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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning OCT 1, 2012, and ending SEP 30, 2013

Name of foundation

THE THOMAS E. NOONAN FAMILY FOUNDATION

A Employer identification number

20-5508805

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

3500 RIVERLY ROAD

B Telephone number

404-236-4050

City or town, state, and ZIP code

ATLANTA, GA 30327

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

\$ 6,916,301. (Part I, column (d) must be on cash basis)

Part I

Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	112,155.	112,155.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	167,991.			
	b Gross sales price for all assets on line 6a	1,412,931.			
	7 Capital gain net income (from Part IV, line 2)		167,991.		
	8 Net short-term capital gain				
Operating and Administrative Expenses	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	-10,059.	-10,059.		STATEMENT 2
	12 Total. Add lines 1 through 11	270,087.	270,087.		
	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
b Accounting fees	STMT 3	2,875.	2,875.		0.
c Other professional fees					
17 Interest					
18 Taxes	STMT 4	6,828.	4,560.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses	STMT 5	36,586.	35,862.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		46,289.	43,297.		0.
25 Contributions, gifts, grants paid		342,718.			342,718.
26 Total expenses and disbursements. Add lines 24 and 25		389,007.	43,297.		342,718.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-118,920.			
b Net investment income (if negative, enter -0-)			226,790.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	3,182,947.	222,986.	222,986.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	4,498.	2,230.	2,230.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 6	3,233,518.	6,076,827.	6,691,085.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	6,420,963.	6,302,043.	6,916,301.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	6,420,963.	6,302,043.		
30 Total net assets or fund balances	6,420,963.	6,302,043.		
31 Total liabilities and net assets/fund balances	6,420,963.	6,302,043.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,420,963.
2 Enter amount from Part I, line 27a	2	-118,920.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	6,302,043.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,302,043.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,412,931.		1,244,940.	167,991.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			167,991.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	167,991.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	340,450.	6,697,869.	.050830
2010	378,298.	7,018,617.	.053899
2009	391,249.	7,447,755.	.052532
2008	363,661.	7,752,672.	.046908
2007	162,843.	7,790,793.	.020902

2 Total of line 1, column (d)	2	.225071
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.045014
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	6,717,024.
5 Multiply line 4 by line 3	5	302,360.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,268.
7 Add lines 5 and 6	7	304,628.
8 Enter qualifying distributions from Part XII, line 4	8	342,718.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	2,268.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,268.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,268.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	4,498.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	4,498.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,230.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☒ 2,230. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ GA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>THOMAS E. NOONAN</u> Telephone no. ► <u>404-236-4050</u> Located at ► <u>3500 RIVERLY ROAD, ATLANTA, GA</u> ZIP+4 ► <u>30327</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐ N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☒ Yes ☐ No If "Yes," list the years ► <u>2010</u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) ☐ Yes ☒ No	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THOMAS E. NOONAN	TRUSTEE			
3500 RIVERLY ROAD				
ATLANTA, GA 30327	1.00	0.	0.	0.
KIMBROUGH P. NOONAN	DISTRIBUTION COMMITTEE			
3500 RIVERLY ROAD				
ATLANTA, GA 30327	1.00	0.	0.	0.
CHRISTOPHER B. NOONAN	DISTRIBUTION COMMITTEE			
5 CONCOURSE PKWY, STE 1000				
ATLANTA, GA 30328	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	5,493,825.
b	Average of monthly cash balances	1b	1,325,489.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	6,819,314.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,819,314.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	102,290.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,717,024.
6	Minimum investment return. Enter 5% of line 5	6	335,851.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	335,851.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	2,268.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,268.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	333,583.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	333,583.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	333,583.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	342,718.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	342,718.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,268.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	340,450.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				333,583.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			334,693.	
b Total for prior years: 2010, ,		20.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 342,718.				
a Applied to 2011, but not more than line 2a			334,693.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				8,025.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		20.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		20.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				325,558.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ATLANTA HISTORY CENTER 130 W PACES FERRY ROAD ATLANTA, GA 30305	NONE	N/A	UNRESTRICTED	1,700.
CAMP TWIN LAKES 600 MEANS STREET, STE 600 ATLANTA, GA 30318	NONE	N/A	UNRESTRICTED	2,000.
FERNBANK MUSEUM 767 CLIFTON ROAD ATLANTA, GA 30307	NONE	N/A	UNRESTRICTED	2,500.
GEORGE WEST MENTAL HEALTH 1961 N DRUID HILLS ROAD ATLANTA, GA 30329	NONE	N/A	UNRESTRICTED	3,100.
GT FOUNDATION 190 NORTH AVENUE ATLANTA, GA 30313	NONE	N/A	UNRESTRICTED	6,000.
Total	SEE CONTINUATION SHEET(S)			342,718.
b Approved for future payment				
NONE				
Total				0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

Signature of officer or trustee

Date _____

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ self-employed

PTIN

CHRISTOPHER B.
DAVIS, CPA

Firm's name ► **HABIF, AROGETI, & WYNNE, L.L.P.**

Firm's address ► FIVE CONCOURSE PARKWAY, SUITE 1000
ATLANTA, GA 30328

Firm's EIN ► 57-1157523

Phone no. (404) 892-9651

Form **990-PF** (2012)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GOLDMAN SACHS 74602 - SEE ATTACHED	P		
b	GOLDMAN SACHS 74606 - SEE ATTACHED	P		
c	GOLDMAN SACHS 74606 - SEE ATTACHED	P		
d	GOLDMAN SACHS 74607 - SEE ATTACHED	P		
e	GOLDMAN SACHS 74607 - SEE ATTACHED	P		
f	GOLDMAN SACHS 74608 - SEE ATTACHED	P		
g	GOLDMAN SACHS 74608	P		
h	NORTHSTAR FUND III, LP	P		
i	NORTHSTAR FUND III, LP	P		
j	VONTOBEL: NON-US EQUITY, LLC	P		
k	VONTOBEL: NON-US EQUITY, LLC	P		
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	275,000.	275,885.	-885.
b	8,028.	5,717.	2,311.
c	148,976.	124,464.	24,512.
d	331,550.	322,218.	9,332.
e	167,864.	140,577.	27,287.
f	167,196.	153,427.	13,769.
g	267,955.	218,573.	49,382.
h	23,991.		23,991.
i		2,283.	-2,283.
j		1,796.	-1,796.
k	22,371.		22,371.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-885.
b			2,311.
c			24,512.
d			9,332.
e			27,287.
f			13,769.
g			49,382.
h			23,991.
i			-2,283.
j			-1,796.
k			22,371.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	167,991.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GTAA 150 BOBBY DODD WAY ATLANTA, GA 30332	NONE	N/A	UNRESTRICTED	7,864.
HOLY SPIRIT CATHOLIC CHURCH 4465 NORTHSIDE DRIVE ATLANTA, GA 30327	NONE	N/A	UNRESTRICTED	15,000.
LOVETT SCHOOL 4075 PACES FERRY ROAD ATLANTA, GA 30327	NONE	N/A	UNRESTRICTED	225,100.
POINTS OF LIGHT 5184 MACARTHUR BLVD, STE 250 WASHINGTON, DC 20016	NONE	N/A	UNRESTRICTED	1,000.
SHEPHERD CENTER FOUNDATION 2020 PEACHTREE ROAD ATLANTA, GA 30309	NONE	N/A	UNRESTRICTED	15,000.
TELLUS MUSEUM PO BOX 3663 CARTERSVILLE, GA 30120	NONE	N/A	UNRESTRICTED	2,500.
UGA FOUNDATION 394 SOUTH MILLEDGE AVENUE ATHENS, GA 30602	NONE	N/A	UNRESTRICTED	30,000.
UVA FUND PO BOX 400314 CHARLOTTESVILLE, VA 22904	NONE	N/A	UNRESTRICTED	850.
TECHNOLOGY ASSOCIATION OF GEORGIA 75 FIFTH STREET ATLANTA, GA 30308	NONE	N/A	UNRESTRICTED	5,000.
AIDS WALK ATLANTA & 5K RUN PO BOX 78187 ATLANTA, GA 30357	NONE	N/A	UNRESTRICTED	50.
Total from continuation sheets				327,418.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GEORGIA WARRIOR ALLIANCE 641 LORIDANS DRIVE ATLANTA, GA 30342	NONE	N/A	UNRESTRICTED	5,000.
CHRIST EPISCOPAL CHURCH 1601 E WALNUT SPRINGFIELD, MO 65806	NONE	N/A	UNRESTRICTED	250.
WATER AT WORK MINISTRY PO BOX 133192 ATLANTA, GA 30333	NONE	N/A	UNRESTRICTED	54.
FIRST BAPTIST CHURCH OF DUBLIN 405 BELLEVUE AVENUE DUBLIN, GA 31021	NONE	N/A	UNRESTRICTED	100.
ST. JUDES CHILDREN'S HOSPITAL PO BOX 167 MEMPHIS, TN 38101	NONE	N/A	UNRESTRICTED	50.
NEW ROCKY CREEK BAPTIST CHURCH 190 ROCKY CREEK ROAD MANSFIELD, GA 30055	NONE	N/A	UNRESTRICTED	250.
ONE FUND BOSTON 800 BOYLSTON STREET, UNIT 990009 BOSTON, MA 02199	NONE	N/A	UNRESTRICTED	500.
WESTMINSTER FUND 1424 W PACES FERRY ROAD ATLANTA, GA 30327	NONE	N/A	UNRESTRICTED	5,250.
UVA ENG FOUNDATION PO BOX 400259 CHARLOTTESVILLE, VA 22904	NONE	N/A	UNRESTRICTED	500.
ZOO ATLANTA 800 CHEROKEE AVENUE ATLANTA, GA 30315	NONE	N/A	UNRESTRICTED	10,000.
Total from continuation sheets				

3 Grants and Contributions Paid During the Year (Continuation)

223631
05-01-12

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
GOLDMAN SACHS - 47665	72.	0.	72.
GOLDMAN SACHS - 74602	45,112.	0.	45,112.
GOLDMAN SACHS - 74606	17,792.	0.	17,792.
GOLDMAN SACHS - 74607	18,892.	0.	18,892.
GOLDMAN SACHS - 74608	9,154.	0.	9,154.
NORTHSTAR FUND III, LP	1,055.	0.	1,055.
VONTOBEL: NON-US EQUITY LLC	20,078.	0.	20,078.
TOTAL TO FM 990-PF, PART I, LN 4	112,155.	0.	112,155.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
VONTOBEL: NON-US EQUITY LLC	-2,072.	-2,072.	
NORTHSTAR FUND III, LP	-7,987.	-7,987.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-10,059.	-10,059.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	2,875.	2,875.		0.
TO FORM 990-PF, PG 1, LN 16B	2,875.	2,875.		0.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	2,268.	0.		0.
FOREIGN TAXES	4,560.	4,560.		0.
TO FORM 990-PF, PG 1, LN 18	6,828.	4,560.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PASSTHROUGH PORTFOLIO DEDUCTIONS	11,212.	11,212.		0.
INVESTMENT MANAGEMENT FEES	24,650.	24,650.		0.
NONDEDUCTIBLE EXPENSES	724.	0.		0.
TO FORM 990-PF, PG 1, LN 23	36,586.	35,862.		0.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	6
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MARKETABLE SECURITIES	COST	6,076,827.	6,691,085.
TOTAL TO FORM 990-PF, PART II, LINE 13		6,076,827.	6,691,085.

