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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2012

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

Open to Public Inspection

For calendar year 2012 or tax year beginning 10/01, 2012, and ending 09/30, 2013

Name of foundation ANNA W THORNTON & ALEXANDER P THORNTON
CHARITABLE TRUST R77776004

A Employer identification number
75-6496915

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

10 S DEARBORN IL1-0117

866- 888-5157

City or town, state, and ZIP code

CHICAGO, IL 60603

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,093,818.
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))				(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)					
	2	Check ☒ if the foundation is not required to attach Sch. B					
	3	Interest on savings and temporary cash investments					
	4	Dividends and interest from securities		46,953.	46,084.		
	5a	Gross rents					
	b	Net rental income or (loss)					
	6a	Net gain or (loss) from sale of assets not on line 10		144,064.			
	b	Gross sales price for all assets on line 6a		1,211,373.			
	7	Capital gain net income (from Part IV, line 2)			144,064.		
	8	Net short-term capital gain					
Operating and Administrative Expenses	9	Income modifications					
	10a	Gross sales less returns and allowances					
	b	Less: Cost of goods sold					
	c	Gross profit or (loss) (attach schedule)					
	11	Other income (attach schedule)		59.			STMT 1
	12	Total. Add lines 1 through 11		191,076.	190,148.		
	13	Compensation of officers, directors, trustees, etc.		24,646.	18,485.		6,162.
	14	Other employee salaries and wages			NONE	NONE	
	15	Pension plans, employee benefits			NONE	NONE	
	16a	Legal fees (attach schedule)					
	b	Accounting fees (attach schedule)					
	c	Other professional fees (attach schedule)					
	17	Interest					
	18	Taxes (attach schedule) (see instructions) STMT 2		1,456.	356.		
	19	Depreciation (attach schedule) and depletion					
	20	Occupancy					
	21	Travel, conferences, and meetings			NONE	NONE	
	22	Printing and publications			NONE	NONE	
	23	Other expenses (attach schedule) STMT 3		1.	1.		
	24	Total operating and administrative expenses. Add lines 13 through 23		26,103.	18,842.	NONE	6,162.
	25	Contributions, gifts, grants paid		95,000.			95,000.
	26	Total expenses and disbursements. Add lines 24 and 25		121,103.	18,842.	NONE	101,162.
	27	Subtract line 26 from line 12:					
	a	Excess of revenue over expenses and disbursements		69,973.			
	b	Net investment income (if negative, enter -0-)			171,306.		
	c	Adjusted net income (if negative, enter -0-)					

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DATE JUL 13 2014

SCANNED JUL 24 2014

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	54,922.	85,288.	85,288.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶	NONE		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)	880,827.	886,199.	1,070,969.
	c	Investments - corporate bonds (attach schedule)	686,709.	605,785.	626,534.
	11	Investments - land, buildings, and equipment basis			
	Less: accumulated depreciation ▶ (attach schedule)				
12	Investments - mortgage loans				
13	Investments - other (attach schedule)	207,521.	322,382.	311,027.	
14	Land, buildings, and equipment basis				
	Less: accumulated depreciation ▶ (attach schedule)				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,829,979.	1,899,654.	2,093,818.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27	Capital stock, trust principal, or current funds	1,829,979.	1,899,654.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	1,829,979.	1,899,654.	
	31	Total liabilities and net assets/fund balances (see instructions)	1,829,979.	1,899,654.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,829,979.
2	Enter amount from Part I, line 27a	2	69,973.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,899,952.
5	Decreases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	5	298.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,899,654.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 1,211,373.		1,067,309.	144,064.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			144,064.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	144,064.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	86,338.	1,958,127.	0.044092
2010	99,133.	1,855,215.	0.053435
2009	92,303.	1,966,178.	0.046945
2008	111,258.	1,835,359.	0.060619
2007	103,380.	2,333,529.	0.044302
2 Total of line 1, column (d)			2 0.249393
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.049879
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 2,042,393.
5 Multiply line 4 by line 3			5 101,873.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,713.
7 Add lines 5 and 6			7 103,586.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 101,162.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	3,426.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	3,426.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,426.
6 Credits/Payments.			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a 1,012.		
b Exempt foreign organizations - tax withheld at source	6b NONE		
c Tax paid with application for extension of time to file (Form 8868)	6c 5,900.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	6,912.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,486.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ 3,486. Refunded ☐ 11	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers. ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>N/A</u>				
14	The books are in care of <u>JP MORGAN CHASE BANK, NA</u> Telephone no. <u>(866) 888-5157</u>			
	Located at <u>10 S DEARBORN IL1-0117, CHICAGO, IL</u> ZIP+4 <u>60603</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <u></u>			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No	
If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JP MORGAN CHASE BANK, NA 10 S DEARBORN IL1-0117, CHICAGO, IL 60603	TRUSTEE 2	24,646.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services **NONE**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	

Total. Add lines 1 through 3

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,010,376.
b	Average of monthly cash balances	1b	63,119.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	2,073,495.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	2,073,495.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	31,102.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,042,393.
6	Minimum investment return. Enter 5% of line 5	6	102,120.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	102,120.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	3,426.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,426.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	98,694.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	98,694.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	98,694.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	101,162.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	101,162.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	101,162.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				98,694.
2 Undistributed income, if any, as of the end of 2012			90,722.	
a Enter amount for 2011 only				
b Total for prior years 20 <u>10</u> , 20 <u>20</u> , 20 <u>20</u>		NONE		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	NONE			
b From 2008	NONE			
c From 2009	NONE			
d From 2010	NONE			
e From 2011	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>101,162.</u>				
a Applied to 2011, but not more than line 2a			90,722.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2012 distributable amount				10,440.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2012 . (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				88,254.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2008	NONE			
b Excess from 2009	NONE			
c Excess from 2010	NONE			
d Excess from 2011	NONE			
e Excess from 2012	NONE			

NOT APPLICABLE

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(1)(5)

[illegible]

(4) Gross investment income .

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
THE PARENTING CENTER 2928 WEST 5TH STREET FORT WORTH TX 76107	NONE	PUBLIC	GENERAL	25,000.
Ronald McDonald House of Fort Worth Inc. 1004 7TH AVENUE Fort Worth TX 76104	NONE	PUBLIC	GENERAL	50,000.
What About Remembering Me Center, Inc. 809 LIPSCOMB ST Fort Worth TX 76104 3121	NONE	PUBLIC	GENERAL	10,000.
Clayton Child Care, Inc. 1215 COUNTRY CLUB LANE Fort Worth TX 76112	NONE	PUBLIC	GENERAL	10,000.
Total			3a	95,000.
b Approved for future payment				
Total			3b	

Form **990-PF** (2012)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)	
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	46,953.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	144,064.		
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue: a _____						
b DEFERRED REVENUE			14	59.		
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)				191,076.		
13 Total. Add line 12, columns (b), (d), and (e)				191,076.		

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|-------|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Anthony Ward
Signature of officer or trustee

05/29/2014

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed	PTIN
---	------

Firm's name

Firm's EIN ▶

Firm's address ►

Phone no.

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
DEFERRED REVENUE	59.

TOTALS	59.
	=====

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FOREIGN TAXES	12.	12.
FEDERAL TAX PAYMENT - PRIOR YE	1,100.	
FOREIGN TAXES ON QUALIFIED FOR	317.	317.
FOREIGN TAXES ON NONQUALIFIED	27.	27.
	-----	-----
TOTALS	1,456.	356.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
OTHER NONALLOCABLE EXPENSES -	1.	1.
TOTALS	----- 1. =====	----- 1. =====

. ANNA, W THORNTON & ALEXANDER P THORNTON
FORM 990PF, PART XV - LINES 2a - 2d
=====

75-6496915

RECIPIENT NAME:

KONNIE DARROW, C/O JP MORGAN CHASE BANK, NA

ADDRESS:

420 THROCKMORTON, FL 3

FORT WORTH, TX 76102

RECIPIENT'S PHONE NUMBER: 817-884-4772

FORM, INFORMATION AND MATERIALS:

NONE

SUBMISSION DEADLINES:

NONE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

ORGANIZATIONS PROVIDING WOMEN'S AND CHILDREN'S

CHILDREN'S SERVICES IN TARRANT COUNTY

RECEIVE FIRST PRIORITY

STATEMENT 4

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T.
► Information about Schedule D (Form 1041) and its separate instructions is at
www.irs.gov/form1041.

OMB No 1545-0092

2012

Name of estate or trust

ANNA W THORNTON & ALEXANDER P THORNTON

Employer identification number

75-6496915

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	11,592.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back	5	11,592.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					
LONG-TERM CAPITAL GAIN DIVIDENDS					6,112.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	126,360.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back	12	132,472.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2012

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		11,592.
14	Net long-term gain or (loss):			
a	Total for year	14a		132,472.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c	28% rate gain	14c		1,256.
15	Total net gain or (loss). Combine lines 13 and 14	15		144,064.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000
16	()

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates	
Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.	
Caution: Skip this part and complete the Schedule D Tax Worksheet in the instructions if:	
• Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or	
• Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.	
Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the Schedule D Tax Worksheet in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.	

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,400	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (.15)	30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2012

**SCHEDULE D-1
(Form 1041)**Department of the Treasury
Internal Revenue Service**Continuation Sheet for Schedule D
(Form 1041)**

OMB No 1545-0092

2012

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

ANNA W THORNTON & ALEXANDER P THORNTON

Employer identification number

75-6496915

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4. ADT CORPORATION	VAR	10/04/2012	154.00	139.00	15.00
1.5 ADT CORPORATION	06/15/2012	10/05/2012	57.00	35.00	22.00
35000. CS QARN SPX 04/04/1	03/16/2012	10/05/2012	36,838.00	34,650.00	2,188.00
10. ADT CORPORATION	06/15/2012	10/10/2012	375.00	93.00	282.00
4. ADT CORPORATION	06/15/2012	10/15/2012	146.00	37.00	109.00
5. ADT CORPORATION	06/15/2012	10/16/2012	187.00	47.00	140.00
2. MARATHON PETROLEUM CORP	VAR	10/16/2012	110.00	96.00	14.00
3. MARATHON PETROLEUM CORP	VAR	10/16/2012	165.00	143.00	22.00
1. MARATHON PETROLEUM CORP	07/30/2012	10/16/2012	55.00	47.00	8.00
3. MARATHON PETROLEUM CORP	VAR	10/16/2012	166.00	142.00	24.00
13. MARATHON PETROLEUM COR	VAR	10/16/2012	712.00	611.00	101.00
7. MARATHON PETROLEUM CORP	VAR	10/17/2012	389.00	328.00	61.00
9. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	501.00	421.00	80.00
1. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	56.00	47.00	9.00
3. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	165.00	140.00	25.00
4. ABBOTT LABS	VAR	10/18/2012	264.00	276.00	-12.00
17. ABBOTT LABS	VAR	10/18/2012	1,112.00	1,114.00	-2.00
3. ABBOTT LABS	04/26/2012	10/18/2012	197.00	186.00	11.00
18. ABBOTT LABS	VAR	10/18/2012	1,182.00	1,099.00	83.00
4. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	240.00	188.00	52.00
9. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	539.00	423.00	116.00
1. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	60.00	47.00	13.00
3. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	179.00	141.00	38.00
3. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	177.00	141.00	36.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
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Name of estate or trust

ANNA W THORNTON & ALEXANDER P THORNTON

Employer identification number

75-6496915

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	119.00	94.00	25.00
8. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	476.00	376.00	100.00
957.474 MATTHEWS PACIFIC T FUND	06/21/2012	10/24/2012	22,644.00	20,126.00	2,518.00
36. ALTERA CORP	VAR	10/26/2012	1,092.00	1,380.00	-288.00
3. COVIDIEN PLC NEW	07/27/2012	10/26/2012	165.00	164.00	1.00
2. COVIDIEN PLC NEW	07/27/2012	10/31/2012	110.00	110.00	
1. COVIDIEN PLC NEW	07/27/2012	11/01/2012	55.00	55.00	
3. COVIDIEN PLC NEW	07/27/2012	11/02/2012	168.00	164.00	4.00
3. EOG RESOURCES INC	VAR	11/05/2012	349.00	340.00	9.00
1. EOG RESOURCES INC	09/07/2012	11/05/2012	116.00	113.00	3.00
4. PIONEER NAT RES CO	04/19/2012	11/05/2012	426.00	423.00	3.00
1. COVIDIEN PLC NEW	07/27/2012	11/05/2012	56.00	55.00	1.00
3. GOLDMAN SACHS GROUP INC	01/26/2012	11/09/2012	348.00	326.00	22.00
4. LAM RESEARCH CORPORATIO	01/06/2012	11/16/2012	137.00	150.00	-13.00
9. LAM RESEARCH CORPORATIO	VAR	11/19/2012	312.00	333.00	-21.00
8. LAM RESEARCH CORPORATIO	07/06/2012	11/19/2012	277.00	283.00	-6.00
5. LAM RESEARCH CORPORATIO	07/06/2012	11/20/2012	171.00	177.00	-6.00
4. CSX CORP	05/29/2012	12/04/2012	78.00	86.00	-8.00
18. CSX CORP	VAR	12/04/2012	353.00	388.00	-35.00
7. CSX CORP	VAR	12/05/2012	139.00	150.00	-11.00
33. FREEPORT-MCMORAN COPPE B	VAR	12/05/2012	1,082.00	1,498.00	-416.00
4. FREEPORT-MCMORAN COPPER	03/20/2012	12/05/2012	132.00	156.00	-24.00
3. CARNIVAL CORPORATION	09/07/2012	12/12/2012	114.00	111.00	3.00
3. CARNIVAL CORPORATION	09/07/2012	12/13/2012	114.00	111.00	3.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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SCHEDULE D-1
(Form 1041)

 Department of the Treasury
 Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

OMB No. 1545-0092

2012

Attach to Schedule D to list additional transactions for lines 1a and 6a.

 Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

Employer identification number

ANNA W THORNTON & ALEXANDER P THORNTON

75-6496915

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of 'Z' Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 23. ALTERA CORP	VAR	12/14/2012	757.00	872.00	-115.00
16. ALTERA CORP	VAR	12/14/2012	529.00	604.00	-75.00
2. CARNIVAL CORPORATION	09/07/2012	12/14/2012	76.00	74.00	2.00
1. INTERCONTINENTAL EXCHAN	01/23/2012	12/14/2012	129.00	119.00	10.00
3. INTERCONTINENTAL EXCHAN	01/23/2012	12/14/2012	384.00	356.00	28.00
2. CARNIVAL CORPORATION	09/07/2012	12/17/2012	76.00	74.00	2.00
2. CARNIVAL CORPORATION	09/07/2012	12/17/2012	77.00	74.00	3.00
5. CARNIVAL CORPORATION	VAR	12/17/2012	190.00	185.00	5.00
5. CARNIVAL CORPORATION	09/26/2012	12/17/2012	192.00	184.00	8.00
1. INTERCONTINENTAL EXCHAN	01/23/2012	12/17/2012	128.00	119.00	9.00
10. CARNIVAL CORPORATION	VAR	12/18/2012	389.00	369.00	20.00
3. CARNIVAL CORPORATION	09/10/2012	12/18/2012	118.00	110.00	8.00
2. CARNIVAL CORPORATION	09/10/2012	12/18/2012	78.00	74.00	4.00
13. CARNIVAL CORPORATION	VAR	12/18/2012	510.00	479.00	31.00
1. KINDER MORGAN INC	06/29/2012	01/10/2013	37.00	32.00	5.00
1. KINDER MORGAN INC	06/29/2012	01/10/2013	37.00	32.00	5.00
4. KINDER MORGAN INC	VAR	01/10/2013	148.00	128.00	20.00
1. KINDER MORGAN INC	06/22/2012	01/11/2013	37.00	32.00	5.00
1. KINDER MORGAN INC	06/22/2012	01/11/2013	37.00	32.00	5.00
1. KINDER MORGAN INC	06/22/2012	01/14/2013	37.00	32.00	5.00
1. LINKEDIN CORP - A	04/09/2012	01/14/2013	118.00	99.00	19.00
1. LINKEDIN CORP - A	04/09/2012	01/14/2013	118.00	99.00	19.00
1. KINDER MORGAN INC	06/22/2012	01/15/2013	37.00	32.00	5.00
1. KINDER MORGAN INC	06/28/2012	01/15/2013	37.00	31.00	6.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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**SCHEDULE D-1
(Form 1041)**

 Department of the Treasury
Internal Revenue Service

**Continuation Sheet for Schedule D
(Form 1041)**

OMB No 1545-0092

2012

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

ANNA W THORNTON & ALEXANDER P THORNTON

Employer identification number

75-6496915

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. LINKEDIN CORP - A	04/09/2012	01/15/2013	118.00	99.00	19.00
4. LINKEDIN CORP - A	04/09/2012	01/15/2013	471.00	396.00	75.00
1. GOLDMAN SACHS GROUP INC	01/26/2012	01/16/2013	141.00	109.00	32.00
2. GOLDMAN SACHS GROUP INC	01/26/2012	01/16/2013	279.00	217.00	62.00
2. KINDER MORGAN INC	06/28/2012	01/16/2013	73.00	62.00	11.00
1. KINDER MORGAN INC	06/28/2012	01/16/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/27/2012	01/16/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/27/2012	01/16/2013	37.00	31.00	6.00
1. LINKEDIN CORP - A	04/09/2012	01/16/2013	117.00	99.00	18.00
1. LINKEDIN CORP - A	04/09/2012	01/16/2013	118.00	99.00	19.00
2. LINKEDIN CORP - A	04/09/2012	01/16/2013	235.00	198.00	37.00
1. LINKEDIN CORP - A	04/09/2012	01/16/2013	118.00	99.00	19.00
2. ORACLE CORP	04/12/2012	01/16/2013	69.00	57.00	12.00
2. ORACLE CORP	04/12/2012	01/16/2013	70.00	57.00	13.00
4. ORACLE CORP	04/12/2012	01/16/2013	139.00	114.00	25.00
1. ORACLE CORP	04/12/2012	01/16/2013	35.00	29.00	6.00
1. ORACLE CORP	04/12/2012	01/16/2013	35.00	29.00	6.00
3. KINDER MORGAN INC	VAR	01/17/2013	112.00	93.00	19.00
1. KINDER MORGAN INC	06/25/2012	01/17/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/25/2012	01/17/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/28/2012	01/17/2013	37.00	31.00	6.00
1. LINKEDIN CORP - A	04/09/2012	01/17/2013	118.00	99.00	19.00
2. ORACLE CORP	04/12/2012	01/17/2013	69.00	57.00	12.00
14. ORACLE CORP	04/12/2012	01/17/2013	486.00	401.00	85.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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SCHEDULE D-1
(Form 1041)Department of the Treasury
Internal Revenue Service**Continuation Sheet for Schedule D**
(Form 1041)

OMB No 1545-0092

2012

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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Name of estate or trust

ANNA W THORNTON & ALEXANDER P THORNTON

Employer identification number

75-6496915

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4. EOG RESOURCES INC	01/19/2012	01/18/2013	505.00	423.00	82.00
1. EOG RESOURCES INC	04/24/2012	01/18/2013	126.00	104.00	22.00
1315.254 HIGHBRIDGE DYNAMI COMMODITIES	10/24/2012	01/18/2013	18,677.00	20,018.00	-1,341.00
2. KINDER MORGAN INC	VAR	01/18/2013	74.00	62.00	12.00
2. KINDER MORGAN INC	VAR	01/18/2013	74.00	62.00	12.00
1. KINDER MORGAN INC	06/27/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/27/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/27/2012	01/22/2013	37.00	31.00	6.00
2. KINDER MORGAN INC	VAR	01/22/2013	75.00	62.00	13.00
1. KINDER MORGAN INC	06/26/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/23/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/23/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/23/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/25/2012	01/23/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/25/2012	01/25/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/25/2012	01/25/2013	37.00	31.00	6.00
2. KINDER MORGAN INC	VAR	01/28/2013	75.00	62.00	13.00
1. KINDER MORGAN INC	06/26/2012	01/29/2013	37.00	31.00	6.00
2. AMERICAN EXPRESS CO	10/22/2012	02/01/2013	120.00	113.00	7.00
2. AMERICAN EXPRESS CO	10/22/2012	02/01/2013	120.00	113.00	7.00
2. AMERICAN EXPRESS CO	10/23/2012	02/01/2013	120.00	112.00	8.00
1. AUTOZONE INC	09/19/2012	02/01/2013	374.00	372.00	2.00
13. BROADCOM CORP CL A	08/13/2012	02/01/2013	428.00	456.00	-28.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2012

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**SCHEDULE D-1
(Form 1041)**Department of the Treasury
Internal Revenue Service**Continuation Sheet for Schedule D
(Form 1041)**

OMB No 1545-0092

2012

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 12. CBS CORP	12/03/2012	02/01/2013	507.00	434.00	73.00
11. CME GROUP INC	02/14/2012	02/01/2013	649.00	636.00	13.00
18. CSX CORP	VAR	02/01/2013	393.00	386.00	7.00
7. CAMERON INTERNATIONAL C	VAR	02/01/2013	457.00	387.00	70.00
16. CAREFUSION CORPORATION	VAR	02/01/2013	500.00	446.00	54.00
5. CERNER CORP	VAR	02/01/2013	421.00	409.00	12.00
15. CHENIERE ENERGY INC	VAR	02/01/2013	322.00	256.00	66.00
54. CISCO SYS INC	VAR	02/01/2013	1,124.00	1,119.00	5.00
4. CITRIX SYS INC	12/12/2012	02/01/2013	297.00	259.00	38.00
8. COGNIZANT TECHNOLOGY SO	05/07/2012	02/01/2013	631.00	467.00	164.00
27. COMCAST CORP NEW CL A	05/24/2012	02/01/2013	1,039.00	780.00	259.00
3. COSTCO WHSL CORP NEW	06/15/2012	02/01/2013	312.00	273.00	39.00
3. DAVITA INC	11/07/2012	02/01/2013	350.00	340.00	10.00
10. DOW CHEM CO	12/12/2012	02/01/2013	325.00	313.00	12.00
4. EOG RESOURCES INC	04/24/2012	02/01/2013	513.00	417.00	96.00
5. EMERSON ELEC CO	VAR	02/01/2013	290.00	275.00	15.00
10. FLUOR CORP	VAR	02/01/2013	658.00	554.00	104.00
B 14. FREEPORT-MCMORAN COPPE	03/20/2012	02/01/2013	500.00	546.00	-46.00
16. GENERAL MLS INC	VAR	02/01/2013	673.00	621.00	52.00
1. GOOGLE INC	09/26/2012	02/01/2013	774.00	754.00	20.00
7. HALLIBURTON CO	01/07/2013	02/01/2013	287.00	256.00	31.00
12. HOME DEPOT INC	03/20/2012	02/01/2013	808.00	593.00	215.00
12. HONEYWELL INTL INC	06/15/2012	02/01/2013	830.00	669.00	161.00
8. JOHNSON & JOHNSON	10/18/2012	02/01/2013	594.00	578.00	16.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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SCHEDULE D-1
(Form 1041)Department of the Treasury
Internal Revenue Service**Continuation Sheet for Schedule D**
(Form 1041)

OMB No 1545-0092

2012

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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Name of estate or trust

Employer identification number

ANNA W THORNTON & ALEXANDER P THORNTON

75-6496915

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 28. MASCO CORP	VAR	02/01/2013	519.00	460.00	59.00
2. MASTERCARD INC - CLASS	VAR	02/01/2013	1,030.00	957.00	73.00
16. MICROSOFT CORP	07/06/2012	02/01/2013	446.00	481.00	-35.00
23. MONDELEZ INTERNATIONAL	VAR	02/01/2013	648.00	627.00	21.00
10. NETAPP INC	03/20/2012	02/01/2013	361.00	454.00	-93.00
12. OCCIDENTAL PETE CORP	VAR	02/01/2013	1,052.00	1,072.00	-20.00
2. ORACLE CORP	04/12/2012	02/01/2013	72.00	57.00	15.00
16. PACCAR INC	VAR	02/01/2013	761.00	690.00	71.00
7. PEPSICO INC	VAR	02/01/2013	508.00	509.00	-1.00
10. PHILIP MORRIS INTERNAT	VAR	02/01/2013	878.00	887.00	-9.00
9. PHILLIPS 66	VAR	02/01/2013	562.00	481.00	81.00
3. PIONEER NAT RES CO	04/19/2012	02/01/2013	359.00	318.00	41.00
18. QUALCOMM INC	VAR	02/01/2013	1,201.00	1,111.00	90.00
8. TARGET CORP	VAR	02/01/2013	489.00	524.00	-35.00
4. 3M CO	01/14/2013	02/01/2013	406.00	389.00	17.00
22. TIME WARNER INC	09/26/2012	02/01/2013	1,118.00	985.00	133.00
7. UNITED TECHNOLOGIES COR	VAR	02/01/2013	628.00	571.00	57.00
10. WALTER INDS INC	02/02/2012	02/01/2013	375.00	742.00	-367.00
38. WELLS FARGO & CO NEW	VAR	02/01/2013	1,333.00	1,230.00	103.00
19. WILLIAMS COS INC	VAR	02/01/2013	671.00	643.00	28.00
9. ENSCO PLC	VAR	02/01/2013	578.00	449.00	129.00
21. INVESCO LTD SHS	VAR	02/01/2013	579.00	535.00	44.00
8. ACE LTD NEW	VAR	02/01/2013	683.00	564.00	119.00
11. TYCO INTERNATIONAL LTD	06/15/2012	02/01/2013	332.00	292.00	40.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2012

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**SCHEDULE D-1
(Form 1041)**Department of the Treasury
Internal Revenue Service**Continuation Sheet for Schedule D
(Form 1041)**

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 7. ASML HOLDING N.V.	10/26/2012	02/01/2013	546.00	511.00	35.00
1. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	60.00	56.00	4.00
2. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	119.00	112.00	7.00
2. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	119.00	112.00	7.00
1. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	60.00	56.00	4.00
1. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	60.00	56.00	4.00
2. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	119.00	112.00	7.00
281.252 JPM MID CAP CORE F	09/19/2012	02/04/2013	5,363.00	5,124.00	239.00
478.736 JPMORGAN INTERNATI CURRENCY	11/08/2012	02/04/2013	5,405.00	5,347.00	58.00
1. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	60.00	56.00	4.00
3. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	181.00	167.00	14.00
1. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	60.00	56.00	4.00
1. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	60.00	56.00	4.00
1. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	60.00	56.00	4.00
2. AMERICAN EXPRESS CO	VAR	02/05/2013	121.00	112.00	9.00
1. TIME WARNER CABLE INC	07/27/2012	02/07/2013	88.00	85.00	3.00
12. TIME WARNER CABLE INC	07/27/2012	02/07/2013	1,052.00	1,015.00	37.00
10. NETAPP INC	03/20/2012	02/08/2013	359.00	454.00	-95.00
3. TIME WARNER CABLE INC	07/27/2012	02/08/2013	263.00	254.00	9.00
18. NETAPP INC	07/06/2012	02/11/2013	639.00	534.00	105.00
2. GENERAL MLS INC	04/12/2012	02/13/2013	86.00	77.00	9.00
19. GENERAL MLS INC	04/12/2012	02/13/2013	815.00	736.00	79.00
4. HALLIBURTON CO	01/07/2013	02/13/2013	164.00	147.00	17.00
23. HALLIBURTON CO	VAR	02/13/2013	939.00	841.00	98.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2012

**SCHEDULE D-1
(Form 1041)**

 Department of the Treasury
Internal Revenue Service

**Continuation Sheet for Schedule D
(Form 1041)**

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 7. QUALCOMM INC	12/14/2012	03/06/2013	466.00	422.00	44.00
3683.086 EATON VANCE FLOAT	05/18/2012	03/12/2013	41,214.00	40,109.00	1,105.00
1535.537 JPM MID CAP CORE	VAR	03/12/2013	30,388.00	27,660.00	2,728.00
1. CBS CORP	12/03/2012	03/19/2013	47.00	36.00	11.00
2. CBS CORP	12/03/2012	03/19/2013	91.00	72.00	19.00
1. CBS CORP	12/03/2012	03/19/2013	47.00	36.00	11.00
3. CBS CORP	VAR	03/19/2013	137.00	107.00	30.00
1. CBS CORP	12/04/2012	03/19/2013	46.00	36.00	10.00
3. CBS CORP	12/04/2012	03/19/2013	137.00	107.00	30.00
1. CBS CORP	12/04/2012	03/19/2013	46.00	36.00	10.00
3. CBS CORP	12/04/2012	03/19/2013	46.00	36.00	10.00
	12/04/2012	03/20/2013	139.00	107.00	32.00
1. CBS CORP	12/04/2012	03/20/2013	46.00	36.00	10.00
2. CBS CORP	12/04/2012	03/20/2013	93.00	71.00	22.00
3. CBS CORP	12/04/2012	03/25/2013	136.00	107.00	29.00
6. CBS CORP	12/04/2012	03/25/2013	273.00	214.00	59.00
2. CBS CORP	12/04/2012	03/25/2013	91.00	71.00	20.00
2. CBS CORP	VAR	03/26/2013	92.00	71.00	21.00
2. CBS CORP	12/05/2012	03/26/2013	91.00	71.00	20.00
2. CBS CORP	12/05/2012	03/26/2013	91.00	71.00	20.00
3. CBS CORP	12/05/2012	03/28/2013	140.00	107.00	33.00
2. CBS CORP	12/05/2012	03/28/2013	93.00	71.00	22.00
2. CBS CORP	12/05/2012	04/01/2013	93.00	71.00	22.00
1. CBS CORP	12/05/2012	04/01/2013	46.00	36.00	10.00
1. CBS CORP	12/05/2012	04/01/2013	46.00	36.00	10.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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**SCHEDULE D-1
(Form 1041)**Department of the Treasury
Internal Revenue Service**Continuation Sheet for Schedule D
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1a 1. CBS CORP	12/05/2012	04/01/2013	46.00	36.00	10.00
1. CBS CORP	12/05/2012	04/02/2013	45.00	36.00	9.00
2. CBS CORP	12/05/2012	04/03/2013	90.00	71.00	19.00
4. BAIDU.COM-ADR	09/26/2012	04/04/2013	341.00	452.00	-111.00
4. CBS CORP	12/05/2012	04/04/2013	180.00	142.00	38.00
9. CAMERON INTERNATIONAL C	VAR	04/04/2013	551.00	579.00	-28.00
19. CHENIERE ENERGY INC	VAR	04/04/2013	481.00	406.00	75.00
357.143 JPMORGAN INTERNATI CURRENCY	11/08/2012	04/04/2013	4,000.00	3,988.00	12.00
207.414 JPMORGAN EQUITY IN	10/24/2012	04/04/2013	2,350.00	2,147.00	203.00
1209.19 JPMORGAN HIGH YIEL	03/12/2013	04/04/2013	10,000.00	9,988.00	12.00
4. CAMERON INTERNATIONAL C	VAR	04/05/2013	243.00	239.00	4.00
12. CHENIERE ENERGY INC	VAR	04/05/2013	309.00	203.00	106.00
10. CAREFUSION CORPORATION	VAR	04/08/2013	343.00	272.00	71.00
1. CAREFUSION CORPORATION	05/01/2012	04/08/2013	34.00	26.00	8.00
9. PRUDENTIAL FINL INC	06/05/2012	04/08/2013	500.00	413.00	87.00
3. CAREFUSION CORPORATION	04/30/2012	04/09/2013	104.00	78.00	26.00
5. CAREFUSION CORPORATION	VAR	04/09/2013	173.00	130.00	43.00
3. CAREFUSION CORPORATION	VAR	04/09/2013	104.00	78.00	26.00
1. CAREFUSION CORPORATION	04/13/2012	04/10/2013	35.00	26.00	9.00
5. CAREFUSION CORPORATION	VAR	04/10/2013	173.00	129.00	44.00
1. CAREFUSION CORPORATION	04/20/2012	04/10/2013	35.00	26.00	9.00
5. EMERSON ELEC CO	VAR	04/12/2013	280.00	274.00	6.00
14. 3M CO	VAR	04/22/2013	1,479.00	1,357.00	122.00
9. CISCO SYS INC	02/08/2013	04/25/2013	185.00	191.00	-6.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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SCHEDULE D-1
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Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
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OMB No 1545-0092

