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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

For calendar year 2012 or tax year beginning OCT 1, 2012, and ending SEP 30, 2013

Name of foundation
GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
ONE EAST WASHINGTON STREET 1900

City or town, state, and ZIP code
PHOENIX, AZ 85004-2554

A Employer identification number
86-0710305

B Telephone number
405-348-8987

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ 245,703,267. (Part I, column (d) must be on cash basis)

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	16,479,609.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	552.	552.		STATEMENT 1
	4 Dividends and interest from securities	2,347,593.	2,347,593.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	98,890.			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		98,890.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	39,004.	39,004.		STATEMENT 3	
12 Total. Add lines 1 through 11	18,965,648.	2,486,039.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 4	24,395.	24,395.		0.
	b Accounting fees STMT 5	1,000.	1,000.		0.
	c Other professional fees				
	17 Interest	311.	311.		0.
	18 Taxes STMT 6	30,610.	30,610.		0.
	19 Depreciation and depletion	33,927.	33,927.		0.
	20 Occupancy	3,609.	3,609.		0.
	21 Travel, conferences, and meetings	144,221.	144,221.		0.
	22 Printing and publications				
	23 Other expenses STMT 7	3,659,576.	3,629,289.		30,287.
	24 Total operating and administrative expenses. Add lines 13 through 23	3,897,649.	3,867,362.		30,287.
	25 Contributions, gifts, grants paid	6,448,409.			6,448,409.
26 Total expenses and disbursements. Add lines 24 and 25	10,346,058.	3,867,362.		6,478,696.	
27 Subtract line 26 from line 12	8,619,590.				
a Excess of revenue over expenses and disbursements		0.			
b Net investment income (if negative, enter -0-)		0.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	172,667,514.	176,352,386.	176,352,386.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 9	53,209,348.	63,880,381.	64,667,293.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 10	7,397,200.	3,515,327.	4,655,228.	
14 Land, buildings, and equipment basis ▶ 142,095.				
Less accumulated depreciation ▶ 113,735.	38,632.	28,360.	28,360.	
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	233,312,694.	243,776,454.	245,703,267.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 19)	2,240,243.	4,172,919.	
	23 Total liabilities (add lines 17 through 22)	2,240,243.	4,172,919.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	231,072,451.	239,603,535.	
30 Total net assets or fund balances	231,072,451.	239,603,535.		
31 Total liabilities and net assets/fund balances	233,312,694.	243,776,454.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	231,072,451.
2 Enter amount from Part I, line 27a	2	8,619,590.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	239,692,041.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	88,506.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	239,603,535.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			98,890.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			98,890.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	98,890.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	9,362,595.	216,669,110.	.043211
2010	7,033,463.	195,417,788.	.035992
2009	5,583,938.	174,812,109.	.031943
2008	3,684,514.	114,720,204.	.032117
2007	2,830,289.	53,787,591.	.052620

2 Total of line 1, column (d)	2	.195883
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.039177
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	234,880,539.
5 Multiply line 4 by line 3	5	9,201,915.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0.
7 Add lines 5 and 6	7	9,201,915.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	6,478,696.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	0.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	0.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ <u>AZ</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>GLENNFOUNDATION.ORG</u>	13	X	
14 The books are in care of ► <u>COPELAND, HILD & TROTTER, PC</u> Telephone no ► <u>(405) 348-8987</u> Located at ► <u>PO BOX 2690, EDMOND, OK</u> ZIP+4 ► <u>73083-2690</u>			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>			
16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► ☐	1b		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ► _____			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b****b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STATEMENT 15				
	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	N/A	
2		
	All other program-related investments See instructions	
3		

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	57,621,816.
b	Average of monthly cash balances	1b	173,800,146.
c	Fair market value of all other assets	1c	7,035,438.
d	Total (add lines 1a, b, and c)	1d	238,457,400.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	238,457,400.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,576,861.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	234,880,539.
6	Minimum investment return. Enter 5% of line 5	6	11,744,027.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	11,744,027.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,744,027.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	11,744,027.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,744,027.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,478,696.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,478,696.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,478,696.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				11,744,027.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			5,739,782.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 6,478,696.				
a Applied to 2011, but not more than line 2a			5,739,782.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				738,914.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				11,005,113.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

**GLENN FOUNDATION FOR MEDICAL
RESEARCH, INC.**

Form 990-PF (2012)

86-0710305 Page 10

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
STATEMENT 21				6,448,409.
Total			▶ 3a	6,448,409.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

(See worksheet in line 13 instructions to verify calculations)

Form 990-PF (2012)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date	
------	--

Check ☐ if
self-employed

PTIN

RAY COPELAND

Firm's name ► COPELAND, HILD & TROTTER PC

Firm's EIN ► 73-1297294

Firm's address ► 1301 SOUTH BROADWAY, SUITE 200
EDMOND, OK 73034

Phone no 405-348-8987

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization

GLENN FOUNDATION FOR MEDICAL
RESEARCH, INC.

Employer identification number

86-0710305

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

GLENN FOUNDATION FOR MEDICAL
RESEARCH, INC.

Employer identification number

86-0710305

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PAUL F. GLENN 1270 COAST VILLAGE CIRCLE, SUITE 100 SANTA BARBARA, CA 93108	\$ 16,479,609.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

GLENN FOUNDATION FOR MEDICAL
RESEARCH, INC.

Employer identification number

86-0710305

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

GLENN FOUNDATION FOR MEDICAL
RESEARCH, INC.

Employer identification number

86-0710305

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE STATEMENT 11 ST CMD		VARIOUS	VARIOUS
b	SEE STATEMENT 11 LT CMD		VARIOUS	VARIOUS
c	SEE STATEMENT 12 ST PARTNERSHIPS		VARIOUS	VARIOUS
d	SEE STATEMENT 12 LT PARTNERSHIPS		VARIOUS	VARIOUS
e	SEE STATEMENT 13 A ST STOCK		VARIOUS	VARIOUS
f	SEE STATEMENT 13 A LT STOCK		VARIOUS	VARIOUS
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			164,760.
b			247,139.
c			<330,625.>
d			91,788.
e			136,514.
f			<210,686.>
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			164,760.
b			247,139.
c			<330,625.>
d			91,788.
e			136,514.
f			<210,686.>
g			
h			
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	98,890.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MONTECITO BANK	552.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	552.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDEND INCOME	2,171,536.	0.	2,171,536.
INTEREST INCOME	111,870.	0.	111,870.
PARTNERSHIP DIVIDENDS K1'S	46,484.	0.	46,484.
PARTNERSHIP INTEREST K1'S	17,703.	0.	17,703.
TOTAL TO FM 990-PF, PART I, LN 4	2,347,593.	0.	2,347,593.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SEE STATEMENT 20	39,004.	39,004.	
TOTAL TO FORM 990-PF, PART I, LINE 11	39,004.	39,004.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	24,395.	24,395.		0.
TO FM 990-PF, PG 1, LN 16A	24,395.	24,395.		0.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
	1,000.	1,000.			0.
TO FORM 990-PF, PG 1, LN 16B	1,000.	1,000.			0.

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	27,768.	27,768.			0.
OTHER TAXES	1,857.	1,857.			0.
OTHER TAXES	985.	985.			0.
TO FORM 990-PF, PG 1, LN 18	30,610.	30,610.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
STATEMENT 16	3,659,576.	3,629,289.			30,287.
TO FORM 990-PF, PG 1, LN 23	3,659,576.	3,629,289.			30,287.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	8
DESCRIPTION	AMOUNT				
FEDERAL TAX PAID WITH RETURN YE 9/30/2012	84,182.				
NON-DEDUCTIBLE CLUB DUES	4,235.				
NON-DEDUCTIBLE PORTFOLIO DEDUCTIONS	89.				
TOTAL TO FORM 990-PF, PART III, LINE 5	88,506.				

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
INVESTMENTS CORP. SECURITIES STMT 17	63,880,381.	64,667,293.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	63,880,381.	64,667,293.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS - STATEMENT 18	COST	3,515,327.	4,655,228.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,515,327.	4,655,228.

Glenn Foundation
 EIN 86-0710305
 Commodity Account Summary
 10/01/11 TO 9/30/12

<u>Broker</u>	<u>Account ac</u>	<u>Open</u> <u>G(L)</u> <u>30-Sep-12</u>	<u>Closed</u> <u>Futures</u> <u>G(L)</u>	<u>Open</u> <u>Futures</u> <u>G(L)</u>	<u>Open</u> <u>Options</u> <u>G(L)</u>	<u>Net</u> <u>G(L)</u> <u>Reported</u>
ADM	27600 it	706,100 00	80,120 66	169,519 23	-332,743 75	622,996 14
ADM	41795 in	-527,058 90	544,483 22	158,997 35	8,968 75	185,390 42
ADM	42060 in	-650,052 00	1,019,332 79			369,280 79
ADM	42096 in	8,763,177 09	-1,528,571 66	-1,279,550 78	-3,582,562 50	2,372,492 15
ADM	81413 lo	-1,428,370 58	5,792,275 79	899,635 17	6,141,750 00	11,405,290 38
ADM	94420 in	-1,602,262 62	-9,938,841 88	-427,026 80		-11,968,131 30
ADM	94421 in	-14,840,952 00	11,069,565 79	27,212 50	-20,500 00	-3,764,673 71
BOAML	20745 y	-169,720 55	-563,062 69			-732,783 24
MF C/A	18053	0 00				0 00
McVean	71363 in	-26,373 57	-292,540 17	33,607 50	-4,246 25	-289,552 49
McVean	Non Reg 71363 in	1,820 00	-7,947 42	400 00		-5,727 42
McVean	71364 in	-7,243 75	-19,199 74	10,025 00		-16,418 49
McVean	71365 fy	38,743 75	-49,974 73	-8,037 50	-32,062 50	-51,330 98
McVean	71366 in	86,812 50	-173,789 94	12,562 50		-74,414 94
McVean	71367 is	19,390 58	107,874 27	-101,121 25		26,143 60
RCG	12006 y	-32,446 43	1,775,693 28	-25,975 00	-1,361,500 00	355,771 85
RCG	33544 ld	-66,913 72	-54,555 78	59,243 94	7,312 50	-54,913 06
RCG	47430 w	19,675 00	-40,200 33	10,462 50		-10,062 83
RCG	47466 in	138,510 00	171,905 67	74,712 50	887 50	386,015 67
RCG	47467 e	6,290 00	-47,676 25	-560 00		-41,946 25
RCG	51041 tz	-125,625 00	1,718,376 99	52,283 00	53,437 50	1,698,472 49
		-9,696,500 20	9,563,267 87	-333,610 14	878,741 25	411,898 78
						411,898 78

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.

EIN: 86-0710305

FORM 990PF PART IV, LINE 1C - CAPITAL GAINS (LOSSES) - PARTNERSHIPS

FYE: 09-30-2013

DESCRIPTION	GAIN (LOSS)		TOTAL
	SHORT-TERM	LONG-TERM	
ADIT CAPITAL II	(657)	(4,328)	(4,985)
CALUMET SPLTY	(78)		(78)
CALUMET SPLTY- 1231		(36)	(36)
CONSTELLATION FUND-1256	29,705	44,557	74,262
CROSSTEX ENERGY-1231		1	1
DAEDALUS PARTNERS	(356,486)	530,827	174,341
ENTERPRISES PRDCS-1231		(207)	(207)
ENTERPRISES PRODUCT	378		378
GIBRALTAR PARTN.- 1256	(4,526)	(6,790)	(11,316)
GIBRALTAR PARTNERS LLC	3,539	(4,827)	(1,288)
GREEN ENERGY METALS	(2,500)	(59,242)	(61,742)
NEXUS CAPT II		(300)	(300)
NGEN ENABLING		(101,269)	(101,269)
NGEN II LP		(307,609)	(307,609)
NISKA GAS-1231		402	402
PENN VIRGINIA - 1231		543	543
REGENCY ENERGY-1231		(37)	(37)
RHINO RESOURCES-1231		3	3
VANGUARD NAT RES-1231		100	100
	(330,625)	91,788	(238,837)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.
EIN: 86-0710305
STOCK GAIN (LOSS) SUMMARY PAGE
FYE 9-30-2013

Stock Account	GAIN (LOSS)
B of A ML 83636515	(430,077.30) ST
B of A ML 83636515	148,180.42 LT
CSFB 065328	(42,003.07) ST
CSFB 065328	(18,449.45) LT
DBAB 065557	(449,570.73) ST
DBAB 388629	62,813.81 ST
DBAB 388629	17,951.88 LT
DBAB 874529	926,761.61 ST
DBAB 874529	(326,950.78) LT
DBAB 876581	82,585.33 ST
DBAB 876581	(64,607.70) LT
Morgan Stanley 814890187061	(1,362.24) ST
Raymond James 49519053	14,586.92 ST
Raymond James 49519053	35,016.22 LT
Raymond James 49533723	2,381.92 ST
Raymond James 49533723	17,616.51 LT
UBS FINANCIAL JP 32494 WH	(99,411.26) ST
UBS FINANCIAL JP 39221 V3	43,056.00 ST
UBS FINANCIAL JP 39221 V3	1,902.52 LT
UBS JP 39222 V3	26,752.83 ST
UBS JP 39222 V3	(21,345.19) LT
	<u>(74,171.75)</u>
 TOTAL SHORT TERM	 136,513.82
TOTAL LONG TERM	<u>(210,685.57)</u>
	<u>(74,171.75)</u>

GLENN FOUNDATION
FEI # 86-0710305
BANK OF AMERICA MERRILL LYNCH 836-36515
SCHEDULE D ATTACHMENT
Y/E 09/30/13

All Months

Memo	Sales Price	Cost Basis	Gain (Loss)	
SHORT TERM				
Oct-12				
Nov-12	865.43	0.00	865.43	
Dec-12	4,138.56	658.50	3,480.06	
Jan-13	164,914.22	224,255.17	(59,340.95)	
Feb-13	4,998.96	12,328.20	(7,329.24)	
Mar-13	89,448.51	51,430.00	38,018.51	
Apr-13	1,621.22	0.00	1,621.22	
May-13	857.20	6,414.26	(5,557.06)	
Jun-13	1,839,633.40	2,239,046.66	(399,413.26)	
Jul-13	1.34	2,423.35	(2,422.01)	
	<u>2,106,478.84</u>	<u>2,536,556.14</u>	<u>(430,077.30)</u>	PROOF (430,077.30)
LONG TERM				
Oct-12	23,989.46	32,344.38	(8,354.92)	
Nov-12	6,560.00	5,743.88	816.12	
Dec-12	12,970.56	13,181.25	(210.69)	
Jan-13	248,748.45	333,266.79	(84,518.34)	
Apr-13	12,217.60	8,793.48	3,424.12	
Jun-13	1,913,281.16	1,676,257.03	237,024.13	
	<u>2,217,767.23</u>	<u>2,069,586.81</u>	<u>148,180.42</u>	PROOF 148,180.42
SHORT TERM GAIN(LOSS)	(430,077.30)			
LONG TERM GAIN (LOSS)	<u>148,180.42</u>			
	<u>(281,896.88)</u>			

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 BANK OF AMERICA MERRILL LYNCH
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OCTOBER

Memo	Sales Price	Date of		#of	Cost	Gain
		Sale	Purchase	Shares	Basis	(Loss)
LONG TERM						
ENCANA CORP	23,989.46	10/18/12	03/19/09	1000	20,680.27	3,309.19
TBS INTL LTD SECURITIES ABANDONED	0.00	10/25/12	05/13/09	1000	9,372.50	(9,372.50)
LOSS ON CONVERSION	0.00				2,291.61	(2,291.61)
	23,989.46				32,344.38	(8,354.92)

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NOVEMBER

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
SHORT TERM						
GAIN ON CONVERSION	865.43				0.00	865.43
LONG TERM						
INTER-CITIC MINERAL INC	6,560.00	11/22/12	11/23/10	3200	5,743.88	816.12

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DECEMBER

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
SHORT TERM						
LOSS ON CONVERSION	0.00				570.50	(570.50)
CROSSTEX ENERGY LP 2012 K1	813.00				0.00	813.00
ENTERPRISE PRODUCT PARTNERS 2012 K1	3,206.56				0.00	3,206.56
MARTIN MIDSTREAM PARTNERS 2012 K1	119.00				0.00	119.00
RHINO RESOURCE PARTNERS LP 2012 K1	0.00				88.00	(88.00)
	4,138.56				658.50	3,480.06
LONG TERM						
JABIL CIRCUIT 1-19-13 CALL @ 15.00	12,970.58	12/20/12	12/08/10	25	13,181.25	(210.89)

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JANUARY

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
SHORT TERM						
CINTAS CORP	24,792.08	07/14/10	01/11/13	1000	42,413.00	(17,620.92)
ENCANA CORP 1-19-13 CALL @ 20.00 EXPIRED	0.00	01/22/13	04/25/12	85	11,573.15	(11,573.15)
MCDERMOTT INTL INC 1-19-13 CALL @ 10.00	1,488.07	01/08/13	01/10/12	10	3,411.58	(1,923.49)
NOVACOPPER INC 1-19-13 CALL @ 7.50 EXPIRED	0.00	01/22/13	05/01/12	50	5,750.00	(5,750.00)
SANDRIDGE ENERGY INC 1-19-13 CALL @ 7.50 EXPIRED	0.00	01/22/13	01/20/12	15	3,347.32	(3,347.32)
SOUTHERN COPPER CORP 3-16-13 CALL @ 35.00	10,470.43	01/08/13	09/07/12	25	4,779.05	5,691.38
TAG OIL LTD	2,037.34	01/23/13	10/25/12	500	3,578.97	(1,539.63)
TALISMAN ENERGY INC	60,650.64	01/24/13	VARIOUS S/T	5000	88,100.00	(7,449.36)
UNITED PARCEL SERVICE	85,475.86	10/22/08	01/23/13	1000	80,780.00	(15,304.34)
LOSS ON CONVERSION	0.00				524.12	(524.12)
	164,914.22				224,255.17	(59,340.95)
LONG TERM						
ARCH COAL 1-19-13 CALL @ 20.00 EXPIRED	0.00	01/22/13	11/02/11	20	6,823.05	(6,823.05)
ARCH COAL INC 1-19-13 CALL @ 25.00 EXPIRED	0.00	01/22/13	11/19/10	5	4,556.25	(4,556.25)
ASHLAND INC 1-19-13 CALL @ 45.00	19,143.72	01/08/13	12/21/10	5	7,556.25	11,587.47
BCE INC	66,118.51	01/24/13	01/26/11	1500	54,187.50	11,931.01
BROCADE COMM SYS 1-19-13 CALL @ 5.00	853.28	01/08/13	10/25/10	16	3,860.00	(3,206.72)
BROCADE COMM SYS 1-19-13 CALL @ 5.00	244.98	01/08/13	10/28/10	8	1,447.50	(1,202.52)
BROCADE COMM SYS 1-19-13 CALL @ 5.00	122.48	01/08/13	10/28/10	3	723.75	(601.27)
BROCADE COMM SYS 1-19-13 CALL @ 5.00	204.14	01/08/13	11/03/10	5	1,106.25	(902.11)
CALPINE CORP 1-19-13 CALL @ 15.00	4,014.61	01/08/13	05/27/11	13	4,306.25	(291.64)
CALPINE CORP 1-19-13 CALL @ 15.00	3,705.84	01/08/13	05/27/11	12	3,735.00	(29.16)
CALPINE CORP 1-19-13 CALL @ 15.00 EXPIRED	4,632.31	01/08/13	05/27/11	15	4,968.75	(336.44)
CAMECO CORP 1-13-19 CALL @ 40.00 EXPIRED	0.00	01/22/13	12/30/10	1	851.25	(851.25)
CANADIAN SOLAR INC	-4,889.89	01/23/13	07/12/07	1000	10,019.00	(5,329.11)
CIA SIDERURGICA NACIONAL 1-19-13 CALL @ 17.50 EXPIRED	0.00	01/22/13	01/07/11	25	7,531.25	(7,531.25)
ENCANA CORP 1-19-13 CALL @ 20.00 EXPIRED	0.00	01/22/13	11/02/11	15	5,567.29	(5,567.29)
HELCA MINING CO 1-19-13 CALL @ 7.50 EXPIRED	0.00	01/22/13	VARIOUS/LT	50	21,982.50	(21,982.50)
MCDERMOTT INTL INC 1-19-13 CALL @ 17.50 EXPIRED	0.00	01/22/13	VARIOUS LT	50	26,022.50	(26,022.50)
OCEANEERING INTL INC	91,751.89	01/24/13	03/19/09	1500	28,406.38	63,345.51
SKYWORKS SOLUTIONS INC	53,466.80	01/23/12	04/06/11	2500	79,808.25	(26,339.45)
TAKE TWO INTERACTIVE SOFTWARE 1-19-13 CALL @ 15.00 EXPIRED	0.00	01/22/13	01/20/12	15	4,367.32	(4,367.32)
TECK COMINCO LTD 1-19-13 CALL @ 45.00 EXPIRED	0.00	01/22/13	12/30/10	1	2,201.25	(2,201.25)
TECK COMINCO LTD 1-19-13 CALL @ 50.00 EXPIRED	0.00	01/22/13	VARIOUS LT	32	53,540.00	(53,540.00)
TECK COMINCO LTD 1-19-13 CALL @ 55.00 EXPIRED	0.00	01/22/13	12/30/10	1	1,701.25	(1,701.25)
	248,748.45				333,266.79	(84,518.34)

