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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning NOV 1, 2012, and ending OCT 31, 2013

Name of foundation LAURA B. VOGLER FOUNDATION, INC.		A Employer identification number 11-6022241
Number and street (or P.O. box number if mail is not delivered to street address) 51 DIVISION STREET	Room/suite #501	B Telephone number (718) 423-3000
City or town, state, and ZIP code SAG HARBOR, NY 11963-1414		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,352,284.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		175,197.	175,197.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		130,202.			
b Gross sales price for all assets on line 6a 548,006.					
7 Capital gain net income (from Part IV, line 2)			130,202.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		305,399.	305,399.		
13 Compensation of officers, directors, trustees, etc.		54,708.	16,763.		37,945.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		9,100.	3,640.		5,460.
c Other professional fees					
17 Interest STMT 3		6,631.	1,185.		2,637.
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		580.	348.		232.
22 Printing and publications					
23 Other expenses STMT 4		5,536.	2,565.		2,971.
24 Total operating and administrative expenses. Add lines 13 through 23		76,555.	24,501.		49,245.
25 Contributions, gifts, grants paid		196,770.			196,770.
26 Total expenses and disbursements. Add lines 24 and 25		273,325.	24,501.		246,015.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		32,074.			
b Net investment income (if negative, enter -0-)			280,898.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	55,639.	89,715.	89,715.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 5	200,879.	326,204.	335,834.
	b Investments - corporate stock STMT 6	3,060,139.	2,932,898.	4,886,361.
	c Investments - corporate bonds STMT 7	31,656.	31,656.	40,374.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶ 10,054.			
	Less: accumulated depreciation STMT 8 ▶ 10,054.			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	3,348,313.	3,380,473.	5,352,284.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 9)	2,280.	2,366.	
	23 Total liabilities (add lines 17 through 22)	2,280.	2,366.	
	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	3,346,033.	3,378,107.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	3,346,033.	3,378,107.	
	31 Total liabilities and net assets/fund balances	3,348,313.	3,380,473.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,346,033.
2 Enter amount from Part I, line 27a	2	32,074.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,378,107.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,378,107.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHEDULE ATTACHED		P	VARIOUS	VARIOUS
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 548,006.		417,804.	130,202.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			130,202.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	130,202.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	208,020.	4,507,367.	.046151
2010	232,021.	4,472,932.	.051872
2009	164,120.	4,013,659.	.040890
2008	198,641.	3,603,531.	.055124
2007	229,856.	4,625,903.	.049689

2 Total of line 1, column (d)	2	.243726
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048745
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	4,963,475.
5 Multiply line 4 by line 3	5	241,945.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,809.
7 Add lines 5 and 6	7	244,754.
8 Enter qualifying distributions from Part XII, line 4	8	246,015.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	2,809.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,809.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,809.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,770.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,770.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	11.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,050.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>LAWRENCE L. D'AMATO, PRESIDENT</u> Telephone no. ► <u>718-423-3000</u> Located at ► <u>51 DIVISION STREET, #501, SAG HARBOR, NY</u> ZIP+4 ► <u>11963-1414</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,025,896.
b	Average of monthly cash balances	1b	13,165.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,039,061.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,039,061.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	75,586.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,963,475.
6	Minimum investment return. Enter 5% of line 5	6	248,174.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	248,174.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	2,809.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,809.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	245,365.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	245,365.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	245,365.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	246,015.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	246,015.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,809.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	243,206.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				245,365.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			5,663.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 246,015.				
a Applied to 2011, but not more than line 2a			5,663.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				240,352.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				5,013.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

N/A

- ▶

☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SCHEDULES ATTACHED				196,770.
Total			▶ 3a	196,770.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|--|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| | | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule. | | |
|--|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| N/A | | |
| | | |
| | | |
| | | |
| | | |

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

DOMENICK J.
TARANTINO, C.P.A.

Preparer's signature _____

Donald J. Finkle P.S.

Date	
------	--

03/05/14

Check ☐ if
self-employed

PTIN	
------	--

P00079287

Firm's name ► DOMENICK J. TARANTINO, CPA, PC

Firm's EIN ► 11-2789711

Firm's address ► 877 N. CORONA AVENUE
N. VALLEY STREAM, NY 11580

Phone no. 516-872-6300

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CHASE BANK	10.	0.	10.
MERRILL LYNCH	175,187.	0.	175,187.
TOTAL TO FM 990-PF, PART I, LN 4	175,197.	0.	175,197.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	9,100.	3,640.		5,460.
TO FORM 990-PF, PG 1, LN 16B	9,100.	3,640.		5,460.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	3,822.	1,185.		2,637.
FEDERAL EXCISE	2,809.	0.		0.
TO FORM 990-PF, PG 1, LN 18	6,631.	1,185.		2,637.

