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Department of the Treasury
Internal Revenue Service**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning Nov 1, 2012, and ending Oct 31, 2013

Name of foundation <u>The Barden Foundation, Inc</u>		A Employer identification number <u>06 605 4855</u>
Number and street (or P.O. box number if mail is not delivered to street address) <u>1146 Barnum Ave</u>	Room/suite	B Telephone number (see instructions) <u>203 367 532</u>
City or town, state, and ZIP code <u>Bridgeport, CT 06811</u>		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <u>\$ 588,134</u>		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	<u>138501</u>	<u>138501</u>		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		<u>123434</u>		
	8 Net short-term capital gain			<u>5435</u>	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	<u>138501</u>	<u>261935</u>	<u>5435</u>		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	<u>25</u>			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	<u>4743</u>			<u>4743</u>
	22 Printing and publications				
	23 Other expenses (attach schedule)	<u>20,959</u>	<u>17554</u>		<u>3405</u>
	24 Total operating and administrative expenses. Add lines 13 through 23				
	25 Contributions, gifts, grants paid	<u>269000</u>			<u>269,000</u>
	26 Total expenses and disbursements. Add lines 24 and 25	<u>284727</u>	<u>17554</u>	<u>5435</u>	<u>267,173</u>
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<u><146,226></u>				
b Net investment income (if negative, enter -0-)		<u>244381</u>			
c Adjusted net income (if negative, enter -0-)			<u>5435</u>		

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form **990-PF** (2012)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	18,210	85161	85161
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	2,775,572	2,869,560	3,524,688
	c Investments—corporate bonds (attach schedule)	2046,233	1,918,626	2,271,492
	11 Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)			1	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	4,903,015	4,873,347	5,881,341	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	4,903,015	4,873,347	
31 Total liabilities and net assets/fund balances (see instructions)	4,903,015	4,873,347		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,903,015
2 Enter amount from Part I, line 27a	2	(146,226)
3 Other increases not included in line 2 (itemize) ▶ Capital Gains	3	723,434
4 Add lines 1, 2, and 3	4	4,880,223
5 Decreases not included in line 2 (itemize) ▶ Federal Tax, Int. & Penalties	5	(3876)
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,873,347

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	123,434
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{ }		3	5435

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	284,760	5240,007	.05434
2010	280,534	5204,007	.05391
2009	250,111	4919,097	.05084
2008	210,897	4311,877	.06204
2007	308,428	4,971,219	.05349
2 Total of line 1, column (d)			2 27462
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .054924
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 5559717
5 Multiply line 4 by line 3			5 365362
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2444
7 Add lines 5 and 6			7 307,806
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 267,173

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	4888	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	4888	-
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	4888	-
6	Credits/Payments:			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	3122	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	8000	-
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ <u>0</u> (2) On foundation managers. ☐ \$ <u>0</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ <u>0</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ <u>Delaware, Connecticut</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NA	13	X	
14	The books are in care of ► The Barden Foundation, Inc Located at ► 1146 Barnum Ave Bridgeport, CT Telephone no. ► 203 336 7532 ZIP+4 ► 06610-0156			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☒ 1b		
Organizations relying on a current notice regarding disaster assistance check here ☒		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? 1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☐ No If "Yes," list the years ► 20 , 20 , 20 , 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) 2b		X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) 3b		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Schedule Attached				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 Contributions to Other Charitable Foundations	186,500
2 Scholarships	72,500
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	0
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,530,177
b	Average of monthly cash balances	1b	119,206
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	5,649,383
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	5,644,383
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	84,666
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,559,717
6	Minimum investment return. Enter 5% of line 5	6	277,986

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	277,986
2a	Tax on investment income for 2012 from Part VI, line 5	2a	4888
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4888
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	273,098
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	273,098
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	273,098

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	267,173
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	267,173
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	267,173

