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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

2012

Department of the Treasury
Internal Revenue Service

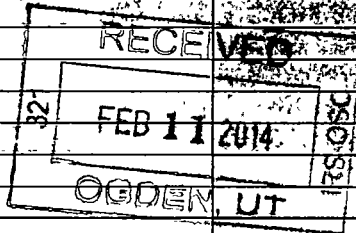
Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

Open to public inspection

For calendar year 2012 or tax year beginning **OCT 1, 2012**, and ending **SEP 30, 2013**

Name of foundation DANA BROWN CHARITABLE TRUST FOUNDATION		A Employer identification number 43-6331557
Number and street (or P O box number if mail is not delivered to street address) LELA RICE, TRUSTEE, P.O. BOX 3688	Room/suite	B Telephone number (636) 227-9940
City or town, state, and ZIP code BALLWIN, MO 63022-3688		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 561,899.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	27.	27.		STATEMENT 1
	4 Dividends and interest from securities	14,476.	14,476.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<530.>			
	b Gross sales price for all assets on line 6a	923,386.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		13,973.	14,503.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	13,960.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	3,509.	3,509.		0.
	c Other professional fees	7,097.	7,097.		0.
	17 Interest				
	18 Taxes	733.	733.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	1,113.	1,113.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	26,412.	12,452.		0.
	25 Contributions, gifts, grants paid	28,436.			28,436.
26 Total expenses and disbursements. Add lines 24 and 25	54,848.	12,452.		28,436.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<40,875.>				
b Net investment income (if negative, enter -0-)		2,051.			
c Adjusted net income (if negative, enter -0-)			N/A		



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	147,808.	31,093.	31,093.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	435,830.	516,403.	530,806.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 8)	4,733.	0.	0.	
16 Total assets (to be completed by all filers)	588,371.	547,496.	561,899.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	588,371.	547,496.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	588,371.	547,496.		
31 Total liabilities and net assets/fund balances	588,371.	547,496.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	588,371.
2 Enter amount from Part I, line 27a	2	<40,875.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	547,496.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	547,496.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e	923,386.	923,916.	<530.>	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			<530.>	
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	<530.>
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	30,530.	568,712.	.053683
2010	28,439.	632,370.	.044972
2009	27,237.	606,066.	.044941
2008	36,749.	545,182.	.067407
2007	34,625.	747,494.	.046321
2	Total of line 1, column (d)		2 .257324
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 .051465
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5		4 567,495.
5	Multiply line 4 by line 3		5 29,206.
6	Enter 1% of net investment income (1% of Part I, line 27b)		6 21.
7	Add lines 5 and 6		7 29,227.
8	Enter qualifying distributions from Part XII, line 4		8 28,436.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	41.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	41.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	41.
6	Credits/Payments:		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,120.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,120.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,079.
11	Enter the amount of line 10 to be: Credited to 2013 estimated tax <input type="checkbox"/> 1,079. Refunded <input type="checkbox"/>	11	0.

Part VIIA Statements Regarding Activities

	Yes	No
1a		X
1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0.		
e		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0.		
2		X
If "Yes," attach a detailed description of the activities.		
3		X
Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		
4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
4b		
N/A		
5		X
If "Yes," attach the statement required by General Instruction T.		
6	X	
Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7	X	
If "Yes," complete Part II, col (c), and Part XV.		
8a		
Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO		
b	X	
If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		
8b	X	
9		X
Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		
9		X
10		X
Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of LELA RICE, TRUSTEE Telephone no. (636) 227-9940 Located at P.O. BOX 3688, BALLWIN, MO ZIP+4 63022-3688
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year N/A
16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LELA RICE 619 TWIGWOOD DRIVE BALLWIN, MO 63021	TRUSTEE 1.00	6,980.	0.	0.
AUGUST C. LAMARCA 414 NORTH BALLAS ROAD CREVE COEUR, MO 63141	TRUSTEE 1.00	6,980.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	511,165.
b	Average of monthly cash balances	1b	64,972.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	576,137.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	576,137.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,642.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	567,495.
6	Minimum investment return. Enter 5% of line 5	6	28,375.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	28,375.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	41.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	41.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	28,334.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	28,334.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	28,334.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	28,436.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	28,436.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	28,436.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				28,334.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			28,436.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ 28,436.				
a Applied to 2011, but not more than line 2a			28,436.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				28,334.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
KETC / CHANNEL 9 3655 OLIVE STREET ST. LOUIS, MO 63108	PUBLIC CHARITY	NOT RELATED	PUBLIC TELEVISION	5,688.
SALVATION ARMY 1130 HAMPTON ST. LOUIS, MO 63139	PUBLIC CHARITY	NOT RELATED	CARE OF INDIGENTS	5,687.
ST. LOUIS CHILDREN'S HOSPITAL ONE CHILDREN'S PLACE ST. LOUIS, MO 63110	PUBLIC CHARITY	NOT RELATED	MEDICAL CARE FOR CHILDREN	5,687.
ST. LOUIS ZOO 1 GOVERNMENT DRIVE ST. LOUIS, MO 63110	PUBLIC CHARITY	NOT RELATED	SUPPORT FOR PUBLIC ZOO	5,687.
YMCA OF GREATER ST. LOUIS 1528 LOCUST STREET ST. LOUIS, MO 63103	PUBLIC CHARITY	NOT RELATED	YMCA	5,687.
Total			▶ 3a	28,436.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

