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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 11-01-2012 , and ending 10-31-2013

Name of foundation ETHEL B CROCKER TRUST 42C033018		A Employer identification number 04-6171048	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 477		B Telephone number (see instructions) (508) 862-0843	
City or town, state, and ZIP code CONCORD, NH 033020477		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 793,481		J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) ☐ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	16,702	16,702		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	8,962			
	b Gross sales price for all assets on line 6a <u>232,269</u>				
	7 Capital gain net income (from Part IV , line 2)		8,962		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	25,664	25,664		
	13 Compensation of officers, directors, trustees, etc	10,310	10,310		0
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	575	575	0	0
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	166	166		0
	19 Depreciation (attach schedule) and depletion . . .	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	35	35		
	24 Total operating and administrative expenses. Add lines 13 through 23	11,086	11,086	0	0
	25 Contributions, gifts, grants paid	37,028			37,028
	26 Total expenses and disbursements. Add lines 24 and 25	48,114	11,086	0	37,028
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-22,450			
	b Net investment income (if negative, enter -0-)		14,578		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	9,907	8,778	8,778
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	392,056 	341,397	450,685
	c	Investments—corporate bonds (attach schedule).	314,162 	343,507	334,018
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			0
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	716,125	693,682	793,481
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	716,125	693,682	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	716,125	693,682	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	716,125	693,682	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	716,125
2	Enter amount from Part I, line 27a	2	-22,450
3	Other increases not included in line 2 (itemize) ▶ _____ 	3	7
4	Add lines 1, 2, and 3	4	693,682
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	693,682

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	8,962
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	40,449	742,676	0 054464
2010	19,706	733,429	0 026868
2009	25,578	682,053	0 037501
2008	29,616	623,705	0 047484
2007			

2	Total of line 1, column (d).	2	0 166317
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 041579
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	768,422
5	Multiply line 4 by line 3.	5	31,950
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	146
7	Add lines 5 and 6.	7	32,096
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	37,028

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1				
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)				
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	146	
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0	
3		Add lines 1 and 2.		3	146	
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	146	
6		Credits/Payments				
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	154		
b		Exempt foreign organizations—tax withheld at source	6b			
c		Tax paid with application for extension of time to file (Form 8868)	6c	0		
d		Backup withholding erroneously withheld	6d			
7		Total credits and payments Add lines 6a through 6d.		7	154	
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	0	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9		
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	8	
11		Enter the amount of line 10 to be Credited to 2013 estimated tax ☒	8	Refunded ☒	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ MA _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		No
Website address				
14	The books are in care of	TD Bank NA	Telephone no	(508) 862-0843
	Located at	PO BOX 1330 HYANNIS MA	ZIP +4	02601
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here			
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?			
	If "Yes," list the years			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-BStatements Regarding Activities for Which Form 4720 May Be Required (continued)

5aDuring the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

bIf any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

cIf the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6aDid the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

bDid the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7aAt any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

bIf yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIIIInformation About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TD Bank NA	Trustee	10,310		
PO Box 1330	1			
Hyannis, MA 02601				

2Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.0

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3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

Total number of others receiving over \$50,000 for professional services.	0
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Part IX-B Summary of Program-Related Investments (see instructions)

Total. Add lines 1 through 3.

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	755,504
b	Average of monthly cash balances.	1b	24,620
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	780,124
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	780,124
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	11,702
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	768,422
6	Minimum investment return. Enter 5% of line 5.	6	38,421

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	38,421
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	146
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	146
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	38,275
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	38,275
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	38,275

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	37,028
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	37,028
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	146
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	36,882
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				38,275
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			20,719	
b Total for prior years 2010 , 20__ , 20__		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.				0
b From 2008.				0
c From 2009.				0
d From 2010.				0
e From 2011.				0
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 37,028				
a Applied to 2011, but not more than line 2a			20,719	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				16,309
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				21,966
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . .	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2008. . . .				0
b Excess from 2009. . . .				0
c Excess from 2010. . . .				0
d Excess from 2011. . . .				0
e Excess from 2012. . . .				0

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> CHILDREN'S HOSPITAL MEDICAL CTR ATTN BRUCE BALTER BOSTON, MA 02215	NONE	501(C)(3)	GENERAL OPERATIONS	9,257
CAPE COD HOSPITAL PO BOX 849091 BOSTON, MA 022849091	NONE	501(C)(3)	GENERAL OPERATIONS	9,257
NEW ENGLAND BAPTIST HOSPITAL DEBRA COLEMAN DEVELOPMENT OFFICE BOSTON, MA 02120	NONE	501(C)(3)	GENERAL OPERATIONS	9,257
BETH ISRAEL DEACONESS MEDICAL CENTER ATTN OFFICE OF DEVELOPMENT BOSTON, MA 02215	NONE	501(C)(3)	GENERAL OPERATIONS	9,257
Total			3a	37,028
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities. . . .			14	16,700	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory			18	8,964	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e). .				25,664	
13	Total. Add line 12, columns (b), (d), and (e).			13		25,664

