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Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2012

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012 or tax year beginning

11/01, 2012, and ending

10/31, 2013

Name of foundation PUTNAM FOUNDATION		A Employer identification number 02-6011388
Number and street (or P O box number if mail is not delivered to street address) PO BOX 323 20 CENTRAL SQUARE, 2ND FL	Room/suite	B Telephone number (see instructions) (603) 352-2448
City or town, state, and ZIP code KEENE, NH 03431		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change	☐ Initial return of a former public charity ☐ Amended return ☐ Name change	D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 34,718,478.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc. received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	293,745.	293,745.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,207,431.			
	b Gross sales price for all assets on line 6a	9,816,912.			
	7 Capital gain net income (from Part IV, line 2)		1,207,431.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH 2	31,332.	31,332.			
12 Total. Add lines 1 through 11	1,532,508.	1,532,508.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) ATCH 3	1,430.			
	b Accounting fees (attach schedule) ATCH 4	7,000.			
	c Other professional fees (attach schedule) *	188,875.	188,875.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) ATCH 6	19,600.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	2,208.	2,208.		
	22 Printing and publications	675.	675.		
	23 Other expenses (attach schedule) ATCH 7	88,323.	88,323.		
	24 Total operating and administrative expenses. Add lines 13 through 23	308,111.	280,081.		
	25 Contributions, gifts, grants paid	1,209,322.			1,209,322.
26 Total expenses and disbursements. Add lines 24 and 25	1,517,433.	280,081.	0	1,209,322.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	15,075.				
b Net investment income (if negative, enter -0-)		1,252,427.			
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	1,789,361.	822,830.	822,830.	
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ *		* 100,479.		
		Less allowance for doubtful accounts ▶	100,479.	100,479.	100,479.	
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule) . .	505,208.	486,282.	493,008.	
	b	Investments - corporate stock (attach schedule)	8,252,883.	7,889,220.	10,411,996.	
	c	Investments - corporate bonds (attach schedule)	11,233,239.	10,253,317.	12,516,069.	
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans				
	13	Investments - other (attach schedule)	6,535,986.	8,826,917.	10,192,713.	
14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶ ATCH 8)	181,383.	181,383.	181,383.		
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	28,598,539.	28,560,428.	34,718,478.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons .				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27	Capital stock, trust principal, or current funds	28,598,539.	28,560,428.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds . .				
30	Total net assets or fund balances (see instructions)	28,598,539.	28,560,428.			
31	Total liabilities and net assets/fund balances (see instructions)	28,598,539.	28,560,428.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,598,539.
2	Enter amount from Part I, line 27a	2	15,075.
3	Other increases not included in line 2 (itemize) ▶ ATCH 9	3	328,607.
4	Add lines 1, 2, and 3	4	28,942,221.
5	Decreases not included in line 2 (itemize) ▶ ATCH 10	5	381,793.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	28,560,428.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	1,207,431.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	1,185,081.	31,532,870.	0.037582
2010	1,171,925.	29,639,001.	0.039540
2009	1,244,600.	27,439,662.	0.045358
2008	1,313,623.	23,633,447.	0.055583
2007	1,685,152.	30,132,923.	0.055924
2 Total of line 1, column (d)			2 0.233987
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.046797
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 31,988,421.
5 Multiply line 4 by line 3			5 1,496,962.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 12,524.
7 Add lines 5 and 6			7 1,509,486.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 1,209,322.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	25,049.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	25,049.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	25,049.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	12,622.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	20,500.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	33,122.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	8,073.	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ 8,073. Refunded ☐ ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NH, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ JAMES PUTNAM Telephone no ▶ 603-352-2448			
Located at ▶ 20 CENTRAL SQUARE KEENE, NH ZIP+4 ▶ 03431				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	▶ ☐		
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15				
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No		
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES PUTNAM KEENE, NH 03431	TRUSTEE/PT	0	0	0
THOMAS P. PUTNAM KEENE, NH 03431	TRUSTEE/PT	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	20,653,313.
c	Fair market value of all other assets (see instructions)	1c	11,822,241.
d	Total (add lines 1a, b, and c)	1d	32,475,554.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	32,475,554.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	487,133.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	31,988,421.
6	Minimum investment return. Enter 5% of line 5	6	1,599,421.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,599,421.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	25,049.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	25,049.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,574,372.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,574,372.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,574,372.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,209,322.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,209,322.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,209,322.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,574,372.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only				
b Total for prior years 20 10 20 09 20 08				
3 Excess distributions carryover, if any, to 2012				
a From 2007 42,086.				
b From 2008 142,271.				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	184,357.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 1,209,322.				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				1,209,322.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	184,357.			184,357.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				180,693.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Form **990-PF** (2012)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(e) Total

(a) 2012

(b) 2011

(c) 2010

(d) 2009

b 85% of line 2a

c Qualifying distributions from Part XI line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section

4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

ATCH 11

b The form in which applications should be submitted and information and materials they should include

ATCH 12

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATCH 13

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year SEE STATEMENT B					1,209,322.
Total ▶ 3a					1,209,322.
b Approved for future payment					
Total ▶ 3b					

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	293,745.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property .					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	1,207,431.	
9	Net income or (loss) from special events . . .					
10	Gross profit or (loss) from sales of inventory . .					
11	Other revenue a					
b	ATCH 14				31,332.	
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)				1,532,508.	
13	Total Add line 12, columns (b), (d), and (e)					1,532,508.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF - PART IV **CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS				63,562.	
2,683,871.		BNY MELLON MUTUAL FUND/CASH 2,215,693.				VARIOUS 468,178.	VARIOUS
758,316.		BNY MELLON MUTUAL FUND/CASH 752,793.				VARIOUS 5,523.	VARIOUS
472,440.		BNY MELLON PINACLE 349,013.				VARIOUS 123,427.	VARIOUS
50,428.		BNY MELLON PINACLE 47,236.				VARIOUS 3,192.	VARIOUS
709,258.		BNY MELLON SNOW 530,634.				VARIOUS 178,624.	VARIOUS
738,897.		BNY MELLON SNOW 635,856.				VARIOUS 103,041.	VARIOUS
676,774.		BNY MELLON WALTHAUSEN 514,069.				VARIOUS 162,705.	VARIOUS
877,558.		BNY MELLON WALTHAUSEN 815,556.				VARIOUS 62,002.	VARIOUS
86,053.		BNY MELLON BRECKINRIDGE 85,862.				VARIOUS 191.	VARIOUS
316,875.		BNY MELLON WCM INVST MGMT 320,262.				VARIOUS -3,387.	VARIOUS
260,469.		BNY MELLON WCM INVST MGMT 242,507.				VARIOUS 17,962.	VARIOUS
9,726.		WASH SALE ADJUSTMENT				VARIOUS 9,726.	VARIOUS

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
910,463.		LOSS ON DISPOSITION - OCTAVIAN 1,100,000.					VARIOUS -189,537.	VARIOUS
1,152,503.		GAIN ON DISPOSITION - REGIMENT 1,000,000.					VARIOUS 152,503.	VARIOUS
30,447.		BNY MELLON MUTUAL FUND/CASH					VARIOUS 30,447.	VARIOUS
6,958.		BNY MELLON PINACLE					VARIOUS 6,958.	VARIOUS
8,375.		BNY MELLON SNOW					VARIOUS 8,375.	VARIOUS
3,939.		BNY MELLON MUTUAL FUND/CASH					VARIOUS 3,939.	VARIOUS
TOTAL GAIN(LOSS)							<u>1,207,431.</u>	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS AND INTEREST FROM SECURITIES	293,745.	293,745.
TOTAL	<u>293,745.</u>	<u>293,745.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
SANDERSON ASSET MANAGEMENT	6,237.	6,237.
HIGHCLERE INTERNATIONAL INVESTORS	4,163.	4,163.
BRECKINRIDGE ACCRETION/AMORTIZATION	-4,068.	-4,068.
MARKEM-IMAJE CORPORATION	25,000.	25,000.
TOTALS	<u>31,332.</u>	<u>31,332.</u>

ATTACHMENT 3

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	1,430.			
TOTALS	<u>1,430.</u>			

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	7,000.			
TOTALS	<u>7,000.</u>			

ATTACHMENT 5

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MANAGEMENT FEES	155,668.	155,668.
CONSULTING FEES	33,207.	33,207.
TOTALS	<u>188,875.</u>	<u>188,875.</u>

ATTACHMENT 6FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FEDERAL	19,600.
TOTALS	<u>19,600.</u>

ATTACHMENT 7

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BANK CHARGES	126.	126.
MEMBERSHIP DUES	750.	750.
MEALS	442.	442.
TELEPHONE	1,773.	1,773.
SUPPLIES	223.	223.
ADMINISTRATION SUPPORT	84,501.	84,501.
FILING FEES	150.	150.
POSTAGE	358.	358.
TOTALS	<u>88,323.</u>	<u>88,323.</u>

ATTACHMENT 8

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MARKEM CORP ROYALTY	181,383.	181,383.
TOTALS	<u>181,383.</u>	<u>181,383.</u>

ATTACHMENT 9FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

OUTSTANDING CHECKS AT EOY

328,607.

TOTAL

328,607.

ATTACHMENT 10FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
OUTSTANDING CHECKS AT BOY	185,250.
UNREALIZED GAIN/LOSS	196,543.
TOTAL	<u>381,793.</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

JAMES PUTNAM, PUTNAM FOUNDATION
PO BOX 323, 20 CENTRAL SQ, 2ND FL
KEENE, NH 03431
603-352-2448

ATTACHMENT 12

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

LETTER STATING THE PROPOSED USE OF THE FUNDS REQUESTED AND RELEVANT
FACTS AND INFORMATION.

ATTACHMENT 13990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

ITS PRINCIPAL MISSION IS TO PROVIDE FINANCIAL SUPPORT FOR THE ARTS,
HISTORIC PRESERVATION AND CULTURAL ENHANCEMENT IN NEW HAMPSHIRE, AND
ENVIRONMENTAL CAUSES NATIONALLY AND INTERNATIONALLY.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 14

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>	
				<u>AMOUNT</u>	<u>FUNCTION INCOME</u>
SANDERSON ASSET MANAGEMENT			18	6,237.	
HIGHCLERE INTERNATIONAL INVESTORS			18	4,163.	
BRECKINRIDGE ACCRECTION/AMORIZATION			18	-4,068.	
MARKEM-IMAJE CORPORATION			18	25,000.	
TOTALS				<u>31,332.</u>	

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T.
► Information about Schedule D (Form 1041) and its separate instructions is at
www.irs.gov/form1041.

OMB No 1545-0092

2012

Name of estate or trust

PUTNAM FOUNDATION

Employer identification number

02-6011388

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b **1b** 205,385.

2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 **2**

3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts **3**

4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet **4** ()

5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back **5** 205,385.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b **6b** 938,484.

7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 **7**

8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts **8** 63,562.

9 Capital gain distributions **9**

10 Gain from Form 4797, Part I **10**

11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet **11** ()

12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back **12** 1,002,046.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2012

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part				
13	Net short-term gain or (loss)	13		205,385.
14	Net long-term gain or (loss):			
a	Total for year	14a		1,002,046.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		1,207,431.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of

a The loss on line 15, column (3) or b \$3,000 **16** ()

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,400	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23.	25		
26	Subtract line 25 from line 24.	26		
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (15)	30		
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32	Add lines 30 and 31	32		
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Schedule D (Form 1041) 2012

Department of the Treasury
Internal Revenue Service

▶ **Attach to Schedule D to list additional transactions for lines 1a and 6a**

2012

► Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Employer Identification number

02-6011388

[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	205,385.
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

JSA
2F 1221 2 000

TF4304 U468 8/20/2014 3:00:27 PM V 12-7.12

Employer Identification number

[illegible]

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b	938,484.
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Schedule D-1 (Form 1041) 2012



BNY MELLON

PF MUTUAL FUND/CASH - P19F90000002

Lot Level Dispositions

by Reported Date

11/1/2012 - 10/31/2013

Report ID: HDA824

Base Currency: USD

Status: REVISED

Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
LONG						
9/27/2007	11/20/2012	DODGE & COX INCOME FUND	-16,317.846	-204,136.25	226,981.23	22,844.98
11/20/2012	11/21/2012	SEC ID: 256210105	08354000070A			
9/27/2007	5/31/2013	DODGE & COX INCOME FUND	-28,560.492	-357,291.76	394,420.40	37,128.64
5/31/2013	6/3/2013	SEC ID: 256210105	08354000070A			
12/28/2007	5/31/2013	DODGE & COX INCOME FUND	-1,379.230	-17,212.79	19,047.17	1,834.38
5/31/2013	6/3/2013	SEC ID: 256210105	08354000030A			
9/27/2007	11/20/2012	T ROWE PRICE INSTITUTIONAL LAR	-8,237.232	-136,161.44	150,000.00	13,838.56
11/20/2012	11/21/2012	SEC ID: 45775L408	08354000110A			
9/27/2007	5/3/2013	T ROWE PRICE INSTITUTIONAL LAR	-78,678.059	-1,300,548.31	1,652,239.24	351,690.93
5/3/2013	5/7/2013	SEC ID: 45775L408	08354000110A			
12/17/2010	5/3/2013	T ROWE PRICE INSTITUTIONAL LAR	-604.150	-9,811.39	12,687.15	2,875.76
5/3/2013	5/7/2013	SEC ID: 45775L408	10355000010C			
12/20/2007	5/3/2013	T ROWE PRICE INSTITUTIONAL LAR	-2,589.997	-40,274.46	54,389.94	14,115.48
5/3/2013	5/7/2013	SEC ID: 45775L408	08354000140A			
12/20/2007	5/3/2013	T ROWE PRICE INSTITUTIONAL LAR	-1,575.621	-24,500.91	33,088.04	8,587.13
5/3/2013	5/7/2013	SEC ID: 45775L408	08354000120A			
12/19/2011	5/3/2013	T ROWE PRICE INSTITUTIONAL LAR	-370.171	-5,752.46	7,773.59	2,021.13
5/3/2013	5/7/2013	SEC ID: 45775L408	11355000030C			
12/17/2009	5/3/2013	T ROWE PRICE INSTITUTIONAL LAR	-411.102	-5,632.10	8,633.14	3,001.04
5/3/2013	5/7/2013	SEC ID: 45775L408	09355000010C			
12/17/2008	5/3/2013	T ROWE PRICE INSTITUTIONAL LAR	-799.370	-7,322.23	16,786.77	9,464.54
5/3/2013	5/7/2013	SEC ID: 45775L408	08354000010C			
12/21/2010	11/20/2012	VANGUARD SHORT-TERM BOND	-206.220	-2,210.61	2,198.31	-12.30
12/1/2012	12/14/2012	INDEX	12349000080A			
8/22/2011	11/20/2012	SEC ID: 921937850	-26.147	-279.81	278.73	-1.08
12/1/2012	12/14/2012	VANGUARD SHORT-TERM BOND	INDEX			
11/22/2010	11/20/2012	SEC ID: 921937850	-17.990	-192.31	191.77	-0.54
12/1/2012	12/14/2012	VANGUARD SHORT-TERM BOND	INDEX			
1/28/2011	11/20/2012	SEC ID: 921937850	-9,864.474	-104,366.13	105,155.30	789.17
12/1/2012	12/14/2012	VANGUARD SHORT-TERM BOND	INDEX			
		SEC ID: 921937850	11031000070C			
TOTAL LONG:				-2,215,692.96	2,683,870.78	468,177.82
SHORT						
9/25/2012	11/20/2012	DODGE & COX INCOME FUND	-1,654.836	-22,869.83	23,018.77	148.94
11/20/2012	11/21/2012	SEC ID: 256210105	12271000010C			
3/26/2013	5/31/2013	DODGE & COX INCOME FUND	-1,333.967	-18,435.42	18,422.08	-13.34
5/31/2013	6/3/2013	SEC ID: 256210105	13087000010C			

3/6/2014

9:26:32AM

EST

1

Workbench



BNY MELLON

PF MUTUAL FUND/CASH - P19F90000002

Lot Level Dispositions

by Reported Date

11/1/2012 - 10/31/2013

Report ID: HDA824

Base Currency: USD

Status: REVISED

Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
12/19/2012	5/31/2013	DODGE & COX INCOME FUND SEC ID: 256210105	-1,311 394 12356000090C	-18,123.46	18,110.35	-13.11
5/31/2013	6/3/2013	T ROWE PRICE INSTITUTIONAL LAR SEC ID: 45775L408	-313.758 12356000110C	-5,951.99	6,588.92	636.93
12/19/2012	5/3/2013	SIT DIVIDEND GROWTH FUND SEC ID: 82980D707	-531.603 12285000010C	-7,841.14	7,697.61	-143.53
5/3/2013	5/7/2013	SIT DIVIDEND GROWTH FUND SEC ID: 82980D707	-20,186 629 12125000020C	-288,870.66	292,302.39	3,431.73
10/10/2012	11/20/2012	VANGUARD SHORT-TERM BOND INDEX	-227 810 12275000010C	-2,433.01	2,428.45	-4.56
11/20/2012	11/21/2012	SEC ID: 921937850 VANGUARD SHORT-TERM BOND INDEX	-230.341 12306000010C	-2,455.44	2,455.44	0.00
9/28/2012	11/20/2012	SEC ID: 921937850 VANGUARD SHORT-TERM BOND INDEX	-35,112.689 12146000020C	-372,896.76	374,301.26	1,404.50
12/1/2012	12/14/2012	SEC ID: 921937850 VANGUARD SHORT-TERM BOND INDEX	-44.112 12093000030C	-468.03	470.23	2.20
3/30/2012	11/20/2012	SEC ID: 921937850 VANGUARD SHORT-TERM BOND INDEX	-14.704 12093000050C	-156.01	156.74	0.73
12/1/2012	12/14/2012	SEC ID: 921937850 VANGUARD SHORT-TERM BOND INDEX	-218.409 12093000070C	-2,317.32	2,328.24	10.92
3/30/2012	11/20/2012	SEC ID: 921937850 VANGUARD SHORT-TERM BOND INDEX	-225.887 12003000030C	-2,396.66	2,407.96	11.30
12/1/2012	12/14/2012	SEC ID: 921937850 VANGUARD SHORT-TERM BOND INDEX	-598 710 11357000070C	-6,340.34	6,382.25	41.91
12/22/2011	11/20/2012	SEC ID: 921937850 VANGUARD SHORT-TERM BOND INDEX	-116.822 11357000090C	-1,237.14	1,245.32	8.18
12/1/2012	12/14/2012	SEC ID: 921937850				

TOTAL SHORT:

-62,121.671	-752,793.21	758,316.01	5,522.80
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TOTAL LOT DISPOSITION:

-211,759.772	-2,968,486.17	3,442,186.79	473,700.62
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Base Currency: USD

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Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
LONG						
10/9/2007	5/2/2013	AMC NETWORKS INC	-12.000	-371.36	760.54	389.18
5/2/2013	5/3/2013	SEC ID: 00164V103	11182000070F			
10/10/2007	5/2/2013	AMC NETWORKS INC	-38.000	-1,171.35	2,408.39	1,237.04
5/2/2013	5/3/2013	SEC ID: 00164V103	11182000080F			
7/23/2008	5/2/2013	ARRIS GROUP INC	-250.000	-2,220.42	4,027.61	1,807.19
5/2/2013	5/3/2013	SEC ID: 04270V106	13106000030F			
10/10/2007	11/20/2012	ATMEL CORP	-300.000	-1,583.70	1,379.97	-203.73
11/20/2012	11/21/2012	SEC ID: 049513104	08318000140L			
10/10/2007	5/2/2013	ATMEL CORP	-500.000	-2,639.50	3,094.93	455.43
5/2/2013	5/3/2013	SEC ID: 049513104	08318000140L			
10/9/2007	11/20/2012	AVNET INC	-50.000	-2,159.90	1,426.47	-733.43
11/20/2012	11/21/2012	SEC ID: 053807103	08318000150L			
10/9/2007	5/2/2013	AVNET INC	-100.000	-4,319.80	3,224.01	-1,095.79
5/2/2013	5/3/2013	SEC ID: 053807103	08318000150L			
4/29/2010	11/14/2012	BAKER HUGHES INC	-300.000	-17,877.66	11,981.91	-5,895.75
11/14/2012	11/15/2012	SEC ID: 057224107	10119000020A			
4/14/2009	7/24/2013	BELO CORP	-500.000	-473.95	6,983.27	6,509.32
7/24/2013	7/25/2013	SEC ID: 080555105	09106000010C			
8/6/2010	2/20/2013	BRINK'S CO/THE	-450.000	-9,349.74	11,847.65	2,497.91
2/20/2013	2/21/2013	SEC ID: 109696104	10221000020C			
10/9/2007	5/2/2013	CAE INC	-300.000	-4,138.89	3,119.93	-1,018.96
5/2/2013	5/3/2013	SEC ID: 124765108	08318000250L			
10/9/2007	11/20/2012	CABLEVISION SYSTEMS CORP	-100.000	-2,046.66	1,387.98	-658.68
11/20/2012	11/21/2012	SEC ID: 12686C109	08318000270L			
10/10/2007	5/2/2013	CABLEVISION SYSTEMS CORP	-200.000	-4,077.20	2,989.93	-1,087.27
5/2/2013	5/3/2013	SEC ID: 12686C109	08318000280L			
10/22/2007	11/20/2012	CAMECO CORP	-100.000	-4,431.06	1,667.97	-2,763.09
11/20/2012	11/21/2012	SEC ID: 13321L108	12151000050A			
10/22/2007	5/2/2013	CAMECO CORP	-200.000	-8,862.11	3,854.01	-5,008.10
5/2/2013	5/3/2013	SEC ID: 13321L108	12151000050A			
10/9/2007	11/20/2012	CINCINNATI BELL INC	-400.000	-2,019.96	1,940.00	-79.96
11/20/2012	11/21/2012	SEC ID: 171871106	08318000330L			
10/9/2007	5/2/2013	CINCINNATI BELL INC	-750.000	-3,787.43	2,625.32	-1,162.11
5/2/2013	5/3/2013	SEC ID: 171871106	08318000330L			
10/9/2007	5/2/2013	COGNEX CORP	-50.000	-949.50	2,008.95	1,059.45
5/2/2013	5/3/2013	SEC ID: 192422103	08318000360L			
10/9/2007	11/20/2012	CUMMINS INC	-50.000	-3,456.33	4,866.39	1,410.06
11/20/2012	11/21/2012	SEC ID: 231021106	08318000380L			



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10/9/2007	5/2/2013	CUMMINS INC SEC ID: 231021106	-50 000 08318000380L	-3,456.33	5,317.38	1,861.05
5/2/2013	5/3/2013	DIEBOLD INC SEC ID: 253651103	-350.000 08318000400L	-8,851.15	10,232.72	1,381.57
10/13/2008	2/5/2013	DISCOVERY COMMUNICATIONS INC SEC ID: 25470F302	-50 000 10355000070C	-1,850.50	2,656.44	805.94
12/20/2010	11/20/2012	DISCOVERY COMMUNICATIONS INC SEC ID: 25470F302	-100 000 10355000070C	-3,701.00	7,182.99	3,481.99
11/20/2012	11/21/2012	ENERGY TRANSFER PARTNERS LP SEC ID: 29273R109	-271.000 12284000070F	-10,174.68	11,455.94	1,281.26
12/20/2010	5/2/2013	GANNETT CO INC SEC ID: 364730101	-250.000 12045000010C	-3,729.07	5,067.52	1,338.45
5/2/2013	5/3/2013	HARMONIC INC SEC ID: 413160102	-450 000 08318000530L	-5,192.95	2,492.94	-2,700.01
10/9/2007	5/2/2013	HELMERICH & PAYNE INC SEC ID: 423452101	-50 000 08318000580L	-1,629.96	2,984.43	1,354.47
5/2/2013	5/3/2013	HEXCEL CORP SEC ID: 428291108	-50.000 08318000590L	-1,164.96	1,247.97	83.01
10/9/2007	11/20/2012	HEXCEL CORP SEC ID: 428291108	-100.000 08318000590L	-2,329.92	3,042.93	713.01
11/20/2012	5/2/2013	IAC/INTERACTIVECORP SEC ID: 44919P508	-50 000 09166000070C	-818.70	2,354.45	1,535.75
6/12/2009	5/2/2013	IMMUNOGEN INC SEC ID: 45253H101	-100.000 10130000060C	-809.76	1,142.98	333.22
5/2/2013	5/3/2013	IMMUNOGEN INC SEC ID: 45253H101	-50.000 10130000060C	-404.88	775.54	370.66
5/7/2010	11/20/2012	IMMUNOGEN INC SEC ID: 45253H101	-50.000 10130000060C	-400.00	775.53	375.53
11/20/2012	5/2/2013	ION GEOPHYSICAL CORP SEC ID: 462044108	-250 000 08318000650L	-3,842.20	1,429.97	-2,412.23
5/2/2013	5/3/2013	ION GEOPHYSICAL CORP SEC ID: 462044108	-1,400.000 08318000650L	-21,516.32	8,545.87	-12,970.45
10/9/2007	7/3/2013	ISIS PHARMACEUTICALS INC SEC ID: 464330109	-150.000 08318000660L	-2,482.50	1,334.99	-1,147.51
7/3/2013	7/5/2013	ISIS PHARMACEUTICALS INC SEC ID: 464330109	-100 000 08318000660L	-1,655.00	2,219.00	564.00
10/9/2007	5/2/2013	JDS UNIPHASE CORP SEC ID: 46612J507	-150 000 12107000040C	-1,952.26	1,938.04	-14.22
5/2/2013	5/3/2013	JANUS CAPITAL GROUP INC SEC ID: 47102X105	-250.000 12118000020C	-1,932.33	2,170.08	237.75



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Original Acquisition's Trade Date of Sale/ Trade Date/ Reported Date		Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
3/5/2009		11/20/2012	KANSAS CITY SOUTHERN SEC ID: 485170302	-50 000 09065000050C	-676.86	3,787.42	3,110.56
11/20/2012		11/21/2012	LSI CORP SEC ID: 502161102	-200 000 09131000020C	-834.00	1,305.97	471.97
5/8/2009		11/20/2012	LSI CORP SEC ID: 502161102	-300 000 09131000020C	-1,251.00	1,914.11	663.11
11/20/2012		5/2/2013	LAM RESEARCH CORP SEC ID: 512807108	-50 000 08318000710L	-2,673.00	1,713.46	-959.54
5/8/2009		5/2/2013	LAM RESEARCH CORP SEC ID: 512807108	-150 000 08318000710L	-8,019.00	6,853.43	-1,165.57
5/2/2013		5/2/2013	LAM RESEARCH CORP SEC ID: 512807108	-200 000 08318000710L	-10,692.00	9,716.85	-975.15
10/9/2007		7/10/2013	LAS VEGAS SANDS CORP SEC ID: 517834107	-50 000 09099000010C	-202.59	2,162.45	1,959.86
5/2/2013		7/12/2013	LAS VEGAS SANDS CORP SEC ID: 517834107	-150 000 09099000010C	-607.79	8,594.89	7,987.10
10/9/2007		11/20/2012	LIBERTY MEDIA CORP SEC ID: 531229102	-50 000 13014000080F	-488.63	5,847.37	5,358.74
4/8/2009		5/2/2013	MADISON SQUARE GARDEN CO/THE SEC ID: 55826P100	-50 000 10041000060F	-1,157.55	3,031.43	1,873.88
5/2/2013		5/3/2013	MEADWESTVACO CORP SEC ID: 583334107	-100 000 08318000780L	-2,785.47	2,870.95	85.48
4/17/2009		11/20/2012	MEADWESTVACO CORP SEC ID: 583334107	-250 000 08318000780L	-6,963.68	9,108.92	2,145.24
5/2/2013		7/19/2013	MEADWESTVACO CORP SEC ID: 583334107	-50 000 08318000770L	-1,248.98	1,821.78	572.80
10/9/2007		7/22/2013	MOSAIC CO/THE SEC ID: 61945C103	-50 000 11186000020C	-3,357.62	2,624.44	-733.18
11/20/2012		11/21/2012	MOSAIC CO/THE SEC ID: 61945C103	-50 000 13016000040A	-3,519.50	3,018.96	-500.54
6/30/2011		5/2/2013	MOSAIC CO/THE SEC ID: 61945C103	-50 000 11186000020C	-3,357.62	3,018.96	-338.66
11/20/2012		5/3/2013	MOSAIC CO/THE SEC ID: 61945C103	-50 000 11145000030F	-2,872.50	3,018.96	146.46
7/23/2011		5/2/2013	MYRIAD GENETICS INC SEC ID: 62855J104	-100 000 08318000820L	-2,778.79	2,749.99	-28.80
5/2/2013		5/3/2013	NII HOLDINGS INC SEC ID: 62913F201	-250 000 12082000010C	-4,468.95	1,885.09	-2,583.86
6/30/2011		5/2/2013	NORTH AMERICAN ENERGY PARTNERS SEC ID: 656844107	-850 000 11153000680C	-7,596.20	2,618.20	-4,978.00
5/2/2013		11/20/2012					
10/10/2007		11/21/2012					
5/2/2013							
10/9/2007							
5/2/2013							
3/21/2012							
5/2/2013							
6/1/2011							
11/20/2012							