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1a 4. CISCO SYS INC	02/08/2013	04/25/2013	83.00	85.00	-2.00
15. CISCO SYS INC	02/08/2013	04/25/2013	310.00	318.00	-8.00
27. CISCO SYS INC	VAR	04/25/2013	557.00	523.00	34.00
8. CISCO SYS INC	12/05/2012	04/26/2013	165.00	155.00	10.00
9. MERCK & CO INC	03/12/2013	05/01/2013	412.00	407.00	5.00
6. MERCK & CO INC	03/12/2013	05/01/2013	272.00	271.00	1.00
8. AMERICAN INTL GROUP INC	04/08/2013	05/07/2013	358.00	314.00	44.00
24. AMERICAN INTL GROUP IN	VAR	05/07/2013	1,068.00	932.00	136.00
4. VMWARE INC-CLASS A	VAR	05/07/2013	307.00	339.00	-32.00
8. CME GROUP INC	06/05/2012	05/09/2013	487.00	429.00	58.00
3. CME GROUP INC	VAR	05/10/2013	185.00	158.00	27.00
5. CME GROUP INC	VAR	05/10/2013	304.00	255.00	49.00
1. TEXAS INSTRS INC	03/07/2013	05/14/2013	37.00	35.00	2.00
1. TEXAS INSTRS INC	03/07/2013	05/15/2013	37.00	35.00	2.00
1. TEXAS INSTRS INC	03/07/2013	05/15/2013	37.00	35.00	2.00
1. TEXAS INSTRS INC	03/07/2013	05/15/2013	37.00	35.00	2.00
1. TEXAS INSTRS INC	03/07/2013	05/16/2013	37.00	35.00	2.00
1. TEXAS INSTRS INC	03/07/2013	05/16/2013	37.00	35.00	2.00
1. TEXAS INSTRS INC	03/07/2013	05/16/2013	37.00	35.00	2.00
2. CHENIERE ENERGY INC	10/22/2012	05/17/2013	61.00	32.00	29.00
1. CHENIERE ENERGY INC	10/22/2012	05/17/2013	31.00	16.00	15.00
1. CHENIERE ENERGY INC	10/22/2012	05/17/2013	31.00	16.00	15.00
4. TEXAS INSTRS INC	03/07/2013	05/17/2013	147.00	141.00	6.00
1. CHENIERE ENERGY INC	10/22/2012	05/20/2013	31.00	16.00	15.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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**SCHEDULE D-1
(Form 1041)**Department of the Treasury
Internal Revenue Service**Continuation Sheet for Schedule D
(Form 1041)**

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust

ANNA W THORNTON & ALEXANDER P THORNTON

Employer identification number

75-6496915

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. CHENIERE ENERGY INC	10/22/2012	05/20/2013	31.00	16.00	15.00
4. TEXAS INSTRS INC	03/06/2013	05/20/2013	147.00	140.00	7.00
1. CHENIERE ENERGY INC	10/22/2012	05/21/2013	31.00	16.00	15.00
2. CHENIERE ENERGY INC	VAR	05/21/2013	61.00	32.00	29.00
2. TEXAS INSTRS INC	03/06/2013	05/21/2013	73.00	70.00	3.00
2. CHENIERE ENERGY INC	10/24/2012	05/22/2013	62.00	32.00	30.00
3. TEXAS INSTRS INC	03/06/2013	05/22/2013	108.00	105.00	3.00
1. CHENIERE ENERGY INC	10/24/2012	05/23/2013	29.00	16.00	13.00
1. CHENIERE ENERGY INC	10/24/2012	05/23/2013	29.00	16.00	13.00
1. CHENIERE ENERGY INC	10/24/2012	05/23/2013	29.00	16.00	13.00
18. TEXAS INSTRS INC	VAR	05/23/2013	646.00	632.00	14.00
8. TEXAS INSTRS INC	VAR	05/23/2013	287.00	280.00	7.00
13. VMWARE INC-CLASS A	VAR	05/30/2013	929.00	1,097.00	-168.00
1. WILLIAMS COS INC	01/10/2013	06/07/2013	35.00	34.00	1.00
3. WILLIAMS COS INC	01/10/2013	06/07/2013	106.00	101.00	5.00
1. WILLIAMS COS INC	01/10/2013	06/07/2013	35.00	34.00	1.00
1. WILLIAMS COS INC	01/10/2013	06/10/2013	35.00	34.00	1.00
1. WILLIAMS COS INC	01/10/2013	06/10/2013	35.00	34.00	1.00
2. WILLIAMS COS INC	VAR	06/10/2013	70.00	67.00	3.00
2. WILLIAMS COS INC	01/11/2013	06/10/2013	70.00	67.00	3.00
1. METLIFE INC	04/08/2013	06/13/2013	45.00	36.00	9.00
5. WILLIAMS COS INC	VAR	06/13/2013	168.00	168.00	
6. WILLIAMS COS INC	VAR	06/13/2013	201.00	201.00	
4. WILLIAMS COS INC	VAR	06/13/2013	133.00	134.00	-1.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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SCHEDULE D-1
(Form 1041)Department of the Treasury
Internal Revenue Service**Continuation Sheet for Schedule D**
(Form 1041)

OMB No 1545-0092

2012

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

Employer identification number

ANNA W THORNTON & ALEXANDER P THORNTON

75-6496915

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. OCCIDENTAL PETE CORP	VAR	06/21/2013	449.00	467.00	-18.00
1. ALLERGAN INC	05/07/2013	06/24/2013	84.00	105.00	-21.00
1. ALLERGAN INC	05/07/2013	06/24/2013	84.00	105.00	-21.00
2. ALLERGAN INC	VAR	06/24/2013	167.00	203.00	-36.00
1. ALLERGAN INC	05/22/2013	06/24/2013	86.00	99.00	-13.00
1. ALLERGAN INC	05/22/2013	06/24/2013	84.00	99.00	-15.00
1. ALLERGAN INC	05/22/2013	06/24/2013	85.00	99.00	-14.00
1. ALLERGAN INC	05/22/2013	06/24/2013	86.00	99.00	-13.00
1. INVESCO LTD SHS	12/14/2012	06/28/2013	32.00	25.00	7.00
1. INVESCO LTD SHS	12/14/2012	06/28/2013	32.00	25.00	7.00
2. INVESCO LTD SHS	12/14/2012	06/28/2013	64.00	51.00	13.00
1. INVESCO LTD SHS	12/14/2012	06/28/2013	32.00	25.00	7.00
1. ONYX PHARMACEUTICALS IN	04/01/2013	07/01/2013	131.00	91.00	40.00
2. ONYX PHARMACEUTICALS IN	VAR	07/01/2013	262.00	181.00	81.00
2. ONYX PHARMACEUTICALS IN	03/28/2013	07/01/2013	264.00	178.00	86.00
1. ONYX PHARMACEUTICALS IN	03/28/2013	07/01/2013	131.00	89.00	42.00
7. PHILLIPS 66	VAR	07/02/2013	399.00	372.00	27.00
1. APACHE CORP	05/20/2013	07/03/2013	81.00	84.00	-3.00
1. APACHE CORP	05/20/2013	07/03/2013	80.00	84.00	-4.00
1. INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00	7.00
1. INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00	7.00
1. INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00	7.00
1. INVESCO LTD SHS	12/14/2012	07/09/2013	32.00	25.00	7.00
1. INVESCO LTD SHS	12/14/2012	07/09/2013	32.00	25.00	7.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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SCHEDULE D-1
(Form 1041)

 Department of the Treasury
 Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

OMB No. 1545-0092

2012

Attach to Schedule D to list additional transactions for lines 1a and 6a.

 Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

Employer identification number

ANNA W THORNTON & ALEXANDER P THORNTON

75-6496915

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. INVESCO LTD SHS	12/14/2012	07/10/2013	32.00	25.00	7.00
1. INVESCO LTD SHS	12/14/2012	07/10/2013	31.00	25.00	6.00
2. INVESCO LTD SHS	12/14/2012	07/11/2013	64.00	51.00	13.00
1. INVESCO LTD SHS	12/14/2012	07/11/2013	32.00	25.00	7.00
7. TIME WARNER INC	VAR	07/12/2013	429.00	396.00	33.00
3. INVESCO LTD SHS	12/14/2012	07/12/2013	96.00	76.00	20.00
1. INVESCO LTD SHS	12/14/2012	07/15/2013	32.00	25.00	7.00
1. GOOGLE INC	05/22/2013	07/16/2013	917.00	906.00	11.00
1. GOOGLE INC	05/22/2013	07/16/2013	918.00	904.00	14.00
1. INVESCO LTD SHS	12/14/2012	07/16/2013	32.00	25.00	7.00
1. INVESCO LTD SHS	12/14/2012	07/18/2013	32.00	25.00	7.00
1. INVESCO LTD SHS	12/14/2012	07/18/2013	33.00	25.00	8.00
4. WALTER INDS INC	09/07/2012	07/24/2013	48.00	138.00	-90.00
1. WALTER INDS INC	09/07/2012	07/25/2013	12.00	35.00	-23.00
5. LINKEDIN CORP - A	VAR	07/29/2013	1,013.00	890.00	123.00
1. JOHNSON CTLS INC	05/20/2013	07/30/2013	41.00	38.00	3.00
1. JOHNSON CTLS INC	05/20/2013	07/30/2013	41.00	38.00	3.00
1. JOHNSON CTLS INC	05/20/2013	07/30/2013	41.00	38.00	3.00
2. JOHNSON CTLS INC	05/20/2013	07/31/2013	81.00	75.00	6.00
2. ONYX PHARMACEUTICALS IN	04/03/2013	07/31/2013	264.00	176.00	88.00
2. WALTER INDS INC	09/07/2012	07/31/2013	23.00	69.00	-46.00
2511.881 DELAWARE EMERGING FUND	VAR	08/01/2013	37,000.00	37,463.00	-463.00
500. ISHARES MSCI EMERGING INDEX	11/08/2012	08/01/2013	19,725.00	20,733.00	-1,008.00
2. JOHNSON CTLS INC	05/20/2013	08/01/2013	82.00	75.00	7.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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**SCHEDULE D-1
(Form 1041)**

 Department of the Treasury
Internal Revenue Service

**Continuation Sheet for Schedule D
(Form 1041)**

OMB No 1545-0092

2012

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

ANNA W THORNTON & ALEXANDER P THORNTON

Employer identification number

75-6496915

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. JOHNSON CTLS INC	05/20/2013	08/01/2013	41.00	38.00	3.00
48.873 JPMORGAN INTERNATIO	11/08/2012	08/01/2013	538.00	546.00	-8.00
1. NISOURCE INC	04/05/2013	08/01/2013	31.00	30.00	1.00
2. ONYX PHARMACEUTICALS IN	04/04/2013	08/01/2013	267.00	173.00	94.00
1. ONYX PHARMACEUTICALS IN	04/04/2013	08/01/2013	134.00	87.00	47.00
5. WALTER INDS INC	09/07/2012	08/01/2013	58.00	173.00	-115.00
1. JOHNSON CTLS INC	05/20/2013	08/02/2013	41.00	38.00	3.00
1. NISOURCE INC	04/05/2013	08/02/2013	31.00	30.00	1.00
5. XILINX INC	05/08/2013	08/02/2013	231.00	193.00	38.00
1. JOHNSON CTLS INC	05/20/2013	08/05/2013	41.00	38.00	3.00
1. XILINX INC	05/08/2013	08/05/2013	46.00	39.00	7.00
4. XILINX INC	VAR	08/05/2013	184.00	154.00	30.00
1. NISOURCE INC	04/05/2013	08/06/2013	30.00	30.00	
2. WALTER INDS INC	VAR	08/06/2013	21.00	69.00	-48.00
1. WALTER INDS INC	09/07/2012	08/06/2013	10.00	35.00	-25.00
6. XILINX INC	05/08/2013	08/06/2013	275.00	230.00	45.00
3. XILINX INC	05/08/2013	08/06/2013	137.00	115.00	22.00
1. NISOURCE INC	04/05/2013	08/07/2013	31.00	30.00	1.00
1. WALTER INDS INC	09/07/2012	08/07/2013	10.00	35.00	-25.00
3. WALTER INDS INC	09/07/2012	08/07/2013	30.00	104.00	-74.00
1. XILINX INC	05/08/2013	08/07/2013	46.00	38.00	8.00
3. XILINX INC	VAR	08/07/2013	137.00	115.00	22.00
1. XILINX INC	05/07/2013	08/07/2013	46.00	38.00	8.00
2. XILINX INC	05/07/2013	08/08/2013	91.00	76.00	15.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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SCHEDULE D-1
(Form 1041)Department of the Treasury
Internal Revenue Service**Continuation Sheet for Schedule D**
(Form 1041)

OMB No 1545-0092

2012

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

ANNA W THORNTON & ALEXANDER P THORNTON

Employer identification number

75-6496915

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. XILINX INC	05/07/2013	08/09/2013	45.00	38.00	7.00
3. NISOURCE INC	04/05/2013	08/12/2013	91.00	89.00	2.00
1. XILINX INC	05/07/2013	08/12/2013	45.00	38.00	7.00
13. NISOURCE INC	VAR	08/13/2013	400.00	382.00	18.00
1. XILINX INC	05/07/2013	08/13/2013	45.00	38.00	7.00
1. XILINX INC	05/08/2013	08/13/2013	45.00	38.00	7.00
3. NISOURCE INC	02/27/2013	08/14/2013	92.00	82.00	10.00
1. TARGET CORP	04/24/2013	08/14/2013	70.00	70.00	
1. TARGET CORP	04/24/2013	08/14/2013	70.00	70.00	
2. TARGET CORP	VAR	08/14/2013	140.00	139.00	1.00
1. CERNER CORP	01/15/2013	08/15/2013	47.00	40.00	7.00
3. CERNER CORP	01/15/2013	08/15/2013	141.00	121.00	20.00
1. TARGET CORP	04/23/2013	08/15/2013	69.00	70.00	-1.00
3. TARGET CORP	VAR	08/15/2013	208.00	208.00	
4. ASML HOLDING N.V.	10/26/2012	08/15/2013	365.00	292.00	73.00
5. CERNER CORP	VAR	08/16/2013	236.00	200.00	36.00
2. CERNER CORP	11/07/2012	08/19/2013	95.00	80.00	15.00
3. NISOURCE INC	02/27/2013	08/19/2013	89.00	82.00	7.00
1. NISOURCE INC	02/27/2013	08/20/2013	30.00	27.00	3.00
3. EDISON INTL	VAR	09/11/2013	135.00	143.00	-8.00
7. ASML HOLDING N.V.	VAR	09/11/2013	625.00	509.00	116.00
1. EDISON INTL	06/18/2013	09/12/2013	45.00	48.00	-3.00
7. EDISON INTL	VAR	09/12/2013	312.00	331.00	-19.00
15. DOW CHEM CO	VAR	09/13/2013	595.00	499.00	96.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

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Schedule D-1 (Form 1041) 2012

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Department of the Treasury
Internal Revenue Service

▶ **Attach to Schedule D to list additional transactions for lines 1a and 6a.**

OMB No. 1545-0092

2012

► Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

Employer identification number

ANNA W THORNTON & ALEXANDER P THORNTON

75-6496915

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1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	11,592.00
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

