Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2012**

**For calendar year 2012, or tax year beginning** 10/01 **, 2012, and ending** 9/30 , 2013

UNIHEALTH FOUNDATION
800 WILSHIRE BLVD., SUITE 1300
LOS ANGELES, CA 90017

A Employer identification number: 95-5004033

B Telephone number (see the instructions): 213 630-6500

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 289,569,228.

J Accounting method: ☐ Cash [X] Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

**Part I Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| REVENUE | 1 Contributions, gifts, grants, etc, received (att sch) | 63,107. | | | |
| | 2 Ck ► ☐ if the foundn is not req to att Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 1,304,158. | 1,304,158. | | |
| | 4 Dividends and interest from securities | 5,014,498. | 5,014,498. | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain/(loss) from sale of assets not on line 10 | 13,841,107. | | | |
| | b Gross sales price for all assets on line 6a 13,841,107. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 13,841,107. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit/(loss) (att sch) | | | | |
| | 11 Other income (attach schedule) | | | | |
| | 12 **Total.** Add lines 1 through 11 | 20,222,870. | 20,159,763. | 0. | |
| OPERATING AND ADMINISTRATIVE EXPENSES | 13 Compensation of officers, directors, trustees, etc | 825,442. | 503,520. | | 321,922. |
| | 14 Other employee salaries and wages | 194,207. | 118,466. | | 75,741. |
| | 15 Pension plans, employee benefits | 112,294. | 68,499. | | 43,795. |
| | 16a Legal fees (attach schedule) SEE ST 1 | 102,775. | 92,255. | | 10,520. |
| | b Accounting fees (attach sch) SEE ST 2 | 54,765. | 49,883. | | 4,882. |
| | c Other prof fees (attach sch) SEE ST 3 | 3,004,940. | 3,004,940. | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule)(see instrs) SEE STM 4 | 76,389. | 31,330. | | 19,861. |
| | 19 Depreciation (attach sch) and depletion | 7,856. | 7,856. | | |
| | 20 Occupancy | 170,743. | 83,629. | | 87,114. |
| | 21 Travel, conferences, and meetings | 17,704. | 9,108. | | 8,597. |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) SEE STATEMENT 5 | 353,560. | 171,663. | | 181,899. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 4,920,675. | 4,141,149. | | 754,331. |
| | 25 Contributions, gifts, grants paid PART XV | 13,870,985. | | | 13,870,985. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 18,791,660. | 4,141,149. | 0. | 14,625,316. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a **Excess of revenue over expenses and disbursements** | 1,431,210. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 16,018,614. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | 0. | |

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| Part II Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.) | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|
| ASSETS 1 | Cash – non-interest-bearing | 85,490. | 138,922. | 138,922. |
| 2 | Savings and temporary cash investments | 4,449,589. | 3,250,637. | 3,250,637. |
| 3 | Accounts receivable ► 3,784,783. | | | |
| | Less: allowance for doubtful accounts ► | 949,571. | 3,784,783. | 3,784,783. |
| 4 | Pledges receivable ► | | | |
| | Less: allowance for doubtful accounts ► | | | |
| 5 | Grants receivable | 1,871,894. | 1,435,001. | 1,435,001. |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| 7 | Other notes and loans receivable (attach sch) ► | | | |
| | Less: allowance for doubtful accounts ► | | | |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10a | Investments – U.S and state government obligations (attach schedule) | | | |
| b | Investments – corporate stock (attach schedule). STATEMENT 6 | 143,880,242. | 167,934,335. | 167,934,335. |
| c | Investments – corporate bonds (attach schedule) STATEMENT 7 | 70,470,660. | 58,326,541. | 58,326,541. |
| 11 | Investments – land, buildings, and equipment: basis ► | | | |
| | Less: accumulated depreciation (attach schedule). ► | | | |
| 12 | Investments – mortgage loans | | | |
| 13 | Investments – other (attach schedule) STATEMENT 8 | 55,965,169. | 54,233,494. | 54,233,494. |
| 14 | Land, buildings, and equipment: basis ► 44,456. | | | |
| | Less: accumulated depreciation (attach schedule) SEE STMT 9 ► 36,349. | 15,963. | 8,107. | 8,107. |
| 15 | Other assets (describe ► SEE STATEMENT 10) | 520,997. | 457,408. | 457,408. |
| 16 | **Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I) | 278,209,575. | 289,569,228. | 289,569,228. |
| LIABILITIES 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, & other disqualified persons | | | |
| 21 | Mortgages and other notes payable (attach schedule) | | | |
| 22 | Other liabilities (describe ► SEE STATEMENT 11) | 1,546,352. | 1,066,470. | |
| 23 | **Total liabilities** (add lines 17 through 22) | 1,546,352. | 1,066,470. | |
| NET ASSETS OR FUND BALANCES | **Foundations that follow SFAS 117, check here ► [X] and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 | Unrestricted | 274,791,329. | 287,067,757. | |
| 25 | Temporarily restricted | 1,871,894. | 1,435,001. | |
| 26 | Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ► [ ] and complete lines 27 through 31.** | | | |
| 27 | Capital stock, trust principal, or current funds | | | |
| 28 | Paid-in or capital surplus, or land, building, and equipment fund | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 | **Total net assets or fund balances** (see instructions) | 276,663,223. | 288,502,758. | |
| 31 | **Total liabilities and net assets/fund balances** (see instructions) | 278,209,575. | 289,569,228. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 276,663,223. |
| 2 | Enter amount from Part I, line 27a | 2 | 1,431,210. |
| 3 | Other increases not included in line 2 (itemize) ► SEE STATEMENT 12 | 3 | 11,812,605. |
| 4 | Add lines 1, 2, and 3 | 4 | 289,907,038. |
| 5 | Decreases not included in line 2 (itemize) ► SEE STATEMENT 13 | 5 | 1,404,280. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30 | 6 | 288,502,758. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company) | (b) How acquired P — Purchase D — Donation | (c) Date acquired (month, day, year) | (d) Date sold (month, day, year) |
|---|---|---|---|---|
| 1a | MANAGED PORTFOLIO | P | VARIOUS | VARIOUS |
| b | FROM PASS THROUGH ENTITIES | P | VARIOUS | VARIOUS |
| c | 6 LATERAL STEELCASE FILES | P | 6/30/91 | 9/30/13 |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 8,810,509. | | | 8,810,509. |
| b | 5,030,598. | | | 5,030,598. |
| c | | 62,888. | 62,888. | 0. |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) Fair Market Value as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of column (i) over column (j), if any | (l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h)) |
|---|---|---|---|---|
| a | | | | 8,810,509. |
| b | | | | 5,030,598. |
| c | | | | 0. |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 — **2** 13,841,107.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 — **3** 0.