3/11/2014

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Workbench



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Original Acquisition's Trade Date of Sale /

Trade Date / Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
6/4/2009	11/20/2012	ONYX PHARMACEUTICALS INC	-50 000	-1,261.28	3,697.92	2,436.64
11/20/2012	11/21/2012	SEC ID: 683399109	09156000050C			
6/4/2009	10/8/2013	ONYX PHARMACEUTICALS INC	-200.000	-5,045.10	25,000.00	19,954.90
10/8/2013	10/8/2013	SEC ID: 683399109	09156000050C			
6/1/2009	10/8/2013	ONYX PHARMACEUTICALS INC	-400.000	-9,655.12	50,000.00	40,344.88
10/8/2013	10/8/2013	SEC ID: 683399109	09153000030C			
10/9/2007	11/20/2012	PALL CORP	-100 000	-4,184.29	5,990.92	1,806.63
11/20/2012	11/21/2012	SEC ID: 696429307	08318000890L			
10/9/2007	5/2/2013	PALL CORP	-100 000	-4,184.29	6,670.85	2,486.56
5/2/2013	5/3/2013	SEC ID: 696429307	08318000890L			
10/9/2007	5/2/2013	PRECISION CASTPARTS CORP	-100.000	-15,081.00	18,894.73	3,813.73
5/2/2013	5/3/2013	SEC ID: 740189105	08318000920L			
5/1/2008	11/20/2012	RTI INTERNATIONAL METALS INC	-50 000	-2,073.00	1,206.97	-866.03
11/20/2012	11/21/2012	SEC ID: 74973W107	08318000940L			
5/1/2008	5/2/2013	RTI INTERNATIONAL METALS INC	-50 000	-2,073.00	1,394.97	-678.03
5/2/2013	5/3/2013	SEC ID: 74973W107	08318000940L			
10/9/2007	5/2/2013	RF MICRO DEVICES INC	-600.000	-4,283.64	3,257.93	-1,025.71
5/2/2013	5/3/2013	SEC ID: 749941100	08318000970L			
11/11/2008	11/20/2012	RAYMOND JAMES FINANCIAL INC	-50.000	-856.50	1,866.46	1,009.96
11/20/2012	11/21/2012	SEC ID: 754730109	08317000020C			
11/11/2008	5/2/2013	RAYMOND JAMES FINANCIAL INC	-50 000	-856.50	2,077.95	1,221.45
5/2/2013	5/3/2013	SEC ID: 754730109	08317000020C			
10/9/2007	11/20/2012	REGENERON PHARMACEUTICALS INC	-50.000	-874.49	8,556.81	7,682.32
11/20/2012	11/21/2012	SEC ID: 75886F107	08318000980L			
10/9/2007	11/27/2012	REGENERON PHARMACEUTICALS INC	-50.000	-874.49	8,743.41	7,868.92
11/27/2012	11/28/2012	SEC ID: 75886F107	08318000980L			
10/9/2007	5/2/2013	REGENERON PHARMACEUTICALS INC	-70 000	-1,224.29	17,063.40	15,839.11
5/2/2013	5/3/2013	SEC ID: 75886F107	08318000980L			
10/9/2007	5/2/2013	REGENERON PHARMACEUTICALS INC	-50 000	-874.49	12,410.72	11,536.23
5/2/2013	5/3/2013	SEC ID: 75886F107	08318000980L			
10/9/2007	11/20/2012	ROBERT HALF INTERNATIONAL INC	-50.000	-1,620.04	1,344.97	-275.07
11/20/2012	11/21/2012	SEC ID: 770323103	08318000990L			
10/9/2007	5/2/2013	ROBERT HALF INTERNATIONAL INC	-50.000	-1,620.05	1,564.96	-55.09
5/2/2013	5/3/2013	SEC ID: 770323103	08318000990L			
4/8/2008	11/20/2012	SAKS INC	-150 000	-1,914.00	1,514.99	-399.01
11/20/2012	11/21/2012	SEC ID: 79377W108	08318001020L			
4/8/2008	5/2/2013	SAKS INC	-100 000	-1,276.00	1,129.97	-146.03
5/2/2013	5/3/2013	SEC ID: 79377W108	08318001020L			
12/7/2007	5/2/2013	SEACHANGE INTERNATIONAL INC	-250.000	-1,863.90	2,692.49	828.59
5/2/2013	5/3/2013	SEC ID: 811699107	08318001060L			



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6/26/2008	5/2/2013	SEACHANGE INTERNATIONAL INC SEC ID: 811699107	-50 000 08318001050L	-369 12	538.50	169.38
5/2/2013	5/3/2013					
3/9/2009	11/20/2012	SEATTLE GENETICS INC SEC ID: 812578102	-100.000 09069000060C	-737.93	2,510.94	1,773.01
11/20/2012	11/21/2012					
3/9/2009	5/2/2013	SEATTLE GENETICS INC SEC ID: 812578102	-100.000 09069000060C	-737.93	3,598.02	2,860.09
5/2/2013	5/3/2013					
1/7/2011	11/20/2012	SHAW GROUP INC/THE SEC ID: 820280105	-50 000 11010000020C	-1,663 13	2,225 45	562.32
11/20/2012	11/21/2012					
3/19/2008	11/20/2012	SINCLAIR BROADCAST GROUP INC SEC ID: 829226109	-100.000 08318001070L	-966.04	1,132.00	165.96
11/20/2012	11/21/2012					
3/19/2008	5/2/2013	SINCLAIR BROADCAST GROUP INC SEC ID: 829226109	-200.000 08318001070L	-1,932.08	5,411.98	3,479.90
5/2/2013	5/3/2013					
4/17/2009	5/2/2013	STARZ SEC ID: 85571Q102	-50 000 13014000090F	-63 89	1,191 97	1,128.08
5/2/2013	5/3/2013					
10/9/2007	11/20/2012	STILLWATER MINING CO SEC ID: 86074Q102	-100 000 08318001110L	-1,035.97	1,105.99	70.02
11/20/2012	11/21/2012					
10/9/2007	11/20/2012	TW TELECOM INC SEC ID: 87311L104	-50.000 08318001180L	-1,169.48	1,254.47	84 99
11/20/2012	11/21/2012					
10/9/2007	5/2/2013	TW TELECOM INC SEC ID: 87311L104	-150.000 08318001180L	-3,508.43	4,042.49	534.06
5/2/2013	5/3/2013					
7/8/2011	11/20/2012	TRIMBLE NAVIGATION LTD SEC ID: 896239100	-50 000 11192000010C	-2,044 17	2,682 44	638.27
11/20/2012	11/21/2012					
7/8/2011	5/2/2013	TRIMBLE NAVIGATION LTD SEC ID: 896239100	-100.000 11192000010C	-2,044.16	2,629.99	585 83
5/2/2013	5/3/2013					
6/12/2009	5/2/2013	TRIMBLE NAVIGATION LTD SEC ID: 896239100	-100.000 09166000090C	-1,111.84	2,629.99	1,518.15
5/2/2013	5/3/2013					
10/10/2007	5/2/2013	TRIQUINT SEMICONDUCTOR INC SEC ID: 89674K103	-50 000 08318001220L	-242 50	291.49	48.99
5/2/2013	5/3/2013					
10/9/2007	5/2/2013	TRIQUINT SEMICONDUCTOR INC SEC ID: 89674K103	-400 000 08318001210L	-1,915 76	2,331 95	416 19
5/2/2013	5/3/2013					
10/9/2007	5/2/2013	UNIFI INC SEC ID: 904677200	-150.000 10308000040F	-1,273.41	2,977 51	1,704.10
5/2/2013	5/3/2013					
10/9/2007	5/2/2013	UNITED STATES CELLULAR CORP SEC ID: 911684108	-100.000 08318001240L	-9,684.50	3,835.06	-5,849.44
5/2/2013	5/3/2013					
3/4/2011	3/28/2013	UNITED STATES STEEL CORP SEC ID: 912909108	-200 000 11066000040C	-11,118.08	3,889.55	-7,228 53
3/28/2013	4/1/2013					
9/13/2010	3/28/2013	UNITED STATES STEEL CORP SEC ID: 912909108	-150 000 10257000020C	-7,262.69	2,917.17	-4,345.52
3/28/2013	4/1/2013					
10/9/2007	11/20/2012	VALSPAR CORP/THE SEC ID: 920355104	-100.000 08318001270L	-2,590.07	5,925.87	3,335.80
11/20/2012	11/21/2012					



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Trade Date / Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
10/9/2007	5/2/2013	VALSPAR CORP/THE	-100.000	-2,590.07	6,421.96	3,831.89
5/2/2013	5/3/2013	SEC ID: 920355104	08318001270L			
10/9/2007	5/2/2013	VISHAY INTERTECHNOLOGY INC	-250.000	-3,223.01	3,379.92	156.91
5/2/2013	5/3/2013	SEC ID: 928298108	08318001280L			
11/11/2008	11/20/2012	WADDELL & REED FINANCIAL INC	-50.000	-539.77	1,661.46	1,121.69
11/20/2012	11/21/2012	SEC ID: 930059100	08317000040C			
5/8/2008	5/2/2013	LAZARD LTD SHS -A-	-50.000	-1,772.37	1,627.96	-144.41
5/2/2013	5/3/2013	SEC ID: G54050102	08318000010L			
6/27/2010	11/20/2012	FOSTER WHEELER AG COM	-50.000	-1,306.40	1,077.48	-228.92
11/20/2012	11/21/2012	SEC ID: H27178104	12152000020A			
9/30/2010	11/20/2012	FOSTER WHEELER AG COM	-50.000	-1,261.01	1,077.48	-183.53
11/20/2012	11/21/2012	SEC ID: H27178104	12152000040A			
6/21/2010	5/2/2013	FOSTER WHEELER AG COM	-50.000	-1,352.41	1,029.50	-322.91
5/2/2013	5/3/2013	SEC ID: H27178104	13017000050A			
9/24/2010	5/2/2013	FOSTER WHEELER AG COM	-50.000	-1,307.02	1,029.50	-277.52
5/2/2013	5/3/2013	SEC ID: H27178104	13017000060A			
9/30/2010	5/2/2013	FOSTER WHEELER AG COM	-100.000	-2,522.01	2,059.01	-463.00
5/2/2013	5/3/2013	SEC ID: H27178104	12152000040A			
10/12/2011	5/2/2013	INTERXION HOLDING NV	-50.000	-589.44	1,245.47	656.03
5/2/2013	5/3/2013	SEC ID: N47279109	11286000010C			

TOTAL LONG:

-17,691.000	-349,012.88	472,439.56	123,426.68
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10/3/2012	5/2/2013	BELO CORP	-400.000	-3,263.40	4,320.22	1,056.82
5/2/2013	5/3/2013	SEC ID: 080555105	12278000010C			
10/3/2012	7/24/2013	BELO CORP	-450.000	-3,671.33	6,284.95	2,613.62
7/24/2013	7/25/2013	SEC ID: 080555105	12278000010C			
2/13/2013	3/11/2013	CHICAGO BRIDGE & IRON CO NV	-0.415	-21.78	23.02	1.24
3/11/2013	3/12/2013	SEC ID: 167250109	13044000040F			
2/13/2013	6/14/2013	CHICAGO BRIDGE & IRON CO NV	-64.000	-3,358.72	3,787.10	428.38
6/14/2013	6/17/2013	SEC ID: 167250109	13044000040F			
2/13/2012	11/20/2012	GANNETT CO INC	-150.000	-2,237.45	2,588.96	351.51
11/20/2012	11/21/2012	SEC ID: 364730101	12045000010C			
11/13/2012	11/20/2012	LEVEL 3 COMMUNICATIONS INC	-100.000	-1,924.09	1,831.97	-92.12
11/20/2012	11/21/2012	SEC ID: 52729N308	12319000040C			
11/13/2012	5/2/2013	LEVEL 3 COMMUNICATIONS INC	-50.000	-962.05	1,038.98	76.93
5/2/2013	5/3/2013	SEC ID: 52729N308	12319000040C			
1/22/2013	5/2/2013	MCDERMOTT INTERNATIONAL INC	-150.000	-1,881.80	1,552.55	-329.25
5/2/2013	5/3/2013	SEC ID: 580037109	13023000010C			

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Report ID: HDA824

Base Currency: USD

Status: REVISED

Original Acquisition's Trade Date of Sale/											
Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base					
7/12/2012	5/2/2013	MEDICINES CO/THE	-100 000	-2,392.56	3,367.02	974.46					
5/2/2013	5/3/2013	SEC ID: 584688105	12195000010C								
3/2/2012	11/20/2012	NORTH AMERICAN ENERGY PARTNERS	-100.000	-588.41	308.02	-280.39					
11/20/2012	11/21/2012	SEC ID: 656844107	12065000020C								
3/2/2012	2/19/2013	NORTH AMERICAN ENERGY PARTNERS	-800.000	-4,707.28	3,526.80	-1,180.48					
2/19/2013	2/20/2013	SEC ID: 656844107	12065000020C								
7/2/2012	11/20/2012	SOTHEBY'S	-50 000	-1,688.13	1,432.47	-255.66					
11/20/2012	11/21/2012	SEC ID: 835898107	12185000010C								
3/26/2013	5/2/2013	SOTHEBY'S	-100.000	-3,718.91	3,423.02	-295.89					
5/2/2013	5/3/2013	SEC ID: 835898107	13086000020C								
3/28/2013	5/2/2013	STILLWATER MINING CO	-250.000	-3,235.58	3,010.06	-225.52					
5/2/2013	5/3/2013	SEC ID: 86074Q102	13091000040C								
9/13/2012	11/20/2012	TELEPHONE & DATA SYS INC	-50 000	-1,265.00	1,113.97	-151.03					
11/20/2012	11/21/2012	SEC ID: 879433829	12258000040C								
9/1/2012	5/2/2013	TELEPHONE & DATA SYS INC	-50 000	-1,275.80	1,129.97	-145.83					
5/2/2013	5/3/2013	SEC ID: 879433829	13017000020A								
2/5/2013	5/2/2013	VIVUS INC	-100.000	-1,281.76	1,286.02	4.26					
5/2/2013	5/3/2013	SEC ID: 928551100	13038000010C								
5/4/2012	11/20/2012	ROWAN COS PLC	-50 000	-1,735.50	1,605.46	-130.04					
11/20/2012	11/21/2012	SEC ID: G7665A101	12125000030F								
5/4/2012	5/2/2013	ROWAN COS PLC	-50 000	-1,735.50	1,635.96	-99.54					
5/2/2013	5/3/2013	SEC ID: G7665A101	12125000030F								
1/17/2012	11/20/2012	ROYAL CARIBBEAN CRUISES LTD	-50.000	-1,380.60	1,690.96	310.36					
11/20/2012	11/21/2012	SEC ID: V7780T103	12018000010C								
4/8/2013	5/2/2013	ROYAL CARIBBEAN CRUISES LTD	-150.000	-4,910.04	5,470.46	560.42					
5/2/2013	5/3/2013	SEC ID: V7780T103	13099000010C								
TOTAL SHORT:				-3,264.415	50,427.94	3,192.25					
TOTAL LOT DISPOSITION:				-20,955.415	522,867.50	126,618.93					



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Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
LONG						
8/31/2011	4/18/2013	AECOM TECHNOLOGY CORP	-600.000	-13,679.52	16,994.44	3,314.92
4/18/2013	4/19/2013	SEC ID: 00766T100	11245000070C			
10/24/2011	4/18/2013	AECOM TECHNOLOGY CORP	-365.000	-7,604.01	10,338.29	2,734.28
4/18/2013	4/19/2013	SEC ID: 00766T100	11298000090C			
9/14/2010	11/21/2012	APPLIED MATERIALS INC	-415.000	-4,632.44	4,305.44	-327.00
11/21/2012	11/23/2012	SEC ID: 038222105	10258000060C			
9/14/2010	4/17/2013	APPLIED MATERIALS INC	-1,425.000	-15,906.56	18,587.56	2,681.00
4/17/2013	4/18/2013	SEC ID: 038222105	10258000060C			
8/19/2011	4/17/2013	APPLIED MATERIALS INC	-480.000	-5,304.77	6,261.07	956.30
4/17/2013	4/18/2013	SEC ID: 038222105	11234000040C			
2/24/2012	5/2/2013	ARCHER-DANIELS-MIDLAND CO	-130.000	-4,169.98	4,368.10	198.12
5/2/2013	5/3/2013	SEC ID: 039483102	12058000040C			
1/20/2012	5/2/2013	BAKER HUGHES INC	-115.000	-5,623.78	5,187.98	-435.80
5/2/2013	5/3/2013	SEC ID: 057224107	12023000070C			
1/20/2012	5/2/2013	CHESAPEAKE ENERGY CORP	-195.000	-4,042.72	3,722.46	-320.26
5/2/2013	5/3/2013	SEC ID: 165167107	12023000090C			
1/20/2012	9/3/2013	CHESAPEAKE ENERGY CORP	-100.000	-2,073.19	2,606.13	532.94
9/3/2013	9/4/2013	SEC ID: 165167107	12023000090C			
1/5/2012	9/3/2013	CHESAPEAKE ENERGY CORP	-195.000	-3,930.37	5,081.96	1,151.59
9/3/2013	9/4/2013	SEC ID: 165167107	13240000030A			
1/20/2012	2/8/2013	COMMUNITY HEALTH SYSTEMS INC	-380.000	-6,641.68	15,157.59	8,515.91
2/8/2013	2/11/2013	SEC ID: 203668108	12023000100C			
10/4/2011	2/8/2013	COMMUNITY HEALTH SYSTEMS INC	-115.000	-1,806.65	4,587.16	2,780.51
2/8/2013	2/11/2013	SEC ID: 203668108	11278000010C			
10/4/2011	5/2/2013	COMMUNITY HEALTH SYSTEMS INC	-85.000	-1,335.35	3,908.21	2,572.86
5/2/2013	5/3/2013	SEC ID: 203668108	11278000010C			
10/4/2011	6/17/2013	COMMUNITY HEALTH SYSTEMS INC	-100.000	-1,571.00	4,936.79	3,365.79
6/17/2013	6/18/2013	SEC ID: 203668108	11278000010C			
11/25/2008	6/17/2013	COMMUNITY HEALTH SYSTEMS INC	-450.000	-5,666.35	22,215.57	16,549.22
6/17/2013	6/18/2013	SEC ID: 203668108	08331000060C			
7/19/2011	11/21/2012	CONOCOPHILLIPS	-100.000	-5,806.10	5,574.21	-231.89
11/21/2012	11/23/2012	SEC ID: 20825C104	11201000030C			
9/20/2012	10/2/2013	CONOCOPHILLIPS	-75.000	-4,307.26	5,271.52	964.26
10/2/2013	10/3/2013	SEC ID: 20825C104	12265000090C			
10/24/2011	10/2/2013	CONOCOPHILLIPS	-110.000	-6,113.73	7,731.56	1,617.83
10/2/2013	10/3/2013	SEC ID: 20825C104	11298000120C			
5/3/2012	10/2/2013	CONOCOPHILLIPS	-40.000	-2,158.80	2,811.47	652.67
10/2/2013	10/3/2013	SEC ID: 20825C104	12125000150C			

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Base Currency: USD

Status: REVISED

Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
10/14/2009	10/2/2013	CONOCOPHILLIPS	-485 000	-19,034.72	34,089.13	15,054.41
10/2/2013	10/3/2013	SEC ID: 20825C104	09288000050C			
8/9/2011	11/21/2012	GENERAL ELECTRIC CO	-65.000	-1,003.78	1,339.57	335.79
11/21/2012	11/23/2012	SEC ID: 369604103	11222000090C			
8/9/2011	12/7/2012	GENERAL ELECTRIC CO	-935.000	-14,439.02	19,888.31	5,449.29
12/7/2012	12/10/2012	SEC ID: 369604103	11222000090C			
2/13/2012	5/2/2013	GENWORTH FINANCIAL INC	-530 000	-4,776.41	5,451.29	674.88
5/2/2013	5/3/2013	SEC ID: 37247D106	12045000100C			
2/13/2012	6/17/2013	GENWORTH FINANCIAL INC	-640.000	-5,767.74	7,168.45	1,400.71
6/17/2013	6/18/2013	SEC ID: 37247D106	12045000100C			
2/13/2012	7/31/2013	GENWORTH FINANCIAL INC	-285.000	-2,568.45	3,704.42	1,135.97
7/31/2013	8/1/2013	SEC ID: 37247D106	12045000100C			
8/24/2010	11/21/2012	GOLDMAN SACHS GROUP INC/THE	-38 000	-5,507.03	4,468.31	-1,038.72
11/21/2012	11/23/2012	SEC ID: 38141G104	10237000050C			
6/1/2010	11/21/2012	GOLDMAN SACHS GROUP INC/THE	-12.000	-1,723.29	1,411.05	-312.24
11/21/2012	11/23/2012	SEC ID: 38141G104	10153000050C			
6/1/2010	5/2/2013	GOLDMAN SACHS GROUP INC/THE	-28.000	-4,021.01	4,015.67	-5.34
5/2/2013	5/3/2013	SEC ID: 38141G104	10153000050C			
7/27/2011	5/2/2013	GOLDMAN SACHS GROUP INC/THE	-2.000	-271.87	286.83	14.96
5/2/2013	5/3/2013	SEC ID: 38141G104	11209000080C			
10/22/2009	11/21/2012	HARTFORD FINANCIAL SERVICES GR	-360 000	-9,496.51	7,509.43	-1,987.08
11/21/2012	11/23/2012	SEC ID: 416515104	09296000040C			
10/22/2009	5/2/2013	HARTFORD FINANCIAL SERVICES GR	-20.000	-527.58	567.39	39.81
5/2/2013	5/3/2013	SEC ID: 416515104	09296000040C			
11/18/2010	5/2/2013	HARTFORD FINANCIAL SERVICES GR	-170.000	-4,080.83	4,822.78	741.95
5/2/2013	5/3/2013	SEC ID: 416515104	10323000030C			
1/20/2012	5/2/2013	HOSPIRA INC	-40.000	-1,365.40	1,277.17	-88.23
5/2/2013	5/3/2013	SEC ID: 441060100	12023000120C			
1/20/2012	9/3/2013	HOSPIRA INC	-425 000	-14,507.42	16,265.36	1,757.94
9/3/2013	9/4/2013	SEC ID: 441060100	12023000120C			
10/24/2011	11/21/2012	INTERNATIONAL PAPER CO	-55.000	-1,471.75	1,948.05	476.30
11/21/2012	11/23/2012	SEC ID: 460146103	11298000130C			
10/24/2011	12/7/2012	INTERNATIONAL PAPER CO	-335.000	-8,964.26	12,057.71	3,093.45
12/7/2012	12/10/2012	SEC ID: 460146103	11298000130C			
6/6/2012	7/31/2013	JOHNSON CONTROLS INC	-125 000	-3,694.46	5,060.04	1,365.58
7/31/2013	8/1/2013	SEC ID: 478366107	12159000140C			
7/20/2012	7/31/2013	JOHNSON CONTROLS INC	-1,430 000	-36,323.29	57,886.82	21,563.53
7/31/2013	8/1/2013	SEC ID: 478366107	12205000050C			
8/31/2011	11/21/2012	METLIFE INC	-70.000	-2,352.46	2,263.82	-88.64
11/21/2012	11/23/2012	SEC ID: 59156R108	11245000090C			

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Base Currency: USD

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Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
8/31/2011	5/2/2013	METLIFE INC	-55 000	-1,848.37	2,195.09	346.72
5/2/2013	5/3/2013	SEC ID: 59156R108	11245000090C			
2/9/2009	5/2/2013	METLIFE INC	-80.000	-2,514.72	3,192.86	678.14
5/2/2013	5/3/2013	SEC ID: 59156R108	09041000020C			
4/13/2011	11/21/2012	MICROSOFTE CORP	-115 000	-2,963.30	3,083.42	120.12
11/21/2012	11/23/2012	SEC ID: 594918104	11104000160C			
4/13/2011	10/2/2013	MICROSOFTE CORP	-40 000	-1,030.71	1,353.02	322.31
10/2/2013	10/3/2013	SEC ID: 594918104	11104000160C			
9/20/2010	10/2/2013	MICROSOFTE CORP	-500.000	-12,679.20	16,912.80	4,233.60
10/2/2013	10/3/2013	SEC ID: 594918104	10264000040C			
9/8/2009	10/2/2013	MICROSOFTE CORP	-680.000	-16,816.40	23,001.41	6,185.01
10/2/2013	10/3/2013	SEC ID: 594918104	09252000050C			
8/24/2010	10/2/2013	MICROSOFTE CORP	-265 000	-6,420.55	8,963.79	2,543.24
10/2/2013	10/3/2013	SEC ID: 594918104	10237000060C			
12/21/2010	11/21/2012	PNC FINANCIAL SERVICES GROUP I	-50 000	-3,006.00	2,727.19	-278.81
11/21/2012	11/23/2012	SEC ID: 693475105	103560000480C			
12/21/2010	5/2/2013	PNC FINANCIAL SERVICES GROUP I	-70.000	-4,208.39	4,700.39	492.00
5/2/2013	5/3/2013	SEC ID: 693475105	103560000480C			
5/14/2008	11/21/2012	PFIZER INC	-245.000	-4,919.67	5,927.41	1,007.74
11/21/2012	11/23/2012	SEC ID: 717081103	083180000630L			
5/14/2008	4/17/2013	PFIZER INC	-500 000	-10,040.15	15,395.05	5,354.90
4/17/2013	4/18/2013	SEC ID: 717081103	083180000630L			
1/7/2011	4/17/2013	PFIZER INC	-405 000	-7,368.00	12,469.99	5,101.99
4/17/2013	4/18/2013	SEC ID: 717081103	11010000090C			
1/7/2011	5/2/2013	PFIZER INC	-55.000	-1,000.59	1,607.34	606.75
5/2/2013	5/3/2013	SEC ID: 717081103	11010000090C			
1/7/2011	6/17/2013	PFIZER INC	-235 000	-4,275.27	6,829.96	2,554.69
6/17/2013	6/18/2013	SEC ID: 717081103	11010000090C			
6/16/2008	6/17/2013	PFIZER INC	-50 000	-891.00	1,453.18	562.18
6/17/2013	6/18/2013	SEC ID: 717081103	083180000640L			
4/9/2010	6/17/2013	PFIZER INC	-330.000	-5,715.60	9,591.02	3,875.42
6/17/2013	6/18/2013	SEC ID: 717081103	10102000030C			
8/9/2011	11/21/2012	PRUDENTIAL FINANCIAL INC	-765.000	-38,658.28	39,127.64	469.36
11/21/2012	11/23/2012	SEC ID: 744320102	11222000120C			
8/31/2011	11/21/2012	PRUDENTIAL FINANCIAL INC	-85 000	-4,267.94	4,347.52	79.58
11/21/2012	11/23/2012	SEC ID: 744320102	11245000080C			
3/7/2012	4/17/2013	SEALED AIR CORP	-225 000	-4,416.48	4,798.49	382.01
4/17/2013	4/18/2013	SEC ID: 81211K100	12068000050C			
7/2/2012	9/3/2013	SEALED AIR CORP	-1,000.000	-15,544.90	28,371.90	12,827.00
9/3/2013	9/4/2013	SEC ID: 81211K100	12185000080C			