ANNA W THORNTON & ALEXANDER P THORNTON

75-6496915

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1. ADT CORPORATION	06/02/2010	10/05/2012	39.00	52.00	-13.00
7.5 ADT CORPORATION	11/10/2010	10/05/2012	283.00	190.00	93.00
. PENTAIR LTD		10/11/2012	38.00		38.00
8. KRAFT FOODS GROUP INC	VAR	10/15/2012	377.00	292.00	85.00
2. KRAFT FOODS GROUP INC	08/04/2011	10/15/2012	94.00	73.00	21.00
1. KRAFT FOODS GROUP INC	08/04/2011	10/15/2012	47.00	36.00	11.00
6. KRAFT FOODS GROUP INC	08/04/2011	10/15/2012	284.00	216.00	68.00
15. KRAFT FOODS GROUP INC	VAR	10/16/2012	703.00	544.00	159.00
9. DU PONT E I DE NEMOURS	06/10/2010	10/17/2012	448.00	329.00	119.00
7. DU PONT E I DE NEMOURS	06/10/2010	10/18/2012	351.00	256.00	95.00
6. DU PONT E I DE NEMOURS	06/10/2010	10/18/2012	299.00	219.00	80.00
3. CENTERPOINT ENERGY INC	08/11/2011	10/19/2012	64.00	55.00	9.00
14. CENTERPOINT ENERGY INC	08/11/2011	10/19/2012	299.00	258.00	41.00
12. CENTERPOINT ENERGY INC	VAR	10/22/2012	255.00	200.00	55.00
38. CENTERPOINT ENERGY INC	03/10/2011	10/22/2012	806.00	611.00	195.00
1. CENTERPOINT ENERGY INC	03/10/2011	10/22/2012	21.00	16.00	5.00
1. CENTERPOINT ENERGY INC	03/10/2011	10/22/2012	21.00	16.00	5.00
5. CAPITAL ONE FINL CORP	VAR	10/23/2012	297.00	218.00	79.00
2. CAPITAL ONE FINL CORP	08/10/2011	10/23/2012	120.00	84.00	36.00
1. CAPITAL ONE FINL CORP	08/10/2011	10/23/2012	60.00	42.00	18.00
5. CAPITAL ONE FINL CORP	VAR	10/24/2012	301.00	206.00	95.00
1807.75 JPMORGAN STRATEGIC OPPTYS FUND SEL	VAR	10/24/2012	21,331.00	18,180.00	3,151.00
33. LOWES COS INC	02/19/2010	10/24/2012	1,071.00	758.00	313.00
5. LOWES COS INC	02/19/2010	10/24/2012	161.00	115.00	46.00
3. LOWES COS INC	02/19/2010	10/25/2012	95.00	69.00	26.00
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

ANNA W THORNTON & ALEXANDER P THORNTON

75-6496915

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 3. LOWES COS INC	02/19/2010	10/25/2012	97.00	69.00	28.00
30000. HSBC BREN SPX 10/31	10/14/2011	10/31/2012	35,670.00	29,700.00	5,970.00
14. AT&T INC	12/05/2007	11/05/2012	484.00	537.00	-53.00
963.489 JPM INFLATION MGD	08/25/2011	11/05/2012	10,454.00	10,194.00	260.00
26. LAUDER ESTEE COS INC C	VAR	11/05/2012	1,545.00	1,317.00	228.00
1. COVIDIEN PLC NEW	08/16/2011	11/05/2012	56.00	50.00	6.00
5. CENTERPOINT ENERGY INC	03/10/2011	11/07/2012	104.00	80.00	24.00
10. CENTERPOINT ENERGY INC	03/10/2011	11/07/2012	209.00	161.00	48.00
20000. GS BREN EEM 11/05/1	10/19/2011	11/07/2012	23,047.00	19,800.00	3,247.00
. MICRON TECHNOLOGY INC		11/07/2012	30.00		30.00
1. CENTERPOINT ENERGY INC	03/10/2011	11/08/2012	21.00	16.00	5.00
41. CENTERPOINT ENERGY INC	VAR	11/08/2012	849.00	658.00	191.00
7. CENTERPOINT ENERGY INC	03/14/2011	11/08/2012	143.00	111.00	32.00
12. CENTERPOINT ENERGY INC	03/14/2011	11/08/2012	249.00	190.00	59.00
1. GOLDMAN SACHS GROUP INC	10/15/2008	11/08/2012	116.00	117.00	-1.00
1. GOLDMAN SACHS GROUP INC	10/15/2008	11/08/2012	116.00	117.00	-1.00
1. GOLDMAN SACHS GROUP INC	10/15/2008	11/08/2012	116.00	117.00	-1.00
1. GOLDMAN SACHS GROUP INC	10/15/2008	11/08/2012	116.00	117.00	-1.00
1. GOLDMAN SACHS GROUP INC	10/15/2008	11/09/2012	115.00	117.00	-2.00
943.408 JPM INFLATION MGD	08/25/2011	11/12/2012	10,255.00	9,981.00	274.00
826.727 JPMORGAN INTERNATI FUND	09/16/2009	11/12/2012	9,979.00	10,814.00	-835.00
465.307 RIDGEWORTH SEIX FL FD I*	08/25/2011	11/13/2012	4,165.00	3,913.00	252.00
5. JOHNSON CTLS INC	10/28/2011	11/15/2012	125.00	167.00	-42.00
11. JOHNSON CTLS INC	VAR	11/15/2012	276.00	359.00	-83.00
4. JOHNSON CTLS INC	01/04/2006	11/15/2012	100.00	99.00	1.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

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ANNA W THORNTON & ALEXANDER P THORNTON

75-6496915

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 4. LAM RESEARCH CORPORATIO	10/28/2011	11/15/2012	139.00	175.00	-36.00
19. JOHNSON CTLS INC	VAR	11/16/2012	476.00	461.00	15.00
7. LAM RESEARCH CORPORATIO	10/28/2011	11/16/2012	241.00	307.00	-66.00
4. LAM RESEARCH CORPORATIO	10/28/2011	11/16/2012	137.00	175.00	-38.00
5000. FANNIE MAE	10/09/2009	11/19/2012	5,000.00	5,461.00	-461.00
13. DU PONT E I DE NEMOURS	VAR	12/03/2012	557.00	468.00	89.00
11. DU PONT E I DE NEMOURS	05/24/2010	12/03/2012	470.00	395.00	75.00
17. DU PONT E I DE NEMOURS	VAR	12/03/2012	723.00	606.00	117.00
1. DU PONT E I DE NEMOURS	06/02/2010	12/04/2012	42.00	36.00	6.00
10. DU PONT E I DE NEMOURS	06/02/2010	12/04/2012	425.00	356.00	69.00
10. FREEPORT-MCMORAN COPPE B	11/08/2011	12/05/2012	328.00	416.00	-88.00
. BANK OF AMERICA CORPORAT		12/06/2012	3.00		3.00
. ASML HOLDING N.V.		12/10/2012	40.00		40.00
7. AT&T INC	VAR	12/14/2012	238.00	268.00	-30.00
23. AT&T INC	12/03/2007	12/14/2012	784.00	881.00	-97.00
. CASH RECEIPT SECURITIES		12/14/2012	137.00		137.00
2. INTERCONTINENTAL EXCHAN	08/10/2011	12/17/2012	256.00	223.00	33.00
2. INTERCONTINENTAL EXCHAN	08/10/2011	12/17/2012	255.00	223.00	32.00
2. INTERCONTINENTAL EXCHAN	08/10/2011	12/18/2012	256.00	223.00	33.00
27. AT&T INC	VAR	12/20/2012	917.00	847.00	70.00
27. AT&T INC	07/11/2011	12/21/2012	912.00	832.00	80.00
25. LENNAR CORP	10/21/2011	01/10/2013	1,025.00	415.00	610.00
7. LENNAR CORP	10/21/2011	01/10/2013	287.00	116.00	171.00
11. PROCTER & GAMBLE CO	VAR	01/15/2013	768.00	669.00	99.00
3. GOLDMAN SACHS GROUP INC	09/18/2008	01/16/2013	423.00	309.00	114.00

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2. GOLDMAN SACHS GROUP INC	09/18/2008	01/16/2013	282.00	206.00	76.00
1. GOLDMAN SACHS GROUP INC	10/14/2011	01/16/2013	139.00	97.00	42.00
. DELL INC		01/17/2013	3.00		3.00
21. JOHNSON CTLS INC	07/20/2006	01/18/2013	645.00	496.00	149.00
4. JOHNSON CTLS INC	07/20/2006	01/18/2013	123.00	94.00	29.00
363.456 HIGHBRIDGE DYNAMIC	06/21/2010	01/18/2013	5,161.00	5,190.00	-29.00
513.998 JPMORGAN INTERNATI FUND	09/16/2009	01/18/2013	6,800.00	6,723.00	77.00
4. ALLERGAN INC	01/19/2012	02/01/2013	426.00	348.00	78.00
3. AMAZON COM INC	08/13/2010	02/01/2013	794.00	378.00	416.00
7. ANADARKO PETE CORP	09/20/2011	02/01/2013	568.00	533.00	35.00
5. APPLE COMPUTER INC	11/03/2009	02/01/2013	2,268.00	939.00	1,329.00
74. BANK OF AMERICA CORPOR	VAR	02/01/2013	865.00	1,473.00	-608.00
5. BIOGEN IDEC INC	VAR	02/01/2013	785.00	279.00	506.00
13. CARDINAL HEALTH INC	07/02/2010	02/01/2013	568.00	442.00	126.00
8. CELGENE CORP.	12/17/2007	02/01/2013	813.00	399.00	414.00
22. CITIGROUP INC NEW	VAR	02/01/2013	945.00	874.00	71.00
15000. DB 95% PPN FX BASKE	07/29/2011	02/01/2013	14,250.00	15,010.00	-760.00
11. EMERSON ELEC CO	07/13/2011	02/01/2013	639.00	623.00	16.00
10. EXXON MOBIL CORP	10/27/1996	02/01/2013	898.00	222.00	676.00
1. FREEPORT-MCMORAN COPPER	02/09/2009	02/01/2013	36.00	15.00	21.00
27. GENERAL MOTORS CO	VAR	02/01/2013	762.00	938.00	-176.00
4. GOLDMAN SACHS GROUP INC	10/14/2011	02/01/2013	599.00	387.00	212.00
7. HUMANA INC	VAR	02/01/2013	524.00	588.00	-64.00
16. JOHNSON CTLS INC	07/20/2006	02/01/2013	499.00	378.00	121.00
370.223 JPMORGAN MARKET EX INDEX FUND	08/18/2011	02/01/2013	4,224.00	3,502.00	722.00

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75-6496915

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 16. JUNIPER NETWORKS INC	VAR	02/01/2013	357.00	277.00	80.00
8. LENNAR CORP	VAR	02/01/2013	329.00	119.00	210.00
25. LOWES COS INC	VAR	02/01/2013	969.00	551.00	418.00
25. MERCK & CO INC	09/14/2009	02/01/2013	1,047.00	822.00	225.00
22. METLIFE INC	VAR	02/01/2013	838.00	892.00	-54.00
49. MICROSOFT CORP	VAR	02/01/2013	1,367.00	861.00	506.00
1. OCCIDENTAL PETE CORP	10/06/2011	02/01/2013	88.00	79.00	9.00
28. ORACLE CORP	VAR	02/01/2013	1,013.00	722.00	291.00
1. PACCAR INC	08/07/2008	02/01/2013	48.00	43.00	5.00
45. PFIZER INC	VAR	02/01/2013	1,242.00	647.00	595.00
1. PIONEER NAT RES CO	01/19/2012	02/01/2013	120.00	101.00	19.00
10. PROCTER & GAMBLE CO	02/14/2005	02/01/2013	757.00	527.00	230.00
10. PRUDENTIAL FINL INC	VAR	02/01/2013	586.00	492.00	94.00
15. SCHLUMBERGER LTD	05/12/2011	02/01/2013	1,193.00	1,255.00	-62.00
10. TIME WARNER INC	VAR	02/01/2013	508.00	314.00	194.00
10. UNITED TECHNOLOGIES CO	12/05/2011	02/01/2013	897.00	776.00	121.00
12. UNITEDHEALTH GROUP INC	VAR	02/01/2013	669.00	622.00	47.00
16. VERIZON COMMUNICATIONS	VAR	02/01/2013	713.00	567.00	146.00
6. VERTEX PHARMACEUTICALS	12/15/2011	02/01/2013	273.00	188.00	85.00
13. WELLS FARGO & CO NEW	12/17/2007	02/01/2013	456.00	393.00	63.00
10. YUM BRANDS INC	VAR	02/01/2013	658.00	350.00	308.00
9. COVIDIEN PLC NEW	VAR	02/01/2013	562.00	347.00	215.00
2. ACE LTD NEW	01/09/2012	02/01/2013	171.00	140.00	31.00
2. TYCO INTERNATIONAL LTD	11/10/2010	02/01/2013	60.00	39.00	21.00
611.987 T ROWE PRICE OVERS FUND	12/15/2011	02/04/2013	5,343.00	4,449.00	894.00

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6a 4. MERCK & CO INC	09/14/2009	02/05/2013	164.00	132.00	32.00
5. MERCK & CO INC	09/14/2009	02/05/2013	206.00	164.00	42.00
3. MERCK & CO INC	09/14/2009	02/05/2013	124.00	99.00	25.00
2. PFIZER INC	02/17/2009	02/05/2013	55.00	29.00	26.00
13. PFIZER INC	02/17/2009	02/05/2013	357.00	186.00	171.00
2. PFIZER INC	02/17/2009	02/05/2013	55.00	29.00	26.00
3. MERCK & CO INC	09/14/2009	02/06/2013	123.00	99.00	24.00
3. MERCK & CO INC	09/14/2009	02/06/2013	123.00	99.00	24.00
11. PFIZER INC	02/17/2009	02/06/2013	301.00	158.00	143.00
2. PFIZER INC	02/17/2009	02/06/2013	55.00	29.00	26.00
12. JOHNSON CTLS INC	07/20/2006	02/07/2013	368.00	283.00	85.00
3. MERCK & CO INC	09/14/2009	02/07/2013	123.00	99.00	24.00
3. PFIZER INC	02/17/2009	02/07/2013	81.00	43.00	38.00
4. JOHNSON CTLS INC	07/20/2006	02/08/2013	124.00	94.00	30.00
10. MERCK & CO INC	09/14/2009	02/08/2013	410.00	329.00	81.00
1. NETAPP INC	09/20/2011	02/08/2013	36.00	36.00	
1. NETAPP INC	09/20/2011	02/08/2013	36.00	36.00	
2. NETAPP INC	09/20/2011	02/08/2013	72.00	71.00	1.00
6. NETAPP INC	09/20/2011	02/08/2013	213.00	214.00	-1.00
1. PFIZER INC	02/17/2009	02/08/2013	27.00	14.00	13.00
2. PFIZER INC	02/17/2009	02/08/2013	54.00	29.00	25.00
4. PFIZER INC	02/17/2009	02/08/2013	107.00	57.00	50.00
5. PFIZER INC	02/17/2009	02/08/2013	134.00	72.00	62.00
10. NETAPP INC	09/20/2011	02/11/2013	355.00	356.00	-1.00
4. PFIZER INC	02/17/2009	02/11/2013	108.00	57.00	51.00

