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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2012

Open to public inspection

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2012 or tax year beginning OCT 1, 2012, and ending SEP 30, 2013

Name of foundation NORMAN RAAB FOUNDATION		A Employer identification number 23-7006390
Number and street (or P O box number if mail is not delivered to street address) PO BOX 657	Room/suite	B Telephone number (215) 794-5640
City or town, state, and ZIP code HOLICONG, PA 18928		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 21,194,757.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

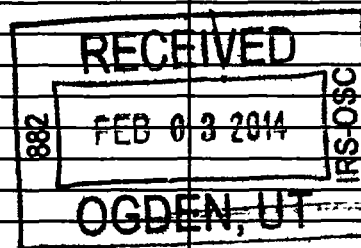
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		136.	136.		STATEMENT 1
4 Dividends and interest from securities		567,944.	567,944.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		740,400.			
b Gross sales price for all assets on line 6a		2,956,217.			
7 Capital gain net income (from Part IV, line 2)			740,400.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		1,308,480.	1,308,480.		
13 Compensation of officers, directors, trustees, etc		43,333.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		6,576.	0.		0.
c Other professional fees STMT 4		184,612.	184,612.		0.
17 Interest					
18 Taxes STMT 5		30,213.	0.		0.
19 Depreciation and depletion					
20 Occupancy		8,107.	0.		0.
21 Travel, conferences, and meetings		11,386.	0.		0.
22 Printing and publications					
23 Other expenses STMT 6		24,979.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		309,206.	184,612.		0.
25 Contributions, gifts, grants paid		1,222,218.			1,222,218.
26 Total expenses and disbursements. Add lines 24 and 25		1,531,424.	184,612.		1,222,218.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-222,944.			
b Net investment income (if negative, enter -0-)			1,123,868.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2012)

SCANNED FEB 13 2014



Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,159,505.	1,352,621.	1,352,621.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	9,833,985.	9,567,698.	15,386,186.
	c Investments - corporate bonds STMT 9	4,547,164.	4,403,968.	4,455,950.
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	15,540,654.	15,324,287.	21,194,757.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	15,540,654.	15,324,287.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	15,540,654.	15,324,287.	
	31 Total liabilities and net assets/fund balances	15,540,654.	15,324,287.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,540,654.
2 Enter amount from Part I, line 27a	2	-222,944.
3 Other increases not included in line 2 (itemize) ▶ TAX-EXEMPT INTEREST	3	6,577.
4 Add lines 1, 2, and 3	4	15,324,287.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,324,287.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 2,956,217.		2,215,817.	740,400.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			740,400.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	740,400.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	824,863.	18,920,687.	.043596
2010	935,325.	18,298,518.	.051115
2009	715,434.	17,256,381.	.041459
2008	263,471.	15,272,516.	.017251
2007	1,197,487.	14,745,466.	.081211

2 Total of line 1, column (d)	2	.234632
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046926
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	20,280,909.
5 Multiply line 4 by line 3	5	951,702.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,239.
7 Add lines 5 and 6	7	962,941.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,222,218.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	11,239.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	11,239.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	11,239.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		6a	16,320.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6b	
6 Credits/Payments:		6c	
a 2012 estimated tax payments and 2011 overpayment credited to 2012		6d	
b Exempt foreign organizations - tax withheld at source		7	16,320.
c Tax paid with application for extension of time to file (Form 8868)		8	
d Backup withholding erroneously withheld		9	
7 Total credits and payments. Add lines 6a through 6d		10	5,081.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		11	0.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input checked="" type="checkbox"/> 5,081. Refunded <input type="checkbox"/>			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► HTTP://RAABFOUNDATION.ORG/	13	X	
14	The books are in care of ► STEPHEN RAAB Telephone no. ► (215) 794-5640 Located at ► P.O. BOX 657, HOLICONG, PA ZIP+4 ► 18928			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		43,333.	3,668.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	19,177,508.
b	Average of monthly cash balances	1b	1,412,247.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	20,589,755.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	20,589,755.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	308,846.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	20,280,909.
6	Minimum investment return. Enter 5% of line 5	6	1,014,045.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,014,045.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	11,239.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	11,239.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,002,806.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,002,806.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,002,806.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,222,218.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,222,218.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	11,239.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,210,979.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,002,806.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			109,093.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 1,222,218.				
a Applied to 2011, but not more than line 2a			109,093.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				1,002,806.
e Remaining amount distributed out of corpus	110,319.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	110,319.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	110,319.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012	110,319.			