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Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
7/27/2011	11/21/2012	SPIRIT AEROSYSTEMS HOLDINGS IN	-265 000	-5,506.43	3,951.22	-1,555.21
11/21/2012	11/23/2012	SEC ID: 848574109	11209000090C			
7/27/2011	2/8/2013	SPIRIT AEROSYSTEMS HOLDINGS IN	-275 000	-5,714.23	4,415.69	-1,298.54
2/8/2013	2/11/2013	SEC ID: 848574109	11209000090C			
8/31/2011	2/8/2013	SPIRIT AEROSYSTEMS HOLDINGS IN	-400 000	-6,765.28	6,422.81	-342.47
2/8/2013	2/11/2013	SEC ID: 848574109	11245000100C			
8/9/2011	2/8/2013	SPIRIT AEROSYSTEMS HOLDINGS IN	-990 000	-15,898.21	15,896.47	-1.74
2/8/2013	2/11/2013	SEC ID: 848574109	11222000130C			
4/8/2011	11/21/2012	TEVA PHARMACEUTICAL INDUSTRIES	-145 000	-7,316.43	5,722.57	-1,593.86
11/21/2012	11/23/2012	ADR	11101000010C			
4/8/2011	5/2/2013	SEC ID: 881624209	-10 000	-504.58	377.29	-127.29
5/2/2013	5/3/2013	TEVA PHARMACEUTICAL INDUSTRIES	11101000010C			
4/11/2011	5/2/2013	SEC ID: 881624209	-110 000	-5,537.80	4,150.20	-1,387.60
5/2/2013	5/3/2013	ADR	11102000010C			
10/24/2011	11/21/2012	SEC ID: 881624209	-110 000	-3,469.40	3,202.02	-267.38
11/21/2012	11/23/2012	TEXAS INSTRUMENTS INC	11298000140C			
10/24/2011	5/2/2013	TEXAS INSTRUMENTS INC	-120 000	-3,784.80	4,405.10	620.30
5/2/2013	5/3/2013	SEC ID: 882508104	11298000140C			
10/24/2011	7/31/2013	TEXAS INSTRUMENTS INC	-90 000	-2,838.60	3,509.34	670.74
7/31/2013	8/1/2013	SEC ID: 882508104	11298000140C			
9/20/2010	7/31/2013	TEXAS INSTRUMENTS INC	-185 000	-4,743.31	7,213.65	2,470.34
7/31/2013	8/1/2013	SEC ID: 882508104	10264000050C			
10/13/2008	7/31/2013	TEXAS INSTRUMENTS INC	-905 000	-17,840.17	35,288.41	17,448.24
7/31/2013	8/1/2013	SEC ID: 882508104	08322000170A			
10/15/2010	11/21/2012	WELLS FARGO & CO	-160 000	-3,848.90	5,215.15	1,366.25
11/21/2012	11/23/2012	SEC ID: 949746101	10291000030C			
10/15/2010	5/2/2013	WELLS FARGO & CO	-100 000	-2,405.56	3,735.45	1,329.89
5/2/2013	5/3/2013	SEC ID: 949746101	10291000030C			
2/10/2009	5/2/2013	WELLS FARGO & CO	-90 000	-1,523.13	3,361.90	1,838.77
5/2/2013	5/3/2013	SEC ID: 949746101	09042000040C			
2/10/2009	7/31/2013	WELLS FARGO & CO	-430 000	-7,277.19	18,804.43	11,527.24
7/31/2013	8/1/2013	SEC ID: 949746101	09042000040C			
9/20/2012	10/2/2013	MARVELL TECHNOLOGY GROUP LTD	-1,640 000	-16,465.11	18,430.65	1,965.54
10/2/2013	10/3/2013	SHS	12265000070C			
2/13/2012	5/2/2013	SEC ID: G5876H105	-70 000	-2,735.26	2,609.19	-126.07
5/2/2013	5/3/2013	NOBLE CORPORATION BAAR	12045000070C			
		NAMEN-AKT CHF3 28				
		SEC ID: H5833N103				



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Trade Date / Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
2/13/2012	10/2/2013	NOBLE CORPORATION BAAR	-80 000	-3,126.02	3,051.83	-74.19
10/2/2013	10/3/2013	NAMEN-AKT CHF3.28 SEC ID: H5833N103	12045000070C			
10/24/2011	10/2/2013	NOBLE CORPORATION BAAR	-230 000	-8,165.62	8,774.02	608.40
10/2/2013	10/3/2013	NAMEN-AKT CHF3.28 SEC ID: H5833N103	11298000080C			
1/20/2012	10/2/2013	NOBLE CORPORATION BAAR	-155 000	-5,400.34	5,912.93	512.59
10/2/2013	10/3/2013	NAMEN-AKT CHF3.28 SEC ID: H5833N103	12023000060C			
9/14/2011	10/2/2013	NOBLE CORPORATION BAAR	-200 000	-6,910.48	7,629.59	719.11
10/2/2013	10/3/2013	NAMEN-AKT CHF3.28 SEC ID: H5833N103	11258000040C			
TOTAL LONG:				-530,633.91	709,258.49	178,624.58
SHORT						
9/20/2012	11/21/2012	ABERCROMBIE & FITCH CO	-180 000	-6,849.70	7,891.94	1,042.24
11/21/2012	11/23/2012	SEC ID: 002896207	12265000080C			
7/3/2012	11/21/2012	ABERCROMBIE & FITCH CO	-505 000	-17,556.98	22,141.27	4,584.29
11/21/2012	11/23/2012	SEC ID: 002896207	12187000010C			
7/3/2012	1/22/2013	ABERCROMBIE & FITCH CO	-150 000	-5,214.95	7,438.14	2,223.19
1/22/2013	1/23/2013	SEC ID: 002896207	12187000010C			
7/2/2012	1/22/2013	ABERCROMBIE & FITCH CO	-650 000	-22,043.91	32,231.93	10,188.02
1/22/2013	1/23/2013	SEC ID: 002896207	12185000060C			
11/26/2012	4/18/2013	AECOM TECHNOLOGY CORP	-107 000	-2,351.11	3,030.67	679.56
4/18/2013	4/19/2013	SEC ID: 00766T100	12332000440C			
11/23/2012	4/18/2013	AECOM TECHNOLOGY CORP	-190 000	-4,141.79	5,381.57	1,239.78
4/18/2013	4/19/2013	SEC ID: 00766T100	12331000010C			
11/21/2012	4/18/2013	AECOM TECHNOLOGY CORP	-268 000	-5,771.27	7,590.85	1,819.58
4/18/2013	4/19/2013	SEC ID: 00766T100	12328000380C			
5/3/2012	4/18/2013	AECOM TECHNOLOGY CORP	-50 000	-970.50	1,416.20	445.70
4/18/2013	4/19/2013	SEC ID: 00766T100	12125000050C			
10/17/2012	11/21/2012	AGILENT TECHNOLOGIES INC	-100 000	-3,798.59	3,589.91	-208.68
11/21/2012	11/23/2012	SEC ID: 00846U101	12292000080C			
10/17/2012	5/2/2013	AGILENT TECHNOLOGIES INC	-55 000	-2,089.22	2,279.69	190.47
5/2/2013	5/3/2013	SEC ID: 00846U101	12292000080C			
7/31/2013	10/2/2013	AGILENT TECHNOLOGIES INC	-165 000	-7,438.17	8,492.65	1,054.48
10/2/2013	10/3/2013	SEC ID: 00846U101	13213000080C			
6/6/2012	4/17/2013	APPLIED MATERIALS INC	-660 000	-6,996.00	8,608.98	1,612.98
4/17/2013	4/18/2013	SEC ID: 038222105	12159000110C			
5/3/2012	11/21/2012	ARCHER-DANIELS-MIDLAND CO	-75 000	-2,459.25	1,959.20	-500.05
11/21/2012	11/23/2012	SEC ID: 039483102	12125000080C			

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2/24/2012	11/21/2012	ARCHER-DANIELS-MIDLAND CO	-150 000	-4,811.52	3,918.40	-893.12
11/21/2012	11/23/2012	SEC ID: 039483102	12058000040C			
5/3/2012	11/21/2012	AVERY DENNISON CORP	-80.000	-2,620.80	2,658.45	37.65
11/21/2012	11/23/2012	SEC ID: 053611109	12125000100C			
2/13/2012	11/21/2012	AVERY DENNISON CORP	-60 000	-1,770.53	1,993.84	223.31
11/21/2012	11/23/2012	SEC ID: 053611109	12045000080C			
2/13/2012	1/22/2013	AVERY DENNISON CORP	-875 000	-25,820.29	31,216.15	5,395.86
1/22/2013	1/23/2013	SEC ID: 053611109	12045000080C			
10/18/2012	11/21/2012	AVNET INC	-135.000	-3,795.24	3,881.51	86.27
11/21/2012	11/23/2012	SEC ID: 053807103	12293000020C			
1/22/2013	5/2/2013	AVNET INC	-150.000	-4,855.17	4,826.40	-28.77
5/2/2013	5/3/2013	SEC ID: 053807103	13023000050C			
1/22/2013	9/3/2013	AVNET INC	-475 000	-15,374.71	18,251.32	2,876.61
9/3/2013	9/4/2013	SEC ID: 053807103	13023000050C			
6/22/2012	11/21/2012	BP PLC ADR	-115 000	-4,415.72	4,765.49	349.77
11/21/2012	11/23/2012	SEC ID: 055622104	12179000020C			
4/17/2013	5/2/2013	BP PLC ADR	-105.000	-4,240.32	4,561.59	321.27
5/2/2013	5/3/2013	SEC ID: 055622104	13108000070C			
10/17/2012	11/21/2012	BIG LOTS INC	-80.000	-2,458.79	2,209.55	-249.24
11/21/2012	11/23/2012	SEC ID: 089302103	12292000090C			
2/8/2013	5/2/2013	BIG LOTS INC	-85 000	-2,790.31	3,082.46	292.15
5/2/2013	5/3/2013	SEC ID: 089302103	13042000060C			
1/20/2012	11/21/2012	CHESAPEAKE ENERGY CORP	-200.000	-4,146.38	3,526.92	-619.46
11/21/2012	11/23/2012	SEC ID: 165167107	12023000090C			
4/17/2013	9/3/2013	CHESAPEAKE ENERGY CORP	-445.000	-8,238.47	11,597.30	3,358.83
9/3/2013	9/4/2013	SEC ID: 165167107	13108000080C			
9/20/2012	11/21/2012	CONOCOPHILLIPS	-20.000	-1,148.60	1,114.84	-33.76
11/21/2012	11/23/2012	SEC ID: 20825C104	12265000090C			
9/20/2012	5/2/2013	CONOCOPHILLIPS	-70 000	-4,020.10	4,265.35	245.25
5/2/2013	5/3/2013	SEC ID: 20825C104	12265000090C			
8/15/2012	11/21/2012	DEVON ENERGY CORP	-65.000	-3,793.59	3,448.82	-344.77
11/21/2012	11/23/2012	SEC ID: 25179M103	12229000020C			
2/8/2013	5/2/2013	DEVON ENERGY CORP	-85.000	-5,091.33	4,810.89	-280.44
5/2/2013	5/3/2013	SEC ID: 25179M103	13042000070C			
9/21/2012	11/21/2012	EXXON MOBIL CORP	-10 000	-921.80	877.68	-44.12
11/21/2012	11/23/2012	SEC ID: 30231G102	12268000020C			
9/21/2012	5/2/2013	EXXON MOBIL CORP	-10 000	-921.80	885.58	-36.22
5/2/2013	5/3/2013	SEC ID: 30231G102	12268000020C			
9/21/2012	6/18/2013	EXXON MOBIL CORP	-80.000	-7,374.43	7,323.21	-51.22
6/18/2013	6/19/2013	SEC ID: 30231G102	12268000020C			

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5/3/2012	11/21/2012	GENERAL ELECTRIC CO	-220 000	-4,323.00	4,533.94	210.94
11/21/2012	11/23/2012	SEC ID: 369604103	12125000200C			
1/22/2013	5/2/2013	GENERAL ELECTRIC CO	-200.000	-4,397.44	4,452.40	54.96
5/2/2013	5/3/2013	SEC ID 369604103	13023000060C			
1/22/2013	6/18/2013	GENERAL ELECTRIC CO	-475.000	-10,443.92	11,292.50	848.58
6/18/2013	6/19/2013	SEC ID. 369604103	13023000060C			
2/13/2012	2/8/2013	GENWORTH FINANCIAL INC	-2,015 000	-18,159.38	17,717.29	-442.09
2/8/2013	2/11/2013	SEC ID: 37247D106	12045000100C			
5/3/2012	5/2/2013	HOSPIRA INC	-30.000	-1,053.90	957.87	-96.03
5/2/2013	5/3/2013	SEC ID 441060100	12125000210C			
11/21/2012	9/3/2013	HOSPIRA INC	-350.000	-10,245.94	13,395.00	3,149.06
9/3/2013	9/4/2013	SEC ID: 441060100	12328000420C			
9/20/2012	11/21/2012	JPMORGAN CHASE & CO	-150 000	-6,136.09	6,073.36	-62.73
11/21/2012	11/23/2012	SEC ID: 46625H100	12265000110C			
4/17/2013	5/2/2013	JPMORGAN CHASE & CO	-155 000	-7,261.91	7,448.12	186.21
5/2/2013	5/3/2013	SEC ID: 46625H100	13108000100C			
6/6/2012	11/21/2012	JOHNSON CONTROLS INC	-270.000	-7,980.04	7,186.83	-793.21
11/21/2012	11/23/2012	SEC ID 478366107	12159000140C			
6/6/2012	5/2/2013	JOHNSON CONTROLS INC	-150.000	-4,433.36	5,145.89	712.53
5/2/2013	5/3/2013	SEC ID: 478366107	12159000140C			
4/18/2013	5/2/2013	KBR INC	-125 000	-3,512.26	3,693.66	181.40
5/2/2013	5/3/2013	SEC ID: 48242W106	13109000020C			
4/17/2013	5/2/2013	KENNAMETAL INC	-45.000	-1,630.54	1,783.54	153.00
5/2/2013	5/3/2013	SEC ID: 489170100	13108000090C			
6/18/2013	10/2/2013	KENNAMETAL INC	-95.000	-3,889.14	4,382.98	493.84
10/2/2013	10/3/2013	SEC ID: 489170100	13170000030C			
4/17/2013	5/2/2013	KOHL'S CORP	-110.000	-5,152.24	5,160.13	7.89
5/2/2013	5/3/2013	SEC ID: 500255104	13108000110C			
9/21/2012	11/21/2012	MACY'S INC	-65 000	-2,535.60	2,668.84	133.24
11/21/2012	11/23/2012	SEC ID: 55616P104	12268000030C			
9/21/2012	2/8/2013	MACY'S INC	-415.000	-16,188.86	16,696.94	508.08
2/8/2013	2/11/2013	SEC ID 55616P104	12268000030C			
5/3/2012	11/21/2012	METLIFE INC	-35.000	-1,243.20	1,131.91	-111.29
11/21/2012	11/23/2012	SEC ID: 59156R108	12125000240C			
5/3/2012	11/21/2012	MICROSOFT CORP	-115 000	-3,653.55	3,083.43	-570.12
11/21/2012	11/23/2012	SEC ID 594918104	12125000250C			
4/17/2013	5/2/2013	MICROSOFT CORP	-95 000	-2,744.05	3,139.65	395.60
5/2/2013	5/3/2013	SEC ID: 594918104	13108000120C			
2/8/2013	5/2/2013	MICROSOFT CORP	-70.000	-1,931.73	2,313.42	381.69
5/2/2013	5/3/2013	SEC ID 594918104	13042000090C			

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2/8/2013		6/17/2013	MICROSOFT CORP SEC ID: 594918104	-185 000 13042000090C	-5,105.30	6,464.89	1,359.59
6/17/2013		6/18/2013					
2/8/2013		10/2/2013	MICROSOFT CORP SEC ID: 594918104	-85.000 13042000090C	-2,345.68	2,875.18	529.50
10/2/2013		10/3/2013					
5/3/2012		11/21/2012	PNC FINANCIAL SERVICES GROUP I SEC ID: 693475105	-70 000 12125000270C	-4,657.10	3,818.06	-839.04
11/21/2012		11/23/2012					
7/20/2012		11/21/2012	PHILLIPS 66 SEC ID: 718546104	-165 000 12205000070C	-5,830.29	8,146.16	2,315.87
11/21/2012		11/23/2012					
7/20/2012		1/29/2013	PHILLIPS 66 SEC ID: 718546104	-320 000 12205000070C	-11,307.23	19,166.23	7,859.00
1/29/2013		1/30/2013					
7/20/2012		5/2/2013	PHILLIPS 66 SEC ID: 718546104	-65.000 12205000070C	-2,296.78	3,910.31	1,613.53
5/2/2013		5/3/2013					
7/20/2012		11/21/2012	PRUDENTIAL FINANCIAL INC SEC ID: 744320102	-185 000 12205000080C	-8,690.97	9,462.24	771.27
11/21/2012		11/23/2012					
6/6/2012		11/21/2012	SANDISK CORP SEC ID: 80004C101	-120 000 12159000150C	-4,202.09	4,720.29	518.20
11/21/2012		11/23/2012					
6/6/2012		1/22/2013	SANDISK CORP SEC ID: 80004C101	-190.000 12159000150C	-6,653.31	9,122.05	2,468.74
1/22/2013		1/23/2013					
6/6/2012		4/17/2013	SANDISK CORP SEC ID: 80004C101	-610.000 12159000150C	-21,360.61	33,597.25	12,236.64
4/17/2013		4/18/2013					
3/7/2012		11/21/2012	SEALED AIR CORP SEC ID: 81211K100	-655 000 12068000050C	-12,856.86	10,954.04	-1,902.82
11/21/2012		11/23/2012					
5/3/2012		4/17/2013	SEALED AIR CORP SEC ID: 81211K100	-65.000 12125000310C	-1,214.20	1,386.23	172.03
4/17/2013		4/18/2013					
9/20/2012		4/17/2013	SEALED AIR CORP SEC ID: 81211K100	-1,140.000 12265000120C	-18,555.22	24,312.34	5,757.12
4/17/2013		4/18/2013					
7/2/2012		4/17/2013	SEALED AIR CORP SEC ID: 81211K100	-235 000 12185000080C	-3,653.05	5,011.76	1,358.71
4/17/2013		4/18/2013					
7/2/2012		5/2/2013	SEALED AIR CORP SEC ID: 81211K100	-95 000 12185000080C	-1,476.77	2,062.88	586.11
5/2/2013		5/3/2013					
1/29/2013		5/2/2013	SOUTHWEST AIRLINES CO SEC ID: 844741108	-410 000 13030000060C	-4,670.60	5,622.57	951.97
5/2/2013		5/3/2013					
1/29/2013		6/17/2013	SOUTHWEST AIRLINES CO SEC ID: 844741108	-1,045.000 13030000060C	-11,904.32	14,374.98	2,470.66
6/17/2013		6/18/2013					
12/10/2012		6/17/2013	SOUTHWEST AIRLINES CO SEC ID: 844741108	-870 000 12346000030C	-8,621.87	11,967.68	3,345.81
6/17/2013		6/18/2013					
6/6/2012		11/21/2012	TRW AUTOMOTIVE HOLDINGS CORP SEC ID: 87264S106	-140 000 12159000170C	-5,448.62	6,875.24	1,426.62
11/21/2012		11/23/2012					
6/6/2012		5/2/2013	TRW AUTOMOTIVE HOLDINGS CORP SEC ID: 87264S106	-80.000 12159000170C	-3,113.49	4,654.29	1,540.80
5/2/2013		5/3/2013					

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6/6/2012	11/21/2012	TEREX CORP SEC ID: 880779103	-270 000 12159000160C	-4,779.43	6,377.87	1,598.44
11/21/2012	11/23/2012	TEREX CORP SEC ID: 880779103	-525.000 12159000160C	-9,293.34	17,050.88	7,757.54
6/6/2012	1/29/2013	TEREX CORP SEC ID: 880779103	-90 000 12159000160C	-1,593.15	2,515.24	922.09
1/29/2013	5/2/2013	TEREX CORP SEC ID: 880779103	-245 000 13213000130C	-7,205.67	8,441.99	1,236.32
6/6/2012	10/2/2013	TEREX CORP SEC ID: 880779103	-35.000 13170000040C	-1,020.16	1,206.00	185.84
5/2/2013	10/3/2013	TEREX CORP SEC ID: 880779103	-90 000 12125000340C	-2,876.40	2,619.84	-256.56
7/31/2013	10/2/2013	TEREX CORP SEC ID: 880779103	-250 000 12185000090C	-5,666.20	5,557.37	-108.83
10/2/2013	10/3/2013	TEREX CORP SEC ID: 880779103	-145 000 12185000090C	-3,286.40	3,120.77	-165.63
5/3/2012	11/21/2012	TEXAS INSTRUMENTS INC SEC ID: 882508104	-140.000 12268000040C	-8,283.82	7,766.82	-517.00
11/21/2012	11/23/2012	ULTRA PETROLEUM CORP SEC ID: 903914109	-75.000 12268000040C	-4,437.76	5,501.12	1,063.36
7/2/2012	5/2/2013	ULTRA PETROLEUM CORP SEC ID: 903914109	-230 000 12268000040C	-13,609.12	18,103.16	4,494.04
5/2/2013	5/3/2013	WELLPOINT INC SEC ID: 94973V107	-165.000 12125000360C	-5,532.45	5,378.12	-154.33
9/21/2012	5/2/2013	WELLPOINT INC SEC ID: 94973V107	-70.000 12338000060F	-3,603.60	4,155.10	551.50
5/2/2013	5/3/2013	WELLPOINT INC SEC ID: 94973V107	-425.000 12338000060F	-21,879.00	27,575.59	5,696.59
9/21/2012	6/17/2013	WELLS FARGO & CO SEC ID: 949746101	-555 000 11245000050C	-18,421.39	22,370.32	3,948.93
6/17/2013	6/18/2013	WELLS FARGO & CO SEC ID: 949746101	555 000	18,631.24	-22,370.32	-3,739.08
5/3/2012	11/21/2012	EATON CORP PLC SEC ID: G29183103	-875.000 12226000070C	-10,492.48	6,835.87	-3,656.61
11/21/2012	11/23/2012	EATON CORP PLC SEC ID: G29183103	-1,785.000 12226000070C	-21,404.65	17,584.53	-3,820.12
12/3/2012	5/2/2013	INGERSOLL-RAND PUBLIC LIMITED COMPANY SEC ID: G47791101				
5/2/2013	5/3/2013	INGERSOLL-RAND PUBLIC LIMITED COMPANY SEC ID: G47791101				
12/3/2012	6/17/2013	MARVELL TECHNOLOGY GROUP LTD SHS SEC ID: G5876H105				
6/17/2013	6/18/2013	MARVELL TECHNOLOGY GROUP LTD SHS SEC ID: G5876H105				
8/31/2011	7/20/2012	MARVELL TECHNOLOGY GROUP LTD SHS SEC ID: G5876H105				
11/1/2012	11/14/2012	MARVELL TECHNOLOGY GROUP LTD SHS SEC ID: G5876H105				
11/1/2012	7/20/2012	MARVELL TECHNOLOGY GROUP LTD SHS SEC ID: G5876H105				
8/10/2012	11/21/2012	MARVELL TECHNOLOGY GROUP LTD SHS SEC ID: G5876H105				
11/21/2012	11/23/2012	MARVELL TECHNOLOGY GROUP LTD SHS SEC ID: G5876H105				
8/10/2012	4/17/2013	MARVELL TECHNOLOGY GROUP LTD SHS SEC ID: G5876H105				
4/17/2013	4/18/2013	MARVELL TECHNOLOGY GROUP LTD SHS SEC ID: G5876H105				



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8/10/2012	5/2/2013	MARVELL TECHNOLOGY GROUP LTD	-280 000	-3,357.59	3,006.54	-351.05
5/2/2013	5/3/2013	SHS	12226000070C			
9/20/2012	5/2/2013	SEC ID: G5876H105	-35,000	-351.39	375.82	24.43
5/2/2013	5/3/2013	MARVELL TECHNOLOGY GROUP LTD	12265000070C			
		SHS				
10/17/2012	10/2/2013	SEC ID: G5876H105	-827,000	-7,479.22	9,293.99	1,814.77
10/2/2013	10/3/2013	MARVELL TECHNOLOGY GROUP LTD	12292000070C			
		SHS				
10/17/2012	10/3/2013	SEC ID: G5876H105	-818,000	-7,397.83	9,162.34	1,764.51
10/3/2013	10/4/2013	MARVELL TECHNOLOGY GROUP LTD	12292000070C			
		SHS				
5/3/2012	11/21/2012	SEC ID: G5876H105	-60,000	-2,361.00	2,038.15	-322.85
11/21/2012	11/23/2012	NOBLE CORPORATION BAAR	12125000020C			
		NAMEN-AKT CHF3 28				
2/13/2012	11/21/2012	SEC ID: H5833N103	-50,000	-1,953.76	1,698.46	-255.30
11/21/2012	11/23/2012	NOBLE CORPORATION BAAR	12045000070C			
		NAMEN-AKT CHF3 28				
6/17/2013	10/2/2013	SEC ID: H5833N103	-730,000	-27,708.54	27,848.00	139.46
10/2/2013	10/3/2013	NOBLE CORPORATION BAAR	13169000070C			
		NAMEN-AKT CHF3 28				
4/17/2013	5/2/2013	SEC ID: H5833N103	-100,000	-3,320.66	3,651.91	331.25
5/2/2013	5/3/2013	ROYAL CARIBBEAN CRUISES LTD	13108000060C			
		SEC ID: V7780T103				

TOTAL SHORT:

-26,680.000 -635,855.62 738,896.60 103,040.98

TOTAL LOT DISPOSITION:

-50,715.000 -1,166,489.53 1,448,155.09 281,665.56



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Base Currency: USD

Status: REVISED

Original Acquisition's Trade Date of Sale /

Trade Date / Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
LONG						
12/28/2011	5/2/2013	AAON INC	-110.000	-2,254.44	3,011.18	756.74
5/2/2013	5/3/2013	SEC ID: 000360206	11363000050C			
12/28/2011	7/12/2013	AAON INC	-0.500	-6.83	12.24	5.41
7/12/2013	7/15/2013	SEC ID: 000360206	11363000050C			
12/28/2011	10/18/2013	AAON INC	-29.500	-403.07	803.47	400.40
10/18/2013	10/21/2013	SEC ID: 000360206	11363000050C			
9/20/2011	10/18/2013	AAON INC	-90.500	-992.80	2,464.89	1,472.09
10/18/2013	10/21/2013	SEC ID: 000360206	11264000020C			
12/28/2011	1/24/2013	ARC DOCUMENT SOLUTIONS INC	-440.000	-2,021.76	1,135.26	-886.50
1/24/2013	1/25/2013	SEC ID: 00191G103	13002000090F			
11/2/2011	1/24/2013	ARC DOCUMENT SOLUTIONS INC	-60.000	-250.64	154.81	-95.83
1/24/2013	1/25/2013	SEC ID: 00191G103	13002000070F			
11/2/2011	3/4/2013	ARC DOCUMENT SOLUTIONS INC	-190.000	-793.71	423.58	-370.13
3/4/2013	3/5/2013	SEC ID: 00191G103	13002000070F			
11/2/2011	3/5/2013	ARC DOCUMENT SOLUTIONS INC	-20.000	-83.55	44.24	-39.31
3/5/2013	3/6/2013	SEC ID: 00191G103	13002000070F			
11/29/2011	3/5/2013	ARC DOCUMENT SOLUTIONS INC	-200.000	-798.42	442.43	-355.99
3/5/2013	3/6/2013	SEC ID: 00191G103	13002000080F			
10/25/2011	3/5/2013	ARC DOCUMENT SOLUTIONS INC	-160.000	-632.75	353.95	-278.80
3/5/2013	3/6/2013	SEC ID: 00191G103	13002000060F			
10/21/2011	3/5/2013	ARC DOCUMENT SOLUTIONS INC	-150.000	-575.30	331.82	-243.48
3/5/2013	3/6/2013	SEC ID: 00191G103	13002000050F			
12/10/2010	11/6/2012	AMERICAN REPROGRAPHICS CO	-420.000	-2,863.52	1,591.76	-1,271.76
11/6/2012	11/7/2012	SEC ID: 029263100	10347000090C			
12/10/2010	11/20/2012	AMERICAN REPROGRAPHICS CO	-60.000	-409.07	146.39	-262.68
11/20/2012	11/21/2012	SEC ID: 029263100	10347000090C			
7/26/2010	11/20/2012	ASTA FUNDING INC	-35.000	-320.15	293.99	-26.16
11/20/2012	11/21/2012	SEC ID: 046220109	10208000010C			
6/25/2010	11/20/2012	ASTA FUNDING INC	-35.000	-316.42	293.99	-22.43
11/20/2012	11/21/2012	SEC ID: 046220109	10179000030C			
6/25/2010	5/2/2013	ASTA FUNDING INC	-115.000	-1,039.67	1,046.48	6.81
5/2/2013	5/3/2013	SEC ID: 046220109	10179000030C			
6/22/2010	5/2/2013	ASTA FUNDING INC	-35.000	-311.99	318.50	6.51
5/2/2013	5/3/2013	SEC ID: 046220109	10174000060C			
6/22/2010	7/2/2013	ASTA FUNDING INC	-65.000	-579.41	576.08	-3.33
7/2/2013	7/3/2013	SEC ID: 046220109	10174000060C			
6/23/2010	7/2/2013	ASTA FUNDING INC	-100.000	-889.52	886.29	-3.23
7/2/2013	7/3/2013	SEC ID: 046220109	10175000020C			



