Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public Inspection

For calendar year 2012 or tax year beginning OCT 1, 2012 , and ending SEP 30, 2013

Name of foundation: MCINERNY FOUNDATION

A Employer identification number: 99-6002356

Number and street (or P O box number if mail is not delivered to street address): P.O. BOX 3170, DEPT. 715 | Room/suite:

B Telephone number: (808)694-4525

City or town, state, and ZIP code: HONOLULU, HI 96802-3170

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 73,107,190.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	1,143,624.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	546.	546.		STATEMENT 1
	4 Dividends and interest from securities	1,286,200.	1,286,200.		STATEMENT 2
	5a Gross rents	2,215,630.	2,215,630.		STATEMENT 3
	b Net rental income or (loss) 1,270,385.				STATEMENT 4
	6a Net gain or (loss) from sale of assets not on line 10	1,461,060.			
	b Gross sales price for all assets on line 6a 13,615,761.				
	7 Capital gain net income (from Part IV, line 2)		1,461,060.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	6,107,060.	4,963,436.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	183,897.	137,923.		45,974.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 5	28,666.	14,333.		14,333.
	b Accounting fees STMT 6	24,155.	10,778.		13,377.
	c Other professional fees STMT 7	377.	189.		188.
	17 Interest				
	18 Taxes STMT 8	22,000.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 9	1,079,972.	945,245.		134,727.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	1,339,067.	1,108,468.		208,599.
	25 Contributions, gifts, grants paid	3,551,955.			3,551,955.
	26 **Total expenses and disbursements.** Add lines 24 and 25	4,891,022.	1,108,468.		3,760,554.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	1,216,038.			
	b **Net investment income** (if negative, enter -0-)		3,854,968.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing			
2 Savings and temporary cash investments	904,706.	1,188,994.	1,188,994.
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations STMT 12	3,369,244.	2,525,658.	2,525,658.
b Investments - corporate stock STMT 13	40,402,278.	45,201,227.	45,201,227.
c Investments - corporate bonds STMT 14	4,719,559.	3,706,311.	3,706,311.
11 Investments - land, buildings, and equipment: basis ▶ 1,355,285.			
Less accumulated depreciation ▶	1,355,285.	1,355,285.	20,485,000.
12 Investments - mortgage loans			
13 Investments - other			
14 Land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers)	50,751,072.	53,977,475.	73,107,190.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	50,751,072.	53,977,475.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 **Total net assets or fund balances**	50,751,072.	53,977,475.	
31 **Total liabilities and net assets/fund balances**	50,751,072.	53,977,475.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	50,751,072.
2 Enter amount from Part I, line 27a	2	1,216,038.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	2,016,002.
4 Add lines 1, 2, and 3	4	53,983,112.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 11	5	5,637.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	53,977,475.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
c	LITIGATION PROCEEDS - PUBLICLY TRADED			
d	SECURITIES	P	VARIOUS	VARIOUS
e	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,219,572.		4,006,795.	212,777.
b	9,207,901.		8,147,856.	1,060,045.
c				
d	1,462.		50.	1,412.
e	186,826.			186,826.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				212,777.
b				1,060,045.
c				
d				1,412.
e				186,826.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	1,461,060.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	3,519,017.	67,272,368.	.052310
2010	3,075,603.	67,656,202.	.045459
2009	3,308,027.	64,668,482.	.051154
2008	2,800,117.	58,025,956.	.048256
2007	3,082,139.	68,157,300.	.045221

2	**Total** of line 1, column (d)	2	.242400
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048480
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	71,439,757.
5	Multiply line 4 by line 3	5	3,463,399.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	38,550.
7	Add lines 5 and 6	7	3,501,949.
8	Enter qualifying distributions from Part XII, line 4	8	3,760,554.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	38,550.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	38,550.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	38,550.
6	Credits/Payments:			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a 108,239.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 60,000.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	168,239.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	129,689.
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 129,689. **Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ HI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ HTTPS://WWW.BOH.COM/SITES/FOUNDATION/INDEX.ASP

14 The books are in care of ▶ BANK OF HAWAII Telephone no. ▶ (808) 694-4525

Located at ▶ 130 MERCHANT ST., HONOLULU, HI ZIP+4 ▶ 96813

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ▶ ____, ____, ____, ____	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | |

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANK OF HAWAII 130 MERCHANT ST. HONOLULU, HI 96813	TRUSTEE 2.00	183,897.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	50,927,509.
b	Average of monthly cash balances	1b	1,115,163.
c	Fair market value of all other assets	1c	20,485,000.
d	**Total** (add lines 1a, b, and c)	1d	72,527,672.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	72,527,672.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,087,915.
5	**Net value of noncharitable-use assets**. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	71,439,757.
6	**Minimum investment return**. Enter 5% of line 5	6	3,571,988.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,571,988.
2a	Tax on investment income for 2012 from Part VI, line 5 2a 38,550.		
b	Income tax for 2012. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	38,550.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,533,438.
4	Recoveries of amounts treated as qualifying distributions	4	25,000.
5	Add lines 3 and 4	5	3,558,438.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,558,438.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,760,554.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,760,554.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	38,550.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	3,722,004.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				3,558,438.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			1,350,056.	
b Total for prior years: _____, _____, _____		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 3,760,554.				
a Applied to 2011, but not more than line 2a			1,350,056.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				2,410,498.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				1,147,940.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 ***Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:***

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE GUIDELINES ATTACHED

b The form in which applications should be submitted and information and materials they should include:

SEE GUIDELINES ATTACHED

c Any submission deadlines:

SEE GUIDELINES ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE GUIDELINES ATTACHED

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE GRANTS ATTACHED				3,551,955.
Total			▶ 3a	3,551,955.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	546.	
4 Dividends and interest from securities			14	1,286,200.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property			16	1,270,385.	
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	1,461,060.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		4,018,191.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 4,018,191.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

BANK OF HAWAII, Trustee

[signature] Vice President — JUL 2 2 2014

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no.	

Form 990-PF (2012)

223622 12-05-12

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2012

Name of the organization	**Employer identification number**
MCINERNY FOUNDATION	99-6002356

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) *exempt private foundation*
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
MCINERNY FOUNDATION	99-6002356

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ELLA MCINERNY TESTAMENTARY TRUST 130 MERCHANT ST. HONOLULU, HI 96813	$ 997,418.	Person [X] Payroll [ ] Noncash [X] (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	JAMES D. MCINERNY TEST TRUST 130 MERCHANT ST. HONOLULU, HI 96813	$ 146,206.	Person [X] Payroll [ ] Noncash [X] (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

Name of organization	Employer identification number
MCINERNY FOUNDATION	99-6002356

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	VARIOUS PUBLICLY TRADED SECURITIES	$ 972,848.	12/24/12

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	VARIOUS PUBLICLY TRADED SECURITIES	$ 142,746.	12/24/12

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization

MCINERNY FOUNDATION

Employer identification number

99-6002356

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BANK OF HAWAII	546.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	546.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BANK OF HAWAII	1,473,026.	186,826.	1,286,200.
TOTAL TO FM 990-PF, PART I, LN 4	1,473,026.	186,826.	1,286,200.

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
VARIOUS	1	2,215,630.
TOTAL TO FORM 990-PF, PART I, LINE 5A		2,215,630.

FORM 990-PF RENTAL EXPENSES STATEMENT 4

DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
REAL PROPERTY TAX		423,199.	
GENERAL EXCISE TAX		92,447.	
LEASE RENT		421,249.	
INSURANCE		2,665.	
PROF SERVICES		2,419.	
GENERAL EXCISE TAX FILING FEE		340.	
LEGAL FEES		2,295.	
WBID FEES		631.	
- SUBTOTAL -	1		945,245.
TOTAL RENTAL EXPENSES			945,245.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B			1,270,385.

FORM 990-PF LEGAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	28,666.	14,333.		14,333.
TO FM 990-PF, PG 1, LN 16A	28,666.	14,333.		14,333.

FORM 990-PF ACCOUNTING FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT FEES	11,414.	5,707.		5,707.
TAX PREPARATION FEE	6,500.	1,950.		4,550.
ACCOUNTING FEES	6,241.	3,121.		3,120.
TO FORM 990-PF, PG 1, LN 16B	24,155.	10,778.		13,377.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
APPRAISAL FEE	377.	189.		188.
TO FORM 990-PF, PG 1, LN 16C	377.	189.		188.

FORM 990-PF TAXES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	22,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	22,000.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT 9

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GRANTS ADMINISTRATION FEE	130,020.	0.		130,020.
GRANTS ADMINISTRATION EXP	672.	0.		672.
DISTRIBUTION COMMITTEE FEES	285.	0.		285.
AUDIT PREPARATION FEES	3,750.	0.		3,750.
REAL PROPERTY TAX	423,199.	423,199.		0.
GENERAL EXCISE TAX	92,447.	92,447.		0.
LEASE RENT	421,249.	421,249.		0.
INSURANCE	2,665.	2,665.		0.
PROF SERVICES	2,419.	2,419.		0.
GENERAL EXCISE TAX FILING FEE	340.	340.		0.
LEGAL FEES	2,295.	2,295.		0.
WBID FEES	631.	631.		0.
TO FORM 990-PF, PG 1, LN 23	1,079,972.	945,245.		134,727.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 10

DESCRIPTION	AMOUNT
CHANGE IN NET UNREALIZED GAINS ON INVESTMENTS IN MARKETABLE SECURITIES	1,962,912.
RETURNED GRANTS	25,000.
TRANSACTIONS POSTED FOR PRIOR PERIOD	20,331.
ADJUSTMENT FOR WASH SALES	7,759.
TOTAL TO FORM 990-PF, PART III, LINE 3	2,016,002.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 11

DESCRIPTION	AMOUNT
TRANSACTIONS POSTED AFTER PERIOD END	5,632.
ROUNDING	5.
TOTAL TO FORM 990-PF, PART III, LINE 5	5,637.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 12

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOVT OBLIG SEE ASSETS-1 PG 1	X		2,525,658.	2,525,658.
TOTAL U.S. GOVERNMENT OBLIGATIONS			2,525,658.	2,525,658.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			2,525,658.	2,525,658.

FORM 990-PF CORPORATE STOCK STATEMENT 13

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORP STOCK SEE ASSETS-1 PG 2	45,201,227.	45,201,227.
TOTAL TO FORM 990-PF, PART II, LINE 10B	45,201,227.	45,201,227.

FORM 990-PF CORPORATE BONDS STATEMENT 14

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORP BONDS SEE ASSETS-1 PG 3	3,706,311.	3,706,311.
TOTAL TO FORM 990-PF, PART II, LINE 10C	3,706,311.	3,706,311.