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 6. PFIZER INC	02/17/2009	02/11/2013	162.00	86.00	76.00
1. PFIZER INC	02/17/2009	02/11/2013	27.00	14.00	13.00
1. PFIZER INC	02/17/2009	02/11/2013	27.00	14.00	13.00
1. PFIZER INC	02/17/2009	02/11/2013	27.00	14.00	13.00
1. PFIZER INC	02/17/2009	02/11/2013	27.00	14.00	13.00
1. PFIZER INC	02/17/2009	02/11/2013	27.00	14.00	13.00
1. PFIZER INC	02/17/2009	02/11/2013	27.00	14.00	13.00
3. PFIZER INC	VAR	02/12/2013	81.00	43.00	38.00
4. PFIZER INC	02/17/2009	02/12/2013	108.00	57.00	51.00
1. PFIZER INC	02/17/2009	02/12/2013	27.00	14.00	13.00
1. PFIZER INC	02/17/2009	02/12/2013	27.00	14.00	13.00
2. PFIZER INC	02/17/2009	02/12/2013	54.00	29.00	25.00
2. PFIZER INC	02/17/2009	02/12/2013	54.00	29.00	25.00
1. PFIZER INC	02/17/2009	02/12/2013	27.00	14.00	13.00
6. GENERAL MLS INC	01/27/2009	02/13/2013	258.00	180.00	78.00
1. PFIZER INC	02/17/2009	02/13/2013	27.00	14.00	13.00
3. PFIZER INC	02/17/2009	02/13/2013	81.00	43.00	38.00
4. PFIZER INC	02/17/2009	02/13/2013	108.00	57.00	51.00
6. PFIZER INC	02/17/2009	02/13/2013	161.00	86.00	75.00
. AXIALL CORPORATION		02/20/2013	44.00		44.00
3. PIONEER NAT RES CO	01/19/2012	02/26/2013	363.00	303.00	60.00
7. PIONEER NAT RES CO	VAR	02/26/2013	849.00	600.00	249.00
1. PIONEER NAT RES CO	08/10/2011	02/26/2013	122.00	74.00	48.00
1. PIONEER NAT RES CO	08/10/2011	02/26/2013	124.00	74.00	50.00
2. PIONEER NAT RES CO	08/10/2011	02/26/2013	247.00	149.00	98.00
31. FREEPORT-MCMORAN COPPE B	02/09/2009	03/01/2013	973.00	463.00	510.00

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6a 2. PRUDENTIAL FINL INC	12/11/2009	03/04/2013	110.00	98.00	12.00
1. PRUDENTIAL FINL INC	12/11/2009	03/04/2013	55.00	49.00	6.00
1. PRUDENTIAL FINL INC	08/06/2009	03/04/2013	55.00	47.00	8.00
2. WELLS FARGO & CO NEW	12/17/2007	03/04/2013	71.00	60.00	11.00
1. WELLS FARGO & CO NEW	12/17/2007	03/04/2013	35.00	30.00	5.00
3. WELLS FARGO & CO NEW	12/17/2007	03/04/2013	107.00	91.00	16.00
2. WELLS FARGO & CO NEW	12/17/2007	03/04/2013	71.00	60.00	11.00
2. PRUDENTIAL FINL INC	08/06/2009	03/05/2013	114.00	93.00	21.00
1. PRUDENTIAL FINL INC	08/06/2009	03/05/2013	56.00	47.00	9.00
1. PRUDENTIAL FINL INC	08/06/2009	03/05/2013	57.00	47.00	10.00
1. PRUDENTIAL FINL INC	08/06/2009	03/05/2013	57.00	47.00	10.00
1. WELLS FARGO & CO NEW	12/17/2007	03/05/2013	36.00	30.00	6.00
1. WELLS FARGO & CO NEW	12/17/2007	03/05/2013	36.00	30.00	6.00
2. WELLS FARGO & CO NEW	12/17/2007	03/05/2013	72.00	60.00	12.00
1. WELLS FARGO & CO NEW	12/17/2007	03/05/2013	36.00	30.00	6.00
20000. HSBC BREN EAFE 03/0	02/17/2012	03/06/2013	21,208.00	19,800.00	1,408.00
1. PRUDENTIAL FINL INC	08/06/2009	03/06/2013	57.00	47.00	10.00
1. PRUDENTIAL FINL INC	08/06/2009	03/06/2013	57.00	47.00	10.00
1. PRUDENTIAL FINL INC	08/06/2009	03/06/2013	57.00	47.00	10.00
6. QUALCOMM INC	VAR	03/06/2013	400.00	284.00	116.00
3. QUALCOMM INC	01/14/2008	03/06/2013	200.00	120.00	80.00
1. WELLS FARGO & CO NEW	12/17/2007	03/06/2013	36.00	30.00	6.00
2. WELLS FARGO & CO NEW	12/17/2007	03/06/2013	72.00	60.00	12.00
4. QUALCOMM INC	01/14/2008	03/07/2013	266.00	160.00	106.00
3. QUALCOMM INC	01/14/2008	03/08/2013	201.00	120.00	81.00

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6a 2166.661 HIGHBRIDGE DYNAMI COMMODITIES	VAR	03/12/2013	29,813.00	30,445.00	-632.00
1399.24 JPM MID CAP CORE F	08/18/2011	03/12/2013	27,691.00	20,093.00	7,598.00
1563.729 JPMORGAN INTERNAT FUND	VAR	03/12/2013	20,954.00	19,514.00	1,440.00
720.89 JPMORGAN EMERGING M FUND	02/13/2006	03/12/2013	17,179.00	10,921.00	6,258.00
3420.607 JPMORGAN STRATEGI OPPTYS FUND SEL	VAR	03/12/2013	40,842.00	34,127.00	6,715.00
988.822 JPMORGAN US REAL E	09/14/2010	03/12/2013	16,503.00	14,684.00	1,819.00
46. PFIZER INC	02/17/2009	03/12/2013	1,292.00	659.00	633.00
5. WELLS FARGO & CO NEW	12/17/2007	03/12/2013	184.00	151.00	33.00
9. WELLS FARGO & CO NEW	VAR	03/12/2013	330.00	244.00	86.00
6. PFIZER INC	02/17/2009	03/13/2013	168.00	86.00	82.00
10. PFIZER INC	02/17/2009	03/13/2013	280.00	143.00	137.00
3. PFIZER INC	02/17/2009	03/13/2013	84.00	43.00	41.00
3. WELLS FARGO & CO NEW	06/13/2011	03/13/2013	110.00	80.00	30.00
2. WELLS FARGO & CO NEW	06/13/2011	03/13/2013	73.00	54.00	19.00
9. JUNIPER NETWORKS INC	03/23/2009	03/14/2013	184.00	147.00	37.00
6. JUNIPER NETWORKS INC	03/23/2009	03/14/2013	123.00	98.00	25.00
10. JUNIPER NETWORKS INC	VAR	03/14/2013	205.00	160.00	45.00
12. PFIZER INC	VAR	03/14/2013	336.00	167.00	169.00
2. PFIZER INC	02/25/2009	03/14/2013	56.00	26.00	30.00
2. PFIZER INC	02/25/2009	03/14/2013	56.00	26.00	30.00
10. JUNIPER NETWORKS INC	02/09/2009	03/15/2013	203.00	159.00	44.00
31. JUNIPER NETWORKS INC	02/09/2009	03/15/2013	626.00	494.00	132.00
17. MICROSOFT CORP	10/16/1997	03/15/2013	476.00	288.00	188.00
5. PFIZER INC	02/25/2009	03/15/2013	140.00	65.00	75.00
5. PFIZER INC	02/25/2009	03/15/2013	139.00	65.00	74.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

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Employer identification number

ANNA W THORNTON & ALEXANDER P THORNTON

75-6496915

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day , yr)	(c) Date sold (mo , day , yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2. CME GROUP INC	02/14/2012	03/18/2013	125.00	116.00	9.00
4. CME GROUP INC	02/14/2012	03/18/2013	251.00	231.00	20.00
1. CME GROUP INC	02/14/2012	03/18/2013	63.00	58.00	5.00
6. PFIZER INC	02/25/2009	03/18/2013	168.00	78.00	90.00
1. CME GROUP INC	02/14/2012	03/19/2013	62.00	58.00	4.00
4. CME GROUP INC	VAR	03/19/2013	246.00	231.00	15.00
5. PFIZER INC	02/25/2009	03/19/2013	140.00	65.00	75.00
5. PFIZER INC	02/25/2009	03/19/2013	140.00	65.00	75.00
2. CME GROUP INC	02/14/2012	03/20/2013	123.00	116.00	7.00
2. CME GROUP INC	02/14/2012	03/20/2013	124.00	116.00	8.00
3. CME GROUP INC	02/14/2012	03/20/2013	186.00	173.00	13.00
1. CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00	4.00
1. CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00	4.00
9. PFIZER INC	VAR	03/20/2013	254.00	110.00	144.00
6. PFIZER INC	03/04/2009	03/20/2013	169.00	73.00	96.00
12. PFIZER INC	03/04/2009	03/21/2013	338.00	145.00	193.00
8. PFIZER INC	03/04/2009	03/22/2013	226.00	97.00	129.00
3. PFIZER INC	03/04/2009	03/22/2013	85.00	36.00	49.00
3. PFIZER INC	03/04/2009	03/22/2013	85.00	36.00	49.00
2. VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	110.00	63.00	47.00
1. VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	55.00	31.00	24.00
1. VERTEX PHARMACEUTICALS	12/15/2011	04/01/2013	54.00	31.00	23.00
1. VERTEX PHARMACEUTICALS	12/15/2011	04/01/2013	54.00	31.00	23.00
1. VERTEX PHARMACEUTICALS	12/15/2011	04/02/2013	55.00	31.00	24.00
3. VERTEX PHARMACEUTICALS	12/15/2011	04/03/2013	159.00	94.00	65.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

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ANNA W THORNTON & ALEXANDER P THORNTON

75-6496915

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 11. BAIDU.COM-ADR	09/21/2010	04/04/2013	937.00	1,013.00	-76.00
140.785 JPMORGAN MARKET EX INDEX FUND	08/18/2011	04/04/2013	1,650.00	1,332.00	318.00
1. VERTEX PHARMACEUTICALS	12/15/2011	04/04/2013	53.00	31.00	22.00
4. CARDINAL HEALTH INC	07/02/2010	04/08/2013	170.00	136.00	34.00
1. CARDINAL HEALTH INC	07/02/2010	04/08/2013	42.00	34.00	8.00
14. CARDINAL HEALTH INC	VAR	04/08/2013	595.00	468.00	127.00
10. PRUDENTIAL FINL INC	VAR	04/08/2013	555.00	252.00	303.00
2. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	111.00	46.00	65.00
1. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	55.00	23.00	32.00
11. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	610.00	251.00	359.00
4. COVIDIEN PLC NEW	11/18/2008	04/08/2013	268.00	149.00	119.00
2. PRUDENTIAL FINL INC	04/02/2009	04/09/2013	112.00	46.00	66.00
1. COVIDIEN PLC NEW	11/18/2008	04/09/2013	67.00	37.00	30.00
20000. CS BREN EAFE 04/10	03/23/2012	04/10/2013	21,010.00	19,800.00	1,210.00
1. COVIDIEN PLC NEW	11/18/2008	04/10/2013	68.00	37.00	31.00
1. COVIDIEN PLC NEW	11/18/2008	04/11/2013	68.00	37.00	31.00
1. COVIDIEN PLC NEW	11/18/2008	04/11/2013	69.00	37.00	32.00
11. EMERSON ELEC CO	05/18/2011	04/12/2013	615.00	595.00	20.00
7. EMERSON ELEC CO	05/18/2011	04/12/2013	390.00	379.00	11.00
1. COVIDIEN PLC NEW	11/18/2008	04/12/2013	68.00	37.00	31.00
3. BIOGEN IDEC INC	06/18/2010	04/15/2013	601.00	150.00	451.00
11. COGNIZANT TECHNOLOGY S A	VAR	04/16/2013	807.00	575.00	232.00
1. COVIDIEN PLC NEW	11/18/2008	04/16/2013	67.00	37.00	30.00
1. COVIDIEN PLC NEW	11/18/2008	04/16/2013	67.00	37.00	30.00
1. COVIDIEN PLC NEW	11/18/2008	04/16/2013	66.00	37.00	29.00

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ANNA W THORNTON & ALEXANDER P THORNTON

75-6496915

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1. COVIDIEN PLC NEW	11/18/2008	04/17/2013	66.00	37.00	29.00
1. COVIDIEN PLC NEW	11/18/2008	04/17/2013	66.00	37.00	29.00
3. GOLDMAN SACHS GROUP INC	12/22/2008	04/18/2013	418.00	231.00	187.00
598.723 ARTISAN INTL VALUE	02/04/2011	04/19/2013	19,225.00	16,555.00	2,670.00
9. CME GROUP INC	02/14/2012	04/19/2013	529.00	520.00	9.00
12. GOLDMAN SACHS GROUP IN	VAR	04/19/2013	1,663.00	908.00	755.00
3570.289 JPMORGAN MARKET E INDEX FUND	VAR	04/19/2013	41,594.00	30,189.00	11,405.00
2907.64 T ROWE PRICE OVERS FUND	12/15/2011	04/19/2013	25,500.00	21,139.00	4,361.00
3. COGNIZANT TECHNOLOGY SO	02/26/2010	04/25/2013	187.00	145.00	42.00
2. COGNIZANT TECHNOLOGY SO	02/26/2010	04/25/2013	123.00	96.00	27.00
1. CISCO SYS INC	07/28/2011	04/26/2013	21.00	16.00	5.00
3. CISCO SYS INC	07/28/2011	04/26/2013	62.00	49.00	13.00
1. CISCO SYS INC	08/16/2011	04/26/2013	21.00	16.00	5.00
1. CISCO SYS INC	08/16/2011	04/26/2013	21.00	16.00	5.00
9. CISCO SYS INC	08/16/2011	04/26/2013	185.00	143.00	42.00
2. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	124.00	96.00	28.00
7. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	434.00	337.00	97.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	62.00	48.00	14.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	62.00	48.00	14.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	62.00	48.00	14.00
5. CISCO SYS INC	08/16/2011	04/29/2013	104.00	80.00	24.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00

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6a 1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
2. MERCK & CO INC	09/14/2009	05/01/2013	91.00	66.00	25.00
3. MERCK & CO INC	09/14/2009	05/01/2013	137.00	99.00	38.00
4. MERCK & CO INC	09/14/2009	05/01/2013	180.00	132.00	48.00
4. MERCK & CO INC	09/14/2009	05/01/2013	184.00	132.00	52.00
1. MERCK & CO INC	09/14/2009	05/01/2013	46.00	33.00	13.00
1. MERCK & CO INC	09/14/2009	05/01/2013	46.00	33.00	13.00
1. MERCK & CO INC	09/14/2009	05/01/2013	46.00	33.00	13.00
1. MERCK & CO INC	09/14/2009	05/01/2013	46.00	33.00	13.00
1. MERCK & CO INC	09/14/2009	05/01/2013	45.00	33.00	12.00
1. MERCK & CO INC	09/14/2009	05/01/2013	46.00	33.00	13.00
1. MERCK & CO INC	09/14/2009	05/01/2013	46.00	33.00	13.00
1. MERCK & CO INC	09/14/2009	05/01/2013	45.00	33.00	12.00
1. BIOGEN IDEC INC	06/18/2010	05/07/2013	214.00	50.00	164.00
1. BIOGEN IDEC INC	06/18/2010	05/07/2013	215.00	50.00	165.00
6. CELGENE CORP.	12/17/2007	05/07/2013	736.00	299.00	437.00
9. CISCO SYS INC	08/16/2011	05/07/2013	183.00	143.00	40.00
20000. GS BREN EAFE 05/07/	04/20/2012	05/07/2013	23,530.00	19,800.00	3,730.00
24. CISCO SYS INC	VAR	05/08/2013	494.00	273.00	221.00
15. CISCO SYS INC	10/16/1997	05/08/2013	310.00	137.00	173.00
3. CME GROUP INC	02/14/2012	05/09/2013	183.00	173.00	10.00
1. CME GROUP INC	02/14/2012	05/09/2013	61.00	58.00	3.00
2. MICROSOFT CORP	10/16/1997	05/09/2013	65.00	34.00	31.00
3. MICROSOFT CORP	10/16/1997	05/09/2013	98.00	51.00	47.00