The Thomas E Noonan Family Foundation
Schedule of Monthly Average FMV
Year Ending 9/30/13

Month	Year	<u>CASH</u>					Average
		GS - 47665	GS - 74602	GS - 74606	GS - 74607	GS - 74608	
September	12	3,144,531	252	33,437	9,368	12,859	
October	12	3,122,048	252	45,654	14,828	6,259	3,194,744
November	12	3,086,663	252	55,359	19,792	4,933	3,178,020
December	12	3,086,679	252	67,222	23,614	13,483	3,179,125
January	13	3,078,945	252	81,658	11,983	6,039	3,185,064
February	13	173,617	2	75,943	17,082	8,684	1,727,103
March	13	73,618	406	78,971	29,541	6,551	232,207
April	13	4,646	9,931	114,947	30,500	12,410	180,760
May	13	9,227	25,000	121,909	22,187	6,386	178,572
June	13	12,378	60,000	111,457	24,073	10,928	201,774
July	13	2,378	64,430	110,820	26,931	10,219	216,808
August	13	0	62,808	116,609	13,768	19,630	213,797
September	13	48,902	12,808	122,231	27,734	11,311	217,901
							15,905,873 13

Average Monthly FMV - Cash	\$ 1,325,489
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Month	Year	<u>SECURITIES</u>					Average
		Northstar Fund III	GS - 74602	GS - 74606	GS - 74607	GS - 74608	
September	12	423,730	1,335,744	649,934	568,782	587,204	
October	12	426,006	1,341,301	621,757	554,287	576,001	3,542,373
November	12	428,282	1,367,767	621,127	553,754	596,372	3,543,326
December	12	430,558	1,368,770	613,159	557,128	581,316	3,559,116
January	13	432,834	1,413,182	640,156	605,642	623,984	3,633,365
February	13	435,110	4,001,185	762,817	701,927	721,769	5,169,303
March	13	437,386	4,121,827	793,263	718,978	748,273	6,721,267
April	13	439,662	3,991,098	774,842	719,996	745,551	6,745,438
May	13	441,938	3,918,521	783,055	750,069	763,231	6,663,981
June	13	444,214	3,768,043	796,968	732,890	744,751	6,571,840
July	13	446,490	3,822,008	825,558	765,523	782,551	6,564,498
August	13	448,766	3,777,839	800,801	759,908	757,472	6,593,458
September	13	451,047	3,845,410	807,693	770,491	816,444	6,617,935
							65,925,899 49

Average Monthly FMV - Securities	\$ 5,493,825
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	Foundation - Managed Account 010-74602-2 From 01-Oct-2012 To 30-Sep-2013	Realized Gains/Losses Base Currency : USD
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Gain Type	Gains	Losses	Total
Short-Term	0 00	-909 07	-909 07
Long-Term	0 00	0 00	0 00
Total Realized Gains/Losses:	0 00	-909.07	-909.07

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
GSTGX	GS SHORT-DURATION GOVERNMENT FUND INSTITUTIONAL SHARES	07-Feb-2013	09-Apr-2013	17,156 863	175,000 00	175,343 140	-343 14	61	Short-Term
GSTGX	GS SHORT-DURATION GOVERNMENT FUND INSTITUTIONAL SHARES	07-Feb-2013	28-May-2013	2,455 796	25,000 00	25,098 240	-98 24	110	Short-Term
GSTGX	GS SHORT-DURATION GOVERNMENT FUND INSTITUTIONAL SHARES	07-Feb-2013	25-Jun-2013	2,463 054	25,000 00	25,172 410	-172 41	138	Short-Term
GSTGX	GS SHORT-DURATION GOVERNMENT FUND INSTITUTIONAL SHARES	07-Feb-2013	27-Jun-2013	4,921 26	50,000 00	50,295 280	-295 28	140	Short-Term
TOTAL					275,000 00		-909.07		

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Foundation - Yacktmann 010-74606-3
From 01-Oct-2012 To 30-Sep-2013

Realized Gains/Losses
Separate Account Strategy : Yacktmann:
Dynamic Equity
Base Currency : USD

Gain Type	Gains	Losses	Total
Short-Term	2,458 12	-147 03	2,311 09
Long-Term	36,348 56	-11,836 98	24,511 58
Total Realized Gains/Losses	38,806.68	-11,984.01	26,822.67

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
	RESEARCH IN MOTION LIMITED CMN	16-Dec-2011	23-Nov-2012	80	930 07	1,077 100	-147 03	343	Short-Term
	RESEARCH IN MOTION LIMITED CMN	16-Dec-2011	13-Dec-2012	100	1,354 12	1,346 370	7 75	363	Short-Term
	RESEARCH IN MOTION LIMITED CMN	27-Aug-2012	18-Jan-2013	100	1,578 11	712 250	865 86	144	Short-Term
	RESEARCH IN MOTION LIMITED CMN	27-Aug-2012	23-Jan-2013	135	2,376 07	961 540	1,414 53	149	Short-Term
PFE	PFIZER INC CMN	08-Feb-2013	26-Apr-2013	60	1,789 98	1,620 000	169 98	77	Short-Term
MSFT	MICROSOFT CORPORATION CMN	25-Apr-2011	09-Oct-2012	95	2,800 59	2,426 300	374 29	533	Long-Term
PFE	PFIZER INC CMN	25-Apr-2011	10-Oct-2012	260	6,556 30	5,197 400	1,358 90	534	Long-Term
VIAB	VIACOM INC CMN CLASS B	25-Apr-2011	12-Oct-2012	143	7,786 27	6,905 700	880 57	536	Long-Term
VIAB	VIACOM INC CMN CLASS B	25-Apr-2011	15-Oct-2012	115	6,225 18	5,553 530	671 65	539	Long-Term
	TWENTY-FIRST CENTURY FOX, INC CMN CLASS A	25-Apr-2011	13-Nov-2012	305	7,475 50	5,361 050	2,114 45	568	Long-Term
	RESEARCH IN MOTION LIMITED CMN	08-Aug-2011	21-Nov-2012	40	400 25	898 900	-498 65	471	Long-Term
	RESEARCH IN MOTION LIMITED CMN	01-Jun-2011	21-Nov-2012	60	600 39	2,437 300	-1,836 91	539	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
	RESEARCH IN MOTION LIMITED CMN	21-Jun-2011	21-Nov-2012	45	450 29	1,260 340	-810 05	519	Long-Term
	RESEARCH IN MOTION LIMITED CMN	17-Jun-2011	21-Nov-2012	25	250 16	718 440	-468 28	523	Long-Term
	RESEARCH IN MOTION LIMITED CMN	08-Aug-2011	23-Nov-2012	10	116 26	224 730	-108 47	473	Long-Term
HRB	H & R BLOCK INC CMN	02-Sep-2011	24-Dec-2012	250	4,633 40	3,340 130	1,293 27	479	Long-Term
HRB	H & R BLOCK INC CMN	02-Sep-2011	26-Dec-2012	225	4,121 32	3,006 110	1,115 21	481	Long-Term
	TWENTY-FIRST CENTURY FOX, INC CMN CLASS A	25-Apr-2011	02-Jan-2013	125	3,306 97	2,197 150	1,109 82	618	Long-Term
HPQ	HEWLETT-PACKARD CO CMN	25-Apr-2011	17-Jan-2013	115	1,977 90	4,721 900	-2,744 00	633	Long-Term
PFE	PFIZER INC CMN	25-Apr-2011	30-Jan-2013	215	5,918 17	4,297 850	1,620 32	646	Long-Term
HRB	H & R BLOCK INC CMN	02-Sep-2011	15-Feb-2013	185	4,650 18	2,471 690	2,178 49	532	Long-Term
	RESEARCH IN MOTION LIMITED CMN	16-Dec-2011	18-Jan-2013	35	552 34	471 230	81 11	399	Long-Term
	TWENTY-FIRST CENTURY FOX, INC CMN CLASS A	25-Apr-2011	21-Feb-2013	5	141 95	87 890	54 06	668	Long-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	25-Apr-2011	22-Feb-2013	30	2,308 96	1,890 000	418 96	669	Long-Term
HPQ	HEWLETT-PACKARD CO CMN	25-Apr-2011	27-Mar-2013	40	942 10	1,642 400	-700 30	702	Long-Term
CLX	CLOROX CO (THE) (DELAWARE) CMN	25-Apr-2011	05-Apr-2013	50	4,331 24	3,439 200	892 04	711	Long-Term
BDX	BECTON DICKINSON & CO CMN	25-Apr-2011	05-Apr-2013	45	4,322 96	3,772 800	550 16	711	Long-Term
PEP	PEPSICO INC CMN	25-Apr-2011	05-Apr-2013	130	10,229 13	8,742 720	1,486 41	711	Long-Term
WMT	WAL MART STORES INC CMN	25-Apr-2011	05-Apr-2013	15	1,137 14	804 000	333 14	711	Long-Term
APOL	APOLLO EDUCATION GROUP, INC CMN CLASS A	25-Apr-2011	05-Apr-2013	95	1,629 78	3,824 810	-2,195 03	711	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
USB	U S BANCORP CMN	25-Apr-2011	05-Apr-2013	25	833 12	629 000	204 12	711	Long-Term
COP	CONOCOPHILLIPS CMN	25-Apr-2011	05-Apr-2013	120	6,993 10	7,489 450	-496 35	711	Long-Term
CMCSK	COMCAST CORPORATION CMN CLASS A NON VOTING	25-Apr-2011	05-Apr-2013	45	1,758 84	1,065 360	693 48	711	Long-Term
PFE	PFIZER INC CMN	08-Aug-2011	12-Apr-2013	130	3,986 93	2,251 670	1,735 26	613	Long-Term
PFE	PFIZER INC CMN	25-Apr-2011	12-Apr-2013	35	1,073 40	699 650	373 75	718	Long-Term
	TWENTY-FIRST CENTURY FOX, INC CMN CLASS A	25-Apr-2011	10-May-2013	145	4,833 02	2,548 690	2,284 33	746	Long-Term
	TWENTY-FIRST CENTURY FOX, INC CMN CLASS A	25-Apr-2011	24-Apr-2013	295	9,188 75	5,185 270	4,003 48	730	Long-Term
PFE	PFIZER INC CMN	08-Aug-2011	26-Apr-2013	230	6,861 60	3,983 720	2,877 88	627	Long-Term
BDX	BECTON DICKINSON & CO CMN	25-Apr-2011	26-Apr-2013	30	2,856 90	2,515 200	341 70	732	Long-Term
CLX	CLOROX CO (THE) (DELAWARE) CMN	25-Apr-2011	21-May-2013	45	3,868 57	3,095 280	773 29	757	Long-Term
MSFT	MICROSOFT CORPORATION CMN	25-Apr-2011	25-Jun-2013	235	7,893 02	6,001 900	1,891 12	792	Long-Term
MSFT	MICROSOFT CORPORATION CMN	25-Apr-2011	05-Jul-2013	105	3,532 67	2,681 700	850 97	802	Long-Term
HPQ	HEWLETT-PACKARD CO CMN	25-Apr-2011	10-Jul-2013	85	2,203 92	3,490 100	-1,286 18	807	Long-Term
HPQ	HEWLETT-PACKARD CO CMN	17-May-2011	10-Jul-2013	65	1,685 35	2,349 800	-664 45	785	Long-Term
HPQ	HEWLETT-PACKARD CO CMN	08-Aug-2011	10-Jul-2013	5	129 64	157 950	-28 31	702	Long-Term
VIAB	VIACOM INC CMN CLASS B	25-Apr-2011	02-Aug-2013	60	4,762 50	2,897 500	1,865 00	830	Long-Term
NWSA	NEWS CORPORATION CMN SERIES CLASS A	25-Apr-2011	17-Sep-2013	215	3,649 60	1,728 270	1,921 33	876	Long-Term
TOTAL					157,004 01		26,822.67		

