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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 10/01, 2012, and ending 09/30, 2013Name of foundation IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O
NEUBERGER BERMAN TRUST COMPANY N.A.

A Employer identification number

13-7312974

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

P.O. BOX 396302- 830-4352

City or town, state, and ZIP code

WILMINGTON, DE 19899

G Check all that apply:

☐ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☒ Amended return☐ Name changeH Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col. (c), line

16) \$ 6,713,270.J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis.)

C If exemption application is
pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ If the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	157,640.	156,597.		STMT
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	255,418.			
b Gross sales price for all assets on line 6a	2,845,198.			
7 Capital gain net income (from Part IV, line 2)		255,418.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	413,058.	412,015.		
13 Compensation of officers, directors, trustees, etc	72,856.	65,570.		7,286.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT. 2	5,771.	3,515.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) STMT. 3	644.	394.		250.
24 Total operating and administrative expenses. Add lines 13 through 23	79,271.	69,479.		7,536.
25 Contributions, gifts, grants paid	166,667.			166,667.
26 Total expenses and disbursements Add lines 24 and 25	245,938.	69,479.		174,203.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	167,120.			
b Net investment income (if negative, enter -0-)		342,536.		
c Adjusted net income (if negative, enter -0-)				

JSA For Paperwork Reduction Act Notice, see instructions.

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Form **990-PF** (2012)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	22.	8,283.	8,283.
	2 Savings and temporary cash investments	204,050.	150,958.	150,958.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U.S. and state government obligations (attach schedule)	1,154,997.	1,006,332.	992,512.
	b Investments - corporate stock (attach schedule)	3,136,117.	1,745,461.	2,356,356.
	c Investments - corporate bonds (attach schedule)	912,932.	2,661,414.	3,205,160.
	11 Investments - land, buildings, and equipment: basis ▶			
Less: accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans				
13 Investments - other (attach schedule)				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	5,408,118.	5,572,448.	6,713,269.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	5,408,118.	5,572,448.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	5,408,118.	5,572,448.		
31 Total liabilities and net assets/fund balances (see instructions)	5,408,118.	5,572,448.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,408,118.
2 Enter amount from Part I, line 27a	2	167,120.
3 Other increases not included in line 2 (itemize) ▶ PURCHASE OF ACCRUED INTEREST C/O FROM PY	3	119.
4 Add lines 1, 2, and 3	4	5,575,357.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	2,909.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,572,448.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 2,845,198.		2,589,780.	255,418.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			255,418.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	255,418.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	1,638,449.	6,317,372.	0.259356
2010	3,114,217.	8,312,293.	0.374652
2009	3,051,266.	11,092,223.	0.275082
2008	3,490,656.	12,342,642.	0.282813
2007	3,463,364.	16,678,741.	0.207651
2 Total of line 1, column (d)			2 1.399554
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.279911
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 6,423,264.
5 Multiply line 4 by line 3			5 1,797,942.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,425.
7 Add lines 5 and 6			7 1,801,367.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 174,203.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	6,851.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	6,851.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,851.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	3,410.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	3,410.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	3,441.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ NONE Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ (2) On foundation managers ☐ \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities.		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **►** N/A

14 The books are in care of **►** NEUBERGER BERMAN TRUST COMPANY Telephone no. **►** (302) 830-4352
 Located at **►** P.O. BOX 396, WILMINGTON, DE ZIP+4 **►** 19899

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here **►** ☐
 and enter the amount of tax-exempt interest received or accrued during the year **►** 15

16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

	Yes	No
16		X

See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country **►**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes	☐ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		☒ X
Organizations relying on a current notice regarding disaster assistance check here ► ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		☒ X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes	☒ No
If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		☒ X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		☒ X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		☒ X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NEUBERGER BERMAN TRUST COMPANY N.A. 605 THIRD AVENUE, 19TH FLOOR, NEW YORK, NY 10158-3698	CO-TRUSTEE 15	64,456.	-0-	-0-
SARAH J. SCHLESINGER 995 FIFTH AVE, APT 8 SOUTH, NEW YORK, NY 10028	CO-TRUSTEE 5	8,400.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 FOUNDATION DISTRIBUTES INCOME TO ASSIST IN THE MAINTENANCE OF CHARITABLE ORGANIZATIONS PURSUANT TO THE PROVISIONS OF THE TRUST AGREEMENT.	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions.	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	6,276,956.
b	Average of monthly cash balances	1b	244,124.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	6,521,080.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	6,521,080.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	97,816.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,423,264.
6	Minimum investment return. Enter 5% of line 5	6	321,163.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	321,163.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	6,851.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	6,851.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	314,312.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	314,312.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	314,312.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	174,203.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	174,203.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	174,203.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				314,312.
2 Undistributed Income, if any, as of the end of 2012				
a Enter amount for 2011 only			NONE	
b Total for prior years 20 <u>10</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	2,664,397.			
b From 2008	2,877,024.			
c From 2009	2,508,749.			
d From 2010	2,716,874.			
e From 2011	1,329,400.			
f Total of lines 3a through e	12,096,444.			
4 Qualifying distributions for 2012 from Part XII, line 4. ► \$ <u>174,203.</u>				
a Applied to 2011, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2012 distributable amount				174,203.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2012. (If an amount appears in column (d), the same amount must be shown in column (a).)	140,109.			140,109.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	11,956,335.			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2011. Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	2,524,288.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	9,432,047.			
10 Analysis of line 9:				
a Excess from 2008	2,877,024.			
b Excess from 2009	2,508,749.			
c Excess from 2010	2,716,874.			
d Excess from 2011	1,329,400.			
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK NY 10065	NONE	EXEMPT	CHARITABLE	166,667.
Total			3a	166,667.
b Approved for future payment				
Total			3b	

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

JSA

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes | No |
| | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule.**

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Alan Koenig VP
Signature of officer or trustee

01/30/2014
Date

TRUSTEE
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

DONALD J ROMAN

Preparer's signature _____

Date _____

01/30/2014

Check ☒ if	PTIN
self-employed	PO

P00364310

Firm's name ► PRICEWATERHOUSECOOPERS, LLP

Firm's EIN ▶	13-4008324
--------------	------------

Firm's address ► 600 GRANT STREET, 51ST FLOOR

PITTSBURGH, PA

15219

Phone no. 412-355-6000

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O

13-7312974

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
DIVIDENDS AND INTEREST	157,640.	156,597.
	-----	-----
TOTAL	157,640.	156,597.
	=====	=====

FORM 990PF, PART I - TAXES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES WITHHELD	3,515.	3,515.
ESTIMATED EXCISE TAX PAYMENTS	2,256.	
	-----	-----
TOTALS	5,771.	3,515.
	=====	=====

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O

13-7312974

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
ADR FEES			
NY STATE FILING FEE	369.	369.	
MISC EXPENSES - INVESTMENT FEE	250.		
	25.	25.	250.
TOTALS	644.	394.	250.
	=====	=====	=====

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O
 FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS
 =====

13-7312974

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
US & STATE OBLGTNS - ATTACHED	1,006,332.	992,512.
	-----	-----
TOTALS	1,006,332.	992,512.
	=====	=====

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O

13-7312974

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION

CORPORATE STOCK - SEE ATTACHED

ENDING BOOK VALUE -----	ENDING FMV ----
1,745,461.	2,356,356.
-----	-----
1,745,461.	2,356,356.
=====	=====

TOTALS

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O

13-7312974

FORM 990PF, PART II - CORPORATE BONDS

=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ----
CORPORATE BONDS - SEE ATTACHED	2,661,414.	3,205,160.
	-----	-----
TOTALS	2,661,414.	3,205,160.
	=====	=====

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O

13-7312974

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
COST BASIS ADJUSTMENT	65.
GAIN ON CY SALES NOT SETTLED AT YEAR END	917.
PURCHASE OF ACCRUED INTEREST C/O TO NEXT YEAR	189.
OID INCOME	1,738.

TOTAL	2,909.
	=====

STATEMENT 7

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T.
► Information about Schedule D (Form 1041) and its separate instructions is at
www.irs.gov/form1041.

OMB No 1545-0092

2012

Name of estate or trust

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O

Employer identification number

13-7312974

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr.)	(c) Date sold (mo, day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					
b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b					1b 3,922.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824					2
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts					3
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet					4 ()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back					5 3,922.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr.)	(c) Date sold (mo, day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					
LONG-TERM CAPITAL GAIN DIVIDENDS					26,321.
b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b					6b 225,175.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824					7
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts					8
9 Capital gain distributions					9
10 Gain from Form 4797, Part I					10
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet					11 ()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back					12 251,496.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2012

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Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		3,922.
14	Net long-term gain or (loss):			
a	Total for year	14a		251,496.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a	15		255,418.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	16	()
a	The loss on line 15, column (3) or		
b	\$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,400	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (.15)	30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

► Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041.

2012

IRMA L & ABRAM S CROLL CHARITABLE TRUST C/O

13-7312974

[illegible]

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	3,922.00
---	----------

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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Employer identification number

13-7312974

[illegible]

Schedule D-1 (Form 1041) 2012

CROLL I L & A S CHARITABLE TR Acct #: 888-00189 Phone #:
 1/23/2014 PMR COMBINED ACCOUNT Cash Taxable Domestic NY IA=N FI Acct=N IPO=N

Capital Gains

Internal Use Only. Not for Public Distribution.

I L & A S CROLL CHARITABLE TR S SCHLESINGER & NBTC NA
 COTTEE COMBINED ACCOUNT
 605 THIRD AVENUE 19TH FL
 NEW YORK NY 10158-0180

Capital Gains Summary From 10/01/2012 To 09/30/2013	
Description	Totals
Short Term Gain/Loss	8,908.73
Long Term Gain/Loss	251,499.35
Total Short Sale Gain/Loss	0.00
Discount Income	232.99
Total Capital Gains/Losses	260,408.08
Adjusted Basis	2,774,873.92
Proceeds	3,035,434.34
Total Curr Gain/Loss	0.00

EQUITIES - COVERED

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
03/13/2012	11/01/2012	210.00	0.00	EXC	EXELON CORP	39.752	33.462	8,347.92	7,028.82	ST	-1,321.10	0.00	8,347.92	0.00	0.00
02/09/2012	11/01/2012	450.00	0.00	EXC	EXELON CORP	40.133	33.462	18,059.94	15,057.47	ST	-3,002.47	0.00	18,059.94	0.00	0.00
04/19/2012	11/01/2012	110.00	0.00	EXC	EXELON CORP	37.572	33.462	4,132.94	3,680.72	ST	-452.22	0.00	4,132.94	0.00	0.00
05/17/2012	11/26/2012	500.00	0.00	MSFT	MICROSOFT CORP	30.011	27.258	15,005.52	13,628.54	ST	-1,376.98	0.00	15,005.52	0.00	0.00
08/09/2012	11/26/2012	375.00	0.00	MSFT	MICROSOFT CORP	30.544	27.258	11,453.89	10,221.41	ST	-1,232.48	0.00	11,453.89	0.00	0.00
02/08/2012	11/26/2012	325.00	0.00	NI	NISOURCE INC COM	23.375	23.917	7,596.72	7,772.92	ST	176.20	0.00	7,596.72	0.00	0.00
02/06/2012	11/27/2012	300.00	0.00	NI	NISOURCE INC COM	22.897	23.739	6,869.25	7,121.43	ST	252.18	0.00	6,869.25	0.00	0.00
02/08/2012	11/27/2012	50.00	0.00	NI	NISOURCE INC COM	23.375	23.739	1,168.73	1,186.91	ST	18.18	0.00	1,168.73	0.00	0.00
07/31/2012	11/29/2012	69.00	0.00	HOCY	***HOYA CORP	22.315	19.283	1,538.76	1,330.50	ST	-208.26	0.00	1,538.76	0.00	0.00
					SPONSORED ADR										

01/20/2012	12/04/2012	40.00	0.00	CP	***CANADIAN PACIFIC RAILWAY LTD	70 437	92 248	2,817 48	3,689 83	ST	872 35	0.00	2,817 48	0.00	0.00
01/03/2012	12/04/2012	70.00	0.00	CP	***CANADIAN PACIFIC RAILWAY LTD	68 552	92,248	4,798 66	6,457 20	ST	1,658 54	0.00	4,798 66	0.00	0.00
07/10/2012	12/04/2012	40.00	0.00	BG	BUNGE LTD	61 248	71 974	2,449 90	2,878 91	ST	429 01	0.00	2,449 90	0.00	0.00
05/16/2012	12/20/2012	50.00	0.00	APA	APACHE CORP	83 740	79 761	4,187 01	3,987 97	ST	-199 04	0.00	4,187 01	0.00	0.00
11/07/2012	12/20/2012	390.00	0.00	B8BY	BED BATH & BEYOND INC	58 135	54 773	22,672 81	21,360 91	ST	-1,311 90	0.00	22,672 81	0.00	0.00
07/31/2012	12/20/2012	200.00	0.00	COH	COACH INC	50 807	58 189	10,161 46	11,637 43	ST	1,475 97	0.00	10,161 46	0.00	0.00
07/31/2012	12/26/2012	50.00	0.00	COH	COACH INC	50 807	54 992	2,540 36	2,749 53	ST	209 17	0.00	2,540 36	0.00	0.00
08/02/2012	12/26/2012	100.00	0.00	COH	COACH INC	49 909	54 992	4,990 93	5,499 06	ST	508 13	0.00	4,990 93	0.00	0.00
08/03/2012	12/26/2012	25.00	0.00	COH	COACH INC	50 775	54 992	1,269 36	1,374 77	ST	105 41	0.00	1,269 36	0.00	0.00
03/30/2012	01/14/2013	25.00	0.00	DNKN	DUNKIN BRANDS GROUP INC	29 500	34 148	737 50	863 67	ST	116 17	0.00	737 50	0.00	0.00
08/16/2012	01/14/2013	175.00	0.00	DNKN	DUNKIN BRANDS GROUP INC	31 020	34 148	5,428 45	5,975 73	ST	547 28	0.00	5,428 45	0.00	0.00
10/05/2012	01/28/2013	75.00	0.00	BID	SOTHEBYS HOLDINGS INC-CL A LTD	32 223	36 892	2,416 69	2,766 79	ST	350 10	0.00	2,416 69	0.00	0.00
10/08/2012	01/29/2013	50.00	0.00	BID	SOTHEBYS HOLDINGS INC-CL A LTD	31 941	35 737	1,597 04	1,766 82	ST	189 78	0.00	1,597 04	0.00	0.00
09/05/2012	02/05/2013	75.00	0.00	MCO	MOODYS CORP	39 868	47 670	2,969 95	3,575 18	ST	585 23	0.00	2,969 95	0.00	0.00
02/08/2012	02/08/2013	357.00	0.00	LFUGY	LI & FUNG LTD UNSPONSORE D ADR	4 586	2 572	1,637 09	918 18	ST	-718 91	0.00	1,637 09	0.00	0.00
07/13/2012	02/21/2013	400.00	0.00	EE	EL PASO ELECTRIC CO NEW	33 931	33,106	13,572 40	13,242 07	ST	-330 33	0.00	13,572 40	0.00	0.00
07/13/2012	02/22/2013	200.00	0.00	EE	EL PASO ELECTRIC CO NEW	33 931	33 156	6,786 20	6,631 01	ST	-155 19	0.00	6,786 20	0.00	0.00
07/13/2012	02/25/2013	60.00	0.00	EE	EL PASO ELECTRIC CO NEW	33 931	33 064	2,035 86	1,983 77	ST	-52 09	0.00	2,035 86	0.00	0.00
12/19/2012	02/27/2013	304.55	0.00	ARBXX	**ARBITRAGE FUND CLASS I	12 680	12 760	3,861 75	3,886 11	ST	24 36	0.00	3,861 75	0.00	0.00
12/19/2012	02/27/2013	150.29	0.00	ARBXX	**ARBITRAGE FUND CLASS I	12 680	12 760	1,905 73	1,917 75	ST	12 02	0.00	1,905 73	0.00	0.00

