Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 11-01 **, 2012, and ending** 10-31 , 2013

Name of foundation: WALDMAN FOUNDATION, INC.

A Employer identification number: 06-6068746

Number and street (or P O box number if mail is not delivered to street address): 914 SW 21 WAY

Room/suite:

B Telephone number (see instructions): (954)426-2600

City or town state, and ZIP code: BOCA RATON, FL 33486

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A) check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 309,884

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	50,000			
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	5,035	5,035		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	34,992			
	b	Gross sales price for all assets on line 6a 380,578				
	7	Capital gain net income (from Part IV, line 2)		34,992		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	90,027	40,027		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STM110	113			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) STM103	4,630	4,630		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	4,743	4,630		0
	25	Contributions, gifts, grants paid	78,195			78,195
	26	**Total expenses and disbursements.** Add lines 24 and 25	82,938	4,630		78,195
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	7,089			
	b	**Net investment income** (if negative, enter -0-)		35,397		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Form **990-PF** (2012)

EEA

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	228,323	229,866	
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) STM137	263,379	268,925	309,884
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	491,702	498,791	309,884
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	491,702	498,791	
	30 **Total net assets or fund balances** (see instructions)	491,702	498,791	
	31 **Total liabilities and net assets/fund balances** (see instructions)	491,702	498,791	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	491,702
2	Enter amount from Part I, line 27a	2	7,089
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	498,791
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	498,791

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (yr , mo , day)	(d) Date sold (yr , mo , day)
1a	SCHEDULE ATTACHED	P	VARIOUS	2013-06-30
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	380,578		345,586	34,992
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				34,992
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 34,992

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	49,900	502,611	0.099282
2010	49,884	499,565	0.099855
2009	61,320	474,788	0.129152
2008	57,460	533,309	0.107742
2007	80,870	532,834	0.151773

2	**Total** of line 1, column (d)	2	0.587805
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.117561
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	0
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	354
7	Add lines 5 and 6	7	354
8	Enter qualifying distributions from Part XII, line 4	8	78,195

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	354
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	354
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	354
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	354
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c) and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ ANA WALDMAN Telephone no ▶ 954-426-2600			
	Located at ▶ 914 SW 21 WAY BOCA RATON, FL ZIP+4 ▶ 33486			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**-Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**		X
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If yes did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
ANA WALDMAN 914 SW 21 WAY BOCA RATON, FL 33486	PRESIDENT 0	0	0	0
ANDREW WALDMAN 914 SW 21 WAY BOCA RATON, FL 33486	VP 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	297,264
b	Average of monthly cash balances	1b	229,107
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	526,371
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	526,371
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,896
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	518,475
6	**Minimum investment return.** Enter 5% of line 5	6	25,924

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	25,924
2a	Tax on investment income for 2012 from Part VI, line 5	2a	354		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	354
3	Distributable amount before adjustments Subtract line 2c from line 1			3	25,570
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	25,570
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	25,570

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	78,195
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	78,195
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	354
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	77,841

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				25,570
2	Undistributed income, if any, as of the end of 2012				
a	Enter amount for 2011 only				
b	Total for prior years ______, ______, ______				
3	Excess distributions carryover, if any, to 2012				
a	From 2007 — 55,424				
b	From 2008 — 31,274				
c	From 2009 — 35,404				
d	From 2010 — 26,117				
e	From 2011 — 25,035				
f	**Total** of lines 3a through e	173,254			
4	Qualifying distributions for 2012 from Part XII, line 4 ► $ 78,195				
a	Applied to 2011, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see instructions)				
c	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2012 distributable amount				25,570
e	Remaining amount distributed out of corpus	52,625			
5	Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	225,879			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see instructions				
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	55,424			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	170,455			
10	Analysis of line 9				
a	Excess from 2008 — 31,274				
b	Excess from 2009 — 35,404				
c	Excess from 2010 — 26,117				
d	Excess from 2011 — 25,035				
e	Excess from 2012 — 52,625				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE,