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FEBRUARY

Memo	Sales Price	Date of		#of Shares	Cost Basis	Gain (Loss)
		Sale	Purchase			
SHORT TERM						
WEATHERFORD INTL LTD	1,323.47	01/31/13	01/20/12	100	1,796.25	(472.78)
CLICKSOFTWARE TECH 2-16-13 CALL @ 7.50	3,675.49	02/12/13	VARIOUS S/T	55	6,613.78	(2,938.29)
LOSS ON CONVERSION	0.00				3,918.17	(3,918.17)
	4,998.96				12,328.20	(7,329.24)

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MARCH

Memo	Sales Price	Date of		#of	Cost	Gain
		Sale	Purchase	Shares	Basis	(Loss)
SHORT TERM						
SOUTHERN COPPER CORP 3-16-13 PUT @ 30.00 EXPIRED	3,720.71	09/07/12	03/18/13	25	0.00	3,720.71
TARGET CORP	18,678.65	01/09/09	03/07/13	500	32,935.00	(14,256.35)
CHENIERE ENERGY INC.	24,785.44	03/14/13	09/22/10	1000	18,495.00	6,290.44
GAIN ON CONVERSION	42,263.71				0.00	42,263.71
	89,448.51				51,430.00	38,018.51

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APRIL

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
SHORT TERM						
GAIN ON CONVERSION	1,621.22				0.00	1,621.22
PERVASIVE SOFTWARE	12,217.60	04/18/13	VARIOUS L/T	1328	8,793.48	3,424.12

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MAY

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
SHORT TERM						
CONOCO PHILLIPS CO 5/18/13 CALL @ 57.50 EXPIRI	0.00	05/22/13	01/31/13	10	2011.69	(2,011.69)
ENTERPRISE PRODUCT PARTNERS DISTRIBUTION	676.70				0.00	676.70
REGENCY ENERGY PARTNERS DISTRIBUTION	180.50				0.00	180.50
LOSS ON CONVERSION	0.00				4,402.57	(4,402.57)
	857.20				6,414.26	(5,557.06)

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JUNE

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
SHORT TERM						
ADAMS RESOURCE AND ENERGY	30,931.66	06/05/13	09/12/12	500	18,185.00	12,746.66
ALON USA ENERGY INC	17,521.99	06/05/13	02/27/13	1000	19,510.00	(1,988.01)
AMCOL INTL CORP	125,549.29	06/24/08	06/05/13	4000	120,040.00	5,509.29
AVALONBAY COMMUNITIES INC	77,866.51	02/08/10	06/05/13	1000	134,780.00	(56,913.49)
BCE INC	65,934.80	06/05/13	VARIOUS S/T	1500	52,947.50	12,987.30
BEMIS INC	27,737.03	07/14/10	06/05/13	1000	38,808.00	(11,070.97)
BEST BUY CO INC	24,557.99	VARIOUS L/T	06/05/13	500	13,665.00	10,892.99
BGC PARTNERS, INC	8,265.30	06/05/13	VARIOUS S/T	1500	10,520.75	(2,255.45)
BOSTON PROPERTIES INC	74,356.24	07/14/10	06/05/13	1000	107,712.50	(33,356.26)
BRE PROPERTIES INC	32,007.09	02/08/10	06/05/13	1000	48,968.50	(16,961.41)
BROCADE COMM SYS 7-20-13 CALL @ 6.00	141.57	06/05/13	02/01/13	50	2,058.44	(1,916.87)
BROCADE COMM SYSTEMS INC	5,299.90	06/05/13	01/18/13	1000	6,887.50	(1,587.60)
CALPINE CORP	19,789.65	06/05/13	01/18/13	1000	18,552.50	1,237.15
CAMDEN PROPERTY TRUST	35,401.11	02/08/10	06/05/13	912	62,168.76	(26,767.65)
CAMECO CORP 1-18-14 CALL @ 20.00	547.57	06/05/13	10/25/12	2	482.32	65.25
CAMECO CORP 1-18-14 CALL @ 20.00	273.77	06/05/13	10/25/12	1	241.16	32.61
CAMECO CORP 1-18-14 CALL @ 20.00	821.46	06/05/13	10/25/12	3	723.48	97.98
CAMECO CORP 1-18-14 CALL @ 20.00	273.77	06/05/13	10/25/12	1	241.16	32.61
CAMECO CORP 1-18-14 CALL @ 20.00	826.40	06/05/13	10/25/12	3	723.49	102.91
CAMERON INTL CORP	30,069.47	06/05/13	04/25/12	500	24,600.00	5,469.47
CARNIVAL CORP	52,085.90	02/02/07	06/05/13	1000	32,140.00	19,945.90
CHECK POINT SOFTWARE TECH	24,529.57	06/05/13	01/31/13	500	24,765.00	(235.43)
CHOICE HOTELS	29,286.75	04/28/09	06/05/13	1000	38,991.00	(9,704.25)
CLEAN ENERGY FUELS CORP 1-18-14 CALL @ 12.00	7,353.10	06/05/13	03/11/13	50	10,576.73	(3,223.63)
CLEAN ENERGY FUELS CORP 1-18-14 CALL @ 12.00	1,321.77	06/05/13	03/11/13	7	1,912.18	(590.41)
CLEAN ENERGY FUELS CORP 1-18-14 CALL @ 12.00	566.47	06/05/13	03/11/13	3	819.50	(253.03)
CLICKSOFTWARE TECH LTD	34,029.30	06/05/13	02/15/13	4500	38,752.18	(4,722.88)
CONOCOPHILLIPS	92,173.39	06/05/13	VARIOUS S/T	1500	85,221.72	6,951.67
CORPORATE OFFICE PROPERTY TRUST	35,517.05	02/08/10	06/05/13	1000	25,865.00	9,652.05
EQUITY LIFESTYLE PROPERTIES INC	48,196.89	02/08/10	06/05/13	1000	77,829.00	(29,632.11)
EQUITY RESIDENTIAL	16,221.92	02/08/10	06/05/13	500	28,430.00	(12,208.08)
GULFMARK OFFSHORE INC.	22,970.60	06/05/13	07/30/12	500	19,288.55	3,682.05
HARRIS CORP DEL	43,129.23	02/02/09	06/05/13	1000	49,673.00	(6,543.77)
HUNTINGTON BANC SHARES INC 1/18/14 CALL @ 5.00	2,498.26	06/05/13	09/12/12	10	2,161.60	336.66
HUNTINGTON BANCSHARES INC	74,805.69	06/05/13	01/18/13	10000	67,070.23	7,735.46
HUNTSMAN CORP	10,895.81	06/05/13	01/18/13	600	10,077.50	818.31
HUSKY ENERGY INC	13,847.54	06/05/13	02/06/13	500	15,149.60	(1,302.06)
IPATH S&P 500 VIX SHORT TERM FUTURES	19,909.65	06/05/13	07/20/12	1000	55,575.74	(35,666.09)
JABIL CIRCUIT 1-18-14 CALL @ 20.00	3,440.37	06/05/13	12/20/12	21	6,534.37	(3,094.00)
JABIL CIRCUIT 1-18-14 CALL @ 20.00	163.77	06/05/13	12/20/12	1	311.16	(147.39)
JABIL CIRCUIT 1-18-14 CALL @ 20.00	491.47	06/05/13	12/20/12	3	933.48	(442.01)
JABIL CIRCUIT 1-18-14 CALL @ 20.00	655.30	06/05/13	12/20/12	4	1,244.64	(589.34)
JABIL CIRCUIT 1-18-14 CALL @ 20.00	163.77	06/05/13	12/20/2012	1	311.17	(147.40)
JDS UNIPHASE CORP	13,149.77	06/05/13	01/18/13	1000	16,011.69	(2,861.92)
JUNIPER NETWORKS INC	18,409.67	06/05/13	01/18/13	1000	25,811.54	(7,401.87)
JUNIPER NETWORKS INC 1-18-14 CALL @ 25.00	249.91	06/05/13	01/17/13	6	1,147.00	(897.09)
JUNIPER NETWORKS INC 1-18-14 CALL @ 25.00	244.94	06/05/13	01/17/13	6	1,147.00	(902.06)
JUNIPER NETWORKS INC 1-18-14 CALL @ 25.00	208.08	06/05/13	01/17/13	5	955.84	(747.76)
JUNIPER NETWORKS INC 1-18-14 CALL @ 25.00	81.54	06/05/13	01/17/13	2	382.36	(300.82)
JUNIPER NETWORKS INC 1-18-14 CALL @ 25.00	40.77	6/5/2013	01/17/13	1	191.15	(150.38)
JUNIPER NETWORKS NETWORKS INC 1-18-14 PUT @ 18.00	1,195.79	01/17/13	06/05/13	7	1,380.20	(184.41)
JUNIPER NETWORKS NETWORKS INC 1-18-14 PUT @ 18.00	512.37	01/17/13	06/05/13	3	591.52	(79.15)

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JUNE

Memo	Sales Price	Date of Sale	Date of Purchase	# of Shares	Cost Basis	Gain (Loss)
KEYNOTE SYSTEMS INC	6,261 39	06/05/13	10/04/12	500	7,270.00	(1,008 61)
KEYNOTE SYSTEMS INC	6,261 39	06/05/13	03/07/13	500	7,610 00	(1,348 61)
LRR ENERGY LP	6,645 63	06/05/13	09/07/12	500	6,179 00	466 63
MARKET VECTORS EFT TRUST 9-21-13 CALL @ 40 00	536 60	06/05/13	03/21/13	20	5,023 38	(4,486 78)
MDC CORP	73,124 36	06/05/13	06/05/13	2000	70,736 80	2,387 56
NORDIC AMER TANKER SHIPPING	8,329 85	06/05/13	03/12/13	1000	8,800 00	(470 15)
NORTHERN TIER ENERGY LP	12,585 23	06/05/13	10/25/12	500	9,765 00	2,830 23
NRG ENERGY INC	5,019 91	06/05/13	01/18/13	200	4,672 50	347 41
PBF ENERGY INC	27,870 51	06/05/13	VARIOUS S/T	1000	29,335 00	(1,464 49)
PROSHARES SHORT 20+ TREASURY	15,124 73	06/05/13	05/20/13	500	14,855 00	269 73
PRUDENTIAL FINANCIAL INC	56,046 55	07/14/10	06/05/13	1000	67,333 00	(11,286 45)
PS BUSINESS PARKS INC	56,146 55	07/14/10	06/05/13	1000	74,388 00	(18,241 45)
ROWAN COMPANIES PLC	16,964 70	06/05/13	10/01/12	500	16,815 00	149 70
SANDRIDGE ENERGY	12,474 78	06/05/13	12/21/12	2500	14,903 93	(2,429 15)
SASOL LTD	21,869 62	06/05/13	03/20/13	500	22,225 00	(355 38)
SIMON PPTY GROUP INC	73,196 57	02/08/10	06/05/13	1000	164,420 00	(91,223 43)
SINOPEC SHANGHAI	53,751 28	06/05/13	04/09/13	1500	56,306 40	(2,555 14)
SL GREEN REALTY CORP	47,728 89	02/22/10	06/05/13	1000	85,566 70	(37,839 81)
SOUTHERN COPPER CORP	15,471 23	06/05/13	09/25/12	500	17,345 00	(1,873 77)
STARWOOD HOTELS	35,017 08	02/08/10	06/05/13	1000	66,040 00	(31,022 94)
TALISMAN ENERGY INC	57,698 99	06/05/13	10/19/12	5000	69,307 74	(11,608 75)
VORNADO REALTY TRUST	85,926 66	02/08/10	06/05/13	1000	79,331 50	(13,404 84)
WESTERN REFINING INC	15,524 72	06/05/13	03/14/13	500	17,750 00	(2,225 28)
GAIN ON CONVERSION	3,529 24				0 00	3,529 24
	1,839,633 40				2,239,046.66	(399,413 26)

LONG TERM

A POWER ENERGY GEN WORTHLESS	0 00	06/05/13	VARIOUS L/T	2000	22,702 00	(22,702 00)
ACETO CORP	13,194 07	06/05/13	02/20/07	1000	8,492 50	4,701 57
AES CORPORATION	60,776 94	06/05/13	01/20/12	5000	63,062 50	(2,285 56)
ALEXCO RESOURCE CORP	3,117 94	06/05/13	VARIOUS L/T	2000	15,370 13	(12,252 19)
AMCOL INTL CORP	31,290 05	06/05/13	06/25/08	1000	30,010 00	1,280 05
ASHLAND INC	51,371 10	06/05/13	VARIOUS L/T	600	33,331.25	18,039 85
ASIA PACIFIC WIRE & CABLE	3,769 93	06/05/13	12/15/04	1000	4,012 20	(242 27)
ATLASA RESOURCES CORP	1,352 22	06/05/13	08/08/09	7500	7,275 00	(5,922 78)
BABCOCK & WILCOX CO	29,379 48	06/05/13	VARIOUS L/T	1000	11,012 84	18,366 64
BAKER HUGHES INC	9,087 84	06/05/13	01/20/12	200	10,000 00	(912 16)
BOARDWALK PARTNERS	28,481 00	06/05/13	12/02/11	1000	21,492 50	6,988 50
BPZ RESOURCES INC	9,499 83	06/05/13	3/16/2012	5000	25,065 26	(15,565 43)
CADENCE DESIGN SYSTEM INC	7,405 37	06/05/13	11/24/10	500	4,163 50	3,241 87
CAL DIVE INTL INC	9,949 82	06/05/13	07/20/11	5000	30,325 00	(20,375 18)
CALUMET SPECIALTY PRODS PARTNERS	32,250 43	06/05/13	06/23/08	1000	7,691.00	24,559 43
CAPITAL PRODUCT PARTNERS LP	53,215 47	06/05/13	VARIOUS L/T	6000	36,577 75	16,637 72
CHECK POINT SOFTWARE TECH	49,059 14	06/05/13	10/02/07	1000	24,929.30	24,129 84
CHENIERE ENERGY INC	69,211 29	06/05/13	09/22/10	2500	46,229 40	22,981 89
CHENIERE ENRGY PARTNERS LP	71,112 01	06/05/13	12/23/09	2500	6,102 00	65,010 01
CHINA EXPERT TECH INC	9,918 59	06/05/13	01/26/07	2500	14,406 25	(4,487 66)
CIENA CORP	18,283 71	06/05/13	07/25/11	1000	16,622 50	(358 79)
CLEAN HARBORS INC	55,534 03	06/05/13	04/20/11	1000	49,002 50	6,531 53
COBALT INTL ENERGY	12,703 77	06/05/13	04/25/12	500	13,560 00	(856 23)
CONTINENTAL RESOURCES INC	40,433 29	06/05/13	04/25/12	500	43,204 50	(2,771 21)
CPFL ENERGIA S A	31,370 45	06/05/13	VARIOUS L/T	1500	19,825 25	11,545 20
DENBURY RESOURCES INC	45,374 21	06/05/13	03/12/09	2500	34,211 25	11,162 96
EARTHSTONE ENERGY INC	1,356 42	06/05/13	02/13/12	100	1,901 00	(544 58)
EARTHSTONE ENERGY INC	13,564 16	06/05/13	02/27/13	1000	16,527 00	(2,962 84)
ECOLOGY AND ENVIRONMENT	13,392 41	06/05/13	VARIOUS L/T	1040	10,212.50	3,179 91
ENCANA CORP 1-18-14 CALL @ 25 00	1,073 37	06/05/13	03/14/12	57	10,040 81	(8,967 44)
ENCANA CORP 1-18-14 CALL @ 25 00	809 76	06/05/13	03/14/12	43	7,574 65	(6,764.89)
ENTERPRISE PRODUCTS PARTNERS	59,012 16	06/05/13	06/23/08	1000	0 00	59,012 16
EVOLUTION PETE CORP	26,213 79	06/05/13	09/30/08	2500	9,423 25	16,790 54
FIELDPOINT PETROLEUM	10,503 73	06/05/13	VARIOUS L/T	2800	11,730 70	(1,226 97)
FIELDPOINT PETROLEUM WARRANTS	1,987 96	06/05/13	VARIOUS L/T	2800	0 00	1,987 96
GASFRAC ENERGY SVCS	4,113 02	06/05/13	VARIOUS L/T	-2500	18,375 11	(14,262 09)
GENERAL ELEC 1-18-14 CALL @ 22 00	6,085 48	06/05/13	04/04/12	30	3,934 65	2,150 81
GENERAL ELEC 1-18-14 CALL @ 22 00	4,056 57	06/05/13	04/04/12	20	2,623 12	1,433 45
GENERAL ELEC 1-18-14 CALL @ 25 00	1,426 26	06/05/13	04/03/12	22	1,433 45	(7 19)
GENERAL ELEC 1-18-14 CALL @ 25 00	907 63	06/05/13	04/03/12	14	912 17	(4 54)
GENERAL ELEC 1-18-14 CALL @ 25 00	907 63	6/5/2013	4/3/2012	14	900 20	7 43
GENERAL ELEC CO	23,249 59	06/05/13	01/20/12	1000	18,112 50	5,137 09
GENESIS ENERGY LP	47,968 16	06/05/13	03/13/09	1000	6,482 18	41,485 98
IMPERIAL OIL LTD	38,131 33	06/05/13	03/12/09	1000	32,157 35	5,973 98
INERGY MIDSTREAM LP	21,835 61	06/05/13	02/28/12	1000	18,783 00	3,042 61
ITHACA ENERGY INC	17,730 16	06/05/13	06/30/09	10000	6,230 80	11,499 36
JABIL CIRCUIT	9,699 83	06/05/13	01/20/12	500	10,356 25	(656 42)
KAYDON CORP	2,693 95	06/05/13	07/20/11	100	3,716 50	(1,022 55)
KODIAK ENERGY INC	21,224 63	06/05/13	09/21/11	2500	16,062 50	5,162 13
LINN ENERGY LLC	33,119 62	06/05/13	06/23/08	1000	4,840 70	28,278 92
LRR ENERGY LP	13,291 27	06/05/13	02/28/12	1000	18,950 00	(5,658 73)
MCDERMOTT INTL INC	8,759 84	06/05/13	03/12/09	1000	11,796 50	(3,036 66)

GLENN FOUNDATION
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BANK OF AMERICA MERRILL LYNCH
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JUNE

