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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2012

For calendar year 2012 or tax year beginning

OCT 1, 2012

, and ending

SEP 30, 2013

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

Name of foundation

IRVIN STERN FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

4 EAST OHIO

Room/suite

APT 6

A Employer identification number

36-6047947

B Telephone number

312-321-9402

City or town, state, and ZIP code

CHICAGO, IL 60611

G Check all that apply

 Initial return  
 Final return  
 Address change Initial return of a former public charity  
 Amended return  
 Name change

H Check type of organization

 Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundationI Fair market value of all assets at end of year  
(from Part II, col (c), line 16)J Accounting method  
 Cash  Accrual  
 Other (specify) \_\_\_\_\_

► \$ 13,476,782. (Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B Interest on savings and temporary cash investments				
3 Dividends and interest from securities	73.	73.		STATEMENT 1
5a Gross rents	271,688.	271,688.		STATEMENT 2
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a	6,305.	744,846.		
7 Capital gain net income (from Part IV, line 2)		744,846.		
8 Net short-term capital gain				
9 Income modifications Gross sales less returns and allowances				
10a b Less Cost of goods sold c Gross profit or (loss)				
11 Other income				
12 Total Add lines 1 through 11	1,016,607.	1,016,607.		
13 Compensation of officers, directors, trustees, etc	2,290.	229.		2,061.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees	STMT 3 10,770.	8,078.		2,692.
c Other professional fees	STMT 4 141,201.	97,371.		43,830.
17 Interest				
18 Taxes	STMT 5 13,073.	13,073.		0.
19 Depreciation and depletion	STMT 6 514.	0.		
20 Occupancy	18,959.	1,896.		17,063.
21 Travel, conferences, and meetings	14,180.	1,418.		12,762.
22 Printing and publications				
23 Other expenses	STMT 6 9,124.	4,570.		4,554.
24 Total operating and administrative expenses Add lines 13 through 23	210,111.	126,635.		82,962.
25 Contributions, gifts, grants paid	798,000.			798,000.
26 Total expenses and disbursements Add lines 24 and 25	1,008,111.	126,635.		880,962.
27 Subtract line 26 from line 12	8,496.			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		889,972.		
c Adjusted net income (if negative, enter -0-)			N/A	

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Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
			4,426.	5,245.	5,245.
1	Cash - non-interest-bearing		1,027,138.	622,239.	622,239.
2	Savings and temporary cash investments				
3	Accounts receivable ►				
	Less allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons				
7	Other notes and loans receivable ►				
	Less allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments - U S and state government obligations	STMT 7	670,358.	416,442.	403,605.
b	Investments - corporate stock	STMT 8	6,723,482.	7,759,087.	11,637,590.
c	Investments - corporate bonds	STMT 9	702,902.	702,902.	797,636.
11	Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
12	Investments - mortgage loans				
13	Investments - other	STMT 10	374,873.	0.	0.
14	Land, buildings, and equipment basis ►	16,897.			
	Less accumulated depreciation ►	16,305.	1,107.	592.	592.
15	Other assets (describe ►	STATEMENT 11)	3,600.	9,875.	9,875.
16	Total assets (to be completed by all filers)		9,507,886.	9,516,382.	13,476,782.
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable				
22	Other liabilities (describe ►	)			
23	Total liabilities (add lines 17 through 22)		0.	0.	
Liabilities	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31				
	24	Unrestricted	9,507,886.	9,516,382.	
Net Assets or Fund Balances	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/>				
and complete lines 27 through 31					
27	Capital stock, trust principal, or current funds				
28	Paid-in or capital surplus, or land, bldg , and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		9,507,886.	9,516,382.	
31	Total liabilities and net assets/fund balances		9,507,886.	9,516,382.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,507,886.
2	Enter amount from Part I, line 27a	2	8,496.
3	Other increases not included in line 2 (itemize) ►	3	0.
4	Add lines 1, 2, and 3	4	9,516,382.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,516,382.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHEMENT D		P	VARIOUS	VARIOUS
b SEE ATTACHEMENT D		P	VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a			713,845.	
b			24,696.	
c 6,305.			6,305.	
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a			713,845.	
b			24,696.	
c			6,305.	
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	744,846.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8			3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	919,921.	11,107,984.	.082816
2010	965,705.	11,671,267.	.082742
2009	949,931.	10,868,290.	.087404
2008	767,068.	9,468,505.	.081013
2007	986,640.	12,182,951.	.080985
2 Total of line 1, column (d)			2 .414960
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .082992
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 12,083,248.
5 Multiply line 4 by line 3			5 1,002,813.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 8,900.
7 Add lines 5 and 6			7 1,011,713.
8 Enter qualifying distributions from Part XII, line 4			8 880,962.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1	1 17,799.
	Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)	
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b	
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	
3	Add lines 1 and 2	2 0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	3 17,799.
5	<b>Tax based on investment income</b> Subtract line 4 from line 3 If zero or less, enter -0-	4 0.
6	<b>Credits/Payments</b>	5 17,799.
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a 11,000.
b	Exempt foreign organizations - tax withheld at source	6b
c	Tax paid with application for extension of time to file (Form 8868)	6c
d	Backup withholding erroneously withheld	6d
7	Total credits and payments Add lines 6a through 6d	7 11,000.
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9 6,799.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10
11	Enter the amount of line 10 to be Credited to 2013 estimated tax ►	11 Refunded

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes X
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	X
1c	Did the foundation file Form 1120-POL for this year?	X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ <u>0.</u> (2) On foundation managers ► \$ <u>0.</u>	0.
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ <u>0.</u>	
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	N/A
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>II</u>	
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	<input checked="" type="checkbox"/>	X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	<input checked="" type="checkbox"/>	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.IRVINSTERN.ORG</u>	13	<input checked="" type="checkbox"/>	X
14	The books are in care of ► <u>GIMBEL SINGER + ABRAMS</u> Located at ► <u>10 S. RIVERSIDE PLAZA, CHICAGO, IL</u>	Telephone no ► <u>312-782-1010</u> ZIP+4 ► <u>60606</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	<input type="checkbox"/>	N/A
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22-1. If "Yes," enter the name of the foreign country ►	16	<input checked="" type="checkbox"/>	Yes
				No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Yes	No		
1a	During the year did the foundation (either directly or indirectly)				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	1b	<input checked="" type="checkbox"/>	X	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	<input checked="" type="checkbox"/>	X	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5))				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ►	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►	2b	<input checked="" type="checkbox"/>	X	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	<input checked="" type="checkbox"/>	X	
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4a	<input checked="" type="checkbox"/>	X	
		4b	<input checked="" type="checkbox"/>	X	

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A		
Organizations relying on a current notice regarding disaster assistance check here	► <input type="checkbox"/>		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

## 1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12	AS NEEDED	2,290.	0.	0.

## 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

► 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
HARRIS ASSOCIATES L.P.		
2 N. LASALLE ST. STE. 500, CHICAGO, IL 60606	INVESTMENT SERVICES	61,473.