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (column (b) divided by column (c)) |
|---|---|---|---|
| 2011 | 13,206,631. | 261,836,604. | 0.050438 |
| 2010 | 13,362,356. | 269,887,356. | 0.049511 |
| 2009 | 9,359,595. | 253,562,196. | 0.036912 |
| 2008 | 11,607,018. | 227,583,588. | 0.051001 |
| 2007 | 16,222,216. | 310,024,894. | 0.052326 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.240188 |
| 3 | Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.048038 |
| 4 | Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 | 4 | 279,138,328. |
| 5 | Multiply line 4 by line 3 | 5 | 13,409,247. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 160,186. |
| 7 | Add lines 5 and 6 | 7 | 13,569,433. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 14,625,316. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

| | | | | |
|---|---|---|---|---|
| **1 a** Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary — see instrs) | | | | |
| **b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b | | | 1 | 160,186. |
| **c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b) | | | | |
| **2** Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 2 | 0. |
| **3** Add lines 1 and 2 | | | 3 | 160,186. |
| **4** Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 4 | 0. |
| **5** **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 160,186. |
| **6** Credits/Payments: | | | | |
| **a** 2012 estimated tax pmts and 2011 overpayment credited to 2012 | 6a | 84,000. | | |
| **b** Exempt foreign organizations — tax withheld at source | 6b | | | |
| **c** Tax paid with application for extension of time to file (Form 8868) | 6c | | | |
| **d** Backup withholding erroneously withheld | 6d | | | |
| **7** Total credits and payments. Add lines 6a through 6d | | | 7 | 84,000. |
| **8** Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached | | | 8 | 1,574. |
| **9** **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ► | | | 9 | 77,760. |
| **10** **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ► | | | 10 | |
| **11** Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ► | **Refunded** ► | | 11 | |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| **1 a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| **b** Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? | 1b | | X |
| *If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| **c** Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| **d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0. | | | |
| **e** Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0. | | | |
| **2** Has the foundation engaged in any activities that have not previously been reported to the IRS? | 2 | | X |
| *If 'Yes,' attach a detailed description of the activities.* | | | |
| **3** Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes* | 3 | | X |
| **4 a** Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| **b** If 'Yes,' has it filed a tax return on **Form 990-T** for this year? | 4b | N/A | |
| **5** Was there a liquidation, termination, dissolution, or substantial contraction during the year? | 5 | | X |
| *If 'Yes,' attach the statement required by General Instruction T.* | | | |
| **6** Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: | | | |
| • By language in the governing instrument, or | | | |
| • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| **7** Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV* | 7 | X | |
| **8 a** Enter the states to which the foundation reports or with which it is registered (see instructions) ► CA | | | |
| **b** If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation* | 8b | X | |
| **9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV* | 9 | | X |
| **10** Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses* | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ► UNIHEALTHFOUNDATION.ORG

14 The books are in care of ► KATHLEEN SALAZAR Telephone no ► 213 630-6500

Located at ► 800 WILSHIRE BLVD., STE 1300 LOS ANGELES CA ZIP + 4 ► 90017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ► | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.**

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1 a | During the year did the foundation (either directly or indirectly) | | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☒ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | ☐ Yes ☒ No | | | |
| b | If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | | 1 b | N/A | |
| | Organizations relying on a current notice regarding disaster assistance check here ► ☐ | | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? | | 1 c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | | |
| a | At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ | ☐ Yes ☒ No | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions.) | | 2 b | N/A | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__ | | | | |
| 3 a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If 'Yes,' did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)* | | 3 b | N/A | |
| 4 a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4 a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? | | 4 b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? [ ] Yes [X] No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? [ ] Yes [X] No

(3) Provide a grant to an individual for travel, study, or other similar purposes? [ ] Yes [X] No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) [ ] Yes [X] No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? [ ] Yes [X] No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? — 5b N/A

Organizations relying on a current notice regarding disaster assistance check here ► [ ]

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A. [ ] Yes [ ] No

*If 'Yes,' attach the statement required by Regulations section 53 4945-5(d).*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? [ ] Yes [X] No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — 6b No: X

*If 'Yes' to 6b, file Form 8870.*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? [ ] Yes [X] No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A — 7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 14 | | 726,442. | 79,800. | 19,200. |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NANCY MULLENAX<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | SR PRGRM OFF<br>40 | 46,350. | 18,000. | 1,800. |
| CAROLINE CHUNG<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | ADMIN/GRANTS<br>40 | 80,084. | 11,185. | 0. |
| KRISTOPHER EAMES<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | SPEC PROJ/ADM<br>40 | 84,000. | 12,056. | 0. |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ► 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| RLH INVESTORS<br>10900 WILSHIRE BLVD, SUITE 850<br>LOS ANGELES, CA 90024 | INVESTMENT MGMT | 199,341. |
| CANTERBURY CONSULTING INC<br>660 NEWPORT CENTER DRIVE, STE 300<br>NEWPORT BEACH, CA 92660 | INVESTMENT MGMT | 151,455. |
| BNY MELLON ASSET SERVICING<br>400 S. HOPE STREET<br>LOS ANGELES, CA 90071 | INVESTMENT MGMT | 140,026. |
| BARROW, HANLEY, MEWHINNEY & STRAUSS, INC.<br>2200 ROSS AVENUE, 31ST FLOOR<br>DALLAS, TX 75201 | INVESTMENT MGMT | 127,781. |
| CRAMER ROSENTHAL MCGLYNN<br>520 MADISON AVENUE, 20TH FLOOR<br>NEW YORK, NY 10022 | INVESTMENT MGMT | 113,653. |
| **Total** number of others receiving over $50,000 for professional services ► | | 0 |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions.<br>3 | |
| **Total.** Add lines 1 through 3 ► | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes: | | |
| a | Average monthly fair market value of securities | 1a | 277,761,363. |
| b | Average of monthly cash balances | 1b | 3,962,319. |
| c | Fair market value of all other assets (see instructions) | 1c | 1,665,483. |
| d | **Total** (add lines 1a, b, and c) | 1d | 283,389,165. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 283,389,165. |
| 4 | Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions) | 4 | 4,250,837. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 279,138,328. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 13,956,916. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 13,956,916. |
| 2a | Tax on investment income for 2012 from Part VI, line 5 — 2a: 160,186. | | |
| b | Income tax for 2012. (This does not include the tax from Part VI ) — 2b: | | |
| c | Add lines 2a and 2b | 2c | 160,186. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 13,796,730. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | 13,796,730. |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 13,796,730. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes: | | |
| a | Expenses, contributions, gifts, etc — total from Part I, column (d), line 26 | 1a | 14,625,316. |
| b | Program-related investments — total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 14,625,316. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | 160,186. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4. | 6 | 14,465,130. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | | (a) Corpus | (b) Years prior to 2011 | (c) 2011 | (d) 2012 |
|---|---|---|---|---|---|
| 1 Distributable amount for 2012 from Part XI, line 7 | | | | | 13,796,730. |
| 2 Undistributed income, if any, as of the end of 2012: | | | | | |
| a Enter amount for 2011 only | | | | 0. | |
| b Total for prior years: 20 ___, 20 ___, 20 ___ | | | 0. | | |
| 3 Excess distributions carryover, if any, to 2012: | | | | | |
| a From 2007 | 324,064. | | | | |
| b From 2008 | 314,491. | | | | |
| c From 2009 | | | | | |
| d From 2010 | | | | | |
| e From 2011 | 276,557. | | | | |
| f **Total** of lines 3a through e | | 915,112. | | | |
| 4 Qualifying distributions for 2012 from Part XII, line 4: ► $ 14,625,316. | | | | | |
| a Applied to 2011, but not more than line 2a | | | | 0. | |
| b Applied to undistributed income of prior years (Election required — see instructions) | | | 0. | | |
| c Treated as distributions out of corpus (Election required — see instructions) | | 0. | | | |
| d Applied to 2012 distributable amount | | | | | 13,796,730. |
| e Remaining amount distributed out of corpus | | 828,586. | | | |
| 5 Excess distributions carryover applied to 2012 (*If an amount appears in column (d), the same amount must be shown in column (a).*) | | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | | 1,743,698. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | 0. | | |
| d Subtract line 6c from line 6b Taxable amount — see instructions | | | 0. | | |
| e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount — see instructions | | | | 0. | |
| f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 | | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | 0. | | | |
| 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) | | 324,064. | | | |
| 9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a | | 1,419,634. | | | |
| 10 Analysis of line 9: | | | | | |
| a Excess from 2008 | 314,491. | | | | |
| b Excess from 2009 | | | | | |
| c Excess from 2010 | | | | | |
| d Excess from 2011 | 276,557. | | | | |
| e Excess from 2012 | 828,586. | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | **(a)** 2012 | **(b)** 2011 | **(c)** 2010 | **(d)** 2009 | **(e) Total** |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a 'Assets' alternative test – enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c 'Support' alternative test – enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE STATEMENT 15

b The form in which applications should be submitted and information and materials they should include.