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only				
b Total for prior years: 20__20__20__				
3 Excess distributions carryover, if any, to 2012:				
a From 2007 <u>62,280</u>				
b From 2008 <u>11,927</u>				
c From 2009 <u>6,842</u>				
d From 2010 <u>30,423</u>				
e From 2011 <u>27,827</u>				
f Total of lines 3a through e <u>128,564</u>				
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ <u>267,173</u>				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2012 distributable amount				<u>273,098</u>
e Remaining amount distributed out of corpus	<u>-</u>			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	<u>128,564</u>			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	<u>62,280</u>			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	<u>66,284</u>			
10 Analysis of line 9:				
a Excess from 2008 <u>11,927</u>				
b Excess from 2009 <u>6,842</u>				
c Excess from 2010 <u>30,423</u>				
d Excess from 2011 <u>27,827</u>				
e Excess from 2012 <u>-</u>				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling **N/A**

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter % of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- None*
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- None*

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:
- Scholarship: The Scholarship Committee, The Borden Foundation, Inc., 200 Park Ave, Danbury, CT 06810
 Other requests: Robin Bergman, The Borden Foundation, Inc., 1176 Barnum Ave, Bridgeport, CT 06610
- b** The form in which applications should be submitted and information and materials they should include:
- Scholarships: See Attached
 Other requests: A letter outlining the organizations goals, requirements, recent financial statements
- c** Any submission deadlines:
- March 31 for Scholarships, Jan 1 for other requests
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
- Some scholarships are restricted to sons and daughters of The Borden Corporation.
 PEP Lacey Manufacturing Company, LLC, Whitted Precision Ball Company, Inc

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See Schedules Attached			Contributions	186,500
			Scholarships	72,500
Total			3a	259,000
b Approved for future payment				
see Schedule Attached				291,000
Total			3b	291,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	138501	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)				138501	
13	Total. Add line 12, columns (b), (d), and (e)				13	138501

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	Not Applicable

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

- | | |
|------------------|--|
| (1) Cash | |
| (2) Other assets | |

- (1) Sales of assets to a noncharitable exempt organization**

- (2) Purchases of assets from a noncharitable exempt organization**

- (3) Rental of facilities, equipment, or other assets

- (4) Reimbursement arrangements**

- (5) Loans or loan guarantees

- (6) Performance of services or membership or fundraising solicitations**

- c Sharing of facilities, equipment, mailing lists, other assets, or paid employees**

- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Thomas Foreman
Signature of officer or trustee

3/14/13
Date

► President
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's EIN ►

Firm's address ►

Phone no.

The Barden Foundation, Inc.

06-6054855

Part IV Capital Gains and Losses for Tax on Investment Income

(a) SECURITY	SHARES/ Face Value	(B) How Acquired	© Date Acquired	(d) DATE SOLD	(e) Sales Price	(g) COST	(h) & (i) Gain / Loss	GAIN/LOSS SHORT-TERM	GAIN/LOSS LONG-TERM	Disallowed
Vanguard Short-term Inv GR Adm	399.38	Purchase	Sale	1/28/2013	4,321.32	4,336.54	-15.22	-11.15		4.07
Vanguard Short-term Inv GR Adm	249.96	Purchase	Sale	4/29/2013	2,704.53	2,710.49	-5.96			5.96
Vanguard Short-term Inv GR Adm	163.05	Purchase	Sale	4/29/2013	1,764.22	1,751.18	13.04	0.00	13.04	
Vanguard Total Stock Mkt Indx	7,017.10	Purchase	Sale	4/1/2013	275,000.00	210,385.42	64,614.58		64,614.58	
Vanguard Total Stock Mkt Indx	464.404	Purchase	Sale	4/1/2013	18,200.00	13,923.69	4,276.31		4,276.31	
Vanguard Total Stock Mkt Indx	1,681.551	Purchase	Sale	4/1/2013	65,900.00	50,415.99	15,484.01		15,484.01	
Vanguard Total Stock Mkt Indx	844.603	Purchase	Sale	4/1/2013	33,100.00	26,753.63	6,346.37		6,346.37	
Vanguard Total Stock Mkt Indx	1,018.117	Purchase	Sale	4/1/2013	39,900.00	30,525.02	9,374.98		9,374.98	
Vanguard Short-term Inv GR Adm	403.912	Purchase	Sale	7/29/2013	4,317.82	4,370.89	-53.07	-45.76	-45.76	7.31
Vanguard Short-term Inv GR Adm	413.937	Purchase	Sale	10/28/2013	4,445.68	4,471.49	-25.81	-17.00	-17.00	8.81
Various			Various		80,742.40	62,763.78	17,978.62	5,446.15	17,952.57	
Various			Various		24,486.00	19,039.85	5,446.15			
					554,881.97	431,447.97	123,434.00	5,435.00	117,999.10	28.15