MCINERNY FOUNDATION
FYE 09/30/13 FORM 990PF
SCHEDULE OF ASSETS

EIN 99-6002356

Description	Units	Book Value	Market Value
US AND STATE GOVERNMENT OBLIGATIONS			
912810EQ7 US TREASURY BONDS 6 25% 08/15/2023	95,000 000	106,233 00	125,727 00
912810QA9 US TREASURY BONDS 3 5% 02/15/2039	245,000 000	291,707 81	240,330 00
912828ME7 US TREASURY 2 625% 12/31/2014	60,000 000	59,967 18	61,835 00
912828MP2 US TREASURY 3 625% 02/15/2020	100,000 000	97,906 25	111,094 00
912828TV2 US TREASURY 1 25% 10/31/2019	72,000 000	73,004 06	69,918 00
912828TY6 US TREASURY 1 625% 11/15/2022	40,000 000	40,117 19	37,034 00
066716AG6 AID-TUNISIA UNSECURED 1.686% 07/16/2019	175,000 000	178,146 80	170,042 00
3133EC4C5 FEDERAL FARM CREDIT BANK UNSECURED 2 48% 11/21/2024-2013	80,000 000	79,840 00	73,202 00
3134G3XH8 FEDERAL HOME LOAN MTGE CORP UNSECURED 1 125% 06/28/2017-2013	35,000 000	34,500 55	34,801 00
3135G0UY7 FEDERAL NATIONAL MTGE ASSOC UNSECURED 1% 02/27/2017-2015	75,000 000	75,741 00	75,006 00
3136G0PY1 FEDERAL NATIONAL MTGE ASSOC UNSECURED MULTI-STEP NOTE 1 5% 06/28/2027-2013	50,000 000	49,990 00	48,315 00
3136G0QU8 FEDERAL NATIONAL MTGE ASSOC UNSECURED MULTI-STEP UP NOTE 1% 07/17/2017-2013	60,000 000	59,994 00	59,314 00
3136G0RL7 FEDERAL NATIONAL MTGE ASSOC UNSECURED 1 6% 07/24/2019-2013	50,000.000	50,157 50	48,695 00
3136G1LF4 FEDERAL NATIONAL MTGE ASSOC UNSECURED MULTI-STEP NOTE 1 75% 04/25/2028-2013	35,000.000	34,965 00	31,486 00
3137EADB2 FEDERAL HOME LOAN MORTGAGE CORP NOTES 2 375% 01/13/2022	100,000 000	99,355 20	97,330 00
31398ABG6 FEDERAL NATIONAL MTGE ASSN NOTES 5 5% 05/10/2027-2017	50,000 000	49,750 00	56,377 00
62889KAC5 NCUA GUARANTEED NOTES US GOVT GUARANTEED 2 35% 06/12/2017	175,000 000	181,081 25	181,629 00
649081AA4 NEW VALLEY GENERATION IV TVA PASS THRU CERTS 4 687% 01/15/2022 OFV 150000	94,994 480	87,374 97	103,705 00
690353XQ5 OVERSEAS PRIVATE INV CORP UNSECURED FLOATING 12% 07/15/2025	35,000 000	35,000 00	35,000 00
880591EN8 TENNESSEE VALLEY AUTH UNSECURED 1 875% 08/15/2022	175,000 000	174,793 50	157,761 00
167486HM4 CHICAGO IL BUILD AMERICA BONDS TAXABLE C 6 207% 01/01/2036	60,000 000	61,437 00	54,713 00
3735412H3 GEORGIA ST MUNI ELEC AUTH TAXABLE-SUB GEN RESOLUTION 4 43% 01/01/2022	75,000 000	75,000 00	78,401 00
419818HM4 HAWAII ST HSG FIN & DEV CORP SF MTGE PURCHASE REVENUE TXBL-FNMA MBS PASS-THRU PROGRAM-SER A 2 6% 07/01/2037 OFV 58000	53,400 260	53,400 26	51,729 00
438670VH1 HONOLULU HAWAII CITY & CNTY BUILD AMERICA BONDS 6 25% 09/01/2031-2019	70,000 000	70,000 00	75,283 00
438701PS7 HONOLULU HI CITY & CNTY WASTEWTR SYS REV BUILD AMERICA BONDS TAXABLE-FIRST BD-SER B ISSUER SUBSI 6 114% 07/01/2029-2019	50,000 000	50,000 00	55,189 00
438701RC0 HONOLULU HI CITY & CNTY WASTEWTR SYS REV BUILD AMERICA BONDS 4 643% 07/01/2022-2020	115,000 000	115,000 00	124,078 00
577285Y86 MAUI CNTY HAWAII TAXABLE-SER A-RECOVERY ZONE ECONOMIC DEV BDS 4 559% 06/01/2022-2020	95,000 000	95,000 00	99,967 00
645918YF4 NEW JERSEY ST ECON DEV AUTH TAXABLE-REF-SCH FACS CONSTR-DD-2 3 641% 12/15/2015	75,000 000	77,524 50	78,912 00
882722K83 TEXAS ST REF-TAXABLE-PUB FIN AUTH 4 004% 10/01/2023-2021	85,000 000	85,000 00	88,785 00
TOTAL US AND STATE GOVERNMENT OBLIGATIONS		2,541,987 02	2,525,658 00
INVESTMENTS CORPORATE STOCK			
543487326 NATIXIS LOOMIS SAYLES LTD GOV AND AGCY FD CL Y	62,761.507	748,744 78	735,565 00
56062X708 MAINSTAY HIGH YIELD CORP BOND FUND CL I	377,874 582	2,240,796 27	2,263,469 00
77958B402 T ROWE PRICE INSTITUTIONAL FLOATING RATE FUND CL I	146,341.463	1,501,463 41	1,495,610 00
880208400 TEMPLETON GLOBAL BOND FUND CL AD	61,998.542	852,479 95	801,021 00
003019395 ABERDEEN INTERNATIONAL EQUITY FUND INST	442,023.373	5,666,777 02	6,762,958 00
003021698 ABERDEEN ASIA-PACIFIC EX-JAPAN EQUITY FUND INSTITUTIONAL CLASS	208,213 120	2,039,739 40	2,425,683 00
197199631 COLUMBIA ACORN INTERNATIONAL CL R5	41,449 259	1,503,308 73	1,929,878 00
314172362 FEDERATED INTERNATIONAL STRATEGIC VALUE DIVIDEND FUND CL IS	417,675 445	1,691,585 55	1,725,000 00
314172560 FEDERATED STRATEGIC VALUE DIVIDEND FUND CL INST	1,123,970 503	5,057,867 26	6,238,036 00
38142Y773 GOLDMAN SACHS LARGE CAP VALUE FD - INST	264,512 149	2,933,204 85	4,115,809 00
47103C357 JANUS TRITON FUND CL I	43,926 849	593,006 23	996,261 00
47803W406 JOHN HANCOCK III DISC M/C-IS	105,187 824	1,363,877 43	1,752,429 00
56062X641 MAINSTAY LARGE CAP GROWTH FUND CL I	473,879 152	3,300,708 39	4,662,971 00
74144Q203 T ROWE PRICE INSTITUTIONAL EMERGING MARKETS EQUITY FUND	81,721 221	2,526,339 29	2,388,711 00
74972H648 RS GLOBAL NATURAL RESOURCES FUND CL Y	91,803 225	3,629,305 51	3,506,883 00
78463V107 SPDR GOLD TRUST	11,414 000	1,886,599 32	1,463,047 00
884116401 THIRD AVENUE REAL ESTATE VALUE FUND CL IS	68,139 816	1,574,228 05	1,937,896 00
TOTAL INVESTMENTS CORPORATE STOCK		39,110,031 44	45,201,227 00
CORPORATE BONDS			
008281AL1 AFRICAN DEVELOPMENT BANK SUB NOTES 6 875% 10/15/2015	35,000 000	40,925 85	38,644 00
010392EP9 ALABAMA POWER CO BONDS SER 1 5 65% 03/15/2035-2015	100,000 000	97,697 00	104,679 00
026874CS4 AMERICAN INTL GROUP NOTES 3 8% 03/22/2017	15,000 000	14,969 55	15,953 00
03746AAA8 APACHE FINANCE CANADA COMPANY GUARNT 7 75% 12/15/2029	100,000 000	120,050 50	127,526 00
037833AK6 APPLE INC SR UNSECURED 2 4% 05/03/2023	50,000 000	50,168 00	45,259 00
055451AK4 BHP FINANCE USA LTD COMPANY GUARNT 1 875% 11/21/2016	75,000 000	75,275 75	76,589 00
05565QBF4 BP CAPITAL MARKETS PLC SR UNSECURED 5 25% 11/07/2013	75,000 000	74,951 25	75,335 00
064159AL0 BANK OF NOVA SCOTIA N Y AGY SR UNSECURED 1 85% 01/12/2015	75,000 000	75,720 50	76,310 00
071813BL2 BAXTER INTL INC SR UNSECURED 3 2% 06/15/2023-2016	25,000 000	24,921 25	24,392 00
075887BB4 BECTON DICKINSON & CO SR UNSECURED 1 75% 11/08/2016	95,000 000	97,949 75	96,951 00

MCINERNY FOUNDATION
FYE 09/30/13 FORM 990PF
SCHEDULE OF ASSETS

EIN 99-6002356

Description	Units	Book Value	Market Value
084670AV0 BERKSHIRE HATHAWAY INC SR UNSECURED 3 2% 02/11/2015	100,000 000	105,315 00	103,664 00
084670BJ6 BERKSHIRE HATHAWAY INC SR UNSECURED 3% 02/11/2023	50,000 000	49,577 00	48,185 00
121897WQ1 BURLINGTON NORTHERN INC SR UNSECURED 8 75% 02/25/2022	75,000 000	102,453 75	100,076 00
12201PAN6 BURLINGTON RESOURCES FIN COMPANY GUARNT 7 4% 12/01/2031	60,000 000	81,472 80	80,063 00
126650BZ2 CVS/CAREMARK CORP SR UNSECURED 2 75% 12/01/2022-2022	100,000 000	99,925 25	92,398 00
166764AA8 CHEVRON CORP SR UNSECURED 1 104% 12/05/2017-2017	25,000 000	25,000 00	24,641 00
166764AB6 CHEVRON CORP SR UNSECURED 2 355% 12/05/2022-2022	25,000 000	25,000 00	23,017 00
191216BA7 COCA COLA CO SR UNSECURED 1 15% 04/01/2018	40,000 000	39,913 60	39,121 00
20030NBF7 COMCAST CORP SR UNSECURED 2 85% 01/15/2023	75,000.000	74,877 00	71,121 00
239753BC9 TARGET CORP SR UNSECURED 9 875% 07/01/2020	30,000 000	42,362 10	41,143 00
257469AJ5 DOMINION RESOURCES INC SR NOTES SER F PUTABLE 8/15 5 25% 08/01/2033	100,000 000	95,995 00	107,819 00
26439RAH9 DUKE CAPITAL CORP SENIOR NOTES 8% 10/01/2019	50,000 000	58,485 00	60,806 00
278642AC7 EBAY INC SR UNSECURED 3 25% 10/15/2020	125,000 000	126,471 25	127,545 00
298785EV4 EUROPEAN INVT BK SR UNSUB 2.375% 03/14/2014	125,000 000	124,462 50	126,224 00
298785FU5 EUROPEAN INVT BK SR UNSECURED 1 75% 03/15/2017	100,000 000	101,601 00	102,216 00
361448AG8 GATX CORP NOTES 8 75% 05/15/2014	80,000 000	79,800 00	83,651 00
36962G6Q2 GENERAL ELECTRIC CAPITAL CORP SR UNSECURED VARIABLE 84285% 01/08/2016	125,000 000	125,105 50	125,535 00
38173NAA8 GOLONDRINA LEASING LLC SINK FD GTD EXPORT IMPORT BANK OF USA 1 822% 05/03/2025 OFV 60000	58,833 000	58,399 99	58,400 00
440452AE0 HORMEL FOODS CORP SR UNSECURED 4 125% 04/15/2021	25,000 000	27,970 00	25,830 00
459200HM6 INT'L BUSINESS MACHINES SR UNSECURED 1 625% 05/15/2020	25,000 000	24,812 25	23,487 00
46625HJH4 JP MORGAN CHASE & CO SR UNSECURED 3 2% 01/25/2023	75,000 000	73,728 45	70,164 00
494550AJ5 KINDER MORGAN ENERGY PARTNERS LP SR UNSECURED 7 4% 03/15/2031	125,000 000	142,888 75	147,190 00
494550BL9 KINDER MORGAN ENERGY PARTNERS LP SR UNSECURED 3 95% 09/01/2022-2022	75,000 000	74,470 55	73,741 00
514886AC4 LANDESBANK BADEN-WURTTEMBERG SUBORDINATED 7 625% 02/01/2023	100,000 000	131,367 75	122,597 00
51488YAB5 LANDESBK BADEN-WURTT NY SUBORDINATED 5 05% 12/30/2015	85,000 000	92,365 00	91,187 00
565849AK2 MARATHON OIL CORP SR UNSECURED 2 8% 11/01/2022-2022	100,000 000	100,722 00	92,986 00
61745EH53 MORGAN STANLEY SR UNSECURED VARIABLE 3% 08/31/2017	50,000 000	50,500 00	51,712 00
63743HEG3 NATIONAL RURAL UTILITIES SR UNSECURED FLOATING 4876% 05/27/2016	55,000 000	55,000 00	55,074 00
656836AA1 NA DEVELOPMENT BANK SR UNSECURED 4 375% 02/11/2020	120,000 000	130,178 40	130,183 00
693506BF3 PPG INDUSTRIES INC SR UNSECURED 1 9% 01/15/2016	60,000 000	60,691 80	60,842 00
70212FAA1 PARTNERRE FINANCE COMPANY GUARNT 6 875% 06/01/2018	75,000 000	74,332 50	87,410 00
71713UAT9 PHARMACIA CORPORATION DEBENTURES 6.75% 12/15/2027	75,000 000	88,667 75	94,672 00
740816AB9 PRES&FELLOWS OF HARVARD BONDS 6 3% 10/01/2037-2016	100,000.000	104,050 50	113,528 00
742651DH2 PRIVATE EXPORT FUNDING US GOVT GUARANTEED 3 05% 10/15/2014	50,000 000	53,365 00	51,446 00
76720AAM8 RIO TINTO FIN USA PLC SR UNSECURED 2 25% 12/14/2018	50,000 000	49,543 00	49,299 00
78008T2C7 ROYAL BANK OF CANADA UNSECURED 1 15% 03/13/2015	45,000 000	44,985 60	45,385 00
822582AS1 SHELL INTERNATIONAL SR UNSECURED 2 375% 08/21/2022	50,000 000	49,783 50	45,999 00
89233P5S1 TOYOTA MOTOR CREDIT CORP SR UNSECURED 2.05% 01/12/2017	40,000 000	41,509 20	40,919 00
904764AP2 UNILEVER CAPITAL CORP SR UNSECURED 85% 08/02/2017	40,000 000	39,699 60	39,051 00
92343VBP8 VERIZON COMMUNICATIONS SR UNSECURED 3 65% 09/14/2018	20,000 000	19,999 20	21,074 00
94974BFM7 WELLS FARGO CO SR UNSECURED FLOATING 77205% 07/20/2016	75,000 000	75,000 00	75,272 00
TOTAL CORPORATE BONDS		3,694,476 94	3,706,311 00
REAL ESTATE			
RE1646208 1925 KALAKAUA AVENUE #101 HONOLULUHI 96815 1-2-6-007-006-0001	1 000	73,916 94	645,000 00
RE9520181 515 NORTH KUAKINI STREET HONOLULUHI 96817 1-1-7-035-025-0000	1 000	200,232 50	4,733,000 00
RE9522328 2863 KALAKAUA AVENUE HONOLULUHI 96815 1-3-1-031-001-0000	1 000	114,355 00	11,525,000 00
RE9544132 820 MILILANI STREET FEE INT HONOLULUHI 96813 1-2-1-026-020-0000	1 000	966,780 38	3,582,000 00
TOTAL REAL ESTATE		1,355,284 82	20,485,000 00