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1. MICROSOFT CORP	10/16/1997	05/10/2013	33.00	17.00	16.00
9. MICROSOFT CORP	10/16/1997	05/10/2013	293.00	153.00	140.00
19. MERCK & CO INC	09/14/2009	05/13/2013	877.00	625.00	252.00
18. MICROSOFT CORP	10/16/1997	05/13/2013	590.00	305.00	285.00
40000. U S A NOTES	02/06/2008	05/15/2013	40,000.00	41,692.00	-1,692.00
1. QUALCOMM INC	01/14/2008	05/16/2013	66.00	40.00	26.00
3. EOG RESOURCES INC	07/01/2009	05/17/2013	404.00	203.00	201.00
1. QUALCOMM INC	01/14/2008	05/17/2013	66.00	40.00	26.00
2. BIOGEN IDEC INC	06/18/2010	05/20/2013	451.00	100.00	351.00
10. EXXON MOBIL CORP	10/27/1996	05/20/2013	925.00	222.00	703.00
1. QUALCOMM INC	01/14/2008	05/20/2013	66.00	40.00	26.00
3. AMAZON COM INC	08/13/2010	05/22/2013	799.00	378.00	421.00
1. AMAZON COM INC	08/13/2010	05/22/2013	265.00	126.00	139.00
2. AMAZON COM INC	08/13/2010	05/22/2013	531.00	252.00	279.00
1. QUALCOMM INC	04/21/2005	05/22/2013	65.00	34.00	31.00
5. QUALCOMM INC	04/21/2005	05/24/2013	321.00	170.00	151.00
5. EXXON MOBIL CORP	10/27/1996	05/31/2013	459.00	111.00	348.00
23. MICROSOFT CORP	10/16/1997	06/06/2013	799.00	390.00	409.00
47. SPDR GOLD TRUST	09/14/2010	06/07/2013	6,296.00	5,826.00	470.00
35. SPDR GOLD TRUST	09/14/2010	06/07/2013	4,691.00	4,338.00	353.00
43. SPDR GOLD TRUST	09/14/2010	06/07/2013	5,763.00	5,330.00	433.00
20000. GS BREN EAFE 06/12/	05/25/2012	06/12/2013	24,360.00	19,800.00	4,560.00
35000. UBS CONT BUFF EQ SP	05/25/2012	06/12/2013	40,250.00	34,650.00	5,600.00
13. METLIFE INC	06/10/2010	06/13/2013	580.00	520.00	60.00
20000. BNP DELTA ONE MSCI 6/14/13	05/25/2012	06/14/2013	25,200.00	19,800.00	5,400.00
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					

Schedule D-1 (Form 1041) 2012

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 20000. DB DELTA ONE MSCI W 6/19/13	06/01/2012	06/19/2013	25,978.00	19,800.00	6,178.00
1. OCCIDENTAL PETE CORP	10/06/2011	06/21/2013	90.00	79.00	11.00
1. ALLERGAN INC	01/19/2012	06/25/2013	85.00	87.00	-2.00
2. ALLERGAN INC	01/19/2012	06/25/2013	168.00	174.00	-6.00
20000. CS BREN ASIA BASKET	06/15/2012	07/03/2013	20,755.00	19,800.00	955.00
35000. GS CONT BUFF EQ SPX	06/22/2012	07/10/2013	40,250.00	34,650.00	5,600.00
. TYCO INTL LTD NEW		07/10/2013	10.00		10.00
2. PHILIP MORRIS INTERNATI	06/21/2012	07/12/2013	179.00	171.00	8.00
1. PHILIP MORRIS INTERNATI	06/21/2012	07/12/2013	90.00	86.00	4.00
2. TIME WARNER INC	06/24/2010	07/12/2013	123.00	63.00	60.00
1. TIME WARNER INC	06/24/2010	07/12/2013	62.00	31.00	31.00
4. PHILIP MORRIS INTERNATI	06/21/2012	07/15/2013	359.00	343.00	16.00
6. TIME WARNER INC	06/24/2010	07/15/2013	369.00	188.00	181.00
6. TIME WARNER INC	06/24/2010	07/16/2013	367.00	188.00	179.00
2. AMAZON COM INC	VAR	07/18/2013	609.00	209.00	400.00
1. INVESCO LTD SHS	07/22/2010	07/18/2013	33.00	19.00	14.00
1. INVESCO LTD SHS	07/22/2010	07/19/2013	32.00	19.00	13.00
1. INVESCO LTD SHS	07/22/2010	07/19/2013	32.00	19.00	13.00
1. INVESCO LTD SHS	07/22/2010	07/19/2013	32.00	19.00	13.00
1. WALTER INDS INC	02/02/2012	07/22/2013	14.00	74.00	-60.00
1. WALTER INDS INC	02/02/2012	07/22/2013	13.00	74.00	-61.00
1. WALTER INDS INC	02/02/2012	07/22/2013	14.00	74.00	-60.00
1. INVESCO LTD SHS	07/22/2010	07/22/2013	33.00	19.00	14.00
1. INVESCO LTD SHS	07/22/2010	07/22/2013	33.00	19.00	14.00
3. WALTER INDS INC	02/02/2012	07/23/2013	43.00	223.00	-180.00
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					

Schedule D-1 (Form 1041) 2012

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6a 1. WALTER INDS INC	02/10/2012	07/23/2013	14.00	72.00	-58.00
2. WALTER INDS INC	02/10/2012	07/23/2013	29.00	143.00	-114.00
2. WALTER INDS INC	02/10/2012	07/23/2013	28.00	143.00	-115.00
1. WALTER INDS INC	02/10/2012	07/23/2013	14.00	72.00	-58.00
1. WALTER INDS INC	02/10/2012	07/24/2013	12.00	72.00	-60.00
. MALLINCKRODT PUB LTD CO		07/29/2013	22.00		22.00
1851.852 EATON VANCE MUT F	08/25/2011	08/01/2013	17,000.00	15,870.00	1,130.00
1815.166 JPMORGAN INTERNAT CURRENCY	06/10/2010	08/01/2013	19,967.00	19,141.00	826.00
1. CAREFUSION CORPORATION	04/20/2012	08/02/2013	36.00	26.00	10.00
5. CAREFUSION CORPORATION	VAR	08/02/2013	180.00	129.00	51.00
27. CAREFUSION CORPORATION	VAR	08/02/2013	982.00	689.00	293.00
1. COVIDIEN PLC NEW	11/18/2008	08/08/2013	64.00	34.00	30.00
1. APPLE COMPUTER INC	04/06/2006	08/09/2013	455.00	69.00	386.00
2. APPLE COMPUTER INC	04/06/2006	08/09/2013	917.00	139.00	778.00
1. APPLE COMPUTER INC	04/06/2006	08/09/2013	458.00	69.00	389.00
1. APPLE COMPUTER INC	04/06/2006	08/09/2013	457.00	69.00	388.00
12. VERIZON COMMUNICATIONS	02/27/2008	08/09/2013	590.00	410.00	180.00
1. COVIDIEN PLC NEW	11/18/2008	08/12/2013	64.00	34.00	30.00
1. COVIDIEN PLC NEW	11/18/2008	08/13/2013	64.00	34.00	30.00
1. COVIDIEN PLC NEW	06/08/2009	08/13/2013	64.00	33.00	31.00
1. COVIDIEN PLC NEW	06/08/2009	08/14/2013	63.00	33.00	30.00
1. COVIDIEN PLC NEW	06/08/2009	08/14/2013	63.00	33.00	30.00
12. TYCO INTERNATIONAL LTD	11/10/2010	08/14/2013	414.00	232.00	182.00
23. TYCO INTERNATIONAL LTD	VAR	08/15/2013	785.00	415.00	370.00
7. TYCO INTERNATIONAL LTD	06/02/2010	08/15/2013	239.00	126.00	113.00
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					

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6a 11. TYCO INTERNATIONAL LTD	06/02/2010	08/16/2013	376.00	198.00	178.00
1. COVIDIEN PLC NEW	06/08/2009	08/19/2013	61.00	33.00	28.00
1. COVIDIEN PLC NEW	06/08/2009	08/20/2013	61.00	33.00	28.00
20000. BARC BREN ASIA BASK	08/03/2012	08/21/2013	22,800.00	19,800.00	3,000.00
1. MALLINCKRODT PUB LTD CO	VAR	08/21/2013	43.00	30.00	13.00
1. COVIDIEN PLC NEW	06/08/2009	08/22/2013	61.00	33.00	28.00
1. COVIDIEN PLC NEW	06/08/2009	08/23/2013	61.00	33.00	28.00
1. COVIDIEN PLC NEW	06/08/2009	08/23/2013	61.00	33.00	28.00
1. COVIDIEN PLC NEW	06/08/2009	08/23/2013	61.00	33.00	28.00
1. COVIDIEN PLC NEW	06/08/2009	08/27/2013	60.00	33.00	27.00
1. MALLINCKRODT PUB LTD CO	06/08/2009	08/27/2013	42.00	29.00	13.00
. WASHINGTON MUT INC		08/28/2013	57.00		57.00
1. COVIDIEN PLC NEW	06/08/2009	08/28/2013	60.00	33.00	27.00
1. COVIDIEN PLC NEW	06/08/2009	08/28/2013	60.00	33.00	27.00
1. MALLINCKRODT PUB LTD CO	06/08/2009	08/28/2013	44.00	29.00	15.00
1. COVIDIEN PLC NEW	06/08/2009	08/29/2013	60.00	33.00	27.00
1. COVIDIEN PLC NEW	06/08/2009	08/29/2013	60.00	33.00	27.00
12. CARDINAL HEALTH INC	02/11/2010	09/03/2013	604.00	400.00	204.00
7. CARDINAL HEALTH INC	VAR	09/03/2013	351.00	163.00	188.00
3. CARDINAL HEALTH INC	06/09/2009	09/03/2013	150.00	65.00	85.00
12. VERIZON COMMUNICATIONS	02/27/2008	09/03/2013	549.00	410.00	139.00
1. COVIDIEN PLC NEW	06/08/2009	09/03/2013	60.00	33.00	27.00
1. COVIDIEN PLC NEW	06/08/2009	09/03/2013	60.00	33.00	27.00
7. CARDINAL HEALTH INC	06/09/2009	09/04/2013	353.00	152.00	201.00
1. CARDINAL HEALTH INC	06/09/2009	09/04/2013	50.00	22.00	28.00
1. CARDINAL HEALTH INC	06/09/2009	09/04/2013	51.00	22.00	29.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

ANNA W THORNTON & ALEXANDER P THORNTON

75-6496915

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1. COVIDIEN PLC NEW	06/08/2009	09/04/2013	61.00	33.00	28.00
2. COVIDIEN PLC NEW	06/08/2009	09/04/2013	121.00	65.00	56.00
1. COVIDIEN PLC NEW	06/08/2009	09/04/2013	60.00	33.00	27.00
7. CARDINAL HEALTH INC	06/09/2009	09/05/2013	353.00	152.00	201.00
1. COVIDIEN PLC NEW	06/08/2009	09/06/2013	61.00	33.00	28.00
1. COVIDIEN PLC NEW	06/08/2009	09/09/2013	61.00	33.00	28.00
1. COVIDIEN PLC NEW	06/08/2009	09/09/2013	61.00	33.00	28.00
1. COVIDIEN PLC NEW	06/08/2009	09/10/2013	61.00	33.00	28.00
1. APPLE COMPUTER INC	04/06/2006	09/11/2013	468.00	69.00	399.00
3. CELGENE CORP.	12/17/2007	09/11/2013	447.00	150.00	297.00
1. COVIDIEN PLC NEW	06/08/2009	09/12/2013	62.00	33.00	29.00
1. COVIDIEN PLC NEW	06/08/2009	09/12/2013	61.00	33.00	28.00
15000. BARC 93% PPN CURREN 9/13/13	09/09/2011	09/13/2013	13,950.00	15,451.00	-1,501.00
5. COSTCO WHSL CORP NEW	06/15/2012	09/13/2013	586.00	455.00	131.00
1. COSTCO WHSL CORP NEW	06/15/2012	09/13/2013	118.00	91.00	27.00
5. COSTCO WHSL CORP NEW	06/15/2012	09/16/2013	590.00	455.00	135.00
30. LENNAR CORP	09/30/2011	09/19/2013	1,088.00	414.00	674.00
1. TD AMERITRADE HOLDING C	04/18/2007	09/19/2013	27.00	16.00	11.00
5. TD AMERITRADE HOLDING C	04/18/2007	09/19/2013	133.00	78.00	55.00
8. TD AMERITRADE HOLDING C	04/18/2007	09/19/2013	212.00	125.00	87.00
2. TD AMERITRADE HOLDING C	04/18/2007	09/19/2013	53.00	31.00	22.00
2. TD AMERITRADE HOLDING C	04/18/2007	09/19/2013	53.00	31.00	22.00
2. TD AMERITRADE HOLDING C	04/18/2007	09/19/2013	53.00	31.00	22.00
1. ENSCO PLC	07/18/2012	09/19/2013	56.00	50.00	6.00
1. ENSCO PLC	07/18/2012	09/19/2013	55.00	50.00	5.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012



ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

Account Summary

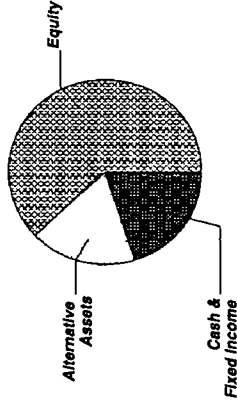
PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	1,019,117.71	1,070,969.23	51,851.52	14,371.18	62%
Alternative Assets	312,491.04	311,027.12	(1,463.92)	4,590.23	18%
Cash & Fixed Income	361,355.56	359,221.89	(2,133.67)	12,226.54	20%
Market Value	\$1,692,964.31	\$1,741,218.24	\$48,253.93	\$31,187.95	100%

INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	3,341.72	5,403.42	2,061.70
Accruals	1,024.84	1,047.65	22.81
Market Value	\$4,366.56	\$6,451.07	\$2,084.51

Asset Allocation



J.P.Morgan



ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

Account Summary CONTINUED

Portfolio Activity	PRINCIPAL		INCOME	
	Current Period Value	Year-to-Date Value	Current Period Value	Year-to-Date Value
Beginning Market Value	1,692,964.31	1,665,363.12	3,341.72	11,858.44
Additions			440.78	69,617.98
Withdrawals & Fees	(966.49)	(68,258.51)	(966.50)	(108,435.83)
Securities Transferred In		1,499.04	--	--
Securities Transferred Out		(1,896.00)	--	--
Net Additions/Withdrawals	(\$966.49)	(\$68,655.47)	(\$525.72)	(\$38,817.85)
Income	78.75	817.08	2,587.42	32,362.83
Change In Investment Value	49,141.67	143,693.51		
Ending Market Value	\$1,741,218.24	\$1,741,218.24	\$5,403.42	\$5,403.42
Accruals	--	--	1,047.65	1,047.65
Market Value with Accruals	--	--	\$6,451.07	\$6,451.07

J.P.Morgan



ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	2,524.38	31,260.61
Foreign Dividends	60.88	882.22
Interest Income	2.16	19.49
Original Issue Discount	78.75	437.25
Taxable Income	\$2,666.17	\$32,599.57

Tax-Exempt Income	580.34
Tax-Exempt Income	\$580.34

Cost Summary	Cost
Equity	886,198.91
Cash & Fixed Income	355,046.60
Total	\$1,241,245.51

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions		5,911.88
ST Realized Gain/Loss	(626.82)	11,048.57
LT Realized Gain/Loss	2,346.73	128,769.09
Realized Gain/Loss	\$1,719.91	\$145,729.54

	To-Date Value
Unrealized Gain/Loss	\$177,590.61

J.P.Morgan

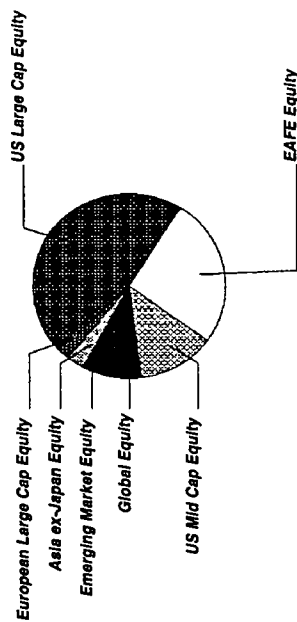


ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	489,926.47	510,758.69	20,832.22	29%
US Mid Cap Equity	129,992.77	135,788.81	5,796.04	8%
EAFE Equity	258,230.58	274,881.25	16,650.67	16%
European Large Cap Equity	21,734.40	22,719.00	984.60	1%
Asia ex-Japan Equity	34,540.87	37,091.07	2,550.20	2%
Emerging Market Equity	41,733.54	44,665.22	2,931.68	3%
Global Equity	42,959.08	45,065.19	2,106.11	3%
Total Value	\$1,019,117.71	\$1,070,969.23	\$51,851.52	62%

Asset Categories



Equity as a percentage of your portfolio - 62 %

Market Value/Cost	Current Period Value
Market Value	1,070,969.23
Tax Cost	(886,198.91)
Unrealized Gain/Loss	184,770.32
Estimated Annual Income	14,371.18
Accrued Dividends	684.05
Yield	1.34 %

J.P.Morgan



ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

Note: P indicates position adjusted for Pending Trade Activity.

