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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

For calendar year 2012 or tax year beginning OCT 1, 2012, and ending SEP 30, 2013

Name of foundation IRVIN STERN FOUNDATION		A Employer identification number 36-6047947
Number and street (or P.O. box number if mail is not delivered to street address) 4 EAST OHIO		B Telephone number 312-321-9402
City or town, state, and ZIP code CHICAGO, IL 60611		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 13,476,782. (Part I, column (d) must be on cash basis)		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		73.	73.		STATEMENT 1
4 Dividends and interest from securities		271,688.	271,688.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		744,846.			
b Gross sales price for all assets on line 6a		6,305.			
7 Capital gain net income (from Part IV, line 2)			744,846.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		1,016,607.	1,016,607.		
13 Compensation of officers, directors, trustees, etc		2,290.	229.		2,061.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		10,770.	8,078.		2,692.
c Other professional fees		141,201.	97,371.		43,830.
17 Interest					
18 Taxes		13,073.	13,073.		0.
19 Depreciation and depletion		514.	0.		
20 Occupancy		18,959.	1,896.		17,063.
21 Travel, conferences, and meetings		14,180.	1,418.		12,762.
22 Printing and publications					
23 Other expenses		9,124.	4,570.		4,554.
24 Total operating and administrative expenses. Add lines 13 through 23		210,111.	126,635.		82,962.
25 Contributions, gifts, grants paid		798,000.			798,000.
26 Total expenses and disbursements. Add lines 24 and 25		1,008,111.	126,635.		880,962.
27 Subtract line 26 from line 12		8,496.			
a Excess of revenue over expenses and disbursements			889,972.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	4,426.	5,245.	5,245.
	2 Savings and temporary cash investments	1,027,138.	622,239.	622,239.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	670,358.	416,442.	403,605.
	b Investments - corporate stock STMT 8	6,723,482.	7,759,087.	11,637,590.
	c Investments - corporate bonds STMT 9	702,902.	702,902.	797,636.
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 10		374,873.	0.	0.
14 Land, buildings, and equipment basis ▶ 16,897.				
Less accumulated depreciation ▶ 16,305.		1,107.	592.	592.
15 Other assets (describe ▶ STATEMENT 11)		3,600.	9,875.	9,875.
16 Total assets (to be completed by all filers)		9,507,886.	9,516,382.	13,476,782.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> X			
	24 Unrestricted	9,507,886.	9,516,382.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	9,507,886.	9,516,382.		
31 Total liabilities and net assets/fund balances	9,507,886.	9,516,382.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,507,886.
2 Enter amount from Part I, line 27a	2	8,496.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	9,516,382.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,516,382.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHEMENT D	P	VARIOUS	VARIOUS
b SEE ATTACHEMENT D	P	VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			713,845.
b			24,696.
c 6,305.			6,305.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			713,845.
b			24,696.
c			6,305.
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	744,846.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div> <div>If gain, also enter in Part I, line 8, column (c)</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	919,921.	11,107,984.	.082816
2010	965,705.	11,671,267.	.082742
2009	949,931.	10,868,290.	.087404
2008	767,068.	9,468,505.	.081013
2007	986,640.	12,182,951.	.080985

2 Total of line 1, column (d)	2	.414960
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.082992
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	12,083,248.
5 Multiply line 4 by line 3	5	1,002,813.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,900.
7 Add lines 5 and 6	7	1,011,713.
8 Enter qualifying distributions from Part XII, line 4	8	880,962.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	17,799.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	17,799.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	17,799.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a 11,000.	7	11,000.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c	9	6,799.
d Backup withholding erroneously withheld	6d	10	
7 Total credits and payments. Add lines 6a through 6d		11	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be credited to 2013 estimated tax. <input type="checkbox"/> Refunded <input type="checkbox"/>			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.IRVINSTERN.ORG	13	X	
14	The books are in care of ► GIMBEL SINGER + ABRAMS Telephone no ► 312-782-1010 Located at ► 10 S. RIVERSIDE PLAZA, CHICAGO, IL ZIP+4 ► 60606			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b****X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12	AS NEEDED	2,290.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Part IX-A	Summary of Direct Charitable Activities
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Part IX-B	Summary of Program-Related Investments
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Form **990-PF** (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	11,503,541.
b	Average of monthly cash balances	1b	763,716.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	12,267,257.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,267,257.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	184,009.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,083,248.
6	Minimum investment return. Enter 5% of line 5	6	604,162.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	604,162.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	17,799.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	17,799.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	586,363.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	586,363.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	586,363.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	880,962.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	880,962.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	880,962.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				586,363.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	398,908.			
b From 2008	295,965.			
c From 2009	417,900.			
d From 2010	401,588.			
e From 2011	386,466.			
f Total of lines 3a through e	1,900,827.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$	880,962.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				586,363.
e Remaining amount distributed out of corpus	294,599.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,195,426.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	398,908.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	1,796,518.			
10 Analysis of line 9				
a Excess from 2008	295,965.			
b Excess from 2009	417,900.			
c Excess from 2010	401,588.			
d Excess from 2011	386,466.			
e Excess from 2012	294,599.			