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE,

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV. Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
UNITED JEWISH AGENCY NEW YORK NY		PUBLIC	ANNUAL DRIVE	25,000
STRATTON FOUNDATION STRATTON VT		PUBLIC	ANNUAL DRIVE	10,000
EMERGENCY SHELTER PTR NEW YORK NY		PUBLIC	ANNUAL DRIVE	1,800
RUTH RALES FOUNDATION FT. LAUDERDALE FL		PUBLIC	ANNUAL DRIVE	8,750
JOE DIMAGGIO CHILDRENS HOSPITA HOLLYWOOD FL		PUBLIC	ANNUAL DRIVE	2,600
NORTHWESTERN UNIVERSITY EVANSTON IL		PUBLIC	ANNUAL DRIVE	20,025
PINE CREST SCHOOL FT. LAUDERDALE FL		PUBLIC	ANNUAL DRIVE	450
KINGSWOOD SCHOOL WEST HARTFORD CT		PUBLIC	ANNUAL DRIVE	5,000
Total . . . . . . ▶ 3a				
b Approved for future payment				
Total . . . . . . ▶ 3b				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BNAI TORAH SYNAGOGUE BOCA RATON FL		PUBLIC	ANNUAL DRIVE	2,230
FRONTOTEMPORAL DEGENERATION MIAMI FL		PUBLIC	ANNUAL DRIVE	1,800
UNITED SYNAGOGUE WEST HARTFORD CT		PUBLIC	ANNUAL DRIVE	180
BROWARD OUTREACH FOUNDATION FT. LAUDERDALE FL		EXEMPT	ANNUAL DRIVE	360
Total ▶ 3a				78,195
b Approved for future payment				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f			18		34,992
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					5,125
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)					40,117

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 40,117

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

			Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true correct and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: M Wald | Date: 3/14/2014 | Title: Director

May the IRS discuss this return with the preparer shown below (see inst)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JEFFREY LUTIN		1/17/14		P00114623

Firm's name ▶ JEFFREY LUTIN CPA PA | Firm's EIN ▶

Firm's address ▶ 7284 W PALMETTO PARK RD STE 206, BOCA RATON FL 33433 | Phone no 561-393-8191

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2012

Name of the organization	**Employer identification number**
WALDMAN FOUNDATION, INC.	06-6068746

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1 000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ______

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

990B

Name of organization	Employer identification number
WALDMAN FOUNDATION, INC.	06-6068746

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RUBILOFF FAMILY NEW YORK, NY	$ 50,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Federal Supporting Statements

2012 PG 01

Name(s) as shown on return: WALDMAN FOUNDATION, INC.

FEIN: 06-6068746

FORM 990PF, PART II, LINE 10(B)
INVESTMENTS: CORPORATE STOCK SCHEDULE

STATEMENT #137

CATEGORY	BOY	BOOK VALUE	EOY FMV
INVESTMENT IN SECURITIES	263,379	268,925	309,884
TOTALS	263,379	268,925	309,884

Federal Supporting Statements

2012 PG 01

Name(s) as shown on return: WALDMAN FOUNDATION, INC.

Your Social Security Number: 06-6068746

FORM 990PF, PART I, LINE 23 - OTHER EXPENSES SCHEDULE

STATEMENT #103

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
BANK CHARGES	175	175	0	0
INVESTMENT ADVISORY FEES	4,455	4,455	0	0
TOTALS	4,630	4,630	0	0

PG 01

FORM 990PF, PART I, LINE 18 - TAXES SCHEDULE

STATEMENT #110

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
EXCISE TAX	113	0	0	0
TOTALS	113	0	0	0

Morgan Stanley

Realized Gain/Loss - Detail
(01/01/13 - 10/31/13)
As of 01/15/2014

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF
914 S.W. 21ST WAY
BOCA RATON FL 33486-5238

Prepared by Private Wealth Management
Ph.

