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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 10-01-2012 , and ending 09-30-2013

Name of foundation
LARRY & JUDY COHEN FOUNDATION INC

% LARRY COHEN

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
49 WHITE PINE CANYON ROAD
Suite

City or town, state, and ZIP code
PARK CITY, UT 84060

A Employer identification number
22-3627225

B Telephone number (see instructions)
(435) 615-9264

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,095,444

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	115	115		
	4	Dividends and interest from securities	47,193	47,193		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	32,241			
	b	Gross sales price for all assets on line 6a 187,505				
	7	Capital gain net income (from Part IV, line 2)		32,241		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	79,549	79,549		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	7,200	3,600	0	3,600
	c	Other professional fees (attach schedule)	5,936	5,936		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	600			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	175			175
	24	**Total operating and administrative expenses.** Add lines 13 through 23	13,911	9,536	0	3,775
	25	Contributions, gifts, grants paid	86,800			86,800
	26	**Total expenses and disbursements.** Add lines 24 and 25	100,711	9,536	0	90,575
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-21,162			
	b	**Net investment income** (if negative, enter -0-)		70,013		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	215,050	42,292	42,292
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	343,794	375,776	507,392
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,251,372	1,371,086	1,545,760
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,810,216	1,789,154	2,095,444
Liabilities	17	Accounts payable and accrued expenses	0	100	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	100	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	3,941,822	3,941,822	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	-2,131,606	-2,152,768	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	1,810,216	1,789,054	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,810,216	1,789,154	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,810,216
2	Enter amount from Part I, line 27a	2	-21,162
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,789,054
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,789,054

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE SCHEDULE 1	P		
b	SEE SCHEDULE 1	P		
c	SEE SCHEDULE 2	P		
d	SEE SCHEDULE 2			
e	SEE SCHEDULE 3	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	33,972		31,474	2,498
b	72,290		59,732	12,558
c	3,022		2,213	809
d	53,221		37,875	15,346
e	25,000		23,970	1,030

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				2,498
b				12,558
c				809
d				15,346
e				1,030

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	32,241
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	127,780	1,795,337	0 071173
2010	99,000	1,870,892	0 052916
2009	142,575	1,627,526	0 087602
2008	130,588	1,589,696	0 082147
2007	215,301	2,370,527	0 090824

2	**Total** of line 1, column (d).	2	0 384662
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 076932
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	1,947,298
5	Multiply line 4 by line 3.	5	149,810
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	700
7	Add lines 5 and 6.	7	150,510
8	Enter qualifying distributions from Part XII, line 4.	8	90,575

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,400
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	1,400
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,400
6	Credits/Payments				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,094		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,094
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	306
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NJ, UT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶LARRY COHEN Telephone no ▶(435) 615-9264

Located at ▶49 WHITE PINE CANYON ROAD PARK CITY UT ZIP +4 ▶84060

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
LARRY COHEN 49 WHITE PINE CANYON ROAD PARK CITY, UT 84060	PRESIDENT/TREASURER 0	0	0	0
JUDY COHEN 49 WHITE PINE CANYON ROAD PARK CITY, UT 84060	VICE PRES/SECRETARY 0	0	0	0
JEROME COHEN 428 PARK AVENUE BERKELEY HEIGHTS, NJ 07922	VICE PRESIDENT 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Total number of other employees paid over $50,000 ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3. . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	454,041
b	Average of monthly cash balances	1b	107,761
c	Fair market value of all other assets (see instructions)	1c	1,415,150
d	**Total** (add lines 1a, b, and c)	1d	1,976,952
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,976,952
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	29,654
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,947,298
6	**Minimum investment return.** Enter 5% of line 5	6	97,365

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	97,365
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,400		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,400
3	Distributable amount before adjustments Subtract line 2c from line 1			3	95,965
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	95,965
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	95,965

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	90,575
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	90,575
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	90,575

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					95,965
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only.				0	
b Total for prior years 2010 , 2009 , 2008					
3 Excess distributions carryover, if any, to 2012					
a From 2007.	98,427				
b From 2008.	51,962				
c From 2009.	61,949				
d From 2010.	6,695				
e From 2011.	38,998				
f **Total** of lines 3a through e.		258,031			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 90,575					
a Applied to 2011, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2012 distributable amount.					90,575
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		5,390			5,390
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		252,641			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . .		93,037			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		159,604			
10 Analysis of line 9					
a Excess from 2008. . . .	51,962				
b Excess from 2009. . . .	61,949				
c Excess from 2010. . . .	6,695				
d Excess from 2011. . . .	38,998				
e Excess from 2012. . . .					

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

LARRY COHEN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE SCHEDULE 6 C/O JAD CONSULTING LLC 61 BROADWAY SUITE 512 NEW YORK, NY 10006			THE PURPOSE OF THE CONTRIBUTIONS IS TO AID EACH DONEE ORGANIZATION IN CARRYING OUT ITS EXEMPT FUNCTION	86,800
Total . . . . ► **3a**				86,800
b *Approved for future payment*				
Total . . . . ► **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	115	
4 Dividends and interest from securities. . . .			14	47,193	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	32,241	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				79,549	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 79,549

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		**No**
	(2) Other assets.	**1a(2)**		**No**
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
	(4) Reimbursement arrangements.	**1b(4)**		**No**
	(5) Loans or loan guarantees.	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. . . . . ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-02-04	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
JOSEPH DE MAIO CPA	JOSEPH DE MAIO CPA	2014-02-04		

Firm's name ▶	JAD CONSULTING LLC	Firm's EIN ▶
Firm's address ▶	61 BROADWAY SUITE 512 NEW YORK, NY 10006	Phone no (212) 509-3525

TY 2012 Accounting Fees Schedule

Name: LARRY & JUDY COHEN FOUNDATION INC

EIN: 22-3627225

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
JAD CONSULTING, LLC	7,200	3,600		3,600

TY 2012 All Other Program Related Investments Schedule

Name: LARRY & JUDY COHEN FOUNDATION INC

EIN: 22-3627225

Category	Amount
NOT APPLICABLE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: LARRY & JUDY COHEN FOUNDATION INC

EIN: 22-3627225

TY 2012 Investments Corporate Stock Schedule

Name: LARRY & JUDY COHEN FOUNDATION INC

EIN: 22-3627225

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE SCHEDULE 4	189,470	256,035
SEE SCHEDULE 5	186,306	251,357

TY 2012 Investments - Other Schedule

Name: LARRY & JUDY COHEN FOUNDATION INC

EIN: 22-3627225

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
21,271 SHS GS CORE FIXED INC		208,036	220,365
32,738 SHS GS HIGH YIELD INSTL		245,018	236,699
18,454 SHS GS CONCENTRATED GRO		251,288	343,061
9,343 SHS GS INT'L EQUITY		184,418	185,078
28,954 SHS GS LARGE CAP VALUE		376,353	450,519
5,037 SHS GS HIGH YIELD FLOAT		50,973	50,573
3,307 SHS MAINSTAY MARKETFIELD		55,000	59,465

TY 2012 Land, Etc. Schedule

Name: LARRY & JUDY COHEN FOUNDATION INC

EIN: 22-3627225

TY 2012 Other Expenses Schedule

Name: LARRY & JUDY COHEN FOUNDATION INC

EIN: 22-3627225

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	25			25
BANK CHARGES	150			150

