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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

For calendar year 2012 or tax year beginning OCT 1, 2012, and ending SEP 30, 2013

Name of foundation SPAULDING FAMILY FOUNDATION C/O CHARLES SPAULDING III		A Employer identification number 43-1833001
Number and street (or P.O. box number if mail is not delivered to street address) 5831 OAKWOOD RD.	Room/suite	B Telephone number 913-362-5326
City or town, state, and ZIP code SHAWNEE MISSION, KS 66208		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,828,914.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		5.	5.		STATEMENT 1
4 Dividends and interest from securities		75,203.	69,579.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		212,774.			
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			212,774.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		287,982.	282,358.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		3,545.	3,545.		0.
c Other professional fees STMT 4		15,903.	15,903.		0.
17 Interest					
18 Taxes STMT 5		3,138.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		27,768.	27,768.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		50,354.	47,216.		0.
25 Contributions, gifts, grants paid		115,713.			115,713.
26 Total expenses and disbursements. Add lines 24 and 25		166,067.	47,216.		115,713.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		121,915.			
b Net investment income (if negative, enter -0-)			235,142.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		66,010.	207,865.	207,865.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 7	1,878,582.	1,860,725.	2,161,099.
	c	Investments - corporate bonds	STMT 8	460,626.	458,543.	459,950.
11	Investments - land, buildings, and equipment basis					
	Less: accumulated depreciation					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation					
15	Other assets (describe)					
16	Total assets (to be completed by all filers)		2,405,218.	2,527,133.	2,828,914.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		2,405,218.	2,527,133.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		2,405,218.	2,527,133.		
31	Total liabilities and net assets/fund balances		2,405,218.	2,527,133.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,405,218.
2	Enter amount from Part I, line 27a	2	121,915.
3	Other increases not included in line 2 (itemize)	3	0.
4	Add lines 1, 2, and 3	4	2,527,133.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,527,133.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			212,774.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	
a			
b			
c			
d			
e			212,774.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	212,774.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	182,163.	2,557,476.	.071228
2010	168,796.	2,553,685.	.066099
2009	176,172.	2,564,236.	.068704
2008	183,888.	2,632,975.	.069840
2007	185,455.	3,038,186.	.061041

2 Total of line 1, column (d)	2	.336912
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.067382
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	2,700,471.
5 Multiply line 4 by line 3	5	181,963.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,351.
7 Add lines 5 and 6	7	184,314.
8 Enter qualifying distributions from Part XII, line 4	8	115,713.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b.		1	4,703.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,703.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,703.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	2,560.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,560.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	1.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,144.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be credited to 2013 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			X
c Did the foundation file Form 1120-POL for this year?			X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ KS			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► CHARLES SPAULDING III Telephone no. ► 913-362-5326 Located at ► 5831 OAKWOOD RD, SHAWNEE MISSION, KS ZIP+4 ► 66208			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHARLES SPAULDING III 5831 OAKWOOD RD SHAWNEE MISSION, KS 66208	PRES/SEC/TREAS 5.00	0.	0.	0.
SUSAN SPAULDING 5831 OAKWOOD RD SHAWNEE MISSION, KS 66208	VICE PRES 10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3 0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	1a	2,604,657.
a	Average monthly fair market value of securities	1b	136,938.
b	Average of monthly cash balances	1c	
c	Fair market value of all other assets	1d	2,741,595.
d	Total (add lines 1a, b, and c)		
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,741,595.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	41,124.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,700,471.
6	Minimum investment return. Enter 5% of line 5	6	135,024.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	135,024.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	4,703.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	4,703.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	130,321.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	130,321.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	130,321.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	1a	115,713.
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1b	0.
b	Program-related investments - total from Part IX-B	2	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes		
3	Amounts set aside for specific charitable projects that satisfy the:	3a	
a	Suitability test (prior IRS approval required)	3b	
b	Cash distribution test (attach the required schedule)	4	115,713.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4		
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	115,713.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				130,321.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	8,458.			
b From 2008	52,979.			
c From 2009	51,776.			
d From 2010	45,020.			
e From 2011	59,343.			
f Total of lines 3a through e	217,576.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$	115,713.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount	0.			115,713.
e Remaining amount distributed out of corpus	14,608.			14,608.
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	202,968.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	202,968.			
10 Analysis of line 9:				
a Excess from 2008	46,829.			
b Excess from 2009	51,776.			
c Excess from 2010	45,020.			
d Excess from 2011	59,343.			
e Excess from 2012				

N/A

- | | | Prior 3 years | | | | |
|----------|---|---------------|----------|----------|----------|-----------|
| | | (a) 2012 | (b) 2011 | (c) 2010 | (d) 2009 | (e) Total |
| 2 | a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| | b 85% of line 2a | | | | | |
| | c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| | d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| | e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 | Complete 3a, b, or c for the alternative test relied upon | | | | | |
| | a "Assets" alternative test - enter | | | | | |
| | (1) Value of all assets | | | | | |
| | (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| | b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| | c "Support" alternative test - enter | | | | | |
| | (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| | (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| | (3) Largest amount of support from an exempt organization | | | | | |
| | (4) Gross investment income | | | | | |

1 Information Regarding Foundation Managers:

- NONE

- NONE

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV

Supplementary Information (continued)

3

Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHED SCHEDULE	NONE		CHARITABLE ORGANIZATION	115,713.
Total			▶ 3a	115,713.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash			X
(2) Other assets			X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization			X
(2) Purchases of assets from a noncharitable exempt organization			X
(3) Rental of facilities, equipment, or other assets			X
(4) Reimbursement arrangements			X
(5) Loans or loan guarantees			X
(6) Performance of services or membership or fundraising solicitations			X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees			X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here  Sharon D. Spaulding 2/15/14  Sec 1/ Treas.

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see Instr. 7)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	DOUGLAS C. MILLER		2/15/14		P00058211
	Firm's name ▶ MILLER HAVILAND KETTER PC, PA			Firm's EIN ▶ 48-0958002	
	Firm's address ▶ 1901 W. 47TH PLACE, SUITE 204 WESTWOOD, KS 66205			Phone no (913) 432-2727	

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT

ACCOUNT #16H46065

was 00256204

From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
09-09-2009	10-15-2012	566	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	595 56	0 00	566 19		(29 37)
06-21-2011	10-15-2012	935	FHLMC REMIC TRUST 3864 3 500% Due 05-15-26	972 42	0 00	935 30		(37 12)
04-17-2012	10-15-2012	762	FHLMC REMIC SERIES 4011 4 000% Due 12-15-41	808 90	0 00	761 88		(47 02)
10-07-2009	10-16-2012	333	GNMA REMIC TRUST 2003-42	342 18	0 00	333 43		(8 75)
05-13-2004	10-25-2012	3	4 000% Due 01-16-30 AMRESKO RESIDENTIAL SECS 97-2	3 07	0 00	3 04		(0 03)
04-17-2012	10-25-2012	657	7 175% Due 06-25-27 FNMA REMIC TRUST 2011-93	692 56	0 00	657 23		(35 33)
10-27-2009	10-25-2012	41	4 000% Due 09-25-41 FNMA 2006-M3	43 36	0 00	41 30		(2 06)
03-01-2011	10-25-2012	558	5 095% Due 08-25-16 FNR 2011-5 UE	560 75	0 00	558 13		(2 62)
03-14-2012	10-25-2012	594	2 500% Due 02-25-21 FNMA REMIC TRUST 2010-54	606 70	0 00	593 89		(12 81)
05-08-2012	10-25-2012	240	3 000% Due 04-25-40 FNMA PASS-THRU INT 20 YEAR	252 85	0 00	239 60		(13 25)
06-21-2011	11-15-2012	1,094	3 500% Due 05-01-32 FHLMC REMIC TRUST 3864 3 500% Due 05-15-26	1,137 17	0 00	1,093 76		(43 41)

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT

ACCOUNT #16H46065

was 00256204

From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
04-17-2012	11-15-2012	340	FHLMC REMIC SERIES 4011 4 000% Due 12-15-41	361 44	0 00	340 43	(21 01)	
09-09-2009	11-15-2012	527	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	554 32	0 00	526 98	(27 34)	
10-07-2009	11-16-2012	318	GNMA REMIC TRUST 2003-42	326 85	0 00	318 49	(8 36)	
05-13-2004	11-26-2012	6	4 000% Due 01-16-30 AMRESO RESIDENTIAL SECS 97-2	5 68	0 00	5 63	(0 05)	
04-17-2012	11-26-2012	669	7 175% Due 06-25-27 FNMA REMIC TRUST 2011-93	704 73	0 00	668 78	(35 95)	
10-27-2009	11-26-2012	37	4 000% Due 09-25-41 FNMA 2006-M3	39 21	0 00	37 34	(1 87)	
03-01-2011	11-26-2012	566	5 095% Due 08-25-16 FNR 2011-5 UE	568 40	0 00	565 75	(2 65)	
03-14-2012	11-26-2012	1,025	2 500% Due 02-25-21 FNMA REMIC TRUST 2010-54	1,047 29	0 00	1,025 18	(22 11)	
05-08-2012	11-26-2012	313	3 000% Due 04-25-40 FNMA PASS-THRU INT 20 YEAR	330 10	0 00	312 80	(17 30)	
06-21-2011	12-17-2012	906	3 500% Due 05-01-32 FHLMC REMIC TRUST 3864	942 25	0 00	906 28	(35 97)	
04-17-2012	12-17-2012	1,063	3 500% Due 05-15-26 FHLMC REMIC SERIES 4011 4 000% Due 12-15-41	1,128 58	0 00	1,062 97	(65 61)	

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT

ACCOUNT #16H46065

was 00256204

From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
09-09-2009	12-17-2012	403	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	424 32	0 00	403 39		(20 93)
10-07-2009	12-17-2012	325	GNMA REMIC TRUST 2003-42	333 24	0 00	324 72		(8 52)
04-17-2012	12-26-2012	758	4 000% Due 01-16-30 FNMA REMIC TRUST 2011-93	798 52	0 00	757 79	(40 73)	
10-27-2009	12-26-2012	463	4 000% Due 09-25-41 FNMA 2006-M3	486 42	0 00	463 26		(23 16)
03-01-2011	12-26-2012	577	5 095% Due 08-25-16 FNR 2011-5 UE	579 48	0 00	576 78		(2 70)
03-14-2012	12-26-2012	632	2 500% Due 02-25-21 FNMA REMIC TRUST 2010-54	646 05	0 00	632 41	(13 64)	
05-08-2012	12-26-2012	357	3 000% Due 04-25-40 FNMA PASS-THRU INT 20 YEAR	377 23	0 00	357 46	(19 77)	
05-13-2004	12-26-2012	6	3 500% Due 05-01-32 AMRESO RESIDENTIAL SECS 97-2	5 85	0 00	5 80		(0 05)
03-14-2012	12-31-2012	0	7 175% Due 06-25-27 FNMA REMIC TRUST 2010-54	0 00	0 00	0 00	0 00	
10-27-2009	12-31-2012	0	3 000% Due 04-25-40 FNMA 2006-M3	(0 01)	0 00	0 00		0 01
03-01-2011	12-31-2012	0	5 095% Due 08-25-16 FNR 2011-5 UE	(0 01)	0 00	0 00		0 01
		2	500% Due 02-25-21					

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT

ACCOUNT #16H46065

was 00256204

From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
10-07-2009	12-31-2012	0	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	(0 18)	0 00	0 00		0 18
04-17-2012	01-02-2013	14,766	FHLMC REMIC SERIES 4011 4 000% Due 12-15-41	15,676 82	0 00	14,839 34	(837 48)	
05-08-2012	01-02-2013	22,980	FNMA PASS-THRU INT 20 YEAR 3 500% Due 05-01-32	24,251 30	0 00	24,430 72	179 42	
04-17-2012	01-02-2013	14,694	FNMA REMIC TRUST 2011-93 4 000% Due 09-25-41	15,483 63	0 00	15,061 17	(422 46)	
06-21-2011	01-02-2013	17,223	FHLMC REMIC TRUST 3864 3 500% Due 05-15-26	17,906 78	0 00	17,782 97	(123 81)	
09-16-2009	01-03-2013	30,000	FARMERS BRANCH TEX CTFS OF O 3 470% Due 02-15-15	30,000 00	0 00	31,437 00	1,437 00	
07-14-2011	01-10-2013	15,000	NEW YORK ST DORM AUTH ST PERS 1 128% Due 03-15-14	15,000 00	0 00	14,978 55	(21 45)	
04-17-2012	01-15-2013	684	FHLMC REMIC SERIES 4011 4 000% Due 12-15-41	726 43	0 00	684 19	(42 24)	
09-09-2009	01-15-2013	304	FHLMC REMIC SERIES 2586 4 500% Due 03-15-18	319 83	0 00	304 06	(15 77)	
06-21-2011	01-15-2013	910	FHLMC REMIC TRUST 3864 3 500% Due 05-15-26	946 61	0 00	910 49	(36 12)	
10-07-2009	01-16-2013	394	GNMA REMIC TRUST 2003-42 4 000% Due 01-16-30	404 41	0 00	394 07	(10 34)	

Avalon Advisors, LLC
REALIZED GAINS AND LOSSES
SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
was 00256204
From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
10-27-2009	01-25-2013	37	FNMA 2006-M3 5 095% Due 08-25-16	38 72	0 00	36 88		(1 84)
03-01-2011	01-25-2013	693	FNR 2011-5 UE 2 500% Due 02-25-21	696 28	0 00	693 03		(3 25)
03-14-2012	01-25-2013	723	FNMA REMIC TRUST 2010-54	738 91	0 00	723 31		(15 60)
04-17-2012	01-25-2013	507	FNMA REMIC TRUST 2011-93	533 83	0 00	506 59		(27 24)
05-08-2012	01-25-2013	391	FNMA PASS-THRU INT 20 YEAR	412 55	0 00	390 93		(21 62)
05-13-2004	01-25-2013	12	3 500% Due 05-01-32 AMRESO RESIDENTIAL SECS 97-2	11 73	0 00	11 62		(0 11)
09-09-2009	02-15-2013	371	7 175% Due 06-25-27 FHLMC REMIC SERIES 2586	390 25	0 00	371 00		(19 25)
01-17-2013	02-15-2013	2,388	4 500% Due 03-15-18 FHLMC REMIC SERIES 2778	2,390 70	0 00	2,387 72		(2 98)
10-07-2009	02-19-2013	321	0 806% Due 03-15-34 GNMA REMIC TRUST 2003-42	329 15	0 00	320 73		(8 42)
05-13-2004	02-25-2013	1	4 000% Due 01-16-30 AMRESO RESIDENTIAL SECS 97-2	0 64	0 00	0 63		(0 01)
10-27-2009	02-25-2013	37	7 175% Due 06-25-27 FNMA 2006-M3	38 93	0 00	37 08		(1 85)
			5 095% Due 08-25-16					

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT

ACCOUNT #16H46065

was 00256204

From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-01-2011	02-25-2013	741	FNR 2011-5 UE 2 500% Due 02-25-21	744 17	0 00	740 70		(3 47)
03-14-2012	02-25-2013	460	FNMA REMIC TRUST 2010-54	470 03	0 00	460 11		(9 92)
03-14-2012	03-12-2013	20,000	3 000% Due 04-25-40 FNMA REMIC TRUST 2011-129	21,100 00	0 00	20,662 50		(437 50)
09-09-2009	03-15-2013	488	4 000% Due 05-25-29 FHLMC REMIC SERIES 2586	513 13	0 00	487 82		(25 31)
01-17-2013	03-15-2013	2,091	4 500% Due 03-15-18 FHLMC REMIC SERIES 2778	2,093 24	0 00	2,090 63		(2 61)
10-07-2009	03-18-2013	320	0 806% Due 03-15-34 GNMA REMIC TRUST 2003-42	328 15	0 00	319 76		(8 39)
02-12-2013	03-20-2013	7,246	4 000% Due 01-16-30 GNMA REMIC TRUST 2012-56	7,264 48	0 00	7,246 36		(18 12)
10-27-2009	03-25-2013	50	3 500% Due 06-20-40 FNMA 2006-M3	52 22	0 00	49 73		(2 49)
03-01-2011	03-25-2013	650	5 095% Due 08-25-16 FNR 2011-5 UE	652 88	0 00	649 83		(3 05)
02-08-2013	03-25-2013	481	2 500% Due 02-25-21 FNMA REMIC TRUST 2011-48	511 62	0 00	480 68		(30 94)
03-14-2012	03-25-2013	804	2 000% Due 06-25-26 FNMA REMIC TRUST 2010-54	821 45	0 00	804 11		(17 34)
			3 000% Due 04-25-40					

Avalon Advisors, LLC
REALIZED GAINS AND LOSSES
SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
was 00256204
From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
05-13-2004	03-25-2013	1	AMRESO RESIDENTIAL SECS 97-2	0 57	0 00	0 56		(0 01)
		7	175% Due 06-25-27					
02-08-2013	03-31-2013	0	FNMA REMIC TRUST 2011-48	0 00	0 00	0 00	0 00	
		2	000% Due 06-25-26					
02-12-2013	03-31-2013	0	GNMA REMIC TRUST 2012-56	0 00	0 00	0 00	0 00	
		3	500% Due 06-20-40					
09-10-2009	04-11-2013	20,000	ANOKA CNTY MINN TAXABLE G	20,000 00	0 00	20,382 20		382 20
		3	050% Due 02-01-14					
03-11-2010	04-11-2013	20,000	HAMILTON CNTY TENN GO BDS	20,610 80	(252 12)	22,339 40		1,980 72
		4	000% Due 03-01-17					
06-26-2012	04-12-2013	20,000	TEXAS A & M UNIV PERM UNIV FD	20,000 00	0 00	20,194 20	194 20	
		1	439% Due 07-01-17					
09-09-2009	04-15-2013	473	FHLMC REMIC SERIES 2586	497 87	0 00	473 32		(24 55)
		4	500% Due 03-15-18					
01-17-2013	04-15-2013	2,187	FHLMC REMIC SERIES 2778	2,190 00	0 00	2,187 27	(2 73)	
		0	806% Due 03-15-34					
10-07-2009	04-16-2013	211	GNMA REMIC TRUST 2003-42	216 52	0 00	210 98		(5 54)
		4	000% Due 01-16-30					
02-12-2013	04-22-2013	8,339	GNMA REMIC TRUST 2012-56	8,360 11	0 00	8,339 26	(20 85)	
		3	500% Due 06-20-40					