04/18/2012	03/01/2013	13,309.87	0.00	NBHIX	**NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	11 270	12 150	150,000.00	161,712.52	ST	11,712.52	0.00	150,000.00	0.00	0.00
03/05/2013	03/15/2013	98.00	0.00	AMX	***AMERICA MOVIL S A B DE C V SPONSORED ADR REPSTG SER L SHS	20 930	18 795	2,051.14	1,841.90	ST	-209.24	0.00	2,051.14	0.00	0.00
09/25/2012	04/15/2013	75.00	0.00	BMY	BRISTOL MYERS	34 139	41 156	2,560.40	3,086.61	ST	526.21	0.00	2,560.40	0.00	0.00
10/17/2012	04/15/2013	150.00	0.00	IAU	SQUIBB CO ISHARES	17 010	13 579	2,551.56	2,036.85	ST	-514.71	0.00	2,551.56	0.00	0.00
09/04/2012	04/15/2013	1,250.00	0.00	IAU	GOLD TRUST ISHARES	16 505	13 579	20,631.50	15,973.74	ST	-3,657.76	0.00	20,631.50	0.00	0.00
11/06/2012	04/15/2013	70.00	0.00	NEM	GOLD TRUST NEWMONT MINING HOLDING CO NEW	48 312	34 353	3,381.87	2,404.63	ST	-977.24	0.00	3,381.87	0.00	0.00
05/24/2012	04/15/2013	520.00	0.00	NEM	NEWMONT MINING HOLDING CO NEW	49 259	34 353	25,614.48	17,862.94	ST	-7,751.54	0.00	25,614.48	0.00	0.00
04/19/2012	04/17/2013	50.00	0.00	EOG	EOG RESOURCES INC	103 456	114 065	5,172.82	5,703.11	ST	530.29	0.00	5,172.82	0.00	0.00
03/05/2013	04/26/2013	44.00	0.00	AIQY	***AIR LIQUIDE-ADR	24 960	24 700	1,100.44	1,086.77	ST	-13.67	0.00	1,100.44	0.00	0.00
10/16/2012	04/26/2013	30.00	0.00	MSFT	MICROSOFT CORP	29 546	31 741	886.38	952.19	ST	65.81	0.00	886.38	0.00	0.00
06/26/2012	04/30/2013	360.00	0.00	GCI	GANNETT CO INC	13 854	20 104	4,987.44	7,237.20	ST	2,249.76	0.00	4,987.44	0.00	0.00
03/05/2013	05/08/2013	99.00	0.00	SONVY	***SONOVA HOLDING AG UNSPONSORE D ADR	24 120	21 555	2,387.88	2,133.90	ST	-253.98	0.00	2,387.88	0.00	0.00
01/03/2013	05/10/2013	15.00	0.00	AGU	***AGRIUM INC	103 438	92 008	1,551.57	1,380.06	ST	-171.51	0.00	1,551.57	0.00	0.00
04/22/2013	05/10/2013	70.00	0.00	BCE	***BCE INC NEW	45 904	47 194	3,213.25	3,303.53	ST	90.28	0.00	3,213.25	0.00	0.00
04/16/2013	05/10/2013	50.00	0.00	BG	BUNGE LTD	66 439	72 240	3,321.98	3,611.91	ST	289.93	0.00	3,321.98	0.00	0.00
09/21/2012	05/10/2013	3.00	0.00	GOOG	GOOGLE INC-CLA	733 716	878 700	2,201.15	2,636.04	ST	434.89	0.00	2,201.15	0.00	0.00
10/16/2012	05/10/2013	100.00	0.00	MSFT	MICROSOFT CORP	29 546	32 604	2,954.61	3,260.28	ST	305.67	0.00	2,954.61	0.00	0.00
10/17/2012	05/10/2013	40.00	0.00	MON	MONSANTO CO	89 750	107 907	3,590.01	4,316.19	ST	726.18	0.00	3,590.01	0.00	0.00

10/17/2012	05/10/2013	60 00	0 00	SNDK	SANDISK CORP	44 602	57 627	2,676 14	3,457 54	ST	781 40	0 00	2,676 14	0 00	0 00
06/25/2012	05/10/2013	20 00	0 00	WAG	WALGREEN CO	28 988	48 484	579 76	969 66	ST	389 90	0 00	579 76	0 00	0 00
10/16/2012	05/10/2013	110 00	0 00	WAG	WALGREEN CO	36 411	48 484	4,005 24	5,333 11	ST	1,327 87	0 00	4,005 24	0 00	0 00
12/13/2012	06/04/2013	125 00	0 00	LTD	L BRANDS INC	50 290	51 924	6,286 26	6,490 39	ST	204 13	0 00	6,286 26	0 00	0 00
01/03/2013	06/04/2013	50 00	0 00	LTD	L BRANDS INC	44 623	51 924	2,231 14	2,596 15	ST	365 01	0 00	2,231 14	0 00	0 00
03/05/2013	06/05/2013	29 00	0 00	HBC	***HSBC HOLDINGS PLC	54 100	55 169	1,568 90	1,599 86	ST	30 96	0 00	1,568 90	0 00	0 00
01/03/2013	06/13/2013	95 00	0 00	AGU	SPONSORED ADR NEW	103 438	89 098	9,826 60	8,464 12	ST	-1,362 48	0 00	9,826 60	0 00	0 00
01/02/2013	06/13/2013	5 00	0 00	AGU	***AGRIUM INC	102 570	89 098	512 85	445 48	ST	-67 37	0 00	512 85	0 00	0 00
04/16/2013	06/13/2013	70 00	0 00	AZN	***ASTRAZEN ECA PLC	50 984	51 005	3,568 88	3,570 30	ST	1 42	0 00	3,568 88	0 00	0 00
06/26/2012	06/13/2013	500 00	0 00	GCI	SPONSORED ADR	13 854	24 570	6,927 00	12,284 98	ST	5,357 98	0 00	6,927 00	0 00	0 00
10/16/2012	06/13/2013	80 00	0 00	MSFT	GANNETT CO INC	29 546	34 699	2,363 69	2,775 87	ST	412 18	0 00	2,363 69	0 00	0 00
07/23/2012	06/13/2013	80 00	0 00	PFE	MICROSOFT CORP	23 553	28 838	1,884 22	2,307 00	ST	422 78	0 00	1,884 22	0 00	0 00
06/25/2012	06/13/2013	60 00	0 00	WAG	PFIZER INC	28 988	49 540	1,739 27	2,972 35	ST	1,233 08	0 00	1,739 27	0 00	0 00
03/05/2013	06/18/2013	121 00	0 00	ARMH	WALGREEN CO	44 138	39 623	5,340 75	4,794 30	ST	-546 45	0 00	5,340 75	0 00	0 00
09/17/2012	06/21/2013	100 00	0 00	PNW	ARM HOLDINGS PLC	53 101	52 393	5,310 15	5,239 22	ST	-70 93	0 00	5,310 15	0 00	0 00
04/16/2013	06/21/2013	230 00	0 00	PNW	SPONSORED ADR	58 862	52 393	13,538 24	12,050 20	ST	-1,488 04	0 00	13,538 24	0 00	0 00
09/14/2012	06/21/2013	100 00	0 00	PNW	CAPITAL CORP WIRTS	53 468	52 393	5,346 83	5,239 22	ST	-107 61	0 00	5,346 83	0 00	0 00
09/13/2012	06/21/2013	200 00	0 00	PNW	CAPITAL CORP WIRTS	53,271	52 393	10,654 30	10,478 43	ST	-175 87	0 00	10,654 30	0 00	0 00

04/18/2013	06/25/2013	170 00	0 00	POT	38 439	38 151	6,534 72	6,485 46	ST	-49 26	0 00	6,534 72	0 00	0 00
***POTASH CORP OF SASKATCHEW AN INC														
11/06/2012	06/25/2013	80 00	0 00	POT	40 524	38 151	3,241 93	3,051 99	ST	-189 94	0 00	3,241 93	0 00	0 00
***POTASH CORP OF SASKATCHEW AN INC														
10/12/2012	06/25/2013	140 00	0 00	POT	41 709	38 151	5,839 29	5,340 98	ST	-498 31	0 00	5,839 29	0 00	0 00
***POTASH CORP OF SASKATCHEW AN INC														
07/26/2012	07/25/2013	150 00	0 00	VAR	53 941	73 035	8,091 12	10,955 00	ST	2,863 88	0 00	8,091 12	0 00	0 00
VARIAN MEDICAL SYSTEMS INC														
03/05/2013	07/29/2013	19 00	0 00	FANUY	25 360	25 170	481 84	478 22	ST	-3 62	0 00	481 84	0 00	0 00
***FANUC LIMITED UNSPONSORE D ADR														
06/27/2013	08/01/2013	40 00	0 00	AGU	86 539	83 461	3,461 55	3,338 38	ST	-123 17	0 00	3,461 55	0 00	0 00
***AGRIUM INC														
04/16/2013	08/01/2013	190 00	0 00	AGU	92 740	83 461	17,620 56	15,857 31	ST	-1,763 25	0 00	17,620 56	0 00	0 00
***AGRIUM INC														
01/02/2013	08/01/2013	95 00	0 00	AGU	102 570	83 461	9,744 14	7,928 66	ST	-1,815 48	0 00	9,744 14	0 00	0 00
***AGRIUM INC														
11/08/2012	08/05/2013	13 00	0 00	AAPL	545 247	468 863	7,088 21	6,069 11	ST	-1,019 10	0 00	7,088 21	0 00	0 00
APPLE INC														
03/05/2013	09/13/2013	29 00	0 00	LZAGY	6 089	7 978	176 59	231 37	ST	54 78	0 00	176 59	0 00	0 00
***LONZA GROUP AG ZUERICH UNSPONSORE D ADR														
04/18/2013	09/19/2013	125 00	0 00	BUD	97 425	102 731	12,178 08	12,841 17	ST	663 09	0 00	12,178 08	0 00	0 00
***ANHEUSER- BUSCH INBEV SA SPONSORED ADR														
12/12/2012	09/19/2013	37 00	0 00	POT	41 051	31 957	1,518 87	1,182 39	ST	-336 48	0 00	1,518 87	0 00	0 00
***POTASH CORP OF SASKATCHEW AN INC														
09/25/2012	09/19/2013	112 00	0 00	POT	43 617	31 957	4,885 10	3,579 13	ST	-1,305 97	0 00	4,885 10	0 00	0 00
***POTASH CORP OF SASKATCHEW AN INC														
03/05/2013	09/19/2013	65 00	0 00	POT	39 660	31 957	2,577 90	2,077 18	ST	-500 72	0 00	2,577 90	0 00	0 00
***POTASH CORP OF SASKATCHEW AN INC														
07/02/2013	09/25/2013	140 00	0 00	WAG	44 369	55 060	6,211 60	7,708 26	ST	1,496 66	0 00	6,211 60	0 00	0 00
WALGREEN CO														

03/05/2013	09/27/2013	304 00	0 00	LZAGY	***LONZA GROUP AG ZUERICH UNSPONSORE D ADR	6 089	8 171	1,851.17	2,483.82	ST	632.65	0 00	1,851.17	0 00	0 00
02/08/2013	09/27/2013	181 00	0 00	LZAGY	***LONZA GROUP AG ZUERICH UNSPONSORE D ADR	5 992	8 171	1,084.52	1,478.85	ST	394.33	0 00	1,084.52	0 00	0 00
07/29/2011	10/01/2012	50 00	0 00	ADT	ADT	28 936	35 764	1,446.81	1,788.17	LT	0 00	341.36	1,446.81	0 00	0 00
08/31/2011	10/15/2012	710 00	0 00	T	CORPORATIO N COM	28 352	35 131	20,129.71	24,942.11	LT	0 00	4,812.40	20,129.71	0 00	0 00
01/14/2011	10/18/2012	75 00	0 00	MCD	MCDONALDS CORP	73 887	93 065	5,541.54	6,979.73	LT	0 00	1,438.19	5,541.54	0 00	0 00
05/16/2011	10/18/2012	25 00	0 00	ROP	ROPER INDUSTRIES INC NEW	82 868	110 355	2,071.70	2,758.82	LT	0 00	687.12	2,071.70	0 00	0 00
04/26/2011	10/26/2012	50 00	0 00	COG	CABOT OIL & GAS CORP	27 381	47 106	1,369.05	2,355.25	LT	0 00	986.20	1,369.05	0 00	0 00
08/25/2011	10/26/2012	100 00	0 00	VAR	VARIAN MEDICAL SYSTEMS INC	54,100	67 222	5,410.03	6,722.02	LT	0 00	1,311.99	5,410.03	0 00	0 00
07/29/2011	11/01/2012	23 00	0 00	PNR	***PENTAIR LTD SHS	34 403	44 624	791.27	1,026.33	LT	0 00	235.06	791.27	0 00	0 00
03/01/2011	12/04/2012	70 00	0 00	EOG	EOG RESOURCES INC	110 688	117 000	7,746.74	8,189.80	LT	0 00	443.06	7,746.74	0 00	0 00
04/04/2011	12/06/2012	50 00	0 00	NVS	***NOVARTIS AG-SPONSOR ED ADR	54 535	62 420	2,726.73	3,120.91	LT	0 00	394.18	2,726.73	0 00	0 00
02/03/2011	12/06/2012	15 00	0 00	PCP	PRECISION CASTPARTS CORP	142 588	182 735	2,138.82	2,740.95	LT	0 00	602.13	2,138.82	0 00	0 00
02/28/2011	12/27/2012	100 00	0 00	LUX	***LUXOTTICA GROUP SPA SPONSORED ADR	31 053	40 848	3,105.27	4,084.71	LT	0 00	979.44	3,105.27	0 00	0 00
12/19/2011	01/14/2013	20 00	0 00	EOG	EOG RESOURCES INC	96 101	124 689	1,922.03	2,493.71	LT	0 00	571.68	1,922.03	0 00	0 00
04/04/2011	01/23/2013	75 00	0 00	NVS	***NOVARTIS AG-SPONSOR ED ADR	54 535	67 908	4,090.09	5,092.98	LT	0 00	1,002.89	4,090.09	0 00	0 00
09/01/2011	02/08/2013	809 00	0 00	LFUGY	LI & FUNG LTD UNSPONSORE D ADR	3 822	2 572	3,091.91	2,080.71	LT	0 00	-1,011.20	3,091.91	0 00	0 00
03/23/2012	03/25/2013	95 00	0 00	DOV	DOVER CORP	62 196	72 341	5,908.66	6,872.28	LT	0 00	963.62	5,908.66	0 00	0 00