ALL ASSETS EXCEPT REAL ESTATE REPORTED AT FMV THIS STATEMENT REPORTS BOOK VALUE FOR INVESTMENTS

McInerny Foundation

Grant Paid 10-1-2012 to 9-30-2013

10/17/2013

Payee Organization Project Title	Amount Paid Date

ARTS & CULTURE

Ballet Hawaii	$10,000.00
777 So. Hotel Street Honolulu, HI 96813 *Angel Program for Military Families (Cinderella - August 2013)*	8/9/2013
Chamber Music Hawaii	$9,500.00
P O. Box 61939 Honolulu, HI 96839 *Statewide Music Education Outreach - SY 2012/2013*	11/8/2012
Children's Discovery Center	$50,000.00
111 Ohe Street Honolulu, HI 96813 *School Group Discovery Visit Program - 2013*	3/7/2013
Friends of the Palace Theater	$15,000.00
38 Haili Street Hilo, HI 96720 *Purchase & Installation of Digital Cinema System*	4/11/2013
Hana Arts	$10,000 00
P. O. Box 686 Hana, HI 96713 *Hana Arts Academy SY 2013/2014*	9/23/2013
Hawaii Academy of Performing Arts	$15,000.00
1088 Bishop Street, #2506 Honolulu, HI 96813 *The ARTS at Marks Garage/Chinatown Art Corps 2013*	3/7/2013
Hawaii Alliance for Arts In Education dba Hawaii Arts Alliance	$30,000 00
P. O. Box 3948 Honolulu, HI 96812-3948 *Support of Performing Arts Learning Centers SY 2013/2014*	9/23/2013
Hawaii International Film Festival	$10,000.00
680 Iwilei Road, Suite 100 Honolulu, HI 96817 *33rd Annual Hawaii Film Festival*	8/9/2013

Payee Organization **Project Title**	**Amount** **Paid Date**
Hawaii Opera Theatre Hawaii Opera Plaza 848 S. Beretania Street, Suite 301 Honolulu, HI 96813 *Tosca Production - 2012/2013 Grand Opera Season*	$20,000.00 3/7/2013
Hawaii Public Radio 738 Kaheka Street Honolulu, HI 96814-3726 *Matching Challenge Grant for Hawaii Island West Transmitter Project*	$20,000.00 3/20/2013
Hawaii Theatre Center 1132 Bethel Street, Suite 1404 Honolulu, HI 96813 *Educational Programs for SY 2013-2014*	$25,000.00 9/23/2013
Hawaii Youth Symphony Association 1110 University Avenue, Suite 200 Honolulu, HI 96826-1598 *General Operations FY 7/1/2012- 6/30/2013*	$25,000.00 11/8/2012
Historic Hawaii Foundation 680 Iwilei Road, Suite 690 Honolulu, HI 96817 *Salary Support for Field Services Manager of the Preservation Resource Center*	$50,000 00 5/2/2013
Honolulu Theatre for Youth 1149 Bethel Street, Suite 700 Honolulu, HI 96813 *Creativity at the Core· Arts-Integrated Approaches to Common Core Learning SY 2013/2014*	$15,000.00 8/9/2013
Lahaina Arts Association 648 Wharf Street, #103 Lahaina, HI 96761 *Youth Art Outreach Program during FYE 6/30/2013*	$10,000.00 11/8/2012
Manoa Valley Theatre 2833 East Manoa Road Honolulu, HI 96822 *2012 - 2013 Production Season*	$13,000 00 3/7/2013
Polynesian Voyaging Society 10 Sand Island Parkway Honolulu, HI 96819 *Worldwide Voyage (RFP solicited)*	$100,000.00 9/23/2013
Total Arts & Culture (17 items)	$427,500 00

Payee Organization Project Title	Amount Paid Date

COMMUNITY

Hawaii Community Foundation 827 Fort Street Honolulu, HI 96813 *Pathways to Resilient Communities ($400,000 payable over 3 years in the following increments: 2013-$135,000, 2014-$133,000; 2015-$132,000)*	$135,000.00 5/2/2013
Total Community (1 item)	$135,000 00

EDUCATION

Adult Friends for Youth 3375 Koapaka Street, Suite B290 Honolulu, HI 96819 *Clinical/Competency Based Alternative Education Program - 2013-2014*	$25,000.00 8/9/2013
Aloha School Early Learning Center P.O. Box 1408 Hanalei, HI 96714 *2013-2014 Tuition Aid Progam*	$22,000.00 2/8/2013
ASSETS School One Ohana Nui Way Honolulu, HI 96818 *2013-2014 Tuition Aid Program*	$22,000.00 2/8/2013
Book Trust, Hawaii Chapter P.O. Box 2465 Wailuku, HI 96793 *Empowering Students Through Literacy - Molokai Program SY 2012-2013*	$20,000 00 4/11/2013
Early School 2510 Bingham Street Honolulu, HI 96826 *2013-2014 Tuition Aid Program*	$9,000.00 2/8/2013
East-West Center Foundation Office of Public Affairs 1601 East-West Road Honolulu, HI 96848-1601 *Alumni Matching Challenge Grant - due prior to 7/31/2013*	$18,705.00 7/16/2013
Haleakala Waldorf School 4160 Lower Kula Road Kula, HI 96790 *2013-2014 Tuition Aid Program*	$30,000 00 2/8/2013

Payee Organization Project Title	Amount Paid Date
Hanahauoli School Hanahauoli School 1922 Makiki Street Honolulu, HI 96822 *2013-2014 Tuition Aid Program*	$35,000.00 2/8/2013
Hawaii Montessori Inc. 74-978 Manawalea Street Kailua-Kona, HI 96740 *2013-2014 Tuition Aid Program*	$13,800.00 2/8/2013
Hawaii Public Television dba PBS Hawaii 2350 Dole Street Honolulu, HI 96822 *HIKI NO: The Nation's First Statewide Student News Network SY 2013/2014*	$50,000.00 8/9/2013
Hoala School 1067 A California Avenue Wahiawa, HI 96786 *2013-2014 Tuition Aid Program*	$15,000.00 2/8/2013
Holy Nativity School 5286 Kalanianaole Highway Honolulu, HI 96821-1883 *2013-2014 Tuition Aid Program*	$14,400.00 2/8/2013
Hongwanji Mission School 1728 Pali Highway Honolulu, HI 96813 *2013-2014 Tuition Aid Program*	$15,000.00 2/8/2013
Mohala Pua School dba Honolulu Waldorf School 5257 Kalanianaole Highway Honolulu, HI 96821 *2013-2014 Tuition Aid Program*	$40,000 00 2/8/2013
Mohala Pua School dba Honolulu Waldorf School 350 Ulua Street Honolulu, HI 96821 *Auditorium Renovations & Upgrades*	$25,000 00 6/3/2013
Hualalai Academy 74-4966 Kealakaa Street Kailua-Kona, HI 96740 *2013-2014 Tuition Aid Progam*	$15,000.00 2/8/2013
Iolani School 563 Kamoku Street Honolulu, HI 96826 *2013-2014 Tuition Aid Progam*	$46,000 00 2/8/2013
Island Pacific Academy 909 Haumea Street Kapolei, HI 96707 *2013-2014 Tuition Aid Program*	$25,000.00 2/8/2013

Payee Organization Project Title	Amount Paid Date
Island School 3-1875 Kaumualii Highway Lihue, HI 96766-9597 *2013-2014 Tuition Aid Progam*	$18,000.00 2/8/2013
Japan-America Society of Hawaii 220 South King Street, Suite 1501 Honolulu, HI 96813-4542 *McInerny Foundation Japan Days Program - Fall 2012 and Spring 2013*	$11,000 00 11/8/2012
Japan-America Society of Hawaii 220 South King Street, Suite 1501 Honolulu, HI 96813-4542 *McInerny Foundation Japan Days Program - Fall 2013 and Spring 2014*	$11,000.00 9/23/2013
Junior Achievement of Hawaii 2909 Waialae Avenue #10 Honolulu, HI 96826 *General Operating - FYE 6/30/2013*	$15,000.00 11/8/2012
Ka Hale O Na Keiki Inc 45-3668 Honokaa-Waipio Road Honokaa, HI 96727 *2013-2014 Tuition Aid Progam*	$15,500.00 2/8/2013
Kamaaina Care dba Kamaaina Kids 156 Hamakua Drive, Suite C Kailua, HI 96734 *2013-2014 Tuition Aid Progam*	$30,000.00 2/8/2013
KCAA Preschools of Hawaii 2707 South King Street Honolulu, HI 96826-3325 *2013-2014 Tuition Aid Program*	$35,000.00 2/8/2013
La Pietra, Hawaii School for Girls 2933 Poni Moi Road Honolulu, HI 96815 *2013-2014 Tuition Aid Program*	$12,000 00 2/8/2013
La Pietra, Hawaii School for Girls 2933 Poni Moi Road Honolulu, HI 96815 *Classroom Transformation Project (Furniture & Technology)*	$75,000.00 3/7/2013
Le Jardin Academy 917 Kalanianaole Highway Kailua, HI 96734 *2013-2014 Tuition Aid Program*	$22,000.00 2/8/2013

