Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public inspection

For calendar year 2012 or tax year beginning NOV 1, 2012 **, and ending** OCT 31, 2013

Name of foundation: ROSENKRANZ FOUNDATION, INC.

A Employer identification number: 13-3940017

Number and street (or P O box number if mail is not delivered to street address): 590 MADISON AVENUE, 30TH FLOOR

Room/suite:

B Telephone number: (212) 838-7000

City or town, state, and ZIP code: NEW YORK, NY 10022

C If exemption application is pending, check here ▶☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 30,489,147.

J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

Part I		**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received	0.			
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	660.	660.	660.	STATEMENT 1
	4	Dividends and interest from securities				
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	824,171.			
	b	Gross sales price for all assets on line 6a 2,543,676.				
	7	Capital gain net income (from Part IV, line 2)		824,171.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	0.	719,901.		
	12	**Total.** Add lines 1 through 11	824,831.	1,544,732.	660.	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees				
	c	Other professional fees				
	17	Interest		31,618.		
	18	Taxes STMT 2	427,874.	4,526.	0.	0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 3	18,096.	377,343.	0.	18,096.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	445,970.	413,487.	0.	18,096.
	25	Contributions, gifts, grants paid	1,973,904.			1,918,904.
	26	**Total expenses and disbursements.** Add lines 24 and 25	2,419,874.	413,487.	0.	1,937,000.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	<1,595,043.>			
	b	**Net investment income** (if negative, enter -0-)		1,131,245.		
	c	**Adjusted net income** (if negative, enter -0-)			660.	

223501
12-05-12 LHA **For Paperwork Reduction Act Notice, see instructions.**

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	4,891,276.	668,466.	668,466.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ 664,876. Less: allowance for doubtful accounts ▶	395,219.	664,876.	664,876.
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock			
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 4	23,561,217.	29,155,805.	29,155,805.
	14	Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	28,847,712.	30,489,147.	30,489,147.
Liabilities	17	Accounts payable and accrued expenses	769,811.	769,811.	
	18	Grants payable	280,000.	335,000.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ STATEMENT 5)	28,674.	206,543.	
	23	**Total liabilities** (add lines 17 through 22)	1,078,485.	1,311,354.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☒ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	27,769,227.	29,177,793.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances**	27,769,227.	29,177,793.	
	31	**Total liabilities and net assets/fund balances**	28,847,712.	30,489,147.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	27,769,227.
2	Enter amount from Part I, line 27a	2	<1,595,043.>
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN/(LOSS)	3	3,003,610.
4	Add lines 1, 2, and 3	4	29,177,794.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	29,177,794.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	2,543,676.		1,719,505.	824,171.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				824,171.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	824,171.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	1,546,720.	25,157,409.	.061482
2010	1,529,912.	17,730,959.	.086285
2009	1,326,939.	23,641,716.	.056127
2008	1,711,391.	11,699,354.	.146281
2007	1,724,877.	14,000,703.	.123199

2 **Total** of line 1, column (d)	2	.473374
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.094675
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	31,981,154.
5 Multiply line 4 by line 3	5	3,027,816.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,312.
7 Add lines 5 and 6	7	3,039,128.
8 Enter qualifying distributions from Part XII, line 4	8	1,937,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	22,625.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	22,625.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	22,625.
6	Credits/Payments:				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	35,000.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	12,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	47,000.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	24,375.
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 24,375. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.ROSENKRANZFDN.ORG