DANA BROWN CHARITABLE TRUST FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold; e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ML PY ADJ OCT SEE ATTACHED	P		
b CASH IN LIEU	P		
c MERRILL LYNCH CG DIVIDENDS	P		
d MERRILL LYNCH OCT SEE ATTACHED	P		
e MERRILL LYNCH NOV SEE ATTACHED	P		
f MERRILL LYNCH DEC SEE ATTACHED	P		
g MERRILL LYNCH JAN SEE ATTACHED	P		
h MERRILL LYNCH FEB SEE ATTACHED	P		
i MERRILL LYNCH APR SEE ATTACHED	P		
j MERRILL LYNCH APR SEE ATTACHED	P		
k MERRILL LYNCH MAY SEE ATTACHED	P		
l MERRILL LYNCH MAY SEE ATTACHED	P		
m MERRILL LYNCH JUN SEE ATTACHED	P		
n MERRILL LYNCH JUL SEE ATTACHED	P		
o MERRILL LYNCH JUL SEE ATTACHED	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,375.			5,375.
b 40.		40.	0.
c 105.			105.
d 41,403.		39,452.	1,951.
e 690.			690.
f 15,572.		14,018.	1,554.
g 45,908.		47,652.	<1,744.>
h 271,683.		279,337.	<7,654.>
i 271,912.		287,644.	<15,732.>
j 167,883.		156,574.	11,309.
k 69.		69.	0.
l 8,456.		9,026.	<570.>
m 4,136.		3,801.	335.
n 34,857.		32,660.	2,197.
o 45,324.		43,961.	1,363.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			5,375.
b			0.
c			105.
d			1,951.
e			690.
f			1,554.
g			<1,744.>
h			<7,654.>
i			<15,732.>
j			11,309.
k			0.
l			<570.>
m			335.
n			2,197.
o			1,363.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

DANA BROWN CHARITABLE TRUST FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MERRILL LYNCH AUG SEE ATTACHED	P		
b MERRILL LYNCH BASIS ADJUSTMENT			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,973.		9,679.	294.
b		3.	<3.>
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			294.
b			<3.>
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<530.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BANK OF AMERICA	2.
IRS	17.
MERRILL LYNCH	8.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	27.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MERRILL LYNCH - DIVIDENDS	14,476.	0.	14,476.
TOTAL TO FM 990-PF, PART I, LN 4	14,476.	0.	14,476.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND TAX SERVICES	3,509.	3,509.		0.
TO FORM 990-PF, PG 1, LN 16B	3,509.	3,509.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE FEES	7,097.	7,097.		0.
TO FORM 990-PF, PG 1, LN 16C	7,097.	7,097.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	733.	733.		0.
TO FORM 990-PF, PG 1, LN 18	733.	733.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS EXPENSE	1,113.	1,113.		0.
TO FORM 990-PF, PG 1, LN 23	1,113.	1,113.		0.

FORM 990-PF	CORPORATE STOCK		STATEMENT 7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
MERRILL LYNCH - EQUITIES (SEE ATTACHED)	516,403.	530,806.	
MERRILL LYNCH - CLOSED END FUNDS	0.	0.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	516,403.	530,806.	

FORM 990-PF	OTHER ASSETS			STATEMENT 8
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE	
INCOME TAX RECEIVABLE	4,733.	0.		0.
TO FORM 990-PF, PART II, LINE 15	4,733.	0.		0.

* This is a correction from PY

LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

September 29, 2012 - October 31, 2012

SECURITY TRANSACTIONS (Continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
	Subtotal (Other/Security Transactions)				150,090.41	42,092.54	
	TOTAL						

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired/ Cover Short Date	Liquidation/ Short Sale Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
◆ HESS CORP	200.0000	01/03/11	09/24/12	10,619.75	15,994.20P	5,374.45	
◆ HESS CORP	200.0000	01/03/11	09/24/12	10,619.75	15,994.20P	N/C	Loss added to Basis (26,226.82)
Subtotal (Long-Term)							
CALL-UTX	2.0000	10/20/12	10/04/12S	107.99	.00	107.99	
CALL-RAX	2.0000	10/20/12	10/04/12S	109.99	.00	109.99	
CALL-ORCL	5.0000	10/20/12	10/04/12S	199.99	.00	199.99	
CALL-HES	3.0000	10/20/12	10/04/12S	251.99	.00	251.99	
CALL-ESRX	2.0000	10/20/12	10/04/12S	51.99	.00	51.99	
CALL-CSX	6.0000	10/20/12	10/04/12S	71.99	.00	71.99	
CALL-BAX	2.0000	10/20/12	10/04/12S	129.99	.00	129.99	
CALL-GE	8.0000	10/20/12	10/04/12S	247.99	.00	247.99	
CALL-ETN	3.0000	10/20/12	10/04/12S	209.99	.00	209.99	
ELI LILLY & CO	300.0000	10/04/12	10/22/12	15,020.66	14,602.50P	418.16	
PRDNTL-SHT TRM CORP/BDZ	2147.0000	08/23/12	10/04/12	24,991.08	24,840.79	150.29	
PRDNTL-SHORT TERM	.4220	08/23/12	10/04/12	4.91	4.88	.03	
PRDNTL-SHT TRM CORP/BDZ	.3440	09/28/12	10/04/12	4.00	4.00	.00	
Subtotal (Short-Term)							
				52,022.31	55,446.37	7,324.84	
TOTAL							

* Excludes transactions for which we have insufficient data

S-Short Sale

P-Indicates that an option premium has been included in the calculation.

<10619.75>
41,402.56
39,452.17
1950.39

<5374.45>

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LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

November 01, 2012 - November 30, 2012

**SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT**

Settlement Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
Subtotal (Other Security Transactions)							
TOTAL							
REALIZED GAINS/(LOSSES)							
Description	Quantity	Acquired/ Cover Short Date	Liquidation/ Short Sale Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
Subtotal (Long-Term)							(26,226.82)
CALL SLW NOV 00045.00	4.0000	11/17/12	10/04/12 S	299.99	.00	299.99	
CALL CNH NOV 00045.00	4.0000	11/17/12	10/04/12 S	179.99	.00	179.99	
CALL CHK NOV 00021.00	6.0000	11/17/12	10/04/12 S	209.99	.00	209.99	
Subtotal (Short-Term)				689.97		689.97	19,276.81
TOTAL				689.97		689.97	(6,950.01)

* - Excludes transactions for which we have insufficient data

S - Short Sale

SECURITIES YOU TRANSFERRED IN/OUT

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
11/05	PRUDENTIAL SHORT-TERM CORPORATE BOND FD INC Z FULL SHARE ACCUM SHARE VALUE \$11.64	Journal Entry	1	11.64	11.64
NET TOTAL					11.64

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LELA G RICE TTEE Account Number: 788-11869

YOUR CMA FOR TRUST TRANSACTIONS

December 01, 2012 - December 31, 2012

**SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT**

Settlement Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
12/03	EATON CORP PLC PAY DATE 12/03/2012	Exchange	300				
	Subtotal (Other Security Transactions)						
	TOTAL						

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
Subtotal (Long-Term)							(26,226.82)
EATON CORP	300 0000	10/04/12	12/03/12	15,571.68	14,017.98	1,553.70	
Subtotal (Short-Term)						1,553.70	20,830.51
TOTAL				15,571.68	14,017.98	1,553.70	(5,396.31)

* - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2012 tax return. These reportable transactions will appear on your January statement.

