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Form **990-PF**

**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2012

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

Open to Public Inspection

For calendar year 2012 or tax year beginning **10/01/12**, and ending **09/30/13**

Name of foundation: **ROBERT J. NOLAN FOUNDATION, INC.**

Number and street (or P O box number if mail is not delivered to street address): **82 GLENWOOD AVENUE**

Room/suite: _____

City or town, state, and ZIP code: **QUEENSBURY NY 12804**

A Employer identification number: **22-2826285**

B Telephone number (see instructions): **518-792-4900**

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 894,942**

J Accounting method: Cash Accrual
 Other (specify) _____

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|--|------------------------------------|---------------------------|-------------------------|---|
| 1 | Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| 2 | Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| 3 | Interest on savings and temporary cash investments | 6 | 6 | 6 | |
| 4 | Dividends and interest from securities | 22,070 | 22,070 | 22,070 | |
| 5a | Gross rents | | | | |
| b | Net rental income or (loss) | 18,048 | | | |
| 6a | Net gain or (loss) from sale of assets not on line 10 | | | | |
| b | Gross sales price for all assets on line 6a 187,124 | | 18,048 | | |
| 7 | Capital gain net income (from Part IV, line 2) | | | 0 | |
| 8 | Net short-term capital gain | | | | |
| 9 | Income modifications | | | | |
| 10a | Gross sales less returns and allowances | | | | |
| b | Less Cost of goods sold | | | | |
| c | Gross profit or (loss) (attach schedule) | | | | |
| 11 | Other income (attach schedule) | | | | |
| 12 | Total. Add lines 1 through 11 | 40,124 | 40,124 | 22,076 | |
| 13 | Compensation of officers, directors, trustees, etc. | 0 | | | |
| 14 | Other employee salaries and wages | 3,500 | | | 3,500 |
| 15 | Pension plans, employee benefits | | | | |
| 16a | Legal fees (attach schedule) | | | | |
| b | Accounting fees (attach schedule) See Stmt | | | | 1,770 |
| c | Other professional fees (attach schedule) | | | | |
| 17 | Interest | | | | |
| 18 | Taxes (attach schedule) (see instructions) Stmt | | | | 410 |
| 19 | Depreciation (attach schedule) and depletion | | | | |
| 20 | Occupancy | | | | |
| 21 | Travel, conferences, and meetings | | | | |
| 22 | Printing and publications | | | | |
| 23 | Other expenses (att. sch) See Stmt | 9,433 | 8,045 | | 888 |
| 24 | Total operating and administrative expenses. | | | | |
| | Add lines 13 through 23 | 15,258 | 8,045 | 0 | 6,568 |
| 25 | Contributions, gifts, grants paid | 37,500 | | | 37,500 |
| 26 | Total expenses and disbursements. Add lines 24 and 25 | 52,758 | 8,045 | 0 | 44,068 |
| 27 | Subtract line 26 from line 12. | | | | |
| a | Excess of revenue over expenses and disbursements | -12,634 | | | |
| b | Net investment income (if negative, enter -0-) | | 32,079 | | |
| c | Adjusted net income (if negative, enter -0-) | | | 22,076 | |

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2012)

Handwritten initials and date: **2/8 2014**

| Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | Beginning of year | End of year | |
|--|--|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash – non-interest-bearing | 763 | 618 | 618 |
| | 2 Savings and temporary cash investments | 10,524 | 16,731 | 16,731 |
| | 3 Accounts receivable ▶ Less allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ Less allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶ | 0 | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments – U S. and state government obligations (attach schedule) | | | |
| | b Investments – corporate stock (attach schedule) See Stmt 4 | 480,294 | 465,599 | 665,480 |
| | c Investments – corporate bonds (attach schedule) See Stmt 5 | 215,644 | 211,236 | 212,113 |
| | 11 Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶ | | | |
| | 12 Investments – mortgage loans | | | |
| | 13 Investments – other (attach schedule) | | | |
| | 14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶ | | | |
| 15 Other assets (describe ▶) | | | | |
| 16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I) | 707,225 | 694,184 | 894,942 | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ See Statement 6) | 407 | | |
| | 23 Total liabilities (add lines 17 through 22) | 407 | 0 | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/> | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/> | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 706,818 | 694,184 | |
| 30 Total net assets or fund balances (see instructions) | 706,818 | 694,184 | | |
| 31 Total liabilities and net assets/fund balances (see instructions) | 707,225 | 694,184 | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--|---|---------|
| 1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 706,818 |
| 2 Enter amount from Part I, line 27a | 2 | -12,634 |
| 3 Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 Add lines 1, 2, and 3 | 4 | 694,184 |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30 | 6 | 694,184 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--|----------------------------------|
| 1a See Worksheet | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) | |
| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| 2 Capital gain net income or (net capital loss) | | <div style="border: 1px solid black; padding: 2px; display: inline-block;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> | | 18,048 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | <div style="border: 1px solid black; padding: 2px; display: inline-block;"> If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 </div> | | -242 |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|--|--|---|
| 2011 | 48,065 | 807,183 | 0.059547 |
| 2010 | 57,702 | 813,396 | 0.070940 |
| 2009 | 55,440 | 812,343 | 0.068247 |
| 2008 | 45,602 | 741,057 | 0.061536 |
| 2007 | 33,009 | 911,235 | 0.036224 |
| 2 Total of line 1, column (d) | | | 0.296494 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 0.059299 |
| 4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 | | | 853,700 |
| 5 Multiply line 4 by line 3 | | | 50,624 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 321 |
| 7 Add lines 5 and 6 | | | 50,945 |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions | | | 44,068 |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|-----------|--|-----------|------------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 642 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2 | 3 | 642 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 642 |
| 6 | Credits/Payments | | |
| a | 2012 estimated tax payments and 2011 overpayment credited to 2012 | 6a | 280 |
| b | Exempt foreign organizations - tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 280 |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | 362 |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | |
| 11 | Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> | 11 | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|------------|----------|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____ | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____ | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | N/A | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|--|--|-----------|----------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► DOES NOT HAVE A WEB SITE | | X | |
| 14 | The books are in care of ► MICHAEL BORGOS 82 GLENWOOD AVENUE Located at ► QUEENSBURY NY ZIP+4 ► 12804 Telephone no. ► 518-792-4900 | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year | | 15 | |
| 16 | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ► | | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | Yes | No |
|-----|--|-----------|----------|
| 1a | During the year did the foundation (either directly or indirectly) | | |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> N/A | 1b | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? N/A | 1c | |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a | At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20 , 20 , 20 , 20 | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions) N/A | 2b | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20 | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) N/A | 3b | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? | 4b | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** **5b**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** Yes No **X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| See Statement 7 | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|-------|----------|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

| | Amount |
|--|--------|
| 1 N/A | |
| 2 | |
| 3 All other program-related investments See instructions | |