07/13/2011	04/17/2013	40.00	0.00	EOG	99 593	114 065	3,983 73	4,562.48	LT	0.00	578 75	3,983 73	0.00	0.00
				EOG RESOURCES INC										
03/01/2011	04/17/2013	30.00	0.00	EOG	110 668	114 065	3,320 03	3,421 87	LT	0.00	101 84	3,320 03	0.00	0.00
				EOG RESOURCES INC										
05/19/2011	04/17/2013	30.00	0.00	EOG	105 973	114 065	3,179 20	3,421 87	LT	0.00	242 67	3,179 20	0.00	0.00
				EOG RESOURCES INC										
01/31/2012	04/17/2013	30.00	0.00	EOG	105 411	114 065	3,162 34	3,421 87	LT	0.00	259 53	3,162 34	0.00	0.00
				EOG RESOURCES INC										
03/19/2012	04/18/2013	225.00	0.00	PEP	64 739	82 209	14,568 16	18,496 63	LT	0.00	3,930 47	14,568 16	0.00	0.00
				PEPSICO INC										
04/19/2012	04/26/2013	60.00	0.00	MSFT	30 990	31,741	1,859 39	1,904 39	LT	0.00	45 00	1,859 39	0.00	0.00
				MICROSOFT CORP										
09/30/2011	04/29/2013	75.00	0.00	DIS	30 343	62 997	2,275 76	4,724 67	LT	0.00	2,448 91	2,275 76	0.00	0.00
				WALT DISNEY CO HOLDING CO										
01/03/2012	04/30/2013	80.00	0.00	CP	68 552	123 458	5,484 18	9,878 40	LT	0.00	4,392 22	5,484 18	0.00	0.00
				***CANADIAN PACIFIC RAILWAY LTD										
02/01/2012	04/30/2013	40.00	0.00	COG	32 525	68 463	1,301 01	2,738 47	LT	0.00	1,437 46	1,301 01	0.00	0.00
				CABOT OIL & GAS CORP										
03/25/2011	05/08/2013	34.00	0.00	SONVY	19 494	21 555	662 79	732 85	LT	0.00	70 08	662 79	0.00	0.00
				***SONOVA HOLDING AG UNPONSORE D ADR										
07/11/2011	05/10/2013	50.00	0.00	POT	57 758	43,102	2,887 88	2,155 05	LT	0.00	-732 83	2,887 88	0.00	0.00
				***POTASH CORP OF SASKATCHEW AN INC										
04/15/2011	05/10/2013	90.00	0.00	POT	55 846	43 102	5,026 19	3,879 08	LT	0.00	-1,147.11	5,026 19	0.00	0.00
				***POTASH CORP OF SASKATCHEW AN INC										
06/01/2011	05/10/2013	120.00	0.00	MDT	40 509	48 942	4,861 14	5,872 94	LT	0.00	1,011 80	4,861 14	0.00	0.00
				MEDTRONIC INC										
04/20/2012	05/10/2013	80.00	0.00	SNDK	35 275	57 627	2,822 03	4,610 06	LT	0.00	1,788 03	2,822 03	0.00	0.00
				SANDISK CORP										
05/17/2012	06/03/2013	200.00	0.00	CVX	100 484	123 401	20,096 83	24,679 75	LT	0.00	4,582 92	20,096 83	0.00	0.00
				CHEVRON CORPORATIO N										
03/30/2012	06/04/2013	100.00	0.00	DNKN	29 500	41 027	2,950 00	4,102 61	LT	0.00	1,152 61	2,950 00	0.00	0.00
				DUNKIN BRANDS GROUP INC										
03/30/2012	06/07/2013	25.00	0.00	DNKN	29 500	41,176	737 50	1,029 38	LT	0.00	291.88	737 50	0.00	0.00
				DUNKIN BRANDS GROUP INC										
06/01/2011	06/13/2013	60.00	0.00	MDT	40 509	52 205	2,430 57	3,132.22	LT	0.00	701 65	2,430 57	0.00	0.00
				MEDTRONIC INC										

04/20/2012	06/21/2013	25 00	0 00	NVS	***NOVARTIS AG-SPONSOR ED ADR	56 407	69 772	1,410 18	1,744 27	LT	0 00	334 09	1,410 18	0 00	0 00
02/03/2011	06/21/2013	15 00	0 00	PCP	PRECISION CASTPARTS CORP	142 588	211 707	2,138 83	3,175 54	LT	0 00	1,036 71	2,138 83	0 00	0 00
01/25/2011	06/21/2013	15 00	0 00	PCP	PRECISION CASTPARTS CORP	142 335	211 707	2,135 03	3,175 54	LT	0 00	1,040 51	2,135 03	0 00	0 00
04/19/2012	06/25/2013	80 00	0 00	POT	***POTASH CORP OF SASKATCHEW AN INC	43 280	38 151	3,462 39	3,051 99	LT	0 00	-410 40	3,462 39	0 00	0 00
12/05/2011	06/25/2013	90 00	0 00	POT	***POTASH CORP OF SASKATCHEW AN INC	42 584	38 151	3,832 55	3,433 48	LT	0 00	-399 07	3,832 55	0 00	0 00
11/04/2011	06/25/2013	180 00	0 00	POT	***POTASH CORP OF SASKATCHEW AN INC	47 699	38 151	8,585 77	8,866 97	LT	0 00	-1,718 80	8,585 77	0 00	0 00
07/25/2011	07/08/2013	150 00	0 00	GE	GENERAL ELECTRIC CO	18 985	23 414	2,847 75	3,512 05	LT	0 00	684 30	2,847 75	0 00	0 00
07/25/2011	07/08/2013	175 00	0 00	GE	GENERAL ELECTRIC CO	18 985	23 414	3,322 38	4,097 40	LT	0 00	775 02	3,322 38	0 00	0 00
07/29/2011	07/11/2013	100 00	0 00	TYC	***TYCO INTERNATION AL LTD	22 123	35 303	2,212 27	3,530 19	LT	0 00	1,317 82	2,212 27	0 00	0 00
04/23/2012	07/11/2013	50 00	0 00	FTI	FMC TECHNOLOGIE S INC	47 044	57 792	2,352 17	2,889 52	LT	0 00	537 35	2,352 17	0 00	0 00
08/25/2011	07/25/2013	50 00	0 00	VAR	VARIAN MEDICAL SYSTEMS INC	54 100	73 035	2,705 01	3,651 67	LT	0 00	946 66	2,705 01	0 00	0 00
06/19/2012	08/05/2013	100 00	0 00	LTD	L BRANDS INC	43 276	57 736	4,327 56	5,773 52	LT	0 00	1,445 96	4,327 56	0 00	0 00
05/14/2012	08/07/2013	200 00	0 00	BID	SOTHEBYS HOLDINGS INC-CL A LTD	31 915	43 583	6,383 03	8,716 36	LT	0 00	2,333 33	6,383 03	0 00	0 00
03/26/2012	09/09/2013	50 00	0 00	DOV	DOVER CORP	63 242	87 903	3,162 10	4,395 09	LT	0 00	1,232 99	3,162 10	0 00	0 00
08/12/2011	09/13/2013	136 00	0 00	LZAGY	***LONZA GROUP AG ZUERICH UNSPONSORE D ADR	6 074	7 978	826 12	1,085 03	LT	0 00	258 91	826 12	0 00	0 00
05/14/2012	09/16/2013	50 00	0 00	BID	SOTHEBYS HOLDINGS INC-CL A LTD VTG	31 915	47 811	1,595 76	2,390 48	LT	0 00	794 72	1,595 76	0 00	0 00

06/27/2012	09/23/2013	141 00	0 00	XYIGY	***XINYI GLASS HOLDINGS LTD UNSPONSORE D ADR	10 636	18 059	1,499 73	2,546 25	LT	0 00	1,046 52	1,499 73	0 00	0 00
05/15/2012	09/24/2013	50 00	0 00	BID	SOTHEBYS HOLDINGS INC-CL A LTD VTG	31 754	48 313	1,587 69	2,415 59	LT	0 00	827 90	1,587 69	0 00	0 00
05/14/2012	09/24/2013	50 00	0 00	BID	SOTHEBYS HOLDINGS INC-CL A LTD VTG	31 915	48 313	1,595 76	2,415 60	LT	0 00	819 84	1,595 76	0 00	0 00
04/20/2012	09/25/2013	100 00	0 00	SNDK	SANDISK CORP	35 275	60 546	3,527 54	6,054 49	LT	0 00	2,526 95	3,527 54	0 00	0 00
06/25/2012	09/25/2013	195 00	0 00	WAG	WALGREEN CO	28 988	55 060	5,652 64	10,736 51	LT	0 00	5,083 87	5,652 64	0 00	0 00
LT TERM		5 638 00						2,14 259 35	2,269 668 81	LT	0 00	55 409 26	2,14 259 35	0 00	0 00
TOTAL								802 396 54	863 563 85	LT	5 753 05	55 409 26	802 396 54	0 00	0 00
SECTION		1 122 50													
TOTAL															

EQUITIES - NONCOVERED

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
08/20/2009	10/01/2012	162 00	0 00	ADT	ADT CORPORATIO N COM	20 516	35 764	3,323 60	5,793 69	LT	0 00	2,470 09	3,323 60	0 00	0 00
06/11/2007	10/01/2012	75 00	0 00	DHR	DANAHER CORP	35 962	56 567	2,697 15	4,242 40	LT	0 00	1,545 25	2,697 15	0 00	0 00
06/25/2009	10/03/2012	184 00	0 00	PBRA	***PETROLEO BRASILEIRO SA (PETROBRAS) -SPONSORED	32 713	22 212	6,019 10	4,086 82	LT	0 00	-1,932 28	6,019 10	0 00	0 00
08/31/2010	10/11/2012	220 00	0 00	NBL	NOBLE ENERGY INC	69 527	94 216	15,295 94	20,727 07	LT	0 00	5,431 13	15,295 94	0 00	0 00
07/12/2010	10/15/2012	50 00	0 00	APA	APACHE CORP	84 925	85 122	4,246 24	4,256 01	LT	0 00	9 77	4,246 24	0 00	0 00
07/26/2007	10/18/2012	10 00	0 00	MCD	MCDONALDS CORP	50 198	93 065	501 98	930 63	LT	0 00	428 65	501 98	0 00	0 00
04/20/2009	10/18/2012	25 00	0 00	ROP	ROPER INDUSTRIES INC NEW	41 129	110 355	1,028 24	2,758 82	LT	0 00	1,730 58	1,028 24	0 00	0 00
04/16/2009	10/22/2012	125 00	0 00	ABT	ABBOTT LABORATORIE S	42 567	65 708	5,320 82	8,213 27	LT	0 00	2,892 45	5,320 82	0 00	0 00

03/29/2010	10/22/2012	50 00	0 00	ABT	ABBOTT LABORATORIE S	53 073	65 708	2,653.67	3,285 31	LT	0 00	631 64	2,653 67	0 00	0 00
10/17/2007	10/22/2012	100 00	0 00	ABT	ABBOTT LABORATORIE S	51 978	65 708	5,197 56	6,570 61	LT	0 00	1,373 05	5,197 56	0 00	0 00
08/20/2009	11/01/2012	78 00	0 00	PNR	***PENTAIR LTD SHS	23 304	44 624	1,817 71	3,480 58	LT	0 00	1,862 87	1,817 71	0 00	0 00
10/21/2008	11/28/2012	169 00	0 00	HOC PY	***HOYA CORP	17 944	19 283	3,032 54	3,258 75	LT	0 00	226 21	3,032 54	0 00	0 00
03/02/2007	11/28/2012	80 00	0 00	HOC PY	SPONSORED ADR	34 550	19 283	2,764 00	1,542 60	LT	0 00	-1,221 40	2,764 00	0 00	0 00
08/07/2009	12/06/2012	20 00	0 00	EW	EDWARDS LIFESCIENCES CORP	31 944	90 652	638 88	1,812 99	LT	0 00	1,174 11	638 88	0 00	0 00
10/07/2010	12/06/2012	50 00	0 00	MCO	MOODYS CORP	26 388	49 281	1,319 41	2,464 00	LT	0 00	1,144 59	1,319 41	0 00	0 00
04/27/2010	12/12/2012	59 00	0 00	ARMH	ARM HOLDINGS PLC	11,792	36 793	695 70	2,170 76	LT	0 00	1,475.06	695 70	0 00	0 00
07/12/2010	12/20/2012	150 00	0 00	APA	SPONSORED ADR	84 925	79 761	12,738 72	11,963 92	LT	0 00	-774 80	12,738 72	0 00	0 00
03/01/2007	01/03/2013	100 00	0 00	ORCL	ORACLE CORP	16 870	34 448	1,687 00	3,444 69	LT	0 00	1,757 69	1,687 00	0 00	0 00
03/02/2007	01/07/2013	230 00	0 00	QGEN	***QIAGEN NV EUR 0 01	15 950	18 602	3,668 50	4,278 41	LT	0 00	609 91	3,668.50	0 00	0 00
06/11/2007	-01/09/2013	100 00	0 00	DHR	DANAHER CORP	35 962	59 675	3,596 21	5,967 41	LT	0 00	2,371 20	3,596 21	0 00	0 00
10/07/2010	01/14/2013	25 00	0 00	MCO	MOODYS CORP	26 388	53 349	659 71	1,333 69	LT	0 00	673 98	659 71	0 00	0 00
10/07/2010	01/15/2013	50 00	0 00	MCO	MOODYS CORP	26 388	53 305	1,319 41	2,665 20	LT	0 00	1,345 78	1,319 41	0 00	0 00
02/13/2008	02/04/2013	19 00	0 00	SLB	***SCHLUMBE RGER LTD	85 222	79 488	1,619 22	1,510 22	LT	0 00	-109 00	1,619 22	0 00	0 00
10/07/2010	02/05/2013	350 00	0 00	MCO	MOODYS CORP	26 388	47 670	9,235 87	16,684 17	LT	0 00	7,448 30	9,235 87	0 00	0 00
02/17/2010	02/08/2013	603 00	0 00	LFUGY	LI & FUNG LTD UNSPONSORE D ADR	4 681	2 572	2,822 58	1,550 88	LT	0 00	-1,271.70	2,822 58	0 00	0 00
12/17/2009	02/08/2013	914.00	0 00	LFUGY	LI & FUNG LTD UNSPONSORE D ADR	4 226	2,572	3,862 75	2,350 75	LT	0 00	-1,512 00	3,862 75	0 00	0 00
07/20/2010	02/14/2013	8 00	0 00	SLB	***SCHLUMBE RGER LTD	59 762	79 934	478 10	639 45	LT	0 00	161 35	478 10	0 00	0 00
02/13/2008	02/14/2013	16 00	0 00	SLB	***SCHLUMBE RGER LTD	85 222	79 934	1,363 55	1,278 90	LT	0 00	-84 65	1,363 55	0 00	0 00

04/27/2010	02/21/2013	34.00	0.00	ARMH	ARM HOLDINGS PLC	11 792	41 957	400 91	1,426 50	LT	0.00	1,025 59	400 91	0.00
					SPONSORED ADR									
12/19/2011	02/27/2013	331.18	0.00	ARBXX	**ARBITRAGE FUND CLASS I	13 050	12 760	4,321 83	4,225 79	LT	0.00	-96 04	4,321 83	0.00
03/12/2010	02/27/2013	14,638 85	0.00	ARBXX	**ARBITRAGE FUND CLASS I	13 070	12 760	191,303 67	186,768 25	LT	0.00	-4,537.42	191,303 67	0.00
08/04/2010	03/01/2013	15,131 60	0.00	NBHIX	**NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	10 250	12 150	155,058.94	183,848 99	LT	0.00	28,750 05	155,058 94	0.00
10/07/2010	03/01/2013	6,128 63	0.00	NBHIX	**NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	10 430	12 150	63,900 70	74,438 49	LT	0.00	10,537 79	63,900 70	0.00
03/02/2007	03/15/2013	255 00	0.00	AMX	**AMERICA MOVIL S A B DE C V	21 765	18 795	5,550 08	4,792 69	LT	0.00	-757 39	5,550 08	0.00
08/07/2009	03/28/2013	180 00	0.00	EW	SPONSORED ADR REPSTG SER L SHS EDWARDS LIFSCIENCES CORP	31 944	82.122	5,749 91	14,781 62	LT	0.00	9,031 71	5,749 91	0.00
07/26/2007	04/12/2013	75 00	0.00	MCD	MCDONALDS CORP	50 198	103 381	3,764 82	7,753 40	LT	0.00	3,988 58	3,764 82	0.00
04/27/2010	04/26/2013	23.00	0.00	ARMH	ARM HOLDINGS PLC	11 792	45 710	271 20	1,051 30	LT	0.00	780 10	271 20	0.00
04/27/2010	04/26/2013	50 00	0.00	MSFT	SPONSORED ADR MICROSOFT CORP	31 098	31 741	1,554 92	1,586 99	LT	0.00	32 07	1,554 92	0.00
04/02/2008	05/09/2013	150.00	0.00	OMC	OMNICOM GROUP INC	45 606	62 366	6,840 92	9,354 68	LT	0.00	2,513 76	6,840 92	0.00
10/27/2010	05/10/2013	30 00	0.00	MON	MONSANTO CO	59 556	107 907	1,786 69	3,237 15	LT	0.00	1,450.46	1,786 69	0.00
10/07/2010	05/31/2013	25 00	0.00	AXP	AMERICAN EXPRESS COMPANY	37 939	76 537	948 48	1,913 40	LT	0.00	964 92	948 48	0.00
03/02/2007	06/05/2013	76 00	0.00	HBC	**HSBC HOLDINGS PLC SPONSORED ADR NEW	78 544	55 189	5,969 34	4,192 72	LT	0.00	-1,776 62	5,969 34	0.00

