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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation




**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2012**  
Open to Public Inspection

For calendar year 2012, or tax year beginning 10-01-2012 , and ending 09-30-2013

Name of foundation JOSEPH & ROSE HERRMANN CHARITABLE TRUST		<b>A Employer identification number</b>  59-2982443	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 108		Room/suite	<b>B Telephone number</b> (see instructions)  (863) 421-6565
City or town, state, and ZIP code SAN ANTONIO, FL 33576		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 4,510,878		<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <input type="checkbox"/> (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	<b>1</b> Contributions, gifts, grants, etc , received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments	14,533	14,533	14,533	
	<b>4</b> Dividends and interest from securities. . . . .	106,046	106,046	106,046	
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10 	64,192			
	<b>b</b> Gross sales price for all assets on line 6a _____ 90,309				
	<b>7</b> Capital gain net income (from Part IV , line 2) . . . .		24,668		
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances				
Operating and Administrative Expenses	<b>b</b> Less Cost of goods sold . . . . .				
	<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
	<b>11</b> Other income (attach schedule) . . . . .				
	<b>12 Total.</b> Add lines 1 through 11 . . . . .	184,771	145,247	120,579	
	<b>13</b> Compensation of officers, directors, trustees, etc				
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule) . . . . .				
	<b>b</b> Accounting fees (attach schedule) . . . . .				
	<b>c</b> Other professional fees (attach schedule) . . . . .				
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions) 	3,651	3,651	3,651	3,651
	<b>19</b> Depreciation (attach schedule) and depletion . . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings . . . . .				
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule) . . . . . 	46	46	46	46
	<b>24 Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	3,697	3,697	3,697	3,697
	<b>25</b> Contributions, gifts, grants paid . . . . .	240,000			240,000
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	243,697	3,697	3,697	243,697
	<b>27</b> Subtract line 26 from line 12				
	<b>a Excess of revenue over expenses and disbursements</b>	-58,926			
	<b>b Net investment income</b> (if negative, enter -0-)		141,550		
	<b>c Adjusted net income</b> (if negative, enter -0-)			116,882	

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .		
	2	Savings and temporary cash investments . . . . .	638,637	614,712
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		
	5	Grants receivable . . . . .		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .		
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____		
	8	Inventories for sale or use . . . . .		
	9	Prepaid expenses and deferred charges . . . . .		
	10a	Investments—U S and state government obligations (attach schedule)		
	b	Investments—corporate stock (attach schedule) . . . . .	2,020,269 <input checked="" type="checkbox"/>	1,991,902
	c	Investments—corporate bonds (attach schedule). . . . .		
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____		
	12	Investments—mortgage loans . . . . .	99,046	92,412
	13	Investments—other (attach schedule) . . . . .		
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____		
Liabilities	15	Other assets (describe ▶ _____)		
	16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	2,757,952	2,699,026
	17	Accounts payable and accrued expenses . . . . .		
	18	Grants payable . . . . .		
	19	Deferred revenue . . . . .		
	20	Loans from officers, directors, trustees, and other disqualified persons		
	21	Mortgages and other notes payable (attach schedule) . . . . .		
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)		
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0
		<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>		
	24	Unrestricted . . . . .	2,757,952	2,699,026
	25	Temporarily restricted . . . . .		
	26	Permanently restricted . . . . .		
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>		
	27	Capital stock, trust principal, or current funds . . . . .		
	28	Paid-in or capital surplus, or land, bldg , and equipment fund		
	29	Retained earnings, accumulated income, endowment, or other funds		
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	2,757,952	2,699,026
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	2,757,952	2,699,026

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	2,757,952
2	Enter amount from Part I, line 27a . . . . .	2	-58,926
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	2,699,026
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	2,699,026

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	24,668
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2011	206,589	3,288,695	0 062818	
2010	168,958	3,288,695	0 051375	
2009	182,674	3,560,798	0 051301	
2008	154,400	3,320,898	0 046493	
2007	209,590	4,134,613	0 050692	
2	Total of line 1, column (d). . . . .		2	0 262679
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .		3	0 052536
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5. . . . .		4	4,385,120
5	Multiply line 4 by line 3. . . . .		5	230,377
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .		6	1,416
7	Add lines 5 and 6. . . . .		7	231,793
8	Enter qualifying distributions from Part XII, line 4. . . . .		8	243,697
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions				