Payee Organization Project Title	Amount Paid Date
Ma Ka Hana Ka Ike Building Program P O Box 968 Hana, HI 96713 *Operating Support for 2013/2014 - Hana School Building Program*	$40,000.00 8/9/2013
Malamalama Waldorf School, Inc. HC 3, Box 13068 Keaau, HI 96749-9220 *2013-2014 Tuition Aid Program*	$21,600 00 2/8/2013
Maryknoll School 1526 Alexander Street Honolulu, HI 96822 *2013-2014 Tuition Aid Progam*	$15,000.00 2/8/2013
Mid-Pacific Institute 2445 Kaala Street Honolulu, HI 96822-2299 *2013-2014 Tuition Aid Program*	$44,500.00 2/8/2013
Montessori Hale O Keiki P.O Box 2348 Kihei, HI 96753 *2013-2014 Tuition Aid Progam*	$10,000.00 2/8/2013
Montessori School of Maui 2933 Baldwin Avenue Makawao, HI 96768 *2013-2014 Tuition Aid Program*	$30,000.00 2/8/2013
Navy Hale Keiki School 153 Bougainville Drive Honolulu, HI 96818 *2013-2014 Tuition Aid Program*	$6,000.00 2/8/2013
Ohana Komputer 1516 Avon Way Honolulu, HI 96822 *General Operating Support - FYE 12/31/2013*	$20,000.00 9/23/2013
Pacific and Asian Affairs Council 1601 East-West Road, 4th Floor Honolulu, HI 96848-1601 *After-School Class Initiative· "Connect to the World" at Aiea, Kaiser and Waiakea High Schools (Fall 2013 & Spring 2014)*	$18,000.00 8/9/2013
Parker School 65-1224 Lindsey Road Kamuela, HI 96743 *2013-2014 Tuition Aid Progam*	$22,000.00 2/8/2013

Payee Organization Project Title	Amount Paid Date
Punahou School 1601 Punahou Street Honolulu, HI 96822-3336 *2013-2014 Tuition Aid Program*	$83,000.00 2/8/2013
Reading is Fundamental 1802 Keeaumoku Street Honolulu, HI 96822 *Reading Motivation Program for SY 2013-2014*	$10,000.00 5/2/2013
Seagull Schools, Inc. 1300 Kailua Road Kailua, HI 96734 *2013-2014 Tuition Aid Program*	$15,000.00 2/8/2013
St. Andrew's Priory School 224 Queen Emma Square Honolulu, HI 96813-2304 *2013-2014 Tuition Aid Program*	$16,000.00 2/8/2013
St. Andrew's Priory School 224 Queen Emma Square Honolulu, HI 96813-2304 *Priory in the City Program - SY 2013-2014*	$20,000.00 5/2/2013
State of Hawaii, DOE, Roosevelt High School 1120 Nehoa Street Honolulu, HI 96822 *Strategies and College/Career Center - Consultant for 9th Grade Teachers, Computers, and 2014 Summer Remedial Program (RFP Solicited)*	$70,000.00 9/23/2013
State of Hawaii, DOE - Waialua High & Intermediate School 67-160 Farrington Highway Waialua, HI 96791 *Robotics Program - SY 2012-2013*	$20,000.00 11/8/2012
State of Hawaii, DOE - Waipahu High School 94-1211 Farrington Highway Waipahu, HI 96797 *Early College High School 3 year Partnership (1st pymt $256,000 SY 2013/14; 2nd pymt $255,000 SY 2014/15, 3rd pymt $255,000 SY 2015/16 (NOTE: 2nd & 3rd pymt (max $255,000 each dependent on number of courses offered & significant progress of program.*	$256,000.00 8/21/2013
Sui Wah School c/o 2909 Woodlawn Drive Honolulu, HI 96822 *Purchase Classroom Dry Erase Boards & Heavy Duty Lamps*	$5,000 00 9/23/2013

Payee Organization Project Title	Amount Paid Date
Teach For America Hawaii 500 Ala Moana Blvd., Suite 3-400 Honolulu, HI 96813 *Operating Support for Oahu & Hawaii Island - 2013/2014 School Year*	$200,000.00 8/9/2013
Variety School of Hawaii 710 Palekaua Street Honolulu, HI 96816 *2013-2014 Tuition Aid Program*	$11,700.00 2/8/2013
Waimea Country School P O Box 399 Kamuela, HI 96743 *2013-2014 Tuition Aid Program*	$9,000.00 2/8/2013
Total Education (50 items)	$1,628,205 00

ENVIRONMENT

Nature Conservancy, Hawaii Chapter 923 Nuuanu Ave Honolulu, HI 96817 *Protecting Watershed Forests on 4 Islands: Hawaii, Maui, Molokai & Kauai - FYE 6/30/2014*	$100,000.00 6/3/2013
Total Environment 1 item)	$100,000.00

HEALTH

Easter Seals Hawaii 710 Green Street Honolulu, HI 96813 *Maui Campus: Training and Conference Room (2nd & Final Phase of Capital Campaign)*	$100,000.00 9/23/2013
Kapiolani Health Foundation 55 Merchant Street, 26th Floor Honolulu, HI 96813 *Phase I Renovationof Hospital: 5-Story NICU/PICU Building (Capital Campaign) - $500,000/3 years: 2013 - $100,000; 2014 - $200,000; 2015 - $200,000*	$100,000.00 9/23/2013
Learning Disabilities Association of Hawaii 200 N. Vineyard Blvd., Suite 310 Honolulu, HI 96817 *Traveling Mini Conference & Keiki Health Fair*	$10,000.00 3/7/2013
Life Foundation 677 Ala Moana Blvd., Suite 226 Honolulu, HI 96813 *Sustainable Future Project*	$15,000 00 8/9/2013

Payee Organization Project Title	Amount Paid Date
Mental Health Association in Hawaii 1124 Fort Street Mall, Suite 205 Honolulu, HI 96813 *Training Trainers to Stop Youth Suicide and Bullying*	$25,000.00 11/8/2012
Queen's Medical Center 1301 Punchbowl Street Fund Development Department Harkness Annex, Room 11 Honolulu, HI 96813 *West Oahu Facility Renovation of Former St Francis West Hospital (Capital Campaign) - $350,000/3 years: 2013 - $100,000, 2014 - $125,000; 2015 - $125,000*	$100,000.00 9/23/2013
Rehabilitation Hospital of the Pacific Foundation 226 North Kuakini Street Honolulu, HI 96817 *Hospital Renovation (Capital Campaign) - $250,000/3 years 2013 - $100,000; 2014 - $75,000, 2015 - $75,000*	$100,000.00 9/23/2013
Waikiki Community Center 310 Paoakalani Avenue Honolulu, HI 96815 *Keiki Building Community - Summer Pilot*	$20,000.00 5/2/2013
Waikiki Health 277 Ohua Avenue Honolulu, HI 96815 *Primary Care to Uninsured & Underserved Patients & Expansion to New Makahiki Clinic in 2013*	$90,000.00 6/3/2013
Total Health (9 items)	$560,000.00

HUMANE SERVICES

Payee Organization Project Title	Amount Paid Date
Boys and Girls Club of Hawaii 1523 Kalakaua Avenue, Suite 202 Honolulu, HI 96826 *Waianae Clubhouse - Operating Support to Restore Programs & 3 PT positions to FT in 2013*	$100,000.00 6/3/2013
Catholic Charities Hawaii 1822 Keeaumoku Street Honolulu, HI 96822 *Neighbor Island Community Offices - FY 9/1/2012 - 8/31/2013*	$100,000 00 5/2/2013
Catholic Charities Hawaii 1822 Keeaumoku Street Honolulu, HI 96822 *Senior Homelessness - Problems and Issues (RFP Solicited)*	$100,000 00 9/23/2013

Payee Organization Project Title	Amount Paid Date
Child & Family Service 91-1841 Fort Weaver Road Ewa Beach, HI 96706-1909 *Staff Support for Domestic Abuse Shelters (Honolulu, Leeward Oahu, East Hawaii & West Hawaii)*	$115,000.00 3/7/2013
EPIC Ohana Inc. 1130 N. Nimitz Highway, Suite C-210 Honolulu, HI 96817 *Hawaii Youth Opportunities Initiative Three Year Request ($150,000 over 3 yrs - 2013, 2014, 2015 - @ $50,000 per year) NOTE: 1st of 3 pymts made early in Nov 2012 (future pymts dependent on annual reporting demonstrating continued progress)*	$50,000.00 11/8/2012
Family Programs Hawaii 250 Vineyard Street Honolulu, HI 96813 *Volunteer Tutoring Program*	$10,000 00 8/9/2013
Habitat for Humanity West Hawaii, Inc. P. O. Box 4619 Kailua-Kona, HI 96745 *Purchase Signage for New Facility Site of ReStore*	$5,000.00 3/7/2013
HUGS (Help, Understanding and Group Support) 3636 Kilauea Avenue Honolulu, HI 96816-2318 *HUGS GPS - 2013*	$15,000.00 11/8/2012
Kauai United Way P.O. Box 1087 4374 Kukui Grove Street, Ste. 201 Lihue, HI 96766 *Purchase Ricoh Digital Color Laser Printer*	$9,000.00 11/8/2012
Kauai United Way P.O. Box 1087 4374 Kukui Grove Street, Ste. 201 Lihue, HI 96766 *Classics Fundraising Cultural Event*	$6,250.00 9/23/2013
The Salvation Army Hawaiian & Pacific Islands Division 2950 Manoa Road Honolulu, HI 96822 *Program Operating Support for FY 10/1/2012 - 9/30/2013 (NOT TO BE USED FOR CAPITAL PROJECTS)*	$75,000.00 9/23/2013
Waipa Foundation P. O. Box 1189 Hanalei, HI 96714 *Construct Community Kitchen, Poi Mill & Hale Imu (Capital Campaign)*	$85,000.00 9/23/2013

Payee Organization Project Title	Amount Paid Date
YWCA of Kauai 3094 Elua Street Lihue, HI 96766 *Reintegration Counseling Services*	$25,000.00 5/2/2013

Total Human Services (13 items) $695,250.00

RELIGION

Church of the Pacific, UCC P O. Box 223154 Princeville, HI 96722-3154 *Food Pantry Program in 2013*	$6,000.00 11/8/2012

Total Religion (1 item) $6,000.00

Grand Total (92 items) $3,551,955.00

Check Returned

Academy of the Pacific 913 Alewa Drive Honolulu, HI 96817 *2013-2014 Tuition Aid Program*	-$40,000.00 2/8/2013

Note: School closed for SY 2013/2014 so grant award was returned on 7/12/2013.

Friends of Challenger Center Hawaii Inc. 900 Fort Street Mall, Suite 920 Honolulu, HI 96813 *Challenger Center Hawaii program during School Year 2012-2013*	-$25,000.00 5/22/2013

Note: $25,000 paid 4/27/2012. Funds returned 5/24/2013 because Legislature funded program.

MCINERNY FOUNDATON
Bank of Hawaii, Trustee

Grant Application Information for Program Support

I. Purpose

The founders, William, James and Ella McInerny, arranged for practically their entire estates to pass to the McInerny Foundation in order to promote the welfare of the people of the Hawaiian Islands. Under the terms of the deed of trust creating the foundation, the income was to be used exclusively for charitable, educational purposes, or for the prevention of cruelty to children or animals. Use of this income was intended to be flexible, to cover changing conditions, and to best serve the charitable requirements of the people of Hawaii.

The trust document specifically excludes support to churches and organizations which proselytize, encourage theology, sectarianism, spiritualism, religion or any system of religious worship. The founders, in specifying these exclusions, did not do so with the intention of showing anything but the kindest of feelings and the best of good wishes toward continued and successful growth/accomplishment of the aims and purposes of any such worthy organizations, but sincerely believed that the uses and purposes are of such a nature that their aims and ends are better served if they are supported largely by the persons taking part in their work.