04/27/2010	06/18/2013	45 00	0 00	ARMH	ARM HOLDINGS PLC	11 792	39 623	530 62	1,783 00	LT	0 00	1,252 38	530 62	0 00	0 00
					SPONSORED										
					ADR										
06/04/2009	06/21/2013	75 00	0 00	ESRX	EXPRESS SCRIPTS HLDG CO COM	30 500	61 585	2,287 50	4,618 78	LT	0 00	2,331 26	2,287 50	0 00	0 00
					GENERAL										
07/17/2009	07/08/2013	575 00	0 00	GE	ELECTRIC CO	11 726	23 414	6,742 28	13,462.87	LT	0 00	6,720 59	6,742 28	0 00	0 00
					INTERNATION										
01/10/2008	07/18/2013	40 00	0 00	IBM	AL BUSINESS MACHINES	99 280	198 408	3,971 20	7,936 19	LT	0 00	3,964 99	3,971 20	0 00	0 00
					CORP										
04/02/2008	07/18/2013	250 00	0 00	OMC	OMNICOM GROUP INC	45 606	65 792	11,401 52	16,447 74	LT	0 00	5,046 22	11,401 52	0 00	0 00
					OMNICOM										
04/02/2008	07/19/2013	150 00	0 00	OMC	GROUP INC	45 606	64 542	6,840 92	9,681 12	LT	0 00	2,840 20	6,840 92	0 00	0 00
					***SCHLUMBE										
10/17/2008	07/22/2013	75 00	0 00	SLB	RGER LTD	49 783	84 258	3,733.70	6,319.21	LT	0 00	2,585 51	3,733 70	0 00	0 00
					***AIR										
03/02/2007	07/24/2013	67 00	0 00	AIQY	LIQUIDE-ADR	17 613	25 937	1,180 09	1,737 77	LT	0 00	557 68	1,180 09	0 00	0 00
					VARIAN										
08/07/2009	07/25/2013	75 00	0 00	VAR	MEDICAL	38 139	73 035	2,710 44	5,477 50	LT	0 00	2,767.06	2,710 44	0 00	0 00
					SYSTEMS INC										
03/30/2007	07/29/2013	4 00	0 00	DASTY	***DASSAULT	53 833	130 710	215 33	522 83	LT	0 00	307.50	215 33	0 00	0 00
					SYSTEMES SA										
					SPONSORED										
					ADR										
07/26/2007	07/29/2013	30 00	0 00	MCD	MCDONALDS	50 188	97 718	1,505 93	2,931 48	LT	0 00	1,425 55	1,505 93	0 00	0 00
					CORP										
07/26/2007	07/29/2013	135 00	0 00	MCD	MCDONALDS	50 198	97 718	6,776 67	13,191 70	LT	0 00	6,415 03	6,776 67	0 00	0 00
					CORP										
09/21/2010	07/29/2013	21 00	0 00	SWGAY	SWATCH GROUP AG	18 315	29 510	384 62	619 69	LT	0 00	235 07	384 62	0 00	0 00
					(THE)										
					UNSPONSORE										
					D ADR										
04/13/2010	08/05/2013	1 00	0 00	AAPL	APPLE INC	241 983	466 853	241 98	466 85	LT	0 00	224 87	241 98	0 00	0 00
					***LONZA										
09/06/2010	09/12/2013	155 00	0 00	LZAGY	GROUP AG	7 857	8 015	1,217 91	1,242 30	LT	0 00	24 39	1,217 91	0 00	0 00
					ZUERICH										
					UNSPONSORE										
					D ADR										
09/06/2010	09/13/2013	376 00	0 00	LZAGY	***LONZA	7 857	7 978	2,954 40	2,999 78	LT	0 00	45 38	2,954 40	0 00	0 00
					GROUP AG										
					ZUERICH										
					UNSPONSORE										
					D ADR										
TOTAL		43,220.26						599,761.68	7,807.76	LT	0 00	118,309.08	599,761.68	0 00	0 00

SECTION: 13,220.26
 TOTAL: 13,220.26

FIXED INCOME - NONCOVERED

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	Gain/Loss	ST	LT	Book Cost	Disc Inc	Curr Gain/Loss
07/16/2012	10/02/2012	15,000.00	0.00	912828TB6	UNITED STATES TREASURY NOTE 0.75000% 6/30/2017 912828TB6 JD_30	100.778	100.828	15,116.65	15,124.17	ST	7.52	0.00	0.00	15,116.65	0.00	0.00
04/19/2012	10/25/2012	94.83	0.00	3138E9LH1	FNMA GTD PASS THRU POOL#AK4827 3.50000% 4/1/2042 3138E9LH1	103.641	100.000	98.28	94.83	ST	-3.45	0.00	0.00	98.28	0.00	0.00
08/13/2012	10/25/2012	32.53	0.00	3138M8QP1	FNMA GTD PASS THRU POOL#AP4961 3.00000% 9/1/2042 3138M8QP1	103.156	100.000	33.56	32.53	ST	-1.03	0.00	0.00	33.56	0.00	0.00
12/30/2011	10/31/2012	65,000.00	0.00	912828PD6	UNITED STATES TREASURY NOTE 0.37500% 10/31/2012 912828PD6 AO_31	100.211	100.000	65,137.33	65,000.00	ST	-137.33	0.00	0.00	65,137.33	0.00	0.00
05/31/2012	11/14/2012	5,000.00	0.00	912828SS0	UNITED STATES TREASURY NOTE 0.87500% 4/30/2017 912828SS0 AO_30	101.098	101.433	5,054.90	5,071.66	ST	16.76	0.00	0.00	5,054.90	0.00	0.00
04/19/2012	11/25/2012	70.63	0.00	3138E9LH1	FNMA GTD PASS THRU POOL#AK4827 3.50000% 4/1/2042 3138E9LH1	103.641	100.000	73.20	70.63	ST	-2.57	0.00	0.00	73.20	0.00	0.00

08/13/2012	11/25/2012	33.71	0.00	3138M8QP1	FNMA GTD PASS THRU POOL#AP4961 3.00000% 9/1/2042	103.156	100.000	34.77	33.71	ST	-1.06	0.00	34.77	0.00	0.00
05/31/2012	12/04/2012	10,000.00	0.00	912828SS0	3138M8QP1 UNITED STATES TREASURY NOTE 0.87500% 4/30/2017 912828SS0 AO_30	101.098	101.476	10,109.80	10,147.62	ST	37.82	0.00	10,109.80	0.00	0.00
04/19/2012	12/25/2012	173.62	0.00	3138E9LH1	FNMA GTD PASS THRU POOL#AK4827 3.50000% 4/1/2042	103.641	100.000	179.94	173.62	ST	-6.32	0.00	179.94	0.00	0.00
10/31/2012	12/25/2012	257.40	0.00	3138M3SP0	3138E9LH1 FNMA GTD PASS THRU POOL#AP0525 3.50000% 7/1/2042	106.656	100.000	274.53	257.40	ST	-17.13	0.00	274.53	0.00	0.00
08/13/2012	12/25/2012	33.06	0.00	3138M8QP1	3138M3SP0 FNMA GTD PASS THRU POOL#AP4961 3.00000% 9/1/2042	103.156	100.000	34.10	33.06	ST	-1.04	0.00	34.10	0.00	0.00
10/31/2012	12/25/2012	266.24	0.00	31418AKS6	3138M8QP1 FNMA GTD PASS THRU POOL#MA120 4.3.50000% 10/1/2042	106.641	100.000	286.05	266.24	ST	-17.81	0.00	286.05	0.00	0.00
09/28/2012	01/16/2013	25,000.00	0.00	912828TS9	31418AKS6 UNITED STATES TREASURY NOTE 0.62500% 9/30/2017 912828TS9 MS_30	99.981	99.699	24,995.20	24,924.72	ST	-70.48	0.00	24,995.20	0.00	0.00

12/20/2012	01/16/2013	5,000.00	0.00	912828TW0	UNITED STATES TREASURY NOTE 0.75000% 10/31/2017 912828TW0 AO_31	100 121	100 211	5,006.07	5,010.53	ST	4.46	0.00	5,006.07	0.00
04/19/2012	01/25/2013	303.93	0.00	3138E9LH1	FNMA GTD PASS THRU POOL#AK4827 3.50000% 4/1/2042 3138E9LH1	103 641	100 000	314.99	303.93	ST	-11.06	0.00	314.99	0.00
10/31/2012	01/25/2013	752.97	0.00	3138M3SP0	FNMA GTD PASS THRU POOL#AP0525 3.50000% 7/1/2042 3138M3SP0	106 656	100 000	803.09	752.97	ST	-50.12	0.00	803.09	0.00
08/13/2012	01/25/2013	35.05	0.00	3138M8QP1	FNMA GTD PASS THRU POOL#AP4961 3.00000% 9/1/2042 3138M8QP1	103 156	100 000	36.16	35.05	ST	-1.11	0.00	36.16	0.00
11/30/2012	01/25/2013	69.83	0.00	3138MPXR1	FNMA GTD PASS THRU POOL#AQ788 7.30000% 12/1/2042 3138MPXR1	105 219	100 000	73.47	69.83	ST	-3.64	0.00	73.47	0.00
10/31/2012	01/25/2013	125.33	0.00	31418AKS6	FNMA GTD PASS THRU POOL#MA120 4.35000% 10/1/2042 31418AKS6	106 641	100 000	133.65	125.33	ST	-8.32	0.00	133.65	0.00
05/31/2012	02/14/2013	20,000.00	0.00	912828SS0	UNITED STATES TREASURY NOTE 0.87500% 4/30/2017 912828SS0 AO_30	101 098	100 793	20,219.60	20,158.53	ST	-61.07	0.00	20,219.60	0.00
04/19/2012	02/25/2013	698.27	0.00	3138E9LH1	FNMA GTD PASS THRU POOL#AK4827 3.50000% 4/1/2042 3138E9LH1	103 641	100 000	723.69	698.27	ST	-25.42	0.00	723.69	0.00

10/31/2012	02/25/2013	349.52	0.00	3138M3SP0	FNMA GTD PASS THRU POOL#AP0525 3.50000% 7/1/2042	106.656	100.000	372.78	349.52	ST	-23.26	0.00	372.78	0.00	0.00
08/13/2012	02/25/2013	791.09	0.00	3138M8QP1	FNMA GTD PASS THRU POOL#AP4961 3.00000% 9/1/2042	103.156	100.000	816.06	791.09	ST	-24.97	0.00	816.06	0.00	0.00
12/31/2012	02/25/2013	329.75	0.00	3138MNET3	FNMA GTD PASS THRU POOL#AQ644 5.3.00000% 12/1/2042	104.859	100.000	345.77	329.75	ST	-16.02	0.00	345.77	0.00	0.00
11/30/2012	02/25/2013	316.41	0.00	3138MPXR1	3138MNET3 FNMA GTD PASS THRU POOL#AQ788 7.3.00000% 12/1/2042	105.219	100.000	332.92	316.41	ST	-16.51	0.00	332.92	0.00	0.00
10/31/2012	02/25/2013	151.02	0.00	31418AKS6	3138MPXR1 FNMA GTD PASS THRU POOL#MA120 4.3.50000% 10/1/2042	106.641	100.000	161.05	151.02	ST	-10.03	0.00	161.05	0.00	0.00
03/07/2012	02/27/2013	5,000.00	0.00	428236BW2	31418AKS6 HEWLETT PACKARD CO UNSECURED 2.60000% 9/15/2017	99.985	100.063	4,999.25	5,003.15	ST	3.90	0.00	4,999.25	0.00	0.00
04/19/2012	03/25/2013	338.74	0.00	3138E9LH1	428236BW2 MS_15 FNMA GTD PASS THRU POOL#AK4827 3.50000% 4/1/2042	103.641	100.000	351.07	338.74	ST	-12.33	0.00	351.07	0.00	0.00
10/31/2012	03/25/2013	346.84	0.00	3138M3SP0	3138E9LH1 FNMA GTD PASS THRU POOL#AP0525 3.50000% 7/1/2042	106.656	100.000	369.93	346.84	ST	-23.09	0.00	369.93	0.00	0.00

08/13/2012	03/25/2013	31 16	0 00	3138M8QP1	FNMA GTD PASS THRU POOL#AP4981 3.00000% 9/1/2042	103.156	100 000	32 14	31 16	ST	-0.98	0 00	32 14	0 00	0 00
12/31/2012	03/25/2013	59 46	0 00	3138MNET3	FNMA GTD PASS THRU POOL#AQ644 5 3.00000% 12/1/2042	104 859	100 000	52 35	59 46	ST	-2.89	0 00	62 35	0 00	0 00
11/30/2012	03/25/2013	332.15	0 00	3138MPXR1	FNMA GTD PASS THRU POOL#AQ788 7 3 00000% 12/1/2042	105 219	100 000	349 48	332 15	ST	-17 33	0 00	349 48	0 00	0 00
10/31/2012	03/25/2013	447 17	0 00	31418AKS6	FNMA GTD PASS THRU POOL#MA120 4 3 50000% 10/1/2042	106 641	100 000	476 86	447 17	ST	-29 69	0 00	476 86	0 00	0 00
02/08/2013	03/26/2013	25,000.00	0 00	912828QL7	UNITED STATES TREASURY NOTE 0 75000% 3/31/2013	100 090	100 004	25,022 54	25,000 89	ST	-21 65	0 00	25,022 54	0 00	0 00
04/30/2012	04/03/2013	5,000 00	0 00	912828SS0	UNITED STATES TREASURY NOTE 0 87500% 4/30/2017	100 309	101 390	5,015 45	5,069 51	ST	54 06	0 00	5,015 45	0 00	0 00
01/31/2013	04/12/2013	5,000 00	0 00	912828TY6	AO_30 UNITED STATES TREASURY NOTE 1 62500% 11/15/2022	96 825	99 468	4,841 23	4,973 42	ST	132 19	0 00	4,841 23	0 00	0 00
					912828TY6 MN_15										

10/31/2012	04/25/2013	257 03	0 00	31418AKS6	FNMA GTD PASS THRU POOL#MA120 4 3 50000% 10/1/2042	106 641	100 000	274 10	257 03	ST	-17 07	0 00	274 10	0 00	0 00
09/27/2012	04/29/2013	5,000 00	0 00	942683AG8	31418AKS6 WATSON PHARMACEUTI CALS 1 87500% 10/1/2017	99 541	100 206	4,977 05	5,010 30	ST	33 25	0 00	4,977 05	0 00	0 00
08/31/2012	05/07/2013	10,000 00	0 00	912828TG5	AO_01 UNITED STATES TREASURY NOTE 0 50000% 7/31/2017	99 481	99 574	9,948 08	9,957 39	ST	9 31	0 00	9,948 08	0 00	0 00
05/08/2012	05/08/2013	5,000 00	0 00	084664BS9	JJ_31 BERKSHIRE HATHAWAY FIN CORP SR UNSECURED 1 60000% 5/15/2017	99 923	102 164	4,996 15	5,108 20	ST	112 05	0 00	4,996 15	0 00	0 00
09/05/2012	05/08/2013	15,000 00	0 00	084664BS9	MN_15 BERKSHIRE HATHAWAY FIN CORP SR UNSECURED 1 60000% 5/15/2017	101 839	102 164	15,275 85	15,324 60	ST	48 75	0 00	15,275 85	0 00	0 00
04/29/2013	05/08/2013	5,000 00	0 00	912828UZ1	MN_15 UNITED STATES TREASURY NOTE 0 62500% 4/30/2018	99 750	99 402	4,987 52	4,970 10	ST	-17 42	0 00	4,987 52	0 00	0 00
09/28/2012	05/08/2013	5,000 00	0 00	912828TS9	AO_30 UNITED STATES TREASURY NOTE 0 62500% 9/30/2017	99 981	99 976	4,999 04	4,998 81	ST	-0 23	0 00	4,999 04	0 00	0 00
					912828TS9 MS_30										