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	1,416
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2. . . . .		3	1,416
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	1,416
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b		Exempt foreign organizations—tax withheld at source . . . . .	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld . . . . .	6d		
7		Total credits and payments Add lines 6a through 6d. . . . .		7	
8		Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	28
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	1,444
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	
11		Enter the amount of line 10 to be Credited to 2013 estimated tax	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year? . . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation  \$ _____ (2) On foundation managers  \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"><li>By language in the governing instrument, or</li><li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .</li></ul>	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)  _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶JOSEPH W HERRMANN Telephone no ▶(863) 421-6565 Located at ▶6011 HWY 92 PLANT CITY FL ZIP +4 ▶33566			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?. . . . .	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i> ). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

5b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

YesNo

Organizations relying on a current notice regarding disaster assistance check here.

YesNo

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

6b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

YesNo

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

7b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

YesNo

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Form 990-PF (2012)

## Part VIII

[illegible]

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
<b>1</b>		
<b>2</b>		
<b>3</b>		
<b>4</b>		

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments See page 24 of the instructions	
<b>3</b>	

Form **990-PF** (2012)



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	3,675,562
b	Average of monthly cash balances. . . . .	1b	776,336
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	4,451,898
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	4,451,898
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	66,778
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,385,120
6	Minimum investment return. Enter 5% of line 5. . . . .	6	219,256

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	219,256
2a	Tax on investment income for 2012 from Part VI, line 5. . . . .	2a	1,416
b	Income tax for 2012 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	1,416
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	217,840
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	217,840
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	217,840

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	243,697
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	243,697
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	1,416
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	242,281
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				217,840
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only. . . . .				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007. . . . .	6,817			
b From 2008. . . . .				
c From 2009. . . . .	6,156			
d From 2010. . . . .	6,783			
e From 2011. . . . .	44,638			
f Total of lines 3a through e. . . . .	64,394			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 243,697				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2012 distributable amount. . . . .				217,840
e Remaining amount distributed out of corpus	25,857			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	90,251			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013. . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . .	6,817			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a. . . . .	83,434			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .	6,156			
c Excess from 2010. . . .	6,783			
d Excess from 2011. . . .	44,638			
e Excess from 2012. . . .	25,857			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2012)

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2012)

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash.			No
<b>(2)</b> Other assets.			No
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization.			No
<b>(2)</b> Purchases of assets from a noncharitable exempt organization.			No
<b>(3)</b> Rental of facilities, equipment, or other assets.			No
<b>(4)</b> Reimbursement arrangements.			No
<b>(5)</b> Loans or loan guarantees.			No
<b>(6)</b> Performance of services or membership or fundraising solicitations.			No
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees.			No
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received			

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.






*****	2014-08-15	*****	May the IRS discuss this return with the preparer shown below (see instr.)?
Signature of officer or trustee	Date	Title	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

May the IRS discuss this return with the preparer shown below (see instr. 7)? ☐ Yes ☒ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN
TIMOTHY NEWLON CPA	TIMOTHY NEWLON CPA	2014-08-15		
Firm's name <input type="checkbox"/>	NEWLON PIERSALL PA		Firm's EIN <input type="checkbox"/>	
	PO BOX 907			
Firm's address <input type="checkbox"/>	SAN ANTONIO, FL 335760907		Phone no (352) 588-3844	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TIMOTHY C BALDWIN 	TRUSTEE 0 00	0	0	0
PO BOX 656 SAN ANTONIO, FL 33576				
FRANCIS C GUDE 	TRUSTEE 0 00	0	0	0
31610 GUDE ROAD DADE CITY, FL 33525				
EDWARD J HERRMANN 	TRUSTEE 0 00	0	0	0
35730 BLANTON ROAD DADE CITY, FL 33523				
JOSEPH W HERRMANN 	TRUSTEE 1 00	0	0	0
6011 HWY 92 W PLANT CITY, FL 33566				
TIMOTHY J NEWLON 	TRUSTEE 1 00	0	0	0
PO BOX 913 SAN ANTONIO, FL 33576				