TY 2012 Other Professional Fees Schedule

Name: LARRY & JUDY COHEN FOUNDATION INC

EIN: 22-3627225

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	5,936	5,936		

TY 2012 Taxes Schedule

Name: LARRY & JUDY COHEN FOUNDATION INC

EIN: 22-3627225

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAXES	600			

LARRY & JUDY COHEN FOUNDATION
GOLDMAN SACHS #028-15484
FROM OCTOBER 1, 2012 - SEPTEMBER 30, 2013

REALIZED GAINS/LOSSES

Trade Date	Date Sold / Covered	Description	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)
28-Feb-12	8-Oct-12	STATE STREET CORPORATION (NEW) CMN	11	462 15	461 52	0 63
27-Feb-12	8-Oct-12	STATE STREET CORPORATION (NEW) CMN	12	504.16	498 09	6 07
11-Apr-12	10-Oct-12	JPMORGAN CHASE & CO CMN	11	460.20	453 27	6 93
11-Apr-12	10-Oct-12	JPMORGAN CHASE & CO CMN	2	83 67	83 02	0 65
11-Apr-12	10-Oct-12	JPMORGAN CHASE & CO CMN	12	499 11	498.11	1 00
6-Jan-12	29-Nov-12	MICROSOFT CORPORATION CMN	18	489 84	504 45	(14 61)
6-Jan-12	29-Nov-12	MICROSOFT CORPORATION CMN	21	568 58	588 53	(19 95)
12-Apr-12	7-Dec-12	JPMORGAN CHASE & CO CMN	11	466 17	493 59	(27 42)
11-Apr-12	7-Dec-12	JPMORGAN CHASE & CO CMN	1	42.38	41 21	1 17
11-Apr-12	7-Dec-12	JPMORGAN CHASE & CO CMN	1	42 38	38 71	3 67
18-Oct-12	9-Jan-13	TEXAS INSTRUMENTS INC CMN	11	350 42	316 83	33 59
18-Oct-12	22-Jan-13	TEXAS INSTRUMENTS INC CMN	2	66 52	57 61	8 91
18-Oct-12	9-Jan-13	TEXAS INSTRUMENTS INC CMN	6	190 44	172 82	17 62
18-Oct-12	22-Jan-13	TEXAS INSTRUMENTS INC CMN	14	465 62	401 84	63 78
26-Nov-12	23-Jan-13	SALESFORCE COM, INC CMN	1	170 39	159.90	10 49
19-Apr-12	31-Jan-13	PPG INDUSTRIES, INC CMN	7	968 73	705 21	263 52
19-Apr-12	1-Feb-13	PPG INDUSTRIES, INC. CMN	4	561 13	402 97	158 16
19-Apr-12	1-Feb-13	PPG INDUSTRIES, INC CMN	1	140 28	100 74	39 54
18-Oct-12	4-Feb-13	TEXAS INSTRUMENTS INC CMN	4	133 29	114.81	18 48
20-Apr-12	1-Feb-13	PPG INDUSTRIES, INC CMN	3	420 85	304 70	116 15
23-Oct-12	4-Feb-13	TEXAS INSTRUMENTS INC CMN	8	266 58	219 12	47 46
7-Nov-12	4-Feb-13	TEXAS INSTRUMENTS INC. CMN	2	66 65	58 76	7.89
23-Oct-12	4-Feb-13	TEXAS INSTRUMENTS INC CMN	6	199 94	167 45	32 49
12-Nov-12	4-Feb-13	TEXAS INSTRUMENTS INC CMN	5	166 61	147 46	19 15
29-Nov-12	4-Feb-13	TEXAS INSTRUMENTS INC CMN	9	301 52	269 23	32 29
16-Nov-12	4-Feb-13	TEXAS INSTRUMENTS INC CMN	1	33 32	28 40	4.92
16-Nov-12	4-Feb-13	TEXAS INSTRUMENTS INC CMN	2	67 01	56 80	10 21
16-Nov-12	4-Feb-13	TEXAS INSTRUMENTS INC CMN	7	234 52	198 67	35 85
29-Nov-12	4-Feb-13	TEXAS INSTRUMENTS INC CMN	8	268 02	240 45	27 57
23-Apr-12	6-Feb-13	MONDELEZ INTERNATIONAL, INC CMN	2	56 12	49 35	6 77
24-Apr-12	6-Feb-13	MONDELEZ INTERNATIONAL, INC CMN	14	392 77	348 76	44 01
25-Apr-12	6-Feb-13	MONDELEZ INTERNATIONAL, INC CMN	5	140 27	125 80	14 47
11-Jan-13	27-Feb-13	COACH INC CMN	18	861 83	1,055 52	(193 69)
14-Jan-13	27-Feb-13	COACH INC CMN	4	192 86	245 28	(52 42)
14-Jan-13	27-Feb-13	COACH INC CMN	14	675 01	858 94	(183 93)
24-Apr-12	8-Apr-13	KRAFT FOODS GROUP, INC CMN	5	258 30	188 54	69 76
25-Apr-12	8-Apr-13	KRAFT FOODS GROUP, INC CMN	3	154 98	122 42	32 56
23-Apr-12	8-Apr-13	KRAFT FOODS GROUP, INC CMN	1	51 67	26 68	24 99
25-Apr-12	8-Apr-13	MONDELEZ INTERNATIONAL, INC CMN	31	934 51	779 98	154 53
30-May-12	9-Apr-13	KRAFT FOODS GROUP, INC. CMN	2	101 63	80 81	20 82
30-May-12	8-Apr-13	MONDELEZ INTERNATIONAL, INC CMN	5	150 73	124 57	26 16
31-May-12	9-Apr-13	KRAFT FOODS GROUP, INC CMN	3	152 45	110 99	41 46
25-Apr-12	9-Apr-13	KRAFT FOODS GROUP, INC CMN	9	456 40	367.25	89 15
30-May-12	9-Apr-13	KRAFT FOODS GROUP, INC CMN	2	101 42	80 81	20.61
19-Apr-12	17-Apr-13	BROADCOM CORP CL-A CMN CLASS A	4	130 25	144 20	(13 95)
20-Apr-12	18-Apr-13	BROADCOM CORP CL-A CMN CLASS A	4	129 79	139 39	(9 60)
19-Apr-12	18-Apr-13	BROADCOM CORP CL-A CMN CLASS A	2	64 90	72 10	(7 20)
26-Apr-12	22-Apr-13	BROADCOM CORP CL-A CMN CLASS A	2	63 91	72 43	(8 52)
26-Apr-12	22-Apr-13	BROADCOM CORP CL-A CMN CLASS A	1	32 51	36 22	(3 71)
26-Apr-12	23-Apr-13	BROADCOM CORP CL-A CMN CLASS A	1	32 79	36 22	(3 43)
28-Sep-12	23-Apr-13	BROADCOM CORP CL-A CMN CLASS A	5	163 93	174 42	(10 49)
28-Sep-12	24-Apr-13	BROADCOM CORP CL-A CMN CLASS A	4	141 11	139 54	1 57
4-Feb-13	25-Apr-13	VERIZON COMMUNICATIONS INC CMN	7	370 65	312.25	58 40
5-Jun-12	25-Apr-13	WALT DISNEY COMPANY (THE) CMN	28	1,730 57	1,248 82	481 75
4-Feb-13	3-May-13	VERIZON COMMUNICATIONS INC. CMN	5	263 15	221 93	41 22
4-Feb-13	3-May-13	VERIZON COMMUNICATIONS INC CMN	6	315 78	267 65	48 13

Trade Date	Date Sold / Covered	Description	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)
18-Oct-12	13-May-13	BROADCOM CORP CL-A CMN CLASS A	4	146 10	138 72	7.38
28-Sep-12	13-May-13	BROADCOM CORP CL-A CMN CLASS A	3	108 39	104.65	3 74
28-Sep-12	13-May-13	BROADCOM CORP CL-A CMN CLASS A	3	109 57	104 65	4 92
14-Jun-12	17-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	2	127 99	117 97	10 02
4-Feb-13	20-May-13	VERIZON COMMUNICATIONS INC CMN	3	158 62	133 16	25 46
14-Jun-12	20-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	2	128 85	117 97	10 88
4-Feb-13	21-May-13	VERIZON COMMUNICATIONS INC CMN	2	104 34	88 77	15 57
18-Jun-12	21-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	64 51	60 09	4 42
18-Oct-12	21-May-13	BROADCOM CORP CL-A CMN CLASS A	5	186 71	173.41	13 30
4-Feb-13	21-May-13	VERIZON COMMUNICATIONS INC. CMN	5	260 40	221 93	38 47
15-Jun-12	21-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	64 39	60 58	3 81
15-Jun-12	21-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	64 51	60 58	3 93
23-Oct-12	22-May-13	BROADCOM CORP CL-A CMN CLASS A	4	146 45	132.98	13 47
23-Oct-12	22-May-13	BROADCOM CORP CL-A CMN CLASS A	6	219.68	200 16	19 52
5-Feb-13	17-Jun-13	VERIZON COMMUNICATIONS INC CMN	2	101 32	89 33	11 99
4-Feb-13	17-Jun-13	VERIZON COMMUNICATIONS INC CMN	10	506 61	443.86	62 75
5-Feb-13	18-Jun-13	VERIZON COMMUNICATIONS INC CMN	1	51 52	44 67	6 85
6-Feb-13	18-Jun-13	VERIZON COMMUNICATIONS INC CMN	6	309.11	268 78	40 33
6-Feb-13	18-Jun-13	VERIZON COMMUNICATIONS INC CMN	4	206 07	179 18	26 89
25-Jan-13	15-Jul-13	CISCO SYSTEMS, INC CMN	8	206 74	169 30	37 44
25-Jan-13	15-Jul-13	CISCO SYSTEMS, INC CMN	18	465 16	381 56	83 60
14-Feb-13	16-Jul-13	CISCO SYSTEMS, INC CMN	12	308 75	250 94	57 81
25-Jan-13	16-Jul-13	CISCO SYSTEMS, INC. CMN	11	282 97	232 79	50 18
14-Feb-13	17-Jul-13	CISCO SYSTEMS, INC CMN	13	334 18	271 85	62 33
2-Apr-13	17-Jul-13	CISCO SYSTEMS, INC. CMN	15	386 44	317 97	68 47
14-Feb-13	17-Jul-13	CISCO SYSTEMS, INC CMN	2	51 52	41 82	9 70
2-Apr-13	19-Jul-13	CISCO SYSTEMS, INC. CMN	7	180.63	148 38	32 25
2-Apr-13	18-Jul-13	CISCO SYSTEMS, INC CMN	8	207 28	169 58	37 70
2-Apr-13	19-Jul-13	CISCO SYSTEMS, INC. CMN	4	102 84	84 79	18 05
5-Mar-13	30-Jul-13	BRISTOL-MYERS SQUIBB COMPANY CMN	1	43 42	37 50	5 92
11-Sep-12	30-Jul-13	AMERICAN INTL GROUP, INC CMN	1	45 86	33 07	12.79
26-Apr-13	26-Aug-13	PFIZER INC CMN	39	1,099.49	1,170 67	(71 18)
11-Apr-13	19-Aug-13	PFIZER INC CMN	44	1,249 85	1,343 27	(93.42)
11-Apr-13	26-Aug-13	PFIZER INC CMN	71	2,001 64	2,167 55	(165 91)
31-Jan-13	6-Sep-13	ECOLAB INC CMN	1	92 69	72 72	19 97
31-Oct-12	6-Sep-13	COSTCO WHOLESALE CORPORATION CMN	5	571 72	492 13	79.59
31-Jan-13	9-Sep-13	ECOLAB INC CMN	3	279 86	218 15	61 71
28-Sep-12	12-Sep-13	KRAFT FOODS GROUP, INC CMN	2	106 76	92 30	14 46
28-Sep-12	12-Sep-13	KRAFT FOODS GROUP, INC CMN	3	160 44	138 45	21 99
11-Jan-13	17-Sep-13	NIKE CLASS-B CMN CLASS B	16	1,091 98	852 37	239 61
28-Sep-12	13-Sep-13	KRAFT FOODS GROUP, INC CMN	6	324 03	276 90	47 13
28-Sep-12	16-Sep-13	NIKE CLASS-B CMN CLASS B	7	476 89	332 19	144 70
28-Sep-12	17-Sep-13	NIKE CLASS-B CMN CLASS B	3	204 75	142 37	62 38
8-Apr-13	23-Sep-13	COCA-COLA COMPANY (THE) CMN	33	1,273 31	1,346 82	(73 51)
9-Apr-13	23-Sep-13	COCA-COLA COMPANY (THE) CMN	50	1,929 26	2,052 69	(123 43)
14-May-13	23-Sep-13	COCA-COLA COMPANY (THE) CMN	16	617 36	679 19	(61 83)
TOTAL SHORT TERM GAIN/(LOSS)				**33,971.73**	**31,473.90**	**2,497.83**
9-Mar-11	8-Oct-12	CITRIX SYSTEMS INC CMN	7	493 62	509 03	(15 41)
8-Mar-11	8-Oct-12	CITRIX SYSTEMS INC CMN	2	141 03	145 50	(4 47)
20-Jun-11	8-Oct-12	CITRIX SYSTEMS INC CMN	6	423 10	446 74	(23 64)
10-Jul-09	18-Oct-12	GOOGLE, INC CMN CLASS A	2	1,380 28	828 34	551 94
27-May-11	25-Oct-12	MASTERCARD INCORPORATED CMN CLASS A	4	1,800 47	1,141 21	659 26
12-May-11	6-Nov-12	CISCO SYSTEMS, INC CMN	30	524 50	507 42	17 08
19-Aug-11	6-Nov-12	PRECISION CASTPARTS CORP CMN	2	355 82	285 11	70 71
12-May-11	6-Nov-12	CISCO SYSTEMS, INC CMN	19	332 07	321 37	10 70
25-Feb-11	6-Nov-12	CISCO SYSTEMS, INC CMN	11	192 25	205 36	(13 11)
27-May-09	20-Nov-12	VIACOM INC CMN CLASS B	2	99 77	45 12	54 65
12-Aug-10	21-Nov-12	VIACOM INC CMN CLASS B	12	596 67	391 25	205 42
12-Aug-10	21-Nov-12	VIACOM INC CMN CLASS B	11	546 95	356 32	190 63
28-May-09	20-Nov-12	VIACOM INC CMN CLASS B	9	448 94	198 06	250 88
28-May-09	20-Nov-12	VIACOM INC CMN CLASS B	4	199 53	88 37	111 16
28-May-09	21-Nov-12	VIACOM INC CMN CLASS B	3	149 17	66 02	83.15