The Barden Foundation Inc.
Scholarships
For the year ended October 31, 2013

1602	12/28/2012	University of Hartford - Sabrina Amaral	\$ 1,500
1603	12/28/2012	Northeastern University - Victoria Asman	1,500
1604	12/28/2012	Bloomsburg University - Oscar Brabant	1,500
1605	12/28/2012	Western CT State University - Tanya Cambroner	1,500
1606	12/28/2012	Central Connecticut State University - Kevin Chaffee	1,500
1607	12/28/2012	College of St. Rose - Erika Hasler	1,500
1608	12/28/2012	Drexel University - Hannah Kollar	1,500
1609	12/28/2012	Iona College - Aida Morales	1,500
1610	12/28/2012	Seton Hall University - Marcia Oliveria	1,500
1611	12/28/2012	Binghamton University - Gregory Ostner	1,500
1612	12/28/2012	Western CT State University - Jennifer Petronella	1,500
1613	12/28/2012	Buffalo State - Kristen Roberts	1,500
1614	12/28/2012	Siena College - Lindsay Rogers	1,500
1615	12/28/2012	Uconn - Amanda Savath	1,500
1616	12/28/2012	WCSU - Erica Schlemmer	1,500
1617	12/28/2012	Uconn - Adnan Tahirovic	1,500
1618	12/28/2012	Uconn - Kendra Thomas	1,500
1619	12/28/2012	St. Bonaventure University - Kevin Veeley	1,500
1621	12/28/2012	Hampton University - Qurisha Young	1,500
1622	12/28/2012	Rochester Institute of Technology - Carvey Yung	1,500
1623	1/24/2013	University of Connecticut - Katarina Wajda	1,500
1580	7/6/2012	Seton Hall University - Marcia Oliveria	(1,500)
1666	5/13/2013	Naugatuck Valley Community College Foundation	2,000
1668	6/2/2013	WCSU Foundation	6,000
1669	7/29/2013	Northeastern University - Victoria Asman	1,500
1670	7/29/2013	Drexel University - Hannah Kollar	1,500
1671	7/29/2013	Seton Hall University - Marcia Oliveria	1,500
1672	7/29/2013	Siena College - Lindsay Rogers	1,500
1673	7/29/2013	University of Connecticut - Amanda Savath	1,500
1674	7/29/2013	Hampton University - Qurisha Young	1,500
1676	7/29/2013	Western CT State University - Tanya Cambroner	1,500
1677	7/29/2013	Binghamton University - Gregory Ostner	1,500
1678	7/29/2013	Suny Albany - Kristen Roberts	1,500
1679	7/29/2013	University of Ct. - Adnan Tahirovic	1,500
1680	7/29/2013	University of CT - Katarina Wajda	1,500
1681	7/29/2013	Iona College - Aida Morales	1,500
1682	7/29/2013	Western CT State University - Jennifer Petronella	1,500
1683	7/29/2013	Western CT State University - Erica Schlemmer	1,500
1684	7/29/2013	University of CT - Thomas Kendra	1,500
1685	7/29/2013	University of CT - Kevin Veeley	1,500
1686	7/29/2013	Rochester Institute of Technology - Carvey Yung	1,500
1687	7/29/2013	University of CT. -Anastasia Zagarskaya	1,500
1688	7/29/2013	Johnson & Wales University - Nichole Cibu	1,500
1689	7/29/2013	Central Connecticut State University - Alyssa Garcia	1,500
1690	7/29/2013	St. Peters University - Alexandra Marques	1,500
1691	7/29/2013	Western CT State University - Edward Tapia	1,500
1692	7/29/2013	University of CT. - Haley Barnum	1,500
			<u>\$ 72,500</u>

