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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2012

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2012 or tax year beginning OCT 1, 2012, and ending SEP 30, 2013

Name of foundation

CHARLES JAGO ELDER FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

1800 AUGUSTA DRIVE

Room/suite

125

City or town, state, and ZIP code

HOUSTON, TX 77057

G Check all that apply:

- Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)

J Accounting method:  Cash  Accrual

(Part I, column (d) must be on cash basis)

► \$ 1,283,761.

A Employer identification number

76-0234657

B Telephone number

713-781-6600

C If exemption application is pending, check here ► D 1. Foreign organizations, check here ► 2. Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► 

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	1,750.		N/A	
2	Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	6.	6.	6.	STATEMENT 1
4	Dividends and interest from securities	24,372.	24,372.	24,372.	STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	80,245.			
b	Gross sales price for all assets on line 6a	576,011.			
7	Capital gain net income (from Part IV, line 2)		80,245.	80,245.	
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total Add lines 1 through 11	106,373.	104,623.	104,623.	
13	Compensation of officers, directors, trustees, etc	0.	0.	0.	
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	STMT 3	6,000.	3,000.	3,000.
c	Other professional fees	STMT 4	5,588.	5,588.	0.
17	Interest				
18	Taxes	STMT 5	897.	897.	0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	STMT 6	30.	30.	0.
24	Total operating and administrative expenses. Add lines 13 through 23		12,515.	9,515.	3,000.
25	Contributions, gifts, grants paid		38,438.		38,438.
26	Total expenses and disbursements. Add lines 24 and 25		50,953.	9,515.	41,438.
27	Subtract line 26 from line 12:		55,420.		
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		95,108.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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12-05-12 LHA For Paperwork Reduction Act Notice, see instructions.

2012.05070 CHARLES JAGO ELDER FOUNDATI 9173 1

Form 990-PF (2012)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing		- 4,174.	1,389.	1,389.
2	Savings and temporary cash investments		59,055.	58,622.	58,622.
3	Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons				
7	Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments - U.S. and state government obligations				
b	Investments - corporate stock	STMT 8	776,360.	802,825.	1,045,808.
c	Investments - corporate bonds	STMT 9	20,138.	47,513.	42,567.
11	Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
12	Investments - mortgage loans				
13	Investments - other	STMT 10	152,219.	153,774.	135,375.
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
15	Other assets (describe ► )				
16	Total assets (to be completed by all filers)		1,003,598.	1,064,123.	1,283,761.
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons		1,105.	1,105.	
21	Mortgages and other notes payable				
22	Other liabilities (describe ► )				
23	Total liabilities (add lines 17 through 22)		1,105.	1,105.	
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
24	Unrestricted				
25	Temporarily restricted				
26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
27	Capital stock, trust principal, or current funds		0.	0.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		1,002,493.	1,063,018.	
30	Total net assets or fund balances		1,002,493.	1,063,018.	
31	Total liabilities and net assets/fund balances		1,003,598.	1,064,123.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,002,493.
2	Enter amount from Part I, line 27a	2	55,420.
3	Other increases not included in line 2 (itemize) ►	3	5,105.
4	Add lines 1, 2, and 3	4	1,063,018.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,063,018.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE STATEMENT A</b>			P	VARIOUS	
<b>b SEE STATEMENT A</b>			P	VARIOUS	
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)	
a 62,814.		65,138.		-2,324.	
b 513,197.		430,628.		82,569.	
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a					-2,324.
b					82,569.
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2		80,245.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }		3		N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	65,511.	989,054.	.066236
2010	53,075.	1,124,063.	.047217
2009	52,489.	1,053,576.	.049820
2008	65,583.	1,091,461.	.060087
2007	35,500.	1,259,401.	.028188

- 2 Total of line 1, column (d) 2 .251548
- 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 .050310
- 4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 4 1,199,542.
- 5 Multiply line 4 by line 3 5 60,349.
- 6 Enter 1% of net investment income (1% of Part I, line 27b) 6 951.
- 7 Add lines 5 and 6 7 61,300.
- 8 Enter qualifying distributions from Part XII, line 4 8 41,438.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1.

