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Form **990-PF**

**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No 1545-0052

**2012**

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

Open to Public Inspection

For calendar year 2012 or tax year beginning **10/01/12**, and ending **09/30/13**

|   |   |  |
|---|---|--|
| Name of foundation<br><b>Minor Foundation, Inc.</b>   |   | A Employer identification number<br><b>56-6047752</b>  |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>1914 Brunswick Avenue</b>  | Room/suite<br><b>2B</b>   | B Telephone number (see instructions)<br><b>704-334-7271</b>   |
| City or town, state, and ZIP code<br><b>Charlotte NC 28207</b>  |   | C If exemption application is pending, check here <input type="checkbox"/>   |
| G Check all that apply<br><input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |   | D 1 Foreign organizations, check here <input type="checkbox"/><br>2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation  |   | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>  |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 117,963</b>   | J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>   |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received (attach schedule)   |                                    |                           |                         |   |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B  |                                    |                           |                         |   |
| 3 Interest on savings and temporary cash investments   | 7                                  | 7                         | 7                       |   |
| 4 Dividends and interest from securities   | 3,434                              | 3,434                     | 3,434                   |   |
| 5a Gross rents   |                                    |                           |                         |   |
| b Net rental income or (loss)  |                                    |                           |                         |   |
| 6a Net gain or (loss) from sale of assets not on line 10 <b> Stmt 1</b>  | 5,742                              |                           |                         |   |
| <i>Gross sales price for all assets on line 6a</i> <b>79,349</b>   |                                    |                           |                         |   |
| 7 Capital gain net income (from Part IV, line 2)   |                                    | 48                        |                         |   |
| 8 Net short-term capital gain  |                                    |                           | 0                       |   |
| 9 Income modifications   |                                    |                           |                         |   |
| 10a Gross sales less returns and allowances  |                                    |                           |                         |   |
| <i>Less: Cost of goods sold</i>  |                                    |                           |                         |   |
| c Gross profit or (loss) (attach schedule)   |                                    |                           |                         |   |
| 11 Other income (attach schedule) <b> Stmt 2</b>   | -649                               |                           | -649                    |   |
| 12 <b>Total.</b> Add lines 1 through 11  | 8,534                              | 3,489                     | 2,792                   |   |
| 13 Compensation of officers, directors, trustees, etc  | 0                                  |                           |                         |   |
| 14 Other employee salaries and wages   |                                    |                           |                         |   |
| 15 Pension plans, employee benefits  |                                    |                           |                         |   |
| 16a Legal fees (attach schedule)   |                                    |                           |                         |   |
| b Accounting fees (attach schedule) <b> Stmt 3</b>   | 1,275                              | 1,275                     | 1,275                   |   |
| c Other professional fees (attach schedule) <b> Stmt 4</b>   | 1,588                              | 1,588                     | 1,588                   |   |
| 17 Interest  | 4                                  | 4                         | 4                       |   |
| 18 Taxes (attach schedule) (see instructions) <b> Stmt 5</b>   | 128                                | 92                        | 92                      |   |
| 19 Depreciation (attach schedule) and depletion  |                                    |                           |                         |   |
| 20 Occupancy   |                                    |                           |                         |   |
| 21 Travel, conferences, and meetings   |                                    |                           |                         |   |
| 22 Printing and publications   |                                    |                           |                         |   |
| 23 Other expenses (att. sch) <b> Stmt 6</b>  | 45                                 | 45                        | 45                      |   |
| 24 <b>Total operating and administrative expenses.</b><br>Add lines 13 through 23  | 3,040                              | 3,004                     | 3,004                   | 0   |
| 25 Contributions, gifts, grants paid   | 6,100                              |                           |                         | 6,100   |
| 26 <b>Total expenses and disbursements.</b> Add lines 24 and 25  | 9,140                              | 3,004                     | 3,004                   | 6,100   |
| 27 Subtract line 26 from line 12   |                                    |                           |                         |   |
| a Excess of revenue over expenses and disbursements  | -606                               |                           |                         |   |
| b Net investment income (if negative, enter -0-)   |                                    | 485                       |                         |   |
| c Adjusted net income (if negative, enter -0-)   |                                    |                           | 0                       |   |

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2012)

SCANNED MAY 27 2014 Revenue

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| Part II Balance Sheets      |  | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)      |                |                       |         |
|-----------------------------|--|---|----------------|-----------------------|---------|
|                             |  | Beginning of year   | End of year    |                       |         |
|                             |  | (a) Book Value  | (b) Book Value | (c) Fair Market Value |         |
| Assets                      | 1  | Cash – non-interest-bearing   |                |                       |         |
|                             | 2  | Savings and temporary cash investments  | 13,424         | 14,453                | 14,453  |
|                             | 3  | Accounts receivable ▶<br>Less allowance for doubtful accounts ▶   |                |                       |         |
|                             | 4  | Pledges receivable ▶<br>Less allowance for doubtful accounts ▶  |                |                       |         |
|                             | 5  | Grants receivable   |                |                       |         |
|                             | 6  | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) |                |                       |         |
|                             | 7  | Other notes and loans receivable (att schedule) ▶<br>Less allowance for doubtful accounts ▶                             | 0              |                       |         |
|                             | 8  | Inventories for sale or use   |                |                       |         |
|                             | 9  | Prepaid expenses and deferred charges   |                |                       |         |
|                             | 10a  | Investments – U S and state government obligations (attach schedule)  |                |                       |         |
|                             | b  | Investments – corporate stock (attach schedule) <b>See Stmt 7</b>   | 97,506         | 88,899                | 103,510 |
|                             | c  | Investments – corporate bonds (attach schedule)   |                |                       |         |
|                             | 11   | Investments – land, buildings, and equipment basis ▶<br>Less accumulated depreciation (attach sch ) ▶                   |                |                       |         |
|                             | 12   | Investments – mortgage loans  |                |                       |         |
|                             | 13   | Investments – other (attach schedule)   |                |                       |         |
|                             | 14   | Land, buildings, and equipment basis ▶<br>Less accumulated depreciation (attach sch ) ▶                                 |                |                       |         |
| 15                          | Other assets (describe ▶ )   |   |                |                       |         |
| 16                          | <b>Total assets</b> (to be completed by all filers – see the instructions Also, see page 1, item I)                                      | 110,930   | 103,352        | 117,963               |         |
| Liabilities                 | 17   | Accounts payable and accrued expenses   | 6,972          |                       |         |
|                             | 18   | Grants payable  |                |                       |         |
|                             | 19   | Deferred revenue  |                |                       |         |
|                             | 20   | Loans from officers, directors, trustees, and other disqualified persons  |                |                       |         |
|                             | 21   | Mortgages and other notes payable (attach schedule)   |                |                       |         |
|                             | 22   | Other liabilities (describe ▶ <b>See Statement 8</b> )  | 10,416         | 10,416                |         |
|                             | 23   | <b>Total liabilities</b> (add lines 17 through 22)  | 17,388         | 10,416                |         |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input checked="" type="checkbox"/> |   |                |                       |         |
|                             | 24   | Unrestricted  | 93,542         | 92,936                |         |
|                             | 25   | Temporarily restricted  |                |                       |         |
|                             | 26   | Permanently restricted  |                |                       |         |
|                             | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>                         |   |                |                       |         |
|                             | 27   | Capital stock, trust principal, or current funds  |                |                       |         |
|                             | 28   | Paid-in or capital surplus, or land, bldg , and equipment fund  |                |                       |         |
|                             | 29   | Retained earnings, accumulated income, endowment, or other funds  |                |                       |         |
|                             | 30   | <b>Total net assets or fund balances</b> (see instructions)   | 93,542         | 92,936                |         |
| 31                          | <b>Total liabilities and net assets/fund balances</b> (see instructions)   | 110,930   | 103,352        |                       |         |

| Part III Analysis of Changes in Net Assets or Fund Balances |  |   |        |
|---|--|---|--------|
| 1   | Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 93,542 |
| 2   | Enter amount from Part I, line 27a   | 2 | -606   |
| 3   | Other increases not included in line 2 (itemize) ▶   | 3 |        |
| 4   | Add lines 1, 2, and 3  | 4 | 92,936 |
| 5   | Decreases not included in line 2 (itemize) ▶   | 5 |        |
| 6   | <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) – Part II, column (b), line 30   | 6 | 92,936 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)   |   | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.)         | (d) Date sold<br>(mo., day, yr.)   |
|--|---|--|--|--|
| <b>1a</b>  | <b>UBS - Ivy Science Tech Fd</b>  |  |  |  |
| <b>b</b>   | <b>Targa Resources Partners LP</b>  |  |  |  |
| <b>c</b>   | <b>Kinder Morgan Energy Partners</b>  |  |  |  |
| <b>d</b>   |   |  |  |  |
| <b>e</b>   |   |  |  |  |
| (e) Gross sales price  | (f) Depreciation allowed<br>(or allowable)  | (g) Cost or other basis<br>plus expense of sale  | (h) Gain or (loss)<br>(e) plus (f) minus (g) |  |
| <b>a</b>   | <b>4</b>  |  | <b>4</b>                                     |  |
| <b>b</b>   | <b>-12</b>  |  | <b>-12</b>                                   |  |
| <b>c</b>   | <b>56</b>   |  | <b>56</b>                                    |  |
| <b>d</b>   |   |  |  |  |
| <b>e</b>   |   |  |  |  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69  |   |  |  | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
| (i) FMV as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69  | (k) Excess of col (i)<br>over col (j), if any    |  |  |
| <b>a</b>   |   |  |  | <b>4</b>   |
| <b>b</b>   |   |  |  | <b>-12</b>   |
| <b>c</b>   |   |  |  | <b>56</b>  |
| <b>d</b>   |   |  |  |  |
| <b>e</b>   |   |  |  |  |
| <b>2</b> Capital gain net income or (net capital loss)   | [ If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 ]                             |  |  | <b>2</b> <b>48</b>   |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | [ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 ] |  |  | <b>3</b>   |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|--|--|--|---|
| 2011   | 5,850                                    | 124,256                                      | 0.047080  |
| 2010   | 5,778                                    | 107,689                                      | 0.053655  |
| 2009   | 5,485                                    | 118,114                                      | 0.046438  |
| 2008   | 4,910                                    | 112,650                                      | 0.043586  |
| 2007   | 7,195                                    | 107,965                                      | 0.066642  |

|  |          |                 |
|--|----------|-----------------|
| <b>2</b> Total of line 1, column (d)   | <b>2</b> | <b>0.257401</b> |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                      | <b>3</b> | <b>0.051480</b> |
| <b>4</b> Enter the net value of noncharitable-use assets for 2012 from Part X, line 5  | <b>4</b> | <b>116,194</b>  |
| <b>5</b> Multiply line 4 by line 3   | <b>5</b> | <b>5,982</b>    |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)  | <b>6</b> | <b>5</b>        |
| <b>7</b> Add lines 5 and 6   | <b>7</b> | <b>5,987</b>    |
| <b>8</b> Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions | <b>8</b> | <b>6,100</b>    |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|           |   |           |          |
|-----------|---|-----------|----------|
| <b>1a</b> | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter (attach copy of letter if necessary—see instructions) |           |          |
| <b>b</b>  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b  | <b>1</b>  | <b>5</b> |
| <b>c</b>  | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)   |           |          |
| <b>2</b>  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)  | <b>2</b>  | <b>0</b> |
| <b>3</b>  | Add lines 1 and 2   | <b>3</b>  | <b>5</b> |
| <b>4</b>  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)  | <b>4</b>  | <b>0</b> |
| <b>5</b>  | <b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-   | <b>5</b>  | <b>5</b> |
| <b>6</b>  | Credits/Payments  |           |          |
| <b>a</b>  | 2012 estimated tax payments and 2011 overpayment credited to 2012   | <b>6a</b> |          |
| <b>b</b>  | Exempt foreign organizations - tax withheld at source   | <b>6b</b> |          |
| <b>c</b>  | Tax paid with application for extension of time to file (Form 8868)   | <b>6c</b> |          |
| <b>d</b>  | Backup withholding erroneously withheld   | <b>6d</b> |          |
| <b>7</b>  | Total credits and payments Add lines 6a through 6d  | <b>7</b>  |          |
| <b>8</b>  | Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached   | <b>8</b>  |          |
| <b>9</b>  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>   | <b>9</b>  | <b>5</b> |
| <b>10</b> | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>   | <b>10</b> |          |
| <b>11</b> | Enter the amount of line 10 to be <b>Credited to 2013 estimated tax</b> <span style="float:right">Refunded <input type="checkbox"/></span>  | <b>11</b> |          |