SECURITIES YOU TRANSFERRED IN/OUT

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
12/24	PRUDENTIAL SHORT TERM CORPORATE BOND FD INC Z FULL SHARE ACCUM SHARE VALUE \$11.58	Journal Entry	1	11.58	
	NET TOTAL			11.58	23.22

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LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

January 01, 2013 - January 31, 2013

**SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT**

Settlement Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
01/22	CALL RAXX-00075.000	Exerc Options	2				
	RACKSPACE HOSTING INC EXP 01-19-13						
	VS 01900801 UNIT OAK BATCH # - 00567080891						
01/22	CALL ESRX-00057.500	Expired Options	2				
	EXPRESS SCRIPTS HDG CO EXP 01-19-13						
	VS 01900004 UNIT OAK BATCH # - 00567085712						
	Subtotal (Other Security Transactions)						
	TOTAL						47,135.87

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired/ Cover Short Date	Liquidation/ Short Sale Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
POTASH CORP SASKATCHEWAN	300.0000	02/09/11	01/15/13	12,614.71	18,102.84P	(5,488.13)	(5,488.13)
Subtotal (Long-Term)						(5,488.13)	(5,488.13)
CALL VALE JAN 06021000150	5.0000	01/19/13	01/04/13 S	164.99	00	164.99	
CALL ESRX JAN 0005750	2.0000	01/19/13	01/04/13 S	43.99	00	43.99	
ORACLE CORP \$0.01 DEL	500.0000	10/04/12	01/22/13	17,654.60	15,945.99P	1,708.61	
RACKSPACE HOSTING INC	200.0000	10/04/12	01/22/13	15,429.65	13,603.57P	1,826.08	
Subtotal (Short-Term)						3,743.67	3,743.67
TOTAL				45,907.94	47,652.40	(1,744.46)	(1,744.46)

* - Excludes transactions for which we have insufficient data

S - Short Sale

P - Indicates that an option premium has been included in the calculation.

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LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMAA FOR TRUST TRANSACTIONS

February 01, 2013 - February 28, 2013

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired/ Cover Short Date	Liquidation/ Short Sale Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
CSX CORP	600.0000	04/26/11	02/19/13	13,565.69	15,316.00P	(1,750.31)	(1,750.31)
Subtotal (Long Term)						137.99	(7,238.44)
CALL NE - FEB 000/40.00	3.0000	02/16/13	01/04/13 S	137.99	.00	137.99	
CALL BAX - FEB 000/0.00	2.0000	02/16/13	01/04/13 S	235.99	.00	235.99	
CHESAPEAKE ENERGY OKLA	600.0000	08/09/12	02/19/13	11,531.73	12,236.34P	(704.61)	
EATON CORP.PLC	300.0000	12/04/12	02/19/13	17,609.60	15,571.68P	2,037.92	
GENERAL ELECTRIC	800.0000	10/04/12	02/19/13	17,767.60	18,316.00P	(548.40)	
UNITED TECHS CORP. COM	200.0000	10/04/12	02/13/13	17,627.60	15,726.98P	1,900.62	
PRDNTL SHT TRM CORP.BD Z	4500.0000	08/23/12	02/08/13	52,065.00	52,065.00	.00	
CBRE CLARION LNG/SHINST	4985.0000	08/23/12	02/08/13	51,395.35	49,999.55	1,395.80	
CBRE CLARION LNG/SHINST	.6200	12/14/12	02/08/13	6.39	6.14	.25	
CBRE CLARION LNG/SHINST	10.0000	12/14/12	02/08/13	103.10	99.00	4.10	
FEDERATED PRUDENT BEAR	.7360	08/23/12	02/08/13	2.55	2.84	(.29)	
FEDERATED PRUDENT BEAR	25906.0000	08/23/12	02/08/13	89,634.76	99,997.16	(10,362.40)	
Subtotal (Short Term)						(5,903.03)	(2,159.36)
TOTAL				271,683.35	279,336.69	(7,653.34)	(9,397.80)

* - Excludes transactions for which we have insufficient data

S - Short Sale

P - Indicates that an option premium has been included in the calculation

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LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

March 29, 2013 - April 30, 2013

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
04/12	04/09	VALE SA PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWING AVERAGE PRICE DETAILS REGARDING ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 91212105 SEC NO 79D60 PRINCIPAL 915105 TRN FEE 0.33	Sale	-500	18.3021		9,150.85	
04/12	04/09	YAMAMA GOLD INC PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWING AVERAGE PRICE DETAILS REGARDING ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 9841621100 SEC NO 84AL6 PRINCIPAL 1482190 TRN FEE 0.33	Sale	-1,000	14.8219		14,821.57	
Subtotal (Sales)						271,912.11	271,912.11	

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) *		
						This Statement	Year to Date	
CNH GLOBAL NV	400.0000	11/24/10	04/09/13	16,416.43	17,718.20	(1,301.77)		
HESS CORP	200.0000	01/13/11	04/09/13	14,678.81	16,187.91	(1,509.10)		
KINROSS GOLD CORP	900.0000	10/25/10	04/09/13	6,535.38	16,023.96	(9,488.58)		
OCCIDENTAL PETE CORP CAL	61.0000	12/31/10	04/09/13	4,981.15	5,990.48	(1,009.33)		
SANDISK CORP INC	300.0000	12/31/10	04/09/13	16,878.13	14,941.89	1,936.24		
VALE SA	500.0000	12/31/10	04/09/13	9,150.85	17,259.95	(8,109.10)		
TOTAL						261,597.28	271,912.11	