14 The books are in care of ▶ SALVATORE ARENA Telephone no. ▶ (212) 303-4331

Located at ▶ 590 MADISON AVENUE, NEW YORK, NY ZIP+4 ▶ 10022

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

				Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** | | X

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 INTELLIGENCE SQUARED U.S. FOUNDATION - LIVE DEBATE SERIES, THE GOAL OF WHICH IS TO RAISE THE LEVEL OF PUBLIC DISCOURSE ON THE MOST CHALLENGING ISSUES OF THE TIMES.	800,849.
2 THE ROSENKRANZ SHAKESPEARE FUND	250,000.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NA	0.
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	31,985,219.
b	Average of monthly cash balances			1b	482,958.
c	Fair market value of all other assets			1c	
d	**Total** (add lines 1a, b, and c)			1d	32,468,177.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	32,468,177.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	487,023.
5	**Net value of noncharitable-use assets**. Subtract line 4 from line 3. Enter here and on Part V, line 4			5	31,981,154.
6	**Minimum investment return** Enter 5% of line 5			6	1,599,058.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,599,058.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	22,625.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	3,048.		
c	Add lines 2a and 2b			2c	25,673.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	1,573,385.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	1,573,385.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	1,573,385.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,937,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions**. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,937,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions**. Subtract line 5 from line 4	6	1,937,000.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					1,573,385.
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only				0.	
b Total for prior years: ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2012:					
a From 2007	1,070,910.				
b From 2008	1,132,967.				
c From 2009	203,760.				
d From 2010	1,556,309.				
e From 2011	513,499.				
f **Total** of lines 3a through e		4,477,445.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶$ 1,937,000.					
a Applied to 2011, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2012 distributable amount					1,573,385.
e Remaining amount distributed out of corpus		363,615.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		4,841,060.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7		1,070,910.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		3,770,150.			
10 Analysis of line 9:					
a Excess from 2008	1,132,967.				
b Excess from 2009	203,760.				
c Excess from 2010	1,556,309.				
d Excess from 2011	513,499.				
e Excess from 2012	363,615.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

ROBERT ROSENKRANZ

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHMENT 7			CHARITABLE	1,918,904.
Total			▶ 3a	1,918,904.
b *Approved for future payment*				
MASSACHUSETTS GENERAL HOSPITAL - PALLIATIVE CARE		509(A)(1)	CHARITABLE	75,000.
UNITED STATES JAPAN FOUNDATION 145 EAST 32ND STREET 12TH FL NEW YORK, NY 10016		509(A)(1)	CHARITABLE	60,000.
YALE UNIVERSITY - ROBERT A.M. STERN VISITING PROFESSORSHIP IN CLASSICAL ARC 127 WALL STREET NEW HAVEN, CT 06511		509(A)(1)	CHARITABLE	200,000.
Total			▶ 3b	335,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	660.	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14		
8 Gain or (loss) from sales of assets other than inventory			18	824,171.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a LIMITED PARTNERSHIP					
b INCOME			14	719,901.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		1,544,732.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 1,544,732.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ 9/15/14 ▶ TREASURER

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ▶

Phone no.

- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions ROSENKRANZ FOUNDATION, INC.	Employer identification number (EIN) or 13-3940017
	Number, street, and room or suite no. If a P.O. box, see instructions. 590 MADISON AVENUE, 30TH FLOOR	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10022	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ SALVATORE ARENA 590 MADISON AVENUE - NEW YORK, NY 10022

Telephone No. ▶ (212) 303-4331 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ ☐ . If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until SEPTEMBER 15, 2014.

5 For calendar year ______ , or other tax year beginning NOV 1, 2012 , and ending OCT 31, 2013 .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension SEE STATEMENT 2

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$	12,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$	12,000.
c **Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ TREASURER Date ▶ 6/12/14

FORM 8688 EXPLANATION FOR EXTENSION STATEMENT 2

EXPLANATION

AN ATTEMPT TO OBTAIN INFORMATION NECESSARY FOR FILING A RETURN WAS REQUESTED IN A TIMELY FASHION, BUT THE INFORMATION WAS NOT FURNISHED IN SUFFICIENT TIME TO PERMIT THE TIMELY FILING OF THE RETURN, OR THE TAXPAYER PERSONALLY VISITED AN IRS OFFICE FOR THE PURPOSE OF SECURING INFORMATION OR ADVICE AND WAS UNABLE TO MEET WITH AN IRS REPRESENTATIVE