Acct. 304-879780-818

Description		Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Short Term								
FNMA	1 1/4 9-28-16	3135G0CM3	2,000.000	05/24/13	$2,040.60	10/03/13	$2,031.79	$(8.81)
US TSY NOTE	7/8 12-31-16	912828RX0	17,000.000	12/31/12	17,211.01	05/24/13	17,181.22	(29.79)
US TSY NOTE	5/8 5-31-17	912828SY7	32,000.000	07/17/12	32,053.72	05/24/13	31,917.38	(136.34)
US TSY NOTE	1/4 10-31-14	912828TU4	2,000 000	05/24/13	2,001.80	10/03/13	2,002.18	0.38
AMERISOURCEBERGEN CORP		ABC	17.000	04/09/13	898 27	10/21/13	1,084.96	186.69
		ABC	2.000	04/18/13	110.94	10/21/13	127.64	16.70
		ABC	14.000	04/18/13	776.61	10/22/13	892.95	116.34
		Totals			$1,785.82		$2,105.55	$319.73
AMER INTL GP INC NEW		AIG	4.000	10/04/12	139.67	06/10/13	182.47	42.80
AMGEN INC		AMGN	8.000	04/16/13	882.97	04/30/13	832.60	(50.37)
AMAZON COM INC		AMZN	1 000	08/16/12	238.01	06/10/13	281 18	43.17
ANADARKO PETE		APC	1.000	04/11/13	87.20	06/10/13	87.64	0.44
BANK OF AMERICA CORP		BAC	8.000	11/27/12	79.18	06/10/13	106.63	27.45
		BAC	13.000	11/27/12	128.67	01/28/13	150.53	21.86
		Totals			$207.85		$257.16	$49.31
BLACKROCK INC		BLK	1.000	11/07/12	189.83	06/10/13	280.40	90.57
		BLK	3.000	11/07/12	569.48	06/21/13	746.28	176.80
		BLK	5.000	11/21/12	970.07	06/24/13	1,234.89	264.82
		BLK	2.000	01/17/13	465.16	06/24/13	493.96	28.80
		Totals			$2,194.54		$2,755.53	$560 99
BRISTOL MYERS SQUIBB CO		BMY	24.000	07/10/12	836.57	01/09/13	804.14	(32.43)
		BMY	25.000	07/12/12	874.61	01/09/13	837.64	(36.97)
		BMY	24.000	07/17/12	864.43	01/09/13	804.14	(60.29)
		Totals			$2,575.61		$2,445.92	$(129.69)
PEABODY ENERGY CORP		BTU	32.000	12/13/12	896.42	03/19/13	678.76	(217.66)
ISHARES BARCLAYS CREDIT BD ETF		CIU	5.000	05/28/13	553.18	06/10/13	546.34	(6.84)
COMCAST CORP (NEW) CLASS A		CMCSA	6.000	07/11/12	189.29	06/10/13	244.25	54.96
CAPITAL ONE FINANCIAL CORP		COF	9.000	03/15/12	468.79	02/12/13	500.87	32.08
CISCO SYS INC		CSCO	4.000	05/02/13	82.74	06/10/13	97.59	14.85
		CSCO	54.000	05/02/13	1,117.00	10/22/13	1,212.50	95.50

Morgan Stanley

Realized Gain/Loss - Detail
(01/01/13 - 10/31/13)
As of 01/15/2014

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914 S.W. 21ST WAY
BOCA RATON FL 33486-5238

Prepared by Private Wealth Management

Ph.