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|---|-----------|----------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities | 1a | 845,751 |
| b | Average of monthly cash balances | 1b | 20,950 |
| c | Fair market value of all other assets (see instructions) | 1c | 0 |
| d | Total (add lines 1a, b, and c) | 1d | 866,701 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 866,701 |
| 4 | Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions) | 4 | 13,001 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 853,700 |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 42,685 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

| | | | |
|-----------|---|-----------|---------------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 42,685 |
| 2a | Tax on investment income for 2012 from Part VI, line 5 | 2a | 642 |
| b | Income tax for 2012 (This does not include the tax from Part VI) | 2b | |
| c | Add lines 2a and 2b | 2c | 642 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 42,043 |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | 42,043 |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 42,043 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|---|-----------|---------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26 | 1a | 44,068 |
| b | Program-related investments – total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 44,068 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | 0 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 44,068 |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2011 | (c) 2011 | (d) 2012 |
|---|---------------|----------------------------|-------------|---------------|
| 1 Distributable amount for 2012 from Part XI, line 7 | | | | 42,043 |
| 2 Undistributed income, if any, as of the end of 2012 | | | | |
| a Enter amount for 2011 only | | | | |
| b Total for prior years 20____, 20____, 20____ | | | | |
| 3 Excess distributions carryover, if any, to 2012 | | | | |
| a From 2007 | | | | |
| b From 2008 | | | | |
| c From 2009 | | | | |
| d From 2010 | | | | 10,462 |
| e From 2011 | | | | 8,216 |
| f Total of lines 3a through e | | | | 18,678 |
| 4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 44,068 | | | | |
| a Applied to 2011, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required – see instructions) | | | | |
| c Treated as distributions out of corpus (Election required – see instructions) | | | | |
| d Applied to 2012 distributable amount | | | | 42,043 |
| e Remaining amount distributed out of corpus | | | | 2,025 |
| 5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a)) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | 20,703 |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b Taxable amount – see instructions | | | | |
| e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount – see instructions | | | | |
| f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | | | |
| 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) | | | | |
| 9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a | | | | 20,703 |
| 10 Analysis of line 9 | | | | |
| a Excess from 2008 | | | | |
| b Excess from 2009 | | | | |
| c Excess from 2010 | | | | 10,462 |
| d Excess from 2011 | | | | 8,216 |
| e Excess from 2012 | | | | 2,025 |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| | Tax year | | | | (e) Total |
|--|----------|----------|----------|----------|-----------|
| | (a) 2012 | (b) 2011 | (c) 2010 | (d) 2009 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test – enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test – enter. | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.
THE ROBERT J NOLAN FOUNDATION, INC 518-792-4900
MICHAEL BORGOS, 82 GLENWOOD AVENUE QUEENSBURY NY 12804

b The form in which applications should be submitted and information and materials they should include:
See Statement 8

c Any submission deadlines
See Statement 9

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
See Statement 10

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|---------------|
| Name and address (home or business) | | | | |
| a Paid during the year See Statement 11 | | | | 37,500 |
| Total | | | ▶ 3a | 37,500 |
| b Approved for future payment N/A | | | | |
| Total | | | ▶ 3b | |

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2012

For calendar year 2012, or tax year beginning

10/01/12, and ending **09/30/13**

Name

Employer Identification Number

ROBERT J. NOLAN FOUNDATION, INC.

22-2826285

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| (1) 90 SHS ABBOTT LABS CO | P | 05/27/10 | 12/06/12 |
| (2) 100 SHS ARCHER DANIELS MIDLAND CO | P | 04/26/11 | 10/18/12 |
| (3) 40 SHS GILEAD SCIENCES INC | P | 04/21/11 | 12/06/12 |
| (4) 20 SHS INTL BUSINESS MACHINES CORP | P | 04/25/11 | 12/06/12 |
| (5) 119 SHS KRAFT FOOD GROUP INC | P | Various | 10/18/12 |
| (6) .999 SH KRAFT FOOD GROUP SPIN OFF | P | Various | 10/23/12 |
| (7) 170 SHS MISCROSOFT CORPORATION | P | 07/26/11 | 12/06/12 |
| (8) 90 SHS UNITED PARCEL SERVICE CL B | P | Various | 10/18/12 |
| (9) 30 SHS WALT DISNEY CO | P | 12/16/10 | 12/06/12 |
| (10) 10 SHS ABBOTT LABS CO | P | 05/27/10 | 01/31/13 |
| (11) 10 SHS ABBOTT LABS CO | P | 05/27/10 | 03/26/13 |
| (12) 160 SHS ABBVIE INC | P | Various | 01/18/13 |
| (13) 10 SHS AMERICAN EXPRESS CO | P | 11/05/02 | 03/26/13 |
| (14) 10 SHS ARCHER DANIELS MIDLAND CO | P | 03/02/11 | 01/31/13 |
| (15) 10 SHS ARCHER DANIELS MIDLAND CO | P | 03/02/11 | 03/26/13 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| (1) 5,811 | | 4,596 | 1,215 |
| (2) 2,808 | | 3,709 | -901 |
| (3) 3,015 | | 1,599 | 1,416 |
| (4) 3,791 | | 3,357 | 434 |
| (5) 5,621 | | 4,952 | 669 |
| (6) 47 | | 41 | 6 |
| (7) 4,514 | | 6,172 | -1,658 |
| (8) 6,528 | | 6,592 | -64 |
| (9) 1,488 | | 1,111 | 377 |
| (10) 330 | | 229 | 101 |
| (11) 334 | | 229 | 105 |
| (12) 5,458 | | 4,191 | 1,267 |
| (13) 654 | | 319 | 335 |
| (14) 286 | | 369 | -83 |
| (15) 326 | | 369 | -43 |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h)) |
|------------------------|--------------------------------------|---|--|
| (1) | | | 1,215 |
| (2) | | | -901 |
| (3) | | | 1,416 |
| (4) | | | 434 |
| (5) | | | 669 |
| (6) | | | 6 |
| (7) | | | -1,658 |
| (8) | | | -64 |
| (9) | | | 377 |
| (10) | | | 101 |
| (11) | | | 105 |
| (12) | | | 1,267 |
| (13) | | | 335 |
| (14) | | | -83 |
| (15) | | | -43 |

Capital Gains and Losses for Tax on Investment Income

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For calendar year 2012, or tax year beginning **10/01/12**, and ending **09/30/13**

Name

Employer Identification Number

ROBERT J. NOLAN FOUNDATION, INC.