**Part VII-A Statements Regarding Activities**

|  | Yes        | No       |
|--|------------|----------|
| <b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?   |            | <b>X</b> |
| <b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?<br>If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |            | <b>X</b> |
| <b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?   |            | <b>X</b> |
| <b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____   |            |          |
| <b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____  |            |          |
| <b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities  |            | <b>X</b> |
| <b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  |            | <b>X</b> |
| <b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?  |            | <b>X</b> |
| <b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?   | <b>N/A</b> |          |
| <b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T  |            | <b>X</b> |
| <b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?                         | <b>X</b>   |          |
| <b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV  | <b>X</b>   |          |
| <b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/><br><b>NC</b>   |            |          |
| <b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation   | <b>X</b>   |          |
| <b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV  |            | <b>X</b> |
| <b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses   |            | <b>X</b> |

**Part VII-A Statements Regarding Activities (continued)**

|    |  |    |          |                          |
|----|--|----|----------|--------------------------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)  | 11 |          | <b>X</b>                 |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)   | 12 |          | <b>X</b>                 |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ▶ <b>www.guidestar.org</b>  | 13 | <b>X</b> |                          |
| 14 | The books are in care of ▶ <b>Hardin M. Minor</b><br><b>1914 Brunswick Ave 2B</b><br>Located at ▶ <b>Charlotte</b> NC ZIP+4 ▶ <b>28207</b><br>Telephone no ▶ <b>704-334-7271</b>   |    |          |                          |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ <b>15</b>  |    |          | <input type="checkbox"/> |
| 16 | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶ | 16 | Yes      | No<br><b>X</b>           |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|     |  | Yes | No       |
|-----|--|-----|----------|
| 1a  | During the year did the foundation (either directly or indirectly)   |     |          |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |          |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |          |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |          |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |          |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |          |
| (6) | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |          |
| b   | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/> <b>N/A</b>   | 1b  |          |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? <b>N/A</b>   | 1c  |          |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))  |     |          |
| a   | At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?<br>If "Yes," list the years ▶ 20 , 20 , 20 , 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |          |
| b   | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions ) <b>N/A</b>   | 2b  |          |
| c   | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |          |
| 3a  | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |          |
| b   | If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012 ) <b>N/A</b> | 3b  |          |
| 4a  | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  | 4a  | <b>X</b> |
| b   | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?  | 4b  | <b>X</b> |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**  **5b**

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**  Yes  No **X**

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address  | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---------------------------------------|
| Hardin M. Minor, Treasurer<br>1914 Brunswick Ave 2B<br>Charlotte NC 28207 | Treasurer<br>5.00   | 0   | 0   | 0                                     |
| Kathreen R. Minor<br>238 Cherokee St<br>Denver CO 80223                   | Secretary<br>1.00   | 0   | 0   | 0                                     |
|   |   |   |   |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE  |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

Total number of other employees paid over \$50,000 **0**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000                     | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE  |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
| <b>Total number of others receiving over \$50,000 for professional services</b> |                     | <b>0</b>         |

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|       | Expenses |
|-------|----------|
| 1 N/A |          |
| 2     |          |
| 3     |          |
| 4     |          |

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

|  | Amount |          |
|--|--------|----------|
| 1 N/A  |        |          |
| 2  |        |          |
| 3 All other program-related investments See instructions |        |          |
| <b>Total. Add lines 1 through 3</b>                      |        | <b>0</b> |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions )

|          |  |           |                |
|----------|--|-----------|----------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes |           |                |
| <b>a</b> | Average monthly fair market value of securities  | <b>1a</b> | <b>103,510</b> |
| <b>b</b> | Average of monthly cash balances   | <b>1b</b> | <b>14,453</b>  |
| <b>c</b> | Fair market value of all other assets (see instructions)   | <b>1c</b> | <b>0</b>       |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)  | <b>1d</b> | <b>117,963</b> |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | <b>1e</b> | <b>0</b>       |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets   | <b>2</b>  | <b>0</b>       |
| <b>3</b> | Subtract line 2 from line 1d   | <b>3</b>  | <b>117,963</b> |
| <b>4</b> | Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)      | <b>4</b>  | <b>1,769</b>   |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4 | <b>5</b>  | <b>116,194</b> |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5   | <b>6</b>  | <b>5,810</b>   |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part )

|           |   |           |              |
|-----------|---|-----------|--------------|
| <b>1</b>  | Minimum investment return from Part X, line 6   | <b>1</b>  | <b>5,810</b> |
| <b>2a</b> | Tax on investment income for 2012 from Part VI, line 5  | <b>2a</b> | <b>5</b>     |
| <b>b</b>  | Income tax for 2012 (This does not include the tax from Part VI )                                       | <b>2b</b> |              |
| <b>c</b>  | Add lines 2a and 2b   | <b>2c</b> | <b>5</b>     |
| <b>3</b>  | Distributable amount before adjustments Subtract line 2c from line 1                                    | <b>3</b>  | <b>5,805</b> |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions   | <b>4</b>  |              |
| <b>5</b>  | Add lines 3 and 4   | <b>5</b>  | <b>5,805</b> |
| <b>6</b>  | Deduction from distributable amount (see instructions)  | <b>6</b>  |              |
| <b>7</b>  | <b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | <b>7</b>  | <b>5,805</b> |

**Part XII Qualifying Distributions** (see instructions)

|          |   |           |              |
|----------|---|-----------|--------------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes   |           |              |
| <b>a</b> | Expenses, contributions, gifts, etc – total from Part I, column (d), line 26  | <b>1a</b> | <b>6,100</b> |
| <b>b</b> | Program-related investments – total from Part IX-B  | <b>1b</b> |              |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes   | <b>2</b>  |              |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the   |           |              |
| <b>a</b> | Suitability test (prior IRS approval required)  | <b>3a</b> |              |
| <b>b</b> | Cash distribution test (attach the required schedule)   | <b>3b</b> |              |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4                                    | <b>4</b>  | <b>6,100</b> |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | <b>5</b>  | <b>5</b>     |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4   | <b>6</b>  | <b>6,095</b> |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

|   | (a)<br>Corpus | (b)<br>Years prior to 2011 | (c)<br>2011  | (d)<br>2012  |
|---|---------------|----------------------------|--------------|--------------|
| <b>1</b> Distributable amount for 2012 from Part XI, line 7   |               |                            |              | <b>5,805</b> |
| <b>2</b> Undistributed income, if any, as of the end of 2012  |               |                            |              |              |
| <b>a</b> Enter amount for 2011 only   |               |                            | <b>5,598</b> |              |
| <b>b</b> Total for prior years 20____, 20____, 20____   |               |                            |              |              |
| <b>3</b> Excess distributions carryover, if any, to 2012  |               |                            |              |              |
| <b>a</b> From 2007  |               |                            |              |              |
| <b>b</b> From 2008  |               |                            |              |              |
| <b>c</b> From 2009  |               |                            |              |              |
| <b>d</b> From 2010  |               |                            |              |              |
| <b>e</b> From 2011  |               |                            |              |              |
| <b>f</b> Total of lines 3a through e  |               |                            |              |              |
| <b>4</b> Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>6,100</u>  |               |                            |              |              |
| <b>a</b> Applied to 2011, but not more than line 2a   |               |                            | <b>5,598</b> |              |
| <b>b</b> Applied to undistributed income of prior years (Election required – see instructions)  |               |                            |              |              |
| <b>c</b> Treated as distributions out of corpus (Election required – see instructions)  |               |                            |              |              |
| <b>d</b> Applied to 2012 distributable amount   |               |                            |              | <b>502</b>   |
| <b>e</b> Remaining amount distributed out of corpus   |               |                            |              |              |
| <b>5</b> Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a) )  |               |                            |              |              |
| <b>6</b> Enter the net total of each column as indicated below:   |               |                            |              |              |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5  |               |                            |              |              |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b  |               |                            |              |              |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               |                            |              |              |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount – see instructions   |               |                            |              |              |
| <b>e</b> Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount – see instructions  |               |                            |              |              |
| <b>f</b> Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013  |               |                            |              | <b>5,303</b> |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)                                  |               |                            |              |              |
| <b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)  |               |                            |              |              |
| <b>9</b> Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  |               |                            |              |              |
| <b>10</b> Analysis of line 9  |               |                            |              |              |
| <b>a</b> Excess from 2008   |               |                            |              |              |
| <b>b</b> Excess from 2009   |               |                            |              |              |
| <b>c</b> Excess from 2010   |               |                            |              |              |
| <b>d</b> Excess from 2011   |               |                            |              |              |
| <b>e</b> Excess from 2012   |               |                            |              |              |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**N/A**

|           |  |                      |                 |                 |                  |
|-----------|--|----------------------|-----------------|-----------------|------------------|
| <b>1a</b> | If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling  |                      |                 |                 |                  |
| <b>b</b>  | Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5) |                      |                 |                 |                  |
| <b>2a</b> | <b>Tax year</b>  | <b>Prior 3 years</b> |                 |                 | <b>(e) Total</b> |
|           | <b>(a) 2012</b>  | <b>(b) 2011</b>      | <b>(c) 2010</b> | <b>(d) 2009</b> |                  |
|           |  |                      |                 |                 |                  |
| <b>b</b>  | 85% of line 2a   |                      |                 |                 |                  |
| <b>c</b>  | Qualifying distributions from Part XII, line 4 for each year listed  |                      |                 |                 |                  |
| <b>d</b>  | Amounts included in line 2c not used directly for active conduct of exempt activities  |                      |                 |                 |                  |
| <b>e</b>  | Qualifying distributions made directly for active conduct of exempt activities<br>Subtract line 2d from line 2c  |                      |                 |                 |                  |
| <b>3</b>  | Complete 3a, b, or c for the alternative test relied upon  |                      |                 |                 |                  |
| <b>a</b>  | "Assets" alternative test – enter  |                      |                 |                 |                  |
|           | <b>(1)</b> Value of all assets   |                      |                 |                 |                  |
|           | <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)   |                      |                 |                 |                  |
| <b>b</b>  | "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed   |                      |                 |                 |                  |
| <b>c</b>  | "Support" alternative test – enter   |                      |                 |                 |                  |
|           | <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)                       |                      |                 |                 |                  |
|           | <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)  |                      |                 |                 |                  |
|           | <b>(3)</b> Largest amount of support from an exempt organization   |                      |                 |                 |                  |
|           | <b>(4)</b> Gross investment income   |                      |                 |                 |                  |