**TY 2012 Compensation Explanation**

**Name:** JOSEPH & ROSE HERRMANN CHARITABLE  
TRUST

**EIN:** 59-2982443

Person Name	Explanation
TIMOTHY C BALDWIN	
FRANCIS C GUDE	
EDWARD J HERRMANN	
JOSEPH W HERRMANN	
TIMOTHY J NEWLON	



Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Gain/Loss from Sale of Other Assets Schedule

Name:

JOSEPH & ROSE HERRMANN CHARITABLE TRUST

EIN:

59-2982443

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
HEINZ HJ CO	2005-01	PURCHASE	2012-06		58,917	21,963			36,954	
SPRINT NEXTEL	2005-01	PURCHASE	2012-07		6,724	4,154			2,570	

TY 2012 Investments Corporate  
Stock Schedule

**Name:** JOSEPH & ROSE HERRMANN CHARITABLE  
TRUST  
**EIN:** 59-2982443

Name of Stock	End of Year Book Value	End of Year Fair Market Value
100.000 AGL RESOURCES	2,350	4,603
3673.057 ALTRIA GROUP	42,790	126,170
250.00 BERKSHIRE HATHAWAY	11,202	28,378
2000.000 CHESAPEAKE UTILITIES	42,972	104,980
1429.254 CHEVRON CORP	37,769	173,654
377.000 CITADEL BROADCASTING	1,118	
2400.000 CONOCO PHILLIPS	46,461	166,824
4921.495 WALT DISNEY CO	73,516	317,387
2416.657 WELLS FARGO INTL WORLD	31,050	51,330
80.000 CENTURYLINK	1,162	2,510
1018.198 FIDELITY GROWTH & INCOME	28,418	26,198
1822.247 FIDELITY SELECT AUTO	35,570	98,948
889.426 FIDELITY CONSUMER STAPLES	30,160	79,177
8038.800 FIDELITY LP STOCK	217,432	376,618
1349.135 FIRST ENERGY CP	46,461	49,176
754.391 GENERAL ELECTRIC	17,845	18,022
229.705 GLAXO SMITH KLINE	7,694	11,524
617.935 HSBC HOLDINGS	32,429	33,529
572.085 HARTFORD FINANCIAL SVCS	19,010	17,803
812.644 HEINZ HJ CO		
993.000 HOST HOTELS & RESORTS	12,919	17,546
1550.000 INTEGRYS ENERGY	43,483	86,630
4376.977 JP MORGAN CHASE	203,922	226,246
2541.000 KRAFT FOODS	15,738	44,451
547.722 LACLEDE GROUP	12,283	24,647
1531.656 MARATHON OIL	10,855	53,424
681.205 MEADWESTVACO CP	14,983	26,145
2541.000 MONDELEZ	29,113	79,841
3009.713 NISOURCE INC	66,654	92,910
3673.000 PHILLIP MORRIS INTL	97,504	318,045

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1554.801 PNM RESOURCES	29,229	35,185
4137.731 RAYONIER INC	49,117	230,265
528.689 SEMPRA ENERGY	12,004	45,256
1190.000 SPRINT NEXTEL (FON)	6,755	1,994
1585.150 STARWOOD HOTELS & RESORTS	35,056	105,333
2827.587 SUNTRUST BANKS	173,342	91,670
3500.000 TECO ENERGY	47,659	57,890
1500.000 UGI CORP	8,404	58,695
312.329 VECTREN CORP	56,448	77,116
685.292 WELLS FARGO	110,413	28,316
2000.000 AT&T INC	56,712	67,640
765.000 MARATHON PETROLEUM	7,478	49,205
1500.000 MERCK	52,826	71,414
3000.000 PFIZER	50,861	86,175
1500.000 VERIZON	49,268	70,013
1200.000 PHILLIPS 66	13,467	69,384
224.000 ACCO BRANDS		1,487