22-2826285

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| (1) 10 SHS BED BATH & BEYOND INC | P | 11/03/11 | 01/31/13 |
| (2) 10 SHS BROADCOM CORP | P | 03/26/12 | 03/26/13 |
| (3) 30 SHS CELGENE CORP | P | 11/03/11 | 03/22/13 |
| (4) 10 SHS CHECK POINT SOFTWARE TECH LTD | P | 07/26/11 | 01/31/13 |
| (5) 10 SHS CHECK POINT SOFTWARE TECH LTD | P | 07/26/11 | 03/26/13 |
| (6) 20 SHS CISCO SYSTEMS INC | P | 10/09/07 | 01/31/13 |
| (7) 10 SHS CISCO SYSTEMS INC | P | 10/09/07 | 03/26/13 |
| (8) 20 SHS COCA COLA CO | P | 05/02/12 | 01/31/13 |
| (9) 10 SHS COCA COLA CO | P | 05/02/12 | 03/26/13 |
| (10) 10 SHS COGNIZANT TECH SOLUTIONS CORP | P | 12/03/12 | 03/26/13 |
| (11) 60 SHS COLGATE PALMOLIVE CO | P | 12/01/08 | 03/22/13 |
| (12) 10 SHS COMCAST CORP NEW CL A | P | 12/03/12 | 01/31/13 |
| (13) 10 SHS DANAHER CORP SHS BEN INT | P | 04/21/11 | 03/26/13 |
| (14) 10 SHS ECOLAB INC | P | 05/05/10 | 03/26/13 |
| (15) 10 SHS EMC CORPORATION/MASS | P | 10/09/07 | 01/31/13 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| (1) 584 | | 618 | -34 |
| (2) 344 | | 386 | -42 |
| (3) 3,351 | | 1,932 | 1,419 |
| (4) 495 | | 589 | -94 |
| (5) 494 | | 589 | -95 |
| (6) 421 | | 662 | -241 |
| (7) 208 | | 331 | -123 |
| (8) 740 | | 775 | -35 |
| (9) 402 | | 388 | 14 |
| (10) 764 | | 679 | 85 |
| (11) 6,740 | | 3,924 | 2,816 |
| (12) 396 | | 374 | 22 |
| (13) 613 | | 540 | 73 |
| (14) 790 | | 486 | 304 |
| (15) 251 | | 219 | 32 |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h)) |
|--------------------------|--------------------------------------|---|--|
| (1) | | | -34 |
| (2) | | | -42 |
| (3) | | | 1,419 |
| (4) | | | -94 |
| (5) | | | -95 |
| (6) | | | -241 |
| (7) | | | -123 |
| (8) | | | -35 |
| (9) | | | 14 |
| (10) | | | 85 |
| (11) | | | 2,816 |
| (12) | | | 22 |
| (13) | | | 73 |
| (14) | | | 304 |
| (15) | | | 32 |

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2012

For calendar year 2012, or tax year beginning **10/01/12**, and ending **09/30/13**

Name

Employer Identification Number

ROBERT J. NOLAN FOUNDATION, INC.

22-2826285

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|---|--|------------------------------------|--------------------------------|
| (1) 10 SHS EMC CORPORATION/MASS | P | 10/09/07 | 03/26/13 |
| (2) 10 SHS EXXON MOBIL CORP | P | 05/09/11 | 03/26/13 |
| (3) 10 SHS FREEPORT-MCMORAN COPPER | P | 02/06/12 | 03/26/13 |
| (4) 80 SHS GILEAD SCIENCES INC | P | 04/21/11 | 03/22/13 |
| (5) 10 SHS GILEAD SCIENCES INC | P | 04/21/11 | 03/26/13 |
| (6) 10 SHS HOME DEPOT INC | P | 05/02/08 | 01/31/13 |
| (7) 40 SHS HOME DEPOT INC | P | 05/02/08 | 02/07/13 |
| (8) 30 SHS HOME DEPOT INC | P | 05/02/08 | 03/22/13 |
| (9) 10 SHS ILLINOIS TOOL WKS INC | P | 01/24/12 | 01/31/13 |
| (10) 10 SHS ILLINOIS TOOL WKS INC | P | 01/24/12 | 03/26/13 |
| (11) 10 SHS JP CHASE MORGAN & CO | P | 03/30/07 | 01/31/13 |
| (12) 10 SHS JP CHASE MORGAN & CO | P | 03/30/07 | 03/26/13 |
| (13) 10 SHS JOHNSON & JOHNSON CO | P | 12/01/08 | 01/31/13 |
| (14) 10 SHS MERCK & CO INC NEW | P | 12/01/11 | 01/31/13 |
| (15) 10 SHS MERCK & CO INC NEW | P | 12/01/11 | 03/26/13 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| (1) 245 | | 219 | 26 |
| (2) 883 | | 834 | 49 |
| (3) 329 | | 463 | -134 |
| (4) 3,579 | | 1,579 | 2,000 |
| (5) 445 | | 197 | 248 |
| (6) 675 | | 275 | 400 |
| (7) 2,679 | | 1,098 | 1,581 |
| (8) 2,086 | | 824 | 1,262 |
| (9) 654 | | 515 | 139 |
| (10) 627 | | 515 | 112 |
| (11) 467 | | 484 | -17 |
| (12) 485 | | 484 | 1 |
| (13) 736 | | 565 | 171 |
| (14) 431 | | 359 | 72 |
| (15) 439 | | 359 | 80 |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h)) |
|------------------------|--------------------------------------|---|--|
| (1) | | | 26 |
| (2) | | | 49 |
| (3) | | | -134 |
| (4) | | | 2,000 |
| (5) | | | 248 |
| (6) | | | 400 |
| (7) | | | 1,581 |
| (8) | | | 1,262 |
| (9) | | | 139 |
| (10) | | | 112 |
| (11) | | | -17 |
| (12) | | | 1 |
| (13) | | | 171 |
| (14) | | | 72 |
| (15) | | | 80 |

Capital Gains and Losses for Tax on Investment Income

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2012

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10/01/12, and ending **09/30/13**

Name

Employer Identification Number

ROBERT J. NOLAN FOUNDATION, INC.

22-2826285

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| (1) 20 SHS MICROSOFT CORPORATION | P | 07/26/11 | 03/26/13 |
| (2) 10 SHS MONDELEZ CORPORATION | P | 08/22/12 | 01/31/13 |
| (3) 10 SHS MONDELEZ CORPORATION | P | 08/22/12 | 03/26/13 |
| (4) 10 SHS NEXTERA ENERGY INC | P | 01/09/12 | 03/26/13 |
| (5) 10 SHS ORACLE CORPORATION | P | 06/15/11 | 01/31/13 |
| (6) 20 SHS ORACLE COPPORATION | P | 06/15/11 | 03/26/13 |
| (7) 10 SHS PFITZER | P | 12/03/12 | 01/31/13 |
| (8) 10 SHS PFITZER | P | 12/03/12 | 03/26/13 |
| (9) 10 SHS QUALCOMM INCORPORATED | P | 11/08/10 | 03/26/13 |
| (10) 10 SHS SPECTRA ENERGY CORP | P | 01/09/12 | 03/26/13 |
| (11) 10 SHS STARBUCKS CORP | P | 09/08/11 | 03/26/13 |
| (12) 10 TJX COMPANIES INC | P | 02/04/13 | 03/26/12 |
| (13) 10 SHS TRANSOCEAN LTD ZUG NAMEN AKT | P | 08/22/12 | 01/31/13 |
| (14) 10 SHS TRANSOCEAN LTD ZUG NAMEN AKT | P | 08/22/12 | 03/26/13 |
| (15) 10 SHS UNION PACIFIC CORP | P | 02/06/12 | 03/26/13 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| (1) 562 | | 560 | 2 |
| (2) 278 | | 266 | 12 |
| (3) 285 | | 266 | 19 |
| (4) 757 | | 588 | 169 |
| (5) 357 | | 314 | 43 |
| (6) 649 | | 628 | 21 |
| (7) 269 | | 252 | 17 |
| (8) 281 | | 252 | 29 |
| (9) 654 | | 477 | 177 |
| (10) 291 | | 305 | -14 |
| (11) 571 | | 390 | 181 |
| (12) 457 | | 455 | 2 |
| (13) 581 | | 489 | 92 |
| (14) 520 | | 489 | 31 |
| (15) 1,387 | | 1,156 | 231 |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h)) |
|--------------------------|--------------------------------------|---|--|
| | | | 2 |
| | | | 12 |
| | | | 19 |
| | | | 169 |
| | | | 43 |
| | | | 21 |
| | | | 17 |
| | | | 29 |
| | | | 177 |
| | | | -14 |
| | | | 181 |
| | | | 2 |
| | | | 92 |
| | | | 31 |
| | | | 231 |