Trade Date	Date Sold / Covered	Description	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)
8-Jun-11	7-Dec-12	MASTERCARD INCORPORATED CMN CLASS A	1	476 62	270 33	206 29
3-Oct-11	7-Dec-12	CITIGROUP INC CMN	15	562 47	353 67	208 80
27-May-11	7-Dec-12	MASTERCARD INCORPORATED CMN CLASS A	1	476 61	285 30	191 31
8-Jun-11	10-Dec-12	MASTERCARD INCORPORATED CMN CLASS A	1	478 19	270 33	207 86
7-Dec-10	19-Dec-12	GENERAL ELECTRIC CO CMN	28	590 04	478 27	111 77
10-Dec-10	19-Dec-12	GENERAL ELECTRIC CO CMN	29	611.12	514 34	96 78
25-Apr-11	19-Dec-12	GENERAL ELECTRIC CO CMN	18	379 31	360 73	18 58
26-Apr-11	19-Dec-12	GENERAL ELECTRIC CO CMN	27	568 97	543 76	25.21
14-Sep-11	3-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	75 29	63 97	11 32
25-Apr-11	19-Dec-12	GENERAL ELECTRIC CO CMN	44	927 21	876.92	50 29
14-Sep-11	3-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	4	302 02	255 86	46 16
14-Sep-11	4-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	3	225 61	191.90	33 71
15-Sep-11	4-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	6	451 20	389 95	61 25
6-Jan-12	7-Jan-13	MICROSOFT CORPORATION CMN	41	1,095 03	1,149 03	(54 00)
22-Sep-11	10-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	75 11	60 39	14 72
21-Sep-11	10-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	4	299 22	258 79	40 43
21-Dec-10	11-Jan-13	COMCAST CORPORATION CMN CLASS A VOTING	20	767 44	441 68	325 76
22-Sep-11	11-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	78 09	60 39	17 70
7-Mar-11	11-Jan-13	CELGENE CORPORATION CMN	26	2,460 61	1,398 64	1,061 97
6-Jan-12	11-Jan-13	MICROSOFT CORPORATION CMN	16	426 50	448 40	(21 90)
6-Jan-12	11-Jan-13	MICROSOFT CORPORATION CMN	18	483.73	504 45	(20 72)
21-Dec-10	11-Jan-13	COMCAST CORPORATION CMN CLASS A VOTING	3	115 11	66 59	48 52
22-Sep-11	14-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	78 24	60 39	17.85
30-Sep-11	23-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	2	155 12	129 82	25 30
22-Sep-11	23-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	77 56	60 39	17.17
30-Sep-11	23-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	77 67	64 91	12 76
30-Sep-11	24-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	76 90	64 91	11 99
11-Jan-11	25-Jan-13	VALERO ENERGY CORPORATION CMN	2	76 22	48 14	28 08
6-Oct-11	24-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	77 84	65 70	12 14
11-Jan-11	24-Jan-13	VALERO ENERGY CORPORATION CMN	14	531 91	336 96	194.95
30-Sep-11	24-Jan-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	77 83	64 91	12 92
2-Dec-11	29-Jan-13	LOWES COMPANIES INC CMN	13	497 96	314 81	183 15
29-Nov-11	29-Jan-13	THE HOME DEPOT, INC CMN	10	671 00	389 46	281 54
2-Dec-11	30-Jan-13	LOWES COMPANIES INC CMN	6	229 58	145 30	84 28
21-Sep-11	30-Jan-13	VERTEX PHARMACEUTICALS INC CMN	4	179 87	214 11	(34 24)
22-Sep-11	31-Jan-13	VERTEX PHARMACEUTICALS INC CMN	15	672 56	763 93	(91 37)
23-Sep-11	31-Jan-13	VERTEX PHARMACEUTICALS INC CMN	9	403 53	454 01	(50 48)
30-Sep-11	31-Jan-13	VERTEX PHARMACEUTICALS INC CMN	1	44 84	44 65	0 19
30-Sep-11	31-Jan-13	VERTEX PHARMACEUTICALS INC CMN	6	269 02	267 91	1 11
5-Dec-11	31-Jan-13	VERTEX PHARMACEUTICALS INC CMN	7	313 86	206 70	107 16
30-Sep-11	31-Jan-13	VERTEX PHARMACEUTICALS INC CMN	4	179 34	178 61	0 73
3-Oct-11	31-Jan-13	VERTEX PHARMACEUTICALS INC CMN	9	403 53	379 29	24 24
5-Dec-11	31-Jan-13	VERTEX PHARMACEUTICALS INC CMN	9	403 53	265 76	137 77
8-Dec-11	31-Jan-13	VERTEX PHARMACEUTICALS INC CMN	13	582 88	372 02	210 86
5-Dec-11	14-Feb-13	LOWES COMPANIES INC CMN	14	553 40	343 45	209 95
2-Dec-11	14-Feb-13	LOWES COMPANIES INC CMN	1	39 53	24 22	15 31
11-Jan-11	15-Feb-13	VALERO ENERGY CORPORATION CMN	5	235 37	120 34	115 03
12-Jan-11	15-Feb-13	VALERO ENERGY CORPORATION CMN	7	329.53	172 92	156 61
16-Mar-11	25-Feb-13	THE HERSHEY COMPANY CMN	2	162 31	106 16	56 15
16-Mar-11	26-Feb-13	THE HERSHEY COMPANY CMN	1	81 01	53 08	27 93
12-Dec-11	1-Mar-13	STANLEY BLACK & DECKER INC CMN	1	77 47	64 40	13 07
4-Jan-10	1-Mar-13	WARNER CHILCOTT PLC CMN	2	27 22	57 98	(30 76)
11-Oct-11	18-Mar-13	CITIGROUP INC CMN	3	138 69	83 74	54 95
3-Oct-11	18-Mar-13	CITIGROUP INC. CMN	4	184 92	94 31	90 61
13-Dec-11	18-Mar-13	STANLEY BLACK & DECKER INC CMN	2	161 16	130 18	30 98
17-Dec-09	18-Mar-13	HALLIBURTON COMPANY CMN	9	364.67	270 61	94 06
12-Dec-11	18-Mar-13	STANLEY BLACK & DECKER INC CMN	3	241 75	193.21	48 54
6-Oct-11	18-Mar-13	CITIGROUP INC CMN	17	785 92	436 76	349 16
9-Jan-12	18-Mar-13	THERMO FISHER SCIENTIFIC INC CMN	1	76 49	47 37	29.12
10-Jan-12	18-Mar-13	THERMO FISHER SCIENTIFIC INC CMN	8	611 96	392 61	219 35
16-Jun-10	19-Mar-13	HALLIBURTON COMPANY CMN	1	39.73	26 60	13 13
17-Dec-09	19-Mar-13	HALLIBURTON COMPANY CMN	3	119 17	90 20	28 97
1-Jun-11	2-Apr-13	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	5	228 10	279 01	(50 91)
29-Jun-10	3-Apr-13	HALLIBURTON COMPANY CMN	3	116 16	74 03	42 13
3-Jun-11	3-Apr-13	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	7	316 56	390 69	(74 13)