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	250.	0.		250.
MEMBERSHIP DUES	2,225.	890.		1,335.
INSURANCE	1,442.	865.		577.
POSTAGE, STATIONARY & MISC.	1,619.	810.		809.
TO FORM 990-PF, PG 1, LN 23	5,536.	2,565.		2,971.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	5
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
	X		326,204.	335,834.
TOTAL U.S. GOVERNMENT OBLIGATIONS			326,204.	335,834.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			326,204.	335,834.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	2,932,898.	4,886,361.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,932,898.	4,886,361.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	31,656.	40,374.
TOTAL TO FORM 990-PF, PART II, LINE 10C	31,656.	40,374.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	8
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	2,911.	2,911.	0.
SOFTWARE	6,079.	6,079.	0.
SOFTWARE	1,064.	1,064.	0.
TOTAL TO FM 990-PF, PART II, LN 14	10,054.	10,054.	0.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	9
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
TAXES PAYABLE	1,301.	1,327.	
FEDERAL EXCISE TAX PAYABLE	979.	1,039.	
TOTAL TO FORM 990-PF, PART II, LINE 22	2,280.	2,366.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	10
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
LAWRENCE L. D'AMATO 51 DIVISION ST. #501 SAG HARBOR, NY 11963	PRESIDENT 0.00	0.	0.	0.
LORRAINE DIAMOND 51 DIVISION ST. #501 SAG HARBOR, NY 11963	SECRETARY 0.00	0.	0.	0.
REV. STEPHEN S. SCHWANDER 51 DIVISION ST. #501 SAG HARBOR, NY 11963	DIRECTOR 0.00	0.	0.	0.
MAX KUPFERBERG 51 DIVISION ST. #501 SAG HARBOR, NY 11963	DIRECTOR 0.00	0.	0.	0.
KAREN M. YOST 51 DIVISION ST. #501 SAG HARBOR, NY 11963	DIRECTOR 0.00	0.	0.	0.
LAWRENCE L. D'AMATO 51 DIVISION ST. #501 SAG HARBOR, NY 11963	DIRECTOR 0.00	0.	0.	0.
JUNE D'AMATO 51 DIVISION ST. #501 SAG HARBOR, NY 11963	DIRECTOR/SEC. 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT	11
	PART XV, LINES 2A THROUGH 2D		

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LAURA B. VOGLER FOUNDATION, INC.--PO BOX 610508, BAYSIDE, NY 11361-0508

FORM AND CONTENT OF APPLICATIONS

NEW YORK AREA COMMON APPLICATION FORM WITH SUPPORTING DOCUMENTATION

ANY SUBMISSION DEADLINES

FORMAL APPLICATIONS SHOULD BE SUBMITTED NO LATER THAN THE 1ST DAY OF
JANUARY, APRIL, JULY OR OCTOBER

RESTRICTIONS AND LIMITATIONS ON AWARDS

MODEST SIZED GRANTS TO ORGANIZATIONS CONCERNED WITH THE HEALTH, WELL BEING,
AND EDUCATION OF CHILDREN, THE DISADVANTAGED AND THE ELDERLY.
GEOGRAPHICALLY, GRANTS ARE MADE ONLY IN THE NEW YORK CITY AND LONG ISLAND.

THE LAURA B. VOGLER FOUNDATION, INC.

FINANCIAL STATEMENTS

OCTOBER 31, 2013

THE LAURA B. VOGLER FOUNDATION, INC.

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 <u>Financial Statements and Schedules</u>	
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Comparative Statement of Income, Expenses And Grants for the fiscal year ended October 31, 2013 and 2012.....	3
Statement of Cash Flows for the Fiscal year ended October 31, 2013.....	4
Schedule of Investments and Income for the Fiscal year ended October 31, 2013.....	5-8
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For the fiscal year ended October 31, 2013.....	10-12



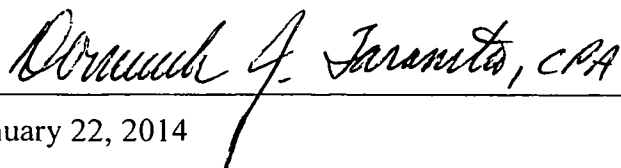
To the Board of Directors
The Laura B. Vogler Foundation, Inc.
Bayside, New York 11361

I have audited the accompanying statement of financial position of the ***The Laura B. Vogler Foundation, Inc.*** as of October 31, 2013, and the related comparative statements of income and cash flows for the fiscal years ended October 31, 2013 and 2012. These financial statements are the responsibility of the Foundation's management. My responsibility is to express an opinion on these financial statements based on my audit.

As described in Note 1, the Foundation's policy is to prepare its financial statements on the basis of cash receipts and disbursements, except that they include a provision for federal excise tax. Consequently, certain revenues are recognized when received rather than when earned and certain expenses are recognized when paid rather than when incurred. Accordingly, the accompanying financial statements are not intended to present the financial position and results of operations in conformity with generally accepted accounting principles.