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
**None**
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
**None**
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d
- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed  
**N/A**
- b** The form in which applications should be submitted and information and materials they should include  
**No special form**
- c** Any submission deadlines  
**None**
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
**None other than approved by the IRS Code**

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount       |
|--|---|--------------------------------|----------------------------------|--------------|
| Name and address (home or business)              |   |                                |                                  |              |
| a Paid during the year<br><b>See Statement 9</b> |   |                                |                                  | 6,100        |
| <b>Total</b>                                     |   |                                | ▶ 3a                             | <b>6,100</b> |
| b Approved for future payment<br><b>N/A</b>      |   |                                |                                  |              |
| <b>Total</b>                                     |   |                                | ▶ 3b                             | <b>0</b>     |





## Federal Statements

## Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

| Whom Sold                            | Description | Date Acquired | Date Sold | How Received | Sale Price | Cost  | Expense | Depreciation | Net Gain / Loss |
|--------------------------------------|-------------|---------------|-----------|--------------|------------|-------|---------|--------------|-----------------|
|                                      |             |               |           |              |            |       |         |              |                 |
| -1-Call AT&T Inc due                 | 10/20/12    | 10/20/12      | 9/21/12   | Purchase     | \$ 76      | 40    | \$      | \$           | 36              |
| -1-Call AT&T Inc due                 | 10/04/12    | 10/04/12      |           | Purchase     | 37         | 2     |         |              | 35              |
| -1-Call AT&T Inc due                 | 11/17/12    | 10/22/12      | 10/04/12  | Purchase     | 15         |       |         |              | 15              |
| -1-Call Genl Electric Co due         | 10/20/12    | 10/22/12      | 9/19/12   | Purchase     | 31         | 21    |         |              | 10              |
| 1-Huaneng Power Intl Inc             | 12/21/11    | 10/24/12      |           | Purchase     | 139        | 150   |         |              | -11             |
| 5-Microsoft Corp                     | 2/01/12     | 10/26/12      |           | Purchase     | 56         | 64    |         |              | -8              |
| 2-Microsoft Corp                     | 4/25/12     | 10/26/12      |           | Purchase     | 449        | 398   |         |              | 51              |
| 8-National Grid PLC                  | 1/31/12     | 10/01/12      |           | Purchase     | 561        | 499   |         |              | 62              |
| 10-National Grid PLC                 | 7/24/12     | 10/01/12      |           | Purchase     | 16         |       |         |              | 16              |
| -1-Call Genl Electric Co due         | 11/17/12    | 10/23/12      |           | Purchase     | 16         |       |         |              | 16              |
| -1-Call AT&T Inc due                 | 12/22/12    | 10/24/12      |           | Purchase     | 16         |       |         |              | 16              |
| -1-Call Targa Resources Par due      | 12/24/12    | 10/24/12      | 12/2      | Purchase     | 30         |       |         |              | 30              |
| -1-Call Targa Resources Par due      | 12/24/12    | 8/24/12       |           | Purchase     | 30         |       |         |              | 30              |
| -1-Call Targa Resources Par due      | 4/24/13     | 1/14/13       | 6/22      | Purchase     | 18         | 232   |         |              | -214            |
| 14-Market Vectors Gold Miners ETF    | 11/05/12    | 12/18/12      |           | Purchase     | 639        | 701   |         |              | -62             |
| 34-Microsoft Corp                    | Various     | 11/28/12      |           | Purchase     | 913        | 1,009 |         |              | -96             |
| -1-Call AT&T Inc due                 | 3/16/13     | 1/15/13       | 1/02/13   | Purchase     | 30         | 16    |         |              | 14              |
| -1-Call Genl Electric Co due         | 3/16/13     | 1/18/13       | 1/02/13   | Purchase     | 18         | 14    |         |              | 4               |
| 74-Proshares Trust Proshares Short S | 1/02/13     | Various       |           | Purchase     | 2,443      | 2,470 |         |              | -27             |
| 84-France Telecom SA Spon ADR        | Various     | 2/15/13       |           | Purchase     | 860        | 1,130 |         |              | -270            |

## Federal Statements

## Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

| Whom Sold                            | Description | Date Acquired | Date Sold | How Received |          | Cost   | Expense | Depreciation | Net Gain / Loss |
|--------------------------------------|-------------|---------------|-----------|--------------|----------|--------|---------|--------------|-----------------|
|                                      |             |               |           | Sale Price   | Received |        |         |              |                 |
| 41-Petroleo Brasileiro SA Spon ADR   | 12/14/12    | Various       | ADR       | Purchase     | 725 \$   | 803 \$ | \$      |              | -78             |
| 183-Telefonica SA Spon ADR           | Various     | Various       | LP ML     | Purchase     | 1,992    | 1,930  |         |              | 62              |
| 10-Enterprise Products Partner LP ML | 11/13/12    | 3/05/13       |           | Purchase     | 577      | 490    |         |              | 87              |
| 35-Total S.A. France Spon ADR        | Various     | 2/15/13       |           | Purchase     | 1,745    | 2,104  |         |              | -359            |
| 25-Petroleo Brasileiro SA Spon ADR   | 12/14/12    | 2/26/13       |           | Purchase     | 371      | 490    |         |              | -119            |
| 7-Proshares Tr Short 20+ YR ETF      | 5/09/12     | 4/05/13       |           | Purchase     | 199      | 218    |         |              | -19             |
| 0.355-Companhia Energetica De Minas  | 11/28/12    | 5/14/13       |           | Purchase     | 4        | 4      |         |              |                 |
| 100-Spectra Energy Corp              | Various     | 5/17/13       |           | Purchase     | 3,125    | 2,939  |         |              | 186             |
| 24-Conocophillips                    | Various     | 5/31/13       |           | Purchase     | 1,487    | 1,323  |         |              | 164             |
| 97-Petroleo Brasileiro SA Spon ADR   | Various     | 6/12/13       |           | Purchase     | 1,520    | 1,705  |         |              | -185            |
| 10-China Life Insurance Co Ltd ADS S | 5/06/13     | 7/08/13       |           | Purchase     | 345      | 413    |         |              | -68             |
| 65-Hewlett Packard Co                | Various     | 7/18/13       |           | Purchase     | 1,706    | 1,280  |         |              | 426             |
| 2-Lincoln Natl Corp Co               | 8/16/12     | 7/08/13       |           | Purchase     | 78       | 47     |         |              | 31              |
| 65-Proshares Tr Short 20+ YR ETF     | Various     | 7/15/13       |           | Purchase     | 2,079    | 1,916  |         |              | 163             |
| 33-Cellcom Israel Ltd ILS            | Various     | 8/21/13       |           | Purchase     | 1,304    | 807    |         |              | 497             |
| 100-Spectra Energy Corp              | 6/06/13     | 8/06/13       |           | Purchase     | 3,245    | 2,982  |         |              | 263             |
| 70-Penney J C Co Inc                 | 9/04/13     | 9/25/13       |           | Purchase     | 736      | 928    |         |              | -192            |
| 10-Tesla Motors Inc                  | Various     | 9/17/13       |           | Purchase     | 1,662    | 1,214  |         |              | 448             |

## Federal Statements

## Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

| Whom Sold                            | Description | Date Acquired | Date Sold | How Received | Sale Price | Cost     | Expense | Depreciation | Net Gain / Loss |
|--------------------------------------|-------------|---------------|-----------|--------------|------------|----------|---------|--------------|-----------------|
|                                      |             |               |           |              |            |          |         |              |                 |
| 53-Huaneng Power Intl Inc            | Various     | Various       | Various   | Purchase     | 1,637 \$   | 1,306 \$ | \$      |              | 331             |
| 45-Lilly Eli & Co                    | Various     | Various       | Various   | Purchase     | 2,331      | 1,602    |         |              | 729             |
| 28-National Grid PLC                 | Various     | Various       | Various   | Purchase     | 1,572      | 1,231    |         |              | 341             |
| 40-Petroleo Brasileiro SA            | Various     | Various       | Various   | Purchase     | 915        | 1,277    |         |              | -362            |
| 33-Smithfield Foods Inc              | Various     | Various       | Various   | Purchase     | 680        | 451      |         |              | 229             |
| 5-Amgen Inc                          | 1/13/10     | 11/14/12      | Various   | Purchase     | 420        | 283      |         |              | 137             |
| 34-Bristol Myers Squibb Co           | Various     | 11/12/12      | Various   | Purchase     | 1,091      | 768      |         |              | 323             |
| 22-SPDR Gold Trust                   | Various     | Various       | Various   | Purchase     | 3,558      | 2,004    |         |              | 1,554           |
| 61-Western Asset Emerging Markets De | 7/27/07     | 12/19/12      | Various   | Purchase     | 1,333      | 1,052    |         |              | 281             |
| 132.999-Wells Fargo Advantage Multi  | Various     | 1/23/13       | Various   | Purchase     | 2,181      | 2,105    |         |              | 76              |
| 3-Centurylink Inc                    | 1/20/11     | 2/14/13       | Various   | Purchase     | 97         | 129      |         |              | -32             |
| 100-France Telecom SA Spon ADR       | Various     | Various       | Various   | Purchase     | 1,108      | 2,300    |         |              | -1,192          |
| 56-Ishares Trust S&P US Pfd Stock In | Various     | 2/11/13       | Various   | Purchase     | 2,242      | 2,159    |         |              | 83              |
| -1-Call Targa Resources Par due      | 4/24/13     | 1/14/13       | Various   | Purchase     | 27         | 348      |         |              | -321            |
| 21-Lilly Eli & Co                    | Various     | 2/15/13       | Various   | Purchase     | 1,125      | 664      |         |              | 461             |
| 3-Mobile Telesystems OJSC Spon ADR   | 5/12/11     | 2/07/13       | Various   | Purchase     | 59         | 61       |         |              | -2              |
| 145-Nuveen Credit Strategies Income  | Various     | 2/08/13       | Various   | Purchase     | 1,471      | 1,502    |         |              | -31             |
| 49-Singapore Telecom Ltd New 2006 AD | Various     | Various       | Various   | Purchase     | 1,408      | 1,152    |         |              | 256             |