Foundation - Herndon 010-74607-1
From 01-Oct-2012 To 30-Sep-2013

Realized Gains/Losses
Separate Account Strategy : Herndon
Capital: Large Cap Value
Base Currency : USD

Gain Type	Gains	Losses	Total
Short-Term	27,377.05	-22,872.72	4,504.33
Long-Term	38,811.72	-16,338.74	22,472.98
Total Realized Gains/Losses:	66,188.77	-39,211.46	26,977.31

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
CBOE	CBOE HOLDINGS, INC CMN	22-Mar-2012	08-Oct-2012	43	1,273.80	1,249.070	24.73	200	Short-Term
DFS	DISCOVER FINANCIAL SERVICES CMN	20-Mar-2012	08-Oct-2012	39	1,570.01	1,248.080	321.93	202	Short-Term
ESRX	EXPRESS SCRIPTS HOLDINGS CMN	17-Oct-2011	08-Oct-2012	20	1,281.62	800.080	481.54	357	Short-Term
CBOE	CBOE HOLDINGS, INC CMN	21-Mar-2012	08-Oct-2012	1	29.62	28.880	0.74	201	Short-Term
ESRX	EXPRESS SCRIPTS HOLDINGS CMN	10-Oct-2011	08-Oct-2012	25	1,602.03	1,021.590	580.44	364	Short-Term
CL	COLGATE-PALMOLIVE CO CMN	18-Nov-2011	19-Oct-2012	4	433.29	354.940	78.35	336	Short-Term
ESRX	EXPRESS SCRIPTS HOLDINGS CMN	31-May-2012	31-Oct-2012	1	61.52	52.070	9.45	153	Short-Term
ESRX	EXPRESS SCRIPTS HOLDINGS CMN	17-Oct-2011	09-Oct-2012	45	2,835.12	1,800.190	1,034.93	358	Short-Term
CL	COLGATE-PALMOLIVE CO CMN	04-Sep-2012	19-Oct-2012	29	3,141.33	3,100.710	40.62	45	Short-Term
UTHR	UNITED THERAPEUTICS CORP CMN	21-Nov-2012	04-Jan-2013	17	890.22	884.860	5.36	44	Short-Term
UTHR	UNITED THERAPEUTICS CORP CMN	20-Nov-2012	04-Jan-2013	38	1,989.90	1,935.280	54.62	45	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
LLY	ELI LILLY & CO CMN	30-Jul-2012	04-Jan-2013	125	6,448 52	5,497 410	951 11	158	Short-Term
LLY	ELI LILLY & CO CMN	16-Jul-2012	04-Jan-2013	125	6,448 52	5,468 660	979 86	172	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	20-Nov-2012	04-Jan-2013	85	5,785 29	5,631 320	153 97	45	Short-Term
UTHR	UNITED THERAPEUTICS CORP CMN	23-Nov-2012	07-Jan-2013	35	1,842 67	1,856 010	-13 34	45	Short-Term
UTHR	UNITED THERAPEUTICS CORP CMN	21-Nov-2012	07-Jan-2013	21	1,105 61	1,093 070	12 54	47	Short-Term
MSFT	MICROSOFT CORPORATION CMN	17-Sep-2012	25-Jan-2013	184	5,131 52	5,726 020	-594 50	130	Short-Term
MSFT	MICROSOFT CORPORATION CMN	04-Jan-2013	25-Jan-2013	219	6,107 62	5,890 920	216 70	21	Short-Term
APOL	APOLLO EDUCATION GROUP, INC CMN CLASS A	04-Sep-2012	11-Feb-2013	147	2,988 53	3,996 480	-1,007 95	160	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	17-Sep-2012	19-Oct-2012	142	2,972 02	3,392 010	-419 99	32	Short-Term
KRO	KRONOS WORLDWIDE INC CMN	30-Jul-2012	31-Oct-2012	10	135 06	162 620	-27 56	93	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	18-Sep-2012	22-Oct-2012	31	636 07	729 570	-93 50	34	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	17-Sep-2012	22-Oct-2012	110	2,257 00	2,627 610	-370 61	35	Short-Term
KRO	KRONOS WORLDWIDE INC CMN	30-Jul-2012	01-Nov-2012	74	994 14	1,203 410	-209 27	94	Short-Term
KRO	KRONOS WORLDWIDE INC CMN	31-Jul-2012	02-Nov-2012	94	1,257 40	1,590 550	-333 15	94	Short-Term
KRO	KRONOS WORLDWIDE INC CMN	31-Jul-2012	05-Nov-2012	21	273 66	355 340	-81 68	97	Short-Term
KRO	KRONOS WORLDWIDE INC CMN	30-Jul-2012	02-Nov-2012	32	428 06	520 400	-92 34	95	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
KRO	KRONOS WORLDWIDE INC CMN	01-Aug-2012	05-Nov-2012	114	1,485 55	2,035 360	-549 81	96	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	28-Jun-2012	20-Nov-2012	14	334 33	380 770	-46 44	145	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	18-Sep-2012	20-Nov-2012	73	1,363 42	1,718 010	-354 59	63	Short-Term
NUS	NU SKIN ENTERPRISES INC CMN CLASS A	31-Oct-2012	20-Nov-2012	46	1,989 83	2,156 720	-166 89	20	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	27-Jun-2012	20-Nov-2012	62	1,480 62	1,620 080	-139 46	146	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	28-Jun-2012	21-Nov-2012	77	1,843 13	2,094 230	-251 10	146	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	18-Sep-2012	21-Nov-2012	73	1,376 29	1,718 010	-341 72	64	Short-Term
NUS	NU SKIN ENTERPRISES INC CMN CLASS A	31-Oct-2012	21-Nov-2012	46	2,021 87	2,156 720	-134 85	21	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	29-Jun-2012	23-Nov-2012	49	1,178 75	1,437 960	-259 21	147	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	28-Jun-2012	23-Nov-2012	25	601 40	679 940	-78 54	148	Short-Term
NUS	NU SKIN ENTERPRISES INC CMN CLASS A	31-Oct-2012	23-Nov-2012	43	1,908 20	2,016 060	-107 86	23	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	18-Sep-2012	23-Nov-2012	73	1,387 84	1,718 010	-330 17	66	Short-Term
ABT	ABBOTT LABORATORIES CMN	25-Jan-2013	11-Feb-2013	188	6,449 46	6,164 580	284 88	17	Short-Term
ABT	ABBOTT LABORATORIES CMN	08-Feb-2013	11-Feb-2013	30	1,029 17	1,031 670	-2 50	3	Short-Term
APOL	APOLLO EDUCATION GROUP, INC CMN CLASS A	04-Sep-2012	12-Feb-2013	44	867 64	1,196 610	-328 97	161	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
APOL	APOLLO EDUCATION GROUP, INC CMN CLASS A	04-Sep-2012	12-Feb-2013	64	1,262 02	1,739 960	-477 94	161	Short-Term
APOL	APOLLO EDUCATION GROUP, INC CMN CLASS A	04-Sep-2012	13-Feb-2013	41	790 11	1,115 020	-324 91	162	Short-Term
APOL	APOLLO EDUCATION GROUP, INC CMN CLASS A	31-Oct-2012	13-Feb-2013	291	5,607 88	5,833 530	-225 65	105	Short-Term
HAL	HALLIBURTON COMPANY CMN	16-Apr-2012	20-Feb-2013	172	7,216 03	5,516 300	1,699 73	310	Short-Term
HAL	HALLIBURTON COMPANY CMN	08-Feb-2013	20-Feb-2013	72	3,020 66	2,966 020	54 64	12	Short-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	19-Oct-2012	04-Mar-2013	42	969 81	882 170	87 64	136	Short-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	08-Feb-2013	04-Mar-2013	143	3,301 97	3,490 020	-188 05	24	Short-Term
	WINDSTREAM CORPORATION CMN	04-Jan-2013	25-Mar-2013	292	2,473 79	2,685 610	-211 82	80	Short-Term
KMB	KIMBERLY CLARK CORP CMN	08-Feb-2013	25-Mar-2013	8	760 79	726 480	34 31	45	Short-Term
KMB	KIMBERLY CLARK CORP CMN	04-Jan-2013	25-Mar-2013	69	6,561 85	5,938 840	623 01	80	Short-Term
HLF	HERBALIFE LTD CMN	31-May-2012	25-Mar-2013	14	529 58	626 580	-97 00	298	Short-Term
	WINDSTREAM CORPORATION CMN	07-Jan-2013	26-Mar-2013	208	1,692 17	2,002 710	-310 54	78	Short-Term
	WINDSTREAM CORPORATION CMN	04-Jan-2013	26-Mar-2013	376	3,058 92	3,458 190	-399 27	81	Short-Term
CMI	CUMMINS INC COMMON STOCK	31-May-2012	27-Mar-2013	2	226 79	193 600	33 19	300	Short-Term
	WINDSTREAM CORPORATION CMN	07-Jan-2013	27-Mar-2013	461	3,696 16	4,438 690	-742 53	79	Short-Term
CMI	CUMMINS INC COMMON STOCK	08-Feb-2013	27-Mar-2013	18	2,041 08	2,147 260	-106 18	47	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
	WINDSTREAM CORPORATION CMN	08-Feb-2013	27-Mar-2013	120	962 12	1,174 280	-212 16	47	Short-Term
MHFI	MCGRAW-HILL COMPANIES INC CMN	20-Feb-2013	15-Apr-2013	37	1,909 56	1,698 130	211 43	54	Short-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	25-Mar-2013	15-Apr-2013	214	3,826 81	4,483 240	-656 43	21	Short-Term
HRS	HARRIS CORP CMN	25-Mar-2013	15-Apr-2013	26	1,112 19	1,157 110	-44 92	21	Short-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	31-May-2012	15-Apr-2013	13	232 47	618 190	-385 72	319	Short-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	27-Jun-2012	15-Apr-2013	48	858 36	2,265 730	-1,407 37	292	Short-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	28-Jun-2012	15-Apr-2013	25	447 06	1,164 190	-717 13	291	Short-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	29-Jun-2012	15-Apr-2013	24	429 18	1,172 200	-743 02	290	Short-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	08-Feb-2013	15-Apr-2013	9	160 94	328 050	-167 11	66	Short-Term
HRS	HARRIS CORP CMN	26-Mar-2013	16-Apr-2013	23	976 75	1,033 810	-57 06	21	Short-Term
JWN	NORDSTROM INC CMN	25-Mar-2013	09-Jul-2013	15	940 26	805 310	134 95	106	Short-Term