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**Total number of others receiving over \$50,000 for professional services**

1

0

## Part IX-A: Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	
1	N/A
2	
3	
4	

## Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	N/A	
2		
3		
All other program-related investments See instructions		
3		
<b>Total. Add lines 1 through 3</b>		► 0.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	11,503,541.
b	Average of monthly cash balances	1b	763,716.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	12,267,257.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,267,257.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	184,009.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	12,083,248.
6	Minimum investment return. Enter 5% of line 5	6	604,162.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part)

1	Minimum investment return from Part X, line 6	1	604,162.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	17,799.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	17,799.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	586,363.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	586,363.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	586,363.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	1a	880,962.
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1b	0.
b	Program-related investments - total from Part IX-B	2	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	3a	
3	Amounts set aside for specific charitable projects that satisfy the	3b	
a	Suitability test (prior IRS approval required)	4	880,962.
b	Cash distribution test (attach the required schedule)	5	0.
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	6	880,962.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b		
6	Adjusted qualifying distributions Subtract line 5 from line 4		

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				586,363.
2 Undistributed income, if any, as of the end of 2012			0.	
a Enter amount for 2011 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	398,908.			
b From 2008	295,965.			
c From 2009	417,900.			
d From 2010	401,588.			
e From 2011	386,466.			
f Total of lines 3a through e	1,900,827.			
4 Qualifying distributions for 2012 from Part XII, line 4 ► \$	880,962.		0.	
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				586,363.
e Remaining amount distributed out of corpus	294,599.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	2,195,426.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.		
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	398,908.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	1,796,518.			
10 Analysis of line 9				
a Excess from 2008	295,965.			
b Excess from 2009	417,900.			
c Excess from 2010	401,588.			
d Excess from 2011	386,466.			
e Excess from 2012	294,599.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ►

4942(j)(3) or  4942(j)(5)

Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	
a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities				
Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

SEE ATTACHEMENT E

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHEMENT E

c Any submission deadlines

SEE ATTACHEMENT E

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHEMENT E

## Part XV Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
SEE ATTACHMENT F		PUBLIC CHARITY	GENERAL PURPOSE	798,000.
<b>Total</b>			► 3a	798,000.
<i>b Approved for future payment</i>				
NONE				
<b>Total</b>			► 3b	0.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments				14	73.
4 Dividends and interest from securities				14	271,688.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				18	744,846.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)			0.	1,016,607.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	1,016,607.
(See worksheet in line 13 instructions to verify calculations )					

## **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
	N/A

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
(1)	Cash	1a(1)	X
(2)	Other assets	1a(2)	X
b	Other transactions		
(1)	Sales of assets to a noncharitable exempt organization	1b(1)	X
(2)	Purchases of assets from a noncharitable exempt organization	1b(2)	X
(3)	Rental of facilities, equipment, or other assets	1b(3)	X
(4)	Reimbursement arrangements	1b(4)	X
(5)	Loans or loan guarantees	1b(5)	X
(6)	Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

<b>b</b> If "Yes," complete the following schedule		
<b>(a)</b> Name of organization	<b>(b)</b> Type of organization	<b>(c)</b> Description of relationship
N/A		

Sign  
Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

1/22/12

Dat

► x trustee

Tutti

May the IRS discuss this return with the preparer shown below (see instr.)?

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	JEFFREY KRAUSE	<i>Jeff A. Krause</i>	12/27/13		P00032946
	Firm's name ► GIMBEL ABRAMS + SINGER			Firm's EIN ► 36-3663184	
Firm's address ► 10 S. RIVERSIDE PLAZA, SUITE 1275 CHICAGO, IL 60606-3710			Phone no (312) 782-1010		

Irvin Stern Foundation  
FEIN. 36-6047947  
FYE: 9/30/13  
Contributions

Organization Name	Address	Amount
Alliance for Change	P.O. 17704 Urbana, IL. 61803	1,000
A Just Harvest	P O. Box 608033 Chicago IL 60626	10,000
Access Community Health Network	222 North Canal Street Chicago IL 60606	15,000
Association of Community Employment Pr Homeless (A C E)	598 Broadway, 7th Floor New York, NY10012	25,000
Albany Park Theater Project	P O. Box 257995 Chicago IL 60625	10,000
Barnes Jewish Hospital	1001 Highlands Plaza Drive We Suite 140 St. Louis MO 63110	5,000
Bay Street Theatre Inc	P O. Box 810 Sag Harbor, NY 11963	10,000
Beth Emet Synagogue	1224 Dempster Ave Evanston IL 60202	5,000
Cure Violence	1603 west Taylor Chicago, IL 60612	15,000
Childrens Museum of the East End (CMEE	P O Box 316, 376 Sag Bridge Turnpike Bridgehampton, NY 11932	10,000
Coaches Across Continents	1116 Hull Terrace Evanston, Illinois 60602	7500

Irvin Stern Foundation  
FEIN 36-6047947  
FYE 9/30/13  
Contributions

Corazon a Corazon	8235 South Shore Drive Chicago, IL 60617	5,000
District #65 Dewey Elementary School	1551 Wesley Street Evanston, IL 60201	7500
Erie Family Health Center	1701 West Superior, 3rd Floor Chicago, Illinois 60622	15,000
Evanston Community Foundation	1007 Church Street Suite 109 Evanston, IL 60201	25,000
Family Matters	7731 North Marshfield Chicago, IL 60626	15,000
Gan Yeladim Preschool	57 S. Williams St Burlington, VT 05401	3,500
Globe Med	620 Library Place Evanston, Illinois 60208	10,000
Human Rights Defense Center	P O. Box 2420 West Brattleboro, VT 05303	10,000
Jane Addams Resource Corporation	4432 North Ravenswood Chicago, Illinois 60640	15,000
J Street Education Fund	P O. Box 66073 Washington DC 20035	25,000
Jewish Center of the Hamptons	44 Woods Lane East Hampton, NY 11937	5,000
Jewish Community Centers Association	2 Millstone Campus Drive St. Louis, MO 63146	5,000
Jewish Family Services of San Diego	8804 Balboa Avenue San Diego, CA 92123	40,000
Jewish Federation of San Diego County	4950 Murphy Canyon San Diego, CA 92123	25,000
Jewish Federation of St. Louis	12 Millstone Campus Drive St. Louis MO 63146	5,000
Jewish United Fund of Chicago	One South Franklin Chicago, IL 60606	120,000
King Street Center	87 King St Burlington, VT 05401	20,000