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
NAVIOS MARITIME HOLDINGS INC.	10,398.01	06/05/13	05/13/09	2000	8,833.60	1,564.41
NEW GOLD INC	34,299.40	06/05/13	02/24/11	5000	47,675.00	(13,375.60)
NEXEN INC WORTHLESS	0.00	06/05/13	VARIOUS L/T	1500	26,143.75	(26,143.75)
NISKA GAS STORAGE PARTNER	21,209.63	06/05/13	VARIOUS L/T	1500	14,227.00	6,982.63
OCEANEERING INTL INC.	35,269.38	06/05/13	03/19/09	500	8,802.12	26,467.26
OGE ENERGY CORP	32,861.92	06/05/13	09/11/07	500	16,836.25	16,025.67
PAA NATURAL GAS STORAGE LP	46,303.25	06/05/13	VARIOUS L/T	2300	37,496.85	8,806.40
PANHANDLE OIL AND GAS INC.	14,925.49	06/05/13	02/27/12	500	14,965.00	(39.51)
PEMBINA PIPELINE CORP	66,332.63	06/05/13	11/16/10	2125	40,472.57	25,860.06
PENGROWTH ENERGY TRUST	13,024.77	06/05/13	VARIOUS L/T	2500	36,560.25	(23,535.48)
PROPHECY COAL CORP	1,327.39	06/05/13	11/24/10	1000	10,755.83	(9,428.44)
PROPHECY PLATINUM CORP	557.28	06/07/13	06/17/11	948	1,128.12	(570.84)
PROSHARES ULTRASHORT S&P 500	51,249.10	06/05/13	06/22/11	1250	111,612.50	(60,363.40)
PVR PARTNERS LP	25,471.55	06/05/13	11/24/10	1000	18,971.50	6,500.05
QR ENERGY LP	16,689.70	06/05/13	02/28/12	1000	19,559.99	(2,870.29)
REGENCY ENERGY PARTNERS LP	25,509.55	06/05/13	11/19/08	1000	0.00	25,509.55
SABINE ROYALTY TRUST	52,345.08	06/05/13	06/27/07	1000	36,728.11	15,616.97
SANDRIDGE ENERGY INC 1-18-14 CALL @ 7 00	1,291.57	06/05/13	04/27/12	50	12,507.74	(11,216.17)
SAPIENT CORP	63,134.90	06/05/13	07/20/11	5000	72,112.50	(8,977.60)
SASOL LTD	21,669.61	06/05/13	12/20/11	500	22,837.30	(967.69)
SEASpan CORP	15,480.76	06/05/13	11/04/11	688	8,971.52	6,509.24
SIFY TECHNOLOGIES LTD	4,724.91	06/05/13	02/01/11	2500	6,581.25	(1,856.34)
SKYWORKS SOLUTIONS INC	23,249.59	06/05/13	04/06/11	1000	31,922.50	(8,672.91)
SOLAR POWER INC	47.49	06/07/13	01/22/08	2500	8,650.75	(8,603.26)
SOUTHERN COPPER CORP	15,625.94	06/05/13	VARIOUS L/T	505	17,507.00	(1,881.06)
STATOIL HYDRO ASA	45,159.21	06/05/13	VARIOUS L/T	2000	41,616.88	3,542.33
TAKE-TWO INTERACTIVE SOFTWARE	24,134.57	06/05/13	01/20/12	1500	19,518.75	4,615.82
TECK RESOURCES	26,091.54	06/05/13	01/20/12	1000	50,872.50	(24,780.96)
ULTRA PETROLEUM CORP	23,001.58	06/05/13	VARIOUS L/T	1000	35,661.25	(12,659.67)
USEC INC	0.00	06/05/13	10/05/10	5	1,306.25	(1,306.25)
VAALCO ENERGY INC	5,970.89	06/05/13	02/28/12	1000	7,940.00	(1,969.11)
VANGUARD NATURAL RESOURCES	27,839.51	06/05/13	02/08/12	1000	24,178.50	3,661.01
WAYSIDE TECHNOLOGY GROUP INC.	11,593.29	06/05/13	10/29/10	1000	10,012.50	1,580.79
WESTSHORE TERMS INCOME FD	28,055.37	06/05/13	10/05/09	1000	11,498.13	16,557.24
	1,913,281.16				1,676,257.03	237,024.13

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JULY

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
SHORT TERM						
VAALCO ENERGY INC 7-20-13 CALL @ 10.00 EXPIRE	0.00	07/22/13	01/08/13	20	2,423.35	(2,423.35)
GAIN ON CONVERSION	1.34				0.00	1.34
	1.34				2,423.35	(2,422.01)

GLENN FOUNDATION
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Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Apr-13						
SHORT TERM						
UBS AG AUDUSD 4-4-13	300,526 30	04/04/13	03/04/13	290785	297,792 91	2,733 39
UBS AG USDSEK 4-4-13	296,779 98	04/04/13	03/04/13	1924455	298,814 49	(2,034 51)
UBS AG USDNOK 4-19-13	289,660 97	04/19/13	03/19/13	1677615	290,269 91	(608 94)
CURRENCY SHARES JAPANESE YEN TRUST	37,504.99	02/27/13	VARIOUS S/T	3000	35,337.00	2,167.99
GAIN ON MARKET TO MARKET	393.75				0.00	393 75
	924,865 99				922,214.31	2,651 68
May-13						
SHORT TERM						
UBS AG USDSEK 5-6-13	295,908 33	05/06/13	04/04/13	1931083	298,006.63	(2,098 30)
UBS AG AUDUSD 5-6-13	296,782 13	05/06/13	04/04/13	301561	301,561.00	(4,778.87)
UBS AG USDNOK 5-21-13	287,806 22	05/21/13	04/19/13	1683393	289,556.22	(1,750 00)
CURRENCY SHARES AUSTRALIAN DOLLAR TRUST	50,779 11	05/09/13	05/21/13	500	49,107.25	1,671 86
CURRENCY SHARES JAPANESE YEN TRUST	52,093 65	05/20/13	VARIOUS S/T	500	48,951.00	3,142 65
LOSS ON MARKET TO MARKET	0 00				2,660 30	(2,660.30)
	983,369 44				989,842 40	(6,472.96)
Jun-13						
SHORT TERM						
CURRENCYSHARES JAPANESE YEN TRUST	49,283 89	06/13/13	05/24/13	500	47,973 25	1,310 64
UBS AG AUDUSD 6-11-13	275,689.11	06/11/13	05/08/13	290658	297,779 12	(22,090 01)
UBS AG USDSEK 6-10-13	294,034.04	06/10/13	05/08/13	1937949	296,562.81	(2,528 77)
UBS AG USDNOK 6-28-13	277,133 13	06/28/13	05/28/13	1689459	289,548 74	(12,415 61)
GAIN ON MARKET TO MARKET	3,529.75				0 00	3,529 75
	899,669 92				931,863.92	(32,194 00)
Jul-13						
SHORT TERM						
GAIN ON MARKET TO MARKET	2,199 75				0.00	2,199 75
Aug-13						
SHORT TERM						
GAIN ON MARKET TO MARKET	3,602.50				0 00	3,602 50
Sep-13						
SHORT TERM						
ISHARES MSCI MEXICO CAPPED ETF	34,223 95	09/24/13	08/29/13	500	33,289.25	934.70
CURRENCYSHARES JAPANESE YEN TRUST	151,387 62	VARIOUS S/T	09/09/13	1500	147,509.25	3,878 37
LOSS ON MARKET TO MARKET	0 00				6,874.25	(6,874.25)
	185,611 57				187,672.75	(2,061 18)
SHORT TERM GAIN(LOSS)	(99,411.26)					

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Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Oct-12						
SHORT TERM						
UBS AG USDNOK 10-11-12	268,449.00	10/11/12	09/11/12	268449	268,449.00	0.00
UBS AG USDSEK 10-17-12	516,212.18	10/17/12	09/17/12	518311	518,311.00	(2,098.82)
UBS AG AUDUSD 10-19-12	578,052.14	10/19/12	09/19/12	579669	579,669.00	(1,616.86)
UBS AG USDNOK 10-31-12	514,127.00	10/31/12	09/28/12	514127	514,127.00	0.00
GAIN ON MARKET TO MARKET	1,454.81				0.00	1,454.81
	1,878,295.13				1,880,556.00	(2,260.87)
Nov-12						
SHORT TERM						
UBS AG USDNOK 11-15-12	267,724.29	11/15/12	10/15/12	269120	269,120.00	(1,395.71)
UBS AG USDSEK 11-19-12	512,988.49	11/19/12	10/17/12	3463211	519,549.19	(6,560.70)
UBS AG AUDUSD 11-19-12	578,076.71	11/19/12	10/19/12	559393	580,146.48	(2,069.77)
CURRENCYSHARES AUSTRALIAN DOLLAR TRUST	102,742.44	07/05/12	11/15/12	1000	103,372.63	(630.19)
CURRENCYSHARES AUSTRALIAN DOLLAR TRUST	104,934.39	09/21/12	11/15/12	1000	103,372.62	1,561.77
LOSS ON MARKET TO MARKET	0.00				1,684.14	(1,684.14)
	1,566,466.32				1,577,245.06	(10,778.74)
Dec-12						
SHORT TERM						
UBS AG USDNOK 12-6-12	516,012.00	12/06/12	11/06/12	516012	516,012.00	0.00
UBS AG USDNOK 12-17-12	269,229.36	12/17/12	11/15/12	1550088	269,453.99	(224.63)
UBS AG USDSEK 12-19-12	515,419.86	12/19/12	11/19/12	3479084	514,954.48	465.38
UBS AG AUDUSD 12-19-12	582,356.51	12/19/12	11/19/12	562283	579,770.00	2,586.51
					1,880,190.47	2,827.26
Jan-13						
SHORT TERM						
UBS AG USDNOK 1-10-13	517,732.00	01/10/13	12/6/2012	517732	517,732.00	0.00
UBS AG USDNOK 1-17-13	270,186.00	01/17/13	12/17/2012	270186	270,186.00	0.00
UBS AG AUDUSD 1-22-13	583,113.89	01/22/13	12/19/2012	584782	584,782.00	(1,668.11)
UBS AG USDSEK 1-22-13	517,567.00	01/22/13	12/19/2012	517567	517,567.00	0.00
	1,888,598.89				1,890,267.00	(1,668.11)
Feb-13						
SHORT TERM						
UBS AG USDNOK 2-15-13	519,745.00	02/15/13	01/10/13	519,745.00	519,745.00	0.00
UBS AG PERF SEC V10 CURRENCY INDEX	205,562.88	02/28/13	02/23/10	25,000.00	250,003.75	(44,440.87)
LOSS ON MARKET TO MARKET	0.00				2,687.37	(2,687.37)
	725,307.88				772,436.12	(47,128.24)
Mar-13						
SHORT TERM						
UBS AG AUDUSD 3-1-13	295,626.13	03/01/13	02/01/13	300000	300,000.00	(4,373.87)
UBS AG USDSEK 3-1-13	298,177.80	03/01/13	02/01/13	300000	300,000.00	(1,822.20)
UBS AG USDNOK 3-15-13	290,269.91	03/01/13	02/15/13	300000	300,000.00	(9,730.09)
CURRENCY SHARES JAPANESE YEN TRUST	316,062.90	VARIOUS S/T	VARIOUS S/T	3000	311,734.25	4,328.65
GAIN ON MARKET TO MARKET	3,469.16				0.00	3,469.16
	1,203,605.90				1,211,734.25	(8,128.35)

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Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Dec-12						
SHORT TERM						
ALUMINA LTD	12,035 78	12/27/12	07/03/12	3158	9,821 37	2,214 41
ALUMINA LTD	382 08	12/27/12	09/28/12	95	352 21	9 85
AVIVA PLC	1,891.08	12/27/12	09/28/12	152	1,844 47	248 61
BANCO BILBAO VIZCAYA ARGENTARIA	1,179 34	12/27/12	03/21/12	128	1,121 19	58 15
CHUNGWA TELECOM CO LTD	223 29	12/27/12	09/28/12	7	220 79	2 50
COMPANHIA ENERGETICA DE MINAS	51 79	12/27/12	09/28/12	4	52 00	(0 21)
ENI SPA IT	293 13	12/27/12	09/28/12	6	288 22	4 91
NATIONAL GRID PLC	10,779.29	12/27/12	07/03/12	189	9,820 55	958 74
NATIONAL GRID PLC	1,482 87	12/27/12	09/28/12	26	1,455 81	27 06
STMICROELECTRONICS NV	1,885 10	12/27/12	07/03/12	279	1,417 02	548 08
SUNLIFE FINANCIAL INC OF CANADA	833 24	12/27/12	09/28/12	31	752 82	80 42
SUNLIFE FINANCIAL INC OF CANADA	12,498 54	12/27/12	01/11/12	485	8,881 82	3,816 72
VEOLIA ENVIRONNEMENT	349.29	12/27/12	07/03/12	29	369 11	(19 82)
VODAFONE GROUP	8,795 33	12/27/12	07/03/12	350	8,781 45	(988 12)
VODAFONE GROUP	1,708 81	12/27/12	09/28/12	68	1,961 54	(252 73)
WESTPAC BANKING LTD	682 43	12/27/12	08/03/11	5	559 05	123 38
	55,131 37				48,499 42	6,631 95
Mar-13						
SHORT TERM						
BANCO BILBAO VIZCAYA ARGENTARIA	17,016 84	03/20/13	VARIOUS S/T	1670	14,821 02	2,395 82
CHUNGWA TELECOM CO LTD	15,231 95	03/20/13	VARIOUS S/T	491	15,516 80	(284 85)
COMPANHIA ENERGETICA DE MINAS	16,195 42	03/20/13	VARIOUS S/T	1249	15,683 46	511 96
COMPANHIA SIDERURGICA NAC	8,622 14	03/20/13	VARIOUS S/T	1704	9,182 08	(559 94)
CPFL ENERGIA SA	8,050 61	03/20/13	VARIOUS S/T	376	8,111 76	(61 15)
DELHAIZE GROUP	20,475 80	03/20/13	VARIOUS S/T	378	15,646 58	4,829 32
EMPRESA NACIONAL DE ELEC CHILE	15,934 65	03/20/13	VARIOUS S/T	319	15,744 84	189 81
ENERPLUS CORP	15,245 60	03/20/13	VARIOUS S/T	1024	11,335 30	3,910 30
ENI SPA IT	15,327 12	03/20/13	VARIOUS S/T	321	15,487 08	(139 96)
NOKIA CORP	13,236 07	03/20/13	VARIOUS S/T	3859	15,657 63	(2,421 56)
PENN WEST PETE LTD	15,906 26	03/20/13	VARIOUS S/T	1388	16,554 98	(648 73)
STMICROELECTRONICS NV	16,323 09	03/20/13	VARIOUS S/T	2131	12,349 57	3,973 52
TELECOM ITALIA SPA	13,962 49	03/20/13	VARIOUS S/T	1744	15,648 87	(1,686 18)
VEOLIA ENVIRONNEMENT	16,729 84	03/20/13	VARIOUS S/T	1282	16,091 22	638 62
	208,258 08				197,811 00	10,847 08
LONG TERM						
COMPANHIA SIDERURGICA NAC	4,796 97	03/20/13	08/03/11	948	10,009 93	(5,212 96)
COMPANHIA SIDERURGICA NAC	50 59	03/20/13	09/22/11	10	91 60	(41 01)
COMPANHIA SIDERURGICA NAC	748 73	03/20/13	01/11/12	148	1,266 81	(517 88)
CPFL ENERGIA SA	7,984 97	03/20/13	01/11/12	372	10,455 89	(2,490 92)
ENERPLUS CORP	1,935 48	03/20/13	08/03/11	130	8,096 04	(6,160 56)
ENERPLUS CORP	312 65	03/20/13	09/22/11	21	554 19	(241 54)
ENERPLUS CORP	401 98	03/20/13	01/11/12	27	692 22	(290 24)
	16,211 37				31,166 48	(14,955 11)
Jun-13						
SHORT TERM						
ASTRAZENECA PLC	1,304 16	06/26/13	03/06/13	27	1,222 83	81 33
ASTRAZENECA PLC	772 80	06/26/13	03/13/13	16	727 84	44 96
ASTRAZENECA PLC	1,449 04	06/26/13	03/20/13	30	1,394 31	54 73
AVIVA PLC	3,004 35	06/26/13	09/28/12	296	3,202 39	(198 04)
BANK OF MONTREAL CANADA	113 89	06/26/13	03/06/13	2	124 48	(10 59)
BCE INC	250 19	06/26/13	09/28/12	6	285 02	(14 83)
BP PLC	1,240 27	06/26/13	03/06/13	30	1,206 60	33 67
BP PLC	744 12	06/26/13	03/13/13	18	728 28	15 84
BP PLC	785 49	06/26/13	03/20/13	19	777 35	8 14
CHINA MOBILE	587 32	06/26/13	03/06/13	12	654 96	(67 64)
GLAXO SMITHKLINE PLC	4,735 04	06/26/13	12/27/12	96	4,190 06	544 98
NATIONAL GRID PLC	1,233 87	06/26/13	03/06/13	22	1,200 31	33 56
NATIONAL GRID PLC	726 04	06/26/13	03/13/13	13	712 92	16 12
NATIONAL GRID PLC	785 16	06/26/13	03/20/13	14	778 06	7 10
NTT DOCOMO INC	24,133 71	06/26/13	VARIOUS S/T	1615	24,660 68	(526 97)
ROYAL DUTCH SHELL PLC	1,192 46	06/26/13	03/06/13	19	1,249 44	(56 98)
ROYAL DUTCH SHELL PLC	486 69	06/26/13	03/13/13	7	483 26	3 43
SUN LIFE FINANCIAL INC OF CANADA	1,187 33	06/26/13	03/06/13	44	1,221 88	(34 55)
SUN LIFE FINANCIAL INC OF CANADA	781 92	06/26/13	03/13/13	27	751 41	30 51
SUN LIFE FINANCIAL INC OF CANADA	1,187 34	06/26/13	03/13/13	38	1,155 64	31 70
THOMSON REUTERS CORP	24,614 77	06/26/13	VARIOUS S/T	759	24,531 01	83 76
TRANSALTA CORP	13,636 99	06/26/13	VARIOUS S/T	1100	18,523 01	(2,886 02)
	84,935 95				87,741 74	(2,805 79)
LONG TERM						
CARNIVAL PLC	23,383 10	06/26/13	VARIOUS S/T	683	24,766 10	(1,383 00)
TRANSALTA CORP	7,388 77	06/26/13	VARIOUS L/T	596	12,251 93	(4,863 16)
TOTAL S A FRANCE	1,044 85	06/26/13	08/03/11	22	1,188 77	(143 92)
	31,816 72				38,206 80	(6,390 08)

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Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Jul-13						
SHORT TERM						
CHINA PETROLEUM & CHEMICAL CORP CASH IN LIEU	40.99				0.00	40.99
Sep-13						
SHORT TERM						
AVIVA PLC	26,552.56	09/11/13	VARIOUS S/T	2181	22,860.40	3,692.16
BHP BILLITON	25,063.80	09/11/13	06/26/13	421	22,172.60	2,891.20
IAMGOLD	4,097.51	09/11/13	06/26/13	681	3,033.03	1,064.48
ROYAL BANK OF CANADA	24,864.57	09/11/13	06/26/13	389	22,173.74	2,690.83
SHAW COMMUNICATIONS INC	23,445.87	09/11/13	06/26/13	1012	22,152.75	1,293.12
VODAFONE GROUP	1,603.05	09/11/13	03/06/13	49	1,230.39	372.66
VODAFONE GROUP	883.17	09/11/13	03/13/13	27	743.58	139.59
VODAFONE GROUP	621.56	09/11/13	03/20/13	19	527.00	94.56
	107,132.09				94,893.49	12,238.60
SHORT TERM GAIN(LOSS)	26,752.83					
LONG TERM GAIN (LOSS)	(21,345.19)					
	5,407.64					

GLENN FOUNDATION
FEI # 86-0710305
CREDIT SUISSE 214-065328
SCHEDULE D ATTACHMENT
Y/E 09/30/13

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Oct-12 SHORT TERM						
LOSS ON CONVERSION	0 00				15,679 19	(15,679 19)
Nov-12 SHORT TERM						
GAIN ON CONVERSION	6,630.90				0 00	6,630.90
Dec-12 SHORT TERM						
LOSS ON CONVERSION	0.00				1,560.52	(1,560 52)
LONG TERM						
NV BANK NEDERLANDSE GEMEENTEN BNG DEN HAAG EURO	96,380.90	12/20/12	08/18/09	200000	121,670 35	(25,289 45)
Jan-13 SHORT TERM						
LOSS ON CONVERSION	0.00				1,808 42	(1,808 42)
Feb-13 SHORT TERM						
LOSS ON CONVERSION	0.00				30,192 91	(30,192 91)
LONG TERM						
TITANIUM METALS CORP MERGER	16,500 00	02/07/13	11/09/09	1000	9,660 00	6,840 00
Mar-13 SHORT TERM						
GAIN ON CONVERSION	11,310.59				0 00	11,310 59
Apr-13 SHORT TERM						
LOSS ON CONVERSION	0.00				10,650.95	(10,650.95)
May-13 SHORT TERM						
GAIN ON CONVERSION	0 83				0.00	0.83
Jun-13 SHORT TERM						
LOSS ON CONVERSION	0 00				0 90	(0 90)
Jul-13 SHORT TERM						
MARKET VECTORS ETF JR GOLD MINERS CASH IN LIEU	28.23	07/01/13	01/12/12		80 48	(52 25)
LOSS ON CONVERSION	0 00				0.25	(0.25)
	28.23				80 73	(52.50)
SHORT TERM GAIN(LOSS)	(42,003.07)					
LONG TERM GAIN(LOSS)	(18,449 45)					
	(60,452 52)					