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2012 estimated tax payments and 2011 overpayment credited to 2012

6a 361.

b Exempt foreign organizations - tax withheld at source

6b

c Tax paid with application for extension of time to file (Form 8868)

6c 1,000.

d Backup withholding erroneously withheld

6d

7 Total credits and payments. Add lines 6a through 6d

7 1,361.

8 Enter any penalty for underpayment of estimated tax. Check here  if Form 2220 is attached

8 2.

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9 543.

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

10

11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ►

Refunded ►

11

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes  No 

1a X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?

1b X

*If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*

1c X

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

2 X

*If "Yes," attach a detailed description of the activities.*3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*

3 X

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a X

b If "Yes," has it filed a tax return on Form 990-T for this year?

4b

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5 X

*If "Yes," attach the statement required by General Instruction T*

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

N/A

• By language in the governing instrument, or

6 X

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year?

7 X

*If "Yes," complete Part II, col. (c), and Part XV*

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►

8b X

TX

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

of each state as required by General Instruction G? *If "No," attach explanation*

9 X

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*

10 X

**Part VII-A Statements Regarding Activities (continued)**

11		
12		X
13	X	
Website address ► N/A		
14	CHARLES JAGO ELDER FOUNDATION	Telephone no. ► 713-781-6600
Located at ► 1800 AUGUSTA SUITE 125, HOUSTON, TX ZIP+4 ► 77057		
15	► 15 N/A	
16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		
	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	X No
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	X No
1b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A ►	
1c Organizations relying on a current notice regarding disaster assistance check here	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	<input type="checkbox"/> Yes	X No
b If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	2b	
►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	X No
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4a	X
	4b	X

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  
 Yes  No  
 (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  
 Yes  No  
 (3) Provide a grant to an individual for travel, study, or other similar purposes?  
 Yes  No  
 (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  
 Yes  No  
 (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  
 Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

► 

5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

 Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes  No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN W. ELDER 1800 AUGUSTA SUITE 125 HOUSTON, TX 77057	PRESIDENT 3.00	0.	0.	0.
VIRGINIA J. ELDER 1800 AUGUSTA SUITE 125 HOUSTON, TX 77057	VICE PRESIDENT 0.50	0.	0.	0.
AVERILL H. MORTIMER 1800 AUGUSTA SUITE 125 HOUSTON, TX 77057	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

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**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total number of others receiving over \$50,000 for professional services**

►

0

**Part IX-A** **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

**Part IX-B** **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
3		

All other program-related investments. See instructions.

3		

**Total.** Add lines 1 through 3 ► 0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	1,148,127.
b Average of monthly cash balances	1b	69,682.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	1,217,809.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,217,809.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	18,267.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,199,542.
6 Minimum investment return Enter 5% of line 5	6	59,977.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	59,977.
2a Tax on investment income for 2012 from Part VI, line 5	2a	1,902.
b Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	1,902.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	58,075.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	58,075.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	58,075.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	41,438.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	41,438.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	41,438.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				58,075.
2 Undistributed income, if any, as of the end of 2012			29,086.	
a Enter amount for 2011 only				
b Total for prior years: _____, _____, _____		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 41,438.			29,086.	
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				12,352.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				45,723.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				



## Part XV Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
CAMP ALLEN 18800 FM 362 NAVASOTA, TX 77868	NONE	PUBLIC	TO FUND CHARITABLE ACTIVITIES	5,000.
ELISSA MARITIME SOCIETY PIER 21 #8 GALVESTON, TX 77550	NONE	PUBLIC	TO FUND CHARITABLE ACTIVITIES	1,000.
HILLSDALE COLLEGE 33 EAST COLLEGE STREET HILLSDALE, MI 49242	NONE	PUBLIC	TO FUND CHARITABLE ACTIVITIES	5,000.
MUSEUM OF FINE ARTS, HOUSTON 1001 BISSONNET HOUSTON, TX 77005	NONE	PUBLIC	TO FUND CHARITABLE ACTIVITIES	2,500.
RICE INSTITUTE 6100 MAIN HOUSTON, TX 77005	NONE	PUBLIC	TO FUND CHARITABLE ACTIVITIES	1,500.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			► 3a 38,438.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				► 3b 0.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