Irvin Stern Foundation  
FEIN: 36-6047947  
FYE: 9/30/13  
Contributions

KIPP Bay Area Schools	426 17th Street, Suite 200 Oakland, CA, 94612	20,000
Lakeview Pantry	3851 N Broadway Chicago, IL, 60613	12,500
Let's Get Ready (LGR)	50 Broadway, Suite 806 New York, NY 10004	17,500
Northwestern University Settlement Assoc.	1400 West Augusta Blvd Chicago, Illinois 60642	7,500
Ounce of Prevention Fund	33 West Monroe Suite 2400 Chicago, Illinois 60603	10,000
Ohavi Zedek Synagogue	188 N Prospect St Burlington, VT 05401	10,000
Pespectives Charter School	3663 South Wabash Chicago, Illinois 60653	10,000
Prep for Prep	328 West 71st Street New York, NY 10023	5,000

Irvin Stern Foundation  
FEIN 36-6047947  
FYE 9/30/13  
Contributions

Prison University Project	P O Box 492 San Quentin, CA 94964	10,000
Retreat Inc	13 Goodfriend Drive East Hampton, NY 11937	25,000
Recovery On Water	123 N Jefferson Apt 2F Chicago, IL 60661	5,000
San Diego Hospice	4311 Third Avenue San Diego, CA 92103	10,000
Sargent Shriver National Center on Poverty Law	50 East Washington Street Chicago, IL 60602	10,000
Seeds of Peace	370 Lexington Avenue Suite 2103 New York, NY 10017	10,000
Southwest PADS	3121 West 71st Chicago, Illinois 6069	2,500
Springs Food Pantry	5 Old Stone Highway East Hampton, NY 11937	1,000
Friends of the Arava Institute	896 Beacon Street Boston, MA 02215	7500
The ISEF Foundation	135 West 29th Street #303 New York, NY 10001	15,000

Irvin Stern Foundation  
FEIN: 36-6047947  
FYE 9/30/13  
Contributions

Telluride Association	1735 Washtenaw Ave Ann Arbor, MI 48104	10,000
Turnaround for Children	25 West 45th Street 6 <sup>th</sup> Floor New York, NY 10036	40,000
The Ark	6450 North California Avenue Chicago, IL 60645	10,000
The East Hampton Healthcare Fdn	200 Pantigo Place, Suite M East Hampton, NY 11937	10,000
United Way of Dade County	3250 SW 3 <sup>rd</sup> Street Miami, FL 33129	15,000
Untold News	900 Fifth Avenue Apt. 2A New York, NY 10021	10,000
Vermont Youth Conservation Corp.	9 Pleasant St. Bristol, Vermont 05443	10,000
Whalebone Housing Development	147 Boatheaders Ln East Hampton, NY 11937	5,000
Women in Need	115 West 31 <sup>st</sup> New York, NY 10001	25,000
Youth Organizations Umbrella, Inc	1027 Sherman Ave Evanston, IL 60202	10,000
		<u>798,000</u>

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
VARIOUS	73.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	73.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
VARIOUS	277,993.	6,305.	271,688.
TOTAL TO FM 990-PF, PART I, LN 4	277,993.	6,305.	271,688.

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	10,770.	8,078.		2,692.
TO FORM 990-PF, PG 1, LN 16B	10,770.	8,078.		2,692.

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	97,371.	97,371.		0.
GRANTS ADMINISTRATOR	43,830.	0.		43,830.
TO FORM 990-PF, PG 1, LN 16C	141,201.	97,371.		43,830.

FORM 990-PF

TAXES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	846.	846.		0.
FEDERAL EXCISE TAX	12,227.	12,227.		0.
TO FORM 990-PF, PG 1, LN 18	13,073.	13,073.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT

6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSE	1,339.	670.		669.
INSURANCE - D&O	2,450.	1,225.		1,225.
STATE FILING FEES	15.	15.		0.
COMPUTER EXPENSES	5,320.	2,660.		2,660.
TO FORM 990-PF, PG 1, LN 23	9,124.	4,570.		4,554.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT

7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A	X		261,077.	252,429.
150000 SHARES ILLINOIS STATE 4.071%		X	155,365.	151,176.
TOTAL U.S. GOVERNMENT OBLIGATIONS			261,077.	252,429.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			155,365.	151,176.
TOTAL TO FORM 990-PF, PART II, LINE 10A			416,442.	403,605.

FORM 990-PF

CORPORATE STOCK

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT B	7,759,087.	11,637,590.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,759,087.	11,637,590.

FORM 990-PF

CORPORATE BONDS

STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT C	702,902.	797,636.
TOTAL TO FORM 990-PF, PART II, LINE 10C	702,902.	797,636.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
850 ISHARES TR BARCLAYS BOND	COST	0.	0.
3700 SHRS VANGUARD BOND INDEX FUND	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		0.	0.

FORM 990-PF

OTHER ASSETS

STATEMENT 11

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
SECURITY DEPOSIT DUE FROM CHARLES SCHWAB	3,600.	3,600.	3,600.
	0.	6,275.	6,275.
TO FORM 990-PF, PART II, LINE 15	3,600.	9,875.	9,875.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STUART EPSTEIN 3 NORTH MAIN STREET EAST HAMPTON, NY 11937	TRUSTEE	1,000.	0.	0.
JEFFREY EPSTEIN 25 WEST HUBBARD CHICAGO, IL 60610	TRUSTEE	500.	0.	0.
KRISTEN BONCHER 106 EAST 85TH STREET APT 1S NEW YORK, NY 10028	TRUSTEE	0.	0.	0.
HEIDI BONCHER 315 DORSET HEIGHTS SOUTH BURLINGTON, VT 04503	TRUSTEE	0.	0.	0.
NICHOLAS EPSTEIN 3 NORTH MAIN STREET E HAMPTON, NY 11937	TRUSTEE	550.	0.	0.
ARTHUR WINTER 1800 SHERMAN AVE. EVANSTON, IL 60201	TRUSTEE	0.	0.	0.
DOROTHY WINTER 1001 GENTER APT 8G LA JOLLA, CA 90237	TRUSTEE	0.	0.	0.
SAMANTHA EPSTEIN 326 LESTER AVE. OAKLAND, CA 94606	TRUSTEE	0.	0.	0.
EMMA WINTER 2003 ORRINGTON EVANSTON, IL 60201	TRUSTEE	240.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		2,290.	0.	0.