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LELA G RICE TTEE

Account Number: 788-11869

YOUR CMA FOR TRUST TRANSACTIONS

March 29, 2013 - April 30, 2013

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired		Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
		Date	Liquidation Date				
<i>Subtotal (Long-Term)</i>							
BAXTER INTERNTL INC	200.0000	10/04/12	04/09/13	14,119.48	12,360.00	1,759.48	
EXPRESS SCRIPTS HLDG CO	200.0000	10/04/12	04/09/13	11,471.76	13,059.20	(1,587.44)	
HESS CORP	100.0000	10/04/12	04/09/13	7,339.41	5,406.73	1,932.68	
POWERSHARES EXCHANGE	2986.0000	02/08/13	04/09/13	93,643.94	87,370.36	6,273.58	
NOBLE CORP NAMEN-AKT	300.0000	08/09/12	04/09/13	11,278.04	11,425.98	(147.94)	
SILVER WHEATON CORP	400.0000	10/04/12	04/09/13	11,960.65	16,238.00	(4,277.35)	
SOUTHERN COMPANY	300.0000	06/26/12	04/09/13	14,209.39	13,945.59	263.80	
YAMANA GOLD INC	1000.0000	08/09/12	04/09/13	14,821.57	15,285.00	(463.43)	
PRDNTL SHT TRM CORP BD Z	1995.0000	08/23/12	04/09/13	23,082.15	23,082.15	.00	
PRDNTL SHT TRM CORP BD Z	6.0000	08/31/12	04/09/13	69.42	69.59	(.17)	
PRDNTL SHT TRM CORP BD Z	1.0000	09/28/12	04/09/13	11.57	11.62	(.05)	
PRDNTL SHT TRM CORP BD Z	21.0000	09/28/12	04/09/13	242.96	244.22	(1.26)	
PRDNTL SHT TRM CORP BD Z	1.0000	11/02/12	04/09/13	11.57	11.59	(.02)	
PRDNTL SHT TRM CORP BD Z	19.0000	11/02/12	04/09/13	219.83	220.96	(1.13)	
PRDNTL SHT TRM CORP BD Z	18.0000	11/30/12	04/09/13	208.26	209.16	(.90)	
PRDNTL SHT TRM CORP BD Z	1.0000	12/21/12	04/09/13	11.57	11.61	(.04)	
PRDNTL SHT TRM CORP BD Z	1.0000	12/31/12	04/09/13	11.57	11.59	(.02)	
PRDNTL SHT TRM CORP BD Z	15.0000	12/31/12	04/09/13	173.55	173.85	(.30)	
PRDNTL SHT TRM CORP BD Z	1.0000	01/31/13	04/09/13	11.57	11.58	(.01)	
PRDNTL SHT TRM CORP BD Z	17.0000	01/31/13	04/09/13	196.69	196.69	00	
PRDNTL SHT TRM CORP BD Z	9.0000	02/28/13	04/09/13	104.13	104.12	01	
PRDNTL SHT TRM CORP BD Z	1.0000	03/28/13	04/09/13	11.57	11.56	01	
PRDNTL SHT TRM CORP BD Z	.2460	03/28/13	04/09/13	2.85	2.84	01	
PRDNTL SHT TRM CORP BD Z	5.0000	03/28/13	04/09/13	57.86	57.80	06	
<i>Subtotal (Short-Term)</i>						3,749.57	1,590.21
TOTAL						287,644.18	(15,732.07)
				271,912.11	287,644.18	(15,732.07)	(25,129.87)

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2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

March 29, 2013 - April 30, 2013

**SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT**

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		PRINCIPAL 1433635:TRN FEE 0 32					167,883.22	
		Subtotal(Sales)				292,395.72	167,883.22	
		TOTAL						

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
POWERSHARES EXCHANGE	500.0000	07/28/12	04/09/13	15,670.54	13,857.75	1,812.79	
POWERSHARES EXCHANGE	4314.0000	02/08/13	04/09/13	135,205.51	126,227.64	8,977.87	
INTUIT INC: COM: MERRILL LYNCH	42.0000	04/09/13	04/23/13	2,671.14	2,680.70	(9.56)	
MICROSOFT CORP	466.0000	04/09/13	04/23/13	14,336.03	13,807.58	528.45	
Subtotal (Short-Term)						11,309.55	11,309.55
TOTAL				167,883.22	156,573.67	11,309.55	11,309.55

* - Excludes transactions for which we have insufficient data

SECURITIES YOU TRANSFERRED IN/OUT

Date	Description	Transaction Type	Quantity	Value of Securities	Year To Date
04/08	POWERSHARES EXCHANGE TRADED FD TR:US3RP:500 LOW VOLATILITY PORT TR FROM 78811869	Journal Entry	4,814	151,063.32	
	NET TOTAL			151,063.32	151,063.32

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LELA G RICE FEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

May 01, 2013 - May 31, 2013

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Transaction Type	Quantity	Description	Reinvestment	Income	Income Year To Date
	NET TOTAL			(20.65)	363.07	2,853.41

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
Subtotal (Long-Term)							(26,720.08)
PRDNTL SHT TRM CORP BD Z/C/E	6.0000	08/31/12	04/09/13	69.42	69.59	17	
PRDNTL SHT TRM CORP BD Z	1.0000	08/31/12	04/09/13	11.57	11.60	N/C	
PRDNTL SHT TRM CORP BD Z	5.0000	08/31/12	04/09/13	57.85	57.99	(.14)	
Subtotal (Short-Term)				69.42	69.59	.03	1,590.24
TOTAL						.03	(25,129.84)

* - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues.

