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**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No. 1545-0052

**2012**Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2012, or tax year beginning Oct 1, 2012, and ending Sep 30, 2013

Name of foundation <b>ROCKWELL AUTOMATION CHARITABLE CORP</b>		<b>A</b> Employer identification number <b>48-1307009</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>BMO HARRIS BANK NA, PO BOX 2980</b>		<b>B</b> Telephone number (see the instructions) <b>(414) 287-7137</b>
City or town <b>MILWAUKEE</b>	State ZIP code <b>WI 53201</b>	<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial Return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		<b>D</b> 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ <b>7,651,720.</b>	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	
		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

<b>Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b> Contributions, gifts, grants, etc., received (att sch) <input type="checkbox"/> if the foundn is not req to att Sch B		7,000,000.			
<b>2</b> Interest on savings and temporary cash investments					
<b>3</b> Dividends and interest from securities		3,636.	3,636.		
<b>4</b> Gross rents					
<b>5</b> Net rental income or (loss)					
<b>6</b> Net gain/(loss) from sale of assets not on line 10		6.			
<b>7</b> Gross sales price for all assets on line 6a		6.			
<b>8</b> Capital gain net income (from Part IV, line 2)			6.		
<b>9</b> Net short-term capital gain					
<b>10</b> Income modifications					
<b>10a</b> Gross sales less returns and allowances					
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit/(loss) (att sch)					
<b>11</b> Other income (attach schedule)					
<b>Excise tax refund</b>		134.			
<b>12</b> Total. Add lines 1 through 11		7,003,776.	3,642.		
<b>13</b> Compensation of officers, directors, trustees, etc.					
<b>14</b> Other employee salaries and wages					
<b>15</b> Pension plans, employee benefits					
<b>16a</b> Legal fees (attach schedule)					
<b>b</b> Accounting fees (attach sch)					
<b>c</b> Other prof fees (attach sch) <b>1-16c Stmt</b>		600.			600.
<b>17</b> Interest					
<b>18</b> Taxes (attach schedule) (see instrs) <b>ESTIMATED TAX-REF</b>		134.			
<b>19</b> Depreciation (attach sch) and depletion					
<b>20</b> Occupancy					
<b>21</b> Travel, conferences, and meetings					
<b>22</b> Printing and publications					
<b>23</b> Other expenses (attach schedule) See Line 23 Stmt		61,997.	500.		61,497.
<b>24</b> Total operating and administrative expenses. Add lines 13 through 23		62,731.	500.		62,097.
<b>25</b> Contributions, gifts, grants paid		5,643,516.			5,643,516.
<b>26</b> Total expenses and disbursements. Add lines 24 and 25		5,706,247.	500.		5,705,613.
<b>27</b> Subtract line 26 from line 12					
<b>a</b> Excess of revenue over expenses and disbursements		1,297,529.			
<b>b</b> Net investment income (if negative, enter -0-)			3,142.		
<b>c</b> Adjusted net income (if negative, enter -0-)					