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Original Acquisition's Trade Date of Sale /

Trade Date / Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
12/28/2011	7/2/2013	ASTA FUNDING INC	-135 000	-1,087.41	1,196.48	109.07
7/2/2013	7/3/2013	SEC ID: 046220109	11363000070C			
12/28/2011	7/3/2013	ASTA FUNDING INC	-70.000	-563.84	621.52	57.68
7/3/2013	7/5/2013	SEC ID 046220109	11363000070C			
12/28/2011	5/2/2013	BRYN MAWR BANK CORP	-130 000	-2,534.34	2,916.55	382.21
5/2/2013	5/3/2013	SEC ID: 117665109	11363000090C			
4/4/2012	5/2/2013	CAI INTERNATIONAL INC	-130 000	-2,319.62	3,272.03	952.41
5/2/2013	5/3/2013	SEC ID: 12477X106	12096000070C			
7/20/2011	5/2/2013	CAI INTERNATIONAL INC	-10.000	-174.62	251.70	77.08
5/2/2013	5/3/2013	SEC ID 12477X106	11202000010C			
5/15/2012	5/23/2013	CDI CORP	-100.000	-1,799.83	1,359.99	-439.84
5/23/2013	5/24/2013	SEC ID: 125071100	12137000050C			
5/15/2012	5/29/2013	CDI CORP	-50 000	-899.91	680.60	-219.31
5/29/2013	5/30/2013	SEC ID: 125071100	12137000050C			
5/7/2012	5/29/2013	CDI CORP	-60 000	-1,074.04	816.73	-257.31
5/29/2013	5/30/2013	SEC ID 125071100	12129000010C			
5/7/2012	6/4/2013	CDI CORP	-40.000	-716.03	558.66	-157.37
6/4/2013	6/5/2013	SEC ID: 125071100	12129000010C			
5/14/2012	6/4/2013	CDI CORP	-100.000	-1,786.50	1,396.66	-389.84
6/4/2013	6/5/2013	SEC ID: 125071100	12136000020C			
5/8/2012	6/4/2013	CDI CORP	-30 000	-535.75	419.00	-116.75
6/4/2013	6/5/2013	SEC ID: 125071100	12130000020C			
5/8/2012	6/17/2013	CDI CORP	-170.000	-3,035.91	2,493.70	-542.21
6/17/2013	6/18/2013	SEC ID: 125071100	12130000020C			
5/4/2012	6/17/2013	CDI CORP	-30.000	-518.94	440.06	-78.88
6/17/2013	6/18/2013	SEC ID: 125071100	12128000020C			
5/4/2012	6/27/2013	CDI CORP	-170 000	-2,940.64	2,426.96	-513.68
6/27/2013	6/28/2013	SEC ID: 125071100	12128000020C			
2/10/2012	5/2/2013	CSS INDUSTRIES INC	-40 000	-838.69	1,155.17	316.48
5/2/2013	5/3/2013	SEC ID: 125906107	12044000050C			
5/10/2010	5/2/2013	CSS INDUSTRIES INC	-70.000	-1,441.11	2,021.56	580.45
5/2/2013	5/3/2013	SEC ID 125906107	10131000110C			
12/28/2011	5/2/2013	CVB FINANCIAL CORP	-310.000	-3,116.90	3,276.62	159.72
5/2/2013	5/3/2013	SEC ID: 126600105	11363000120C			
12/28/2011	5/2/2013	COMMUNITY BANK SYSTEM INC	-120 000	-3,293.28	3,370.90	77.62
5/2/2013	5/3/2013	SEC ID: 203607106	11363000170C			
4/4/2012	5/2/2013	CONVERGYS CORP	-250 000	-3,317.20	4,374.93	1,057.73
5/2/2013	5/3/2013	SEC ID: 212485106	12096000080C			
2/3/2012	2/26/2013	COOPER TIRE & RUBBER CO	-240.000	-3,869.91	6,167.83	2,297.92
2/26/2013	2/27/2013	SEC ID 216831107	12037000040C			



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Status: REVISED

Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
2/21/2012	2/26/2013	COOPER TIRE & RUBBER CO SEC ID: 216831107	-20 000 12053000080C	-321.21	513.99	192.78
2/26/2013	2/27/2013	COOPER TIRE & RUBBER CO SEC ID: 216831107	-190.000 12053000080C	-3,051.45	4,890.16	1,838.71
2/27/2013	2/28/2013	COOPER TIRE & RUBBER CO SEC ID: 216831107	-110 000 12054000010C	-1,739.09	2,831.15	1,092.06
2/22/2012	3/5/2013	COOPER TIRE & RUBBER CO SEC ID: 216831107	-140 000 12054000010C	-2,213.39	3,445.07	1,231.68
2/27/2013	3/6/2013	COOPER TIRE & RUBBER CO SEC ID: 216831107	-40.000 12034000030C	-628.44	984.31	355.87
2/2/2012	3/5/2013	COOPER TIRE & RUBBER CO SEC ID: 216831107	-150.000 12034000030C	-2,356.67	4,072.60	1,715.93
2/2/2013	3/6/2013	COOPER TIRE & RUBBER CO SEC ID: 216831107	-110 000 12055000030C	-1,727.88	2,986.58	1,258.70
2/2/2012	3/20/2013	COOPER TIRE & RUBBER CO SEC ID: 216831107	-10 000 12055000030C	-157.08	277.36	120.28
3/20/2013	3/21/2013	DELTA APPAREL INC SEC ID: 247368103	-50.000 11363000190C	-966.74	696.18	-270.56
12/28/2011	1/3/2013	DELTA APPAREL INC SEC ID: 247368103	-100.000 11346000010C	-1,746.04	1,392.36	-353.68
1/3/2013	1/4/2013	DIME COMMUNITY BANCSHARES INC SEC ID: 253922108	-180 000 11363000200C	-2,252.52	2,507.47	254.95
12/9/2011	1/3/2013	EPL OIL & GAS INC SEC ID: 26883D108	-10.000 12256000100F	-177.25	329.19	151.94
1/3/2013	1/4/2013	EPL OIL & GAS INC SEC ID: 26883D108	-140.000 12256000100F	-2,481.43	4,301.34	1,819.91
5/2/2013	5/3/2013	ELECTRONICS FOR IMAGING INC SEC ID: 286082102	-30.000 11363000220C	-427.05	720.99	293.94
5/2/2013	5/3/2013	ELECTRONICS FOR IMAGING INC SEC ID: 286082102	-30 000 10243000060C	-329.62	720.99	391.37
3/26/2012	5/2/2013	ELECTRONICS FOR IMAGING INC SEC ID: 286082102	-130.000 10243000060C	-1,428.35	3,428.35	2,000.00
5/29/2013	5/30/2013	ELECTRONICS FOR IMAGING INC SEC ID: 286082102	-25.000 10243000060C	-274.68	859.21	584.53
12/28/2011	4/8/2013	ELECTRONICS FOR IMAGING INC SEC ID: 286082102	-205 000 10243000060C	-2,229.15	7,045.50	4,816.35
4/8/2013	4/9/2013	FIRST BANCORP/TROY NC SEC ID: 318910106	-10 000 10131000210C	-155.44	104.09	-51.35
8/30/2010	5/2/2013	FIRST BANCORP/TROY NC SEC ID: 318910106	-30.000 10131000210C	-466.33	393.59	-72.74
4/8/2013	4/9/2013					
8/30/2010	5/2/2013					
5/2/2013	5/3/2013					
8/30/2010	10/18/2013					
10/18/2013	10/21/2013					
8/25/2010	10/18/2013					
10/18/2013	10/21/2013					
5/10/2010	11/20/2012					
11/20/2012	11/21/2012					
5/10/2010	5/2/2013					
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Report ID: HDA824

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Original Acquisition's Trade Date of Sale /

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
5/10/2010	6/7/2013	FIRST BANCORP/TROY NC	-35 000	-544.05	490.66	-53.39
6/7/2013	6/10/2013	SEC ID: 318910106	10131000210C			
12/28/2011	6/7/2013	FIRST BANCORP/TROY NC	-150.000	-1,686.74	2,102.82	416.08
6/7/2013	6/10/2013	SEC ID: 318910106	11363000240C			
3/9/2011	11/20/2012	FIRST FINANCIAL BANKSHARES INC	-40.000	-1,360.39	1,480.36	119.97
11/20/2012	11/21/2012	SEC ID: 32020R109	11069000060C			
3/9/2011	9/13/2013	FIRST FINANCIAL BANKSHARES INC	-20 000	-680.19	1,137.58	457.39
9/13/2013	9/16/2013	SEC ID: 32020R109	11069000060C			
3/10/2011	9/13/2013	FIRST FINANCIAL BANKSHARES INC	-150.000	-5,042.63	8,531.82	3,489.19
9/13/2013	9/16/2013	SEC ID: 32020R109	11070000070C			
4/11/2011	5/2/2013	GT ADVANCED TECHNOLOGIES INC	-640.000	-6,375.36	2,240.21	-4,135.15
5/2/2013	5/3/2013	SEC ID: 36191U106	11220000030F			
4/12/2011	5/2/2013	GT ADVANCED TECHNOLOGIES INC	-350 000	-3,425.38	1,225.11	-2,200.27
5/2/2013	5/3/2013	SEC ID: 36191U106	11220000040F			
4/12/2011	7/17/2013	GT ADVANCED TECHNOLOGIES INC	-180 000	-1,761.62	898.15	-863.47
7/17/2013	7/18/2013	SEC ID: 36191U106	11220000040F			
1/10/2012	7/17/2013	GT ADVANCED TECHNOLOGIES INC	-270.000	-2,137.35	1,347.22	-790.13
7/17/2013	7/18/2013	SEC ID: 36191U106	12011000030C			
1/10/2012	7/18/2013	GT ADVANCED TECHNOLOGIES INC	-130.000	-1,029.09	654.15	-374.94
7/18/2013	7/19/2013	SEC ID: 36191U106	12011000030C			
11/28/2011	7/18/2013	GT ADVANCED TECHNOLOGIES INC	-340 000	-2,645.17	1,710.85	-934.32
7/18/2013	7/19/2013	SEC ID: 36191U106	11333000110C			
12/28/2011	7/18/2013	GT ADVANCED TECHNOLOGIES INC	-80.000	-563.59	402.55	-161.04
7/18/2013	7/19/2013	SEC ID: 36191U106	11363000270C			
12/28/2011	8/6/2013	GT ADVANCED TECHNOLOGIES INC	-510.000	-3,592.90	2,943.46	-649.44
8/6/2013	8/7/2013	SEC ID: 36191U106	11363000270C			
6/5/2012	8/6/2013	GT ADVANCED TECHNOLOGIES INC	-20 000	-88.98	115.43	26.45
8/6/2013	8/7/2013	SEC ID: 36191U106	12158000080C			
11/29/2011	4/17/2013	GULFPORT ENERGY CORP	-80 000	-2,377.43	3,676.60	1,299.17
4/17/2013	4/18/2013	SEC ID: 402635304	11334000040C			
12/28/2011	4/17/2013	GULFPORT ENERGY CORP	-250.000	-7,263.72	11,489.37	4,225.65
4/17/2013	4/18/2013	SEC ID: 402635304	11363000300C			
12/28/2011	4/25/2013	GULFPORT ENERGY CORP	-20.000	-581.10	1,020.40	439.30
4/25/2013	4/26/2013	SEC ID: 402635304	11363000300C			
5/10/2010	4/25/2013	GULFPORT ENERGY CORP	-170.000	-2,145.50	8,673.43	6,527.93
4/25/2013	4/26/2013	SEC ID: 402635304	10131000240C			
5/10/2010	5/2/2013	GULFPORT ENERGY CORP	-50 000	-631.03	2,645.94	2,014.91
5/2/2013	5/3/2013	SEC ID: 402635304	10131000240C			
5/10/2010	5/21/2013	GULFPORT ENERGY CORP	-130.000	-1,640.68	6,618.49	4,977.81
5/21/2013	5/22/2013	SEC ID: 402635304	10131000240C			

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Workbench



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Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
5/10/2010	5/22/2013	GULFPORT ENERGY CORP	-240 000	-3,028.95	11,881.64	8,852.69
5/22/2013	5/23/2013	SEC ID: 402635304	10131000240C			
12/6/2010	5/20/2013	HALCON RESOURCES CORP	-154.560	-1,058.74	947.89	-110.85
5/20/2013	5/21/2013	SEC ID: 40537Q209	12214000110F			
12/3/2010	5/20/2013	HALCON RESOURCES CORP	-135 760	-929.96	832.60	-97.36
5/20/2013	5/21/2013	SEC ID: 40537Q209	12214000120F			
12/28/2011	5/29/2013	HALCON RESOURCES CORP	-405 720	-2,779.18	2,173.77	-605.41
5/29/2013	5/30/2013	SEC ID: 40537Q209	12214000080F			
8/12/2011	5/29/2013	HALCON RESOURCES CORP	-309.120	-2,117.47	1,656.20	-461.27
5/29/2013	5/30/2013	SEC ID: 40537Q209	12214000090F			
8/4/2011	5/29/2013	HALCON RESOURCES CORP	-212.520	-1,455.76	1,138.64	-317.12
5/29/2013	5/30/2013	SEC ID: 40537Q209	12214000100F			
12/3/2010	5/29/2013	HALCON RESOURCES CORP	-182 640	-1,251.08	978.55	-272.53
5/29/2013	5/30/2013	SEC ID: 40537Q209	12214000120F			
12/3/2010	5/30/2013	HALCON RESOURCES CORP	-68 000	-465.80	362.91	-102.89
5/30/2013	5/31/2013	SEC ID: 40537Q209	12214000120F			
12/29/2010	11/20/2012	HANCOCK HOLDING CO	-20.000	-691.24	619.99	-71.25
11/20/2012	11/21/2012	SEC ID: 410120109	10364000040C			
1/6/2011	11/20/2012	HANCOCK HOLDING CO	-20.000	-685.31	619.98	-65.33
11/20/2012	11/21/2012	SEC ID: 410120109	11007000050C			
1/6/2011	5/2/2013	HANCOCK HOLDING CO	-150 000	-5,139.86	4,057.48	-1,082.38
5/2/2013	5/3/2013	SEC ID: 410120109	11007000050C			
3/28/2012	5/2/2013	HAYNES INTERNATIONAL INC	-70.000	-4,384.22	3,264.72	-1,119.50
5/2/2013	5/3/2013	SEC ID: 420877201	12089000050C			
3/28/2012	9/18/2013	HAYNES INTERNATIONAL INC	-100.000	-6,263.17	4,589.88	-1,673.29
9/18/2013	9/19/2013	SEC ID: 420877201	12089000050C			
7/13/2012	9/18/2013	HAYNES INTERNATIONAL INC	-30.000	-1,587.71	1,376.96	-210.75
9/18/2013	9/19/2013	SEC ID: 420877201	12198000050C			
7/13/2012	9/20/2013	HAYNES INTERNATIONAL INC	-100 000	-5,292.37	4,527.85	-764.52
9/20/2013	9/23/2013	SEC ID: 420877201	12198000050C			
7/13/2012	9/23/2013	HAYNES INTERNATIONAL INC	-40.000	-2,116.95	1,807.29	-309.66
9/23/2013	9/24/2013	SEC ID: 420877201	12198000050C			
6/5/2012	9/23/2013	HAYNES INTERNATIONAL INC	-60.000	-2,995.85	2,710.93	-284.92
9/23/2013	9/24/2013	SEC ID: 420877201	12158000090C			
6/5/2012	9/26/2013	HAYNES INTERNATIONAL INC	-50 000	-2,496.54	2,224.76	-271.78
9/26/2013	9/27/2013	SEC ID: 420877201	12158000090C			
8/14/2012	9/26/2013	HAYNES INTERNATIONAL INC	-80 000	-3,690.50	3,559.61	-130.89
9/26/2013	9/27/2013	SEC ID: 420877201	12228000050C			
3/16/2011	11/20/2012	HEXCEL CORP	-60.000	-1,058.36	1,507.16	448.80
11/20/2012	11/21/2012	SEC ID: 428291108	11076000060C			



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Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
3/16/2011	5/2/2013	HEXCEL CORP	-40 000	-705.58	1,218.77	513.19
5/2/2013	5/3/2013	SEC ID 428291108	11076000060C			
5/10/2010	5/2/2013	HEXCEL CORP	-100.000	-1,588.32	3,046.94	1,458.62
5/2/2013	5/3/2013	SEC ID 428291108	10131000270C			
5/10/2010	9/13/2013	HEXCEL CORP	-170 000	-2,700.14	6,377.65	3,677.51
9/13/2013	9/16/2013	SEC ID 428291108	10131000270C			
7/12/2011	11/20/2012	HYSTER-YALE MATERIALS HANDLING	-10 000	-332.23	412.79	80.56
11/20/2012	11/21/2012	SEC ID: 449172105	12275000240F			
6/3/2011	11/20/2012	HYSTER-YALE MATERIALS HANDLING	-10.000	-330.63	412.79	82.16
11/20/2012	11/21/2012	SEC ID 449172105	12275000190F			
5/10/2010	11/20/2012	JOHN BEAN TECHNOLOGIES CORP	-60.000	-1,052.65	949.17	-103.48
11/20/2012	11/21/2012	SEC ID: 477839104	10131000310C			
1/9/2012	2/27/2013	KRATON PERFORMANCE POLYMERS	-160 000	-3,948.19	4,164.78	216.59
2/27/2013	2/28/2013	IN	12010000030C			
1/9/2012	5/2/2013	SEC ID: 50077C106	-140 000	-3,454.67	2,913.35	-541.32
5/2/2013	5/3/2013	KRATON PERFORMANCE POLYMERS	12010000030C			
1/6/2012	10/15/2013	SEC ID: 50077C106	-170 000	-4,083.67	3,211.19	-872.48
10/15/2013	10/16/2013	KRATON PERFORMANCE POLYMERS	12009000070C			
1/5/2012	10/15/2013	SEC ID: 50077C106	-170 000	-3,858.54	3,211.19	-647.35
10/15/2013	10/16/2013	KRATON PERFORMANCE POLYMERS	12006000020C			
1/5/2012	10/16/2013	SEC ID: 50077C106	-20 000	-453.95	377.16	-76.79
10/16/2013	10/17/2013	KRATON PERFORMANCE POLYMERS	12006000020C			
1/4/2012	10/16/2013	SEC ID: 50077C106	-200 000	-4,402.18	3,771.61	-630.57
10/16/2013	10/17/2013	KRATON PERFORMANCE POLYMERS	12005000030C			
10/4/2012	10/16/2013	SEC ID: 50077C106	-90.000	-1,919.81	1,697.22	-222.59
10/16/2013	10/17/2013	KRATON PERFORMANCE POLYMERS	12279000080C			
3/20/2012	5/2/2013	SEC ID: 50077C106	-50.000	-2,240.12	1,620.97	-619.15
5/2/2013	5/3/2013	LSB INDUSTRIES INC	13016000070A			
4/2/2012	5/2/2013	SEC ID 502160104	-70.000	-2,786.23	2,269.35	-516.88
5/2/2013	5/3/2013	LSB INDUSTRIES INC	12094000020C			
4/2/2012	10/15/2013	SEC ID: 502160104	-10 000	-398.03	334.34	-63.69
10/15/2013	10/16/2013	LSB INDUSTRIES INC	12094000020C			
8/21/2012	10/15/2013	SEC ID: 502160104	-100.000	-3,935.45	3,343.40	-592.05
10/15/2013	10/16/2013	LSB INDUSTRIES INC	12235000070C			
8/21/2012	10/16/2013	SEC ID: 502160104	-30.000	-1,180.64	1,011.00	-169.64
10/16/2013	10/17/2013	LSB INDUSTRIES INC	12235000070C			



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3/30/2012	10/16/2013	LSB INDUSTRIES INC SEC ID: 502160104	-100 000	-3,898.97	3,369.99	-528.98
10/16/2013	10/17/2013	LENDER PROCESSING SERVICES INC SEC ID: 52602E102	12093000070C	-518.82	913.50	394.68
11/4/2011	5/23/2013	LENDER PROCESSING SERVICES INC SEC ID: 52602E102	11311000030C	-2,347.66	4,306.49	1,958.83
5/23/2013	5/24/2013	LENDER PROCESSING SERVICES INC SEC ID: 52602E102	-132 000	-142.28	255.56	113.28
8/4/2011	5/23/2013	LENDER PROCESSING SERVICES INC SEC ID: 52602E102	11217000080C	-10,679.60	19,230.61	8,551.01
5/23/2013	8/27/2013	LENDER PROCESSING SERVICES INC SEC ID: 52602E102	-8 000	-2,625.55	4,766.52	2,140.97
8/27/2013	8/28/2013	LENDER PROCESSING SERVICES INC SEC ID: 52602E102	11217000080C	-3,010.79	6,505.66	3,494.87
2/2/2012	8/27/2013	LENDER PROCESSING SERVICES INC SEC ID: 52602E102	-602 000	-4,292.61	9,241.17	4,948.56
8/27/2013	8/28/2013	LENDER PROCESSING SERVICES INC SEC ID: 52602E102	12034000040C	-5,431.07	5,372.89	-58.18
2/2/2012	9/4/2013	LENDER PROCESSING SERVICES INC SEC ID: 52602E102	-148 000	-1,206.90	1,286.21	79.31
9/4/2013	9/5/2013	LENDER PROCESSING SERVICES INC SEC ID: 52602E102	12034000040C	-6,632.80	7,074.17	441.37
12/28/2011	9/4/2013	LENDER PROCESSING SERVICES INC SEC ID: 52602E102	-202 000	-2,110.44	2,340.90	230.46
9/4/2013	9/5/2013	LENDER PROCESSING SERVICES INC SEC ID: 52602E102	11363000040C	-1,494.53	1,672.08	177.55
12/28/2011	9/5/2013	LENDER PROCESSING SERVICES INC SEC ID: 52602E102	-288 000	-5,449.10	3,751.17	-1,697.93
9/5/2013	9/9/2013	LEXMARK INTERNATIONAL INC SEC ID: 529771107	11363000040C	-1,944.70	1,780.71	-163.99
4/27/2012	5/2/2013	LEXMARK INTERNATIONAL INC SEC ID: 529771107	-180 000	-1,133.39	1,847.67	714.28
5/2/2013	5/3/2013	LEXMARK INTERNATIONAL INC SEC ID: 529771107	12121000070C	-850.04	1,307.40	457.36
4/27/2012	7/2/2013	LEXMARK INTERNATIONAL INC SEC ID: 529771107	-40 000	-1,059.11	1,888.46	829.35
7/2/2013	7/2/2013	LEXMARK INTERNATIONAL INC SEC ID: 529771107	12121000070C	-1,059.11	1,836.86	777.75
4/26/2012	7/2/2013	LEXMARK INTERNATIONAL INC SEC ID: 529771107	-220 000	-1,792.34	3,155.36	1,363.02
7/2/2013	7/3/2013	LEXMARK INTERNATIONAL INC SEC ID: 529771107	12118000040C			
4/26/2012	9/13/2013	LEXMARK INTERNATIONAL INC SEC ID: 529771107	-70 000			
9/13/2013	9/16/2013	LEXMARK INTERNATIONAL INC SEC ID: 529771107	12118000040C			
5/2/2012	9/13/2013	LEXMARK INTERNATIONAL INC SEC ID: 529771107	-50 000			
9/13/2013	9/16/2013	LEXMARK INTERNATIONAL INC SEC ID: 529771107	12124000030C			
5/10/2010	11/21/2012	LIFETIME BRANDS INC SEC ID: 53222Q103	-397 000			
11/21/2012	11/23/2012	LIFETIME BRANDS INC SEC ID: 53222Q103	10131000360C			
12/28/2011	1/3/2013	LIFETIME BRANDS INC SEC ID: 53222Q103	-167 000			
2/25/2013	1/4/2013	LYDALL INC SEC ID: 550819106	113630000410C			
2/26/2013	2/25/2013	LYDALL INC SEC ID: 550819106	-120 000			
4/10/2013	4/10/2013	LYDALL INC SEC ID: 550819106	-90 000			
4/10/2013	4/11/2013	LYDALL INC SEC ID: 550819106	113630000430C			
5/10/2010	4/10/2013	LYDALL INC SEC ID: 550819106	-130 000			
4/10/2013	4/11/2013	LYDALL INC SEC ID: 550819106	10131000370C			
5/10/2010	5/2/2013	LYDALL INC SEC ID: 550819106	-130 000			
5/2/2013	5/3/2013	LYDALL INC SEC ID: 550819106	10131000370C			
5/10/2010	5/3/2013	LYDALL INC SEC ID: 550819106	-220 000			
5/3/2013	5/6/2013	LYDALL INC SEC ID: 550819106	10131000370C			

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12/28/2011	5/2/2013	MAGELLAN HEALTH SERVICES INC	-80 000	-3,961.19	4,099.90	138.71
5/2/2013	5/3/2013	SEC ID: 559079207	11363000440C			
7/12/2011	11/20/2012	MERCER INTERNATIONAL INC	-100.000	-1,013.33	635.04	-378.29
11/20/2012	11/21/2012	SEC ID: 588056101	11194000040C			
1/28/2011	11/20/2012	MERCER INTERNATIONAL INC	-20 000	-169.36	127.01	-42.35
11/20/2012	11/21/2012	SEC ID: 588056101	11031000030C			
7/11/2011	5/2/2013	MERCER INTERNATIONAL INC	-100 000	-1,005.80	616.00	-389.80
5/2/2013	5/3/2013	SEC ID: 588056101	13016000140A			
1/28/2011	5/2/2013	MERCER INTERNATIONAL INC	-180.000	-1,524.24	1,108.79	-415.45
5/2/2013	5/3/2013	SEC ID: 588056101	11031000030C			
2/4/2011	5/2/2013	MERCER INTERNATIONAL INC	-140.000	-1,182.43	862.39	-320.04
5/2/2013	5/3/2013	SEC ID: 588056101	11038000010C			
2/3/2011	5/2/2013	MERCER INTERNATIONAL INC	-40 000	-337.56	246.40	-91.16
5/2/2013	5/3/2013	SEC ID: 588056101	11035000060C			
2/16/2012	2/25/2013	METALS USA HOLDINGS CORP	-90 000	-1,209.37	1,863.83	654.46
2/25/2013	2/26/2013	SEC ID: 59132A104	12048000040C			
6/1/2012	6/21/2013	METHODE ELECTRONICS INC	-300.000	-2,205.78	4,680.48	2,474.70
6/21/2013	6/24/2013	SEC ID: 591520200	12156000020C			
5/18/2012	6/21/2013	METHODE ELECTRONICS INC	-200.000	-1,415.50	3,120.33	1,704.83
6/21/2013	6/24/2013	SEC ID: 591520200	12142000120C			
5/23/2012	6/21/2013	METHODE ELECTRONICS INC	-200 000	-1,403.88	3,120.32	1,716.44
6/21/2013	6/24/2013	SEC ID: 591520200	12145000040C			
4/2/2012	5/30/2013	NEWPARK RESOURCES INC	-320.000	-2,639.27	3,588.03	948.76
5/30/2013	5/31/2013	SEC ID: 651718504	12094000030C			
5/10/2010	3/1/2013	NORTHERN OIL AND GAS INC	-170.000	-2,559.30	2,381.12	-178.18
3/1/2013	3/4/2013	SEC ID: 665531109	10131000450C			
4/25/2010	3/4/2013	NORTHERN OIL AND GAS INC	-170.000	-2,714.05	2,328.76	-385.29
7/1/2013	7/16/2013	SEC ID: 665531109	13197000060A			
5/10/2010	3/4/2013	NORTHERN OIL AND GAS INC	-250 000	-3,763.68	3,424.65	-339.03
7/1/2013	7/16/2013	SEC ID: 665531109	10131000450C			
5/10/2010	3/5/2013	NORTHERN OIL AND GAS INC	-194.000	-2,920.61	2,692.23	-228.38
7/1/2013	7/16/2013	SEC ID: 665531109	10131000450C			
8/3/2010	11/13/2012	OCWEN FINANCIAL CORP	-160.000	-1,598.40	5,526.61	3,928.21
11/13/2012	11/14/2012	SEC ID: 675746309	10216000040C			
8/3/2010	11/20/2012	OCWEN FINANCIAL CORP	-70 000	-699.30	2,399.54	1,700.24
11/20/2012	11/21/2012	SEC ID: 675746309	10216000040C			
8/3/2010	5/2/2013	OCWEN FINANCIAL CORP	-40 000	-399.60	1,484.37	1,084.77
5/2/2013	5/3/2013	SEC ID: 675746309	10216000040C			
8/3/2010	9/18/2013	OCWEN FINANCIAL CORP	-90.000	-899.10	5,072.40	4,173.30
9/18/2013	9/19/2013	SEC ID: 675746309	10216000040C			