CXL - Indicates the cancellation of an error transaction

⚡ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
05/07	Journal Entry		PIA ADVISORY FEE	1,014.73	
	Subtotal (Other Debits/Credits)			1,014.73	
	NET TOTAL			1,014.73	

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2ND ACCOUNT Account Number: 788-19436

YOUR CMA FOR TRUST TRANSACTIONS

May 01, 2013 - May 31, 2013

Description	Quantity	Acquired Liquidation		Sale Amount	Cost Basis	This Statement	Gains/(Losses) *	
		Date	Date				Year to Date	Year to Date
CST BRANDS INC SHS	21,000	04/09/13	05/10/13	624.31	664.64	(40.33)		
INTUIT INC COM	131,000	04/09/13	05/10/13	7,831.45	8,361.25	(529.80)		
<i>Subtotal (Short-Term)</i>						(570.13)		10,739.42
TOTAL				8,455.76	9,025.89	(570.13)		10,739.42

* - Excludes transactions for which we have insufficient data

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
05/07	Journal Entry		PIA ADVISORY FEE	971.75	
05/13	■ Cash in Lieu		CST BRANDS INC SHS HOLDING 194.0000 PAID BY VALERO RFNG& MKT PAY DATE 05/07/2013		17.34
Subtotal (Other Debits/Credits)				971.75	17.34
NET TOTAL				954.41	

YOUR CMA FOR TRUST MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
05/06	ML BANK DEPOSIT PROGRAM		44.00	05/15	ML BANK DEPOSIT PROGRAM	1,306.00	
05/07	ML BANK DEPOSIT PROGRAM	972.00		05/21	ML BANK DEPOSIT PROGRAM		50.00
05/14	ML BANK DEPOSIT PROGRAM		18.00	05/28	ML BANK DEPOSIT PROGRAM		2.00
NET TOTAL						2,164.00	

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LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

June 01, 2013 - June 28, 2013

**SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT**

Settlement Date	Trade Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
	Subtotal (Sales)				8,350.81	4,135.62	
	TOTAL				8,350.81	4,135.62	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
OCCIDENTAL PETE CORP CAL	14 0000	12/31/10	06/07/13	1,305.36	1,374.86	(69.50)	(69.50)
Subtotal (Long-Term)						(69.50)	(26,789.58)
INTEL CORP	41 0000	04/09/13	06/07/13	1,000.81	892.00	108.81	
MICROSOFT CORP	51 0000	04/09/13	06/07/13	1,808.95	1,513.57	295.38	
PRDNTL SHT TRM CORP BD Z	1 0000	09/21/12	06/07/13	11.45	11.60	(.15)	
PRDNTL SHT TRM CORP BD Z	.7900	05/31/13	06/07/13	9.05	9.13	(.08)	
Subtotal (Short-Term)						403.96	1,994.20
TOTAL				4,135.62	3,801.16	334.46	(24,795.38)

* - Excludes transactions for which we have insufficient data

↳ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
06/17	Fgn Div Tax		THOMSON REUTERS CORP	8.48	
			NON-RECLAIMABLE TAX		
			PAY DATE 06/17/2013		
	Subtotal (Other Debits/Credits)			8.48	

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Account Number: 788-19436

YOUR CMA FOR TRUST TRANSACTIONS

June 29, 2013 - July 31, 2013

**SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT**

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Sale Amount	Cost Basis	Debit	Credit	Accrued Interest Earned/(Paid)
Subtotal (Other Security Transactions)										
TOTAL										
								9,747.50	34,856.70	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
AMER EXPRESS COMPANY	28.0000	04/09/13	07/22/13	2,096.89	1,825.32	271.57	
AUTOMATIC DATA PROC	13.0000	06/10/13	07/22/13	951.07	910.87	40.20	
COMCAST CORP NEW CL A	25.0000	04/09/13	07/22/13	1,114.48	1,031.63	82.85	
CVS CAREMARK CORP	20.0000	04/09/13	07/22/13	1,234.98	1,132.31	102.67	
COSTCO WHOLESALE CRP DEL	12.0000	04/09/13	07/22/13	1,417.12	1,271.28	145.84	
COVIDIEN PLC SHS NEW	12.0000	04/09/13	07/22/13	736.67	737.60	N/C	
CITIGROUP INC COM NEW	38.0000	04/09/13	07/22/13	1,987.37	1,665.76	321.61	
DIAGEO PLC SPSD ADR NEW	5.0000	04/09/13	07/22/13	627.74	605.30	22.44	
DOMINION RES INC NEW VA	15.0000	06/28/13	07/22/13	897.58	853.35	44.23	
FEDEX CORP DELAWARE COM	12.0000	04/09/13	07/22/13	1,299.11	1,144.20	154.91	
HONEYWELL INTL INC DEL	20.0000	04/09/13	07/22/13	1,660.77	1,470.60	190.17	
HOME DEPOT INC	16.0000	04/09/13	07/22/13	1,275.02	1,139.94	135.08	
MCKESSON CORPORATION COM	9.0000	04/09/13	07/22/13	1,070.49	962.46	108.03	
MARATHON OIL CORP	41.0000	04/09/13	07/22/13	1,532.14	1,373.09	159.05	
MALLINCKRODT PLC SHS	20.0000	04/09/13	07/03/13	853.37	942.18	(88.81)	
PRICE T ROWE GROUP INC	12.0000	04/09/13	07/22/13	955.54	893.53	62.01	
SCHLUMBERGER LTD	15.0000	04/09/13	07/22/13	1,257.44	1,131.45	125.99	
THERMO FISHER SCIENTIFIC	20.0000	04/09/13	07/22/13	1,793.17	1,568.80	224.37	
UNITED TECHS CORP COM	11.0000	04/09/13	07/22/13	1,126.05	1,039.78	86.27	
VISA INC CL A SHRS	7.0000	04/09/13	07/22/13	1,330.75	1,152.82	177.93	
WISCONSIN ENERGY CORP	193.0000	04/09/13	06/28/13	7,854.98	8,307.55	(452.57)	

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2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

June 29, 2013 - July 31, 2013

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
WELLS FARGO & CO NEW DEL	40.0000	04/09/13	07/22/13	1,783.97	1,500.00	283.97	12,937.23
Subtotal (Short-Term)						2,197.81	
TOTAL				34,856.70	32,659.82	2,197.81	12,937.23

* - Excludes transactions for which we have insufficient data
 N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues.
 ✦ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring.
 (A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase
 (B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
07/09	Journal Entry		PIA ADVISORY FEE	1,038.00	
07/15	Journal Entry		PIA ADVISORY FEE	19.98	
07/15	Cash in Lieu		MALLINCKRODT PLC SHS HOLDING 164,0000		20.70
07/25	Withdrawal		PAID BY COVIDIEN PLC SHS	25,000.00	
	Subtotal (Other Debits/Credits)		PAY DATE 07/04/2013 CK M 00746-21272	26,057.98	20.70
	NET TOTAL			26,037.28	