II. General Information

McInerny Foundation grants are given to organizations benefiting the people of Hawaii. Organizations must be exempt from federal taxes under Section 501(c)(3) of the Internal Revenue Code and be classified as a public charity. The Foundation does not give grants or scholarships to individuals, nor does it provide grants for deficit funding or endowments.

Program and Project grants are awarded in six major fields: arts and culture, community, education, environment, health and rehabilitation, and human services.

Generally, the Foundation prefers to be one of several contributors to an activity, expecting the applicant to acquire needed funds from several sources to assure the continuation of the activity in the future.

The Distribution Committee will accept only one request a year from an organization. A new request will not be accepted if a prior pledge or report is still outstanding. The exception to this policy is a tuition aid program (separate guidelines available) which may be considered in addition to one other request from a school.

NOTE: If a fiscal sponsor is being used, it is necessary to contact the Charitable Foundation Services office prior to submitting a grant proposal.

III. Additional Information

a. *Board of Directors*

In order to be successful in accomplishing its purposes, a charitable organization generally must have community and business support, rather than relying solely on foundations or government funding.

For this reason the Distribution Committee pays particular attention to how active members of an organization's Board of Directors are in carrying out their responsibilities to the organization.

In the interest of public causes, McInerny Foundation has long required that all directors make an annual financial contribution, at some level, to the support of the organization; in addition to participating in fundraising activities. Directors are also required to attend Board meetings, volunteer work when needed, and make significant efforts to gain community and business support.

b. *School Participation*
If the request for funding supports a program that involves school or classroom participation, the applying charity must provide a list of the schools that will be involved in the program along with a letter of support from the schools' principals or teachers who have either committed or are interested in the program. The organization must also provide us with an approximate number of students that will be involved and/or benefiting from the program.

c. *Other Factors*
Some other factors considered in reviewing a proposal are:
- the community's need for the proposed program or project in relation to other similar activities
- reasonableness of the project's income/expenditure budget
- ability of the applicant to obtain entire funding and sustain the proposed project.

IV. Foundation Deadline Dates

Currently there are NO deadlines dates when submitting grant proposals for Program Support. The Distribution Committee normally meets frequently to consider grant requests.

V. APPLICATION PROCEDURES (A thru D)

Submit 7 (seven) completed sets of the proposal containing:

(A) *Funding Request cover sheet* – To be placed on top of each set (see page 4).
(B) *Proposal Narrative* - Provide who, what, when, where, why, and how in three pages or less.

Narrative Format Information:
Pages: Maximum 3 pages
Margins: 1-inch margins on each side
Font: 12 point font
Spacing: Double space in between paragraphs
Paragraph Height: Maximum of 1 ½ inches
Bullet points: Acceptable

Narrative Headings - Use these 7 (seven) headings in the order listed:

1) *Organization*: Briefly describe your organization's mission and programs. (maximum of 2 sentences)
2) *Population to be Served*: Provide an estimated UNDUPLICATED number and age range of the eligible individuals you propose to serve.
   - If the projections are an increase over the current volume, provide information on current volume (percentages can only be used if accompanied with figures, i.e. 20% over 500).
3) *Program/Project*: Describe using specific information in layman terms.
   - (Acronyms must be explained in the narrative and budgets.)
4) *Goals & Objectives*: **Separately** list each goal with corresponding objective, and specifically describe how the goal will be accomplished through the objective.
5) *Outreach*: For new, expansion, or existing program/project:
   - Describe how your organization will market the program/project to the community you propose to serve.
   - Provide an estimated UNDUPLICATED number of group/individuals who will be new participants
   - Provide UNDUPLICATED numbers for existing participants/groups/individuals.
6) *Evaluation*: Provide information on how and/or what the following measures are that will be used to evaluate the program/project (**note**: pre/post-tests are not acceptable):
   - Process Measures – extent to how the program/project will be implemented
   - Outcome Measures – effectiveness in meeting goals and objectives
7) *Cost*: Total cost of the program/project, amount requested, and plans for future support.

(C) *These 7 (seven) items must be attached to each of the 7 (seven) sets of the proposal narrative*

1) *Staff* - Describe the qualifications of the staff or volunteers who will oversee and coordinate the program/project
2) *Board of Directors* - List of Board members with their professional or business affiliation.
    - ➢ Percent who actively participate in activities and attend meetings
3) *Endorsement Letters* - Two letters endorsing the activity

(The following items require Start & End date that includes: month, day and year):

4) *Project/Program Budget*
    - ➢ List all revenue (funding sources) and expenses for the project or program.
5) *Organization's Operating Budget – Current Fiscal Year*
    - ➢ If funding is for support during the following fiscal year, also provide a DRAFT of Operating Budget for such year.
6) *Income Statement - Recently Completed Fiscal Year*
7) *Current Balance Sheet - (not older than three months)*

(D) *IRS, Charters, By-Laws, Articles of Incorporation*

Provide 1 (one) copy only of each of the following:

- *IRS* - Internal Revenue Service determination letter advising the organization is tax-exempt under Section 501(c)(3) of the Code and is considered a public charity.
- *Charter & By-Laws.*
- *Articles of Incorporation* - if applicable.

The proposal is to be sent to the following mailing address:

Postal Deliveries
McInerny Foundation
c/o Bank of Hawaii, Trustee
Charitable Foundation Services #758
P. O. Box 3170
Honolulu, Hawaii 96802-3170

Overnight Deliveries
McInerny Foundation
c/o Bank of Hawaii
Charitable Foundation Services #758
130 Merchant Street, Room 530
Honolulu, Hawaii 96813

DUE TO SECURITY REASONS, HAND DELIVERIES ARE *STRONGLY* DISCOURAGED.

Only complete proposals are reviewed chronologically. Incomplete proposals are not reviewed or processed until all missing documents and information is received.

There may be times due to unforeseen circumstances, unusually high/low volume, or incompleteness that a request may not be reviewed in time for the intended project. An average of 90 - 120 days is required to process a proposal, allowing for a possible site visit and for studying the proposal in relation to other activities of the Foundation.

Applicants are notified in writing of the action taken on their requests. The Foundation receives more requests for assistance than its funds permit supporting. However, normally, all applications are considered, and each is acted upon according to its current and projected relative merit, provided it is in keeping with the deed of trust.

NOTE: Although not ordinarily required, an audited financial statement may be requested if circumstances warrant.

Contact Information for the McInerny Foundation - Toll-free from Neighbor Islands 1-800-272-7262:

Paula Boyce, AVP and Grants Administrator
Phone: (808) 694-4945 Fax: (808) 694-4006
Email address: paula.boyce@boh.com

Elaine Moniz, Trust Specialist
Phone: (808) 694-4944 Fax: (808) 694-4006
Email address: elaine.moniz@boh.com

McInerny Foundation

Bank of Hawaii, Trustee

Funding Request Cover Sheet (Program)

(TYPE all information, except for signatures, and submit with your request for funding.)

Date ____________________

Organization Information

(Must be a 501 (c)(3) tax-exempt organization)

(If this is a collaborative project, please submit names of collaborating agencies on a separate sheet.)

Name: ____

Complete Address: ____

Phone: ________________ Fax: ______________ Email: ______________________________

BOARD MEMBER INFORMATION

No. of Board Members: __________ Percent (%) of Financial Participation: ____________

Total Contribution During Fiscal Year: __________ Median Gift: __________ Average Gift: _________
(Check One: Past ☐ or Current FY ☐)

Contact Information

Name: ______________________________ Title: ______________________________

Complete Address: ____

Phone: ______________________________ Email: ______________________________

Program/Project Information

Program/Project Title: ____

Amount requested: $________________

Two signatures are required

______________________________ ______________________________

Executive Director (Chief Compensated Staff) Board Chair or President (Chief volunteer)

______________________________ ______________________________

Type Name and Title Type Name and Title

MCINERNY FOUNDATON
Bank of Hawaii, Trustee

Grant Application Information for General Operating Support

I. Purpose

The founders, William, James and Ella McInerny, arranged for practically their entire estates to pass to the McInerny Foundation in order to promote the welfare of the people of the Hawaiian Islands. Under the terms of the deed of trust creating the foundation, the income was to be used exclusively for charitable, educational purposes, or for the prevention of cruelty to children or animals. Use of this income was intended to be flexible, to cover changing conditions, and to best serve the charitable requirements of the people of Hawaii.

The trust document specifically excludes support to churches and organizations which proselytize, encourage theology, sectarianism, spiritualism, religion or any system of religious worship. The founders, in specifying these exclusions, did not do so with the intention of showing anything but the kindest of feelings and the best of good wishes toward continued and successful growth/accomplishment of the aims and purposes of any such worthy organizations, but sincerely believed that the uses and purposes are of such a nature that their aims and ends are better served if they are supported largely by the persons taking part in their work.

II. General Information

McInerny Foundation grants are given to organizations benefiting the people of Hawaii. Organizations must be exempt from federal taxes under Section 501(c)(3) of the Internal Revenue Code and be classified as a public charity. The Foundation does not give grants or scholarships to individuals, nor does it provide grants for deficit funding or endowments.

Program and Project grants are awarded in six major fields: arts and culture, community, education, environment, health and rehabilitation, and human services.

Generally, the Foundation prefers to be one of several contributors to an activity, expecting the applicant to acquire needed funds from several sources to assure the continuation of the activity in the future.

The Distribution Committee will accept only one request a year from an organization. A new request will not be accepted if a prior pledge or report is still outstanding. The exception to this policy is a tuition aid program (separate guidelines available) which may be considered in addition to one other request from a school.

NOTE: General Operating support is usually provided to organizations that have an established relationship with McInerny Foundation.

III. Additional Information

a. *Board of Directors*

In order to be successful in accomplishing its purposes, a charitable organization generally must have community and business support, rather than relying solely on foundations or government funding.

For this reason the Distribution Committee pays particular attention to how active members of an organization's Board of Directors are in carrying out their responsibilities to the organization.

In the interest of public causes, McInerny Foundation has long required that all directors make an annual financial contribution, at some level, to the support of the organization; in addition to participating in fundraising activities. Directors are also required to attend Board meetings, volunteer work when needed, and make significant efforts to gain community and business support.

b. *School Participation*

If the request for funding supports a program that involves school or classroom participation, the applying charity must provide a list of the schools that will be involved in the program along with a letter of support from the schools' principals or teachers who have either committed or are interested in the program. The organization must also provide us with an approximate number of students that will be involved and/or benefiting from the program.

c. *Other Factors – General Operating Grants*

Some other factors considered in reviewing a proposal for general operating support are:

- the community's need for continuance of the applicant's existence in relation to other similar organizations
- reasonableness of applicant's operating budget
- ability of the applicant to obtain funding and sustainability for organization

IV. Foundation Deadline Dates

Currently there are NO deadlines dates when submitting grant proposals for General Operating Support. The Distribution Committee normally meets frequently to consider grant requests.

V. APPLICATION PROCEDURES (A thru D)

Submit 7 (seven) completed sets of the proposal containing:

(A) *Funding Request cover sheet* – To be placed on top of each set (see page 4).
(B) *Proposal Narrative* - Provide who, what, when, where, why, and how in three pages or less.