TY 2012 Land, Etc. Schedule

**Name:** JOSEPH & ROSE HERRMANN CHARITABLE  
TRUST  
**EIN:** 59-2982443

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
MOORE DRIVE				

TY 2012 Other Expenses Schedule

**Name:** JOSEPH & ROSE HERRMANN CHARITABLE  
TRUST  
**EIN:** 59-2982443

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
POSTAGE	46	46	46	46

**TY 2012 Taxes Schedule**

**Name:** JOSEPH & ROSE HERRMANN CHARITABLE  
TRUST

**EIN:** 59-2982443

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT TAXES/LICENSES	3,651	3,651	3,651	3,651

The Joe and Rose Herrmann Charitable Foundation  
Custom Transaction Detail Report  
January 1 through October 7, 2013

	Date	Num	Name	Memo	Credit
Jan 1 - Oct 7, 13					
	04/23/2013	6383	SLU-Sr Mary Dorothy Neuhofer OSB Sch Fd		1,000 00
	07/08/2013	6384	Salesian Youth Center		1,800 00
	10/06/2013	6385	Abba Farms		1,500 00
	10/06/2013	6386	All Children's Hospital		1,000 00
	10/06/2013	6387	American Family Association		500 00
	10/06/2013	6388	American Printing House for the Blind, In		500 00
	10/06/2013	6389	American Red Cross	East Pasco	5,000 00
	10/06/2013	6390	Americares		500 00
	10/06/2013	6391	Benedictine Sisters of Mount St Scholasti		500 00
	10/06/2013	6392	Berea College		1,200 00
	10/06/2013	6393	Boys & Girls Club of Pasco County		2,000 00
	10/06/2013	6394	Catholic Answers		500 00
	10/06/2013	6395	Catholic Bishop of Northern Alaska		500 00
	10/06/2013	6396	Catholic Charities Pasco County- Life Ser		1,200 00
	10/06/2013	6397	Catholic Charities, Diocese of St Pete		2,000 00
	10/06/2013	6398	Catholic Church Extension Society		1,000 00
	10/06/2013	6399	Catholic Home Study Service		500 00
	10/06/2013	6400	Catholic Near East Welfare Association		500 00
	10/06/2013	6401	Catholic Relief Services USCC		5,000 00
	10/06/2013	6402	Center for Law and Justice		500 00
	10/06/2013	6403	Christian Appalachian Project		1,500 00
	10/06/2013	6404	Christian Foundation for Children & Aging		500 00
	10/06/2013	6405	Common Welfare Society c/o KT John		1,200 00
	10/06/2013	6406	Covenant House Florida		500 00
	10/06/2013	6407	Dade City Kiwanis		1,000 00
	10/06/2013	6408	Dade City Rotary		1,000 00
	10/06/2013	6409	Daystar Hope Center		4,000 00
	10/06/2013	6410	Eternal Word TV Network		500 00
	10/06/2013	6411	Feed the Children		1,500 00
	10/06/2013	6412	Feeding America		2,000 00
	10/06/2013	6413	Florida Hospital Zephyrhills Foundation		3,000 00
	10/06/2013	6414	Florida Right to Life Educational Fund		500 00
	10/06/2013	6415	Focus on the Family		500 00
	10/06/2013	6416	Food for the Poor		500 00
	10/06/2013	6417	Franciscan University of Steubenville		500 00
	10/06/2013	6418	Glenmary Home Missioners		1,000 00
	10/06/2013	6419	Habitat for Humanity East Pasco		2,000 00
	10/06/2013	6420	Hardy Benevolent Trust Fund		1,500 00
	10/06/2013	6421	Highland Park Baptist Church	CASA BETHESDA	2,000 00
	10/06/2013	6422	Hillsdale College		500 00
	10/06/2013	6423	Holy Name Monastery		5,000 00
	10/06/2013	6424	HPH Hospice		3,000 00
	10/06/2013	6425	Immaculate Heart of Mary Church		1,200 00
	10/06/2013	6426	K of C Council 1768 Seminary Fund		4,000 00
	10/06/2013	6427	Knights of Columbus, Florida Charities		1,000 00
	10/06/2013	6428	Lighthouse		2,500 00
	10/06/2013	6429	Love Press		500 00
	10/06/2013	6430	Maryknoll Fathers & Brothers		500 00
	10/06/2013	6431	Maryknoll Sisters		500 00
	10/06/2013	6432	Meals on Wheels East Pasco		4,000 00
	10/06/2013	6433	Metropolitan Ministries		4,000 00
	10/06/2013	6434	Missionary Oblates of Mary Immaculate		500 00
	10/06/2013	6435	Morningstar School		500 00
	10/06/2013	6436	Moss Memorial Library		1,000 00
	10/06/2013	6437	National Right to Life - Educational Trus		500 00
	10/06/2013	6438	Nativity Outreach Food Bank		3,000 00
	10/06/2013	6439	Our Lady of Angels Monastery		500 00