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
US Large Cap Equity							
ACE LTD NEW H0023R-10-5 ACE	93.56	44,000	4,116.64	2,306.36	1,810.28	89.76 19.07	2.18%
ADOBE SYSTEMS INC 00724F-10-1 ADBE	51.94	40,000	2,077.60	1,355.34	722.26		
ALEXION PHARMACEUTICALS INC 015351-10-9 ALXN	116.16	19,000	2,207.04	1,984.84	222.20		
ALLERGAN INC 018490-10-2 AGN	90.45	18,000	1,628.10	1,552.67	75.43	3.60	0.22%
ALLIANCE DATA SYSTEMS CORP 018581-10-8 ADS	211.47	7,000	1,480.29	1,236.85	243.44		
AMAZON COM INC 023135-10-6 AMZN	312.64	9,000	2,813.76	750.39	2,063.37		
ANADARKO PETROLEUM CORP 032511-10-7 APC	92.99	31,000	2,882.69	2,205.51	677.18	22.32	0.77%
APACHE CORP 037411-10-5 APA	85.14	24,000	2,043.36	1,882.10	161.26	19.20	0.94%
APPLE INC. 037833-10-0 AAPL	476.75	18,000	8,581.50	1,246.44	7,335.06	219.60	2.56%
APPLIED MATERIALS INC 038222-10-5 AMAT	17.53	121,000	2,121.25	1,733.07	388.18	48.40	2.28%
ARCHER DANIELS MIDLAND CO 039483-10-2 ADM	36.84	57,000	2,099.88	1,864.76	235.12	43.32	2.06%

J.P.Morgan



ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
US Large Cap Equity							
ASML HOLDING N.V. N07059-21-0 ASML	98.76	10.000	987.60	635.74	351.86	5.87	0.59%
AUTOZONE INC 053332-10-2 AZO	422.73	5.000	2,113.65	1,517.52	596.13		
AVAGO TECHNOLOGIES LTD Y0486S-10-4 AVGO	43.08	126.000	5,428.08	4,402.05	1,026.03	115.92	2.14%
AXIAL CORPORATION 05463D-10-0 AXLL	37.79	35.000	1,322.65	1,556.51	(233.86)	22.40 5.60	1.69%
BANK OF AMERICA CORP 060505-10-4 BAC	13.80	427.000	5,892.60	4,681.76	1,210.84	17.08	0.29%
BARC REN SPX 09/04/14 2 XLEV- 7.85%CAP- 15.70% MAXPYMT INITIAL LEVEL-08/16/13 SPX:1655.83 06741T-D4-6	100.43	30,000.000	30,129.00	29,700.00	429.00		
BAXTER INTERNATIONAL INC 071813-10-9 BAX	65.69	25.000	1,642.25	1,797.73	(155.48)	49.00 10.78	2.98%
BIOGEN IDEC INC 09062X-10-3 BIIB	240.76	16.000	3,852.16	798.11	3,054.05		
P BRISTOL MYERS SQUIBB CO 110122-10-8 BMY	46.28	115.000	5,322.20	4,676.36	645.84	161.00	3.03%
BROADCOM CORP CL A 111320-10-7 BRCM	26.02	56.000	1,457.12	1,845.64	(388.52)	24.64	1.69%
CAMERON INTERNATIONAL CORPORATION 13342B-10-5 CAM	58.37	28.000	1,634.36	1,511.17	123.19		
CAPITAL ONE FINANCIAL CORP 14040H-10-5 COF	68.74	38.000	2,612.12	2,050.29	561.83	45.60	1.75%

J.P.Morgan



ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div.	Yield
US Large Cap Equity							
CBS CORP CLASS B W/I 124857-20-2 CBS	55.16	40 000	2,206.40	2,109.55	96.85	19.20 4.80	0.87%
CELGENE CORPORATION 151020-10-4 CELG	154.14	23.000	3,545.15	1,094.39	2,450.76		
CERNER CORP 156782-10-4 CERN	52.55	25.000	1,313.75	988.98	324.77		
CHENIERE ENERGY INC 16411R-20-8 LNG	34.14	41.000	1,399.74	643.41	756.33		
CISCO SYSTEMS INC 17275R-10-2 CSCO	23.43	207.000	4,850.22	3,040.28	1,809.94	140.76	2.90%
CITIGROUP INC NEW 172967-42-4 C	48.51	116.000	5,627.16	4,185.28	1,441.88	4.64	0.08%
CITRIX SYSTEMS INC 177376-10-0 CTXS	70.61	21.000	1,482.81	1,233.22	249.59		
COMCAST CORP CL A 20030N-10-1 CMCS A	45.12	125.000	5,639.38	2,824.51	2,814.87	97.50 24.38	1.73%
CSX CORP 126408-10-3 CSX	25.74	99.000	2,548.26	2,144.97	403.29	59.40	2.33%
CVS CAREMARK CORPORATION 126650-10-0 CVS	56.75	26.000	1,475.50	1,470.76	4.74	23.40	1.59%
DAVITA HEALTHCARE PARTNERS, INC 23918K-10-8 DVA	56.90	40.000	2,276.00	2,237.67	38.33		
DISH NETWORK CORP CL A 25470M-10-9 DISH	45.01	46.000	2,070.46	1,717.29	353.17		

J.P.Morgan



ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
US Large Cap Equity							
DOW CHEMICAL CO 260543-10-3 DOW	38.40	80.000	3,072.00	2,462.67	609.33	102.40 25.60	3.33%
DTE ENERGY CO 233331-10-7 DTE	65.98	19.000	1,253.62	1,304.99	(51.37)	49.78 12.45	3.97%
EATON CORP PLC G29183-10-3 ETN	68.84	33.000	2,271.72	2,192.57	79.15	55.44	2.44%
EBAY INC 278642-10-3 EBAY	55.80	45.000	2,510.78	2,521.73	(10.95)		
EMERSON ELECTRIC CO 291011-10-4 EMR	64.70	55.000	3,558.50	2,840.63	717.87	90.20	2.53%
ENSCO PLC G3157S-10-6 ESV	53.75	29.000	1,558.75	1,386.56	172.19	54.37	3.49%
EOG RESOURCES INC 26875P-10-1 EOG	169.28	12.000	2,031.36	755.69	1,275.67	9.00	0.44%
EXXON MOBIL CORP 30231G-10-2 XOM	86.04	49.000	4,215.96	1,085.77	3,130.19	123.48	2.93%
FLUOR CORP 343412-10-2 FLR	70.96	72.000	5,109.12	4,020.54	1,088.58	46.08 10.08	0.90%
FREEMONT-MCMORAN COPPER & GOLD INC CL B 35671D-85-7 FCX	33.08	88.000	2,911.04	2,902.58	8.46	110.00	3.78%
GENERAL MILLS INC 370334-10-4 GIS	47.92	44.000	2,108.48	1,160.87	947.61	66.88	3.17%
GENERAL MOTORS CO 37045V-10-0 GM	35.97	124.000	4,460.28	3,626.87	833.41		

J.P.Morgan



ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div	Yield
US Large Cap Equity							
GOOGLE INC CL A 38259P-50-8 GOOG	875.91	8.000	7,007.28	5,082.93	1,924.35		
HARTFORD FINANCIAL SERVICES GROUP INC 416515-10-4 HIG	31.12	58.000	1,804.96	1,751.60	53.36	34.80 5.85	1.93%
HEWLETT-PACKARD CO 428236-10-3 HPQ	20.99			0.00		11.18	2.77%
HOMER DEPOT INC 437076-10-2 HD	75.85	61.000	4,626.85	2,786.01	1,840.84	95.16	2.06%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	83.04	64.000	5,314.56	2,959.33	2,355.23	104.96	1.97%
HUMANA INC 444859-10-2 HUM	93.33	22.000	2,053.26	1,552.49	500.77	23.76 5.94	1.16%
INTERCONTINENTAL EXCHANGE INC 45865V-10-0 ICE	181.42	12.000	2,177.04	1,837.95	339.09		
INVESCO LTD G4918T-10-8 IVZ	31.90	64.000	2,041.60	1,200.06	841.54	57.60	2.82%
JOHNSON & JOHNSON 478160-10-4 JNJ	86.69	120.000	10,402.80	9,337.94	1,064.86	316.80	3.05%
JOHNSON CONTROLS INC 478366-10-7 JCI	41.50	59.000	2,448.50	911.81	1,536.69	44.84 11.21	1.83%
JPM EQUITY INCOME FD - SEL FUND 3128 4812C0-49-8 HLIE X	12.23	3,692.406	45,158.13	38,216.40	6,941.73	930.48 106.01	2.06%

J.P.Morgan



ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

		Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
US Large Cap Equity								
JPM LARGE CAP GROWTH FD - SEL								
FUND 3118		28.88	1,655.675	47,815.89	36,938.10	10,877.79	173.84	0.36%
4812C0-53-0 SEEG X								
LAM RESEARCH CORP								
512807-10-8 LRCX		51.20	45,000	2,304.00	1,896.81	407.19		
LINKEDIN CORP - A								
53578A-10-8 LNKD		246.06	7,000	1,722.42	1,210.70	511.72		
LOWES COMPANIES INC								
548661-10-7 LOW		47.61	96,000	4,570.56	1,911.31	2,659.25	69.12	1.51%
MARATHON OIL CORP								
565849-10-6 MRO		34.88	45,000	1,569.60	1,616.77	(47.17)	34.20	2.18%
MARSH & MCLENNAN COMPANIES INC								
571748-10-2 MMC		43.55	21,000	914.55	928.64	(14.09)	21.00	2.30%
MASCO CORP								
574599-10-6 MAS		21.28	147,000	3,128.16	2,161.70	966.46	44.10	1.41%
MASTERCARD INC - CLASS A								
57636Q-10-4 MA		672.78	6,000	4,036.68	1,758.26	2,278.42	14.40	0.36%
MERCK AND CO INC								
58933Y-10-5 MRK		47.61	46,000	2,190.01	1,489.02	700.99	79.12 19.78	3.61%
METLIFE INC								
59156R-10-8 MET		46.95	107,000	5,023.65	3,380.50	1,643.15	117.70	2.34%
METTLER TOLEDO INTERNATIONAL INC								
592688-10-5 MTD		240.09	7,000	1,680.63	1,469.87	210.76		
MICROSOFT CORP								
594918-10-4 MSFT		33.28	299,000	9,950.72	6,446.25	3,504.47	334.88	3.37%
MONDELEZ INTERNATIONAL-W/I								
609207-10-5 MDLZ		31.42	101,000	3,173.52	2,284.09	889.43	56.56 14.14	1.78%

J.P.Morgan



ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div	Yield
US Large Cap Equity							
MORGAN STANLEY 617446-44-8 MS	26.95	115,000	3,099.25	2,365.74	733.51	23.00	0.74%
NEXTERA ENERGY INC 65339F-10-1 NEE	80.16	26,000	2,084.16	2,027.37	56.79	68.64	3.29%
NISOURCE INC 65473P-10-5 NI	30.89	40,000	1,235.60	1,083.13	152.47	40.00	3.24%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	93.54	54,000	5,051.16	3,198.35	1,852.81	138.24 34.56	2.74%
ORACLE CORP 68389X-10-5 ORCL	33.17	127,000	4,212.59	2,670.80	1,541.79	60.96	1.45%
PACCAR INC 693718-10-8 PCAR	55.66	69,000	3,840.54	2,406.16	1,434.38	55.20	1.44%
PENTAIR LTD SHS H6169Q-10-8 PNR	64.94	23,000	1,493.62	870.86	622.76	23.00	1.54%
PEPSICO INC 713448-10-8 PEP	79.50	35,000	2,782.50	2,572.43	210.07	79.45	2.86%
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	86.59	34,000	2,944.06	2,795.48	148.58	127.84 31.96	4.34%
PHILLIPS 66 718546-10-4 PSX	57.82	35,000	2,023.70	1,628.85	394.85	43.75	2.16%
PRICELINE.COM INC 741503-40-3 PCLN	1,010.95	2,000	2,021.90	1,401.05	620.85		
PROCTER & GAMBLE CO 742718-10-9 PG	75.59	53,000	4,006.27	2,792.17	1,214.10	127.51	3.18%
QUALCOMM INC 747525-10-3 QCOM	67.32	57,000	3,837.24	2,187.42	1,649.82	79.80	2.08%

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ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div.	Yield
US Large Cap Equity							
SCHLUMBERGER LTD 808857-10-8 SLB	88.36	62.000	5,478.32	5,118.54	359.78	77.50 19.38	1.41 %
SG CONT BUFF EQ SPX 11/27/13 80% CONTIN BARRIER- 4.5%CPN 15% CAP INITIAL LEVEL-11/09/12 SPX:1379.85 78423E-EV-2	113.46	20,000.000	22,692.00	19,800.00	2,892.00		
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	168.01	360.000	60,483.60	55,774.51	4,709.09	1,221.48 301.66	2.02 %
STATE STREET CORP 857477-10-3 STT	65.75	37.000	2,432.75	2,191.28	241.47	38.48 9.62	1.58 %
TARGET CORP 87612E-10-6 TGT	63.98	38.000	2,431.24	2,423.43	7.81	65.36	2.69 %
TD AMERITRADE HOLDING CORPORATION 87236Y-10-8 AMTD	26.18	33.000	863.94	497.82	366.12	11.88	1.38 %
TIME WARNER INC NEW 887317-30-3 TWX	65.81	133.000	8,752.73	2,825.11	5,927.62	152.95	1.75 %
TIME WARNER CABLE INC 88732J-20-7 TWC	111.60	13.000	1,450.80	1,473.53	(22.73)	33.80	2.33 %
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	71.61	81.000	5,800.41	4,173.85	1,626.56	90.72	1.56 %
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	107.82	75.000	8,086.50	4,252.87	3,833.63	160.50	1.98 %
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	46.68	50.000	2,333.75	1,707.09	626.66	106.00	4.54 %

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ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
US Large Cap Equity							
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	75.82	15.000	1,137.30	470.43	666.87		
WALT DISNEY CO 254687-10-6 DIS	64.49	20.000	1,289.80	1,332.60	(42.80)	15.00	1.16%
WELLS FARGO & CO 949746-10-1 WFC	41.32	186.000	7,685.52	3,815.15	3,870.37	223.20	2.90%
WILLIAMS COMPANIES INC 969457-10-0 WMB	36.36	69.000	2,508.84	1,897.55	611.29	101.08	4.03%
YUM BRANDS INC 988498-10-1 YUM	71.39	51.000	3,640.89	1,742.14	1,898.75	75.48	2.07%
Total US Large Cap Equity			\$510,758.69	\$389,867.24	\$120,891.45	\$7,734.38 \$684.05	1.51%

US Mid Cap Equity

ASTON RIVER RD DIV ALL CAP-I 00080Y-87-6 ARID X	13.33	4,971.524	66,270.41	60,700.00	5,570.41	1,546.14	2.33%
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	124.14	560.000	69,518.40	59,788.11	9,730.29	1,025.36	1.47%
Total US Mid Cap Equity			\$135,788.81	\$120,488.11	\$15,300.70	\$2,571.50	1.89%