## Federal Statements

## Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

| Whom Sold                            | Description   |           | Date Sold | Date Acquired | How Received | Sale Price | Cost   | Expense | Depreciation | Net Gain / Loss |
|--------------------------------------|---------------|-----------|-----------|---------------|--------------|------------|--------|---------|--------------|-----------------|
|                                      | Date Acquired | Date Sold |           |               |              |            |        |         |              |                 |
| 9-Telefonica S A Spon ADR            | 12/23/11      | 2/11/13   |           |               | Purchase     | 119 \$     | 155 \$ | \$      |              | -36             |
| 30-Total S.A. France Spon ADR        | 1/26/12       | Various   |           |               | Purchase     | 1,560      | 1,600  |         |              | -40             |
| 26-Verizon Communications Inc        | Various       | 2/07/13   |           |               | Purchase     | 1,156      | 742    |         |              | 414             |
| 100-AT&T Inc                         | Various       | 3/15/13   |           |               | Purchase     | 3,538      | 2,934  |         |              | 604             |
| 61-Exelon Corp                       | Various       | 2/27/13   |           |               | Purchase     | 1,865      | 2,547  |         |              | -682            |
| 20-Mobile Telesystems OJSC Spon ADR  | Various       | 2/27/13   |           |               | Purchase     | 397        | 405    |         |              | -8              |
| 52-Bank of Amer Corp Ser 5 Preferred | Various       | 4/04/13   |           |               | Purchase     | 1,248      | 799    |         |              | 449             |
| 95-Mobile Telesystems OJSC Spon ADR  | Various       | 4/19/13   |           |               | Purchase     | 1,839      | 1,398  |         |              | 441             |
| 19-Proshares Tr Short 20+ YR ETF     | 11/08/11      | 4/05/13   |           |               | Purchase     | 541        | 628    |         |              | -87             |
| 94-Telecom Corp New Zealand Ltd Spon | 1/04/11       | 4/08/13   |           |               | Purchase     | 946        | 593    |         |              | 353             |
| 100-Vimpelcom Ltd Spon ADR           | Various       | 4/19/13   |           |               | Purchase     | 1,250      | 1,008  |         |              | 242             |
| 22-ConocoPhillips                    | 5/09/12       | 5/31/13   |           |               | Purchase     | 1,363      | 1,167  |         |              | 196             |
| 14-Proshares Tr Short 20+ YR ETF     | 5/09/12       | 7/15/13   |           |               | Purchase     | 448        | 436    |         |              | 12              |
| 86-Cellcom Israel Ltd ILS            | Various       | 8/21/13   |           |               | Purchase     | 983        | 853    |         |              | 130             |
| 18-Consolidated Communications Holdi | 7/03/12       | 8/14/13   |           |               | Purchase     | 312        | 286    |         |              | 26              |
| 1891.196-T Rowe Price Short Term Bon | 6/01/11       | 9/19/13   |           |               | Purchase     | 9,059      | 9,210  |         |              | -151            |
| 2 Amgen Inc                          | Various       | Various   |           |               | Purchase     | 175        | 113    |         |              | 62              |

**Federal Statements**

**Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)**

| Whom Sold | Description | Date Acquired | Date Sold | How Received | Sale Price | Cost      | Expense | Depreciation | Net Gain / Loss |
|-----------|-------------|---------------|-----------|--------------|------------|-----------|---------|--------------|-----------------|
|           |             |               |           |              |            |           |         |              |                 |
| Total     |             |               |           |              | \$ 79,301  | \$ 73,607 | \$ 0    | \$ 0         | \$ 5,694        |

**Statement 2 - Form 990-PF, Part I, Line 11 - Other Income**

| Description      | Revenue per Books | Net Investment Income | Adjusted Net Income |
|------------------|-------------------|-----------------------|---------------------|
| Fee Rebates      | \$ 8              | \$                    | \$ 8                |
| Partnership Loss | -657              |                       | -657                |
| Total            | \$ -649           | \$ 0                  | \$ -649             |

**Statement 3 - Form 990-PF, Part I, Line 16b - Accounting Fees**

| Description       | Total    | Net Investment | Adjusted Net | Charitable Purpose |
|-------------------|----------|----------------|--------------|--------------------|
| Professional Fees | \$ 1,275 | \$ 1,275       | \$ 1,275     | \$                 |
| Total             | \$ 1,275 | \$ 1,275       | \$ 1,275     | \$ 0               |

**Statement 4 - Form 990-PF, Part I, Line 16c - Other Professional Fees**

| Description               | Total    | Net Investment | Adjusted Net | Charitable Purpose |
|---------------------------|----------|----------------|--------------|--------------------|
| Investment Management Fee | \$ 1,588 | \$ 1,588       | \$ 1,588     | \$                 |
| Total                     | \$ 1,588 | \$ 1,588       | \$ 1,588     | \$ 0               |

## Federal Statements

Statement 5 - Form 990-PF, Part I, Line 18 - Taxes

| Description        | Total  | Net Investment | Adjusted Net | Charitable Purpose |
|--------------------|--------|----------------|--------------|--------------------|
| Federal Excise Tax | \$ 36  |                | \$           | \$                 |
| Foreign Tax        | 92     | 92             | 92           |                    |
| Total              | \$ 128 | \$ 92          | \$ 92        | \$ 0               |

Statement 6 - Form 990-PF, Part I, Line 23 - Other Expenses

| Description                   | Total | Net Investment | Adjusted Net | Charitable Purpose |
|-------------------------------|-------|----------------|--------------|--------------------|
| Expenses                      | \$    | \$             | \$           | \$                 |
| Bank Service Charge           | 6     | 6              | 6            |                    |
| Foreign ADR Fees              | 22    | 22             | 22           |                    |
| Office Expense                |       |                |              |                    |
| America First Tax Exempt Inv  | 17    | 17             | 17           |                    |
| Nondeductible Partnership Exp |       |                |              |                    |
| Portfolio Expense             |       |                |              |                    |
| Total                         | \$ 45 | \$ 45          | \$ 45        | \$ 0               |

## Federal Statements

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

| <u>Description</u> | <u>Beginning<br/>of Year</u> | <u>End of<br/>Year</u> | <u>Basis of<br/>Valuation</u> | <u>Fair Market<br/>Value</u> |
|--------------------|------------------------------|------------------------|-------------------------------|------------------------------|
| Stocks             | \$ 91,502                    | \$ 73,967              | Cost                          | \$ 84,907                    |
| Partnerships       | 6,004                        | 14,932                 | Cost                          | 18,603                       |
| Total              | \$ 97,506                    | \$ 88,899              |                               | \$ 103,510                   |

**Statement 8 - Form 990-PF, Part II, Line 22 - Other Liabilities**

| <u>Description</u>                | <u>Beginning<br/>of Year</u> | <u>End of<br/>Year</u> |
|-----------------------------------|------------------------------|------------------------|
| Reserve for Losses on Assets Held | \$ 10,416                    | \$ 10,416              |
| Total                             | \$ 10,416                    | \$ 10,416              |

## Federal Statements

**Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000**

| Name of Manager | Amount     |
|-----------------|------------|
| None            | \$ _____   |
| Total           | \$ _____ 0 |

**Form 990-PF, Part XV, Line 1b - Managers Who Own 10% or More Stock**

| Name of Manager | Amount     |
|-----------------|------------|
| None            | \$ _____   |
| Total           | \$ _____ 0 |

**Form 990-PF, Part XV, Line 2b - Application Format and Required Contents**

| Description     |
|-----------------|
| No special form |

**Form 990-PF, Part XV, Line 2c - Submission Deadlines**

| Description |
|-------------|
| None        |

**Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations**

| Description                              |
|--|
| None other than approved by the IRS Code |

## Federal Statements

Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

| Name                             |                           | Address                   |        | Relationship             | Status | Purpose | Amount |
|----------------------------------|---------------------------|---------------------------|--------|--------------------------|--------|---------|--------|
| Address                          | Name                      | Relationship              | Status | Purpose                  | Amount |         |        |
| CED Outreach                     | Charlotte NC 28207        | 1412 Providence Rd        | None   | Religious                | 50     |         |        |
| Charlotte Symphony Orches        | Charlotte NC 28282        | 301 S. Tryon St. #1700    | None   | Community Cultural       |        |         |        |
| Community School of Arts         | Charlotte NC 28202        | 345 N. College St. #413   | None   | Education                |        |         |        |
| Crisis Assistance Ministr        | Charlotte NC 28206        | 500-A Spratt St           | None   | Religious and Charitable |        |         |        |
| Denver Inner City Parish         | Denver CO 80204           | 1212 Mariposa St Unit 1   | None   | Community Cultural       |        |         |        |
| Friendship Trays                 | Charlotte NC 28203        | 2401 Distribution St Ste  | None   | Community                |        |         | 50     |
| Junior League of Charlott        | Charlotte NC 28209        | 1332 Maryland Ave         | None   | Community                |        |         |        |
| Juvenile Diabetes Researc        | Charlotte NC 28217        | 205 Regency Executive Pk  | None   | Science and Charitable   |        |         |        |
| Levine Museum of New Sout        | Charlotte NC 28202        | 200 E. 7th Street         | None   | Museum Support           |        |         |        |
| Myers Park Presbyterian C        | Charlotte NC 28207        | 2501 Oxford Pl            | None   | Religious                |        |         |        |
| NC Dance Theater                 | Charlotte NC 28205        | 622 East 28th St. Ste 113 | None   | Community Cultural       |        |         |        |
| UNC Chapel Hill - Wilson Library | Chapel Hill NC 27516      | 208 W. Franklin St        | None   | Education                |        |         | 5,000  |
| St. Martins Episcopal Ch         | Charlotte NC 28204        | 1510 E. 7th St.           | None   | Religious                |        |         |        |
| Symphony Guild of Charlot        | Charlotte NC 28209        | 1515 Mockingbird Ln Ste 4 | None   | Community Cultural       |        |         |        |
| Trinity Episcopal School         | Charlotte NC 28202        | 750 E. 9th Street         | None   | Education                |        |         |        |
| Virginia Military Institu        | Lexington VA 24450        | 304 Letcher Ave           | None   | Education                |        |         |        |
| Wing Haven                       | Charlotte NC 28209        | 248 Ridgewood Avenue      | None   | Community Cultural       |        |         |        |
| WTVI                             | Charlotte NC 28205        | 3242 Commonwealth Ave     | None   | Public TV Support        |        |         |        |
| Woodberry Forest School          | Woodberry Forest VA 22989 | 898 Woodberry Forest Rd   | None   | Education                |        |         | 1,000  |

# Federal Statements

## Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

| Name | Address | Relationship | Status | Purpose | Amount |
|------|---------|--------------|--------|---------|--------|
|      |         |              |        |         | 6,100  |

Total

Janice C. Spangler, C P A  
President and Treasurer

SPANGLER, INC., P. A.  
CERTIFIED PUBLIC ACCOUNTANT  
1914 BRUNSWICK AVE , SUITE 2 B  
CHARLOTTE, N. C 28207

MEMBER  
AMERICAN INSTITUTE OF C P A 'S  
N C ASSOCIATION OF C P A 'S

Phone 704-334-7271  
Fax 704-334-7272

April 29, 2014

Office of the Attorney General  
P.O. Box 629  
Raleigh, NC 27602


Gentlemen:

Enclosed please find a copy of the Annual Report of Minor Foundation, Inc.  
for the fiscal year ended September 30, 2013.