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
826 SEATTLE 8414 GREENWOOD AVENUE NORTH SEATTLE, WA 98103	NONE		EDUCATION	20,000.
ADHA INSTITUTE FOR ORAL HEALTH 444 N MICHIGAN AVE, SUITE 3400 CHICAGO, IL 60611	NONE		HEALTH AND RESEARCH	1,000.
JOHNS HOPKINS UNIVERSITY 3400 N. CHARLES STREET BALTIMORE, MD 21218	NONE		EDUCATION	64,500.
BUCKS COUNTY AUDUBON SOCIETY 2877 CREAMERY ROAD NEW HOPE, PA 18938	NONE		ENVIRONMENT	10,000.
BUCKS COUNTY HISTORICAL SOCIETY 84 SOUTH PINE STREET DOYLESTOWN, PA 18901	NONE		EDUCATION	25,000.
Total	SEE CONTINUATION SHEET(S)			1,222,218.
b Approved for future payment				
NONE				
Total				0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHMENT	P	VARIOUS	VARIOUS
b	SEE ATTACHMENT	P	VARIOUS	VARIOUS
c	SEE ATTACHMENT	P	VARIOUS	VARIOUS
d	SEE ATTACHMENT	P	VARIOUS	VARIOUS
e	LITIGATION	P	VARIOUS	07/15/13
f	LITIGATION	P	VARIOUS	08/19/13
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 89,689.		51,895.	37,794.
b 2,048,959.		1,385,696.	663,263.
c 60,000.		62,329.	-2,329.
d 757,217.		715,897.	41,320.
e 324.			324.
f 28.			28.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			37,794.
b			663,263.
c			-2,329.
d			41,320.
e			324.
f			28.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	740,400.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BUCKS COUNTY SPCA PO BOX 191 LAHASKA, PA 18931	NONE		ENVIRONMENT	30,000.
CALIFORNIA PACIFIC MEDICAL CENTER PO BOX 7999 SAN FRANCISCO, CA 94120	NONE		HEALTH AND RESEARCH	31,498.
CAMPING UNLIMITED 102 BROOK LANE BOULDER CREEK, CA 95006	NONE		HUMAN SERVICES	25,000.
CENTRAL BUCKS AMBULANCE AND RESCUE 455 EAST STREET DOYLESTOWN, PA 18901	NONE		HEALTH AND RESEARCH	50,000.
COMPASS HOUSING ALLIANCE 77 SOUTH WASHINGTON STREET SEATTLE, WA 98104	NONE		HUMAN SERVICES	10,000.
DOYLESTOWN FREE CLINIC 595 W STATE ST DOYLESTOWN, PA 18901	NONE		HEALTH AND RESEARCH	50,000.
EARTHCORPS 6310 NE 74TH STREET SUITE 201E SEATTLE, WA 98115	NONE		ENVIRONMENT	25,000.
FACING HISTORY AND OURSELVES 16 HURD ROAD BROOKLINE, MA 02445	NONE		EDUCATION	50,000.
FISHERMAN'S MARK 89 NORTH MAIN STREET LAMBERTSVILLE, NJ 08530	NONE		HUMAN SERVICES	2,000.
GREYHOUND FRIEND FOR LIFE 364 COVENTRY RD KENSINGTON, CA 94707	NONE		ENVIRONMENT	12,000.
Total from continuation sheets				1,101,718.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HEALING CONSCIOUSNESS FOUNDATION 45 SECOND STREET PIKE SOUTHAMPTON, PA 18966	NONE		HEALTH AND RESEARCH	20,300.
HOSPICE BY THE BAY 17 EAST SIR FRANCIS DRAKE BLVD. LAKESPUR, CA 94939	NONE		HEALTH AND RESEARCH	25,000.
JEWISH FAMILY AND CHILDREN'S SERVICES 10125 VERREE ROAD PHILADELPHIA, PA 19116	NONE		HUMAN SERVICES	50,000.
MAGEE REHABILITATION FOUNDATION SIX FRANKLIN PLAZA PHILADELPHIA, PA 19102	NONE		HEALTH AND RESEARCH	50,000.