## Relationship of Activities to the Accomplishment of Exempt Purposes



## CHARLES JAGO ELDER FOUNDATION

76-0234657

## Part XV Supplementary Information

### 3 Grants and Contributions Paid During the Year (Continuation)

**Total from continuation sheets**

23,438

223631  
05-01-12

CHARLES JAGO ELDER FOUNDATION  
 SCHEDULE OF REALIZED GAINS & LOSSES  
 FOR THE YEAR ENDED SEPTEMBER 30, 2013

ACQUISITION DATE	DATE	SETTLEMENT SECURITY	NO. OF SHARES	PROCEEDS	COST BASIS	REALIZED
						GAIN/LOSS
1/11/2010	11/19/2012	ARCHER DANIELS MIDLAND INC	687.00	16,887.32	22,158.91	(5,271.59)
5/13/2010	10/16/2012	BAKER HUGHES INC	409.00	18,301.44	19,586.11	(1,284.67)
7/29/2011	10/3/2012	HANCOCK HOLDING CO	436.00	13,451.31	14,295.86	(844.55)
5/13/2010	12/11/2012	INTEL CORP	967.00	19,408.95	21,373.86	(1,964.91)
3/22/2011	10/23/2012	SAP AKTIENGESELLSCHAFT	320.00	22,822.90	19,736.77	3,086.13
9/23/2010	10/11/2012	UTILITIES SELECT SECTOR S	291.00	10,590.31	9,646.99	943.32
9/12/2011	1/23/2013	ACE LIMITED	206.00	17,015.07	12,873.92	4,141.15
11/18/2010	2/12/2013	ASHLAND INC	294.00	22,630.93	15,655.50	6,975.43
5/13/2010	1/16/2013	CHUBB CORP	270.00	20,827.91	14,061.60	6,766.31
5/13/2010	2/5/2013	E M C CORP MASS	738.00	18,195.18	13,955.58	4,239.60
5/13/2010	1/7/2013	EATON CORP PLC	384.00	21,550.74	19,835.52	1,715.22
10/27/2011	2/26/2013	FMC CORP	364.00	20,770.93	14,056.20	6,714.73
6/8/2012	3/15/2013	FRESH MARKET INC	319.00	12,049.08	18,565.10	(6,516.02)
5/13/2010	1/25/2013	WAL MART STORES INC	257.00	17,723.44	13,556.75	4,166.69
6/14/2010	4/1/2013	CANADIAN NATIONAL RAIL	302.00	29,189.26	18,564.20	10,625.06
5/13/2010	4/11/2013	FEDEX CORPORATION	159.00	15,122.56	14,058.78	1,063.78
9/24/2010	5/15/2013	INTERNATIONAL BUSINESS	95.00	19,251.31	12,694.85	6,556.46
5/4/2012	6/18/2013	LAZARD LTD CL A	681.00	22,043.92	19,358.93	2,684.99
11/2/2011	4/2/2013	MACY'S INC	215.00	8,864.81	6,891.27	1,973.54
11/2/2011	5/1/2013	MACY'S INC	232.00	10,242.54	7,436.17	2,806.37
5/3/2012	4/19/2013	PHILLIPS 66	126.00	7,243.66	4,637.91	2,605.75
10/4/2012	5/14/2013	SPDR GOLD TRUST	94.00	13,229.20	16,274.72	(3,045.52)
5/13/2010	4/17/2013	TEXAS INSTRUMENTS INC	729.00	25,808.33	18,706.14	7,102.19
8/26/2010	6/5/2013	TIME WARNER INC	439.00	25,849.44	13,165.61	12,683.83
10/27/2011	5/23/2013	TORONTO DOMINION BANK	188.00	15,220.11	13,951.46	1,268.65
9/25/2012	7/16/2013	CATERPILLAR INC	144.00	12,412.63	13,427.96	(1,015.33)
12/28/2011	8/1/2013	ENSCO PLC CL A	260.00	15,239.56	12,691.17	2,548.39
12/13/2012	8/28/2013	HSBC HOLDINGS PLC SPON	373.00	20,158.58	19,352.95	805.63
10/14/2011	8/23/2013	KRAFT FOODS GROUP INC	431.00	22,818.72	18,773.87	4,044.85
4/1/2013	9/5/2013	LEXMARK INTERNATIONAL	405.00	13,938.04	10,735.22	3,202.82
5/13/2010	7/2/2013	ORACLE CORP	382.00	11,572.98	9,320.80	2,252.18
10/12/2012	8/20/2013	SPDR GOLD TRUST	50.00	6,312.88	8,650.22	(2,337.34)
10/18/2011	7/24/2013	STAPLES INC	1,222.00	20,092.08	17,590.60	2,501.48
1/9/2013	9/13/2013	TERADATA CORP	160.00	9,175.23	10,124.68	(949.45)
TOTAL				576,011.35	495,766.18	80,245.17