Acct. 304-879780-818

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	Totals			$1,199.74		$1,310.09	$110.35
CHEVRON CORP	CVX	1 000	05/22/12	$99 63	01/28/13	$116 07	$16.44
EBAY INC	EBAY	3.000	05/25/12	120.99	01/28/13	168.74	47.75
FREEPORT MCMORAN CP&GLD	FCX	13.000	06/29/12	441.86	03/14/13	430.71	(11.15)
	FCX	11 000	07/03/12	389.27	03/14/13	364.45	(24.82)
	FCX	2.000	08/22/12	73.05	03/14/13	66.26	(6.79)
	FCX	8.000	10/16/12	331.69	03/14/13	265.05	(66.64)
	FCX	15 000	10/16/12	621.93	03/19/13	495.18	(126.75)
	FCX	22.000	10/16/12	912.16	05/24/13	668.64	(243.52)
	FCX	2.000	11/01/12	80.22	05/24/13	60.79	(19.43)
	FCX	22.000	11/01/12	882 37	06/20/13	619.10	(263 27)
	FCX	8.000	01/03/13	279.92	06/20/13	225.13	(54.79)
	Totals			$4,012.47		$3,195.31	$(817.16)
FEDEX CORP	FDX	8.000	02/08/13	856.82	03/20/13	811 35	(45.47)
	FDX	9 000	02/26/13	928.11	03/20/13	912 76	(15.35)
	Totals			$1,784.93		$1,724.11	$(60.82)
GENERAL MTRS CO	GM	4.000	01/26/12	99.96	01/16/13	117.08	17.12
	GM	4.000	09/07/12	93.34	06/10/13	138.75	45.41
	GM	10.000	09/07/12	233.34	04/08/13	274.84	41.50
	Totals			$426.64		$530.67	$104.03
GOLDMAN SACHS GRP INC	GS	7.000	10/11/12	853.63	02/26/13	1,036.51	182.88
	GS	2 000	12/21/12	255.99	10/23/13	314.10	58.11
	Totals			$1,109.62		$1,350.61	$240.99
HALLIBURTON CO	HAL	7.000	08/02/12	227.89	01/28/13	282.65	54.76
	HAL	19.000	08/02/12	618.55	03/19/13	749.16	130.61
	HAL	4.000	08/03/12	135.94	03/19/13	157.72	21.78
	HAL	7.000	08/03/12	237.90	06/10/13	299.96	62.06
	Totals			$1,220.28		$1,489.49	$269.21
JOHNSON & JOHNSON	JNJ	1.000	01/14/13	72.66	06/10/13	85.28	12.62
KRAFT FOODS GROUP INC COM	KRFT	4.000	05/18/12	162.98	05/02/13	200.76	37.78
LIBERTY GLOBAL PLC CL A NEW	LBTYA	13.000	02/06/13	857.05	06/18/13	938.37	81.32
ELI LILLY & CO	LLY	35.000	01/09/13	1,848.03	05/20/13	1,935.80	87.77
LOWES COMPANIES INC	LOW	2.000	11/21/12	68.50	06/10/13	82.73	14.23
	LOW	22.000	11/21/12	753.54	06/21/13	867.04	113.50

Realized Gain/Loss - Detail
(01/01/13 - 10/31/13)
As of 01/15/2014

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BOCA RATON FL 33486-5238

Prepared by Private Wealth Management
Ph.

Acct. 304-879780-818

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	Totals			$822.04		$949.77	$127.73
MONDELEZ INTL INC COM	MDLZ	12 000	05/18/12	$301 48	02/14/13	$322 05	$20 57
MARSH & MCLENNAN COS INC	MMC	1.000	07/27/12	33 38	01/28/13	34.90	1.52
	MMC	5.000	07/27/12	166 91	06/10/13	201.24	34.33
	Totals			$200 29		$236.14	$35.85
MICROSOFT CORP	MSFT	1.000	05/21/13	34.98	06/10/13	35.29	0.31
	MSFT	26 000	05/21/13	909.35	07/19/13	818.26	(91.09)
	MSFT	26.000	06/05/13	902.56	07/19/13	818.26	(84.30)
	MSFT	26 000	06/27/13	900.08	07/19/13	818.26	(81.82)
	Totals			$2,746.97		$2,490.07	$(256.90)
NETAPP INC COM	NTAP	26.000	12/07/12	860 04	05/22/13	978 99	118.95
	NTAP	26.000	12/19/12	894.72	05/22/13	978.98	84.26
	Totals			$1,754.76		$1,957.97	$203 21
ORACLE CORP	ORCL	9 000	01/09/13	310.96	06/10/13	305.65	(5.31)
	ORCL	17.000	01/09/13	587.36	06/21/13	515.25	(72.11)
	ORCL	24.000	01/31/13	848.94	06/21/13	727.41	(121.53)
	Totals			$1,747.26		$1,548.31	$(198.95)
PEPSICO INC NC	PEP	25.000	05/16/12	1,723.27	01/02/13	1,719.66	(3.61)
	PEP	13.000	05/30/12	887.85	01/02/13	894.22	6.37
	Totals			$2,611.12		$2,613.88	$2.76
QUALCOMM INC	QCOM	14 000	09/07/12	873.36	07/15/13	856.60	(16.76)
	QCOM	25.000	09/07/12	1,559.57	07/08/13	1,500.01	(59.56)
	QCOM	16.000	11/16/12	984.71	07/15/13	978.97	(5.74)
	Totals			$3,417.64		$3,335.58	$(82.06)
RIO TINTO PLC SPON ADR	RIO	16.000	12/14/12	878.34	02/21/13	859.25	(19.09)
	RIO	15.000	12/18/12	870 05	02/21/13	805.55	(64.50)
	Totals			$1,748.39		$1,664.80	$(83.59)
CHARLES SCHWAB NEW	SCHW	12.000	03/07/12	166.47	01/28/13	193.07	26.60
TARGET CORPORATION	TGT	14 000	11/15/12	867.91	01/03/13	840.33	(27.58)
	TGT	27.000	11/21/12	1,713.66	01/03/13	1,620.65	(93 01)
	Totals			$2,581.57		$2,460.98	$(120.59)
TIME WARNER CABLE INC NEW	TWC	1.000	07/12/12	82.14	01/28/13	100.60	18.46
	TWC	9.000	07/12/12	739.22	02/08/13	795.24	56.02
	TWC	5.000	07/17/12	425.78	02/08/13	441 80	16.02