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
 EIN: 86-0710305
 DEUTSCHE BANK 388629
 FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Dec-12						
SHORT TERM						
PIMCO LOW DURATION S/T CAPITAL GAIN	57,214.69				0.00	57,214.69
THE OSTERWEIS STRATEGIC INVEST FUND S/T CAPITAL GAIN	5,599.12				0.00	5,599.12
	62,813.81				0.00	62,813.81
LONG TERM						
PIMCO LOW DURATION L/T CAPITAL GAIN	12,099.55				-0.00	12,099.55
THE OSTERWEIS STRATEGIC INVEST FUND L/T CAPITAL GAIN	4,215.76				0.00	4,215.76
	16,315.31				0.00	16,315.31
Jan-13						
LONG TERM						
VIRTUS SENIOR FLOTING RATE FUND L/T CAPITAL GAIN	1,636.57				0.00	1,636.57
SHORT TERM GAIN(LOSS)	62,813.81					
LONG TERM GAIN(LOSS)	17,951.88					
	<u>80,765.69</u>					

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 5XL-065557
FYE 9-30-2013

MONTH	Sales Price	Cost Basis	Gain (Loss)
SHORT TERM			
Oct-12	602,049.67	695,158.57	(93,108.90)
Nov-12	151,736.16	111,478.15	40,258.01
Dec-12	491,392.62	422,981.33	68,411.29
Jan-13	3,035.43	2,720.00	315.43
Feb-13	195,189.05	280,046.04	(84,856.99)
Mar-13	210,092.82	149,894.62	60,198.20
Apr-13	593,147.62	598,392.90	(5,245.28)
May-13	306,858.83	305,759.00	1,099.83
Jun-13	5,065,611.38	5,267,755.11	(202,143.73)
Jul-13	126,879.16	234,563.50	(107,684.34)
Aug-13	301,727.70	478,722.62	(176,994.92)
Sep-13	732,812.17	682,631.50	50,180.67
	8,780,532.61	9,230,103.34	(449,570.73)
SHORT TERM GAIN (LOSS)	(449,570.73)		

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 5XL-065557
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Oct-12						
SHORT TERM						
BARCLAYS BANK I PATH S&P 500 VIX SHORT TERM FUTURES	83,824.62	10/5/2012	VARIOUS S/T	2500	262,995.20	(179,370.58)
CABELAS INC 11-17-12 CALL @ 55.00	26,202.40	10/03/12	10/25/12	100	1,004.50	25,197.90
CABELAS INC 11-17-12 PUT @ 55.00	84,913.58	10/25/12	10/03/12	100	27,806.00	57,107.58
KINDER MORGAN INC	266,328.77	10/01/12	08/10/12	7500	280,625.00	5,703.77
SEARS HOLDINGS CORP 10-20-12 PUT @ 60.00 EXPIRED	8,468.30	09/21/12	10/22/12	37	0.00	8,468.30
SEARS HOLDINGS CORP 10-26-12 PUT @ 57.50 EXPIRED	1,795.45	10/23/12	10/29/12	20	0.00	1,795.45
SEARS HOLDINGS CORP 10-5-12 PUT @ 55.00 EXPIRED	3,655.41	09/28/12	10/09/12	30	0.00	3,655.41
SEARS HOLDINGS CORP 9-28-12 PUT @ 55.00 EXPIRED	1,495.46	09/25/12	10/01/12	30	0.00	1,495.46
VERTEX PHARMA INC 10-9-12 PUT @ 57.50	1,009.23	41,191.00	10/19/12	01/05/00	3,889.50	(2,860.27)
VERTEX PHARMACEUTICALS INC	124,556.45	10/19/12	10/18/12	2500	138,858.37	(14,301.92)
	602,049.67				695,158.57	(93,108.90)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 5XL-065557
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Nov-12						
SHORT TERM						
SEARS CDA CASH IN LIEU	0.00				3.71	(3.71)
SEARS HOLDINGS CORP 11-17-12 PUT @ 55.42	7,615.32	10/23/12	11/16/12	30	244.50	7,370.82
SEARS HOLDINGS CORP	31,535.57	11/13/12	11/16/12	2698	29,830.89	1,704.68
SEARS HOLDINGS CORP	112,585.27	03/09/12	11/16/12	1500	81,399.05	31,186.22
	151,736.16				111,478.15	40,258.01

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 5XL-065557
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Dec-12						
SHORT TERM						
EQUITY RESIDENTIAL	166,929.45	12/29/12	11/28/12	3000	164,250.00	2,679.45
SEARS HOLDINGS CORP	301482.38	VARIOUS S/	12/11/12	4800	214,830.90	86,651.48
OCCIDENTAL PETE CORP 12-14-12 PUT @ 75.00 EXPIRED	2,915.43	12/07/12	12/17/12	40	0.00	2,915.43
ROCKWELL AUTOMATION INC 12-22-12 CALL @ 80.00	13,200.90	12/06/12	12/18/12	100	40,304.50	(27,103.60)
OCCIDENTAL PETE CORP 12-22-12 PUT @ 75.00 EXPIRED	1,715.46	12/14/12	12/24/12	40	0.00	1,715.46
ROCKWELL AUTOMATION INC 12-22-12 PUT @ 75.00 EXPIREI	0.00	12/24/12	12/06/12	100	3,595.93	(3,595.93)
REGENCY ENERGY PARTNERS 2012 K1	5,149.00				0.00	5,149.00
	491,392.62				422,981.33	68,411.29

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
 EIN: 86-0710305
 DEUTSCHE BANK 6XL-065557
 FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Jan-13						
SHORT TERM						
OCCIDENTAL PETE CORP 1-4-13 PUT @ 75.00 EXPIRED	3,035.43	12/28/12	01/07/13	40	0.00	3,035.43
CONSTELLATION ENERGY PARTNERS 2012 K1	0.00				2,720.00	(2,720.00)
	3,035.43				2,720.00	315.43

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 5XL-065557
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Feb-13						
SHORT TERM						
AMAZON INC 2-16-13 CALL @ 260.00	10,920.25	02/04/13	01/23/13	25	18,352.25	(7,432.00)
AMAZON INC 2-16-13 CALL @ 260.00	13,095.20	02/04/13	01/23/13	25	18,352.25	(5,257.05)
AMAZON INC 2-16-13 CALL @ 270.00	92,693.41	01/23/13	02/06/13	100	19,404.50	73,288.91
AMAZON INC 2-16-13 CALL @ 280.00	5,295.37	02/06/13	01/23/13	100	53,004.50	(47,709.13)
MICROSOFT CORP 2-16-13 PUT @ 26.00 EXPIRED	18,595.07	11/15/12	02/19/13	200	0.00	18,595.07
SPDR S&P 500 2-16-13 CALL @ 146.00	43,994.50	01/09/13	02/15/13	200	118,123.54	(74,129.04)
SPDR S&P 500 2-16-13 CALL @ 150.00	10,595.25	01/23/13	02/15/13	100	17,804.50	(7,209.25)
SPDR S&P 500 EFT TR 2-16-13 PUT @ 144.00 EXPIRED	0.00	02/19/13	01/09/13	200	35,004.50	(35,004.50)
	195,189.05				280,046.04	(84,856.99)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
 EIN: 86-0710305
 DEUTSCHE BANK 5XL-065557
 FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Mar-13						
SHORT TERM						
AMAZON INC 3-1-13 CALL @ 275.00 EXPIRED	10,515.70	02/21/13	03/04/13	100	0.00	10,515.70
AMAZON INC 3-16-13 CALL @ 265.00 EXPIRED	89,498.24	03/08/13	03/18/13	100	0.00	89,498.24
AMAZON INC 3-16-13 CALL @ 275.00 EXPIRED	0.00	03/18/13	03/08/13	100	26,387.83	(26,387.83)
AMAZON INC 3-22-13 CALL @ 270.00 EXPIRED	6,397.35	03/15/13	03/25/13	100	0.00	6,397.35
AMAZON INC 3-8-13 CALL @ 265.00	36,600.86	03/01/13	03/08/13	100	80,109.26	(43,508.40)
AMAZON INC 3-8-13 CALL @ 270.00	30,657.18	03/08/13	03/01/13	100	17,710.69	12,946.49
MICROSOFT COR 3-1-13 CALL @ 28	2,194.45	02/21/13	03/01/13	200	205.50	1,988.95
MICROSOFT CORP 3-16-13 CALL @ 28.00	6,365.19	03/08/13	03/15/13	200	1,005.50	5,359.69
MICROSOFT CORP 3-22-13 CALL @ 28.00	4,395.39	03/15/13	03/22/13	200	5,005.50	(610.11)
MICROSOFT CORP 3-8-13 CALL @ 28.00	2,695.43	03/01/13	03/08/13	200	3,970.34	(1,274.91)
MODEL N INC.	20,773.03	03/20/13	03/20/13	1000	15,500.00	5,273.03
	210,092.82				149,894.62	60,198.20

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 5XL-065557
FYE 9-30-2013

Memo	Sales Price	Date of Sale Purchase		#of Shares	Cost Basis	Gain (Loss)
Apr-13						
SHORT TERM						
MICROSOFT CORP	565,978.28	03/28/13	02/15/13	20000	580,792.90	(14,814.62)
SPDR S&P 500 4-26-13 CALL @ 150.00 EXPIRED	8,095.31	04/19/13	04/29/13	300	0.00	8,095.31
TAYLOR MORRISON HOME CORP	19,074.03	04/11/13	04/10/13	800	17,600.00	1,474.03
	593,147.62				598,392.90	(5,245.28)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 5XL-065557
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
May-13						
SHORT TERM						
FREEPORT 5-24-13 PUT @ 32.00	4,095.40	05/21/13	05/24/13	100	15,504.50	(11,409 10)
ISHARES INC MSCI JAPAN INDEX FD	302,763 43	05/20/13	05/23/13	25000	290,254.50	12,508 93
	306,858 83				305,759 00	1,099 83

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 5XL-085557
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Jun-13						
SHORT TERM						
DOUBLELINE INCOME SOLUTIONS FD	373,938.97	06/09/13	04/25/13	15000	375,000.00	(1,061.03)
EMC CORP 6-22-13 PUT @ 25.00	6,295.38	05/30/13	06/21/13	150	9,927.00	(3,631.62)
FREEPORT 6-22-13 PUT @ 31.00	19,595.15	05/24/13	06/21/13	100	28,204.50	(8,609.35)
SPDR S&P 500 6-7-13 ALL @ 156.00 EXPIRED	5,395.40	06/03/13	06/10/13	300	0.00	5,395.40
SPDR S&P 500 ETF	4,570,698.59	VARIOUS S/T	06/20/13	30000	4,798,711.50	(228,012.91)
TESLA MOTORS INC 5-31-13 CALL @ 110.00 EXPIRED	8,595.34	05/29/13	06/03/13	50	0.00	8,595.34
TESLA MOTORS INC 6-14-13 CALL @ 100.00	8,845.34	06/12/13	06/14/13	50	6,050.00	2,795.34
TESLA MOTORS INC 6-22-13 CALL @ 100.00	56,401.99	VARIOUS S/T	06/21/13	100	905.50	55,496.49
TESLA MOTORS INC 6-22-13 PUT @ 60.00 EXPIRED	0.00	06/24/13	05/09/13	100	24,302.11	(24,302.11)
TESLA MOTORS INC 6-22-13 PUT @ 80.00 EXPIRED	0.00	06/24/13	05/16/13	50	24,654.50	(24,654.50)
TESLA MOTORS INC 6-7-13 CALL @ 105.00 EXPIRED	15,845.22	05/31/13	06/10/13	50	0.00	15,845.22
	5,065,611.38				5,267,755.11	(202,143.73)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 5XL-065557
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Jul-13						
SHORT TERM						
EMC CORP 7-20-13 PUT @ 25 00 EXPIRED	15,467.72	06/21/13	07/22/13	150	0.00	15,467.72
FREEPORT MCMORAN COPPER & GOLD 7-20-13 PUT @ 31.00	30,490.18	06/21/13	07/18/13	95	25,654.50	4,835.68
PROSHARES TRUST II CRUDE OIL 7-20-13 CALL @ 36 00	8,895.34	07/12/13	07/19/13	100	12,804.50	-3,909.16
TESLA MOTORS INC 7-20-13 CALL @ 100.00	63,094.39	06/21/13	07/19/13	100	196,104.50	-133,010.11
TESLA MOTORS INC 7-20-13 CALL @ 135 00 EXPIRED	8,931.53	07/15/13	06/22/13	50	0.00	8,931.53
	126,879.16				234,563.50	(107,684.34)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 5XL-065557
FYE 9-30-2013

Memo	Sales Price	Date of		#of Shares	Cost Basis	Gain (Loss)
		Sale	Purchase			
Aug-13						
SHORT TERM						
FREEPORT MCMORAN COPPER & GOLD 8-17-13 PUT @ 31	26,405.03	07/18/13	08/14/13	95	1,124.50	25,280.53
OCCIDENTAL PETE CORP 8-17-13 PUT @ 90.00	9,745.32	08/02/13	08/16/13	50	20,654.50	(10,909.18)
OCCIDENTAL PETE CORP 8-2-13 PUT @ 90.00	8,495.34	07/29/13	08/02/13	50	6,004.50	2,490.84
PROSHARES TRUST II CRUDE OIL 8-17-13 CALL @ 36.00	23,195.09	07/19/13	08/16/13	100	19,504.50	3,690.59
PROSHARES TRUST II CRUDE OIL 8-17-13 CALL @ 37 00	7,895.36	07/25/13	08/16/13	100	9,204.50	(1,309 14)
TESLA MOTORS INC.	225,991.56	07/19/13	08/16/13	100	422,230.12	(196,238.56)
	301,727.70				478,722.62	(176,994.92)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 5XL-065557
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Sep-13						
GENESIS ENERGY LP	250,697.63	09/23/13	09/11/13	5000	237,550.00	13,147.63
OCCIDENTAL PETE CORP 9-21-13 PUT @ 90.00 EXPIRED	25,645.05	08/16/13	09/23/13	50	0.00	25,645.05
PROSHARES TR II ULTRA CRUDE OIL	388,784.21	09/20/13	09/23/13	10000	356,912.50	31,871.71
PROSHARES TRUST II CRUDE OIL 9-21-13 CALL @ 37.00 EXPIRED	37,490.32	09/20/13	09/23/13	200	0.00	37,490.32
TESLA MOTORS 9-21-13 CALL @ 180.00	30,194.96	09/20/13	08/08/13	100	48,040.69	(17,845.73)
TESLA MOTORS INC 9-21-13 CALL @ 130.00 EXPIRED	0.00	09/23/13	08/08/13	100	40,128.31	(40,128.31)
	732,812.17				682,631.50	50,180.67

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK #874529
FYE 9-30-2013

MONTH	Sales Price	Cost Basis	Gain (Loss)
SHORT TERM			
Oct-12	2,660,272.00	2,546,423.26	113,848.74
Nov-12	3,300,230.33	3,067,018.52	233,211.81
Dec-12	4,047,283.27	4,234,849.89	(187,566.62)
Jan-13	1,731,412.44	1,839,127.92	(107,715.48)
Feb-13	5,425,501.89	5,201,355.83	224,146.06
Mar-13	3,183,182.39	3,176,165.94	7,016.45
Apr-13	4,219,229.93	4,081,260.78	137,969.15
May-13	7,486,528.21	7,398,406.70	88,121.51
Jun-13	1,339,005.06	1,210,723.15	128,281.91
Jul-13	222,749.59	177,961.71	44,787.88
Aug-13	975,390.01	850,951.18	124,438.83
Sep-13	3,616,160.73	3,495,939.36	120,221.37
SHORT TERM GAIN(LOSS)	38,206,945.85	37,280,184.24	926,761.61
LONG TERM			
Dec-12	255,834.42	608,409.27	(352,574.85)
Jan-13	946,447.08	936,046.31	10,400.77
May-13	707,962.56	730,907.83	(22,945.27)
Aug-13	1,311,391.13	1,273,222.56	38,168.57
LONG TERM GAIN(LOSS)	3,221,635.19	3,548,585.97	(326,950.78)
SHORT TERM GAIN(LOSS)	926,761.61		
LONG TERM GAIN(LOSS)	(326,950.78)		
	599,810.83		

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
 EIN: 86-0710305
 DEUTSCHE BANK #874529
 FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Oct-12						
SHORT TERM						
AIR PRODUCTS AND CHEM 10-20-12 CALL @ 85.00 EXPIRED	12,196 49	09/21/12	10/22/12	119	0 00	12,196 49
CLIFFS NATURAL RES INC 10-20-12 CALL @ 45.00	50,881 34	08/09/12	10/19/12	173	1,004.16	49,857 18
DU PONT EI DE 10-20-12 CALL @ 47.00	5,399 87	07/20/12	10/17/12	18	5,023 30	376.57
DU PONT EI DE 10-20-12 CALL @ 50 00	27,270 62	08/10/12	08/10/12	10/19/12	274 45	28,996.17
EATON CORP 10-20-12 CALL @ 46.00	20,367 94	10/03/12	10/19/12	180	2,700.00	17,667 94
KIMBERLY CLARK CORP	734,068 55	10/19/12	VARIOUS S/T	8700	721,778.33	12,290.22
KLA TENCOR CORP 10-20-12 CALL @ 48 00 EXPIRED	4,319 90	09/20/12	10/22/12	32	0.00	4,319 90
KLA TENCOR CORP 10-20-12 CALL @ 49 00 EXPIRED	7,377 71	09/28/12	10/22/12	134	0.00	7,377 71
MCDONALDS CORP 10-20-12 CALL @ 92.50 EXPIRED	14,984 02	10/18/12	10/22/12	101	0 00	14,984.02
MICROSOFT CORP 10-20-12 CALL @ 30 00	15,724.10	10/05/12	10/19/12	293	293 00	15,431.10
NUCOR CORP	725,435 74	10/19/12	03/26/12	18200	788,617.83	(63,182.09)
OCCIDENTAL PETE CORP 10-20-12 CALL @ 87.50	30,150.01	09/21/12	10/19/12	132	396.00	29,754.01
PROCTER & GAMBLE CO 10-20-12 CALL @ 65.00	32,278 27	07/17/12	10/15/12	169	63,037.00	(30,758.73)
RAYTHEON CO 10-20-12 CALL @ 55 00	8,085 75	41,193 00	12/18/12	05/20/00	20,270 00	(12,184.25)
ROCKWELL AUTOMATION INC 10-20-12 CALL @ 70.00	41,849.06	09/20/12	10/18/12	135	34,748 42	7,100 64
ROYAL DUTCH SHELL 10-20-12 CALL @ 67.50	42,805 30	07/18/12	10/16/12	134	30,126 55	12,678 75
VERIZON 10-20-12 CALL @ 46.00	8,007.21	10/10/12	10/19/12	149	333.92	7,673 29
VERIZON COMMUNICATIONS	879,090.12	10/04/12	10/04/12	18800	877,820.30	1,269.82
	2,860,272.00				2,546,423.26	113,848 74

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 88-0710305
DEUTSCHE BANK #874529
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Nov-12						
SHORT TERM						
AFLAC INC	1,125,863.42	11/08/12	VARIOUS S/T	23800	1,088,002.88	37,860.54
AGILENT TECH 11-17-12 CALL @ 39.00 EXPIRED	4,955.88	09/21/12	11/19/12	28	0.00	4,955.88
AGILENT TECH 11-17-12 CALL @ 40.00	2,480.95	09/27/12	11/15/12	30	47.63	2,433.32
AGILENT TECH 11-17-12 CALL @ 40.00 EXPIRED	11,825.89	09/27/12	11/19/12	143	0.00	11,825.89
AIR PROD AND CHEM 11-17-12 ALL @ 80.00	9,519.78	10/22/12	11/16/12	119	1,428.00	8,091.78
AT&T INC 11-2-12 CALL @ 34.50	9,667.38	10/26/12	11/02/12	221	15,317.24	(5,649.86)
BRISTOL MYERS SQUIBB 11-17-12 CALL @ 33.00 EXPIRED	7,859.82	10/24/12	11/19/12	131	0.00	7,859.82
CA INC 11-17-12 CALL @ 23.00 EXPIRED	8,330.32	11/01/12	11/19/12	254	0.00	8,330.32
CISCO SYSTEMS INC 11-17-12 CALL @ 19.00 EXPIRED	40,319.59	09/20/12	11/19/12	499	0.00	40,319.59
CLIFFS NATURAL RES INC 11-17-12 CALL 45.00 EXPIRED	38,198.30	10/19/12	11/19/12	173	0.00	38,198.30
DU PONT EI DE 11-17-12 CALL @ 50.00 EXPIRED	9,910.22	10/19/12	11/19/12	146	0.00	9,910.22
EATON CORP	855,880.82	11/18/12	10/03/12	18000	843,259.48	12,621.34
FREEPORT MCMORAN 11-17-12 CALL @ 39.00	51,074.75	09/20/12	11/06/12	168	31,584.00	19,490.75
INTEL CORP 11-17-12 CALL @ 22.00 EXPIRED	5,039.88	10/17/12	11/19/12	168	0.00	5,039.88
KLA TENCOR CORP 11-17-12 CALL @ 48.00 EXPIRED	10,216.69	10/22/12	11/19/12	166	0.00	10,216.69
MCDONALDS CORP 11-17-12 CALL @ 87.50 EXPIRED	5,291.88	10/25/12	11/19/12	49	0.00	5,291.88
MCDONALDS CORP 11-17-12 CALL @ 90.00 EXPIRED	8,289.45	10/22/12	11/19/12	101	0.00	8,289.45
MERCK & CO 11-17-12 CALL @ 43.00	24,127.45	11/09/12	11/16/12	208	2,719.02	21,408.43
MICROSOFT CORP 11-17-12 CALL @ 29.00 EXPIRED	13,184.70	10/19/12	11/19/12	293	0.00	13,184.70
OCCIDENTAL PETE CORP 11-17-12 CALL @ 87.50 EXPIRED	18,197.80	10/19/12	11/19/12	132	0.00	18,197.80
QUALCOMM INC. 11-17-12 CALL @ 80.00	9,479.78	10/23/12	11/14/12	79	17,044.10	(7,564.32)
RAYTHEON CO 11-18-12 CALL @ 55.00	28,024.37	10/18/12	11/16/12	141	430.95	27,593.42
ROCKWELL AUTOMATION INC	997,059.49	11/07/12	VARIOUS S/T	13500	1,067,185.22	(70,125.73)
ROYAL DUTCH SHELL 11-17-12 CALL @ 70.00 EXPIRED	94.99	10/16/12	10/19/12	1	0.00	94.99
VERIZON 11-17-12 CALL @ 46.00 EXPIRED	7,336.75	10/19/12	11/19/12	149	0.00	7,336.75
	3,300,230.33				3,067,018.52	233,211.81