02/14/2013	05/15/2013	25,000.00	0.00	3135G0VA8	FEDERAL NATIONAL MTG ASSN 0.50000% 3/30/2016 3135G0VA8 MS_30	99 963	100 170	24,990.75	25,042.53	ST	51.78	0.00	24,990.75	0.00	0.00
04/30/2013	05/15/2013	55,000.00	0.00	912828UW8	UNITED STATES TREASURY NOTE 0.25000% 4/15/2016 912828UW8 AO_15	99 832	99 832	54,907.80	54,797.86	ST	-109.94	0.00	54,907.80	0.00	0.00
03/28/2013	05/15/2013	15,000.00	0.00	912828US7	UNITED STATES TREASURY NOTE 0.37500% 3/15/2016 912828US7 MS_15	100 059	100 019	15,008.84	15,002.88	ST	-5.96	0.00	15,008.84	0.00	0.00
03/26/2013	05/25/2013	345.01	0.00	31410LC26	FNMA GTD PASS THRU POOL#890289 4.50000% 2/1/2041	107 922	100 000	372.34	345.01	ST	-27.33	0.00	372.34	0.00	0.00
03/26/2013	05/25/2013	143.07	0.00	31416WEZ1	FNMA GTD PASS THRU POOL#AB1051 4.50000% 5/1/2040	108 000	100 000	154.52	143.07	ST	-11.45	0.00	154.52	0.00	0.00
03/26/2013	05/25/2013	1,135.92	0.00	3138AA4S7	FNMA GTD PASS THRU POOL#AH8932 4.50000% 4/1/2041	108 000	100 000	1,226.79	1,135.92	ST	-90.87	0.00	1,226.79	0.00	0.00
03/26/2013	05/25/2013	313.34	0.00	3138AEE90	FNMA GTD PASS THRU POOL#AI1059 4.50000% 6/1/2041	107 859	100 000	337.97	313.34	ST	-24.63	0.00	337.97	0.00	0.00

03/26/2013	05/25/2013	590.04	0.00	3138E7YC2	FNMA GTD PASS THRU POOL#AK3406 4.50000% 1/1/2042	107.922	100.000	636.79	590.04	ST	-46.75	0.00	636.79	0.00	0.00
10/31/2012	05/25/2013	320.89	0.00	3138M3SP0	3138E7YC2 FNMA GTD PASS THRU POOL#AP0525 3.50000% 7/1/2042	106.656	100.000	342.25	320.89	ST	-21.36	0.00	342.25	0.00	0.00
08/13/2012	05/25/2013	30.91	0.00	3138M8QP1	3138M3SP0 FNMA GTD PASS THRU POOL#AP4961 3.00000% 9/1/2042	103.156	100.000	31.89	30.91	ST	-0.98	0.00	31.89	0.00	0.00
12/31/2012	05/25/2013	60.02	0.00	3138MNET3	3138M8QP1 FNMA GTD PASS THRU POOL#AQ644 5.30000% 12/1/2042	104.859	100.000	62.94	60.02	ST	-2.92	0.00	62.94	0.00	0.00
11/30/2012	05/25/2013	74.31	0.00	3138MPXR1	3138MNET3 FNMA GTD PASS THRU POOL#AQ788 7.30000% 12/1/2042	105.219	100.000	78.19	74.31	ST	-3.88	0.00	78.19	0.00	0.00
10/31/2012	05/25/2013	246.22	0.00	31418AKS6	3138MPXR1 FNMA GTD PASS THRU POOL#MA120 4.30000% 10/1/2042	106.641	100.000	262.57	246.22	ST	-16.35	0.00	262.57	0.00	0.00
04/30/2013	06/03/2013	10,000.00	0.00	037833AJ9	31418AKS6 APPLE INC 1.00000% 5/3/2018 037833AJ9 MN_03	99.631	98.062	9,963.10	9,806.20	ST	-156.90	0.00	9,963.10	0.00	0.00
04/12/2013	06/15/2013	31.00	0.00	3132J82U3	FEDL HOME LOAN MTG CORP#Q17586 3.50000% 4/1/2043 3132J82U3	106.156	100.000	32.91	31.00	ST	-1.91	0.00	32.91	0.00	0.00

03/26/2013	06/25/2013	330 35	0 00	31410LC26	FNMA GTD PASS THRU POOL#890289 4 50000% 2/1/2041	107 922	100 000	356 52	330 35	ST	-26.17	0 00	356 52	0 00	0 00
03/26/2013	06/25/2013	614 40	0 00	31416WEZ1	FNMA GTD PASS THRU POOL#AB1051 4.50000% 5/1/2040	108 000	100 000	663 55	614 40	ST	-49 15	0 00	663 55	0 00	0 00
03/26/2013	06/25/2013	601 69	0 00	3138AA4S7	31416WEZ1 FNMA GTD PASS THRU POOL#AH8932 4 50000% 4/1/2041	108 000	100 000	649 83	601 69	ST	-48 14	0 00	649 83	0 00	0 00
03/26/2013	06/25/2013	953 57	0 00	3138AEE90	3138AA4S7 FNMA GTD PASS THRU POOL#AI1059 4 50000% 6/1/2041	107 859	100 000	1,028 51	953 57	ST	-74 94	0 00	1,028 51	0 00	0 00
03/26/2013	06/25/2013	22 48	0 00	3138E7YC2	3138AEE90 FNMA GTD PASS THRU POOL#AK3406 4 50000% 1/1/2042	107 922	100 000	24 26	22 48	ST	-1.78	0 00	24 26	0 00	0 00
10/31/2012	06/25/2013	844 67	0 00	3138M3SP0	3138E7YC2 FNMA GTD PASS THRU POOL#AP0525 3 50000% 7/1/2042	106 656	100,000	900 89	844 67	ST	-56 22	0 00	900 89	0 00	0 00
08/13/2012	06/25/2013	31 75	0 00	3138M8QP1	3138M3SP0 FNMA GTD PASS THRU POOL#AP4961 3 00000% 9/1/2042	103 156	100 000	32 75	31 75	ST	-1 00	0 00	32 75	0 00	0 00
04/12/2013	06/25/2013	117 59	0 00	3138MFTC1	3138M8QP1 FNMA GTD PASS THRU POOL#AQ054 6 3 50000% 11/1/2042	106 344	100 000	125 19	117 59	ST	-7 60	0 00	125.19	0 00	0 00

04/12/2013	06/25/2013	207 25	0 00	3138MLCP7	FNMA GTD PASS THRU POOL#AQ457 7 3 50000% 12/1/2042	106 344	100 000	220 64	207 25 ST	-13 39	0 00	220 64	0 00	0 00
12/31/2012	06/25/2013	63 34	0 00	3138MNET3	3138MLCP7 FNMA GTD PASS THRU POOL#AQ644 5 3 00000% 12/1/2042	104 859	100 000	66 42	63 34 ST	-3 08	0 00	66 42	0 00	0 00
11/30/2012	06/25/2013	288 58	0 00	3138MPXR1	3138MNET3 FNMA GTD PASS THRU POOL#AQ788 7 3 00000% 12/1/2042	105 219	100 000	303 64	288 58 ST	-15 06	0 00	303 64	0 00	0 00
04/12/2013	06/25/2013	1,485 01	0 00	3138MQCT8	3138MPXR1 FNMA GTD PASS THRU POOL#AQ818 1 3 50000% 1/1/2043	106 375	100 000	1,581 41	1,485 01 ST	-96 40	0 00	1,581 41	0 00	0 00
04/12/2013	06/25/2013	7 16	0 00	3138WN3Y3	3138MQCT8 FNMA GTD PASS THRU POOL#AT1714 3 50000% 4/1/2043	106 375	100 000	7 62	7 16 ST	-0 46	0 00	7 62	0 00	0 00
10/31/2012	06/25/2013	261 13	0 00	31418AKS6	3138WN3Y3 FNMA GTD PASS THRU POOL#WA120 4 3 50000% 10/1/2042	106 641	100 000	278 47	261 13 ST	-17 34	0 00	278 47	0 00	0 00
05/15/2013	07/15/2013	526 27	0 00	31292SBK5	31418AKS6 FEDL HOME LOAN MTG CORP#C05042 3 50000% 5/1/2043	105 281	100 000	554 06	526 27 ST	-27 79	0 00	554 06	0 00	0 00
04/12/2013	07/15/2013	30 92	0 00	3132J82U3	31292SBK5 FEDL HOME LOAN MTG CORP#Q17586 3 50000% 4/1/2043 3132J82U3	106 156	100 000	32 82	30 92 ST	-1 90	0 00	32 82	0 00	0 00

03/26/2013	07/25/2013	274 79	0 00	31410LC26	FNMA GTD PASS THRU POOL#890289 4 50000% 2/1/2041	107 922	100 000	296 56	274 79	ST	-21 77	0 00	296 56	0 00	0 00
03/26/2013	07/25/2013	890 14	0 00	31416WEZ1	31410LC26 FNMA GTD PASS THRU POOL#AB1051 4 50000% 5/1/2040	108 000	100 000	745 35	690 14	ST	-55 21	0 00	745 35	0 00	0 00
05/31/2013	07/25/2013	78 27	0 00	31417ESX0	31416WEZ1 FNMA GTD PASS THRU POOL#AB7733 3 00000% 1/1/2043	100 367	100 000	78 56	78 27	ST	-0 29	0 00	78 56	0 00	0 00
03/26/2013	07/25/2013	1,794 83	0 00	3138AA4S7	31417ESX0 FNMA GTD PASS THRU POOL#AH8932 4 50000% 4/1/2041	108 000	100 000	1,938 42	1,794 83	ST	-143 59	0 00	1,938 42	0 00	0 00
03/26/2013	07/25/2013	530 33	0 00	3138AEE90	3138AA4S7 FNMA GTD PASS THRU POOL#AI1059 4 50000% 6/1/2041	107 859	100 000	572 01	530 33	ST	-41 68	0 00	572 01	0 00	0 00
03/26/2013	07/25/2013	510 78	0 00	3138E7YC2	3138AEE90 FNMA GTD PASS THRU POOL#AK3406 4 50000% 1/1/2042	107 922	100 000	551 24	510 78	ST	-40 46	0 00	551 24	0 00	0 00
10/31/2012	07/25/2013	241 88	0 00	3138M3SP0	3138E7YC2 FNMA GTD PASS THRU POOL#AP0525 3 50000% 7/1/2042	106 856	100 000	257 98	241 88	ST	-16 10	0 00	257 98	0 00	0 00
08/13/2012	07/25/2013	32 82	0 00	3138M8QP1	3138M3SP0 FNMA GTD PASS THRU POOL#AP4961 3 00000% 9/1/2042	103 156	100 000	33 86	32 82	ST	-1 04	0 00	33 86	0 00	0 00

04/12/2013	07/25/2013	175 50	0 00	3138MFTC1	FNMA GTD PASS THRU POOL#AQ054 6 3 50000% 11/1/2042	106 344	100 000	188 84	175 50	ST	-11 34	0 00	186 84	0 00	0 00
04/12/2013	07/25/2013	275 07	0 00	3138MLCP7	FNMA GTD PASS THRU POOL#AQ457 7 3 50000% 12/1/2042	106 344	100 000	292 84	275 07	ST	-17 77	0 00	292 84	0 00	0 00
12/31/2012	07/25/2013	60 80	0 00	3138MNET3	FNMA GTD PASS THRU POOL#AQ844 5 3 00000% 12/1/2042	104 859	100 000	63 75	60 80	ST	-2 95	0 00	63 75	0 00	0 00
11/30/2012	07/25/2013	251 89	0 00	3138MPXR1	FNMA GTD PASS THRU POOL#AQ788 7 3 00000% 12/1/2042	105 219	100 000	265 04	251 89	ST	-13 15	0 00	265 04	0 00	0 00
04/12/2013	07/25/2013	41 15	0 00	3138MQCT8	FNMA GTD PASS THRU POOL#AQ818 1 3 50000% 1/1/2043	106 375	100 000	43 82	41 15	ST	-2 67	0 00	43 82	0 00	0 00
04/12/2013	07/25/2013	7 18	0 00	3138WN3Y3	FNMA GTD PASS THRU POOL#AT1714 3 50000% 4/1/2043	106 375	100 000	7 65	7 18	ST	-0 47	0 00	7 65	0 00	0 00
10/31/2012	07/25/2013	222 71	0 00	31418AKS6	FNMA GTD PASS THRU POOL#MA120 4 3 50000% 10/1/2042	106 641	100 000	237 50	222 71	ST	-14 79	0 00	237 50	0 00	0 00
07/31/2013	08/07/2013	10,000 00	0 00	912828VQ0	UNITED STATES TREASURY NOTE 1 37500% 7/31/2018 912828VQ0 JJ_31	99 567	100 027	8,956 68	10,002 70	ST	48 02	0 00	8,956 68	0 00	0 00

07/16/2013	08/12/2013	5,000.00	0.00	912828VK3	UNITED STATES TREASURY NOTE	100 004	100 109	5,000.21	5,005.45	ST	524	0.00	5,000.21	0.00	0.00
					1.37500%										
					8/30/2018										
					912828VK3										
					JD_30										
05/15/2013	08/15/2013	512.15	0.00	31292SBK5	FEDL HOME LOAN MTG	105 281	100 000	539.20	512.15	ST	-27.05	0.00	539.20	0.00	0.00
					CORP#C09042										
					3.50000%										
					5/1/2043										
					31292SBK5										
04/12/2013	08/15/2013	31.80	0.00	3132JB2U3	FEDL HOME LOAN MTG	105 156	100 000	33.76	31.80	ST	-1.96	0.00	33.76	0.00	0.00
					CORP#Q17586										
					3.50000%										
					4/1/2043										
					3132JB2U3										
07/31/2013	08/21/2013	5,000.00	0.00	912828VQ0	UNITED STATES TREASURY NOTE	99 567	99 082	4,978.34	4,954.08	ST	-24.26	0.00	4,978.34	0.00	0.00
					1.37500%										
					7/31/2018										
					912828VQ0										
					JJ_31										
03/26/2013	08/25/2013	279.20	0.00	31410LC26	FNMA GTD	107 922	100 000	301.32	279.20	ST	-22.12	0.00	301.32	0.00	0.00
					PASS THRU										
					POOL#890289										
					4.50000%										
					2/1/2041										
					31410LC26										
03/26/2013	08/25/2013	481.40	0.00	31416WEZ1	FNMA GTD	108 000	100 000	519.91	481.40	ST	-38.51	0.00	519.91	0.00	0.00
					PASS THRU										
					POOL#AB1051										
					4.50000%										
					5/1/2040										
					31416WEZ1										
05/31/2013	08/25/2013	86.54	0.00	31417ESX0	FNMA GTD	100 367	100 000	86.86	86.54	ST	-0.32	0.00	86.86	0.00	0.00
					PASS THRU										
					POOL#AB7733										
					3.00000%										
					1/1/2043										
					31417ESX0										
03/26/2013	08/25/2013	648.55	0.00	3138AA4S7	FNMA GTD	108 000	100 000	700.43	648.55	ST	-51.88	0.00	700.43	0.00	0.00
					PASS THRU										
					POOL#AH832										
					4.50000%										
					4/1/2041										
					3138AA4S7										

