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Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2012

Open to Public Inspection

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

Department of the Treasury Internal Revenue Service

For calendar year 2012, or tax year beginning 10-01-2012, and ending 09-30-2013

Name of foundation: PEALE FOUNDATION INC CO ELIZABETH ALLEN. A Employer identification number: 14-1746478. B Telephone number: (845) 855-3559. G Check all that apply: Initial return, Final return, Address change. H Check type of organization: Section 501(c)(3) exempt private foundation. I Fair market value of all assets at end of year: \$ 6,867,891. J Accounting method: Accrual.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash—non-interest-bearing	144,444	95,546	95,546	
	2 Savings and temporary cash investments	94,324	129,999	129,999	
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U S and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)	5,169,275	5,606,760	5,606,392	
	c Investments—corporate bonds (attach schedule)	359,500	326,966	327,355	
	11 Investments—land, buildings, and equipment basis ▶ _____ 85,000 Less accumulated depreciation (attach schedule) ▶ _____	85,000	85,000	300,000	
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)				
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)	283,292	256,353	408,599		
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	6,135,835	6,500,624	6,867,891		
Liabilities	17 Accounts payable and accrued expenses	15,400	10,600		
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ▶ _____)				
23 Total liabilities (add lines 17 through 22)	15,400	10,600			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted	6,120,435	6,490,024		
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg, and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds					
30 Total net assets or fund balances (see page 17 of the instructions)	6,120,435	6,490,024			
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	6,135,835	6,500,624			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,120,435
2	Enter amount from Part I, line 27a	2	15,531
3	Other increases not included in line 2 (itemize) ▶ _____	3	361,953
4	Add lines 1, 2, and 3	4	6,497,919
5	Decreases not included in line 2 (itemize) ▶ _____	5	7,895
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	6,490,024

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	See Additional Data Table			
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a	See Additional Data Table			
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	285,854
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		}	3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	411,794	6,313,721	0.06522
2010	583,955	6,598,352	0.08850
2009	359,675	6,090,390	0.05906
2008	378,710	4,822,487	0.07853
2007	480,865	6,965,935	0.06903
2	Total of line 1, column (d).		2 0.36034
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0.07207
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.		4 6,635,770
5	Multiply line 4 by line 3.		5 478,227
6	Enter 1% of net investment income (1% of Part I, line 27b).		6 4,380
7	Add lines 5 and 6.		7 482,607
8	Enter qualifying distributions from Part XII, line 4.		8 422,293

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	8,760
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	8,760
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	8,760
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	3,760
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	3,760
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	19
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	5,019
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY, <input type="checkbox"/> DE _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		No

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 Yes
Website address N/A
14 The books are in care of PEALE FOUNDATION INC Telephone no (914) 855-5000
Located at 665 OLD QUAKER HILL ROAD PAWLING NY PAWLING NY ZIP+4 12564
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -Check here 15
and enter the amount of tax-exempt interest received or accrued during the year
16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. 1b No
Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?. 1c No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. Yes No
If "Yes," list the years 20___, 20___, 20___, 20___
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions). 2b No
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20___, 20___, 20___, 20___
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.). 3b No
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? 4b No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d).</p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870.</p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	5b	No
6b	6b	No
7b	7b	No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELIZABETH PEALE ALLEN 665 OLD QUAKER HILL ROAD PAWLING, NY 12564	SEC/TREA/DIRECT 5 00	15,000		
JOHN S PEALE 211A NORTHWEST 2ND ST CHARLOTTESVILLE, VA 22902	V PRES/DIRECTOR 5 00	15,000		
MARGARET P EVERETT 36 COZIER HILL ROAD SHERMAN, CT 06784	PRES/DIRECTOR 5 00	15,000		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 _____	
2 _____	
3 _____	
4 _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____	
2 _____	
3 All other program-related investments. See page 24 of the instructions _____	

Total. Add lines 1 through 3. ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,796,580
b	Average of monthly cash balances.	1b	231,608
c	Fair market value of all other assets (see instructions).	1c	708,634
d	Total (add lines 1a, b, and c).	1d	6,736,822
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	6,736,822
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	101,052
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,635,770
6	Minimum investment return. Enter 5% of line 5.	6	331,789

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	331,789
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	8,760
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	8,760
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	323,029
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	323,029
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	323,029

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	422,293
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	422,293
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	422,293

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				323,029
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.				
b Total for prior years 20__ , 20__ , 20__				
3 Excess distributions carryover, if any, to 2012				
a From 2007.	140,650			
b From 2008.	139,230			
c From 2009.	359,675			
d From 2010.	262,123			
e From 2011.	100,695			
f Total of lines 3a through e.	1,002,373			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>422,293</u>				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				323,029
e Remaining amount distributed out of corpus	99,264			
5 Excess distributions carryover applied to 2012 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,101,637			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions).	140,650			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	960,987			
10 Analysis of line 9				
a Excess from 2008.	139,230			
b Excess from 2009.	359,675			
c Excess from 2010.	262,123			
d Excess from 2011.	100,695			
e Excess from 2012.	99,264			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total				3a 368,680
b <i>Approved for future payment</i>				
Total				3b

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... 1a(1) Cash... 1a(2) Other assets... 1b(1) Sales of assets... 1b(2) Purchases of assets... 1b(3) Rental of facilities... 1b(4) Reimbursement arrangements... 1b(5) Loans or loan guarantees... 1b(6) Performance of services... 1c Sharing of facilities...