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>ASSETS</b>	1 Cash — non-interest-bearing.....			
	2 Savings and temporary cash investments.....	6,353,434.	7,651,720.	7,651,720.
	3 Accounts receivable.....			
	Less: allowance for doubtful accounts.....			
	4 Pledges receivable.....			
	Less: allowance for doubtful accounts.....			
	5 Grants receivable.....			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions).....			
	7 Other notes and loans receivable (attach sch).....			
	Less: allowance for doubtful accounts.....			
	8 Inventories for sale or use.....			
	9 Prepaid expenses and deferred charges.....			
	10a Investments — U.S. and state government obligations (attach schedule).....			
	b Investments — corporate stock (attach schedule).....			
	c Investments — corporate bonds (attach schedule).....			
<b>LIABILITIES</b>	11 Investments — land, buildings, and equipment: basis.....			
	Less: accumulated depreciation (attach schedule).....			
	12 Investments — mortgage loans.....			
	13 Investments — other (attach schedule).....			
	14 Land, buildings, and equipment: basis.....			
	Less: accumulated depreciation (attach schedule).....			
	15 Other assets (describe.....)			
	16 <b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item I).....	6,353,434.	7,651,720.	7,651,720.
	17 Accounts payable and accrued expenses.....			
	18 Grants payable.....			
<b>FUND ASSETS</b>	19 Deferred revenue.....			
	20 Loans from officers, directors, trustees, & other disqualified persons.....			
	21 Mortgages and other notes payable (attach schedule).....			
	22 Other liabilities (describe.....)			
	23 <b>Total liabilities</b> (add lines 17 through 22).....			
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted.....			
	25 Temporarily restricted.....			
	26 Permanently restricted.....			
	Foundations that do not follow SFAS 117, check here... <input checked="" type="checkbox"/> and complete lines 27 through 31.			
<b>FUND ASSETS</b>	27 Capital stock, trust principal, or current funds.....	6,353,434.	7,651,720.	
	28 Paid-in or capital surplus, or land, building, and equipment fund.....			
	29 Retained earnings, accumulated income, endowment, or other funds.....			
	30 <b>Total net assets or fund balances</b> (see instructions).....	6,353,434.	7,651,720.	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions).....	6,353,434.	7,651,720.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year— Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).....	1	6,353,434.
2 Enter amount from Part I, line 27a.....	2	1,297,529.
3 Other increases not included in line 2 (itemize)..... See Other Increases Stmt.....	3	757.
4 Add lines 1, 2, and 3.....	4	7,651,720.
5 Decreases not included in line 2 (itemize).....	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)— Part II, column (b), line 30.....	6	7,651,720.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a Long term capital gain div - BMO Prime MMF		P	01/01/01	12/31/12
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6.		0.	6.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(j) Fair Market Value as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of column (i) over column (j), if any	
a			6.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss).	[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]		2	6.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	[ If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8. ]		3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	5,032,092.	6,381,618.	0.788529
2010	4,895,743.	7,106,986.	0.688863
2009	4,249,322.	8,167,211.	0.520290
2008	4,172,392.	3,950,058.	1.056286
2007	4,440,167.	7,768,208.	0.571582

2 Total of line 1, column (d)	2	3.625550
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.725110
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	5,281,406.
5 Multiply line 4 by line 3	5	3,829,600.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	31.
7 Add lines 5 and 6	7	3,829,631.
8 Enter qualifying distributions from Part XII, line 4	8	5,705,613.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here. <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b. ....		1	31.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b) ....			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) .....		2	0.
3 Add lines 1 and 2 .....		3	31.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) .....		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- .....		5	31.
6 Credits/Payments:			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012 .....	6a	134.	
b Exempt foreign organizations - tax withheld at source .....	6b		
c Tax paid with application for extension of time to file (Form 8868) .....	6c		
d Backup withholding erroneously withheld .....	6d		
7 Total credits and payments. Add lines 6a through 6d. ....	7	134.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached. ....	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed .....	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .....	10	103.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ..... 32. Refunded ..... 71.	11		

**Part VII Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? .....		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? .....		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year? .....		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ..... \$ ..... (2) On foundation managers ..... \$ .....		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. .... \$ .....		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? .....		X
If 'Yes,' attach a detailed description of the activities.		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes. ....		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year? .....		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year? .....		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? .....		X
If 'Yes,' attach the statement required by General Instruction T.		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? .....	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV .....	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) .....		
WI - Wisconsin		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation .....	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If 'Yes,' complete Part XIV .....		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses .....		X

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**Part VII Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address: <u>www.rockwellautomation.com/about_us/neighbor</u>	13	X	
14	The books are in care of <u>BMO Harris Bank, NA</u> Telephone no. <u>(414) 287-7197</u> Located at <u>111 E Kilbourn Ave Ste 200 Milwaukee WI</u> ZIP + 4 <u>53202</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year: <u>15</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country: <u></u>	16		X

**Part VIII Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? <b>Exception.</b> Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years: <u>20__ , 20__ , 20__ , 20__</u> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement—see instructions.)	2 b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here: <u>20__ , 20__ , 20__ , 20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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**Part VII Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5 a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No  
If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No  
If 'Yes' to 6b, file Form 8870.**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
D.M. HAGERMAN ROCKWELL AUTOMATION 1201 S SECOND MILWAUKEE WI 53202	VP & Treasurer 1.00	0.	0.	0.
E.M. Walter ROCKWELL AUTOMATION 1201 S SECOND MILWAUKEE WI 53202	BOARD SECRETARY 1.00	0.	0.	0.
K.D. NOSBUSCH ROCKWELL AUTOMATION 1201 S SECOND MILWAUKEE WI 53202	BOARD CHAIRMAN 1.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1- see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