Trade Date	Date Sold / Covered	Description	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)
23-Jun-10	3-Apr-13	HALLIBURTON COMPANY CMN	5	193 60	129 25	64 35
24-Jun-10	3-Apr-13	HALLIBURTON COMPANY CMN	3	116 16	75 63	40 53
2-Jun-11	3-Apr-13	CHECK POINT SOFTWARE TECH. LTD ORDINARY SHARES	12	542 67	668 77	(126 10)
11-Oct-11	3-Apr-13	CITIGROUP INC CMN	27	1,147 77	753 67	394.10
14-Mar-12	3-Apr-13	CITIGROUP INC CMN	31	1,317.81	1,087.80	230 01
16-Jun-10	3-Apr-13	HALLIBURTON COMPANY CMN	6	232 32	159 57	72.75
29-Jun-10	4-Apr-13	HALLIBURTON COMPANY CMN	7	270 13	172 75	97 38
6-Jun-11	4-Apr-13	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	9	410 61	492 24	(81 63)
8-Jun-11	4-Apr-13	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	10	456 24	544 62	(88 38)
3-Jun-11	4-Apr-13	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	3	136 87	167 44	(30 57)
7-Jun-11	4-Apr-13	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	8	364 99	438 36	(73 37)
13-Apr-11	8-Apr-13	DANAHER CORPORATION CMN	2	121 33	104 24	17 09
13-Apr-11	9-Apr-13	DANAHER CORPORATION CMN	2	120 70	104 24	16 46
16-Mar-11	8-Apr-13	THE HERSHEY COMPANY CMN	2	170 38	106 16	64 22
12-Apr-11	8-Apr-13	DANAHER CORPORATION CMN	5	303 33	257 71	45 62
6-Jan-12	11-Apr-13	MICROSOFT CORPORATION CMN	51	1,468 99	1,429.28	39 71
16-Feb-12	11-Apr-13	MICROSOFT CORPORATION CMN	32	921 72	1,006 17	(84 45)
28-Feb-12	12-Apr-13	STATE STREET CORPORATION (NEW) CMN	8	477 08	335 65	141 43
29-Feb-12	12-Apr-13	STATE STREET CORPORATION (NEW) CMN	2	119 27	85 06	34 21
13-Dec-11	16-Apr-13	STANLEY BLACK & DECKER INC CMN	5	383 96	325 46	58 50
13-Dec-11	16-Apr-13	STANLEY BLACK & DECKER INC CMN	1	76 78	65 09	11 69
4-Feb-10	16-Apr-13	WARNER CHILCOTT PLC CMN	3	41 96	77 10	(35 14)
5-Jan-10	16-Apr-13	WARNER CHILCOTT PLC CMN	7	97 89	201 02	(103 13)
1-Feb-10	16-Apr-13	WARNER CHILCOTT PLC CMN	1	13 99	25 42	(11 43)
1-Feb-10	16-Apr-13	WARNER CHILCOTT PLC CMN	4	55 94	101 65	(45 71)
1-Feb-10	16-Apr-13	WARNER CHILCOTT PLC CMN	4	55 93	101 67	(45 74)
4-Feb-10	16-Apr-13	WARNER CHILCOTT PLC CMN	9	125 86	233 15	(107 29)
4-Feb-10	16-Apr-13	WARNER CHILCOTT PLC CMN	8	111 87	207 62	(95 75)
8-Aug-11	17-Apr-13	WARNER CHILCOTT PLC CMN	14	189 20	242 75	(53 55)
26-Apr-11	17-Apr-13	DANAHER CORPORATION CMN	6	358 57	325 83	32 74
13-Apr-11	17-Apr-13	DANAHER CORPORATION CMN	1	59 76	52 12	7 64
6-Jan-12	17-Apr-13	BROADCOM CORP CL-A CMN CLASS A	11	358 20	331 21	26 99
5-Aug-11	17-Apr-13	WARNER CHILCOTT PLC CMN	4	54 06	72 73	(18 67)
4-Feb-10	17-Apr-13	WARNER CHILCOTT PLC CMN	5	67 57	128 49	(60 92)
5-Feb-10	17-Apr-13	WARNER CHILCOTT PLC CMN	9	121 63	230 77	(109 14)
8-Sep-11	17-Apr-13	BROADCOM CORP CL-A CMN CLASS A	7	227 95	244 78	(16 83)
13-Dec-11	17-Apr-13	STANLEY BLACK & DECKER INC CMN	2	152 22	130 18	22 04
14-Sep-11	17-Apr-13	BROADCOM CORP CL-A CMN CLASS A	25	814 08	871 78	(57 70)
5-Aug-11	17-Apr-13	WARNER CHILCOTT PLC CMN	5	67 57	90 91	(23 34)
8-Sep-11	18-Apr-13	BROADCOM CORP CL-A CMN CLASS A	7	223 58	261 75	(38 17)
14-Sep-11	18-Apr-13	BROADCOM CORP CL-A CMN CLASS A	2	63 88	74 27	(10 39)
14-Sep-11	18-Apr-13	BROADCOM CORP CL-A CMN CLASS A	7	227 15	259 94	(32 79)
29-Oct-10	19-Apr-13	HALLIBURTON COMPANY CMN	7	259 05	222 75	36 30
28-Oct-10	19-Apr-13	HALLIBURTON COMPANY CMN	13	481 09	407 68	73 41
9-Aug-11	22-Apr-13	WARNER CHILCOTT PLC CMN	2	27 66	35 12	(7 46)
8-Aug-11	22-Apr-13	WARNER CHILCOTT PLC CMN	8	110 62	138 72	(28 10)
9-Aug-11	22-Apr-13	WARNER CHILCOTT PLC CMN	10	138 27	175 58	(37 31)
23-Dec-11	1-May-13	CISCO SYSTEMS, INC CMN	2	41 21	36 85	4 36
20-Apr-12	22-Apr-13	BROADCOM CORP CL-A CMN CLASS A	1	31 95	34 85	(2 90)
13-Dec-11	23-Apr-13	STANLEY BLACK & DECKER INC CMN	4	307 86	260 37	47 49
9-Aug-11	23-Apr-13	WARNER CHILCOTT PLC CMN	26	352 48	456 50	(104 02)
10-Aug-11	23-Apr-13	WARNER CHILCOTT PLC CMN	36	488 06	603 45	(115 39)
7-Mar-11	23-Apr-13	CELGENE CORPORATION CMN	9	1,135 73	484.14	651 59
14-Dec-11	24-Apr-13	LOWES COMPANIES INC CMN	43	1,622 21	1,050 14	572 07
14-Dec-11	24-Apr-13	STANLEY BLACK & DECKER INC CMN	2	156 71	127 45	29 26
13-Dec-11	24-Apr-13	STANLEY BLACK & DECKER INC CMN	3	233 17	195 27	37 90
5-Dec-11	24-Apr-13	LOWES COMPANIES INC CMN	6	226 35	147 19	79 16
14-Dec-11	25-Apr-13	STANLEY BLACK & DECKER INC CMN	6	455 37	382.34	73 03
14-Dec-11	25-Apr-13	LOWES COMPANIES INC CMN	30	1,134 34	732 65	401 69
1-Sep-11	1-May-13	CISCO SYSTEMS, INC CMN	8	164 83	126 97	37 86
12-May-11	1-May-13	CISCO SYSTEMS, INC CMN	9	183 76	152 23	31 53
22-Dec-11	1-May-13	CISCO SYSTEMS, INC CMN	18	370 86	327 10	43 76
1-Sep-11	1-May-13	CISCO SYSTEMS, INC CMN	18	367 52	285 68	81 84
12-Apr-12	3-May-13	JPMORGAN CHASE & CO CMN	8	381.50	354 71	26 79
12-Apr-12	3-May-13	JPMORGAN CHASE & CO CMN	8	377 51	358 98	18 53