Table with 4 columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code... [] Yes [x] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Paid Preparer Use Only Print/Type preparer's name: Joseph R Sperduto, Preparer's Signature: Joseph R Sperduto, Date: 2014-03-07, Title: *****

May the IRS discuss this return with the preparer shown below (see instr)? [x] Yes [] No

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FIDELITY 1853 - L/T (SEE ATTACHED)	P	2012-10-01	2013-09-30
J P MORGAN - 21218 L/T (SEE ATTACHED)	P	2010-10-01	2013-09-30
J P MORGAN - 21218 S/T - SEE ATTACHED	P	2012-10-01	2012-12-31
J P MORGAN - 03743 L/T (SEE ATTACHED)	P	2010-10-01	2013-09-30
J P MORGAN - 03743 S/T (SEE ATTACHED)	P	2012-10-01	2012-12-31
J P MORGAN - 02513 L/T (SEE ATTACHED)	P	2010-10-01	2013-09-30
J P MORGAN - 02513 S/T (SEE ATTACHED)	P	2012-10-01	2012-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
476,937		252,986	223,951
282,641		240,178	42,463
166,807		152,077	14,730
19,123		17,293	1,830
27,486		26,438	1,048
94,009		94,999	-990
24,727		21,905	2,822

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			223,951
			42,463
			14,730
			1,830
			1,048
			-990
			2,822

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN BIBLE SOCIETY 1865 BROADWAY NEW YORK, NY 10023	NONE	CHAR	EDUCATIONAL	40,000
FABRETTO Children's Foundation 3124 N 10th Street Arlington, VA 22201	NONE	CHAR	EDUCATIONAL	1,000
Stepping Stones 62 OAK ROAD KATONAH, NY 10536	NONE	CHAR	HEALTH	1,000
WASHINGTON LATIN PUBLIC CHARTER SCH 5200 2nd Street WASHINGTON, DC 20011	NONE	CHAR	EDUCATIONAL	2,000
CROSS CULTURAL THRESHOLDS 43 WINDING RIDGE ROAD WHITE PLAINS, NY 10603	NONE	CHAR	EDUCATIONAL	10,000
GREENFIELD HILL CONGREGATIONAL CHUR 1045 OLD ACADEMY ROAD FAIRFIELD, CT 06824	NONE	CHAR	RELIGIOUS	1,000
OLD NORTH CHURCH of MARBLEHEAD 8 Stacey Street MARBLEHEAD, MA 01945	NONE	CHAR	RELIGIOUS	2,000
ANDREW K DYWER FOUNDATION 756 GUARDHILL ROAD BEDFORD, NY 10506	NONE	CHAR	EDUCATIONAL	500
GUIDEPOSTS Foundation 39 Old Ridgebury Rd Danbury, CT 06810	NONE	CHAR	RELIGIOUS	77,180
University of Virginia PO BOX 400807 CHARLOTTESVILLE, VA 22904	NONE	CHAR	EDUCATIONAL	10,000
AMERICARES 88 HAMILTON AVENUE STAMFORD CT, CT 06902	NONE	CHAR	RELIGIOUS	25,000
CHRIST CHURCH ON QUAKER HILL 17 CHURCH ROAD PAWLING, NY 12564	NONE	CHAR	RELIGIOUS	6,200
UPPER VALLEY HAVEN 713 HARTFORD AVENUE WHITE RIVER JUNCTION, VT 05001	NONE	CHAR	EDUCATIONAL	1,000
WAINWRIGHT HOUSE 260 STUYVESANT AVENUE RYE, NY 10580	NONE	CHAR	EDUC & RELIGIOUS	300
TRINITY PAWLING SCHOOL 700 ROUTE 22 PAWLING, NY 12564	NONE	CHAR	EDUCATIONAL	10,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE TUTWILER CLINIC 205 ALMA STREET TUTWILER MS,MS 38963	NONE	CHAR	HEALTH	500
STONY BROOK SCHOOL 1 CHAPMAN PARKWAY STONYBROOK,NY 11790	NONE	CHAR	EDUCATIONAL	12,000
LAKESIDE PRESBYTERIAN CHURCH 2690 DIXIE HIGHWAY LAKESIDE PARK,KY 41014	NONE	CHAR	RELIGIOUS	2,000
HENRY MEMORIAL PRESBYTERIAN CHURCH 511 Bellevue Avenue DUBLIN,GA 31021	NONE	CHAR	RELIGIOUS	2,000
LUMONOS PO Box 307 Marlboro,NH 03455	NONE	CHAR	RELIGIOUS	20,000
MARBLE COLLEGIATE CHURCH ONE WEST 29TH STREET NEW YORK,NY 10001	NONE	CHAR	RELIGIOUS	25,000
PITTSBURGH EXPERIMENT 2607 Nicholason Rd PITTSBURGH,PA 15143	NONE	CHAR	RELIGIOUS	35,000
BLANTON PEALE INSTITUTE 3 WEST 29TH STREET NEW YORK,NY 10001	NONE	CHAR	RELIGIOUS/EDUCA	85,000
Total				368,680

TY 2012 Accounting Fees Schedule

Name: PEALE FOUNDATION INC
 CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 12000229

Software Version: 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	12,050	9,038	0	3,012

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Amortization Schedule

Name: PEALE FOUNDATION INC
CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 12000229

Software Version: 2012v2.0

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
COPYRIGHT	2010-09-01	403,000	125,379	15	26,867			152,246

TY 2012 Investments - Land Schedule

Name: PEALE FOUNDATION INC
 CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 12000229

Software Version: 2012v2.0

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Land	85,000		85,000	300,000

TY 2012 Legal Fees Schedule

Name: PEALE FOUNDATION INC
 CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 12000229

Software Version: 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL	5,427	5,427	0	0

TY 2012 Other Assets Schedule

Name: PEALE FOUNDATION INC
CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 12000229

Software Version: 2012v2.0

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Net Intangible Assets	277,621	250,754	403,000
ACCRUED INTEREST	5,669	5,599	5,599