Avalon Advisors, LLC
REALIZED GAINS AND LOSSES
SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
was 00256204
From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-20-2013	04-25-2013	123	FNMA REMIC TRUST 2013-14	123 83	0 00	122 74	(1 09)	
			1 750% Due 03-25-43					
10-27-2009	04-25-2013	38	FNMA 2006-M3	39 42	0 00	37 54	(1 88)	
			5 095% Due 08-25-16					
03-01-2011	04-25-2013	692	FNR 2011-5 UE	694 76	0 00	691 52	(3 24)	
			2 500% Due 02-25-21					
02-08-2013	04-25-2013	971	FNMA REMIC TRUST 2011-48	1,033 49	0 00	970 98	(62 51)	
			2 000% Due 06-25-26					
03-14-2012	04-25-2013	804	FNMA REMIC TRUST 2010-54	821 19	0 00	803 86	(17 33)	
			3 000% Due 04-25-40					
03-26-2013	04-25-2013	341	FNMA PASS-THRU INT 15 YEAR	364 39	0 00	340 75	(23 64)	
			3 500% Due 03-01-26					
05-13-2004	04-25-2013	1	AMRESKO RESIDENTIAL SECS 97-2	1 32	0 00	1 31	(0 01)	
			7 175% Due 06-25-27					
03-20-2013	05-15-2013	1,395	FHLMC REMIC SERIES 4173	1,398 08	0 00	1,394 59	(3 49)	
			2 500% Due 07-15-42					
09-09-2009	05-15-2013	322	FHLMC REMIC SERIES 2586	338 71	0 00	322 01	(16 70)	
			4 500% Due 03-15-18					
01-17-2013	05-15-2013	1,970	FHLMC REMIC SERIES 2778	1,972 24	0 00	1,969 78	(2 46)	
			0 806% Due 03-15-34					
10-07-2009	05-16-2013	235	GNMA REMIC TRUST 2003-42	241 44	0 00	235 26	(6 18)	
			4 000% Due 01-16-30					

Avalon Advisors, LLC
REALIZED GAINS AND LOSSES
SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
ACCOUNT #16H46065
was 00256204
From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
05-13-2004	05-28-2013	1	AMRESO RESIDENTIAL SECS 97-2	1 27	0 00	1 26		(0 01)
		7	175% Due 06-25-27					
03-20-2013	05-28-2013	127	FNMA REMIC TRUST 2013-14	127 87	0 00	126 74	(1 13)	
		1	750% Due 03-25-43					
10-27-2009	05-28-2013	42	FNMA 2006-M3	43 97	0 00	41 88		(2 09)
		5	095% Due 08-25-16					
03-01-2011	05-28-2013	695	FNR 2011-5 UE	697 95	0 00	694 69		(3 26)
		2	500% Due 02-25-21					
02-08-2013	05-28-2013	858	FNMA REMIC TRUST 2011-48	913 15	0 00	857 92	(55 23)	
		2	000% Due 06-25-26					
03-14-2012	05-28-2013	480	FNMA REMIC TRUST 2010-54	490 43	0 00	480 08		(10 35)
		3	000% Due 04-25-40					
03-26-2013	05-28-2013	303	FNMA PASS-THRU INT 15 YEAR	323 65	0 00	302 65	(21 00)	
		3	500% Due 03-01-26					
09-09-2009	06-17-2013	463	FHLMC REMIC SERIES 2586	486 98	0 00	462 96		(24 02)
		4	500% Due 03-15-18					
10-07-2009	06-17-2013	295	GNMA REMIC TRUST 2003-42	302 98	0 00	295 23		(7 75)
		4	000% Due 01-16-30					
03-20-2013	06-17-2013	1,050	FHLMC REMIC SERIES 4173	1,053 11	0 00	1,050 48	(2 63)	
		2	500% Due 07-15-42					
01-17-2013	06-17-2013	2,351	FHLMC REMIC SERIES 2778	2,353 66	0 00	2,350 73	(2 93)	
		0	806% Due 03-15-34					

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT

ACCOUNT #16H46065

was 00256204

From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-20-2013	06-25-2013	131	FNMA REMIC TRUST 2013-14	131 81	0 00	130 65	(1 16)	
			1 750% Due 03-25-43					
10-27-2009	06-25-2013	38	FNMA 2006-M3	39 87	0 00	37 97		(1 90)
			5 095% Due 08-25-16					
03-01-2011	06-25-2013	513	FNR 2011-5 UE	515 21	0 00	512 81		(2 40)
			2 500% Due 02-25-21					
02-08-2013	06-25-2013	726	FNMA REMIC TRUST 2011-48	772 74	0 00	726 00	(46 74)	
			2 000% Due 06-25-26					
03-14-2012	06-25-2013	790	FNMA REMIC TRUST 2010-54	807 29	0 00	790 25		(17 04)
			3 000% Due 04-25-40					
03-26-2013	06-25-2013	455	FNMA PASS-THRU INT 15 YEAR	486 29	0 00	454 74	(31 55)	
			3 500% Due 03-01-26					
05-13-2004	06-27-2013	1	AMRESKO RESIDENTIAL SECS 97-2	1 48	0 00	1 47		(0 01)
			7 175% Due 06-25-27					
03-20-2013	07-15-2013	1,464	FHLMC REMIC SERIES 4173	1,467 23	0 00	1,463 57	(3 66)	
			2 500% Due 07-15-42					
09-09-2009	07-15-2013	320	FHLMC REMIC SERIES 2586	336 79	0 00	320 18		(16 61)
			4 500% Due 03-15-18					
10-07-2009	07-16-2013	349	GNMA REMIC TRUST 2003-42	357 84	0 00	348 69		(9 15)
			4 000% Due 01-16-30					

Avalon Advisors, LLC
REALIZED GAINS AND LOSSES
SPAULDING FAMILY FOUNDATION FIXED INCOME BALANCED ACCOUNT
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was 00256204
From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Proceeds	Short Term Long Term
05-13-2004	07-25-2013	1	AMRESO RESIDENTIAL SECS 97-2	0 52	0 00	0 52	0 00
			7 175% Due 06-25-27				
03-20-2013	07-25-2013	134	FNMA REMIC TRUST 2013-14	135 68	0 00	134 48	(1 20)
			1 750% Due 03-25-43				
10-27-2009	07-25-2013	42	FNMA 2006-M3	44 41	0 00	42 30	(2 11)
			5 095% Due 08-25-16				
03-01-2011	07-25-2013	557	FNR 2011-5 UE	559 29	0 00	556 68	(2 61)
			2 500% Due 02-25-21				
02-08-2013	07-25-2013	476	FNMA REMIC TRUST 2011-48	506 49	0 00	475 86	(30 63)
			2 000% Due 06-25-26				
03-14-2012	07-25-2013	500	FNMA REMIC TRUST 2010-54	511 26	0 00	500 47	(10 79)
			3 000% Due 04-25-40				
03-26-2013	07-25-2013	255	FNMA PASS-THRU INT 15 YEAR	272 21	0 00	254 55	(17 66)
			3 500% Due 03-01-26				
03-20-2013	08-15-2013	394	FHLMC REMIC SERIES 4173	394 52	0 00	393 54	(0 98)
			2 500% Due 07-15-42				
09-09-2009	08-15-2013	290	FHLMC REMIC SERIES 2586	304 67	0 00	289 64	(15 03)
			4 500% Due 03-15-18				
10-07-2009	08-16-2013	287	GNMA REMIC TRUST 2003-42	294 45	0 00	286 92	(7 53)
			4 000% Due 01-16-30				

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REALIZED GAINS AND LOSSES

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ACCOUNT #16H46065

was 00256204

From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-20-2013	08-26-2013	138	FNMA REMIC TRUST 2013-14	139 46	0 00	138 23	(1 23)	
10-27-2009	08-26-2013	4,946	1 750% Due 03-25-43 FNMA 2006-M3	5,193 72	0 00	4,946 40		(247 32)
03-01-2011	08-26-2013	641	5 095% Due 08-25-16 FNR 2011-5 UE	644 28	0 00	641 27		(3 01)
02-08-2013	08-26-2013	408	2 500% Due 02-25-21 FNMA REMIC TRUST 2011-48	434 06	0 00	407 81		(26 25)
03-14-2012	08-26-2013	572	2 000% Due 06-25-26 FNMA REMIC TRUST 2010-54	584 48	0 00	572 14		(12 34)
03-26-2013	08-26-2013	289	3 000% Due 04-25-40 FNMA PASS-THRU INT 15 YEAR	308 69	0 00	288 66		(20 03)
05-13-2004	08-26-2013	1	3 500% Due 03-01-26 AMRESKO RESIDENTIAL SECS 97-2	1 27	0 00	1 26		(0 01)
03-20-2013	09-16-2013	161	7 175% Due 06-25-27 FHLMC REMIC SERIES 4173	161 16	0 00	160 76		(0 40)
09-09-2009	09-16-2013	183	2 500% Due 07-15-42 FHLMC REMIC SERIES 2586	192 03	0 00	182 56		(9 47)
10-07-2009	09-16-2013	372	4 500% Due 03-15-18 GNMA REMIC TRUST 2003-42	382 15	0 00	372 38		(9 77)
			4 000% Due 01-16-30					

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REALIZED GAINS AND LOSSES

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ACCOUNT #16H46065

was 00256204

From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
05-13-2004	09-25-2013	1	AMRESO RESIDENTIAL SECS 97-2	0 98	0 00	0 97		(0 01)
			7 175% Due 06-25-27					
03-20-2013	09-25-2013	142	FNMA REMIC TRUST 2013-14	143 14	0 00	141 88	(1 26)	
			1 750% Due 03-25-43					
08-07-2013	09-25-2013	528	FNMA PASS-THRU INT 15 YEAR	562 80	0 00	528 02	(34 78)	
			4 000% Due 02-01-26					
10-27-2009	09-25-2013	36	FNMA 2006-M3	37 37	0 00	35 59		(1 78)
			5 095% Due 08-25-16					
03-01-2011	09-25-2013	418	FNR 2011-5 UE	420 25	0 00	418 29		(1 96)
			2 500% Due 02-25-21					
02-08-2013	09-25-2013	493	FNMA REMIC TRUST 2011-48	525 06	0 00	493 30	(31 76)	
			2 000% Due 06-25-26					
03-14-2012	09-25-2013	530	FNMA REMIC TRUST 2010-54	540 96	0 00	529 54		(11 42)
			3 000% Due 04-25-40					
03-26-2013	09-25-2013	191	FNMA PASS-THRU INT 15 YEAR	204 25	0 00	191 00	(13 25)	
			3 500% Due 03-01-26					
TOTAL GAINS							373 62	3,800 12
TOTAL LOSSES							(2,675 45)	(1,062 43)
TOTAL REALIZED GAIN/LOSS							280,823.80	2,737.69

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

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ACCOUNT #16H46066

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From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Short Term	Long Term
09-10-2012	10-25-2012	649	LSI LOGIC CORP	5,013 47		4,414 07	(599 40)
03-30-2011	10-25-2012	48	SCHLUMBERGER LTD CMN	4,482 08		3,366 42	(1,115 66)
10-04-2011	10-25-2012	9	SCHLUMBERGER LTD CMN	508 00		631 20	123 20
02-17-2009	10-25-2012	155	JPMORGAN CHASE & CO CMN	3,470 02		6,455 00	2,984 98
09-12-2002	10-25-2012	30	CHEVRON CORPORATION CMN	1,096 50		3,314 69	2,218 19
02-10-2012	11-02-2012	27	WHOLE FOODS MARKET INC CMN	2,207 69		2,643 43	435 74
01-30-2012	11-06-2012	223	GENERAL ELECTRIC CO CMN	4,197 24		4,826 35	629 11
03-15-2011	11-06-2012	10	INTL BUSINESS MACHINES CORP CMN	1,584 92		1,958 04	373 12
08-23-2011	11-06-2012	14	INTL BUSINESS MACHINES CORP CMN	2,296 70		2,741 26	444 56
12-14-2011	11-06-2012	3	GOOGLE INC CMN CLASS A	1,855 54		2,049 10	193 56
12-21-2011	11-06-2012	13	GOOGLE INC CMN CLASS A	8,159 06		8,879 46	720 40
08-21-2012	11-06-2012	2	GOOGLE INC CMN CLASS A	1,331 26		1,366 07	34 81
07-29-2011	11-06-2012	6	APPLE INC CMN	2,358 73		3,512 35	1,153 62
08-23-2011	11-06-2012	2	APPLE INC CMN	743 84		1,170 78	426 94
01-09-2012	11-06-2012	309	WELLS FARGO & CO (NEW) CMN	9,035 87		10,586 96	1,551 09
01-13-2012	11-06-2012	44	WELLS FARGO & CO (NEW) CMN	1,277 45		1,507 53	230 08
03-25-2011	11-07-2012	43	E I DU PONT DE NEMOURS AND CO CMN	2,335 27		1,880 59	(454 68)

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-23-2011	11-07-2012	66	E I DU PONT DE NEMOURS AND CO CMN	2,987 82		2,886 49		(101 33)
10-04-2011	11-07-2012	54	E I DU PONT DE NEMOURS AND CO CMN	2,107 24		2,361 68		254 44
10-04-2011	11-07-2012	14	SCHLUMBERGER LTD CMN	790 22		978 03		187 81
01-30-2012	11-07-2012	80	SCHLUMBERGER LTD CMN	6,050 76		5,588 74	(462 02)	
01-13-2012	11-07-2012	281	BB&T CORPORATION CMN	7,553 00		7,874 56	321 56	
11-16-2010	11-07-2012	26	CATERPILLAR INC (DELAWARE) CMN	2,098 23		2,223 88		125 65
08-28-2012	11-07-2012	78	IAC INTERACTIVE CORP COM	4,102 64		3,462 43	(640 21)	
09-05-2012	11-07-2012	81	IAC INTERACTIVE CORP COM	4,182 61		3,595 61	(587 00)	
02-14-2008	11-08-2012	77	WAL MART STORES INC CMN	3,849 40		5,635 48		1,786 08
08-23-2011	11-08-2012	5	MC DONALDS CORP CMN	445 05		430 23		(14 82)
10-04-2011	11-08-2012	66	MC DONALDS CORP CMN	5,596 86		5,679 01		82 15
06-14-2012	11-08-2012	67	EBAY INC CMN	2,686 11		3,219 97	533 86	
10-07-2008	11-08-2012	11	AMEX CONSUMER STAPLES SELECT F	283 86		381 91		98 05
04-16-2009	11-08-2012	141	AMEX CONSUMER STAPLES SELECT F	3,080 67		4,895 43		1,814 76
08-28-2012	11-08-2012	78	DIRECTV COM CL A	4,102 92		3,857 48	(245 44)	
09-05-2012	11-08-2012	76	DIRECTV COM CL A	4,029 13		3,758 57	(270 56)	
03-16-2011	11-12-2012	86	EMC CORPORATION MASS CMN	2,195 67		2,072 94		(122 73)

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Short Term	Long Term
07-29-2011	11-12-2012	141	EMC CORPORATION MASS CMN	3,690 58		3,398 66	(291 92)
10-04-2011	11-12-2012	109	EMC CORPORATION MASS CMN	2,244 86		2,627 33	382 47
02-17-2009	11-13-2012	28	JPMORGAN CHASE & CO CMN	626 84		1,128 46	501 62
01-13-2012	11-13-2012	85	WELLS FARGO & CO CMN	2,467 80		2,749 08	281 28
01-11-2012	11-13-2012	9	BERKSHIRE HATHAWAY INC CLASS B	698 23		775 94	77 71
12-01-2011	11-14-2012	14	CISCO SYSTEMS INC CMN	259 28		250 46	(8 82)
12-21-2011	11-14-2012	96	CISCO SYSTEMS INC CMN	1,744 46		1,717 47	(26 99)
08-30-2012	11-14-2012	26	KOHL'S CORP	1,380 38		1,342 43	(37 95)
10-04-2011	11-14-2012	18	MC DONALDS CORP CMN	1,526 42		1,533 69	7 27
09-06-2012	11-14-2012	49	MC DONALDS CORP CMN	4,439 37		4,175 04	(264 33)
08-22-2012	11-14-2012	38	VALERO ENERGY CORPORATION CMN	1,119 29		1,113 92	(5 37)
09-30-2011	11-14-2012	41	BALL CORPORATION CMN	1,291 93		1,782 02	490 09
09-12-2002	11-15-2012	7	CHEVRON CORPORATION CMN	255 85		715 29	459 44
08-22-2012	11-15-2012	67	SUNTRUST BANKS INC \$1 00 PAR CMN	1,687 43		1,706 50	19 07
02-14-2008	11-15-2012	29	WAL MART STORES INC CMN	1,449 77		1,988 52	538 75
01-09-2012	11-15-2012	12	UNION PACIFIC CORP CMN	1,311 05		1,412 75	101 70

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REALIZED GAINS AND LOSSES

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ACCOUNT #16H46066

SPAULF_RH_FDTN

From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-23-2011	11-15-2012	12	INTL BUSINESS MACHINES CORP CMN	1,968 60		2,221 13		252 53
02-16-2012	11-16-2012	70	D R HORTON INC CMN	1,013 57		1,307 34	293 77	
07-29-2011	11-16-2012	63	U S BANCORP CMN	1,653 78		1,965 32		311 54
08-30-2012	11-16-2012	70	WEYERHAEUSER COMPANY CMN	1,738 27		1,758 17	19 90	
01-30-2012	11-27-2012	98	GENERAL ELECTRIC CO CMN	1,844 53		2,059 63	215 10	
08-23-2011	11-27-2012	17	INTL BUSINESS MACHINES CORP CMN	2,788 85		3,257 14	468 29	
10-04-2011	11-27-2012	1	INTL BUSINESS MACHINES CORP CMN	171 02		191 60	20 58	
09-21-2012	11-27-2012	100	AUTONATION INC COM	4,302 86		3,953 04	(349 82)	
02-08-2012	11-28-2012	27	CVS CAREMARK CORPORATION CMN	1,185 37		1,223 39	38 02	
09-24-2003	11-28-2012	8	EXXON MOBIL CORPORATION CMN	298 12		696 01	397 89	
10-30-2003	11-28-2012	33	EXXON MOBIL CORPORATION CMN	1,209 78		2,871 05	1,661 27	
03-25-2011	11-29-2012	17	COCA-COLA COMPANY (THE) CMN	555 48		639 10	83 62	
07-29-2011	11-29-2012	69	COCA-COLA COMPANY (THE) CMN	2,368 96		2,594 01	225 05	
01-26-2011	11-29-2012	83	HALLIBURTON COMPANY CMN	3,564 09		2,724 90	(839 19)	
03-04-2011	11-29-2012	35	HALLIBURTON COMPANY CMN	1,630 58		1,149 05	(481 53)	

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From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
10-04-2011	11-29-2012	45	HALLIBURTON COMPANY CMN	1,304 61		1,477 35		172 74
08-23-2011	11-29-2012	9	APPLE INC CMN	3,347 28		5,298 99		1,951 71
10-04-2012	11-29-2012	20	GRAINGER W W INC	4,285 28		3,860 95	(424 33)	
09-12-2002	12-05-2012	19	CHEVRON CORPORATION CMN	694 45		1,983 98		1,289 53
02-27-2006	12-05-2012	8	CHEVRON CORPORATION CMN	457 88		835 36		377 48
10-25-2012	12-05-2012	53	PROCTER & GAMBLE COMPANY (THE) CMN	3,723 12		3,665 61	(57 51)	
04-16-2009	12-05-2012	76	AMEX CONSUMER STAPLES SELECT F	1,660 50		2,723 02		1,062 52
07-24-2012	12-06-2012	79	VERIZON COMMUNICATIONS INC CMN	3,460 63		3,494 36	33 73	
07-24-2012	12-11-2012	164	AT&T INC CMN	5,676 81		5,583 97	(92 84)	
10-21-2008	12-11-2012	28	UNITED TECHNOLOGIES CORP CMN	1,435 02		2,281 51		846 49
04-16-2009	12-11-2012	36	UNITED TECHNOLOGIES CORP CMN	1,704 79		2,933 79		1,229 00
08-23-2011	12-12-2012	2	APPLE INC CMN	743 84		1,079 69		335 85
09-10-2012	12-12-2012	4	AMERICAN INTL GROUP INC CMN	132 47		138 99	6 52	
12-07-2012	12-12-2012	3	ASHLAND INC CMN	228 68		233 15	4 47	
09-11-2012	12-12-2012	31	BANK OF AMERICA CORP CMN	273 32		328 44	55 12	
09-30-2011	12-12-2012	6	BALL CORPORATION CMN	189 06		266 81		77 75