Morgan Stanley

Realized Gain/Loss - Detail
(01/01/13 - 10/31/13)
As of 01/15/2014

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF
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Ph.

Acct. 304-879780-818

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	TWC	6.000	09/26/12	$565.69	02/08/13	$530.16	$(35.53)
	TWC	7.000	09/26/12	659.97	02/08/13	611.42	(48.55)
	Totals			$2,472.80		$2,479.22	$6.42
WELLS FARGO & CO NEW	WFC	22 000	06/21/13	903.80	09/24/13	921.16	17.36
	WFC	21 000	07/08/13	895.79	09/24/13	879.29	(16.50)
	Totals			$1,799.59		$1,800.45	$0.86
WILLIAMS CO INC	WMB	26 000	01/03/13	882.41	06/25/13	833.84	(48.57)
	WMB	27.000	01/04/13	923.03	06/25/13	865.91	(57.12)
	Totals			$1,805.44		$1,699.75	$(105.69)
Short Term Totals				$100,617.32		$100,848.18	$230.86
Long Term							
FNMA 1 1/4 8-20-13	31398AX31	4,000.000	08/27/10	4,006.06	01/28/13	4,024 60	18.54
	31398AX31	16,000 000	08/27/10	16,009.91	05/24/13	16,042.29	32.38
	31398AX31	3,000.000	01/28/11	3,002.16	05/24/13	3,007.93	5.77
	Totals			$23,018.13		$23,074.82	$56.69
NEWS CORP LTD CL A DEL	65248E104	12.000	07/21/11	197.77	02/08/13	334.98	137.21
	65248E104	1.000	08/12/11	16.21	02/08/13	27.92	11.71
	Totals			$213.98		$362.90	$148.92
APPLE INC	AAPL	2.000	02/25/09	181.35	01/16/13	1,018.22	836.87
	AAPL	2.000	02/25/09	181.35	01/25/13	884.54	703.19
	AAPL	3 000	02/25/09	272.02	03/04/13	1,265.22	993.20
	AAPL	4.000	02/25/09	362.70	04/18/13	1,584.99	1,222.29
	Totals			$997.42		$4,752.97	$3,755.55
AMERICAN TOWER REIT COM	AMT	2.000	06/30/09	63.04	01/28/13	158 49	95.45
	AMT	2.000	06/30/09	63.04	06/10/13	154.11	91 07
	AMT	4.000	06/30/09	126.08	08/13/13	276.71	150.63
	AMT	8.000	09/08/10	394.84	08/13/13	553.41	158.57
	Totals			$647.00		$1,142.72	$495.72
AMAZON COM INC	AMZN	3.000	08/30/11	620.93	05/01/13	740.09	119.16
	AMZN	1.000	09/14/11	222.37	05/01/13	246.69	24.32
	AMZN	1.000	09/14/11	222.37	05/06/13	256.04	33.67
	Totals			$1,065.67		$1,242.82	$177.15
AMERICAN EXPRESS CO	AXP	6.000	06/22/11	299.95	01/28/13	354.53	54.58

Morgan Stanley

Realized Gain/Loss - Detail
(01/01/13 - 10/31/13)
As of 01/15/2014

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF
914 S.W. 21ST WAY
BOCA RATON FL 33486-5238

Prepared by Private Wealth Management
Ph.