TY 2012 Other Decreases Schedule

Name: PEALE FOUNDATION INC
 CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 12000229

Software Version: 2012v2.0

Description	Amount
Penalties	390
Federal excise taxes	7,505

TY 2012 Other Expenses Schedule

Name: PEALE FOUNDATION INC
CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 12000229

Software Version: 2012v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Royalty expense -	37,862	37,682		
MEETING EXPENSE	22,304	5,576		16,728
INVESTMENT MGMT FEES	47,658	47,658		
BANK CHARGES	165	42		123
Amortization	26,867	26,867		

TY 2012 Other Income Schedule

Name: PEALE FOUNDATION INC
 CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 12000229

Software Version: 2012v2.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTY INCOME	150,308	150,308	

TY 2012 Taxes Schedule

Name: PEALE FOUNDATION INC
 CO ELIZABETH ALLEN

EIN: 14-1746478

Software ID: 12000229

Software Version: 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX ON DIVIDENDS	1,057	1,057		

The Peale Foundation, Inc.
 Fidelity Investments - Account # 633-601853
 09/30/13

Gain/Loss Statement

Date	Name of Securities	Quantity	Sales Price	Gain/(Loss)		Cost
Bonds:						
	AT&T BROADBAND CORP NT 8.37500%					
3/15/2013	03/15/13	19,000	<u>19,000.00</u>	<u>(5,438.75)</u>	LT	<u>24,438.75</u>
Equities:						
	CANADIAN NATIONAL RAILWAYS CO COMP					
8/2/2013	NPV ISIN #CA1363751027 SEDOL	300	30,237.00	14,978.41	LT	15,258.59
5/29/2013	CHURCH & DWIGHT	500	30,869.01	20,176.31	LT	10,692.70
8/2/2013	CHURCH & DWIGHT	500	32,001.54	21,308.84	LT	10,692.70
8/14/2013	CHURCH & DWIGHT	400	24,463.62	15,909.46	LT	8,554.16
8/14/2013	DU PONT E I DE	500	29,872.98	15,882.23	LT	13,990.75
4/24/2013	EXXON MOBIL CORP	500	43,461.07	25,157.18	LT	18,303.89
5/29/2013	EXXON MOBIL CORP	200	18,331.75	11,010.19	LT	7,321.56
8/2/2013	EXXON MOBIL CORP	400	37,540.59	22,897.48	LT	14,643.11
8/14/2013	EXXON MOBIL CORP	300	27,267.60	16,285.27	LT	10,982.33
11/30/2012	INTERNATIONAL BUSINESS MACHINES	100	19,242.61	7,448.62	LT	11,793.99
5/29/2013	INTERNATIONAL BUSINESS MACHINES	100	20,722.68	8,928.69	LT	11,793.99
5/29/2013	MCDONALDS CORP	300	30,115.48	13,094.98	LT	17,020.50
8/14/2013	MCDONALDS CORP	200	19,520.22	8,173.22	LT	11,347.00
5/29/2013	ORACLE CORPORATION	500	17,098.20	9,684.20	LT	7,414.00
10/15/2012	UNITED TECHNOLOGIES CORP	500	38,004.49	8,636.15	LT	29,368.34
11/30/2012	UNITED TECHNOLOGIES CORP	500	39,187.67	9,819.33	LT	29,368.34
	Total Equities		<u>457,936.51</u>	<u>229,390.56</u>		<u>228,545.95</u>
	Total Fidelity Investments Account		<u>476,936.51</u>	<u>223,951.81</u>		<u>252,984.70</u>

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Peak Foundation, Inc

Account: Peak Foundation Int -
Home -
Business -

LONG TRANSACTIONS

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gains	Long Term Gains
302.00	***GAZPRM O A O SPONSORED ADR		7/8/11	14.647	4,423.36	1/10/13	9.713	2,933.22		(1,450.14)
42.00	***GAZPRM O A O SPONSORED ADR		10/7/11	9.380	394.38	1/10/13	9.713	407.93		13.55
9.00	***GAZPRM O A O SPONSORED ADR		9/29/12	9.220	82.98	1/10/13	9.713	87.42		4.44
Sub Total of Security					4,900.72		3,428.57			11,476.59
69.00	***JSC IMAK NORLISK NICKEL SPONSORED ADR		7/8/11	26.800	1,849.20	1/10/13	19.699	1,369.26		(489.94)
27.00	***JSC IMAK NORLISK NICKEL SPONSORED ADR		5/29/12	15.300	413.10	1/10/13	19.700	531.89		118.79
Sub Total of Security					2,262.30		1,901.15			(489.94)
69.00	***MOBILE TELESYSTEMS QJSC SPONSORED ADR		7/8/11	18.620	1,284.78	1/10/13	18.793	1,295.69		(11.91)
145.00	***NORSK HYDRO A/S SPONSORED ADR		7/8/11	7.391	1,071.65	1/10/13	5.152	747.08		(324.57)
41.00	***NORSK HYDRO A/S SPONSORED ADR		10/7/11	4.682	191.95	1/10/13	5.152	211.24		19.29
Sub Total of Security					2,282.30		1,901.15			(489.94)

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Unless your investment Professional has updated all acquisition costs for securities not initially purchased at J.P. Morgan Securities, has updated lots purchased prior to 1995, and reviewed the effects of corporate actions and journal entries this report may contain inaccuracies. Please use your monthly statement as the Official record of your activity. Contact your investment Professional for additional information.