Trade Date	Date Sold / Covered	Description	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)
12-Apr-12	3-May-13	JPMORGAN CHASE & CO CMN	8	381 50	358 98	22 52
12-Jan-11	8-May-13	CST BRANDS, INC CMN	2	62 53	32.61	29 92
23-Jun-11	8-May-13	CST BRANDS, INC CMN	3	93 79	48 94	44 85
13-Jan-11	8-May-13	CST BRANDS, INC CMN	1	31 26	16 48	14 78
31-Jan-11	8-May-13	CST BRANDS, INC CMN	1	31 26	20 98	10 28
8-Apr-11	8-May-13	CST BRANDS, INC CMN	4	125 05	81 74	43 31
22-Aug-11	8-May-13	CST BRANDS, INC CMN	4	125 05	66 00	59 05
16-Nov-11	17-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	3	191 99	207 38	(15 39)
16-Nov-11	17-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	3	191 99	205 24	(13 25)
16-Nov-11	17-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	3	191 99	208 62	(16 63)
23-Nov-11	17-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	2	128 00	125 48	2 52
6-Oct-11	17-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	64 00	65 70	(1 70)
16-Nov-11	20-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	64 43	70 85	(6 42)
6-Oct-11	20-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	64 29	64 67	(0 38)
16-Nov-11	20-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	1	64 28	69 63	(5 35)
16-Nov-11	20-May-13	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	2	128 57	138 36	(9 79)
12-Jan-11	4-Jun-13	VALERO ENERGY CORPORATION CMN	16	620 76	362 63	258 13
14-Dec-11	20-Jun-13	STANLEY BLACK & DECKER INC CMN	4	308 82	254 89	53 93
26-Jan-12	21-Jun-13	STANLEY BLACK & DECKER INC CMN	1	76 14	72 42	3 72
14-Dec-11	21-Jun-13	STANLEY BLACK & DECKER INC CMN	1	76 13	63 72	12 41
26-Jan-12	24-Jun-13	STANLEY BLACK & DECKER INC CMN	4	296 20	289 66	6 54
13-Mar-12	26-Jun-13	ORACLE CORPORATION CMN	17	511 32	511 90	(0 58)
2-Jun-08	26-Jun-13	ORACLE CORPORATION CMN	14	421 09	316 89	104 20
26-Jan-12	24-Jun-13	STANLEY BLACK & DECKER INC CMN	1	74 23	72 42	1 81
13-Mar-12	26-Jun-13	ORACLE CORPORATION CMN	14	421.09	420 17	0 92
3-Jun-08	26-Jun-13	ORACLE CORPORATION CMN	10	300 78	228 24	72 54
19-Oct-09	26-Jun-13	ORACLE CORPORATION CMN	20	601 55	447 21	154 34
4-Jun-08	26-Jun-13	ORACLE CORPORATION CMN	14	421 09	321 68	99 41
30-Sep-10	26-Jun-13	ORACLE CORPORATION CMN	12	360 93	325 83	35 10
29-Sep-10	26-Jun-13	ORACLE CORPORATION CMN	20	601 55	542 49	59 06
19-Oct-09	26-Jun-13	ORACLE CORPORATION CMN	15	451 17	336 80	114 37
31-Mar-08	26-Jun-13	APPLE, INC CMN	1	397 11	144 21	252 90
3-Jun-08	26-Jun-13	APPLE, INC CMN	2	794 22	369 86	424 36
2-Jun-08	26-Jun-13	APPLE, INC CMN	1	397 11	185 07	212 04
28-Feb-11	27-Jun-13	EMC CORPORATION MASS CMN	12	286 14	326 20	(40 06)
28-Feb-11	27-Jun-13	EMC CORPORATION MASS CMN	12	283 32	326 20	(42 88)
22-Jun-11	27-Jun-13	EMC CORPORATION MASS CMN	3	71 53	80 06	(8 53)
25-Oct-10	27-Jun-13	EMC CORPORATION MASS CMN	29	684 69	619 62	65 07
23-Dec-11	15-Jul-13	CISCO SYSTEMS, INC CMN	14	361 78	257 92	103 86
26-Jan-12	25-Jul-13	STANLEY BLACK & DECKER INC CMN	1	82 54	72 42	10 12
29-Oct-10	24-Jul-13	HALLIBURTON COMPANY CMN	1	44 69	31 82	12 87
19-Apr-12	25-Jul-13	STANLEY BLACK & DECKER INC CMN	3	247 60	221 91	25 69
7-May-12	26-Jul-13	STANLEY BLACK & DECKER INC CMN	4	336 13	290 19	45 94
8-May-12	26-Jul-13	STANLEY BLACK & DECKER INC CMN	1	84 03	70 95	13 08
4-May-12	26-Jul-13	STANLEY BLACK & DECKER INC CMN	2	168 06	144 77	23 29
15-May-12	26-Jul-13	STANLEY BLACK & DECKER INC CMN	3	252 10	203 80	48 30
16-May-12	26-Jul-13	STANLEY BLACK & DECKER INC CMN	4	336 13	274 57	61 56
19-Apr-12	26-Jul-13	STANLEY BLACK & DECKER INC CMN	4	336 13	295 89	40 24
27-Mar-12	30-Jul-13	CARDINAL HEALTH INC CMN	1	50 37	43 30	7 07
26-Apr-11	30-Jul-13	DANAHER CORPORATION CMN	1	67 60	54 31	13 29
21-Dec-10	30-Jul-13	COMCAST CORPORATION CMN CLASS A VOTING	1	43 17	22 08	21.09
8-Apr-11	2-Aug-13	OCCIDENTAL PETROLEUM CORP CMN	11	977 03	1,137 36	(160 33)
3-Mar-08	2-Aug-13	OCCIDENTAL PETROLEUM CORP CMN	1	88 81	78 25	10.56
3-Mar-08	2-Aug-13	OCCIDENTAL PETROLEUM CORP CMN	5	444 10	391 25	52 85
8-Apr-11	5-Aug-13	OCCIDENTAL PETROLEUM CORP CMN	8	706 34	827 17	(120 83)
26-Apr-12	5-Aug-13	OCCIDENTAL PETROLEUM CORP CMN	6	529 76	550 76	(21 00)
18-May-12	23-Aug-13	NIKE CLASS-B CMN CLASS B	10	641 41	530 77	110 64
21-May-12	23-Aug-13	NIKE CLASS-B CMN CLASS B	6	385 05	320 84	64 21
18-May-12	23-Aug-13	NIKE CLASS-B CMN CLASS B	8	513 40	424 61	88 79
26-Apr-11	28-Aug-13	DANAHER CORPORATION CMN	1	65 11	54 31	10 80
31-May-12	10-Sep-13	KRAFT FOODS GROUP, INC CMN	1	53 30	37 00	16 30
13-Aug-12	11-Sep-13	KRAFT FOODS GROUP, INC CMN	1	53 45	45 19	8 26
7-Jun-12	10-Sep-13	KRAFT FOODS GROUP, INC CMN	3	159 87	107.45	52 42
8-Jun-12	10-Sep-13	KRAFT FOODS GROUP, INC CMN	2	106 58	94 00	12 58
13-Aug-12	11-Sep-13	KRAFT FOODS GROUP, INC CMN	8	428 63	361 53	67 10

Trade Date	Date Sold / Covered	Description	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)
8-Jun-12	11-Sep-13	KRAFT FOODS GROUP, INC CMN	3	160 37	120 80	39 57
10-Jul-12	11-Sep-13	KRAFT FOODS GROUP, INC CMN	5	267 27	193 76	73 51
10-Jul-12	11-Sep-13	KRAFT FOODS GROUP, INC. CMN	3	160 36	110 69	49 67
13-Aug-12	12-Sep-13	KRAFT FOODS GROUP, INC CMN	3	160 14	135 57	24 57
14-Aug-12	12-Sep-13	KRAFT FOODS GROUP, INC CMN	2	106 76	100 49	6 27
13-Aug-12	12-Sep-13	KRAFT FOODS GROUP, INC CMN	2	106 76	90 38	16 38
21-May-12	16-Sep-13	NIKE CLASS-B CMN CLASS B	12	817 51	641 69	175 82
		CURRENT YEAR DISALLOWED				79 00
TOTAL LONG TERM GAIN/(LOSS)				**72,210.55**	**59,732.12**	**12,557.43**