Acct. 304-879780-818

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	AXP	11.000	06/22/11	$549.90	10/10/13	$813.26	$263.36
	Totals			$849 85		$1,167.79	$317 94
BOEING CO	BA	1 000	03/10/10	69.39	01/28/13	74.42	5.03
BIOGEN IDEC INC	BIIB	6.000	03/20/12	714.77	06/03/13	1,355.80	641.03
	BIIB	3 000	03/26/12	371.92	06/04/13	668.17	296.25
	BIIB	4.000	03/26/12	495.90	06/05/13	863.61	367.71
	BIIB	1.000	04/11/12	126.95	06/10/13	221.32	94.37
	BIIB	1.000	04/11/12	126.95	06/05/13	215.90	88.95
	BIIB	2 000	04/11/12	253.91	06/13/13	419.11	165.20
	BIIB	4 000	04/11/12	507.82	08/13/13	845.85	338.03
	Totals			$2,598.22		$4,589.76	$1,991.54
CITIGROUP INC NEW	C	6.000	10/18/11	176.02	01/28/13	255.71	79.69
	C	18.000	10/18/11	528.05	02/26/13	739 78	211.73
	C	4.000	10/19/11	122.76	02/26/13	164.39	41.63
	C	25.000	10/19/11	767.23	06/21/13	1,167.40	400 17
	C	28.000	10/31/11	906.12	06/21/13	1,307.48	401.36
	Totals			$2,500.18		$3,634.76	$1,134.58
CAPITAL ONE FINANCIAL CORP	COF	11.000	04/26/11	599.58	01/18/13	624.00	24.42
	COF	1.000	09/15/11	43.06	01/18/13	56.73	13.67
	COF	15.000	09/15/11	645.88	01/22/13	842.89	197.01
	COF	1.000	10/12/11	43.68	01/28/13	56.40	12.72
	COF	3.000	10/12/11	131.05	02/12/13	166.96	35.91
	COF	11.000	10/12/11	480.51	01/22/13	618.12	137.61
	COF	28.000	11/09/11	1,243.42	02/12/13	1,558.26	314.84
	Totals			$3,187.18		$3,923.36	$736.18
CVS CAREMARK CORP	CVS	4.000	06/02/11	153.61	01/28/13	204.11	50.50
	CVS	11.000	06/02/11	422.44	05/14/13	644.78	222.34
	CVS	7.000	08/24/11	240.53	06/10/13	411.59	171.06
	CVS	18.000	08/24/11	618.51	05/14/13	1,055.10	436.59
	CVS	5.000	09/06/11	178.51	08/28/13	286.66	108.15
	CVS	6.000	09/06/11	214.22	06/10/13	352.79	138.57
	CVS	15.000	09/06/11	535.54	09/13/13	895.40	359.86
	CVS	1.000	09/15/11	36.77	09/13/13	59 69	22 92
	CVS	8.000	09/15/11	294.17	09/23/13	464.61	170.44

Morgan Stanley

Realized Gain/Loss - Detail
(01/01/13 - 10/31/13)
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914 S.W. 21ST WAY
BOCA RATON FL 33486-5238

Prepared by Private Wealth Management
Ph.

Acct. 304-879780-818

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	Totals			$2,694.30		$4,374.73	$1,680.43
CHEVRON CORP	CVX	4 000	05/22/12	$398.53	10/10/13	$458 29	$59.76
	CVX	4.000	06/07/12	404.57	10/10/13	458.28	53 71
	Totals			$803.10		$916.57	$113.47
WALT DISNEY CO HLDG CO	DIS	2.000	03/11/09	33.28	05/16/13	133.53	100.25
	DIS	9 000	03/11/09	149.74	01/28/13	488.77	339.03
	DIS	5.000	11/05/09	144.68	06/10/13	319.79	175 11
	DIS	16.000	11/05/09	462.97	05/16/13	1,068.28	605.31
	Totals			$790.67		$2,010.37	$1,219.70
EBAY INC	EBAY	39.000	05/25/12	1,572.82	07/18/13	2,087.64	514.82
	EBAY	5.000	06/04/12	197.04	07/18/13	267.65	70 61
	EBAY	16.000	06/04/12	630.53	10/17/13	825.05	194.52
	Totals			$2,400.39		$3,180.34	$779.95
EMC CORP MASS	EMC	13.000	05/13/11	360.63	04/16/13	296.80	(63.83)
	EMC	2 000	10/18/11	47.81	06/10/13	49.57	1.76
	EMC	4.000	10/18/11	95.63	10/23/13	93.31	(2.32)
	EMC	16.000	10/18/11	382.52	04/16/13	365 29	(17.23)
	EMC	32.000	10/24/11	780.12	10/23/13	746 49	(33.63)
	EMC	29.000	09/07/12	800.15	10/23/13	676.50	(123.65)
	EMC	34.000	09/18/12	942.57	10/23/13	793.14	(149.43)
	Totals			$3,409.43		$3,021.10	$(388.33)
EXPRESS SCRIPTS HLDG CO COM	ESRX	1 000	07/12/10	47.71	01/28/13	54.06	6.35
	ESRX	3.000	07/12/10	143.12	06/10/13	188.33	45.21
	ESRX	8.000	07/12/10	381.65	09/23/13	498.47	116.82
	ESRX	6.000	08/02/11	313.45	10/25/13	366.22	52.77
	ESRX	15.000	08/02/11	783.62	09/23/13	934.64	151.02
	ESRX	10.000	02/06/12	499.70	10/25/13	610.36	110.66
	Totals			$2,169.25		$2,652.08	$482.83
ENSCO PLC CLASS A	ESV	2.000	10/12/11	88.99	06/10/13	119.89	30.90
	ESV	17.000	10/12/11	756.44	08/28/13	957.17	200.73
	ESV	13.000	10/21/11	623.85	08/28/13	731.95	108.10
	ESV	1.000	05/21/12	47.87	08/28/13	56.31	8.44
	Totals			$1,517.15		$1,865.32	$348.17
GENERAL ELECTRIC CO	GE	20.000	12/16/11	339.32	01/09/13	419.62	80.30