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From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
01-11-2012	12-12-2012	5	BERKSHIRE HATHAWAY INC CLASS B	387 91		447 13	59 22	
10-25-2012	12-12-2012	9	CITIGROUP INC CMN	337 08		338 12	1 04	
11-16-2010	12-12-2012	3	CATERPILLAR INC (DELAWARE) CMN	242 10		265 40		23 30
08-03-2010	12-12-2012	1	CHUBB CORP CMN	53 04		77 71		24 67
09-12-2012	12-12-2012	10	CHICOS FAS INC COM	191 16		181 49	(9 67)	
11-13-2012	12-12-2012	5	CAPITAL ONE FINANCIAL CORP CMN	289 07		294 14	5 07	
12-21-2011	12-12-2012	12	CISCO SYSTEMS INC CMN	218 06		237 47	19 41	
02-08-2012	12-12-2012	10	CVS CAREMARK CORPORATION CMN	439 03		476 58	37 55	
02-27-2006	12-12-2012	4	CHEVRON CORPORATION CMN	228 94		433 75		204 81
02-16-2012	12-12-2012	13	D R HORTON INC CMN	188 23		250 63	62 40	
06-14-2012	12-12-2012	4	EBAY INC CMN	160 36		208 79	48 43	
11-07-2012	12-12-2012	3	EASTMAN CHEM CO CMN	180 41		191 57	11 16	
01-11-2012	12-12-2012	1	EOG RESOURCES INC CMN	104 08		120 84	16 76	
12-04-2012	12-12-2012	3	FAMILY DOLLAR STORES INC	212 05		200 99	(11 06)	
12-05-2012	12-12-2012	5	FOOT LOCKER INC CMN	175 50		168 24	(7 26)	
01-30-2012	12-12-2012	26	GENERAL ELECTRIC CO CMN	489 36		568 08	78 72	
11-07-2012	12-12-2012	2	GOLDMAN SACHS GROUP INC (THE) CMN	240 48		238 59	(1 89)	
01-22-2009	12-12-2012	1	THE HOME DEPOT INC CMN	21 83		62 97		41 14

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
11-15-2012	12-12-2012	4	HOLLYFRONTIER CORP CMN	167 78		182 75	14 97	
12-06-2012	12-12-2012	11	HERTZ GLOBAL HOLDINGS INC CMN	176 16		175 88	(0 28)	
10-04-2011	12-12-2012	2	INTL BUSINESS MACHINES CORP CMN	342 04		386 67		44 63
10-04-2011	12-12-2012	15	INTEL CORPORATION CMN	311 17		310 19		(0 98)
02-17-2009	12-12-2012	9	JPMORGAN CHASE & CO CMN	201 48		387 71		186 23
11-28-2012	12-12-2012	9	CARMAX INC CMN	319 27		317 06	(2 21)	
07-29-2011	12-12-2012	7	COCA-COLA COMPANY (THE) CMN	240 33		263 89		23 56
11-08-2012	12-12-2012	7	KROGER COMPANY CMN	172 72		185 21		12 49
08-30-2012	12-12-2012	4	KOHL'S CORP	212 37		175 47	(36 90)	
12-11-2012	12-12-2012	4	LYONDELLBASELL INDUSTRIES N V CMN	216 69		215 87	(0 82)	
			CLASS A					
10-04-2012	12-12-2012	1	MASTERCARD INCORPORATED CMN	473 09		486 58	13 49	
			CLASS A					
11-16-2010	12-12-2012	4	MICROSOFT CORPORATION CMN	103 29		108 95		5 66
11-12-2012	12-12-2012	4	MOTOROLA SOLUTIONS INC CMN	214 00		219 47	5 47	
11-08-2012	12-12-2012	9	NEWS CORP CL A	222 05		224 18	2 13	

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From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
07-13-2012	12-12-2012	2	ORACLE CORPORATION CMN	58 89		64 25	5 36	
07-29-2011	12-12-2012	4	PEPSICO INC CMN	256 05		281 39		25 34
10-25-2012	12-12-2012	6	PROCTER & GAMBLE COMPANY (THE) CMN	421 49		425 27	3 78	
11-07-2012	12-12-2012	4	PHILLIPS 66 CMN	198 41		212 43	14 02	
01-13-2011	12-12-2012	10	QUALCOMM INC CMN	518 69		637 48		118 79
11-15-2012	12-12-2012	7	STARBUCKS CORP CMN	340 39		376 66	36 27	
08-22-2012	12-12-2012	10	SUNTRUST BANKS INC \$1 00 PAR CMN	251 86		278 49	26 63	
07-24-2012	12-12-2012	9	AT&T INC CMN	311 53		311 17	(0 36)	
11-14-2012	12-12-2012	6	TIJ COMPANIES INC (NEW) CMN	251 90		256 37	4 47	
11-28-2012	12-12-2012	5	TRIMBLE NAVIGATION LTD CMN	274 17		295 19	21 02	
01-09-2012	12-12-2012	3	UNION PACIFIC CORP CMN	327 76		374 12	46 36	
07-29-2011	12-12-2012	12	U S BANCORP CMN	315 00		383 15		68 14
04-16-2009	12-12-2012	1	UNITED TECHNOLOGIES CORP CMN	47 36		81 11		33 75
08-22-2012	12-12-2012	9	VALERO ENERGY CORPORATION CMN	265 09		296 54	31 44	
07-24-2012	12-12-2012	6	VERIZON COMMUNICATIONS INC CMN	262 83		269 33	6 50	
01-13-2012	12-12-2012	13	WELLS FARGO & CO (NEW) CMN	377 43		437 44	60 01	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Short Term	Long Term
02-10-2012	12-12-2012	1	WHOLE FOODS MARKET INC CMN	81 77		90 91	9 14
02-14-2008	12-12-2012	4	WAL MART STORES INC CMN	199 97		275 95	75 98
08-30-2012	12-12-2012	10	WEYERHAEUSER COMPANY CMN	248 32		277 59	29 27
04-16-2009	12-12-2012	9	AMEX CONSUMER STAPLES SELECT F	196 64		325 25	128 61
02-11-2011	12-12-2012	1	AMEX CONSUMER STAPLES SELECT F	29 57		36 14	6 57
10-30-2003	12-12-2012	12	EXXON MOBIL CORPORATION CMN	439 92		1,078 17	638 25
11-07-2012	12-12-2012	10	YAHOO INC CMN	174 64		194 09	19 45
11-08-2012	12-12-2012	3	YUM BRANDS INC COM	214 56		203 60	(10 96)
10-04-2011	12-14-2012	114	INTEL CORPORATION CMN	2,364 92		2,348 54	(16 38)
07-13-2012	12-14-2012	95	INTEL CORPORATION CMN	2,390 21		1,957 11	(433 10)
11-06-2012	12-14-2012	74	INTEL CORPORATION CMN	1,618 95		1,524 49	(94 46)
07-28-2011	12-14-2012	13	VISA INC CMN CLASS A	1,150 18		1,903 61	753 43
10-04-2011	12-14-2012	16	INTL BUSINESS MACHINES CORP CMN	2,736 35		3,080 95	344 60
11-16-2010	12-14-2012	142	MICROSOFT CORPORATION CMN	3,666 89		3,809 84	142 95
01-13-2011	12-17-2012	46	QUALCOMM INC CMN	2,385 99		2,822 07	436 08
07-24-2012	12-17-2012	72	AT&T INC CMN	2,492 26		2,447 63	(44 63)

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
09-12-2012	12-18-2012	73	NORDSTROM INC COM	4,235 64		3,794 10	(441 54)	
02-14-2008	12-18-2012	31	WAL MART STORES INC CMN	1,549 76		2,142 14		592 38
08-23-2011	12-18-2012	7	WAL MART STORES INC CMN	371 07		483 71		112 64
10-04-2011	12-18-2012	3	WAL MART STORES INC CMN	155 75		207 30		51 55
08-30-2012	12-18-2012	38	CANADIAN PACIFIC RAILWAY LTD C	3,135 40		3,757 42	622 02	
07-29-2011	12-19-2012	34	PEPSICO INC CMN	2,176 40		2,384 14		207 74
10-04-2011	12-19-2012	1	PEPSICO INC CMN	59 80		70 12		10 32
11-06-2012	12-19-2012	124	INTEL CORPORATION CMN	2,712 84		2,617 22	(95 62)	
09-12-2012	12-19-2012	107	MACY'S INC COM	4,186 61		4,176 89	(9 72)	
07-24-2012	12-20-2012	49	VERIZON COMMUNICATIONS INC CMN	2,146 47		2,132 17	(14 30)	
01-30-2012	12-20-2012	143	GENERAL ELECTRIC CO CMN	2,691 50		3,007 92	316 42	
02-11-2011	12-20-2012	1	AMEX CONSUMER STAPLES SELECT F	29 57		35 73		6 16
04-25-2011	12-20-2012	65	AMEX CONSUMER STAPLES SELECT F	2,003 95		2,322 14		318 19
10-04-2011	12-20-2012	16	AMEX CONSUMER STAPLES SELECT F	463 26		571 60		108 34
11-28-2012	12-31-2012	38	CARMAX INC CMN	1,348 01		1,425 35	77 34	
09-12-2012	12-31-2012	61	CHICOS FAS INC COM	1,166 08		1,126 19	(39 89)	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
02-15-2012	12-31-2012	68	COMCAST CORPORATION CMN CLASS A VOTING	1,961.23		2,534.30	573.07	
02-16-2012	12-31-2012	70	D R HORTON INC CMN	1,013.57		1,377.58	364.01	
12-04-2012	12-31-2012	18	FAMILY DOLLAR STORES INC	1,272.28		1,137.39	(134.89)	
12-05-2012	12-31-2012	34	FOOT LOCKER INC CMN	1,193.41		1,088.99	(104.42)	
09-12-2012	12-31-2012	30	GAP INC CMN	1,067.05		926.07	(140.98)	
12-06-2012	12-31-2012	75	HERTZ GLOBAL HOLDINGS INC CMN	1,201.12		1,217.22	16.09	
08-30-2012	12-31-2012	26	KOHL'S CORP	1,380.38		1,116.93	(263.45)	
11-08-2012	12-31-2012	76	NEWS CORP CL A	1,875.07		1,925.03	49.96	
12-20-2012	12-31-2012	44	PIER 1 IMPORTS INC (DELAWARE)	897.10		876.90	(20.20)	
11-15-2012	12-31-2012	33	STARBUCKS CORP CMN	1,604.72		1,765.13	160.41	
07-13-2012	12-31-2012	27	TARGET CORPORATION CMN	1,655.83		1,592.96	(62.87)	
01-22-2009	12-31-2012	37	THE HOME DEPOT INC CMN	807.71		2,282.47	1,474.76	
11-14-2012	12-31-2012	36	TIJ COMPANIES INC (NEW) CMN	1,511.38		1,524.20	12.82	
11-08-2012	12-31-2012	24	YUM BRANDS INC COM	1,716.47		1,591.16	(125.31)	
10-04-2011	12-31-2012	38	AMEX CONSUMER STAPLES SELECT F	1,100.23		1,319.90	219.67	
07-29-2011	12-31-2012	50	COCA-COLA COMPANY (THE) CMN	1,716.63		1,804.95	88.32	
02-08-2012	12-31-2012	45	CVS CAREMARK CORPORATION CMN	1,975.62		2,171.20	195.58	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Short Term	Long Term
08-29-2012	12-31-2012	42	GENERAL MILLS INC CMN	1,666 67		1,687 10	20 43
11-08-2012	12-31-2012	55	KROGER COMPANY CMN	1,357 06		1,426 11	69 05
12-20-2012	12-31-2012	69	MONDELEZ INTERNATIONAL INC CMN	1,796 07		1,747 04	(49 03)
10-04-2011	12-31-2012	23	PEPSICO INC CMN	1,375 40		1,567 87	192 47
10-25-2012	12-31-2012	37	PROCTER & GAMBLE COMPANY (THE) CMN	2,599 16		2,501 51	(97 65)
10-04-2011	12-31-2012	16	WAL MART STORES INC CMN	830 68		1,089 41	258 73
12-19-2012	12-31-2012	48	WALGREEN CO CMN	1,809 41		1,772 36	(37 05)
02-10-2012	12-31-2012	14	WHOLE FOODS MARKET INC CMN	1,144 73		1,273 55	128 82
02-27-2006	12-31-2012	10	CHEVRON CORPORATION CMN	572 35		1,076 17	503 82
08-23-2011	12-31-2012	3	CHEVRON CORPORATION CMN	290 25		322 85	32 60
09-14-2011	12-31-2012	14	CHEVRON CORPORATION CMN	1,352 06		1,506 65	154 59
12-19-2012	12-31-2012	18	CONOCOPHILLIPS CMN	1,070 29		1,039 29	(31 00)
01-11-2012	12-31-2012	11	EOG RESOURCES INC CMN	1,144 84		1,318 87	174 03
10-30-2003	12-31-2012	59	EXXON MOBIL CORPORATION CMN	2,162 94		5,076 84	2,913 90
11-15-2012	12-31-2012	19	HOLLYFRONTIER CORP CMN	796 96		887 09	90 13

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
02-08-2012	12-31-2012	26	NATIONAL OILWELL VARCO INC COMMON STOCK	2,136 53		1,772 12	(364 41)	
11-07-2012	12-31-2012	33	PHILLIPS 66 CMN	1,636 85		1,743 05	106 20	
08-22-2012	12-31-2012	45	VALERO ENERGY CORPORATION CMN	1,325 47		1,528 61	203 13	
09-10-2012	12-31-2012	52	AMERICAN INTL GROUP INC CMN	1,722 12		1,843 87	121 75	
09-11-2012	12-31-2012	263	BANK OF AMERICA CORP CMN	2,318 79		3,052 05	733 26	
01-11-2012	12-31-2012	25	BERKSHIRE HATHAWAY INC CLASS B	1,939 53		2,230 20	290 67	
11-13-2012	12-31-2012	29	CAPITAL ONE FINANCIAL CORP CMN	1,676 59		1,674 71	(1 88)	
08-03-2010	12-31-2012	16	CHUBB CORP CMN	848 67		1,199 17		350 50
10-25-2012	12-31-2012	72	CITIGROUP INC CMN	2,696 62		2,842 49	145 87	
11-07-2012	12-31-2012	18	GOLDMAN SACHS GROUP INC (THE) CMN	2,164 30		2,293 86	129 56	
02-17-2009	12-31-2012	55	JPMORGAN CHASE & CO CMN	1,231 30		2,409 49		1,178 19
07-25-2012	12-31-2012	236	REGIONS FINANCIAL CORPORATION CMN	1,623 25		1,667 30	44 05	
08-22-2012	12-31-2012	51	SUNTRUST BANKS INC \$1 00 PAR CMN	1,284 46		1,441 22	156 76	
07-29-2011	12-31-2012	62	U S BANCORP CMN	1,627 53		1,973 72		346 19
01-13-2012	12-31-2012	87	WELLS FARGO & CO (NEW) CMN	2,525 86		2,960 55	434 69	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Proceeds	Short Term Long Term
08-30-2012	12-31-2012	13	CANADIAN PACIFIC RAILWAY LTD C	1,072 64		1,326 62	253 98
11-16-2010	12-31-2012	22	CATERPILLAR INC (DELAWARE) CMN	1,775 42		1,972 62	197 20
01-30-2012	12-31-2012	119	GENERAL ELECTRIC CO CMN	2,239 78		2,482 88	243 10
07-25-2012	12-31-2012	28	HONEYWELL INTL INC CMN	1,602 15		1,771 24	169 09
08-28-2012	12-31-2012	28	ILLINOIS TOOL WORKS CMN	1,663 82		1,699 56	35 74
01-09-2012	12-31-2012	17	UNION PACIFIC CORP CMN	1,857 32		2,132 26	274 94
04-16-2009	12-31-2012	3	UNITED TECHNOLOGIES CORP CMN	142 07		246 02	103 95
08-23-2011	12-31-2012	4	UNITED TECHNOLOGIES CORP CMN	278 04		328 03	49 99
10-04-2011	12-31-2012	4	UNITED TECHNOLOGIES CORP CMN	271 50		328 03	56 53
08-30-2012	12-31-2012	52	WEYERHAEUSER COMPANY CMN	1,291 29		1,437 76	146 47
08-23-2011	12-31-2012	2	APPLE INC CMN	743 84		1,067 53	323 69
10-04-2011	12-31-2012	10	APPLE INC CMN	3,639 72		5,337 68	1,697 95
12-21-2011	12-31-2012	107	CISCO SYSTEMS INC CMN	1,944 35		2,107 85	163 50
06-14-2012	12-31-2012	39	EBAY INC CMN	1,563 56		1,990 90	427 34
08-21-2012	12-31-2012	4	GOOGLE INC CMN CLASS A	2,662 51		2,835 01	172 50
11-06-2012	12-31-2012	43	INTEL CORPORATION CMN	940 74		885 35	(55 39)

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Short Term	Long Term
10-04-2011	12-31-2012	9	INTL BUSINESS MACHINES CORP CMN	1,539 20		1,723 05	183 85
10-04-2012	12-31-2012	4	MASTERCARD INCORPORATED CMN CLASS A	1,892 37		1,964 51	72 14
12-14-2012	12-31-2012	43	MAXIM INTEGRATED PRODUCTS INC	1,279 56		1,261 16	(18 40)
11-16-2010	12-31-2012	47	MICROSOFT CORPORATION CMN	1,213 69		1,254 17	40 48
04-25-2011	12-31-2012	9	MICROSOFT CORPORATION CMN	229 95		240 16	10 21
08-23-2011	12-31-2012	5	MICROSOFT CORPORATION CMN	123 32		133 42	10 09
11-12-2012	12-31-2012	26	MOTOROLA SOLUTIONS INC CMN	1,391 01		1,446 60	55 59
07-13-2012	12-31-2012	13	ORACLE CORPORATION CMN	382 79		434 06	51 27
01-13-2011	12-31-2012	35	QUALCOMM INC CMN	1,815 42		2,172 75	357 32
11-28-2012	12-31-2012	22	TRIMBLE NAVIGATION LTD CMN	1,206 35		1,316 01	109 66
07-28-2011	12-31-2012	16	VISA INC CMN CLASS A	1,415 61		2,415 78	1,000 17
11-07-2012	12-31-2012	83	YAHOO INC CMN	1,449 54		1,654 15	204 61
12-07-2012	12-31-2012	15	ASHLAND INC CMN	1,143 42		1,204 32	60 90
09-30-2011	12-31-2012	30	BALL CORPORATION CMN	945 31		1,339 16	393 84
11-07-2012	12-31-2012	21	EASTMAN CHEM CO CMN	1,262 84		1,428 17	165 33
12-20-2012	12-31-2012	36	INTERNATIONAL PAPER CO CMN	1,426 72		1,432 04	5 32