IRVIN STERN FOUNDATION  
FEIN 36-6047947  
990-PF, PAGE 2, LINE 10A

ATTACHMENT A

Shares	Name of Security	Cost	Ending Market Value
250,000	Federal Home Loan Bk 4.875%	\$ 261,077	\$ 252,429
<b>Totals</b>		<b>\$ 261,077</b>	<b>\$ 252,429</b>

ATTACHMENT A

Shares	Name of Security	Ending Cost	Market Value
1,800	AGCO Corp	100,648	108,756
3,550	Akamai Technologies	109,923	183,535
2,100	H & R Block Inc	26,451	55,986
650	Borg Warner	14,161	65,904
18,000	Boston Scientific Corp	111,832	211,320
1,400	Bunge Ltd	93,762	106,274
2,200	CGG Veritas	27,953	50,710
2,150	Charles River Lab	73,487	99,459
1,900	Cincinnati Financial	51,390	89,604
1,200	Citrix Systems Inc	85,382	84,732
2,600	Con Way, Inc	69,501	112,034
1,900	Cree, Inc	68,599	114,361
6,000	Devry Inc	144,735	183,360
2,000	Eaton Vance	56,504	77,660
1,150	FMC Corp	23,303	82,478
5,000	Finisar Corp	72,829	113,150
2,300	First Solar Inc	62,937	92,483
2,500	FMC Technologies	38,757	138,550
3,400	Forest Laboratories, Inc	95,147	145,486
550	Gannett Company	49,248	147,345
1,600	Harris Corp	54,845	94,880
4,800	Hospira	154,869	188,256
9,100	Interpublic Group	63,050	156,338
4,000	Itron Inc	175,975	171,320
4,600	Jabil Circuit	42,709	99,728
9,000	Jupiter Networks Inc	162,488	178,740
1,300	Lear Corporation	47,720	93,041
3,250	Lexmark Intl	61,115	107,250
1,700	Manpower Inc	78,559	123,658
21,000	Mcdermott Intl Inc	213,088	156,030
1,100	Mcgraw-Hill Co	37,321	72,149
5,500	Molex Inc	139,173	211,860
2,100	Molson Coors	82,515	105,273
16,100	New York Times	148,106	202,377
2,100	Northern Trust Corp	78,757	114,198
10,000	Nuance Communications	182,285	186,800
10,500	Nvidia Corp	130,079	163,380
2,900	Ramond James Finl Inc	121,717	120,843
3,500	Scholastic Corp	107,920	100,352
1,150	Sigma Aldrich Corp	63,926	98,095
10,000	Southwest Airlines	109,250	145,600
11,500	Staples Inc	134,247	168,475
6,200	Unisys Corp	130,129	156,178
1,900	Varian Medical	85,965	141,987
5,400	Werner Enterprises	106,073	125,982
6,000	Xylem Inc	158,750	167,580
2,300	Zebra Technologies	76,407	104,788
17,000	Applied Materials	210,510	298,180
10,600	Broadridge Financial Solutions	224,522	336,550
8,000	Cenovus Energy	200,848	238,800
5,000	Covidien Ltd	171,042	304,700
8,000	Delphi Automotive	226,184	467,360
3,600	Diageo	189,411	457,488
3,600	General Dynamics	204,347	315,072
6,000	Illinois Tool Works	172,268	457,620
12,500	Intel Corp	285,829	286,500
3,400	Lab Corp of America	226,260	337,076
10,000	Microsoft	266,580	333,100
10,000	Oracle Corp	125,781	331,700
5,000	Snap on Tools	89,058	298,500
14,600	TD Ameritrade	350,245	382,228
8,300	Texas Instruments	257,123	334,241
8,500	TE Connectivity	235,492	440,130
		<u>\$ 7,759,087</u>	<u>\$ 11,637,590</u>

IRVIN STERN FOUNDATION  
FEIN 36-6047947  
990-PF, PAGE 2, LINE 10C

ATTACHMENT C

Shares	Name of Security	Cost	Market Value
200,000	Partnerre Fin A LLC	\$ 197,368	\$ 233,092
200,000	Penn Natl Gaming	214,510	219,000
100,000	Woolworth	103,260	113,000
200,000	Yum Brands	<u>187,764</u>	<u>232,544</u>
	Totals	<u>\$ 702,902</u>	<u>\$ 797,636</u>