The Barden Foundation, Inc.
2013 Budget & Actual

	2013 Budget	2013 Actual
1. Health and Welfare Agencies		
A. United Way:		
United Way of Western Connecticut	25,000	25,000
United Way of Northwest Connecticut, Inc.	15,000	15,000
United Way of Coastal Fairfield County	15,000	15,000
Subtotal	55,000	55,000
B. National Health Agencies:		
American Cancer Society - Danbury & Bridgeport	10,000	10,000
American Red Cross - CT	15,000	15,000
Subtotal	25,000	25,000
C. Hospitals and Nursing Home:		
Danbury Hospital Development Fund, Inc.	25,000	25,000
St Vincent's Medical Center Foundation	2,000	2,000
Norma Phrem Breast Cancer Center	2,000	2,000
Bridgeport Hospital Foundation, Inc.	22,000	22,000
New Milford Hospital Foundation, Inc.	2,000	2,000
Subtotal	53,000	53,000
D. Youth Agencies:		
BAYM, Inc.	1,000	1,000
Cardinal Shehan Center	1,000	1,000
Connecticut Yankee Council BSA	3,000	3,000
Girl Scout Council of Connecticut	3,000	3,000
Junior Achievement of Western CT., Inc.	1,000	1,000
McGiveney Community Center	1,000	1,000
Winchester Youth Service Bureau	1,000	1,000
Colebrook Recreation Department	1,000	1,000
Subtotal	12,000	12,000
E. Local Health and Welfare Agencies:		

The Barden Foundation, Inc.
2013 Budget & Actual

Foothill Visiting Nurse Service	2,000	2,000
Americares Free Clinics Danbury & Bridgeport	4,000	4,000
Visiting Nurse Services of CT., Inc.	2,000	2,000
Ability Beyond Disability	7,000	7,000
M C C A		
Subtotal	15,000	15,000
Total Health, Youth and Welfare Agencies	160,000	160,000

2. Education:

Bridgeport Public Education Fund
Child Guidance Center of Bridgeport
DSABC
Mercy Learning Center

Subtotal

Total Education

-

-

3. Special:

Regional Y M C A of Western Connecticut	5,000	5,000
Winsted Area Child Care Center, Inc.	8,000	8,000
Northwest Connecticut YMCA	2,000	2,000
Prime Time House	1,000	1,000
Connecticut Special Olympics, Inc.	2,000	2,000
The Discovery Museum Foundation, Inc.		
Ct Zoological Society	1,000	1,000
Town of Winchester Recreation Department	2,000	2,000
399th Composite Squadron Civil Air Patrol	2,000	2,000
Ann's Place	1,000	1,000
Interlude		
Bridgeport YMCA	1,500	1,500

Subtotal	25,500	25,500
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Subtotal	5,000	1,000
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Total Contributions & Scholarships	190,500	186,500
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**The Barden Foundation, Inc.
2014 Budget**

**2014
Budget**

1. Health and Welfare Agencies

A. United Way:

United Way of Western Connecticut	25,000
United Way of Northwest Connecticut, Inc.	15,000
United Way of Coastal Fairfield County	15,000

Subtotal	55,000
----------	--------

B. National Health Agencies:

American Cancer Society - Danbury & Bridgeport	10,000
American Red Cross - CT	15,000