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT F		PUBLIC CHARITY	GENERAL PURPOSE	798,000.
Total			3a	798,000.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	73.	
4 Dividends and interest from securities			14	271,688.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	744,846.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		1,016,607.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13 1,016,607.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

N/A

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

[illegible]

☐ Yes ☒ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	JEFFREY KRAUSE	<i>Jeffrey A. Krause</i>	12/27/13		P00032946
	Firm's name ▶ GIMBEL ABRAMS & SINGER				Firm's EIN ▶ 36-3663184
	Firm's address ▶ 10 S. RIVERSIDE PLAZA, SUITE 1275 CHICAGO, IL 60606-3710			Phone no. (312) 782-1010	

Irvin Stern Foundation
FEIN. 36-6047947
FYE 9/30/13
Contributions

Organization Name	Address	Amount
Alliance for Change	P.O. 17704 Urbana, IL. 61803	1,000
A Just Harvest	P O. Box 608033 Chicago IL 60626	10,000
Access Community Health Network	222 North Canal Street Chicago IL 60606	15,000
Association of Community Employment Programs Homeless (A C E)	598 Broadway, 7th Floor New York, NY10012	25,000
Albany Park Theater Project	P O. Box 257995 Chicago IL 60625	10,000
Barnes Jewish Hospital	1001 Highlands Plaza Drive West Suite 140 St. Louis MO 63110	5,000
Bay Street Theatre Inc	P O. Box 810 Sag Harbor, NY 11963	10,000
Beth Emet Synagogue	1224 Dempster Ave Evanston IL 60202	5,000
Cure Violence	1603 west Taylor Chicago, IL 60612	15,000
Childrens Museum of the East End (CMEE)	P O Box 316, 376 Sag Bridge Turnpike Bridgehampton, NY 11932	10,000
Coaches Across Continents	1116 Hull Terrace Evanston, Illinois 60602	7500

Irvin Stern Foundation
FEIN 36-6047947
FYE 9/30/13
Contributions

Corazon a Corazon	8235 South Shore Drive Chicago, IL 60617	5,000
District #65 Dewey Elementary School	1551 Wesley Street Evanston, IL 60201	7500
Erie Family Health Center	1701 West Superior, 3rd Floor Chicago, Illinois 60622	15,000
Evanston Community Foundation	1007 Church Street Suite 109 Evanston, IL 60201	25,000
Family Matters	7731 North Marshfield Chicago, IL60626	15,000
Gan Yeladim Preschool	57 S. Williams St Burlington, VT 05401	3,500
Globe Med	620 Library Place Evanston, Illinois 60208	10,000
Human Rights Defense Center	P O. Box 2420 West Brattleboro, VT 05303	10,000
Jane Addams Resource Corporation	4432 North Ravenswood Chicago, Illinois 60640	15,000
J Street Education Fund	P O. Box 66073 Washington DC 20035	25,000
Jewish Center of the Hamptons	44 Woods Lane East Hampton, NY 11937	5,000
Jewish Community Centers Association	2 Millstone Campus Drive St. Louis, MO 63146	5,000
Jewish Family Services of San Diego	8804 Balboa Avenue San Diego, CA 92123	40,000
Jewish Federation of San Diego County	4950 Murphy Canyon San Diego, CA 92123	25,000
Jewish Federation of St Louis	12 Millstone Campus Drive St. Louis MO 63146	5,000
Jewish United Fund of Chicago	One South Franklin Chicago, IL 60606	120,000
King Street Center	87 King St Burlington, VT 05401	20,000

ATTACHEMENT F

• Irvin Stern Foundation

FEIN: 36-6047947

FYE: 9/30/13

Contributions

KIPP Bay Area Schools	426 17th Street, Suite 200 Oakland, CA, 94612	20,000
Lakeview Pantry	3851 N Broadway Chicago, IL, 60613	12,500
Let's Get Ready (LGR)	50 Broadway, Suite 806 New York, NY 10004	17,500
Northwestern University Settlement Assoc.	1400 West Augusta Blvd Chicago, Illinois 60642	7,500
Ounce of Prevention Fund	33 West Monroe Suite 2400 Chicago, Illinois 60603	10,000
Ohavi Zedek Synagogue	188 N Prospect St Burlington, VT 05401	10,000
Pespectives Charter School	3663 South Wabash Chicago, Illinois 60653	10,000
Prep for Prep	328 West 71st Street New York, NY 10023	5,000

• Irvin Stern Foundation

FEIN 36-6047947

FYE 9/30/13

Contributions

Prison University Project	P O Box 492 San Quentin, CA 94964	10,000
Retreat Inc	13 Goodfriend Drive East Hampton, NY 11937	25,000
Recovery On Water	123 N Jefferson Apt 2F Chicago, IL 60661	5,000
San Diego Hospice	4311 Third Avenue San Diego, CA 92103	10,000
Sargent Shriver National Center on Poverty Law	50 East Washington Street Chicago, IL 60602	10,000
Seeds of Peace	370 Lexington Avenue Suite 2103 New York, NY 10017	10,000
Southwest PADS	3121 West 71st Chicago, Illinois 6069	2,500
Springs Food Pantry	5 Old Stone Highway East Hampton, NY 11937	1,000
Friends of the Arava Institute	896 Beacon Street Boston, MA 02215	7500
The ISEF Foundation	135 West 29th Street #303 New York, NY 10001	15,000

Irvin Stern Foundation
FEIN: 36-6047947
FYE 9/30/13
Contributions

Telluride Association	1735 Washtenaw Ave Ann Arbor, MI 48104	10,000
Turnaround for Children	25 West 45th Street 6 th Floor New York, NY 10036	40,000
The Ark	6450 North California Avenue Chicago, IL 60645	10,000
The East Hampton Healthcare Fdn	200 Pantigo Place, Suite M East Hampton, NY 11937	10,000
United Way of Dade County	3250 SW 3 rd Street Miami, FL 33129	15,000
Untold News	900 Fifth Avenue Apt. 2A New York, NY 10021	10,000
Vermont Youth Conservation Corp.	9 Pleasant St. Bristol, Vermont 05443	10,000
Whalebone Housing Development	147 Boatheaders Ln East Hampton, NY 11937	5,000
Women in Need	115 West 31 st New York, NY 10001	25,000
Youth Organizations Umbrella, Inc	1027 Sherman Ave Evanston, IL 60202	10,000
		<hr/>
		<u>798,000</u>