MARIN HUMANE SOCIETY 171 BEL MARIN KEYS BLVD NOVATO, CA 94949	NONE		ENVIRONMENT	10,000.
MARIN STABLES AND TRAILS PO BOX 314 FAIRFAX, CA 94978	NONE		ENVIRONMENT	5,000.
NATIONAL MUSEUM OF AMERICAN JEWISH HISTORY 101 SOUTH INDEPENDENCE MALL EAST PHILADELPHIA, PA 19106	NONE		EDUCATION	10,000.
NETWORK OF VICTIM ASSISTANCE 2370 YORK ROAD JAMISON, PA 18929	NONE		HUMAN SERVICES	60,000.
OPEN DOOR ANIMAL SANCTUARY 6065 DUDA ROAD HOUSE SPRINGS, MO 63051	NONE		ENVIRONMENT	1,000.
PALS FOR LIFE 939 RADNOR RD WAYNE, PA 19087	NONE		ENVIRONMENT	2,500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
PAWS PO BOX 1037 LYNNWOOD, WA 98046	NONE		ENVIRONMENT	2,500.
PHILABUNDANCE 3616 SOUTH GALLOWAY STREET PHILADELPHIA, PA 19148	NONE		HUMAN SERVICES	35,000.
PHILADELPHIA YOUTH ORCHESTRA PO BOX 41810 PHILADELPHIA, PA 19101	NONE		EDUCATION	10,000.
ROCKY MOUNTAIN BIOLOGICAL LABORATORY PO BOX 519 CRESTED BUTTE, CO 81224	NONE		ENVIRONMENT	1,000.
SEATTLE PUBLIC LIBRARY FOUNDATION 1000 FOURTH AVE. SEATTLE, WA 98104	NONE		EDUCATION	30,000.
SOLEBURY TOWNSHIP HISTORICAL SOCIETY DRAWER 525 SOLEBURY, PA 18963	NONE		ARTS AND CULTURE	500.
TABBY'S PLACE 1100 US HIGHWAY 202 RINGOES, NJ 08551	NONE		ENVIRONMENT	2,500.
THE COUNTY THEATER 20 EAST STATE STREET DOYLESTOWN, PA 18901	NONE		ARTS AND CULTURE	500.
UNITED STATES HOLOCAUST MEMORIAL MUSEUM 100 15TH ST SW WASHINGTON, DC 20024	NONE		EDUCATION	50,000.
VITA EDUCATION SERVICES 8 EAST COURT STREET DOYLESTOWN, PA 18901	NONE		EDUCATION	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
WDVR PO BOX 191 SERGEANTSVILLE, NJ 08557	NONE		ARTS AND CULTURE	1,000.
WELLSPRING FAMILY SERVICES/MORNINGSONG 1900 RAINIER AVENUE SOUTH SEATTLE, WA 98144	NONE		HUMAN SERVICES	1,000.
WESTSIDE BABY 10032 15TH AVENUE SOUTHWEST SEATTLE, WA 98146	NONE		HUMAN SERVICES	1,000.
WILMER OPHTHALMOLOGICAL INSTITUTE 4940 EASTERN AVENUE BALTIMORE, MD 21224	NONE		HEALTH AND RESEARCH	25,000.
WOODLAND PARK ZOO 601 N 59TH STREET SEATTLE, WA 98103	NONE		EDUCATION	25,000.
WRAPPING PRESENTS, DOYLESTOWN PRESBYTERIAN CHURCH 127 EAST COURT STREET DOYLESTOWN, PA 18901	NONE		HUMAN SERVICES	3,000.
THE BRADY CENTER'S LEGAL ACTION PROJECT 1225 EYE STREET, NW, SUITE 1100 WASHINGTON, DC 20005	NONE		ADVOCACY	50,000.
UNITED AGAINST ILLEGAL GUNS SUPPORT FUND 800 3RD AVE, FL 25 NEW YORK, NY 10022	NONE		ADVOCACY	50,000.
FRIEND OF SAN ANSELMO LIBRARY 110 TUNSTEAD AVE SAN ANSELMO, CA 94960	NONE		EDUCATION	3,500.
BUCKS COUNTY CHILDREN'S MUSEUM 500 UNION SQUARE NEW HOPE, PA 18938	NONE		EDUCATION	1,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
GEORGE SCHOOL 1690 NEWTOWN-LANGHORNE ROAD NEWTOWN, PA 18940	NONE		EDUCATION	5,000.
CHANDLER HALL 99 BARCLAY STREET NEWTOWN, PA 18940	NONE		EDUCATION	10,000.