YOUR CMA FOR TRUST MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits Date	Description	Withdrawals	Deposits
07/02	ML BANK DEPOSIT PROGRAM		10/100	07/25 ML BANK DEPOSIT PROGRAM		39.00
07/03	ML BANK DEPOSIT PROGRAM	508.00	07/26	ML BANK DEPOSIT PROGRAM		1,149.00



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Account Number: 788-11869

LELA G RICE TTEE

YOUR CMA FOR TRUST TRANSACTIONS

June 29, 2013 - July 31, 2013

**SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT**

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
07/25	07/22	THOMSON REUTERS CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 884903105 SEC NO 733E8 PRINCIPAL 693 80 TRN FEE 0.01	Sale	-20	34.6901		693.79	
07/25	07/22	TORONTO DOMINION BANK PER ADVISORY AGREEMENT EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 891160509 SEC NO 74917 PRINCIPAL 765.99 TRN FEE 0 01	Sale	-9	85.1100		765.98	
Subtotal (Sales)						26,151.87	45,324.19	
TOTAL						26,151.87	45,324.19	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
OCCIDENTAL PETE CORP CAL	10.0000	12/31/10	07/22/13	917.12	982.05	(64.93)	(26,854.51)
Subtotal (Long-Term)						(64.93)	
ABBVIE INC SHS	10.0000	04/09/13	07/22/13	445.59	426.05	19.54	
BRISTOL-MYERS SQUIBB CO	23.0000	04/09/13	07/22/13	1,022.80	939.45	83.35	
CMS ENERGY CORP	299.0000	04/09/13	07/01/13	8,066.97	8,455.69	(388.72)	
CHEVRON CORP	9.0000	04/09/13	07/22/13	1,141.54	1,068.21	73.33	

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LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

June 29, 2013 - July 31, 2013

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
CONAGRA FOODS INC	32.0000	04/09/13	07/22/13	1,177.26	1,100.06	77.20	
DIGITAL RLTY TR INC	16.0000	04/09/13	07/22/13	1,028.94	1,126.65	(97.71)	
DU PONT E I DE NEMOURS	18.0000	04/09/13	07/22/13	1,028.86	885.83	143.03	
EATON CORP PLC	26.0000	04/09/13	07/22/13	1,777.63	1,573.67	203.96	
GENERAL ELECTRIC	49.0000	04/09/13	07/22/13	1,214.20	1,129.94	84.26	
JPMORGAN CHASE & CO	27.0000	04/09/13	07/22/13	1,514.67	1,315.17	199.50	
KRAFT FOODS GROUP INC	25.0000	04/09/13	07/22/13	1,449.23	1,268.39	180.84	
MERCK AND CO INC SHS	20.0000	04/09/13	07/22/13	952.58	911.91	40.67	
NEXTERA ENERGY INC SHS	14.0000	04/09/13	07/22/13	1,193.06	1,109.30	83.76	
PACCAR INC	43.0000	04/09/13	07/22/13	2,514.19	2,107.66	406.53	
PPL CORPORATION	30.0000	07/01/13	07/22/13	947.68	902.92	44.76	
SEMPRA ENERGY	103.0000	04/09/13	07/01/13	8,420.67	8,434.17	(13.50)	
SPECTRA ENERGY CORP	68.0000	04/09/13	07/22/13	2,456.87	2,070.97	385.90	
THOMSON REUTERS CORP	20.0000	04/09/13	07/22/13	693.79	644.56	49.23	
TORONTO DOMINION BANK	9.0000	04/09/13	07/22/13	765.98	717.86	48.12	
XCEL ENERGY INC	233.0000	06/07/13	07/01/13	6,594.56	6,790.81	(196.25)	
PRDNTL SHT TRM CORP BD Z	.0010	06/28/13	07/19/13	.01	.01	.00	
Subtotal (Short-Term)						1,427.80	3,422.00
TOTAL				45,324.20	43,961.33	1,362.87	(23,432.51)

* - Excludes transactions for which we have insufficient data

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
07/09	Journal Entry		PIA ADVISORY FEE	1,088.46	
07/15	Fgn Div Tax		BCE INC	15.41	
			NON-RECLAIMABLE TAX		
			PAY DATE 07/15/2013		
07/19	Journal Entry		PRUDENTIAL SHORT TERM		.01
			CORPORATE BOND FD INC Z		



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2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

August 01, 2013 - August 30, 2013

SECURITY TRANSACTIONS

TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
08/14	08/09	HESS CORP PER ADVISORY AGREEMENT EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 42809H107 SEC NO 35DX1 PRINCIPAL 10382.31 Subtotal (Purchases)	Purchase	139	74.6929	10,382.31		
08/14	08/09	MARATHON OIL CORP PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT CUS NO 565849106 SEC NO 46JR7 PRINCIPAL 9973.39 TRN FEE 0 17 Subtotal (Sales)	Sale	-289	34.5100		9,973.22	
						10,382.31	9,973.22	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
MARATHON OIL CORP	289 0000	04/09/13	08/09/13	9,973.22	9,678.61	294.61	13,231.84
Subtotal (Short-Term)				9,973.22	9,678.61	294.61	13,231.84
TOTAL				9,973.22	9,678.61	294.61	13,231.84

* - Excludes transactions for which we have insufficient data

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2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

August 31, 2013 - September 30, 2013

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR CMA FOR TRUST BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	1,211	1,490	.05	0.06	1,751
TOTAL ML Bank Deposit Program	1,211			0.06	1,751

YOUR CMA FOR TRUST ASSETS

CASH/MONEY ACCOUNTS	Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH		34.94	34.94		34.94		
ML BANK DEPOSIT PROGRAM		1,751.00	1,751.00	1.0000	1,751.00	1	.05
TOTAL			1,785.94		1,785.94	1	.05