ATTACHMENT C

**IRVIN STERN FOUNDATION**  
**FEIN 36-6047947**  
**CAPITAL GAINS AND (LOSSES)**

Date Purch	Date Sold	# of Shares	Security name	Sale of Securities	Cost of Securities	Long term Gain/(Loss)	Short Term Gain/(Loss)
var It	1/8/2013	Dell		147.99		147.99	
var It	12/31/2012	250000	United Staes Treasury NTS 3.625%	250,000.00	253,916.25	(3,916.25)	
It	3/11/2013	2600	Apache Corp	190,733.42	188,715.54	2,017.88	
VAR LT	10/1/2012	200	MCGRAW-HILL COS	10,835.41	6,773.91	4,061.50	
9/9/2009	10/1/2012	500	H & R BLOCK INC	8,642.36	8,496.94	145.42	
9/29/2006	10/1/2012	70	BORG WARNER	4,819.54	2,015.12	2,804.42	
2/25/2009	10/1/2012	100	CGG VERITAS	3,303.35	1,176.69	2,126.66	
2/25/2009	10/1/2012	100	CGG VERITAS	3,298.05	1,176.70	2,121.35	
2/25/2009	10/1/2012	100	CGG VERITAS	3,303.34	1,176.70	2,126.64	
2/25/2009	10/1/2012	100	CHICAGO BRIDGE & IRON	3,817.03	538.59	3,278.44	
2/25/2009	10/1/2012	200	CHICAGO BRIDGE & IRON	7,634.66	1,077.17	6,557.49	
VAR LT	10/1/2012	200	HARRIS CORPORATION	10,220.02	8,389.97	1,830.05	
3/23/2009	10/1/2012	100	MATTEL INC	3,551.07	1,121.79	2,429.28	
3/26/2009	10/22/2012	200	GANNETT CO INC	3,725.57	488.69	3,236.88	
2/28/2007	10/22/2012	100	LEXMARK INTL INC	2,144.97	6,068.32	(3,923.35)	
2/28/2007	10/22/2012	200	LEXMARK INTL INC	4,290.53	12,136.63	(7,846.10)	
1/8/2010	10/22/2012	100	MCGRAW-HILL COS	5,580.70	3,389.52	2,191.18	
1/8/2010	10/22/2012	100	MCGRAW-HILL COS	5,580.89	3,389.52	2,191.37	
9/27/2006	10/22/2012	800	NEW YORK TIMES	8,625.26	18,084.42	(9,459.16)	
8/20/2010	10/26/2012	200	ISHARES TR BARCLAYS BOND BARCL	21,146.98	20,948.91	198.07	
7/16/2012	11/5/2012	100	LEAR CORPORATION	4,248.21	3,746.89		501.32
7/16/2012	11/5/2012	200	LEAR CORPORATION	8,496.43	7,493.78		1,002.65
7/16/2012	11/5/2012	200	LEAR CORPORATION	8,497.85	7,493.79		1,004.06
9/9/2009	11/5/2012	200	H & R BLOCK INC	3,545.88	3,398.78	147.10	
9/9/2009	11/5/2012	300	H & R BLOCK INC	5,318.83	5,098.16	220.67	
9/9/2009	11/5/2012	300	H & R BLOCK INC	5,318.82	5,098.16	220.66	
VAR LT	11/5/2012	250	BUNGE LIMITED	17,645.65	13,974.98	3,670.67	
6/15/2009	11/5/2012	200	CINCINNATI FINANCIAL CP	7,990.87	4,702.25	3,288.62	
VAR LT	11/5/2012	400	ITT CORPORATION	8,287.52	7,005.81	1,281.71	
9/6/2006	11/5/2012	100	ITT CORPORATION	2,071.78	1,751.41	320.37	
9/6/2006	11/5/2012	100	ITT CORPORATION	2,072.00	1,751.41	320.59	
9/6/2006	11/5/2012	104	ITT CORPORATION	2,154.88	1,821.60	333.28	
VAR LT	11/5/2012	200	ISHARES TR BARCLAYS BOND BARCL	21,164.98	20,955.08	209.90	
8/23/2010	11/6/2012	100	ISHARES TR BARCLAYS BOND BARCL	10,565.91	10,486.78	79.13	
VAR LT	11/15/2012	100	BUNGE LIMITED	7,160.29	5,177.86	1,982.43	
VAR LT	12/27/2012	250	AKAMAI TECHNOLOGIES	10,388.57	4,496.00	5,892.57	
2/25/2009	12/27/2012	250	CHICAGO BRIDGE & IRON	11,321.30	1,346.47	9,974.83	
5/3/2012	1/28/2013	300	CREE INC	11,826.38	9,642.65		2,183.73
VAR LT	1/9/2013	1000	GANNETT CO INC	18,832.63	2,303.98	16,528.65	
8/23/2010	1/15/2013	150	ISHARES TR BARCLAYS BOND BARCL	15,831.00	15,730.18	100.82	
VAR LT	1/28/2013	700	H & R BLOCK INC	14,645.22	11,895.71	2,749.51	
9/29/2006	1/28/2013	300	CHARLES RIVER LABS HLDG	12,840.96	12,994.98	(154.02)	
2/25/2009	1/28/2013	450	CHICAGO BRIDGE & IRON	22,515.30	2,423.64	20,091.66	
3/31/2009	1/28/2013	500	GANNETT CO INC	10,046.82	1,105.49	8,941.33	
VAR LT	1/28/2013	400	LEXMARK INTL INC	11,294.59	22,014.88	(10,720.29)	
6/19/2007	1/28/2013	100	LEXMARK INTL INC	2,823.25	5,103.31	(2,280.06)	
3/23/2009	1/28/2013	100	MATTEL INC	3,783.18	1,121.80	2,661.38	
3/23/2009	1/28/2013	300	MATTEL INC	11,348.64	3,365.38	7,983.26	
5/3/2012	2/27/2013	300	CREE INC	13,425.35	9,642.64		3,782.71
9/20/2012	2/27/2013	100	DEVRY INC	3,110.24	2,312.33		797.91
9/20/2012	2/27/2013	400	DEVRY INC	12,440.96	9,249.59		3,191.37
2/25/2009	2/8/2013	400	CHICAGO BRIDGE & IRON	20,983.38	2,154.35	18,829.03	
VAR LT	2/11/2013	600	H & R BLOCK INC	14,325.33	11,240.36	3,084.97	
10/22/2009	2/11/2013	100	H & R BLOCK INC	2,387.25	1,971.88	415.37	
12/31/2009	2/11/2013	100	H & R BLOCK INC	2,387.15	2,265.39	121.76	
12/31/2009	2/11/2013	100	H & R BLOCK INC	2,387.15	2,265.39	121.76	
12/31/2009	2/27/2013	100	H & R BLOCK INC	2,458.25	2,265.39	192.86	
12/31/2009	2/27/2013	200	H & R BLOCK INC	4,916.51	4,530.79	385.72	
5/9/1961	2/27/2013	200	H & R BLOCK INC	4,916.51	3,408.74	1,507.77	
10/16/2007	2/27/2013	200	MANPOWERGROUP	10,933.00	13,941.95	(3,008.95)	
3/23/2009	2/27/2013	300	MATTEL INC	12,141.38	3,365.39	8,775.99	
VAR LT	2/27/2013	500	UNISYS CORP NEW	11,507.79	27,770.96	(16,263.17)	
VAR LT	3/4/2013	900	H & R BLOCK INC.	22,349.25	15,473.38	6,875.87	
2/24/2010	3/4/2013	100	H & R BLOCK INC	2,483.24	1,731.18	752.06	
VAR LT	3/26/2013	400	H & R BLOCK INC	11,181.76	6,733.07	4,448.69	
3/5/2010	3/26/2013	400	H & R BLOCK INC	11,181.76	6,669.19	4,512.57	