FORSYTH ALUMNI SCHOLARSHIP FUND 179 LONGWOOD AVENUE BOSTON, MA 21218	NONE		EDUCATION	2,500.
SEATTLE AQUARIUM 1483 ALASKAN WAY, PIER 59 SEATTLE, WA 98101	NONE		EDUCATION	1,000.
LONG ISLAND UNIVERSITY C.W. POST CAMPUS 720 NORTHERN BLVD BROOKVILLE, NY 11548	NONE		EDUCATION	2,500.
BUCKS COUNTY INTERMEDIATE UNIT 705 N SHADY RETREAT RD DOYLESTOWN, PA 18901	NONE		EDUCATION	1,000.
THE NATIONAL AQUARIUM 1401 CONSTITUTION AVE NW WASHINGTON, DC 20230	NONE		EDUCATION	10,000.
ANIMAL ALLIANCE PO BOX 1285 BELLE MEAD, NJ 08502	NONE		ENVIRONMENT	10,100.
MARINE MAMMAL CENTER 2000 BUNKER ROAD SAUSALITO, CA 94965	NONE		ENVIRONMENT	15,000.
THE MILO FOUNDATION PO BOX 6625 ALBANY, CA 94706	NONE		ENVIRONMENT	1,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATURAL LANDS TRUST 1031 PALMERS MILL ROAD MEDIA, PA 19063	NONE		ENVIRONMENT	15,000.
LANCASTER CLEFT PALATE CLINIC 223 N LIME STREET LANCASTER, PA 17602	NONE		HEALTH AND RESEARCH	15,000.
DOYLESTOWN HOSPITAL 595 W STATE ST DOYLESTOWN, PA 18901	NONE		HEALTH AND RESEARCH	50,000.
ST. JUDE'S CHILDREN'S RESEARCH HOSPITAL 262 DANNY THOMAS PI MEMPHIS, TN 38105	NONE		HEALTH AND RESEARCH	5,000.
ALL HANDS VOLUNTEERS 8 COUNTY ROAD, SUITE 5 MATTAPOLSETT, MA 02739	NONE		HUMAN SERVICES	60,000.
VISITING NURSE ASSOCIATION HEALTH GROUP 176 RIVERSIDE AVENUE RED BANK, NJ 07701	NONE		HUMAN SERVICES	5,000.
LUNCH BREAK 121 DRS JAMES PARKER BOULEVARD RED BANK, NJ 07701	NONE		HUMAN SERVICES	5,000.
OCNJ CARE PROJECT PO BOX 807 OCEAN CITY, NJ 08226	NONE		HUMAN SERVICES	5,000.
HABITAT FOR HUMANITY NE MONMOUTH CO 193 LONG BRANCH AVE LONG BRANCH, NJ 07740	NONE		HUMAN SERVICES	5,000.
COMMUNITY FOOD BANK OF NJ 31 EVANS TEMINAL HILLSIDE, NJ 07205	NONE		HUMAN SERVICES	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE CONSERVATORY 4059 SKYRON DRIVE DOYLESTOWN, PA 18902	NONE		EDUCATION	1,320.
THE PHILADELPHIA CHILDREN'S ALLIANCE 300 E HUNTING PARK AVENUE PHILADELPHIA, PA 19124	NONE		HUMAN SERVICES	5,000.
THE ONE FUND BOSTON PO BOX 990009 BOSTON, MA 02199	NONE		HUMAN SERVICES	1,000.
A WOMAN'S PLACE PO BOX 299 DOYLESTOWN, PA 18901	NONE		HUMAN SERVICES	10,000.
THE BREATHING ROOM FOUNDATION PO BOX 287 JENKINTOWN, PA 19046	NONE		HUMAN SERVICES	5,000.
LAUREL HOUSE PO BOX 764 NORRISTOWN, PA 19404	NONE		HUMAN SERVICES	10,000.
HUNGER INTERVENTION PROGRAM 3841 NE 123RD STREET SEATTLE, WA 98125	NONE		HUMAN SERVICES	10,000.
SLEEPY HOLLOW FOUNDATION 1317 BUTTERFIELD ROAD SAN ANSELMO, CA 94960	NONE		HUMAN SERVICES	1,500.
HOMEWARD BOUND OF MARIN 1385 N HAMILTON PARKWAY NOVATO, CA 94949	NONE		HUMAN SERVICES	2,000.
WOMEN, CHILDREN & FAMILY SERVICES OF AMERICA 1100 LARKSPUR LANDING CIRCLE, #340 LARKSPUR, CA 94939	NONE		HUMAN SERVICES	2,000.
Total from continuation sheets				