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Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
12/20/2010	9/18/2013	OCWEN FINANCIAL CORP SEC ID: 675746309	-110 000	-1,065.33	6,199.60	5,134.27
9/18/2013	9/19/2013		10355000010C			
11/12/2010	9/18/2013	OCWEN FINANCIAL CORP SEC ID: 675746309	-50.000	-456.18	2,818.00	2,361.82
9/18/2013	9/19/2013		10319000070C			
9/14/2010	11/20/2012	OMNOVA SOLUTIONS INC SEC ID: 682129101	-160.000	-1,078.70	1,014.39	-64.31
11/20/2012	11/21/2012		10258000080C			
9/14/2010	12/27/2012	OMNOVA SOLUTIONS INC SEC ID: 682129101	-360.000	-2,427.09	2,550.72	123.63
12/27/2012	12/28/2012		10258000080C			
9/13/2010	12/27/2012	OMNOVA SOLUTIONS INC SEC ID: 682129101	-100.000	-671.32	708.53	37.21
12/27/2012	12/28/2012		10257000080C			
9/13/2010	2/27/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-230.000	-1,544.04	1,887.24	343.20
2/27/2013	2/28/2013		10257000080C			
8/27/2010	2/27/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-140.000	-861.88	1,148.76	286.88
2/27/2013	2/28/2013		10242000060C			
8/27/2010	5/2/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-80.000	-492.51	521.69	29.18
5/2/2013	5/3/2013		10242000060C			
12/28/2011	5/2/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-180.000	-805.30	1,173.81	368.51
5/2/2013	5/3/2013		11363000530C			
12/28/2011	7/1/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-200.000	-894.78	1,635.63	740.85
7/1/2013	7/2/2013		11363000530C			
12/28/2011	7/9/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-320.000	-1,431.65	2,607.25	1,175.60
7/9/2013	7/10/2013		11363000530C			
12/28/2011	7/17/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-500.000	-2,236.95	4,131.02	1,894.07
7/17/2013	7/18/2013		11363000530C			
12/28/2011	7/19/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-50.000	-223.70	415.00	191.30
7/19/2013	7/22/2013		11363000530C			
11/8/2011	7/19/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-120.000	-513.60	996.00	482.40
7/19/2013	7/22/2013		11313000020C			
11/8/2011	7/22/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-40.000	-171.20	339.19	167.99
7/22/2013	7/23/2013		11313000020C			
11/2/2011	7/22/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-70.000	-298.36	593.59	295.23
7/22/2013	7/23/2013		11307000040C			
11/2/2011	7/23/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-50.000	-213.12	420.56	207.44
7/23/2013	7/24/2013		11307000040C			
11/18/2011	7/23/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-50.000	-212.36	420.56	208.20
7/23/2013	7/24/2013		11325000040C			
11/18/2011	7/29/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-90.000	-382.26	732.11	349.85
7/29/2013	7/30/2013		11325000040C			
11/10/2011	7/29/2013	OMNOVA SOLUTIONS INC SEC ID: 682129101	-140.000	-591.21	1,138.84	547.63
7/29/2013	7/30/2013		11315000010C			



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11/9/2011	7/29/2013	OMNOVA SOLUTIONS INC	-110 000	-457.97	894.80	436.83
7/29/2013	7/30/2013	SEC ID: 682129101	11314000020C			
5/10/2010	11/20/2012	OVERHILL FARMS INC	-50.000	-307.20	212.50	-94.70
11/20/2012	11/21/2012	SEC ID 690212105	10131000480C			
5/10/2010	12/18/2012	OVERHILL FARMS INC	-300 000	-1,843.23	1,341.08	-502.15
12/18/2012	12/19/2012	SEC ID: 690212105	10131000480C			
5/10/2010	2/14/2013	OVERHILL FARMS INC	-355 000	-2,181.16	1,475.14	-706.02
2/14/2013	2/15/2013	SEC ID: 690212105	10131000480C			
12/28/2011	2/14/2013	OVERHILL FARMS INC	-270.000	-1,004.02	1,121.93	117.91
2/14/2013	2/15/2013	SEC ID 690212105	11363000540C			
4/25/2012	7/29/2013	PHH CORP	-190.000	-3,017.33	4,274.05	1,256.72
7/29/2013	7/30/2013	SEC ID: 693320202	12117000030C			
7/5/2012	10/16/2013	PLANTRONICS INC	-130 000	-4,522.23	6,177.33	1,655.10
10/16/2013	10/17/2013	SEC ID: 727493108	12188000040C			
7/2/2012	10/16/2013	PLANTRONICS INC	-70.000	-2,336.77	3,326.26	989.49
10/16/2013	10/17/2013	SEC ID: 727493108	12185000020C			
12/1/2010	1/15/2013	POLYONE CORP	-30.000	-390.44	667.85	277.41
1/15/2013	1/16/2013	SEC ID: 73179P106	10336000050C			
11/30/2010	1/15/2013	POLYONE CORP	-110.000	-1,373.75	2,448.77	1,075.02
1/15/2013	1/16/2013	SEC ID: 73179P106	10335000060C			
11/30/2010	5/2/2013	POLYONE CORP	-180 000	-2,247.95	4,260.50	2,012.55
5/2/2013	5/3/2013	SEC ID: 73179P106	10335000060C			
11/30/2010	7/17/2013	POLYONE CORP	-40.000	-499.54	1,117.73	618.19
7/17/2013	7/18/2013	SEC ID: 73179P106	10335000060C			
11/24/2010	7/17/2013	POLYONE CORP	-120.000	-1,490.11	3,353.18	1,863.07
7/17/2013	7/18/2013	SEC ID: 73179P106	10330000030C			
4/1/2011	11/20/2012	POWER-ONE INC	-152 000	-1,317.60	585.20	-732.40
11/20/2012	11/21/2012	SEC ID: 73930R102	11094000100C			
4/4/2011	11/20/2012	POWER-ONE INC	-218 000	-1,880.60	839.30	-1,041.30
11/20/2012	11/21/2012	SEC ID: 73930R102	11095000080C			
4/4/2011	4/22/2013	POWER-ONE INC	-402.000	-3,467.89	2,537.25	-930.64
4/22/2013	4/23/2013	SEC ID: 73930R102	11095000080C			
4/19/2011	4/22/2013	POWER-ONE INC	-300.000	-1,799.93	1,893.47	93.54
4/22/2013	4/23/2013	SEC ID: 73930R102	12025000130S			
10/3/2011	4/22/2013	POWER-ONE INC	-850 000	-3,637.15	5,364.82	1,727.67
4/22/2013	4/23/2013	SEC ID: 73930R102	11277000020C			
12/28/2011	4/22/2013	POWER-ONE INC	-1,350 000	-5,150.12	8,520.60	3,370.48
4/22/2013	4/23/2013	SEC ID: 73930R102	11363000580C			
12/28/2011	3/7/2013	PRIMERICA INC	-140.000	-3,273.89	4,430.32	1,156.43
3/7/2013	3/8/2013	SEC ID 74164M108	11363000590C			

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11/11/2010	3/7/2013	PRIMERICA INC	-160 000	-3,558.37	5,063.23	1,504.86
3/7/2013	3/8/2013	SEC ID 74164M108	10316000090C			
11/11/2010	5/2/2013	PRIMERICA INC	-130 000	-2,891.17	4,395.21	1,504.04
5/2/2013	5/3/2013	SEC ID 74164M108	10316000090C			
12/28/2011	5/2/2013	RLI CORP	-60 000	-4,412.09	4,303.10	-108.99
5/2/2013	5/3/2013	SEC ID: 749607107	11363000600C			
5/10/2010	11/20/2012	RENT-A-CENTER INC/TX	-30 000	-750.85	1,034.37	283.52
11/20/2012	11/21/2012	SEC ID: 76009N100	10131000510C			
5/10/2010	7/18/2013	RENT-A-CENTER INC/TX	-95 000	-2,377.71	3,742.28	1,364.57
7/18/2013	7/19/2013	SEC ID: 76009N100	10131000510C			
8/15/2011	7/18/2013	RENT-A-CENTER INC/TX	-55 000	-1,337.04	2,166.58	829.54
7/18/2013	7/19/2013	SEC ID: 76009N100	11228000050C			
8/15/2011	7/19/2013	RENT-A-CENTER INC/TX	-85 000	-2,066.33	3,339.30	1,272.97
7/19/2013	7/22/2013	SEC ID: 76009N100	11228000050C			
6/7/2010	7/19/2013	RENT-A-CENTER INC/TX	-115 000	-2,582.37	4,517.88	1,935.51
7/19/2013	7/22/2013	SEC ID: 76009N100	10159000030C			
6/7/2010	7/30/2013	RENT-A-CENTER INC/TX	-185 000	-4,154.25	7,211.52	3,057.27
7/30/2013	7/31/2013	SEC ID 76009N100	10159000030C			
8/25/2011	11/28/2012	SAUER-DANFOSS INC	-200 000	-7,474.08	10,342.63	2,868.55
11/28/2012	11/29/2012	SEC ID: 804137107	11238000040C			
8/26/2011	11/28/2012	SAUER-DANFOSS INC	-100 000	-3,676.02	5,171.31	1,495.29
11/28/2012	11/29/2012	SEC ID: 804137107	11241000050C			
12/28/2011	1/2/2013	SHAW GROUP INC/THE	-130 000	-3,433.76	6,069.30	2,635.54
1/2/2013	1/3/2013	SEC ID: 820280105	11363000670C			
12/21/2011	1/2/2013	SHAW GROUP INC/THE	-100 000	-2,521.46	4,668.70	2,147.24
1/2/2013	1/3/2013	SEC ID: 820280105	11356000030C			
12/15/2011	1/2/2013	SHAW GROUP INC/THE	-340 000	-7,654.86	15,873.56	8,218.70
1/2/2013	1/3/2013	SEC ID: 820280105	11350000040C			
12/28/2011	5/2/2013	STANDEX INTERNATIONAL CORP	-100 000	-3,513.49	5,132.89	1,619.40
5/2/2013	5/3/2013	SEC ID: 854231107	11363000690C			
5/10/2010	5/2/2013	STANDEX INTERNATIONAL CORP	-20 000	-483.70	1,026.58	542.88
5/2/2013	5/3/2013	SEC ID: 854231107	10131000560C			
4/28/2011	11/20/2012	SYNNEX CORP	-40 000	-1,342.76	1,259.97	-82.79
11/20/2012	11/21/2012	SEC ID: 87162W100	11119000060C			
4/29/2011	5/2/2013	SYNNEX CORP	-90 000	-3,008.87	3,095.93	87.06
5/2/2013	5/3/2013	SEC ID: 87162W100	11123000040C			
12/28/2011	5/2/2013	SYNERGY RESOURCES CORP	-140 000	-395.75	886.32	490.57
5/2/2013	5/3/2013	SEC ID: 87164P103	11363000710C			
8/1/2012	8/14/2013	TITAN INTERNATIONAL INC	-210 000	-4,411.76	3,612.80	-798.96
8/14/2013	8/15/2013	SEC ID 88830M102	12215000080C			

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8/2/2012	8/15/2013		8/15/2013		TITAN INTERNATIONAL INC SEC ID: 88830M102	-20.000 12216000060C	-407.12	334.74	-72.38
8/15/2013	8/16/2013		8/16/2013		TITAN INTERNATIONAL INC SEC ID: 88830M102	-140.000 12216000060C	-2,849.86	2,254.31	-595.55
8/2/2012	9/16/2013		9/16/2013		TITAN INTERNATIONAL INC SEC ID: 88830M102	-140.000 12216000060C	-2,849.85	2,201.88	-647.97
8/16/2013	9/17/2013		9/17/2013		TITAN INTERNATIONAL INC SEC ID: 88830M102	-200.000 12257000020C	-3,999.70	3,145.54	-854.16
8/2/2012	9/17/2013		9/17/2013		TITAN INTERNATIONAL INC SEC ID: 88830M102	-60.000 12257000020C	-1,199.91	943.78	-256.13
9/12/2012	9/18/2013		9/18/2013		TITAN INTERNATIONAL INC SEC ID: 88830M102	-120.000 12108000070C	-2,850.19	3,635.93	785.74
9/17/2013	9/19/2013		9/19/2013		TRIMAS CORP SEC ID: 896215209	-40.000 12108000070C	-950.07	1,525.34	575.27
4/16/2012	5/2/2013		5/2/2013		TRIMAS CORP SEC ID: 896215209	-110.000 12108000070C	-2,686.83	2,999.07	312.24
5/2/2013	5/3/2013		5/3/2013		VALASSIS COMMUNICATIONS INC SEC ID: 918866104	-90.000 12037000050C	-2,121.10	2,453.78	332.68
4/16/2012	7/2/2013		7/2/2013		VALASSIS COMMUNICATIONS INC SEC ID: 918866104	-160.000 12034000060C	-3,770.85	4,311.03	540.18
7/2/2013	7/3/2013		7/3/2013		VALASSIS COMMUNICATIONS INC SEC ID: 918866104	-140.000 12031000070C	-3,236.28	3,772.16	535.88
2/3/2012	4/4/2013		4/4/2013		VALASSIS COMMUNICATIONS INC SEC ID: 918866104	-20.000 12031000070C	-462.33	542.58	80.25
4/4/2013	4/5/2013		4/5/2013		VALASSIS COMMUNICATIONS INC SEC ID: 918866104	-150.000 12030000040C	-3,436.38	4,069.31	632.93
2/2/2012	4/5/2013		4/5/2013		VALASSIS COMMUNICATIONS INC SEC ID: 918866104	-90.000 12030000040C	-2,061.83	2,461.14	399.31
4/5/2013	4/8/2013		4/8/2013		VALASSIS COMMUNICATIONS INC SEC ID: 918866104	-290.000 12027000020C	-6,476.86	7,930.33	1,453.47
1/30/2012	4/8/2013		4/8/2013		VISHAY INTERTECHNOLOGY INC SEC ID: 928298108	-100.000 11124000040C	-1,695.81	906.99	-788.82
4/8/2013	4/9/2013		4/9/2013		VISHAY INTERTECHNOLOGY INC SEC ID: 928298108	-190.000 11332000090S	-2,970.62	1,723.28	-1,247.34
1/27/2012	4/9/2013		4/9/2013		VISHAY INTERTECHNOLOGY INC SEC ID: 928298108	-100.000 13017000040A	-1,701.41	1,368.90	-332.51
4/9/2013	4/10/2013		4/10/2013		VISHAY INTERTECHNOLOGY INC SEC ID: 928298108	-190.000 13017000050A	-2,981.26	2,600.91	-380.35
1/26/2012	4/9/2013		4/9/2013		VISHAY INTERTECHNOLOGY INC SEC ID: 928298108	-15.000 11332000090S	-234.52	205.33	-29.19
4/9/2013	5/3/2011		11/20/2012						
11/20/2012	5/14/2011		11/21/2012						
5/14/2011	11/20/2012		11/21/2012						
4/15/2011	2/19/2013		2/19/2013						
2/19/2013	4/26/2011		2/19/2013						
4/26/2011	2/19/2013		2/20/2013						
2/19/2013	5/14/2011		2/19/2013						
5/14/2011	2/19/2013		2/20/2013						

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Original Acquisition's Trade Date of Sale/ Trade Date / Reported Date				Description		Shares Sold Tax Lot ID		Cost Base	Amount Base	Realized Gain/Loss Base
7/27/2011	2/19/2013			VISHAY INTERTECHNOLOGY INC		-350 000		-4,988.03	4,791.15	-196.88
2/19/2013	2/20/2013			SEC ID: 928298108		11209000030C				
8/8/2011	2/25/2013			VISHAY INTERTECHNOLOGY INC		-190.000		-2,046.97	2,558.48	511.51
2/25/2013	2/26/2013			SEC ID: 928298108		11221000040C				
9/9/2011	2/25/2013			VISHAY INTERTECHNOLOGY INC		-245 000		-2,591.22	3,299.10	707.88
2/25/2013	2/26/2013			SEC ID: 928298108		11255000020C				
9/9/2011	3/11/2013			VISHAY INTERTECHNOLOGY INC		-145 000		-1,533.58	1,924.47	390.89
3/11/2013	3/12/2013			SEC ID: 928298108		11255000020C				
12/28/2011	3/12/2013			VISHAY INTERTECHNOLOGY INC		-255.000		-2,280.95	3,343.59	1,062.64
3/12/2013	3/13/2013			SEC ID: 928298108		11363000720C				
12/28/2011	5/2/2013			VISHAY INTERTECHNOLOGY INC		-420.000		-3,756.86	5,695.11	1,938.25
5/2/2013	5/3/2013			SEC ID: 928298108		11363000720C				
12/1/2011	12/11/2012			VISHAY PRECISION GROUP INC		-130 000		-1,827.62	1,590.75	-236.87
12/11/2012	12/12/2012			SEC ID: 92835K103		11336000060C				
10/20/2011	11/20/2012			WALTER INVESTMENT MANAGEMENT		-50.000		-1,220.22	2,015.45	795.23
11/20/2012	11/21/2012			C		11294000050C				
10/20/2011	5/22/2013			SEC ID: 93317W102		-100.000		-2,440.43	3,867.24	1,426.81
5/22/2013	5/23/2013			WALTER INVESTMENT MANAGEMENT		11294000050C				
12/2/2011	1/2/2013			SEC ID: 93317W102		-20.000		-346.98	534.69	187.71
1/2/2013	1/3/2013			WORTHINGTON INDUSTRIES INC		11339000040C				
12/1/2011	1/2/2013			SEC ID: 981811102		-160.000		-2,775.14	4,277.48	1,502.34
1/2/2013	1/3/2013			WORTHINGTON INDUSTRIES INC		11336000070C				
12/1/2011	5/2/2013			SEC ID: 981811102		-50 000		-867.23	1,599.97	732.74
5/2/2013	5/3/2013			WORTHINGTON INDUSTRIES INC		11336000070C				
12/8/2011	5/2/2013			WORTHINGTON INDUSTRIES INC		-80.000		-1,356.25	2,559.94	1,203.69
5/2/2013	5/3/2013			SEC ID: 981811102		11343000050C				
12/6/2010	11/2/2012			HELEN OF TROY LTD NEW		-300.000		-6,998.55	9,293.04	2,294.49
11/2/2012	11/5/2012			SEC ID: G4388N106		10341000040C				
12/3/2010	11/2/2012			HELEN OF TROY LTD NEW		-150.000		-3,497.22	4,646.52	1,149.30
11/2/2012	11/5/2012			SEC ID: G4388N106		10340000090C				
5/10/2010	11/13/2012			ALTISSOURCE PORTFOLIO SOLUTIONS		-50 000		-1,096.80	5,299.56	4,202.76
11/13/2012	11/14/2012			SA REG SHS		10131000020C				
5/10/2010	11/20/2012			SEC ID: L0175J104		-20 000		-438.72	2,074.35	1,635.63
11/20/2012	11/21/2012			ALTISSOURCE PORTFOLIO SOLUTIONS		10131000020C				
5/10/2010	1/15/2013			SEC ID: L0175J104		-40 000		-877.44	3,839.42	2,961.98
1/15/2013	1/16/2013			ALTISSOURCE PORTFOLIO SOLUTIONS		10131000020C				
				SA REG SHS						
				SEC ID: L0175J104						



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Trade Date / Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
5/10/2010	8/26/2013	ALTISOURCE PORTFOLIO SOLUTIONS				
8/26/2013	8/27/2013	SA REG SHS	-20 000	-438.72	2,606.34	2,167.62
		SEC ID: L0175J104	10131000020C			
5/10/2010	10/2/2013	ALTISOURCE PORTFOLIO SOLUTIONS				
10/2/2013	10/3/2013	SA REG SHS	-30.000	-658.08	4,444.62	3,786.54
		SEC ID: L0175J104	10131000020C			
TOTAL LONG:				-514,068.71	676,773.77	162,705.06
			-36,181.820			
SHORT						
12/28/2011	11/20/2012	AAON INC				
11/20/2012	11/21/2012	SEC ID: 000360206	-40 000	-819.80	794.38	-25.42
8/30/2012	11/20/2012	ALBANY INTERNATIONAL CORP				
11/20/2012	11/21/2012	SEC ID: 012348108	-30 000	-630.56	625.18	-5.38
2/11/2013	4/10/2013	ALBANY INTERNATIONAL CORP				
4/10/2013	4/11/2013	SEC ID: 012348108	-130.000	-3,433.13	3,794.87	361.74
2/11/2013	5/2/2013	ALBANY INTERNATIONAL CORP				
5/2/2013	5/3/2013	SEC ID: 012348108	-40.000	-1,056.35	1,165.99	109.64
2/7/2013	5/2/2013	ALBANY INTERNATIONAL CORP				
5/2/2013	5/3/2013	SEC ID: 012348108	-90 000	-2,321.42	2,623.49	302.07
12/14/2012	12/26/2012	ALTISOURCE RESIDENTIAL CORP				
12/26/2012	12/27/2012	SEC ID: 02153W100	-113.000	-1,723.25	1,909.06	185.81
12/14/2012	1/4/2013	ALTISOURCE RESIDENTIAL CORP				
1/4/2013	1/4/2013	SEC ID: 02153W100	-0.333	-5.08	5.07	-0.01
12/14/2012	12/26/2012	ALTISOURCE ASSET MANAGEMENT				
12/26/2012	12/27/2012	CO	-34 000	-646.00	2,734.88	2,088.88
12/28/2011	11/20/2012	SEC ID: 02153X108				
11/20/2012	11/21/2012	AMERICAN REPROGRAPHICS CO	-10 000	-45.95	24.40	-21.55
11/9/2012	11/20/2012	SEC ID: 029263100				
11/20/2012	11/21/2012	BEAZER HOMES USA INC	-40 000	-662.29	589.18	-73.11
11/1/2012	4/5/2013	SEC ID: 07556Q881				
4/5/2013	4/8/2013	BEAZER HOMES USA INC	-40.000	-686.87	581.35	-105.52
11/9/2012	4/5/2013	SEC ID: 07556Q881				
4/5/2013	4/8/2013	BEAZER HOMES USA INC	-450 000	-7,450.74	6,540.14	-910.60
11/12/2012	4/5/2013	SEC ID: 07556Q881				
4/5/2013	4/8/2013	BEAZER HOMES USA INC	-10 000	-153.44	145.34	-8.10
11/12/2012	4/8/2013	SEC ID: 07556Q881				
4/8/2013	4/9/2013	BEAZER HOMES USA INC	-280.000	-4,296.29	4,123.63	-172.66
8/30/2012	11/20/2012	SEC ID: 07556Q881				
11/20/2012	11/21/2012	BIO-REFERENCE LABS INC	-60.000	-1,560.05	1,546.76	-13.29
		SEC ID: 09057G602	12244000070C			



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Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
8/30/2012	2/27/2013	BIO-REFERENCE LABS INC	-60 000	-1,560 05	1,555 22	-4 83
2/27/2013	2/28/2013	SEC ID: 09057G602	12244000070C			
8/30/2012	2/28/2013	BIO-REFERENCE LABS INC	-200 000	-5,200.16	5,188.22	-11 94
2/28/2013	3/1/2013	SEC ID: 09057G602	12244000070C			
6/6/2012	2/28/2013	BIO-REFERENCE LABS INC	-90 000	-1,926.93	2,334.70	407.77
2/28/2013	3/1/2013	SEC ID: 09057G602	12159000020C			
6/6/2012	4/10/2013	BIO-REFERENCE LABS INC	-120 000	-2,569 25	2,910.74	341.49
4/10/2013	4/11/2013	SEC ID: 09057G602	12159000020C			
6/5/2012	4/10/2013	BIO-REFERENCE LABS INC	-200 000	-4,069.64	4,851 23	781.59
4/10/2013	4/11/2013	SEC ID: 09057G602	12158000030C			
6/7/2012	4/10/2013	BIO-REFERENCE LABS INC	-10 000	-202.10	242.56	40 46
4/10/2013	4/11/2013	SEC ID: 09057G602	12160000090C			
6/7/2012	4/11/2013	BIO-REFERENCE LABS INC	-330 000	-6,669 16	7,924 21	1,255.05
4/11/2013	4/12/2013	SEC ID: 09057G602	12160000090C			
12/28/2011	11/20/2012	BRYN MAWR BANK CORP	-60 000	-1,169 69	1,260 57	90.88
11/20/2012	11/21/2012	SEC ID: 117665109	11363000090C			
2/29/2012	11/20/2012	CAI INTERNATIONAL INC	-70 000	-1,408.73	1,520.36	111 63
11/20/2012	11/21/2012	SEC ID: 12477X106	12061000040C			
2/29/2012	11/26/2012	CAI INTERNATIONAL INC	-60 000	-1,207.48	1,368.75	161.27
11/26/2012	11/27/2012	SEC ID: 12477X106	12061000040C			
4/4/2012	11/26/2012	CAI INTERNATIONAL INC	-80 000	-1,427 45	1,825 00	397 55
11/26/2012	11/27/2012	SEC ID: 12477X106	12096000070C			
4/4/2012	2/25/2013	CAI INTERNATIONAL INC	-60 000	-1,070.59	1,615.33	544 74
2/25/2013	2/26/2013	SEC ID: 12477X106	12096000070C			
5/10/2012	11/20/2012	CDI CORP	-70 000	-1,261.91	1,089.87	-172.04
11/20/2012	11/21/2012	SEC ID: 125071100	12132000040C			
5/10/2012	5/2/2013	CDI CORP	-70 000	-1,261.91	981.13	-280.78
5/2/2013	5/3/2013	SEC ID: 125071100	12132000040C			
5/15/2012	5/2/2013	CDI CORP	-120 000	-2,159 80	1,681 95	-477.85
5/2/2013	5/3/2013	SEC ID: 125071100	12137000050C			
7/26/2012	6/27/2013	CDI CORP	-30 000	-489.31	428.29	-61.02
6/27/2013	6/28/2013	SEC ID 125071100	12209000050C			
7/26/2012	7/9/2013	CDI CORP	-100 000	-1,631.04	1,424.18	-206 86
7/9/2013	7/10/2013	SEC ID: 125071100	12209000050C			
7/26/2012	7/11/2013	CDI CORP	-70 000	-1,141.73	1,002 73	-139 00
7/11/2013	7/12/2013	SEC ID: 125071100	12209000050C			
7/24/2012	7/11/2013	CDI CORP	-100 000	-1,576.29	1,432 47	-143.82
7/11/2013	7/12/2013	SEC ID: 125071100	12207000040C			
8/10/2012	11/20/2012	CECO ENVIRONMENTAL CORP	-50 000	-397.50	461 49	63.99
11/20/2012	11/21/2012	SEC ID 125141101	12226000020C			