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash. (2) Other assets. b Other transactions (1) Sales of assets to a noncharitable exempt organization. (2) Purchases of assets from a noncharitable exempt organization. (3) Rental of facilities, equipment, or other assets. (4) Reimbursement arrangements. (5) Loans or loan guarantees. (6) Performance of services or membership or fundraising solicitations. c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		Yes	No
	1a(1)		No
	1a(2)		No
	1b(1)		No
	1b(2)		No
	1b(3)		No
	1b(4)		No
	1b(5)		No
	1b(6)		No
	1c		No

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	Firm's name ☐			Firm's EIN ☐	
	Firm's address ☐			Phone no	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
46 398 ASTON FDS MONTAG & CALDWELL GROWTH FUND CL I		2011-09-27	2012-11-21
36 367 INVESCO INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS		2010-10-18	2012-11-21
15 562 DODGE & COX STK FD COM		2010-10-18	2012-11-21
236 439 FIDELITY SPARTAN HIGH INCOME		2010-10-18	2012-11-21
33 856 HARBOR INTERNATIONAL FUND		2010-10-18	2012-11-21
8 528 PERKINS MID CAP VALUE FUND INSTITUTIONAL CLASS		2010-10-18	2012-11-21
476 792 PIMCO INVESTMENT GRADE CORP BOND FUND CLASS I		2010-10-18	2012-11-21
6 012 ROYCE SPECIAL EQUITY FD #327		2010-10-18	2012-11-21
127 466 TD ASSET MGMT SHORT TERM BOND #4		2010-10-18	2012-11-21
253 261 VANGUARD INTERM-TERM TREAS FD #35		2010-10-18	2012-11-21
78 976 VANGUARD INFLATION PROTECTED SEC 119		2011-10-20	2012-11-21
470 392 ASTON FDS MONTAG & CALDWELL GROWTH FUND CL I		2011-11-21	2012-12-20
152 206 INVESCO INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS		2010-10-18	2012-12-20
1429 382 FIDELITY SPARTAN HIGH INCOME		2010-10-18	2012-12-20
264 687 FIDELITY SELECT PORTFOLIOS SELECT GOLD PT FUND #41		2011-09-27	2012-12-20
74 714 HARBOR INTERNATIONAL FUND		2010-10-18	2012-12-20
294 HARTFORD MUT FDS INC MIDCAP FD CL Y FD# 229		2010-06-11	2012-12-20
15 805 TD ASSET MGMT SHORT TERM BOND #4		2010-10-18	2012-12-20
28 787 VIRTUS EMERGING MKTS OPPORTUNITY FUND I CLASS		2012-11-21	2012-12-20
18 691 INVESCO INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS		2010-10-18	2013-02-21
24 765 BUFFALO SMALL CAP FD #1444		2010-10-18	2013-02-21
44 72 DODGE & COX STK FD COM		2010-10-18	2013-02-21
5 115 HARBOR INTERNATIONAL FUND		2010-10-18	2013-02-21
38 332 HARTFORD MUT FDS INC MIDCAP FD CL Y FD# 229		2010-06-11	2013-02-21
14 018 PERKINS MID CAP VALUE FUND INSTITUTIONAL CLASS		2010-10-18	2013-02-21
34 865 ROYCE SPECIAL EQUITY FD #327		2010-10-18	2013-02-21
54 539 ASTON FDS MONTAG & CALDWELL GROWTH FUND CL I		2012-02-21	2013-03-15
9 773 BUFFALO SMALL CAP FD #1444		2012-02-27	2013-03-15
7 037 DODGE & COX STK FD COM		2012-02-27	2013-03-15
15 44 DODGE & COX STK FD COM		2010-10-18	2013-03-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2569 645 FIDELITY SPARTAN HIGH INCOME		2010-10-18	2013-03-15
42 815 FIDELITY SPARTAN HIGH INCOME		2012-02-27	2013-03-15
2 389 HARBOR INTERNATIONAL FUND		2012-02-27	2013-03-15
21 857 HARTFORD MUT FDS INC MIDCAP FD CL Y FD# 229		2012-02-27	2013-03-15
17 893 PERKINS MID CAP VALUE FUND INSTITUTIONAL CLASS		2012-02-27	2013-03-15
6 38 ROYCE SPECIAL EQUITY FD #327		2012-02-27	2013-03-15
91 759 ASTON FDS MONTAG & CALDWELL GROWTH FUND CL I		2011-09-27	2013-04-18
9 98 ASTON FDS MONTAG & CALDWELL GROWTH FUND CL I		2012-02-21	2013-04-18
13 503 BUFFALO SMALL CAP FD #1444		2012-02-27	2013-04-18
2 316 DODGE & COX STK FD COM		2010-10-18	2013-04-18