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
12-11-2012	12-31-2012	27	LYONDELLBASELL INDUSTRIES N V CMN CLASS A	1,462 67		1,538 15	75 48	
01-12-2012	12-31-2012	20	MONSANTO COMPANY CMN	1,615 63		1,890 55	274 92	
12-12-2012	12-31-2012	15	WESTLAKE CHEMICAL CORPORATION CMN	1,157 29		1,196 37	39 08	
07-24-2012	12-31-2012	47	AT&T INC CMN	1,626 89		1,581 28	(45 61)	
07-24-2012	12-31-2012	20	VERIZON COMMUNICATIONS INC CMN	876 11		861 78	(14 33)	
11-06-2012	12-31-2012	9	VERIZON COMMUNICATIONS INC CMN	399 69		387 80	(11 89)	
08-30-2012	01-03-2013	97	KOHL'S CORP	5,149 89		4,099 35	(1,050 54)	
12-04-2012	01-03-2013	69	FAMILY DOLLAR STORES INC	4,877 06		3,895 07	(981 99)	
02-10-2012	01-08-2013	53	WHOLE FOODS MARKET INC CMN	4,333 62		4,634 73	301 11	
10-04-2011	01-10-2013	5	APPLE INC CMN	1,819 86		2,593 86	774 00	
07-29-2011	01-10-2013	26	COCA-COLA COMPANY (THE) CMN	892 65		958 41	65 76	
10-04-2011	01-10-2013	27	COCA-COLA COMPANY (THE) CMN	864 94		995 28	130 33	
11-06-2012	01-17-2013	106	VERIZON COMMUNICATIONS INC CMN	4,707 48		4,473 34	(234 14)	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Short Term	Long Term
10-04-2011	01-25-2013	9	APPLE INC CMN	3,275 75		4,010 96	735 21
09-10-2012	01-25-2013	2	AMERICAN INTL GROUP INC CMN	66 24		73 10	6 86
09-11-2012	01-25-2013	42	AMERICAN INTL GROUP INC CMN	1,372 36		1,535 08	162 72
12-07-2012	01-25-2013	14	ASHLAND INC CMN	1,067 19		1,206 47	139 28
09-11-2012	01-25-2013	221	BANK OF AMERICA CORP CMN	1,948 49		2,554 50	606 01
09-30-2011	01-25-2013	5	BALL CORPORATION CMN	157 55		232 48	74 93
10-04-2011	01-25-2013	21	BALL CORPORATION CMN	641 09		976 40	335 31
01-11-2012	01-25-2013	22	BERKSHIRE HATHAWAY INC CLASS B	1,706 79		2,139 97	433 18
10-25-2012	01-25-2013	61	CITIGROUP INC CMN	2,284 63		2,609 03	324 40
11-16-2010	01-25-2013	4	CATERPILLAR INC (DELAWARE) CMN	322 80		380 14	57 34
11-19-2010	01-25-2013	14	CATERPILLAR INC (DELAWARE) CMN	1,168 12		1,330 49	162 37
08-03-2010	01-25-2013	14	CHUBB CORP CMN	742 59		1,130 78	388 19
09-12-2012	01-25-2013	52	CHICOS FAS INC COM	994 03		937 56	(56 47)
01-04-2013	01-25-2013	13	CONTINENTAL RESOURCES INC CMN	1,003 80		1,071 00	67 20
02-15-2012	01-25-2013	60	COMCAST CORPORATION CMN CLASS A VOTING	1,730 50		2,377 74	647 24
11-13-2012	01-25-2013	24	CAPITAL ONE FINANCIAL CORP CMN	1,387 52		1,350 74	(36 78)
12-19-2012	01-25-2013	15	CONOCOPHILLIPS CMN	891 91		914 25	22 34

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-30-2012	01-25-2013	11	CANADIAN PACIFIC RAILWAY LTD C	907 62		1,229 61	321 99	
12-21-2011	01-25-2013	87	CISCO SYSTEMS INC CMN	1,580 92		1,838 99		258 07
02-08-2012	01-25-2013	38	CVS CAREMARK CORPORATION CMN	1,668 30		1,967 19	298 89	
09-14-2011	01-25-2013	22	CHEVRON CORPORATION CMN	2,124 66		2,548 57		423 91
02-16-2012	01-25-2013	59	D R HORTON INC CMN	854 29		1,281 50	427 21	
06-14-2012	01-25-2013	32	EBAY INC CMN	1,282 92		1,803 07	520 15	
11-07-2012	01-25-2013	19	EASTMAN CHEM CO CMN	1,142 57		1,361 99	219 42	
01-11-2012	01-25-2013	9	EOG RESOURCES INC CMN	936 69		1,125 46		188 77
01-10-2013	01-25-2013	83	FORD MOTOR COMPANY CMN	1,144 44		1,132 40	(12 04)	
01-17-2013	01-25-2013	77	FIFTH THIRD BANCORP CMN	1,249 71		1,256 01	6 30	
12-05-2012	01-25-2013	29	FOOT LOCKER INC CMN	1,017 91		990 81	(27 10)	
01-30-2012	01-25-2013	80	GENERAL ELECTRIC CO CMN	1,505 74		1,775 63	269 89	
08-21-2012	01-25-2013	17	GENERAL ELECTRIC CO CMN	356 88		377 32	20 44	
08-29-2012	01-25-2013	37	GENERAL MILLS INC CMN	1,468 25		1,542 51	74 26	
08-29-2012	01-25-2013	3	GOOGLE INC CMN CLASS A	2,059 82		2,265 47	205 65	
09-12-2012	01-25-2013	25	GAP INC CMN	889 21		835 98	(53 23)	
11-07-2012	01-25-2013	15	GOLDMAN SACHS GROUP INC (THE) CMN	1,803 58		2,167 87	364 29	
01-22-2009	01-25-2013	31	THE HOME DEPOT INC CMN	676 73		2,102 29		1,425 56

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Proceeds	Short Term Long Term
11-15-2012	01-25-2013	17	HOLLYFRONTIER CORP CMN	713 07		800 06	86 99
07-25-2012	01-25-2013	25	HONEYWELL INTL INC CMN	1,430 49		1,705 27	274 78
12-06-2012	01-25-2013	64	HERTZ GLOBAL HOLDINGS INC CMN	1,024 96		1,157 00	132 04
10-04-2011	01-25-2013	6	INTL BUSINESS MACHINES CORP CMN	1,026 13		1,228 28	202 15
11-06-2012	01-25-2013	34	INTEL CORPORATION CMN	743 84		711 99	(31 85)
12-20-2012	01-25-2013	30	INTERNATIONAL PAPER CO CMN	1,188 93		1,271 81	82 88
08-28-2012	01-25-2013	25	ILLINOIS TOOL WORKS CMN	1,485 56		1,622 50	136 94
02-17-2009	01-25-2013	18	JPMORGAN CHASE & CO CMN	402 97		845 98	443 01
08-23-2011	01-25-2013	16	JPMORGAN CHASE & CO CMN	551 20		751 98	200 78
10-04-2011	01-25-2013	11	JPMORGAN CHASE & CO CMN	317 67		516 99	199 32
11-28-2012	01-25-2013	33	CARMAX INC CMN	1,170 64		1,306 73	136 09
10-04-2011	01-25-2013	31	COCA-COLA COMPANY (THE) CMN	993 08		1,145 30	152 21
11-08-2012	01-25-2013	46	KROGER COMPANY CMN	1,135 00		1,278 81	143 81
12-11-2012	01-25-2013	23	LYONDELLBASELL INDUSTRIES N V CMN CLASS A	1,245 98		1,435 53	189 55

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
10-04-2012	01-25-2013	3	MASTERCARD INCORPORATED CMN CLASS A	1,419 28		1,558 47	139 19	
12-20-2012	01-25-2013	57	MONDELEZ INTERNATIONAL INC CMN	1,483 71		1,579 86	96 15	
01-12-2012	01-25-2013	16	MONSANTO COMPANY CMN	1,292 50		1,652 80		360 30
08-23-2011	01-25-2013	13	MICROSOFT CORPORATION CMN	320 64		361 99		41 34
10-04-2011	01-25-2013	36	MICROSOFT CORPORATION CMN	888 37		1,002 45		114 08
11-12-2012	01-25-2013	21	MOTOROLA SOLUTIONS INC CMN	1,123 51		1,231 67	108 16	
12-14-2012	01-25-2013	35	MAXIM INTEGRATED PRODUCTS INC	1,041 51		1,108 19	66 68	
02-08-2012	01-25-2013	22	NATIONAL OILWELL VARCO INC COMMON STOCK	1,807 83		1,629 03	(178 80)	
11-08-2012	01-25-2013	63	NEWS CORP CL A	1,554 34		1,710 30	155 96	
01-04-2013	01-25-2013	31	OASIS PETROLEUM INC CMN	1,025 88		1,110 82	84 94	
07-13-2012	01-25-2013	91	ORACLE CORPORATION CMN	2,679 51		3,211 88	532 37	
10-04-2011	01-25-2013	19	PEPSICO INC CMN	1,136 20		1,375 41	239 21	
10-25-2012	01-25-2013	31	PROCTER & GAMBLE COMPANY (THE) CMN	2,177 68		2,257 58	79 90	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
12-20-2012	01-25-2013	36	PIER 1 IMPORTS INC (DELAWARE)	733 99		794 63	60 64	
12-31-2012	01-25-2013	23	PERKINELMER INC CMN	727 69		817 63	89 94	
11-07-2012	01-25-2013	28	PHILLIPS 66 CMN	1,388 84		1,585 70	196 86	
01-13-2011	01-25-2013	14	QUALCOMM INC CMN	726 17		888 30		162 13
10-04-2011	01-25-2013	15	QUALCOMM INC CMN	719 67		951 76		232 09
07-25-2012	01-25-2013	204	REGIONS FINANCIAL CORPORATION CMN	1,403 15		1,562 54	159 39	
11-15-2012	01-25-2013	29	STARBUCKS CORP CMN	1,410 21		1,650 70	240 49	
08-22-2012	01-25-2013	33	SUNTRUST BANKS INC \$1 00 PAR CMN	831 12		965 84	134 72	
09-05-2012	01-25-2013	11	SUNTRUST BANKS INC \$1 00 PAR CMN	279 86		321 95	42 09	
07-24-2012	01-25-2013	39	AT&T INC CMN	1,349 97		1,323 66	(26 31)	
07-13-2012	01-25-2013	23	TARGET CORPORATION CMN	1,410 53		1,414 98	4 45	
11-14-2012	01-25-2013	30	TJX COMPANIES INC (NEW) CMN	1,259 49		1,353 91	94 42	
12-31-2012	01-25-2013	21	THERMO FISHER SCIENTIFIC INC CMN	1,339 11		1,490 29	151 18	
11-28-2012	01-25-2013	18	TRIMBLE NAVIGATION LTD CMN	987 01		1,146 44	159 43	
01-09-2012	01-25-2013	14	UNION PACIFIC CORP CMN	1,529 56		1,889 02	359 46	
07-29-2011	01-25-2013	53	U S BANCORP CMN	1,391 27		1,754 84	363 57	
10-04-2011	01-25-2013	9	UNITED TECHNOLOGIES CORP CMN	610 88		807 48	196 60	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
07-28-2011	01-25-2013	13	VISA INC CMN CLASS A	1,150 18		2,072 31		922 13
08-22-2012	01-25-2013	38	VALERO ENERGY CORPORATION CMN	1,119 29		1,444 08	324 79	
12-19-2012	01-25-2013	39	WALGREEN CO CMN	1,470 14		1,545 70	75 56	
01-13-2012	01-25-2013	20	WELLS FARGO & CO (NEW) CMN	580 66		701 11		120 45
02-10-2012	01-25-2013	52	WELLS FARGO & CO (NEW) CMN	1,574 33		1,822 88	248 55	
12-12-2012	01-25-2013	14	WESTLAKE CHEMICAL CORPORATION CMN	1,080 14		1,228 47	148 33	
10-04-2011	01-25-2013	12	WAL MART STORES INC CMN	623 01		829 31		206 30
08-30-2012	01-25-2013	44	WEYERHAEUSER COMPANY CMN	1,092 63		1,360 00	267 37	
10-04-2011	01-25-2013	32	AMEX CONSUMER STAPLES SELECT F	926 51		1,172 13		245 62
10-30-2003	01-25-2013	49	EXXON MOBIL CORPORATION CMN	1,796 34		4,477 73		2,681 39
11-07-2012	01-25-2013	70	YAHOO INC CMN	1,222 50		1,422 94	200 44	
11-08-2012	01-25-2013	20	YUM BRANDS INC COM	1,430 39		1,297 15	(133 24)	
11-08-2012	01-30-2013	69	YUM BRANDS INC COM	4,934 85		4,375 13	(559 72)	
09-12-2012	01-30-2013	53	CHICOS FAS INC COM	1,013 15		931 13	(82 02)	
11-07-2012	01-30-2013	128	CHICOS FAS INC COM	2,483 99		2,248 78	(235 21)	
01-18-2012	01-31-2013	1	APPLE INC CMN	427 45		455 21		27 76
09-11-2012	01-31-2013	6	AMERICAN INTL GROUP INC CMN	196 05		226 31	30 26	
12-07-2012	01-31-2013	1	ASHLAND INC CMN	76 23		78 37	2 14	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
09-11-2012	01-31-2013	29	BANK OF AMERICA CORP CMN	255 68		325 81	70 13	
10-04-2011	01-31-2013	3	BALL CORPORATION CMN	91 58		133 43	41 85	
01-11-2012	01-31-2013	2	BERKSHIRE HATHAWAY INC CLASS B	155 16		193 27	38 11	
10-25-2012	01-31-2013	8	CITIGROUP INC CMN	299 62		336 43	36 81	
11-19-2010	01-31-2013	2	CATERPILLAR INC (DELAWARE) CMN	166 87		196 83	29 96	
08-03-2010	01-31-2013	2	CHUBB CORP CMN	106 08		161 37	55 29	
01-04-2013	01-31-2013	2	CONTINENTAL RESOURCES INC CMN	154 43		166 31	11 88	
02-15-2012	01-31-2013	8	COMCAST CORPORATION CMN CLASS A VOTING	230 73		303 95	73 22	
11-13-2012	01-31-2013	4	CAPITAL ONE FINANCIAL CORP CMN	231 25		225 03	(6 22)	
12-19-2012	01-31-2013	2	CONOCOPHILLIPS CMN	118 92		115 97	(2 95)	
08-30-2012	01-31-2013	1	CANADIAN PACIFIC RAILWAY LTD C	82 51		115 85	33 34	
12-21-2011	01-31-2013	12	CISCO SYSTEMS INC CMN	218 06		246 65	28 59	
02-08-2012	01-31-2013	5	CVS CAREMARK CORPORATION CMN	219 51		256 14	36 63	
09-14-2011	01-31-2013	3	CHEVRON CORPORATION CMN	289 73		348 02	58 29	
02-16-2012	01-31-2013	8	D R HORTON INC CMN	115 84		187 75	71 91	
06-14-2012	01-31-2013	4	EBAY INC CMN	160 36		223 71	63 35	
11-07-2012	01-31-2013	2	EASTMAN CHEM CO CMN	120 27		142 39	22 12	
01-11-2012	01-31-2013	1	EOG RESOURCES INC CMN	104 08		125 05	20 97	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
01-10-2013	01-31-2013	11	FORD MOTOR COMPANY CMN	151 67		141 81	(9 86)	
01-17-2013	01-31-2013	10	FIFTH THIRD BANCORP CMN	162 30		162 24	(0 06)	
12-05-2012	01-31-2013	4	FOOT LOCKER INC CMN	140 40		137 35	(3 05)	
08-21-2012	01-31-2013	13	GENERAL ELECTRIC CO CMN	272 91		289 24	16 33	
08-29-2012	01-31-2013	5	GENERAL MILLS INC CMN	198 41		209 59	11 18	
08-29-2012	01-31-2013	1	GOOGLE INC CMN CLASS A	686 61		756 48	69 87	
09-12-2012	01-31-2013	3	GAP INC CMN	106 70		97 94	(8 76)	
11-07-2012	01-31-2013	2	GOLDMAN SACHS GROUP INC (THE) CMN	240 48		294 91	54 43	
01-22-2009	01-31-2013	4	THE HOME DEPOT INC CMN	87 32		267 87		180 55
11-15-2012	01-31-2013	2	HOLLYFRONTIER CORP CMN	83 89		104 43	20 54	
07-25-2012	01-31-2013	3	HONEYWELL INTL INC CMN	171 66		204 74	33 08	
12-06-2012	01-31-2013	8	HERTZ GLOBAL HOLDINGS INC CMN	128 12		145 87	17 75	
10-04-2011	01-31-2013	1	INTL BUSINESS MACHINES CORP CMN	171 02		203 06		32 04
11-06-2012	01-31-2013	5	INTEL CORPORATION CMN	109 39		105 04	(4 35)	
12-20-2012	01-31-2013	4	INTERNATIONAL PAPER CO CMN	158 52		165 79	7 27	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Short Term	Long Term
08-28-2012	01-31-2013	3	ILLINOIS TOOL WORKS CMN	178 27		188 72	10 45
10-04-2011	01-31-2013	6	JPMORGAN CHASE & CO CMN	173 28		282 26	108 98
11-28-2012	01-31-2013	4	CARMAX INC CMN	141 90		157 63	15 73
10-04-2011	01-31-2013	4	COCA-COLA COMPANY (THE) CMN	128 14		148 63	20 49
11-08-2012	01-31-2013	6	KROGER COMPANY CMN	148 04		165 92	17 88
12-11-2012	01-31-2013	3	LYONDELLBASELL INDUSTRIES N V CMN CLASS A	162 52		190 01	27 49
10-04-2012	01-31-2013	1	MASTERCARD INCORPORATED CMN CLASS A	473 09		515 39	42 30
12-20-2012	01-31-2013	7	MONDELEZ INTERNATIONAL INC CMN	182 21		194 52	12 31
01-12-2012	01-31-2013	2	MONSANTO COMPANY CMN	161 56		202 97	41 41
10-04-2011	01-31-2013	6	MICROSOFT CORPORATION CMN	148 06		164 42	16 36
11-12-2012	01-31-2013	3	MOTOROLA SOLUTIONS INC CMN	160 50		175 13	14 63
12-14-2012	01-31-2013	4	MAXIM INTEGRATED PRODUCTS INC	119 03		125 49	6 46