EAFE Equity

ARTISAN INTL VALUE FUND-INV 04314H-88-1 ARTK X	37.01	1,284.805	47,550.63	32,680.02	14,870.61	377.73	0.79%
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ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div.	Yield
EAFE Equity							
CS LEV CONT BUFF EQ MXEA 9/4/14	101.58	25,000.000	25,394.18	24,750.00	644.18		
90% CONTIN BARRIER- 8%CAP							
2X LEV - 16.% MAXPYMT							
INITIAL LEVEL-08/16/13							
MXEA:1765.470							
22547Q-7K-6							
HSBC BREN MXEA 04/23/14	103.81	20,000.000	20,762.00	19,800.00	962.00		
10%BUFFER-1.5 XLEV- 6.85%CAP							
10.275%MAXRTRN							
INITIAL LEVEL-04/05/13 MXEA :1680.80							
40432X-E3-1							
ISHARES MSCI EAFE INDEX FUND	63.80	705.000	44,979.71	39,865.31	5,114.40	1,241.50	2.76%
464287-46-5 EFA							
OAKMARK INTERNATIONAL FD-I	25.89	2,501.008	64,751.10	62,000.00	2,751.10	1,097.94	1.70%
413838-20-2 OAKI X							
SG REN MXEA 06/25/14	107.41	25,000.000	26,852.50	24,750.00	2,102.50		
2 XLEV- 11.05%CAP- 22.1%MAXPYMT							
INITIAL LEVEL-06/07/13 MXEA:1682.78							
78423E-HE-7							
T ROWE PRICE OVERSEAS STOCK	9.70	4,597.024	44,591.13	37,912.31	6,678.82	781.49	1.75%
77956H-75-7 TROS X							
Total EAFE Equity			\$274,881.25	\$241,757.64	\$33,123.61	\$3,498.66	1.27%

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ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
European Large Cap Equity							
DB MARKET PLUS SX5E 03/19/14 80% CONTIN BARRIER- 7.6%CPN ,UNCAPPED INITIAL LEVEL-09/14/12 SX5E.2594.56 2515A1-LR-0	113.60	20,000.000	22,719.00	19,750.00	2,969.00		
Asia ex-Japan Equity							
MATTHEWS PACIFIC TIGER FD-IS 577130-83-4 MIPT X	24.58	1,508.994	37,091.07	30,434.30	6,656.77	304.81	0.82%
Emerging Market Equity							
DELAWARE EMERGING MARKETS-I 245914-81-7 DEMI X	15.86	1,469.609	23,308.00	21,809.00	1,499.00	208.68	0.90%
JPM CHINA REGION FD - SEL FUND 3810 4812A3-52-8 JCHS X	20.09	1,063.077	21,357.22	20,942.62	414.60	53.15	0.25%
Total Emerging Market Equity			\$44,665.22	\$42,751.62	\$1,913.60	\$261.83	0.59%
Global Equity							
JPM TR I GL RES ENH IDX FD FUND 3457 46637K-51-3 JEIT X	16.69	2,700.131	45,065.19	41,150.00	3,915.19		

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ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

		Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
Concentrated & Other Equity								
P	INTUITIVE SURGICAL INC	376.27			0.00			
	46120E-60-2 ISRG							

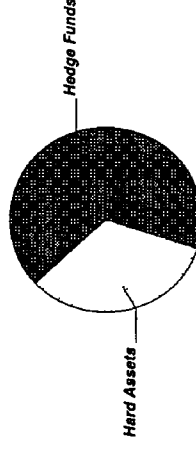


ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	207,627.78	208,893.88	1,266.10	12%
Hard Assets	104,863.26	102,133.24	(2,730.02)	6%
Total Value	\$312,491.04	\$311,027.12	(\$1,463.92)	18%

Asset Categories



Alternative Assets as a percentage of your portfolio - 18 %

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
EATON VANCE GLOBAL MACRO-I 277923-72-8 EIGM X	9.42	4,050.717	38,157.75	40,992.04
GATEWAY FUND-Y 367829-88-4 GTEY X	28.12	2,182.910	61,383.43	59,221.46
INV BALANCED-RISK ALLOC-Y 00141V-69-7 ABRY X	12.76	2,317.321	29,569.02	30,495.95

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ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
PIMCO UNCONSTRAINED BOND-P 72201M-45-3 PUCP X	11.24	5,378.829	60,458.04	62,035.99
THE ARBITRAGE FUND-I 03875R-20-5 ARBN X	12.84	1,505.112	19,325.64	19,656.76
Total Hedge Funds			\$208,893.88	\$212,402.20

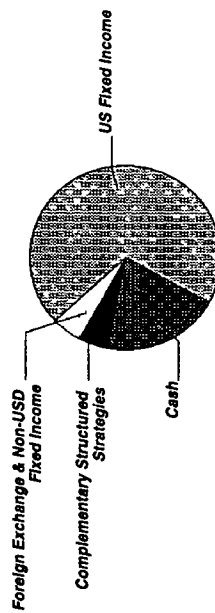
Hard Assets				
BARC PARTICIPATING GOLD NOTE 10/09/13 LNKD TO GOLDLNP 85% BARRIER, 17.50% MAXRTN 9/28/12: INITIAL STRIKE: 1776.00 06741T-HL-4	74.29	20,000.000	14,858.00	19,800.00
PIMCO COMMODITY PL STRAT-P 72201P-16-7 PCLP X	10.69	5,930.518	63,397.24	65,429.92
SG CONT BUFF EQ BRENT 08/12/14 LNKD TO CO1 80% BARRIER- 4.6% CPN 15.00% CAP INITIAL LEVEL-08/01/13 CO1:109.43 78423E-HT-4	98.59	10,000.000	9,859.00	9,900.00
SG LEV COMMODITY CBEN 4/2/14 LNKD TO CO1 CT PLDMLNPM & LOCADY 80% BARRIER-1.75XLEV-10%CAP-17.5% MAXRTN-3/15/13 CO1:109.82, C1:2.74 LOCADY:7782.00, PLDMLNPM:774.00 78423E-GH-1	93.46	15,000.000	14,019.00	14,850.00
Total Hard Assets			\$102,133.24	\$109,979.92

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Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	64,310.11	<u>(74,318.12)</u>	10,008.01	4%
US Fixed Income	248,940.46	249,721.11	780.65	14%
Complementary Structured Strategies	27,708.00	13,851.00	(13,857.00)	1%
Foreign Exchange & Non-USD Fixed Income	20,396.99	21,331.66	934.67	1%
Total Value	\$361,355.56	<u>\$359,221.89</u>	(\$2,133.67)	20%

Market Value/Cost	Current Period Value
Market Value	359,221.89
Tax Cost	<u>(355,046.60)</u>
Unrealized Gain/Loss	4,175.29
Estimated Annual Income	12,226.54
Accrued Interest	363.60
Yield	3.40%



Cash & Fixed Income as a percentage of your portfolio - 20 %

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months ¹	359,221.89	100%

¹ The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	74,318.12	20%
International Bonds	21,331.66	5%
Mutual Funds	249,721.11	72%
Complementary Structure	13,851.00	3%
Total Value	\$359,221.89	100%



ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

Note: O - Bonds purchased at a discount show accretion.

¹ This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1.00	73,957.99	73,957.99	73,957.99		22.18	0.03 % ¹
COST OF PENDING PURCHASES	1.00	(741.71)	(741.71)	(741.71)			
PROCEEDS FROM PENDING SALES	1.00	1,101.84	1,101.84	1,101.84			
Total Cash			\$74,318.12	\$74,318.12	\$0.00	\$22.18	0.03 %
US Fixed Income							
DOUBLELINE TOTAL RET BD-I 258620-10-3	10.96	3,579.95	39,236.23	40,505.39	(1,269.16)	2,036.99	5.19 %
EATON VANCE FLOATING RATE-I 277911-49-1	9.14	2,321.67	21,220.04	19,896.68	1,323.36	882.23	4.16 %
JPM HIGH YIELD FD - SEL FUND 3580 4812C0-80-3	8.07	8,691.34	70,139.15	69,991.44	147.71	4,467.35 330.27	6.37 %
JPM TR I INFL MANAGED BOND FD - SEL FUND 2037 48121A-56-3	10.45	3,703.70	38,703.63	39,185.11	(481.48)	470.36 33.33	1.22 %

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ANNA W & ALEXANDER P THORNTON CHAR ACCT. R77776004
For the Period 9/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
US Fixed Income							
RIDGEWORTH SEIX FLOATING-I 76828T-67-8	8.99	8,945.72	80,422.06	75,233.54	5,188.52	3,551.45	4.42%
Total US Fixed Income			\$249,721.11	\$244,812.16	\$4,908.95	\$11,408.38 \$363.60	4.57%
Complementary Structured Strategies							
O DB PIMS 90%PPN 02/14/14 LINKED TO PLN,IDR,MXN & KRW VS USD 1.805XLEV, 180.5% MAXRTN 02/01/2013 25152R-BN-2	92.34	15,000.00	13,851.00	14,916.32 14,850.00	(1,065.32)		
Foreign Exchange & Non-USD Fixed Income							
DREYFUS EMG MKT DEBT LOC C-I 261980-49-4	14.15	1,507.54	21,331.66	21,000.00	331.66	795.98	3.73%



ANNA W & ALEXANDER P THORNTON CHAR F ACCT. R77776301
For the Period 9/1/13 to 9/30/13

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Cash & Fixed Income	345,541.93	347,196.68	1,654.75	12,545.41	100%
Market Value	\$345,541.93	\$347,196.68	\$1,654.75	\$12,545.41	100%

INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Accruals	2,683.04	3,728.33	1,045.29
Market Value	\$2,683.04	\$3,728.33	\$1,045.29

PRINCIPAL

Portfolio Activity	Current Period Value	Year-to-Date Value
Beginning Market Value	345,541.93	363,281.53
Withdrawals & Fees		
Net Additions/Withdrawals	\$0.00	\$0.00
Income		
Change In Investment Value	1,654.75	(16,084.85)
Ending Market Value	\$347,196.68	\$347,196.68
Accruals	--	--
Market Value with Accruals	--	--

INCOME

	Current Period Value	Year-to-Date Value
	0.00	0.00
	(440.78)	(13,682.81)
	(\$440.78)	(\$13,682.81)
	440.78	13,682.81
	\$0.00	\$0.00
	3,728.33	3,728.33
	\$3,728.33	\$3,728.33

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ANNA W & ALEXANDER P THORNTON CHAR F ACCT. R77776301
For the Period 9/1/13 to 9/30/13

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Interest Income	440.78	13,814.87
Accrued Interest Current Year		(132.06)
Taxable Income	\$440.78	\$13,682.81

	Current Period Value	Year-to-Date Value
LT Realized Gain/Loss		(2,153.58)
Realized Gain/Loss		(\$2,153.58)

	To-Date Value
Unrealized Gain/Loss	\$16,574.34

Cost Summary	Cost
Cash & Fixed Income	330,622.34
Total	\$330,622.34

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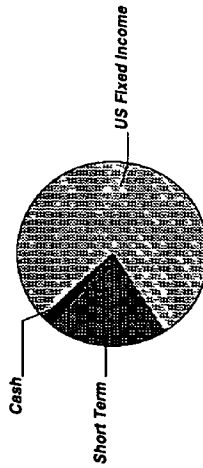
ANNA W & ALEXANDER P THORNTON CHAR F ACCT. R77776301
For the Period 9/1/13 to 9/30/13

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	5,566.13	(5,566.13)	0.00	2%
Short Term	71,311.80	71,047.70	(264.10)	20%
US Fixed Income	268,664.00	270,582.85	1,918.85	78%
Total Value	\$345,541.93	\$347,196.68	\$1,654.75	100%

Market Value/Cost

	Current Period Value
Market Value	347,196.68
Tax Cost	(30,622.34)
Unrealized Gain/Loss	16,574.34
Estimated Annual Income	12,545.41
Accrued Interest	3,728.33
Yield	0.93%



Cash & Fixed Income as a percentage of your portfolio - 100%

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months ¹	55,773.43	16%
6-12 months ¹	20,840.40	6%
1-5 years ¹	205,611.70	60%
5-10 years ¹	64,971.15	18%
Total Value	\$347,196.68	100%

¹ The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	5,566.13	1%
Corporate Bonds	60,901.20	17%
Govt and Agency Bonds	280,729.35	82%
Total Value	\$347,196.68	100%

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ANNA W & ALEXANDER P THORNTON CHAR F ACCT. R77776301
For the Period 9/1/13 to 9/30/13

Note: ¹ This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1.00	5,566.13	5,566.13	5,566.13		1.66	0.03% ¹
Short Term							
FNMA 4.625% 10/15/13 31359M-TG-8 AA+ /AAA	100.15	40,000.00	40,060.80	40,504.29	(443.49)	1,850.00 853.04	0.70%
US TREAS 4% 02/15/14 912828-CA-6 NR /AAA	101.47	10,000.00	10,146.50	9,873.44	273.06	400.00 51.11	0.09%
FHLMC 5.000% 07/15/2014 DTD 07/16/2004 3134A4-UU-6 AAA /AAA	103.82	10,000.00	10,382.00	10,121.40	260.60	500.00 105.55	0.15%
FHLB 5.5% 08/13/14 3133XL-JP-9 AA+ /AAA	104.58	10,000.00	10,458.40	11,556.64	(1,098.24)	550.00 73.33	0.20%
Total Short Term			\$71,047.70	\$72,055.77	(\$1,008.07)	\$3,300.00 \$1,083.03	0.46%
US Fixed Income							
US TREAS 4.125% 05/15/15 912828-DV-9 NR /AAA	106.26	15,000.00	15,939.30	14,689.45	1,249.85	618.75 233.74	0.26%
US TREAS 4.5% 11/15/15 912828-EN-6 NR /AAA	108.75	40,000.00	43,500.00	37,845.31	5,654.69	1,800.00 680.00	0.36%

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ANNA W & ALEXANDER P THORNTON CHAR F ACCT. R77776301
For the Period 9/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
US Fixed Income							
US TREAS 5.125% 05/15/16 912828-FF-2 NR /AAA	112.07	25,000.00	28,017.50	25,979.49	2,038.01	1,281.25 484.02	0.49%
FNMA 5.375% 07/15/16 31359M-S6-1 AA+ /AAA	113.04	10,000.00	11,304.10	10,184.13	1,119.97	537.50 113.47	0.65%
FNMA 5% 02/13/17 31359M-4D-2 AA+ /AAA	113.40	15,000.00	17,010.15	14,370.61	2,639.54	750.00 99.99	0.95%
US TREAS 4.5% 05/15/17 912828-GS-3 NR /AAA	112.81	20,000.00	22,562.60	20,525.77	2,036.83	900.00 340.00	0.90%
US TREAS 1.875% 08/31/17 912828-NW-6 NR /AAA	103.28	15,000.00	15,492.15	15,887.70	(395.55)	281.25 25.77	1.02%
FHLB 5% 11/17/17 3133XM-Q8-7 AA+ /AAA	115.11	10,000.00	11,510.70	10,157.37	1,353.33	500.00 186.11	1.23%
US TREAS 1.5% 08/31/18 912828-RE-2 NR /AAA	100.69	40,000.00	40,275.20	41,306.25	(1,031.05)	600.00 53.32	1.35%
US TREAS 3.375% 11/15/19 912828-LY-4 NR /AAA	109.72	15,000.00	16,457.85	14,635.61	1,822.24	506.25 191.25	1.70%
US TREAS 3.625% 02/15/21 912828-PX-2 NR /AAA	110.67	35,000.00	38,735.20	36,991.80	1,743.40	1,268.75 162.08	2.08%
US TREAS 2% 11/15/21 912828-RR-3 NR /AAA	97.78	10,000.00	9,778.10	10,426.95	(648.85)	200.00 75.55	2.30%
Total US Fixed Income			\$270,582.85	\$253,000.44	\$17,582.41	\$9,243.75 \$2,645.30	1.08%

J.P.Morgan