03/28/2013	08/25/2013	140 84	0 00	3138AEE90	FNMA GTD PASS THRU POOL#A1059 4 50000% 6/1/2041	107 859	100 000	151 91	140 84	ST	-11 07	0 00	151 91	0 00	0 00
03/26/2013	08/25/2013	22 24	0 00	3138E7YC2	FNMA GTD PASS THRU POOL#AK3406 4 50000% 1/1/2042	107 922	100 000	24 00	22 24	ST	-1 76	0 00	24 00	0 00	0 00
10/31/2012	08/25/2013	231 57	0 00	3138M3SP0	FNMA GTD PASS THRU POOL#AP0525 3 50000% 7/1/2042	106 856	100 000	246 98	231 57	ST	-15 41	0 00	246 98	0 00	0 00
04/12/2013	08/25/2013	83 77	0 00	3138MFTC1	FNMA GTD PASS THRU POOL#AQ054 6 3 50000% 11/1/2042	106 344	100 000	89 18	83 77	ST	-5 41	0 00	89 18	0 00	0 00
04/12/2013	08/25/2013	466 62	0 00	3138MLCP7	FNMA GTD PASS THRU POOL#AQ457 7 3 50000% 12/1/2042	106 344	100 000	496 77	466 62	ST	-30 15	0 00	496 77	0 00	0 00
12/31/2012	08/25/2013	751 45	0 00	3138MNET3	FNMA GTD PASS THRU POOL#AQ644 5 3 00000% 12/1/2042	104 859	100 000	787 97	751 45	ST	-36 52	0 00	787 97	0 00	0 00
11/30/2012	08/25/2013	321 07	0 00	3138MPXR1	FNMA GTD PASS THRU POOL#AQ788 7 3 00000% 12/1/2042	105 219	100 000	337 83	321 07	ST	-16 76	0 00	337 83	0 00	0 00
04/12/2013	08/25/2013	41 38	0 00	3138MQCT8	FNMA GTD PASS THRU POOL#AQ818 1 3 50000% 1/1/2043	106 375	100 000	44 07	41 38	ST	-2 69	0 00	44 07	0 00	0 00

04/12/2013	08/25/2013	7 60	0 00	3138WN3Y3	FNMA GTD PASS THRU POOL#AT1714 3 50000% 4/1/2043	106 375	100 000	8 09	7 60	ST	-0 49	0 00	8 09	0 00	0 00
10/31/2012	08/25/2013	203 38	0 00	31418AKS6	FNMA GTD PASS THRU POOL#MA120 4 3 50000% 10/1/2042	106 641	100 000	216 89	203 38	ST	-13 51	0 00	216 89	0 00	0 00
05/31/2013	08/30/2013	15,000 00	0 00	912828JT8	31418AKS6 UNITED STATES TREASURY NOTES 2.00000% 11/30/2013 912828JT8 MN_30	100 942	100 468	15,141 26	15,070 26	ST	-71 00	0 00	15,141 26	0 00	0 00
05/15/2013	09/15/2013	424 16	0 00	31292SBK5	FEDL HOME LOAN MTG CORP#C09042 3 50000% 5/1/2043	105 281	100 000	446 56	424 16	ST	-22 40	0 00	446 56	0 00	0 00
04/12/2013	09/15/2013	31 27	0 00	3132J82U3	31292SBK5 FEDL HOME LOAN MTG CORP#Q17586 3 50000% 4/1/2043	106 156	100 000	33 20	31 27	ST	-1 93	0 00	33 20	0 00	0 00
07/31/2013	09/16/2013	5,000 00	0 00	912828VQ0	3132J82U3 UNITED STATES TREASURY NOTE 1 37500% 7/31/2018 912828VQ0 JJ_31	99 567	98 894	4,978 33	4,944 71	ST	-33 62	0 00	4,978 33	0 00	0 00
03/26/2013	09/25/2013	186 65	0 00	31410LC26	FNMA GTD PASS THRU POOL#890289 4 50000% 2/1/2041	107 922	100 000	201 44	186 65	ST	-14 79	0 00	201 44	0 00	0 00
03/26/2013	09/25/2013	333 62	0 00	31416WEZ1	31410LC26 FNMA GTD PASS THRU POOL#AB1051 4 50000% 5/1/2040 31416WEZ1	108 000	100 000	360 31	333 62	ST	-26 69	0 00	360 31	0 00	0 00

05/31/2013	09/25/2013	70 17	0 00	31417ESX0	FNMA GTD PASS THRU POOL#AB7733 3 00000% 1/1/2043	100 367	100 000	70 43	70 17	ST	-0 26	0 00	70 43	0 00	0 00
03/26/2013	09/25/2013	209 16	0 00	3138AA4S7	FNMA GTD PASS THRU POOL#AH8932 4 50000% 4/1/2041	108 000	100 000	225 89	209 16	ST	-16 73	0 00	225 89	0 00	0 00
03/26/2013	09/25/2013	209 32	0 00	3138AAE90	FNMA GTD PASS THRU POOL#AI1059 4 50000% 6/1/2041	107 859	100 000	225 77	209 32	ST	-16 45	0 00	225 77	0 00	0 00
03/26/2013	09/25/2013	21 94	0 00	3138E7YC2	FNMA GTD PASS THRU POOL#AK3406 4 50000% 1/1/2042	107 922	100 000	23 68	21 94	ST	-1 74	0 00	23 68	0 00	0 00
10/31/2012	09/25/2013	185 14	0 00	3138M3SP0	FNMA GTD PASS THRU POOL#AP0525 3 50000% 7/1/2042	106 656	100 000	197 46	185 14	ST	-12 32	0 00	197 46	0 00	0 00
04/12/2013	09/25/2013	89 43	0 00	3138MFTC1	FNMA GTD PASS THRU POOL#AQ054 6 3 50000% 11/1/2042	106 344	100 000	95 21	89 43	ST	-5 78	0 00	95 21	0 00	0 00
04/12/2013	09/25/2013	33 66	0 00	3138MLCP7	FNMA GTD PASS THRU POOL#AQ457 7 3 50000% 12/1/2042	106 344	100 000	35 83	33 66	ST	-2 17	0 00	35 83	0 00	0 00
12/31/2012	09/25/2013	61 58	0 00	3138MNET3	FNMA GTD PASS THRU POOL#AQ644 5 3 00000% 12/1/2042 3138MNET3	104 859	100 000	64 57	61 58	ST	-2 89	0 00	64 57	0 00	0 00

11/30/2012	09/25/2013	376 10	0 00	3138MPXR1	FNMA GTD PASS THRU POOL#AQ788 7 3 00000% 12/1/2042	105 219	100 000	395 73	376 10	ST	-19 63	0 00	395 73	0 00	0 00
04/12/2013	09/25/2013	41 73	0 00	3138MQCT8	FNMA GTD PASS THRU POOL#AQ818 1 3 50000% 1/1/2043	106 375	100 000	44 44	41 73	ST	-2 71	0 00	44 44	0 00	0 00
04/12/2013	09/25/2013	7 40	0 00	3138WN3Y3	FNMA GTD PASS THRU POOL#AT1714 3 50000% 4/1/2043	106 375	100 000	7 88	7 40	ST	-0 48	0 00	7 88	0 00	0 00
10/31/2012	09/25/2013	120 79	0 00	31418AKS6	FNMA GTD PASS THRU POOL#MA120 4 3 50000% 10/1/2042	106 641	100 000	128 81	120 79	ST	-8 02	0 00	128 81	0 00	0 00
07/31/2013	09/30/2013	50,000 00	0 00	912828JW1	UNITED STATES TREASURY NOTES 1 50000% 12/31/2013	100 586	100 367	50,293 14	50,183 43	ST	-109 71	0 00	50,293 14	0 00	0 00
TOTAL		499,093 46		JD 31		101 406	100 000	6,012 66	5,829 28	LT	0 00	-83 38	6,012 66	0 00	0 00
11/30/2010	10/15/2012	5,928 28	0 00	312944QN4	FEDL HOME LOAN MTG CORP#A95861 4 00000% 12/1/2040	88 719	100 000	1,727 58	1,750 00	LT	0 00	22 42	1,727 58	0 00	0 00
12/30/2010	10/15/2012	1,750 00	0 00	312945DS4	FEDL HOME LOAN MTG CORP#A98413 4 00000% 1/1/2041	105 328	100 000	4,214 16	4,000 98	LT	0 00	-213 18	4,214 16	0 00	0 00
08/25/2011	10/15/2012	4,000 98	0 00	3128M7TB2	FEDL HOME LOAN MTG CORP#G05846 4 50000% 10/1/2039	3128M7TB2									

09/30/2011	10/25/2012	4,982.74	0.00	31419FWHS	FNMA GTD PASS THRU POOL#AE5147 4.00000% 11/1/2040	104 906	100 000	5,227.21	4,982.74	LT	0.00	-244.47	5,227.21	0.00	0.00
11/30/2010	11/15/2012	2,494.11	0.00	312944QN4	31419FWHS FEDL HOME LOAN MTG CORP#A95861 4.00000% 12/1/2040	101,406	100 000	2,529.18	2,494.11	LT	0.00	-35.07	2,529.18	0.00	0.00
12/30/2010	11/15/2012	2,145.46	0.00	312944QN4	312944QN4 FEDL HOME LOAN MTG CORP#A96413 4.00000% 1/1/2041	98 719	100 000	2,117.97	2,145.46	LT	0.00	27.49	2,117.97	0.00	0.00
08/25/2011	11/15/2012	3,581.24	0.00	3128MTTB2	312945DS4 FEDL HOME LOAN MTG CORP#G05646 4.50000% 10/1/2039	105 328	100 000	3,772.05	3,581.24	LT	0.00	-190.81	3,772.05	0.00	0.00
09/30/2011	11/25/2012	2,491.97	0.00	31419FWHS	FNMA GTD PASS THRU POOL#AE5147 4.00000% 11/1/2040	104 906	100 000	2,614.23	2,491.97	LT	0.00	-122.26	2,614.23	0.00	0.00
03/31/2011	11/27/2012	5,000.00	0.00	912828PC8	31419FWHS UNITED STATES TREASURY NOTE 2.62500% 11/15/2020	93 321	110 390	4,668.04	5,519.51	LT	0.00	853.47	4,668.04	0.00	0.00
06/30/2011	11/27/2012	5,000.00	0.00	912828QN3	912828PC8 MN_15 UNITED STATES TREASURY NOTE 3.12500% 5/15/2021	99 770	114 230	4,988.50	5,711.50	LT	0.00	723.00	4,988.50	0.00	0.00

06/30/2011	11/28/2012	10,000.00	0.00	912828QN3	UNITED STATES TREASURY NOTE	99 770	114 289	9,976 99	11,428 87	LT	0.00	1,451 88	9,976 99	0.00	0.00
					3 12500% 5/15/2021										
					912828QN3 MN_15										
01/21/2009	12/04/2012	5,000.00	0.00	38141GFG4	GOLDMAN SACHS	93 349	115 967	4,667 43	5,798 35	LT	0.00	1,130 92	4,640 15	0.00	0.00
					GROUP INC NT 5 95000% 01/18/2018										
					38141GFG4 JJ_18										
11/30/2010	12/15/2012	3,499.39	0.00	312944QN4	FEDL HOME LOAN MTG	101 406	100 000	3,548 60	3,499 39	LT	0.00	-49 21	3,548 60	0.00	0.00
					CORP#A95861 4 00000% 12/1/2040										
					312944QN4										
12/30/2010	12/15/2012	1,959.11	0.00	312945DS4	FEDL HOME LOAN MTG	98 719	100 000	1,934 01	1,959 11	LT	0.00	25 10	1,934 01	0.00	0.00
					CORP#A96413 4 00000% 1/1/2041										
					312945DS4										
08/25/2011	12/15/2012	2,968.14	0.00	3128MTTB2	FEDL HOME LOAN MTG	105 328	100 000	3,126 29	2,968 14	LT	0.00	-158 15	3,126 29	0.00	0.00
					CORP#G05646 4 50000% 10/1/2039										
					3128MTTB2										
01/21/2009	12/20/2012	5,000.00	0.00	38141GFG4	GOLDMAN SACHS	93 349	116 625	4,667 43	5,831 25	LT	0.00	1,163 82	4,640 15	0.00	0.00
					GROUP INC NT 5 95000% 01/18/2018										
					38141GFG4 JJ_18										
09/30/2011	12/25/2012	3,409.94	0.00	31419FWH5	FINMA GTD PASS THRU	104 906	100 000	3,577 24	3,409 94	LT	0.00	-167 30	3,577 24	0.00	0.00
					POOL#AE5147 4 00000% 11/1/2040										
					31419FWH5										

06/27/2011	01/14/2013	10,000.00	0.00	912810EY0	UNITED STATES	134.137	149.945	13,413.71	14,994.49	LT	0.00	1,580.78	13,413.71	0.00	0.00
					TREASURY BONDS										
					6.50000%										
					11/15/2026										
					912810EY0										
					MN_15										
11/30/2010	01/15/2013	4,156.07	0.00	312944QN4	FEDL HOME	101.406	100.000	4,214.51	4,156.07	LT	0.00	-58.44	4,214.51	0.00	0.00
					LOAN MTG										
					CORP#A95861										
					4.00000%										
					12/1/2040										
12/30/2010	01/15/2013	2,251.47	0.00	312945DS4	FEDL HOME	98.719	100.000	2,222.62	2,251.47	LT	0.00	28.85	2,222.62	0.00	0.00
					LOAN MTG										
					CORP#A96413										
					4.00000%										
					1/1/2041										
08/25/2011	01/15/2013	5,250.36	0.00	312945DS4	FEDL HOME	105.328	100.000	5,530.11	5,250.36	LT	0.00	-279.75	5,530.11	0.00	0.00
					LOAN MTG										
					CORP#G05646										
					4.50000%										
					10/1/2039										
06/07/2010	01/16/2013	30,000.00	0.00	3137EACH0	FEDERAL	102.920	105.308	30,875.91	31,592.46	LT	0.00	716.55	30,875.91	0.00	0.00
					HOME LOAN										
					MTG CORP										
					2.87500%										
					2/9/2015										
					3137EACH0										
					FA_09										
09/30/2011	01/25/2013	3,154.86	0.00	31419FWH5	FNMA GTD	104.906	100.000	3,309.65	3,154.86	LT	0.00	-154.79	3,309.65	0.00	0.00
					PASS THRU										
					POOL#AE5147										
					4.00000%										
					11/1/2040										
11/30/2010	02/15/2013	6,782.82	0.00	312944QN4	FEDL HOME	101.406	100.000	6,878.20	6,782.82	LT	0.00	-95.38	6,878.20	0.00	0.00
					LOAN MTG										
					CORP#A95861										
					4.00000%										
					12/1/2040										
12/30/2010	02/15/2013	2,108.42	0.00	312945DS4	FEDL HOME	98.719	100.000	2,081.41	2,108.42	LT	0.00	27.01	2,081.41	0.00	0.00
					LOAN MTG										
					CORP#A96413										
					4.00000%										
					1/1/2041										
					312945DS4										

08/25/2011	02/15/2013	3,183.52	0.00	3128MTTB2	FEDL HOME LOAN MTG CORP#G05648 4.50000% 10/1/2039	105 328	100 000	3,353.14	3,183.52	LT	0.00	-169.62	3,353.14	0.00	0.00
06/30/2011	02/22/2013	15,000.00	0.00	912828QN3	UNITED STATES TREASURY NOTE 3/12500% 5/15/2021 912828QN3 MN_15	99 770	111 714	14,965.49	16,757.17	LT	0.00	1,791.68	14,965.49	0.00	0.00
09/30/2011	02/25/2013	2,776.76	0.00	31419FWH5	FNMA GTD PASS THRU POOL#AE5147 4.00000% 11/1/2040	104 906	100 000	2,912.99	2,776.76	LT	0.00	-136.23	2,912.99	0.00	0.00
05/27/2009	02/27/2013	5,000.00	0.00	14912L4E8	CATERPILLAR FINL SVCS CORP MEDIUM TERMINTS 7/15000% 2/15/2019 14912L4E8 FA_15	100 241	129 586	5,012.05	6,479.30	LT	0.00	1,467.25	5,012.35	0.00	0.00
09/27/2007	02/27/2013	5,000.00	0.00	20030NAU5	COMCAST CORP NT 6.30000% 11/15/2017 20030NAU5 MN_15	100 740	121 913	5,036.99	6,095.65	LT	0.00	1,058.66	5,043.90	0.00	0.00
08/09/2010	02/27/2013	5,000.00	0.00	20030NAU5	COMCAST CORP NT 6.30000% 11/15/2017 20030NAU5 MN_15	117 489	121 913	5,874.45	6,095.65	LT	0.00	221.20	5,874.45	0.00	0.00
01/30/2009	02/27/2013	10,000.00	0.00	20825CAR5	CONOCOPHILL IPS NOTES 5.75000% 02/01/2019 20825CAR5 FA_01	100 336	122 452	10,033.62	12,245.20	LT	0.00	2,211.58	10,035.40	0.00	0.00