None

**Part VII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. None**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.	None

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities .....	1a	5,361,833.
b Average of monthly cash balances .....	1b	
c Fair market value of all other assets (see instructions) ..	1c	
d Total (add lines 1a, b, and c) .....	1d	5,361,833.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) .....	1e	
2 Acquisition indebtedness applicable to line 1 assets .....	2	
3 Subtract line 2 from line 1d ..	3	5,361,833.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions) .....	4	80,427.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4. ....	5	5,281,406.
6 Minimum investment return. Enter 5% of line 5. ....	6	264,070.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6 ..	1	264,070.
2a Tax on investment income for 2012 from Part VI, line 5. ....	2a	31.
b Income tax for 2012. (This does not include the tax from Part VI.) .....	2b	
c Add lines 2a and 2b .....	2c	31.
3 Distributable amount before adjustments. Subtract line 2c from line 1 .....	3	264,039.
4 Recoveries of amounts treated as qualifying distributions. ....	4	
5 Add lines 3 and 4 .....	5	264,039.
6 Deduction from distributable amount (see instructions) .....	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 ..	7	264,039.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc.— total from Part I, column (d), line 26. ....	1a	5,705,613.
b Program-related investments— total from Part IX-B .....	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. ....	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required) .....	3a	
b Cash distribution test (attach the required schedule) .....	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4. ....	4	5,705,613.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) .....	5	31.
6 Adjusted qualifying distributions. Subtract line 5 from line 4 ..	6	5,705,582.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				264,039.
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only			0.	
b Total for prior years: 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2012:				
a From 2007	4,057,891.			
b From 2008	3,975,943.			
c From 2009	3,841,339.			
d From 2010	4,540,648.			
e From 2011	4,713,251.			
f Total of lines 3a through e	21,129,072.			
4 Qualifying distributions for 2012 from Part XII, line 4: \$ 5,705,613.				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2012 distributable amount				264,039.
e Remaining amount distributed out of corpus	5,441,574.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	26,570,646.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	4,057,891.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	22,512,755.			
10 Analysis of line 9:				
a Excess from 2008	3,975,943.			
b Excess from 2009	3,841,339.			
c Excess from 2010	4,540,648.			
d Excess from 2011	4,713,251.			
e Excess from 2012	5,441,574.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling: \_\_\_\_\_

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed: \_\_\_\_\_

Tax year	Prior 3 years				(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
<b>b</b> 85% of line 2a: _____					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed: _____					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities: _____					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c: _____					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> 'Assets' alternative test— enter:					
<b>(1)</b> Value of all assets: _____					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i): _____					
<b>b</b> 'Endowment' alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed: _____					
<b>c</b> 'Support' alternative test— enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties): _____					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii): _____					
<b>(3)</b> Largest amount of support from an exempt organization: _____					
<b>(4)</b> Gross investment income: _____					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

RA CHARITABLE CORP ADMINISTRATOR

1201 S SECOND ST

MILWAUKEE

WI

53204

(414) 382-2000

**b** The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT

**c** Any submission deadlines:

SEE ATTACHED STATEMENT

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT

**Part IV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> SEE ATTACHED STATEMENT SEE ATTACHED STATEMENT Milwaukee WI 53201		Public	Various	5,643,516.
<b>Total</b>			<b>3 a</b>	5,643,516.
<i>b Approved for future payment</i>				
<b>Total</b>			<b>3 b</b>	

## **Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies . . .					
2 Membership dues and assessments . . . . .					
3 Interest on savings and temporary cash investments . . . . .					
4 Dividends and interest from securities . . . . .			14	3,636.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property . . . . .					
b Not debt-financed property . . . . .					
6 Net rental income or (loss) from personal property . . . . .					
7 Other investment income . . . . .					
8 Gain or (loss) from sales of assets other than inventory . . . . .			18	6.	
9 Net income or (loss) from special events . . . . .					
10 Gross profit or (loss) from sales of inventory . . . . .					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e) . . . . .				3,642.	
13 Total. Add line 12, columns (b), (d), and (e) . . . . .					13
					3,642

(See worksheet in line 13 instructions to verify calculations.)