HSY	THE HERSHEY COMPANY CMN	17-Apr-2013	09-Jul-2013	26	2,361 64	2,311 740	49 90	83	Short-Term
JWN	NORDSTROM INC CMN	27-Mar-2013	09-Jul-2013	47	2,946 15	2,555 880	390 27	104	Short-Term
JWN	NORDSTROM INC CMN	26-Mar-2013	09-Jul-2013	50	3,134 20	2,700 730	433 47	105	Short-Term
HSY	THE HERSHEY COMPANY CMN	13-May-2013	10-Jul-2013	2	181 78	177 090	4 69	58	Short-Term
ROST	ROSS STORES, INC CMN	25-Jan-2013	10-Jul-2013	15	996 96	916 530	80 43	166	Short-Term
JWN	NORDSTROM INC CMN	13-May-2013	10-Jul-2013	5	311 11	300 470	10 64	58	Short-Term
HSY	THE HERSHEY COMPANY CMN	15-May-2013	11-Jul-2013	7	638 94	631 030	7 91	57	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
CLF	CLIFFS NATURAL RESOURCES INC CMN	25-Mar-2013	16-Apr-2013	16	280 65	335 200	-54 55	22	Short-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	31-May-2012	16-Apr-2013	13	228 03	658 070	-430 04	320	Short-Term
HRS	HARRIS CORP CMN	25-Mar-2013	17-Apr-2013	8	334 29	375 880	-41 59	23	Short-Term
HRS	HARRIS CORP CMN	26-Mar-2013	17-Apr-2013	23	961 07	1,091 860	-130 79	22	Short-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	27-Jun-2012	16-Apr-2013	48	841 95	2,412 970	-1,571 02	293	Short-Term
MHFI	MCGRAW-HILL COMPANIES INC CMN	21-Feb-2013	17-Apr-2013	67	3,477 20	3,078 770	398 43	55	Short-Term
MHFI	MCGRAW-HILL COMPANIES INC CMN	20-Feb-2013	17-Apr-2013	33	1,712 66	1,514 550	198 11	56	Short-Term
HRS	HARRIS CORP CMN	26-Mar-2013	17-Apr-2013	11	459 64	494 430	-34 79	22	Short-Term
HRS	HARRIS CORP CMN	27-Mar-2013	17-Apr-2013	17	710 35	764 850	-54 50	21	Short-Term
HRS	HARRIS CORP CMN	25-Mar-2013	17-Apr-2013	26	1,086 42	1,279 190	-192 77	23	Short-Term
HSY	THE HERSHEY COMPANY CMN	04-Jan-2013	24-Apr-2013	14	1,261 38	1,040 110	221 27	110	Short-Term
HMA	HEALTH MANAGEMENT ASSOC CL A CMN CLASS A	16-Jul-2012	24-Apr-2013	176	1,996 02	1,359 720	636 30	282	Short-Term
ACN	ACCENTURE PLC CMN	04-Jan-2013	24-Apr-2013	73	5,659 94	5,056 710	603 23	110	Short-Term
GILD	GILEAD SCIENCES CMN	08-Feb-2013	24-Apr-2013	66	3,397 98	2,700 720	697 26	75	Short-Term
ACN	ACCENTURE PLC CMN	08-Feb-2013	25-Apr-2013	10	793 38	732 000	61 38	76	Short-Term
HMA	HEALTH MANAGEMENT ASSOC CL A CMN CLASS A	16-Jul-2012	25-Apr-2013	56	629 00	432 640	196 36	283	Short-Term
HSY	THE HERSHEY COMPANY CMN	07-Jan-2013	26-Apr-2013	3	262 54	222 380	40 16	109	Short-Term
HMA	HEALTH MANAGEMENT ASSOC CL A CMN CLASS A	17-Jul-2012	25-Apr-2013	102	1,145 68	785 480	360 20	282	Short-Term
HSY	THE HERSHEY COMPANY CMN	04-Jan-2013	25-Apr-2013	17	1,508 57	1,262 990	245 58	111	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
ACN	ACCENTURE PLC CMN	04-Jan-2013	25-Apr-2013	14	1,110 73	969 780	140 95	111	Short-Term
HMA	HEALTH MANAGEMENT ASSOC CL A CMN CLASS A	27-Aug-2012	26-Apr-2013	9	100 69	65 800	34 89	242	Short-Term
HSY	THE HERSHEY COMPANY CMN	04-Jan-2013	26-Apr-2013	9	787 61	668 640	118 97	112	Short-Term
HMA	HEALTH MANAGEMENT ASSOC CL A CMN CLASS A	29-Aug-2012	29-Apr-2013	204	2,381 40	1,539 530	841 87	243	Short-Term
HMA	HEALTH MANAGEMENT ASSOC CL A CMN CLASS A	18-Jul-2012	26-Apr-2013	231	2,584 39	1,769 180	815 21	282	Short-Term
HMA	HEALTH MANAGEMENT ASSOC CL A CMN CLASS A	17-Jul-2012	26-Apr-2013	129	1,443 23	993 400	449 83	283	Short-Term
HMA	HEALTH MANAGEMENT ASSOC CL A CMN CLASS A	30-Aug-2012	29-Apr-2013	27	315 19	206 050	109 14	242	Short-Term
HSY	THE HERSHEY COMPANY CMN	07-Jan-2013	29-Apr-2013	28	2,459 66	2,075 530	384 13	112	Short-Term
HMA	HEALTH MANAGEMENT ASSOC CL A CMN CLASS A	27-Aug-2012	29-Apr-2013	195	2,276 34	1,425 730	850 61	245	Short-Term
HMA	HEALTH MANAGEMENT ASSOC CL A CMN CLASS A	28-Aug-2012	29-Apr-2013	204	2,381 40	1,517 230	864 17	244	Short-Term
HMA	HEALTH MANAGEMENT ASSOC CL A CMN CLASS A	30-Aug-2012	30-Apr-2013	178	2,044 60	1,358 370	686 23	243	Short-Term
HMA	HEALTH MANAGEMENT ASSOC CL A CMN CLASS A	08-Feb-2013	30-Apr-2013	239	2,745 29	2,562 010	183 28	81	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	21-Feb-2013	10-May-2013	17	482 37	446 350	36 02	78	Short-Term
COP	CONOCOPHILLIPS CMN	27-Jun-2012	10-May-2013	16	993 63	869 640	123 99	317	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	20-Feb-2013	10-May-2013	41	1,163 35	1,087 180	76 17	79	Short-Term
COP	CONOCOPHILLIPS CMN	03-Jun-2012	10-May-2013	2	124 20	111 040	13 16	341	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	21-Feb-2013	13-May-2013	78	2,211 07	2,047 960	163 11	81	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
COP	CONOCOPHILLIPS CMN	08-Feb-2013	13-May-2013	39	2,421.94	2,253.420	168.52	94	Short-Term
COP	CONOCOPHILLIPS CMN	27-Jun-2012	13-May-2013	80	4,968.08	4,348.200	619.88	320	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	21-Feb-2013	14-May-2013	4	113.90	105.020	8.88	82	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	25-Feb-2013	15-May-2013	11	309.73	290.920	18.81	79	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	22-Feb-2013	15-May-2013	55	1,548.61	1,427.650	120.96	82	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	21-Feb-2013	15-May-2013	21	591.28	551.370	39.91	83	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	25-Apr-2013	16-May-2013	68	1,909.89	1,664.750	245.14	21	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	25-Feb-2013	16-May-2013	11	308.96	290.920	18.04	80	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	26-Feb-2013	16-May-2013	34	954.95	848.160	106.79	79	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	25-Apr-2013	17-May-2013	31	878.58	758.930	119.65	22	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	24-Apr-2013	16-May-2013	61	1,713.29	1,510.570	202.72	22	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	26-Apr-2013	17-May-2013	36	1,020.28	925.420	94.86	21	Short-Term
SPN	SUPERIOR ENERGY SERVICES INC CMN	29-Apr-2013	17-May-2013	106	3,004.16	2,788.830	215.33	18	Short-Term
JWN	NORDSTROM INC CMN	25-Mar-2013	08-Jul-2013	27	1,687.36	1,449.570	237.79	105	Short-Term
HSY	THE HERSHEY COMPANY CMN	08-Feb-2013	08-Jul-2013	9	814.31	721.260	93.05	150	Short-Term
HSY	THE HERSHEY COMPANY CMN	07-Jan-2013	08-Jul-2013	10	904.79	741.260	163.53	182	Short-Term
HSY	THE HERSHEY COMPANY CMN	10-May-2013	09-Jul-2013	2	181.66	175.520	6.14	60	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
JWN	NORDSTROM INC CMN	10-May-2013	09-Jul-2013	6	376 11	354 630	21 48	60	Short-Term
ROST	ROSS STORES, INC CMN	25-Jan-2013	09-Jul-2013	13	866 82	794 320	72 50	165	Short-Term
HSY	THE HERSHEY COMPANY CMN	15-Apr-2013	09-Jul-2013	18	1,634 98	1,560 800	74 18	85	Short-Term
ROST	ROSS STORES, INC CMN	06-Aug-2012	09-Jul-2013	84	5,601 04	5,701 090	-100 05	337	Short-Term
HSY	THE HERSHEY COMPANY CMN	08-Feb-2013	09-Jul-2013	1	90 83	80 140	10 69	151	Short-Term
HSY	THE HERSHEY COMPANY CMN	16-Apr-2013	09-Jul-2013	35	3,179 13	3,075 980	103 15	84	Short-Term
JWN	NORDSTROM INC CMN	16-May-2013	12-Jul-2013	37	2,310 41	2,274 790	35 62	57	Short-Term
STJ	ST JUDE MEDICAL INC CMN	24-Apr-2013	29-Jul-2013	14	726 59	576 300	150 29	96	Short-Term
SLXP	SALIX PHARMACEUTICALS, LTD CMN	24-Apr-2013	29-Jul-2013	4	292 38	201 020	91 36	96	Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	08-Jul-2013	29-Jul-2013	10	169 76	171 590	-1 83	21	Short-Term
SCCO	SOUTHERN COPPER CORPORATION CMN	20-Nov-2012	29-Jul-2013	5	139 04	174 130	-35 09	251	Short-Term
CL	COLGATE-PALMOLIVE CO CMN	04-Sep-2012	29-Jul-2013	3	179 78	160 380	19 40	328	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	24-Apr-2013	29-Jul-2013	4	290 76	278 490	12 27	96	Short-Term
MYL	MYLAN INC CMN	24-Apr-2013	29-Jul-2013	10	332 86	286 130	46 73	96	Short-Term
LYB	LYONDELLBASELL INDUSTRIES N V CMN CLASS A	10-May-2013	29-Jul-2013	3	199 56	184 360	15 20	80	Short-Term
ACN	ACCENTURE PLC CMN	08-Feb-2013	29-Jul-2013	1	72 47	73 200	-0 73	171	Short-Term