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
VARIOUS	73.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	73.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
VARIOUS	277,993.	6,305.	271,688.
TOTAL TO FM 990-PF, PART I, LN 4	277,993.	6,305.	271,688.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	10,770.	8,078.		2,692.
TO FORM 990-PF, PG 1, LN 16B	10,770.	8,078.		2,692.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	97,371.	97,371.		0.
GRANTS ADMINISTRATOR	43,830.	0.		43,830.
TO FORM 990-PF, PG 1, LN 16C	141,201.	97,371.		43,830.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX PAID	846.	846.		0.	
FEDERAL EXCISE TAX	12,227.	12,227.		0.	
TO FORM 990-PF, PG 1, LN 18	13,073.	13,073.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OFFICE EXPENSE	1,339.	670.		669.	
INSURANCE - D&O	2,450.	1,225.		1,225.	
STATE FILING FEES	15.	15.		0.	
COMPUTER EXPENSES	5,320.	2,660.		2,660.	
TO FORM 990-PF, PG 1, LN 23	9,124.	4,570.		4,554.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	7
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
SEE ATTACHMENT A	X		261,077.	252,429.	
150000 SHARES ILLINOIS STATE 4.071%		X	155,365.	151,176.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			261,077.	252,429.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			155,365.	151,176.	
TOTAL TO FORM 990-PF, PART II, LINE 10A			416,442.	403,605.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
SEE ATTACHMENT B	7,759,087.	11,637,590.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,759,087.	11,637,590.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
SEE ATTACHMENT C	702,902.	797,636.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	702,902.	797,636.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
850 ISHARES TR BARCLAYS BOND	COST	0.	0.
3700 SHRS VANGUARD BOND INDEX FUND	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		0.	0.

FORM 990-PF	OTHER ASSETS	STATEMENT	11
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
SECURITY DEPOSIT	3,600.	3,600.	3,600.
DUE FROM CHARLES SCHWAB	0.	6,275.	6,275.
TO FORM 990-PF, PART II, LINE 15	3,600.	9,875.	9,875.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STUART EPSTEIN 3 NORTH MAIN STREET EAST HAMPTON, NY 11937	TRUSTEE	1,000.	0.	0.
JEFFREY EPSTEIN 25 WEST HUBBARD CHICAGO, IL 60610	TRUSTEE	500.	0.	0.
KRISTEN BONCHER 106 EAST 85TH STREET APT 1S NEW YORK, NY 10028	TRUSTEE	0.	0.	0.
HEIDI BONCHER 315 DORSET HEIGHTS SOUTH BURLINGTON, VT 04503	TRUSTEE	0.	0.	0.
NICHOLAS EPSTEIN 3 NORTH MAIN STREET E HAMPTON, NY 11937	TRUSTEE	550.	0.	0.
ARTHUR WINTER 1800 SHERMAN AVE. EVANSTON, IL 60201	TRUSTEE	0.	0.	0.
DOROTHY WINTER 1001 GENTER APT 8G LA JOLLA, CA 90237	TRUSTEE	0.	0.	0.
SAMANTHA EPSTEIN 326 LESTER AVE. OAKLAND, CA 94606	TRUSTEE	0.	0.	0.
EMMA WINTER 2003 ORRINGTON EVANSTON, IL 60201	TRUSTEE	240.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		2,290.	0.	0.

IRVIN STERN FOUNDATION
FEIN 36-6047947
990-PF, PAGE 2, LINE 10A

ATTACHMENT A

Shares	Name of Security	Ending Cost	Market Value
250,000	Federal Home Loan Bk 4.875%	\$ 261,077	\$ 252,429
Totals		<u>\$ 261,077</u>	<u>\$ 252,429</u>

ATTACHMENT A

Shares	Name of Security	Ending Cost	Market Value
1,800	AGCO Corp	100,648	108,756
3,550	Akamai Technologies	109,923	183,535
2,100	H & R Block Inc	26,451	55,986
650	Borg Warner	14,161	65,904
18,000	Boston Scientific Corp	111,832	211,320
1,400	Bunge Ltd	93,762	106,274
2,200	CGG Veritas	27,953	50,710
2,150	Charles River Lab	73,487	99,459
1,900	Cincinnati Financial	51,390	89,604
1,200	Citrix Systems Inc	85,382	84,732
2,600	Con Way, Inc	69,501	112,034
1,900	Cree, Inc	68,599	114,361
6,000	Devry Inc	144,735	183,360
2,000	Eaton Vance	56,504	77,660
1,150	FMC Corp	23,303	82,478
5,000	Finisar Corp	72,829	113,150
2,300	First Solar Inc	62,937	92,483
2,500	FMC Technologies	38,757	138,550
3,400	Forest Laboratories, inc	95,147	145,486
550	Gannett Company	49,248	147,345
1,600	Harris Corp	54,845	94,880
4,800	Hospira	154,869	188,256
9,100	Interpublic Group	63,050	156,338
4,000	Itron Inc	175,975	171,320
4,600	Jabil Circuit	42,709	99,728
9,000	Jupiter Networks Inc	162,488	178,740
1,300	Lear Corporation	47,720	93,041
3,250	Lexmark Intl	61,115	107,250
1,700	Manpower Inc	78,559	123,658
21,000	Mcdermott Intl Inc	213,088	156,030
1,100	Mcgraw-Hill Co	37,321	72,149
5,500	Molex Inc	139,173	211,860
2,100	Molson Coors	82,515	105,273
16,100	New York Times	148,106	202,377
2,100	Northern Trust Corp	78,757	114,198
10,000	Nuance Communications	182,285	186,800
10,500	Nvidia Corp	130,079	163,380
2,900	Ramond James Finl Inc	121,717	120,843
3,500	Scholastic Corp	107,920	100,352
1,150	Sigma Aldrich Corp	63,926	98,095
10,000	Southwest Airlines	109,250	145,600
11,500	Staples inc	134,247	168,475
6,200	Unisys Corp	130,129	156,178
1,900	Varian Medical	85,965	141,987
5,400	Werner Enterprises	106,073	125,982
6,000	Xylem Inc	158,750	167,580
2,300	Zebra Technologies	76,407	104,788
17,000	Applied Materials	210,510	298,180
10,600	Broadridge Financial Solutions	224,522	336,550
8,000	Cenovus Energy	200,848	238,800
5,000	Covidien Ltd	171,042	304,700
8,000	Delphi Automotive	226,184	467,360
3,600	Diageo	189,411	457,488
3,600	General Dynamics	204,347	315,072
6,000	Illinois Tool Works	172,268	457,620
12,500	Intel Corp	285,829	286,500
3,400	Lab Corp of America	226,260	337,076
10,000	Microsoft	266,580	333,100
10,000	Oracle Corp	125,781	331,700
5,000	Snap on Tools	89,058	298,500
14,600	TD Ameritrade	350,245	382,228
8,300	Texas Instruments	257,123	334,241
8,500	TE Connectivity	235,492	440,130
		\$ 7,759,087	\$ 11,637,590