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914 S.W. 21ST WAY
BOCA RATON FL 33486-5238

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Acct. 304-879780-818

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	GE	4.000	12/21/11	$70.26	06/10/13	$95.39	$25.13
	GE	11.000	12/21/11	193.20	01/28/13	245 62	52.42
	GE	12 000	12/21/11	210.77	01/09/13	251 77	41 00
	Totals			$813.55		$1,012.40	$198.85
GILEAD SCIENCE	GILD	42.000	12/05/11	839.01	06/03/13	2,200.90	1,361.89
	GILD	7.000	02/06/12	193.96	06/03/13	366.82	172.86
	GILD	15.000	02/06/12	415.64	06/05/13	768.20	352.56
	GILD	3.000	04/13/12	68.84	06/10/13	158 03	89.19
	GILD	9.000	04/13/12	206.53	06/17/13	458.56	252.03
	Totals			$1,723.98		$3,952 51	$2,228.53
GENERAL MTRS CO	GM	47.000	01/06/12	1,076.76	01/16/13	1,375.63	298.87
	GM	29.000	01/26/12	724.70	04/08/13	797.03	72.33
	Totals			$1,801.46		$2,172.66	$371.20
GOOGLE INC-CL A	GOOG	1.000	08/10/11	557.96	10/10/13	861 29	303.33
GOLDMAN SACHS GRP INC	GS	7.000	10/15/12	866.25	10/23/13	1,099.33	233.08
HOME DEPOT INC	HD	1.000	10/18/11	35.51	06/10/13	78.34	42 83
	HD	4.000	10/18/11	142.05	01/28/13	270.91	128.86
	HD	29.000	10/18/11	1,029.88	10/21/13	2,160.50	1,130.62
	Totals			$1,207.44		$2,509.75	$1,302.31
INTL BUSINESS MACHINES CORP	IBM	2.000	06/21/10	261.35	01/09/13	384.58	123.23
	IBM	3.000	06/23/10	391.91	01/09/13	576.88	184.97
	IBM	3 000	06/23/10	391.91	04/23/13	572.83	180.92
	IBM	2.000	09/14/11	335.75	04/23/13	381.88	46.13
	IBM	5.000	09/14/11	839.38	08/07/13	942 72	103.34
	Totals			$2,220.30		$2,858.89	$638.59
JPMORGAN CHASE & CO	JPM	2.000	08/15/11	73.54	06/10/13	108.37	34.83
	JPM	2.000	08/15/11	73.54	09/19/13	105.93	32.39
	JPM	10.000	08/15/11	367.68	01/28/13	466.88	99.20
	JPM	21.000	08/29/11	784.51	09/19/13	1,112.29	327.78
	JPM	12.000	10/19/11	397.82	09/19/13	635 59	237.77
	JPM	16.000	10/19/11	530.43	10/23/13	846.08	315.65
	JPM	13 000	03/21/12	587.35	10/23/13	687.44	100.09
	JPM	13.000	08/21/12	497.92	10/23/13	687.44	189.52
	Totals			$3,312.79		$4,650.02	$1,337.23