This report is being furnished to you as required by Section 6035(d)(3) of the  
Internal Revenue Code of the Federal Government.

Respectfully submitted,

MINOR FOUNDATION, INC.

By  TREASURER  
Hardin M. Minor, Treasurer

JCS/rb

Enclosure

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Exhibit "A"

STATEMENT OF ASSETS, LIABILITIES AND FUNDS ACCOUNT  
INCOME TAX BASIS  
September 30, 2013 and 2012

| <u>Assets</u>   | Fair Market<br>Value<br>9-30-13 | 9-30-13              | 9-30-12              |
|---|---------------------------------|----------------------|----------------------|
| Cash and Money Market Funds   |                                 |                      |                      |
| UBS   | \$ 14,453.46                    | \$ 14,453.46         | \$ 13,424.17         |
| Investments   |                                 |                      |                      |
| Common Stocks - Schedule #2   | 84,906.79                       | 73,967.31            | 91,501.46            |
| Partnerships - Schedule #2  | 18,602.85                       | 14,931.67            | 6,004.22             |
| Total Assets  | <u>\$ 117,963.10</u>            | <u>\$ 103,352.44</u> | <u>\$ 110,929.85</u> |
| <br><u>Liabilities</u><br>  |                                 |                      |                      |
| Accounts Payable  |                                 | \$ -                 | \$ 6,971.79          |
| Reserve for Losses on Assets Held   |                                 | 10,416.43            | 10,416.43            |
| Funds to be Allocated to Established Charities<br>per Corporate Charter - Exhibit "B" |                                 | <u>92,936.01</u>     | <u>93,541.63</u>     |
| Total Liabilities   |                                 | <u>\$ 103,352.44</u> | <u>\$ 110,929.85</u> |

Note: The corporation serves as trustee for various fully funded accounts which are separately reported on fiduciary income tax return on a calendar year basis under E.I. #23-7091518.

See Accompanying Accountants' Report

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Exhibit "B"

ANALYSIS OF FUND ACCOUNTS  
Fiscal Year Ended September 30, 2013

Funds Allocated to Charities

Balance at 9-30-12 \$ 93,541.63

Additions:

|                                     |             |  |
|-------------------------------------|-------------|--|
| Dividend Income - Schedule #4       | \$ 3,433.93 |  |
| Interest Income :                   |             |  |
| Energy Transfer Partners, LP        | 4.00        |  |
| Fortress Investment Group LLC - K-1 | 1.00        |  |
| Kinder Morgan Energy Partners LP    | 2.00        |  |
| Capital Gain - Schedule #3          | 5,741.58    |  |
| Fee Rebates                         | 7.66        |  |

Total Income 9,190.17

Reductions:

|                                |                 |                 |
|--------------------------------|-----------------|-----------------|
| Donations - Schedule #1        | \$ 6,100.00     |                 |
| Accounting                     | 1,275.00        |                 |
| Bank Service Charge            | 6.00            |                 |
| Federal Tax                    | 36.00           |                 |
| Foreign Tax                    | 92.14           |                 |
| Foreign ADR Fees               | 21.03           |                 |
| Interest                       | 4.00            |                 |
| Investment Management Services | 1,587.62        |                 |
| Partnership Loss               | 657.00          |                 |
| Portfolio Expense              | 17.00           |                 |
|                                | <u>9,795.79</u> | <u>(605.62)</u> |

Balance of Funds Account at 9-30-13 - To Exhibit "A"

\$ 92,936.01

See Accompanying Accountants' Report

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Schedule #1

DONATIONS  
Fiscal Year Ended September 30, 2013

| <u>Donee</u>                     | <u>Purpose</u> | <u>Amount</u>      |
|----------------------------------|----------------|--------------------|
| Christ Episcopal Church Outreach | Religious      | \$ 50.00           |
| Friendship Trays                 | Community      | 50.00              |
| UNC Chapel Hill - Wilson Library | Education      | 5,000.00           |
| Woodberry Forest School          | Education      | <u>1,000.00</u>    |
| Total to Exhibit "B"             |                | <u>\$ 6,100.00</u> |

See Accompanying Accountants' Report

MINOR FOUNDATION, INC.  
 CHARLOTTE, NORTH CAROLINA  
 COMMON STOCK AND BOND PORTFOLIO  
 Fiscal Year Ended September 30, 2013

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 Schedule #2  
 Page #1

|                     |  | Shares<br>Purchased | Price | Total<br>Cost | Fair Market<br>Value<br>9-30-13 |
|---------------------|--|---------------------|-------|---------------|---------------------------------|
| <u>Common Stock</u> |  |                     |       |               |                                 |
| 2/27/13             | AES Corp                                 | 38                  | 11.43 | 434.34        | \$ 505.02                       |
| 3/1/13              | AES Corp                                 | 12                  | 11.69 | 140.27        | 159.48                          |
| 3/11/13             | AES Corp                                 | 20                  | 12.44 | 248.77        | 265.80                          |
| 7/17/13             | AES Corp                                 | 10                  | 12.86 | 128.60        | 132.90                          |
| 12/11/12            | American Intl Group Inc                  | 27                  | 34.30 | 926.10        | 1,313.01                        |
| 12/12/12            | American Intl Group Inc                  | 17                  | 35.09 | 596.55        | 826.71                          |
| 1/13/10             | Amgen Inc                                | 2                   | 56.56 | 113.11        | 223.85                          |
| 9/21/10             | Amgen Inc                                | 6                   | 55.76 | 334.55        | 671.55                          |
| 1/22/13             | Amgen, Inc                               | 7                   | 82.93 | 580.49        | 783.47                          |
| 6/11/10             | Astrazeneca PLC                          | 26                  | 44.55 | 1,158.39      | 1,350.18                        |
| 12/21/11            | Astrazeneca PLC                          | 20                  | 45.30 | 906.03        | 1,038.60                        |
| 3/13/12             | Astrazeneca PLC                          | 13                  | 45.31 | 589.02        | 675.09                          |
| 7/9/12              | Astrazeneca PLC                          | 5                   | 45.50 | 227.49        | 259.65                          |
| 4/29/13             | AT&T Inc                                 | 26                  | 37.27 | 968.97        | 879.32                          |
| 5/7/13              | AT&T Inc                                 | 9                   | 37.47 | 337.22        | 304.38                          |
| 6/12/13             | AT&T Inc                                 | 10                  | 35.98 | 359.80        | 338.20                          |
| 7/18/13             | AT&T Inc                                 | 10                  | 35.80 | 358.00        | 338.20                          |
| 11/26/08            | BCE Inc New                              | 33                  | 19.76 | 652.14        | 1,409.10                        |
| 7/1/09              | BCE Inc New                              | 7                   | 21.15 | 148.02        | 298.90                          |
| 7/21/09             | BCE Inc New                              | 5                   | 21.96 | 109.80        | 213.50                          |
| 7/30/09             | BCE Inc New                              | 5                   | 23.00 | 115.02        | 213.50                          |
| 9/22/09             | BCE Inc New                              | 10                  | 24.75 | 247.48        | 427.00                          |
| 1/22/10             | BCE Inc New                              | 3                   | 25.97 | 77.90         | 128.10                          |
| 3/15/11             | BCE Inc New                              | 2                   | 34.25 | 68.50         | 85.40                           |
| 7/25/13             | BCE Inc New                              | 10                  | 41.11 | 411.12        | 427.00                          |
| 6/10/10             | Centurylink Inc                          | 22                  | 34.27 | 754.02        | 690.36                          |
| 1/20/11             | Centurylink Inc                          | 6                   | 42.85 | 257.08        | 188.28                          |
| 2/22/11             | Centurylink Inc                          | 4                   | 40.57 | 162.27        | 125.52                          |
| 7/26/11             | Centurylink Inc                          | 19                  | 38.28 | 727.32        | 596.22                          |
| 12/22/11            | Centurylink Inc                          | 2                   | 36.70 | 73.39         | 62.76                           |
| 12/23/11            | Centurylink Inc                          | 10                  | 37.13 | 371.28        | 313.80                          |
| 5/11/12             | Centurylink Inc                          | 1                   | 39.69 | 39.69         | 31.38                           |
| 7/16/12             | Centurylink Inc                          | 5                   | 40.75 | 203.75        | 156.90                          |
| 4/5/13              | Centurylink Inc                          | 11                  | 36.04 | 396.42        | 345.18                          |
| 2/5/10              | Cohen & Steers Infrastructure Fund Inc   | 0.715               | 13.97 | 9.99          | 14.11                           |
| 9/4/13              | Cohen & Steers Infrastructure Fund Inc   | 83                  | 18.52 | 1,537.16      | 1638.42                         |
| 9/5/13              | Cohen & Steers Infrastructure Fund Inc   | 100                 | 18.53 | 1,852.84      | 1974.00                         |
|                     | Return of Capital - FYE 9-30-08          |                     |       | (57.78)       |                                 |
|                     | Return of Capital - FYE 9-30-09          |                     |       | (131.79)      |                                 |
| 3/28/11             | Companhia De Saneamento Basico Do Estado | 60                  | 9.20  | 551.78        | 597.60                          |
| 9/12/11             | Companhia De Saneamento Basico Do Estado | 36                  | 8.77  | 315.64        | 358.56                          |
| 11/28/12            | Companhia Energetica De Minas Gerais     | 44.786              | 10.49 | 469.78        | 386.96                          |
| 2/28/13             | Companhia Energetica De Minas Gerais     | 13.543              | 10.56 | 142.95        | 117.01                          |
| 4/3/13              | Companhia Energetica De Minas Gerais     | 14.671              | 10.35 | 151.79        | 126.76                          |
| 7/25/13             | Companhia Energetica De Minas Gerais     | 42                  | 9.76  | 409.74        | 362.88                          |
| 4/25/12             | CPFL Energia SA                          | 7                   | 27.93 | 195.51        | 120.75                          |
| 4/26/12             | CPFL Energia SA                          | 40                  | 27.74 | 1,109.67      | 690.00                          |
| 6/27/12             | CPFL Energia SA                          | 5                   | 24.45 | 122.26        | 86.25                           |
| 7/2/12              | CPFL Energia SA                          | 8                   | 25.73 | 205.87        | 138.00                          |
| 7/11/12             | CPFL Energia SA                          | 16                  | 23.40 | 374.39        | 276.00                          |
| 7/12/12             | CPFL Energia SA                          | 14                  | 22.30 | 312.18        | 241.50                          |
| 12/11/12            | Delta Air Lines Ind                      | 29                  | 10.63 | 308.36        | 684.11                          |
| 12/12/12            | Delta Air Lines Ind                      | 51                  | 10.91 | 556.16        | 1,203.09                        |
| 1/28/13             | Delta Air Lines Ind                      | 15                  | 14.10 | 211.50        | 353.85                          |
| 8/28/12             | Entergy Corp                             | 17                  | 68.66 | 1,167.22      | 1,074.23                        |