LARRY & JUDY COHEN FOUNDATION
GOLDMAN SACHS #028-15485
FROM OCTOBER 1, 2012 - SEPTEMBER 30, 2013

REALIZED GAINS/LOSSES

Trade Date	Date Sold / Covered	Description	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)
5-Jun-12	3-Jan-13	EXXON MOBIL CORPORATION CMN	9	795 86	703.66	92.2
23-Oct-12	7-Feb-13	HOLLYFRONTIER CORP CMN	29	1,581.43	1,058 98	522 45
18-Oct-12	18-Sep-13	HARTFORD FINANCIAL SRVCS GROUP CMN	20	645 06	450.69	194.37
TOTAL SHORT TERM GAIN/(LOSS)				**3,022.35**	**2,213.33**	**809.02**
11-May-11	1-Oct-12	AMGEN INC CMN	3	255 08	176 61	78.47
4-Aug-10	1-Oct-12	AMGEN INC CMN	16	1,360.40	889 31	471.09
17-May-11	3-Oct-12	AMGEN INC. CMN	5	432 91	303.58	129.33
11-May-11	3-Oct-12	AMGEN INC. CMN	7	606.08	412 08	194
17-May-11	16-Oct-12	AMGEN INC. CMN	12	1,045 20	728.59	316.61
24-May-10	18-Oct-12	EXXON MOBIL CORPORATION CMN	6	559 14	363.56	195 58
21-May-10	18-Oct-12	EXXON MOBIL CORPORATION CMN	11	1,025.11	661 04	364 07
20-May-10	1-Nov-12	AIR PRODUCTS & CHEMICALS INC CMN	14	1,099 97	939 78	160 19
3-Mar-08	4-Dec-12	FLUOR CORPORATION CMN	1	53 71	69.02	-15.31
6-Oct-08	4-Dec-12	FLUOR CORPORATION CMN	12	644.51	492 87	151 64
26-Jul-11	4-Dec-12	FLUOR CORPORATION CMN	17	913.05	1,141 58	-228 53
3-Mar-09	5-Dec-12	THE TRAVELERS COMPANIES, INC CMN	1	74 03	35 36	38.67
23-Feb-09	5-Dec-12	THE TRAVELERS COMPANIES, INC CMN	44	3,257 41	1,666 05	1,591 36
9-Aug-10	14-Mar-13	CHUBB CORP CMN	10	858 3	542 51	315.79
9-Aug-10	28-Mar-13	CHUBB CORP CMN	8	695 34	434 01	261 33
9-Aug-10	4-Apr-13	CHUBB CORP CMN	7	616.03	379 76	236 27
9-Aug-10	9-Apr-13	CHUBB CORP CMN	6	530 95	325.5	205.45
26-Sep-11	11-Apr-13	CHUBB CORP CMN	6	535.55	350 08	185 47
9-Aug-10	11-Apr-13	CHUBB CORP CMN	1	89 26	54.25	35 01
26-Sep-11	24-Apr-13	CHUBB CORP CMN	7	623 78	408.42	215 36
26-Sep-11	30-Apr-13	CHUBB CORP CMN	6	528.37	350 08	178 29
23-Feb-12	7-May-13	HARTFORD FINANCIAL SRVCS GROUP CMN	46	1,345 06	952 11	392 95
26-Sep-11	7-May-13	CHUBB CORP CMN	7	623.27	408.42	214.85
10-Feb-12	7-May-13	HARTFORD FINANCIAL SRVCS GROUP CMN	76	2,222 26	1,524.76	697 5
23-Feb-12	14-May-13	HARTFORD FINANCIAL SRVCS GROUP CMN	22	667.48	455.36	212 12
29-Jun-10	22-May-13	MERCK & CO , INC. CMN	29	1,396 42	1,031 50	364 92
20-Oct-09	21-May-13	MERCK & CO , INC CMN	48	2,181.63	1,626.43	555 2
20-Oct-09	22-May-13	MERCK & CO., INC. CMN	19	906.34	643 8	262.54
29-Jun-10	22-May-13	MERCK & CO , INC CMN	8	381 61	284 55	97 06
22-Mar-12	30-May-13	HARTFORD FINANCIAL SRVCS GROUP CMN	18	563 78	381 83	181 95
23-Feb-12	30-May-13	HARTFORD FINANCIAL SRVCS GROUP CMN	5	156 6	103 49	53 11
22-Jul-08	1-Jul-13	DEVON ENERGY CORPORATION (NEW) CMN	1	52 48	97 88	-45 4
3-Mar-08	1-Jul-13	DEVON ENERGY CORPORATION (NEW) CMN	13	682.29	1,354.34	-672 05
4-Dec-08	1-Jul-13	DEVON ENERGY CORPORATION (NEW) CMN	2	104 96	124 95	-19.99
22-Mar-12	2-Jul-13	HARTFORD FINANCIAL SRVCS GROUP CMN	5	156 34	106 06	50.28
18-May-12	2-Jul-13	CHARLES SCHWAB CORPORATION CMN	56	1,201.80	702.26	499 54
18-May-12	5-Jul-13	CHARLES SCHWAB CORPORATION CMN	33	727 1	413 83	313.27
18-Oct-10	17-Dec-12	EOG RESOURCES INC CMN	1	120.87	101 49	19.38
24-May-10	21-Dec-12	EXXON MOBIL CORPORATION CMN	11	959 79	666.53	293 26
3-Mar-08	28-Dec-12	PFIZER INC CMN	26	652.45	576.16	76.29
5-Oct-10	17-Dec-12	EOG RESOURCES INC CMN	9	1,087 85	867.23	220 62
16-Apr-10	18-Dec-12	THE TRAVELERS COMPANIES, INC CMN	3	222 73	159.09	63.64
3-Mar-09	18-Dec-12	THE TRAVELERS COMPANIES, INC CMN	11	816 67	388 96	427 71
3-Mar-08	3-Jan-13	ABBVIE INC. CMN	3	104.24	82.88	21.36
20-Dec-11	28-Dec-12	WALT DISNEY COMPANY (THE) CMN	13	644	467.33	176 67
1-Jul-08	28-Dec-12	PFIZER INC CMN	3	75 28	52 74	22.54

Trade Date	Date Sold / Covered	Description	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)
16-Apr-10	10-Jan-13	THE TRAVELERS COMPANIES, INC CMN	2	148 31	106 06	42.25
24-May-10	3-Jan-13	EXXON MOBIL CORPORATION CMN	3	265.28	181.78	83 5
3-Mar-08	7-Jan-13	ABBVIE INC CMN	50	1,742 34	1,381 26	361.08
16-Apr-10	9-Jan-13	THE TRAVELERS COMPANIES, INC CMN	12	888.29	636.35	251 94
16-Apr-10	10-Jan-13	THE TRAVELERS COMPANIES, INC CMN	14	1,040 70	742 41	298.29
15-Feb-11	25-Jan-13	PPG INDUSTRIES, INC CMN	16	2,299.62	1,417.53	882.09
27-Dec-10	15-Jan-13	ABBVIE INC CMN	24	815 71	592 87	222.84
3-Mar-08	15-Jan-13	ABBVIE INC CMN	3	101 97	82 88	19 09
15-Jun-09	15-Jan-13	ABBVIE INC. CMN	19	645.77	444.18	201 59
15-Feb-11	25-Jan-13	PPG INDUSTRIES, INC CMN	7	1,001 42	620 17	381.25
3-Mar-08	1-Mar-13	JOHNSON & JOHNSON CMN	5	382.83	308.55	74 28
3-Mar-08	4-Mar-13	JOHNSON & JOHNSON CMN	24	1,851 49	1,481 04	370 45
3-Mar-08	5-Mar-13	JOHNSON & JOHNSON CMN	30	2,329 85	1,851.30	478.55
4-Apr-12	5-Jul-13	HARTFORD FINANCIAL SRVCS GROUP CMN	14	437.56	297	140 56
22-Mar-12	5-Jul-13	HARTFORD FINANCIAL SRVCS GROUP CMN	2	62 51	42 43	20.08
18-Oct-10	11-Jul-13	EOG RESOURCES INC CMN	9	1,301.98	913.43	388 55
18-May-12	23-Jul-13	CHARLES SCHWAB CORPORATION CMN	24	533 47	300 97	232 5
18-May-12	1-Aug-13	CHARLES SCHWAB CORPORATION CMN	32	727 37	401 29	326.08
4-Apr-12	5-Aug-13	HARTFORD FINANCIAL SRVCS GROUP CMN	7	224.57	148 5	76 07
4-Apr-12	10-Sep-13	HARTFORD FINANCIAL SRVCS GROUP CMN	3	96 02	63 64	32 38
4-Apr-12	16-Sep-13	HARTFORD FINANCIAL SRVCS GROUP CMN	7	224 14	148 5	75.64
4-Apr-12	17-Sep-13	HARTFORD FINANCIAL SRVCS GROUP CMN	21	673.67	445.51	228 16
8-Aug-11	18-Sep-13	NIKE CLASS-B CMN CLASS B	13	896 33	523 47	372 86
4-Apr-12	18-Sep-13	HARTFORD FINANCIAL SRVCS GROUP CMN	1	32 25	21 21	11.04
4-Apr-12	18-Sep-13	HARTFORD FINANCIAL SRVCS GROUP CMN	20	640 26	424.29	215 97
TOTAL LONG TERM GAIN/(LOSS)				**53,221.19**	**37,874.64**	**15,346.55**

COHEN LARRY & JUDY FOUNDATION
GOLDMAN SACHS #038-07169
FROM OCTOBER 1, 2012 - SEPTEMBER 30, 2013

REALIZED GAINS/LOSSES

Trade Date	Date Sold / Covered	Description	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)
6-Apr-05	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	19 763	203 17	197 83	5 34
29-Apr-05	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	4.381	45 04	44 2	0 84
30-Jun-05	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	6 66	68 47	67 8	0 67
31-Aug-05	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	7 545	77 56	76.58	0 98
31-May-05	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	6 591	67 76	66 96	0 8
29-Jul-05	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	7 173	73 74	72 23	1 51
30-Sep-05	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	7 882	81 03	78 9	2 13
31-Oct-05	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	7 985	82 09	79 05	3 04
14-Dec-05	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	7 797	80 15	77 03	3 12
30-Dec-05	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	7 737	79 54	76 6	2 94
30-Nov-05	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	7 931	81 53	78 6	2 93
14-Dec-05	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	4 927	50 65	48 68	1 97
31-Jan-06	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	7 357	75 63	72 54	3 09
7-Feb-06	30-Aug-13	GS CORE FIXED INCOME FUND INSTITUTIONAL SHARES	2,328 18	23,933 66	22,932.55	1,001 11
TOTAL LONG TERM GAIN/(LOSS)				**25,000.02**	**23,969.55**	**1,030.47**

SCHEDULE 3 PG 1 OF 1

LARRY & JUDY COHEN FOUNDATION, INC.
CHARITABLE CONTRIBUTIONS
October 2012 through September 2013

Date	Name	Paid Amount
Contributions		
10/3/2012	NATIONAL ABILITY CENTER	2,000 00
10/12/2012	THE TWO HUNDRED CLUB OF UNION	200 00
10/15/2012	YOUTH WINTER SPORTS ALLIANCE	5,000 00
10/27/2012	YLUSA	10,000 00
10/29/2012	MONMOUTH COUNCIL BSA	500 00
10/29/2012	NEWMARK SCHOOL	10,000 00
10/29/2012	SUMMIT JEWISH COMMUNITY CENTER	75 00
12/11/2012	NJ SHARING NETWORK	100 00
1/25/2013	NATIONAL ABILITY CENTER	5,500 00
1/26/2013	SUMMIT LAND CONSERVANCY	1,000 00
3/10/2013	NATIONAL ABILITY CENTER	20,000 00
3/21/2013	YOUTH WINTER SPORTS ALLIANCE	900 00
4/15/2013	NJ CONSERVATION FDN	2,500 00
5/20/2013	US SKI & SNOWBOARDING FOUNDA	10,000 00
5/20/2013	MARLBORO JEWISH CENTER	680 00
7/1/2013	BRIGHT PROSPECT	5,000 00
7/27/2013	CAPE REGIONAL MEDICAL CENTER	500 00
8/16/2013	FANTASY EXTREME	1,500 00
8/16/2013	BH PBA	1,000 00
8/22/2013	SJCC SISTERHOOD	500 00
8/22/2013	SJCC SISTERHOOD	200 00
8/25/2013	YOUTH WINTER SPORTS ALLIANCE	2,500 00
9/2/2013	FRIENDS OF AVALON VOLUNTEER FD	250 00
9/17/2013	SUNDANCE INSTITUTE	3,000 00
9/26/2013	PAM MASS CHALLENGE	1,000 00
9/30/2013	KIMBALL ART CENTER	2,795 00
9/30/2013	THE LUKEMIA & LYMPHOMA SOCIETY	100 00
Total Contributions		86,800 00
TOTAL		**86,800.00**

THE PURPOSE OF THE ABOVE CONTRIBUTIONS IS TO AID EACH DONEE ORGANIZATION IN CARRYING OUT ITS EXEMPT FUNCTION.