**The Joe and Rose Herrmann Charitable Foundation**  
**Custom Transaction Detail Report**  
**January 1 through October 7, 2013**

Date	Num	Name	Memo	Credit
10/06/2013	6440	Our Lady of Perpetual Help Home		500 00
10/06/2013	6441	Oxfam America		2,000 00
10/06/2013	6442	Pioneer Florida Museum Association	FOR FIREHOUSE	2,950 00
10/06/2013	6443	Pregnancy Care Center of Plant City		1,200 00
10/06/2013	6444	Priests for Life		500 00
10/06/2013	6445	Rotary Club of San Antonio		1,500 00
10/06/2013	6446	Sacred Heart Early Childhood Center-Endow		1,000 00
10/06/2013	6447	Sacred Heart Early Childhood Center-Gener		1,000 00
10/06/2013	6448	Saint Benedict Education Foundation		5,000 00
10/06/2013	6449	Saint Leo Abbey		7,500 00
10/06/2013	6450	Saint Leo Abbey Church Restoration		6,000 00
10/06/2013	6451	Saint Leo Abbey Retreat Center		1,500 00
10/06/2013	6452	Saint Leo University-Annual Fund		750 00
10/06/2013	6453	SLU-Stephen Herrmann Scholarship Fund		3,000 00
10/06/2013	6454	SLU-Fr Damien DuQuesnay Scholarship Fund		1,000 00
10/06/2013	6455	SLU-Walt Plazewski Scholarship Fund		1,000 00
10/06/2013	6456	SLU-Fr James Hoge Scholarship Fund		1,000 00
10/06/2013	6457	SLU-Fr Robert Velten Scholarship Fund		1,000 00
10/06/2013	6458	SLU-Fr Gregory Traeger Scholarship Fund		1,000 00
10/06/2013	6459	SLU-Tapia Building		15,000 00
10/06/2013	6460	SLU-Fitness Center		2,000 00
10/06/2013	6461	Salesian Sisters of St John Bosco		2,000 00
10/06/2013	6462	Salvation Army		1,800 00
10/06/2013	6463	Salvation Army, East Pasco Service Center		2,000 00
10/06/2013	6464	San Antonio Sports Assn	FOR AEDs AT PARK	2,000 00
10/06/2013	6465	Shriners Hospitals for Children		2,500 00
10/06/2013	6466	Society for St Vincent DePaul		7,500 00
10/06/2013	6467	Society for the Propagation of the Faith		1,000 00
10/06/2013	6468	Spirit FM		1,200 00
10/06/2013	6469	St Anthony of Padua Catholic Church		2,500 00
10/06/2013	6470	St Anthony Catholic Church-Ongoing Repair		7,500 00
10/06/2013	6471	St Anthony School-Endowment Fund		5,000 00
10/06/2013	6472	St Anthony School	FOR BUILDING REMODEL	35,000 00
10/06/2013	6473	St Jude Children's Research Hospital		1,000 00
10/06/2013	6474	Sunrise of Pasco County		1,000 00
10/06/2013	6475	The Christophers		500 00
10/06/2013	6476	Thomas More Law Center		500 00
10/06/2013	6477	United Way of Pasco County		500 00
10/06/2013	6478	Cornerstone Center for Women		2,500 00
10/06/2013	6479	USF Foundation	FOR PARKINSON'S RESEARCH	1,500 00
10/08/2013	6480	Friends of Hugh Embry Library		1,000 00
01/17/2014	6481	Holy Name Monastery		10,000 00
02/14/2014	6482	Meals on Wheels East Pasco		5,000 00
02/14/2014	6483	Society for St Vincent DePaul		5,000 00
				<b>240,000 00</b>