See Accompanying Accountants' Report

MINOR FOUNDATION, INC.  
 CHARLOTTE, NORTH CAROLINA  
 COMMON STOCK AND BOND PORTFOLIO  
 Fiscal Year Ended September 30, 2013

5  
 Schedule #2  
 Page #2

|          |  | Shares<br>Purchased | Price | Total<br>Cost | Fair Market<br>Value<br>9-30-13 |
|----------|--|---------------------|-------|---------------|---------------------------------|
|          | <u>Common Stock</u>                          |                     |       |               |                                 |
| 10/3/12  | Entergy Corp                                 | 5                   | 70.22 | 351.12        | 315.95                          |
| 1/28/13  | Entergy Corp                                 | 17                  | 64.44 | 1,095.51      | 1,074.23                        |
| 2/27/13  | Entergy Corp                                 | 16                  | 61.82 | 989.11        | 1,011.04                        |
| 4/4/13   | Entergy Corp                                 | 5                   | 66.31 | 331.54        | 315.95                          |
| 11/11/10 | Exelon Corp                                  | 39                  | 40.62 | 1,584.24      | 1,155.96                        |
| 1/28/13  | Exelon Corp                                  | 2                   | 31.16 | 62.31         | 59.28                           |
| 9/21/05  | Frontier Communications Corp                 | 7                   | 8.06  | 56.40         | 29.26                           |
| 8/17/10  | Frontier Communications Corp                 | 39                  | 7.65  | 298.51        | 163.02                          |
| 9/2/10   | Frontier Communications Corp                 | 6                   | 7.88  | 47.25         | 25.08                           |
| 9/22/10  | Frontier Communications Corp                 | 57                  | 8.10  | 461.80        | 238.26                          |
| 12/22/11 | Frontier Communications Corp                 | 11                  | 5.14  | 56.54         | 45.98                           |
| 3/2/12   | Frontier Communications Corp                 | 83                  | 4.52  | 375.16        | 346.94                          |
| 3/5/12   | Frontier Communications Corp                 | 32                  | 4.41  | 141.12        | 133.76                          |
| 5/9/12   | Frontier Communications Corp                 | 125                 | 3.31  | 413.21        | 522.50                          |
| 5/11/12  | Frontier Communications Corp                 | 40                  | 3.51  | 140.40        | 167.20                          |
| 10/30/08 | General Electric Co.                         | 10                  | 19.88 | 198.76        | 238.90                          |
| 7/20/09  | General Electric Co.                         | 63                  | 11.69 | 736.20        | 1,505.07                        |
| 7/21/09  | General Electric Co.                         | 25                  | 11.80 | 295.00        | 597.25                          |
| 2/1/12   | General Electric Co                          | 29                  | 18.90 | 548.10        | 692.81                          |
| 1/18/13  | General Electric Co                          | 26                  | 22.13 | 575.40        | 621.14                          |
| 3/8/04   | Glaxo Smithkline PLC                         | 18                  | 41.90 | 754.20        | 903.06                          |
| 9/16/09  | Glaxo Smithkline PLC                         | 9                   | 38.88 | 349.93        | 451.53                          |
| 1/12/10  | Glaxo Smithkline PLC                         | 16                  | 41.59 | 665.49        | 802.72                          |
| 6/8/10   | Glaxo Smithkline PLC                         | 10                  | 33.34 | 333.39        | 501.70                          |
| 2/12/13  | Glaxo Smithkline PLC ADR                     | 4                   | 45.61 | 182.44        | 200.68                          |
| 1/26/11  | Hartford Floating Rate Fund Class B          | 194                 | 8.99  | 1,745.00      | 1,739.18                        |
| 8/29/13  | Henderson Global Investors European Focus    | 42                  | 29.75 | 637.63        | 735.30                          |
| 1/28/13  | Henderson Global Investors Global Technology | 30                  | 21.35 | 1,239.14      | 1,320.36                        |
| 7/11/13  | Hospitality Properties Trust DE              | 15                  | 27.85 | 417.75        | 424.50                          |
| 2/5/13   | Ishares Inc MSCI South Korea Index           | 5                   | 58.99 | 294.94        | 307.50                          |
| 2/8/13   | Ishares Inc MSCI South Korea Index           | 8                   | 59.47 | 475.78        | 492.00                          |
| 5/6/13   | Ishares Inc MSCI South Korea Index           | 2                   | 58.43 | 116.85        | 123.00                          |
| 7/2/13   | Ishares Inc MSCI South Korea Index           | 3                   | 53.38 | 160.13        | 184.50                          |
| 8/21/13  | Ishares Inc MSCI South Korea Index           | 7                   | 54.68 | 382.79        | 430.50                          |
| 2/10/12  | Ivy Science & Technology                     | 19.058              | 31.71 | 604.33        | 906.40                          |
| 1/23/13  | Ivy Science & Technology Fund                | 6.806               | 37.40 | 254.53        | 323.69                          |
| 7/10/13  | Ivy Science & Technology Fund                | 4.364               | 43.07 | 187.95        | 207.55                          |
| 7/24/13  | John Hancock Premium Dividend Fund           | 100                 | 13.30 | 1,330.00      | 1,197.00                        |
| 1/28/13  | Kinder Morgan Inc                            | 16                  | 37.54 | 600.62        | 569.12                          |
| 2/7/13   | Kinder Morgan Inc                            | 9                   | 37.57 | 338.16        | 320.13                          |
| 2/14/13  | Kinder Morgan Inc                            | 10                  | 37.56 | 375.60        | 355.70                          |
| 2/26/13  | Kinder Morgan Inc                            | 5                   | 36.21 | 181.03        | 177.85                          |
| 8/1/13   | Kinder Morgan Inc                            | 5                   | 37.99 | 189.93        | 177.85                          |
| 8/10/11  | Lincoln Natl Corp                            | 27                  | 21.24 | 573.52        | 1,133.73                        |
| 8/11/11  | Lincoln Natl Corp                            | 23                  | 22.06 | 507.39        | 965.77                          |
| 10/10/11 | Lincoln Natl Corp                            | 38                  | 16.25 | 617.55        | 1,595.62                        |
| 1/5/12   | Lincoln Natl Corp                            | 30                  | 19.79 | 593.56        | 1,259.70                        |
| 1/6/12   | Lincoln Natl Corp                            | 11                  | 20.22 | 222.40        | 461.89                          |
| 1/20/12  | Lincoln Natl Corp                            | 30                  | 22.42 | 672.60        | 1,259.70                        |
| 8/16/12  | Lincoln Natl Corp                            | 7                   | 23.73 | 166.10        | 293.93                          |
| 4/5/12   | Market Vectors Gold Miners ETF               | 51                  | 46.65 | 2,379.34      | 1,276.02                        |
| 11/5/12  | Market Vectors Gold Miners ETF               | 11                  | 50.08 | 550.83        | 275.22                          |
| 1/16/13  | Market Vectors Gold Miners ETF               | 7                   | 45.14 | 315.98        | 175.14                          |
| 2/12/13  | Market Vectors Gold Miners ETF               | 11                  | 41.68 | 458.48        | 275.22                          |
| 7/10/13  | Market Vectors Gold Miners ETF DE            | 35                  | 23.34 | 817.02        | 875.70                          |
| 9/17/13  | Market Vectors Gold Miners ETF DE            | 10                  | 25.62 | 256.19        | 250.20                          |

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MINOR FOUNDATION, INC.  
 CHARLOTTE, NORTH CAROLINA  
 COMMON STOCK AND BOND PORTFOLIO  
 Fiscal Year Ended September 30, 2013