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF

OMB No. 1545-0047

**2012**

Name of the organization

ROCKWELL AUTOMATION CHARITABLE CORP

Employer identification number

48-1307009

**Organization type** (check one):

**Filers of:**

Form 990 or 990-EZ

**Section:**

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ► \$

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.**

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

Employer identification number

ROCKWELL AUTOMATION CHARITABLE CORP

48-1307009

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROCKWELL AUTOMATION 1201 S SECOND ST MILWAUKEE WI 53204	\$ 7,000,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



**Form 990-PF  
Part I, Line 6a**

**Net Gain or Loss From Sale of Assets**

**2012**

Name <b>ROCKWELL AUTOMATION CHARITABLE CORP</b>	Employer Identification Number <b>48-1307009</b>
--	---

**Asset Information:**

Description of Property:..... <u>Long term capital gain div - BMO Prime MMF</u>	
Date Acquired: . <u>01/01/01</u>	How Acquired:..... <u>Purchased</u>
Date Sold: ..... <u>12/31/12</u>	Name of Buyer: .....
Sales Price: ..... <u>6.</u>	Cost or other basis (do not reduce by depreciation).... <u>0.</u>
Sales Expense: .....	Valuation Method:.....
Total Gain (Loss): .... <u>6.</u>	Accumulation Depreciation: ....

  

Description of Property:.....	
Date Acquired: .	How Acquired:.....
Date Sold: ....	Name of Buyer: ..
Sales Price: ....	Cost or other basis (do not reduce by depreciation)....
Sales Expense: ..	Valuation Method: .....
Total Gain (Loss): ....	Accumulation Depreciation: ....

  

Description of Property:.....	
Date Acquired: .	How Acquired:.....
Date Sold: .....	Name of Buyer: ....
Sales Price: ....	Cost or other basis (do not reduce by depreciation)....
Sales Expense: ..	Valuation Method:.....
Total Gain (Loss): ....	Accumulation Depreciation: ....

  

Description of Property:.....	
Date Acquired: .	How Acquired:.....
Date Sold: .....	Name of Buyer: ....
Sales Price: ....	Cost or other basis (do not reduce by depreciation)....
Sales Expense: ..	Valuation Method:.....
Total Gain (Loss): ....	Accumulation Depreciation: ..

  

Description of Property:.....	
Date Acquired: .	How Acquired:.....
Date Sold: .....	Name of Buyer: ....
Sales Price: ....	Cost or other basis (do not reduce by depreciation)....
Sales Expense: ..	Valuation Method:.....
Total Gain (Loss): ....	Accumulation Depreciation: ....

  

Description of Property:.....	
Date Acquired: .	How Acquired:.....
Date Sold: .....	Name of Buyer: ....
Sales Price: ....	Cost or other basis (do not reduce by depreciation)....
Sales Expense: ..	Valuation Method:.....
Total Gain (Loss): ....	Accumulation Depreciation: ....

  

Description of Property:.....	
Date Acquired: .	How Acquired:.....
Date Sold: .....	Name of Buyer: ....
Sales Price: ....	Cost or other basis (do not reduce by depreciation)....
Sales Expense: ..	Valuation Method:.....
Total Gain (Loss): ....	Accumulation Depreciation: ....

  

Description of Property:.....	
Date Acquired: .	How Acquired:.....
Date Sold: .....	Name of Buyer: ....
Sales Price: ....	Cost or other basis (do not reduce by depreciation)....
Sales Expense: ..	Valuation Method:.....
Total Gain (Loss): ....	Accumulation Depreciation: ..

Form 990-PF, Page 1, Part I, Line 23

**Line 23 Stmt**

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
JK GROUP - ADMIN FEES FOR MATCHI	10,945.			10,945.
MicroEdge Expense	42,802.			42,802.
Association of Corp Contrib Prof	6,000.			6,000.
Guidestar USA	1,750.			1,750.
BMO Harris Bank	500.	500.		
Total	61,997.	500.		61,497.

Form 990-PF, Page 2, Part III, Line 3

**Other Increases Stmt**

Change in Money Market Accrual	755.
Rounding	2.
Total	757.