EQUITIES	Description	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%
ACCENTURE PLC SHS	ACN 04/23/13	109	76.2675	8,313.16	73.6400	8,026.76	(286.40)	203	2.52
ACE LIMITED	ACE 04/09/13	61	90.5800	5,525.38	93.5600	5,707.16	181.78	125	2.18
AMER EXPRESS COMPANY	AXP 04/09/13	141	65.1900	9,191.79	75.5200	10,648.32	1,456.53	130	1.21
AMERICA MOVIL SAB DE CV ADR	AMX 04/09/13	395	20.9987	8,294.49	19.8100	7,824.95	(469.54)	129	1.64



2016



Account Number: 788-19436

2ND ACCOUNT
YOUR CMA FOR TRUST ASSETS

August 31, 2013 - September 30, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
AMERICAN WTR WKS CO INC NEW	AWK	04/09/13	199	41.6872	8,295.77	41.2800	8,214.72	(81.05)	223 2.71
AUTOMATIC DATA PROC	ADP	05/10/13	109	70.0669	7,637.30	72.3800	7,889.42	252.12	190 2.40
CATERPILLAR INC DEL	CAT	04/09/13	96	86.0100	8,256.96	83.4000	8,006.40	(250.56)	231 2.87
CITIGROUP INC COM NEW	C	04/09/13	151	43.8358	6,619.21	48.5100	7,325.01	705.80	7 .08
COMCAST CORP NEW CL A	CMCSA	04/09/13	176	41.2650	7,262.64	45.1150	7,940.24	677.60	138 1.72
COSTCO WHOLESALE CRP DEL	COST	04/09/13	92	105.9400	9,746.48	115.1700	10,595.64	849.16	115 1.07
COVIDIEN PLC SHS NEW	COV	04/09/13	152	61.4670	9,342.99	60.9400	9,262.88	(80.11)	195 2.10
		04/09/13	12	57.7725	693.27	60.9400	731.28	38.01	16 2.10
		07/03/13	12	57.6950	692.34	60.9400	731.28	38.94	16 2.10
<i>Subtotal</i>			176		10,728.60		10,725.44	(3.16)	227 2.10
CVS CAREMARK CORP	CVS	04/09/13	175	56.6156	9,907.73	56.7500	9,931.25	23.52	158 1.58
DIAGEO PLC SPSD ADR NEW	DEO	04/09/13	86	121.0600	10,411.16	127.0800	10,928.88	517.72	250 2.27
DOMINION RES INC NEW VA	D	06/28/13	132	56.8899	7,509.47	62.4800	8,247.36	737.89	297 3.60
FEDEX CORP DELAWARE COM	FDX	04/09/13	75	95.3500	7,151.25	114.1100	8,558.25	1,407.00	45 5.2
HESS CORP	HES	08/09/13	139	74.6928	10,382.31	77.3400	10,750.26	367.95	139 1.29
HOME DEPOT INC	HD	04/09/13	100	71.2460	7,124.60	75.8500	7,585.00	460.40	156 2.05
HONEYWELL INTL INC DEL	HON	04/09/13	130	73.5300	9,558.90	83.0400	10,795.20	1,236.30	214 1.97
INTL BUSINESS MACHINES CORP IBM	IBM	04/23/13	43	189.7800	8,160.54	185.1800	7,962.74	(197.80)	164 2.05
MCDONALDS CORP COM	MCD	04/09/13	82	101.0400	8,285.28	96.2100	7,889.22	(396.06)	266 3.36
MCKESSON CORPORATION COM	MCK	04/09/13	68	106.9400	7,271.92	128.3000	8,724.40	1,452.48	66 7.4
MONSANTO CO NEW DEL COM	MON	04/09/13	52	105.0550	5,462.86	104.3700	5,427.24	(35.62)	90 1.64
NIKE INC CL B	NKE	04/09/13	92	59.8700	5,508.04	72.6400	6,682.88	1,174.84	78 1.15
ORACLE CORP \$0.01 DEL	ORCL	04/09/13	335	33.0457	11,070.31	33.1700	11,111.95	41.64	161 1.44

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2ND ACCOUNT

Account Number: 788-19436

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

August 31, 2013 - September 30, 2013

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
PRICE T ROWE GROUP INC	TROW	04/09/13	99	74.4612	7,371.66	71.9300	7,121.07	(250.59)	151	2.11
SCHLUMBERGER LTD	SLB	04/09/13	95	75.4300	7,165.85	88.3600	8,394.20	1,228.35	119	1.41
THERMO FISHER SCIENTIFIC INC	TMO	04/09/13	121	78.4400	9,491.24	92.1500	11,150.15	1,658.91	73	65
UNITED TECHS CORP - COM	UTX	04/09/13	106	94.5258	10,019.74	107.8200	11,428.92	1,409.18	227	1.98
VALERO ENERGY CORP NEW	VLO	04/09/13	194	39.1090	7,587.16	34.1500	6,625.10	(962.06)	175	2.63
		05/10/13	32	37.9203	1,213.45	34.1500	1,092.80	(120.65)	29	2.63
Subtotal			226	8,800.61	8,800.61	7,717.90	7,717.90	(1,082.71)	204	2.63
VISA INC CL A SHRS	V	04/09/13	43	164.6879	7,081.58	191.1000	8,217.30	1,135.72	57	69
WELLS FARGO & CO NEW DEL	WFC	04/09/13	181	37.5000	6,787.50	41.3200	7,478.92	691.42	218	2.90
TOTAL				254,394.33	254,394.33	269,007.15	14,612.82	4,851	1.80	

LONG PORTFOLIO

Notes
 *Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

YOUR CMA FOR TRUST TRANSACTIONS

Dividends/Interest Income Transactions	Date	Transaction Type	Quantity	Description	Income	Year To Date
Bank Interest	09/30	Bank Interest		BANK DEPOSIT INTEREST	.06	
Subtotal (Taxable Interest)					.06	
Dividend	09/03	Dividend		AMERICAN WTR WKS CO INC NEW HOLDING 199.0000	55.72	61



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LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

August 31, 2013 - September 30, 2013

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR CMA FOR TRUST BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N/A	1,844	2,291	.05	0.10	2,672
Bank of America CA, N/A	1	1	.05	0.00	1
TOTAL ML Bank Deposit Program	1,845			0.10	2,673