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|                     |                                      | Shares<br>Purchased | Price | Total<br>Cost       | Fair Market<br>Value<br>9-30-13 |
|---------------------|--------------------------------------|---------------------|-------|---------------------|---------------------------------|
| <b>Common Stock</b> |                                      |                     |       |                     |                                 |
| 2/8/13              | Market Vectors Russia                | 30                  | 30.34 | 910.08              | 845.40                          |
| 2/14/13             | Market Vectors Russia                | 11                  | 30.31 | 333.36              | 309.98                          |
| 6/6/13              | Market Vectors Russia                | 14                  | 25.10 | 351.33              | 394.52                          |
| 8/21/13             | Market Vectors Russia                | 5                   | 26.28 | 131.40              | 140.90                          |
| 12/21/11            | Metlife Inc                          | 47                  | 30.32 | 1,424.97            | 2,206.65                        |
| 12/29/11            | Metlife Inc                          | 33                  | 31.00 | 1,023.00            | 1,549.35                        |
| 1/6/12              | Metlife Inc                          | 7                   | 33.22 | 232.53              | 328.65                          |
| 1/20/12             | Metlife Inc                          | 19                  | 36.29 | 689.49              | 892.05                          |
| 7/11/12             | Metlife Inc                          | 10                  | 30.30 | 302.98              | 469.50                          |
| 1/28/13             | Metlife inc                          | 3                   | 38.02 | 114.06              | 140.85                          |
| 2/8/13              | Metlife inc                          | 7                   | 36.82 | 257.72              | 328.65                          |
| 6/1/12              | Natl Australia Bank Ltd Spon ADR     | 15                  | 21.64 | 324.62              | 482.85                          |
| 2/6/13              | Natl Australia Bank Ltd Spon ADR     | 5                   | 29.05 | 145.25              | 160.95                          |
| 6/5/13              | Natl Australia Bank Ltd Spon ADR     | 5                   | 28.44 | 142.19              | 160.95                          |
| 6/6/13              | Natl Australia Bank Ltd Spon ADR     | 5                   | 27.22 | 136.09              | 160.95                          |
| 8/13/13             | Natl Australia Bank Ltd Spon ADR     | 5                   | 28.30 | 141.50              | 160.95                          |
| 1/9/13              | National Grid PLC                    | 11                  | 55.45 | 609.93              | 649.55                          |
| 2/15/13             | National Grid PLC                    | 3                   | 53.00 | 159.00              | 177.15                          |
| 2/25/13             | National Grid PLC                    | 9                   | 54.58 | 491.24              | 531.45                          |
| 2/27/13             | National Grid PLC                    | 6                   | 54.76 | 328.55              | 354.30                          |
| 4/24/13             | Newmont Mining Corp                  | 10                  | 33.13 | 331.30              | 281.00                          |
| 7/10/13             | Newmont Mining Corp                  | 10                  | 26.55 | 265.50              | 281.00                          |
| 4/8/10              | Nuveen Credit Strategies Income Fund | 91                  | 8.38  | 762.13              | 935.00                          |
| 5/3/10              | Nuveen Credit Strategies Income Fund | 35                  | 8.45  | 295.75              | 243.10                          |
| 12/12/08            | Senior Hsg Properties Trust          | 14                  | 12.28 | 171.94              | 326.76                          |
| 6/28/12             | Senior Hsg Properties Trust          | 2                   | 21.69 | 43.37               | 46.68                           |
| 2/7/13              | Senior Hsg Properties Trust          | 4                   | 24.50 | 98.00               | 93.36                           |
| 2/12/13             | Senior Hsg Properties Trust          | 10                  | 24.61 | 246.08              | 233.40                          |
| 2/22/13             | Senior Hsg Properties Trust          | 10                  | 24.80 | 247.97              | 233.40                          |
|                     | Return of Captial - FYE 9-30-09      |                     |       | (12.54)             |                                 |
| 1/29/09             | SPDR Gold Tr Gold                    | 5                   | 90.16 | 450.78              | 640.90                          |
| 4/23/09             | SPDR Gold Tr Gold                    | 8                   | 79.84 | 638.68              | 1,025.44                        |
| 6/2/09              | SPDR Gold Tr Gold                    | 15                  | 92.32 | 1,384.80            | 1,922.70                        |
| 6/29/09             | SPDR Gold Tr Gold                    | 21                  | 85.69 | 1,799.47            | 2,691.78                        |
| 8/11/09             | SPDR Gold Tr Gold                    | 2                   | 92.70 | 185.40              | 256.36                          |
| 11/26/12            | SPDR Index Shs Fds S&P EM Mkt Div    | 7                   | 43.50 | 304.50              | 282.24                          |
| 2/8/13              | SPDR Index Shs Fds S&P EM Mkt Div    | 13                  | 46.68 | 606.84              | 524.16                          |
| 2/22/13             | SPDR Index Shs Fds S&P EM Mkt Div    | 7                   | 45.50 | 318.49              | 282.24                          |
| 3/4/13              | SPDR Index Shs Fds S&P EM Mkt Div    | 8                   | 45.54 | 364.28              | 322.56                          |
| 3/15/13             | SPDR Index Shs Fds S&P EM Mkt Div    | 5                   | 45.68 | 228.42              | 201.60                          |
| 9/3/13              | SPDR Index Shs Fds S&P EM Mkt Div.   | 15                  | 38.40 | 575.99              | 604.80                          |
| 5/15/13             | US Airways Group inc                 | 25                  | 18.68 | 467.12              | 474.00                          |
| 6/12/13             | US Airways Group inc                 | 15                  | 16.88 | 253.26              | 284.40                          |
| 7/1/13              | US Airways Group inc                 | 5                   | 16.91 | 84.57               | 94.80                           |
| 9/4/13              | US Airways Group inc                 | 30                  | 16.78 | 503.44              | 568.80                          |
| 1/17/13             | Williams Cos Inc                     | 28                  | 33.62 | 941.45              | 1,018.08                        |
| 1/31/13             | Williams Cos Inc                     | 8                   | 34.70 | 277.58              | 290.88                          |
| 3/4/13              | Williams Cos Inc                     | 4                   | 33.81 | 135.23              | 145.44                          |
| 7/25/13             | Williams Cos Inc                     | 7                   | 33.51 | 234.55              | 254.52                          |
| 9/22/09             | Windstream Hldgs Inc                 | 125                 | 9.64  | 1,204.43            | 998.75                          |
| 9/23/09             | Windstream Hldgs Inc                 | 30                  | 9.69  | 290.64              | 239.70                          |
| 4/20/10             | Windstream Hldgs Inc                 | 1                   | 11.05 | 11.05               | 7.99                            |
| 5/9/12              | Windstream Hldgs Inc                 | 1                   | 11.27 | 11.27               | 7.99                            |
| 5/17/12             | Windstream Hldgs Inc                 | 27                  | 9.33  | 251.91              | 215.73                          |
| <b>TOTALS</b>       |                                      |                     |       | <b>\$ 73,967.31</b> | <b>84,906.79</b>                |

See Accompanying Accountants' Report

MINOR FOUNDATION, INC.  
 CHARLOTTE, NORTH CAROLINA  
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|                               |  | Units | Price | Total<br>Cost   | Fair Market<br>Value<br>9-30-13 |
|-------------------------------|--|-------|-------|-----------------|---------------------------------|
| <b>Partnerships and LLC's</b> |  |       |       |                 |                                 |
| 2/8/13                        | Calumet Specialty Products Partners LP | 20    |       | \$ 646.56       | \$ 545.80                       |
| 2/11/13                       | Calumet Specialty Products Partners LP | 5     |       | 165.00          | 136.45                          |
| 2/12/13                       | Calumet Specialty Products Partners LP | 1     |       | 33.06           | 27.29                           |
| 2/14/13                       | Calumet Specialty Products Partners LP | 3     |       | 108.77          | 81.87                           |
| 2/15/13                       | Calumet Specialty Products Partners LP | 6     |       | 213.57          | 163.74                          |
| 4/1/13                        | Calumet Specialty Products Partners LP | 15    |       | 562.47          | 409.35                          |
| 7/22/13                       | Calumet Specialty Products Partners LP | 10    |       | 341.92          | 272.90                          |
| 8/16/13                       | Calumet Specialty Products Partners LP | 20    |       | 572.60          | 545.80                          |
|                               | Distributions FYE 9/30/13              |       |       | (75.10)         |                                 |
|                               | Income - 2013                          |       |       | (311.74)        |                                 |
|                               |  |       |       | <u>2,257.11</u> | <u>2,183.20</u>                 |
| 10/2/12                       | Energy Transfer Partners LP            | 25    |       | 1,060.14        | 1,301.75                        |
| 10/2/12                       | Energy Transfer Partners LP            | 10    |       | 424.18          | 520.70                          |
| 2/11/13                       | Energy Transfer Partners LP            | 2     |       | 92.22           | 260.35                          |
| 2/11/13                       | Energy Transfer Partners LP            | 3     |       | 138.20          | 156.21                          |
| 2/11/13                       | Energy Transfer Partners LP            | 5     |       | 230.24          | 104.14                          |
|                               | Distributions FYE 9/30/13              |       |       | (31.28)         |                                 |
|                               | Income - 2013                          |       |       | (56.00)         |                                 |
|                               |  |       |       | <u>1,857.70</u> | <u>2,343.15</u>                 |
| 11/13/12                      | Enterprise Products Partner LP MLP     | 10    |       | 510.18          |                                 |
|                               | Income - 2013                          |       |       | (20.00)         |                                 |
| 3/5/13                        | Sold                                   | (10)  |       | (490.18)        |                                 |
|                               |  |       |       | <u>0.00</u>     |                                 |
| 8/2/12                        | Kinder Morgan Energy Partners MLP      | 29    |       | 2,355.26        | 2,315.07                        |
| 8/30/12                       | Kinder Morgan Energy Partners MLP      | 3     |       | 245.72          | 239.49                          |
| 3/20/13                       | Kinder Morgan Energy Partners MLP      | 8     |       | 683.82          | 638.64                          |
|                               | Distributions FYE 9/30/13              |       |       | (41.00)         |                                 |
|                               | Income - 2013                          |       |       | (197.00)        |                                 |
|                               |  |       |       | <u>3,046.80</u> | <u>3,193.20</u>                 |
| 7/30/13                       | PVR Partners LP MLP DE                 | 30    |       | 793.07          | 693.60                          |
| 7/30/13                       | PVR Partners LP MLP DE                 | 10    |       | 264.40          | 231.20                          |
|                               |  |       |       | <u>1,057.47</u> | <u>924.80</u>                   |
| 11/19/12                      | Spectra Energy Partners LP             | 20    |       | 547.06          | 877.60                          |
|                               | Income - 2013                          |       |       | (7.00)          |                                 |
|                               |  |       |       | <u>540.06</u>   | <u>877.60</u>                   |
| 7/2/12                        | Targa Resources Partners LP Unit LP    | 100   |       | 3,403.24        | 5,147.00                        |
|                               | Distributions FYE 9/30/13              |       |       | (131.00)        |                                 |
|                               | Income - 2013                          |       |       | (335.00)        |                                 |
|                               |  |       |       | <u>2,937.24</u> | <u>5,147.00</u>                 |

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MINOR FOUNDATION, INC.  
 CHARLOTTE, NORTH CAROLINA  
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 Fiscal Year Ended September 30, 2013

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| <u>Partnerships and LLC's (continued)</u> |                               | <u>Units</u> | <u>Price</u> | <u>Total<br/>Cost</u> | <u>Fair Market<br/>Value<br/>9-30-13</u> |
|---|-------------------------------|--------------|--------------|-----------------------|--|
| 11/20/12                                  | Vanguard Nat Resources MLP    | 50           |              | \$ 1,222.05           | \$ 1,399.00                              |
| 4/29/13                                   | Vanguard Nat Resources MLP    | 5            |              | 146.70                | 139.90                                   |
| 7/9/13                                    | Vanguard Nat Resources MLP    | 10           |              | 274.67                | 279.80                                   |
|   | Distributions FYE 9/30/13     |              |              | (10.00)               |  |
|   | Income - 2013                 |              |              | (6.00)                |  |
|   |                               |              |              | <u>1,627.42</u>       | <u>1,818.70</u>                          |
| 1/8/13                                    | Williams Partners LP unit LTD | 19           |              | 948.58                | 1,004.72                                 |
| 3/1/13                                    | Williams Partners LP unit LTD | 6            |              | 295.17                | 317.28                                   |
| 3/8/13                                    | Williams Partners LP unit LTD | 15           |              | 734.12                | 793.20                                   |
|   | Distributions FYE 9/30/13     |              |              | (84.12)               |  |
|   | Income - 2013                 |              |              | (285.88)              |  |
|   |                               |              |              | <u>1,607.87</u>       | <u>2,115.20</u>                          |
|   | <b>Totals</b>                 |              |              | <u>\$ 14,931.67</u>   | <u>\$ 18,602.85</u>                      |