In my opinion, the financial statements referred to above present fairly the financial position of ***The Laura B. Vogler Foundation, Inc.*** at October 31, 2013, and its income, expenses and grants and cash flows for the year then ended, on the basis of accounting described in Note 1 applied on a consistent basis.

My examination was made for the purpose of forming an opinion on the basic financial statements taken as a whole. The schedule of investments and income for the year ended October 31, 2013 is presented for purposes of additional analysis and is not a required part of the basic financial statements. This information has been subjected to the auditing procedures applied in my examination of the basic financial statements and, in my opinion, is fairly stated in all material respects in relation to the basic financial statements as a whole.


January 22, 2014

DOMENICK J. TARANTINO, C.P.A., P.C.

877 N Corona Avenue, N Valley Stream, NY 11580 • Phone 516-872-6300 • Fax 516-872-6311 • www.tarantinocpa.com

THE LAURA B. VOGLER FOUNDATION, INC.
STATEMENT OF FINANCIAL POSITION
OCTOBER 31, 2013

Page 2

Assets

	Total Market Values	Principal Fund	Income Fund
Cash	\$89,715 28	\$89,715 28	
Cash Due from Income Fund		(2,366 51)	\$2,366 51
Corporate Stocks & Mutual Funds - per Schedule 1	4,886,360 83	4,886,360 83	
Bonds - U S Government GNMA's	335,834 44	335,834 44	
Bonds - Corporate & Other Obligations	40,374 00	40,374 00	
Total Assets	\$5,352,284 55	\$5,349,918 04	\$2,366 51

Liabilities and Unrestricted Net Assets

Liabilities

Federal Excise Tax Payable		\$1,039 00
Payroll Taxes Payable	\$0 00	1,327 51
Total Liabilities	\$0 00	\$2,366 51

Unrestricted Net Assets

Balance October 31, 2012	\$4,695,306 59	
Realized Gain<Loss> on Sale & Redemption of Securities per Schedule 1	130,202 34	
Excess of Expenses over Income and Grants	(98,128 37)	
Unrealized holding gain <loss> on investments	622,537 48	
Balance October 31, 2013	\$5,349,918 04	\$0 00
Total Liabilities and Net Assets	\$5,349,918 04	\$2,366 51

See accompanying notes to the financial statements

THE LAURA B. VOGLER FOUNDATION, INC.
COMPARATIVE STATEMENT OF INCOME, EXPENSES AND GRANTS
FOR THE FISCAL YEAR ENDED OCTOBER 31, 2013 AND 2012

Page 3

Unrestricted Income Fund

Income	October 31,2013	October 31,2012	Increase (Decrease)
Dividends on Corporate Stocks & Mutual Funds			
- per Schedule 1	\$161,398 42	\$124,892 21	\$36,506 21
Interest on U S Government & Agency Obligations			
- per Schedule 1	11,737 02	14,419 73	(2,682 71)
Interest on Corporate Obligations	2,051 26	2,948 14	(896 88)
Dividends - Merrill Lynch Cash			
Management Account	10 82	23 92	(13 10)
Total Income	<u>\$175,197 52</u>	<u>\$142,284 00</u>	<u>\$32,913 52</u>
Expenses			
Directors Fees	\$9,375 00	\$9,375 00	\$0 00
President's Compensation	33,274 92	33,274 92	\$0 00
Secretary's Compensation	12,057 80	12,100 14	(\$42 34)
Accounting Fees	9,100 00	8,975 00	\$125 00
Filing Fees	250 00	250 00	\$0 00
Membership Dues	2,225 00	2,195 00	\$30 00
Postage, Stationery & Miscellaneous	1,620 19	646 63	\$973 56
Insurance	1,442 05	1,003 52	\$438 53
Payroll Taxes	3,821 73	3,823 81	(\$2 08)
Conference & Meetings	580 20	3,210 10	(\$2,629 90)
Total Expenses before Federal Tax	<u>\$73,746 89</u>	<u>\$74,854 12</u>	<u>(\$1,107 23)</u>
Federal Excise Tax	2,809 00	2,339 00	\$470 00
Total Expenses	<u>\$76,555 89</u>	<u>\$77,193 12</u>	<u>(\$637 23)</u>
Excess of Income over Expenses	\$98,641 63	\$65,090 88	\$33,550 75
Grants & Distributions	196,770 00	158,500 00	\$38,270 00
Excess (Expenses)/ Income	<u>(\$98,128 37)</u>	<u>(\$93,409 12)</u>	<u>(\$4,719 25)</u>

See accompanying notes to the financial statements

THE LAURA B. VOGLER FOUNDATION, INC.
STATEMENT OF CASH FLOWS
FOR THE FISCAL YEAR ENDED OCTOBER 31, 2013

Page 4

Cash flows from operating activities.	
Change in net assets	\$654,611.45
Adjustments to reconcile changes in net assets to net cash used by operating activities.	
Net unrealized and realized gains on investments	(752,739.82)
Cash due principal fund	86.39
	<u>(\$98,041.98)</u>