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BOCA RATON FL 33486-5238

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Acct. 304-879780-818

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
KRAFT FOODS GROUP INC COM	KRFT	6.000	12/21/11	$248.76	05/02/13	$301.13	$52.37
	KRFT	8 000	01/18/12	312.04	05/02/13	401.51	89 47
	Totals			$560 80		$702.64	$141.84
ISHARES IBOXX INVEST GR COR FD	LQD	36 000	08/05/09	3,691.30	05/23/13	4,304.74	613.44
	LQD	66 000	08/05/09	6,767.37	05/28/13	7,831.06	1,063.69
	LQD	7.000	12/30/09	730.27	05/28/13	830.57	100.30
	LQD	3.000	07/12/10	324.38	06/10/13	348.62	24.24
	LQD	8 000	07/12/10	865.00	05/28/13	949.22	84.22
	Totals			$12,378.32		$14,264.21	$1,885.89
MONDELEZ INTL INC COM	MDLZ	1.000	12/21/11	24.22	01/28/13	27.75	3.53
	MDLZ	18 000	12/21/11	435.93	02/14/13	483.08	47.15
	MDLZ	24.000	01/18/12	601.88	02/14/13	644.10	42.22
	Totals			$1,062 03		$1,154.93	$92.90
NEWS CORPORATION CL A	NWSA	0 750	08/12/11	5.43	06/28/13	11 76	6.33
PFIZER INC	PFE	7.000	03/15/11	138.24	01/28/13	189.27	51.03
	PFE	47.000	03/15/11	928.16	05/21/13	1,336.79	408.63
	Totals			$1,066.40		$1,526.06	$459.66
PHILIP MORRIS INTL INC	PM	8.000	08/23/11	557.83	08/27/13	671 92	114 09
	PM	13.000	10/21/11	909.28	08/27/13	1,091.87	182.59
	Totals			$1,467.11		$1,763.79	$296.68
QUALCOMM INC	QCOM	16.000	04/14/11	841.96	04/25/13	1,004.82	162.86
	QCOM	12.000	11/14/11	683.41	04/25/13	753.61	70 20
	QCOM	1.000	11/17/11	55.82	07/08/13	60.00	4.18
	QCOM	17.000	11/17/11	948.98	04/25/13	1,067.62	118.64
	Totals			$2,530 17		$2,886 05	$355.88
CHARLES SCHWAB NEW	SCHW	4.000	03/07/12	55.49	06/10/13	80.75	25.26
SCHLUMBERGER LTD	SLB	2 000	06/10/10	116.49	01/28/13	158.97	42.48
	SLB	1.000	06/21/10	60.50	01/28/13	79.49	18.99
	SLB	3.000	06/21/10	181.49	06/10/13	220.31	38.82
	Totals			$358.48		$458.77	$100.29
UNION PACIFIC CORP	UNP	12 000	03/24/09	511.76	10/17/13	1,815.02	1,303.26
UNITED TECHNOLOGIES CORP	UTX	2.000	10/14/10	147.46	06/10/13	188.59	41.13
	UTX	4.000	10/14/10	294.91	10/10/13	419.15	124.24
	UTX	2.000	08/12/11	142.44	10/10/13	209.57	67.13

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Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
	Totals			$584.81		$817.31	$232.50
EXXON MOBIL CORP	XOM	16 000	04/04/11	$1,353 75	04/11/13	$1,420.31	$66 56
	XOM	2 000	04/05/11	170.66	04/11/13	177.54	6.88
	XOM	10.000	04/05/11	853.28	04/25/13	884.82	31.54
	XOM	11.000	04/05/11	938.61	04/16/13	952.96	14.35
	Totals			$3,316 30		$3,435 63	$119.33
Long Term Totals:				$85,332.14		$110,020.60	$24,688.46
01/01/13 - 10/31/13 Short & Long Term Totals:				$185,949.46		$210,868.78	$24,919.32

** Gain/Loss is only calculated when an original cost basis is available.

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns.

11/1

11/12 STMT 68.624 72.922
12/12 STMT 91.813 96.787
345.586 380.578 34.992