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Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
8/10/2012	5/2/2013	CECO ENVIRONMENTAL CORP	-110 000	-874.50	1,311.18	436.68
5/2/2013	5/3/2013	SEC ID: 125141101	12226000020C			
2/10/2012	11/20/2012	CSS INDUSTRIES INC	-40 000	-838.70	798.90	-39.80
11/20/2012	11/21/2012	SEC ID: 125906107	12044000050C			
12/28/2011	11/20/2012	CVB FINANCIAL CORP	-140 000	-1,407.63	1,391.57	-16.06
11/20/2012	11/21/2012	SEC ID: 126600105	11363000120C			
11/2/2012	11/20/2012	CITY HOLDING CO	-20 000	-693.75	653.78	-39.97
11/20/2012	11/21/2012	SEC ID: 177835105	12310000010C			
1/3/2013	5/2/2013	CITY HOLDING CO	-60 000	-2,189.47	2,272.14	82.67
5/2/2013	5/3/2013	SEC ID: 177835105	13004000050C			
6/15/2012	11/20/2012	COLUMBUS MCKINNON CORP/NY	-70 000	-1,046.16	1,005.87	-40.29
11/20/2012	11/21/2012	SEC ID: 199333105	12170000040C			
3/27/2013	5/2/2013	COLUMBUS MCKINNON CORP/NY	-200 000	-3,865.96	3,708.91	-157.05
5/2/2013	5/3/2013	SEC ID: 199333105	13087000030C			
12/28/2011	11/20/2012	COMMUNITY BANK SYSTEM INC	-50 000	-1,372.20	1,313.47	-58.73
11/20/2012	11/21/2012	SEC ID: 203607106	11363000170C			
4/4/2012	11/20/2012	CONVERGYS CORP	-110 000	-1,459.57	1,655.47	195.90
11/20/2012	11/21/2012	SEC ID: 212485106	12096000080C			
2/7/2012	11/13/2012	COOPER TIRE & RUBBER CO	-280 000	-4,667.91	6,689.61	2,021.70
11/13/2012	11/14/2012	SEC ID: 216831107	12039000050C			
2/6/2012	11/20/2012	COOPER TIRE & RUBBER CO	-110 000	-1,794.43	2,623.45	829.02
11/20/2012	11/21/2012	SEC ID: 216831107	12038000040C			
2/6/2012	12/26/2012	COOPER TIRE & RUBBER CO	-220 000	-3,588.86	5,328.76	1,739.90
12/26/2012	12/27/2012	SEC ID: 216831107	12038000040C			
2/3/2012	12/26/2012	COOPER TIRE & RUBBER CO	-70 000	-1,128.72	1,695.52	566.80
12/26/2012	12/27/2012	SEC ID: 216831107	12037000040C			
5/11/2012	3/20/2013	COOPER TIRE & RUBBER CO	-180 000	-2,720.63	4,992.55	2,271.92
3/20/2013	3/21/2013	SEC ID: 216831107	12135000050C			
5/11/2012	3/28/2013	COOPER TIRE & RUBBER CO	-400 000	-6,045.84	10,221.41	4,175.57
3/28/2013	3/29/2013	SEC ID: 216831107	12135000050C			
4/8/2013	5/2/2013	CROCS INC	-260 000	-4,012.16	4,120.93	108.77
5/2/2013	5/3/2013	SEC ID: 227046109	13099000050C			
4/8/2013	7/25/2013	CROCS INC	-270 000	-4,166.48	3,612.97	-553.51
7/25/2013	7/26/2013	SEC ID: 227046109	13099000050C			
4/9/2013	7/25/2013	CROCS INC	-370 000	-5,621.60	4,951.10	-670.50
7/25/2013	7/26/2013	SEC ID: 227046109	13100000120C			
4/10/2013	7/25/2013	CROCS INC	-220 000	-3,341.01	2,943.90	-397.11
7/25/2013	7/26/2013	SEC ID: 227046109	13101000110C			
4/10/2013	7/26/2013	CROCS INC	-350 000	-5,315.24	4,776.33	-538.91
7/26/2013	7/29/2013	SEC ID: 227046109	13101000110C			

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4/5/2013	7/26/2013	CROCS INC SEC ID: 227046109	-500.000 13098000080C	-7,564.00	6,823.33	-740.67
7/26/2013	7/29/2013					
1/2/2013	5/2/2013	DARLING INTERNATIONAL INC SEC ID: 237266101	-230.000 13003000040C	-3,838.36	4,199.72	361.36
5/2/2013	5/3/2013					
4/15/2013	8/8/2013	DAWSON GEOPHYSICAL CO SEC ID: 239359102	-100.000 13106000050C	-2,926.55	3,612.14	685.59
8/8/2013	8/9/2013					
4/12/2013	5/2/2013	DELEK US HOLDINGS INC SEC ID: 246647101	-110.000 13105000100C	-4,121.07	3,967.62	-153.45
5/2/2013	5/3/2013					
3/22/2013	9/12/2013	DELEK US HOLDINGS INC SEC ID: 246647101	-110.000 13239000060A	-4,265.02	2,455.18	-1,809.84
9/12/2013	9/13/2013					
4/12/2013	9/12/2013	DELEK US HOLDINGS INC SEC ID: 246647101	-90.000 13105000100C	-3,371.79	2,008.78	-1,363.01
9/12/2013	9/13/2013					
4/12/2013	9/13/2013	DELEK US HOLDINGS INC SEC ID: 246647101	-280.000 13105000100C	-10,490.00	6,197.27	-4,292.73
9/12/2013	9/13/2013					
4/12/2013	9/13/2013	DELEK US HOLDINGS INC SEC ID: 246647101	-210.000 13102000060C	-7,849.36	4,587.45	-3,261.91
4/11/2013	9/16/2013					
9/16/2013	9/17/2013	DELEK US HOLDINGS INC SEC ID: 246647101	-100.000 13126000020C	-3,663.55	2,184.50	-1,479.05
5/3/2013	9/16/2013					
9/16/2013	9/17/2013	DELTA APPAREL INC SEC ID: 247368103	-50.000 11363000190C	-966.75	724.99	-241.76
12/28/2011	11/20/2012					
11/20/2012	11/21/2012	DELTA APPAREL INC SEC ID: 247368103	-140.000 12083000020C	-2,265.20	1,949.30	-315.90
3/22/2012	1/3/2013					
1/3/2013	1/4/2013	DELTA APPAREL INC SEC ID: 247368103	-110.000 12080000030C	-1,779.47	1,531.59	-247.88
3/19/2012	1/3/2013					
1/3/2013	1/4/2013	DELTA APPAREL INC SEC ID: 247368103	-10.000 12080000030C	-161.77	139.39	-22.38
3/19/2012	1/24/2013					
1/24/2013	1/25/2013	DELTA APPAREL INC SEC ID: 247368103	-100.000 12047000020C	-1,613.64	1,393.88	-219.76
2/15/2012	1/24/2013					
1/24/2013	1/25/2013	DELTA APPAREL INC SEC ID: 247368103	-90.000 12158000050C	-1,291.50	1,254.50	-37.00
6/5/2012	1/24/2013					
1/24/2013	1/25/2013	DELTA APPAREL INC SEC ID: 247368103	-50.000 12158000050C	-717.50	701.30	-16.20
6/5/2012	1/28/2013					
1/28/2013	1/29/2013	DELTA APPAREL INC SEC ID: 247368103	-260.000 12181000080C	-3,647.77	3,646.78	-0.99
6/28/2012	1/28/2013					
1/28/2013	1/29/2013	DELTA APPAREL INC SEC ID: 247368103	-50.000 12257000010C	-1,533.60	1,494.46	-39.14
9/12/2012	11/20/2012					
11/20/2012	11/21/2012	DELUXE CORP SEC ID: 248019101	-130.000 13004000060C	-4,276.69	5,179.87	903.18
1/3/2013	4/5/2013					
4/5/2013	4/8/2013	DELUXE CORP SEC ID: 248019101	-80.000 12257000010C	-2,453.76	3,187.62	733.86
9/12/2012	4/5/2013					
4/5/2013	4/8/2013	DELUXE CORP SEC ID: 248019101				

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9/12/2012	5/2/2013	DELUXE CORP SEC ID: 248019101	-100.000	-3,067.20	3,692.92	625.72
5/2/2013	5/3/2013	DELUXE CORP	12257000010C			
7/29/2013	9/13/2013	DELUXE CORP	-150.000	-6,008.19	5,943.00	-65.19
9/13/2013	9/16/2013	SEC ID: 248019101	13211000050C			
12/28/2011	11/20/2012	DIME COMMUNITY BANKSHARES INC	-70.000	-875.98	944.97	68.99
11/20/2012	11/21/2012	SEC ID: 253922108	11363000200C			
9/7/2012	11/20/2012	EPL OIL & GAS INC	-70.000	-1,303.60	1,386.66	83.06
11/20/2012	11/21/2012	SEC ID: 26883D108	12256000130F			
9/7/2012	5/2/2013	EPL OIL & GAS INC	-150.000	-2,793.44	4,937.91	2,144.47
5/2/2013	5/3/2013	SEC ID: 26883D108	12256000130F			
6/7/2012	11/20/2012	ELECTRONICS FOR IMAGING INC	-90.000	-1,401.49	1,502.96	101.47
11/20/2012	11/21/2012	SEC ID 286082102	12160000100C			
6/7/2012	1/25/2013	ELECTRONICS FOR IMAGING INC	-10.000	-155.72	227.78	72.06
1/25/2013	1/28/2013	SEC ID: 286082102	12160000100C			
6/11/2012	1/25/2013	ELECTRONICS FOR IMAGING INC	-140.000	-2,153.51	3,188.93	1,035.42
1/25/2013	1/28/2013	SEC ID: 286082102	12164000030C			
6/5/2012	2/4/2013	ELECTRONICS FOR IMAGING INC	-160.000	-2,458.70	3,568.76	1,110.06
2/4/2013	2/5/2013	SEC ID 286082102	12158000060C			
8/14/2012	2/4/2013	ELECTRONICS FOR IMAGING INC	-20.000	-306.48	446.09	139.61
2/4/2013	2/5/2013	SEC ID: 286082102	12228000040C			
8/14/2012	4/5/2013	ELECTRONICS FOR IMAGING INC	-100.000	-1,532.38	2,417.75	885.37
4/5/2013	4/8/2013	SEC ID: 286082102	12228000040C			
8/14/2012	4/8/2013	ELECTRONICS FOR IMAGING INC	-180.000	-2,758.28	4,325.93	1,567.65
4/8/2013	4/9/2013	SEC ID: 286082102	12228000040C			
7/31/2013	8/14/2013	EPLUS INC	-80.000	-5,041.58	4,586.02	-455.56
8/14/2013	8/15/2013	SEC ID: 294268107	13213000050C			
7/24/2013	8/14/2013	EPLUS INC	-90.000	-5,539.95	5,159.28	-380.67
8/14/2013	8/15/2013	SEC ID: 294268107	13206000040C			
1/3/2013	5/2/2013	FIRST FINANCIAL BANKSHARES INC	-100.000	-4,107.12	4,783.34	676.22
5/2/2013	5/3/2013	SEC ID: 32020R109	13004000070C			
9/19/2012	8/6/2013	GT ADVANCED TECHNOLOGIES INC	-1,510.000	-10,464.00	8,714.96	-1,749.04
8/6/2013	8/7/2013	SEC ID 36191U106	12264000040C			
11/29/2011	11/20/2012	GULFPORT ENERGY CORP	-50.000	-1,485.90	1,605.46	119.56
11/20/2012	11/21/2012	SEC ID: 402635304	11334000040C			
4/10/2013	10/21/2013	HACKETT GROUP INC/THE	-200.000	-991.90	1,434.08	442.18
10/21/2013	10/22/2013	SEC ID: 404609109	13101000120C			
6/5/2013	10/21/2013	HACKETT GROUP INC/THE	-30.000	-145.80	215.11	69.31
10/21/2013	10/22/2013	SEC ID: 404609109	13157000030C			
6/5/2013	10/31/2013	HACKETT GROUP INC/THE	-270.000	-1,312.20	1,925.74	613.54
10/31/2013	11/1/2013	SEC ID 404609109	13157000030C			



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4/18/2013	10/31/2013	HACKETT GROUP INC/THE	-330 000	-1,603.70	2,353.68	749.98
10/31/2013	11/1/2013	SEC ID: 404609109	13109000040C			
8/6/2012	11/20/2012	HALCON RESOURCES CORP	-130.000	-952.41	781.29	-171.12
11/20/2012	11/21/2012	SEC ID: 40537Q209	12220000030C			
8/6/2012	4/18/2013	HALCON RESOURCES CORP	-610 000	-4,468.98	3,981.44	-487.54
4/18/2013	4/19/2013	SEC ID: 40537Q209	12220000030C			
8/6/2012	4/19/2013	HALCON RESOURCES CORP	-219 680	-1,609.42	1,431.34	-178.08
4/19/2013	4/22/2013	SEC ID: 40537Q209	12220000030C			
1/2/2013	4/19/2013	HALCON RESOURCES CORP	-510 000	-3,721.83	3,322.93	-398.90
4/19/2013	4/22/2013	SEC ID: 40537Q209	13003000050C			
3/1/2013	4/19/2013	HALCON RESOURCES CORP	-510.320	-3,516.62	3,325.01	-191.61
4/19/2013	4/22/2013	SEC ID: 40537Q209	13063000110C			
3/1/2013	5/2/2013	HALCON RESOURCES CORP	-400 000	-2,756.40	2,275.98	-480.42
5/2/2013	5/3/2013	SEC ID: 40537Q209	13063000110C			
3/1/2013	5/20/2013	HALCON RESOURCES CORP	-489 680	-3,374.38	3,003.14	-371.24
5/20/2013	5/21/2013	SEC ID: 40537Q209	13063000110C			
3/5/2013	5/30/2013	HALCON RESOURCES CORP	-730.000	-4,747.63	3,895.94	-851.69
5/30/2013	5/31/2013	SEC ID: 40537Q209	13065000070C			
3/28/2012	11/20/2012	HAYNES INTERNATIONAL INC	-30.000	-1,878.95	1,408.76	-470.19
11/20/2012	11/21/2012	SEC ID: 420877201	12089000050C			
1/2/2013	5/2/2013	HILLENBRAND INC	-180 000	-4,192.88	4,469.31	276.43
5/2/2013	5/3/2013	SEC ID: 431571108	13003000060C			
2/22/2013	5/2/2013	HYSTER-YALE MATERIALS HANDLING	-60.000	-3,019.70	3,140.33	120.63
5/2/2013	5/3/2013	SEC ID: 449172105	13056000040C			
3/4/2013	5/2/2013	JOHN BEAN TECHNOLOGIES CORP	-200.000	-3,636.06	4,042.70	406.64
5/2/2013	5/3/2013	SEC ID: 477839104	13064000080C			
1/9/2012	11/20/2012	KRATON PERFORMANCE POLYMERS	-80 000	-1,974.10	1,720.76	-253.34
11/20/2012	11/21/2012	IN	12010000030C			
3/26/2013	5/2/2013	SEC ID: 50077C106	-30 000	-733.44	624.29	-109.15
5/2/2013	5/3/2013	KRATON PERFORMANCE POLYMERS	13086000010C			
3/26/2013	10/15/2013	SEC ID: 50077C106	-80 000	-1,955.85	1,511.15	-444.70
10/15/2013	10/16/2013	KRATON PERFORMANCE POLYMERS	13086000010C			
4/2/2012	11/20/2012	LSB INDUSTRIES INC	-50 000	-1,990.17	1,683.96	-306.21
11/20/2012	11/21/2012	SEC ID: 502160104	12094000020C			
11/7/2012	10/16/2013	LSB INDUSTRIES INC	-120.000	-4,641.40	4,043.99	-597.41
10/16/2013	10/17/2013	SEC ID: 502160104	12313000020C			
4/24/2013	5/2/2013	LAWSON PRODUCTS INC/DE	-90.000	-1,329.30	1,151.97	-177.33
5/2/2013	5/3/2013	SEC ID: 520776105	13115000060C			

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11/8/2012	11/20/2012	LAYNE CHRISTENSEN CO	-50 000	-1,081.96	1,077.47	-4.49
11/20/2012	11/21/2012	SEC ID: 521050104	12314000020C			
11/8/2012	4/9/2013	LAYNE CHRISTENSEN CO	-200.000	-4,327.84	4,083.30	-244.54
4/9/2013	4/10/2013	SEC ID: 521050104	12314000020C			
10/8/2012	4/10/2013	LAYNE CHRISTENSEN CO	-130.000	-2,771.82	2,667.90	-103.92
4/10/2013	4/11/2013	SEC ID: 521050104	12283000030C			
10/8/2012	4/15/2013	LAYNE CHRISTENSEN CO	-50 000	-1,066.09	911.11	-154.98
4/15/2013	4/16/2013	SEC ID: 521050104	12283000030C			
10/4/2012	4/15/2013	LAYNE CHRISTENSEN CO	-50 000	-1,060.96	911.11	-149.85
4/15/2013	4/16/2013	SEC ID: 521050104	12279000090C			
10/4/2012	4/16/2013	LAYNE CHRISTENSEN CO	-160.000	-3,395.07	2,879.55	-515.52
4/16/2013	4/17/2013	SEC ID: 521050104	12279000090C			
10/4/2012	4/17/2013	LAYNE CHRISTENSEN CO	-60 000	-1,273.15	1,070.71	-202.44
4/17/2013	4/18/2013	SEC ID: 521050104	12279000090C			
10/3/2012	4/17/2013	LAYNE CHRISTENSEN CO	-100 000	-2,097.81	1,784.52	-313.29
4/17/2013	4/18/2013	SEC ID: 521050104	12278000040C			
10/2/2012	4/17/2013	LAYNE CHRISTENSEN CO	-100.000	-2,091.90	1,784.52	-307.38
4/17/2013	4/18/2013	SEC ID: 521050104	12277000030C			
10/31/2012	11/20/2012	LENDER PROCESSING SERVICES INC	-110 000	-2,648.80	2,577.25	-71.55
11/20/2012	11/21/2012	SEC ID: 52602E102	12307000040C			
10/31/2012	5/2/2013	LENDER PROCESSING SERVICES INC	-240 000	-5,779.20	6,492.04	712.84
5/2/2013	5/3/2013	SEC ID: 52602E102	12307000040C			
10/31/2012	5/23/2013	LENDER PROCESSING SERVICES INC	-270.000	-6,501.60	8,808.73	2,307.13
5/23/2013	5/24/2013	SEC ID: 52602E102	12307000040C			
4/27/2012	11/20/2012	LEXMARK INTERNATIONAL INC	-80.000	-2,413.81	1,910.35	-503.46
11/20/2012	11/21/2012	SEC ID: 529771107	12121000070C			
12/28/2011	11/21/2012	LIFETIME BRANDS INC	-103 000	-1,199.42	973.22	-226.20
11/21/2012	11/23/2012	SEC ID: 53222Q103	113630000410C			
12/28/2011	11/20/2012	LYDALL INC	-70 000	-661.14	884.08	222.94
11/20/2012	11/21/2012	SEC ID: 550819106	113630000430C			
10/25/2012	11/20/2012	M/I HOMES INC	-90.000	-2,119.36	1,947.55	-171.81
11/20/2012	11/21/2012	SEC ID 55305B101	12300000040C			
10/25/2012	5/2/2013	M/I HOMES INC	-170.000	-4,003.25	4,389.31	386.06
5/2/2013	5/3/2013	SEC ID: 55305B101	12300000040C			
7/25/2012	5/2/2013	M/I HOMES INC	-40.000	-662.93	1,032.78	369.85
5/2/2013	5/3/2013	SEC ID: 55305B101	12208000020C			
12/28/2011	11/20/2012	MAGELLAN HEALTH SERVICES INC	-40 000	-1,980.60	1,979.55	-1.05
11/20/2012	11/21/2012	SEC ID: 559079207	113630000440C			
8/30/2012	11/20/2012	MATERION CORP	-50.000	-1,055.04	980.47	-74.57
11/20/2012	11/21/2012	SEC ID 576690101	12244000080C			

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8/17/2012	2/27/2013	MATERION CORP SEC ID: 576690101	-50 000 13016000090A	-1,107.13	1,379.59	272.46
2/27/2013	2/28/2013	MATERION CORP SEC ID: 576690101	-50.000 12244000080C	-1,055.04	1,379.59	324.55
8/30/2012	2/27/2013	MATERION CORP SEC ID: 576690101	-10.000 13092000040C	-278.86	250.39	-28.47
2/27/2013	5/2/2013	MATERION CORP SEC ID: 576690101	-100 000 13093000040C	-2,819.42	2,503.95	-315.47
4/1/2013	8/28/2013	MATERION CORP SEC ID: 576690101	-30.000 13094000020C	-838.47	751.19	-87.28
8/1/2013	5/2/2013	MATERION CORP SEC ID: 576690101	-80.000 12034000050C	-678.68	508.02	-170.66
4/3/2013	11/20/2012	MERCER INTERNATIONAL INC SEC ID: 588056101	-90 000 12045000010C	-1,281.03	1,306.77	25.74
8/1/2013	11/21/2012	METALS USA HOLDINGS CORP SEC ID: 59132A104	-180 000 12045000010C	-2,562.07	3,205.11	643.04
2/2/2012	1/2/2013	METALS USA HOLDINGS CORP SEC ID: 59132A104	-90.000 12045000010C	-1,281.03	1,850.57	569.54
11/20/2012	2/6/2013	METALS USA HOLDINGS CORP SEC ID: 59132A104	-510.000 12045000010C	-7,154.08	10,486.53	3,332.45
2/13/2012	2/6/2013	METALS USA HOLDINGS CORP SEC ID: 59132A104	-70 000 12048000040C	-940.63	1,439.33	498.70
1/2/2013	2/6/2013	METALS USA HOLDINGS CORP SEC ID: 59132A104	-210.000 12076000030C	-2,785.23	4,348.94	1,563.71
2/13/2012	2/25/2013	METALS USA HOLDINGS CORP SEC ID: 59132A104	-60.000 12076000030C	-795.78	1,242.79	447.01
2/6/2013	2/26/2013	METALS USA HOLDINGS CORP SEC ID: 59132A104	-310.000 12076000030C	-4,098.23	6,421.06	2,322.83
2/10/2012	2/27/2013	METHODE ELECTRONICS INC SEC ID: 591520200	-60 000 12059000050C	-539.11	529.18	-9.93
2/6/2013	11/20/2012	METHODE ELECTRONICS INC SEC ID: 591520200	-180.000 12228000060C	-1,757.81	2,530.84	773.03
2/16/2012	5/2/2013	METHODE ELECTRONICS INC SEC ID: 591520200	-10 000 13024000030C	-96.89	140.60	43.71
2/6/2013	5/3/2013	METHODE ELECTRONICS INC SEC ID: 591520200	-50 000 130160000170A	-484.44	735.04	250.60
3/15/2012	5/2/2013	METHODE ELECTRONICS INC SEC ID: 591520200	-140 000 130160000170A	-1,333.28	2,058.12	724.84
2/25/2013	6/17/2013	METHODE ELECTRONICS INC SEC ID: 591520200	-40 000 12355000060C	-359.41	588.03	228.62
3/15/2012	6/18/2013	METHODE ELECTRONICS INC SEC ID: 591520200	-40 000 12228000060C			



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Original Acquisition's Trade Date of Sale/ Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
7/20/2012	6/17/2013	METHODE ELECTRONICS INC SEC ID: 591520200	-150 000	-1,298.69	2,205.13	906.44
6/17/2013	6/18/2013		12205000020C			
7/24/2012	6/17/2013	METHODE ELECTRONICS INC SEC ID: 591520200	-120 000	-1,026.55	1,764.10	737.55
6/17/2013	6/18/2013		12207000060C			
7/24/2012	6/21/2013	METHODE ELECTRONICS INC SEC ID: 591520200	-80 000	-684.37	1,248.13	563.76
6/21/2013	6/24/2013		12207000060C			
10/9/2012	11/20/2012	MODINE MANUFACTURING CO SEC ID: 607828100	-100 000	-722.92	633.99	-88.93
11/20/2012	11/21/2012		12284000080C			
10/9/2012	5/2/2013	MODINE MANUFACTURING CO SEC ID: 607828100	-100 000	-722.92	904.99	182.07
5/2/2013	5/3/2013		12284000080C			
10/10/2012	5/2/2013	MODINE MANUFACTURING CO SEC ID: 607828100	-120 000	-857.24	1,085.98	228.74
5/2/2013	5/3/2013		12285000030C			
7/3/2012	11/20/2012	NCI BUILDING SYSTEMS INC SEC ID: 628852204	-90 000	-994.87	1,066.34	71.47
11/20/2012	11/21/2012		12187000020C			
1/3/2013	5/2/2013	NCI BUILDING SYSTEMS INC SEC ID: 628852204	-250 000	-3,544.30	4,035.15	490.85
5/2/2013	5/3/2013		13004000080C			
4/2/2012	11/20/2012	NEWPARK RESOURCES INC SEC ID: 651718504	-160 000	-1,319.63	1,161.59	-158.04
11/20/2012	11/21/2012		12094000030C			
4/9/2013	5/2/2013	NEWPARK RESOURCES INC SEC ID: 651718504	-160 000	-1,429.46	1,691.24	261.78
5/2/2013	5/3/2013		13100000130C			
4/15/2013	5/2/2013	NEWPARK RESOURCES INC SEC ID: 651718504	-270 000	-2,326.48	2,853.97	527.49
5/2/2013	5/3/2013		13106000080C			
4/15/2013	5/29/2013	NEWPARK RESOURCES INC SEC ID: 651718504	-100 000	-861.66	1,131.30	269.64
5/29/2013	5/30/2013		13106000080C			
4/15/2013	5/30/2013	NEWPARK RESOURCES INC SEC ID: 651718504	-40 000	-344.67	448.50	103.83
5/30/2013	5/31/2013		13106000080C			
8/15/2012	11/20/2012	NORTHERN OIL AND GAS INC SEC ID: 665531109	-80 000	-1,402.28	1,190.37	-211.91
11/20/2012	11/21/2012		12299000070C			
8/15/2012	3/1/2013	NORTHERN OIL AND GAS INC SEC ID: 665531109	-250 000	-4,382.13	3,501.64	-880.49
3/1/2013	3/4/2013		12299000070C			
8/14/2012	3/1/2013	NORTHERN OIL AND GAS INC SEC ID: 665531109	-600 000	-10,269.00	8,403.95	-1,865.05
3/1/2013	3/4/2013		12228000080C			
7/30/2012	3/4/2013	NORTHERN OIL AND GAS INC SEC ID: 665531109	-90 000	-1,622.28	1,232.87	-389.41
7/1/2013	7/16/2013		13197000070A			
2/12/2013	3/5/2013	NORTHERN OIL AND GAS INC SEC ID: 665531109	-310 000	-4,586.67	4,302.02	-284.65
7/1/2013	7/16/2013		13044000040C			
1/7/2013	5/2/2013	OCWEN FINANCIAL CORP SEC ID: 675746309	-150 000	-5,154.36	5,566.39	412.03
5/2/2013	5/3/2013		13008000060C			
9/17/2012	11/20/2012	OFFICE DEPOT INC SEC ID: 676220106	-300 000	-800.16	885.01	84.85
11/20/2012	11/21/2012		12262000020C			