23-7006390

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BUCKS COUNTY BANK	136.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	136.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
FIRST MANHATTAN - DIVIDENDS	347,781.	0.	347,781.
FIRST MANHATTAN - INTEREST	220,163.	0.	220,163.
TOTAL TO FM 990-PF, PART I, LN 4	567,944.	0.	567,944.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,576.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	6,576.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	184,612.	184,612.		0.
TO FORM 990-PF, PG 1, LN 16C	184,612.	184,612.		0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EXCISE TAX	4,099.	0.		0.	
PAYROLL TAX	1,072.	0.		0.	
FOREIGN TAX	8,722.	0.		0.	
2012 ESTIMATED TAX	16,320.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	30,213.	0.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
SITE VISIT EXPENSE	34.	0.		0.	
OFFICE	15,410.	0.		0.	
JANITORIAL	556.	0.		0.	
POSTAGE	33.	0.		0.	
UTILITIES	1,603.	0.		0.	
MISCELLANEOUS	1,275.	0.		0.	
BANK CHARGES	157.	0.		0.	
MEDICAL INSURANCE	3,668.	0.		0.	
OFFICE SUPPLIES	722.	0.		0.	
SUBSCRIPTION	75.	0.		0.	
TELEPHONE	196.	0.		0.	
WEB DESIGN	1,250.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	24,979.	0.		0.	

FOOTNOTES	STATEMENT	7
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STATEMENT ATTACHMENT TO PART XV

PURPOSE OF GRANT OR CONTRIBUTION

ALL CONTRIBUTIONS WERE MADE TO SUPPORT COMMUNITY,
EDUCATIONAL, OR MEDICAL PURPOSES.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	9,567,698.	15,386,186.
TOTAL TO FORM 990-PF, PART II, LINE 10B	9,567,698.	15,386,186.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	4,403,968.	4,455,950.
TOTAL TO FORM 990-PF, PART II, LINE 10C	4,403,968.	4,455,950.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	10
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STEPHEN RAAB P.O. BOX 657 HOLICONG, PA 18928	TRUSTEE 25.00	20,750.	0.	0.
WHITNEY RAAB 834 FAWN DRIVE SAN ANSELMO, CA 94960	TRUSTEE 5.00	1,500.	0.	0.
MARIE BRICKLEY-RAAB P.O. BOX 657 HOLICONG, PA 18928	TRUSTEE 5.00	2,000.	0.	0.
STEPHEN A. BLEYER 3 BALA PLAZA EAST, SUITE 700 BALA CYNWYD, PA 19004	TRUSTEE 5.00	2,000.	0.	0.
SARA RAAB P.O. BOX 657 HOLICONG, PA 18928	TRUSTEE 25.00	16,083.	3,668.	0.

NORMAN RAAB FOUNDATION

23-7006390

ISABEL RAAB
P.O. BOX 657
HOLICONG, PA 18928

TRUSTEE
5.00

500.

0.

0.

EMILY RAAB
P.O. BOX 657
HOLICONG, PA 18928

TRUSTEE
5.00

500.