Capital Gains and Losses for Tax on Investment Income

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Name

Employer Identification Number

ROBERT J. NOLAN FOUNDATION, INC.

22-2826285

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| (1) 10 SHS US BANCORP DEL NEW | P | 08/27/08 | 01/31/13 |
| (2) 20 SHS US BANCORP DEL NEW | P | 08/27/08 | 03/26/13 |
| (3) 10 SHS VERIZON COMMUNICATIONS | P | 08/24/10 | 01/31/13 |
| (4) 10 SHS VERIZON COMMUNICATIONS | P | 08/24/10 | 03/26/13 |
| (5) 10 SHS WALGREEN CO | P | 10/15/12 | 01/31/13 |
| (6) 10 SHS WALGREEN CO | P | 03/19/13 | 03/26/13 |
| (7) 60 SHS WALT DISNEY CO | P | 12/16/10 | 02/07/13 |
| (8) 10 SHS WALT DISNEY CO | P | 12/16/10 | 03/26/13 |
| (9) 10 SHS WELLS FARGO & CO NEW | P | 03/01/10 | 01/31/13 |
| (10) 10 SHS WELLS FARGO & CO NEW | P | 03/01/10 | 03/26/13 |
| (11) 10 SHS YUM BRANDS INC | P | 01/09/12 | 01/31/13 |
| (12) 10 SHS YUM BRANDS INC | P | 01/09/12 | 03/26/13 |
| (13) 10 SHS 3M CO | P | 03/01/10 | 03/26/13 |
| (14) 8285.243SHS VANGUARD INTER. TERM TRE | P | Various | 09/27/13 |
| (15) 20SHS ECOLAB, INC. | P | Various | 05/29/13 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| (1) 332 | | 309 | 23 |
| (2) 670 | | 617 | 53 |
| (3) 427 | | 296 | 131 |
| (4) 488 | | 296 | 192 |
| (5) 400 | | 361 | 39 |
| (6) 459 | | 451 | 8 |
| (7) 3,261 | | 2,395 | 866 |
| (8) 564 | | 399 | 165 |
| (9) 351 | | 276 | 75 |
| (10) 372 | | 273 | 99 |
| (11) 646 | | 596 | 50 |
| (12) 695 | | 596 | 99 |
| (13) 1,049 | | 804 | 245 |
| (14) 93,872 | | 94,407 | -535 |
| (15) 1,727 | | 1,016 | 711 |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h)) |
|--------------------------|--------------------------------------|---|--|
| (1) | | | 23 |
| (2) | | | 53 |
| (3) | | | 131 |
| (4) | | | 192 |
| (5) | | | 39 |
| (6) | | | 8 |
| (7) | | | 866 |
| (8) | | | 165 |
| (9) | | | 75 |
| (10) | | | 99 |
| (11) | | | 50 |
| (12) | | | 99 |
| (13) | | | 245 |
| (14) | | | -535 |
| (15) | | | 711 |

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Form **990-PF**

2012

For calendar year 2012, or tax year beginning **10/01/12**, and ending **09/30/13**

Name

Employer Identification Number

ROBERT J. NOLAN FOUNDATION, INC.

22-2826285

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo, day, yr) | (d) Date sold (mo, day, yr) |
|---|--|------------------------------------|--------------------------------|
| (1) CAPITAL GAIN DISTRIBUTIONS | | | |
| (2) | | | |
| (3) | | | |
| (4) | | | |
| (5) | | | |
| (6) | | | |
| (7) | | | |
| (8) | | | |
| (9) | | | |
| (10) | | | |
| (11) | | | |
| (12) | | | |
| (13) | | | |
| (14) | | | |
| (15) | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| (1) 1,548 | | | 1,548 |
| (2) | | | |
| (3) | | | |
| (4) | | | |
| (5) | | | |
| (6) | | | |
| (7) | | | |
| (8) | | | |
| (9) | | | |
| (10) | | | |
| (11) | | | |
| (12) | | | |
| (13) | | | |
| (14) | | | |
| (15) | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h)) |
|--------------------------|--------------------------------------|---|--|
| | | | 1,548 |
| (1) | | | |
| (2) | | | |
| (3) | | | |
| (4) | | | |
| (5) | | | |
| (6) | | | |
| (7) | | | |
| (8) | | | |
| (9) | | | |
| (10) | | | |
| (11) | | | |
| (12) | | | |
| (13) | | | |
| (14) | | | |
| (15) | | | |

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|----------------------------------|----------|----------------|--------------|--------------------|
| FEES PAID FOR PREPARATION OF 990 | \$ 1,770 | \$ | \$ | \$ 1,770 |
| Total | \$ 1,770 | \$ 0 | \$ 0 | \$ 1,770 |

Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|---------------|--------|----------------|--------------|--------------------|
| PAYROLL TAXES | \$ 410 | \$ | \$ | \$ 410 |
| FORM 990-PF | 145 | | | |
| Total | \$ 555 | \$ 0 | \$ 0 | \$ 410 |

Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|----------------------|----------|----------------|--------------|--------------------|
| Expenses | \$ | \$ | \$ | \$ |
| BANK INVESTMENT FEES | 8,045 | 8,045 | | |
| FILING FEES | 100 | | | 100 |
| INSURANCE | 788 | | | 788 |
| OFFICE EXPENSE | 450 | | | |
| BANK FEES | 50 | | | |
| Total | \$ 9,433 | \$ 8,045 | \$ 0 | \$ 888 |

Federal Statements

22-2826285
 FYE: 9/30/2013

Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

| Description | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|-------------|-------------------|-------------|--------------------|-------------------|
| | \$ 480,294 | \$ 465,599 | Cost | \$ 665,480 |
| Total | \$ 480,294 | \$ 465,599 | | \$ 665,480 |