**IRVIN STERN FOUNDATION**  
**FEIN 36-6047947**  
**CAPITAL GAINS AND (LOSSES)**

3/5/2010	3/26/2013	700	H & R BLOCK INC	19,568.09	11,671.08	7,897.01	
VAR LT	6/21/2013	2000	SNAP ON INC	184,358.59	67,169.18	117,189.41	
VAR LT	8/2/2013	625	MALLINCRODT PUB LTD CO	28,764.49	16,980.11	11,784.38	
VAR LT	4/10/2013	300	CHICAGO BRIDGE & IRON	17,751.46	1,536.18	16,215.28	
3/5/2009	4/10/2013	200	CHICAGO BRIDGE & IRON	11,834.30	997.59	10,836.71	
3/5/2009	4/10/2013	200	CHICAGO BRIDGE & IRON	11,834.30	997.59	10,836.71	
3/5/2009	4/10/2013	400	CHICAGO BRIDGE & IRON	23,669.02	1,995.18	21,673.84	
VAR LT	4/11/2013	1100	MATTEL INCORPORATED	48,764.07	18,698.19	30,065.88	
9/11/2006	4/12/2013	100	BELO CORPORATION	1,066.16	1,145.68	(79.52)	
9/11/2006	4/12/2013	200	BELO CORPORATION	2,132.35	2,291.35	(159.00)	
9/11/2006	4/12/2013	100	BELO CORPORATION	1,066.16	1,145.68	(79.52)	
9/11/2006	4/12/2013	200	BELO CORPORATION	2,132.36	2,291.35	(158.99)	
9/11/2006	4/12/2013	100	BELO CORPORATION	1,066.28	1,145.68	(79.40)	
9/11/2006	4/12/2013	100	BELO CORPORATION	1,066.28	1,145.68	(79.40)	
9/11/2006	4/12/2013	100	BELO CORPORATION	1,066.28	1,145.68	(79.40)	
5/3/2012	4/12/2013	200	CREE INC	10,658.81	6,428.43		4,230.38
12/2/2011	4/5/2013	200	ISHARES TR BARCLAYS BONDBARCL	21,084.98	20,717.35	367.63	
3/5/2010	4/26/2013	300	H & R BLOCK INC	8,535.42	4,351.34	4,184.08	
VAR LT	4/26/2013	700	H & R BLOCK INC	19,916.69	10,432.34	9,484.35	
8/25/2010	5/15/2013	400	BOSTON SCIENTIFIC CORP	3,593.94	2,193.19	1,400.75	
8/25/2010	5/15/2013	300	BOSTON SCIENTIFIC CORP	2,695.21	1,644.90	1,050.31	
8/25/2010	5/15/2013	100	BOSTON SCIENTIFIC CORP	898.48	548.30	350.18	
8/25/2010	5/15/2013	100	BOSTON SCIENTIFIC CORP	898.68	548.30	350.38	
8/25/2010	5/15/2013	200	BOSTON SCIENTIFIC CORP	1,797.37	1,096.60	700.77	
8/25/2010	5/15/2013	200	BOSTON SCIENTIFIC CORP	1,797.37	1,096.60	700.77	
8/25/2010	5/15/2013	100	BOSTON SCIENTIFIC CORP	898.68	548.30	350.38	
8/25/2010	5/15/2013	100	BOSTON SCIENTIFIC CORP	898.48	548.30	350.18	
VAR LT	5/15/2013	500	SOUTHWEST AIRLINES CO	7,087.39	7,446.31	(358.92)	
8/31/2012	5/15/2013	800	STAPLES INC	11,545.19	8,706.73	2,838.46	
12/11/2006	5/22/2013	100	CON WAY INC	3,898.05	4,372.24	(474.19)	
12/11/2006	5/22/2013	83	CON WAY INC	3,235.35	3,628.96	(393.61)	
12/11/2006	5/22/2013	117	CON WAY INC	4,559.20	5,115.51	(556.31)	
VAR LT	5/22/2013	400	CREE INC	24,479.42	12,791.60	11,687.82	
VAR LT	5/22/2013	300	LEXMARK INTL INC	9,445.67	14,039.95	(4,594.28)	
VAR LT	5/22/2013	200	LEXMARK INTL INC	6,297.11	7,699.75	(1,402.64)	
8/31/2012	5/22/2013	100	STAPLES INC	1,517.21	1,088.35		428.86
8/31/2012	5/22/2013	100	STAPLES INC	1,517.28	1,088.35		428.93
8/31/2012	5/22/2013	100	STAPLES INC	1,517.28	1,088.35		428.93
8/31/2012	5/22/2013	200	STAPLES INC	3,034.57	2,176.70		857.87
VAR LT	5/10/2013	300	VANGUARD BOND INDEX FUND INTEF	26,296.49	22,956.86	3,339.63	
4/21/2009	5/10/2013	100	VANGUARD BOND INDEX FUND INTEF	8,765.89	7,650.89	1,115.00	
4/21/2009	5/10/2013	100	VANGUARD BOND INDEX FUND INTEF	8,765.65	7,650.89	1,114.76	
4/21/2009	5/10/2013	100	VANGUARD BOND INDEX FUND INTEF	8,765.65	7,650.90	1,114.75	
4/21/2009	5/10/2013	300	VANGUARD BOND INDEX FUND INTEF	26,296.49	22,952.69	3,343.80	
4/21/2009	5/10/2013	300	VANGUARD BOND INDEX FUND INTEF	26,296.49	22,952.69	3,343.80	
4/24/2009	5/10/2013	100	VANGUARD BOND INDEX FUND INTEF	8,765.79	7,652.98	1,112.81	
5/15/2009	5/10/2013	900	VANGUARD BOND INDEX FUND INTEF	78,892.18	69,594.20	9,297.98	
VAR LT	5/15/2013	1500	VANGUARD BOND INDEX FUND INTEF	131,079.11	116,972.45	14,106.66	
VAR LT	6/7/2013	2000	BOSTON SCIENTIFIC CORP	18,574.73	10,865.12	7,709.61	
1/29/2007	6/7/2013	100	CON WAY INC	3,945.05	4,587.32	(642.27)	
1/29/2007	6/7/2013	100	CON WAY INC	3,945.05	4,587.32	(642.27)	
1/29/2007	6/7/2013	100	CON WAY INC	3,945.04	4,587.31	(642.27)	
5/9/2012	6/7/2013	100	CREE INC	6,257.51	3,181.58	3,075.93	
5/22/2009	6/7/2013	100	CREE INC	6,257.52	2,605.91	3,651.61	
11/16/2007	6/7/2013	100	LEXMARK INTL INC	3,173.25	3,601.39	(428.14)	
11/16/2007	6/7/2013	100	LEXMARK INTL INC	3,173.25	3,601.39	(428.14)	
VAR LT	6/7/2013	200	LEXMARK INTL INC	6,346.51	6,274.28	72.23	
4/2/2009	6/7/2013	100	LEXMARK INTL INC	3,173.25	1,744.39	1,428.86	
8/31/2012	6/7/2013	500	STAPLES INC	7,596.92	5,441.73		2,155.19
3/31/2009	6/13/2013	100	GANNETT CO INC	25,342.11	2,210.99	23,131.12	
9/7/2010	7/16/2013	700	H & R BLOCK INC	21,069.78	8,779.72	12,290.06	
4/2/2009	7/16/2013	100	LEXMARK INTL INC	3,271.65	1,744.39	1,527.26	
4/2/2009	7/16/2013	100	LEXMARK INTL INC	3,271.65	1,744.39	1,527.26	
VAR LT	7/16/2013	400	LEXMARK INTL INC	13,087.00	10,013.39	3,073.61	
VAR LT	7/18/2013	400	DENBURY RES INC	7,317.10	5,412.76	1,904.34	
10/4/2006	7/18/2013	300	DENBURY RES INC	5,487.51	4,025.60	1,461.91	
10/4/2006	7/18/2013	200	DENBURY RES INC	3,658.55	2,683.74	974.81	
1/29/2007	7/18/2013	100	DENBURY RES INC	1,829.27	1,375.50	453.77	
3/31/2009	7/18/2013	300	GANNETT CO INC	7,989.49	663.30	7,326.19	