14 777 HARTFORD MUT FDS INC MIDCAP FD CL Y FD# 229		2012-02-27	2013-04-18
7 762 PERKINS MID CAP VALUE FUND INSTITUTIONAL CLASS		2010-10-18	2013-04-18
9 268 PERKINS MID CAP VALUE FUND INSTITUTIONAL CLASS		2012-02-21	2013-04-18
359 872 PIMCO INVESTMENT GRADE CORP BOND FUND CLASS I		2010-10-18	2013-04-18
8 68 ROYCE SPECIAL EQUITY FD #327		2012-02-27	2013-04-18
70 408 VANGUARD INFLTN PRTCTD SEC FD #5119		2012-02-27	2013-04-18
111 982 VANGUARD INTERMEDIATE TERM TREASURY ADMIRAL		2010-10-18	2013-04-18
175 88 VIRTUS EMERGING MKTS OPPORTUNITY FUND I CLASS		2013-03-15	2013-04-18
1543 274 VIRTUS EMERGING MKTS OPPORTUNITY FUND I CLASS		2012-02-27	2013-04-18
76 039 ASTON FDS MONTAG & CALDWELL GROWTH FUND CL I		2011-09-27	2013-05-21
42 744 INVESCO INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS		2012-02-27	2013-05-21
39 512 BUFFALO SMALL CAP FD #1444		2012-02-27	2013-05-21
35 715 DODGE & COX STK FD COM		2010-10-18	2013-05-21
16 898 HARBOR INTERNATIONAL FUND		2010-10-18	2013-05-21
13 815 HARBOR INTERNATIONAL FUND		2012-02-27	2013-05-21
43 329 HARTFORD MUT FDS INC MIDCAP FD CL Y FD# 229		2012-02-27	2013-05-21
30 356 PERKINS MID CAP VALUE FUND INSTITUTIONAL CLASS		2010-10-18	2013-05-21
30 57 ROYCE SPECIAL EQUITY FD #327		2010-10-18	2013-05-21
4 613 ROYCE SPECIAL EQUITY FD #327		2012-02-27	2013-05-21
293 BUFFALO SMALL CAP FD #1444		2012-02-27	2013-05-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
350 903 FIDELITY SELECT PORTFOLIOS SELECT GOLD PT FUND #41		2011-10-20	2013-05-22
335 117 FIDELITY SELECT PORTFOLIOS SELECT GOLD PT FUND #41		2012-05-21	2013-05-22
497 02 FIDELITY SELECT PORTFOLIOS SELECT GOLD PT FUND #41		2013-05-21	2013-05-22
1 224 HARTFORD MUT FDS INC MIDCAP FD CL Y FD# 229		2012-02-27	2013-05-22
396 ROYCE SPECIAL EQUITY FD #327		2012-05-21	2013-05-22
1 627 VANGUARD INFLT N PRTCTD SEC FD #5119		2012-02-21	2013-05-22
304 FIDELITY SELECT PORTFOLIOS SELECT GOLD PT FUND #41		2013-05-21	2013-05-31
41 917 BUFFALO SMALL CAP FD #1444		2012-02-27	2013-08-01
13 551 DODGE & COX STK FD COM		2010-10-18	2013-08-01
32 02 DODGE & COX STK FD COM		2012-05-21	2013-08-01
24 523 HARTFORD MUT FDS INC MIDCAP FD CL Y FD# 229		2012-02-27	2013-08-01
15 183 PERKINS MID CAP VALUE FUND INSTITUTIONAL CLASS		2010-10-18	2013-08-01
26 905 ROYCE SPECIAL EQUITY FD #327		2010-10-18	2013-08-01
7 744 ROYCE SPECIAL EQUITY FD #327		2012-05-21	2013-08-01
2331 636 TD ASSET MGMT SHORT TERM BOND #4		2010-10-18	2013-08-01
39 048 INVESCO INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS		2010-10-18	2013-10-18
121 INVESCO INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS		2012-02-27	2013-10-18
55 252 BUFFALO SMALL CAP FD #1444		2012-02-27	2013-10-18
6 671 DODGE & COX STK FD COM		2010-10-18	2013-10-18
17 153 HARBOR INTERNATIONAL FUND		2010-10-18	2013-10-18
57 974 HARTFORD MUT FDS INC MIDCAP FD CL Y FD# 229		2012-02-27	2013-10-18
54 686 PERKINS MID CAP VALUE FUND INSTITUTIONAL CLASS		2010-10-18	2013-10-18
65 801 ROYCE SPECIAL EQUITY FD #327		2010-10-18	2013-10-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,178		1,099	79
1,023		995	28
1,807		1,560	247
2,180		2,121	59
2,011		2,024	-13
183		181	2
5,397		5,688	-291
130		116	14
1,309		1,314	-5
2,988		3,042	-54
1,178		1,081	97
12,348		11,145	1,203
4,461		4,166	295
13,365		12,822	543
9,502		13,248	-3,746
4,654		4,466	188
7		6	1
162		163	-1
296		283	13
559		512	47
730		595	135
5,793		4,484	1,309
321		306	15
901		785	116
317		298	19
778		673	105
1,423		1,321	102
301		275	26
957		793	164
2,099		1,548	551