Due to the reporting requirements of some financial transactions the information on this report may not match the 1099

2513
A/C

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order, Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account Pale Foundation Int
Home
Business

Quantity Description Maturity Date Purchase Date Purchase Unit Price Cost Sale Date Sale Unit Price Proceeds Short Term Gain/Loss Long Term Gain/Loss

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
14 00	SPONSORED ADR ...NORSK HYDRO A/S	Symbol NHYDY	5/29/12	4 380	61 32	1/10/13	5 152	72 13	10 81	
54 00	Sub Total of Security ...OIL CO LUKOIL-SPONSORED ADR	Symbol LUKOY	7/8/11	65 297	1,524 92	1/10/13	65 958	3 563 91	10 81	(305 28)
2 00	LUKOIL-SPONSORED ADR ...OIL CO	Symbol LUKOY	10/7/11	50 510	101 02	1/10/13	66 009	132 00		30 98
52 00	Sub Total of Security ...STATOIL ASA SPONSORED ADR	Symbol STO	7/8/11	24 940	3,627 04	1/10/13	25 619	2,358 92	0 00	68 87
133 00	Sub Total of Security ...SURGUTNEFTGAZ JSC SPONSORED ADR	Symbol SGTZY	7/8/11	10 300	1 359 90	1/10/13	9 148	1 216 72		(153 18)
2 00	SURGUTNEFTGAZ JSC SPONSORED ADR	Symbol SGTZY	10/7/11	7 950	15 90	1/10/13	9 150	18 30		2 40
28 00	Sub Total of Security ...TELEOR ASA SPONSORED ADR	Symbol TELNY	7/8/11	47 530	1 393 84	1/10/13	62 906	1 761 36	0 00	(150 78)
430 52										

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of 2513

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account Peale Foundation Int'l
Home
Business.

Quantity Description Maturity Date Purchase Date Unit Price Purchase Cost Sale Date Unit Price Proceeds Short Term Gain/Loss Long Term Gain/Loss

Quantity	Description	Maturity Date	Purchase Date	Unit Price	Purchase Cost	Sale Date	Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
33 00	ISHARES CHINA LARGE CAP ETF		1/14/10	42 140	1,390 61	1/10/13	41 473	1 368 60		(22,01)
2 00	ISHARES CHINA LARGE CAP ETF		10/7/11	31 690	63 38	1/10/13	41 475	82 95		19 97
140 00	ISHARES CHINA LARGE CAP ETF		5/28/12	33 778	4 728 93	1/10/13	41 473	6 806 18		1 077 25
114 00	ISHARES CHINA LARGE CAP ETF		12/10/12	38 896	4,434 18	5/14/13	37 861	4,516 18		(118 00)
10 00	ISHARES CHINA LARGE CAP ETF		12/10/12	38 896	388 96	1/10/13	41 472	414 72		25 76
Sub Total of Security					11 006 06			11,588 63		985 61
235 00	ISHARES INC MSCI AUSTRALIA ETF		10/7/11	21 818	5 127 29	12/17/12	25 539	6 001 76		874 48
34 00	ISHARES INC MSCI AUSTRALIA ETF		1/10/13	25 626	871 27	9/5/13	24 351	827 94		(43 30)
Sub Total of Security					5 998 55			6 829 70		(43 30)
24 00	ISHARES MCSI ISRAEL CAPPED ETF		1/10/13	42 879	1 029 18	5/14/13	44 494	1 067 85		38 75
Sub Total of Security					17 024 69			18 486 13		1 009 14

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Due to the reporting requirements of some financial transactions, the information on this report may not match the 1099.

A/C 2513

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account: Peale Foundation Int'l
Home
Business:

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
Common Stock										
67.00	ISHARES MSCI AUSTRIA CAPPED ETF		1/19/11	22.344	1,497.05	1/10/13	18.476	1,237.87		(259.18)
		Symbol: EWO								
11.00	ISHARES MSCI AUSTRIA CAPPED ETF		2/16/11	23.046	253.51	1/10/13	18.475	203.23		(50.28)
		Symbol: EWO								
Sub Total of Security					1,750.56			1,441.10	0.00	(309.46)
36.00	ISHARES MSCI BRAZIL CAPPED ETF		4/19/11	75.967	2,734.82	1/10/13	56.832	2,045.94		(688.88)
		Symbol: EWZ								
45.00	ISHARES MSCI BRAZIL CAPPED ETF		7/8/11	70.937	3,192.18	1/10/13	56.832	2,557.42		(634.76)
		Symbol: EWZ								
46.00	ISHARES MSCI BRAZIL CAPPED ETF		5/29/12	52.277	2,404.75	5/14/13	54.621	2,512.57	107.82	
		Symbol: EWZ								
53.00	ISHARES MSCI BRAZIL CAPPED ETF		5/29/12	52.277	2,770.68	1/10/13	56.832	3,012.08	241.40	
		Symbol: EWZ								
Sub Total of Security					11,102.43			10,128.01	349.22	(1,323.64)
27.00	ISHARES MSCI CANADA ETF		10/13/09	25.157	706.25	1/10/13	29.002	783.06		76.81
		Symbol: EWC								
48.00	ISHARES MSCI CANADA ETF		2/16/11	33.018	1,584.84	1/10/13	29.002	1,392.11		(192.73)
		Symbol: EWC								
57.00	ISHARES MSCI CANADA ETF		4/19/11	33.158	1,890.01	1/10/13	29.002	1,653.13		(236.88)
		Symbol: EWC								

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Due to the reporting requirements of some financial transactions, the information on this report may not match the 1099

AK 2513

Realized Gain/Loss Report

(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account : Peale Foundation Intl
Home
Business.