**IRVIN STERN FOUNDATION**  
**FEIN 36-6047947**  
**CAPITAL GAINS AND (LOSSES)**

3/31/2009	7/18/2013	200	GANNETT CO INC	5,324 35	442 20	4,882 15	
VAR LT	7/23/2013	250	LEXMARK INTL INC	9,528 38	9,252 69	275 69	
9/11/2006	7/25/2013	1000	BELO CORPORATION	14,052 81	11,456 75	2,596 06	
VAR LT	7/25/2013	200	H & R BLOCK INC	6,115 54	2,634 42	3,481 12	
9/3/2010	7/25/2013	1500	BOSTON SCIENTIFIC CORP	16,105 27	7,921 97	8,183 30	
5/22/2012	7/25/2013	100	CREE INC	6,850 50	2,605 91	4,244 59	
5/22/2012	7/25/2013	100	CREE INC	6,850 51	2,605 91	4,244 60	
3/22/2013	7/25/2013	200	FIRST SOLAR INC	9,416 89	5,715 18		3,701 71
10/31/2007	7/25/2013	100	MANPOWERGROUP	6,626 33	7,215 67	(589 34)	
7/25/2006	7/25/2013	200	ZEBRA TECHNOLOGIES	9,272 89	6,077 07	3,195 82	
VAR LT	8/1/2013	100	BORG WARNER INC	9,855 85	2,918 80	6,937 05	
10/4/2006	8/1/2013	100	BORG WARNER INC	9,856 25	2,935 98	6,920 27	
VAR LT	8/1/2003	100	BORG WARNER INC	9,856 24	2,909 46	6,946 78	
9/3/2010	8/1/2013	1500	BOSTON SCIENTIFIC CORP	16,720 26	7,921 98	8,798 28	
5/22/2012	8/1/2013	100	CREE INC	7,307 71	2,605 90	4,701 81	
5/25/2012	8/1/2013	100	CREE INC	7,307 60	2,609 69	4,697 91	
10/31/2007	8/1/2013	200	MANPOWERGROUP	13,798 01	14,431 34	(633 33)	
VAR LT	8/5/2013	2700	DENBURY RES INC	48,652 30	42,242 73	6,409 57	
11/27/2006	8/13/2013	180	BORG WARNER INC	17,954 56	5,216 58	12,737 98	
4/23/2012	8/13/2013	400	LEXMARK INTL INC	16,389 16	13,035 75	3,353 41	
1/8/2010	8/13/2013	100	MCGRAW-HILL FINANCIAL	6,380 44	3,389 52	2,990 92	
1/8/2010	8/13/2013	100	MCGRAW-HILL FINANCIAL	6,380 62	3,389 52	2,991 10	
9/27/2006	8/13/2013	600	NEW YORK TIMES	7,308 36	13,563 32	(6,254 96)	
9/27/2006	8/13/2013	200	NEW YORK TIMES	2,436.12	4,521 10	(2,084 98)	
VAR LT	8/13/2013	500	ZEBRA TECHNOLOGIES	24,115.13	16,246 79	7,868 34	
10/13/2010	8/14/2013	100	H & R BLOCK INC	3,116 26	1,380.18	1,736.08	
10/13/2010	8/14/2013	100	H & R BLOCK INC	3,116 36	1,380 18	1,736 18	
10/13/2010	8/14/2013	100	H & R BLOCK INC	3,116 36	1,380 18	1,736 18	
10/13/2010	8/14/2013	200	H & R BLOCK INC	6,232 91	2,760 35	3,472 56	
VAR LT	8/14/2013	1000	SOUTHWEST AIRLINES CO	13,483 82	15,042 53	(1,558 71)	
VAR LT	8/29/2013	1000	BELO CORPORATION	14,198.52	11,456 75	2,741 77	
8/25/2008	8/29/2013	500	BELO CORPORATION	7,099.26	2,888 50	4,210 76	
VAR LT	8/29/2013	800	BELO CORPORATION	11,358 81	9,165 40	2,193 41	
1/8/2009	8/29/2013	200	BELO CORPORATION	2,839 70	398 10	2,441 60	
1/8/2009	8/29/2013	700	BELO CORPORATION	9,938 96	1,393 34	8,545 62	
1/8/2009	8/29/2013	800	BELO CORPORATION	11,358 81	1,592 39	9,766 42	
1/8/2009	9/4/2013	1000	BELO CORPORATION	14,167.71	1,990 49	12,177 22	
1/8/2009	9/4/2013	1000	BELO CORPORATION	14,168 48	1,990 49	12,177 99	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	300	BELO CORPORATION	4,250 56	597 15	3,653 41	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	14	BELO CORPORATION	198 36	27 87	170 49	
1/8/2009	9/4/2013	200	BELO CORPORATION	2,833 70	398 10	2,435 60	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	300	BELO CORPORATION	4,250 56	597.15	3,653 41	
1/8/2009	9/4/2013	100	BELO CORPORATION	1,416 86	199 05	1,217 81	
1/8/2009	9/4/2013	386	BELO CORPORATION	5,469 05	768 32	4,700 73	
7/25/2006	9/4/2013	700	INTERPUBLIC GROUP OF COS	11,262 95	5,628 95	5,634 00	
1/25/2010	9/4/2013	100	MCGRAW-HILL FINANCIAL	5,972 26	3,345 04	2,627 22	
1/25/2010	9/4/2013	300	MCGRAW-HILL FINANCIAL	17,916 78	10,035 11	7,881 67	
VAR LT	9/4/2013	800	NEW YORK TIMES	8,976 49	19,294 93	(10,318 44)	
5/10/2012	9/4/2013	500	NVIDIA CORP	7,467 42	6,222 39	1,245 03	
9/3/2010	9/5/2013	100	BOSTON SCIENTIFIC CORP	1,156 58	528 13	628 45	
9/3/2010	9/5/2013	900	BOSTON SCIENTIFIC CORP	10,409 27	4,753 18	5,656 09	
9/3/2010	9/10/2013	1000	BOSTON SCIENTIFIC CORP	11,982 84	5,281 32	6,701 52	
5/18/2009	9/24/2013	100	AKAMAI TECHNOLOGIES	5,222 03	2,090 13	3,131 90	
5/18/2009	9/24/2013	100	AKAMAI TECHNOLOGIES	5,222 03	2,090 14	3,131 89	
VAR LT	9/24/2013	100	AKAMAI TECHNOLOGIES	5,222 02	2,002 42	3,219 60	
11/27/2006	9/24/2013	100	BORG WARNER INC	10,227 37	2,898 13	7,329 24	
4/23/2007	9/24/2013	150	FMC CORP NEW	10,703 71	2,879 43	7,824 28	
7/25/2006	9/24/2013	700	INTERPUBLIC GROUP OF COS	12,032 94	5,628 95	6,403 99	
10/31/2007	9/24/2013	200	MANPOWERGROUP	14,643 20	14,431 34	211 86	
2/26/2010	9/24/2013	200	MCGRAW-HILL FINANCIAL	13,236 02	6,820 28	6,415 74	