SEE STATEMENT FOR LINE 2A

c Any submission deadlines

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

SEE STATEMENT FOR LINE 2A

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| DETAILED LIST OF CONTRIBUTIONS ATTACHED, | NONE | 501C3 | SEE ATTACHED | 13,870,985. |
| **Total** | | | ► 3a | 13,870,985. |
| **b** *Approved for future payment* | | | | |
| **Total** | | | ► 3b | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 1,304,158. | |
| 4 Dividends and interest from securities | | | 14 | 5,014,498. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 13,841,107. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 20,159,763. | |

13 **Total.** Add line 12, columns (b), (d), and (e) 13 20,159,763.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions ) |
|---|---|
| N/A | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| **1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| **(1)** Cash | **1 a (1)** | | X |
| **(2)** Other assets | **1 a (2)** | | X |
| **b** Other transactions: | | | |
| **(1)** Sales of assets to a noncharitable exempt organization | **1 b (1)** | | X |
| **(2)** Purchases of assets from a noncharitable exempt organization | **1 b (2)** | | X |
| **(3)** Rental of facilities, equipment, or other assets | **1 b (3)** | | X |
| **(4)** Reimbursement arrangements | **1 b (4)** | | X |
| **(5)** Loans or loan guarantees | **1 b (5)** | | X |
| **(6)** Performance of services or membership or fundraising solicitations | **1 b (6)** | | X |
| **c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees | **1 c** | | X |

**d** If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| **(a)** Line no. | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| N/A | | | |

**2 a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If 'Yes,' complete the following schedule.

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Mary Odell | 2-13-14 | PRESIDENT

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| MIKE MCCORMICK | | 2-12-14 | | P00182870 |

Firm's name ► LARUE CORRIGAN MCCORMICK & TEASDALE LLP — Firm's EIN ► 95-4304740

Firm's address ► 5959 TOPANGA CANYON BLVD. SUITE 180, WOODLAND HILLS, CA 91367 — Phone no (818) 587-9300

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545-0047

**2012**

**Name of the organization**
UNIHEALTH FOUNDATION

**Employer identification number**
95-5004033

**Organization type** (check one):

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ____ ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year . ► $ ____________

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: UNIHEALTH FOUNDATION

Employer identification number: 95-5004033

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

| (a) Number | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | FACEY MEDICAL FOUNDATION<br>15451 SAN FERNANDO MISSION BLV<br>MISSION HILLS, CA 91345 | $ 63,107. | Person [X]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II if there is a noncash contribution.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II if there is a noncash contribution.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II if there is a noncash contribution.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II if there is a noncash contribution.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II if there is a noncash contribution.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II if there is a noncash contribution.) |

Name of organization: UNIHEALTH FOUNDATION

Employer identification number: 95-5004033

**Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | N/A | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

Name of organization: UNIHEALTH FOUNDATION

Employer identification number: 95-5004033

**Part III** ***Exclusively*** **religious, charitable, etc, individual contributions to section 501(c)(7), (8) or (10) organizations that total more than $1,000 for the year.** Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc, contributions of **$1,000 or less** for the year. (Enter this information once. See instructions ) ► $ N/A

Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | N/A | | |

| (e) Transfer of gift | |
|---|---|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

| (e) Transfer of gift | |
|---|---|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

| (e) Transfer of gift | |
|---|---|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

| (e) Transfer of gift | |
|---|---|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
| | |

| | Grantee Name<br>Grantee Address | Status | Purpose of the Grant | Amount |
|---|---|---|---|---|
| **HOSPITAL FUND** | | | | |
| 1 | Beverly Hospital<br>309 W Beverly Blvd<br>Montebello, CA 90640 | 501(c)(3) | Emergency Department Approved for Pediatrics (EDAP) | $150,700 00 |
| 2 | California Hospital Medical Center<br>1401 S Grand Ave<br>Los Angeles, CA 90015 | 501(c)(3) | A Healthy Homes Approach to Reducing Severe Asthma Attacks and ER Utilization | $228,422 00 |
| 3 | California Hospital Medical Center<br>1401 S Grand Ave<br>Los Angeles, CA 90015 | 501(c)(3) | Community Dental Partnership | $167,672 00 |
| 4 | California Hospital Medical Center Foundation<br>1401 S Grand Ave<br>Los Angeles, CA 90015 | 501(c)(3) | Trauma and Emergency Services | $2,500 00 |
| 5 | Casa Colina Centers for Rehabilitation<br>255 E Bonita Ave.<br>Pomona, CA 91769 | 501(c)(3) | Bringing an Open-Source Electronic Health Record to a Rehabilitation Hospital and the Human Factor in Implementation | $209,345 00 |
| 6 | Catalina Island Medical Center<br>100 Falls Canyon Rd<br>PO Box 1563<br>Avalon, CA 90704 | 501(c)(3) | Catalina Island Stepping Into Health | $99,998 00 |
| 7 | Cedars-Sinai Medical Center<br>8700 Beverly Blvd<br>Los Angeles, CA 90048 | 501(c)(3) | Promoting More Effective Transitions of Care | $299,465 00 |
| 8 | Charles R Drew University of Medicine & Science<br>1731 East 120th St<br>Los Angeles, CA 90059 | 501(c)(3) | Medical Student Scholarship (2012-2013) | $50,000 00 |
| 9 | Children's Hospital Los Angeles<br>4650 Sunset Blvd<br>Los Angeles, CA 90027 | 501(c)(3) | CHLA Health System | $350,000 00 |
| 10 | Children's Hospital of Orange County<br>455 S Main St<br>Orange, CA 92868 | 501(c)(3) | Positive Patient Identification (PPID) in Breastmilk Administration | $189,121 00 |
| 11 | City of Hope<br>1500 East Duarte Rd.<br>Duarte, CA 91010 | 501(c)(3) | Quality Improvement Education for Venous Thromboembolism | $119,053 00 |
| 12 | Gateways Hospital and Mental Health Center<br>1891 Effie St.<br>Los Angeles, CA 90026 | 501(c)(3) | Electronic Health Record Clinical Module | $225,000 00 |
| 13 | Glendale Adventist Medical Center<br>1509 Wilson Terrace<br>Glendale, CA 91206 | 501(c)(3) | Patient-Centered Healthcare Transitions Project | $294,822 00 |
| 14 | Henry Mayo Newhall Memorial Hospital<br>23845 McBean Parkway<br>Valencia, CA 91355-2083 | 501(c)(3) | Palliative Care Assessment, Recommendations and Training | $71,950 00 |
| 15 | Hoag Hospital Newport Beach<br>One Hoag Drive<br>PO Box 6100<br>Newport Beach, CA 92658-6100 | 501(c)(3) | Orange County Vital Aging Program Closing the Gap in Healthcare Need for Maintaining Cognitive Health | $149,820 00 |
| 16 | Huntington Memorial Hospital<br>100 W California Blvd<br>Pasadena, CA 91105 | 501(c)(3) | Patient Partners Program | $350,000 00 |