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
52.00	ISHARES MSCI CANADA ETF		10/7/11	26.320	1,368.63	5/14/13	28.244	1,458.67		100.04
		Symbol EWC								
56.00	ISHARES MSCI CANADA ETF		10/7/11	26.320	1,473.92	1/10/13	29.002	1,624.12		150.20
		Symbol EWC								
Sub Total of Security					7,023.65			6,921.09	0.00	(102.56)
1.00	ISHARES MSCI FRANCE ETF		2/16/11	26.600	26.60	5/14/13	25.010	25.01		(1.59)
		Symbol EWQ								
84.00	ISHARES MSCI FRANCE ETF		2/16/11	26.600	2,234.40	1/10/13	23.651	1,986.71		(247.69)
		Symbol EWQ								
Sub Total of Security					2,261.00			2,011.72	0.00	(249.28)
85.00	ISHARES MSCI GERMANY ETF		1/19/11	24.829	2,110.44	1/10/13	24.890	2,115.69		5.25
		Symbol EWG								
90.00	ISHARES MSCI HONG KONG ETF		7/8/11	18.520	1,666.77	1/10/13	20.023	1,802.03		135.26
		Symbol EWH								
480.00	ISHARES MSCI JAPAN ETF		1/19/11	11.199	5,375.52	1/10/13	9.840	4,723.09		(852.43)
		Symbol EWJ								
338.00	ISHARES MSCI JAPAN ETF		4/19/11	10.020	3,386.66	1/10/13	9.840	3,325.85		(60.81)
		Symbol EWJ								

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A/c 2513

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account : Peale Foundation Intl
Home :
Business :

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
89.00	ISHARES MSCI JAPAN ETF		10/7/11	9.450	841.04	1/10/13	9.840	875.74		34.70
		Symbol: EWJ								
Sub Total of Security					9,603.22			8,924.68	0.00	(678.54)
27.00	ISHARES MSCI NETHERLANDS ETF		2/16/11	22.283	601.65	5/14/13	21.764	587.63		(14.02)
		Symbol: EWN								
148.00	ISHARES MSCI NETHERLANDS ETF		2/16/11	22.283	3,297.93	1/10/13	20.946	3,099.94		(197.99)
		Symbol: EWN								
14.00	ISHARES MSCI NETHERLANDS ETF		4/19/11	22.065	317.31	5/14/13	21.764	304.70		(12.61)
		Symbol: EWN								
1.00	ISHARES MSCI NETHERLANDS ETF		5/29/12	16.690	16.69	5/14/13	21.760	21.76	5.07	
		Symbol: EWN								
Sub Total of Security					4,233.58			4,014.03	5.07	(224.62)
10.00	ISHARES MSCI POLAND CAPPED ETF		1/19/11	34.679	346.79	1/10/13	29.239	292.39		(54.40)
		Symbol: EPOL								
74.00	ISHARES MSCI POLAND CAPPED ETF		2/16/11	33.951	2,514.62	1/10/13	29.239	2,163.71		(350.91)
		Symbol: EPOL								
10.00	ISHARES MSCI POLAND CAPPED ETF		7/8/11	36.452	364.52	9/6/13	25.890	258.90		(105.62)
		Symbol: EPOL								
26.00	ISHARES MSCI POLAND CAPPED ETF		7/8/11	36.452	947.74	1/10/13	29.239	760.22		(187.52)
		Symbol: EPOL								

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A/C 2513

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account Peale Foundation Intl
Home :
Business.

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
52.00	ISHARES MSCI POLAND CAPPED ETF	Symbol: EPOL	10/7/11	24.718	1,285.32	9/6/13	25.889	1,346.25		60.93
22.00	ISHARES MSCI POLAND CAPPED ETF	Symbol: EPOL	5/29/12	21.050	463.10	9/6/13	25.890	569.57		106.47
16.00	ISHARES MSCI POLAND CAPPED ETF	Symbol: EPOL	5/14/13	28.316	421.06	9/6/13	25.889	414.23	(6.83)	
Sub Total of Security					6,343.15			5,805.27	(6.83)	(531.05)
33.00	ISHARES MSCI SOUTH KOREA CAPPED ETF	Symbol: EWY	1/19/11	62.835	2,073.58	5/14/13	57.783	1,906.85		(166.71)
8.00	ISHARES MSCI SOUTH KOREA CAPPED ETF	Symbol: EWY	1/19/11	62.835	502.68	1/10/13	63.328	506.62		3.94
Sub Total of Security					2,576.24			2,413.47	0.00	(162.77)
21.00	ISHARES MSCI SPAIN CAPPED ETF	Symbol: EWP	2/16/11	42.217	886.56	12/10/12	28.424	596.91		(289.64)
166.00	ISHARES MSCI SPAIN CAPPED ETF	Symbol: EWP	7/8/11	39.787	6,604.63	12/10/12	28.424	4,718.43		(1,886.20)
Sub Total of Security					7,491.18			5,315.34	0.00	(2,175.84)
31.00	ISHARES MSCI SWITZERLAND CAPPED ETF	Symbol: EWL	1/19/11	24.599	762.56	1/10/13	27.812	862.10		99.62

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ALC - 2513

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order, Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account : Peafe Foundation Intl
Home :
Business:

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
53.00	ISHARES MSCI THAILAND CAPPED ETF	Symbol THD	10/13/09	43.930	2,328.29	1/10/13	82.924	4,394.95		2,066.66
4.00	ISHARES MSCI THAILAND CAPPED ETF	Symbol THD	1/14/10	44.430	177.72	1/10/13	82.923	331.69		153.97
1.00	ISHARES MSCI THAILAND CAPPED ETF	Symbol THD	1/14/10	44.430	44.43	5/14/13	55.230	95.23		50.80
Sub Total of Security					2,550.44			4,821.87	0.00	2,271.43
50.00	ISHARES MSCI TURKEY ETF	Symbol TUR	5/29/12	46.774	2,338.72	1/10/13	69.109	3,455.47	1,116.75	
1.00	ISHARES MSCI TURKEY ETF	Symbol TUR	5/29/12	46.770	46.77	5/14/13	76.610	76.61	29.84	
Sub Total of Security					2,385.49			3,532.08	1,146.59	0.00
945.00	ISHARES MSCI UNITED KINGDOM ETF	Symbol EWU	10/13/09	15.406	14,560.75	1/10/13	18.280	17,274.30		2,713.55
139.00	ISHARES MSCI UNITED KINGDOM ETF	Symbol EWU	10/13/09	15.408	2,141.74	5/14/13	19.142	2,650.69		518.95
Sub Total of Security					16,702.49			19,934.99	0.00	3,232.50
Sub Total of Common Stock					115,007.78			116,625.80	2,608.52	(960.50)