Narrative Format Information:
Pages: Maximum 3 pages
Margins: 1-inch margins on each side
Font: 12 point font
Spacing: Double space in between paragraphs
Paragraph Height: Maximum of 1 ½ inches
Bullet points: Acceptable

Narrative Headings - Use these 7 (seven) headings in the order listed:

1) *Organization*: Briefly describe your organization's mission and programs. (maximum of 2 sentences)
2) *Population to be Served*: Provide an estimated UNDUPLICATED number and age range of the eligible individuals you propose to serve.
   - If the projections are an increase over the current volume, provide information on current volume (percentages can only be used if accompanied with figures, i.e. 20% over 500).
3) *Program/Project*: Describe using specific information in layman terms, & plans for sustainability.
   - (Acronyms must be explained in the narrative and budgets.)
4) *Goals & Objectives*: **Separately** list each goal with corresponding objective, and specifically describe how the goal will be accomplished through the objective.
5) *Outreach*: For new, expansion, or existing program/project:
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   - Provide an estimated UNDUPLICATED number of group/individuals who will be new participants
   - Provide UNDUPLICATED numbers for existing participants/groups/individuals.
6) *Evaluation*: Provide information on how and/or what the following measures are that will be used to evaluate the program/project (**note**: pre/post-tests are not acceptable):
   - Process Measures – extent to how the program/project will be implemented
   - Outcome Measures – effectiveness in meeting goals and objectives
7) *Cost*: Total cost of the program/project, amount requested, and plans for future support.

(C) *These 7 (seven) items must be attached to each of the 7 (seven) sets of the proposal narrative*

1) *Staff* - Describe the qualifications of the staff or volunteers who will oversee and coordinate the program/project
2) *Board of Directors* - List of Board members with their professional or business affiliation.
   - ➢ Percent who actively participate in activities and attend meetings
3) *Endorsement Letters* - Two letters endorsing the activity

(The following items require Start & End date that includes: month, day and year):

4) *Project/Program Budget*
   - ➢ List all revenue (funding sources) and expenses for the project or program.
5) *Organization's Operating Budget – Current Fiscal Year*
   - ➢ If funding is for support during the following fiscal year, also provide a DRAFT of Operating Budget for such year.
6) *Income Statement - Recently Completed Fiscal Year*
7) *Current Balance Sheet - (not older than three months)*

(D) *IRS, Charters, By-Laws, Articles of Incorporation*

Provide 1 (one) copy only of each of the following:

- *IRS* - Internal Revenue Service determination letter advising the organization is tax-exempt under Section 501(c)(3) of the Code and is considered a public charity.
- *Charter & By-Laws.*
- *Articles of Incorporation* - if applicable.

The proposal is to be sent to the following mailing address:

Postal Deliveries
McInerny Foundation
c/o Bank of Hawaii, Trustee
Charitable Foundation Services #758
P. O. Box 3170
Honolulu, Hawaii 96802-3170

Overnight Deliveries
McInerny Foundation
c/o Bank of Hawaii
Charitable Foundation Services #758
130 Merchant Street, Room 530
Honolulu, Hawaii 96813

DUE TO SECURITY REASONS, HAND DELIVERIES ARE *STRONGLY* DISCOURAGED.

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There may be times due to unforeseen circumstances, unusually high/low volume, or incompleteness that a request may not be reviewed in time for the intended project. An average of 90 - 120 days is required to process a proposal, allowing for a possible site visit and for studying the proposal in relation to other activities of the Foundation.

Applicants are notified in writing of the action taken on their requests. The Foundation receives more requests for assistance than its funds permit supporting. However, normally, all applications are considered, and each is acted upon according to its current and projected relative merit, provided it is in keeping with the deed of trust.

NOTE: Although not ordinarily required, an audited financial statement may be requested if circumstances warrant.

Contact Information for the McInerny Foundation - Toll-free from Neighbor Islands 1-800-272-7262:

Paula Boyce, AVP and Grants Administrator
Phone: (808) 694-4945 Fax: (808) 694-4006
Email address: paula.boyce@boh.com

Elaine Moniz, Trust Specialist
Phone: (808) 694-4944 Fax: (808) 694-4006
Email address: elaine.moniz@boh.com

McInerny Foundation

Bank of Hawaii, Trustee

Funding Request Cover Sheet (General Operating)

(TYPE all information, except for signatures, and submit with your request for funding.)

Date ____________________

Organization Information

(Must be a 501 (c)(3) tax-exempt organization)

(If this is a collaborative project, please submit names of collaborating agencies on a separate sheet.)

Name: ____________________

Complete Address: ____________________

Phone: ____________ Fax: ____________ Email: ____________

BOARD MEMBER INFORMATION

No. of Board Members: __________ Percent (%) of Financial Participation: __________

Total Contribution During Fiscal Year: __________ Median Gift: __________ Average Gift: __________
(Check One: Past ☐ or Current FY ☐)

Contact Information

Name: ____________________ Title: ____________________

Complete Address: ____________________

Phone: ____________________ Email: ____________________

Program/Project Information

Program/Project Title: ____________________

Amount requested: $____________

Two signatures are required

____________________ ____________________

Executive Director (Chief Compensated Staff) Board Chair or President (Chief volunteer)

____________________ ____________________

Type Name and Title Type Name and Title

MCINERNY FOUNDATION
Bank of Hawaii, Trustee

Grant Application Information for Tuition Aid Program Support

Tuition Aid Program - Grantmaking Policies
Grants for Tuition Aid Programs are available to qualified tax-exempt 501(c)(3) charitable learning institutions serving children from preschool through 12th grade throughout the state of Hawaii. Proposals for tuition aid programs are reviewed once a year by the Distribution Committee and must be postmarked by November 15th.

Generally, the Foundation prefers to be one of several contributors to a school's tuition aid program, expecting the school to acquire needed funds from several sources to assure the continuation of the program in the future.

The trust document specifically excludes support to churches and organizations which proselytize, encourage theology, sectarianism, spiritualism, religion or any system of religious worship. The Foundation does not give tuition aid to individuals, and does not provide grants for school endowments.

The Distribution Committee generally will accept only one request a year from an organization. The exception to this policy is a tuition aid program request which will be considered in addition to one other request from a school.

Accreditation Policy
McInerny Foundation Distribution Committee requires all schools submitting a request for tuition aid to be accredited by one of the following accrediting bodies:

Schools: preschool through third grade programs, including childcare and after-school care programs:
NAEYC (National Association for the Education of Young Children), or
NECPA (National Early Childhood Program Accreditation)

Schools: any or all grade levels from Pre-K through 12th grade programs; or a college/university
WASC (Western Association of Schools and Colleges)

NOTE: **All accrediting information and verification must be included** with the *Accreditation & Information Form for Tuition Aid (Form A, Page 5).*

Pending Accreditation Policy
Requests will be accepted from schools who have received a tuition aid award in the past from McInerny Foundation, and is NOT yet accredited, **providing** the school has applied for accreditation with one of the above accrediting entities **prior** to submitting a request to McInerny Foundation. Pending accrediting information and verification must be included with the ***Accreditation & Information Form for Scholarship/Tuition Aid** (forms A & B on pages 5 & 6).*

APPLICATION PROCEDURE

Requests for schools' tuition aid programs are normally reviewed during the first quarter of the year.
DEADLINE: PROPOSALS MUST BE POSTMARKED BY NOVEMBER 15.

WHAT IS NEEDED: ALL INFORMATION IN SECTIONS I & II

SECTION I

ONE (1) SET OF ALL THE FOLLOWING 10 ITEMS:
1. Funding Request Cover Sheet – To be placed on top (see page 3)
2. *Accreditation & Information Form For Tuition Aid* (FORMS A & B, and can be found on pages 4 & 5 of these instructions)

3. Proposal Narrative – ONE(1) PAGE

    Narrative Format Information:
    Pages: 1 page
    Margins: 1-inch margins on each side
    Font: 12 point font
    Spacing: Double space in between paragraphs
    Paragraph Height: Maximum of 1 ½ inches
    Bullet points: Acceptable

NOTE: ONE PAGE Proposal narrative that includes the following information:

- Brief description of the applicant organization and its purpose – two sentences.
- Concise summary of the following: school's tuition aid program; what the school does to generate tuition aid funds; award policy for those in financial need; description of how the tuition aid program is to be carried out; a statement of need and an indication of the population to be served.

3. Board of Directors – List of Board members with their professional or business affiliation.
    - Percent who actively participate in activities and meetings
4. Endorsement Letters - Two letters from parents/guardians are required. (Thank you letters are NOT endorsement letters.)

(Items # 5 thru 8 must have a Start & End date that includes: month, day and year)

5. Organization's Projected Tuition Aid Program Revenue & Expense Budget
    - **For proposed school year** – (must include all sources of revenue and expenses)
6. Organization's Operating Budget – Current Fiscal Year
7. Income Statement - Recently Completed Fiscal Year
8. Balance Sheet – (not older than 3 months)
9. Internal Revenue Service determination letter advising that the organization is tax-exempt under Section 501(c)(3) of the Code and is considered a public charity.
10. Organization's Charter and By-Laws, and Articles of Incorporation if applicable

SECTION II

SIX (6) COLLATED SETS OF THE FOLLOWING 5 ITEMS (as described in Section I):

1) Funding Request Cover Sheet (page 3)
2) Information Form For Tuition Aid (Form B only - see page 5)
3) Proposal Narrative – ONE(1) PAGE
4) Organization's Tuition Aid Program Revenue & Expense Budget
5) Organization's Operating Budget – Current Fiscal Year

DUE TO SECURITY REASONS, HAND DELIVERIES ARE *STRONGLY* DISCOURAGED.

Mail proposals to:
McInerny Foundation
c/o Bank of Hawaii, Trustee
Charitable Foundation Services #758
P. O. Box 3170
Honolulu, Hawaii 96802-3170

Overnight Deliveries
Bank of Hawaii - McInerny Foundation
130 Merchant Street, Room 530
Honolulu, Hawaii 96813

Applicants are notified in writing of the action taken on their requests. The Foundation receives more requests for assistance than its funds permit supporting; however, normally, all applications are considered, and each is acted upon according to its current and projected relative merit, provided it is in keeping with the deed of trust.

NOTE: Although not ordinarily required, an audited financial statement may be requested if circumstances warrant.

Contact Information for the McInerny Foundation:

Paula Boyce, AVP and Grants Administrator
Phone: (808) 694-4945 Fax: (808) 694-4006
Email address: paula.boyce@boh.com

Elaine Moniz, Trust Specialist
Phone: (808) 694-4944 Fax: (808) 694-4006
Email address: elaine.moniz@boh.com

Toll-free from Neighbor Islands
1-800-272-7262

McInerny Foundation

Bank of Hawaii, Trustee

Funding Request Cover Sheet (Tuition Aid)

(TYPE all information, except for signatures, and submit with your request for funding.)

Date ____________________

Organization Information

(Must be a 501 (c) (3) tax-exempt organization)

(If this is a collaborative project, please submit names of collaborating agencies on a separate sheet.)

Name: ____________________

Complete Address: ____________________

Phone: ____________________ Fax: ____________________

BOARD MEMBER INFORMATION

No. of Board Members: ____________ Percent (%) of Financial Participation: ____________

Total Contribution During Fiscal Year: ____________ Median Gift: __________ Average Gift: __________
(Check One: Past ☐ Current FY ☐)

Contact Information

Name. ____________________ Title: ____________________

Address: ____________________

Phone: ____________________ Email: ____________________

Project Information

Project Title: ____________________

Amount requested: $____________

Two signatures are required

____________________ ____________________

Executive Director (Chief Compensated Staff) Board Chair or President (Chief volunteer)

____________________ ____________________

Print or Type Name and Title Print or Type Name and Title

Accreditation & Information Form For Tuition Aid (FORM A)

McInerny Foundation
Bank of Hawaii, Trustee

McInerny Foundation Distribution Committee requires all schools submitting a request for tuition aid to be accredited by one (or more if applicable) of the following accrediting bodies:

Schools: preschool - third grade programs, including childcare and after-school care programs:
NAEYC (National Association for the Education of Young Children)
NECPA (National Early Childhood Program Accreditation)

Schools: any or all grades levels from Pre-K – 12 in their programs; or a 4-year college/university.
WASC (Western Association of Schools and Colleges)

NOTE: Complete Section A & B on FORM A, and ALL the information on FORM B.

SECTION A - Complete either # 1 or # 2, whichever is applicable.