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
WELLS FARGO	6.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	6.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
COLUMBIA MANAGEMENT	1,555.	0.	1,555.
HOUSTON TRUST COMPANY	22,808.	0.	22,808.
INTEREST FROM REFUND FROM 2011			
FORM 4720	9.	0.	9.
TOTAL TO FM 990-PF, PART I, LN 4	24,372.	0.	24,372.

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,000.	3,000.		3,000.
TO FORM 990-PF, PG 1, LN 16B	6,000.	3,000.		3,000.

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	5,588.	5,588.		0.
TO FORM 990-PF, PG 1, LN 16C	5,588.	5,588.		0.

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX INCOME TAXES	397. 500.	397. 500.		0. 0.
TO FORM 990-PF, PG 1, LN 18	897.	897.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WIRE FEES	30.	30.		0.
TO FORM 990-PF, PG 1, LN 23	30.	30.		0.

FORM 990-PF

OTHER INCREASES IN NET ASSETS OR FUND BALANCES

STATEMENT 7

DESCRIPTION

AMOUNT

TAX-EXEMPT INCOME FROM HOUSTON TRUST COMPANY BASIS ADJUSTMENTS FROM HOUSTON TRUST COMPANY	13. 5,092.
TOTAL TO FORM 990-PF, PART III, LINE 3	5,105.

FORM 990-PF

CORPORATE STOCK

STATEMENT 8

DESCRIPTION

BOOK VALUE

FAIR MARKET  
VALUE

347 SHS EXXON MOBIL CORP	748.	29,856.
BRISTOL-MYERS SQUIBB CO	17,892.	24,528.
CONOCOPHILLIPS	20,139.	24,676.
FMC TECHNOLOGIES INC	18,265.	25,050.
FORD MOTOR CO DEL	14,647.	18,979.
GAP INC	17,757.	26,706.
GARMIN LTD	14,413.	18,392.
GENERAL MOTORS CO	13,820.	19,172.

ISHARES TRUST S&P SM CAP 600	44,185.	71,257.
LOWES COS INC	19,089.	34,089.
PERKINELMER INC	14,297.	27,256.
ROCKWELL AUTOMATION INC	19,261.	28,767.
SANOFI SPONSORED AMERICAN DEPOSITORY RECEIPT	19,206.	23,543.
SIMON PROPERTY GROUP INC	8,272.	8,894.
STANLEY BLACK & DECKER INC	14,413.	19,110.
UNILEVER PLC	12,608.	15,663.
UNITEDHEALTH GROUP INC	18,944.	44,756.
UTILITIES SELECT SECTOR SPDR	33,190.	39,499.
VERIZON COMMUNICATIONS	13,194.	22,824.
WELLS FARGO & CO NEW	19,203.	23,181.
3M CO	18,778.	26,509.
ALLIANCE DATA SYSTEMS CORP	17,639.	24,319.
AMERICAN INTERNATIONAL GROUP	19,716.	27,427.
APACHE CORPORATION	10,362.	10,302.
APPLE INC	23,006.	22,407.
ARM HOLDINGS PLC-SPONSORED AMERICAN DEPOSITORY RECEIPT	15,346.	15,735.
AT&T INC	16,279.	15,320.
CAPITAL ONE FINANCIAL	11,218.	11,342.
CHARLES SCHWAB CORP	14,607.	17,419.
CHEVRON CORPORATION	20,644.	21,141.
DRESSER RAND GROUP	10,378.	10,733.
DUNKIN BRANDS GROUP INC	10,508.	11,360.
FREEPORTMCMORAN COPPERAND GOLD INC	21,241.	22,064.
GENERAL ELECTRIC COMPANY	20,879.	21,143.
HAIN CELESTIAL GROUP INC	14,876.	15,887.
INFORMATICA CORP	10,362.	11,379.
ING GROEP N V-SPONSORED AMERICAN DEPOSITORY RECEIPT	20,765.	20,441.
MERCK & CO INC	19,085.	19,805.
MICROSOFT CORP	19,155.	23,928.
MONDELEZ INTERNATIONAL INC	10,856.	14,894.
PFIZER INC	13,314.	13,012.
QUALCOMM INC	15,494.	16,426.
SAFEWAY INC	15,504.	20,378.
SOUTHWEST AIRLINES COMPANY	19,974.	22,131.
TOTAL SYSTEM SERVICES INC	10,344.	12,327.
US AIRWAYS GROUP	14,707.	17,216.
WOLVERINE WORLD WIDE INC	10,463.	11,588.
ZIONS BANCORPORATION	13,956.	12,860.
PERRIGO CO	9,826.	10,117.
 TOTAL TO FORM 990-PF, PART II, LINE 10B	 802,825.	 1,045,808.