**TOTALS** **2,571,641 58** **1,833,100 62** **713,845 34** **24,695 62**

IRVIN STERN FOUNDATION  
*A private charitable trust.*

Letter of Inquiry

Organizations meeting the Guidelines of the Irvin Stern Foundation are invited to submit a Letter of Inquiry that includes the following information:

*Organization name and overview*

*A brief statement of the organization's activities, history and tax-exempt status*

*Contact information including the submitting official's name, title, mailing address, e-mail address, and telephone and fax numbers*

*Grant purpose, including whether the grant is for annual operating support or a proposed project, and the major issues and problems to be addressed*

*Grant amount requested from the Foundation*

*Budget for the organization and the proposed project*

The Irvin Stern Foundation will review and respond to your organization's letter of inquiry promptly. If the Trustees wish to consider your proposal for funding, your organization will be invited to submit a full application and supporting materials. The Trustees of the Irvin Stern Foundation meet twice yearly, in the spring and fall.

On-line submissions of grant inquiry letters are strongly encouraged. Please visit the Irvin Stern Foundation website at [www.irvinstern.org](http://www.irvinstern.org) for more information.

Organizations may also submit a brief one to two page Letter of Inquiry including the above information via snail mail at:

The Irvin Stern Foundation  
4 EAST OHIO ST #6  
CHICAGO IL 60611

ATTACHMENT E

IRVIN STERN FOUNDATION  
*A private charitable trust.*

Grant Guidelines

The Irvin Stern Foundation makes grants to tax-exempt organizations in the areas of human services, civic affairs, and Jewish life. Priority is given to projects serving communities in metropolitan Chicago and New York within the following areas of emphasis:

**Human Services** Aiding the underserved, poor, and disadvantaged via innovative programs in social services, physical and mental health outreach, literacy, and vocational training.

**Civic Affairs** Helping to improve the quality of life in urban communities through grass roots and neighborhood organizations.

**Jewish Life** Enhancing Jewish community, education and spirituality.

The Irvin Stern Foundation does not contribute to endowments, capital campaigns, capital construction projects, and academic or medical research programs.

Organizations meeting the Guidelines of the Irvin Stern Foundation are invited to submit a Letter of Inquiry either on-line at the Irvin Stern Foundation website or via the US mail.

ATTACHMENT E

# IRVIN STERN FOUNDATION

*A private charitable trust.*

## GRANT REQUEST SUMMARY

Name of Organization \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax Phone: \_\_\_\_\_

Name of Director or Contact Person. \_\_\_\_\_

Their Position or Title: \_\_\_\_\_

Briefly Describe Your Organization's Goals: \_\_\_\_\_

Amount Requested: \_\_\_\_\_ For the Period From: \_\_\_\_\_ To: \_\_\_\_\_

For What Program or Purpose? \_\_\_\_\_

What is your Organization's Total Operating Budget For the Current Year? \_\_\_\_\_

Please list last year's contributions (including the names of specific government agency) in the space provided below:

	Amount		Amount
Fed. Govt. (	) _____	United Way:	_____
State Gov't. (	) _____	Jewish Federation:	_____
Local Gov't. (	) _____	Catholic Charities:	_____
Foundation Gifts:	_____	Individual Gifts:	_____
Earned Income/Fees/Rents:	_____	Legacies/Bequests:	_____
Interest/Dividends:	_____	Other:	_____

Have you received a Grant from the ISF in the past? Yes No If yes, list last 5 years History in spaces below:

Year Received (starting with most recent):	19 _____	Amount: _____
	19 _____	_____
	19 _____	_____
	19 _____	_____
	19 _____	_____

Also, make sure the following information is included with your application: 1) Most recent Financial Statement (audited). 2) List of current Board of Directors. 3) List of current Foundation Donors and Grants made. 4) IRS 501(c) Letter.

ATTACHMENT E