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AC 25/13

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account : Peate Foundation Intl
Home :
Business:

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Mutual Fund</u>										
87.67	**OAKMARK INTERNATIONAL FUND		1/10/13	21.640	1,897.20	5/14/13	24.070	2,110.24	213.04	
										Symbol OAKIX
Sub Total of LONG TRANSACTIONS					118,904.98			118,736.04	2,821.56	(990.50)
Grand Total					<i>TOTAL</i> 118,904.98			118,736.04	2,821.56	(990.50)
<i>SHORT TERM</i>					21,905 ⁷¹			34,727 ²⁷	282 ⁵⁶	
<i>LONG TERM</i>					94,999 ²⁷			94,008 ⁷⁷		(990 ⁵⁰)

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AP/c 2513

PEALE Foundation Inc

Realized Gain/Loss Report

(Excluding Zero Gain/Loss and Sort Order Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account : Peale Foundation
Home :
Business

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
260.00	***ASTRAZENECA PLC SPONSORED ADR	Symbol: AZN	4/22/13	51.392	13,361.97	7/15/13	49.091	12,763.77	(598.20)	
500.00	***CENOVUS ENERGY INC	Symbol: CVE	1/28/11	33.049	16,524.55	6/13/13	29.149	14,574.74		(1,949.81)
133.00	***CENOVUS ENERGY INC	Symbol: CVE	1/28/11	33.049	4,395.53	6/13/13	29.139	3,875.55		(519.98)
200.00	***CENOVUS ENERGY INC	Symbol: CVE	1/28/11	33.049	6,609.82	6/13/13	29.129	5,825.89		(783.93)
259.00	***CENOVUS ENERGY INC	Symbol: CVE	1/28/11	33.049	8,890.21	6/13/13	29.149	7,841.21		(1,049.00)
100.00	***CENOVUS ENERGY INC	Symbol: CVE	1/28/11	33.049	3,304.91	6/13/13	29.139	2,913.94		(390.97)
400.00	***CENOVUS ENERGY INC	Symbol: CVE	1/28/11	33.049	13,219.64	6/13/13	29.149	11,659.79		(1,559.85)
467.00	***CENOVUS ENERGY INC	Symbol: CVE	1/28/11	33.049	15,433.93	6/13/13	29.139	13,608.14		(1,825.79)
100.00	***CENOVUS ENERGY INC	Symbol: CVE	1/28/11	33.049	3,304.91	6/13/13	29.139	2,913.94		(390.97)
Sub Total of Security					71,683.50			63,213.20	0.00	(8,470.30)

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ALC 21218

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account : Peale Foundation
Home :
Business

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
3 582 00	***GOLD FIELDS LTD NEW SPONSORED ADR		12/27/12	12 219	43 768 82	2/19/13	10 852	38 873 49	(4 895 33)	
		Symbol: GFI								
600 00	***SOUFUN HLDGS LTD ADR		5/10/13	24 000	14 400 00	9/12/13	48 999	29 399 48	14 999 48	
		Symbol: SFUN								
1 100 00	CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME FUND		5/20/10	11 292	12 421 82	9/16/13	12 580	13,837 75		1,416.13
		Symbol: CHI								
100 00	CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME FUND		5/20/10	11 292	1 129 24	9/12/13	12 470	1,246 97		117 73
		Symbol: CHI								
100 00	CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME FUND		5/20/10	11 292	1 129 22	9/12/13	12 470	1,246 98		117 76
		Symbol: CHI								
400 00	CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME FUND		5/20/10	11 292	4 516.95	9/12/13	12 450	4,979 91		462 96
		Symbol: CHI								
100 00	CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME FUND		5/20/10	11 292	1 129 24	9/12/13	12 460	1,245 97		116 73
		Symbol: CHI								
100 00	CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME FUND		5/20/10	11 292	1 129 24	9/12/13	12 460	1,245 97		116 73
		Symbol: CHI								

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AC 2/2/18

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account Peale Foundation /
Home *
Business *

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
100.00	CALAMOS CONVERTIBLE OPPORTUNITIES AND INCOME FUND	Symbol CHI	5/20/10	11.292	1,129.24	9/12/13	12.470	1,246.97		117.73
Sub Total of Security					22,584.75			25,050.52	0.00	2,465.77
50.00	FREEPORT MCMORAN COPPER & GOLD INC	Symbol FCX	2/24/11	51.860	2,593.00	5/10/13	32.129	1,606.46		(986.54)
50.00	FREEPORT MCMORAN COPPER & GOLD INC	Symbol FCX	2/24/11	51.860	2,593.00	5/10/13	32.109	1,605.46		(987.54)
50.00	FREEPORT MCMORAN COPPER & GOLD INC	Symbol FCX	2/24/11	51.890	2,594.50	5/10/13	32.109	1,605.46		(989.04)
50.00	FREEPORT MCMORAN COPPER & GOLD INC	Symbol FCX	2/24/11	51.870	2,593.50	5/10/13	32.109	1,605.45		(988.04)
50.00	FREEPORT MCMORAN COPPER & GOLD INC	Symbol FCX	2/24/11	51.890	2,594.50	5/10/13	32.109	1,605.46		(989.04)
Sub Total of Security					12,968.50			8,028.30	0.00	(4,940.20)
400.00	JPMORGAN CHASE & CO	Symbol JPM	7/10/02	28.333	11,333.33	4/30/13	48.993	19,597.28		8,263.95
100.00	MYLAN INC	Symbol MYL	2/17/12	23.600	2,360.00	2/21/13	28.419	2,841.94		481.94

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Ac 2/2/18

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account - Peale Foundation
Home
Business.