| | Grantee Name<br>Grantee Address | Status | Purpose of the Grant | Amount |
|---|---|---|---|---|
| 17 | Los Angeles County Department of Health Services<br>313 N Figueroa St , Suite 912<br>Los Angeles, CA 90012 | 501(c)(3) | Healthy Way Los Angeles | $300,000 00 |
| 18 | Loma Linda University Behavioral Medicine Center<br>1710 Barton Road<br>Redlands, CA 92373 | 501(c)(3) | SHIELD (Self Harm Intensive Education, Learning, and Development) | $195,938 00 |
| 19 | Loma Linda University School of Medicine<br>Loma Linda, CA 92350 | 501(c)(3) | Medical Student Scholarship (2012-2013) | $50,000 00 |
| 20 | Loma Linda University School of Dentistry<br>11092 Anderson St<br>Loma Linda, CA 92354 | 501(c)(3) | Community Service Program Dental and Dental Hygiene Services to Clients Served by MEND | $154,425 00 |
| 21 | Harbor-UCLA Medical Center<br>1000 W Carson Street<br>Torrance, CA 90509 | 501(c)(3) | Be Forever Fit (BFF) Program | $239,393 00 |
| 22 | MemorialCare Health System<br>17360 Brookhurst St<br>Fountain Valley, CA 92708 | 501(c)(3) | In Balance Program | $311,000 00 |
| 23 | New Directions for Veterans<br>11303 Wilshire Blvd VA Bldg. 116<br>Los Angeles, CA 90073-1003 | 501(c)(3) | The Justice Involved Veterans Program | $175,000 00 |
| 24 | Northridge Hospital Medical Center<br>18300 Roscoe Blvd.<br>Northridge, CA 91328 | 501(c)(3) | Interdisciplinary Palliative Care Services Program | $349,831 00 |
| 25 | Northridge Hospital Medical Center<br>18300 Roscoe Blvd<br>Northridge, CA 91328 | 501(c)(3) | The Canoga Park Health Improvement Zone | $279,795 00 |
| 26 | Olive View-UCLA Medical Center<br>14445 Olive View Drive, 2C155<br>Sylmar, CA 91342 | 501(c)(3) | Expansion of Palliative Care to Outpatient Setting | $90,000 00 |
| 27 | Pomona Valley Hospital Medical Center<br>1798 N Garey Ave<br>Pomona, CA 91767 | 501(c)(3) | Medical Respite Program for PVHMC Discharged Homeless Patients | $440,189 00 |
| 28 | Presbyterian Intercommunity Hospital<br>12401 Washington Blvd<br>Whittier, CA 90602-1006 | 501(c)(3) | Palliative Care and Chronic Disease Management Educational Track for PIH Family Practice Residents | $250,014 00 |
| 29 | Providence Saint Joseph Medical Center<br>501 S Buena Vista St<br>Burbank, CA 91505 | 501(c)(3) | Critical Care Transport (CCT) Program | $250,000 00 |
| 30 | Providence Little Company of Mary Medical Center Torrance<br>4101 Torrance Blvd<br>Torrance, CA 90503 | 501(c)(3) | Improving Clinical Outcomes through Interdisciplinary Clinical Simulation | $293,155 00 |
| 31 | University of California, San Francisco<br>San Francisco, CA 94143 | 501(c)(3) | Palliative Care Quality Network (PCQN) | $384,086 00 |
| 32 | Mattel Children's Hospital at UCLA<br>757 Westwood Plaza<br>Los Angeles, CA 90095 | 501(c)(3) | Fit for Los Angeles | $252,736 00 |
| 33 | UCI School of Medicine<br>252 Irvine Hall<br>Irvine, CA 92697-3950 | 501(c)(3) | Program to Integrate Bedside Ultrasound in Medical Education and Clinical Practice | $224,950 00 |

| | Grantee Name<br>Grantee Address | Status | Purpose of the Grant | Amount |
|---|---|---|---|---|
| 34 | UCLA Health System<br>1250 16th St<br>Santa Monica, CA 90404 | 501(c)(3) | A Telehealth Educational Model for Providing State-of-the-Art Pediatric Palliative Care Consultation | $299,714 00 |
| 35 | David Geffen School of Medicine at UCLA<br>10833 Le Conte Ave , Ste 12-138CHS<br>Los Angeles, CA 90095 | 501(c)(3) | Medical Student Scholarship (2012-2013) | $50,000 00 |
| 36 | David Geffen School of Medicine at UCLA, Department of Family Medicine<br>Box 951683, Room 50-071 CHS<br>Los Angeles, CA 900095-1683 | 501(c)(3) | International Medical Graduate (IMG) Program Scholarship (2012-2013) | $50,000 00 |
| 37 | UCI School of Medicine<br>252 Irvine Hall<br>Irvine, CA 92697-3950 | 501(c)(3) | Medical Student Scholarship (2012-2013) | $50,000 00 |
| 38 | UCI School of Medicine<br>252 Irvine Hall<br>Irvine, CA 92697-3950 | 501(c)(3) | Elder Abuse Forensic Telemedicine Project | $151,256 00 |
| 39 | UCLA Jonathan and Karin Fielding School of Public Health<br>650 Charles E Young Drive 16-035 CHS<br>Los Angeles, CA 90095-1772 | 501(c)(3) | Health Forecasting for Nonprofit Hospital Community Benefit Planning | $151,155 00 |
| 40 | Santa Monica-UCLA Medical Center<br>1250 16th Street<br>Santa Monica, CA 90404 | 501(c)(3) | Rape Treatment Center School Program | $100,000 00 |
| 41 | David Geffen School of Medicine at UCLA<br>10833 Le Conte Ave , Ste. 12-138CHS<br>Los Angeles, CA 90095 | 501(c)(3) | Partnership for Community Wellness Psychosocial & Behavioral Summer Camp Program | $274,402 00 |
| 42 | LAC+USC Medical Center<br>1200 N State St.<br>Los Angeles, CA 90033 | 501(c)(3) | Clinical Pastoral Education Program | $100,000 00 |
| 43 | St Joseph Health System<br>3345 Michelson Dr., Suite 100<br>Irvine, CA 92612 | 501(c)(3) | HealthPresence | $348,320 00 |
| 44 | St. Joseph Hospital of Orange<br>1100 W Stewart Dr<br>Orange, CA 92868-3891 | 501(c)(3) | Chronic Care PLUS | $187,040 00 |
| 45 | St Vincent Medical Center<br>2131 W 3rd St<br>Los Angeles, CA 90057 | 501(c)(3) | Asian Pacific Islander (API) Health Access Collaborative | $131,691 00 |
| 46 | Shriners Hospitals for Children - Los Angeles<br>3160 Geneva Street<br>Los Angeles, CA 90020 | 501(c)(3) | PUSH Project to Utilize Shriners Healthcare | $150,000 00 |
| 47 | Los Angeles County Department of Health Services<br>313 N Figueroa St , Suite 912<br>Los Angeles, CA 90012 | 501(c)(3) | Housing for Health Primary Care Clinic and Supportive Housing Laboratory | $500,000 00 |
| 48 | Keck School of Medicine of USC<br>1975 Zonal Ave , KAM415<br>Los Angeles, CA 90089 | 501(c)(3) | Galaxy Health Care (GHC) | $254,441 00 |
| 49 | Herman Ostrow School of Dentistry of USC<br>925 West 34th St.<br>Los Angeles, CA 90089-0641 | 501(c)(3) | Hired, Not Homeless -- Oral Healthcare for the Homeless Program | $75,000 00 |