Cash flows from investing activities:	
Proceeds from sale of investments	\$548,005.56
Purchase of investments	(415,887.28)
Net cash provided by investing activities	<u>\$132,118.28</u>

Net decrease in cash	\$34,076.30
Cash at beginning of year	55,638.98
Cash at end of year	<u><u>\$89,715.28</u></u>

See accompanying notes to the financial statements

THE LAURA B. VOGLER FOUNDATION, INC
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2013

SCHEDULE 1
Page #5

Corporate Stocks & Mutual Funds	On Hand November 1, 2012			Fiscal Year Transactions			On Hand October 31, 2013		Fiscal Year Income
	# of Shs. or \$ Amt.	Inventory Value	# of Shs. or \$ Amt.	Purchases Cost	Sales and Redemptions	Gain (loss)	# of Shs. or \$ Amt.	Inventory Value	
A T & T, Inc	3,120	\$13,413 11					3,120	\$13,413 11	\$5,584 80
Alcoa Inc	2,000	\$51,829 59					2,000	\$51,829 59	\$240 00
Bank of New York Mellon Corp	1,500	\$50,792 09					1,500	\$50,792 09	\$840 00
Blackrock Basic Value Fund "A"	6,129	\$214,837 64	(867)	\$13,663 52	\$45,000 00	(\$3,396 98)	5,262	\$180,104 18	\$13,663 52
Blackrock Capital Appreciation Fund "A"	3,811	\$109,894 53	(1,655)	\$186 55	\$45,000 00	(\$3,831 43)	2,156	\$61,249 65	\$186 55
Blackrock Global Allocation Fund "A"	11,055	\$188,446 86	(2,118)		\$45,000 00	\$8 895 57	8,937	\$152,342 43	\$2,689 40
Blackrock Global Opport Port Fund "A"	14,000	\$132,803 11	(3,299)	\$1,635 35	\$45,000 00	\$13,328 59	10,701	\$102,767 05	\$1,635 35
Blackrock Long Horizon Equity Fund	35,244	\$362,404 85	(1,462)	\$22,028 06	\$45,000 00	\$17,235 84	33,782	\$336,668 75	\$22,028 06
Bristol-Myers Squibb	3,200	\$82,820 21					3,200	\$82,820 21	\$4,448 00
Chevron Texaco Corp	1,540	\$32,719 27					1,540	\$32,719 27	\$5,852 00
Coca Cola Corp	3,200	\$78,598 26					3,200	\$78,598 26	\$3,504 00
Cohen & Steers Dividend Fund	8,854	\$112,840 65	69	\$950 04			8,923	\$113,790 69	\$950 04
Conagra Foods Inc	2,000	\$44,418 08					2,000	\$44,418 08	\$2,000 00
Con Edison NY	2,000	\$56,500 00					2,000	\$56,500 00	\$4,900 00
Duke Energy Corp	666	\$38,819 94					666	\$38,819 94	\$2,047 95
DuPont El De Nemours	3,000	\$123,636 79					3,000	\$123,636 79	\$5,280 00
Emerson Electric Corp	1,600	\$51,896 35					1,600	\$51,896 35	\$2,624 00
Exxon Mobil Corp	3,168	\$38,548 11					3,168	\$38,548 11	\$7,603 20
FirstEnergy Corp	400	\$30,525 00					400	\$30,525 00	\$880 00
General Electric	2,600	\$101,210 84					2,600	\$101,210 84	\$1,976 00
International Paper Co	2,100	\$67,834 63					2,100	\$67,834 63	\$2,520 00
Int'l Business Machines	500	\$52,492 85					500	\$52,492 85	\$1,800 00
J M Smucker Co	1,100	\$51,599 15					1,100	\$51,599 15	\$2,354 00
Johnson & Johnson	550	\$35,845 93					550	\$35,845 93	\$1,397 00
JP Morgan Chase & Co	2,000	\$51,314 51	(2,400)		\$147,416 13	\$64,816 78	2,000	\$51,314 51	\$2,720 00
Kellogg Co	2,400	\$82,599 35					0	\$0 00	\$4,272 00
Kinder Morgan Energy	400	\$27,794 26					400	\$27,794 26	\$2,068 00
Lockheed Martin Corp	300	\$31,648 10					300	\$31,648 10	\$1,380 00
Lord Abbett Alpha Strategy Fund "A"	8,415	\$141,777 15	(1,100)	\$9,195 96	\$45,000 00	\$20,832 95	7,315	\$126,806 06	\$9,195 96
McDonalds Corp		\$0 00	1,300	\$124,633 92			1,300	\$124,633 92	\$0 00
New York Community Bancorp	2,300	\$50,532 63					2,300	\$50,532 63	\$2,300 00
Nextera Energy, Inc	3,600	\$96,467 02					3,600	\$96,467 02	\$9,288 00
Oshkosh Corporation	500	\$16,027 94					500	\$16,027 94	\$0 00
Procter & Gamble	1,575	\$65,811 77					1,575	\$65,811 77	\$3,665 02
Seaplan Corp	1,000	\$27,349 89					1,000	\$27,349 89	\$1,125 00
SLM Corp Pfd	750	\$25,348 86					750	\$25,348 86	\$2,613 76
Spectra Energy Corp	1,000	\$27,984 00					1,000	\$27,984 00	\$1,220 00
Superior Industries Int'l	2,000	\$34,825 83					2,000	\$34,825 83	\$1,320 00
Toronto Dominion Bank	745	\$45,042 70					745	\$45,042 70	\$2,009 53
Verizon Communications Corp	8,188	\$134,612 41					8,188	\$134,612 41	\$16,867 28
Wells Fargo & Co	1,000	\$34,220 68					1,000	\$34,220 68	\$1,070 00
W G L Holdings Inc	2,000	\$42,054 26					2,000	\$42,054 26	\$3,280 00
Total Corporate Stocks	151,510	\$3,060,139 20	(11,532)	\$172,293 40	\$417,416 13	\$117,881 32	139,978	\$2,932,897 79	\$4,886,360 83
									\$161,398 42