MHFI	MCGRAW-HILL COMPANIES INC CMN	21-Feb-2013	29-Jul-2013	14	838 04	643 330	194 71	158	Short-Term
MHFI	MCGRAW-HILL COMPANIES INC CMN	22-Feb-2013	29-Jul-2013	24	1,436 63	1,104 180	332 45	157	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
UTHR	UNITED THERAPEUTICS CORP CMN	24-Apr-2013	29-Jul-2013	4	288 99	247 910	41 08	96	Short-Term
UPS	UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK	20-Feb-2013	29-Jul-2013	1	86 82	84 430	2 39	159	Short-Term
SLM	SLM CORPORATION CMN	10-May-2013	29-Jul-2013	21	502 98	442 800	60 18	80	Short-Term
SCCO	SOUTHERN COPPER CORPORATION CMN	23-Nov-2012	31-Jul-2013	29	765 69	1,047 230	-281 54	250	Short-Term
SCCO	SOUTHERN COPPER CORPORATION CMN	20-Nov-2012	31-Jul-2013	50	1,320 16	1,741 310	-421 15	253	Short-Term
SCCO	SOUTHERN COPPER CORPORATION CMN	23-Nov-2012	01-Aug-2013	24	630 56	866 680	-236 12	251	Short-Term
SCCO	SOUTHERN COPPER CORPORATION CMN	21-Nov-2012	31-Jul-2013	55	1,452 18	1,950 810	-498 63	252	Short-Term
SCCO	SOUTHERN COPPER CORPORATION CMN	08-Feb-2013	01-Aug-2013	21	551 73	857 430	-305 70	174	Short-Term
SCCO	SOUTHERN COPPER CORPORATION CMN	26-Mar-2013	01-Aug-2013	46	1,208 56	1,671 390	-462 83	128	Short-Term
SCCO	SOUTHERN COPPER CORPORATION CMN	25-Mar-2013	01-Aug-2013	43	1,129 74	1,550 040	-420 30	129	Short-Term
ACN	ACCENTURE PLC CMN	08-Jul-2013	12-Aug-2013	21	1,533 13	1,563 520	-30 39	35	Short-Term
ACN	ACCENTURE PLC CMN	11-Feb-2013	12-Aug-2013	103	7,519 64	7,520 980	-1 34	182	Short-Term
ACN	ACCENTURE PLC CMN	08-Feb-2013	12-Aug-2013	1	73 00	75 180	-2 18	185	Short-Term
ACN	ACCENTURE PLC CMN	11-Jul-2013	13-Aug-2013	20	1,455 90	1,505 090	-49 19	33	Short-Term
ACN	ACCENTURE PLC CMN	10-Jul-2013	13-Aug-2013	8	582 36	593 030	-10 67	34	Short-Term
ACN	ACCENTURE PLC CMN	08-Jul-2013	13-Aug-2013	12	873 54	893 440	-19 90	36	Short-Term
ACN	ACCENTURE PLC CMN	12-Jul-2013	13-Aug-2013	43	3,130 19	3,236 310	-106 12	32	Short-Term
STJ	ST JUDE MEDICAL INC CMN	25-Apr-2013	03-Sep-2013	3	152 31	124 680	27 63	131	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	24-Apr-2013	03-Sep-2013	56	3,918 08	3,898 920	19 16	132	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
SLXP	SALIX PHARMACEUTICALS, LTD CMN	25-Apr-2013	03-Sep-2013	8	529 11	403 180	125 93	131	Short-Term
SLXP	SALIX PHARMACEUTICALS, LTD CMN	24-Apr-2013	03-Sep-2013	14	925 94	703 580	222 36	132	Short-Term
STJ	ST JUDE MEDICAL INC CMN	24-Apr-2013	03-Sep-2013	22	1,116 97	905 610	211 36	132	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	04-Jan-2013	04-Sep-2013	68	1,612 62	1,903 100	-290 48	243	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	31-Jul-2013	04-Sep-2013	9	627 17	661 140	-33 97	35	Short-Term
SLXP	SALIX PHARMACEUTICALS, LTD CMN	29-Apr-2013	04-Sep-2013	37	2,460 67	1,922 600	538 07	128	Short-Term
STJ	ST JUDE MEDICAL INC CMN	25-Apr-2013	04-Sep-2013	24	1,221 79	997 470	224 32	132	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	24-Apr-2013	04-Sep-2013	45	3,135 85	3,133 060	2 79	133	Short-Term
SLXP	SALIX PHARMACEUTICALS, LTD CMN	26-Apr-2013	04-Sep-2013	10	665 05	510 300	154 75	131	Short-Term
STJ	ST JUDE MEDICAL INC CMN	29-Apr-2013	05-Sep-2013	11	561 93	456 750	105 18	129	Short-Term
SLXP	SALIX PHARMACEUTICALS, LTD CMN	25-Apr-2013	04-Sep-2013	27	1,795 63	1,360 720	434 91	132	Short-Term
STJ	ST JUDE MEDICAL INC CMN	26-Apr-2013	05-Sep-2013	12	613 00	495 820	117 18	132	Short-Term
SLXP	SALIX PHARMACEUTICALS, LTD CMN	30-Apr-2013	05-Sep-2013	22	1,470 01	1,147 340	322 67	128	Short-Term
SLXP	SALIX PHARMACEUTICALS, LTD CMN	29-Apr-2013	05-Sep-2013	4	267 28	207 850	59 43	129	Short-Term
STJ	ST JUDE MEDICAL INC CMN	25-Apr-2013	05-Sep-2013	2	102 16	83 120	19 04	133	Short-Term
SLXP	SALIX PHARMACEUTICALS, LTD CMN	01-May-2013	05-Sep-2013	21	1,403 19	1,097 600	305 59	127	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	08-Feb-2013	06-Sep-2013	33	789 87	981 780	-191 91	210	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	04-Jan-2013	06-Sep-2013	39	933 49	1,091 490	-158 00	245	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
STJ	ST JUDE MEDICAL INC CMN	29-Apr-2013	06-Sep-2013	91	4,761 81	3,778 530	983 28	130	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	07-Jan-2013	06-Sep-2013	108	2,585 04	2,998 230	-413 19	242	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	11-Jul-2013	09-Sep-2013	22	532 45	558 260	-25 81	60	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	08-Jul-2013	09-Sep-2013	80	1,936 20	1,992 210	-56 01	63	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	08-Feb-2013	09-Sep-2013	31	750 28	922 280	-172 00	213	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	09-Jul-2013	09-Sep-2013	24	580 86	599 570	-18 71	62	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	10-Jul-2013	09-Sep-2013	23	556 66	579 180	-22 52	61	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	11-Jul-2013	10-Sep-2013	7	169 71	177 630	-7 92	61	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	15-Jul-2013	10-Sep-2013	76	1,842 60	1,950 210	-107 61	57	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	29-Jul-2013	10-Sep-2013	8	193 96	194 520	-0 56	43	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	12-Jul-2013	10-Sep-2013	19	460 65	481 970	-21 32	60	Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	16-Jul-2013	10-Sep-2013	68	1,648 64	1,746 040	-97 40	56	Short-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	08-Aug-2011	08-Oct-2012	57	1,192 12	1,094 210	97 91	427	Long-Term
EV	EATON VANCE CORP (NON-VTG) CMN	08-Aug-2011	08-Oct-2012	45	1,307 10	1,024 520	282 58	427	Long-Term
AFL	AFLAC INCORPORATED CMN	07-Jul-2011	08-Oct-2012	7	340 33	331 180	9 15	459	Long-Term
WDR	WADDELL & REED FIN , INC CLASS A COMMON	08-Aug-2011	08-Oct-2012	40	1,272 95	1,229 960	42 99	427	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
EV	EATON VANCE CORP (NON-VTG) CMN	07-Jul-2011	08-Oct-2012	4	116 19	123 310	-7 12	459	Long-Term
AFL	AFLAC INCORPORATED CMN	08-Jun-2011	08-Oct-2012	19	923 74	855 230	68 51	488	Long-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	03-Oct-2011	04-Jan-2013	77	2,886 23	3,768 750	-882 52	459	Long-Term
ENDP	ENDO HEALTH SOLUTIONS INC CMN	25-Apr-2011	04-Jan-2013	124	3,121 72	4,897 410	-1,775 69	620	Long-Term
CPA	COPA HOLDINGS, S A CMN CLASS A	08-Aug-2011	04-Jan-2013	32	3,204 54	1,977 300	1,227 24	515	Long-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	25-Apr-2011	04-Jan-2013	10	374 84	975 400	-600 56	620	Long-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	08-Aug-2011	04-Jan-2013	56	2,099 08	4,069 270	-1,970 19	515	Long-Term
MSFT	MICROSOFT CORPORATION CMN	18-Nov-2011	25-Jan-2013	11	306 78	279 040	27 74	434	Long-Term
CPA	COPA HOLDINGS, S A CMN CLASS A	08-Aug-2011	07-Jan-2013	27	2,674 66	1,668 340	1,006 32	518	Long-Term
WDR	WADDELL & REED FIN , INC CLASS A COMMON	08-Aug-2011	25-Jan-2013	87	3,309 43	2,675 150	634 28	536	Long-Term
MSFT	MICROSOFT CORPORATION CMN	08-Aug-2011	25-Jan-2013	120	3,346 64	3,012 000	334 64	536	Long-Term
WDR	WADDELL & REED FIN , INC CLASS A COMMON	10-Aug-2011	29-Jan-2013	23	896 00	683 060	212 94	538	Long-Term
WDR	WADDELL & REED FIN , INC CLASS A COMMON	08-Aug-2011	29-Jan-2013	84	3,272 32	2,582 910	689 41	540	Long-Term
HLF	HERBALIFE LTD CMN	08-Aug-2011	11-Feb-2013	88	3,177 60	4,893 240	-1,715 64	553	Long-Term
ENDP	ENDO HEALTH SOLUTIONS INC CMN	16-May-2011	11-Feb-2013	55	1,623 87	2,351 640	-727 77	637	Long-Term
HAL	HALLIBURTON COMPANY CMN	14-Nov-2011	11-Feb-2013	43	1,759 79	1,651 170	108 62	455	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
ESRX	EXPRESS SCRIPTS HOLDINGS CMN	17-Oct-2011	31-Oct-2012	62	3,814.39	2,480.260	1,334.13	380	Long-Term