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
100.00	MYLAN INC		2/17/12	23.590	2,359.00	2/21/13	28.419	2,841.94		482.94
		Symbol: MYL								
200.00	MYLAN INC		2/17/12	23.565	4,717.00	2/21/13	28.419	5,653.67		966.67
		Symbol: MYL								
1,100.00	MYLAN INC		2/17/12	23.590	25,949.00	2/21/13	28.419	31,261.29		5,312.29
		Symbol: MYL								
Sub Total of Security					35,385.00			42,629.04	0.00	7,244.04
500.00	NORTHERN TIER ENERGY LP		4/22/13	26.760	13,380.00	7/15/13	24.500	12,249.76	(1,130.22)	
		Symbol: NTI								
300.00	PROCTER & GAMBLE CO		8/18/97	43.878	13,163.46	9/12/13	78.439	23,531.59		10,368.13
		Symbol: PG								
66.00	PROCTER & GAMBLE CO		8/18/97	43.878	2,895.96	9/12/13	78.428	5,176.28		2,280.32
		Symbol: PG								
100.00	PROCTER & GAMBLE CO		8/18/97	43.878	4,387.82	9/12/13	78.430	7,843.86		3,456.04
		Symbol: PG								
Sub Total of Security					20,447.24			36,551.73	0.00	16,104.49
1,260.00	PROSPECT CAPITAL CORPORATION		4/22/13	10.706	13,491.58	7/15/13	10.900	13,733.76	242.18	
		Symbol: PSEC								

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ALC 2/2/18

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account : Peale Foundation
Home :
Business:

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
3 286 00	SAIC INC		2/19/13	12 306	40 180 72	7/15/13	14 130	46,133 97	5,953 25	
		Cusip 78390X101000								
1 000 00	SANDRIDGE MISSISSIPPIAN TRUST I		4/22/13	13 495	13 494 70	7/15/13	13 650	13,649 86	155 16	
		Symbol SDT								
1 153 00	SELECT SCTOR SPDR TR SBI UTILS SELECT INDEX		1/18/05	27 700	31 938 10	6/19/13	38 282	44,138 84		12,200 74
		Symbol XLU								

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A/C 21218

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

10/29/13 10:20 am
Page 1 of 7

Peale Foundation Inc

Account : PEALE FOUNDATION

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
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LONG TRANSACTIONS

Common Stock

10 00	**RITCHIE BROS AUCTIONEERS INC	Symbol RBA	3/30/12	23 918	239 18	8/22/13	18 584	185 84		(53 34)
5 00	AKAMAI TECHNOLOGIES INC	Symbol AKAM	3/30/12	36 688	183 44	8/22/13	46 692	233 46		50 02
100 00	GENTEX CORP	Symbol GNTX	3/30/12	24 578	2 457 75	7/22/13	23 341	2 324 09		(123 66)
5 00	GROUP 1 AUTOMOTIVE INC	Symbol GP1	3/30/12	57 156	285 78	8/22/13	78 038	380 19		94 41
26 00	GULFPORT ENERGY CORP COM NEW	Symbol GPOR	3/30/12	28 738	718 44	5/7/13	53 262	1 331 55		613 11
170 00	HARMONIC INC	Symbol HMT	3/30/12	5 557	944 74	6/13/13	6 190	1 052 38		107 64

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AL 3743

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account : PEALE FOUNDATION

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
75.00	HARRIS TEETER SUPERMARKETS INC	Symbol: HTSI	3/30/12	40.387	3,029.00	9/19/13	49.187	3,688.99		659.99
50.00	HMS HOLDINGS CORP	Symbol: HMSY	3/30/12	31.629	1,581.44	4/23/13	23.635	1,181.76		(399.68)
5.00	IBERIABANK CORP	Symbol: IBKC	3/30/12	53.958	269.79	6/22/13	55.628	278.14		8.35
90.00	IVI INC	Symbol: IIVI	3/30/12	23.808	2,142.75	6/14/13	16.764	1,508.80		(633.95)
45.00	KAYNE ANDERSON MLP INVT CO	Symbol: KYN	3/30/12	30.552	1,374.84	10/25/12	31.372	1,411.72	36.88	
45.00	LINCOLN ELEC HOLDINGS INC	Symbol: LECO	3/30/12	45.040	2,026.78	12/6/12	47.154	2,121.94	95.16	
25.00	LULULEMON ATHLETICA INC	Symbol: LULU	3/30/12	74.640	1,866.00	3/19/13	62.972	1,574.30	(291.70)	

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NR 3743

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account : PEALE FOUNDATION
Home
Business

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
5.00	LULULEMON ATHLETICA INC		3/30/12	74.640	373.20	10/10/12	73.306	366.53	(6.67)	
		Symbol LULU								
10.00	LULULEMON ATHLETICA INC		3/30/12	74.640	746.40	10/23/12	67.128	671.28	(75.12)	
		Symbol LULU								
Sub Total of Security					2,985.60			2,612.11	(373.49)	0.00
15.00	MARKETAXESS HOLDINGS INC		3/30/12	37.448	561.72	10/23/12	30.680	460.20	(101.52)	
		Symbol MKTX								
20.00	MARKETAXESS HOLDINGS INC		3/30/12	37.449	748.97	10/25/12	30.731	614.62	(134.35)	
		Symbol MKTX								
Sub Total of Security					1,310.69			1,074.62	(235.67)	0.00
5.00	MEDNAX INC		3/30/12	74.706	373.53	10/19/12	69.628	349.14	(24.39)	
		Symbol MD								
20.00	MICROS SYSTEMS INC		3/30/12	55.585	1,111.69	12/28/12	47.160	843.19	(268.50)	
		Symbol MCRS								
5.00	MICROS SYSTEMS INC		3/30/12	55.584	277.92	8/22/13	48.750	248.75		(29.17)
		Symbol MCRS								
Sub Total of Security					1,388.61			1,091.94	(268.50)	(29.17)