Statement 5 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

| Description | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|-------------|-------------------|-------------|--------------------|-------------------|
| | \$ 215,644 | \$ 211,236 | Cost | \$ 212,113 |
| Total | \$ 215,644 | \$ 211,236 | | \$ 212,113 |

Federal Statements

Statement 6 - Form 990-PF, Part II, Line 22 - Other Liabilities

| Description | Beginning of Year | End of Year |
|-----------------------|----------------------|----------------|
| PAYROLL TAXES PAYABLE | \$ 407 | \$ |
| Total | \$ 407 | \$ 0 |

Statement 7 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

| Name and Address | Title | Average Hours | Compensation | Benefits | Expenses |
|--|--------------|---------------|--------------|----------|----------|
| RICHARD O'CONNOR 5 CHELSEA PLACE QUEENSBURY NY 12804 | TREASUER | 2.00 | 0 | 0 | 0 |
| LISA BUSHMAN 82 GLENWOOD AVENUE QUEENSBURY NY 12804 | ADMINIST. SE | 2.00 | 0 | 0 | 0 |
| MICHAEL BORGOS 82 GLENWOOD AVE QUEENSBURY NY 12804 | PRESIDENT | 2.00 | 0 | 0 | 0 |
| JUDITH BULOVA 21 PINION PINE LANE QUEENSBURY NY 12804 | SECRETARY | 2.00 | 0 | 0 | 0 |
| KEVIN MAHONEY 15 COOPER STREET GLENS FALLS NY 12801 | DIRECTOR | 2.00 | 0 | 0 | 0 |
| DAVE MORNHINWEG 422 ROBERT DRIVE BALLSTON SPA NY 12020 | VICE PRESIDE | 2.00 | 0 | 0 | 0 |
| SUZANNE NOLAN 4 VIA VITTORIO PL HENDERSON NV 89011 | DIRECTOR | 2.00 | 0 | 0 | 0 |
| JOHN C. MANNIX JR. 198 CHURCH STREET SARATOGA SPRINGS NY 12866 | VICE PRESIDE | 2.00 | 0 | 0 | 0 |

Statement 8 - Form 990-PF, Part XV, Line 2b - Application Format and Required Contents**Description**

THE DIRECTORS OF THE FOUNDATION USE THE FOLLOWING CRITERIA IN SELECTING RECIPIENTS: 1. EDUCATIONAL ACHIEVEMENT; 2. PARTICIPATION IN HIGH SCHOOL VARSITY ATHLETIC TEAMS; 3. EXTRACURRICULAR SCHOOL-RELATED ACTIVITIES; 4. CIVIC ACTIVITIES; 5. RELIGIOUS ORGANIZATION PARTICIPATION AND 6. FINANCIAL NEED. EACH STUDENT WILL SUBMIT AN APPLICATION FOR CONSIDERATION (SEE ATTACHED).

Statement 9 - Form 990-PF, Part XV, Line 2c - Submission Deadlines**Description**

ALL APPLICATIONS ARE DUE BY MAY. THE FOUNDATION WILL PUBLICIZE THE EXISTENCE OF THE SCHOLARSHIP PROGRAM BY SENDING ANNOUNCEMENTS TO THE GUIDANCE DEPARTMENT OF AREA SCHOOLS TO BE READ AT CLUB MEETINGS AND VARSITY SPORTS PRACTICES.

Statement 10 - Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations**Description**

THE ROBERT J NOLAN FOUNDATION, INC. WILL AWARD SCHOLARSHIPS TO INDIVIDUALS ATTENDING SECONDARY SCHOOLS WHO ARE FROM THE GREATER GLENS FALLS AREA. SCHOLARSHIPS ARE AWARDED TO THE WELL-BALANCED STUDENT WITH A SPECIAL EMPHASIS ON PARTICIPATION IN VARSITY ATHLETIC TEAMS AND THE EXPRESSED INTENTION OF PARTICIPATION IN ORGANIZED ATHLETIC TEAMS AND ENDEAVORS AT THE UNDERGRADUATE AND/OR GRADUATE LEVEL.

Federal Statements

22-2826285

FYE: 9/30/2013

Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

| Name | Address | Relationship | Status | Address | Purpose | Amount |
|------------------|--------------------------|--------------|--------------------------|---------|-------------|---------------|
| PETER GABROIS | QUEENSBURY NY 12804 | NONE | 91 SUNSET LANE | | SCHOLARSHIP | 2,500 |
| STEVEN WOOD | SO. GLENS FALLS NY 12803 | NONE | 62 HARRISON AVE | | SCHOLARSHIP | |
| KAYCIE LANE | QUEENSBURY NY 12804 | NONE | 8 SUNSET DRIVE | | SCHOLARSHIP | 5,000 |
| DANIELLE MARINO | GLENS FALLS NY 12801 | NONE | 31 SAGAMORE STREET | | SCHOLARSHIP | 5,000 |
| KELLY FLAHERTY | QUEENSBURY NY 12804 | NONE | 51 SARA JEN DR. | | SCHOLARSHIP | 5,000 |
| PAULINE SEERLES | GLENS FALLS NY 12801 | NONE | 73 HUNTER ST. | | SCHOLARSHIP | 5,000 |
| CARLY WYN | QUEENSBURY NY 12804 | NONE | 4 ISLAND DR. | | SCHOLARSHIP | 2,500 |
| PETER GRYG | QUEENSBURY NY 12804 | NONE | 21D HILAND SPRINGS WAY | | SCHOLARSHIP | 2,500 |
| AILLEN DALEY | QUEENSBURY NY 12804 | NONE | 1 CRESTWOOD DRIVE | | SCHOLARSHIP | 5,000 |
| MEGHAN HENDRICKS | HUDSON FALLS NY 12839 | NONE | 1258 BURGOYNE AVENUE | | SCHOLARSHIP | 2,500 |
| ETHAN WINCOWSKI | LAKE GEORGE NY 12845 | NONE | 3562 LAKE SHORE DR.APT#6 | | SCHOLARSHIP | 2,500 |
| Total | | | | | | <u>37,500</u> |

Federal Statements**Taxable Dividends from Securities**

| <u>Description</u> | <u>Amount</u> | <u>Unrelated Business Code</u> | <u>Exclusion Code</u> | <u>Postal Code</u> | <u>US Obs (\$ or %)</u> |
|--------------------|---------------|------------------------------------|---------------------------|------------------------|-----------------------------|
| DIVIDEND INCOME | \$ 22,070 | | | | |
| Total | \$ 22,070 | | | | |