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
02-08-2012	01-31-2013	2	NATIONAL OILWELL VARCO INC COMMON STOCK	164 35		148 41	(15 94)	
11-08-2012	01-31-2013	8	NEWS CORP CL A	197 38		221 35	23 97	
01-04-2013	01-31-2013	4	OASIS PETROLEUM INC CMN	132 37		143 31	10 94	
07-13-2012	01-31-2013	12	ORACLE CORPORATION CMN	353 34		424 82	71 48	
10-04-2011	01-31-2013	3	PEPSICO INC CMN	179 40		218 24	38 84	
10-25-2012	01-31-2013	4	PROCTER & GAMBLE COMPANY (THE) CMN	280 99		299 75	18 76	
12-20-2012	01-31-2013	5	PIER 1 IMPORTS INC (DELAWARE)	101 94		108 19	6 25	
11-07-2012	01-31-2013	3	PHILLIPS 66 CMN	148 80		182 15	33 35	
10-04-2011	01-31-2013	4	QUALCOMM INC CMN	191 91		264 19	72 28	
07-25-2012	01-31-2013	26	REGIONS FINANCIAL CORPORATION CMN	178 83		201 10	22 27	
11-15-2012	01-31-2013	4	STARBUCKS CORP CMN	194 51		224 51	30 00	
09-05-2012	01-31-2013	5	SUNTRUST BANKS INC \$1 00 PAR CMN	127 21		141 59	14 38	
07-24-2012	01-31-2013	5	AT&T INC CMN	173 07		173 92	0 85	
07-13-2012	01-31-2013	3	TARGET CORPORATION CMN	183 98		181 34	(2 64)	
11-14-2012	01-31-2013	4	TX COMPANIES INC (NEW) CMN	167 93		181 07	13 14	
11-28-2012	01-31-2013	2	TRIMBLE NAVIGATION LTD CMN	109 67		124 81	15 14	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
01-09-2012	01-31-2013	2	UNION PACIFIC CORP CMN	218 51		263 11		44 60
07-29-2011	01-31-2013	2	U S BANCORP CMN	52 50		66 19		13 69
10-04-2011	01-31-2013	5	U S BANCORP CMN	110 72		165 47		54 75
10-04-2011	01-31-2013	1	UNITED TECHNOLOGIES CORP CMN	67 88		87 88		20 00
07-28-2011	01-31-2013	2	VISA INC CMN CLASS A	176 95		315 65		138 70
08-22-2012	01-31-2013	5	VALERO ENERGY CORPORATION CMN	147 27		218 54	71 26	
12-19-2012	01-31-2013	5	WALGREEN CO CMN	188 48		199 29		10 81
02-10-2012	01-31-2013	10	WELLS FARGO & CO (NEW) CMN	302 76		347 59		44 83
12-12-2012	01-31-2013	1	WESTLAKE CHEMICAL CORPORATION CMN	77 15		91 81	14 66	
10-04-2011	01-31-2013	2	WAL MART STORES INC CMN	103 84		139 81		35 97
08-30-2012	01-31-2013	6	WEYERHAEUSER COMPANY CMN	148 99		180 29	31 30	
10-04-2011	01-31-2013	7	AMEX CONSUMER STAPLES SELECT F	202 67		257 84		55 17
10-30-2003	01-31-2013	7	EXXON MOBIL CORPORATION CMN	256 62		628 23		371 61
11-07-2012	01-31-2013	9	YAHOO INC CMN	157 18		176 12	18 94	
01-18-2012	03-11-2013	1	APPLE INC CMN	427 45		431 94		4 49
09-11-2012	03-11-2013	6	AMERICAN INTL GROUP INC CMN	196 05		236 21	40 16	
12-07-2012	03-11-2013	2	ASHLAND INC CMN	152 46		161 59	9 13	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
09-11-2012	03-11-2013	33	BANK OF AMERICA CORP CMN	290 95		400 28	109 33	
10-04-2011	03-11-2013	3	BALL CORPORATION CMN	91 58		134 87		43 29
07-25-2012	03-11-2013	1	BALL CORPORATION CMN	40 56		44 96	4 40	
01-11-2012	03-11-2013	4	BERKSHIRE HATHAWAY INC CLASS B	310 32		415 67		105 35
10-25-2012	03-11-2013	10	CITIGROUP INC CMN	374 53		476 78	102 25	
11-19-2010	03-11-2013	3	CATERPILLAR INC (DELAWARE) CMN	250 31		273 14		22 83
08-03-2010	03-11-2013	2	CHUBB CORP CMN	106 08		171 03		64 95
01-04-2013	03-11-2013	2	CONTINENTAL RESOURCES INC CMN	154 43		180 89	26 46	
02-15-2012	03-11-2013	9	COMCAST CORPORATION CMN CLASS A VOTING	259 57		369 26		109 69
11-13-2012	03-11-2013	3	CAPITAL ONE FINANCIAL CORP CMN	173 44		164 60	(8 84)	
12-19-2012	03-11-2013	2	CONOCOPHILLIPS CMN	118 92		116 97	(1 95)	
08-30-2012	03-11-2013	2	CANADIAN PACIFIC RAILWAY LTD C	165 02		254 47	89 45	
12-21-2011	03-11-2013	13	CISCO SYSTEMS INC CMN	236 23		283 26		47 03
02-08-2012	03-11-2013	6	CVS CAREMARK CORPORATION CMN	263 42		314 81		51 39
09-14-2011	03-11-2013	4	CHEVRON CORPORATION CMN	386 30		474 14		87 84
02-16-2012	03-11-2013	9	D R HORTON INC CMN	130 32		216 08		85 76
06-14-2012	03-11-2013	5	EBAY INC CMN	200 46		264 69	64 23	
11-07-2012	03-11-2013	3	EASTMAN CHEM CO CMN	180 41		217 52	37 11	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
01-11-2012	03-11-2013	2	EOG RESOURCES INC CMN	208 15		257 41		49 26
01-10-2013	03-11-2013	12	FORD MOTOR COMPANY CMN	165 46		160 07	(5 39)	
01-17-2013	03-11-2013	11	FIFTH THIRD BANCORP CMN	178 53		179 18	0 65	
12-05-2012	03-11-2013	4	FOOT LOCKER INC CMN	140 40		129 31	(11 09)	
08-21-2012	03-11-2013	14	GENERAL ELECTRIC CO CMN	293 90		329 69	35 79	
08-29-2012	03-11-2013	5	GENERAL MILLS INC CMN	198 41		228 64	30 23	
09-12-2012	03-11-2013	4	GAP INC CMN	142 27		145 15	2 88	
11-07-2012	03-11-2013	2	GOLDMAN SACHS GROUP INC (THE) CMN	240 48		307 55	67 07	
01-22-2009	03-11-2013	5	THE HOME DEPOT INC CMN	109 15		355 89		246 74
11-15-2012	03-11-2013	2	HOLLYFRONTIER CORP CMN	83 89		109 77	25 88	
07-25-2012	03-11-2013	4	HONEYWELL INTL INC CMN	228 88		295 35	66 47	
12-06-2012	03-11-2013	9	HERTZ GLOBAL HOLDINGS INC CMN	144 13		190 70	46 56	
10-04-2011	03-11-2013	1	INTL BUSINESS MACHINES CORP CMN	171 02		209 80		38 78
11-06-2012	03-11-2013	5	INTEL CORPORATION CMN	109 39		108 24	(1 15)	
12-20-2012	03-11-2013	4	INTERNATIONAL PAPER CO CMN	158 52		188 59	30 07	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-28-2012	03-11-2013	4	ILLINOIS TOOL WORKS CMN	237 69		250 03	12 34	
10-04-2011	03-11-2013	7	JPMORGAN CHASE & CO CMN	202 15		354 05		151 90
11-28-2012	03-11-2013	5	CARMAX INC CMN	177 37		206 14	28 77	
10-04-2011	03-11-2013	4	COCA-COLA COMPANY (THE) CMN	128 14		156 63		28 49
11-08-2012	03-11-2013	7	KROGER COMPANY CMN	172 72		215 38	42 66	
12-11-2012	03-11-2013	4	LYONDELLBASELL INDUSTRIES N V CMN	216 69		254 59	37 90	
12-20-2012	03-11-2013	8	MONDELEZ INTERNATIONAL INC CMN	208 24		227 67	19 43	
01-12-2012	03-11-2013	3	MONSANTO COMPANY CMN	242 34		311 81	69 47	
10-04-2011	03-11-2013	7	MICROSOFT CORPORATION CMN	172 74		194 38	21 64	
11-12-2012	03-11-2013	3	MOTOROLA SOLUTIONS INC CMN	160 50		187 88	27 38	
12-14-2012	03-11-2013	5	MAXIM INTEGRATED PRODUCTS INC	148 79		160 49	11 70	
02-08-2012	03-11-2013	4	NATIONAL OILWELL VARCO INC COMMON STOCK	328 70		269 23	(59 47)	
11-08-2012	03-11-2013	10	NEWS CORP CL A	246 72		306 09	59 37	
01-04-2013	03-11-2013	5	OASIS PETROLEUM INC CMN	165 46		191 69	26 23	

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THIS REPORT IS PROVIDED TO ASSIST YOU IN YOUR MANAGEMENT OF YOUR PORTFOLIO. THIS REPORT CONTAINS INFORMATION PROVIDED BY YOU AND THIRD PARTIES WHICH MAY NOT BE COMPLETE OR ACCURATE. THIS DOCUMENT HAS BEEN PREPARED FOR INFORMATIONAL PURPOSES ONLY AND IS NOT YOUR OFFICIAL MONTHLY STATEMENT. TO THE EXTENT THAT THERE ARE ANY DISCREPANCIES BETWEEN THE STATEMENT YOU RECEIVE FROM YOUR ACCOUNT CUSTODIAN AND THIS DOCUMENT, THE TERMS OF THE MONTHLY STATEMENT WILL CONTROL. PRICES SHOWN ABOVE DO NOT NECESSARILY REFLECT THE VALUE THAT COULD BE REALIZED UPON SALE. SECURITIES POSITIONS REPORTED AS "HERESAY" ARE NOT HELD AT THE CUSTODIAN AND WE HAVE NOT VERIFIED THEIR EXISTENCE OR VALUATION. THE INFORMATION CONTAINED IN THIS REPORT DOES NOT CONSTITUTE TAX ADVICE. YOU SHOULD CONSULT WITH YOUR TAX ADVISOR IN CONNECTION WITH INVESTMENT TAX MATTERS. PLEASE NOTIFY AVALON ADVISORS IF YOU HAVE ANY QUESTIONS REGARDING THE INFORMATION IN THIS PORTFOLIO ANALYSIS PREPARED BY AVALON ADVISORS, LLC.

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Short Term	Long Term
07-13-2012	03-11-2013	14	ORACLE CORPORATION CMN	412 23		501 60	89 37
10-04-2011	03-11-2013	2	PEPSICO INC CMN	119 60		153 63	34 03
10-25-2012	03-11-2013	5	PROCTER & GAMBLE COMPANY (THE) CMN	351 24		386 32	35 08
12-20-2012	03-11-2013	5	PIER 1 IMPORTS INC (DELAWARE)	101 94		113 59	11 65
12-31-2012	03-11-2013	6	PERKINELMER INC CMN	189 83		214 37	24 54
11-07-2012	03-11-2013	4	PHILLIPS 66 CMN	198 41		263 35	64 94
10-04-2011	03-11-2013	4	QUALCOMM INC CMN	191 91		266 35	74 44
07-25-2012	03-11-2013	31	REGIONS FINANCIAL CORPORATION CMN	213 22		256 83	43 61
11-15-2012	03-11-2013	4	STARBUCKS CORP CMN	194 51		234 51	40 00
09-05-2012	03-11-2013	7	SUNTRUST BANKS INC \$1 00 PAR CMN	178 09		208 31	30 22
07-24-2012	03-11-2013	6	AT&T INC CMN	207 69		218 69	11 00
07-13-2012	03-11-2013	3	TARGET CORPORATION CMN	183 98		201 62	17 64
11-14-2012	03-11-2013	4	TJX COMPANIES INC (NEW) CMN	167 93		179 39	11 46
12-31-2012	03-11-2013	5	THERMO FISHER SCIENTIFIC INC CMN	318 84		384 44	65 60
11-28-2012	03-11-2013	3	TRIMBLE NAVIGATION LTD CMN	164 50		182 60	18 10
01-09-2012	03-11-2013	2	UNION PACIFIC CORP CMN	218 51		279 59	61 08
10-04-2011	03-11-2013	8	U S BANCORP CMN	177 15		274 95	97 80

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Short Term	Long Term
10-04-2011	03-11-2013	1	UNITED TECHNOLOGIES CORP CMN	67 88		92 69	24 81
07-28-2011	03-11-2013	2	VISA INC CMN CLASS A	176 95		321 91	144 96
08-22-2012	03-11-2013	3	VALERO ENERGY CORPORATION CMN	88 36		133 07	44 70
08-28-2012	03-11-2013	3	VALERO ENERGY CORPORATION CMN	93 28		133 08	39 80
12-19-2012	03-11-2013	6	WALGREEN CO CMN	226 18		247 13	20 95
02-10-2012	03-11-2013	10	WELLS FARGO & CO (NEW) CMN	302 76		369 79	67 03
12-12-2012	03-11-2013	2	WESTLAKE CHEMICAL CORPORATION CMN	154 31		187 37	33 06
10-04-2011	03-11-2013	2	WAL MART STORES INC CMN	103 84		145 69	41 85
08-30-2012	03-11-2013	6	WEYERHAEUSER COMPANY CMN	148 99		182 33	33 34
10-04-2011	03-11-2013	5	AMEX CONSUMER STAPLES SELECT F	144 77		193 09	48 32
10-30-2003	03-11-2013	7	EXXON MOBIL CORPORATION CMN	256 62		625 01	368 39
11-07-2012	03-11-2013	11	YAHOO INC CMN	192 11		249 25	57 14
06-14-2012	05-06-2013	42	EBAY INC CMN	1,683 83		2,275 52	591 69
08-22-2012	05-06-2013	62	EBAY INC CMN	2,952 82		3,359 10	406 28
12-31-2012	05-07-2013	75	PERKINELMER INC CMN	2,372 91		2,320 61	(52 30)
11-28-2012	05-07-2013	116	TRIMBLE NAVIGATION LTD CMN	3,180 37		3,228 62	48 25
08-28-2012	05-13-2013	1	CST BRANDS INC CMN	13 46		17 34	3 88

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Short Term	Long Term
01-18-2012	06-17-2013	2	APPLE INC CMN	854 91		866 10	11 19
09-11-2012	06-17-2013	9	AMERICAN INTL GROUP INC CMN	294 08		404 90	110 82
12-07-2012	06-17-2013	3	ASHLAND INC CMN	228 68		259 25	30 57
09-11-2012	06-17-2013	43	BANK OF AMERICA CORP CMN	379 12		566 09	186 97
07-25-2012	06-17-2013	5	BALL CORPORATION CMN	202 79		215 99	13 20
01-11-2012	06-17-2013	4	BERKSHIRE HATHAWAY INC CLASS B	310 32		456 87	146 55
10-25-2012	06-17-2013	11	CITIGROUP INC CMN	411 98		543 61	131 63
11-19-2010	06-17-2013	4	CATERPILLAR INC (DELAWARE) CMN	333 75		335 55	1 80
08-03-2010	06-17-2013	3	CHUBB CORP CMN	159 13		262 01	102 88
01-04-2013	06-17-2013	2	CONTINENTAL RESOURCES INC CMN	154 43		174 71	20 28
02-15-2012	06-17-2013	11	COMCAST CORPORATION CMN CLASS A VOTING	317 26		439 66	122 40
11-13-2012	06-17-2013	5	CAPITAL ONE FINANCIAL CORP CMN	289 07		308 34	19 27
12-19-2012	06-17-2013	3	CONOCOPHILLIPS CMN	178 38		185 00	6 62
08-30-2012	06-17-2013	2	CANADIAN PACIFIC RAILWAY LTD C	165 02		245 43	80 41
12-21-2011	06-17-2013	16	CISCO SYSTEMS INC CMN	290 74		394 71	103 97
02-08-2012	06-17-2013	7	CVS CAREMARK CORPORATION CMN	307 32		412 99	105 67
09-14-2011	06-17-2013	4	CHEVRON CORPORATION CMN	386 30		483 99	97 69