0.

0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

43,333.

3,668.

0.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 11

NAME OF MANAGER

STEPHEN RAAB
WHITNEY RAAB

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

NORMAN RAAB FOUNDATION
PO BOX 31086
SEATTLE, WA 98103

EMAIL ADDRESS

INFO@RAABFOUNDATION.ORG

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS MUST BE SUBMITTED ELECTRONICALLY AT RAABFOUNDATION.ORG. THE APPLICATION IS A TWO STEP PROCESS: ONCE THE LETTER OF INQUIRY IS APPROVED A FULL GRANT APPLICATION IS SUBMITTED. THE AMOUNT REQUESTED, DESCRIPTION OF THE ORGANIZATION AND PROPOSED GRANT, BUDGET INFORMATION, AND BOARD OF DIRECTOR INFORMATION ARE REQUIRED. SEE RAABFOUNDATION.ORG FOR SPECIFIC INFORMATION.

ANY SUBMISSION DEADLINES

SEE RAABFOUNDATION.ORG FOR APPLICATION DEADLINES.

RESTRICTIONS AND LIMITATIONS ON AWARDS

CONTRIBUTIONS ARE MADE ONLY TO PUBLIC CHARITIES

Raab Family Foundation
Capital Gain/Loss Summary
September 30, 2013

		Proceeds	Costs	Gain/Loss
#0524	ST	89,689.00	51,895.00	37,794.00
	LT	179,668.00	70,856.00	108,812.00
		154,424.00	97,949.00	56,475.00
		323,605.00	243,566.00	80,039.00
		36,167.00	25,961.00	10,206.00
		271,255.00	174,093.00	97,162.00
		54,251.00	33,069.00	21,182.00
		20,616.00	34,990.00	(14,374.00)
		51,540.00	87,463.00	(35,923.00)
		30,924.00	52,478.00	(21,554.00)
		267,694.00	148,515.00	119,179.00
		234,044.00	130,735.00	103,309.00
		424,771.00	286,021.00	138,750.00
	Sub-total	2,048,959.00	1,385,696.00	663,263.00
#0516	ST	5,000.00	5,196.00	(196.00)
		25,000.00	25,982.00	(982.00)
		20,000.00	20,729.00	(729.00)
		10,000.00	10,422.00	(422.00)
	Sub-total	60,000.00	62,329.00	(2,329.00)
	LT	250,000.00	248,568.00	1,432.00
		13,000.00	13,179.00	(179.00)
		262,875.00	239,035.00	23,840.00
		180,000.00	168,039.00	11,961.00
		51,342.00	47,076.00	4,266.00
	Sub-total	757,217.00	715,897.00	41,320.00
Litigation	LT	324.30	-	324.30
Litigation	LT	28.45	-	28.45
		352.75	-	352.75
Grand Total		2,956,217.75	2,215,817.00	740,400.75

FIRST MANHATTAN CO.
SCHEDULE OF REALIZED GAINS & LOSSES
AS OF 12/31/12

1/06/13

37Z-500524-1 G3357 MGR-030

THE NORMAN RAAB FOUNDATION

NO. OF SHARES	SECURITY	BOUGHT	DATE	SOLD	NET PROCEEDS	COST OR OTHER BASIS	SHORT TERM GAIN OR LOSS	LONG TERM GAIN OR LOSS
3,000.00000	SUNTRUST BANKS INC	12/28/11	10/10/12		89,688.89	51,895.41	37,793.48	
5,000.00000	COMCAST CORP CL A	4/21/09	10/15/12		179,667.97	70,856.25		108,811.72
4,000.00000	ADT CORP	6/23/10	10/17/12		154,423.94	97,948.81		56,475.13
12,500.00000	MONDELEZ INTL INC CL A	4/30/10	12/10/12		323,605.25	243,566.06		80,039.19
1,000.00000	CBS CORP CL B COM	7/09/05	12/12/12		36,167.29	25,961.11		10,206.18
7,500.00000	CBS CORP CL B COM	4/30/08	12/12/12		271,254.67	174,092.50		97,162.17
1,500.00000	CBS CORP CL B COM	6/04/08	12/12/12		54,250.93	33,068.50		21,182.43
1,000.00000	GENERAL ELECTRIC CO	7/09/05	12/28/12		20,616.14	34,990.00		14,373.86
2,500.00000	GENERAL ELECTRIC CO	7/09/05	12/28/12		51,540.34	87,462.50		35,922.16
1,500.00000	GENERAL ELECTRIC CO	7/09/05	12/28/12		30,924.21	52,477.50		21,553.29