For the Account of:
ROBERT J. NOLAN FOUNDATION INC.
INVESTMENT MANAGEMENT ACCOUNT

Account Number: 52 00 6074 0 08
 Date: JULY 01, 2013 - SEPTEMBER 30, 2013

The Adirondack Trust Company

473 Broadway
 Saratoga Springs, New York 12866
 (518) 584-5844



INVESTMENTS

| Quantity | Description | Unit Price | Cost | Market | Est. Annual Income | Yld% |
|-------------------------------|--|------------|-------------------|-------------------|--------------------|-------------|
| CASH & EQUIVALENTS | | | | | | |
| | CASH | | 0.01 | 0.01 | | |
| 16,730.87 | ADIRONDACK TRUST CO. MONEY MKT DEPOSIT A/C-PRIN | 1.000 | 16,730.87 | 16,730.87 | 16.73 | 0.10 |
| | | | 16,730.88 | 16,730.88 | 16.73 | 0.10 |
| MUTUAL FUNDS | | | | | | |
| 11,563.729 | PIMCO INVESTMENT GRADE CORP BOND FUND | 10.560 | 121,236.44 | 122,112.98 | 5,030.22 | 4.12 |
| 8,403.361 | VANGUARD SHORT-TERM INVEST GR | 10.710 | 90,000.00 | 90,000.00 | 1,731.09 | 1.92 |
| | | | 211,236.44 | 212,112.98 | 6,761.31 | 3.19 |
| EQUITIES | | | | | | |
| 150 | ACE LIMITED | 93.560 | 8,085.03 | 14,034.00 | 306.00 | 2.18 |
| 290 | TRANSOCEAN LTD | 44.500 | 13,493.33 | 12,905.00 | 649.60 | 5.03 |
| 250 | CHECK POINT SOFTWARE TECHNOLOGIES LTD | 56.560 | 13,987.57 | 14,140.00 | 0.00 | 0.00 |
| 300 | ABBOTT LABS | 33.190 | 8,731.84 | 9,957.00 | 168.00 | 1.69 |
| 190 | AMERICAN EXPRESS | 75.520 | 5,492.17 | 14,348.80 | 174.80 | 1.22 |
| 310 | ARCHER DANIELS MIDLAND CO | 36.840 | 10,531.92 | 11,420.40 | 235.60 | 2.06 |
| 160 | BED BATH & BEYOND | 77.360 | 10,269.55 | 12,377.60 | 0.00 | 0.00 |
| 140 | BERKSHIRE HATHAWAY INC. DEL CLASS B | 113.510 | 11,704.39 | 15,891.40 | 0.00 | 0.00 |
| 240 | BROADCOM CORPORATION | 26.020 | 9,135.45 | 6,244.80 | 105.60 | 1.69 |
| 170 | CAPITAL ONE FINANCIAL CORP | 68.740 | 8,293.07 | 11,685.80 | 204.00 | 1.75 |
| 110 | CELGENE CORP | 154.137 | 7,375.60 | 16,955.07 | 0.00 | 0.00 |
| 155 | CHEVRON CORPORATION | 121.500 | 12,221.18 | 18,832.50 | 620.00 | 3.29 |
| 630 | CISCO SYSTEMS INC. | 23.431 | 13,272.31 | 14,761.53 | 428.40 | 2.90 |
| 370 | COCA COLA CO | 37.880 | 14,235.75 | 14,015.60 | 414.40 | 2.96 |
| 120 | COGNIZANT TECHNOLOGY SOLUTIONS CORP | 82.120 | 8,149.56 | 9,854.40 | 0.00 | 0.00 |
| 160 | COLGATE PALMOLIVE | 59.300 | 6,767.19 | 9,488.00 | 217.60 | 2.29 |
| 310 | COMCAST CORP NEW CL A | 45.115 | 10,242.09 | 13,985.65 | 241.80 | 1.73 |
| 160 | CONOCOPHILLIPS | 69.510 | 8,800.26 | 11,121.60 | 441.60 | 3.97 |
| 260 | DANAHER CORP | 69.320 | 13,749.91 | 18,023.20 | 26.00 | 0.14 |
| 80 | DIAGEO PLC | 127.080 | 4,573.12 | 10,166.40 | 231.76 | 2.28 |
| 245 | DISNEY (WALT) COMPANY HOLDING CO | 64.490 | 6,994.28 | 15,800.05 | 183.76 | 1.16 |
| 510 | EMC CORP | 25.560 | 6,784.20 | 13,035.80 | 204.00 | 1.56 |
| 110 | ECOLAB INC. | 98.760 | 5,585.51 | 10,863.60 | 101.20 | 0.93 |
| 195 | EXXON MOBIL CORP | 86.040 | 10,121.58 | 16,777.80 | 491.40 | 2.93 |
| 310 | FREEMPORT-MCMORAN COPPER & GOLD CLASS B | 33.080 | 13,654.90 | 10,254.80 | 387.50 | 3.78 |
| 330 | GILEAD SCIENCES, INC. | 62.870 | 6,932.00 | 20,747.10 | 0.00 | 0.00 |
| 220 | HOME DEPOT INC | 75.850 | 5,268.59 | 16,687.00 | 343.20 | 2.06 |
| 230 | ILLINOIS TOOL WORKS INC. | 76.270 | 7,615.65 | 17,542.10 | 386.40 | 2.20 |
| 70 | INTL BUSINESS MACHINES | 185.180 | 11,614.74 | 12,962.60 | 266.00 | 2.05 |