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Status: REVISED

Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
1/3/2013	2/19/2013	OFFICE DEPOT INC	-950 000	-3,437.96	5,311.81	1,873.85
2/19/2013	2/20/2013	SEC ID: 676220106	13004000090C			
1/3/2013	3/13/2013	OFFICE DEPOT INC	-150.000	-542.83	603.92	61.09
3/13/2013	3/14/2013	SEC ID 676220106	13004000090C			
1/2/2013	3/13/2013	OFFICE DEPOT INC	-1,680 000	-5,894.11	6,763.86	869.75
3/13/2013	3/14/2013	SEC ID. 676220106	13003000070C			
9/17/2012	3/13/2013	OFFICE DEPOT INC	-1,680 000	-4,480.90	6,763.86	2,282.96
3/13/2013	3/14/2013	SEC ID: 676220106	12262000020C			
9/17/2012	3/18/2013	OFFICE DEPOT INC	-730.000	-1,947.06	2,989.06	1,042.00
3/18/2013	3/19/2013	SEC ID: 676220106	12262000020C			
9/17/2012	3/20/2013	OFFICE DEPOT INC	-620.000	-1,653.66	2,535.80	882.14
3/20/2013	3/21/2013	SEC ID: 676220106	12262000020C			
9/17/2012	3/21/2013	OFFICE DEPOT INC	-450 000	-1,200.24	1,840.46	640.22
3/21/2013	3/22/2013	SEC ID: 676220106	12262000020C			
10/31/2012	3/21/2013	OFFICE DEPOT INC	-70 000	-173.72	286.29	112.57
3/21/2013	3/22/2013	SEC ID 676220106	12306000040C			
10/31/2012	4/16/2013	OFFICE DEPOT INC	-1,460.000	-3,623.28	5,443.93	1,820.65
4/16/2013	4/17/2013	SEC ID- 676220106	12306000040C			
10/3/2012	4/16/2013	OFFICE DEPOT INC	-410.000	-958.79	1,528.77	569.98
4/16/2013	4/17/2013	SEC ID: 676220106	12278000050C			
4/25/2012	11/20/2012	PHH CORP	-80 000	-1,270.46	1,629.56	359.10
11/20/2012	11/21/2012	SEC ID: 693320202	12117000030C			
1/3/2013	5/2/2013	PHH CORP	-90 000	-2,094.85	1,820.69	-274.16
5/2/2013	5/3/2013	SEC ID 693320202	13004000100C			
2/26/2013	5/2/2013	PHH CORP	-140.000	-2,948.99	2,832.19	-116.80
5/2/2013	5/3/2013	SEC ID: 693320202	13058000080C			
2/26/2013	7/29/2013	PHH CORP	-80 000	-1,685.13	1,799.60	114.47
7/29/2013	7/30/2013	SEC ID: 693320202	13058000080C			
6/11/2012	11/20/2012	PEP BOYS-MANNY MOE & JACK	-100 000	-901.22	1,019.98	118.76
11/20/2012	11/21/2012	SEC ID: 713278109	12164000040C			
6/11/2012	1/15/2013	PEP BOYS-MANNY MOE & JACK	-170.000	-1,532.07	1,753.22	221.15
1/15/2013	1/16/2013	SEC ID 713278109	12164000040C			
7/31/2012	1/15/2013	PEP BOYS-MANNY MOE & JACK	-310.000	-2,792.48	3,197.04	404.56
1/15/2013	1/16/2013	SEC ID: 713278109	12214000050C			
7/31/2012	4/5/2013	PEP BOYS-MANNY MOE & JACK	-150 000	-1,351.20	1,746.47	395.27
4/5/2013	4/8/2013	SEC ID: 713278109	12214000050C			
8/1/2012	4/9/2013	PEP BOYS-MANNY MOE & JACK	-100 000	-900.09	1,138.27	238.18
4/9/2013	4/10/2013	SEC ID: 713278109	12215000070C			
8/1/2012	4/10/2013	PEP BOYS-MANNY MOE & JACK	-170.000	-1,530.15	1,955.97	425.82
4/10/2013	4/11/2013	SEC ID 713278109	12215000070C			



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Original Acquisition's Trade Date of Sale /

Trade Date / Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
6/8/2012	4/10/2013	PEP BOYS-MANNY MOE & JACK	-230.000	-2,052.91	2,646.32	593.41
4/10/2013	4/11/2013	SEC ID: 713278109	12163000050C			
6/8/2012	4/12/2013	PEP BOYS-MANNY MOE & JACK	-390.000	-3,481.02	4,531.18	1,050.16
4/12/2013	4/15/2013	SEC ID: 713278109	12163000050C			
6/8/2012	4/15/2013	PEP BOYS-MANNY MOE & JACK	-340.000	-3,034.74	3,839.19	804.45
4/15/2013	4/16/2013	SEC ID: 713278109	12163000050C			
6/4/2013	9/20/2013	PETROQUEST ENERGY INC	-360.000	-1,725.73	1,469.20	-256.53
9/20/2013	9/23/2013	SEC ID: 716748108	13156000030C			
6/4/2013	9/23/2013	PETROQUEST ENERGY INC	-140.000	-671.12	567.39	-103.73
9/23/2013	9/24/2013	SEC ID: 716748108	13156000030C			
6/12/2013	9/23/2013	PETROQUEST ENERGY INC	-100.000	-479.33	405.28	-74.05
9/23/2013	9/24/2013	SEC ID: 716748108	13164000040C			
6/11/2013	9/23/2013	PETROQUEST ENERGY INC	-60.000	-286.97	243.17	-43.80
9/23/2013	9/24/2013	SEC ID: 716748108	13163000100C			
6/11/2013	9/25/2013	PETROQUEST ENERGY INC	-200.000	-956.56	797.34	-159.22
9/25/2013	9/26/2013	SEC ID: 716748108	13163000100C			
6/11/2013	9/26/2013	PETROQUEST ENERGY INC	-30.000	-143.48	119.51	-23.97
9/26/2013	9/27/2013	SEC ID: 716748108	13163000100C			
6/14/2013	9/26/2013	PETROQUEST ENERGY INC	-180.000	-860.40	717.05	-143.35
9/26/2013	9/27/2013	SEC ID: 716748108	13165000100C			
6/14/2013	9/27/2013	PETROQUEST ENERGY INC	-1,880.000	-8,986.40	7,279.98	-1,706.42
9/27/2013	9/30/2013	SEC ID: 716748108	13165000100C			
7/24/2013	9/27/2013	PETROQUEST ENERGY INC	-30.000	-138.90	116.17	-22.73
9/27/2013	9/30/2013	SEC ID: 716748108	13206000060C			
7/24/2013	10/1/2013	PETROQUEST ENERGY INC	-470.000	-2,176.10	1,875.69	-300.41
10/1/2013	10/2/2013	SEC ID: 716748108	13206000060C			
7/26/2013	10/1/2013	PETROQUEST ENERGY INC	-460.000	-2,129.80	1,835.78	-294.02
10/1/2013	10/2/2013	SEC ID: 716748108	13210000050C			
7/26/2013	10/2/2013	PETROQUEST ENERGY INC	-40.000	-185.20	159.75	-25.45
10/2/2013	10/3/2013	SEC ID: 716748108	13210000050C			
7/29/2013	10/2/2013	PETROQUEST ENERGY INC	-390.000	-1,799.74	1,557.51	-242.23
10/2/2013	10/3/2013	SEC ID: 716748108	13211000080C			
7/29/2013	10/3/2013	PETROQUEST ENERGY INC	-190.000	-876.79	757.74	-119.05
10/3/2013	10/4/2013	SEC ID: 716748108	13211000080C			
7/3/2013	10/3/2013	PETROQUEST ENERGY INC	-300.000	-1,263.00	1,196.44	-66.56
10/3/2013	10/4/2013	SEC ID: 716748108	13186000070C			
7/5/2012	11/20/2012	PLANTRONICS INC	-40.000	-1,391.46	1,278.77	-112.69
11/20/2012	11/21/2012	SEC ID: 727493108	12188000040C			
7/5/2012	5/2/2013	PLANTRONICS INC	-100.000	-3,478.64	4,362.20	883.56
5/2/2013	5/3/2013	SEC ID: 727493108	12188000040C			



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Original Acquisition's Trade Date of Sale /

Trade Date / Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
4/15/2013	5/2/2013	PLEXUS CORP	-100 000	-2,668.79	2,624.94	-43.85
8/1/2013	8/28/2013	SEC ID: 729132100	13240000280A			
4/24/2013	5/2/2013	PLEXUS CORP	-40.000	-1,062.59	1,049.98	-12.61
8/1/2013	8/28/2013	SEC ID: 729132100	13115000070C			
4/24/2013	7/1/2013	PLEXUS CORP	-70 000	-1,859.52	2,132.06	272.54
8/1/2013	8/28/2013	SEC ID: 729132100	13115000070C			
3/19/2013	7/1/2013	PLEXUS CORP	-30 000	-720.45	913.74	193.29
8/1/2013	8/28/2013	SEC ID: 729132100	13079000040C			
3/19/2013	7/2/2013	PLEXUS CORP	-100.000	-2,401.51	3,022.72	621.21
7/2/2013	7/3/2013	SEC ID: 729132100	13079000040C			
3/19/2013	7/18/2013	PLEXUS CORP	-130.000	-3,121.96	4,264.54	1,142.58
7/18/2013	7/19/2013	SEC ID: 729132100	13079000040C			
3/19/2013	7/22/2013	PLEXUS CORP	-70 000	-1,681.06	2,324.08	643.02
7/22/2013	7/23/2013	SEC ID: 729132100	13079000040C			
12/4/2012	7/22/2013	PLEXUS CORP	-110 000	-2,483.46	3,652.12	1,168.66
7/22/2013	7/23/2013	SEC ID: 729132100	12340000020C			
5/16/2012	11/20/2012	POLYONE CORP	-80.000	-1,094.90	1,554.36	459.46
11/20/2012	11/21/2012	SEC ID: 73179P106	12138000080C			
5/17/2012	4/22/2013	POWER-ONE INC	-550.000	-2,184.33	3,471.36	1,287.03
4/22/2013	4/23/2013	SEC ID: 73930R102	12139000080C			
5/16/2012	4/22/2013	POWER-ONE INC	-1,220 000	-4,837.67	7,700.10	2,862.43
4/22/2013	4/23/2013	SEC ID: 73930R102	12138000090C			
5/15/2012	4/22/2013	POWER-ONE INC	-970.000	-3,838.78	6,122.21	2,283.43
4/22/2013	4/23/2013	SEC ID: 73930R102	12137000060C			
5/14/2012	4/22/2013	POWER-ONE INC	-1,040.000	-4,106.75	6,564.02	2,457.27
4/22/2013	4/23/2013	SEC ID: 73930R102	12136000030C			
12/28/2011	11/20/2012	PRIMERICA INC	-70 000	-1,636.94	1,932.65	295.71
11/20/2012	11/21/2012	SEC ID: 74164M108	113630000590C			
12/28/2011	11/20/2012	RLI CORP	-20 000	-1,470.70	1,350.96	-119.74
11/20/2012	11/21/2012	SEC ID: 749607107	113630000600C			
10/25/2012	11/20/2012	RTI INTERNATIONAL METALS INC	-30.000	-719.82	724.78	4.96
11/20/2012	11/21/2012	SEC ID: 74973W107	12300000050C			
1/2/2013	5/2/2013	RTI INTERNATIONAL METALS INC	-90.000	-2,569.66	2,528.04	-41.62
5/2/2013	5/3/2013	SEC ID: 74973W107	13003000080C			
1/2/2013	8/12/2013	RTI INTERNATIONAL METALS INC	-80 000	-2,284.15	2,571.28	287.13
8/12/2013	8/13/2013	SEC ID: 74973W107	13003000080C			
10/25/2012	8/12/2013	RTI INTERNATIONAL METALS INC	-230 000	-5,518.59	7,392.44	1,873.85
8/12/2013	8/13/2013	SEC ID: 74973W107	12300000050C			
10/25/2012	8/15/2013	RTI INTERNATIONAL METALS INC	-20.000	-479.88	631.85	151.97
8/15/2013	8/16/2013	SEC ID: 74973W107	12300000050C			

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Report ID: HDA824

Base Currency: USD

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Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
10/24/2012	8/15/2013	RTI INTERNATIONAL METALS INC	-130 000	-3,046.20	4,107.03	1,060.83
8/15/2013	8/16/2013	SEC ID: 74973W107	12299000020C			
10/24/2012	8/16/2013	RTI INTERNATIONAL METALS INC	-70 000	-1,640.26	2,212.46	572.20
8/16/2013	8/19/2013	SEC ID: 74973W107	12299000020C			
10/23/2012	8/16/2013	RTI INTERNATIONAL METALS INC	-100 000	-2,337.06	3,160.66	823.60
8/16/2013	8/19/2013	SEC ID: 74973W107	12298000040C			
3/12/2013	5/2/2013	RADIOHACK CORP	-680 000	-2,293.71	2,128.69	-165.02
5/2/2013	5/3/2013	SEC ID: 750438103	13072000050C			
4/26/2013	5/2/2013	RENT-A-CENTER INC/TX	-90 000	-3,071.00	3,140.93	69.93
5/2/2013	5/3/2013	SEC ID: 76009N100	13119000050C			
5/3/2013	7/17/2013	RENT-A-CENTER INC/TX	-220 000	-8,044.08	8,640.36	596.28
7/17/2013	7/18/2013	SEC ID: 76009N100	13126000030C			
5/3/2013	7/18/2013	RENT-A-CENTER INC/TX	-20 000	-731.28	787.85	56.57
7/18/2013	7/19/2013	SEC ID: 76009N100	13126000030C			
4/26/2013	7/18/2013	RENT-A-CENTER INC/TX	-10 000	-341.22	393.92	52.70
7/18/2013	7/19/2013	SEC ID: 76009N100	13119000050C			
2/19/2013	5/2/2013	ROFIN-SINAR TECHNOLOGIES INC	-120 000	-3,362.45	2,893.14	-469.31
5/2/2013	5/3/2013	SEC ID: 775043102	13051000070C			
2/28/2013	5/2/2013	ROFIN-SINAR TECHNOLOGIES INC	-30 000	-792.00	723.29	-68.71
5/2/2013	5/3/2013	SEC ID: 775043102	13060000070C			
1/21/2013	9/20/2013	ROFIN-SINAR TECHNOLOGIES INC	-120 000	-3,583.32	2,881.99	-701.33
9/20/2013	9/23/2013	SEC ID: 775043102	13240000140A			
2/11/2013	9/20/2013	ROFIN-SINAR TECHNOLOGIES INC	-30 000	-822.12	720.50	-101.62
9/20/2013	9/23/2013	SEC ID: 775043102	13240000160A			
5/21/2013	9/20/2013	ROFIN-SINAR TECHNOLOGIES INC	-140 000	-3,757.40	3,362.32	-395.08
9/20/2013	9/23/2013	SEC ID: 775043102	13142000040C			
2/28/2013	9/20/2013	ROFIN-SINAR TECHNOLOGIES INC	-70 000	-1,848.00	1,681.16	-166.84
9/20/2013	9/23/2013	SEC ID: 775043102	13060000070C			
3/4/2013	9/20/2013	ROFIN-SINAR TECHNOLOGIES INC	-20 000	-526.94	480.33	-46.61
9/20/2013	9/23/2013	SEC ID: 775043102	13064000090C			
3/4/2013	9/23/2013	ROFIN-SINAR TECHNOLOGIES INC	-100 000	-2,634.68	2,407.89	-226.79
9/23/2013	9/24/2013	SEC ID: 775043102	13064000090C			
3/4/2013	9/24/2013	ROFIN-SINAR TECHNOLOGIES INC	-30 000	-790.40	723.86	-66.54
9/24/2013	9/25/2013	SEC ID: 775043102	13064000090C			
1/30/2013	9/24/2013	ROFIN-SINAR TECHNOLOGIES INC	-100 000	-2,562.54	2,412.88	-149.66
9/24/2013	9/25/2013	SEC ID: 775043102	13031000020C			
2/7/2013	9/25/2013	ROFIN-SINAR TECHNOLOGIES INC	-100 000	-2,558.75	2,450.19	-108.56
9/25/2013	9/26/2013	SEC ID: 775043102	13039000020C			
1/28/2013	9/25/2013	ROFIN-SINAR TECHNOLOGIES INC	-100 000	-2,514.38	2,450.18	-64.20
9/25/2013	9/26/2013	SEC ID: 775043102	13029000020C			

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Base Currency: USD

Status: REVISED

Original Acquisition's Trade Date of Sale /

Trade Date / Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
4/15/2013	10/3/2013	ROFIN-SINAR TECHNOLOGIES INC SEC ID: 775043102	-100 000 13106000070C	-2,511.37	2,368.54	-142.83
10/3/2013	10/4/2013	ROFIN-SINAR TECHNOLOGIES INC SEC ID: 775043102	-240 000 13106000070C	-6,027.29	5,694.72	-332.57
4/15/2013	10/4/2013	SAUER-DANFOSS INC SEC ID: 804137107	-40 000 12062000020C	-1,919.95	1,531.56	-388.39
3/1/2012	11/20/2012	SAUER-DANFOSS INC SEC ID: 804137107	-70 000 12062000020C	-3,359.91	3,619.92	260.01
11/20/2012	11/21/2012	SAUER-DANFOSS INC SEC ID: 804137107	-150 000 11363000640C	-5,414.24	7,756.97	2,342.73
3/1/2012	11/28/2012	SAUER-DANFOSS INC SEC ID: 804137107	-100 000 12199000020C	-3,522.12	5,171.32	1,649.20
11/28/2012	11/29/2012	SAUER-DANFOSS INC SEC ID: 804137107	-100 000 12195000010C	-3,519.12	5,171.31	1,652.19
7/12/2012	11/28/2012	SAUER-DANFOSS INC SEC ID: 804137107	-50 000 12138000100C	-1,438.10	2,223.45	785.35
11/28/2012	11/29/2012	SAUER-DANFOSS INC SEC ID: 804137107	-47 000 12138000100C	-1,351.81	2,194.29	842.48
5/16/2012	11/20/2012	SHAW GROUP INC/THE SEC ID: 820280105	-220 000 12145000050C	-5,769.96	10,271.13	4,501.17
11/20/2012	11/21/2012	SHAW GROUP INC/THE SEC ID: 820280105	-50 000 11363000690C	-1,756.75	2,288.44	531.69
5/16/2012	1/2/2013	SHAW GROUP INC/THE SEC ID: 820280105	-210 000 13116000050C	-4,115.75	4,025.62	-90.13
1/2/2013	1/3/2013	SHAW GROUP INC/THE SEC ID: 820280105	-40 000 13116000050C	-783.95	1,393.46	609.51
5/23/2012	1/2/2013	SHAW GROUP INC/THE SEC ID: 820280105	-240 000 13114000060C	-4,673.64	8,360.78	3,687.14
1/2/2013	1/3/2013	SHAW GROUP INC/THE SEC ID: 820280105	-70 000 13114000060C	-1,288.52	2,438.56	1,150.04
12/28/2011	11/20/2012	STANDEX INTERNATIONAL CORP SEC ID: 854231107	-140 000 13158000040C	-7,526.69	5,538.22	-1,988.47
11/20/2012	11/21/2012	STONE ENERGY CORP SEC ID: 861642106	-100 000 13157000040C	-5,371.01	3,955.87	-1,415.14
4/25/2013	5/2/2013	STONE ENERGY CORP SEC ID: 861642106	-200 000 13151000030C	-10,715.84	7,911.74	-2,804.10
5/2/2013	5/3/2013	STONE ENERGY CORP SEC ID: 861642106	-100 000 13155000040C	-5,334.62	3,955.87	-1,378.75
4/25/2013	10/14/2013	STONE ENERGY CORP SEC ID: 861642106	-100 000 13155000040C	-5,114.21	3,955.87	-1,158.34
10/14/2013	10/15/2013	STONE ENERGY CORP SEC ID: 861642106	-100 000 13199000090C	-5,114.21	3,955.87	-1,158.34
4/26/2013	10/14/2013	STRAYER EDUCATION INC SEC ID: 863236105	-200 000 13151000030C	-10,715.84	7,911.74	-2,804.10
10/14/2013	10/15/2013	STRAYER EDUCATION INC SEC ID: 863236105	-100 000 13155000040C	-5,334.62	3,955.87	-1,378.75
4/23/2013	10/14/2013	STRAYER EDUCATION INC SEC ID: 863236105	-100 000 13155000040C	-5,114.21	3,955.87	-1,158.34
10/14/2013	10/15/2013	STRAYER EDUCATION INC SEC ID: 863236105	-100 000 13199000090C	-5,114.21	3,955.87	-1,158.34
6/6/2013	10/31/2013	STRAYER EDUCATION INC SEC ID: 863236105	-200 000 13151000030C	-10,715.84	7,911.74	-2,804.10
10/31/2013	11/1/2013	STRAYER EDUCATION INC SEC ID: 863236105	-100 000 13155000040C	-5,334.62	3,955.87	-1,378.75
6/5/2013	10/31/2013	STRAYER EDUCATION INC SEC ID: 863236105	-100 000 13155000040C	-5,114.21	3,955.87	-1,158.34
10/31/2013	11/1/2013	STRAYER EDUCATION INC SEC ID: 863236105	-100 000 13199000090C	-5,114.21	3,955.87	-1,158.34
5/30/2013	10/31/2013	STRAYER EDUCATION INC SEC ID: 863236105	-200 000 13151000030C	-10,715.84	7,911.74	-2,804.10
10/31/2013	11/1/2013	STRAYER EDUCATION INC SEC ID: 863236105	-100 000 13155000040C	-5,334.62	3,955.87	-1,378.75
6/3/2013	10/31/2013	STRAYER EDUCATION INC SEC ID: 863236105	-100 000 13155000040C	-5,114.21	3,955.87	-1,158.34
10/31/2013	11/1/2013	STRAYER EDUCATION INC SEC ID: 863236105	-100 000 13199000090C	-5,114.21	3,955.87	-1,158.34
7/17/2013	10/31/2013	STRAYER EDUCATION INC SEC ID: 863236105	-100 000 13199000090C	-5,114.21	3,955.87	-1,158.34
10/31/2013	11/1/2013	STRAYER EDUCATION INC SEC ID: 863236105	-100 000 13199000090C	-5,114.21	3,955.87	-1,158.34



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Report ID: HDA824

Base Currency: USD

Status: REVISED

Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
8/23/2012	11/20/2012	SYNERGY RESOURCES CORP SEC ID: 87164P103	-180 000	-511.49	662.42	150.93
11/20/2012	11/21/2012		12237000070C			
8/23/2012	5/2/2013	SYNERGY RESOURCES CORP SEC ID: 87164P103	-280.000	-795.65	1,772.64	976.99
5/2/2013	5/3/2013		12237000070C			
9/7/2012	11/20/2012	TITAN INTERNATIONAL INC SEC ID: 88830M102	-90 000	-1,913.01	1,710.86	-202.15
11/20/2012	11/21/2012		12254000080C			
9/7/2012	5/2/2013	TITAN INTERNATIONAL INC SEC ID: 88830M102	-110 000	-2,338.13	2,400.20	62.07
5/2/2013	5/3/2013		12254000080C			
8/1/2012	5/2/2013	TITAN INTERNATIONAL INC SEC ID: 88830M102	-90.000	-1,890.76	1,963.80	73.04
5/2/2013	5/3/2013		12215000080C			
8/31/2012	8/14/2013	TITAN INTERNATIONAL INC SEC ID: 88830M102	-90.000	-1,862.39	1,548.34	-314.05
8/14/2013	8/15/2013		12248000070C			
8/31/2012	8/15/2013	TITAN INTERNATIONAL INC SEC ID: 88830M102	-10 000	-206.93	167.37	-39.56
8/15/2013	8/16/2013		12248000070C			
9/5/2012	8/15/2013	TITAN INTERNATIONAL INC SEC ID: 88830M102	-110.000	-2,265.69	1,841.05	-424.64
8/15/2013	8/16/2013		12250000060C			
9/4/2012	8/15/2013	TITAN INTERNATIONAL INC SEC ID: 88830M102	-100.000	-2,059.54	1,673.67	-385.87
8/15/2013	8/16/2013		12249000070C			
9/27/2012	9/18/2013	TITAN INTERNATIONAL INC SEC ID: 88830M102	-150.000	-2,646.53	2,359.46	-287.07
9/18/2013	9/19/2013		12272000100C			
9/28/2012	9/18/2013	TITAN INTERNATIONAL INC SEC ID: 88830M102	-150 000	-2,636.73	2,359.46	-277.27
9/18/2013	9/19/2013		12275000040C			
11/2/2012	11/20/2012	TRIMAS CORP SEC ID: 896215209	-70.000	-1,859.87	1,647.76	-212.11
11/20/2012	11/21/2012		12310000090C			
11/2/2012	5/2/2013	TRIMAS CORP SEC ID: 896215209	-40.000	-1,062.78	1,211.98	149.20
5/2/2013	5/3/2013		12310000090C			
8/21/2012	11/20/2012	UMPQUA HOLDINGS CORP SEC ID: 904214103	-110.000	-1,388.59	1,271.63	-116.96
11/20/2012	11/21/2012		12235000080C			
8/21/2012	5/2/2013	UMPQUA HOLDINGS CORP SEC ID: 904214103	-220 000	-2,777.17	2,631.16	-146.01
5/2/2013	5/3/2013		12235000080C			
8/24/2012	5/2/2013	UMPQUA HOLDINGS CORP SEC ID: 904214103	-50.000	-628.11	597.99	-30.12
5/2/2013	5/3/2013		12240000060C			
8/24/2012	6/6/2013	UMPQUA HOLDINGS CORP SEC ID: 904214103	-150.000	-1,884.31	2,111.18	226.87
6/6/2013	6/7/2013		12240000060C			
8/27/2012	6/6/2013	UMPQUA HOLDINGS CORP SEC ID: 904214103	-290 000	-3,640.05	4,081.62	441.57
6/6/2013	6/7/2013		12241000040C			
8/22/2012	6/6/2013	UMPQUA HOLDINGS CORP SEC ID: 904214103	-160 000	-2,005.18	2,251.93	246.75
6/6/2013	6/7/2013		12236000070C			
8/22/2012	6/7/2013	UMPQUA HOLDINGS CORP SEC ID: 904214103	-110.000	-1,378.56	1,559.84	181.28
6/7/2013	6/10/2013		12236000070C			



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Base Currency: USD

Status: REVISED

Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
8/22/2012	6/10/2013	UMPQUA HOLDINGS CORP	-90 000	-1,127.92	1,279.11	151.19
6/10/2013	6/11/2013	SEC ID: 904214103	12236000070C			
8/20/2012	6/10/2013	UMPQUA HOLDINGS CORP	-180.000	-2,255.27	2,558.22	302.95
6/10/2013	6/11/2013	SEC ID 904214103	12234000040C			
8/20/2012	6/11/2013	UMPQUA HOLDINGS CORP	-250.000	-3,132.33	3,504.29	371.96
6/11/2013	6/12/2013	SEC ID: 904214103	12234000040C			
8/23/2012	6/11/2013	UMPQUA HOLDINGS CORP	-20 000	-250.22	280.34	30.12
6/11/2013	6/12/2013	SEC ID: 904214103	12237000060C			
8/23/2012	6/12/2013	UMPQUA HOLDINGS CORP	-180.000	-2,251.94	2,521.16	269.22
6/12/2013	6/13/2013	SEC ID: 904214103	12237000060C			
8/23/2012	6/13/2013	UMPQUA HOLDINGS CORP	-330.000	-4,128.56	4,648.52	519.96
6/13/2013	6/14/2013	SEC ID: 904214103	12237000060C			
2/3/2012	11/20/2012	VALASSIS COMMUNICATIONS INC	-60 000	-1,465.54	1,532.96	67.42
11/20/2012	11/21/2012	SEC ID: 918866104	12037000050C			
1/18/2013	5/2/2013	VALUECLICK INC	-140 000	-2,812.78	4,313.37	1,500.59
5/2/2013	5/3/2013	SEC ID: 92046N102	13022000020C			
7/17/2013	10/16/2013	VALUECLICK INC	-200.000	-5,154.76	3,892.83	-1,261.93
10/16/2013	10/17/2013	SEC ID: 92046N102	13199000100C			
1/18/2013	10/16/2013	VALUECLICK INC	-10.000	-200.91	194.64	-6.27
10/16/2013	10/17/2013	SEC ID: 92046N102	13022000020C			
1/18/2013	10/17/2013	VALUECLICK INC	-120 000	-2,410.96	2,338.04	-72.92
10/17/2013	10/18/2013	SEC ID: 92046N102	13022000020C			
1/17/2013	10/17/2013	VALUECLICK INC	-260 000	-5,218.88	5,065.75	-153.13
10/17/2013	10/18/2013	SEC ID: 92046N102	13018000050C			
1/16/2013	10/17/2013	VALUECLICK INC	-190.000	-3,812.42	3,701.89	-110.53
10/17/2013	10/18/2013	SEC ID: 92046N102	13017000010C			
1/16/2013	10/18/2013	VALUECLICK INC	-70 000	-1,404.58	1,385.36	-19.22
10/18/2013	10/21/2013	SEC ID: 92046N102	13017000010C			
1/15/2013	10/18/2013	VALUECLICK INC	-260 000	-5,176.55	5,145.62	-30.93
10/18/2013	10/21/2013	SEC ID: 92046N102	13016000040C			
5/15/2012	2/19/2013	VISHAY INTERTECHNOLOGY INC	-115.000	-1,239.56	1,574.23	334.67
2/19/2013	2/20/2013	SEC ID: 928298108	12137000070C			
5/15/2012	2/25/2013	VISHAY INTERTECHNOLOGY INC	-185.000	-1,994.08	2,491.15	497.07
2/25/2013	2/26/2013	SEC ID: 928298108	12137000070C			
11/2/2012	3/11/2013	VISHAY INTERTECHNOLOGY INC	-165 000	-1,505.77	2,189.91	684.14
3/11/2013	3/12/2013	SEC ID: 928298108	12310000020C			
11/2/2012	3/12/2013	VISHAY INTERTECHNOLOGY INC	-45 000	-410.67	590.04	179.37
3/12/2013	3/13/2013	SEC ID: 928298108	12310000020C			
2/2/2012	11/9/2012	VISHAY PRECISION GROUP INC	-190.000	-3,045.70	2,346.29	-699.41
11/9/2012	11/12/2012	SEC ID 92835K103	12034000070C			