| | Grantee Name<br>Grantee Address | Status | Purpose of the Grant | Amount |
|---|---|---|---|---|
| 50 | USC School of Pharmacy<br>1985 Zonal Ave<br>Los Angeles, CA 90089-9121 | 501(c)(3) | Integration of a Successful Pharmacy Business Model into a Safety Net Clinic and Spread of Best Practices Through Shared Learning | $76,302 00 |
| 51 | Keck School of Medicine of USC<br>1975 Zonal Ave , KAM415<br>Los Angeles, CA 90089 | 501(c)(3) | Medical Student Scholarship (2012-2013) | $50,000 00 |
| 52 | Keck School of Medicine of USC<br>1975 Zonal Ave , KAM415<br>Los Angeles, CA 90089 | 501(c)(3) | Adolescent and Young Adult (AYA) Program | $184,167 00 |
| 53 | Keck School of Medicine of USC<br>1975 Zonal Ave , KAM415<br>Los Angeles, CA 90089 | 501(c)(3) | Restructuring Graduate Medical Education | $200,000 00 |
| 54 | USC Leonard Davis School of Gerontology<br>3715 McClintock Ave<br>Los Angeles, CA 90089-0191 | 501(c)(3) | Student Scholarships | $5,000 00 |
| 55 | LAC+USC Medical Center<br>1200 N State St<br>Los Angeles, CA 90033 | 501(c)(3) | Mental Health Services The Uninsured Child and Child Protection System | $125,000 00 |
| 56 | LAC+USC Medical Center<br>1200 N State St.<br>Los Angeles, CA 90033 | 501(c)(3) | Elder Abuse Forensic Center Challenge Grant | $25,000 00 |
| 57 | Western University of Health Sciences<br>309 E Second St.<br>Pomona, CA 91766 | 501(c)(3) | Medical Student Scholarship (2012-2013) | $50,000 00 |
| 58 | White Memorial Medical Center<br>1720 Cesar E Chavez Ave<br>Los Angeles, CA 90033 | 501(c)(3) | From Theory to Practice In Diabetes Care Planning for Health Care Delivery System Transformation | $333,333 00 |
| 59 | White Memorial Medical Center<br>1720 Cesar E Chavez Ave<br>Los Angeles, CA 90033 | 501(c)(3) | Frequent User Engagement System (FUSE) | $199,872 00 |
| | | | **TOTAL HOSPITAL FUND:** | **$11,320,073.00** |

**GENERAL PURPOSE FUND**

| | | | | |
|---|---|---|---|---|
| 60 | AbilityFirst<br>1300 E Green St.<br>Pasadena, CA 91106 | 501(c)(3) | Support of Children and Adults with Physical and Developmental Disabilities | $2,500 00 |
| 61 | Bet Tzedek - The House of Justice<br>3250 Wilshire Blvd<br>Los Angeles, CA 90010 | 501(c)(3) | Transitions | $332,211 00 |
| 62 | California Science Center<br>700 Exposition Park Drive<br>Los Angeles, CA 90037 | 501(c)(3) | Science Education | $5,154 00 |
| 63 | Camp Ronald McDonald for Good Times<br>Los Angeles Office<br>1250 Lyman Place<br>Los Angeles, CA 90029 | 501(c)(3) | 2013 Walk for Kids | $200 00 |
| 64 | Cancer Center of Santa Barbara<br>300 West Pueblo Street<br>Santa Barbara, CA 93105 | 501(c)(3) | Art Merovick Endowment for Patient Assistance | $2,500 00 |
| 65 | Child Welfare Initiative<br>5757 Wilshire Blvd , Suite 448<br>Los Angeles, CA 90036 | 501(c)(3) | Expansion of Therapeutic Treatment Foster Care | $150,000 00 |

| | Grantee Name<br>Grantee Address | Status | Purpose of the Grant | Amount |
|---|---|---|---|---|
| 66 | Children's Bureau<br>1910 Magnolia Ave<br>Los Angeles, CA 90007 | 501(c)(3) | Child Abuse Prevention Services | $5,000 00 |
| 67 | Children's Burn Foundation<br>5000 Van Nuys Blvd , Suite 450<br>Sherman Oaks, CA 91403-1784 | 501(c)(3) | Post-Discharge Needs of Burned Children | $2,000 00 |
| 68 | Community Health Councils<br>3731 Stocker St , Suite 201<br>Los Angeles, CA 90008 | 501(c)(3) | Enhanced Diabetes Care Management Initiative | $125,000 00 |
| 69 | CSULB 49er Foundation<br>6300 State University Dr , Suite 332<br>Long Beach, CA 90815 | 501(c)(3) | Nursing Programs | $300 00 |
| 70 | Downtown Women's Center<br>442 S San Pedro St<br>Los Angeles, CA 90013 | 501(c)(3) | Breast Health and Cancer Support Program | $75,000 00 |
| 71 | Executive Service Corps of Southern California<br>1000 N Alameda St , Suite 330<br>Los Angeles, CA 90012 | 501(c)(3) | Charles Drew University Management Assistance Program (Phase IV) | $25,000.00 |
| 72 | Grantmakers In Health<br>1100 Connecticut Ave., NW, Ste 1200<br>Washington, DC 20036 | 501(c)(3) | Funding Partner (2012) | $10,000 00 |
| 73 | Grants Managers Network<br>1666 K Street, NW, Suite 4400<br>Washington, DC 20006 | 501(c)(3) | Contributing Organization Membership (2013) | $2,000 00 |
| 74 | GuideStar<br>4801 Courthouse St , Suite 220<br>Williamsburg, VA 23188 | 501(c)(3) | Member Support (2013) | $1,500 00 |
| 75 | Helen Keller International<br>352 Park Avenue South, Suite 1200<br>New York, NY 10010 | 501(c)(3) | ChildSight Los Angeles | $40,000 00 |
| 76 | Jewish Free Loan Association<br>6505 Wilshire Blvd , Suite 715<br>Los Angeles, CA 90048 | 501(c)(3) | The Alzheimer's & Dementia Care Loan Fund | $75,000 00 |
| 77 | John Tracy Clinic<br>806 W Adams Blvd<br>Los Angeles, CA 90007-2505 | 501(c)(3) | Community Hearing Screening Program | $25,000 00 |
| 78 | KCRW Foundation<br>1900 Pico Blvd<br>Santa Monica, CA 90405 | 501(c)(3) | Health Related Programming | $60 00 |
| 79 | LA's BEST<br>200 N Spring St , Suite M-120<br>Los Angeles, CA 90012 | 501(c)(3) | Health Programs | $6,635 00 |
| 80 | LeRoy Haynes Center<br>233 W. Baseline Rd.<br>Box 400<br>La Verne, CA 91750 | 501(c)(3) | Healthcare Support Services for Children with Special Needs | $2,500 00 |
| 81 | Library Foundation of Los Angeles<br>630 W Fifth St.<br>Los Angeles, CA 90071 | 501(c)(3) | Health Information at the Los Angeles Public Library | $37,700 00 |

| | Grantee Name<br>Grantee Address | Status | Purpose of the Grant | Amount |
|---|---|---|---|---|
| 82 | Library Foundation of Los Angeles<br>630 W Fifth St<br>Los Angeles, CA 90071 | 501(c)(3) | Health Collection | $100 00 |
| 83 | Los Angeles Child Guidance Clinic<br>3031 S Vermont Ave<br>Los Angeles, CA 90007 | 501(c)(3) | Mental Health Services for Children and Families | $2,500 00 |
| 84 | Los Angeles Regional Food Bank<br>1734 E 41st Street<br>Los Angeles, CA 90058 | 501(c)(3) | CalFresh Outreach Program | $50,000.00 |
| 85 | Los Angeles Mission<br>303 East 5th St<br>Los Angeles, CA 90013 | 501(c)(3) | Chicago School Project | $40,000 00 |
| 86 | Marlborough School<br>250 S Rossmore Ave<br>Los Angeles, CA 90004 | 501(c)(3) | Health Education | $1,000 00 |
| 87 | Mendez National Institute of Transplantation<br>S. Mark Taper Foundation Transplant Center<br>2200 W 3rd St., Suite 100<br>Los Angeles, CA 90057 | 501(c)(3) | Kidney Transplant Video Novela Project | $93,608 00 |
| 88 | Mendez National Institute of Transplantation<br>S Mark Taper Foundation Transplant Center<br>2200 W 3rd St , Suite 100<br>Los Angeles, CA 90057 | 501(c)(3) | The Advancement of the Art and Science of Organ Transplantation | $2,500 00 |
| 89 | Mount St Mary's College<br>12001 Chalon Road<br>Los Angeles, CA 90049-1599 | 501(c)(3) | Nursing Planning Grant | $91,650 00 |
| 90 | Mount St Mary's College<br>12001 Chalon Road<br>Los Angeles, CA 90049-1599 | 501(c)(3) | Nursing Programs | $1,500 00 |
| 91 | OnSite Health Solutions<br>2600 Foothill Blvd., Suite 208<br>Glendale, CA 91214 | 501(c)(3) | Worksite Medical and Health Management Services | $117,911 00 |
| 92 | Pasadena Waldorf School<br>209 E Mariposa St<br>Altadena, CA 91001 | 501(c)(3) | Health Education | $1,000 00 |
| 93 | St Barnabas Senior Services<br>675 S Carondelet St<br>Los Angeles, CA 90057 | 501(c)(3) | SBSS/SCFHC Coordinated Care Initiative Planning Grant | $135,000 00 |
| 94 | St. John's Well Child & Family Center<br>808 West 58th Street<br>Los Angeles, CA 90037 | 501(c)(3) | 'St John's Health Outreach Express' RV A Health Reform Access, Enrollment and Implementation Project | $257,102 00 |
| 95 | The Salvation Army Southern California Division<br>Headquarters<br>180 E Ocean Blvd , Suite 500<br>Long Beach, CA 90802 | 501(c)(3) | Food Services | $100 00 |
| 96 | Santa Barbara Cottage Hospital<br>P O Box 689<br>Pueblo at Bath Street<br>Santa Barbara, CA 93102 | 501(c)(3) | Cottage Children's Hospital Pediatric Diabetes Outpatient Clinic | $75,000 00 |
| 97 | Scholarship Foundation of Santa Barbara<br>P O Box 3620<br>Santa Barbara, CA 93130 | 501(c)(3) | The David and Leila Carpenter UniHealth Scholarship Fund | $50,000 00 |