Subtotal	25,000
----------	--------

C. Hospitals and Nursing Home:

Danbury Hospital Development Fund, Inc.	25,000
St Vincent's Medical Center Foundation	2,000
Norma Phrem Breast Cancer Center	2,000
Bridgeport Hospital Foundation, Inc.	22,000
Charlotte Hungerford	5,000
New Milford Hospital Foundation, Inc.	2,000

Subtotal	58,000
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D. Youth Agencies:

BAYM, Inc.	1,000
Cardinal Shehan Center	1,000
Connecticut Yankee Council BSA	3,000
Girl Scout Council of Connecticut	3,000
Junior Achievement of Western CT., Inc.	1,000
McGiveney Community Center	1,000
Winchester Youth Service Bureau	1,000
Colebrook Recreation Department	1,000

Subtotal	12,000
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E. Local Health and Welfare Agencies:

The Barden Foundation, Inc.
2014 Budget

Foothill Visiting Nurse Service	2,000
Americares Free Clinics Danbury & Bridgeport	4,000
Visiting Nurse Services of CT., Inc.	2,000
Ability Beyond Disability	7,000

Subtotal	15,000
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Total Health, Youth and Welfare Agencies	165,000
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2. Education:

Western Connecticut	5,000
Housatonic CC Manufacturing Alliance	5,000

Scholarships	83,000
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Total Education	93,000
-----------------	--------

3. Special:

Regional Y M C A of Western Connecticut	5,000
Winsted Area Child Care Center, Inc.	10,000
Northwest Connecticut YMCA	2,000
Prime Time House	1,000
Connecticut Special Olympics, Inc.	2,000
Ct Zoological Society	1,000
Town of Winchester Recreation Department	2,000
399th Composite Squadron Civil Air Patrol	2,000
Ann's Place	1,000
Bridgeport YMCA	2,000

Subtotal	28,000
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4. Miscellaneous: Travel and Fees	5,000
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Subtotal	5,000
----------	-------

Total Contributions & Scholarships	291,000
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The Barden Foundation, Inc.
Misc. Expenses
For The Year Ended october 31, 2013

Fees for Investing	<u>17,554</u>
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Travel	<u>4,743</u>
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Insurance	2,400
Rent	795
CSC	175
Postage	23
Bank Charges	<u>12</u>

Total	<u>3,405</u>
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Delaware Franchise	25
--------------------	----

Federal	<u>3,876</u>
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Total taxes	<u>3,901</u>
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THE BARDEN FOUNDATION, INC.

IDENTIFICATION #06-6054855

SUPPLEMENTARY INFORMATION

SCHOLARSHIP PROGRAM

2012 FORM 990PF - PART XV - LINE 2B

Eligibility requirements for anyone applying for a Barden Foundation Scholarship are described in the enclosed brochure, "The Barden Foundation, Inc. Scholarship Award Program."

Also enclosed are the application forms:

1. Application for Scholarship to be completed by the candidate.
2. High School Certification to be completed by the candidate's high school principal.

STATEMENT REGARDING OFFICERS, COMPENSATION, ETC.

2012 Form 990PF - Part VIII - Item 1

	<u>Title & Avg. Hrs. Per Wk. Devoted to Position</u>	<u>Contri. To Empl. Benefit Plans</u>	<u>Exp. Acct. Other All.</u>	<u>Comp.</u>
Robin Bergman 64 Deer Run Rd. Watertown, CT 06795	Treas./Secr./Trustee PT	0	0	0
Thomas F. Loughman 34 Hawley Road Ext. Danbury, CT	President/Trustee PT	0	0	0
Stanley Noss 739 Pinehurst Way Palm Beach Gardens, FL 33408	Trustee PT	0	0/	0
Robert P. More 715 Pinehurst Way Palm Beach Gardens, FL 33418	Trustee PT	0	0	0
Robert Davis 113 St Charles Court Abita Springs, LA 70420	Trustee	0	0	0
Jeannine Frink 11 Ruth Crossroad Winsted, CT 06098	Trustee PT	0	0	0

SCHOLARSHIP AWARD PROGRAM

The Scholarship Award Program was created to provide an opportunity for sons and daughters of employees of divisions of The Barden Corporation to obtain financial assistance for a college education.