CONTINUATION FOR 990-PF, PART IV

ROSENKRANZ FOUNDATION, INC. 13-3940017 PAGE 1 OF 2

Part IV **Capital Gains and Losses for Tax on Investment Income**

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ALDEN GLOBAL DISTRESSED OPPORTUNITIES FUND(CAYMAN	P	10/01/09	02/01/12
b	ALDEN GLOBAL DISTRESSED OPPORTUNITIES FUND(CAYMAN	P	10/01/09	11/01/12
c	ALDEN GLOBAL DISTRESSED OPPORTUNITIES FUND(CAYMAN	P	10/01/09	12/01/12
d	ALDEN GLOBAL DISTRESSED OPPORTUNITIES FUND(CAYMAN	P	10/01/09	02/01/13
e	ALDEN GLOBAL DISTRESSED OPPORTUNITIES FUND(CAYMAN	P	10/01/09	05/01/13
f	ALDEN GLOBAL VALUE RECOVERY FUND (CAYMAN), LTD	P	05/01/10	05/01/12
g	ALDEN GLOBAL VALUE RECOVERY FUND (CAYMAN), LTD	P	05/01/10	05/01/13
h	PALOMINO FUND LTD.	P	01/01/97	01/01/12
i	PALOMINO FUND LTD.	P	01/01/97	01/01/13
j	BREVAN HOWARD FUND LTD.	P	12/01/09	01/01/12
k	BREVAN HOWARD FUND LTD.	P	12/01/09	01/01/13
l	BREVAN HOWARD FUND LTD.	P	12/01/09	04/01/13
m	BREVAN HOWARD FUND LTD.	P	12/01/09	07/01/13
n	BREVAN HOWARD FUND LTD.	P	12/01/09	10/01/13
o	SENECA CAPITAL INTERNATIONAL, LTD	P	01/01/01	01/01/12

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	225,422.		211,682.	13,740.
b	555,961.		534,258.	21,703.
c	1,376.		1,305.	71.
d	13,443.		12,406.	1,037.
e	30,485.		28,056.	2,429.
f	60,000.		65,364.	<5,364.>
g	190,947.		177,803.	13,144.
h	410,195.		19,661.	390,534.
i	464,701.		113,939.	350,762.
j	8,896.		7,889.	1,007.
k	78,077.		66,778.	11,299.
l	80,728.		66,778.	13,950.
m	80,901.		66,786.	14,115.
n	78,564.		66,769.	11,795.
o	9,742.		5,558.	4,184.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				13,740.
b				21,703.
c				71.
d				1,037.
e				2,429.
f				<5,364.>
g				13,144.
h				390,534.
i				350,762.
j				1,007.
k				11,299.
l				13,950.
m				14,115.
n				11,795.
o				4,184.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

CONTINUATION FOR 990-PF, PART IV
ROSENKRANZ FOUNDATION, INC. 13-3940017 PAGE 2 OF 2

Part IV **Capital Gains and Losses for Tax on Investment Income**

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	**(b)** How acquired P - Purchase D - Donation	**(c)** Date acquired (mo., day, yr.)	**(d)** Date sold (mo., day, yr.)
1a	SENECA CAPITAL INTERNATIONAL, LTD	P	01/01/01	08/01/12
b	SENECA CAPITAL INTERNATIONAL, LTD	P	01/01/01	09/01/12
c	SENECA CAPITAL INTERNATIONAL, LTD	P	01/01/01	11/01/12
d	SENECA CAPITAL INTERNATIONAL, LTD	P	01/01/01	02/01/13
e	SENECA CAPITAL INTERNATIONAL, LTD	P	01/01/01	05/01/13
f	SENECA CAPITAL INTERNATIONAL, LTD	P	01/01/01	07/01/13
g	TER II, LLC	P	11/17/10	04/16/13
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
a	2,972.		1,770.	1,202.
b	2,503.		1,452.	1,051.
c	2,726.		1,602.	1,124.
d	6,229.		4,070.	2,159.
e	17,297.		10,989.	6,308.
f	3,854.		2,449.	1,405.
g	218,657.		252,141.	<33,484.>
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col. (i) over col. (j), if any	
a				1,202.
b				1,051.
c				1,124.
d				2,159.
e				6,308.
f				1,405.
g				<33,484.>
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	824,171.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	0.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
CHASE MANHATTAN	660.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	660.

FORM 990-PF TAXES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	243,313.	0.	0.	0.
FEDERAL TAXES	4,365.	0.	0.	0.
DEFERRED FEDERAL EXCISE TAX	177,869.	0.	0.	0.
STATE CORPORATION TAX	2,327.	0.	0.	0.
FOREIGN TAXES FROM UNDERLYING FUNDS	0.	4,526.	0.	0.
TO FORM 990-PF, PG 1, LN 18	427,874.	4,526.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS EXPENSES	18,096.	377,343.	0.	18,096.
TO FORM 990-PF, PG 1, LN 23	18,096.	377,343.	0.	18,096.

FORM 990-PF OTHER INVESTMENTS STATEMENT 4

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
LIMITED PARTNERSHIPS	COST	29,155,805.	29,155,805.
TOTAL TO FORM 990-PF, PART II, LINE 13		29,155,805.	29,155,805.