| | Grantee Name<br>Grantee Address | Status | Purpose of the Grant | Amount |
|---|---|---|---|---|
| 98 | Scholarship Foundation of Santa Barbara<br>P O Box 3620<br>Santa Barbara, CA 93130 | 501(c)(3) | Scholarship Support, Health Care Careers | $2,500 00 |
| 99 | Southern California College of Optometry<br>2575 Yorba Linda Blvd<br>Fullerton, CA 92831-1699 | 501(c)(3) | Establishing Optometry Services at JWCH Institute's Center for Community Health | $264,920 00 |
| 100 | Southern California Grantmakers<br>1000 N Alameda St , Suite 230<br>Los Angeles, CA 90012 | 501(c)(3) | Skills Building Programs and Training | $20,000 00 |
| 101 | Southern California Grantmakers<br>1000 N. Alameda St., Suite 230<br>Los Angeles, CA 90012 | 501(c)(3) | Annual Membership (2013) | $10,000 00 |
| 102 | Southern California Public Radio<br>474 S. Raymond Ave<br>Pasadena, CA 91105 | 501(c)(3) | Healthcare Broadcasting | $240 00 |
| 103 | Students Run LA<br>5252 Crebs Ave<br>Tarzana, CA 91356 | 501(c)(3) | Training For A Marathon Training For Life | $50,000 00 |
| 104 | The Trust for Public Land<br>National Office<br>101 Montgomery St , Suite 900<br>San Francisco, CA 94104 | 501(c)(3) | Los Angeles Parks | $25.00 |
| 105 | United Way of Greater Los Angeles<br>1150 S Olive St , Suite T500<br>Los Angeles, CA 90015 | 501(c)(3) | Support for the Loma Linda Ronald McDonald House and The National Institute of Transplantation | $25,000 00 |
| 106 | University of Minnesota Foundation<br>McNamara Alumni Center<br>200 Oak Street SE, Suite 500<br>Minneapolis, MN 55455-2010 | 501(c)(3) | Lillehei Heart Institute at the University of Minnesota | $20,000 00 |
| 107 | USC School of Social Work<br>Montgomery Ross Fisher Building<br>Los Angeles, CA 90089 | 501(c)(3) | Implementation and Sustainability of Peer Health Navigation | $72,252 00 |
| 108 | USC Sol Price School of Public Policy<br>Lewis Hall 312<br>Los Angeles, CA 90089 | 501(c)(3) | The Center on Philanthropy & Public Policy's Los Angeles Foundation Leadership Group (2012-2013) | $10,000 00 |
| 109 | USC Sol Price School of Public Policy<br>Lewis Hall 312<br>Los Angeles, CA 90089 | 501(c)(3) | LEAP Fund | $50 00 |
| 110 | VIP Community Mental Health Center<br>1721 Griffin Ave<br>Los Angeles, CA 90031 | 501(c)(3) | Community Mental Health Programs | $108 00 |
| 111 | Weingart Center for the Homeless<br>566 S. San Pedro St<br>Los Angeles, CA 90013 | 501(c)(3) | AmeriCorps Hope for the Homeless Program | $86,000.00 |
| 112 | West Coast Sports Medicine Foundation<br>1200 Rosecrans Ave , Suite 206<br>Manhattan Beach, CA 90266 | 501(c)(3) | Team to Win Sports Medicine Injury Clinic | $50,000 00 |
| 113 | Wise & Healthy Aging<br>1527 Fourth St , 2nd Floor<br>Santa Monica, CA 90401 | 501(c)(3) | Cultural Competence in Assisting Seniors in Accessing Public Benefits | $90,586 00 |

| | Grantee Name<br>Grantee Address | Status | Purpose of the Grant | Amount |
|---|---|---|---|---|
| 114 | The Wounded Warrior Project<br>7020 A C Skinner Pkwy, Suite 100<br>Jacksonville, FL 32256 | 501(c)(3) | Assisting Wounded Service Members | $5,000 00 |
| | | | **TOTAL GENERAL PURPOSE FUND:** | **$2,550,912.00** |
| | | | **TOTAL GRANTS & CONTRIBUTIONS** | **$13,870,985.00** |

**STATEMENT 1**
**FORM 990-PF, PART I, LINE 16A**
**LEGAL FEES**

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| AIKEN & WELCH | $ 4,210. | $ 4,210. | | |
| BERRY & BERRY PLC | 2,084. | 2,084. | | |
| HUNTON & WILLIAMS LLP | 11,651. | 1,131. | | $ 10,520. |
| MUSICK PEELER & GARRETT LLP | 82,503. | 82,503. | | |
| STROOCK & STROOCK & LAVAN | 2,249. | 2,249. | | |
| TESSER & RUTTENBERG | 78. | 78. | | |
| TOTAL | $ 102,775. | $ 92,255. | $ 0. | $ 10,520. |

**STATEMENT 2**
**FORM 990-PF, PART I, LINE 16B**
**ACCOUNTING FEES**

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| LARUE CORRIGAN MCCORMICK & TEASDALE | $ 54,765. | $ 49,883. | | $ 4,882. |
| TOTAL | $ 54,765. | $ 49,883. | $ 0. | $ 4,882. |

**STATEMENT 3**
**FORM 990-PF, PART I, LINE 16C**
**OTHER PROFESSIONAL FEES**

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| BNY MELLON ASSET SERVICING | $ 851,591. | $ 851,591. | | |
| CANTERBURY CONSULTING | 151,455. | 151,455. | | |
| CUSTODIAL FEES | 140,026. | 140,026. | | |
| HS MANAGEMENT PARTNERS, LLC | 237,591. | 237,591. | | |
| INVESTMENT FEES - PASS THROUGH | 805,716. | 805,716. | | |
| KING STREET CAPITAL | 302,830. | 302,830. | | |
| PACIFIC ALTERNATIVE ASSET MGMT | 106,188. | 106,188. | | |
| RLH INVESTORS | 175,183. | 175,183. | | |
| YORK CAPITAL MANAGEMENT | 234,360. | 234,360. | | |
| TOTAL | $ 3,004,940. | $ 3,004,940. | $ 0. | $ 0. |