A Scholarship Award provides up to \$3000. per year depending on college charges. Each award will be made on the basis of the eligibility requirements stated below.

An Impartial Scholarship Committee will review applications and make final award decisions. A scholarship will not be granted in a year that, in the judgment of the Committee, no candidate qualifies.

Eligibility requirements

In order to be eligible for a Scholarship Award, a candidate must:

1. In the opinion of the judges, be of good moral character.
2. Be a son or daughter of a person employed by a division of The Barden Corporation at the time the Scholarship Award is given. This requirement may be waived in extenuating or unusual circumstances in case of reapplcation for continuing scholarship assistance.
3. Expect to graduate from a secondary school in the school year in which the scholarship is granted and enter an accredited college or university in the next school year.
4. Take College Board Entrance Examinations in December of the school year in which the application is made.
5. At least once each academic year while receiving a Scholarship Award, the candidate must have a brief meeting

with an Officer of the Corporation or Trustee of the Foundation.

Scholarship award recipients must send to the Scholarship Committee an official transcript of their academic and attendance records at the end of each term. In addition, they may reapply annually in writing for continuing assistance, based on their academic record and financial need, if they are attending an accredited college or university as undergraduates and meet the requirements of item (2) above.

Filing applications

Candidates applying for scholarship assistance must file their completed applications with the Scholarship Committee, The Barden Corporation, Corporate Headquarters, 200 Park Avenue, Danbury, Conn., 06810 not later than April 1 of the final year of a secondary school.

Awarding of scholarship

In determining the awards, the Scholarship Committee will weigh the relative merits of the applicants based upon scholastic achievement, participation in school activities, character and financial need.

The Scholarship Committee will make its decision in the spring of the school year in which the application is made. A decision will be made no later than the first week in May. Certification by the college or university to which the applicant has been admitted will be necessary before the actual award can be made. The award will be made directly to the college or university in the name of the scholarship recipient.

A candidate receiving scholarship assistance must notify the Scholarship Committee of acceptance within ten days from the date of granting of the scholarship.

The Barden Foundation Scholarship Fund

Application for Scholarship

Typewrite or print in ink all information in items 1-22. Answer items 23 and 24 in your own handwriting.

Mail completed form to the Scholarship Committee, The Barden Foundation, 200 Park Avenue, Danbury, Connecticut 06810.

I hereby apply for a scholarship under the Scholarship Program of the Barden Foundation, and submit the following information:

1. This application is for a scholarship to commence in the _____ semester of 20 _____.
2. Name (give last name first): Mr./Ms. _____.
3. Full home address: _____ Phone: _____.
4. Birth date: _____.
5. Is your father living? Yes ☐ No ☐ Is your mother living? Yes ☐ No ☐
6. Full name and address of Barden employee on whose employment status you wish to place your application: Name (give last name first): _____
Complete street address _____ Phone _____.
7. List the names of persons living in your home as part of the family group:
Father _____ Mother _____
Brothers (give age of each) _____ Sisters (give age of each) _____

Other persons _____.
8. Did you earn any money while in high school (including summers)? Yes ☐ No ☐
How much did you earn in total? _____ How did you earn it? _____

_____.

9. Describe in your own words your work activities during the past three years either for your family, at home or for outside employers: _____
- _____
- _____

10. All high schools and other secondary schools attended:

Name of school	City and State	Attendance dates		Graduation date	Principal
		Start date	End date		

Important: If you have earned credits in high schools other than the one you are now attending (or last attended) be sure that all grades and credits earned there are included in your high school record to be furnished by your last school principal or high school certification.