 # 1 - You are a first-time request school or a school that has received a tuition aid award in the past from McInerny Foundation, **and ARE** accredited with one or both of the above accreditations. ***Please provide us with a copy of the certificate, and the following information:***

Name of School: ________________________________

Date Accreditation Approved: ______________ NAEYC ☐ NECPA ☐ WASC ☐

Period of Approved Accreditation: ________________________

 # 2 - You are a school that has received a tuition aid award in the past, **and** is **NOT** accredited by one of the **above** accrediting bodies. You must apply for the above appropriate accreditation **PRIOR** to submitting a request to McInerny Foundation. ***If your accreditation application is pending, please provide a copy of your status letter, along with the following information:***

Name of School: ________________________________

Date of Accreditation Application: ______________ NAEYC ☐ NECPA ☐ WASC ☐

Status of Accreditation Application: ________________________

Cause for Pending Status. ________________________

Estimated Date of Accreditation Approval ________________________

SECTION B – Complete the following information: concerning financial support contributed to the school by the members of the Board of Directors, Trustees, and Committees:

Total (unduplicated) # of members: __________

Total annual dollar amount contributed: $__________

Percentage of members contributing: __________%

Median Gift Amount: $__________

Average Gift Amount: $__________

***HIGH SCHOOLS & COLLEGES ONLY: ______% of ALUMNI that contribute to school.**

(Please continue on to Form B. Both pages must be submitted together with your proposal.)

McInerny Foundation Information Form for Tuition Aid (FORM B)

____
School

____
Date

		(Current) 2012/2013	(Projected) 2013/2014
	Enrollment		
	Full time	______	______
	Part time	______	______
	Total FT equivalent	0.0	0.0
Tuition (ANNUAL per student):	Full-time	$______	$______
	Part-time	$______	$______
Actual **ANNUAL** cost per student:	FT equivalent:	$______	$______
Percent (%) of actual annual cost covered by tuition:		_____%	_____%

Number of scholarship/tuition aid awards **(do not include discounted tuition that is part of employee benefit packages when calculating Faculty student awards):**

	2012/2013	2013/2014
Faculty students	______	______
Non-faculty students	______	______
Total	0	0

Total dollars provided in tuition aid program(do not included discounted tuition that is part of employee benefit packages when calculating Faculty student awards)

	2012/2013	2013/2014
Faculty students	$______	$______
Non-faculty students	$______	$______
Total	$ 0	$ 0

NEW Request to McInerny Foundation for School Year: 2013/2014 $________

Received from McInerny Foundation for 2012/2013 $_______ # of Students Received McInerny Funds _____

Range of scholarship/tuition aid awards provided in 2012/2013 $________ to $________

Do you give full ☐ or, partial ☐ awards?

Grade levels given scholarships/tuition aid ________________

School's endowment funds restricted for scholarships $_____________ (principal amount)

School's total endowment funds:
Principal $________
Annual income $________

Percentage of school's total investment income given as scholarship/tuition aid: _____%

Percentage of school's revenues from all sources given as scholarship/tuition aid: _____%

***HIGH SCHOOLS & COLLEGES ONLY: _____% of ALUMNI that contribute to school.**

MCINERNY FOUNDATION
Bank of Hawaii, Trustee

Grant Application Information for General Capital Support

<u>SECTION I – Grantmaking Policies For General Capital Funding</u>
The McInerny Foundation trust document specifically excludes support to churches, religious entities and organizations which proselytize, encourage theology, sectarianism, spiritualism, religion or any system of religious worship.

McInerny Foundation provides grants to organizations benefiting the people of Hawaii. Organizations must be exempt from federal taxes under Section 501(c)(3) of the Internal Revenue Code and be classified as a public charity.

The Distribution Committee accepts only one request a year from an organization. The exception to this policy is a tuition aid program (separate guidelines available) which may be considered in addition to one other request from a school.

<u>SECTION II – Capital Criteria</u>

<u>General Capital – Under $500,000</u>
General Capital requests are for projects or equipment having a total cost under $500,000; however at the discretion of the foundation, projects or purchases of certain values and scope may be treated as large capital projects and subject to the guideline criteria for large capital. (There are separate guidelines for Major Capital requests with total costs of $500,000 or more.) **There are no deadline dates for submitting general capital requests**, which may be considered at all foundation meetings.

- o General Capital grants are provided for the purchase of equipment, renovations and construction of buildings. McInerny Foundation does not provide grants for the purchase of real estate; nor does the foundation provide grants for deficit funding or for endowments.
- o Generally, the Foundation prefers to be one of several contributors, expecting the organization to acquire needed funds from several sources to assure the project is completed at a specific time and/or in a timely manner.
- o In the interest of public causes, McInerny Foundation has long required that all board of directors of an organization make an annual financial contribution, at some level, to the support of the organization; in addition to participating in fundraising activities. Directors are also required to attend Board meetings, volunteer work when needed, and make significant efforts to gain community and business support.

  Some other factors considered in reviewing a proposal are:
  - the community's need for the proposed project in relation to other similar activities
  - reasonableness of the project's income/expenditure budget
  - ability of the applicant to obtain entire funding and complete the proposed project.

<u>SECTION III – Application Procedures (A thru D)</u>

<u>Submit 7 (seven) completed sets of the proposal containing:</u>

(A) *Funding Request cover sheet* – To be placed on top of each set. (see page 5).
(B) *Proposal Narrative* - Provide who, what, when, where, why, and how in two pages or less.

<u>Narrative Format Information:</u>
Pages: Maximum of 2 pages
Margins: 1-inch margins on each side
Font: 12 point font
Spacing: Double space in between paragraphs
Paragraph Height: Maximum of 1 ½ inches
Bullet points: Acceptable

Narrative Headings - Use these 7(seven) headings in the order listed:

1) Organization: Briefly describe your organization's mission and programs in two sentences.
2) Population to be Served: Provide an estimated UNDUPLICATED number and age range of the eligible individuals you propose to serve with this project or purchase.
   - If the projections are an increase over the current volume, provide information on current volume (percentages can only be used if accompanied with figures, i e. 20% over 500).
3) Project/Purchase: Describe using specific information in layman terms. (Acronyms must be explained in the narrative and budgets.)
4) Goals & Objectives: **Separately** list each goal with corresponding objective, and specifically describe how the goal will be accomplished through the objective.
5) Outreach (new, expansion or existing):
   - Describe how your organization will market the project or purchase to the community you propose to serve (if applicable).
   - Provide an estimated UNDUPLICATED number of group/individuals who will be new participants
   - Provide UNDUPLICATED numbers for existing participants/groups/individuals.
6) Evaluation: Provide information on how and/or what the following measures are that will be used to evaluate the program/project (**note**: pre/post-tests are not acceptable):
   - Process Measures – extent to how the program/project will be implemented
   - Outcome Measures – effectiveness in meeting goals and objectives
7) Cost: Total cost of the project or purchase, amount requested, and plans for future support.

(C) *These 7 (seven) items must be attached to each of the 7 sets of the proposal narrative*

1) Staff/Project Manager/Campaign Committee: Describe the qualifications of who will oversee and coordinate the project or purchase.
2) Board of Directors - List board members with their professional or business affiliation.
   - Percent who actively participate in activities and attend meetings
3) Endorsement Letters – Three letters endorsing the activity

(The following items must have a Start & End date that includes: month, day, and year.)

4) Project Budget
   - List all revenue (funding sources) and expenses for the project or purchase.
   - Requests for capital and/or to purchase equipment require additional information to validate the expenses in the project budget (i.e. estimates from vendors).
5) *Organization's Operating Budget - Current Fiscal Year*
6) *Income Statement - Recently Completed Fiscal Year*
7) *Current Balance Sheet - (not older than three months).*

(D) *IRS, Charters, By-Laws, Articles of Incorporation*

Provide ONE copy only of each of the following:

- *IRS* - Internal Revenue Service letter advising that the organization is tax-exempt under Section 501 (c)(3) of the Code, its classification as a public charity.
- *Charter & By-Laws* – if not previously submitted or amended.
- *Articles of Incorporation* – if applicable.

NOTE: If you use church facilities, are a religious organization, or an organization affiliated with a church, complete & include Page 4: *"Additional Requirements for Applicants Affiliated with Churches or Other Religious Organizations"*

The proposal is to be sent to the following mailing address:

Postal Deliveries
McInerny Foundation
c/o Bank of Hawaii, Trustee
Charitable Foundation Services #758
P. O. Box 3170
Honolulu, Hawaii 96802-3170

Overnight Deliveries
McInerny Foundation
c/o Bank of Hawaii
Charitable Foundation Services #758
130 Merchant Street, Room 530
Honolulu, Hawaii 96813

DUE TO SECURITY REASONS, HAND DELIVERIES ARE *STRONGLY* DISCOURAGED.

There are no deadline dates for General Capital requests.

Applicants will be notified in writing of the action taken on their requests. The Foundation receives more requests for assistance than its funds permit supporting. However, normally, all applications are considered, and each is acted upon according to its current and projected relative merit, provided it is in keeping with the deed of trust.

NOTE: Although not ordinarily required, an audited financial statement may be requested if circumstances warrant.

Contact Information for the McInerny Foundation:

Paula Boyce, AVP and Grants Administrator
Phone: (808) 694-4945 Fax: (808) 694-4006
Email address: paula.boyce@boh.com

Elaine Moniz, Trust Specialist
Phone: (808) 694-4944 Fax: (808) 694-4006
Email address: elaine.moniz@boh.com

Toll-free from Neighbor Islands (1-800-272-7262)

ADDITIONAL REQUIREMENTS FOR APPLICANTS AFFILIATED WITH CHURCHES OR OTHER RELIGIOUS ORGANIZATIONS

McInerny Foundation does not provide grants for capital projects to churches or religious institutions. The Foundation may, on a case by case basis, approve funding for capital projects to *affiliates* of churches or other religious entities.

COMPLETE THIS SECTION ONLY IF YOU ARE AN ORGANIZATION THAT IS AFFILIATED WITH A CHURCH OR OTHER RELIGIOUS ENTITY, AND INCLUDE IT WITH EACH SET OF THE GRANT PROPOSAL.

On a separate sheet, address the following factors/questions in the order listed.

1. The stated mission of your organization (maximum 3 sentences).
2. Location: Does your organization use church facilities? Is it located on church-owned property?
   a. If so, provide a copy of the lease provision concerning the disposition of improvements on the property at the end of the lease term or in the event the lease terminates.
3. Describe the types of activities engaged in and services provided by your organization (maximum 3 sentences).
4. Organization's source of funding (i.e. public donations, grants, church support, etc.) – provide percentages for each source.
5. Is religious affiliation a condition of employment, membership or admission?
6. Is religious affiliation a condition of serving on your organization's governing board?
7. Is religious affiliation a condition of receiving services from your organization?
8. Does your organization proselytize or engage in evangelical activities?
9. Does religion pervade the operation of your organization (e.g. in the case of a school, does religion pervade the curriculum)?
10. Is your organization a separate entity from the affiliated religious organization?
11. Do the articles of organization or by-laws address the disposition of your organization's assets in the case of dissolution?
    a. If the articles or by-laws state that, in the event of your organization's dissolution, its assets are to be distributed to a church or other religious organization, and if a capital grant is approved, the grant will be conditioned upon a written agreement from your organization stating that the relevant property will not be distributed to a church or other religious organization in the event of your organization's dissolution. (Grant Restricted Agreement will be provided by McInerny Foundation.)

McInerny Foundation

Bank of Hawaii, Trustee

Funding Request Cover Sheet (General Capital)

(TYPE all information, except for signatures, and submit with your request for funding.)

Date ____________________

Organization Information

(Must be a 501 (c) (3) tax-exempt organization)

(If this is a collaborative project, please submit names of collaborating agencies on a separate sheet.)