Form 990-PF, Page 6, Part VIII, Line 1

**Information about Officers, Directors, Trustees, Etc.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person ... <input checked="" type="checkbox"/> Business ... <input type="checkbox"/> S. Schmitt ROCKWELL AUTOMATION 1201 S SECOND MILWAUKEE WI 53202	VP 1.00	0.	0.	0.
Person ... <input checked="" type="checkbox"/> Business .. <input type="checkbox"/> T. Crandall ROCKWELL AUTOMATION 1201 S SECOND MILWAUKEE WI 53202	VP 1.00	0.	0.	0.

Total

0.      0.      0.

Form 990-PF, Page 1, Part I

**Line 16c - Other Professional Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BMO Harris Bank NA	Tax Preparation	600.			600.
Total		600.			600.

990 PF, PART XV – 2(b),(c), and (d)

SEE ROCKWELL AUTOMATION CHARITABLE CORP  
GUIDELINES STATEMENT AND GRANT APPLICATION

[WWW.ROCKWELLAUTOMATION.COM/ABOUT\\_US/CITIZENSHIP.HTM](http://WWW.ROCKWELLAUTOMATION.COM/ABOUT_US/CITIZENSHIP.HTM)

**RACC FY13  
Administrative Expenses**

Payee Name	Fund	Request Project Title	Amount	Payment Date	Check Number
MicroEdge, LLC	Administrative Expense-RACC	Combined Invoice #. INV35427 (Gifts Transactions and Attachments, May 2013, \$85 99) and Invoice # INV36972 (Gifts Transactions and Attachments, August 2013, \$60 15)	\$146 14	9/11/2013	0031788
JK Group Inc	Administrative Expense-RACC	Quarterly MG program admin fees 7/1/13-9/30/13; Quarterly Org Validation Transaction Costs 6/1/13-8/31/13, Q1 bank fees	\$3,273 11	7/3/2013	wire transfer
MicroEdge, LLC	Administrative Expense-RACC	MicroEdge Solutions Conference 2013 - Marie Olmsted registration fee (50% discount applied as we're a Client Care Enhanced participant)	\$852.75	6/19/2013	0031244
MicroEdge, LLC	Administrative Expense-RACC	Expenses incurred for onsite, instructor-led ReviewerConnect training (includes travel, lodging, meals)	\$719 96	5/28/2013	0030981
MicroEdge, LLC	Administrative Expense-RACC	Invoice # INV34474 dated 4/17/2013 for GIFTS CCP Enhanced Renewal for 7/7/13-7/6/14	\$35,098 92	5/9/2013	0030845
JK Group Inc	Administrative Expense-RACC	Invoice 00972532 for Quarterly Matching Gifts Program Admin Fees (3/1/13-5/31/13) and Quarterly Organization Validation Transactions Costs (3/1/13-5/31/13)	\$2,918 75	4/12/2013	wire transfer
MicroEdge, LLC	Administrative Expense-RACC	Combined Invoices INV34058 and INV33789 INV34058 totaling \$4,400 for GIFTS Custom Onsite Training with Jill Z for three days INV33789 totaling \$26 76 for IGAM GIFTS transactions February 2013	\$4,426.76	4/12/2013	0030533
Association of Corporate Contributions Professionals	Administrative Expense-RACC	2013 ACCP Membership Dues	\$6,000 00	2/5/2013	0029973

**RACC FY13**

**Administrative Expenses**

JK Group Inc	Administrative Expense-RACC	Invoice # 00972310, MG Program Quarterly Process Fee 1/1/13-3/31/13, Org Validation Transaction Processing Fee 12/1/12-2/29/13; Annual Toll-free Phone Line, Credit for forms not processed, 3-4Q Bank Fee	\$1,498.91	2/5/2013	
MicroEdge, LLC	Administrative Expense-RACC	Invoice INV31980 IGAM 3 Transactions Nov 2012 (29 transactions @ \$2.41 per), 8/7/2012- 11/6/2012, IGAM 3 GIFTS Transactions Nov 2012, 89.85 MG attachments @ \$1.07/MB	\$166.03	12/21/2012	0029325
GuideStar USA, Inc.	Administrative Expense-RACC	GuideStar Premium Enterprise, Annual Subscription [\$1,000] and one Charity Check, Annual Subscription [\$750.00] for October 2012 thru October 2013	\$1,750.00	11/1/2012	0028006
JK Group Inc.	Administrative Expense-RACC	Invoice: 00971350, Matching Gifts Program Admin Quarterly Process Fee 10/1/12-12/31/12, Org Validation Transaction Costs Quarterly Process Fee 9/1/12-11/30/12, 2Q Bank Fee	\$3,254.44	10/12/2012	wire transfer
MicroEdge, LLC	Administrative Expense-RACC	INV30255 (\$11.50) & INV30202 (\$1,380) IGAM 3 Transactions Aug 2012 and IGAM 3 Hosting Fee 8/7/12- 8/6/13	\$1,391.50	10/12/2012	0027845
			\$61,497.27		