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
02-16-2012	06-17-2013	11	D R HORTON INC CMN	159 27		266 63		107 36
11-07-2012	06-17-2013	3	EASTMAN CHEM CO CMN	180 41		216 08	35 67	
01-11-2012	06-17-2013	1	EOG RESOURCES INC CMN	104 08		132 99		28 91
01-10-2013	06-17-2013	16	FORD MOTOR COMPANY CMN	220 62		248 31	27 69	
01-17-2013	06-17-2013	15	FIFTH THIRD BANCORP CMN	243 45		273 59	30 14	
12-05-2012	06-17-2013	5	FOOT LOCKER INC CMN	175 50		173 29	(2 21)	
08-21-2012	06-17-2013	19	GENERAL ELECTRIC CO CMN	398 87		450 29	51 42	
08-29-2012	06-17-2013	7	GENERAL MILLS INC CMN	277 78		347 47	69 69	
09-12-2012	06-17-2013	5	GAP INC CMN	177 84		209 79	31 95	
11-07-2012	06-17-2013	3	GOLDMAN SACHS GROUP INC (THE) CMN	360 72		491 09	130 37	
01-22-2009	06-17-2013	1	THE HOME DEPOT INC CMN	21 83		76 01	54 18	
10-04-2011	06-17-2013	5	THE HOME DEPOT INC CMN	157 83		380 04	222 21	
11-15-2012	06-17-2013	3	HOLLYFRONTIER CORP CMN	125 84		133 88	8 04	
07-25-2012	06-17-2013	4	HONEYWELL INTL INC CMN	228 88		318 03	89 15	
12-06-2012	06-17-2013	12	HERTZ GLOBAL HOLDINGS INC CMN	192 18		294 71	102 53	
10-04-2011	06-17-2013	2	INTL BUSINESS MACHINES CORP CMN	342 04		407 33	65 29	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
11-06-2012	06-17-2013	7	INTEL CORPORATION CMN	153 14		175 80	22 66	
12-20-2012	06-17-2013	6	INTERNATIONAL PAPER CO CMN	237 79		276 53	38 74	
08-28-2012	06-17-2013	4	ILLINOIS TOOL WORKS CMN	237 69		282 43	44 74	
10-04-2011	06-17-2013	9	JPMORGAN CHASE & CO CMN	259 91		483 83		223 92
11-28-2012	06-17-2013	6	CARMAX INC CMN	212 84		281 39	68 55	
10-04-2011	06-17-2013	6	COCA-COLA COMPANY (THE) CMN	192 21		243 41		51 20
11-08-2012	06-17-2013	8	KROGER COMPANY CMN	197 39		280 55	83 16	
12-11-2012	06-17-2013	4	LYONDELLBASELL INDUSTRIES N V CMN CLASS A	216 69		272 15	55 46	
11-08-2012	06-17-2013	1	MASTERCARD INCORPORATED CMN CLASS A	463 07		577 33	114 26	
12-20-2012	06-17-2013	11	MONDELEZ INTERNATIONAL INC CMN	286 33		332 63	46 30	
01-12-2012	06-17-2013	3	MONSANTO COMPANY CMN	242 34		313 13		70 79
10-04-2011	06-17-2013	10	MICROSOFT CORPORATION CMN	246 77		349 49		102 72
11-12-2012	06-17-2013	4	MOTOROLA SOLUTIONS INC CMN	214 00		228 71	14 71	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
12-14-2012	06-17-2013	7	MAXIM INTEGRATED PRODUCTS INC	208 30		197 67	(10 63)	
02-08-2012	06-17-2013	4	NATIONAL OILWELL VARCO INC COMMON STOCK	328 70		281 27		(47 43)
11-08-2012	06-17-2013	12	NEWS CORP CL A	296 06		377 51	81 45	
01-04-2013	06-17-2013	6	OASIS PETROLEUM INC CMN	198 56		249 77	51 21	
07-13-2012	06-17-2013	18	ORACLE CORPORATION CMN	530 01		619 36	89 35	
10-04-2011	06-17-2013	4	PEPSICO INC CMN	239 20		329 35		90 15
10-25-2012	06-17-2013	6	PROCTER & GAMBLE COMPANY (THE) CMN	421 49		473 51	52 02	
12-20-2012	06-17-2013	7	PIER 1 IMPORTS INC (DELAWARE)	142 72		170 58	27 86	
11-07-2012	06-17-2013	6	PHILLIPS 66 CMN	297 61		385 43	87 82	
10-04-2011	06-17-2013	5	QUALCOMM INC CMN	239 89		310 09		70 20
07-25-2012	06-17-2013	39	REGIONS FINANCIAL CORPORATION CMN	268 25		348 46	80 21	
11-15-2012	06-17-2013	5	STARBUCKS CORP CMN	243 14		330 79	87 65	
09-05-2012	06-17-2013	8	SUNTRUST BANKS INC \$1 00 PAR CMN	203 53		249 99	46 46	
07-24-2012	06-17-2013	1	AT&T INC CMN	34 61		35 67	1 06	
11-06-2012	06-17-2013	6	AT&T INC CMN	208 54		214 01	5 47	
07-13-2012	06-17-2013	4	TARGET CORPORATION CMN	245 31		278 43	33 12	
11-14-2012	06-17-2013	6	TIJ COMPANIES INC (NEW) CMN	251 90		298 85	46 95	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
12-31-2012	06-17-2013	4	THERMO FISHER SCIENTIFIC INC CMN	255 07		342 47	87 40	
01-09-2012	06-17-2013	3	UNION PACIFIC CORP CMN	327 76		467 30		139 54
10-04-2011	06-17-2013	10	U S BANCORP CMN	221 44		351 39		129 95
10-04-2011	06-17-2013	2	UNITED TECHNOLOGIES CORP CMN	135 75		190 47		54 72
07-28-2011	06-17-2013	2	VISA INC CMN CLASS A	176 95		364 29		187 34
08-28-2012	06-17-2013	7	VALERO ENERGY CORPORATION CMN	198 82		264 80	65 98	
12-19-2012	06-17-2013	7	WALGREEN CO CMN	263 87		351 67	87 80	
02-10-2012	06-17-2013	14	WELLS FARGO & CO (NEW) CMN	423 86		565 87		142 01
12-12-2012	06-17-2013	3	WESTLAKE CHEMICAL CORPORATION CMN	231 46		290 51	59 05	
10-04-2011	06-17-2013	2	WAL MART STORES INC CMN	103 84		149 43		45 59
08-30-2012	06-17-2013	9	WEYERHAEUSER COMPANY CMN	223 49		259 10	35 61	
10-04-2011	06-17-2013	11	AMEX CONSUMER STAPLES SELECT F	318 49		450 11		131 62
10-30-2003	06-17-2013	5	EXXON MOBIL CORPORATION CMN	183 30		455 64		272 34
12-24-2003	06-17-2013	5	EXXON MOBIL CORPORATION CMN	199 80		455 64		255 84
11-07-2012	06-17-2013	13	YAHOO INC CMN	227 04		345 60	118 56	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
11-08-2012	06-28-2013	1	NEWS CORPORATION CMN SERIES CLASS A	8 79		11 52	2 73	
01-18-2012	07-23-2013	5	APPLE INC CMN	2,137 27		2,113 00		(24 27)
09-11-2012	07-23-2013	26	AMERICAN INTL GROUP INC CMN	849 56		1,213 75	364 19	
12-07-2012	07-23-2013	8	ASHLAND INC CMN	609 83		705 95	96 12	
09-11-2012	07-23-2013	132	BANK OF AMERICA CORP CMN	1,163 81		1,970 52	806 71	
07-25-2012	07-23-2013	15	BALL CORPORATION CMN	608 38		684 53	76 15	
01-11-2012	07-23-2013	12	BERKSHIRE HATHAWAY INC CLASS B	930 97		1,420 54		489 57
10-25-2012	07-23-2013	37	CITIGROUP INC CMN	1,385 76		1,954 54	568 78	
11-19-2010	07-23-2013	7	CATERPILLAR INC (DELAWARE) CMN	584 06		597 44	13 38	
08-23-2011	07-23-2013	4	CATERPILLAR INC (DELAWARE) CMN	329 56		341 40	11 84	
08-03-2010	07-23-2013	5	CHUBB CORP CMN	265 21		434 72	169 51	
10-04-2011	07-23-2013	3	CHUBB CORP CMN	172 25		260 84	88 59	
01-04-2013	07-23-2013	8	CONTINENTAL RESOURCES INC CMN	617 72		758 96	141 24	
02-15-2012	07-23-2013	36	COMCAST CORPORATION CMN CLASS A VOTING	1,038 30		1,622 86		584 56
11-13-2012	07-23-2013	15	CAPITAL ONE FINANCIAL CORP CMN	867 20		1,035 90	168 70	
12-19-2012	07-23-2013	9	CONOCOPHILLIPS CMN	535 15		594 49	59 34	
08-30-2012	07-23-2013	6	CANADIAN PACIFIC RAILWAY LTD C	495 06		763 94	268 88	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
09-10-2012	07-23-2013	53	CISCO SYSTEMS INC CMN	1,018 43		1,358 14	339 71	
08-28-2012	07-23-2013	3	CST BRANDS INC CMN	72 68		97 33	24 65	
02-08-2012	07-23-2013	23	CVS CAREMARK CORPORATION CMN	1,009 76		1,424 77		415 01
09-14-2011	07-23-2013	13	CHEVRON CORPORATION CMN	1,255 48		1,656 66		401 18
02-16-2012	07-23-2013	35	D R HORTON INC CMN	506 78		755 37		248 59
11-07-2012	07-23-2013	11	EASTMAN CHEM CO CMN	661 49		834 56	173 07	
01-11-2012	07-23-2013	6	EOG RESOURCES INC CMN	624 46		891 28		266 82
01-10-2013	07-23-2013	49	FORD MOTOR COMPANY CMN	675 64		830 54	154 90	
01-17-2013	07-23-2013	45	FIFTH THIRD BANCORP CMN	730 35		872 59	142 24	
12-05-2012	07-23-2013	18	FOOT LOCKER INC CMN	631 81		656 51	24 70	
11-08-2012	07-23-2013	38	TWENTY-FIRST CENTURY FOX INC CMN CLASS	826 16		1,168 28	342 12	
08-21-2012	07-23-2013	58	GENERAL ELECTRIC CO CMN	1,217 61		1,430 27	212 66	
08-29-2012	07-23-2013	22	GENERAL MILLS INC CMN	873 02		1,133 52	260 50	
08-29-2012	07-23-2013	1	GOOGLE INC CMN CLASS A	686 61		903 94	217 33	
08-31-2012	07-23-2013	1	GOOGLE INC CMN CLASS A	685 34		903 95	218 60	
09-12-2012	07-23-2013	15	GAP INC CMN	533 52		672 20	138 68	
11-07-2012	07-23-2013	9	GOLDMAN SACHS GROUP INC (THE) CMN	1,082 15		1,494 89	412 74	
10-04-2011	07-23-2013	19	THE HOME DEPOT INC CMN	599 76		1,523 71		923 95

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
11-15-2012	07-23-2013	10	HOLLYFRONTIER CORP CMN	419 45		436 62	17 17	
07-25-2012	07-23-2013	15	HONEYWELL INTL INC CMN	858 30		1,250 06	391 76	
12-06-2012	07-23-2013	38	HERTZ GLOBAL HOLDINGS INC CMN	608 57		1,042 24	433 67	
10-04-2011	07-23-2013	4	INTL BUSINESS MACHINES CORP CMN	684 09		784 02		99 93
11-06-2012	07-23-2013	21	INTEL CORPORATION CMN	459 43		480 17	20 74	
12-20-2012	07-23-2013	18	INTERNATIONAL PAPER CO CMN	713 36		845 96	132 60	
08-28-2012	07-23-2013	5	ILLINOIS TOOL WORKS CMN	297 11		356 39	59 28	
08-30-2012	07-23-2013	10	ILLINOIS TOOL WORKS CMN	589 23		712 79	123 56	
10-04-2011	07-23-2013	28	JPMORGAN CHASE & CO CMN	808 62		1,586 01		777 39
11-28-2012	07-23-2013	20	CARMAX INC CMN	709 48		961 36	251 88	
10-04-2011	07-23-2013	19	COCA-COLA COMPANY (THE) CMN	608 66		777 75		169 08
11-08-2012	07-23-2013	28	KROGER COMPANY CMN	690 87		1,088 24	397 37	
12-11-2012	07-23-2013	14	LYONDELLBASELL INDUSTRIES N V CMN	758 42		952 14	193 72	
			CLASS A					

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
11-08-2012	07-23-2013	2	MASTERCARD INCORPORATED CMN CLASS A	926 15		1,202 63	276 48	
12-20-2012	07-23-2013	34	MONDELEZ INTERNATIONAL INC CMN	885 02		1,055 55	170 53	
01-12-2012	07-23-2013	10	MONSANTO COMPANY CMN	807 81		1,039 05		231 24
10-04-2011	07-23-2013	28	MICROSOFT CORPORATION CMN	690 95		891 36		200 41
11-12-2012	07-23-2013	13	MOTOROLA SOLUTIONS INC CMN	695 50		784 56	89 06	
12-14-2012	07-23-2013	20	MAXIM INTEGRATED PRODUCTS INC	595 15		552 85	(42 30)	
02-08-2012	07-23-2013	13	NATIONAL OILWELL VARCO INC COMMON STOCK	1,068 26		945 40		(122 86)
11-08-2012	07-23-2013	9	NEWS CORPORATION CMN SERIES CLASS A	105 51		141 82	36 31	
01-04-2013	07-23-2013	19	OASIS PETROLEUM INC CMN	628 77		794 71	165 94	
07-13-2012	07-23-2013	54	ORACLE CORPORATION CMN	1,590 04		1,730 44		140 40
10-04-2011	07-23-2013	7	PEPSICO INC CMN	418 60		603 44		184 84
11-06-2012	07-23-2013	4	PEPSICO INC CMN	278 01		344 83	66 82	
10-25-2012	07-23-2013	18	PROCTER & GAMBLE COMPANY (THE) CMN	1,264 46		1,455 37	190 91	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
12-20-2012	07-23-2013	22	PIER 1 IMPORTS INC (DELAWARE)	448 55		510 61	62 06	
11-07-2012	07-23-2013	16	PHILLIPS 66 CMN	793 62		952 34	158 72	
10-04-2011	07-23-2013	12	QUALCOMM INC CMN	575 74		748 98		173 24
11-15-2012	07-23-2013	5	QUALCOMM INC CMN	305 69		312 07	6 38	
07-25-2012	07-23-2013	122	REGIONS FINANCIAL CORPORATION CMN	839 14		1,258 04	418 90	
11-15-2012	07-23-2013	17	STARBUCKS CORP CMN	826 67		1,150 86	324 19	
09-05-2012	07-23-2013	26	SUNTRUST BANKS INC \$1 00 PAR CMN	661 48		912 59	251 11	
11-06-2012	07-23-2013	23	AT&T INC CMN	799 41		822 00	22 59	
07-13-2012	07-23-2013	14	TARGET CORPORATION CMN	858 58		1,024 83		166 25
11-14-2012	07-23-2013	18	TJX COMPANIES INC (NEW) CMN	755 69		936 43	180 74	
12-31-2012	07-23-2013	13	THERMO FISHER SCIENTIFIC INC CMN	828 97		1,168 76	339 79	
01-09-2012	07-23-2013	8	UNION PACIFIC CORP CMN	874 03		1,296 44		422 41
10-04-2011	07-23-2013	32	U S BANCORP CMN	708 60		1,202 58		493 98
10-04-2011	07-23-2013	5	UNITED TECHNOLOGIES CORP CMN	339 38		527 45		188 07
07-28-2011	07-23-2013	8	VISA INC CMN CLASS A	707 81		1,518 56		810 75
08-28-2012	07-23-2013	23	VALERO ENERGY CORPORATION CMN	653 25		809 43	156 18	
12-19-2012	07-23-2013	24	WALGREEN CO CMN	904 70		1,226 04	321 34	

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Proceeds	Short Term Long Term
02-10-2012	07-23-2013	43	WELLS FARGO & CO (NEW) CMN	1,301 85		1,913 47	611 62
12-12-2012	07-23-2013	8	WESTLAKE CHEMICAL CORPORATION CMN	617 22		803 13	185 91
10-04-2011	07-23-2013	8	WAL MART STORES INC CMN	415 34		627 31	211 97
08-30-2012	07-23-2013	26	WEYERHAEUSER COMPANY CMN	645 64		763 11	117 47
10-04-2011	07-23-2013	23	AMEX CONSUMER STAPLES SELECT F	665 93		957 82	291 89
12-24-2003	07-23-2013	29	EXXON MOBIL CORPORATION CMN	1,158 84		2,763 37	1,604 53
11-07-2012	07-23-2013	42	YAHOO INC CMN	733 50		1,149 81	416 31
10-04-2011	07-25-2013	44	CATERPILLAR INC (DELAWARE) CMN	3,056 24		3,583 55	527 31
11-12-2012	07-25-2013	51	MOTOROLA SOLUTIONS INC CMN	2,728 52		2,783 86	55 34
12-14-2012	07-25-2013	83	MAXIM INTEGRATED PRODUCTS INC	2,469 86		2,264 17	(205 69)
07-13-2012	07-25-2013	14	ORACLE CORPORATION CMN	412 23		448 36	36 13
12-14-2012	07-25-2013	96	ORACLE CORPORATION CMN	3,073 98		3,074 48	0 50
01-12-2012	08-01-2013	40	MONSANTO COMPANY CMN	3,231 26		3,924 13	692 87
08-30-2012	08-01-2013	106	WEYERHAEUSER COMPANY CMN	2,632 24		2,997 92	365 68

Avalon Advisors, LLC
REALIZED GAINS AND LOSSES
SPAULDING FAMILY FDN - EQUITY BALANCED ACCOUNT
ACCOUNT #16H46066
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From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Proceeds	Short Term Long Term
07-13-2012	08-05-2013	55	TARGET CORPORATION CMN	3,373 00		3,928 52	555 52
10-04-2011	08-05-2013	33	CHUBB CORP CMN	1,894 73		2,839 02	944 29
12-05-2012	08-08-2013	69	FOOT LOCKER INC CMN	2,421 93		2,467 16	45 23
12-20-2012	08-08-2013	5	PIER 1 IMPORTS INC (DELAWARE)	101 94		116 37	14 43
12-21-2012	08-08-2013	82	PIER 1 IMPORTS INC (DELAWARE)	1,664 53		1,908 46	243 93
07-25-2012	08-08-2013	62	BALL CORPORATION CMN	2,514 65		2,774 87	260 22
07-28-2011	08-09-2013	10	VISA INC CMN CLASS A	884 76		1,800 29	915 53
10-04-2011	08-09-2013	4	VISA INC CMN CLASS A	331 93		720 11	388 18
10-04-2011	08-09-2013	31	THE HOME DEPOT INC CMN	978 55		2,448 00	1,469 45
01-18-2012	08-13-2013	1	APPLE INC CMN	427 45		482 08	54 63
09-11-2012	08-13-2013	6	AMERICAN INTL GROUP INC CMN	196 05		290 03	93 98
12-07-2012	08-13-2013	2	ASHLAND INC CMN	152 46		181 39	28 93
09-11-2012	08-13-2013	32	BANK OF AMERICA CORP CMN	282 13		465 59	183 46
01-11-2012	08-13-2013	4	BERKSHIRE HATHAWAY INC CLASS B	310 32		469 47	159 15
10-25-2012	08-13-2013	9	CITIGROUP INC CMN	337 08		468 35	131 27
01-04-2013	08-13-2013	2	CONTINENTAL RESOURCES INC CMN	154 43		183 85	29 42
02-15-2012	08-13-2013	9	COMCAST CORPORATION CMN CLASS A VOTING	259 57		404 18	144 61

Avalon Advisors, LLC
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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
11-13-2012	08-13-2013	3	CAPITAL ONE FINANCIAL CORP CMN	173 44		205 91	32 47	
12-19-2012	08-13-2013	2	CONOCOPHILLIPS CMN	118 92		134 09	15 17	
08-30-2012	08-13-2013	1	CANADIAN PACIFIC RAILWAY LTD C	82 51		124 58	42 07	
09-10-2012	08-13-2013	12	CISCO SYSTEMS INC CMN	230 59		315 65	85 06	
02-08-2012	08-13-2013	5	CVS CAREMARK CORPORATION CMN	219 51		301 74		82 23
09-14-2011	08-13-2013	4	CHEVRON CORPORATION CMN	386 30		491 35		105 05
02-16-2012	08-13-2013	9	D R HORTON INC CMN	130 32		166 22		35 90
11-07-2012	08-13-2013	3	EASTMAN CHEM CO CMN	180 41		241 46	61 05	
01-11-2012	08-13-2013	1	EOG RESOURCES INC CMN	104 08		158 42		54 34
01-10-2013	08-13-2013	12	FORD MOTOR COMPANY CMN	165 46		204 35	38 89	
01-17-2013	08-13-2013	11	FIFTH THIRD BANCORP CMN	178 53		210 53	32 00	
11-08-2012	08-13-2013	9	TWENTY-FIRST CENTURY FOX INC CMN CLASS	195 67		293 30	97 63	
08-21-2012	08-13-2013	14	GENERAL ELECTRIC CO CMN	293 90		338 09	44 19	
08-29-2012	08-13-2013	6	GENERAL MILLS INC CMN	238 10		314 69	76 59	
09-12-2012	08-13-2013	4	GAP INC CMN	142 27		178 71	36 44	
11-07-2012	08-13-2013	2	GOLDMAN SACHS GROUP INC (THE) CMN	240 48		328 55	88 07	
10-04-2011	08-13-2013	3	THE HOME DEPOT INC CMN	94 70		237 71		143 01

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From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
11-15-2012	08-13-2013	3	HOLLYFRONTIER CORP CMN	125 84		134 24	8 40	
07-25-2012	08-13-2013	4	HONEYWELL INTL INC CMN	228 88		335 19		106 31
12-06-2012	08-13-2013	9	HERTZ GLOBAL HOLDINGS INC CMN	144 13		225 44	81 30	
10-04-2011	08-13-2013	1	INTL BUSINESS MACHINES CORP CMN	171 02		188 91		17 89
11-06-2012	08-13-2013	5	INTEL CORPORATION CMN	109 39		112 42	3 03	
12-20-2012	08-13-2013	4	INTERNATIONAL PAPER CO CMN	158 52		192 75	34 23	
08-30-2012	08-13-2013	3	ILLINOIS TOOL WORKS CMN	176 77		222 29	45 52	
10-04-2011	08-13-2013	6	JPMORGAN CHASE & CO CMN	173 28		326 87		153 59
11-28-2012	08-13-2013	4	CARMAX INC CMN	141 90		199 31	57 41	
10-04-2011	08-13-2013	4	COCA-COLA COMPANY (THE) CMN	128 14		158 11		29 97
11-08-2012	08-13-2013	7	KROGER COMPANY CMN	172 72		273 48	100 76	
12-11-2012	08-13-2013	3	LYONDELLBASELL INDUSTRIES N V CMN CLASS A	162 52		210 59	48 07	
12-20-2012	08-13-2013	8	MONDELEZ INTERNATIONAL INC CMN	208 24		256 55	48 31	