For the Account of:
ROBERT J. NOLAN FOUNDATION INC.
INVESTMENT MANAGEMENT ACCOUNT

The Adirondack Trust Company

473 Broadway
 Saratoga Springs, New York 12866
 (518) 584-5844



Account Number: 52 00 6074 0 08
 Date: JULY 01, 2013 - SEPTEMBER 30, 2013

INVESTMENTS

| Quantity | Description | Unit Price | Cost | Market | Est. Annual Income | Yld% |
|--------------------------|----------------------------------|------------|-------------------|-------------------|--------------------|-------------|
| 260 | JPMORGAN CHASE & CO COM | 51.690 | 10,287.10 | 13,439.40 | 395.20 | 2.94 |
| 190 | JOHNSON & JOHNSON | 86.690 | 9,617.35 | 16,471.10 | 501.60 | 3.05 |
| 330 | MERCK & CO., INC | 47.609 | 11,962.81 | 15,710.97 | 567.60 | 3.61 |
| 400 | MICROSOFT CORP | 33.280 | 9,504.29 | 13,312.00 | 448.00 | 3.37 |
| 340 | MONDELEZ INTERNATIONAL INC | 31.421 | 8,704.58 | 10,683.14 | 190.40 | 1.78 |
| 180 | NEXTERA ENERGY INC | 80.160 | 11,015.28 | 14,428.80 | 475.20 | 3.29 |
| 400 | ORACLE CORPORATION | 33.170 | 12,163.14 | 13,268.00 | 192.00 | 1.45 |
| 90 | PNC FINANCIAL SERVICES GROUP INC | 72.450 | 4,985.16 | 6,520.50 | 158.40 | 2.43 |
| 330 | PFIZER INC | 28.725 | 8,316.00 | 9,479.25 | 316.80 | 3.34 |
| 40 | PRECISION CASTPARTS CORP. | 227.240 | 6,616.98 | 9,089.60 | 4.80 | 0.05 |
| 230 | QUALCOMM INC | 67.320 | 12,005.72 | 15,483.60 | 322.00 | 2.08 |
| 340 | SPECTRA ENERGY CORPORATION | 34.230 | 10,170.10 | 11,638.20 | 414.80 | 3.56 |
| 130 | STARBUCKS CORPORATION | 76.970 | 5,068.11 | 10,006.10 | 109.20 | 1.09 |
| 130 | TJX COS INC NEW | 56.390 | 5,909.42 | 7,330.70 | 75.40 | 1.03 |
| 150 | 3M CO COM | 119.410 | 10,888.49 | 17,911.60 | 381.00 | 2.13 |
| 430 | US BANCORP DEL COM NEW | 36.580 | 8,919.35 | 15,729.40 | 395.60 | 2.62 |
| 60 | UNION PACIFIC CORP | 155.340 | 6,218.38 | 9,320.40 | 189.60 | 2.03 |
| 280 | VERIZON COMMUNICATIONS INC | 46.675 | 8,874.40 | 13,069.00 | 593.60 | 4.54 |
| 340 | WALGREEN CO | 53.800 | 13,271.88 | 18,292.00 | 428.40 | 2.34 |
| 80 | WELLPOINT INC. | 83.610 | 5,653.42 | 6,688.80 | 120.00 | 1.79 |
| 360 | WELLS FARGO & CO NEW | 41.320 | 6,735.23 | 14,875.20 | 432.00 | 2.90 |
| 110 | YUM BRANDS INC. | 71.390 | 5,029.18 | 7,852.90 | 162.80 | 2.07 |
| Total Investments | | | 465,599.11 | 665,479.96 | 13,703.01 | 2.06 |
| | | | 693,566.43 | 894,323.82 | 20,481.05 | 2.29 |

ROBERT J. NOLAN FOUNDATION, INC. SCHOLARSHIP APPLICATION FORM

The Robert J. Nolan Foundation exists to provide financial assistance at the undergraduate and graduate levels of colleges and universities for men and women graduates of secondary schools in the greater Glens Falls, New York area. Such grants are intended to assist students' payment of tuition, fees, books, equipment and maintenance.

The creator of the Foundation, Robert J. Nolan, expressed his desire and intent that scholarships should be awarded and financial assistance furnished to the well-balanced student. The directors will consider the following factors in making the awards of scholarships and financial assistance for such applicants:

- 1. Educational Achievement**
- 2. Success in High School Varsity Athletics**
- 3. Participation in Extra-curricular School-Related Activities**
- 4. Religious Participation and Civic Activities Outside of School**
- 5. Financial Need**

EACH of the five categories is EQUALLY IMPORTANT in the evaluation of an applicant's qualifications. Students who intend to apply should be sure that they include ALL information about themselves pertinent to EACH of the 5 categories.

Scholarships and financial assistance may be furnished for up to four years, however, the Foundation intends to evaluate the performance of each recipient each semester to determine whether or not a renewal of the scholarship or financial assistance is warranted. The directors will take into consideration the same criteria originally used in arriving at the original grant, but with special emphasis in such evaluation on academic achievement and athletic participation.

A Robert J. Nolan Foundation, Inc. scholarship is not college specific. A recipient of a scholarship may attend any accredited college or university of his or her choice. Since Bob Nolan believed that maintaining a healthy and active body is as important in life as possessing an educated mind, recipients will be required to try out for a varsity athletic team at the college level. The recipient may select any sport of his or her choice. A good faith attempt to become a member of such college team each year is required; success in such trying out is not.

Children and descendants of the then current directors shall not be eligible for grants from the Foundation while such ancestor is a director of the Foundation.

APPLICANTS MUST EITHER TYPE THIS APPLICATION OR PRINT IT LEGIBLY.

NOTE WELL: ALL COMPLETED APPLICATIONS ARE TO BE DELIVERED BY YOU NO LATER THAN FRIDAY, MAY 10, 2013 TO YOUR HIGH SCHOOL GUIDANCE COUNSELOR WHO WILL SUBMIT YOUR APPLICATION TO THE FOUNDATION. IF YOU NEED MORE SPACE THAN THE FORM ALLOWS TOO ANSWER ANY QUESTION, YOU MAY ATTACH ONE ADDITIONAL SHEET. BE SURE TO REFERENCE THE QUESTION YOU ARE SUPPLEMENTING.

NOTE TO GUIDANCE COUNSELORS: PLEASE ACCUMULATE ALL APPLICATIONS FOR YOUR SCHOOL AND SUBMIT THEM IN ONE GROUP TO LISA BUSHMAN, P.O. BOX 4392, QUEENSBURY, NEW YORK 12804, AS SOON AFTER MAY 10, 2013 AS POSSIBLE. THE FOUNDATION WILL MAKE COPIES FOR EACH DIRECTOR, SO WE ASK THAT APPLICATION PACKETS NOT BE STAPLED.

**ROBERT J. NOLAN FOUNDATION, INC.
SCHOLARSHIP APPLICATION**

| | |
|---|--|
| APPLICATION REQUIREMENTS CHECKLIST | |
| INCOMPLETE APPLICATIONS WILL NOT BE CONSIDERED | |
| <input type="checkbox"/> NO STAPLES OR FOLDERS | <input type="checkbox"/> ESSAY INCLUDED |
| <input type="checkbox"/> EACH CATEGORY COMPLETED | <input type="checkbox"/> SIGNATURE |
| <input type="checkbox"/> TRANSCRIPTS OR GRADES W/SAT/ACT SCORES | <input type="checkbox"/> REFERENCE LETTERS |
| <input type="checkbox"/> SAR/FAF FORM INCLUDED | <input type="checkbox"/> AWARD LETTER |

PERSONAL INFORMATION:

LAST NAME _____ FIRST NAME _____ MID. INIT. _____

SOC. SEC. # _____

ADDRESS: STREET _____

CITY _____ STATE _____ ZIP _____

TELEPHONE _____ DATE OF BIRTH _____

E-MAIL _____

PARENTS ARE MARRIED SEPARATED DIVORCED

STUDENT RESIDES WITH BOTH PARENTS FATHER MOTHER OTHER (SPECIFY) _____

FATHER'S NAME _____ LIVING/DECEASED

EMPLOYER _____ OCCUPATION _____

MOTHER'S NAME _____ LIVING/DECEASED

EMPLOYER _____ OCCUPATION _____

LIST ALL BROTHERS AND SISTERS

NAME _____ AGE _____ SCHOOL GRADE OR LEVEL _____

NAME _____ AGE _____ SCHOOL GRADE OR LEVEL _____

NAME _____ AGE _____ SCHOOL GRADE OR LEVEL _____

NAME _____ AGE _____ SCHOOL GRADE OR LEVEL _____

NAME _____ AGE _____ SCHOOL GRADE OR LEVEL _____

NAME _____ AGE _____ SCHOOL GRADE OR LEVEL _____

LIST ALL OTHER DEPENDENTS OF PARENTS

NAME _____ AGE _____ RELATIONSHIP _____

NAME _____ AGE _____ RELATIONSHIP _____

NAME _____ AGE _____ RELATIONSHIP _____

PRINT YOUR NAME _____

EDUCATIONAL ACHIEVEMENT

NAME OF HIGH SCHOOL _____ YOUR GPA _____ CLASS RANK _____ OF _____

HONORS COURSES OFFERED? YES NO DO YOU PARTICIPATE? YES NO

ADVANCED PLACEMENT CLASSES OFFERED? YES NO

IF SO, LIST THE AP CLASSES YOU HAVE TAKEN _____

____ YOU MUST INCLUDE A SCHOOL CERTIFIED COPY OF YOUR MOST RECENT TRANSCRIPTS, AND GRADE REPORT WITH SAT/ACT RESULTS

NAME AND ADDRESS OF COLLEGE/UNIVERSITY YOU HAVE NOTIFIED YOU WILL BE ATTENDING

COLLEGE/UNIVERSITY _____

ADDRESS _____

MAJOR COURSE OF STUDY _____

INTENDED CAREER CHOICE _____

____ YOU MUST INCLUDE A COPY OF LETTER OF ACCEPTANCE FROM COLLEGE/UNIVERSITY

ATHLETIC HISTORY & ACHIEVEMENTS

LIST ALL SPORTS IN WHICH YOU PARTICIPATED, POSITIONS HELD, AND ANY HONORS OR AWARDS RECEIVED.

12TH GRADE _____

11TH GRADE _____

10TH GRADE _____

(Continued on next page)

PRINT YOUR NAME _____

(ATHLETIC HISTORY & ACHIEVEMENTS, CONTINUED)

9TH GRADE OR EARLIER

EXTRA CURRICULAR SCHOOL-RELATED ACTIVITIES

LIST YOUR PARTICIPATION IN ALL EXTRA-CURRICULAR ACTIVITIES, POSITIONS HELD, AND ANY HONORS OR AWARDS YOU HAVE RECEIVED.

12TH GRADE

11TH GRADE

10TH GRADE

9TH GRADE

RELIGIOUS/CIVIC/COMMUNITY SERVICE

DESCRIBE YOUR PARTICIPATION IN ANY RELIGIOUS INSTITUTIONS, INCLUDING MEMBERSHIPS, CHURCH GROUPS, CHOIR, ALTAR SERVICES, FUND RAISING, HOLIDAY ACTIVITIES, ETC. ALSO, LIST YOUR PARTICIPATION IN CIVIC OR COMMUNITY ORGANIZATIONS OR SERVICES. INCLUDE POSITIONS HELD AND ANY HONORS OR AWARDS YOU HAVE RECEIVED. INCLUDE DATES OF SERVICE.

PRINT YOUR NAME _____

SCHOOL YEAR EMPLOYMENT

LIST EMPLOYMENT OR JOBS HELD *DURING SCHOOL YEAR*, INCLUDING WORKING IN FAMILY BUSINESS.

| <u>TYPE OF WORK</u> | <u>EMPLOYER</u> | <u>DATES WORKED</u> | <u>AVERAGE HOURS/WEEK</u> |
|---------------------|-----------------|---------------------|---------------------------|
| | | | |
| | | | |
| | | | |

FINANCIAL INFORMATION

(A) ESTIMATED COST OF SCHOOL YEAR

| | |
|------------------------|----------|
| TUITION & FEES | \$ _____ |
| ROOM & BOARD | \$ _____ |
| BOOKS | \$ _____ |
| PERSONAL/TRAVEL | \$ _____ |
| OTHER (SPECIFY: _____) | \$ _____ |
| (A) TOTAL | \$ _____ |

(B) RESOURCES AVAILABLE TO MEET ABOVE EXPENSES

| | |
|-----------------------------------|----------|
| STUDENT SAVINGS | \$ _____ |
| SCHOLARSHIPS & GRANTS (NOT LOANS) | \$ _____ |

**YOU MUST INCLUDE COPY OF "AWARD" LETTER FROM COLLEGE
YOU MUST INCLUDE COPY OF SAR FORM, OR PARENTS' MOST RECENT
FEDERAL TAX RETURN (FORM 1040 PAGE 1 & 2, AND SCHED A, B & C)**

| | |
|--|----------|
| EXPECTED PARENT CONTRIBUTION AS REFLECTED ON SAR FORM | \$ _____ |
|--|----------|

(IF ACTUAL EXPECTED PARENT CONTRIBUTION IS DIFFERENT, EXPLAIN BELOW)

| | |
|-----------------------------|----------|
| ESTIMATED VACATION EARNINGS | \$ _____ |
| WORK DURING SCHOOL YEAR | \$ _____ |
| (B) TOTAL | \$ _____ |

DIFFERENCE, IF ANY: SUBTRACT (A) FROM (B) \$ _____

CIRCUMSTANCES AFFECTING NEED FOR FINANCIAL AID.

EXPLAIN ANY UNUSUAL CIRCUMSTANCES IN YOUR FAMILY, SUCH AS ILLNESS, UNEMPLOYMENT, OUTSTANDING LOANS, OTHERS IN COLLEGE, ETC., WHICH AFFECT YOU.

PRINT YOUR NAME _____

ESSAY

Include an essay on a separate sheet detailing your academic and career goals and your plan for achieving such goals. List your strong points and weak points, and how you plan to strengthen yourself in those areas. Please comment in your essay on the role education, athletics, extra curricular, religious and civic/community activities have had in your life. Additionally, please also explain your need for this scholarship. In the alternative, you may submit an essay you have written for submission with an admissions application.

ESSAY INCLUDED

APPLICANT'S CERTIFICATION

I certify that the information given herein, which you are authorized to verify, is true and correct, and that the funds for which application is made will be used by me solely for the purpose of continuing my education. I understand that the purpose of this scholarship is to give financial aid to a well-balanced student; that if I am awarded a scholarship, I am expected to maintain a GPA of 3.0 or better, and I am expected to endeavor to become a member of a varsity athletic team at the college level. I agree to send my school transcript and proof of participation in sports and other activities to the Foundation after each semester, for its review. If I fail to meet the standards established by the Foundation, I realize that my scholarship may not be renewed.

Date _____

Applicant's Signature: _____

WHILE NOT REQUIRED, YOU MAY INCLUDE UP TO 3 LETTERS OF RECOMMENDATION FROM PERSONS WHO ARE FAMILIAR WITH YOUR QUALIFICATIONS.

REFERENCE LETTERS INCLUDED

APPLICATIONS MUST BE COMPLETED AND RETURNED TO YOUR HIGH SCHOOL GUIDANCE COUNSELOR NO LATER THAN FRIDAY, MAY 10, 2013