**RACC Payments FY13**  
**Matching Gifts Program (Contributions)**

Payee Name	Fund	Request Project Title	Amount	Payment Date	Check Number
JK Group Inc	Matching Gifts	3-4QFY13 Matching Gifts, Invoice # FY13Q3MG-01 dated September 19, 2013	\$23,146 05	9/19/2013	wire transfer
JK Group Inc	Matching Gifts	2QFY13 Additional Matching Gifts, Invoice # FY13Q2AddMG-01 dated August 15, 2013. This invoice represents payment for several 'old' matches that were missed in error from earlier in FY13	\$17,870 00	8/19/2013	wire transfer
JK Group Inc.	Matching Gifts	2QFY13 Matching Gifts, Invoice # FY13Q2MG-01 dated June 18, 2013	\$158,943 52	6/24/2013	wire transfer
JK Group Inc	Matching Gifts	Matching Gifts Out of Cycle Request to make payment for RA board director Don Parfet's \$10K gift (must be paid to organization in June) Invoice # FY13Offcycle-01	\$10,000 00	6/5/2013	wire transfer
JK Group Inc	Matching Gifts	1QFY13 Matching Gifts, Invoice #FY13Q1MG-01 dated February 25, 2013	\$150,048 02	2/27/2013	wire transfer
			\$360,007.59		

Organization Legal Name	Amount	Budget Committee	Tax ID	Verification Date
Alverno College	\$12,000	Headquarters	39-0806263	3/15/2013
American National Red Cross	\$40,000	Headquarters	53-0196605	3/11/2013
Bradley Technology and Trade School Foundation, Inc	\$20,000	Headquarters	39-1999894	6/27/2013
City Year, Inc	\$100,000	Headquarters	22-2882549	3/20/2013
Donors Forum of Wisconsin, Inc.	\$10,000	Headquarters	39-6236498	8/26/2013
Junior Achievement of Wisconsin, Inc.	\$45,000	Headquarters	39-0826295	5/7/2013
Keep Greater Milwaukee Beautiful, Inc	\$10,000	Headquarters	39-1449048	8/26/2013
Milwaukee Public Library Foundation, Inc	\$25,000	Headquarters	39-1610233	8/26/2013
Milwaukee Public Museum, Inc.	\$20,000	Headquarters	39-1723105	4/17/2013
Milwaukee Public Museum, Inc	\$1,000,000	Headquarters	39-1723105	4/17/2013
Milwaukee Public Schools	\$45,000	Headquarters	39-6003457	8/28/2013
Milwaukee Repertory Theater	\$20,000	Headquarters	39-0946025	8/26/2013
Milwaukee Urban League	\$7,500	Headquarters	39-0826861	2/6/2013
National Merit Scholarship Corporation	\$11,000	Headquarters	36-2307745	8/26/2013
PEARLS For Teen Girls Inc.	\$10,000	Headquarters	39-1997970	1/17/2013
Public Policy Forum, Inc. Researching Community Issues	\$10,000	Headquarters	39-0210040	3/15/2013
School for Early Development and Achievement, Inc	\$10,000	Headquarters	39-2020392	6/21/2012
SHARP Literacy, Inc.	\$30,000	Headquarters	39-1963963	4/18/2013
Teach for America, Inc	\$20,000	Headquarters	13-3541913	4/17/2013
United Performing Arts Fund, Inc	\$210,000	Headquarters	39-6100399	8/8/2013
US Foundation for the Inspiration & Recognition of Science & Technology	\$600,000	Headquarters	22-2990908	8/6/2013
<b>Total Headquarters</b>			<b>\$2,255,500</b>	