SCHEDULE 6 PG 1 OF 1

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
ACTAVIS INC CMN (ACT_131001)	37 00	144 0000	5,328 00	95 5816	3,536 52	1,791 48		
ALTERA CORP CMN (ALTR)	99 00	37 1600	3,678 84	35 3425	3,498 91	179 93	1 6146	59 40
AMAZON COM INC CMN (AMZN)	14 00	312 6400	4,376 96	199 4743	2,792 64	1,584 32		
AMERICAN INTL GROUP, INC CMN (AIG)	103 00	48 6300	5,008 89	33 8206	3,483 52	1,525 37	0 8225	41 20
AMETEK INC (NEW) CMN (AME)	85 00	46 0200	3,911 70	46 0435	3,913 70	(2 00)	0 5215	20 40
AMGEN INC CMN (AMGN)	45 00	111 9250	5,036 63	113 1911	5,093 60	(56 98)	1 6797	84 60
APPLE, INC CMN (AAPL)	28 00	476 7500	13,349 00	235 1268	6,583 55	6,765 45	2 5590	341 60
BORGWARNER INC CMN (BWA)	31 00	101 3900	3,143 09	85 4374	2,648 56	494 53	0 9863	31 00
BRISTOL-MYERS SQUIBB COMPANY CMN (BMY)	151 00	46 2800	6,988 28	38 4506	5,806 04	1,182 24	3 0251	211 40
CARDINAL HEALTH INC CMN (CAH)	95 00	52 1500	4,954 25 28 74	43 0326	4,088 10	866 15	2 3202	114 95
CELGENE CORPORATION CMN (CELG)	71 00	154 1370	10,943 73	54 9325	3,900 21	7,043 52		
CITRIX SYSTEMS INC CMN (CTXS)	62 00	70 6100	4,377 82	68 3289	4,236 39	141 43		
COCA-COLA COMPANY (THE) CMN (KO)	0 00	37 8800	0 00 27 72				2 9567	
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (CTSH)	10 00	82 1200	821 20	67 7930	677 93	143 27		
COMCAST CORPORATION CMN CLASS A VOTING (CMCSA)	113 00	45 1150	5,098 00 22 04	22 7921	2,575 51	2,522 49	1 7289	88 14
COOPER COMPANIES INC (NEW) CMN (COO)	39 00	129 6900	5,057 91	79 3351	3,094 07	1,963 84	0 0463	2 34
COSTCO WHOLESALE CORPORATION CMN (COST)	44 00	115 1700	5,067 48	102 0480	4,490 11	577 37	1 0767	54 56

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
WESTFIELD LARGE CAP GROWTH								
CSX CORPORATION CMN (CSX)	140 00	25 7400	3,603 60	24 4535	3,423 49	180 11	2 3310	84 00
DANAHER CORPORATION CMN (DHR)	66 00	69 3200	4,575 12 1 65	53 3100	3,518 46	1,056 66	0 1443	6 60
EBAY INC CMN (EBAY)	43 00	55 7950	2,399 19	51 1995	2,201 58	197 61		
ECOLAB INC CMN (ECL)	27 00	98 7600	2,666 52 6 21	73 5459	1,985 74	680 78	0 9316	24 84
EMC CORPORATION MASS CMN (EMC)	98 00	25 5600	2,504 88 9 80	24 5113	2,402 11	102 77	1 5649	39 20
FACEBOOK, INC CMN CLASS A (FB)	86 00	50 2300	4,319 78	44 6240	3,837 66	482 12		
FMC CORPORATION CMN (FMC)	49 00	71 7200	3,514 28 6 62	57 4216	2,813 66	700 62	0 7529	26 46
GOOGLE, INC CMN CLASS A (GOOG)	12 00	875 9100	10,510 92	593 7833	7,125 40	3,385 52		
HALLIBURTON COMPANY CMN (HAL)	89 00	48 1500	4,285 35	31 2714	2,783 15	1,502 20	1 0384	44 50
INTERNATIONAL PAPER CO CMN (IP)	62 00	44 8000	2,777 60	34 2561	2,123 88	653 72	3 1250	86 80
INTL BUSINESS MACHINES CORP CMN (IBM)	16 00	185 1800	2,962 88	193 8419	3,101 47	(138 59)	2 0521	60 80
JPMORGAN CHASE & CO CMN (JPM)	71 00	51 6900	3,669 99	42 9244	3,047 63	622 36	2 9406	107 92
LAS VEGAS SANDS CORP CMN (LVS)	43 00	66 4200	2,856 06	52 4493	2,255 32	600 74	2 1078	60 20
LENNAR CORPORATION CMN CLASS A (LEN)	83 00	35 4000	2,938 20	42 1286	3,496 67	(558 47)	0 4520	13 28
MERCK & CO , INC CMN (MRK)	115 00	47 6090	5,475 04 49 45	44 1754	5,080 17	394 87	3 6128	197 80
MONDELEZ INTERNATIONAL, INC CMN (MDLZ)	150 00	31 4210	4,713 15 21 00	26 0179	3,902 68	810 47	1 6549	78 00
MONSANTO COMPANY CMN (MON)	41 00	104 3700	4,279 17	88 8122	3,641 30	637 87	1 6480	70 52
NATIONAL OILWELL VARCO, INC COMMON STOCK CMN (NOV)	71 00	78 1100	5,545 81	59 4171	4,218 61	1,327 20	1 3315	73 84
NIKE CLASS-B CMN CLASS B (NKE)	0 00	72 6400	0 00 7 98				1 1564	
PACCAR INC CMN (PCAR)	47 00	55 6600	2,616 02	44 8183	2,106 46	509 56	1 4373	37 60
PPG INDUSTRIES, INC CMN (PPG)	24 00	167 0600	4,009 44	121 1054	2,906 53	1,102 91	1 4606	58 56
PRECISION CASTPARTS CORP CMN (PCP)	23 00	227 2400	5,226 52	155 6855	3,580 77	1,645 75	0 0528	2 76

SCHEDULE 4 PG 2 OF 4

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
WESTFIELD LARGE CAP GROWTH								
PRICELINE COM INC CMN (PCLN)	6 00	1,010 9500	6,065 70	701 0167	4,206 10	1,859 60		
QUALCOMM INC CMN (QCOM)	60 00	67 3200	4,039 20	53 1513	3,189 08	850 12	2 0796	84 00
SALESFORCE COM, INC CMN (CRM)	106 00	51 9100	5,502 46	39 8773	4,226 99	1,275 47		
STARBUCKS CORP CMN (SBUX)	66 00	76 9700	5,080 02	47 0547	3,105 61	1,974 41	1 0913	55 44
STATE STREET CORPORATION (NEW) CMN (STT)	61 00	65 7500	4,010 75 15 86	48 4330	2,954 41	1,056 34	1 5817	63 44
THE HERSHEY COMPANY CMN (HSY)	53 00	92 5000	4,902 50	55 0549	2,917 91	1,984 59	2 0973	102 82
THERMO FISHER SCIENTIFIC INC CMN (TMO)	70 00	92 1500	6,450 50 10 50	51 8896	3,632 27	2,818 23	0 6511	42 00
UNITED TECHNOLOGIES CORP CMN (UTX)	60 00	107.8200	6,469 20	74 2582	4,455 49	2,013 71	1 9848	128 40
VALERO ENERGY CORPORATION CMN (VLO)	119 00	34 1500	4,063 85	21 8821	2,603 97	1,459 88	2 6354	107 10
VIACOM INC CMN CLASS B (VIAB)	58.00	83 5800	4,847 64 17 40	51 6764	2,997 23	1,850 41	1 4358	69 60
VISA INC CMN CLASS A (V)	34 00	191 1000	6,497 40	129 2118	4,393 20	2,104 20	0 6907	44 88
WALT DISNEY COMPANY (THE) CMN (DIS)	77 00	64 4900	4,965 73	47 0168	3,620 29	1,345 44	1 1630	57 75
WHOLE FOODS MARKET INC CMN (WFM)	54 00	58 5000	3,159 00 5 40	46 6776	2,520 59	638 41	0 6838	21 60
THE HOME DEPOT, INC CMN (HD)	63 00	75 8500	4,778 55	47 4073	2,986 66	1,791 89	2 0567	98 28
AMERICAN TOWER CORPORATION CMN (AMT)	32 00	74 1300	2,372 16 8 96	78 7213	2,519 08	(146 92)	1 2411	29 44
NIELSEN HOLDINGS B V CMN (NLSN)	100 00	36 4500	3,645 00	34 8754	3,487 54	157 46	2 1948	80 00
SUNCOR ENERGY INC CMN (SU)	96 00	35 7800	3,434 88	34 5599	3,317 75	117 13	2 1683	74 48