11. What subjects have you found most interesting in your high school work?

First: _____ Second: _____

12. Do you feel that your high school grades are an accurate index of your ability? Yes ☐ No ☐

Comments: _____

13. What special recognition have you received for outstanding schoolwork such as honors, prizes, or scholarships? _____
- _____

14. Specify what part you have played in high school activities such as class or other school offices held, band or orchestra, athletics, dramatics, debate or oratory, school publications, pep club, etc:

Activity	Position held	Hours spent per week	Year of participation			
			Fr.	Soph.	Jr.	Sr.

15. Specify what part you have played in *organized out-of-school activities* such as rank attained as Boy or Girl Scout, 4-H Club work, church organizations, etc.

Activity	Position held	Hours spent per week	Year of participation			
			Fr.	Soph.	Jr.	Sr.

16. What are your favorite sports and recreational activities? _____

17. What are your hobbies? _____

18. What special recognition have you received in connection with your recreational activities or hobbies? _____

19. List the titles and authors of some of the books you have read during the past year outside of school requirements. _____

20. In what college or university do you wish to enroll?

First choice _____ Second choice _____ Third choice _____

Note: Your choice of college will in no way affect your chances of winning a scholarship.

21. Where do you plan to live at college?

At home _____ With friends or relatives _____ Dormitory _____

Rented room in private home _____ Other (specify) _____

22. What general course of study do you plan to take? _____

23. State in your own handwriting what your education objectives are, what you want to prepare yourself for by attending college, and the reasons why you have decided on these things

24. Since it will not be possible to have personal interviews with all applicants, write in your own handwriting anything that you would like to say if you were talking to the Scholarship Committee.

Certification

I certify that all statements contained in the foregoing application are true and correct, that I have read the leaflet "The Barden Foundation Scholarship Fund," and that I believe myself eligible to apply for a scholarship under the provisions and conditions it contains. I have personally delivered the High School Certification form and return envelope to my high school principal or guidance counselor.

Signed: _____

Date: _____

The Barden Foundation Scholarship Fund

High School Certification

As an applicant for a Barden Foundation Scholarship to commence in the _____ semester of 20____, I hereby request that you, as my High School Principal, complete this form and mail it as indicated below.

Name of applicant (last name, first name, middle initial)

Address

Applicant Signature

This section to be completed by the high school principal or guidance counselor and mailed in the attached return envelope to the Scholarship Committee, The Barden Foundation, 200 Park Avenue, PO Box 2449, Danbury, Connecticut 06813.

1. Name of high school _____

2. Street address of high school _____

3. Entrance date of this applicant at this high school (month and year): _____

4. This applicant is expected to graduate in (month and year): _____

5. Based on his / her three years of high school work the applicant ranked, at the end of his junior year, number _____ from the top in a class of _____ members.

6. In what connection have you known this applicant? _____

7. Has the applicant shown an outstanding scholastic aptitude of any kind? Yes ☐ No ☐

Comments: _____

8. Has the applicant shown an outstanding scholastic shortcoming of any kind? Yes ☐ No ☐

Comments: _____

9. Has the applicant attained any scholastic honors?

Yes ☐ No ☐ Comments: _____

10. Has the applicant ever been a serious disciplinary problem, either scholastically or otherwise?

Yes ☐ No ☐ Comments: _____

11. Has the applicant's attendance record been entirely satisfactory?

Yes ☐ No ☐ Comments: _____

12. In your opinion, has this student been working up to the true level of his/her ability?

Yes ☐ No ☐ Comments: _____

13. Have the applicant's studies been seriously affected by outside work, illness, or other factors?

Yes ☐ No ☐ Comments: _____

14. Specify what part the applicant has played in high school activities such as class or other school offices held, band or orchestra, athletics, dramatics, debate or oratory, school publications, pep club, etc.

Activity	Position of applicant	Hours per week	Year of participation				Contributions to success or failure of this activity		
			Fr.	Soph.	Jr.	Sr.	Large	Small	Indiff.

15. Applicant's scores on standard objective achievement tests, personality tests and vocational preference tests are desired if available. Include any scores from General Education Development Test.

Name of test	Form or edition	Year given	Point score	Percentile score	Average percentile this school