11,421	11,534
21,000 WAL-MART STORES INC	21,723	21,744
21,000 BP CAPITAL MARKETS PLC	21,864	21,931
23,000 JP MORGAN CHASE & CO	22,879	23,878

TY 2012 Investments Corporate  
 Stock Schedule

Name: MORRIS BRAUN FOUNDATION

EIN: 20-1921857

Software ID: 12000229

Software Version: 2012v2.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
235 SHS 3M COMPANY	21,065	29,575
82 SHS VODAFONE GROP PLC SP ADR	2,288	3,022
72 SHS VERIZON COMMUNICATIONS	3,175	3,637
477 SHS VALERO ENERGY CORP	10,159	19,638
750 SHS US BANCORP	24,922	28,020
36 SHS UNITED TECHS CORP	2,805	3,825
42 UNITED PARCEL SVC CL B	3,378	4,126
555 SHS UNITED CONTL HLDGS INC	14,029	18,842
91 SHS UNILEVER NV NY REG SHS	3,257	3,615
532 SHS TWENTY-FIRST CENTURY FOX	5,868	18,131
149 SHS TRW AUTOMOTIVE HLDGS CRP	8,690	11,191
161 SHS TRAVELERS COS INC	6,746	13,894
51 SHS TOTAL S A SP ADR	2,593	3,120
215 SHS TERADATA CORP	13,893	9,475
17 SHS SYNGENTA AG ADR	1,330	1,373
142 SHS SVENSKA HANDELSBANKEN AB	2,432	3,223
353 SHS SUNTRUST BKS INC	9,975	11,875
589 SHS SUNCOR ENERGY INC	20,057	21,410
64 SHS SINGAPORE TELECOMM LT AD	1,661	1,949
199 SHS SCHLUMBERGER LTD	17,670	18,650
110 SHS SANOFI ADR	4,851	5,883
52 SHS ROYAL DUTCH SHEL PLC	3,618	3,615
229 SHS ROSS STORES INC	3,375	17,713
99 SHS ROGERS COMMUNICATIONS	4,058	4,496
83 SHS ROCHE HLDG LTD SPN ADR	4,055	5,755
50 SHS REYNOLDS AMERICAN INC	2,085	2,568
1,142 SHS REGIONS FINL CORP	10,914	10,997
357 SHS PHILIP MORRIS INTL INC	26,937	31,816
1,197 SHS PFIZER INC	29,120	36,730
42 SHS PEPSICO INC	2,893	3,532

Name of Stock	End of Year Book Value	End of Year Fair Market Value
231 SHS PACKAGING CORP AMERICA	9,532	14,387
544 SHS ORACLE CORP \$0.01 DEL	17,029	18,224
77 SHS NOVARTIS ADR	4,778	5,971
19 SHS NORTHROP GRUMMAN CORP	1,356	2,043
262 SHS NETAPP INC	11,125	10,168
63 SHS NESTLE S A REP RG SH ADR	4,068	4,562
23 SHS NATIONAL BANK CANADA	1,748	1,996
969 SHS MICROSOFT CORP	29,071	34,307
717 SHS MERCK AND CO INC	30,239	32,330
216 SHS MEDTRONIC INC	11,943	12,398
183 SHS MCKESSON CORP	11,086	28,610
51 SHS MCDONALDS CORP	4,541	4,923
42 SHS MASTERCARD INC	20,363	30,118
310 SHS MARATHON OIL CORP	10,713	10,931
557 SHS LOWE'S COMPANIES INC	21,555	27,727
96 SHS LORILLARD INC	3,709	4,897
38 SHS LEGRAND SA	1,467	2,161
70 SHS KRAFT FOODS GROUP INC	3,238	3,806
30 SHS KONE OYJ	2,238	2,649
570 SHS JP MORGAN CHASE & CO	21,205	29,378
32 SHS JOHNSON AND JOHNSON	2,269	2,964
92 SHS JAPAN TOBACCO INC 2914	3,278	3,329
255 SHS INTL PAPER CO	9,440	11,376
292 SHS INGERSOLL-RAND PLC	13,311	19,719
92 SHS IMPERIAL TOB GRP SPS ADR	6,630	6,899
47 SHS HSBC HLDG PLC SP ADR	2,294	2,587
632 SHS HENNES AND MAURITZ AB ADR	4,295	5,454
278 SHS HALLIBURTON COMPANY	12,805	14,742
43 SHS GOOGLE INC CL A	26,189	44,315
95 SHS GOLDMAN SACHS GROUP INC	11,447	15,282