FORM 990-PF OTHER LIABILITIES STATEMENT 5

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DEFERRED FEDERAL EXCISE TAX	28,674.	206,543.
TOTAL TO FORM 990-PF, PART II, LINE 22	28,674.	206,543.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 6

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROBERT ROSENKRANZ 590 MADISON AVENUE NEW YORK, NY 10022	PRESIDENT/DIRECTOR 1.00	0.	0.	0.
STEPHANIE HESSLER 590 MADISON AVENUE NEW YORK, NY 10022	VICE PRESIDENT/DIRECTOR 1.00	0.	0.	0.
NICHOLAS ROSENKRANZ 590 MADISON AVENUE NEW YORK, NY 10022	DIRECTOR 1.00	0.	0.	0.
SALVATORE ARENA 590 MADISON AVENUE NEW YORK, NY 10022	TREASURER 1.00	0.	0.	0.
DOSKOW EMILY 590 MADISON AVENUE NEW YORK, NY 10022	SECRETARY 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

THE ROSENKRANZ FOUNDATION, INC. ID# 13-3940017
CONTRIBUTIONS - General
For the fiscal year ended October 31, 2013
STATEMENT 7
PART XV-GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

	ADDRESS	STATUS OF RECIPIENT	PURPOSE OF CONTRIBUTION	AMOUNT	DATE OF DISTRIBUTION
New York Community Fund Inc	909 Third Avenue, NY NY 10022	501(c)(3)	Charitable	130,000	3/7/2013
American Enterprise Institute	1150 Seventeenth St NW, Washington DC 20036	501(c)(3)	Charitable	25,000	7/3/2013
American Friends of the V&A	61 Londonderry Drive, Greenwich CT 06830	501(c)(3)	Charitable	5,000	6/27/2013
New York Community Fund Inc	909 Third Avenue, NY NY 10022	501(c)(3)	Charitable	50,000	12/13/2012
Council on Foreign Relations	The Harold Pratt House, 58 E 68th Street, New York, NY 10065	501(c)(3)	Charitable	20,000	5/17/2013
Film Society of Lincoln Center	165 W 65th St, New York, NY 10023	501(c)(3)	Charitable	50,000	10/25/2013
Futures and Options	120 Braodway, Suite 913, New York, NY 10271	501(c)(3)	Charitable	5,000	10/11/2013
Inteligence Squared U S Foundation	590 Madison Avenue, NY NY 10022	501(c)(3)	Charitable	800,849	Various
ISAW	15 East 84th Street, NY NY 10028	501(c)(3)	Charitable	5,000	7/26/2013
Lincoln Ctr for Performing Art	50 Lincoln Center Plaza, New York, NY 10023-6916	501(c)(3)	Charitable	3,000	9/27/2013
Manhattan Institute	52 Vanderbilt Ave, New York, NY 10017	501(c)(3)	Charitable	10,000	3/15/2013
Manhattan Institute	52 Vanderbilt Ave, New York, NY 10017	501(c)(3)	Charitable	50,000	12/21/2012
New York Historical Society	170 Central Park West New York NY 10024	501(c)(3)	Charitable	5,000	3/15/2013
Parrish Art Museum	279 Montauk Highway,Water Mill NY 11976	501(c)(3)	Charitable	1,000	6/24/2013
Philanthropy Roundtable	1150 17th St NW, Suite 503, Washington DC 20036	501(c)(3)	Charitable	1,000	5/24/2013
Prep for Prep	156 Fifth Avenue, NY NY 10010	501(c)(3)	Charitable	5,000	5/3/2013
Public Art Fund 004015	One East 53rd Street, NY NY 10022	501(c)(3)	Charitable	1,000	3/1/2013
SCAN	500 W Main Street, Fort Wayne IN 46802	501(c)(3)	Charitable	10,000	4/26/2013
Solomon Guggenheim Foundation	1071 Fifth Avenue, NY NY 10128	501(c)(3)	Charitable	50,000	11/30/2012
StoryCorps	80 Hanson Place, 2nd Floor,Brooklyn NY 11217	501(c)(3)	Charitable	1,000	9/27/2013
StoryCorps	80 Hanson Place, 2nd Floor,Brooklyn NY 11217	501(c)(3)	Charitable	1,000	1/24/2013
The Aspen Institute	One Dupont Circle NW, Ste 700, Washington DC 20036	501(c)(3)	Charitable	25,000	4/26/2013