THE LAURA B. VOGLER FOUNDATION, INC.
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2013

SCHEDULE 1
Page #6

	On Hand November 1, 2012		Fiscal Year Transactions			On Hand October 31, 2013		Market Value	Fiscal Year Income
	# of Shs. or \$ Amt.	Inventory Value	Purchases Cost	Sales and Redemptions	Gain (loss)	Amortization OID	# of Shs. or \$ Amt.		
U.S. Government Bonds									
U S TREASURY 7 25% due 05/15/2016	65,000	\$65,948.73		\$78,599.05	\$12,650.32		0	\$0.00	\$3,905.39
Total U S Government Bonds	65,000	\$65,948.73	\$0.00	\$78,599.05	\$12,650.32	\$0.00	0	\$0.00	\$3,905.39

THE LAURA B VOGLER FOUNDATION, INC.
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2013

SCHEDULE 1
Page #7

U.S. Government Obligations	On Hand November 1, 2012			Fiscal Year Transactions			On Hand October 31, 2013		Market Value	Fiscal Year Income
	# of Shs. or \$ Amt.	Inventory Value	# of Shs. or \$ Amt.	Purchases Cost	Sales and Redemptions	Gain (loss)	Amortization OID	# of Shs. or \$ Amt.	Inventory Value	
GNMA P193501 9 5% 2016	236	\$238 16	(52)		\$52 51	\$0 03		184	\$185 68	\$20 27
GNMA P146412 9 5% 2016	540	\$542 02	(144)		\$143 70	(\$0 83)		396	\$397 49	\$45 19
GNMA P176654 9 0% 2016	499	\$504 61	(118)		\$118 40	(\$0 92)		381	\$385 29	\$40 21
GNMA P205376 9 5% 2017	57	\$56 35	(11)		\$9 51	(\$1 37)		46	\$45 47	\$5 00
GNMA P198522 8 5% 2017	5,726	\$5,726 00	(1,320)		\$1,320 05	\$0 05		4,406	\$4,406 00	\$436 90
GNMA P262621 9 5% 2018	786	\$791 18	(111)		\$111 28	(\$0 45)		675	\$679 45	\$69 99
GNMA P251760 9 5% 2018	380	\$377 15	(55)		\$54 54	(\$0 05)		325	\$322 56	\$33 76
GNMA P271679 9 0% 2019	1,169	\$1 183 61	(151)		\$151 22	(\$1 66)		1,018	\$1,030 73	\$99 12
GNMA P311971 7 5% 2022	942	\$924 34	(70)		\$69 97	\$1 28		872	\$855 65	\$68 35
GNMA P319892 7 5% 2023	1 849	\$1,860 57	(147)		\$147 42	(\$0 50)		1,702	\$1,712 65	\$133 70
GNMA P365934 7 0% 2023	2,476	\$2,491 48	(190)		\$189 59	(\$1 60)		2,286	\$2,300 29	\$167 09
GNMA P423798 7 5% 2026	128	\$129 60	(14)		\$13 76	(\$0 41)		114	\$115 43	\$9 15
GNMA P430921 7 5% 2026	705	\$706 76	(41)		\$40 66	(\$0 44)		664	\$665 66	\$51 55
GNMA P570575 5 5% 2034		\$0 00	9,143	\$10,282 11	\$84 09	(\$15 00)		9,143	\$10,183 02	\$85 56
GNMA P646863 5 0% 2035	35,510	\$36,220 20	(8,617)		\$8,617 07	(\$172 27)		26,893	\$27,430 86	\$1,507 72
GNMA P656047 5 0% 2036	64,773	\$62,910 78	(18,241)		\$18,241 34	\$524 77		46,532	\$45,194 21	\$2,804 70
GNMA P658408 5 0% 2037	20,349	\$20,267 05	(13,585)		\$13,585 24	\$54 95		6,764	\$6,736 76	\$762 48
GNMA P26263 5 0% 2039		\$0 00	53,029	\$61,559 37	\$3,056 07	(\$303 97)		53,029	\$58,199 33	\$458 98
GNMA P775131 4 0% 2041		\$0 00	98,002	\$110,859 16	\$5,720 71	(\$398 81)		98,002	\$104,739 64	\$679 52
GNMA PAD7367 1 5% 2043		\$0 00	59,284	\$60,893 24	\$263 25	(\$12 10)		59,284	\$60,617 89	\$352 39
Total U.S. Government Obligations	136,125	\$134,929 86	176,591	\$243,593 88	\$51,990 38	(\$329 30)	0 00	312,716	\$326,204 06	\$7,831 63