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,360		23,050	1,310
406		386	20
155		144	11
542		487	55
423		396	27
146		137	9
2,401		2,174	227
261		242	19
401		380	21
308		232	76
355		329	26
178		165	13
213		204	9
4,052		4,293	-241
190		187	3
1,994		1,985	9
1,314		1,345	-31
1,834		1,738	96
16,096		14,831	1,265
2,110		1,801	309
1,350		1,192	158
1,321		1,111	210
5,173		3,581	1,592
1,145		1,010	135
936		830	106
1,156		965	191
754		646	108
734		590	144
111		99	12
10		8	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,802		16,368	-8,566
7,451		12,667	-5,216
11,051		12,990	-1,939
32		27	5
9		8	1
45		46	-1
7		7	
1,532		1,178	354
2,059		1,359	700
4,865		3,378	1,487
687		546	141
385		323	62
680		520	160
196		159	37
23,806		24,039	-233
1,327		1,069	258
4		3	1
2,175		1,553	622
1,051		669	382
1,225		1,025	200
1,669		1,291	378
1,417		1,163	254
1,735		1,271	464

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			79
			28
			247
			59
			-13
			2
			-291
			14
			-5
			-54
			97
			1,203
			295
			543
			-3,746
			188
			1
			-1
			13
			47
			135
			1,309
			15
			116
			19
			105
			102
			26
			164
			551

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,310
			20
			11
			55
			27
			9
			227
			19
			21
			76
			26
			13
			9
			-241
			3
			9
			-31
			96
			1,265
			309
			158
			210
			1,592
			135
			106
			191
			108
			144
			12
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8,566
			-5,216
			-1,939
			5
			1
			-1
			354
			700
			1,487
			141
			62
			160
			37
			-233
			258
			1
			622
			382
			200
			378
			254
			464

TY 2012 Accounting Fees Schedule

Name: ETHEL B CROCKER TRUST 42C033018

EIN: 04-6171048

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE	575	575		

**TY 2012 Investments Corporate
Bonds Schedule****Name:** ETHEL B CROCKER TRUST 42C033018**EIN:** 04-6171048

Name of Bond	End of Year Book Value	End of Year Fair Market Value
4991.452 FIDELITY HIGH INCOME	45,095	47,019
10670.346 PIMCO INVESTMENT GRA	121,112	114,066
4551.461 TD ASSET MGMT SHORT T	46,709	46,516
1756.028 VANGUARD INFLT N PRTCT	47,212	46,324
7038.066 VANGUARD INTM TERM TR	83,379	80,093

**TY 2012 Investments Corporate
Stock Schedule****Name:** ETHEL B CROCKER TRUST 42C033018**EIN:** 04-6171048

Name of Stock	End of Year Book Value	End of Year Fair Market Value
5244.624 ASTON FDS MONTAG & CA	123,948	151,412
1216.300 INVESCO INTERNATIONAL	33,041	41,160
429.833 BUFFALO SMALL CAP FD	11,077	16,811
952.002 DODGE & COX STK FD	100,866	150,416
578.424 HARBOR INTERNATIONAL F	33,523	40,947
566.621 HARTFORD MUT FDS INC	12,329	16,618
639.589 PERKINS MID CAP VALUE	13,653	16,623
632.970 ROYCE SPECIAL EQUITY F	12,960	16,698

TY 2012 Other Expenses Schedule

Name: ETHEL B CROCKER TRUST 42C033018

EIN: 04-6171048

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MA PC FORM FEE	35	35		0

TY 2012 Other Increases Schedule

Name: ETHEL B CROCKER TRUST 42C033018

EIN: 04-6171048

Description	Amount
ROUNDING ADJUSTMENT	7

TY 2012 Taxes Schedule**Name:** ETHEL B CROCKER TRUST 42C033018**EIN:** 04-6171048

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES ON QUALIFIED FOR	90	90		0
FOREIGN TAXES ON NONQUALIFIED	76	76		0