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REALIZED GAINS AND LOSSES

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ACCOUNT #16H46066

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From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
10-04-2011	08-13-2013	7	MICROSOFT CORPORATION CMN	172 74		226 48		53 74
02-08-2012	08-13-2013	3	NATIONAL OILWELL VARCO INC COMMON STOCK	246 52		220 40		(26 12)
11-08-2012	08-13-2013	2	NEWS CORPORATION CMN SERIES CLASS A	23 45		31 53	8 08	
01-04-2013	08-13-2013	4	OASIS PETROLEUM INC CMN	132 37		157 19	24 82	
12-14-2012	08-13-2013	12	ORACLE CORPORATION CMN	384 25		399 59	15 34	
11-06-2012	08-13-2013	3	PEPSICO INC CMN	208 51		250 10	41 59	
10-25-2012	08-13-2013	5	PROCTER & GAMBLE COMPANY (THE) CMN	351 24		408 39	57 15	
11-07-2012	08-13-2013	4	PHILLIPS 66 CMN	198 41		235 83	37 42	
11-15-2012	08-13-2013	4	QUALCOMM INC CMN	244 55		269 31	24 76	
07-25-2012	08-13-2013	29	REGIONS FINANCIAL CORPORATION CMN	199 47		287 38		87 91
11-15-2012	08-13-2013	4	STARBUCKS CORP CMN	194 51		290 63	96 12	
09-05-2012	08-13-2013	7	SUNTRUST BANKS INC \$1 00 PAR CMN	178 09		244 50	66 41	
11-06-2012	08-13-2013	6	AT&T INC CMN	208 54		208 01	(0 53)	
11-14-2012	08-13-2013	5	TJX COMPANIES INC (NEW) CMN	209 91		263 09	53 18	
12-31-2012	08-13-2013	3	THERMO FISHER SCIENTIFIC INC CMN	191 30		277 43	86 13	
01-09-2012	08-13-2013	2	UNION PACIFIC CORP CMN	218 51		322 11		103 60

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REALIZED GAINS AND LOSSES

SPAULDING FAMILY FDN - EQUITY BALANCED ACCOUNT

ACCOUNT #16H46066

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From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
10-04-2011	08-13-2013	8	U S BANCORP CMN	177 15		297 11		119 96
10-04-2011	08-13-2013	2	UNITED TECHNOLOGIES CORP CMN	135 75		214 51		78 76
10-04-2011	08-13-2013	1	VISA INC CMN CLASS A	82 98		179 14		96 16
08-28-2012	08-13-2013	5	VALERO ENERGY CORPORATION CMN	142 01		184 29	42 28	
12-19-2012	08-13-2013	5	WALGREEN CO CMN	188 48		252 14	63 66	
02-10-2012	08-13-2013	10	WELLS FARGO & CO (NEW) CMN	302 76		432 79		130 03
08-06-2013	08-13-2013	14	WEATHERFORD INTERNATIONAL LTD CMN	202 17		209 01	6 84	
12-12-2012	08-13-2013	2	WESTLAKE CHEMICAL CORPORATION CMN	154 31		206 79	52 48	
10-04-2011	08-13-2013	2	WAL MART STORES INC CMN	103 84		153 39		49 55
10-04-2011	08-13-2013	4	AMEX CONSUMER STAPLES SELECT F	115 81		165 75		49 94
12-24-2003	08-13-2013	7	EXXON MOBIL CORPORATION CMN	279 72		626 62		346 90
11-07-2012	08-13-2013	10	YAHOO INC CMN	174 64		283 49	108 85	
02-16-2012	08-16-2013	45	D R HORTON INC CMN	651 58		876 35		224 77
07-17-2012	08-16-2013	89	D R HORTON INC CMN	1,656 16		1,733 24		77 08

Avalon Advisors, LLC
REALIZED GAINS AND LOSSES
SPAULDING FAMILY FDN - EQUITY BALANCED ACCOUNT
ACCOUNT #16H46066
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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-30-2012	08-16-2013	24	CANADIAN PACIFIC RAILWAY LTD C	1,980 25		2,912 28	932 03	
TOTAL GAINS							46,691 24	80,709 92
TOTAL LOSSES							(11,001 42)	(3,719 37)
TOTAL REALIZED GAIN/LOSS							35,689.82	76,990.55

Avalon Advisors, LLC
REALIZED GAINS AND LOSSES
SPAULDING FAMILY FOUNDATION - TOWLE ACCOUNT
ACCOUNT #420-08378
From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Short Term	Long Term
06-10-2011	10-01-2012	30	SONIC AUTOMOTIVE INC CL A	357 90		575 61	217 71
06-10-2011	10-02-2012	20	SONIC AUTOMOTIVE INC CL A	238 60		382 43	143 83
06-10-2011	10-03-2012	75	SONIC AUTOMOTIVE INC CL A	894 75		1,445 26	550 51
06-10-2011	10-15-2012	0	STAR BULK CARR CORP COM	10 35		2 70	(7 65)
06-10-2011	10-16-2012	40	JONES GROUP INC COM	409 20		553 20	144 00
06-10-2011	10-17-2012	10	CASTLE A M & CO COM	162 40		129 59	(32 81)
06-10-2011	10-17-2012	80	JONES GROUP INC COM	818 40		1,094 91	276 51
08-04-2011	10-19-2012	10	WHIRLPOOL CORP	653 34		869 35	216 01
06-10-2011	11-01-2012	20	CASTLE A M & CO COM	324 80		259 14	(65 66)
08-04-2011	11-05-2012	30	WHIRLPOOL CORP	1,960 02		2,947 03	987 01
09-09-2011	11-05-2012	10	WHIRLPOOL CORP	529 89		982 34	452 45
09-09-2011	11-19-2012	10	WHIRLPOOL CORP	529 89		980 92	451 03
10-28-2011	11-19-2012	30	WHIRLPOOL CORP	1,601 03		2,942 76	1,341 73
06-10-2011	11-27-2012	140	BROWN SHOE INC NEW COM	1,271 20		2,560 36	1,289 16
06-10-2011	11-27-2012	40	CASTLE A M & CO COM	649 60		510 30	(139 30)
06-10-2011	11-28-2012	10	CASTLE A M & CO COM	162 40		127 30	(35 10)
06-10-2011	11-29-2012	30	CASTLE A M & CO COM	487 20		383 81	(103 39)
06-10-2011	11-30-2012	90	BROWN SHOE INC NEW COM	817 20		1,713 83	896 63
06-10-2011	11-30-2012	10	CASTLE A M & CO COM	162 40		127 44	(34 96)
06-10-2011	12-03-2012	40	BROWN SHOE INC NEW COM	363 20		762 69	399 49
06-10-2011	12-04-2012	10	ALLIED WRLD ASSUR COM SHS AG	580 70		811 38	230 68

Avalon Advisors, LLC
REALIZED GAINS AND LOSSES
SPAULDING FAMILY FOUNDATION - TOWLE ACCOUNT
ACCOUNT #420-08378
From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Short Term	Long Term
06-10-2011	12-04-2012	10	CASTLE A M & CO COM	162 40		127 36	(35 04)
06-10-2011	12-05-2012	20	ALLIED WRLD ASSUR COM SHS AG	1,161 40		1,618 81	457 41
06-10-2011	12-05-2012	10	CASTLE A M & CO COM	162 40		127 51	(34 89)
06-10-2011	12-06-2012	30	ALLIED WRLD ASSUR COM SHS AG	1,742 10		2,434 04	691 94
06-10-2011	12-07-2012	20	CASTLE A M & CO COM	324 80		254 85	(69 95)
06-10-2011	12-17-2012	10	POLYONE CORP COM	139 90		198 19	58 29
06-10-2011	12-18-2012	120	POLYONE CORP COM	1,678 80		2,384 78	705 98
06-10-2011	01-03-2013	280	POLYONE CORP COM	3,917 20		6,344 40	2,427 20
04-04-2012	02-01-2013	170	FERRO CORP COM	948 57		909 37	(39 20)
04-04-2012	02-06-2013	40	FERRO CORP COM	223 19		214 21	(8 98)
04-05-2012	02-06-2013	110	FERRO CORP COM	623 44		589 08	(34 36)
04-05-2012	02-08-2013	50	FERRO CORP COM	283 38		267 29	(16 09)
06-10-2011	02-19-2013	30	OFFICEMAX INC DEL COM	188 10		394 94	206 84
06-10-2011	02-20-2013	470	OFFICEMAX INC DEL COM	2,946 90		6,728 45	3,781 55
06-10-2011	03-26-2013	690	SUPERVALU INC COM	5,858 10		3,497 67	(2,360 43)
06-10-2011	04-11-2013	50	BROWN SHOE INC NEW COM	454 00		864 45	410 45
06-10-2011	04-12-2013	10	BROWN SHOE INC NEW COM	90 80		172 79	81 99
06-10-2011	04-17-2013	100	GAMESTOP CORP NEW CL A	2,626 00		3,217 13	591 13
09-13-2011	04-24-2013	140	SUPERVALU INC COM	1,052 48		825 04	(227 44)
12-05-2011	04-24-2013	130	SUPERVALU INC COM	959 22		766 11	(193 11)
12-05-2011	05-01-2013	20	SUPERVALU INC COM	147 57		117 60	(29 97)
03-05-2012	05-01-2013	130	SUPERVALU INC COM	804 64		764 38	(40 26)
06-10-2011	05-02-2013	0	CST BRANDS INC CMN	8 64		14 00	5 36
03-05-2012	05-02-2013	80	SUPERVALU INC COM	495 16		472 84	(22 32)

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION - TOWLE ACCOUNT

ACCOUNT #420-08378

From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Short Term	Long Term
06-10-2011	05-02-2013	28	CST BRANDS INC CMN	553 14		822 31	269 17
01-11-2012	05-02-2013	6	CST BRANDS INC CMN	87 18		160 61	73 43
06-10-2011	05-03-2013	10	BROWN SHOE INC NEW COM	90 80		172 87	82 07
10-14-2011	05-03-2013	40	BROWN SHOE INC NEW COM	326 00		691 47	365 47
10-17-2011	05-03-2013	20	BROWN SHOE INC NEW COM	162 60		345 73	183 13
06-10-2011	05-03-2013	50	NAVISTAR INTL CORP NEW COM	2,726 50		1,751 93	(974 57)
09-13-2011	05-03-2013	140	ROYAL CARIBBEAN CRUISE COM	3,362 55		5,173 78	1,811 23
09-23-2011	05-03-2013	50	ROYAL CARIBBEAN CRUISE COM	1,118 76		1,847 78	729 02
10-17-2011	05-06-2013	20	BROWN SHOE INC NEW COM	162 60		345 59	182 99
10-17-2011	05-07-2013	60	BROWN SHOE INC NEW COM	487 80		1,033 94	546 14
06-10-2011	05-07-2013	100	GAMESTOP CORP NEW CL A	2,626 00		3,702 84	1,076 84
04-05-2012	05-08-2013	70	FERRO CORP COM	396 73		503 85	107 12
06-10-2011	05-08-2013	100	GENCO SHPPNG & TRDNG SHS	654 00		135 13	(518 87)
04-09-2012	05-09-2013	60	FERRO CORP COM	329 45		429 36	99 91
06-10-2011	05-09-2013	290	GENCO SHPPNG & TRDNG SHS	1,896 60		362 52	(1,534 08)
06-10-2011	05-10-2013	210	GENCO SHPPNG & TRDNG SHS	1,373 40		260 05	(1,113 35)

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REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION - TOWLE ACCOUNT

ACCOUNT #420-08378

From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
06-17-2011	05-10-2013	150	GENCO SHPPNG & TRDNG SHS	1,054 53		185 75		(868 78)
06-20-2011	05-10-2013	20	GENCO SHPPNG & TRDNG SHS	140 80		24 77		(116 03)
04-09-2012	05-20-2013	50	FERRO CORP COM	274 54		355 99		81 45
06-10-2011	05-21-2013	330	CANADIAN SOLAR INC COM	2,904 00		2,943 56		39 56
09-20-2011	05-21-2013	180	CANADIAN SOLAR INC COM	779 83		1,605 58		825 75
09-21-2011	05-21-2013	90	CANADIAN SOLAR INC COM	398 66		802 79		404 13
09-22-2011	05-21-2013	50	CANADIAN SOLAR INC COM	220 54		446 00		225 46
04-09-2012	05-21-2013	130	FERRO CORP COM	713 81		926 01		212 20
09-22-2011	05-22-2013	40	CANADIAN SOLAR INC COM	176 43		330 12		153 69
09-23-2011	05-22-2013	20	CANADIAN SOLAR INC COM	90 37		165 06		74 69
09-26-2011	05-22-2013	50	CANADIAN SOLAR INC COM	230 98		412 64		181 66
04-09-2012	05-22-2013	30	FERRO CORP COM	164 72		213 98		49 26
04-11-2012	05-22-2013	80	FERRO CORP COM	413 30		570 61		157 31
09-26-2011	05-23-2013	50	CANADIAN SOLAR INC COM	230 98		408 86		177 88
10-13-2011	05-23-2013	180	CANADIAN SOLAR INC COM	662 06		1,471 88		809 82
10-14-2011	05-23-2013	50	CANADIAN SOLAR INC COM	192 16		408 86		216 70
04-11-2012	05-23-2013	70	FERRO CORP COM	361 63		498 50		136 87

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REALIZED GAINS AND LOSSES

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From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss	
						Proceeds	Short Term Long Term
06-10-2011	05-23-2013	100	GAMESTOP CORP NEW CL A	2,626 00		3,664 85	1,038 85
10-14-2011	05-24-2013	110	CANADIAN SOLAR INC COM	422 74		931 23	508 49
03-05-2012	07-08-2013	20	SUPERVALU INC COM	123 79		138 09	14 30
05-22-2012	07-08-2013	270	SUPERVALU INC COM	1,316 33		1,864 18	547 85
06-10-2011	07-19-2013	10	GAMESTOP CORP NEW CL A	262 60		438 37	175 77
05-22-2012	07-19-2013	60	GAMESTOP CORP NEW CL A	1,151 90		2,630 21	1,478 31
07-17-2012	07-19-2013	60	GAMESTOP CORP NEW CL A	1,004 75		2,630 22	1,625 47
06-10-2011	08-08-2013	20	JONES GROUP INC COM	204 60		343 30	138 70
05-22-2012	08-09-2013	10	SUPERVALU INC COM	48 75		78 59	29 84
06-10-2011	08-13-2013	220	OFFICEMAX INC DEL COM	1,379 40		2,512 99	1,133 59
06-10-2011	08-14-2013	160	OFFICEMAX INC DEL COM	1,003 20		1,827 16	823 96
05-22-2012	08-14-2013	20	SUPERVALU INC COM	97 51		157 20	59 69
08-08-2012	08-14-2013	30	SUPERVALU INC COM	70 55		235 79	165 24
06-10-2011	09-09-2013	40	CELESTICA INC SUB VTG SHS ***	360 80		446 41	85 61
06-10-2011	09-10-2013	80	CELESTICA INC SUB VTG SHS ***	721 60		893 43	171 83
06-10-2011	09-10-2013	40	STAR BULK CARR CORP COM	1,242 00		456 93	(785 07)
06-10-2011	09-11-2013	70	CELESTICA INC SUB VTG SHS ***	631 40		781 33	149 93
06-10-2011	09-13-2013	20	STAR BULK CARR CORP COM	621 00		199 93	(421 07)

Avalon Advisors, LLC
REALIZED GAINS AND LOSSES
SPAULDING FAMILY FOUNDATION - TOWLE ACCOUNT
ACCOUNT #420-08378
From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
06-10-2011	09-16-2013	240	DOLE FOOD CO INC NEW COM	3,103 20		3,232 74		129 54
06-10-2011	09-16-2013	40	STAR BULK CARR CORP COM	1,242 00		406 49		(835 51)
06-10-2011	09-17-2013	300	DOLE FOOD CO INC NEW COM	3,879 00		4,040 92		161 92
06-10-2011	09-17-2013	10	STAR BULK CARR CORP COM	310 50		106 09		(204 41)
06-10-2011	09-18-2013	150	DOLE FOOD CO INC NEW COM	1,939 50		2,020 66		81 16
12-06-2011	09-18-2013	20	DOLE FOOD CO INC NEW COM	180 47		269 42		88 95
12-07-2011	09-18-2013	60	DOLE FOOD CO INC NEW COM	543 91		808 26		264 35
12-08-2011	09-18-2013	40	DOLE FOOD CO INC NEW COM	362 18		538 85		176 67
01-20-2012	09-18-2013	10	DOLE FOOD CO INC NEW COM	85 70		134 71		49 01
01-24-2012	09-18-2013	10	DOLE FOOD CO INC NEW COM	90 01		134 71		44 70
06-10-2011	09-19-2013	40	OFFICEMAX INC DEL COM	250 80		458 78		207 98
09-28-2011	09-19-2013	10	OFFICEMAX INC DEL COM	52 21		114 70		62 49
06-10-2011	09-19-2013	25	STAR BULK CARR CORP COM	776 25		264 26		(511 99)
07-25-2013	09-19-2013	65	STAR BULK CARR CORP COM	0 00		687 09	687 09	
08-08-2012	09-19-2013	500	SUPERVALU INC COM	1,175 90		3,933 13		2,757 23
09-28-2011	09-20-2013	140	OFFICEMAX INC DEL COM	730 89		1,607 18		876 29
09-28-2011	09-23-2013	110	OFFICEMAX INC DEL COM	574 27		1,265 79		691 52

Avalon Advisors, LLC

REALIZED GAINS AND LOSSES

SPAULDING FAMILY FOUNDATION - TOWLE ACCOUNT

ACCOUNT #420-08378

From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
10-19-2011	09-23-2013	60	OFFICEMAX INC DEL COM	303 35		690 43	387 08	
10-20-2011	09-23-2013	20	OFFICEMAX INC DEL COM	100 74		230 15	129 41	
07-25-2013	09-23-2013	10	STAR BULK CARR CORP COM	0 00		104 79	104 79	
10-20-2011	09-24-2013	90	OFFICEMAX INC DEL COM	453 33		1,083 50	630 17	
04-16-2012	09-24-2013	190	OFFICEMAX INC DEL COM	922 09		2,287 39	1,365 30	
06-10-2011	09-25-2013	10	CELESTICA INC SUB VTG SHS ***	90 20		112 43	22 23	
06-23-2011	09-25-2013	170	CELESTICA INC SUB VTG SHS ***	1,380 08		1,911 31	531 23	
06-23-2011	09-25-2013	50	CELESTICA INC SUB VTG SHS ***	405 91		562 15	156 24	
06-23-2011	09-26-2013	120	CELESTICA INC SUB VTG SHS ***	974 17		1,348 05	373 88	
08-03-2011	09-26-2013	20	CELESTICA INC SUB VTG SHS ***	160 84		224 67	63 83	
08-04-2011	09-26-2013	10	CELESTICA INC SUB VTG SHS ***	82 84		112 34	29 50	
03-27-2013	09-26-2013	20	TOWER GROUP INC COM	364 99		166 83	(198 16)	
03-28-2013	09-26-2013	40	TOWER GROUP INC COM	733 17		333 65	(399 52)	
04-01-2013	09-26-2013	210	TOWER GROUP INC COM	3,815 11		1,751 68	(2,063 43)	
06-05-2013	09-26-2013	60	TOWER GROUP INC COM	1,166 78		500 48	(666 30)	
06-06-2013	09-26-2013	10	TOWER GROUP INC COM	194 21		83 41	(110 80)	
08-08-2013	09-26-2013	20	TOWER GROUP INC COM	352 67		166 83	(185 84)	
08-29-2013	09-26-2013	20	TOWER GROUP INC COM	282 21		166 83	(115 38)	
TOTAL GAINS						791 88	43,715 95	
TOTAL LOSSES						(3,838 05)	(11,316 01)	
TOTAL REALIZED GAIN/LOSS		29,353 77		105,715.50	0.00	135,069.27	(3,046.17)	32,399.94