CL	COLGATE-PALMOLIVE CO CMN	07-Sep-2011	19-Oct-2012	21	2,274.76	1,914.540	360.22	408	Long-Term
CPA	COPA HOLDINGS, S A CMN CLASS A	08-Aug-2011	26-Nov-2012	9	839.31	556.110	283.20	476	Long-Term
CPA	COPA HOLDINGS, S A CMN CLASS A	25-Apr-2011	26-Nov-2012	44	4,103.30	2,453.330	1,649.97	581	Long-Term
HAL	HALLIBURTON COMPANY CMN	07-Nov-2011	11-Feb-2013	136	5,565.87	5,098.900	466.97	462	Long-Term
ENDP	ENDO HEALTH SOLUTIONS INC CMN	25-Apr-2011	11-Feb-2013	34	1,003.85	1,342.840	-338.99	658	Long-Term
HLF	HERBALIFE LTD CMN	25-Apr-2011	11-Feb-2013	117	4,224.76	5,000.720	-775.96	658	Long-Term
ENDP	ENDO HEALTH SOLUTIONS INC CMN	16-May-2011	12-Feb-2013	8	230.34	333.630	-103.29	638	Long-Term
ENDP	ENDO HEALTH SOLUTIONS INC CMN	16-May-2011	12-Feb-2013	45	1,295.66	1,924.070	-628.41	638	Long-Term
ENDP	ENDO HEALTH SOLUTIONS INC CMN	16-May-2011	13-Feb-2013	37	1,054.65	1,543.040	-488.39	639	Long-Term
ENDP	ENDO HEALTH SOLUTIONS INC CMN	25-Apr-2011	12-Feb-2013	34	978.94	1,307.020	-328.08	659	Long-Term
ENDP	ENDO HEALTH SOLUTIONS INC CMN	25-Apr-2011	13-Feb-2013	34	969.15	1,338.890	-369.74	660	Long-Term
ENDP	ENDO HEALTH SOLUTIONS INC CMN	16-May-2011	13-Feb-2013	10	285.04	429.620	-144.58	639	Long-Term
ENDP	ENDO HEALTH SOLUTIONS INC CMN	16-May-2011	13-Feb-2013	9	256.54	393.250	-136.71	639	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	08-Aug-2011	20-Feb-2013	22	542.00	422.330	119.67	562	Long-Term
GILD	GILEAD SCIENCES CMN	08-Aug-2011	20-Feb-2013	23	970.66	427.810	542.85	562	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
HAL	HALLIBURTON COMPANY CMN	14-Nov-2011	20-Feb-2013	3	125 86	115 200	10 66	464	Long-Term
HAL	HALLIBURTON COMPANY CMN	18-Nov-2011	20-Feb-2013	90	3,775 83	3,239 870	535 96	460	Long-Term
GILD	GILEAD SCIENCES CMN	25-Apr-2011	20-Feb-2013	150	6,330 36	2,920 500	3,409 86	667	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	08-Aug-2011	21-Feb-2013	144	3,409 38	2,764 320	645 06	563	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	08-Aug-2011	22-Feb-2013	91	2,139 21	1,746 900	392 31	564	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	04-Oct-2011	25-Feb-2013	28	666 39	463 210	203 18	510	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	10-Aug-2011	25-Feb-2013	89	2,118 16	1,534 180	583 98	565	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	08-Aug-2011	25-Feb-2013	50	1,189 98	959 840	230 14	567	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	10-Nov-2011	26-Feb-2013	90	2,075 27	1,580 320	494 95	474	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	04-Oct-2011	26-Feb-2013	32	737 87	529 380	208 49	511	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	18-Nov-2011	27-Feb-2013	34	789 55	560 610	228 94	467	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	10-Nov-2011	27-Feb-2013	99	2,299 00	1,738 350	560 65	475	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	04-Jan-2012	28-Feb-2013	56	1,306 35	899 000	407 35	421	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	05-Jan-2012	01-Mar-2013	61	1,400 48	993 270	407 21	421	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	09-Jan-2012	04-Mar-2013	21	484 91	362 160	122 75	420	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	03-Jan-2012	28-Feb-2013	55	1,283 02	874 750	408 27	422	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
FII	FEDERATED INVESTORS, INC CMN CLASS B	18-Nov-2011	28-Feb-2013	2	46 66	32 980	13 68	468	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	06-Jan-2012	01-Mar-2013	48	1,102 01	817 940	284 07	420	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	04-Jan-2012	01-Mar-2013	6	137 75	96 320	41 43	422	Long-Term
FII	FEDERATED INVESTORS, INC CMN CLASS B	06-Jan-2012	04-Mar-2013	13	300 18	221 530	78 65	423	Long-Term
HLF	HERBALIFE LTD CMN	08-Aug-2011	25-Mar-2013	56	2,118 32	3,113 880	-995 56	595	Long-Term
CMI	CUMMINS INC COMMON STOCK	09-Aug-2011	25-Mar-2013	32	3,596 58	2,818 900	777 68	594	Long-Term
HLF	HERBALIFE LTD CMN	25-Apr-2011	25-Mar-2013	37	1,399 61	1,566 600	-166 99	700	Long-Term
CMI	CUMMINS INC COMMON STOCK	18-Nov-2011	26-Mar-2013	7	789 14	663 470	125 67	494	Long-Term
CMI	CUMMINS INC COMMON STOCK	09-Aug-2011	26-Mar-2013	23	2,592 86	2,026 080	566 78	595	Long-Term
CMI	CUMMINS INC COMMON STOCK	14-Nov-2011	26-Mar-2013	18	2,029 20	1,788 730	240 47	498	Long-Term
CMI	CUMMINS INC COMMON STOCK	18-Nov-2011	27-Mar-2013	26	2,948 23	2,464 310	483 92	495	Long-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	03-Oct-2011	15-Apr-2013	12	214 59	587 340	-372 75	560	Long-Term
ROST	ROSS STORES, INC CMN	05-Mar-2012	09-Jul-2013	1	66 68	54 700	11 98	491	Long-Term
ROST	ROSS STORES, INC CMN	06-Mar-2012	09-Jul-2013	42	2,800 52	2,300 200	500 32	490	Long-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	03-Oct-2011	16-Apr-2013	12	210 49	624 150	-413 66	561	Long-Term
GILD	GILEAD SCIENCES CMN	08-Aug-2011	24-Apr-2013	245	12,613 70	4,557 060	8,056 64	625	Long-Term
GILD	GILEAD SCIENCES CMN	18-Nov-2011	24-Apr-2013	2	102 97	39 730	63 24	523	Long-Term
CPA	COPA HOLDINGS, S A CMN CLASS A	08-Aug-2011	10-May-2013	26	3,379 70	1,606 550	1,773 15	641	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
COP	CONOCOPHILLIPS CMN	07-May-2012	10-May-2013	102	6,334.37	5,434.800	899.57	368	Long-Term
CPA	COPA HOLDINGS, S A CMN CLASS A	08-Aug-2011	13-May-2013	34	4,279.80	2,100.880	2,178.92	644	Long-Term
ROST	ROSS STORES, INC CMN	05-Mar-2012	08-Jul-2013	32	2,127.79	1,750.470	377.32	490	Long-Term
CAT	CATERPILLAR INC (DELAWARE) CMN	19-Mar-2012	29-Jul-2013	6	497.88	682.370	-184.49	497	Long-Term
HFC	HOLLYFRONTIER CORP CMN	08-Aug-2011	29-Jul-2013	2	90.65	63.080	27.57	721	Long-Term
CBOE	CBOE HOLDINGS, INC CMN	22-Mar-2012	29-Jul-2013	4	197.13	116.190	80.94	494	Long-Term
CBOE	CBOE HOLDINGS, INC CMN	26-Mar-2012	29-Jul-2013	14	689.96	412.520	277.44	490	Long-Term
CBOE	CBOE HOLDINGS, INC CMN	23-Mar-2012	29-Jul-2013	51	2,513.44	1,498.440	1,015.00	493	Long-Term
EV	EATON VANCE CORP (NON-VTG) CMN	08-Aug-2011	29-Jul-2013	40	1,612.14	910.680	701.46	721	Long-Term
AAPL	APPLE, INC CMN	06-Jul-2011	29-Jul-2013	1	447.27	351.070	96.20	754	Long-Term
WDR	WADDELL & REED FIN, INC CLASS A COMMON	10-Aug-2011	29-Jul-2013	20	997.40	593.960	403.44	719	Long-Term
JOY	JOY GLOBAL INC CMN	21-May-2012	29-Jul-2013	4	194.31	256.900	-62.59	434	Long-Term
EV	EATON VANCE CORP (NON-VTG) CMN	18-Jul-2011	29-Jul-2013	4	161.22	121.920	39.30	742	Long-Term
PM	PHILIP MORRIS INTL INC CMN	25-Apr-2011	29-Jul-2013	1	88.48	67.560	20.92	826	Long-Term
AFL	AFLAC INCORPORATED CMN	07-Jul-2011	29-Jul-2013	1	60.68	47.310	13.37	753	Long-Term
AFL	AFLAC INCORPORATED CMN	08-Aug-2011	29-Jul-2013	23	1,395.56	927.690	467.87	721	Long-Term
WDR	WADDELL & REED FIN, INC CLASS A COMMON	04-Oct-2011	29-Jul-2013	6	299.22	144.150	155.07	664	Long-Term
CF	CF INDUSTRIES HOLDINGS, INC CMN	25-Apr-2011	29-Jul-2013	1	180.03	138.780	41.25	826	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
DFS	DISCOVER FINANCIAL SERVICES CMN	20-Mar-2012	29-Jul-2013	37	1,833.38	1,184.080	649.30	496	Long-Term
NFX	NEWFIELD EXPLORATION CO CMN	02-Jul-2012	03-Sep-2013	13	309.52	377.130	-67.61	428	Long-Term
CAT	CATERPILLAR INC (DELAWARE) CMN	19-Mar-2012	03-Sep-2013	1	82.52	123.470	-40.95	533	Long-Term
CAT	CATERPILLAR INC (DELAWARE) CMN	19-Mar-2012	03-Sep-2013	6	495.09	682.480	-187.39	533	Long-Term
CAT	CATERPILLAR INC (DELAWARE) CMN	07-May-2012	03-Sep-2013	38	3,135.59	3,704.560	-568.97	484	Long-Term
CAT	CATERPILLAR INC (DELAWARE) CMN	19-Mar-2012	03-Sep-2013	44	3,630.69	5,004.020	-1,373.33	533	Long-Term
NFX	NEWFIELD EXPLORATION CO CMN	29-Jun-2012	03-Sep-2013	66	1,571.44	1,936.850	-365.41	431	Long-Term
NFX	NEWFIELD EXPLORATION CO CMN	02-Jul-2012	04-Sep-2013	103	2,442.65	2,988.050	-545.40	429	Long-Term
TOTAL					499,414.05		26,977.31		