**STATEMENT 4**
**FORM 990-PF, PART I, LINE 18**
**TAXES**

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| CALIFORNIA REGISTRATION TAXES | $ 445. | $ 223. | | $ 222. |
| COUNTY BUSINESS TAX | 362. | 362. | | |
| FEDERAL EXCISE TAX | 25,198. | | | |
| PAYROLL TAXES | 50,384. | 30,745. | | 19,639. |
| TOTAL | $ 76,389. | $ 31,330. | $ 0. | $ 19,861. |

**STATEMENT 5**
**FORM 990-PF, PART I, LINE 23**
**OTHER EXPENSES**

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| AUTO STIPEND | $ 21,000. | $ 4,800. | | $ 16,200. |
| BOND FEES | 12,728. | 12,728. | | |
| CONSULTANTS | 58,374. | 7,500. | | 50,874. |
| COURIER | 1,976. | 988. | | 988. |
| DUES & SUBSCRIPTIONS | 5,570. | 2,717. | | 2,853. |
| EMPLOYEE BENEFITS | 84,750. | 51,697. | | 33,053. |
| EQUIPMENT RENTAL | 6,809. | 3,405. | | 3,404. |
| INSURANCE | 83,494. | 41,747. | | 41,747. |
| MAINTENANCE, REPAIRS & UTILITIES | 26,499. | 16,286. | | 10,214. |
| PARKING VALIDATIONS | 1,333. | 667. | | 666. |
| POSTAGE | 739. | 370. | | 369. |
| PROFESSIONAL DEVELOPMENT | 9,937. | 3,837. | | 6,100. |
| RECORD STORAGE | 8,202. | 8,202. | | |
| SUPPLIES | 15,401. | 7,701. | | 7,700. |
| TELEPHONE | 10,898. | 5,449. | | 5,449. |
| WORKERS' COMP INSURANCE | 5,850. | 3,569. | | 2,282. |
| TOTAL | $ 353,560. | $ 171,663. | $ 0. | $ 181,899. |

**STATEMENT 6**
**FORM 990-PF, PART II, LINE 10B**
**INVESTMENTS - CORPORATE STOCKS**

| CORPORATE STOCKS | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| CRAMER ROSENTHAL | MKT VAL | $ 12,616,422. | $ 12,616,422. |
| BARROW HANLEY | MKT VAL | 23,677,323. | 23,677,323. |
| WILLIAM BLAIR INTL | MKT VAL | 13,440,581. | 13,440,581. |
| CAUSEWAY CAP INTL | MKT VAL | 14,315,504. | 14,315,504. |
| P A A M CO | MKT VAL | 7,685,019. | 7,685,019. |
| W A M C O | MKT VAL | 12,995,479. | 12,995,479. |
| VAUGHAN NELSON | MKT VAL | 14,097,143. | 14,097,143. |
| EUROPACIFIC GROWTH | MKT VAL | 13,683,600. | 13,683,600. |
| LAZARD EM | MKT VAL | 9,301,287. | 9,301,287. |
| PAYDEM EM INCOME | MKT VAL | 6,459,216. | 6,459,216. |

**STATEMENT 6 (CONTINUED)**
**FORM 990-PF, PART II, LINE 10B**
**INVESTMENTS - CORPORATE STOCKS**

| CORPORATE STOCKS | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| HS MANAGEMENT EQUITY | MKT VAL | $ 23,788,658. | $ 23,788,658. |
| RS GLOBAL NATURAL RESOURCES FD | MKT VAL | 3,402,789. | 3,402,789. |
| PIMCO COMMODITY REAL RETURN FD | MKT VAL | 3,237,159. | 3,237,159. |
| MATTHEWS PACIFIC TIGER | MKT VAL | 4,621,040. | 4,621,040. |
| COHEN & STEERS INSTL REALTY | MKT VAL | 4,596,127. | 4,596,127. |
| DREYFUS - MARKETABLE SEC | MKT VAL | 16,988. | 16,988. |
| | TOTAL | $ 167934335. | $ 167,934,335. |

**STATEMENT 7**
**FORM 990-PF, PART II, LINE 10C**
**INVESTMENTS - CORPORATE BONDS**

| CORPORATE BONDS | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| PIMCO TOTAL RETURN | MKT VAL | $ 42,262,297. | $ 42,262,297. |
| BRANDYWINE GLOBAL OPP FIXED INCOME | MKT VAL | 16,064,244. | 16,064,244. |
| | TOTAL | $ 58,326,541. | $ 58,326,541. |

**STATEMENT 8**
**FORM 990-PF, PART II, LINE 13**
**INVESTMENTS - OTHER**

| OTHER INVESTMENTS | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| OCM PRINCIPAL OPPORTUNITIES FUND IV, LP | MKT VAL | $ 2,089,250. | $ 2,089,250. |
| OCM OPPORTUNITIES FUND VII, LP | MKT VAL | 611,791. | 611,791. |
| RLH INVESTORS II, LP | MKT VAL | 4,507,734. | 4,507,734. |
| JP MORGAN SLP III | MKT VAL | 2,764,773. | 2,764,773. |
| OAK HILL CAPITAL III | MKT VAL | 2,417,302. | 2,417,302. |
| SIGULAR GUFF BRIC OPP FUND II LP | MKT VAL | 2,647,502. | 2,647,502. |
| OCM OPPORTUNITIES FUND VII(B) | MKT VAL | 667,065. | 667,065. |
| GOLDEN TREE OFFSHORE FUND | MKT VAL | 4,931,117. | 4,931,117. |
| KING STREET CAPITAL LTD | MKT VAL | 5,432,078. | 5,432,078. |
| COGENT INV STRATEGIES-MADISON ST | MKT VAL | 156,521. | 156,521. |
| ARTIS TECHNOLOGY | MKT VAL | 29,529. | 29,529. |
| YORK CREDIT OPPS UNIT TRUST | MKT VAL | 5,833,002. | 5,833,002. |
| M. KINGDON OFFSHORE LTD | MKT VAL | 3,380,479. | 3,380,479. |
| JP MORGAN TRANSFER ACCOUNT | MKT VAL | 362,779. | 362,779. |
| BLACKSTONE FUND OF FUNDS | MKT VAL | 5,570,643. | 5,570,643. |
| OHA EURO CREDIT FUND | MKT VAL | 1,323,683. | 1,323,683. |
| BLACKSTONE REAL ESTATE VII | MKT VAL | 3,320,139. | 3,320,139. |
| OAK HILL CAPITAL | MKT VAL | 362,111. | 362,111. |
| COGENT INV STRATEGIES-MADISON ST SERIES | MKT VAL | -156,521. | -156,521. |
| RLH INVESTORS III, LP | MKT VAL | 1,485,617. | 1,485,617. |
| CRESCENT CAPITAL INCOME FD | MKT VAL | 6,496,900. | 6,496,900. |
| | TOTAL | $ 54,233,494. | $ 54,233,494. |

**STATEMENT 9**
**FORM 990-PF, PART II, LINE 14**
**LAND, BUILDINGS, AND EQUIPMENT**

| CATEGORY | BASIS | ACCUM. DEPREC. | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|---|
| FURNITURE AND FIXTURES | $ 2,573. | $ 2,165. | $ 408. | $ 408. |
| MACHINERY AND EQUIPMENT | 10,519. | 5,212. | 5,307. | 5,307. |
| IMPROVEMENTS | 31,364. | 28,972. | 2,392. | 2,392. |
| TOTAL | $ 44,456. | $ 36,349. | $ 8,107. | $ 8,107. |

**STATEMENT 10**
**FORM 990-PF, PART II, LINE 15**
**OTHER ASSETS**

| | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| DEFERRED COMPENSATION | $ 393,921. | $ 393,921. |
| DEPOSITS | 9,165. | 9,165. |
| PREPAID INSURANCE | 54,322. | 54,322. |
| TOTAL | $ 457,408. | $ 457,408. |