Towle & Co.
REALIZED GAINS AND LOSSES
Spaulding Family Foundation
From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
05-18-2011	10-01-2012	30	Sonic Automotive Inc	403	576		172
05-18-2011	10-02-2012	20	Sonic Automotive Inc	269	382		114
05-18-2011	10-03-2012	75	Sonic Automotive Inc	1,008	1,445		437
05-18-2011	10-16-2012	40	Jones Group Inc	512	553		41
05-18-2011	10-17-2012	80	Jones Group Inc	1,025	1,095		70
06-02-2011	10-17-2012	10	AM Castle & Co	167	130		-38
08-04-2011	10-19-2012	10	Whirlpool Corp	653	869		216
06-02-2011	11-01-2012	20	AM Castle & Co	335	259		-75
08-04-2011	11-05-2012	30	Whirlpool Corp	1,960	2,947		987
10-28-2011	11-05-2012	10	Whirlpool Corp	534	982		449
10-28-2011	11-19-2012	20	Whirlpool Corp	1,067	1,962		894
09-09-2011	11-19-2012	20	Whirlpool Corp	1,060	1,962		902
05-18-2011	11-27-2012	140	Brown Shoe Company	1,590	2,560		970
06-02-2011	11-27-2012	40	AM Castle & Co	669	510		-159
06-02-2011	11-28-2012	10	AM Castle & Co	167	127		-40
06-02-2011	11-29-2012	30	AM Castle & Co	502	384		-118
06-02-2011	11-30-2012	10	AM Castle & Co	167	127		-40
05-18-2011	11-30-2012	80	Brown Shoe Company	909	1,523		615
06-09-2011	11-30-2012	10	Brown Shoe Company	93	190		97
06-09-2011	12-03-2012	40	Brown Shoe Company	372	763		391
05-18-2011	12-04-2012	10	Allied World Assurance Ltd	612	811		200
06-02-2011	12-04-2012	10	AM Castle & Co	167	127		-40
05-18-2011	12-05-2012	20	Allied World Assurance Ltd	1,224	1,619		395
06-02-2011	12-05-2012	10	AM Castle & Co	167	128		-40
05-18-2011	12-06-2012	30	Allied World Assurance Ltd	1,835	2,434		599
06-02-2011	12-07-2012	20	AM Castle & Co	335	255		-80
05-18-2011	12-17-2012	10	PolyOne Corp	143	198		55
05-18-2011	12-18-2012	120	PolyOne Corp	1,721	2,385		664
05-18-2011	01-03-2013	280	PolyOne Corp	4,015	6,344		2,330
04-05-2012	02-01-2013	170	Ferro Corp	963	909	-54	
04-05-2012	02-06-2013	60	Ferro Corp	340	321	-19	
04-04-2012	02-06-2013	90	Ferro Corp	502	482	-20	
04-04-2012	02-08-2013	50	Ferro Corp	279	267	-12	
05-18-2011	02-19-2013	30	OfficeMax Incorporated	251	395		144
05-18-2011	02-20-2013	470	OfficeMax Incorporated	3,928	6,728		2,801
05-18-2011	03-26-2013	690	SUPERVALU INC	7,443	3,498		-3,945
06-09-2011	04-11-2013	50	Brown Shoe Company	465	864		399
06-09-2011	04-12-2013	10	Brown Shoe Company	93	173		80
05-18-2011	04-17-2013	100	GameStop Corp	2,646	3,217		571
09-13-2011	04-24-2013	140	SUPERVALU INC	1,052	825		-227
12-05-2011	04-24-2013	130	SUPERVALU INC	959	766		-193
12-05-2011	05-01-2013	20	SUPERVALU INC	148	118		-30
03-05-2012	05-01-2013	130	SUPERVALU INC	805	764		-40
03-05-2012	05-02-2013	80	SUPERVALU INC	495	473		-22
05-18-2011	05-02-2013	29	CST Brands Inc Com	573	838		266
01-11-2012	05-02-2013	5	CST Brands Inc Com	83	145		61
09-13-2011	05-03-2013	140	Royal Caribbean Cruises Ltd	3,363	5,174		1,811
09-23-2011	05-03-2013	50	Royal Caribbean Cruises Ltd	1,119	1,848		729
05-18-2011	05-03-2013	50	Navistar Intl Corp	3,391	1,752		-1,639
06-09-2011	05-03-2013	10	Brown Shoe Company	93	173		80
10-14-2011	05-03-2013	40	Brown Shoe Company	326	691		365
10-17-2011	05-03-2013	20	Brown Shoe Company	163	346		183
10-17-2011	05-06-2013	20	Brown Shoe Company	163	346		183
05-18-2011	05-07-2013	100	GameStop Corp	2,646	3,703		1,057
10-17-2011	05-07-2013	60	Brown Shoe Company	488	1,034		546
04-04-2012	05-08-2013	70	Ferro Corp	391	504		113
05-18-2011	05-08-2013	100	Genco Shipping & Trading Ltd	757	135		-622
05-18-2011	05-09-2013	290	Genco Shipping & Trading Ltd	2,195	363		-1,833
04-09-2012	05-09-2013	60	Ferro Corp	329	429		100
05-18-2011	05-10-2013	210	Genco Shipping & Trading Ltd	1,590	260		-1,329
06-20-2011	05-10-2013	20	Genco Shipping & Trading Ltd	141	25		-116

Towle & Co.
REALIZED GAINS AND LOSSES
Spaulding Family Foundation
From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
06-17-2011	05-10-2013	150	Genco Shipping & Trading Ltd	1,055	186		-869
04-09-2012	05-20-2013	50	Ferro Corp	275	356		81
04-09-2012	05-21-2013	130	Ferro Corp	714	926		212
05-18-2011	05-21-2013	250	Canadian Solar Inc	2,322	2,230		-92
05-25-2011	05-21-2013	80	Canadian Solar Inc	679	714		35
09-26-2011	05-21-2013	100	Canadian Solar Inc	462	892		430
09-23-2011	05-21-2013	20	Canadian Solar Inc	90	178		88
09-21-2011	05-21-2013	90	Canadian Solar Inc	399	803		404
09-22-2011	05-21-2013	90	Canadian Solar Inc	397	803		406
09-20-2011	05-21-2013	20	Canadian Solar Inc	87	178		92
09-20-2011	05-22-2013	110	Canadian Solar Inc	477	908		431
04-09-2012	05-22-2013	30	Ferro Corp	165	214		49
04-11-2012	05-22-2013	80	Ferro Corp	413	571		157
05-18-2011	05-23-2013	100	GameStop Corp	2,646	3,665		1,019
04-11-2012	05-23-2013	70	Ferro Corp	362	499		137
09-20-2011	05-23-2013	50	Canadian Solar Inc	217	409		192
10-14-2011	05-23-2013	160	Canadian Solar Inc	615	1,308		693
10-13-2011	05-23-2013	70	Canadian Solar Inc	257	572		315
10-13-2011	05-24-2013	110	Canadian Solar Inc	405	931		527
03-05-2012	07-08-2013	20	SUPERVALU INC	124	138		14
05-22-2012	07-08-2013	270	SUPERVALU INC	1,316	1,864		548
05-18-2011	07-19-2013	10	GameStop Corp	265	438		174
05-22-2012	07-19-2013	60	GameStop Corp	1,152	2,630		1,478
07-17-2012	07-19-2013	60	GameStop Corp	1,005	2,630		1,625
05-18-2011	08-08-2013	20	Jones Group Inc	256	343		87
05-22-2012	08-09-2013	10	SUPERVALU INC	49	79		30
05-18-2011	08-13-2013	220	OfficeMax Incorporated	1,839	2,513		674
05-22-2012	08-14-2013	20	SUPERVALU INC	98	157		60
08-08-2012	08-14-2013	30	SUPERVALU INC	71	236		165
05-18-2011	08-14-2013	50	OfficeMax Incorporated	418	571		153
05-27-2011	08-14-2013	110	OfficeMax Incorporated	914	1,256		342
05-18-2011	09-09-2013	40	Celestica Inc	407	446		39
05-18-2011	09-10-2013	40	Star Bulk Carriers Corp	1,365	457		-908
05-18-2011	09-10-2013	80	Celestica Inc	814	893		79
05-18-2011	09-11-2013	70	Celestica Inc	712	781		69
05-18-2011	09-13-2013	20	Star Bulk Carriers Corp	682	200		-482
05-18-2011	09-16-2013	40	Star Bulk Carriers Corp	1,365	406		-958
05-18-2011	09-16-2013	240	Dole Food Company Inc	3,236	3,233		-3
05-18-2011	09-17-2013	300	Dole Food Company Inc	4,045	4,041		-4
05-18-2011	09-17-2013	10	Star Bulk Carriers Corp	341	106		-235
05-18-2011	09-18-2013	150	Dole Food Company Inc	2,023	2,021		-2
12-06-2011	09-18-2013	20	Dole Food Company Inc	180	269		89
12-07-2011	09-18-2013	60	Dole Food Company Inc	544	808		264
12-08-2011	09-18-2013	40	Dole Food Company Inc	362	539		177
01-24-2012	09-18-2013	20	Dole Food Company Inc	180	269		89
05-27-2011	09-19-2013	40	OfficeMax Incorporated	332	459		126
09-28-2011	09-19-2013	10	OfficeMax Incorporated	52	115		62
05-18-2011	09-19-2013	25	Star Bulk Carriers Corp	853	264		-589
07-25-2013	09-19-2013	65	Star Bulk Carriers Corp	348	687	339	
08-08-2012	09-19-2013	500	SUPERVALU INC	1,176	3,933		2,757
09-28-2011	09-20-2013	140	OfficeMax Incorporated	731	1,607		876
09-28-2011	09-23-2013	110	OfficeMax Incorporated	574	1,266		692
10-19-2011	09-23-2013	60	OfficeMax Incorporated	303	690		387
10-20-2011	09-23-2013	20	OfficeMax Incorporated	101	230		129
07-25-2013	09-23-2013	10	Star Bulk Carriers Corp	54	105	51	
10-20-2011	09-24-2013	90	OfficeMax Incorporated	453	1,084		630
04-16-2012	09-24-2013	190	OfficeMax Incorporated	922	2,287		1,365
05-18-2011	09-25-2013	180	Celestica Inc	1,832	2,024		192
08-04-2011	09-25-2013	50	Celestica Inc	414	562		148
06-05-2013	09-26-2013	60	Tower Group International Ltd	1,167	500	-666	
06-06-2013	09-26-2013	10	Tower Group International Ltd	194	83	-111	

Towle & Co.
REALIZED GAINS AND LOSSES
Spaulding Family Foundation
From 10-01-12 Through 09-30-13

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
03-28-2013	09-26-2013	40	Tower Group International Ltd	733	334	-400	
03-27-2013	09-26-2013	20	Tower Group International Ltd	365	167	-198	
04-01-2013	09-26-2013	210	Tower Group International Ltd	3,815	1,752	-2,063	
08-08-2013	09-26-2013	20	Tower Group International Ltd	353	167	-186	
08-29-2013	09-26-2013	20	Tower Group International Ltd	282	167	-115	
06-23-2011	09-26-2013	150	Celestica Inc	1,218	1,685		467
TOTAL GAINS						391	39,350
TOTAL LOSSES						-3,844	-14,769
						-3,454	24,581
TOTAL REALIZED GAIN/LOSS		21,127		113,925	135,053	08-500 C60	08-500 C61

Spaulding Family Foundation
Charitable Contributions Listing
For the Fiscal Year Ended September 30, 2013

<u>Date</u>	<u>Description</u>	<u>Amount</u>
10/14/2012	KANSAS CITY SYMPHONY	\$ 1,500 00
10/14/2012	KANSAS TROOPER FOUNDATION	\$ 50 00
10/14/2012	OPERATION BREAKTHROUGH	\$ 50 00
10/15/2012	HARRIMAN JEWELL	\$ 50 00
10/17/2012	SLANERS PTA	\$ 2,750 00
10/17/2012	FRIENDS OF SLANERS? SCHOOL	\$ 1,250 00
10/19/2012	FIRC FOODBANK	\$ 50 00
11/7/2012	LINDA HOWE LIBRARY	\$ 450 00
11/15/2012	IN MEMORY OF KRYSTAL VOGT	\$ 100 00
11/24/2012	KEMPER MUSEUM	\$ 1,000.00
12/5/2012	UNIVERSITY OF KANSAS WOMEN'S HEART HEALTH PROGRAM	\$ 50 00
11/19/2012	ST. PAUL'S CHURCH	\$ 50 00
12/6/2012	GREATER KC AMERCAN	\$ 50 00
12/11/2012	HARVESTERS-THE COMMUNITY FOOD NETWORK	\$ 1,000 00
12/11/2012	HARRIMAN JEWELL	\$ 1,000 00
12/15/2012	SEVENTH CHURCH OF CHRIST, SCIENTIST	\$ 4,500 00
12/15/2012	CHILDREN'S TLC	\$ 1,000 00
12/15/2012	CHILDREN'S TLC	\$ 1,000 00
12/19/2012	PLATTE FORUMS	\$ 5,000 00
1/5/2013	COLORADO OUTDOOR EDUCATION CENTER	\$ 50 00
1/20/2013	ADVENTURE UNLIMITED	\$ 5,000 00
1/20/2013	OPERATION BREAKTHROUGH	\$ 50 00
2/2/2013	TWELVE ACRES	\$ 5,000 00
2/2/2013	KEYSTONE SCHOOL	\$ 5,000 00
2/2/2013	POWELL GARDENS	\$ 3,000.00
2/5/2013	POWELL GARDENS	\$ 1,000 00
2/8/2013	TRUMAN LIBRARY INSTITUTE	\$ 3,000 00
2/8/2013	NELSON ATKINS MUSEUM	\$ 100 00
2/10/2013	POWELL GARDENS	\$ 2,000 00
2/16/2013	ST PHILLIP'S SCHOOL & COMMUNITY CENTER	\$ 100 00
3/11/2013	THE NELSON GALLERY FOUNDATION	\$ 3,000 00
3/11/2013	GREAT PLAINS SPCA	\$ 40 00
3/17/2013	SEVENTH CHURCH OF CHRIST, SCIENTIST	\$ 4,500 00
3/14/2013	ST. PHILLIP'S DAY SCHOOL	\$ 100 00
3/13/2013	ST PHILLIP'S DAY SCHOOL	\$ 500 00
3/26/2013	FRIENDS OF CHAMBER MUSIC	\$ 600.00
3/26/2013	ST. PAUL'S CHURCH	\$ 100 00
3/27/2013	THE WESTPORT GARDEN CLUB	\$ 500 00
4/10/2013	FRIENDS OF THE MISSION	\$ 25 00
4/16/2013	HARRIMAN JEWELL	\$ 50 00
4/22/2013	JEWELL BALL	\$ 1,500 00
5/16/2013	LINDA HOWE LIBRARY	\$ 5,000 00
4/14/2013	SAFFORD HENSEMA HISTORICAL SOCIETY	\$ 100 00
5/18/2013	ST PAUL'S CHURCH	\$ 2,500 00
6/8/2013	COLORADO TRAIL FOUNDATION	\$ 250 00
6/22/2013	THE PRINCIPA	\$ 20,000.00
6/21/2013	HARRIMAN JEWELL	\$ 5,000.00
6/23/2013	7TH CHURCH	\$ 4,998.38
7/25/2013	HELRON GAILEY FOUNDATION	\$ 100 00
7/27/2013	JUNIOR LEAGUE OF KCMO	\$ 150 00
7/27/2013	AMERICAN RED CROSS	\$ 1,000 00
7/28/2013	KANSAS CITY COMMUNITY GARDENS	\$ 100.00
7/27/2013	NPS FOUNDATION	\$ 5,000.00
7/27/2013	CHRISTIAN ASSOCIATION OF THE PUPILS OF JAMES K KYSER	\$ 200 00
8/10/2013	TWELVE ACRES	\$ 5,000 00
8/30/2013	KANSAS CITY SYMPHONY	\$ 1,500 00
9/6/2013	GREAT PLAINS SPCA	\$ 500.00
9/9/2013	KCPT	\$ 200.00
8/30/2013	CHILDREN'S TLC	\$ 1,300 00
9/8/2013	POWELL GARDENS	\$ 500 00
9/17/2013	HARRIMAN JEWELL	\$ 900 00
9/17/2013	MOSCA	\$ 500 00
9/24/2013	SEVENTH CHURCH OF CHRIST, SCIENTIST	\$ 4,500 00
9/29/2013	TREASURER GENERAL	\$ 300 00
	TOTAL CONTRIBUTIONS	<u>\$115,713 38</u>

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	AVELON EQUITY BALANCED			
b	AVELON EQUITY BALANCED			
c	AVELON FIXED INCOME			
d	AVELON FIXED INCOME			
e	TOWLE			
f	TOWLE			
g	AVELON HAWKINS			
h	AVELON HAWKINS			
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			35,690.
b			76,991.
c			<2,302.>
d			2,738.
e			<3,454.>
f			24,581.
g			<6,683.>
h			85,213.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			35,690.
b			76,991.
c			<2,302.>
d			2,738.
e			<3,454.>
f			24,581.
g			<6,683.>
h			85,213.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	212,774.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MISSOURI BANK	5.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	5.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AVALON ADVISORS, LP	21,556.	0.	21,556.
BLACKGOLD OPPORTUNITY FUND	16,611.	0.	16,611.
HAWKINS INVESTMENT PART	32,910.	0.	32,910.
TOWLE INVESTMENT	4,126.	0.	4,126.
TOTAL TO FM 990-PF, PART I, LN 4	75,203.	0.	75,203.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,545.	3,545.		0.
TO FORM 990-PF, PG 1, LN 16B	3,545.	3,545.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	15,903.	15,903.		0.
TO FORM 990-PF, PG 1, LN 16C	15,903.	15,903.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	3,138.	0.		0.
TO FORM 990-PF, PG 1, LN 18	3,138.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS EXPENSES	27,768.	27,768.		0.
TO FORM 990-PF, PG 1, LN 23	27,768.	27,768.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCK	1,860,725.	2,161,099.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,860,725.	2,161,099.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BONDS	458,543.	459,950.
TOTAL TO FORM 990-PF, PART II, LINE 10C	458,543.	459,950.