THE LAURA B VOGLER FOUNDATION, INC.
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2013

SCHEDULE 1
Page #8

	On Hand November 1, 2012			Fiscal Year Transactions			On Hand October 31, 2013		Fiscal Year Income
	# of Shs or \$ Amt.	Inventory Value	# of Shs or \$ Amt.	Purchases Cost	Sales and Redemptions	Gain (loss)	# of Shs. or \$ Amt.	Inventory Value	
Stocks - U.S. Obligations									
Corporate Stocks-brought forward	151,510	\$3,060,139.20	(11,532)	\$172,293.40	\$417,416.13	\$117,881.32	139,978	\$2,932,897.79	\$161,398.42
U.S. Government Bonds-brought forward	65,000	\$65,948.73	(65,000)	\$0.00	\$78,599.05	\$12,650.32	0	\$0.00	\$3,905.39
U.S. Government Obligations-brought forward	136,125	\$134,929.86	176,591	\$243,593.88	\$51,990.38	(\$329.30)	312,716	\$326,204.06	\$7,831.63
Bonds - Corporate Obligations									
Swiss RE Solutions 6.45% 3/1/2019	10,000	\$8,748.25					10,000	\$8,748.25	\$645.00
General Electric Cap Corp 5.625% 9/15/2017	25,000	\$22,907.85					25,000	\$22,907.85	\$1,406.26
Total Bonds-Corporate Obligations	35,000	\$31,656.10	0	\$0.00	\$0.00	\$0.00	35,000	\$31,656.10	\$2,051.26
Total Investments		\$3,292,673.89		\$415,887.28	\$548,005.56	\$130,202.34		\$3,290,757.95	\$175,186.70

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THE LAURA B. VOGLER FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
OCTOBER 31, 2013

Page 9

Note 1 **SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

Basis of Accounting

The Foundation's policy is to prepare its financial statements on the modified cash basis of accounting: consequently, certain revenues are recognized when received rather than when earned and certain expenses are recognized when cash is disbursed rather than when the obligation is incurred. Books and records are maintained on the cash basis, with the exception of the accrual of federal excise tax.

Note 2 **Investments**

Equity securities and bonds held for indefinite periods of time, including securities which The Foundation intends to use as part of its asset/liability strategy, or that may be sold in response to changes in market conditions, the need to increase cash or other similar factors, are classified as available for sale and are carried at fair market value.

As of October 31, 2013, the aggregate value of investments available for sale is as follows:

Fair Market Value	\$5,262,569.27
Cost (Inventory Value)	3,290,757.95
Unrealized Holding Gain	1,971,811.32