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK #874529
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Date of Purchase	#of Shares	Cost Basis	Gain (Loss)
Dec-12						
SHORT TERM						
AGILENT TECH 12-22-12 CALL @ 39.00	12,739.21	11/19/12	12/21/12	171	29,265.28	(16,526.05)
AIR PRODUCTS AND CHEMICAL INC.	821,894.06	12/21/12	03/28/12	7600	689,930.07	(88,036.01)
AIR PRODUCTS AND CHEMICAL INC	351,881.12	12/21/12	04/24/12	4300	365,446.78	(13,585.66)
AT&T 12-22-12 CALL @ 34.00 EXPIRED	6,485.85	41,234.00	12/24/12	138	0.00	6,485.85
AT&T INC 12-22-12 CALL @ 35.00 EXPIRED	20,399.78	11/02/12	12/24/12	221	0.00	20,399.78
BRISTOL MYERS SQUIBB 11-30-12 CALL @ 32.50	919.97	11/26/12	11/30/12	40	840.00	279.97
BRISTOL MYERS SQUIBB 12-14-12 CALL @ 32.50	1039.97	12/7/2012	12/14/2012	40	778.75	261.22
BRISTOL MYERS SQUIBB 12-22-12 CALL @ 32.50	1,449.33	12/14/12	12/21/12	40	200.00	1,249.33
BRISTOL MYERS SQUIBB 12-22-12 CALL @ 33.00 EXPIRED	3,519.91	ARIOUS S/T	12/24/12	140	0.00	3,519.91
BRISTOL MYERS SQUIBB 12-22-12 CALL @ 34.00 EXPIRED	15,121.10	09/21/12	12/24/12	233	0.00	15,121.10
BRISTOL MYERS SQUIBB 12-28-12 CALL @ 32.50 EXPIRED	1,119.97	12/21/12	12/31/12	40	0.00	1,119.97
BRISTOL MYERS SQUIBB 12-7-12 CALL @ 32.50	1,319.97	11/30/12	12/07/12	40	160.00	1,159.97
CLIFFS NAT RESOURCES	15,599.50	12/14/12	01/31/12	500	35,599.43	(19,999.93)
CLIFFS NAT RESOURCES	268,313.98	12/14/12	05/08/12	8600	483,642.72	(215,328.74)
CLIFFS NATURAL RES INC 11-30-12 CALL @ 32.00 EXPIRED	10,122.45	11/21/12	12/03/12	173	0.00	10,122.45
CLIFFS NATURAL RES INC 12-7-12 CALL @ 30.00 EXPIRED	5,231.36	VARIOUS S/	12/10/12	173	0.00	5,231.36
DU PONT EI DE 12-22-12 CALL @ 43.00	730.29	10/24/12	12/20/12	6	1,231.16	(500.87)
DU PONT EI DE 12-22-12 CALL @ 45.00	14,429.67	10/24/12	12/21/12	111	333.00	14,096.67
EMERSON ELECTRIC CO	130,293.95	12/18/12	09/13/12	2500	123,378.41	6,915.54
EMERSON ELECTRIC CO	880,787.12	12/21/12	09/13/12	16900	834,038.07	46,749.05
FREEPORT MCMORAN 12-22-12 CALL @ 40.00 EXPIRED	35,783.19	11/06/12	12/24/12	168	0.00	35,783.19
KINDERMORGAN INC 12-22-12 CALL @ 35.00	6,328.68	10/15/12	12/20/12	84	3,555.94	2,772.74
KINDERMORGAN INC 12-22-12 CALL @ 35.00	14,013.50	10/15/12	12/21/12	186	6,097.38	7,916.12
KLA TENCOR CORP 12-22-12 CALL @ 44.00	18,549.58	11/27/12	12/21/12	106	45,524.33	(26,974.75)
MCDONALDS CORP 12-22-12 CALL @ 87.50	3,299.92	11/19/12	12/14/12	150	24,479.84	(21,179.92)
MERCK & CO 1-19-13 CALL @ 43.00	23,310.49	11/16/12	12/12/12	208	44,098.00	(20,785.51)
PAYCHEX INC	773,221.57	12/17/12	11/27/12	23700	761,451.88	11,769.69
RAYTHEON CO	790,718.22	12/21/12	10/11/12	14100	777,880.52	12,837.70
ROYAL DUTCH SHELL	6,799.83	12/21/12	02/08/12	100	6,985.55	(185.72)
VERIZON 12-22-12 CALL @ 44.00	11,879.73	11/07/12	12/21/12	135	135.00	11,744.73
	4,047,283.27				4,234,849.89	(187,566.62)
LONG TERM						
CLIFFS NAT RESOURCES	227,755.32	12/14/12	08/26/11	7300	545,331.02	(317,575.70)
CLIFFS NAT RESOURCES	28,079.10	12/14/12	11/03/11	900	63,078.25	(34,999.15)
	255,834.42				608,409.27	(352,574.85)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK #874529
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Jan-13						
SHORT TERM						
AGILENT TECHNOLOGIES INC	119,474.94	01/18/13	03/09/12	3000	134,891.35	(15,416.41)
AT&T INC 218-13 CALL @ 34.00	7,882.28	12/24/12	01/07/13	138	17,388.00	(9,505.72)
BRISTOL MYERS SQUIBB 1-19-13 CALL @ 33.00	2,779.93	VARIOUS S/T	01/17/13	180	25,233.26	(22,453.33)
BRISTOL MYERS SQUIBB CO	10,281.31	01/28/13	08/02/12	300	9,773.30	508.01
DU PONT EI DE 1-19-13 CALL @ 45.00	8,464.59	12/21/12	01/17/13	111	15,250.97	(6,786.38)
DU PONT EI DE 1-19-13 CALL @ 48.00 EXPIRED	4,933.18	10/17/12	01/22/13	18	0.00	4,933.18
FREEPORT-MCMORAN 1-19-13 CALL @ 35.00 EXPIRED	5,620.26	12/28/12	01/22/13	168	0.00	5,620.26
INTEL CORP 1-19-13 CALL @ 21.00	17,384.89	11/30/12	01/17/13	651	98,952.00	(81,567.11)
KINDER MORGAN INC 1-19-13 CALL @ 37.50	3,959.91	10/22/12	01/17/13	110	726.74	3,233.17
KLA TENCOR CORP 1-19-13 CALL @ 47.00	16,072.40	11/26/12	01/17/13	166	76,579.82	(60,507.42)
LYONDELLBASELL INDUSTRIES	645,735.52	01/18/13	11/29/12	12600	614,742.16	30,993.36
MERCK & CO 1-19-13 CALL @ 44.00 EXPIRED	26,415.40	12/12/12	01/22/13	208	0.00	26,415.40
MICROSOFT CORP 1-19-13 CALL @ 28.00 EXPIRED	15,913.22	VARIOUS S/T	01/22/13	467	0.00	15,913.22
NUCOR CORP	791,686.18	01/18/13	12/28/12	17900	783,564.05	8,122.13
OCCIDENTAL PETE CORP 1-19-13 CALL @ 80.00	4,694.47	11/15/12	01/17/13	37	10,730.00	(6,035.53)
PROCTER & GAMBLE CO 1-19-13 CALL @ 67.50	35,151.21	10/15/12	01/15/13	169	40,053.00	(4,901.79)
RAYTHEON CO 1-19-13 CALL @ 57.50	10,790.85	12/27/12	01/17/13	135	11,243.27	(452.42)
VERIZON 1-19-13 CALL @ 45.00 EXPIRED	4,171.90	11/19/12	01/22/13	149	0.00	4,171.90
	1,731,412.44				1,839,127.92	(107,715.48)
LONG TERM						
ROYAL DUTCH SHELL	946,447.08	01/18/13	02/08/11	13400	936,046.31	10,400.77

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK #874529
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Feb-13						
SHORT TERM						
AGILENT TECHNOLOGIES INC	720,308.25	02/15/13	VARIOUS S/T	17100	750,623.62	(30,317.37)
AT&T INC 2-16-13 CALL @ 35.00	5,688.92	01/30/12	02/15/13	221	7,181.35	(1,492.43)
BRISTOL MYERS SQUIBB CO	621,379.34	02/15/13	08/02/12	18000	586,397.95	34,981.39
BRISTOL MYERS SQUIBB CO	788,233.95	02/15/13	VARIOUS S/T	23000	755,793.56	32,440.39
CA INC 2-16-13 CALL @ 25.00	15,184.27	01/22/13	02/15/13	472	5,343.89	9,840.38
CISCO SYSTEMS INC	974,540.13	02/15/13	09/20/12	49900	950,791.71	23,748.42
DU PONT EI DE 2-16-13 CALL @ 48.00 EXPIRED	4,299.89	VARIOUS S/T	02/19/13	184	0.00	4,299.89
FREEPORT MCMORAN 2-16-13 CALL @ 35.00	6,398.93	01/28/13	02/14/13	113	6,687.00	(268.07)
FREEPORT MCMORAN 2-16-13 CALL @ 36.00	6,922.03	01/23/12	02/14/13	188	840.00	6,082.03
KIMBERLY CLARK CORP	812,611.79	02/15/13	01/07/13	9400	797,120.00	15,491.79
KLA TENCOR CORP	527,484.59	02/13/13	11/27/12	10800	477,396.95	50,087.64
KLA TENCOR CORP	187,078.63	02/13/13	09/20/12	3200	153,023.42	14,055.21
KLA TENCOR CORP	699,641.76	02/13/13	VARIOUS S/T	13400	668,337.35	31,304.41
LOCKHEED MARTIN 2-16-13 CALL @ 85.00	18,674.58	02/01/13	02/14/13	83	17,098.00	1,576.58
MICROSOFT 2-16-13 CALL @ 29.00 EXPIRED	11,674.73	01/24/13	02/19/13	467	0.00	11,674.73
OCCIDENTAL PETE CORP 2-16-13 CALL @ 85.00	12,515.87	01/17/13	02/15/13	132	17,379.81	(4,863.94)
RAYTHEON CO 2-16-13 CALL @ 57.50 EXPIRED	20,426.81	01/17/13	02/19/13	135	0.00	20,426.81
VERIZON 2-16-13 CALL @ 43.00	1,099.68	01/14/13	02/14/13	15	1,961.22	(861.54)
VERIZON 2-16-13 CALL @ 44.00	11,339.74	12/21/12	02/15/13	135	5,400.00	5,939.74
	5,425,501.89				5,201,355.83	224,146.06

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC

EIN: 86-0710305

DEUTSCHE BANK #874529

FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Mar-13						
SHORT TERM						
FREEPORT MCMORAN 3-16-13 CALL @ 33.00	4,526.87	02/21/13	03/15/13	67	5,226.00	(699.13)
FREEPORT MCMORAN 3-16-13 CALL @ 36.00 EXPIRED	13,103.70	02/14/13	03/18/13	168	0.00	13,103.70
FREEPORT-MCMORAN 3-16-13 CALL @ 35.00 EXPIRED	14,689.67	02/14/13	03/18/13	113	0.00	14,689.67
INTEL CORP 3-16-13 CALL @ 23.00 EXPIRED	31,898.28	01/17/13	03/18/13	651	0.00	31,898.28
KINDER MORGAN INC 3-16-13 CALL @ 37.50 EXPIRED	10,266.52	12/20/12	03/18/13	270	0.00	10,266.52
MCDONALDS CORP	1,379,936.08	2/26/2013	VARIOUS S/T	15000	1,368,657.19	11,278.89
MERCK & CO 3-16-13 CALL @ 43.00	5,034.88	03/08/13	03/12/13	95	19,855.00	(14,820.12)
MERCK & CO 3-16-13 CALL @ 44.00	8,319.81	03/12/13	03/12/13	208	19,552.00	(11,232.19)
MICROSOFT CORP 3-16-13 CALL @ 28.00	11,012.59	02/26/13	03/15/13	467	2,908.38	8,104.21
PAYCHEX INC 3-16-13 CALL @ 33.00	10,934.75	02/27/13	03/12/13	243	20,855.00	(9,720.25)
QUALCOMM INC 3-16-13 CALL @ 87.50 EXPIRED	3,644.91	03/05/13	03/18/13	45	0.00	3,644.91
RAYTHEON CO	119,369.32	03/13/13	01/02/13	2200	126,329.29	(6,959.97)
RAYTHEON CO	482,903.18	03/15/13	01/02/13	8900	511,056.42	(28,153.24)
ROYAL DUTCH SHELL 3-16-13 CALL @ 67.50 EXPIRED	7,396.77	02/08/13	03/18/13	97	0.00	7,396.77
TEVA PHARMA INDUSTRIES LTD	1,076,849.01	03/15/13	02/22/13	28000	1,066,923.12	9,925.89
VERIZON 3-16-13 CALL @ 46.00	3,298.05	02/04/13	03/12/13	149	35,003.54	(31,707.49)
	3,183,182.39				3,176,165.94	7,016.45

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK #874529
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Apr-13						
SHORT TERM						
AGILENT TECH 4-20-13 CALL @ 41.00	25,801.42	03/21/13	04/17/13	184	23,489.27	2,312.15
APPLE INC 4-26-13 CALL @ 400.00	28,801.33	04/23/13	04/26/13	164	20,588.88	8,012.47
AT&T INC 4-20-13 CALL @ 38.00	9,441.36	02/15/13	03/28/13	221	15,212.36	(5,771.00)
AT&T INC.	538,119.74	04/05/13	10/26/12	14600	506,395.91	31,723.83
AT&T INC	272,018.90	04/05/13	10/26/12	7500	259,134.88	12,884.02
AT&T INC	174,092.09	04/05/13	11/21/12	4800	161,806.07	12,286.02
DU PONT EI DE 4-20-13 CALL @ 48.00	18,025.56	01/17/13	04/19/13	111	32,301.00	(14,275.44)
DU PONT EI DE 4-20-13 CALL @ 48.00	8,839.77	02/20/13	04/16/13	164	24,926.16	(15,086.39)
DU PONT EI DE NEMOURS & CO	28,128.52	04/19/13	05/10/12	600	31,324.62	(3,196.10)
FREEPORT MCMORAN 4-20-13 CALL @ 33.00 EXPIRED	9,446.78	03/15/13	04/22/13	87	0.00	9,446.78
FREEPORT MCMORAN 4-20-13 CALL @ 35.00 EXPIRED	4,406.90	03/18/13	04/22/13	113	0.00	4,406.90
KINDER MORGAN INC 5-18-13 CALL @ 37.50	11,044.61	03/19/13	04/19/13	270	33,596.37	(22,551.76)
KLA TENCOR CORP 4-20-13 CALL @ 50.00	34,352.71	03/21/13	04/16/13	158	48,980.00	(14,627.29)
LOCKHEED MARTIN CORP	558,797.47	04/19/13	02/01/13	6300	544,698.00	14,099.47
NUCOR CORP 4-20-13 CALL @ 44.00 EXPIRED	23,951.24	04/09/13	04/22/13	185	0.00	23,951.24
PAYCHEX INC	831,053.62	04/19/13	02/27/13	24300	805,001.80	26,051.82
PROCTER AND GAMBLE CO	1,189,776.13	04/19/13	04/27/12	16900	1,094,856.97	94,919.16
QUALCOMM INC 4-20-13 CALL @ 62.50	31,040.11	11/14/12	04/16/13	79	25,857.64	5,182.47
RAYTHEON CO 4-20-13 CALL @ 57.50	2,862.34	02/20/13	03/28/13	135	16,003.06	(13,140.72)
ROYAL DUTCH SHELL 4-20-13 CALL @ 65.00 EXPIRED	7,574.83	03/27/13	04/22/13	101	0.00	7,574.83
VERIZON 4-20-13 CALL @ 45.00	8,504.80	02/15/13	03/28/13	135	53,677.89	(45,173.09)
VERIZON 5-18-13 CALL @ 44.00	1,860.97	02/14/13	03/28/13	15	7,635.00	(5,774.03)
VERIZON COMMUNICATIONS	399,488.73	04/05/13	10/10/12	8200	375,774.92	23,713.81
	4,219,229.93				4,081,260.78	137,969.15

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
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FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
May-13						
SHORT TERM						
AFLAC INC	677,144.82	05/17/13	02/12/13	13200	654,712.75	22,432.07
AFLAC INC 5-18-13 CALL @ 50.00	264.82	04/12/13	05/16/13	2	944.00	(679.38)
AGILENT TECHNOLOGIES INC	836,136.53	05/17/13	03/21/13	19400	810,812.76	25,323.77
APPLE INC	682,611.74	05/08/13	04/23/13	1640	665,828.38	16,783.36
AT&T INC 5-18-13 CALL @ 38.00	6,437.42	03/28/13	05/14/13	75	8,025.00	(1,587.58)
AT&T INC 5-18-13 CALL @ 38.00 EXPIRED	17,827.20	04/19/13	05/20/13	211	0.00	17,827.20
CA INC 5-18-13 CALL @ 25.00	43,102.92	02/15/13	05/17/13	472	117,051.75	(73,948.83)
CISCO SYSTEMS INC	591,836.87	05/17/13	04/22/13	27700	565,356.61	26,480.26
CISCO SYSTEMS INC 5-18-13 CALL @ 20.00	23,864.55	05/07/13	05/14/13	305	45,180.57	(21,328.02)
DU PONT EI DE NEMOURS & CO	549,548.69	05/10/13	10/24/12	11100	498,834.00	50,714.69
DU PONT EI DE NEMOURS & CO	121,365.01	05/10/13	VARIOUS S/T	2400	109,956.52	11,408.49
EMERSON ELECTRIC CO	592,312.88	05/14/13	04/17/13	10900	582,422.41	9,890.27
FREEPORT MCMORAN 5-18-13 CALL @ 36.00 EXPIRED	6,216.93	03/19/13	05/20/13	168	0.00	6,216.93
KLA TENCOR CORP 5-18-13 CALL @ 50.00	60,038.65	04/16/13	05/08/13	158	67,940.00	(7,901.35)
LOCKHEED MARTIN CORP	180,215.96	05/21/13	02/01/13	2000	172,920.71	7,295.25
LOCKHEED MARTIN CORP	45,053.99	05/21/13	02/28/13	500	43,918.86	1,135.13
MERCK & CO 5-18-13 CALL @ 44.00	43,351.86	03/12/13	05/15/13	303	90,900.00	(47,548.14)
MICROSOFT CORP	174,992.91	05/10/13	10/05/12	6000	179,965.78	(4,972.87)
MICROSOFT CORP	1,194,793.78	05/13/13	VARIOUS S/T	40700	1,164,834.28	29,959.50
NUCOR CORP 5-18-13 CALL @ 43.00	10,174.77	04/22/13	05/15/13	185	39,913.57	(29,738.80)
OCCIDENTAL PETE CORP 5-18-13 CALL @ 82.50	15,058.66	01/17/13	05/14/13	37	31,228.00	(16,169.34)
OCCIDENTAL PETE CORP 5-18-13 CALL @ 87.50	38,817.61	02/15/13	05/16/13	132	49,565.70	(12,748.09)
QUALCOMM INC 5-18-13 CALL @ 67.50 EXPIRED	5,219.88	03/18/13	05/20/13	45	0.00	5,219.88
RAYTHEON CO	798,212.46	05/17/13	VARIOUS S/T	13500	730,372.51	67,839.95
RAYTHEON CO	397,971.07	05/17/13	04/24/13	6600	384,751.49	13,219.58
ROYAL DUTCH SHELL 7-20-13 CALL @ 67.50	10,299.99	VARIOUS S/T	05/14/13	101	23,517.66	(13,217.67)
TEVA PHARMACEUTICAL 5-18-13 CALL @ 37.50	39,245.12	04/08/13	05/15/13	211	52,407.78	(13,162.66)
VERIZON COMMUNICATIONS	19,487.25	05/15/13	10/10/12	400	18,330.48	1,156.77
VERIZON COMMUNICATIONS	306,924.27	05/17/13	10/10/12	6300	288,705.13	18,219.14
	7,488,528.21				7,398,406.70	88,121.51
LONG TERM						
DU PONT EI DE NEMOURS & CO	707,862.56	05/10/13	05/10/12	14000	730,907.83	(22,945.27)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK #874529
FYE 9-30-2013