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Foundation - DSM 010-74608-9

From 01-Oct-2012 To 30-Sep-2013

Realized Gains/Losses

Separate Account Strategy : DSM: Large Cap Growth

Base Currency : USD

Gain Type	Gains	Losses	Total
Short-Term	19,508.30	-5,764.56	13,743.74
Long-Term	53,827.53	-4,508.07	49,319.46
Total Realized Gains/Losses	73,335.83	-10,272.63	63,063.20

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
DIS	WALT DISNEY COMPANY (THE) CMN	23-Mar-2012	18-Oct-2012	45	2,364.24	1,962.810	401.43	209	Short-Term
EMC	EMC CORPORATION MASS CMN	24-Jan-2012	26-Oct-2012	177	4,239.18	4,393.760	-154.58	276	Short-Term
EMC	EMC CORPORATION MASS CMN	23-Jan-2012	26-Oct-2012	224	5,364.83	5,227.220	137.61	277	Short-Term
EMC	EMC CORPORATION MASS CMN	27-Apr-2012	01-Nov-2012	122	3,073.09	3,481.790	-408.70	188	Short-Term
EMC	EMC CORPORATION MASS CMN	24-Jan-2012	01-Nov-2012	35	881.62	868.820	12.80	282	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	26-Mar-2012	28-Nov-2012	1	48.58	44.250	4.33	247	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	23-Mar-2012	28-Nov-2012	43	2,088.73	1,875.580	213.15	250	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	05-Oct-2012	04-Dec-2012	42	1,601.99	1,535.940	66.05	60	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	04-Oct-2012	04-Dec-2012	84	3,203.97	3,038.100	165.87	61	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	05-Oct-2012	06-Dec-2012	42	1,586.56	1,535.940	50.62	62	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	11-Oct-2012	06-Dec-2012	114	4,306.37	4,110.110	196.26	56	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	27-Mar-2012	17-Dec-2012	62	3,034.34	2,741.550	292.79	265	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	26-Mar-2012	17-Dec-2012	86	4,208.93	3,805.270	403.66	266	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	09-May-2012	21-Dec-2012	65	3,253.62	2,923.070	330.55	226	Short-Term
DIS	WALT DISNEY COMPANY (THE) CMN	27-Mar-2012	21-Dec-2012	31	1,551.73	1,370.780	180.95	269	Short-Term
YUM	YUM BRANDS, INC. CMN	14-Jun-2012	21-Dec-2012	33	2,102.42	2,117.740	-15.32	190	Short-Term
GWW	W.W. GRAINGER INCORPORATED CMN	20-Jun-2012	06-Feb-2013	13	2,826.41	2,442.580	383.83	231	Short-Term
GWW	W.W. GRAINGER INCORPORATED CMN	20-Jun-2012	07-Feb-2013	15	3,239.72	2,818.370	421.35	232	Short-Term
SHPG	SHIRE LIMITED SPONSORED ADR CMN	29-Mar-2012	19-Feb-2013	5	477.67	508.140	-30.47	327	Short-Term
SHPG	SHIRE LIMITED SPONSORED ADR CMN	29-Mar-2012	20-Feb-2013	19	1,804.74	1,930.920	-126.18	328	Short-Term
SHPG	SHIRE LIMITED SPONSORED ADR CMN	29-Mar-2012	20-Feb-2013	2	189.97	212.440	-22.47	328	Short-Term
GWW	W.W. GRAINGER INCORPORATED CMN	21-Jun-2012	22-Feb-2013	14	3,147.21	2,612.570	534.64	246	Short-Term
GWW	W.W. GRAINGER INCORPORATED CMN	20-Jun-2012	22-Feb-2013	1	224.80	187.890	36.91	247	Short-Term
FB	FACEBOOK, INC. CMN CLASS A	05-Nov-2012	05-Mar-2013	173	4,741.08	3,678.000	1,063.08	120	Short-Term
FB	FACEBOOK, INC. CMN CLASS A	08-Nov-2012	06-Mar-2013	204	5,638.19	4,150.910	1,487.28	118	Short-Term
FB	FACEBOOK, INC. CMN CLASS A	05-Nov-2012	06-Mar-2013	48	1,326.63	1,020.480	306.15	121	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
FB	FACEBOOK, INC CMN CLASS A	08-Feb-2013	06-Mar-2013	67	1,851 76	1,938 080	-86 32	26	Short-Term
GWW	W W GRAINGER INCORPORATED CMN	05-Nov-2012	07-Mar-2013	14	3,228 51	2,830 160	398 35	122	Short-Term
GWW	W W GRAINGER INCORPORATED CMN	19-Dec-2012	08-Mar-2013	12	2,773 81	2,380 280	393 53	79	Short-Term
UNP	UNION PACIFIC CORP CMN	29-Aug-2012	07-Mar-2013	13	1,774 53	1,599 150	175 38	190	Short-Term
GWW	W W GRAINGER INCORPORATED CMN	21-Jun-2012	07-Mar-2013	2	461 21	373 220	87 99	259	Short-Term
DVA	DAVITA HEALTHCARE PARTNERS INC CMN	21-Dec-2012	07-Mar-2013	32	3,792 58	3,586 390	206 19	76	Short-Term
DVA	DAVITA HEALTHCARE PARTNERS INC CMN	08-Feb-2013	07-Mar-2013	5	592 59	598 150	-5 56	27	Short-Term
GWW	W W GRAINGER INCORPORATED CMN	08-Feb-2013	08-Mar-2013	7	1,618 05	1,521 450	96 60	28	Short-Term
AAPL	APPLE, INC CMN	08-Feb-2013	24-Apr-2013	15	6,026 34	7,102 990	-1,076 65	75	Short-Term
UNP	UNION PACIFIC CORP CMN	29-Aug-2012	09-May-2013	15	2,317 59	1,845 180	472 41	253	Short-Term
SBUX	STARBUCKS CORP CMN	01-Aug-2012	09-May-2013	39	2,425 83	1,722 890	702 94	281	Short-Term
EL	ESTEE LAUDER COS INC CL-A CMN CLASS A	09-Nov-2012	09-May-2013	32	2,230 10	1,847 560	382 54	181	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	06-Dec-2012	24-May-2013	21	1,330 72	1,463 550	-132 83	169	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	07-Feb-2013	28-May-2013	1	63 58	77 840	-14 26	110	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	06-Dec-2012	28-May-2013	25	1,589 52	1,742 320	-152 80	173	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	17-Dec-2012	28-May-2013	30	1,907 42	2,179 240	-271 82	162	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	11-Jan-2013	28-May-2013	28	1,780 26	2,183 830	-403 57	137	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	07-Feb-2013	29-May-2013	36	2,291 06	2,802 390	-511 33	111	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	08-Feb-2013	29-May-2013	49	3,118 39	3,842 390	-724 00	110	Short-Term
TJX	TJX COMPANIES INC (NEW) CMN	15-Oct-2012	05-Jun-2013	43	2,153 40	1,860 990	292 41	233	Short-Term
PCP	PRECISION CASTPARTS CORP CMN	07-Aug-2012	05-Jun-2013	8	1,702 80	1,273 470	429 33	302	Short-Term
SLB	SCHLUMBERGER LTD CMN	08-Feb-2013	10-Jun-2013	32	2,351 28	2,515 300	-164 02	122	Short-Term
SBUX	STARBUCKS CORP CMN	01-Aug-2012	19-Jun-2013	42	2,817 65	1,855 420	962 23	322	Short-Term
PCP	PRECISION CASTPARTS CORP CMN	07-Aug-2012	19-Jun-2013	13	2,848 97	2,069 390	779 58	316	Short-Term
AGN	ALLERGAN INC CMN	08-Feb-2013	24-Jun-2013	35	2,938 32	3,758 660	-820 34	136	Short-Term
AGN	ALLERGAN INC CMN	06-Aug-2012	24-Jun-2013	24	2,014 84	2,043 040	-28 20	322	Short-Term
AGN	ALLERGAN INC CMN	15-May-2013	24-Jun-2013	31	2,602 51	3,217 650	-615 14	40	Short-Term
PCP	PRECISION CASTPARTS CORP CMN	07-Aug-2012	08-Jul-2013	12	2,797 32	1,910 210	887 11	335	Short-Term
PCP	PRECISION CASTPARTS CORP CMN	10-Aug-2012	12-Jul-2013	15	3,483 15	2,421 090	1,062 06	336	Short-Term
PCP	PRECISION CASTPARTS CORP CMN	07-Aug-2012	12-Jul-2013	4	928 85	636 740	292 11	339	Short-Term
SBUX	STARBUCKS CORP CMN	01-Aug-2012	19-Jul-2013	34	2,336 10	1,502 010	834 09	352	Short-Term
SBUX	STARBUCKS CORP CMN	06-Aug-2012	29-Jul-2013	26	1,879 80	1,139 060	740 74	357	Short-Term
SBUX	STARBUCKS CORP CMN	01-Aug-2012	29-Jul-2013	9	650 70	397 590	253 11	362	Short-Term
ALXN	ALEXION PHARMACEUTICALS INC CMN	21-Feb-2013	30-Jul-2013	23	2,549 36	2,008 910	540 45	159	Short-Term
ALXN	ALEXION PHARMACEUTICALS INC CMN	21-Feb-2013	01-Aug-2013	26	3,046 67	2,270 950	775 72	161	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
DEO	DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN	19-Jun-2013	01-Aug-2013	46	5,789 18	5,492 600	296 58	43	Short-Term
DEO	DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN	25-Jun-2013	01-Aug-2013	25	3,146 29	2,873 170	273 12	37	Short-Term
FDO	FAMILY DOLLAR STORES INC CMN	08-Feb-2013	23-Aug-2013	27	1,959 09	1,533 600	425 49	196	Short-Term
TJX	TJX COMPANIES INC (NEW) CMN	15-Oct-2012	10-Sep-2013	67	3,600 86	2,899 680	701 18	330	Short-Term
GE	GENERAL ELECTRIC CO CMN	08-Feb-2013	20-Sep-2013	169	4,077 68	3,798 460	279 22	224	Short-Term
SBMRY	SABMILLER PLC SPONSORED ADR	08-Feb-2013	26-Sep-2013	12	620 64	604 820	15 82	230	Short-Term
SBMRY	SABMILLER PLC SPONSORED ADR	26-Jun-2013	27-Sep-2013	21	1,066 72	1,020 550	46 17	93	Short-Term
SBMRY	SABMILLER PLC SPONSORED ADR	08-Feb-2013	27-Sep-2013	42	2,133 43	2,116 890	16 54	231	Short-Term
PM	PHILIP MORRIS INTL INC CMN	07-Sep-2011	10-Oct-2012	52	4,767 29	3,578 290	1,189 00	399	Long-Term
SHPG	SHIRE LIMITED SPONSORED ADR CMN	08-Aug-2011	15-Oct-2012	26	2,243 39	2,345 950	-102 56	434	Long-Term
PM	PHILIP MORRIS INTL INC CMN	07-Sep-2011	15-Oct-2012	33	3,040 05	2,270 840	769 21	404	Long-Term
PM	PHILIP MORRIS INTL INC CMN	09-Sep-2011	17-Oct-2012	11	1,012 85	727 960	284 89	404	Long-Term
PM	PHILIP MORRIS INTL INC CMN	07-Sep-2011	17-Oct-2012	20	1,841 54	1,376 270	465 27	406	Long-Term
DISCA	DISCOVERY COMMUNICATIONS, INC CMN SERIES A	08-Aug-2011	23-Oct-2012	17	1,012 98	615 340	397 64	442	Long-Term
SLB	SCHLUMBERGER LTD CMN	25-Apr-2011	25-Oct-2012	60	4,239 62	5,370 000	-1,130 38	549	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
PX	PRAXAIR, INC CMN SERIES	11-Aug-2011	05-Nov-2012	22	2,395 91	2,098 440	297 47	452	Long-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	17-Aug-2011	07-Nov-2012	19	1,269 09	1,174 100	94 99	448	Long-Term
PX	PRAXAIR, INC CMN SERIES	12-Aug-2011	09-Nov-2012	23	2,476 38	2,271 260	205 12	455	Long-Term
SLB	SCHLUMBERGER LTD CMN	25-Apr-2011	05-Nov-2012	44	3,060 18	3,938 000	-877 82	560	Long-Term
PX	PRAXAIR, INC CMN SERIES	08-Aug-2011	05-Nov-2012	27	2,940 43	2,563 650	376 78	455	Long-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	18-Aug-2011	07-Nov-2012	44	2,938 93	2,404 100	534 83	447	Long-Term
PX	PRAXAIR, INC CMN SERIES	11-Aug-2011	09-Nov-2012	24	2,584 05	2,289 200	294 85	456	Long-Term
PM	PHILIP MORRIS INTL INC CMN	09-Sep-2011	07-Dec-2012	17	1,518 63	1,125 030	393 60	455	Long-Term
PM	PHILIP MORRIS INTL INC CMN	14-Sep-2011	11-Dec-2012	5	445 74	340 330	105 41	454	Long-Term
PM	PHILIP MORRIS INTL INC CMN	09-Sep-2011	11-Dec-2012	1	89 15	66 180	22 97	459	Long-Term
PM	PHILIP MORRIS INTL INC CMN	12-Sep-2011	11-Dec-2012	28	2,496 12	1,824 160	671 96	456	Long-Term
PM	PHILIP MORRIS INTL INC CMN	19-Sep-2011	19-Dec-2012	33	2,796 05	2,244 540	551 51	457	Long-Term
YUM	YUM BRANDS, INC CMN	19-Aug-2011	20-Dec-2012	8	529 74	396 650	133 09	489	Long-Term