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Status: REVISED

Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
2/15/2012	11/9/2012	VISHAY PRECISION GROUP INC SEC ID: 92835K103	-110 000	-1,685.20	1,358.38	-326.82
11/9/2012	11/12/2012		12047000030C			
2/15/2012	11/30/2012	VISHAY PRECISION GROUP INC SEC ID: 92835K103	-30.000	-459.60	365.59	-94.01
11/30/2012	12/3/2012		12047000030C			
2/23/2012	11/30/2012	VISHAY PRECISION GROUP INC SEC ID: 92835K103	-170 000	-2,553.40	2,071.65	-481.75
11/30/2012	12/3/2012		12054000020C			
2/23/2012	12/5/2012	VISHAY PRECISION GROUP INC SEC ID: 92835K103	-300 000	-4,506.00	3,672.15	-833.85
12/5/2012	12/6/2012		12054000020C			
2/23/2012	12/11/2012	VISHAY PRECISION GROUP INC SEC ID: 92835K103	-350.000	-5,257.00	4,282.77	-974.23
12/11/2012	12/12/2012		12054000020C			
1/4/2013	5/2/2013	WALTER INVESTMENT MANAGEMENT C	-170.000	-6,976.83	5,754.38	-1,222.45
5/2/2013	5/3/2013		13007000060C			
3/19/2013	5/2/2013	SEC ID: 93317W102 WALTER INVESTMENT MANAGEMENT C	-20.000	-722.44	676.99	-45.45
5/2/2013	5/3/2013		13079000050C			
3/19/2013	5/21/2013	SEC ID: 93317W102 WALTER INVESTMENT MANAGEMENT C	-100 000	-3,612.18	4,018.81	406.63
5/21/2013	5/22/2013		13079000050C			
3/19/2013	5/22/2013	SEC ID: 93317W102 WALTER INVESTMENT MANAGEMENT C	-200 000	-7,224.36	7,734.48	510.12
5/22/2013	5/23/2013		13079000050C			
12/2/2011	11/20/2012	SEC ID: 93317W102 WORTHINGTON INDUSTRIES INC SEC ID: 981811102	-70 000	-1,214.43	1,558.16	343.73
11/20/2012	11/21/2012		11339000040C			
12/28/2011	11/2/2012	HELEN OF TROY LTD NEW SEC ID: G4388N106	-180 000	-5,479.83	5,575.83	96.00
11/2/2012	11/5/2012		11363000030C			
3/4/2013	5/2/2013	PLATINUM UNDERWRITERS HLDGS LTD SHS	-70.000	-3,760.90	3,938.81	177.91
5/2/2013	5/3/2013		13064000070C			
9/13/2012	11/20/2012	SEC ID: G7127P100 TEXTAINER GROUP HLDGS LTD SHS SEC ID: G8766E109	-10.000	-315.00	287.59	-27.41
11/20/2012	11/21/2012		12261000040C			
9/13/2012	2/19/2013	TEXTAINER GROUP HLDGS LTD SHS SEC ID: G8766E109	-100 000	-3,150.00	4,232.86	1,082.86
2/19/2013	2/20/2013		12261000040C			
9/13/2012	2/20/2013	TEXTAINER GROUP HLDGS LTD SHS SEC ID: G8766E109	-150 000	-4,725.00	6,267.23	1,542.23
2/20/2013	2/21/2013		12261000040C			
3/28/2013	5/2/2013	ALTISOURCE PORTFOLIO SOLUTIONS SA REG SHS	-50.000	-3,487.75	4,127.90	640.15
5/2/2013	5/3/2013		13092000030C			
3/28/2013	8/22/2013	SEC ID: L0175J104 ALTISOURCE PORTFOLIO SOLUTIONS SA REG SHS	-30.000	-2,092.65	3,918.41	1,825.76
8/22/2013	8/23/2013		13092000030C			
3/28/2013	8/26/2013	SEC ID: L0175J104 ALTISOURCE PORTFOLIO SOLUTIONS SA REG SHS	-20.000	-1,395.10	2,606.34	1,211.24
8/26/2013	8/27/2013		13092000030C			
3/11/2014	9/01/59AM	SEC ID: L0175J104				



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Base Currency: USD

Status: REVISED

Original Acquisition's Trade Date of Sale /

Trade Date /

Posted

Reported Date

Date

Description

Shares Sold

Tax Lot ID

Cost

Base

Amount

Base

Realized

Gain/Loss

Base

TOTAL SHORT:

-59,037.013

-815,555.93

877,557.97

62,002.04

TOTAL LOT DISPOSITION:

-95,218.833

-1,329,624.64

1,554,331.74

224,707.10



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Lot Level Dispositions

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11/1/2012 - 10/31/2013

Report ID: HDA824

Base Currency: USD

Status: FINAL

Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
LONG						
6/9/2011	8/21/2013	BALTIMORE CNTY MD 3 850%	-15,000.000	-15,644.72	15,798.60	153.88
8/21/2013	8/23/2013	11/01/2017 DD 11/10/09	11167000070C			
		SEC ID 05914FGA2				
10/12/2011	2/26/2013	FEDERAL HOME LN BK CONS BD	-20,000.000	-20,217.29	20,254.24	36.95
2/26/2013	2/27/2013	2.625% 09/13/2013 DD 08/24/09	11286000020C			
		SEC ID 3133XUPZ0				
6/15/2011	8/5/2013	INTERNATIONAL BUSINESS MACHINE	-25,000.000	-25,000.00	25,000.00	0.00
8/5/2013	8/5/2013	1.000% 08/05/2013 DD 08/05/10	11168000070C			
		SEC ID. 459200GT2				
6/22/2011	10/25/2013	PEPSICO INC 0 875% 10/25/2013 DD	-25,000.000	-25,000.00	25,000.00	0.00
10/25/2013	10/25/2013	10/26/10	11178000010C			
		SEC ID: 713448BQ0				
TOTAL LONG:				-85,862.01	86,052.84	190.83
TOTAL LOT DISPOSITION:				-85,862.01	86,052.84	190.83



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Base Currency: USD

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Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
LONG						
12/27/2011	2/20/2013	ARM HOLDINGS PLC ADR	-395.000	-10,718.01	17,157.70	6,439.69
2/20/2013	2/21/2013	SEC ID: 042068106	11362000070C			
12/27/2011	6/3/2013	ARM HOLDINGS PLC ADR	-295.000	-8,004.59	12,572.24	4,567.65
6/3/2013	6/4/2013	SEC ID: 042068106	11362000070C			
12/27/2011	2/21/2013	L'OREAL SA ADR	-770.000	-16,054.50	22,313.09	6,258.59
2/21/2013	2/22/2013	SEC ID: 502117203	11362000160C			
12/27/2011	4/29/2013	L'OREAL SA ADR	-500.000	-10,425.00	17,374.21	6,949.21
4/29/2013	4/30/2013	SEC ID: 502117203	11362000160C			
12/27/2011	5/13/2013	L'OREAL SA ADR	-365.000	-7,610.25	12,691.23	5,080.98
5/13/2013	5/15/2013	SEC ID: 502117203	11362000160C			
12/27/2011	9/4/2013	L'OREAL SA ADR	-1,395.000	-29,085.75	46,021.50	16,935.75
9/4/2013	9/5/2013	SEC ID: 502117203	11362000160C			
12/27/2011	2/28/2013	NOVO NORDISK A/S ADR	-99.000	-11,241.05	17,338.63	6,097.58
2/28/2013	3/1/2013	SEC ID: 670100205	11362000200C			
12/27/2011	3/1/2013	NOVO NORDISK A/S ADR	-1.000	-113.55	175.57	62.02
3/1/2013	3/5/2013	SEC ID: 670100205	11362000200C			
12/27/2011	6/6/2013	NOVOZYMES A/S ADR	-350.000	-10,755.50	11,982.46	1,226.96
6/6/2013	6/7/2013	SEC ID: 670108109	11362000210C			
2/15/2012	8/6/2013	POTASH CORP OF SASKATCHEWAN IN	-333.000	-14,897.79	9,670.08	-5,227.71
8/6/2013	8/9/2013	SEC ID: 73755L107	12047000010C			
12/27/2011	8/6/2013	POTASH CORP OF SASKATCHEWAN IN	-1,285.000	-54,286.24	37,315.50	-16,970.74
8/6/2013	8/9/2013	SEC ID: 73755L107	11362000220C			
9/21/2012	10/31/2013	SHANDONG WEIGAO GROUP MEDICAL	-2,838.000	-14,237.68	10,324.18	-3,913.50
10/31/2013	11/1/2013	ADR	12269000010C			
9/24/2012	10/31/2013	SEC ID: 81941W101	-817.000	-4,086.63	2,972.11	-1,114.52
10/31/2013	11/1/2013	SHANDONG WEIGAO GROUP MEDICAL				
		ADR				
9/20/2012	10/31/2013	SEC ID: 81941W101	-2,940.000	-14,676.77	10,695.24	-3,981.53
10/31/2013	11/1/2013	SHANDONG WEIGAO GROUP MEDICAL				
		ADR				
12/27/2011	8/6/2013	SEC ID: 81941W101	-2,940.000	-62,424.14	35,730.38	-26,693.76
8/6/2013	8/9/2013	ARCOS DORADOS HOLDINGS INC				
		SEC ID: G0457F107	11362000010C			
3/5/2012	8/6/2013	ARCOS DORADOS HOLDINGS INC	-230.000	-4,423.02	2,795.23	-1,627.79
8/6/2013	8/9/2013	SEC ID: G0457F107	12066000010C			
3/6/2012	8/6/2013	ARCOS DORADOS HOLDINGS INC	-245.000	-4,550.41	2,977.53	-1,572.88
8/6/2013	8/9/2013	SEC ID: G0457F107	12067000030C			
3/6/2012	8/7/2013	ARCOS DORADOS HOLDINGS INC	-299.000	-5,553.36	3,889.47	-1,663.89
8/7/2013	8/9/2013	SEC ID: G0457F107	12067000030C			



BNY MELLON

PF - WCM INVT MGMT - P19F90060002

Lot Level Dispositions

by Reported Date

11/1/2012 - 10/31/2013

Report ID: HDA824

Base Currency: USD

Status: REVISED

Original Acquisition's Trade Date of Sale/

Trade Date/ Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
4/19/2012	8/7/2013	ARCOS DORADOS HOLDINGS INC SEC ID: G0457F107	-847 000	-15,451.91	11,018.01	-4,433.90
8/7/2013	8/9/2013		12111000010C			
12/27/2011	6/3/2013	CORE LABORATORIES N V SEC ID: N22717107	-75,000	-8,783.45	10,198.85	1,415.40
6/3/2013	6/4/2013		11362000040C			
12/27/2011	10/21/2013	CORE LABORATORIES N V SEC ID: N22717107	-110 000	-12,882.40	21,662.13	8,779.73
10/21/2013	10/23/2013		11362000040C			
TOTAL LONG:			-17,129,000	-320,262.00	316,875.34	-3,386.66
SHORT						
5/8/2013	6/3/2013	ARM HOLDINGS PLC ADR SEC ID: 042068106	-155,000	-7,727.96	6,605.75	-1,122.21
6/3/2013	6/4/2013		13129000090C			
9/6/2012	4/26/2013	COCA-COLA ENTERPRISES INC SEC ID: 19122T109	-490 000	-14,930.40	17,619.61	2,689.21
4/26/2013	4/30/2013		12251000050C			
12/27/2011	11/1/2012	COCA-COLA FEMSA SAB DE CV ADR SEC ID: 191241108	-31 000	-3,001.04	4,012.75	1,011.71
11/1/2012	11/2/2012		11362000100C			
3/14/2012	1/11/2013	CIE GENERALE D'OPTIQUE ESSILOR ADR	-885,000	-36,821.49	44,301.57	7,480.08
1/11/2013	1/14/2013		12075000060C			
3/14/2012	2/21/2013	SEC ID: 297284200 CIE GENERALE D'OPTIQUE ESSILOR ADR	-580,000	-24,131.59	27,702.95	3,571.36
2/21/2013	2/22/2013		12075000060C			
5/8/2013	6/6/2013	SEC ID: 297284200 KUEHNE + NAGEL INTERNATIONAL A ADR	-140,000	-3,280.20	3,077.59	-202.61
6/6/2013	6/7/2013		13129000160C			
7/23/2012	6/6/2013	SEC ID: 501187108 KUEHNE + NAGEL INTERNATIONAL A ADR	-1,335 000	-28,224.97	29,347.06	1,122.09
6/6/2013	6/7/2013		12206000040C			
5/8/2013	5/13/2013	SEC ID: 501187108 L'OREAL SA ADR	-200,000	-7,068.34	6,954.10	-114.24
5/13/2013	5/15/2013		13130000020C			
5/8/2013	6/6/2013	NOVOZYMES A/S ADR SEC ID: 670108109	-200 000	-7,097.86	6,847.12	-250.74
6/6/2013	6/7/2013		13129000190C			
5/8/2013	8/6/2013	POTASH CORP OF SASKATCHEWAN IN SEC ID: 73755L107	-165 000	-7,216.49	4,791.48	-2,425.01
8/6/2013	8/9/2013		13129000210C			
5/8/2013	10/31/2013	SHANDONG WEIGAO GROUP MEDICAL ADR	-695,000	-2,759.15	2,528.30	-230.85
10/31/2013	11/1/2013		13129000230C			
8/20/2013	10/31/2013	SEC ID: 81941W101 SHANDONG WEIGAO GROUP MEDICAL ADR	-5,555,000	-21,070.67	20,208.18	-862.49
10/31/2013	11/1/2013		13233000010C			
8/8/2012	5/8/2013	SEC ID: 81941W101 SYSMEX CORP ADR	-295,000	-6,702.08	9,292.35	2,590.27
5/8/2013	5/9/2013		12233000030C			
5/8/2013	10/24/2013	TENCENT HOLDINGS LTD ADR SEC ID: 88032Q109	-175 000	-6,224.75	9,599.25	3,374.50
10/24/2013	10/28/2013		13129000280C			

3/11/2014

9:01:59AM

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51

Workbench



BNY MELLON

PF - WCM INVST MGMT - P19F90060002

Lot Level Dispositions

by Reported Date

11/1/2012 - 10/31/2013

Report ID: HDA824

Base Currency: USD

Status: REVISED

Original Acquisition's Trade Date of Sale /

Trade Date / Reported Date	Posted Date	Description	Shares Sold Tax Lot ID	Cost Base	Amount Base	Realized Gain/Loss Base
10/26/2012	10/24/2013	TENCENT HOLDINGS LTD ADR	-195.000	-6,791.21	10,696.30	3,905.09
10/24/2013	10/28/2013	SEC ID: 88032Q109	12303000070C			
5/8/2013	9/9/2013	WANT WANT CHINA HOLDINGS LTD	-50.000	-3,921.50	3,572.69	-348.81
9/9/2013	9/10/2013	ADR	131290000300C			
11/2/2012	9/9/2013	SEC ID: 93370R107	-465.000	-34,297.56	33,225.99	-1,071.57
9/9/2013	9/10/2013	WANT WANT CHINA HOLDINGS LTD	12311000020C			
		ADR				
5/8/2013	8/7/2013	SEC ID: 93370R107	-470.000	-6,727.25	6,113.89	-613.36
8/7/2013	8/9/2013	ARCOS DORADOS HOLDINGS INC	13129000030C			
5/8/2013	8/6/2013	SEC ID: G0457F107	-13.125	-617.42	582.07	-35.35
8/6/2013	8/9/2013	MALLINCKRODT PLC	13182000080F			
2/28/2013	8/6/2013	SEC ID: G5785G107	-15.625	-711.67	692.94	-18.73
8/6/2013	8/9/2013	MALLINCKRODT PLC	13182000070F			
1/11/2013	8/6/2013	SEC ID: G5785G107	-101.250	-4,350.40	4,490.25	139.85
8/6/2013	8/9/2013	MALLINCKRODT PLC	13182000060F			
9/6/2012	12/13/2012	ASML HLDG N V N Y REGISTRY SHS	-0.750	-44.46	47.90	3.44
12/13/2012	12/14/2012	NEW 2012	12334000050F			
5/8/2013	6/3/2013	SEC ID: N07059210	-60.000	-8,788.88	8,159.08	-629.80
6/3/2013	6/4/2013	CORE LABORATORIES N V	13129000080C			
		SEC ID: N22717107				

TOTAL SHORT:

-12,271.750 -242,507.34 260,469.17 17,961.83

TOTAL LOT DISPOSITION:

-29,400.750 -562,769.34 577,344.51 14,575.17

11/26/13

Putnam Foundation
Transaction Detail by Account
 November 2012 through October 2013

Date	Name	Amount
Grants Made		
11/28/12	New Hampshire Charitable Foundation	60,000 00
12/03/12	Global Greengrants Fund	25,000 00
12/03/12	The Vote Solar Initiative	25,000 00
12/03/12	Cape & Island Self-Reliance	25,000 00
12/05/12	Council on Foundations	2,630 00
12/07/12	New Hampshire Dance Institute	10,000 00
12/07/12	Keene School District	1,360 00
12/11/12	Encounter Programs	10,000 00
12/17/12	World Learning Inc	52,500 00
12/17/12	Friends of the Public Garden	10,000 00
12/17/12	Ceres, Inc	5,000 00
12/17/12	Historic Harrisville, Inc	5,000 00
12/19/12	Dartmouth College	1,000 00
12/19/12	Deerfield Academy	750 00
12/19/12	Carnegie Mellon	500 00
12/19/12	First Night Inc	500 00
12/19/12	Case Western Reserve University	250 00
12/19/12	Citizen Schools Inc	250 00
01/07/13	NHCF	60,000 00
01/17/13	Historic Harrisville Inc	800 00
01/22/13	Monadnock Conservancy	5,000 00
02/05/13	The Keene Chorale	1,000 00
02/05/13	Touchstone Farm	4,000 00
03/12/13	Granite State Coalition Against Expanded Gambling Educatio	5,000 00
03/19/13	Arts Alive, Inc	10,000 00
03/20/13	Cheshire Health Foundation	20,000 00
03/21/13	Mount Washington Observatory	50,000 00
03/21/13	New Hampshire Charitable Foundation	80,000 00
03/27/13	Cornish Historical Society Inc	0 00
03/28/13	Colonial Theatre	25,000 00
03/28/13	Hannah Grimes Center, Inc	10,000 00
04/02/13	Trustees of the Keene Public Library	500 00
04/08/13	University of NH School of Law	10,000 00
04/16/13	University of NH School of Law	10,000 00
04/17/13	Saint Gaudens Memorial	2,500 00
04/22/13	National Multiple Sclerosis Society	1,500 00
04/22/13	National Multiple Sclerosis Society	1,500 00
04/22/13	American Diabetes Association	250 00
04/22/13	American Diabetes Association	250 00
04/22/13	Davis Education Foundation	250 00
04/29/13	Earth Island Institute	7,000 00
04/30/13	New England College	12,000 00
04/30/13	New Hampshire Historical Society	25,000 00
05/15/13	New Hampshire Charitable Foundation	100,000 00
06/14/13	MoCo Arts	15,000 00
06/14/13	Mariposa Museum	9,000 00
06/14/13	Monadnock Music	17,500 00
06/26/13	Monadnock Music	25,000 00
07/02/13	Game of Village	3,250 00

11/26/13

Putnam Foundation
Transaction Detail by Account
 November 2012 through October 2013

Date	Name	Amount
07/11/13	Harris Center for Conservation Education	5,000 00
07/30/13	Chamber Singers of Keene	4,000 00
07/31/13	Let It Shine, Inc	5,000 00
09/19/13	Colonial Theatre	15,000 00
09/19/13	Historic Harnsville, Inc	50,000 00
10/02/13	Legal Community Against Violence	2,000 00
10/02/13	Jackson Hole Ski Club	2,000 00
10/02/13	Silver Lake Land Trust	500 00
10/02/13	Amigos de las Americas-Boston Chapter	500 00
10/04/13	National Center for US-El Salvador Sister	1,000 00
10/04/13	Harvard Square Homeless Shelter Corp	2,000 00
10/04/13	Room to Grow	2,000 00
10/04/13	Citizen Schools Inc.	2,000 00
10/04/13	Jackson Hole Public Art	500 00
10/04/13	Jackson Hole Children's Museum	1,000 00
10/04/13	Teton County Library Foundation	500 00
10/04/13	Hand In Hand Parenting	1,000 00
10/16/13	New Hampshire Charitable Foundation	7,725 00
10/18/13	Foundation for Excellence in Mental Health Care	25,825 00
10/18/13	Mountain Meadows Conservancy	1,000 00
10/18/13	Keene Montessori School	500 00
10/18/13	IMBA	500 00
10/18/13	Community Foundation of Jackson Hole	0 00
10/21/13	The MacDowell Colony	1,000 00
10/21/13	Columbia University	500 00
10/21/13	Harlem Children's Zone, Inc	250 00
10/21/13	WNYC Radio	250 00
10/21/13	Community Foundation of Teton Valley	500 00
10/21/13	Chicago Public Media Inc	250 00
10/21/13	Global Smile Foundation	1,000.00
10/21/13	Columbia University	500 00
10/21/13	Room to Grow	250 00
10/21/13	Let's Retrofit A Million Education Fund	2,000 00
10/22/13	Church of Jesus Christ of Latter Day Sain	2,000 00
10/22/13	Church of Jesus Christ of Latter Day Sain	1,200 00
10/22/13	MoCo Arts	500 00
10/22/13	Green Mountain Valley School	1,000 00
10/22/13	Mount Washington Observatory	500.00
10/22/13	Currier Museum of Art	500 00
10/22/13	Nature Conservancy - NH Chapter	1,000 00
10/22/13	Dana Hall School	0 00
10/23/13	Colonial Theatre	10,000 00
10/23/13	Raylynmor Opera	2,500 00
10/24/13	Dana Hall School	500 00
10/24/13	Dana Hall School	500 00
10/24/13	Vassar College	500 00
10/24/13	Lucky Star Cavalier Rescue	500 00
10/24/13	Save Your Ass Long Ear Rescue	500 00
10/24/13	Mayhew	2,000 00
10/25/13	National Outdoor Leadership School	500 00

11/26/13

Putnam Foundation
Transaction Detail by Account
 November 2012 through October 2013

Date	Num	Name	Amount
10/25/13		National Outdoor Leadership School	500 00
10/25/13		School for International Training	500 00
10/25/13		School for International Training	500 00
10/25/13		School for International Training	500 00
10/25/13		School for International Training	1,500 00
10/25/13		School for International Training	1,500 00
10/25/13		School for International Training	0 00
10/25/13		National Multiple Sclerosis Society	0 00
10/25/13		WBUR	0 00
10/28/13		School for International Training	1,000 00
10/28/13		My Sisters House, Inc	0 00
10/28/13		Crisis Ministries	1,000 00
10/28/13		My Sisters House, Inc	1,000 00
10/28/13		Lowcountry Food Bank	2,000 00
10/28/13		Morningside Shelter	500 00
10/28/13		National Multiple Sclerosis Society	250 00
10/28/13		Living Memorial Park Snow Sports Inc	500 00
10/28/13		Brattleboro Community Television, Inc	500 00
10/29/13		Marlboro College Graduate School	0 00
10/29/13		Let's Retrofit A Million Education Fund	50,000 00
10/29/13		Global Greengrants Fund	25,000 00
10/29/13		Cape & Island Self-Reliance	35,000 00
10/29/13		Solar Energy International	43,800 00
10/29/13		Tides Center	25,000 00
10/29/13		Pulitzer Center on Crisis Reporting	29,982 00
10/30/13		Trustees of the Keene Public Library	20,000 00
10/31/13		All Species Project Inc	2,000 00
10/31/13		Apple Hill Center for Chamber Music	30,000 00
10/31/13		National Public Radio, Inc	500 00
10/31/13		Friends of the Public Garden	5,000 00
10/31/13		Ceres, Inc	5,000 00
10/31/13		Historic Harrisville, Inc	10,000 00
10/31/13		Earth Island Institute	10,000 00
Total Grants Made			1,209,322 00
TOTAL			1,209,322.00

Putnam Foundation
Part III, Balance Sheet
10/31/2013

	<u>Cost</u>	<u>FMV</u>
Line 1 - Cash - Non-interest-bearing		
Bank of America	315,675	315,675
BNY Mellon Mutual Funds & Cash	28,636	28,636
BNY Mellon Pinnacle	103,661	103,661
BNY Mellon Snow Capital	83,704	83,704
BNY Mellon Breckinridge	38,205	38,205
BNY Mellon Walthausen & Co	110,073	110,073
BNY Mellon WCM Investment Mgmt	142,877	142,877
	<u>822,830</u>	<u>822,830</u>
Line 7 - Other Notes		
NH Community Loan	100,479	100,479
Line 10a - U S and State Government Obligations		
BNY Mellon Breckinridge	486,282	493,008
Line 10b - Corporate Stocks		
BNY Mellon Pinnacle	1,247,857	2,153,154
BNY Mellon Snow Capital	1,490,947	1,925,353
BNY Mellon Walthausen & Co	1,410,345	2,099,641
BNY Mellon WCM Investment Mgmt	1,487,973	1,908,250
Sanderson Asset Management	2,252,098	2,325,597
	<u>7,889,220</u>	<u>10,411,996</u>
Line 10c - Corp Bonds		
BNY Mellon Mutual Funds & Cash	10,253,317	12,516,069
	<u>10,253,317</u>	<u>12,516,069</u>
Line 13 - Other Investments		
		Per Statement
BCM Credit Opportunities Offshore	3,791,700	3,967,601
BMC Discovery Offshore Fund	460,000	464,064
Forester Offshore	2,000,000	2,453,915
Highclere International	900,386	1,204,247
Alysun Fund Limited	350,000	461,021
Lyme	28,936	41,949
SJF Ventures III	61,899	57,521
Ecosystem Investment Partners	97,000	92,877
Permal Private Equity Opportunities	86,996	152,791
Southpaw Credit Opportun Fund	1,050,000	1,296,727
	<u>8,826,917</u>	<u>10,192,713</u>
Line 15 - Other Assets		
Markem Corp Royalty	181,383	181,383
Total	28,560,428	34,718,477

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

**Type or
print**File by the
due date for
filing your
return. See
instructions

Name of exempt organization or other filer, see instructions

Employer identification number (EIN) or

PUTNAM FOUNDATION

02-6011388

Number, street, and room or suite no. If a P.O. box, see instructions

Social security number (SSN)

PO BOX 323

City, town or post office, state, and ZIP code. For a foreign address, see instructions

KEENE, NH 03431

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720- (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► JAMES PUTNAM

Telephone No. ► 603 352-2448

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 06/16, 20 14, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☐ calendar year 20 ____ or
- ☒ tax year beginning 11/01, 20 12, and ending 10/31, 20 13

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a \$	33,122.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b \$	12,622.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c \$	20,500.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2013)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Enter filer's identifying number, see instructions	
	PUTNAM FOUNDATION	Employer identification number (EIN) or 02-6011388	
	Number, street, and room or suite no. If a P.O. box, see instructions PO BOX 323	Social security number (SSN)	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions KEENE, NH 03431		

Enter the Return code for the return that this application is for (file a separate application for each return) **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (Individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

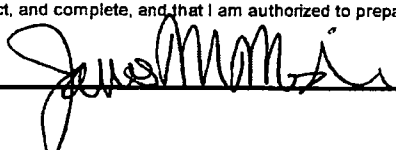
- The books are in the care of **JAMES PUTNAM**
Telephone No **603 352-2448** FAX No _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until **09/15**, 20 **14**
- 5 For calendar year _____, or other tax year beginning **11/01**, 20 **12**, and ending **10/31**, 20 **13**
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension _____

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	33,122.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	33,122.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title **CRA** Date **6/12/14**

Form **8868** (Rev. 1-2013)