IRVIN STERN FOUNDATION

FEIN 36-6047947

990-PF, PAGE 2, LINE 10C

ATTACHMENT C

Shares	Name of Security	Ending Cost	Market Value
200,000	Partnerre Fin A LLC	\$ 197,368	\$ 233,092
200,000	Penn Natl Gaming	214,510	219,000
100,000	Woolworth	103,260	113,000
200,000	Yum Brands	187,764	232,544
	Totals	<u>\$ 702,902</u>	<u>\$ 797,636</u>

ATTACHMENT C

IRVIN STERN FOUNDATION
FEIN 36-6047947
CAPITAL GAINS AND (LOSSES)

Date Purch	Date Sold	# of Shares	Security name	Sale of Securities	Cost of Securities	Long term Gain/(Loss)	Short Term Gain/(Loss)
var lt	1/8/2013		Dell	147 99		147 99	
var lt	12/31/2012	250000	United Staes Tresury NTS 3 625%	250,000 00	253,916 25	(3,916 25)	
lt	3/11/2013	2600	Apache Corp	190,733 42	188,715 54	2,017 88	
VAR LT	10/1/2012	200	MCGRAW-HILL COS	10,835 41	6,773 91	4,061 50	
9/9/2009	10/1/2012	500	H & R BLOCK INC	8,642 36	8,496 94	145 42	
9/29/2006	10/1/2012	70	BORG WARNER	4,819 54	2,015 12	2,804 42	
2/25/2009	10/1/2012	100	CGG VERITAS	3,303 35	1,176 69	2,126 66	
2/25/2009	10/1/2012	100	CGG VERITAS	3,298 05	1,176 70	2,121 35	
2/25/2009	10/1/2012	100	CGG VERITAS	3,303 34	1,176 70	2,126 64	
2/25/2009	10/1/2012	100	CHICAGO BRIDGE & IRON	3,817 03	538 59	3,278 44	
2/25/2009	10/1/2012	200	CHICAGO BRIDGE & IRON	7,634 66	1,077 17	6,557 49	
VAR LT	10/1/2012	200	HARRIS CORPORATION	10,220 02	8,389 97	1,830 05	
3/23/2009	10/1/2012	100	MATTEL INC	3,551 07	1,121 79	2,429 28	
3/26/2009	10/22/2012	200	GANNETT CO INC	3,725 57	488 69	3,236 88	
2/28/2007	10/22/2012	100	LEXMARK INTL INC	2,144 97	6,068 32	(3,923 35)	
2/28/2007	10/22/2012	200	LEXMARK INTL INC	4,290 53	12,136 63	(7,846 10)	
1/8/2010	10/22/2012	100	MCGRAW-HILL COS	5,580 70	3,389 52	2,191 18	
1/8/2010	10/22/2012	100	MCGRAW-HILL COS	5,580 89	3,389 52	2,191 37	
9/27/2006	10/22/2012	800	NEW YORK TIMES	8,625 26	18,084 42	(9,459 16)	
8/20/2010	10/26/2012	200	ISHARES TR BARCLAYS BOND BARCL	21,146 98	20,948 91	198 07	
7/16/2012	11/5/2012	100	LEAR CORPORATION	4,248 21	3,746 89		501 32
7/16/2012	11/5/2012	200	LEAR CORPORATION	8,496 43	7,493 78		1,002 65
7/16/2012	11/5/2012	200	LEAR CORPORATION	8,497 85	7,493 79		1,004 06
9/9/2009	11/5/2012	200	H & R BLOCK INC	3,545 88	3,398 78	147 10	
9/9/2009	11/5/2012	300	H & R BLOCK INC	5,318 83	5,098 16	220 67	
9/9/2009	11/5/2012	300	H & R BLOCK INC	5,318 82	5,098 16	220 66	
VAR LT	11/5/2012	250	BUNGE LIMITED	17,645 65	13,974 98	3,670 67	
6/15/2009	11/5/2012	200	CINCINNATI FINANCIAL CP	7,990 87	4,702 25	3,288 62	
VAR LT	11/5/2012	400	ITT CORPORATION	8,287 52	7,005 81	1,281 71	
9/6/2006	11/5/2012	100	ITT CORPORATION	2,071 78	1,751 41	320 37	