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A/C 3743

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account: PEALE FOUNDATION GWK
Home
Business

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
10.00	MID AMERICA APARTMENT COMMUNITIES INC		3/30/12	66.600	666.00	10/25/12	63.978	639.78	(26.22)	
		Symbol MAA								
10.00	MIDDLEBY CORP		3/30/12	101.370	1,013.70	2/12/13	148.351	1,483.51	469.81	
		Symbol MIDD								
5.00	MIDDLEBY CORP		3/30/12	101.370	506.85	10/10/12	118.228	591.14	84.29	
		Symbol MIDD								
Sub Total of	Security				1,520.55			2,074.65	554.10	0.00
5.00	NATIONAL HEALTH INVESTORS INC		3/30/12	48.600	243.00	10/19/12	53.788	268.94	25.94	
		Symbol NHI								
15.00	PEETS COFFEE & TEA INC		3/30/12	73.770	1,106.55	10/23/12	73.450	1,101.90	(4.65)	
		Symbol PEET								
20.00	RALCORP HOLDINGS INC NEW		3/30/12	73.724	1,474.48	12/5/12	89.355	1,787.12	312.64	
		Cusip 751028101000								
25.00	RALCORP HOLDINGS INC NEW		3/30/12	73.724	1,843.10	1/10/13	89.580	2,239.00	395.90	
		Cusip 751028101000								
Sub Total of	Security				3,317.58			4,026.12	708.54	0.00

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ALC 3743

Realized Gain/Loss Report

10/29/13 10:20 am
Page 5 of 7

(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account : PEALE FOUNDATION
Home :
Business :

LONG TRANSACTIONS

Common Stock

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
40 00	RANGE RESOURCES CORP		3/30/12	57.679	2,307.17	3/15/13	81.742	3,269.70	562.53	
		Symbol RRC								
85 00	RIVERBED TECHNOLOGY INC		3/30/12	27.784	2,361.67	12/5/12	17.846	1,499.90	(861.77)	
		Symbol RVBD								
35 00	ROFIN SINAR TECHNOLOGIES INC		3/30/12	26.373	923.06	11/19/12	19.430	680.05	(243.01)	
		Symbol RSTI								
10 00	STERICYCLE INC		3/30/12	83.969	839.69	11/19/12	90.124	901.21	61.52	
		Symbol SRCL								
30 00	STERICYCLE INC		3/30/12	83.969	2,519.08	6/16/13	109.669	3,290.08	771.00	
		Symbol SRCL								
Sub Total of Security :										
15 00	TORO CO		3/30/12	35.757	536.35	10/23/12	40.801	612.01	75.66	
		Symbol TTC								
5 00	TUPPERWARE BRANDS CORPORATION		3/30/12	63.196	315.98	8/22/13	82.876	414.38		98.40
		Symbol TUP								
: 3,358.77										
: 4,191.29										
: 61.52										
: 75.66										
: 771.00										

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ALC 3743

Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account: PEALE FOUNDATION
Home
Business:

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
40.00	UNITED THERAPEUTICS CORP DEL		3/30/12	47.228	1,889.11	3/25/13	59.519	2,380.78	491.67	
		Symbol: UTHR								
15.00	UNITED THERAPEUTICS CORP DEL		3/30/12	47.227	708.41	12/28/12	52.305	784.57	76.16	
		Symbol: UTHR								
Sub Total of Security					2,597.52			3,165.35	567.83	0.00
55.00	UNIVERSAL FOREST PRODUCTS INC		3/30/12	35.129	1,932.10	6/19/13	43.635	2,399.95		467.85
		Symbol: UFPI								
5.00	WABTEC CORP		3/30/12	74.684	373.42	12/5/12	86.750	433.75	60.33	
		Symbol: WAB								
10.00	WABTEC CORP		3/30/12	37.342	373.42	8/22/13	59.488	594.88		221.56
		Symbol: WAB								
Sub Total of Security					748.84			1,028.73	60.33	221.56

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Realized Gain/Loss Report
(Excluding Zero Gain/Loss and Sort Order: Security Type, Without Outside Holdings)
(From 10/01/2012 To 09/30/2013)

Account : PEALE FOUNDATION
Home
Business

Quantity	Description	Maturity Date	Purchase Date	Purchase Unit Price	Cost	Sale Date	Sale Unit Price	Proceeds	Short Term Gain/Loss	Long Term Gain/Loss
LONG TRANSACTIONS										
<u>Common Stock</u>										
5.00	WATERS CORP		3/30/12	92.442	462.21	10/19/12	80.038	400.19	(62.02)	
				Symbol WAT						
Sub Total of Common Stock					43,708.72			45,609.81	1,048.56	1,852.53
Sub Total of LONG TRANSACTIONS					43,708.72			45,609.81	1,048.56	1,852.53
Grand Total.					<u>TOTAL</u>			<u>45,609.81</u>	<u>1,048.56</u>	<u>1,852.53</u>

<i>SHORT TERM</i>	26,437 ⁸²	27,486 ⁴⁴	1,048 ⁵⁶
<i>LONG TERM</i>	17,270 ⁸⁴	19,123 ³⁷	1,852 ⁵³

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