10/17/2007	02/27/2013	10,000.00	0.00	30161MAE3	EXELON GENERATION CO LLC SR NT 6.200000% 10/01/2017 30161MAE3 AO_01	101,378	117,460	10,137.76	11,748.00	LT	0.00	1,608.24	10,162.50	0.00	0.00
12/30/2010	02/27/2013	60,825.36	90,000.00	312945DS4	FEDL HOME LOAN MTG CORP#A96413 4.000000% 1/1/2041	98,719	105,750	60,046.02	64,322.81	LT	0.00	4,276.79	60,046.02	0.00	0.00
08/25/2011	02/27/2013	64,808.71	55,000.00	3128M7TB2	FEDL HOME LOAN MTG CORP#G05646 4.500000% 10/1/2039	105,328	105,438	68,049.03	68,765.76	LT	0.00	716.73	68,049.03	0.00	0.00
09/08/2010	02/27/2013	10,000.00	0.00	437076AT9	HOME DEPOT INC SR NT 3.950000% 9/15/2020 437076AT9 MS_15	100,320	112,806	10,032.00	11,280.60	LT	0.00	1,248.60	10,032.00	0.00	0.00
02/17/2010	02/27/2013	5,000.00	0.00	50075NAZ7	KRAFT FOODS INC NT 6.500000% 02/09/2040 50075NAZ7 FA_08	100,351	130,750	5,017.55	6,537.50	LT	0.00	1,519.95	5,017.55	0.00	0.00
12/03/2008	02/27/2013	5,000.00	0.00	88732JAP3	TIME WARNER CABLE INC 8.750000% 02/14/2019 88732JAP3 FA_14	100,222	131,608	5,011.09	6,580.40	LT	0.00	1,569.31	5,011.70	0.00	0.00
05/07/2009	02/27/2013	5,000.00	0.00	88732JAP3	TIME WARNER CABLE INC 8.750000% 02/14/2019 88732JAP3 FA_14	115,060	131,608	5,753.00	6,580.40	LT	0.00	827.40	5,775.50	0.00	0.00
08/13/2010	02/27/2013	10,000.00	0.00	887317AF2	TIME WARNER INC NEW 4.875000% 3/15/2020 887317AF2 MS_15	106,173	114,586	10,617.30	11,458.60	LT	0.00	841.30	10,617.30	0.00	0.00

06/14/2011	02/27/2013	5,000.00	0.00	912810EY0	UNITED STATES TREASURY BONDS 6.5000% 11/15/2026	132 110	149 129	6,605.49	7,456.42	LT	0.00	850.93	6,605.49	0.00	0.00
06/27/2011	02/27/2013	15,000.00	0.00	912810EY0	UNITED STATES TREASURY BONDS 6.5000% 11/15/2026	134 137	149 129	20,120.57	22,369.28	LT	0.00	2,248.71	20,120.57	0.00	0.00
07/29/2011	02/27/2013	10,188.10	10,000.00	912828QV5	UNITED STATES TREASURY NOTE 10 YEAR INFLATION INDEX BOND 0.625000% 7/15/2021	101 986	113 941	10,390.44	11,608.42	LT	0.00	1,217.98	10,265.74	0.00	0.00
05/29/2009	02/27/2013	10,000.00	0.00	92343VAV6	VERIZON COMMUNICATIONS INC NT 6.35000% 4/1/2019	105 501	123 476	10,550.13	12,347.60	LT	0.00	1,797.47	10,564.50	0.00	0.00
01/09/2009	03/01/2013	25,000.00	0.00	617446HR3	MORGAN STANLEY NOTES 5.30000% 03/01/2013	94 830	100 000	23,707.55	25,000.00	LT	0.00	1,292.45	23,437.50	0.00	0.00
07/15/2011	03/13/2013	5,000.00	0.00	912810QD3	UNITED STATES TREASURY BONDS 4.37500% 11/15/2039	101 813	123 183	5,090.65	6,159.16	LT	0.00	1,068.51	5,090.65	0.00	0.00

11/30/2010	03/15/2013	2,312.27	0.00	312944QN4	FEDL HOME LOAN MTG CORP#A95861 4.00000% 12/1/2040	101 406	100 000	2,344.79	2,312.27	LT	0.00	-32.52	2,344.79	0.00	0.00
08/25/2011	03/15/2013	1,481.76	0.00	3128MTTB2	FEDL HOME LOAN MTG CORP#G05646 4.50000% 10/1/2039	105 328	100 000	1,560.71	1,481.76	LT	0.00	-78.95	1,560.71	0.00	0.00
09/30/2011	03/25/2013	2,207.01	0.00	31419FWH5	FNMA GTD PASS THRU POOL#AE5147 4.00000% 11/1/2040	104 906	100 000	2,315.29	2,207.01	LT	0.00	-108.28	2,315.29	0.00	0.00
06/14/2011	04/12/2013	10,000.00	0.00	912810EY0	UNITED STATES TREASURY BONDS 6.50000% 11/15/2026	132 110	151 668	13,210.98	15,166.76	LT	0.00	1,955.78	13,210.98	0.00	0.00
11/30/2011	04/12/2013	15,000.00	0.00	912828RC6	UNITED STATES TREASURY NOTE 2.12500% 8/15/2021	100 543	105 566	15,081.50	15,834.90	LT	0.00	753.40	15,081.50	0.00	0.00
11/30/2010	04/15/2013	4,110.96	0.00	312944QN4	FEDL HOME LOAN MTG CORP#A95861 4.00000% 12/1/2040	101 406	100 000	4,168.77	4,110.96	LT	0.00	-57.81	4,168.77	0.00	0.00
08/25/2011	04/15/2013	1,014.69	0.00	3128MTTB2	FEDL HOME LOAN MTG CORP#G05646 4.50000% 10/1/2039	105 328	100 000	1,068.75	1,014.69	LT	0.00	-54.06	1,068.75	0.00	0.00
09/30/2011	04/25/2013	1,972.42	0.00	31419FWH5	FNMA GTD PASS THRU POOL#AE5147 4.00000% 11/1/2040	104 906	100 000	2,069.19	1,972.42	LT	0.00	-96.77	2,069.19	0.00	0.00

04/19/2012	04/25/2013	168 28	0 00	3138E9LH1	FNMA GTD PASS THRU POOL#AK4827 3 50000% 4/1/2042	103 641	100 000	174 41	168 28	LT	0 00	-6 13	174 41	0 00	0 00
05/29/2009	04/26/2013	15,000 00	0 00	92343VAV6	3138E9LH1 VERIZON COMMUNICATI ONS INC NT 6 35000% 4/1/2019 92343VAV6 AQ_01	105 501	124 258	15,825 19	18,638 70	LT	0 00	2,813.51	15,846 75	0 00	0 00
12/01/2011	05/07/2013	20,000 00	0 00	05565QBX5	***BP CAP MKTS P L C GTD NT 1 70000% 12/5/2014 05565QBX5 JD_05	99 994	101 978	19,998 80	20,395 60	LT	0.00	396 80	19,998 80	0 00	0 00
05/31/2005	05/08/2013	5,000 00	0 00	46625HCE8	JP MORGAN CHASE & CO 4 75000% 03/01/2015 46625HCE8 MS_01	89 907	106 981	4,995 35	5,349 05	LT	0 00	353 70	4,995 35	0 00	0 00
01/24/2006	05/08/2013	40,000 00	0 00	46625HCE8	JP MORGAN CHASE & CO 4 75000% 03/01/2015 46625HCE8 MS_01	98 293	106 981	39,317 20	42,792 40	LT	0 00	3,475 20	38,853 60	0 00	0 00
07/15/2011	05/10/2013	10,000 00	0 00	912810QD3	UNITED STATES TREASURY BONDS 4 37500% 11/15/2039 912810QD3 MN_15	101 813	125 898	10,181 29	12,589 80	LT	0 00	2,408.51	10,181 29	0 00	0 00
11/30/2010	05/15/2013	1,931 91	0 00	312944QN4	FEDL HOME LOAN MTG CORP#A95861 4 00000% 12/1/2040 312944QN4	101 406	100 000	1,959 08	1,931 91	LT	0 00	-27.17	1,959 08	0 00	0 00
08/25/2011	05/15/2013	1,095 57	0 00	3128M7TB2	FEDL HOME LOAN MTG CORP#G05646 4 50000% 10/1/2039 3128M7TB2	105 328	100 000	1,153 94	1,095 57	LT	0 00	-58.37	1,153 94	0 00	0 00

04/30/2012	05/15/2013	20,000.00	0.00	912828SS0	UNITED STATES TREASURY NOTE 0.87500% 4/30/2017 912828SS0 AQ_30	100 309	101 050	20,061.78	20,210.09	LT	0.00	148.31	20,061.78	0.00	0.00
09/30/2011	05/25/2013	1,713.25	0.00	31419FWH5	FNMA GTD PASS THRU POOL#AE5147 4.00000% 11/1/2040 31419FWH5	104 906	100 000	1,797.31	1,713.25	LT	0.00	-84.06	1,797.31	0.00	0.00
04/19/2012	05/25/2013	277.85	0.00	3138E9LH1	FNMA GTD PASS THRU POOL#AK4827 3.50000% 4/1/2042 3138E9LH1	103 641	100 000	287.97	277.85	LT	0.00	-10.12	287.97	0.00	0.00
04/30/2012	06/14/2013	5,000.00	0.00	912828SS0	UNITED STATES TREASURY NOTE 0.87500% 4/30/2017 912828SS0 AO_30	100 309	100 441	5,015.45	5,022.05	LT	0.00	6.60	5,015.45	0.00	0.00
11/30/2010	06/15/2013	1,908.21	0.00	312844QN4	FEDL HOME LOAN MTG CORP#A95861 4.00000% 12/1/2040 312844QN4	101 406	100 000	1,935.04	1,908.21	LT	0.00	-26.83	1,935.04	0.00	0.00
08/25/2011	06/15/2013	1,246.02	0.00	3128M7TB2	FEDL HOME LOAN MTG CORP#G05646 4.50000% 10/1/2039 3128M7TB2	105 328	100 000	1,312.41	1,246.02	LT	0.00	-66.39	1,312.41	0.00	0.00
02/17/2010	06/18/2013	15,000.00	0.00	50075NAZ7	KRAFT FOODS INC NT 6.50000% 02/09/2040 50075NAZ7	100 351	121 974	15,052.65	18,296.10	LT	0.00	3,243.45	15,052.65	0.00	0.00
09/30/2011	06/25/2013	2,193.51	0.00	31419FWH5	FNMA GTD PASS THRU POOL#AE5147 4.00000% 11/1/2040 31419FWH5	104 906	100 000	2,301.13	2,193.51	LT	0.00	-107.62	2,301.13	0.00	0.00

04/19/2012	06/25/2013	221 93	0 00	3138E9LH1	FNMA GTD PASS THRU POOL#AK4827 3 50000% 4/1/2042	103 641	100 000	230 01	221 93	LT	0 00	-8 08	230 01	0 00	0 00
11/30/2010	07/15/2013	2,805 62	0 00	312944QN4	3138E9LH1 FEDL HOME LOAN MTG CORP#A95861 4 00000% 12/1/2040	101 406	100 000	2,845 07	2,805 62	LT	0 00	-39 45	2,845 07	0 00	0 00
08/25/2011	07/15/2013	1,508 26	0 00	3128M7TB2	312944QN4 FEDL HOME LOAN MTG CORP#G05646 4 50000% 10/1/2039	105 328	100 000	1,588 62	1,508 26	LT	0 00	-80 36	1,588 62	0 00	0 00
12/03/2008	07/16/2013	20,000 00	0 00	88732JAP3	3128M7TB2 TIME WARNER CABLE INC 8 75000% 02/14/2019 88732JAP3 FA_14	100 222	119 693	20,044 35	23,938 60	LT	0 00	3,894 25	20,048 80	0 00	0 00
09/30/2011	07/25/2013	1,854 61	0 00	31419FWH5	FNMA GTD PASS THRU POOL#AE5147 4 00000% 11/1/2040	104 906	100 000	1,945 60	1,854 61	LT	0 00	-90 99	1,945 60	0 00	0 00
04/19/2012	07/25/2013	286 62	0 00	3138E9LH1	31419FWH5 FNMA GTD PASS THRU POOL#AK4827 3 50000% 4/1/2042	103 841	100 000	297 05	286 62	LT	0 00	-10 43	297 05	0 00	0 00
11/30/2010	08/15/2013	1,110 27	0 00	312944QN4	3138E9LH1 FEDL HOME LOAN MTG CORP#A95861 4 00000% 12/1/2040	101 406	100 000	1,125 88	1,110 27	LT	0 00	-15 61	1,125 88	0 00	0 00
08/25/2011	08/15/2013	1,314 83	0 00	3128M7TB2	312944QN4 FEDL HOME LOAN MTG CORP#G05646 4 50000% 10/1/2039	105 328	100 000	1,384 89	1,314 83	LT	0 00	-70 06	1,384 89	0 00	0 00

09/30/2011	08/25/2013	1,711.77	0.00	31419FWH5	FNMA GTD PASS THRU POOL#AE5147 4.000000% 11/1/2040	104,906	100,000	1,795.75	1,711.77	LT	0.00	-83.98	1,795.75	0.00	0.00
04/19/2012	08/25/2013	82.65	0.00	3138E9LH1	FNMA GTD PASS THRU POOL#AK4827 3.500000% 4/1/2042	103,641	100,000	85.66	82.65	LT	0.00	-3.01	85.66	0.00	0.00
08/13/2012	08/25/2013	32.65	0.00	3138M8QP1	FNMA GTD PASS THRU POOL#AP4981 3.000000% 9/1/2042	103,156	100,000	33.68	32.65	LT	0.00	-1.03	33.68	0.00	0.00
02/21/2012	09/11/2013	5,000.00	0.00	912810QU5	3138M8QP1 UNITED STATES TREASURY BOND 3.125000% 2/15/2042	98,528	86,582	4,926.39	4,328.08	LT	0.00	-597.31	4,926.39	0.00	0.00
07/15/2011	09/11/2013	5,000.00	0.00	912810QD3	FA_15 UNITED STATES TREASURY BONDS 4.375000% 11/15/2039	101,813	109,132	5,090.64	5,466.62	LT	0.00	365.98	5,090.64	0.00	0.00
07/15/2011	09/12/2013	5,000.00	0.00	912810QD3	MN_15 UNITED STATES TREASURY BONDS 4.375000% 11/15/2039	101,813	110,574	5,090.65	5,528.69	LT	0.00	438.04	5,090.65	0.00	0.00
11/30/2010	09/15/2013	1,691.37	0.00	312944QN4	FEDL HOME LOAN MTG CORP#A95861 4.000000% 12/1/2040	101,406	100,000	1,715.15	1,691.37	LT	0.00	-23.78	1,715.15	0.00	0.00