9/6/2006	11/5/2012	100	ITT CORPORATION	2,072 00	1,751 41	320 59	
9/6/2006	11/5/2012	104	ITT CORPORATION	2,154 88	1,821 60	333 28	
VAR LT	11/5/2012	200	ISHARES TR BARCLAYS BOND BARCL	21,164 98	20,955 08	209 90	
8/23/2010	11/6/2012	100	ISHARES TR BARCLAYS BOND BARCL	10,565 91	10,486 78	79 13	
VAR LT	11/15/2012	100	BUNGE LIMITED	7,160 29	5,177 86	1,982 43	
VAR LT	12/27/2012	250	AKAMAI TECHNOLOGIES	10,388 57	4,496 00	5,892 57	
2/25/2009	12/27/2012	250	CHICAGO BRIDGE & IRON	11,321 30	1,346 47	9,974 83	
5/3/2012	1/28/2013	300	CREE INC	11,826 38	9,642 65		2,183 73
VAR LT	1/9/2013	1000	GANNETT CO INC	18,832 63	2,303 98	16,528 65	
8/23/2010	1/15/2013	150	ISHARES TR BARCLAYS BOND BARCL	15,831 00	15,730 18	100 82	
VAR LT	1/28/2013	700	H & R BLOCK INC	14,645 22	11,895 71	2,749 51	
9/29/2006	1/28/2013	300	CHARLES RIVER LABS HLDG	12,840 96	12,994 98	(154 02)	
2/25/2009	1/28/2013	450	CHICAGO BRIDGE & IRON	22,515 30	2,423 64	20,091 66	
3/31/2009	1/28/2013	500	GANNETT CO INC	10,046 82	1,105 49	8,941 33	
VAR LT	1/28/2013	400	LEXMARK INTL INC	11,294 59	22,014 88	(10,720 29)	
6/19/2007	1/28/2013	100	LEXMARK INTL INC	2,823 25	5,103 31	(2,280 06)	
3/23/2009	1/28/2013	100	MATTEL INC	3,783 18	1,121 80	2,661 38	
3/23/2009	1/28/2013	300	MATTEL INC	11,348 64	3,365 38	7,983 26	
5/3/2012	2/27/2013	300	CREE INC	13,425 35	9,642 64		3,782 71
9/20/2012	2/27/2013	100	DEVRY INC	3,110 24	2,312 33		797 91
9/20/2012	2/27/2013	400	DEVRY INC	12,440 96	9,249 59		3,191 37
2/25/2009	2/8/2013	400	CHICAGO BRIDGE & IRON	20,983 38	2,154 35	18,829 03	
VAR LT	2/11/2013	600	H & R BLOCK INC	14,325 33	11,240 36	3,084 97	
10/22/2009	2/11/2013	100	H & R BLOCK INC	2,387 25	1,971 88	415 37	
12/31/2009	2/11/2013	100	H & R BLOCK INC	2,387 15	2,265 39	121 76	
12/31/2009	2/11/2013	100	H & R BLOCK INC	2,387 15	2,265 39	121 76	
12/31/2009	2/11/2013	100	H & R BLOCK INC	2,387 15	2,265 39	121 76	
12/31/2009	2/27/2013	100	H & R BLOCK INC	2,458 25	2,265 39	192 86	
12/31/2009	2/27/2013	200	H & R BLOCK INC	4,916 51	4,530 79	385 72	
5/9/1961	2/27/2013	200	H & R BLOCK INC	4,916 51	3,408 74	1,507 77	
10/16/2007	2/27/2013	200	MANPOWERGROUP	10,933 00	13,941 95	(3,008 95)	
3/23/2009	2/27/2013	300	MATTEL INC	12,141 38	3,365 39	8,775 99	
VAR LT	2/27/2013	500	UNISYS CORP NEW	11,507 79	27,770 96	(16,263 17)	
VAR LT	3/4/2013	900	H & R BLOCK INC.	22,349 25	15,473 38	6,875 87	
2/24/2010	3/4/2013	100	H & R BLOCK INC	2,483 24	1,731 18	752 06	
VAR LT	3/26/2013	400	H & R BLOCK INC	11,181 76	6,733 07	4,448 69	
3/5/2010	3/26/2013	400	H & R BLOCK INC	11,181 76	6,669 19	4,512 57	