Memo	Sales	Date of		#of	Cost	Gain
	Price	Sale	Purchase			(Loss)
Jun-13						
SHORT TERM						
CISCO SYSTEMS INC	659,753.83	08/21/13	05/07/13	30500	623,420.00	36,333.83
EMC CORP 8-22-13 CALL @ 28.00 EXPIRED	5,679.90	05/30/13	06/24/13	284	0.00	5,679.90
FREEPORT MCMORAN 8-22-13 CALL @ 32.00 EXPIRED	5,024.88	05/03/13	06/24/13	87	0.00	5,024.88
FREEPORT MCMORN 8-22-13 CALL @ 34.00 EXPIRED	4,180.90	05/10/13	06/24/13	113	0.00	4,180.90
HOLLYFRONTIER CORP 8-22-13 CALL @ 49.50 EXPIRED	30,002.03	05/30/13	06/24/13	148	0.00	30,002.03
INTEL CORP 8-22-13 CALL @ 25.00	13,019.70	04/24/13	06/22/13	651	651.00	12,368.70
KIMBERLY CLARK CO 8-22-13 CALL @ 95.00	246.02	06/04/13	06/19/13	1	380.03	(134.01)
KIMBERLY CLARK CORP 8-22-13 CALL @ 97.50	8,499.85	06/22/13	06/21/13	68	136.00	8,363.85
KIMBERLY CLARK CORP 6-7-13 CALL @ 97.50	8,499.85	05/31/13	06/07/13	68	340.00	8,159.85
KINDER MORGAN INC 8-22-13 CALL @ 40.00 EXPIRED	4,796.63	01/17/13	06/24/13	110	0.00	4,796.63
LOCKHEED MARTIN CORP	522,628.82	05/29/13	02/26/13	5800	509,458.79	13,170.03
NETAPP INC 8-22-13 CALL @ 39.00	12,783.78	05/22/13	06/21/13	177	574.42	12,209.36
PROCTER & GAMBLE CO 8-14-13 CALL @ 78.00	5,761.89	06/10/13	06/14/13	86	932.94	4,828.95
PROCTER & GAMBLE CO 8-22-13 CALL @ 77.50	8,586.79	06/14/13	06/21/13	86	344.00	8,242.79
SHIRE PLC 8-22-13 CALL @ 90.00	12,919.71	05/03/13	06/21/13	76	33,823.07	(20,903.36)
VERIZON 7-20-13 CALL @ 47.00	36,620.48	03/28/13	06/20/13	150	40,862.90	(4,042.42)
	1,339,005.06				1,210,723.15	128,281.91

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710306
DEUTSCHE BANK 6XL-876681
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Nov-12						
SHORT TERM						
AGILENT TECH 11-17-12 CALL @ 40.00	4,134.92	09/27/12	11/15/12	50	79 38	4,055.54
CA INC 11-17-12 CALL @ 23.00 EXPIRED	2,999.93	10/31/12	11/19/12	100	0.00	2,999.93
CLIFFS NAT RES INC 11-17-12 CALL @ 45 00 EXPIRED	3,311.99	10/19/12	11/19/12	15	0 00	3,311 99
FREEPORT-MCMORAN COPPER & GOLD INC 11-17-12 CALL @ 39.00	15,200 81	09/20/12	11/06/12	50	9,308.00	5,892.81
LOCKHEED MARTIN CORP 11-17-12 CALL @ 90.00 EXPIRED	2,559.94	11/09/12	11/19/12	20	0.00	2,559 94
MARATHON PETE CORP	217,195 12	11/16/12	11/01/12	4000	213,391 60	3,803 52
MCDONALDS CORP 11-17-12 CALL @ 80.00 EXPIRED	2,024 95	10/22/12	11/19/12	25	0.00	2,024 95
NETAPP INC 11-17-12 CALL @ 29 00	11,399 74	10/18/12	11/16/12	50	5,449.00	5,950.74
OCCIDENTAL PETE CORP 11-17-12 CALL @ 87 50 EXPIRED	3,067.77	10/19/12	11/19/12	25	0.00	3,067.77
QUALCOMM INC	238,754.84	11/16/12	10/23/12	4000	232,015.20	6,739.44
ROCKWELL AUTOMATION INC.	295,425.02	11/07/12	08/01/12	4000	275,998 00	19,427.02
TESSERA TECH 11-17-12 CALL @ 14.00	8,499.80	ARIOUS S/T	11/16/12	100	1,500 00	6,999 80
UNITED PARCEL SVC INC 11-17-12 CALL @ 72 50 EXPIRED	2,999.93	10/22/12	11/19/12	30	0.00	2,999.93
	807,574.56				737,741 18	69,833 38

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710306
DEUTSCHE BANK 6XL-876581
FYE 9-30-2013

Memo	Sales Price	Date of Sale Purchase		#of Shares	Cost Basis	Gain (Loss)
Dec-12						
SHORT TERM						
CLIFFS NAT RES INC 11-30-12 CALL @ 32.00 EXPIRED	877.87	11/21/12	12/03/12	15	0.00	877.87
CLIFFS NAT RES INC 12-7-12 CALL @ 30.00 EXPIRED	1,139.97	12/04/12	12/10/12	30	0.00	1,139.97
CLIFFS NATURAL RES INC	47,158.94	12/14/12	05/08/12	1500	85,190.10	(38,031.16)
CLIFFS NATURAL RES INC	47,158.94	12/14/12	12/19/11	1500	90,742.20	(43,583.26)
FREEPORT MCMORAN COPPER & GOLD INC 12/22/12 CALL @ 40.00 EXPIRED	10,557.76	11/06/12	12/24/12	50	0.00	10,557.76
MCDONALDS CORP 12-22-12 CALL @ 87.50	549.98	11/19/12	12/14/12	25	4,079.97	(3,529.99)
NETAPP INC	155,045.52	12/21/12	05/10/12	5000	180,042.00	(24,996.48)
NETAPP INC 12-22-12 CALL @ 35.00 EXPIRED	15,499.65	09/21/12	12/24/12	50	0.00	15,499.65
TESSERA TECHNOLOGIES INC	145,996.72	12/21/12	03/23/12	10000	167,929.00	(21,932.28)
UNITED PARCEL SVC	219,715.07	12/21/12	10/22/12	3000	214,203.30	5,511.77
	643,700.22				742,186.57	(98,486.35)
WASH SALE						
INTEL CORP	118,197.34	12/21/12	09/18/12	6000	138,756.00	(20,558.66)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 5XL-876581
FYE 9-30-2013

Memo	Sales Price	Date of		#of	Cost	Gain
Jan-13		Sale	Purchase	Shares	Basis	(Loss)
SHORT TERM						
AGILENT TECHNOLOGIES INC.	199,124.91	01/18/13	04/20/12	5000	212,154.50	(13,029.59)
FREEPORT MCMORAN COPPER & GOLD INC 1-19-	1,672.69	12/28/12	01/22/13	50	0.00	1,672.69
INTEL CORP 1-19-13 CALL @ 24.00 EXPIRED	2,519.94	09/13/12	01/22/13	30	0.00	2,519.94
LOCKHEED MARTIN CORP	184,195.86	01/18/13	11/09/12	2000	180,972.80	3,223.06
NETAPP INC	171,396.15	01/04/13	10/15/12	5000	145,073.00	26,323.15
	558,909.55				538,200.30	20,709.25

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 8XL-876581
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Feb-13						
SHORT TERM						
FREEPORT MCMORAN COPPER & GOLD INC 2-18-13 CALL @ 35.00	2,849.93	01/29/13	02/14/13	50	2,950.00	(100.07)
FREEPORT MCMORAN COPPER & GOLD INC 2-18-13 CALL @ 36.00	2,080.13	01/23/13	02/14/13	50	250.00	1,810.13
MICROSOFT CORP	274,320.85	02/15/13	01/10/13	10000	283,900.00	10,420.85
OCCIDENTAL PETE CORP 2-18-13 CALL @ 85.00	3,750.38	VARIOUS S/T	02/15/13	40	5,286.61	(1,516.23)
	282,981.29				272,368.61	10,614.68

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 88-0710305
DEUTSCHE BANK 5XL-876581
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Mar-13						
SHORT TERM						
CA INC	254,994.28	03/15/13	04/17/12	10000	270,600.00	(15,605.72)
EMC CORP	248,084.48	03/15/13	02/14/13	10000	240,898.00	5,198.48
FREEPORT MCMORAN COPPER & GOLD INC 3-1-13 CALL @ 32.50 EXPIRED	3,013.93	02/21/13	03/04/13	50	0.00	3,013.93
FREEPORT MCMORAN COPPER & GOLD INC 3-16-13 CALL @ 33.00	1,899.95	03/08/13	03/15/13	50	3,900.00	(2,000.05)
FREEPORT MCMORAN COPPER & GOLD INC 3-16-13 CALL @ 35.00 EXPIRED	6,489.85	02/14/13	03/18/13	50	0.00	6,489.85
FREEPORT MCMORAN COPPER & GOLD INC 3-16-13 CALL @ 38.00 EXPIRED	3,899.91	02/14/13	03/18/13	50	0.00	3,899.91
MCDONALDS CORP	229,989.34	02/28/13	10/15/12	2500	233,443.75	(3,454.41)
	748,391.74				748,839.75	(2,448.01)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 6XL-878581
FYE 8-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Apr-13						
SHORT TERM						
EMC CORP 4-20-13 CALL @ 24.00 EXPIRED	6,799.84	03/22/13	04/22/13	100	0.00	6,799.84
FREEPORT MCMORAN COPPER & GOLD INC 4-20-13 CALL @ 33.00 EXPIRED	7,049.84	03/15/13	04/22/13	50	0.00	7,049.84
FREEPORT MCMORAN COPPER & GOLD INC 4-20-13 CALL @ 35.00 EXPIRED	1,949.95	03/18/13	04/22/13	50	0.00	1,949.95
LYONDELLBASELL IND 5-18-13 CALL @ 65.00	2,099.95	04/25/13	04/26/13	20	500.00	1,599.95
OCCIDENTAL PETE CORP 4-20-13 CALL @ 77.50	5,839.88	03/26/13	04/17/13	40	10,760.00	(4,920.14)
QUALCOMM INC 4-26-13 CALL @ 62.50	9,708.78	04/19/13	04/26/13	40	80.00	9,628.78
	33,448.22				11,340.00	22,108.22

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 88-0710308
DEUTSCHE BANK 8XL-876681
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
May-13						
SHORT TERM						
FREEPORT MCMORAN COPPER & GOLD INC 5-18-13 CALL @ 38.00 EXPIRED	1,850.27	03/19/13	05/20/13	50	0.00	1,850.27
INTEL CORP 5-18-13 CALL @ 24 00	1,199.97	04/25/13	05/16/13	60	1,868.00	(668 03)
LYONDELLBASELL INDUSTRIES	69,848 43	05/15/13	04/25/13	1100	68,382.14	1,466.29
LYONDELLBASELL INDUSTRIES	179,945.96	05/17/13	04/25/13	2900	180,227.46	(281 50)
OCCIDENTAL PETE CORP 5-18-13 CALL @ 87.50	11,156.85	02/15/13	05/16/13	40	15,019.91	(3,863 06)
OCCIDENTAL PETROLEUM CORP	326,392.68	05/17/13	VARIOUS S/T	4000	345,668.20	(19,275.52)
QUALCOMM INC	251,594.36	05/03/13	04/19/13	4000	255,972.40	(4,378.04)
SHIRE PLC	279,593 73	05/17/13	04/23/13	3000	275,839 50	3,754.23
MERCK & CO INC 5-24-13 CALL @ 45 50	1,699.96	05/20/13	05/24/13	50	6,900 00	(5,200.04)
	1,123,282.21				1,149,857.61	(26,575 40)

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710306
DEUTSCHE BANK 6XL-876581
FYE 9-30-2013

Memo	Sales Price	Date of		#of Shares	Cost Basis	Gain (Loss)
		Sale	Purchase			
Jun-13						
SHORT TERM						
FREEPORT MCMORAN COPPER & GOLD 6-22-13 CALL @ 34 00	1,849 95	05/10/13	06/24/13	50	0 00	1,849 95
FREEPORT MCMORAN COPPER & GOLD INC 6-22-13 CALL @ 32 00	3,749 91	05/03/13	06/24/13	50	0.00	3,749.91
HOLLYFRONTIER CORP 6-22-13 CALL @ 44 50	2,499.95	06/18/13	06/21/13	50	250.00	2,249 95
INTEL CORP 6-22-13 CALL @ 24.00	4,207 90	05/16/13	06/21/13	60	900 00	3,307 90
KIMBERLY CLARK CORP 6-22-13 CALL @ 97.50	2,827 95	06/12/13	06/21/13	20	40.00	2,787.95
KIMBERLY CLARK CORP 6-7-13 CALL @ 97 50 EXPIRED	1,059 98	06/04/13	06/12/13	20	0.00	1,059.98
MERCK & CO INC 6-22-13 CALL @ 48 00	7,399 87	05/24/13	06/12/13	50	9,800.00	(2,400 13)
	23,595.51				10,990.00	12,805.51

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 6XL-876681
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Jul-13						
SHORT TERM						
HOLLYFRONTIER CORP 7-20-13 CALL @ 44 50 EXPIRED	2,999 94	06/21/13	07/22/13	50	0.00	2,999.94
INTEL CORP 7-20-13 CALL @ 25 00 EXPIRED	2,158 57	06/21/13	07/22/13	60	0 00	2,158 57
KIMBERLY CLARK CORP 7-20-13 CALL @ 97 50	2,939 94	06/21/13	07/18/13	20	3,160.00	(220 06)
	8,098 45				3,160.00	4,938 45

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
DEUTSCHE BANK 8XL-876581
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Aug-13						
SHORT TERM						
BROADCOM 8-17-13 CALL @ 27.00	1,189 97	08/06/13	08/16/13	30	30.00	1,139.97
HOLLYFRONTIER CORP 8-17-13 CALL @ 44.00	7,999.86	07/23/13	08/16/13	50	1,840 42	6,159 44
KIMBERLY CLARK CORP 8-17-13 CALL @ 97 50	5,759 89	07/18/13	08/16/13	20	60 00	5,699 89
MERCK & CO INC. 8-17-13 CALL @ 47 00	9,599.83	08/12/13	08/16/13	50	3,082 57	6,517 26
MOSAIC CO 8-17-13 CALL @ 42.50	3,583.93	08/09/13	08/16/13	33	1,617 00	1,966 93
MOSAIC CO 8-23-13 CALL @ 42 50	3,596.93	08/16/13	08/23/13	33	66 00	3,530 93
MOSAIC CO 8-9-13 CALL @ 41.50	3,781 93	08/01/13	08/09/13	33	3,729.00	32.93
POTASH CORP OF SASKATCHEWAN INC 8-17-13 CALL @ 30 00	3,344 52	08/09/13	08/16/13	46	1,649.77	1,694.75
POTASH CORP OF SASKATCHEWAN INC 8-23-13 CALL @ 30 00	3,318 17	08/16/13	08/23/13	46	276.00	3,042 17
POTASH CORP OF SASKATCHEWAN INC 8-9-13 CALL @ 29 50	3,541 93	08/01/13	08/09/13	46	2,707 77	834 16
	45,656 96				15,058 53	30,598 43

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 88-0710305
DEUTSCHE BANK 5XL-876581
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Date of Purchase	#of Shares	Cost Basis	Gain (Loss)
Sep-13						
SHORT TERM						
BROADCOM 9-21-13 CALL @ 27 00	1,259.97	08/18/13	09/19/13	30	1,428.36	(168.39)
BROADCOM 9-21-13 CALL @ 28.00	1,499.97	08/06/13	09/23/13	30	0.00	1,499.97
BROADCOM CORP	76,738.65	09/20/13	08/06/13	3000	90,334.30	(13,595.65)
EMC CORP 9-21-13 CALL @ 27 00 EXPIRED	8,399.85	07/24/13	09/23/13	100	0.00	8,399.85
HOLLYFRONTIER CORP 9-21-13 CALL @ 44.00 EXPIRED	9,096.92	08/16/13	09/23/13	50	0.00	9,096.92
MOSAIC CO	143,844.48	09/20/13	08/01/13	3300	136,112.82	7,731.66
MOSAIC CO 8-30-13 CALL 42 50	1,121.98	08/23/13	08/30/13	33	66.00	1,055.98
MOSAIC CO 9-6-13 CALL @ 42 50	1,451.97	08/30/13	09/06/13	33	297.00	1,154.97
POTASH CORP OF SASKATCHEWAN INC 9-6-13 CALL @ 30.00	1,885.96	08/30/13	09/06/13	46	1,604.25	281.71
POTASH CORP OF SASKATCHEWAN INC	142,131.76	09/20/13	08/01/13	4600	134,936.29	7,195.47
POTASH CORP OF SASKATCHEWAN INC 8-30-13 CALL @ 30 00	1,931.96	08/23/13	08/30/13	46	184.00	1,747.96
	389,363.47				364,963.02	24,400.45

GLENN FOUNDATION
86-0710305
SCHEDULE D ATTACHMENT
FYE 9/30/13
MORGAN STANLEY 814-890187-061

	Memo	Sales	Date of		#of	Cost	Gain
	Jul-13	Price	Sale	Purchase	Shares	Basis	(Loss)
	SHORT TERM						
PENNY JC		26,181.88	07/02/13	05/22/13	1580	30,171.05	(3,989.17)
	Aug-13						
	SHORT TERM						
AMAZON		403.99	06/20/13	08/19/13	1	0.00	403.99
GILEAD SCIENCE 8-17-13 PUT @ 50.00 EXPIRED		749.98	05/17/13	08/19/13	6	0.00	749.98
		1,153.97				0.00	1,153.97
	Sep-13						
	SHORT TERM						
CHENIERE ENERGY INC. 9-21-13 PUT @ 27.00 EXPIRED		1,242.97	05/17/13	09/23/13	11	0.00	1,242.97
VISA INC. 9-21-13 PUT @ 150.00 EXPIRED		229.99	05/17/13	09/23/13	2	0.00	229.99
		1,472.96				0.00	1,472.96
SHORT TERM GAIN(LOSS)		(1,362.24)					

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
RAYMOND JAMES #49519053
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Jan-13						
SHORT TERM						
WILLIAMS SONOMA CASH IN LIEU	19.25				0.00	19.25
WILLIAMS SONOMA	45,351.06	01/23/13	01/27/12	1044 43	36,720.35	8,630.71
	45,370.31				36,720.35	8,649.96
LONG TERM						
WILLIAMS SONOMA	45,351 06	01/23/13	01/23/12	1000	33,944.85	11,406.21
Mar-13						
LONG TERM						
JOS A BANK CLOTHIERS	40,731.38	03/04/13	01/19/12	1000	48,049.05	(7,317.67)
Apr-13						
SHORT TERM						
SOUFUN HOLDINGS LTD	21,036 02	04/22/13	08/21/12	1000	15,504.90	5,531.12
Jun-13						
LONG TERM						
NUANCE COMMUNICATIONS	38,564.62	06/05/13	VARIOUS L/T	2000	54,331.80	(15,767.18)
WHITING PETE CORP	46,953 88	06/05/13	12/28/11	1000	47,173.35	(219 47)
SUPERIOR ENERGY SVCS	40,906.63	06/05/13	VARIOUS L/T	1500	40,823.70	82.93
	126,425.13				142,328.85	(15,903.72)
Aug-13						
SHORT TERM						
BRINKER INTERNATIONAL	2,012.66	08/08/13	VARIOUS S/T	46.5760	1,632.07	380.59
BRINKER INTERNATIONAL CASH IN LIEU	25.25				0 00	25.25
	2,037.91				1,632.07	405.84
LONG TERM						
BRINKER INTERNATIONAL	87,375.69	08/08/13	VARIOUS L/T	2022.0040	53,509.34	33,866.35
Sep-13						
LONG TERM						
BMC SOFTWARE MERGER	46,250.00	09/11/13	12/27/11	1000	33,284.95	12,965.05
SHORT TERM GAIN(LOSS)	14,586.92					
LONG TERM GAIN (LOSS)	35,016.22					
	49,603 14					