Name of Stock	End of Year Book Value	End of Year Fair Market Value
60 SHS GLAXOSMITHKLINE PLC ADR	2,686	3,158
75 SHS GIVAUDAN SA UNSP ADR	1,794	2,134
51 SHS GENUINE PARTS CO	3,079	4,020
245 SHS EXXON MOBIL CORP	21,174	21,957
119 SHS EUTELSAT COMMUNICATIONS	3,783	3,777
58 SHS ENI S P A SPONSORED ADR	2,554	2,946
50 SHS EMERSON ELEC CO	2,362	3,348
669 SHS EMC CORPORATION MASS	17,011	16,104
218 SHS DR PEPPER SNAPPLE GROUP INC	8,612	10,322
321 SHS DOW CHEMICAL CO	13,275	12,670
147 SHS DOVER CORP	4,964	13,493
293 SHS DISNEY (WALT) CO	14,542	20,097
364 SHS DISCOVER FINL SVCS	11,718	18,884
31 SHS DIAGEO PLC SPSD ADR	3,460	3,955
107 SHS DEUTSCHE POST AG SHS	2,867	3,626
51 SHS DBS GROUP HLDGS SPN ADR	2,318	2,754
454 SHS CVS CAREMARK CORP	20,755	28,266
641 SHS COMCAST CORP NEW CL A	23,376	30,512
398 SHS COCA COLA COM	14,917	15,749
669 SHS CITIGROUP INC	20,253	32,634
893 SHS CISCO SYSTEMS INC	22,232	20,146
253 SHS CHUBB CORP	13,577	23,296
203 SHS CHEVRON CORP	11,127	24,352
41 SHS BRITISH AMN TOBACO SP ADR	4,169	4,530
113 SHS BORG WARNER INC	10,913	11,654
44 SHS BIOGEN IDEC INC	9,773	10,744
43 SHS BHP BILLITON PLC SP ADR	2,715	2,651
102 SHS ATLAS COPCO A ADR	2,527	2,830
113 SHS AT&T INC	3,992	4,091
762 SHS APPLIED MATERIAL INC	11,154	13,606



Name of Stock	End of Year Book Value	End of Year Fair Market Value
51 SHS APPLE INC	29,339	26,658
43 SHS ANHEUSER-BUSCH INVEB ADR	3,709	4,460
155 SHS AMGEN INC COM PV	10,795	18,002
374 SHS AMERICAN INTL GROUP INC	12,847	19,317
127 ALTRIA GROUP INC	4,240	4,728
264 SHS AGILENT TECHNOLOGIES INC	9,847	13,401
177 SHS AETNA INC	11,732	11,098

# TY 2012 Investments Government Obligations Schedule

**Name:** MORRIS BRAUN FOUNDATION

**EIN:** 20-1921857

**Software ID:** 12000229

**Software Version:** 2012v2.0

**US Government Securities - End of  
Year Book Value:**

332,065

**US Government Securities - End of  
Year Fair Market Value:**

328,164

**State & Local Government  
Securities - End of Year Book  
Value:**

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

## TY 2012 Legal Fees Schedule

**Name:** MORRIS BRAUN FOUNDATION

**EIN:** 20-1921857

**Software ID:** 12000229

**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
HARRISON HELD CARROLL	4,146	4,146	0	0

TY 2012 Other Assets Schedule

**Name:** MORRIS BRAUN FOUNDATION

**EIN:** 20-1921857

**Software ID:** 12000229

**Software Version:** 2012v2.0

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
FEDERAL TAX REFUND RECEIVABLE		876	876

**TY 2012 Other Expenses Schedule****Name:** MORRIS BRAUN FOUNDATION**EIN:** 20-1921857**Software ID:** 12000229**Software Version:** 2012v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
POSTAGE	28	28		
BANK CHARGES	58	58		

**TY 2012 Other Professional Fees Schedule****Name:** MORRIS BRAUN FOUNDATION**EIN:** 20-1921857**Software ID:** 12000229**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MERRILL LYNCH	22,060	22,060	0	0

**TY 2012 Taxes Schedule****Name:** MORRIS BRAUN FOUNDATION**EIN:** 20-1921857**Software ID:** 12000229**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ILLINOIS ANNUAL FILING FEE	115			
FOREIGN TAX WITHHELD	472	472		
FEDERAL EXCISE TAX ON NET INVESTMENT INC	3,225			