PM	PHILIP MORRIS INTL INC CMN	14-Sep-2011	19-Dec-2012	46	3,897 53	3,131 030	766 50	462	Long-Term
YUM	YUM BRANDS, INC CMN	17-Aug-2011	20-Dec-2012	36	2,383 83	1,833 310	550 52	491	Long-Term
YUM	YUM BRANDS, INC CMN	10-Aug-2011	20-Dec-2012	43	2,847 35	2,108 290	739 06	498	Long-Term
YUM	YUM BRANDS, INC CMN	19-Aug-2011	21-Dec-2012	54	3,440 33	2,677 360	762 97	490	Long-Term
GE	GENERAL ELECTRIC CO CMN	25-Apr-2011	23-Jan-2013	129	2,815 77	2,589 340	226 43	639	Long-Term
CELG	CELGENE CORPORATION CMN	25-Apr-2011	10-Jan-2013	13	1,214 55	733 580	480 97	626	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
SHPG	SHIRE LIMITED SPONSORED ADR CMN	08-Aug-2011	11-Jan-2013	16	1,567 13	1,443 660	123 47	522	Long-Term
MON	MONSANTO COMPANY CMN	21-Nov-2011	11-Jan-2013	15	1,489 06	1,063 490	425 57	417	Long-Term
AGN	ALLERGAN INC CMN	25-Apr-2011	23-Jan-2013	33	3,449 24	2,581 820	867 42	639	Long-Term
GE	GENERAL ELECTRIC CO CMN	25-Apr-2011	25-Jan-2013	60	1,334 41	1,204 340	130 07	641	Long-Term
AGN	ALLERGAN INC CMN	25-Apr-2011	25-Jan-2013	14	1,493 60	1,095 320	398 28	641	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	25-Apr-2011	06-Feb-2013	69	3,392 46	2,556 450	836 01	653	Long-Term
AGN	ALLERGAN INC CMN	08-Aug-2011	07-Feb-2013	21	2,226 61	1,588 230	638 38	549	Long-Term
AGN	ALLERGAN INC CMN	25-Apr-2011	07-Feb-2013	6	636 17	469 420	166 75	654	Long-Term
SHPG	SHIRE LIMITED SPONSORED ADR CMN	08-Aug-2011	14-Feb-2013	23	2,158 82	2,075 260	83 56	556	Long-Term
SHPG	SHIRE LIMITED SPONSORED ADR CMN	08-Aug-2011	15-Feb-2013	54	5,164 22	4,872 360	291 86	557	Long-Term
SHPG	SHIRE LIMITED SPONSORED ADR CMN	08-Aug-2011	19-Feb-2013	2	191 07	180 460	10 61	561	Long-Term
SHPG	SHIRE LIMITED SPONSORED ADR CMN	23-Jan-2012	19-Feb-2013	17	1,624 06	1,685 820	-61 76	393	Long-Term
SHPG	SHIRE LIMITED SPONSORED ADR CMN	23-Jan-2012	20-Feb-2013	17	1,614 76	1,763 900	-149 14	394	Long-Term
MON	MONSANTO COMPANY CMN	21-Nov-2011	07-Mar-2013	23	2,372 81	1,630 690	742 12	472	Long-Term
AGN	ALLERGAN INC CMN	08-Aug-2011	07-Mar-2013	21	2,300 34	1,588 230	712 11	577	Long-Term
AGN	ALLERGAN INC CMN	10-Aug-2011	12-Mar-2013	5	549 55	360 910	188 64	580	Long-Term
AGN	ALLERGAN INC CMN	08-Aug-2011	12-Mar-2013	18	1,978 38	1,361 340	617 04	582	Long-Term
GE	GENERAL ELECTRIC CO CMN	25-Apr-2011	15-Mar-2013	51	1,194 56	1,023 690	170 87	690	Long-Term
ECL	ECOLAB INC CMN	08-Feb-2012	15-Mar-2013	28	2,228 75	1,727 350	501 40	401	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
MON	MONSANTO COMPANY CMN	21-Nov-2011	15-Mar-2013	18	1,877 64	1,276 190	601 45	480	Long-Term
CELG	CELGENE CORPORATION CMN	25-Apr-2011	15-Mar-2013	46	5,185 61	2,595 730	2,589 88	690	Long-Term
MON	MONSANTO COMPANY CMN	23-Nov-2011	15-Mar-2013	14	1,460 39	954 720	505 67	478	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	25-Apr-2011	18-Mar-2013	45	2,345 13	1,667 250	677 88	693	Long-Term
AAPL	APPLE, INC CMN	25-Apr-2011	05-Apr-2013	10	4,221 61	3,513 990	707 62	711	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	08-Aug-2011	08-Apr-2013	30	1,559 16	1,000 800	558 36	609	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	25-Apr-2011	08-Apr-2013	8	415 78	296 400	119 38	714	Long-Term
AAPL	APPLE, INC CMN	25-Apr-2011	09-Apr-2013	5	2,127 77	1,757 000	370 77	715	Long-Term
AAPL	APPLE, INC CMN	25-Apr-2011	17-Apr-2013	18	7,334 21	6,325 180	1,009 03	723	Long-Term
AAPL	APPLE, INC CMN	08-Aug-2011	22-Apr-2013	10	3,952 79	3,659 600	293 19	623	Long-Term
AAPL	APPLE, INC CMN	25-Apr-2011	22-Apr-2013	13	5,138 63	4,568 190	570 44	728	Long-Term
AAPL	APPLE, INC CMN	08-Aug-2011	24-Apr-2013	42	16,873 76	15,370 320	1,503 44	625	Long-Term
GE	GENERAL ELECTRIC CO CMN	25-Apr-2011	02-May-2013	148	3,286 64	2,970 720	315 92	738	Long-Term
GE	GENERAL ELECTRIC CO CMN	25-Apr-2011	03-May-2013	73	1,658 03	1,465 290	192 74	739	Long-Term
GE	GENERAL ELECTRIC CO CMN	08-Aug-2011	03-May-2013	25	567 82	400 490	167 33	634	Long-Term
GE	GENERAL ELECTRIC CO CMN	11-May-2011	03-May-2013	33	749 52	661 510	88 01	723	Long-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	20-Dec-2011	23-May-2013	30	1,931 54	2,024 790	-93 25	520	Long-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	22-Aug-2011	23-May-2013	14	901 38	791 430	109 95	640	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
SBMRY	SABMILLER PLC SPONSORED ADR	08-Aug-2011	10-May-2013	41	2,231.89	1,367.760	864.13	641	Long-Term
GE	GENERAL ELECTRIC CO CMN	08-Aug-2011	15-May-2013	129	2,982.07	2,066.500	915.57	646	Long-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	22-Aug-2011	15-May-2013	12	750.72	678.370	72.35	632	Long-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	18-Aug-2011	15-May-2013	2	125.12	109.280	15.84	636	Long-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	19-Aug-2011	15-May-2013	36	2,252.16	1,972.470	279.69	635	Long-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	08-May-2012	23-May-2013	3	193.15	176.040	17.11	380	Long-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	08-Feb-2012	23-May-2013	29	1,867.15	2,047.080	-179.93	470	Long-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	08-May-2012	24-May-2013	49	3,105.00	2,875.330	229.67	381	Long-Term
SLB	SCHLUMBERGER LTD CMN	08-Aug-2011	06-Jun-2013	50	3,622.45	3,913.810	-291.36	668	Long-Term
SLB	SCHLUMBERGER LTD CMN	25-Apr-2011	06-Jun-2013	40	2,897.96	3,580.000	-682.04	773	Long-Term
SLB	SCHLUMBERGER LTD CMN	08-Aug-2011	07-Jun-2013	73	5,403.12	5,714.160	-311.04	669	Long-Term
SLB	SCHLUMBERGER LTD CMN	08-Aug-2011	10-Jun-2013	41	3,012.58	3,209.330	-196.75	672	Long-Term
GE	GENERAL ELECTRIC CO CMN	08-Aug-2011	12-Jun-2013	98	2,300.78	1,569.900	730.88	674	Long-Term
AGN	ALLERGAN INC CMN	23-Jan-2012	24-Jun-2013	23	1,930.89	2,054.900	-124.01	518	Long-Term
AGN	ALLERGAN INC CMN	10-Aug-2011	24-Jun-2013	78	6,548.25	5,630.270	917.98	684	Long-Term
AGN	ALLERGAN INC CMN	03-Feb-2012	24-Jun-2013	34	2,854.36	2,927.410	-73.05	507	Long-Term
AGN	ALLERGAN INC CMN	28-Mar-2012	24-Jun-2013	23	1,930.89	2,165.870	-234.98	453	Long-Term
ECL	ECOLAB INC CMN	10-Feb-2012	19-Jul-2013	22	2,041.83	1,342.580	699.25	525	Long-Term
ECL	ECOLAB INC CMN	08-Feb-2012	19-Jul-2013	16	1,484.97	987.060	497.91	527	Long-Term
DG	DOLLAR GENERAL CORPORATION CMN	25-Apr-2011	25-Jul-2013	47	2,533.96	1,471.100	1,062.86	822	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
DG	DOLLAR GENERAL CORPORATION CMN	28-Apr-2011	26-Jul-2013	27	1,449 74	898 880	550 86	820	Long-Term
DG	DOLLAR GENERAL CORPORATION CMN	25-Apr-2011	26-Jul-2013	29	1,557 13	907 700	649 43	823	Long-Term
DG	DOLLAR GENERAL CORPORATION CMN	28-Apr-2011	29-Jul-2013	13	700 73	432 800	267 93	823	Long-Term
DG	DOLLAR GENERAL CORPORATION CMN	06-May-2011	29-Jul-2013	37	1,994 36	1,216 680	777 68	815	Long-Term
ECL	ECOLAB INC CMN	15-Feb-2012	31-Jul-2013	23	2,134 64	1,419 220	715 42	532	Long-Term
ECL	ECOLAB INC CMN	10-Feb-2012	31-Jul-2013	14	1,299 34	854 370	444 97	537	Long-Term
FDO	FAMILY DOLLAR STORES INC CMN	19-Jan-2012	19-Aug-2013	21	1,505 63	1,157 180	348 45	578	Long-Term
FDO	FAMILY DOLLAR STORES INC CMN	19-Jan-2012	20-Aug-2013	8	570 21	440 830	129 38	579	Long-Term
FDO	FAMILY DOLLAR STORES INC CMN	13-Jan-2012	13-Aug-2013	34	2,439 68	1,827 070	612 61	578	Long-Term
FDO	FAMILY DOLLAR STORES INC CMN	13-Jan-2012	19-Aug-2013	14	1,003 75	752 320	251 43	584	Long-Term
FDO	FAMILY DOLLAR STORES INC CMN	24-Jan-2012	20-Aug-2013	6	427 65	337 610	90 04	574	Long-Term
FDO	FAMILY DOLLAR STORES INC CMN	20-Jan-2012	20-Aug-2013	18	1,282 97	991 850	291 12	578	Long-Term
FDO	FAMILY DOLLAR STORES INC CMN	24-Jan-2012	21-Aug-2013	33	2,355 55	1,856 880	498 67	575	Long-Term
FDO	FAMILY DOLLAR STORES INC CMN	24-Jan-2012	22-Aug-2013	7	508 21	393 880	114 33	576	Long-Term
FDO	FAMILY DOLLAR STORES INC CMN	06-Aug-2012	22-Aug-2013	26	1,887 65	1,703 460	184 19	381	Long-Term
FDO	FAMILY DOLLAR STORES INC CMN	06-Aug-2012	23-Aug-2013	6	435 36	393 110	42 25	382	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
CELG	CELGENE CORPORATION CMN	25-Apr-2011	05-Sep-2013	26	3,781 01	1,467 150	2,313 86	864	Long-Term
CELG	CELGENE CORPORATION CMN	25-Apr-2011	06-Sep-2013	23	3,357 94	1,297 870	2,060 07	865	Long-Term
GE	GENERAL ELECTRIC CO CMN	08-Aug-2011	10-Sep-2013	140	3,340 07	2,242 720	1,097 35	764	Long-Term
SBUX	STARBUCKS CORP CMN	06-Aug-2012	10-Sep-2013	38	2,792 33	1,664 790	1,127 54	400	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	08-Aug-2011	12-Sep-2013	64	3,146 75	2,135 040	1,011 71	766	Long-Term
GE	GENERAL ELECTRIC CO CMN	02-Feb-2012	12-Sep-2013	77	1,844 25	1,446 770	397 48	588	Long-Term
GE	GENERAL ELECTRIC CO CMN	08-Aug-2011	12-Sep-2013	167	3,999 88	2,675 240	1,324 64	766	Long-Term
GE	GENERAL ELECTRIC CO CMN	26-Jan-2012	12-Sep-2013	103	2,466 99	1,973 040	493 95	595	Long-Term
GE	GENERAL ELECTRIC CO CMN	02-Feb-2012	20-Sep-2013	33	796 24	620 050	176 19	596	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	10-Aug-2011	23-Sep-2013	53	2,752 53	1,765 340	987 19	775	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	08-Aug-2011	23-Sep-2013	3	155 80	100 080	55 72	777	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	15-Dec-2011	25-Sep-2013	38	1,958 10	1,298 990	659 11	650	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	10-Aug-2011	25-Sep-2013	8	412 23	266 470	145 76	777	Long-Term
SBUX	STARBUCKS CORP CMN	14-Aug-2012	25-Sep-2013	32	2,454 37	1,487 340	967 03	407	Long-Term
SBUX	STARBUCKS CORP CMN	06-Aug-2012	25-Sep-2013	20	1,533 98	876 200	657 78	415	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	28-Aug-2012	26-Sep-2013	42	2,172 24	1,867 710	304 53	394	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	15-Dec-2011	26-Sep-2013	10	517 20	341 840	175 36	651	Long-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
TOTAL					435,150.78		63,063.20		