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3/5/2010	3/26/2013	700	H & R BLOCK INC	19,568 09	11,671 08	7,897 01	
VAR LT	6/21/2013	2000	SNAP ON INC	184,358.59	67,169 18	117,189 41	
VAR LT	8/2/2013	625	MALLINCRODT PUB LTD CO	28,764 49	16,980 11	11,784 38	
VAR LT	4/10/2013	300	CHICAGO BRIDGE & IRON	17,751 46	1,536 18	16,215 28	
3/5/2009	4/10/2013	200	CHICAGO BRIDGE & IRON	11,834 30	997 59	10,836 71	
3/5/2009	4/10/2013	200	CHICAGO BRIDGE & IRON	11,834.30	997 59	10,836 71	
3/5/2009	4/10/2013	400	CHICAGO BRIDGE & IRON	23,669 02	1,995 18	21,673 84	
VAR LT	4/11/2013	1100	MATTEL INCORPORATED	48,764 07	18,698 19	30,065 88	
9/11/2006	4/12/2013	100	BELO CORPORATION	1,066 16	1,145 68	(79 52)	
9/11/2006	4/12/2013	200	BELO CORPORATION	2,132 35	2,291 35	(159 00)	
9/11/2006	4/12/2013	100	BELO CORPORATION	1,066 16	1,145 68	(79 52)	
9/11/2006	4/12/2013	200	BELO CORPORATION	2,132 36	2,291 35	(158 99)	
9/11/2006	4/12/2013	100	BELO CORPORATION	1,066 28	1,145 68	(79 40)	
9/11/2006	4/12/2013	100	BELO CORPORATION	1,066 28	1,145 68	(79 40)	
9/11/2006	4/12/2013	100	BELO CORPORATION	1,066 28	1,145.68	(79 40)	
9/11/2006	4/12/2013	100	BELO CORPORATION	1,066.28	1,145 68	(79 40)	
5/3/2012	4/12/2013	200	CREE INC	10,658 81	6,428 43		4,230 38
12/2/2011	4/5/2013	200	ISHARES TR BARCLAYS BONDBARCL	21,084 98	20,717 35	367 63	
3/5/2010	4/26/2013	300	H & R BLOCK INC	8,535 42	4,351.34	4,184 08	
VAR LT	4/26/2013	700	H & R BLOCK INC	19,916 69	10,432.34	9,484 35	
8/25/2010	5/15/2013	400	BOSTON SCIENTIFIC CORP	3,593 94	2,193 19	1,400 75	
8/25/2010	5/15/2013	300	BOSTON SCIENTIFIC CORP	2,695 21	1,644 90	1,050 31	
8/25/2010	5/15/2013	100	BOSTON SCIENTIFIC CORP	898 48	548 30	350 18	
8/25/2010	5/15/2013	100	BOSTON SCIENTIFIC CORP	898.68	548 30	350 38	
8/25/2010	5/15/2013	200	BOSTON SCIENTIFIC CORP	1,797.37	1,096 60	700 77	
8/25/2010	5/15/2013	200	BOSTON SCIENTIFIC CORP	1,797 37	1,096.60	700.77	
8/25/2010	5/15/2013	100	BOSTON SCIENTIFIC CORP	898 68	548 30	350 38	
8/25/2010	5/15/2013	100	BOSTON SCIENTIFIC CORP	898 48	548 30	350 18	
VAR LT	5/15/2013	500	SOUTHWEST AIRLINES CO	7,087 39	7,446 31	(358 92)	
8/31/2012	5/15/2013	800	STAPLES INC	11,545 19	8,706 73	2,838 46	
12/11/2006	5/22/2013	100	CON WAY INC	3,898 05	4,372 24	(474 19)	
12/11/2006	5/22/2013	83	CON WAY INC	3,235.35	3,628 96	(393 61)	
12/11/2006	5/22/2013	117	CON WAY INC	4,559 20	5,115.51	(556 31)	
VAR LT	5/22/2013	400	CREE INC	24,479 42	12,791 60	11,687 82	
VAR LT	5/22/2013	300	LEXMARK INTL INC	9,445 67	14,039 95	(4,594 28)	
VAR LT	5/22/2013	200	LEXMARK INTL INC	6,297.11	7,699 75	(1,402 64)	
8/31/2012	5/22/2013	100	STAPLES INC	1,517 21	1,088.35		428 86
8/31/2012	5/22/2013	100	STAPLES INC	1,517 28	1,088 35		428 93
8/31/2012	5/22/2013	100	STAPLES INC	1,517 28	1,088 35		428 93
8/31/2012	5/22/2013	200	STAPLES INC	3,034 57	2,176 70		857 87
VAR LT	5/10/2013	300	VANGUARD BOND INDEX FUND INTEF	26,296 49	22,956 86	3,339 63	
4/21/2009	5/10/2013	100	VANGUARD BOND INDEX FUND INTEF	8,765 89	7,650 89	1,115 00	
4/21/2009	5/10/2013	100	VANGUARD BOND INDEX FUND INTEF	8,765 65	7,650 89	1,114 76	
4/21/2009	5/10/2013	100	VANGUARD BOND INDEX FUND INTEF	8,765 65	7,650 90	1,114 75	
4/21/2009	5/10/2013	300	VANGUARD BOND INDEX FUND INTEF	26,296 49	22,952 69	3,343 80	
4/21/2009	5/10/2013	300	VANGUARD BOND INDEX FUND INTEF	26,296.49	22,952 69	3,343 80	
4/24/2009	5/10/2013	100	VANGUARD BOND INDEX FUND INTEF	8,765 79	7,652 98	1,112 81	
5/15/2009	5/10/2013	900	VANGUARD BOND INDEX FUND INTEF	78,892 18	69,594 20	9,297 98	
VAR LT	5/15/2013	1500	VANGUARD BOND INDEX FUND INTEF	131,079.11	116,972 45	14,106.66	
VAR LT	6/7/2013	2000	BOSTON SCIENTIFIC CORP	18,574.73	10,865.12	7,709 61	
1/29/2007	6/7/2013	100	CON WAY INC	3,945 05	4,587 32	(642 27)	
1/29/2007	6/7/2013	100	CON WAY INC	3,945 05	4,587 32	(642 27)	
1/29/2007	6/7/2013	100	CON WAY INC	3,945.04	4,587 31	(642 27)	
5/9/2012	6/7/2013	100	CREE INC	6,257.51	3,181 58	3,075 93	
5/22/2009	6/7/2013	100	CREE INC	6,257 52	2,605 91	3,651 61	
11/16/2007	6/7/2013	100	LEXMARK INTL INC	3,173 25	3,601 39	(428 14)	
11/16/2007	6/7/2013	100	LEXMARK INTL INC	3,173.25	3,601 39	(428 14)	
VAR LT	6/7/2013	200	LEXMARK INTL INC	6,346 51	6,274 28	72 23	
4/2/2009	6/7/2013	100	LEXMARK INTL INC	3,173 25	1,744 39	1,428 86	
8/31/2012	6/7/2013	500	STAPLES INC	7,596 92	5,441 73		2,155 19
3/31/2009	6/13/2013	100	GANNETT CO INC	25,342.11	2,210 99	23,131 12	
9/7/2010	7/16/2013	700	H & R BLOCK INC	21,069 78	8,779 72	12,290 06	
4/2/2009	7/16/2013	100	LEXMARK INTL INC	3,271 65	1,744 39	1,527 26	
4/2/2009	7/16/2013	100	LEXMARK INTL INC	3,271.65	1,744 39	1,527 26	
VAR LT	7/16/2013	400	LEXMARK INTL INC	13,087 00	10,013 39	3,073 61	
VAR LT	7/18/2013	400	DENBURY RES INC	7,317 10	5,412 76	1,904 34	
10/4/2006	7/18/2013	300	DENBURY RES INC	5,487 51	4,025 60	1,461 91	
10/4/2006	7/18/2013	200	DENBURY RES INC	3,658 55	2,683 74	974 81	
1/29/2007	7/18/2013	100	DENBURY RES INC	1,829 27	1,375.50	453 77	
3/31/2009	7/18/2013	300	GANNETT CO INC	7,989 49	663 30	7,326 19	