4/30/12

9/30/13

37Z-500524 THE NORMAN RAAB FOUNDATION

This schedule is provided for general information purposes. It does not reflect any bond discount or premium amortization nor has it been verified for completeness or accuracy. ***

FIRST MANHATTAN CO.

REALIZED G/L

THE NORMAN RAAB FOUNDATION (37Z500\$24)
Realized Gains / Losses YTD as of 10/11/2013

BUY DATE	SELL DATE	QTY	SECURITY	COST BASIS	PROCEEDS	SHORT TERM	LONG TERM
6/21/2010	8/27/2013	8,000	TYCO INTL LTD	\$148,514.60	\$267,693.54	\$ 00	\$119,178.94
4/30/2010	6/17/2013	4,106	KRAFT FOODS GROUP	\$139,775.19	\$234,044.30	\$ 00	\$103,509.11
5/21/2009	2/1/2013	10,000	DENTSPLY INTL INC	\$286,021.00	\$424,721.48	\$ 00	\$138,750.48
GRAND TOTAL		22,166		\$565,270.79	\$926,509.32	\$ 00	\$361,238.53

*Please note that depending on what time you access this report, the prior business days transactions might not be reflected

This schedule is provided for general information purposes. It is based on information contained in our internal system, which has not been audited. It is what we believe is accurate. It does not reflect any bond discount or premium amortization.
Figures Rounded

FIRST MANHATTAN CO.

REALIZED G/L

THE NORMAN RAAB FOUNDATION (372500516)
Realized Gains / Losses YTD as of 10/11/2013

BUY DATE	SELL DATE	QTY	SECURITY	COST BASIS	PROCEEDS	SHORT TERM	LONG TERM
4-4-2013	9/3-2013	5,000	NORTH CAROLINA HSG F 5.250 JAN 01/39	\$5,190.45	\$5,000.00	-\$190.45	\$ 00
11-19-2012	6-3-2013	25,000	OHIO HSG FIN AGY MTG 4.625 SEP 01/27	\$25,981.75	\$25,000.00	-\$981.75	\$ 00
11-19-2012	9-3-2013	20,000	OHIO HSG FIN AGY MTG 4.625 SEP 01/27	\$20,728.70	\$20,000.00	-\$728.70	\$ 00
12-19-2012	3/1-2013	10,000	OHIO HSG FIN AGY MTG 4.625 SEP 01/27	\$10,422.40	\$10,000.00	-\$422.40	\$ 00
8-1-2006	6/18/2013	250,000	PRUDENTIAL FINL INC 5.100 SEP 20/34	239,035	\$262,875.00	\$ 00	23,840
7-12/2006	4-1-2013	160,000	LEGGETT & PLATT INC 4.700 APR 01/32	168,039	\$180,000.00	\$ 00	11,961
7-12-2006	6/15-2013	50,000	MIDAMERICAN ENERGY 5.000 FEB 15/34	47,076	\$51,301.50	\$ 00	4,266

10/15/2013

Realized Gain And Loss

THE NORMAN RAAB FOUNDATION BOND ACCOUNT

Acct: 37Z500516

08A W1875

Cusip # Security Description

FIRST MANHATTAN CO.

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From 01/01/2012 Thru 12/31/2012

Shares Bought Sold Cost Proceeds Gain/Loss Cat

5.996% 04/01/2024

26054LBW2 DOW CHEM CO SR INTERNOTES

5.8% 11/15/2012

929160AF9 VULCAN MATIS CO NT

5.6% 11/30/2012

250,000.00000 07/10/06 11/15/12

248,567.50

250,000.00

1,432.50 LT

13,000.00000 05/06/08 11/30/12

13,179.01

13,000.00

-179.01 LT