**Grand Total** **\$2,255,500**

Early Issued Total	\$1,031,000
Check Total	\$1,224,500
	\$2,255,500

Organization Legal Name	Budget Amount, Committe e	Tax ID	Verification Date
Cleveland Museum of Art	\$5,000 Cleveland	34-0714336	11/1/2012
Case Western Reserve University	\$15,000 Cleveland	34-1018992	11/1/2012
Great Lakes Museum of Science Environment and Technology	\$20,000 Cleveland	31-1258416	11/1/2012
Junior Achievement of Greater Cleveland, Inc	\$10,000 Cleveland	34-0733164	11/1/2012
United Way of Greater Cleveland	\$385,875 Cleveland	34-6516654	11/8/2012
United Way of Summit County	\$13,500 Cleveland	34-1169257	11/1/2012
<b>Total Cleveland</b>	<b>\$449,375</b>		
Milwaukee Symphony Orchestra, Inc	\$300,000 headquarter	39-6023436	11/8/2012
Hispanic Chamber of Commerce of Wisconsin, Inc	\$10,000 headquarter	39-1293583	11/1/2012
Milwaukee Development Corporation	\$25,000 headquarter	93-0828687	1/10/2013
Alliance for the Great Lakes	\$40,000 headquarter	23-7104524	11/1/2012
BoardStar, Inc	\$20,000 headquarter	27-2623889	11/1/2012
Boys & Girls Clubs of Greater Milwaukee, Inc	\$350,000 headquarter	39-0806292	11/7/2012
Girl Scouts of Wisconsin Southeast, Inc	\$35,000 headquarter	39-0892833	11/1/2012
Milwaukee Institute, Inc	\$25,000 headquarter	26-0724304	11/1/2012
Milwaukee School of Engineering	\$49,320 headquarter	39-0477970	11/13/2012
Society of Women Engineers	\$13,500 headquarter	13-1947735	11/1/2012
STEM Forward	\$35,000 headquarter	39-0854863	11/1/2012
United Community Center, Inc	\$20,000 headquarter	39-1146191	11/1/2012
University of Wisconsin Foundation	\$98,883 headquarter	39-0743975	11/8/2012
UWM Foundation	\$500,000 headquarter	23-7337744	1/7/2013
* American National Red Cross (Superstorm Sandy)	\$100,000 headquarter	53-0196605	6/21/2012
Big Brothers Big Sisters of Metropolitan Milwaukee, Inc	\$35,000 headquarter	39-1239687	11/1/2012
IndependenceFirst, Inc	\$10,000 headquarter	39-1343425	11/1/2012
Richland County United Givers Fund, Inc	\$4,230 headquarter	39-1542167	11/1/2012
United Way of Champaign County Illinois Inc	\$4,500 headquarter	37-0662519	11/1/2012
United Way of Greater Milwaukee, Inc	\$900,000 headquarter	39-0806190	1/7/2012
United Way of Massachusetts Bay and Merrimack Valley	\$3,200 headquarter	04-2382233	11/1/2012
<b>Total Headquarters</b>	<b>\$2,578,633</b>		
<b>Grand Total</b>	<b>\$3,028,008</b>		
**Early Issued Check			



TRAN RHTI INVESTMENT REVIEW ACCOUNT TOTALS 11:48 01/08/14

BANK 98

ACCOUNT 'NO : ROCKWELL AUTOMATION CHARITABLE CORP

ASSETS FROZEN DATE: 09/30/2013

INCOME CASH : 487,697.63

PRINCIPAL CASH: 487,697.63-

	UNITS	COST/ CARRYING VALUE	MARKET VALUE	ACCRUAL/ EST. ANN. INCOME
CASH EQUIVALENTS		7,651,719.61		54.43
7,651,719.610		7,651,719.61	7,651,719.61	765.24
FIXED INCOME		0.00		0.00
0.000		0.00	0.00	0.00
EQUITIES		0.00		0.00
0.000		0.00	0.00	0.00
OTHER		0.00		0.00
0.000		0.00	0.00	0.00
=====		=====	=====	=====
GRAND TOTAL		7,651,719.61		54.43
7,651,719.610		7,651,719.61	7,651,719.61	765.24

PRESS CLEAR FOR INVESTMT REVIEW MENU; ENTER 'TERM' TO SIGN OFF TRAN ==> RHTI