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3/31/2009	7/18/2013	200	GANNETT CO INC	5,324 35	442 20	4,882 15	
VAR LT	7/23/2013	250	LEXMARK INTL INC	9,528 38	9,252 69	275 69	
9/11/2006	7/25/2013	1000	BELO CORPORATION	14,052 81	11,456 75	2,596 06	
VAR LT	7/25/2013	200	H & R BLOCK INC	6,115 54	2,634 42	3,481 12	
9/3/2010	7/25/2013	1500	BOSTON SCIENTIFIC CORP	16,105 27	7,921 97	8,183 30	
5/22/2012	7/25/2013	100	CREE INC	6,850 50	2,605 91	4,244 59	
5/22/2012	7/25/2013	100	CREE INC	6,850 51	2,605 91	4,244 60	
3/22/2013	7/25/2013	200	FIRST SOLAR INC	9,416 89	5,715 18		3,701 71
10/31/2007	7/25/2013	100	MANPOWERGROUP	6,626 33	7,215 67	(589 34)	
7/25/2006	7/25/2013	200	ZEBRA TECHNOLOGIES	9,272 89	6,077 07	3,195 82	
VAR LT	8/1/2013	100	BORG WARNER INC	9,855 85	2,918 80	6,937 05	
10/4/2006	8/1/2013	100	BORG WARNER INC	9,856 25	2,935 98	6,920 27	
VAR LT	8/1/2003	100	BORG WARNER INC	9,856 24	2,909 46	6,946 78	
9/3/2010	8/1/2013	1500	BOSTON SCIENTIFIC CORP	16,720 26	7,921 98	8,798 28	
5/22/2012	8/1/2013	100	CREE INC	7,307 71	2,605 90	4,701 81	
5/25/2012	8/1/2013	100	CREE INC	7,307 60	2,609 69	4,697 91	
10/31/2007	8/1/2013	200	MANPOWERGROUP	13,798 01	14,431 34	(633 33)	
VAR LT	8/5/2013	2700	DENBURY RES INC	48,652 30	42,242 73	6,409 57	
11/27/2006	8/13/2013	180	BORG WARNER INC	17,954 56	5,216 58	12,737 98	
4/23/2012	8/13/2013	400	LEXMARK INTL INC	16,389 16	13,035 75	3,353 41	
1/8/2010	8/13/2013	100	MCGRAW-HILL FINANCIAL	6,380 44	3,389 52	2,990 92	
1/8/2010	8/13/2013	100	MCGRAW-HILL FINANCIAL	6,380 62	3,389 52	2,991 10	
9/27/2006	8/13/2013	600	NEW YORK TIMES	7,308 36	13,563 32	(6,254 96)	
9/27/2006	8/13/2013	200	NEW YORK TIMES	2,436 12	4,521 10	(2,084 98)	
VAR LT	8/13/2013	500	ZEBRA TECHNOLOGIES	24,115 13	16,246 79	7,868 34	
10/13/2010	8/14/2013	100	H & R BLOCK INC	3,116 26	1,380 18	1,736 08	
10/13/2010	8/14/2013	100	H & R BLOCK INC	3,116 36	1,380 18	1,736 18	
10/13/2010	8/14/2013	100	H & R BLOCK INC	3,116 36	1,380 18	1,736 18	
10/13/2010	8/14/2013	200	H & R BLOCK INC	6,232 91	2,760 35	3,472 56	
VAR LT	8/14/2013	1000	SOUTHWEST AIRLINES CO	13,483 82	15,042 53	(1,558 71)	
VAR LT	8/29/2013	1000	BELO CORPORATION	14,198 52	11,456 75	2,741 77	
8/25/2008	8/29/2013	500	BELO CORPORATION	7,099 26	2,888 50	4,210 76	
VAR LT	8/29/2013	800	BELO CORPORATION	11,358 81	9,165 40	2,193 41	
1/8/2009	8/29/2013	200	BELO CORPORATION	2,839 70	398 10	2,441 60	
1/8/2009	8/29/2013	700	BELO CORPORATION	9,938 96	1,393 34	8,545 62	
1/8/2009	8/29/2013	800	BELO CORPORATION	11,358 81	1,592 39	9,766 42	
1/8/2009	9/4/2013	1000	BELO CORPORATION	14,167 71	1,990 49	12,177 22	
1/8/2009	9/4/2013	1000	BELO CORPORATION	14,168 48	1,990 49	12,177 99	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	300	BELO CORPORATION	4,250 56	597 15	3,653 41	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	14	BELO CORPORATION	198 36	27 87	170 49	
1/8/2009	9/4/2013	200	BELO CORPORATION	2,833 70	398 10	2,435 60	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	300	BELO CORPORATION	4,250 56	597 15	3,653 41	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	386	BELO CORPORATION	5,469 05	768 32	4,700 73	
7/25/2006	9/4/2013	700	INTERPUBLIC GROUP OF COS	11,262 95	5,628 95	5,634 00	
1/25/2010	9/4/2013	100	MCGRAW-HILL FINANCIAL	5,972 26	3,345 04	2,627 22	
1/25/2010	9/4/2013	300	MCGRAW-HILL FINANCIAL	17,916 78	10,035 11	7,881 67	
VAR LT	9/4/2013	800	NEW YORK TIMES	8,976 49	19,294 93	(10,318 44)	
5/10/2012	9/4/2013	500	NVIDIA CORP	7,467 42	6,222 39	1,245 03	
9/3/2010	9/5/2013	100	BOSTON SCIENTIFIC CORP	1,156 58	528 13	628 45	
9/3/2010	9/5/2013	900	BOSTON SCIENTIFIC CORP	10,409 27	4,753 18	5,656 09	
9/3/2010	9/10/2013	1000	BOSTON SCIENTIFIC CORP	11,982 84	5,281 32	6,701 52	
5/18/2009	9/24/2013	100	AKAMAI TECHNOLOGIES	5,222 03	2,090 13	3,131 90	
5/18/2009	9/24/2013	100	AKAMAI TECHNOLOGIES	5,222 03	2,090 14	3,131 89	
VAR LT	9/24/2013	100	AKAMAI TECHNOLOGIES	5,222 02	2,002 42	3,219 60	
11/27/2006	9/24/2013	100	BORG WARNER INC	10,227 37	2,898 13	7,329 24	
4/23/2007	9/24/2013	150	FMC CORP NEW	10,703 71	2,879 43	7,824 28	
7/25/2006	9/24/2013	700	INTERPUBLIC GROUP OF COS	12,032 94	5,628 95	6,403 99	
10/31/2007	9/24/2013	200	MANPOWERGROUP	14,643 20	14,431 34	211 86	
2/26/2010	9/24/2013	200	MCGRAW-HILL FINANCIAL	13,236 02	6,820 28	6,415 74	
TOTALS				2,571,641 58	1,833,100 62	713,845 34	24,695 62