MINOR FOUNDATION, INC.  
CHARLOTTE, NORTH CAROLINA

Schedule #4

DIVIDEND INCOME  
Fiscal Year Ended September 30, 2013

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| <u>UBS<br/>Stock</u>                   | <u>Dividend<br/>Income</u> |
|--|----------------------------|
| AES Corp                               | \$ 6 00                    |
| American Intl Group Inc.               | 4 40                       |
| Amgen                                  | 25 83                      |
| Astrazeneca PLC                        | 179 20                     |
| AT&T Inc                               | 109.25                     |
| Bank of America Corp. Ser 5 Pfd        | 26.58                      |
| BCE Inc.                               | 148 35                     |
| Bristol Myers Squibb Co.               | 11 56                      |
| Centurylink Inc                        | 175 86                     |
| China Life Insurance Co Ltd            | 3 40                       |
| Cohen & Steers Reit & Util Incm Fd     | 65.88                      |
| Companhia De Saneamento Basico         | 33.08                      |
| Companhia Energetica De Minas Gerais   | 86 28                      |
| 100000T Cohen & Steers Infrastr        | 1 04                       |
| ConocoPhillips                         | 81 84                      |
| Consolidated Communications            | 27 88                      |
| CPFL Energia S A                       | 101 31                     |
| Delta Airlines                         | 5.70                       |
| Eli Lilly & Co                         | 20 58                      |
| Energy Transfer Partners LP MLP        | 6 00                       |
| Entergy Corp                           | 150 23                     |
| Exelon Corp                            | 131 47                     |
| Frontier Communications Corp           | 160 00                     |
| General Electric Co                    | 103.86                     |
| Glaxosmithkline PLC Sp Adr             | 129 32                     |
| Hartford Floating Rate Fund A          | 73 29                      |
| Hewlett Packard                        | 18 02                      |
| Hospitality Properties Trust           | 7 05                       |
| Ishares Trust S&P US Pfd St Index      | 59 31                      |
| John Hancock Premium Div Fd            | 15 10                      |
| Kinder Morgan LP                       | 1 00                       |
| Lincoln National                       | 73.92                      |
| Market Vectors Gold Miners ETF         | 28.64                      |
| Metlife Inc                            | 177.16                     |
| Microsoft                              | 7.82                       |
| Natl Australia Bank Ltd                | 35.53                      |
| National Grid PLC                      | 58.26                      |
| Newmont Mining Corp                    | 8.50                       |
| Nuveen Multi-Strat. Incm & Growth Fd 2 | 149.14                     |
| Petroleo Brasileiro                    | 23.61                      |
| Senior Housing PPTYS Tr SBI            | 43.68                      |
| Singapore Telecom Ltd                  | 27.21                      |
| SPDR Index Shs Fds S&P Em Mkt          | 47.32                      |
| Spectra Energy Corp                    | 30.50                      |

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MINOR FOUNDATION, INC  
CHARLOTTE, NORTH CAROLINA

Schedule #4

DIVIDEND INCOME  
Fiscal Year Ended September 30, 2013

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| <u>Stock</u>                                 | <u>Dividend<br/>Income</u> |
|--|----------------------------|
| Telecom Corp New Zealand Ltd                 | 83.61                      |
| Total S.A. France                            | 83.86                      |
| T Rowe Price ST Bond Fund                    | 160.71                     |
| Verizon Communications                       | 26.78                      |
| Vimpelcom Ltd                                | 80.00                      |
| Wells Fargo Advantage Multi                  | 66.50                      |
| Western Asset Emerging Mkts                  | 21.96                      |
| Williams Cos Inc                             | 44.86                      |
| Windstream                                   | 184.00                     |
| Total Dividends                              | <u>3,432.24</u>            |
| RMA Money Fund                               | <u>1.69</u>                |
| Total Dividends Earned Including Money Funds | <u><u>\$ 3,433.93</u></u>  |

**Application for Extension of Time To File an Exempt Organization Return**

(Rev. January 2013)

Department of the Treasury  
Internal Revenue Service

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits

**Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

|  |  |  |
|--|--|--|
| Type or print  | Name of exempt organization or other filer, see instructions<br><b>Minor Foundation, Inc.</b>                        | Employer identification number (EIN) or<br><b>56-6047752</b> |
|  | Number, street, and room or suite no. If a P O box, see instructions<br><b>1914 Brunswick Avenue 2B</b>              | Social security number (SSN)                                 |
| File by the due date for filing your return See instructions | City, town or post office, state, and ZIP code. For a foreign address, see instructions<br><b>Charlotte NC 28207</b> |  |

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

| Application Is For                      | Return Code | Application Is For       | Return Code |
|---|-------------|--------------------------|-------------|
| Form 990 or Form 990-EZ                 | 01          | Form 990-T (corporation) | 07          |
| Form 990-BL                             | 02          | Form 1041-A              | 08          |
| Form 4720 (individual)                  | 03          | Form 4720                | 09          |
| Form 990-PF                             | 04          | Form 5227                | 10          |
| Form 990-T (sec 401(a) or 408(a) trust) | 05          | Form 6069                | 11          |
| Form 990-T (trust other than above)     | 06          | Form 8870                | 12          |

- The books are in the care of ▶ **Hardin M. Minor** **Treasurer**

Telephone No ▶ **704-334-7271**

FAX No ▶ **704-334-7272**

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **05/15/14**, to file the exempt organization return for the organization named above. The extension is for the organization's return for  
▶  calendar year \_\_\_\_\_ or

▶  tax year beginning **10/01/12**, and ending **09/30/13**

**2** If the tax year entered in line 1 is for less than 12 months, check reason  Initial return  Final return  Change in accounting period

|   |           |                |
|---|-----------|----------------|
| <b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions                                   | <b>3a</b> | \$ <b>0.00</b> |
| <b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit | <b>3b</b> | \$ <b>0.00</b> |
| <b>c</b> <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions      | <b>3c</b> | \$ <b>0.00</b> |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.



MINOR FOUNDATION, INC.  
 CHARLOTTE, NORTH CAROLINA  
 CAPITAL TRANSACTIONS  
 Fiscal Year Ended September 30, 2013

Schedule #3  
 Page #2

Long Term - UBS Financial Services Inc

| Shares    | Description                            | Date Acquired | Date Sold | Proceeds  | Cost      | Short Term Gain/(Loss) | Long Term Gain/(Loss) |
|-----------|--|---------------|-----------|-----------|-----------|------------------------|-----------------------|
| 2         | Amgen Inc                              | Various       | Various   | 175.42    | 113.11    |                        | 62.31                 |
| 53        | Huaneng Power Intl Inc                 | Various       | Various   | 1,637.43  | 1,305.97  |                        | 331.46                |
| 45        | Lilly Eli & Co                         | Various       | Various   | 2,330.81  | 1,602.24  |                        | 1,099.40              |
| 28        | National Grid PLC                      | Various       | Various   | 1,571.94  | 1,231.41  |                        | (30.30)               |
| 40        | Petroleo Brasileiro SA                 | Various       | Various   | 915.25    | 1,276.69  |                        | (316.16)              |
| 33        | Smithfield Foods Inc                   | Various       | Various   | 679.65    | 451.48    |                        | 228.17                |
| 5         | Amgen Inc                              | 01/13/10      | 11/14/12  | 420.23    | 282.78    |                        | 137.45                |
| 34        | Bristol Myers Squibb Co                | Various       | 11/12/12  | 1,091.37  | 767.84    |                        | 323.53                |
| 22        | SPDR Gold Trust                        | Various       | Various   | 3,558.12  | 2,004.07  |                        | 1,554.05              |
| 61        | Western Asset Emerging Markets Debt    | 07/27/07      | 12/19/12  | 1,333.37  | 1,051.71  |                        | 281.66                |
| 132.9990  | Wells Fargo Advantage Multi            | Various       | 01/23/13  | 2,180.53  | 2,104.89  |                        | 75.64                 |
| 3         | Centurylink Inc                        | 01/20/11      | 02/14/13  | 97.17     | 128.54    |                        | (31.37)               |
| 100       | France Telecom SA Spon ADR             | Various       | Various   | 1,108.12  | 2,300.00  |                        | (1,191.88)            |
| 56        | Ishares Trust S&P US Pfd Stock Index   | Various       | 02/11/13  | 2,242.32  | 2,158.80  |                        | 83.52                 |
| -1        | Call Targa Resources Par due 6/22/12   | 04/24/13      | 01/14/13  | 26.99     | 348.00    |                        | (321.01)              |
| 21        | Lilly Eli & Co                         | Various       | 02/15/13  | 1,124.97  | 664.14    |                        | 460.83                |
| 3         | Mobile Telesystems OJSC Spon ADR       | 05/12/11      | 02/07/13  | 59.26     | 61.26     |                        | (2.00)                |
| 145       | Nuveen Credit Strategies Income Fd     | Various       | 02/08/13  | 1,471.29  | 1,502.23  |                        | (30.94)               |
| 49        | Singapore Telecom Ltd New 2006 ADR     | Various       | Various   | 1,407.92  | 1,151.81  |                        | 256.11                |
| 9         | Telefonica S A Spon ADR                | 12/23/11      | 02/11/13  | 119.12    | 154.90    |                        | (35.78)               |
| 30        | Total S A. France Spon ADR             | 01/26/12      | Various   | 1,560.25  | 1,599.51  |                        | (39.26)               |
| 26        | Verizon Communications Inc             | Various       | 02/07/13  | 1,156.45  | 742.33    |                        | 414.12                |
| 100       | AT&T Inc                               | Various       | 03/15/13  | 3,537.91  | 2,934.06  |                        | 603.85                |
| 61        | Exelon Corp                            | Various       | 02/27/13  | 1,864.82  | 2,546.80  |                        | (681.98)              |
| 20        | Mobile Telesystems OJSC Spon ADR       | Various       | 02/27/13  | 397.19    | 405.08    |                        | (7.89)                |
| 52        | Bank of Amer Corp Ser 5 Preferred CLBL | Various       | 04/04/13  | 1,247.97  | 798.59    |                        | 449.38                |
| 95        | Mobile Telesystems OJSC Spon ADR       | Various       | 04/19/13  | 1,838.52  | 1,397.74  |                        | 440.78                |
| 19        | Proshares Tr Short 20+ YR ETF          | 11/08/11      | 04/05/13  | 540.63    | 627.85    |                        | (87.22)               |
| 94        | Telecom Corp New Zealand Ltd Spon      | 01/04/11      | 04/08/13  | 945.62    | 592.52    |                        | 353.10                |
| 100       | Vimpelcom Ltd Spon ADR                 | Various       | 04/19/13  | 1,250.18  | 1,008.17  |                        | 242.01                |
| 22        | ConocoPhillips                         | 05/09/12      | 05/31/13  | 1,363.08  | 1,166.93  |                        | 196.15                |
| 14        | Proshares Tr Short 20+ YR ETF          | 05/09/12      | 07/15/13  | 447.71    | 436.10    |                        | 11.61                 |
| 86        | Cellcom Israel Ltd ILS                 | Various       | 08/21/13  | 983.66    | 853.36    |                        | 130.30                |
| 18        | Consolidated Communications Holding    | 07/03/12      | 08/14/13  | 312.06    | 286.12    |                        | 25.94                 |
| 1,891.196 | T Rowe Price Short Term Bond Fund      | 06/01/11      | 09/19/13  | 9,058.83  | 9,210.12  |                        | (151.29)              |
|           | Total Long Term Stocks                 |               |           | 50,056.16 | 45,267.15 |                        | 4,789.01              |

Capital Gain Distributions  
 UBS Financial - Ivy Science Technology Fund  
 Partnerships.  
 Targa Resources Partners LP  
 Kinder Morgan Energy Partners LP

Grand Totals

See Accompanying Accountants' Report

\$ 79,301.15 \$ 73,607.17 \$ 904.97 \$ 4,836.61

3.60

(12.00)  
 56.00