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC
EIN: 86-0710305
RAYMOND JAMES #49533723
FYE 9-30-2013

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Dec-12						
SHORT TERM						
WESTERN ASSET GLOBAL S/T CAP GAIN	4.34				0.00	4.34
LONG TERM						
WESTERN ASSET GLOBAL LT CAP GAIN	220.69				0.00	220.69
Jan-13						
SHORT TERM						
BLACKROCK BUILD AMER CASH IN LIEU	4.80				0.00	4.80
BLACKROCK BUILD AMERICA BOND TRUST	57,364.26	01/24/13	VARIOUS S/T	2516.208	54,991.48	2,372.78
	57,369.06				54,991.48	2,377.58
LONG TERM						
NUVEEN DIVER MULTI CURRENCY	4,995.25	01/11/13	01/10/12	380	4,717.5900	277.66
May-13						
LONG TERM						
FIRST TRUST SENIOR FLOATING RATE INCOME FD CASH IN LIEU	14.14				12.65	1.49
NUVEEN MTG OPPORTUNITY TERM FD CASH IN LIEU	4.65				3.77	0.88
	18.79				16.42	2.37
Jun-13						
LONG TERM						
FIRST TR SR FLOATING RATE INCOME FD	62,022.90	06/03/13	VARIOUS S/T	3929	55,671.00	6,351.90
NUVEEN MTG OPPORTUNITY	60,878.63	06/03/13	VARIOUS LT	2245	50,114.740	10,763.89
	122,901.53				105,785.74	17,115.79
SHORT TERM GAIN (LOSS)	2,381.92					
LONG TERM GAIN(LOSS)	17,616.51					
	19,998.43					

GLENN FOUNDATION
FEI # 86-0710305
UBS FINANCIAL SERVICES JP 39221 V3
SCHEDULE D ATTACHMENT
Y/E 09/30/13

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Oct-12						
SHORT TERM						
AT&T INC 10-20-12 CALL @ 39 00 EXPIRED	85 97	VARIOUS S/T	10/22/12	3	0.00	85 97
AT&T INC	1,604 72	10/10/12	06/06/12	43	1,463.93	140 79
AT&T INC	2,127.18	10/10/12	07/18/12	57	2,010.76	116 42
DU PONT DE NEMOURS 10-20-12 CALL @ 52 50 EXPIRED	434.47	VARIOUS S/T	10/22/12	8	0.00	434.47
GENERAL ELECTRIC	2,334.05	10/24/12	08/01/12	105	2,168.23	165.82
GENERAL ELECTRIC	4,203 36	10/24/12	08/15/12	189	3,974.65	228 71
GENERAL ELECTRIC	133 42	10/24/12	08/29/12	6	123.82	9 60
GENERAL ELECTRIC 10-20-12 CALL @ 23 00 EXPIRED	25.03	09/24/12	10/22/12	1	0 00	25 03
GENERAL ELECTRIC 10-20-12 CALL @ 24.00 EXPIRED	7.29				0.00	7 29
INTEL CORP 10-20-12 CALL @ 24.00 EXPIRED	8.99	10/09/12	10/22/12	1	0.00	8 99
JOHNSON & JOHNSON	634 95	10/24/12	12/22/11	9	576 18	58 77
JOHNSON & JOHNSON	2,822 00	10/24/12	06/06/12	40	2,472 26	349 74
JOHNSON & JOHNSON	2,257 60	10/24/12	07/18/12	32	2,187.44	70 16
JOHNSON & JOHNSON	3,598 05	10/24/12	08/01/12	51	3,531 69	66.36
JOHNSON & JOHNSON	2,046 04	10/24/12	08/15/12	29	1,987 95	58.09
JOHNSON & JOHNSON 10-20-12 CALL @ 72 50	22 99	07/18/12	10/22/12	1	0.00	22.99
JPMORGAN 10-20-12 CALL @ 45.00 EXPIRED	21.99	09/17/12	10/22/12	1	0.00	21.99
JPMORGAN CHASE CO	3,114 72	10/24/12	06/06/12	77	2,476.29	638 43
JPMORGAN CHASE CO	929 18	10/24/12	07/18/12	23	817.59	111 59
JPMORGAN CHASE CO	1,612 42	10/24/12	07/18/12	39	1,386.27	226.15
JPMORGAN CHASE CO	4,091.67	10/24/12	08/01/12	99	3,574 71	516 96
JPMORGAN CHASE CO	2,562 70	10/24/12	08/15/12	62	2,279.73	282.97
JPMORGAN CHASE CO	4,252.29	10/25/12	VARIOUS S/T	102	3,913.11	339 18
MERCK & CO INC.	2,712.56	10/24/12	06/06/12	60	2,226 40	486 16
MERCK & CO INC	1,808.32	10/24/12	08/01/12	40	1,789 60	18 72
MERCK & CO INC	1,809.90	10/24/12	08/01/12	39	1,744.86	65 04
MERCK & CO INC	4,175 10	10/24/12	08/15/12	90	3,979 41	195 69
MERCK & CO INC	974.19	10/24/12	08/29/12	21	899.57	74 62
MERCK & CO INC	1,391.70	10/24/12	09/19/12	30	1,321 71	69.99
MERCK & CO INC	927.88	10/24/12	09/26/12	20	898 54	29 34
PFIZER INC	825.49	10/24/12	06/06/12	34	731.18	94.31
PFIZER INC	801 22	10/24/12	07/18/12	33	754.61	46 61
PFIZER INC	1,588 32	10/24/12	07/18/12	63	1,440.62	147.70
PFIZER INC	3,754 80	10/24/12	08/01/12	149	3,532 79	222 01
PFIZER INC	4,183 20	10/24/12	08/15/12	166	3,960.56	222.64
PFIZER INC	932 40	10/24/12	08/29/12	37	883 82	48 58
PFIZER INC	1,411 12	10/24/12	09/19/12	56	1,334 47	76 65
PFIZER INC	730 84	10/24/12	09/26/12	29	714.18	16.66
PROCTER & GAMBLE 10-20-12 CALL @ 70 00 EXPIRED	149 98	VARIOUS S/T	10/22/12	6	0 00	149 98
VERIZON COMM 8-20-12 CALL 48 00 EXPIRED	18.99				0 00	18 99
VERIZON COMMUNICATIONS INC	1,575 71	10/10/12	06/06/12	34	1,399 93	175.78
VERIZON COMMUNICATIONS INC	2,271 15	10/10/12	07/18/12	49	2,214 19	56 96
VERIZON COMMUNICATIONS INC	3,661 65	10/10/12	08/01/12	79	3,533.63	128 02
VERIZON COMMUNICATIONS INC	1,761.26	10/10/12	08/15/12	38	1,680 24	81.02
	76,396 86				69,984.92	6,411.94
LONG TERM						
DU PONT DE NEMOURS	16,730 93	10/25/12	08/04/11	340	17,863 60	(1,132 67)
ISHARES MSCI EAFE INDEX FUND	5,601 32	10/25/12	08/04/11	103	6,105 84	(504 52)
ISHARES TRUST RUSSELL 200 INDEX FUND	5,064 23	10/25/12	08/04/11	62	5,010.06	54 17
JOHNSON & JOHNSON	2,752 02	10/24/12	08/04/11	39	2,536.56	215 46
PFIZER INC	801.22	10/24/12	08/04/11	33	641.52	159 70
PROCTER & GAMBLE CO	2,869 38	10/25/12	08/04/11	42	2,595.59	273 79
PROCTER & GAMBLE CO	15,371 66	10/25/12	05/03/11	225	14,341 02	1,030.64
	49,190 76				49,094 19	96 57

GLENN FOUNDATION
FEI # 86-0710305
UBS FINANCIAL SERVICES JP 39221 V3
SCHEDULE D ATTACHMENT
Y/E 09/30/13

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Jan-13						
SHORT TERM						
AT&T INC 1-19-13 CALL @ 38.00 EXPIRED	125 99	10/23/12	01/22/12	7	0 00	125 99
CHEVRON CORP	26,178.37	01/25/13	VARIOUS S/T	228	25,917 91	260.46
CHEVRON CORP 1-19-13 CALL @ 125.00 EXPIRED	51.99	10/23/12	01/22/13	2	0 00	51.99
DU PONT DE NEMOURS 1-19-13 CALL @ 52 50 EXPIRED	223 48	10/23/12	01/22/13	5	0 00	223 48
GENERAL ELEC 1-19-13 CALL @ 22.50 EXPIRED	483.98	10/23/12	01/22/13	11	0 00	483.98
GENERAL ELECTRIC	26,277 05	01/25/13	VARIOUS S/T	1195	26,029 11	247 94
INTEL CORP 1-19-13 CALL @ 22.50 EXPIRED	551.98	10/23/12	01/22/13	12	0 00	551 98
JOHNSON & JOHNSON	72.86	01/25/13	08/15/12	1	68 55	4.31
JOHNSON & JOHNSON 1-19-13 CALL @ 75 00 EXPIRED	106 53	10/23/12	01/22/12	3	0 00	106 53
MERCK & CO 1-19-13 CALL @ 50 00 EXPIRED	119 99	10/23/12	01/22/13	5	0 00	119 99
PFIZER INC	713 97	01/25/13	10/11/12	27	686.80	27 17
PFIZER INC 1-19-13 CALL @ 27.00 EXPIRED	189.99	10/23/12	01/22/13	10	0 00	189.99
PROCTER & GAMBLE 1-19-13 CALL @ 72 50	81 10	10/23/12	01/27/13	3	0 00	81 10
PROCTER & GAMBLE CO	18,454.90	01/25/13	VARIOUS S/T	264	17,422 48	1,032.42
VERIZON COMM 1-19-13 CALL @ 48 00 EXPIRED	115 87	10/23/12	01/22/13	5	0 00	115 87
	73,748.05				70,124 85	3,623.20
LONG TERM						
ISHARES MSCI EAFE INDEX FUND	812 40	01/25/13	08/04/11	14	829.92	(17 52)
ISHARES TRUST RUSSELL 200 INDEX FUND	1,063 46	01/25/13	08/04/11	12	969 69	93 77
PROCTER & GAMBLE CO	8,039 07	01/25/13	5/3/2011	115	7,329.85	709.22
	9,914.93				9,129 46	785 47
Feb-13						
SHORT TERM						
JOHNSON & JOHNSON	30,101.31	02/26/13	VARIOUS S/T	400	26,300.98	3,800.33
PFIZER INC	1,854 16	02/01/13	VARIOUS S/T	-68	1,694 00	160 16
PFIZER INC	17,232 77	02/01/13	10/25/12	-632	16,165 80	1,066 97
	49,188 24				44,160.78	5,027 46
Mar-13						
SHORT TERM						
AT&T INC	175 96	03/20/13	07/18/12	5	176 38	(0 42)
AT&T INC	27,978 39	03/20/13	VARIOUS S/T	795	26,888 41	1,089.98
AT&T INC	1,598 26	03/20/13	01/30/13	44	1,494 50	103 76
AT&T INC.	5,666 56	03/20/13	VARIOUS S/T	156	5,539 20	127 36
DU PONT DE NEMOURS	16,040 94	03/20/13	VARIOUS S/T	330	13,300 58	2,740 36
DU PONT DE NEMOURS	9,849 76	03/20/13	VARIOUS S/T	200	13,704.63	(3,854 87)
HEWLETT PACKARD CO	21,579 39	03/13/13	01/25/13	1116	19,213 84	2,365.55
HEWLETT PACKARD CO	11,292 44	03/13/13	VARIOUS S/T	584	9,911 15	1,381.29
HEWLETT PACKARD CO	3,942 96	03/21/13	VARIOUS S/T	173	3,362.92	580.04
HEWLETT PACKARD CO	7,343.81	03/13/13	01/25/13	400	6,886 68	457 13
INTEL CORP	1,605 83	03/20/13	09/26/12	76	1,757.50	(151.67)
INTEL CORP	507 11	03/20/13	10/11/12	24	546 45	(39.34)
INTEL CORP 3-16-13 CALL @ 22 00 EXPIRED	317 95	VARIOUS S/T	03/18/13	16	0.00	317.95
JOHNSON & JOHNSON	7,778 38	03/20/13	VARIOUS S/T	100	9,684 07	(1,905.69)
MCDONALDS CORP	9,551 78	03/20/13	01/25/13	100	9,203 40	348.38
MCDONALDS CORP	17,984 98	03/20/13	01/25/13	184	16,934.26	1,050.72
MCDONALDS CORP	11,338 35	03/20/13	VARIOUS S/T	116	10,969 15	369.20
MCDONALDS CORP	99 11	03/21/13	02/27/13	1	93 56	5.55
MERCK & CO INC	821 45	03/15/13	09/26/12	19	852 58	(31 13)
MERCK & CO INC	1,253 78	03/15/13	10/11/12	29	1,344 92	(91 14)
MERCK & CO INC	6,571 56	03/15/13	10/25/12	152	7,072 70	(501 14)
MERCK & CO INC	13,535 60	03/15/13	10/25/12	305	14,191.92	(656 32)
MERCK & CO INC	13,091 80	03/15/13	VARIOUS S/T	295	12,409 33	682 47
MICROSOFT CORP	27,187 48	03/20/13	01/25/13	956	26,158 08	1,029 40
MICROSOFT CORP	2,246 60	03/20/13	01/30/13	79	2,201 45	45 15
MICROSOFT CORP	1,828.52	03/20/13	02/06/13	65	1,811 29	17 23

GLENN FOUNDATION
FEI # 86-0710305
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Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
MICROSOFT CORP 3-16-13 CALL @ 29 00 EXPIRED	32 98	VARIOUS S/T	03/18/13	2	0 00	32 98
PFIZER INC	7,743 84	03/20/13	10/25/12	284	7,264.38	479 46
PFIZER INC	436.27	03/20/13	02/06/13	16	441 87	(5 60)
PFIZER INC	8,466 78	3/20/13	VARIOUS S/T	300	8,171.29	295 49
VERIZON COMMUNICATIONS INC	2,349 31	03/20/13	08/15/12	52	2,299 29	50.02
VERIZON COMMUNICATIONS INC	4,019 95	03/20/13	VARIOUS S/T	89	3,977 75	42.20
VERIZON COMMUNICATIONS INC	18,428 54	03/20/13	10/25/12	408	18,322 67	105.87
VERIZON COMMUNICATIONS INC	1,445 38	03/20/13	01/25/13	32	1,372.66	72.72
VERIZON COMMUNICATIONS INC	858.21	03/20/13	01/30/13	19	807.23	50.98
VERIZON COMMUNICATIONS INC	9,238 77	03/20/13	VARIOUS S/T	200	8,921.35	317 42
	274,208 78				267,287 44	6,921.34
LONG TERM						
DU PONT DE NEMOURS	3,694 27	03/20/13	08/04/11	76	3,993.04	(298 77)
DU PONT DE NEMOURS	4,569.24	03/20/13	12/22/11	94	4,141 42	427.82
ISHARES TRUST RUSSELL 200 INDEX FUND	376.79	03/21/13	08/04/11	4	323 23	53.56
	8,640 30				8,457.69	182 61
May-13 SHORT TERM						
DU PONT DE NEMOURS	6,796 61	05/15/13	VARIOUS S/T	129	5,067.57	1,729.04
DU PONT DE NEMOURS	35,352.94	05/15/13	03/21/13	671	33,286.23	2,066 71
JOHNSON & JOHNSON	2,815 15	05/28/13	VARIOUS S/T	34	2,669.94	145 21
JOHNSON & JOHNSON	38,584 12	05/28/13	03/21/13	466	36,812.87	1,771.25
MICROSOFT CORP	10,049 41	05/16/13	VARIOUS S/T	332	9,202.80	846 61
MICROSOFT CORP	32,327 64	05/16/13	03/21/13	1068	30,071 11	2,256.53
	125,925.87				117,110 52	8,815.35
Jun-13 SHORT TERM						
AT&T INC 6-22-13 CALL @ 38.00 EXPIRED	263 99	03/19/13	06/24/13	11	0 00	263 99
CHEVRON CORP	232 80	06/27/13	03/21/13	2	238 69	(5.89)
CHEVRON CORP 6-22-13 CALL @ 125.00 EXPIRED	260.65	03/19/13	06/24/13	3	0 00	260.65
GENERAL ELECTRIC	504 74	06/27/13	03/06/13	22	511.86	(7.12)
GENERAL ELECTRIC 6-22-13 CALL @ 24 00 EXPIRED	778 29	03/19/13	06/24/13	17	0 00	778.29
INTEL CORP	40,964.94	06/26/13	VARIOUS S/T	1765	37,576 81	3,388.13
INTEL CORP	3,133 30	06/26/13	03/21/13	135	2,875 26	258.04
JOHNSON & JOHNSON	1,744 29	06/27/13	03/21/13	21	1,658.95	85.34
MERCK & CO INC	7,287 33	06/17/13	VARIOUS S/T	123	5,273.97	2,013.36
MERCK & CO INC	34,525.94	06/17/13	03/21/13	777	34,068 85	457.09
MICROSOFT CORP	2,196 55	06/27/13	03/21/13	66	1,858.33	338 22
PFIZER INC 6-22-13 CALL @ 29 00 EXPIRED	559.99	03/19/13	06/24/13	14	0 00	559.99
PFIZER INC	1,181.59	06/27/13	02/27/13	42	1,150.38	31.21
VERIZON COMM 6-22-13 CALL @ 50 00 EXPIRED	455 99	03/19/13	06/24/13	8	0 00	455 99
VERIZON COMMUNICATIONS INC	1,768 05	06/27/13	02/27/13	36	1,615.32	152 73
	95,858 44				86,828 42	9,030.02
LONG TERM						
ISHARES TRUST RUSSELL 2000 INDEX FUND	661.09	06/27/13	08/04/11	7	565 65	95.44
Jul-13 SHORT TERM						
DU PONT DE NEMOURS 7-20-13 CALL @ 57 50 EXPIRED	76 71	06/25/13	07/22/13	8	0.00	76 71
Aug-13 SHORT TERM						
MERCK & CO 8-17-13 CALL @ 50 00 EXPIRED	239 37	06/25/13	08/19/13	9	0 00	239.37
PROCTER & GAMBLE 8-17-13 CALL @ 82 50 EXPIRED	153 52	06/25/13	08/19/13	5	0 00	153.52
	392.89				0 00	392 89