**STATEMENT 11**
**FORM 990-PF, PART II, LINE 22**
**OTHER LIABILITIES**

| | |
|---|---|
| EMPLOYEE SETTLEMENT | $ 387,000. |
| DEFERRED COMPENSATION | 393,921. |
| BOND RELATED FEES | 3,119. |
| ACCRUED EXPENSES & PAYABLES | 282,430. |
| TOTAL | $ 1,066,470. |

**STATEMENT 12**
**FORM 990-PF, PART III, LINE 3**
**OTHER INCREASES**

| | |
|---|---|
| CHANGE IN ACCOUNTING ESTIMATE | $ 48,058. |
| UNREALIZED GAIN ON INVESTMENTS | 11,764,547. |
| TOTAL | $ 11,812,605. |

**STATEMENT 13**
**FORM 990-PF, PART III, LINE 5**
**OTHER DECREASES**

| | |
|---|---|
| INT & DIV FROM PASS-THROUGH ENTITIES | $ 1,404,280. |
| TOTAL | $ 1,404,280. |

**STATEMENT 14**
**FORM 990-PF, PART VIII, LINE 1**
**LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES**

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED | COMPEN-SATION | CONTRI-BUTION TO EBP & DC | EXPENSE ACCOUNT/ OTHER |
|---|---|---|---|---|
| DAVID CARPENTER<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | CHAIRMAN & CEO<br>20.00 | $ 150,000. | $ 22,500. | $ 9,600. |
| MARY ODELL<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | PRESIDENT<br>40.00 | 217,500. | 32,538. | 9,600. |
| KATHLEEN SALAZAR<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | CFO<br>40.00 | 176,942. | 24,762. | 0. |
| DAVID S. CANNOM<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | DIRECTOR<br>5.00 | 19,500. | 0. | 0. |
| BRADLEY CALL<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | DIRECTOR<br>5.00 | 25,500. | 0. | 0. |
| PATRICK HADEN<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | DIRECTOR<br>5.00 | 21,500. | 0. | 0. |
| ROBERT G. SPLAWN<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | DIRECTOR<br>5.00 | 21,750. | 0. | 0. |
| LYDIA KENNARD<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | DIRECTOR<br>5.00 | 21,250. | 0. | 0. |
| CHARLES REED<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | DIRECTOR<br>5.00 | 26,500. | 0. | 0. |
| KEITH RENKEN<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | DIRECTOR<br>5.00 | 24,000. | 0. | 0. |
| FRANK SANCHEZ<br>800 WILSHIRE BLVD, SUITE 1300<br>LOS ANGELES, CA 90017 | DIRECTOR<br>5.00 | 22,000. | 0. | 0. |
| | TOTAL | $ 726,442. | $ 79,800. | $ 19,200. |

**STATEMENT 15**
**FORM 990-PF, PART XV, LINE 2A-D**
**APPLICATION SUBMISSION INFORMATION**

| | |
|---|---|
| NAME OF GRANT PROGRAM: | |
| NAME: | UNIHEALTH FOUNDATION |
| CARE OF: | MARY ODELL |
| STREET ADDRESS: | 800 WILSHIRE BLVD., SUITE 1300 |
| CITY, STATE, ZIP CODE: | LOS ANGELES, CA 90017 |
| TELEPHONE: | |
| E-MAIL ADDRESS: | |
| FORM AND CONTENT: | GRANT APPLICATION OUTLINE PROVIDED UPON SUBMISSION OF LETTER OF INQUIRY |
| SUBMISSION DEADLINES: | DETERMINED ON AN ANNUAL BASIS |
| RESTRICTIONS ON AWARDS: | AWARDS RESTRICTED GEOGRAPHICALLY |

**UNIHEALTH FOUNDATION** 95-5004033

| NO. | DESCRIPTION | DATE ACQUIRED | DATE SOLD | COST/ BASIS | BUS. PCT. | CUR 179/ SDA | PRIOR 179/ SDA/ DEPR | METHOD | LIFE | CURRENT DEPR |
|---|---|---|---|---|---|---|---|---|---|---|
| | FORM 199 | | | | | | | | | |
| | FURNITURE AND FIXTURES | | | | | | | | | |
| 1 | 6 LATERAL STEELCASE FILES | 6/30/91 | 9/30/13 | 3,064 | | | 2,742 | S/L | 20 | 322 |
| 2 | 9 LATERAL FILES | 8/30/91 | 9/30/13 | 5,035 | | | 5,035 | S/L | 10 | 0 |
| 3 | 10 LATERAL FILES | 12/30/91 | 9/30/13 | 4,474 | | | 4,474 | S/L | 15 | 0 |
| 4 | RECEPTION CHAIRS | 7/30/98 | 9/30/13 | 1,616 | | | 1,616 | S/L | 5 | 0 |
| 5 | OFFICE FURNITURE | 7/30/95 | 9/30/13 | 14,441 | | | 14,441 | S/L | 10 | 0 |
| 6 | VERTICAL 4 DRAWER FILE | 12/30/99 | 9/30/13 | 1,203 | | | 1,203 | S/L | 10 | 0 |
| 11 | PROXIMA LSI PROJECTOR | 12/30/99 | 9/30/13 | 3,768 | | | 3,768 | S/L | 5 | 0 |
| 12 | CONF ROOM FURNITURE | 4/28/05 | | 2,573 | | | 1,908 | S/L | 10 | 257 |
| | TOTAL FURNITURE AND FIXTURE | | | 36,174 | | 0 | 35,187 | | | 579 |
| | IMPROVEMENTS | | | | | | | | | |
| 8 | LHI, 800 WILSHIRE | 6/30/04 | | 30,427 | | | 25,101 | S/L | 10 | 3,043 |
| 10 | LHI, 800 WILSHIRE | 11/17/04 | | 937 | | | 734 | S/L | 10 | 94 |
| | TOTAL IMPROVEMENTS | | | 31,364 | | 0 | 25,835 | | | 3,137 |
| | MACHINERY AND EQUIPMENT | | | | | | | | | |
| 7 | LAPTOP COMPUTERS | 2/29/00 | 9/30/13 | 6,260 | | | 6,260 | S/L | 3 | 0 |
| 9 | COMPUTERS | 6/30/04 | 9/30/13 | 11,059 | | | 11,059 | S/L | 3 | 0 |
| 13 | SOFTWARE | 1/24/05 | 9/30/13 | 3,831 | | | 3,831 | S/L | 3 | 0 |
| 14 | COMPUTER | 9/30/05 | 9/30/13 | 1,249 | | | 1,249 | S/L | 3 | 0 |
| 15 | COMPUTER EQUIPMENT | 7/16/09 | 9/30/13 | 4,347 | | | 4,347 | S/L | 3 | 0 |
| 16 | COMPUTER EQUIPMENT | 6/18/10 | 9/30/13 | 2,541 | | | 1,907 | S/L | 3 | 634 |
| 17 | COMPUTER EQUIPMENT | 7/06/12 | | 10,519 | | | 1,705 | S/L | 3 | 3,506 |
| | TOTAL MACHINERY AND EQUIPME | | | 39,806 | | 0 | 30,358 | | | 4,140 |
| | TOTAL DEPRECIATION | | | 107,344 | | 0 | 91,380 | | | 7,856 |
| | GRAND TOTAL DEPRECIATION | | | 107,344 | | 0 | 91,380 | | | 7,856 |
| | DEPRECIATION ASSETS SOLD | | | 62,888 | | 0 | 61,932 | | | 956 |
| | DEPR REMAINING ASSETS | | | 44,456 | | 0 | 29,448 | | | 6,900 |