FORM 990-PF

CORPORATE BONDS

STATEMENT 9

## DESCRIPTION

	BOOK VALUE	FAIR MARKET VALUE
VANGUARD REIT ETF	20,138.	21,033.
SPDR GOLD TRUST	27,375.	21,534.
TOTAL TO FORM 990-PF, PART II, LINE 10C	47,513.	42,567.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 10

## DESCRIPTION

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
COLUMBIA LARGE CORE QUANTITATIVE FUND A	COST	153,774.	135,375.
TOTAL TO FORM 990-PF, PART II, LINE 13		153,774.	135,375.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 11

## NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MR. JOHN W. ELDER  
1800 AUGUSTA SUITE 125  
HOUSTON, TX 77057

## FORM AND CONTENT OF APPLICATIONS

EACH APPLICATION SHOULD INCLUDE THE AMOUNT AND PURPOSE OF THE GRANT AS WELL AS GENERAL INFORMATION REGARDING THE APPLYING ORGANIZATION.

## ANY SUBMISSION DEADLINES

APPLICATIONS ARE ACCEPTED AT ANY TIME. NOTICE OF APPROVAL, REJECTION OR REQUEST FOR ADDITIONAL INFORMATION IS USUALLY SENT WITHIN ONE MONTH.

## RESTRICTIONS AND LIMITATIONS ON AWARDS

NO RESTRICTIONS APPLY.

Application for Extension of Time To File an  
Exempt Organization Return

► File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ..... ►
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

*Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.*

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits.

**Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ..... ► *All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

Type or print  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see Instructions.  <b>CHARLES JAGO ELDER FOUNDATION</b>	Employer identification number (EIN) or  <b>76-0234657</b>
	Number, street, and room or suite no. If a P.O. box, see Instructions.  <b>1800 AUGUSTA DRIVE, NO. 125</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see Instructions.  <b>HOUSTON, TX 77057</b>	

Enter the Return code for the return that this application is for (file a separate application for each return) ..... **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**CHARLES JAGO ELDER FOUNDATION**

- The books are in the care of ► **1800 AUGUSTA SUITE 125 - HOUSTON, TX 77057**  
Telephone No. ► **713-781-6600** FAX No. ► \_\_\_\_\_ ►
- If the organization does not have an office or place of business in the United States, check this box ..... ►
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ► . If it is for part of the group, check this box ►  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until  
**MAY 15, 2014**, to file the exempt organization return for the organization named above. The extension

is for the organization's return for:

►  calendar year \_\_\_\_\_ or  
 ►  tax year beginning **OCT 1, 2012**, and ending **SEP 30, 2013**.

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See Instructions.	3a	\$ <b>1,511.</b>
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ <b>511.</b>
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See Instructions.	3c	\$ <b>1,000.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2013)