SCHEDULE 4 PG 3 OF 4

WESTFIELD: LCG
Holdings (Continued)

Period Ended September 30, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
WESTFIELD LARGE CAP GROWTH								
VODAFONE GROUP PLC SPONSORED ADR CMN (VOD)	83 00	35 1800	2,919 94	28 4752	2,363 44	556 50	4 4078	128 71
TOTAL WESTFIELD LARGE CAP GROWTH			257,795 75 239 33		191,469 68	66,326 06	1 6180	3,312 74

	Market Value	Adjusted Cost / [6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	256,035.11	189,469.71		

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income

US EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
3M COMPANY CMN (MMM)	43 00	119 4100	5,134 63	81 2056	3,491 84	1,642 79	2 1271	109 22
ABBOTT LABORATORIES CMN (ABT)	99 00	33 1900	3,285 81	24 0698	2,382 91	902 90	1 6873	55 44
AIR PRODUCTS & CHEMICALS INC CMN (APD)	26 00	106 5700	2,770 82 18 46	70 7898	1,840 54	930 28	2 6649	73 84
AMERICAN INTL GROUP, INC CMN (AIG)	181 00	48 6300	8,802 03	35 3464	6,397 69	2,404 34	0 8225	72 40
APACHE CORP CMN (APA)	55 00	85 1400	4,682 70	87 0711	4,788 91	(106 21)	0 9396	44 00
APPLE, INC CMN (AAPL)	9 00	476 7500	4,290 75	425 2856	3,827 57	463 18	2 5590	109 80
BAXTER INTERNATIONAL INC CMN (BAX)	57 00	65 6900	3,744 33 27 93	50 3277	2,868 68	875 65	2 9837	111 72
BOSTON SCIENTIFIC CORP COMMON STOCK (BSX)	436 00	11 7400	5,118 64	5 8401	2,546 30	2,572 34		
CHARLES SCHWAB CORPORATION CMN (SCHW)	86 00	21 1400	1,818 04	13 5899	1,168 73	649 31	1 1353	20 64
CIMAREX ENERGY CO CMN (XEC)	19.00	96 4000	1,831 60	66 4711	1,262 95	568 65	0 5809	10 64
CISCO SYSTEMS, INC CMN (CSCO)	216 00	23 4310	5,061 10	21 2929	4,599 27	461 83	2 9021	146 88
CITIGROUP INC CMN (C)	163 00	48 5100	7,907 13	33 8269	5,513 78	2,393 35		
COMCAST CORPORATION CMN CLASS A VOTING (CMCSA)	120 00	45 1150	5,413 80 23 40	31 0081	3,720 97	1,692 83	1 7289	93 60
CONAGRA INC CMN (CAG)	137 00	30 3400	4,156 58	22 3243	3,058 43	1,098 15	3 2960	137 00
DEVON ENERGY CORPORATION (NEW) CMN (DVN)	71 00	57.7600	4,100 96	59 5828	4,230 38	(129 42)	1 5235	62 48
DOVER CORPORATION CMN (DOV)	65 00	89 8300	5,838 95	41 5400	2,700 10	3,138 85	1 6698	97 50
EOG RESOURCES INC CMN (EOG)	43 00	169 2800	7,279 04	101.4212	4,361 11	2,917 93	0 4431	32 25

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
DIAMOND HILL LARGE CAP VALUE								
EXPRESS SCRIPTS HOLDINGS CMN (ESRX)	51 00	61 8000	3,151 80	58 7337	2,995 42	156 38		
GENERAL MILLS INC CMN (GIS)	88 00	47 9200	4,216 96	30 5221	2,685 95	1,531 02	3 1720	133 76
HARTFORD FINANCIAL SRVCS GROUP CMN (HIG)	21 00	31 1200	653 52 13 95	22 5348	473 23	180 29	1 9280	12 60
ILLINOIS TOOL WORKS CMN (ITW)	62 00	76 2700	4,728 74 26 04	48 7400	3,021 88	1,706 86	2 2027	104 16
INTL BUSINESS MACHINES CORP CMN (IBM)	26 00	185 1800	4,814 68	174 1368	4,527 56	287 12	2 0521	98 80
JPMORGAN CHASE & CO CMN (JPM)	147 00	51 6900	7,598 43	41 3114	6,072 77	1,525 66	2 9406	223 44
JUNIPER NETWORKS, INC CMN (JNPR)	98 00	19 8600	1,946 28	17 9165	1,755 82	190 46		
KIMBERLY CLARK CORP CMN (KMB)	60 00	94 2200	5,653 20 48 60	70 7272	4,243 63	1,409 57	3 4388	194 40
LINEAR TECHNOLOGY CORP CMN (LLTC)	54 00	39 6600	2,141 64	31 3376	1,692 23	449 41	2 6223	56 16
MC DONALDS CORP CMN (MCD)	43 00	96 2100	4,137 03	54 5779	2,346 85	1,790 18	3 3676	139 32
MEDTRONIC INC CMN (MDT)	171 00	53 2500	9,105 75	43 6990	7,472 53	1,633 22	2 1033	191 52
MICROSOFT CORPORATION CMN (MSFT)	186 00	33 2800	6,190 08	26 5685	4,941 75	1,248 33	3 3654	208 32
MORGAN STANLEY CMN (MS)	284 00	26 9500	7,653 80	21 0867	5,988 63	1,665 17	0 7421	56 80
NIKE CLASS-B CMN CLASS B (NKE)	49 00	72 6400	3,559 36 13 02	40 1992	1,969 76	1,589 60	1 1564	41 16
OCCIDENTAL PETROLEUM CORP CMN (OXY)	94 00	93 5400	8,792 76 60 16	82 8756	7,790 31	1,002 45	2 7368	240 64
PARKER-HANNIFIN CORP CMN (PH)	48 00	108 7200	5,218 56	63 6215	3,053 83	2,164 73	1 6556	86 40
PEPSICO INC CMN (PEP)	82 00	79 5000	6,519 00	54 2229	4,446 28	2,072 72	2 8553	186 14
PFIZER INC CMN (PFE)	253 00	28 7250	7,267 43	17 6468	4,464 65	2,802 78	3 3420	242 88
PHILIP MORRIS INTL INC CMN (PM)	37 00	86 5900	3,203 83 32 69	93 0914	3,444 38	(240 55)	4 3423	139 12
PNC FINANCIAL SERVICES GROUP CMN (PNC)	77 00	72 4500	5,578 65	59 0242	4,544 86	1,033 79	2 4293	135 52
PROCTER & GAMBLE COMPANY (THE) CMN (PG)	81 00	75 5900	6,122 79	46 4293	3,760 77	2,362 02	3 1830	194 89
PROGRESSIVE CORPORATION (THE) CMN (PGR)	186 00	27 2300	5,064 78	21 9184	4,076 82	987 96	1 0448	52 92
PRUDENTIAL FINANCIAL INC CMN (PRU)	112 00	77 9800	8,733 76	53 7465	6,019 61	2,714 16	2 0518	179 20

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DIAMOND HILL: LCV

Holdings (Continued)

Period Ended September 30, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
DIAMOND HILL LARGE CAP VALUE								
QUEST DIAGNOSTICS INCORPORATED CMN (DGX)	54 00	61 7900	3,336 66 16 20	54 2674	2,930 44	406 22	1 9421	64 80
SOUTHWEST AIRLINES CO CMN (LUV)	286 00	14 5600	4,164 16	14 0112	4,007 20	156 96	1 0989	45 76
STAPLES, INC CMN (SPLS)	223 00	14 6500	3,266 95 26 76	14 7703	3,293 77	(26 82)	3 2765	107 04
SYSCO CORPORATION CMN (SYY)	139 00	31 8300	4,424 37	28 2291	3,923 85	500 52	3 5187	155 68
TJX COMPANIES INC (NEW) CMN (TJX)	105 00	56 3900	5,920 95	43 1488	4,530 62	1,390 33	1 0286	60 90
UNITED TECHNOLOGIES CORP CMN (UTX)	81 00	107 8200	8,733 42	60 3433	4,887 81	3,845 61	1 9848	173 34
VF CORP CMN (VFC)	28 00	199 0500	5,573 40	146 8704	4,112 37	1,461 03	1 7483	97 44
WALT DISNEY COMPANY (THE) CMN (DIS)	85 00	64 4900	5,481 65	38 6846	3,288 19	2,193 46	1 1630	63 75
WELLS FARGO & CO (NEW) CMN (WFC)	127.00	41 3200	5,247 64	28 0449	3,561 70	1,685 94	2 9042	152 40
WHIRLPOOL CORP CMN (WHR)	11 00	146 4400	1,610 84	110 9436	1,220 38	390 46	1 7072	27 50
TOTAL DIAMOND HILL LARGE CAP VALUE			262,304 76 307 21		197,560 99	64,743 79	2 0703	5,053 89

	Market Value	Adjusted Cost / Original Cost [6]	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	251,356.99	186,306.01		

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

SCHEDULE 5 PG 3 OF 3