The Aspen Institute	One Dupont Circle NW, Ste 700, Washington DC 20036	501(c)(3)	Charitable	25,000	5/24/2013
The Aspen Strategy Group	One Dupont Circle NW, Ste 700, Washington DC 20036	501(c)(3)	Charitable	38,805	8/30/2013
The Cleveland Museum of Art	11150 East Boulevard, Cleveland OH 44106	501(c)(3)	Charitable	35,000	7/18/2013
The Fracture Service Fund,Inc	535 East 70th St ,Rm 220 West, NY NY 10021	501(c)(3)	Charitable	5,000	4/5/2013
The Jazz Drama Program	579 West 215th Street, NY NY 10034	501(c)(3)	Charitable	1,000	3/28/2013
The Jazz Drama Program	579 West 215th Street, NY NY 10034	501(c)(3)	Charitable	1,000	10/3/2013
The Metropolitan Museum of Art	52 Vanderbilt Ave, New York, NY 10017	501(c)(3)	Charitable	9,000	10/11/2013
US Friends of the IISS	2121 K Street NW, Suite 801, Washinton DC 20037	501(c)(3)	Charitable	35,000	12/21/2012
US Holocaust Memorial Museum	60 E 42nd Street, Suite 2540, NY NY 10165	501(c)(3)	Charitable	2,500	9/20/2013
Whitney Museum of American Art	945 Madison Avenue at 75th St, NY NY 10021	501(c)(3)	Charitable	1,000	3/15/2013
Whitney Museum of American Art	945 Madison Avenue at 75th St, NY NY 10021	501(c)(3)	Charitable	2,500	10/11/2013
Elevator Repair Service Theate	47 Great Jones St 3rd Floor, NY NY 10012	501(c)(3)	Charitable	10,000	9/27/2013
Elevator Repair Service Theate	47 Great Jones St 3rd Floor, NY NY 10012	501(c)(3)	Charitable	2,500	2/5/2013
Foundation Individual Rights	601 Walnut Street, Philadelphia PA 19106	501(c)(3)	Charitable	10,000	2/1/2013
Pelotonia	351 W Nationwide Blvd Columbus OH 43215	501(c)(3)	Charitable	250	8/1/2013
Pierson College	Yale University, P O Box 208236, New Haven CT 06520	501(c)(3)	Charitable	3,000	12/6/2012
The Cato Institute	1000 Massachusetts Ave , N W , Washington DC 20001	501(c)(3)	Charitable	25,000	4/26/2013
The Federalist Society	1015 18th Street, NW Suite 425, Washington DC 20036	501(c)(3)	Charitable	3,000	5/24/2013
The Federalist Society	1015 18th Street, NW Suite 425, Washington DC 20036	501(c)(3)	Charitable	100,000	11/30/2012
The Rosenkranz Shakespeare Fun	180 Main Street, Andover MA 01810	501(c)(3)	Charitable	250,000	8/8/2013
Central Park Conservancy	14 East 60th Street, NY NY 10022	501(c)(3)	Charitable	5,000	5/17/2013
Garden House School	40 Sutton Place, New York, NY 10022	501(c)(3)	Charitable	15,000	12/6/2012
New York Botanical Garden	2900 Southern Boulevard, Bronx NY 10458	501(c)(3)	Charitable	7,500	11/16/2012
Robin Hood Foundation	25 East 67th Street, Suite 5C, NY NY 10065	501(c)(3)	Charitable	5,000	7/12/2013
Southampton Hospital Foundatio	240 Meeting House Lane, Southampton NY 11968	501(c)(3)	Charitable	10,000	5/17/2013
The Browning School	52 East 62nd Street, NY NY 10065	501(c)(3)	Charitable	10,000	4/15/2013
The Chapin School	100 East End Avenue, NY NY 10028	501(c)(3)	Charitable	3,000	4/5/2013
Turnaround For Children	25 West 45th St , 6th Floor, NY NY 10036	501(c)(3)	Charitable	5,000	5/3/2013
US Japan Foundation	145 East 32nd Street, 12th Flr, NY NY 10016	501(c)(3)	Charitable	20,000	5/31/2013
Massachusetts General Hospital - Palliative Care	55 Fruit St, Boston, MA 02114	501(c)(3)	Charitable	25,000	10/11/2013
Total distributions paid				1,918,904	