LAURA B. VOGLER FOUNDATION GRANTS Nov. 1, 2012 to October 31, 2013

Alzheimer's Assoc., NYC Chapter	\$ 1,750.00	printing of 15,000 pamphlets in Russian
American Diabetes Assoc, Inc	\$ 3,000 00	diabetes education
Armory Foundation	\$3,000 00	25 Kindles & bks for writing class
The Assoc. of Community Employment	\$3,000 00	Project Comeback, vocational rehab program
Beginning w/Children Fdn , Inc.	\$1,750 00	general operating support
Boys Hope Girls Hope New York	\$1,750.00	counseling support for needy adolescents
Breakthrough New York, Inc.	\$1,750.00	hiring a social worker
Brooklyn Arts Council	\$1,750 00	support 2013-14 Teaching Artist Training Program
Brooklyn Music School	\$1,750 00	support Summer Institute of Music & Dance Prog.
Cell Motion Laboratories	\$3,000.00	hands-on experiments thru BioBus project
Children's Fdn. Of Astor	\$1,750 00	2012-13 Annual Campaign-child welfare
City Limits	\$1,750.00	Tackling Poverty & Network Series
Community-Word Project, Inc	\$1,750.00	multi-disciplinary arts-in-education program
Council for Economic Education	\$1,750.00	after-school program in personal finance
De La Salle Academy	\$1,750 00	academic support for low-income students
DOROT, Inc ("Generations" in Hebrew	\$3,500.00	Homelessness Prevention Program
Easter Seals New York, Inc	\$2,500.00	family outreach program
Elders Share the Arts, Inc (ESTA)	\$3,000 00	intergenerational art-in-education program NYC schools
Enrichment Audio Resource Services, Inc	\$1,000 00	audio lessons on CD
Every Child A Reader, Inc	\$1,750.00	general operating support
Exalt Youth	\$2,500 00	educational Internship program(court-involved youth
Fresh Air Fund	\$1,750 00	scholarship for summer camp session
Fordham University RETC	\$1,750.00	College & Career Preparation Program
Globalhood, Inc. dba Global Potential	\$1,750.00	purchase of Metrocards for youth workshop
Goddard Riverside Community Center	\$3,000.00	supplies for Children's After School Program
Go Project, Inc	\$3,000.00	underwrite supplies & curricula for 500 elem. Students
Harlem Lacrosse & Leadership	\$2,000 00	cloud-based system to track progress of students
Home Free Home, Inc.	\$2,000 00	safe accessible home design
Island Harvest	\$5,000 00	Hurricane Sandy relief for LI families
Island Harvest	\$3,000 00	Hurricane Sandy relief for LI families
The Jewish Board of Family & Children's Services	\$2,000 00	after school program for low-income families
The Jewish Institute of Queens	\$2,500 00	New Horizons Tutoring Program
John A. Coleman School	\$1,500 00	enrich special ed preschool music program
Knox Gates Neighborhood Assoc., Inc.	\$3,000 00	Media Arts & Literacy Teen Program
The Korean American Family Service Ctr.	\$3,000 00	MTA cards for Transitional Housing Clients

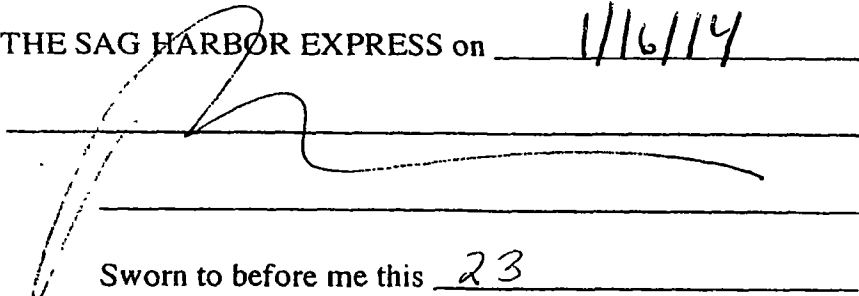
Learning About Multimedia Project, Inc.	\$1,500.00	general operating support
Life Camp, Inc	\$2,500.00	Violence Interruption & Prevention Prog
Lincoln Square Neighborhood Center, Inc	\$1,750.00	support for revitalized LSNC Afterschool Program
Little Essentials	\$3,000.00	1-yr. van rental for needy Brooklyn children
Long Island Children's Museum	\$1,750.00	support Westbury, LI Science Program
Madison Square boys & Girls Club, Inc	\$1,750.00	educational programming ages 6-9
Maura Clarke-Ita Ford Center	\$5,000.00	support English as Second Language program
Medicare Rights Center, Inc.	\$1,750.00	counseling Medicare beneficiaries
Movement for Justice in El Barrio, Inc.	\$1,750.00	educational initiative for girls & elderly
My Own Book Fund, Inc	\$3,000.00	third grade literacy program
National Aphasia Assoc , Inc.	\$1,750.00	Aphasia Awareness Training
National Multiple Sclerosis Society, LI Chap	\$1,750.00	art therapy for Long Islanders
New York Center for Children	\$1,750.00	therapy patients w/Metro cards & snacks
New York Gracie Square Hospital, Inc.	\$2,000.00	the ACCESS FUND
New York Needs You	\$1,750.00	28 Sat. workshops w/professional mentors
North Shore Child & Family guidance Assoc	\$1,750.00	support for the Latina Girls Project
Northern Manhattan Improvement Corp.	\$1,750.00	general operating support
Options for Community Living, Inc.	\$1,750.00	outreach efforts for people living w/HIV/AIDS
Part of the Solution, Inc (POTS)	\$3,000.00	social services, academic instruc. & nutrition
Pregnance Care Center, Inc.	\$1,750.00	nuturing responsible motherhood
Pregnancy Help, Inc	\$3,000.00	guidance with pregnancy issues
Project Hospitality, Inc	\$3,500.00	Hurricane Sandy relief for families
Providence House, Inc.	\$5,020.00	fire safety at shelter for homeless women
The Puppetry Arts Theatre, Inc.	\$3,000.00	cultural outreach for at-risk youth
Reach Out & Read of Greater NY, Inc.	\$1,750.00	partnering w/doctors to prescribe books
The Reading Team	\$3,000.00	general operating support
Refuah Helpline dba Refuah Resources	\$1,750.00	establishment of Elder Care Division
Ritual Theatre Company, Inc	\$1,000.00	general operating support
RockefellerPhilanthropyAdvisors Zone 126	\$2,500.00	support college prep program in Zone 126
The Salvation Army-Greater NY Div.	\$5,000.00	Hurricane Sandy relief for LI families
The Salvation Army-Greater NY Div.	\$3,000.00	Hurricane Sandy relief for LI families
Saint Dominic's Home, Inc.	\$1,750.00	legal unit to promote foster care
Saint Raymond HS for Boys	\$1,750.00	support of scholarship program
Saint Vincent's Services, Inc	\$5,000.00	YLA Summer Camp
Southampton Fresh Air Home	\$1,750.00	general operating support
Staten Island Children's Museum	\$2,500.00	Green Energy Exhibit & Educational Prog
Staten Island Institute of Arts & Sciences	\$3,000.00	educational programming (Title 1)