YOUR CMA FOR TRUST ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	133.04	133.04		133.04		
ML BANK DEPOSIT PROGRAM	2,673.00	2,673.00	1.0000	2,673.00	1	.05
TOTAL		2,806.04		2,806.04	1	.05

EQUITIES Description	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%
ABBVIE INC SHS	254	42.6050	10,821.67	44.7300	11,361.42	539.75	407	3.57
ALTRIA GROUP INC	159	35.4754	5,640.59	34.3500	5,461.65	(178.94)	306	5.58
AT&T INC	223	37.7899	8,427.15	33.8200	7,541.86	(885.29)	402	5.32
BCE INC	184	45.8000	8,427.20	42.7000	7,856.80	(570.40)	412	5.23



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LELA G RICE ITEE

Account Number: 788-11869

YOUR CMA FOR TRUST ASSETS

August 31, 2013 - September 30, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
BRISTOL-MYERS SQUIBB CO	BMYY	04/09/13	184	40.8457	7,515.61	46.2800	8,515.52	999.91	258	3.02
CHEVRON CORP	CWX	04/09/13	86	118.6900	10,207.34	121.5000	10,449.00	241.66	344	3.29
CONAGRA FOODS INC	CAG	04/09/13	296	34.3770	10,175.60	30.3400	8,980.64	(1,194.96)	296	3.29
DIGITAL RLTY TR INC	DLR	04/09/13	144	70.4153	10,139.81	53.1000	7,646.40	(2,493.41)	450	5.87
		06/07/13	26	60.0000	1,560.00	53.1000	1,380.60	(179.40)	82	5.87
Subtotal			170		11,699.81		9,027.00	(2,672.81)	532	5.87
DU PONT E I DE NEMOURS	DD	04/09/13	96	49.2128	4,724.43	58.5600	5,621.76	897.33	173	3.07
EATON CORP PLC	ETN	04/09/13	160	60.5256	9,684.11	68.8400	11,014.40	1,330.29	269	2.44
GENERAL ELECTRIC	GE	04/09/13	440	23.0598	10,146.35	23.8900	10,511.60	365.25	335	3.18
INTEL CORP	INTC	04/09/13	477	21.7559	10,377.61	22.9210	10,933.32	555.71	430	3.92
JPMORGAN CHASE & CO	JPM	04/09/13	146	48.7100	7,111.66	51.6900	7,546.74	435.08	222	2.94
KIMBERLY CLARK	KMB	04/09/13	113	99.2900	11,219.77	94.2200	10,646.86	(572.91)	367	3.43
KRAFT FOODS GROUP INC SHS	KRFT	04/09/13	141	50.7357	7,153.74	52.4800	7,399.68	245.94	282	3.81
MCDONALDS CORP COM	MCD	04/09/13	111	101.1126	11,223.50	96.2100	10,679.31	(544.19)	360	3.36
MERCK AND CO INC SHS	MRK	04/09/13	103	45.5957	4,696.36	47.6090	4,903.73	207.37	178	3.61
		07/01/13	126	46.5669	5,867.43	47.6090	5,998.73	131.30	217	3.61
Subtotal			229		10,563.79		10,902.46	338.67	395	3.61
MICROSOFT CORP	MSFT	04/09/13	329	29.6779	9,764.03	33.2800	10,949.12	1,185.09	369	3.36
NEXTERA ENERGY INC SHS	NEE	04/09/13	92	79.2356	7,289.68	80.1600	7,374.72	85.04	243	3.29
		07/01/13	36	81.4969	2,933.89	80.1600	2,885.76	(48.13)	96	3.29
Subtotal			128		10,223.57		10,260.48	36.91	339	3.29
OCCIDENTAL PETE CORP CAL	OXY	12/31/10	115	98.2046	11,293.53	93.5400	10,757.10	(536.43)	295	2.73
PACCAR INC	PCAR	04/09/13	187	49.0154	9,165.88	55.6600	10,408.42	1,242.54	150	1.43
PG&E CORP	PCG	07/01/13	189	45.7802	8,652.46	40.9200	7,733.88	(918.58)	344	4.44

LELA G RICE TTEE

Account Number: 788-11869

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

August 31, 2013 - September 30, 2013

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
PHILIP MORRIS INTL INC	PM	04/09/13	59	94.5300	5,577.27	86.5900	5,108.81	(468.46)	222	4.34
PPL CORPORATION	PPL	07/01/13	259	30.0971	7,795.17	30.3800	7,868.42	73.25	381	4.83
SPECTRA ENERGY CORP	SE	04/09/13	302	30.4553	9,197.53	34.2300	10,337.46	1,139.93	369	3.56
THOMSON REUTERS CORP	TRI	04/09/13	154	32.2281	4,963.13	35.0100	5,391.54	428.41	201	3.71
TORONTO DOMINION BANK	TD	04/09/13	97	79.7623	7,736.95	89.9800	8,728.06	991.11	315	3.60
VENTAS INC REIT	VTR	04/09/13	149	75.5042	11,250.14	61.5000	9,163.50	(2,086.64)	400	4.35
VERIZON COMMUNICATNS COM	VZ	04/09/13	228	49.4257	11,269.06	46.6750	10,641.90	(627.16)	484	4.54
TOTAL					262,008.65		261,798.71	(209.94)	9,659	3.69
					+ 254,394.33		+ 269,007.15			
LONG PORTFOLIO										
					Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL					264,814.69	264,604.75	(209.94)		9,660	3.65

YOUR CMA FOR TRUST TRANSACTIONS

516,402.98 530,805.86

Date	Transaction Type	Description	Quantity	Income	Year To Date
09/30	Bank Interest	BANK DEPOSIT INTEREST		.10	
		INTEL CORP		.10	5.70
09/03	* Dividend	HOLDING 477.0000 PAY DATE 09/01/2013		107.33	
09/05	* Dividend	PACCAR INC HOLDING 187.0000 PAY DATE 09/05/2013		37.40	
09/09	* Dividend	SPECTRA ENERGY CORP		92.11	