GLENN FOUNDATION
FEI # 86-0710305
UBS FINANCIAL SERVICES JP 39221 V3
SCHEDULE D ATTACHMENT
Y/E 09/30/13

Memo	Sales Price	Date of Sale	Purchase	#of Shares	Cost Basis	Gain (Loss)
Sep-13						
SHORT TERM						
AT&T INC 9-21-13 CALL @ 37 00 EXPIRED	299 99	6/25/2013	9/23/2013	12	0 00	299 99
CHEVRON CORP	500 03	09/26/13	03/21/13	4	477.38	22 65
CHEVRON CORP 9-21-13 CALL @ 130 00 EXPIRED	112 65	06/25/13	09/23/13	3	0 00	112 65
DU PONT DE NEMOURS	1,728 88	09/26/13	03/21/13	29	1,438 60	290.28
DU PONT DE NEMOURS	1,967.35	09/26/13	08/19/13	33	1,761 45	205.90
DU PONT DE NEMOURS 9-21-13 CALL @ 62.50 EXPIRED	130.38	07/23/13	09/23/13	8	0 00	130 38
GENERAL ELEC 9-21-13 CALL @ 25.00 EXPIRED	369 49	06/25/13	09/23/13	18	0.00	369 49
GENERAL ELECTRIC	121 16	09/26/13	03/06/13	5	116 33	4 83
INTEL CORP 9-21-13 CALL @ 26 00 EXPIRED	476.22	06/25/13	09/23/13	17	0 00	476.22
MCDONALDS CORP 9-21-13 CALL @ 105 00 EXPIRED	166 38	06/25/13	09/23/13	4	0 00	166.38
MERCK & CO 9-21-13 CALL @ 49 00 EXPIRED	161 99	08/26/13	09/23/13	9	0 00	161.99
PFIZER INC 9-21-13 CALL @ 31 00 EXPIRED	182.35	06/25/13	09/23/13	15	0 00	182 35
PROCTER & GAMBLE 9-21-13 CALL @ 82.50 EXPIRED	149.99	08/26/13	09/23/13	5	0 00	149.99
VERIZON COMMUNICATIONS 9-21-13 CALL @ 55 00 EXPIRED	183 99	06/25/13	09/23/13	8	0 00	183.99
	6,550 85				3,793 76	2,757 09
LONG TERM						
ISHARES MSCI EAFE INDEX FUND	703 90	09/26/13	08/04/11	11	652 08	51.82
ISHARES MSCI EAFE INDEX FUND	1,087 84	09/26/13	12/22/11	17	825.16	262.68
ISHARES TRUST RUSSELL 2000 INDEX FUND	1,378 67	09/26/13	08/04/11	13	1,050.36	328.31
ISHARES TRUST RUSSELL 2000 INDEX FUND	318 16	09/26/13	12/22/11	3	218 54	99 62
	3,488.57				2,746.14	742 43
SHORT TERM GAIN(LOSS)	43,056 00					
LONG TERM GAIN (LOSS)	1,902 52					
	44,958.52					

GLENN FOUNDATION FOR MEDICAL RESEARCH [GLENN FOUND.]
Depreciation Expense

Federal

10/01/2012 - 09/30/2013

12/5/2013
11:35:51AM

System No.	S	Description	Date In Service	Method / Conv.	Life	Cost / Other Basis	Sec. 179/ Bonus/ (Cur. Yr. Only)	Beg. Accum. Depreciation/ (Sec. 179)	Current Depreciation	Total Depreciation/ (Sec. 179)
FURNITURE & FIXTURES										
54		OFFICE MART INC.	7/19/2004	M / MQ	5.0000	1,818.30	0.00	1,818.30	0.00	1,818.30
72		OFFICE CHAIR	2/5/2010	M / HY	7.0000	581.40	0.00	454.27	36.32	490.59
71		CHAIR	3/9/2010	M / HY	5.0000	108.74	0.00	93.08	6.26	99.34
76		CONFERENCE ROOM CHAIRS	12/5/2011	M / HY	5.0000	8,103.67	0.00	8,103.67	0.00	8,103.67
77		OFFICE FURNITURE	1/6/2012	M / HY	5.0000	2,547.21	0.00	1,528.33	407.55	1,935.88
78		SB SCREENS AND SHADES	2/2/2012	M / HY	5.0000	2,493.63	0.00	1,496.18	398.98	1,895.16
79		FURNITURE	2/13/2012	M / HY	5.0000	2,547.21	0.00	1,528.33	407.55	1,935.88
80		OFFICE FURNITURE	3/21/2012	M / HY	5.0000	21,877.97	0.00	13,126.79	3,500.47	16,627.26
75		IMPACT SIGNS - SIGNAGE FOR OFFICE	4/30/2012	M / HY	7.0000	2,358.00	0.00	1,347.43	288.73	1,636.16
86		OFFICE FURNITURE	5/10/2012	M / HY	5.0000	5,553.68	0.00	3,332.21	888.59	4,220.80
87		ART AND FRAMING	5/23/2012	M / HY	7.0000	5,488.79	0.00	3,136.46	672.09	3,808.55
88		OFFICE FURNITURE	6/30/2012	M / HY	5.0000	21,877.98	0.00	13,126.79	3,500.48	16,627.27
89		OFFICE FURNITURE	7/26/2012	M / HY	5.0000	4,574.37	0.00	2,744.63	731.90	3,476.53
91		FILE CABINET	12/10/2012	M / HY	5.0000	1,433.24	0.00	0.00	859.94	859.94
Subtotal: 1 FURNITURE & FIXTURES						81,364.19	0.00	51,836.47	11,698.86	63,535.33
Less dispositions and exchanges:						0.00	0.00	0.00	0.00	0.00
Net for: 1 FURNITURE & FIXTURES						81,364.19	0.00	51,836.47	11,698.86	63,535.33
EQUIPMENT										
66		APPLE COMPUTER	2/17/2009	M / HY	5.0000	3,460.77	0.00	2,862.75	398.68	3,261.43
67		APPLE COMPUTER	3/6/2009	M / HY	5.0000	5,868.82	0.00	4,854.69	676.09	5,530.78
68		APPLE COMPUTER	5/26/2009	M / HY	5.0000	5,017.40	0.00	4,150.39	578.01	4,728.40
69		MAC BOOK PRO	6/17/2009	M / HY	5.0000	7,071.39	0.00	5,849.45	814.63	6,664.08
70		SERVER SOFTWARE	6/30/2009	M / HY	3.0000	999.00	0.00	999.00	0.00	999.00
73		APPLE COMPUTER EQUIPMENT	4/30/2010	M / HY	5.0000	8,803.78	0.00	7,536.03	507.10	8,043.13
74		COMPUTER	8/23/2010	M / HY	5.0000	4,060.42	0.00	3,475.72	233.88	3,709.60
81		APPLE AUDIO EQUIPMENT	2/13/2012	M / HY	5.0000	754.20	0.00	452.52	120.67	573.19
82		TV MONITOR	3/12/2012	M / HY	5.0000	2,595.99	0.00	1,557.60	415.36	1,972.96
83		2 IPADS	3/12/2012	M / HY	5.0000	1,798.50	0.00	1,079.10	287.76	1,366.86
84		TELEVISION	4/9/2012	M / HY	5.0000	1,615.17	0.00	969.11	258.42	1,227.53
90		MACBOOK PRO	6/20/2012	M / HY	5.0000	5,696.34	0.00	3,417.80	911.42	4,329.22
93		4 SAMSUNG MONITORS	12/17/2012	M / HY	5.0000	855.91	0.00	0.00	513.55	513.55
94		4 SAMSUNG TVS	12/17/2012	M / HY	5.0000	6,251.57	0.00	0.00	3,750.95	3,750.95
85		MACBOOK PRO	4/3/2013	M / HY	5.0000	3,407.24	0.00	0.00	2,044.34	2,044.34
95		TELEVISION	4/4/2013	M / HY	5.0000	866.83	0.00	0.00	520.10	520.10
96		PRINTER	6/10/2013	M / HY	5.0000	636.00	0.00	0.00	381.60	381.60
97		ROUTERS	6/24/2013	M / HY	5.0000	429.84	0.00	0.00	257.90	257.90
98		IPAD	7/15/2013	M / HY	5.0000	541.92	0.00	0.00	325.15	325.15
Subtotal: 3 EQUIPMENT						60,731.09	0.00	37,204.16	12,985.61	50,199.77
Less dispositions and exchanges:						0.00	0.00	0.00	0.00	0.00
Net for: 3 EQUIPMENT						60,731.09	0.00	37,204.16	12,985.61	50,199.77

GLENN FOUNDATION FOR MEDICAL RESEARCH [GLENN FOUND.]
Depreciation Expense

Federal

10/01/2012 - 09/30/2013

86-0710305
10/01/2012 - 09/30/2013
Sorted: General - category

System No.	S	Description	Date In Service	Method / Conv.	Life	Cost / Other Basis	Sec. 179/ Bonus/ (Cur. Yr. Only)	Beg. Accum. Depreciation/ (Sec. 179)	Current Depreciation	Total Depreciation/ (Sec. 179)
Subtotal:										
Less dispositions and exchanges:										
Grand Totals:										

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.
EIN 86-0710305
9-30-2013

FORM 990PF, PART VIII – INFORMATION ABOUT OFFICERS, DIRECTORS

NAME	PAUL F. GLENN
NAME (CONT'D)	
STREET.....	1270 COAST VILLAGE CIRCLE
STREET (CONT'D)	SUITE 100
CITY, STATE, ZIP	SANTA BARBARA, CA 93108
TITLE	CHAIRMAN, DIRECTOR, TREASURER
TIME DEVOTED TO POSITION.....	PART TIME
COMPENSATION	NONE
CONTRIBUTION TO EMPLOYEE BENEFIT PLANS	NONE
EXPENSE ACCOUNT	REIMBURSEMENT OF REASONABLE EXPENSES
NAME	MARK R. COLLINS
NAME (CONT'D)	
STREET.....	1270 COAST VILLAGE CIRCLE
STREET (CONT'D)	SUITE 200
CITY, STATE, ZIP	SANTA BARBARA, CA 93108
TITLE	PRESIDENT, DIRECTOR
TIME DEVOTED TO POSITION.....	FULL TIME
COMPENSATION	NONE
CONTRIBUTION TO EMPLOYEE BENEFIT PLANS	NONE
EXPENSE ACCOUNT	REIMBURSEMENT OF REASONABLE EXPENSES
NAME	K. LEONARD JUDSON
NAME (CONT'D)	
STREET.....	1270 COAST VILLAGE CIRCLE
STREET (CONT'D)	SUITE 100
CITY, STATE, ZIP	SANTA BARBARA, CA 93108
TITLE	SECRETARY, EXECUTIVE VICE PRESIDENT, DIRECTOR
TIME DEVOTED TO POSITION.....	PART TIME
COMPENSATION	NONE
CONTRIBUTION TO EMPLOYEE BENEFIT PLANS	NONE
EXPENSE ACCOUNT	REIMBURSEMENT OF REASONABLE EXPENSES

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.
EIN 86-0710305
9-30-2013

FORM 990PF, PART VIII – INFORMATION ABOUT OFFICERS, DIRECTORS

NAME	A. RAY COPELAND
NAME (CONT'D)	
STREET.....	P.O. BOX 2690
STREET (CONT'D)	
CITY, STATE, ZIP	EDMOND, OK 73083
TITLE	ASSISTANT TREASURER, DIRECTOR
TIME DEVOTED TO POSITION.....	PART TIME
COMPENSATION	NONE
CONTRIBUTION TO EMPLOYEE BENEFIT PLANS	NONE
EXPENSE ACCOUNT	REIMBURSEMENT OF REASONABLE EXPENSES

NAME	JACK N. RUDEL
NAME (CONT'D)	
STREET.....	ONE EAST WASHINGTON STREET
STREET (CONT'D)	SUITE 1900
CITY, STATE, ZIP	PHOENIX, AZ 85004-2554
TITLE	DIRECTOR; ASSISTANT SECRETARY
TIME DEVOTED TO POSITION.....	PART TIME
COMPENSATION	NONE
CONTRIBUTION TO EMPLOYEE BENEFIT PLANS	NONE
EXPENSE ACCOUNT	REIMBURSEMENT OF REASONABLE EXPENSES

STATEMENT 15

Page 2 of 2

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.
EIN: 86-0710305
FORM 990PF, PART I, LINE 23 - OTHER EXPENSES
FYE: 09-30-2013

DESCRIPTION	(A) TOTAL OPERATING AND ADMINISTRATIVE EXPENSES	(B) NET INVESTMENT INCOME	(C) DISBURSEMENTS FOR CHARITABLE PURPOSES
Advertising	15	15	
Bank Charges	27	27	
Books & subscriptions	1,685	1,685	
Consulting	93,000	93,000	
Dues	837		837
Investment Expense	3,346,328	3,346,328	
Internet Data Line	7,241		7,241
Meals & Entertainment	7,031	7,031	
Office Supplies	7,409	3,705	3,704
Portfolio Deductions	174,981	174,981	
Postage	1,597	1,597	
Repairs and Maintenance	920	920	
Telephone	18,505		18,505
Rounding			
	3,659,576	3,629,289	30,287

GLENN FOUNDATION FOR MEDICAL RESEARCH
EIN: 86-0710305
FORM 990PF - INVESTMENTS - CORPORATE SECURITIES
FYE: 9/30/2013

Description	Inventory		Market Value
	End of Period		End of Period
	Shares	Cost	
CSFB 214-065328			
LONG STOCK	574,671	6,159,739	7,407,169
DBAB 5XL 874529			
SHORT STOCK	(4,614)	(651,700)	(612,325)
LONG STOCK	734,921	27,618,005	27,618,705
Total	730,307	26,966,305	27,006,380
DBAB 5XL 876581			
SHORT STOCK	(290)	(44,081)	(26,120)
LONG STOCK	59,000	2,275,986	2,336,691
Totals	58,710	2,231,905	2,310,571
DBAB 388629			
LONG STOCK	1,760,035	18,768,299	18,899,218

GLENN FOUNDATION FOR MEDICAL RESEARCH
 EIN: 86-0710305
 FORM 990PF - INVESTMENTS - CORPORATE SECURITIES
 FYE: 9/30/2013

Description	Inventory		Market Value
	End of Period		End of Period
	Shares	Cost	
DBAB 5KL-065557			
SHORT STOCK	(45,000)	(3,396,479)	(4,165,400)
LONG STOCK	82,500	1,495,752	1,377,750
TOTAL	37,500	(1,900,728)	(2,787,650)
HELD BY FOUNDATION			
LONG STOCK	137,014	1,619,334	730,786
MORGAN STANLEY 814-890187-061			
SHORT STOCK	(624)	(77,148)	(65,955)
LONG STOCK	37,035	1,821,560	1,933,480
Total	36,411	1,744,412	1,867,525
RAYMOND JAMES 49519053			
LONG STOCK	35,929	1,071,656	1,305,985

GLENN FOUNDATION FOR MEDICAL RESEARCH
 EIN: 86-0710305
 FORM 990PF - INVESTMENTS - CORPORATE SECURITIES
 FYE: 9/30/2013

Description	Inventory		Market Value
	End of Period		End of Period
	Shares	Cost	
RAYMOND JAMES 49533723			
LONG STOCK	118,028	1,650,588	1,643,315
UBS JP 39221 V3			
SHORT STOCK	(101)	(3,511)	(2,740)
LONG STOCK	11,169	476,673	511,206
Total	11,068	473,162	508,466
UBS			
LONG STOCK	15,940	502,105	521,808
UBS JP 32494 V3			
LONG STOCK	14,000	420,685	381,180
TOTAL STOCKS	3,529,613	59,707,462	59,794,753
Add back short stock		<u>(4,172,919)</u>	<u>(4,872,540)</u>
		63,880,381	64,667,293
TOTAL STOCKS	3,529,613	\$63,880,381	\$64,667,293

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.
EIN: 86-0710305
FORM 990PF, PART II, LINE 13 - OTHER INVESTMENTS
FYE: 09-30-2013

DESCRIPTION	ID NUMBER	BOOK VALUE		FAIR MARKET VALUE
		BOY	EOY	
Adit Capital II	42-1674873	284,550	274,926	274,926
Constellation Futures Fund	59-3779745	757,224	67,467	67,467
Daedalus Partners LP	94-3269288	2,673,016	0	0
Davenport Gas	43-1999609	39,086	38,959	38,959
Gilbraltar Partners LLC	57-1137856	247,937	233,664	233,664
Gradient	62-1627822	(35,651)	(36,773)	-36,773
Green Energy Metals Fund	26-0308422	421,765	350,974	350,974
Katana Fund, LLC	77-0493842	654	654	654
ML Lee Acquisition Fund	13-3426817	165	165	165
Nexus Cap Partners II, LP	94-3329318	116,063	110,785	110,785
Ngen Enabling Tech Fund	77-0569843	345,452	243,551	243,551
Ngen II	81-0662704	546,938	230,955	230,955
Portolan Pilot Offshore	N/A	2,000,000	2,000,000	3,139,901
		7,397,199	3,515,327	4,655,228

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.
EIN 86-0710305
OTHER LIABILITIES
FYE 9/30/2013

<u>Liability</u>	<u>Amount</u>
ALEX BROWN 874529	651,700
ALEX BROWN 876581	44,081
ALEX BROWN 065557	3,396,479
M. STANLEY 890187-061	77,148
UBS JP 39221 V3	3,511
	<u>4,172,919</u>

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.
EIN: 86-0710305
FORM 990PF, PART I, LINE 11 - OTHER INCOME/(LOSS)
FYE 9/30/2013

DESCRIPTION		AMOUNT
INCOME (LOSS) FROM PARTNERSHIPS		
ADIT CAPT II	42-1674873	(200)
BOARDWALK PIPELINE	20-3265614	(1,579)
CALUMET SPECIALTY	35-1811116	3,222
CHENIERE ENERGY	20-5913059	(1,308)
CLEAN DIESEL		2
CONSTELLATION ENERGY	11-3742489	7,305
CONSTELLATION FUTURES FUND	59-3779745	(10,962)
CROSSTEX	16-1616605	(854)
DAVENPORT BRITISH GAS	43-1999609	(33)
ENTERPRISE PRODUCTS	76-0568219	(6,724)
GIBRALTAR PARTNERS	57-1137856	(775)
GRADIENT TECH	62-1627822	(1,119)
GREEN ENERGY METALS FD LP	26-0308422	(223)
INERGY MIDSTREAM	20-1647837	(97)
LINN ENERGY	65-1177591	1,878
LRR ENERGY	90-0708431	2,353
MARTIN MIDSTREAM	05-0527861	(118)
NISKA GAS	86-0710305	651
PAA NATURAL GAS STORAGE	27-1679071	(2,278)
PVR PARTNERS	23-3087517	(1,370)
QR ENERGY	90-0613069	2,353
REGENCY ENERGY PRTNRS LP	16-1731691	(5,086)
RHINO RESOURCE	27-2377517	99
VANGUARD NATURAL RES	61-1521161	<u>1,005</u>
		(13,858)
OTHER INCOME (LOSS)		
BOAML	836-36515	2,695
DEUTSCHE BANK	388629	1,569
DEUTSCHE BANK	874529	42,887
DEUTSCHE BANK	65557	22
STATE OF CA UNCLAIMED PROP		<u>5,690</u>
		52,863
		<u>39,004</u>

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.				
Contributions Paid				
Fiscal Year Ending 9/30/2013				
Recipient	Address	Purpose of Contribution	Amount	Date
American Federation For Aging Research	55 West 39th Street 16th Floor, New York, NY 10018	Various Research Grants	1,455,000	VARIOUS
American Aging Assoc.	750 17th St. NW, Suite 1100, Washington, DC 20006	Various Research Grants	10,000	VARIOUS
Alliance for Aging Research	2021 K. Street NW Suite 305 Washington, DC 20006	Various Research Grants	85,000	VARIOUS
Albert Einstein College of Medicine	1300 Morris Park Ave. Bronx, NY 10461-1975	Various Research Grants	60,000	VARIOUS
Brigham & Women's Hospital	75 Francis St Boston, MA 02215	Various Research Grants	60,000	VARIOUS
FASEB	9650 Rockville Pike, Bethesda, MD 20814	Various Research Grants	10,000	VARIOUS
Gerontological Society of America	1220 L Street NW Suite 901, Washington, DC 20005-4018	Various Research Grants	110,000	VARIOUS
Harvard Medical School	25 Shattuck Street Boston, MA 02115	Various Research Grants	1,000,000	VARIOUS
John Hopkins University	Baltimore, MD 21218	Various Research Grants	60,000	VARIOUS
Mayo Clinic	200 First St, SW Rochester, MN 55905	Various Research Grants	176,798	VARIOUS
National Institute of Aging	31 Center Drive Bld 31, Rm 2C- 06, MSC 2292 Bethesda, MD 20892-2540	Various Research Grants	60,000	VARIOUS
Princeton University	P.O. Box 5357 Princeton, NJ 08543-5357	Various Research Grants	600,000	VARIOUS
Salk Institute	P.O. Box 85800, San Diego, CA 92186-5800	Various Research Grants	1,060,000	VARIOUS
Stanford University	450 Serra Mall Stanford, CA 94305	Various Research Grants	1,000,000	VARIOUS
University of Texas	7703 Floyd Carl Dr. San Antonio, TX 78229	Various Research Grants	218,438	VARIOUS

GLENN FOUNDATION FOR MEDICAL RESEARCH, INC.					
Contributions Paid					
Fiscal Year Ending 9/30/2013					
Recipient	Address	Purpose of Contribution	Amount	Date	
University of Texas Southwestern	1801 Inwood Rd, Dallas, TX 75235	Various Research Grants	60,000	VARIOUS	
University of Southern California	Los Angeles, CA 90089	Various Research Grants	10,994	VARIOUS	
University of California	1156 High Street	Various Research Grants	409,006	VARIOUS	
Grants returned from prior year			(31)		
Various K1's			3,204		
			6,448,409		