Street Lab, Inc.		
Streetwise Partners, Inc	\$2,500.00	portable reading room for NYC organizations
Sundog Theatre, Inc	\$1,750.00	support for Career Ventures Program
Trinity Community Connections, Inc	\$3,000.00	integrated-arts 3D Literacy program
Urban Word NYC	\$3,000.00	the Creative Learning Center
Van Cortlandt Park Conservancy	\$1,750.00	Summer Institute on Soc Justice&Applied Poetics
Volunteers of Legal Services, Inc	\$1,750.00	support the salary for interns&necessary materials
Washington Heights-Inwood Coalition, Inc	\$3,000.00	disaster unemployment assistance(Sandy)
West End Residences HDfC, Inc.	\$3,500.00	The Fathers Club
Yaldeinu School	\$3,000.00	The Women's Empowerment Program
	\$ 1,750.00	music therapy for autistic children
Total grants paid:	\$ 196,770.00	

AFFADAVIT OF PUBLICATION

TOWNS OF EAST AND SOUTHAMPTON }
COUNTY OF SUFFOLK } ----:SS
STATE OF NEW YORK }

Bryan Boyhan as PUBLISHER of THE
SAG HARBOR EXPRESS, a newspaper published by
Coastal Publications, Inc. every Thursday at SAG HARBOR
in the Towns of East Hampton and Southampton. County of
Suffolk, N.Y., being duly sworn deposes and says that the Legal
Notice of which the attached is a Copy was published in said
THE SAG HARBOR EXPRESS on 1/16/14


Sworn to before me this 23

day of January, 2014. Donna W. Molin

NOTARY PUBLIC, SUFFOLK COUNTY, N.Y.

Donna W. Molin
Notary Public State of New York
Qualified in Suffolk County
Commission Expires ~~08-03~~ 11-17-14

LEGAL NOTICE

PUBLIC NOTICE: The Annual Return of The Laura B. Vogler Foundation for the fiscal year ending 10/31/13 is available at its principal office, located at 9 Noyac Avenue, Sag Harbor, New York 11963 for inspection during regular business hours by any citizen who requests it within 180 days hereof. Principal Manager of the Foundation is Lawrence L. D'Amato. Dated: January 10, 2014.

1x1/16/14

THE LAURA B. VOGLER FOUNDATION, INC.

OCTOBER 31, 2013

FORM 990PF

ID NUMBER: 11-6022241

STATEMENT 09

Other Liabilities

	<u>Beginning of Year</u>	<u>End of Year</u>
Taxes Payable	\$ 1,301 00	\$ 1,327.00
Federal Excise Tax Payable	<u>979 00</u>	<u>1,039 00</u>
TOTAL	<u>\$ 2,280 00</u>	<u>\$ 2,366 00</u>

STATEMENT 10

Part VIII---

Information about Officers/Directors:

	<u>Salaries</u>	<u>Grant Review Fees</u>	<u>Director Fees</u>	<u>TOTAL</u>
Lawrence L. D'Amato--President & Director P O Box 610508, Bayside, NY	\$ 33,275.00	\$0 00	\$ 1,425 00	
Laraine Diamond--Secretary/ Treasurer P O Box 610508, Bayside, NY	\$ 10,558.00	\$0 00	\$0 00	
Rev Stephen S. Schwander--Director P.O. Box 610508, Bayside, NY		\$ 750 00	\$ 1,425 00	
Max Kupferberg--Director P.O. Box 610508, Bayside, NY		\$ 375.00	\$ 1,425.00	
Karen M. Yost--Director P.O. Box 610508, Bayside, NY		\$ 375.00	\$ 1,425.00	
June D'Amato--Director/Secretary P.O. Box 610508, Bayside, NY	\$ 1,500 00	\$750.00	\$ 1,425.00	
	<u>\$ 45,333 00</u>	<u>\$ 2,250 00</u>	<u>\$ 7,125.00</u>	<u>\$ 54,708 00</u>