IRVIN STERN FOUNDATION

A private charitable trust

Letter of Inquiry

Organizations meeting the Guidelines of the Irvin Stern Foundation are invited to submit a Letter of Inquiry that includes the following information:

Organization name and overview

A brief statement of the organization's activities, history and tax-exempt status

Contact information including the submitting official's name, title, mailing address, e-mail address, and telephone and fax numbers

Grant purpose, including whether the grant is for annual operating support or a proposed project, and the major issues and problems to be addressed

Grant amount requested from the Foundation

Budget for the organization and the proposed project

The Irvin Stern Foundation will review and respond to your organization's letter of inquiry promptly. If the Trustees wish to consider your proposal for funding, your organization will be invited to submit a full application and supporting materials. The Trustees of the Irvin Stern Foundation meet twice yearly, in the spring and fall.

On-line submissions of grant inquiry letters are strongly encouraged. Please visit the Irvin Stern Foundation website at www.irvinstern.org for more information.

Organizations may also submit a brief one to two page Letter of Inquiry including the above information via snail mail at:

The Irvin Stern Foundation
4 EAST OHIO ST #16
CHICAGO IL 60611

ATTACHMENT E

IRVIN STERN FOUNDATION

A private charitable trust.

Grant Guidelines

The Irvin Stern Foundation makes grants to tax-exempt organizations in the areas of human services, civic affairs, and Jewish life. Priority is given to projects serving communities in metropolitan Chicago and New York within the following areas of emphasis:

Human Services Aiding the underserved, poor, and disadvantaged via innovative programs in social services, physical and mental health outreach, literacy, and vocational training.

Civic Affairs Helping to improve the quality of life in urban communities through grass roots and neighborhood organizations.

Jewish Life Enhancing Jewish community, education and spirituality.

The Irvin Stern Foundation does not contribute to endowments, capital campaigns, capital construction projects, and academic or medical research programs.

Organizations meeting the Guidelines of the Irvin Stern Foundation are invited to submit a Letter of Inquiry either on-line at the Irvin Stern Foundation website or via the US mail.

IRVIN STERN FOUNDATION

A private charitable trust.

GRANT REQUEST SUMMARY

Name of Organization _____

Address: _____

City: _____ State: _____ Zip _____

Phone: _____ Fax Phone: _____

Name of Director or Contact Person: _____

Their Position or Title: _____

Briefly Describe Your Organization's Goals: _____

Amount Requested: _____ For the Period From: _____ To: _____

For What Program or Purpose? _____

What is your Organization's Total Operating Budget For the Current Year? _____

Please list last year's contributions including the names of specific government agency¹ in the space provided below:

	Amount		Amount
Fed. Gov't: ()	_____	United Way:	_____
State Gov't: ()	_____	Jewish Federation:	_____
Local Gov't: ()	_____	Catholic Charities:	_____
Foundation Gifts:	_____	Individual Gifts:	_____
Earned Income/Fees/Rents:	_____	Legacies/Bequests:	_____
Interest/Dividends:	_____	Other:	_____

Have you received a Grant from the ISF in the past? **Yes** **No** If yes, list last 5 years History in spaces below:

Year Received (starting with most recent):	19 _____	Amount: _____
	19 _____	_____
	19 _____	_____
	19 _____	_____
	19 _____	_____

Also, make sure the following information is included with your application: 1) Most recent Financial Statement (audited). 2) List current Board of Directors. 3) List of current Foundation Donors and Grants made. 4) IRS 501(c) Letter.

ATTACHMENT E