Name: ____

Complete Address: ____

Phone: ______________________________ Fax: ______________________________________

BOARD MEMBER INFORMATION

No. of Board Members: ____________ Percent (%) of Financial Participation: ____________

Annual Contribution During Fiscal Year: ____________ Median Gift: __________ Average Gift: __________

(Check One: Past ☐ or Current FY ☐)

Contact Information

Name: ______________________________ Title: ______________________________

Address: ____

Phone: ____________________________ Email: ______________________________

Project Information

Project Title: ____

Amount requested: $______________

Two signatures are required

______________________________________ ______________________________________

Executive Director (Chief Compensated Staff) Board Chair or President (Chief volunteer)

______________________________________ ______________________________________

Type Name and Title Type Name and Title

MCINERNY FOUNDATION
Bank of Hawaii, Trustee

Grant Application Information for Major Capital Support

SECTION I – Grantmaking Policies For Major Capital Funding

The McInerny Foundation trust document specifically excludes support to churches, religious entities and organizations which proselytize, encourage theology, sectarianism, spiritualism, religion or any system of religious worship.

McInerny Foundation provides grants to organizations benefiting the people of Hawaii. Organizations must be exempt from federal taxes under Section 501(c)(3) of the Internal Revenue Code and be classified as a public charity.

The Distribution Committee accepts only one request a year from an organization. The exception to this policy is a tuition aid program (separate guidelines available) which may be considered in addition to one other request from a school.

SECTION II – Capital Criteria

Major Capital I– $500,000 and more
Major Capital requests are reviewed in August or September and are normally capital campaigns, projects or equipment purchases costing $500,000 or more. **Deadline Date: Must be postmarked by July 1.**

- Major Capital grants are provided for the purchase of equipment, renovations and construction of buildings. McInerny Foundation does not provide grants for the purchase of real estate; nor does the foundation provide grants for deficit funding or for endowments.

- Generally, the Foundation prefers to be one of several contributors, expecting the organization to acquire needed funds from several sources to assure the project is completed at a specific time and/or in a timely manner.

- In the interest of public causes, McInerny Foundation has long required that all board of directors of an organization make an annual financial contribution, at some level, to the support of the organization; in addition to participating in fundraising activities. Directors are also required to attend Board meetings, volunteer work when needed, and make significant efforts to gain community and business support.

  Some other factors considered in reviewing a proposal are:
  - the community's need for the proposed project in relation to other similar activities
  - reasonableness of the project's income/expenditure budget
  - ability of the applicant to obtain entire funding and complete the proposed project.

THESE CONDITIONS MUST BE MET BEFORE SUBMITTING A MAJOR CAPITAL REQUEST:

1. There must be 100% financial contribution from all Board, Trustees, and Committee members.
2. Organization must have already attained 75% of the total campaign goal and/or project/equipment cost.
3. Necessary primary permits and government entitlements, if applicable, must have already been processed or pending process (proof required). Examples of such documents are:
   - Construction/Building Permit
   - Environmental Assessment
   - Special Management District Permit
   - Planned Review and/or Conditional Use Permit
   - Scenic District Permit
   - Historical Permit
   - DLNR Approval (Department of Land & Natural Resources)
4. Validation of expenses for Furniture, Fixtures & Equipment (i.e. estimates from vendors)

Once the above 4 Conditions are met for major capital requests, complete Section III below, in addition to other applicable documents.

SECTION III – Application Procedures (A thru E)

Submit 7 (seven) completed sets of the proposal containing:

(A) Funding Request cover sheet – To be placed on top of each set. (see page 5).

(B) Major Capital Fact Sheet - (see page 6)

(C) Proposal Narrative - Provide who, what, when, where, why, and how in two pages or less.

Narrative Format Information:

Pages: Maximum of 2 pages
Margins: 1-inch margins on each side
Font: 12 point font
Spacing: Double space in between paragraphs
Paragraph Height: Maximum of 1 ½ inches
Bullet points: Acceptable

Narrative Headings - Use these 7(seven) headings in the order listed:

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   - Percent who actively participate in activities and attend meetings
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(The following items must have a Start & End date that includes: month, day, and year.)

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   - List all revenue (funding sources) and expenses for the project or purchase.
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7) *Current Balance Sheet - (not older than three months).*

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c/o Bank of Hawaii
Charitable Foundation Services #758
130 Merchant Street, Room 530
Honolulu, Hawaii 96813

DUE TO SECURITY REASONS, HAND DELIVERIES ARE *<u>STRONGLY</u>* DISCOURAGED.

MAJOR CAPITAL REQUESTS MUST BE POSTMARKED BY JULY 1ST.

Applicants will be notified in writing of the action taken on their requests. The Foundation receives more requests for assistance than its funds permit supporting. However, normally, all applications are considered, and each is acted upon according to its current and projected relative merit, provided it is in keeping with the deed of trust.

NOTE: Although not ordinarily required, an audited financial statement may be requested if circumstances warrant.

<u>Contact Information for the McInerny Foundation:</u>

Paula Boyce, AVP and Grants Administrator
Phone: (808) 694-4945 Fax: (808) 694-4006
Email address: paula.boyce@boh.com

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Phone: (808) 694-4944 Fax: (808) 694-4006
Email address: elaine.moniz@boh.com

Toll-free from Neighbor Islands (1-800-272-7262)

ADDITIONAL REQUIREMENTS FOR APPLICANTS AFFILIATED WITH CHURCHES OR OTHER RELIGIOUS ORGANIZATIONS

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COMPLETE THIS SECTION ONLY IF YOU ARE AN ORGANIZATION THAT IS AFFILIATED WITH A CHURCH OR OTHER RELIGIOUS ENTITY, AND INCLUDE IT WITH EACH SET OF THE GRANT PROPOSAL.

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2. Location: Does your organization use church facilities? Is it located on church-owned property?
   a. If so, provide a copy of the lease provision concerning the disposition of improvements on the property at the end of the lease term or in the event the lease terminates.
3. Describe the types of activities engaged in and services provided by your organization (maximum 3 sentences).
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5. Is religious affiliation a condition of employment, membership or admission?
6. Is religious affiliation a condition of serving on your organization's governing board?
7. Is religious affiliation a condition of receiving services from your organization?
8. Does your organization proselytize or engage in evangelical activities?
9. Does religion pervade the operation of your organization (e.g. in the case of a school, does religion pervade the curriculum)?
10. Is your organization a separate entity from the affiliated religious organization?
11. Do the articles of organization or by-laws address the disposition of your organization's assets in the case of dissolution?
    a. If the articles or by-laws state that, in the event of your organization's dissolution, its assets are to be distributed to a church or other religious organization, and if a capital grant is approved, the grant will be conditioned upon a written agreement from your organization stating that the relevant property will not be distributed to a church or other religious organization in the event of your organization's dissolution. (Grant Restricted Agreement will be provided by McInerny Foundation.)

McInerny Foundation

Bank of Hawaii, Trustee

Funding Request Cover Sheet (Major Capital)

(TYPE all information, except for signatures, and submit with your request for funding.)

Date ____________________

Organization Information

(Must be a 501 (c) (3) tax-exempt organization)

(If this is a collaborative project, please submit names of collaborating agencies on a separate sheet.)

Name: ____________________

Complete Address: ____________________

Phone: ____________________ Fax: ____________________

BOARD MEMBER INFORMATION

No. of Board Members: __________ Percent (%) of Financial Participation: __________

Annual Contribution During Fiscal Year: __________ Median Gift: __________ Average Gift: __________

(Check One: ☐ Past ☐ Current FY)

Contact Information

Name: ____________________ Title: ____________________

Address: ____________________

Phone: ____________________ Email: ____________________

Project Information

Project Title: ____________________

Amount requested: $__________

Two signatures are required

____________________ Executive Director (Chief Compensated Staff)

____________________ Board Chair or President (Chief volunteer)

____________________ Print or Type Name and Title

____________________ Print or Type Name and Title

MAJOR CAPITAL FACT SHEET – MCINERNY FOUNDATION

Organization Name	$ Campaign Goal	Contact Person	Title	Telephone

PROJECT NAME: ____________________

ABOUT THE PROJECT

PROJECT COSTS

Construction/Renovation	$______
Equipment	$______
Furnishings	$______
Fees	$______
Contingency	$______
Fund Raising Expense	$______
Financing Expense	$______
Other ____________ (identify)	$______
♦ Project Cost	$______
♦ Building Maintenance Endowment Goal (if applicable)	$______
TOTAL CAPITAL COST (A)	$______

FUNDS AVAILABLE FOR PROJECT

Formally pledged or paid

♦ Trustees, Directors and/or Campaign Committee Members Total **(100% required)*****	$______
Percentage of members contributing	______%
Median Gift	$______
Average Gift	$______
♦ Other Contributors	
Corporations	$______
Individuals	$______
Foundation Grants	$______
Government Grants	$______
Organization's own funds	$______
♦ Long-term Financing (in excess of five years)	
Bond Issues	$______
Loans	$______
♦ Other ____________ (identify)	$______

TOTAL FUNDS AVAILABLE (B) $______
(must be at least 75% of goal)

BALANCE REQUIRED (A minus B) $______

♦ Amount requested from **McInerny Foundation** $______

♦ Fundraising timetable (**not** pledge period) for project:
Start ________ (mo./yr.) Finish ________ (mo./yr.)

♦ Project timetable

Start ________ (mo./yr.) Finish ________ (mo./yr.)

ABOUT THE ORGANIZATION

♦ Year established ______

ACCREDITATION/LICENSURE

♦ Name of accrediting body, date of last accreditation review and current status:

____

FINANCIAL DATA/CURRENT UNRESTRICTED FUNDS
(Show budget figures with thousands omitted for current Fiscal Year and actual data for 3 prior years.)

	Income	Expense	Surplus or (Deficit)
♦ FY ___ (est.)			
♦ FY ___			
♦ FY ___			
♦ FY ___			

♦ **Attach** explanation of any operating deficits and indicate how the deficits were covered.
♦ Market value of existing endowment funds $______
♦ Date of valuation ______

IMPACT OF COMPLETED PROJECT ON OPERATING AND MAINTENANCE BUDGETS

♦ Increase $______
or
Decrease $______

♦ Source of annual funds required to maintain project:

____

REQUEST PERCENTAGES

Percentage of **total capital cost** being requested from:

this foundation	______%
all other local foundations	______%
local business sector	______%
individuals	______%
other ______	______%
______	______%
______ (specify)	______%
TOTAL	______%

COMMENTS:
Attach any qualifying comments.

*****Total # of Trustees, Directors, Campaign Committee** ______

PROPOSALS MUST BE POSTMARKED BY: JULY 1ST

Form **8868**
(Rev. January 2013)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
File by the due date for filing your return. See instructions	MCINERNY FOUNDATION	99-6002356
	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. BOX 3170, DEPT. 715	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. HONOLULU, HI 96802-3170	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ BANK OF HAWAII 130 MERCHANT ST., HONOLULU, HI - 96813
  Telephone No ▶ (808) 694-4525 FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until MAY 15, 2014 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [ ] calendar year ______ or
▶ [X] tax year beginning OCT 1, 2012 , and ending SEP 30, 2013 .

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return
[ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 168,239.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	$ 108,239.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 60,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.** Form **8868** (Rev. 1-2013)

223841
01-21-13

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print — File by the due date for filing your return See instructions

Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
MCINERNY FOUNDATION	99-6002356
Number, street, and room or suite no If a P.O box, see instructions.	Social security number (SSN)
P.O. BOX 3170, DEPT. 715	
City, town or post office, state, and ZIP code For a foreign address, see instructions	
HONOLULU, HI 96802-3170	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ BANK OF HAWAII 130 MERCHANT ST., HONOLULU, HI - 96813

  Telephone No ▶ (808) 694-4525 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until AUGUST 15, 2014 .

5 For calendar year ______ , or other tax year beginning OCT 1, 2012 , and ending SEP 30, 2013

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO GATHER THE INFORMATION NECESSARY TO PREPARE AND FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$	168,239.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$	168,239.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ BANK OF HAWAII, Trustee [signature] Vice President Title ▶ Date ▶ 5/13/14

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01-21-13