08/25/2011	09/15/2013	613 36	0 00	3128M7TB2	FEDL HOME LOAN MTG CORP#G05646 4.50000% 10/1/2039	105 328	100 000	646 04	613 36	LT	0 00	-32 88	646 04	0 00	0 00
09/30/2011	09/25/2013	1,413 28	0 00	31419FWH5	3128M7TB2 FNMA GTD PASS THRU POOL#AE5147 4 00000% 11/1/2040	104 906	100 000	1,482 62	1,413 28	LT	0 00	-69 34	1,482 62	0 00	0 00
04/19/2012	09/25/2013	377 87	0 00	3138E9LH1	31419FWH5 FNMA GTD PASS THRU POOL#AK4827 3 50000% 4/1/2042	103 641	100 000	391 63	377 87	LT	0 00	-13 76	391 63	0 00	0 00
08/13/2012	09/25/2013	33 32	0 00	3138M8QP1	3138E9LH1 FNMA GTD PASS THRU POOL#AP4981 3 00000% 9/1/2042	103 156	100 000	34 37	33 32	LT	0 00	-1 05	34 37	0 00	0 00

LT TERM	648 188 93	670 154 03	172 1579 55	0 00	51 725 52	669 336 34	0 00	0 00
TOTAL								
SECTION	1 137 282 39	1 182 353 08	1 212 241 86	0 00	51 725 52	1 161 535 39	0 00	0 00
TOTAL								

MATURITIES - NONCOVERED

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
02/02/2007	08/01/2013	25,000 00	0 00	92976WBA3	WACHOVIA CORP GLOBAL MEDIUM TERM SR NTS 5 70000% 08/01/2013 92976WBA3 FA 01	101 063	100 000	25,265 82	25,000 00	LT	0 00	-265 82	25,420 50	0 00	0 00
LT TERM															
TOTAL		25,000 00						25,265 82	25,000 00	LT	0 00	-265 82	25,420 50	0 00	0 00
SECTION		25,000 00						25,265 82	25,000 00		0 00	-265 82	25,420 50	0 00	0 00
TOTAL															

CAPITAL GAIN DIVIDENDS - NONCOVERED

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
CAPITAL	12/12/2012	24,045.29		PCRIX	PIMCO			0.00	186.47	ST	1,987.47	0.00	0.00	0.00	0.00
GAIN					COMMODITY										
DIVIDENDS					REAL RETURN										
NONCOVERED					STRATEGY										
RED					FUND										
CAPITAL	12/17/2012	338.89		NBHIX	NEUBERGER			0.00	338.89	ST	338.89	0.00	0.00	0.00	0.00
GAIN					BERMAN										
DIVIDENDS					EQUITY FDS										
NONCOVERED					EQUITY										
RED					INCOME FDS										
CAPITAL	12/19/2012	3,462.06		ARBXX	ARBITRAGE			0.00	3,462.06	ST	3,462.06	0.00	0.00	0.00	0.00
GAIN					FUND/CLASS										
DIVIDENDS															
NONCOVERED															
RED															
ST TERM		27,848.24						0.00	4,987.42	ST	4,987.42	0.00	0.00	0.00	0.00
TOTAL								0.00	4,987.42	ST	4,987.42	0.00	0.00	0.00	0.00
CAPITAL	12/12/2012	24,045.29		PCRIX	PIMCO			0.00	481.65	ST	481.65	0.00	0.00	0.00	0.00
GAIN					COMMODITY										
DIVIDENDS					REAL RETURN										
NONCOVERED					STRATEGY										
RED					FUND										
CAPITAL	12/13/2012	9,318.25		MIPTX	INSTITUTIONAL			0.00	190.74	LT	0.00	190.74	0.00	0.00	0.00
GAIN					LOCK										
DIVIDENDS					MATTHEWS										
NONCOVERED					INTL FDS/PAC										
RED					TIGER FDS										
CAPITAL	12/17/2012	3,628.49		NBHIX	NEUBERGER			0.00	3,628.49	LT	0.00	3,628.49	0.00	0.00	0.00
GAIN					BERMAN										
DIVIDENDS					EQUITY FDS										
NONCOVERED					EQUITY										
RED					INCOME FDS										
CAPITAL	12/17/2012	9,602.81		NSGX	NEUBERGER			0.00	20,385.21	LT	0.00	20,385.21	0.00	0.00	0.00
GAIN					BERMAN										
DIVIDENDS					GENESIS FDS										
NONCOVERED					INSTITUTIONAL										
RED					FUND/CLASS										

07/31/2012	03/28/2013	30,000.00	0.00	9127957A3	UNITED STATES TREASURY BILLS 0.000000% 7/25/2013 9127957A3	99 844	99 973	29,953.16	29,991.85	0.00	0.00	29,953.16	38.69	0.00
07/31/2012	04/12/2013	35,000.00	0.00	9127957A3	UNITED STATES TREASURY BILLS 0.000000% 7/25/2013 9127957A3	99 844	99 983	34,945.36	34,994.06	0.00	0.00	34,945.36	48.70	0.00
07/31/2012	04/30/2013	45,000.00	0.00	9127957A3	UNITED STATES TREASURY BILLS 0.000000% 7/25/2013 9127957A3	99 844	99 988	44,929.74	44,994.69	0.00	0.00	44,929.74	64.95	0.00
SECTION TOTAL														
TOTAL														

TREASURY BILLS HELD TO MATURITY - NONCOVERED

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LJ Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
08/31/2012	02/07/2013	35,000.00	0.00	9127955Z0	UNITED STATES TREASURY BILLS RE-ISSUE 08/09/2012 0.000000% 2/7/2013 9127955Z0	99 948	100.000	34,981.80	34,981.80		0.00	0.00	34,981.80	18.20	0.00
07/31/2012	07/25/2013	40,000.00	0.00	9127957A3	UNITED STATES TREASURY BILLS 0.000000% 7/25/2013 9127957A3	99 844	100.000	39,937.55	39,937.55		0.00	0.00	39,937.55	62.45	0.00
SECTION TOTAL															
TOTAL															

CASH IN LIEU - NONCOVERED

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind LT	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
CASH IN	11/03/2012	112	112	PNR	PRENTISS LTD STS			0.00	21.12	LT	0.00	21.12	0.00	0.00	0.00
NONCOVERED															
CASH IN	11/09/2012	1832	1832	PAO	ADT CORPORATE N.COM			0.00	18.32	LT	0.00	18.32	0.00	0.00	0.00
NONCOVERED															
LT TERM		5944	5944					0.00	59.44	LT	0.00	59.44	0.00	0.00	0.00
TOTAL								0.00	99.88		0.00	99.88	0.00	0.00	0.00
SECTION		5944	5944					0.00	59.44		0.00	59.44	0.00	0.00	0.00
TOTAL								0.00	99.88		0.00	99.88	0.00	0.00	0.00

*** This report is intended to be an internal worksheet and should not be relied upon for accuracy, unless it confirms with the official records of the firm

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - FIXED INCOME

NFUBERGER BERMAN

JPMCC Account: 541-12530 T08
 Base Currency: USD
 NB Account Number: 593-00189

Account Summary

	Opening Balance ⁽⁵⁾⁽¹⁴⁾	Closing Balance ⁽⁵⁾	Cost Basis ⁽¹⁾⁽⁴⁾⁽⁹⁾	Estimated Annual Income ⁽⁶⁾ Amount	% Yield ⁽¹⁰⁾
CASH	0.00	109.37	109.37	0.00	0.00
CASH EQUIVALENT	73,959.09	25,590.99	25,590.99	0.00	0.00
CORPORATE BONDS	606,465.80	631,497.20	610,003.66	25,419.75	2.71
US GOVERNMENT & AGENCY	947,955.65	992,512.05	1,006,332.32	24,270.58	2.10
ACCRUED INTEREST	9,061.63	8,239.73	8,239.73	0.00	0.00
TOTAL VALUE	1,637,442.17	1,657,949.34	1,650,276.07	49,690.33	3.00

Account Composition

	% of Portfolio
CASH	0.01
CASH EQUIVALENT /	1.55
CORPORATE BONDS	38.28
US GOVERNMENT & AGENCY	60.16

Y-T-D Market Value Change Summary⁽¹³⁾

PREVIOUS YEAR ENDING VALUE	2,066,503.63
NET DEPOSITS AND WITHDRAWALS	(352,404.00)
INCOME AND EXPENSE ITEMS (NET)	118,033.40
NET CHANGE IN ASSET VALUE	(174,183.69)
CURRENT PERIOD ENDING VALUE	1,657,949.34

Income Summary⁽⁷⁾

	This Month	Year-To-Date
TAXABLE BOND INT.	4,962.12	44,328.02
ACCRUED INT PURCH.	(24.20)	(1,063.84)

Y-T-D Net Realized Gains/Losses⁽²⁾⁽³⁾⁽⁴⁾

TOTAL LONG TERM	47,325.43
TOTAL SHORT TERM	(1,711.10)

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Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - FIXED INCOME

NEUBERGER BERMAN

JPMCC Account: 541-12530 T08
Base Currency: USD
NB Account Number: 593-00189

Performance Summary (a)(c)(9)

	Latest 3 Months	Year To Date	Latest 12 Months	Latest 2 Years	Annualized	Latest 5 Years	Since Inception 11/28/2001 Cumulative	Annualized
TOTAL PORTFOLIO (Net of Fee)	0.52 %	(3.18) %	(3.29) %	0.66 %		4.79 %	71.19 %	4.65 %

Comparative Indices (b)

	Latest 3 Months	Year To Date	Latest 12 Months	Latest 2 Years	Annualized	Latest 5 Years	Since Inception 11/28/2001 Cumulative	Annualized
BARCLAYS US AGGREGATE BOND (11/28/2001 - 09/30/2013)	0.57 %	(1.89) %	(1.68) %	1.68 %		5.41 %	79.50 %	5.07 %
US CONSUMER PRICE INDEX (11/28/2001 - 09/30/2013)	0.16 %	1.86 %	1.07 %	1.53 %		1.34 %	31.61 %	2.35 %

(a) Portfolio performance is calculated utilizing a total return methodology. The total return calculation is the combination of the change in price of the investments plus any income (or other distributions). It is expressed as a percentage gain or loss in the investment's value. Segment level performance is also calculated employing a total return calculation but focuses exclusively on the investments in that particular segment of the portfolio being measured. For instance, the equity segment performance represents the total return of the segment of the manager's portfolio allocated to equity securities, excluding fixed income or cash instruments. Equity segment performance excludes preferred issues and includes convertible issues effective 1/1/00. Fixed Income segment performance includes preferred issues and excludes convertible issues effective 1/1/00 and excludes mutual funds effective 1/1/00. Segment returns are not included in the performance calculations. Performance returns are displayed on a Net of Fee or Gross of Fee basis. Net of Fee performance is calculated after the deduction of advisory fees and commissions. Gross of Fee performance is calculated before the reduction of advisory fees and after commissions.

(b) Index returns represent values that are available at the calendar month end. In the case of delayed index information, estimated values are used. Once the actual values are available the indices are updated appropriately to ensure accurate year to date returns.

(c) Performance information supplied is based on a trade and ex date basis and has not been annualized unless where noted. The figures include dividend reinvestment and interest income as well as capital gains and are net of commissions. Returns do not include non-discretionary holdings. Investment advisory fees are treated as capital withdrawals.

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A SCROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - FIXED INCOME

NEUBERGER BERMAN

JPMCC Account: 541-12530 T08
 Base Currency: USD
 NB Account Number: 593-00189

Positions In Your Account

CASH		Total Cost	Mkt. Value	% Portfolio
CASH	(USD Exchange Rate 1.0000000000)	109.37	109.37	0.01
TOTAL CASH		109.37	109.37	0.01

Quantity	Description	Average Unit Cost	Total ⁽¹⁾⁽⁴⁾⁽⁹⁾ Cost	Price	Mkt Value	Annual ⁽⁸⁾ Income	Yield ⁽¹⁰⁾
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CASH EQUIVALENT

25,590.99 JPMORGAN TR 100% U S TREAS
 SECS MONEY MKT AGENCY SHARE CL
 SECURITY SYMBOL IS VPIXX

TOTAL CASH EQUIVALENT

CORPORATE BONDS

10,000 ABB FINANCE USA INC
 SR UNSECURED
 DATED DATE 05/09/12
 DUE 05/08/2022 2.875% MN 08

20,000 AMERICAN EXPRESS CO
 SR NTS
 DATED DATE 08/29/07
 DUE 08/28/2017 6.150% FA 28

20,000 APACHE CORP
 UNSECURED
 DATED DATE 12/03/12
 PAR CALL 10/15/2022
 DUE 01/15/2023 2.625% JJ 15

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Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - FIXED INCOME

NEUBERGER BERMAN

JPMCC Account: 541-12530 T08
Base Currency: USD
NB Account Number: 593-00189

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt. Value	% Portfolio (12)	Annual Income (8)	Yield (10)
CORPORATE BONDS (Continued)									
25,000	AT&T INC SR UNSECURED DATED DATE 02/13/12 DUE 02/15/2022 3.000% FA 15	00208RBD3	99.8030	24,950.75	93.7800	23,445.00	1.42	750.00	3.88
25,000	BANK OF AMERICA CORP SENIOR NOTES DATED DATE 07/12/11 ORIGINAL ISSUE DISCOUNT DUE 07/12/2016 3.750% JJ 12	06051GEK1	98.2710	24,567.75	105.9690	26,492.25	1.61	937.50	1.54
5,000	BERKSHIRE HATHAWAY FIN CORP SR UNSECURED DATED DATE 05/15/13 FIRST COUPON 11/15/2013 DUE 05/15/2043 4.300% MN 15	084664BV2	99.1830	4,959.15	90.6420	4,532.10	0.27	215.00	4.90
15,000	***BP CAP MKT'S P L C UNSECURED DATED DATE 05/10/13 FIRST COUPON 11/10/2013 DUE 05/10/2018 1.375% MN 10	05565QCE6	99.7210	14,958.15	97.0080	14,551.20	0.88	208.25	2.08
5,000	BURLINGTON NORTIN SANTA FE LLC DATED DATE 03/12/13 DUE 03/15/2043 4.450% MS 15	12189LAN1	98.0880	4,804.40	90.9670	4,548.35	0.28	222.50	5.04
20,000	CATERPILLAR FINL SVCS CORP MEDIUM TERM NTS DATED DATE 02/12/09 DUE 02/15/2019 7.150% FA 15	14912L4E8	100.2409	20,048.18	123.1590	24,831.80	1.49	1,430.00	2.51
20,000	CITIGROUP INC NT DATED DATE 11/21/07 DUE 11/21/2017 8.125% MN 21	17296TEM9	104.8610	20,932.20	114.9980	22,999.60	1.39	1,225.00	2.30

Client Statement

For the period 08/31/2013 to 09/30/2013

ILL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - FIXED INCOME

NEUBERGER BERMAN

JPMCC Account: 541-12530 T08
Base Currency: USD
NB Account Number: 593-00189

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt. Value	% Portfolio (12)	Annual Income (6)	Yield (10)
CORPORATE BONDS (Continued)									
15,000	COMCAST CORP NT DATED DATE 09/23/07 DUE 11/15/2017 6 300% MN 15	20030NAU5	100.7398	15,110.97	117.8210	17,673.15	1.07	945.00	1.79
5,000	COMCAST CORP NEW DATED DATE 03/01/10 DUE 03/01/2040 6 400% MS 01	20030NB66	108.1500	5,407.50	119.6990	5,984.95	0.36	320.00	5.04
10,000	CONOCOPHILLIPS NOTES DATED DATE 02/03/09 DUE 02/01/2019 5 750% FA 01	20825CAR5	100.3362	10,033.83	116.6040	11,860.40	0.71	575.00	2.41
5,000	DEVON ENERGY CORP NEW DATED DATE 05/14/12 PAR CALL 04/15/2017 DUE 05/15/2017 1.875% MN 15	25179MAM5	99.7530	4,987.65	100.2230	5,011.15	0.30	93.75	1.81
25,000	DIRECTV HLOGS LLC / DIRECTV PING INC SR NT DATED DATE 03/10/11 DUE 03/01/2021 5 000% MS 01	25459HBA2	101.7330	25,433.25	102.3750	25,593.75	1.55	1,250.00	4.62
10,000	DISNEY WALT CO DATED DATE 11/30/12 DUE 12/01/2022 2 350% JD 01	25468PCW4	99.2320	9,923.20	91.8125	9,181.25	0.56	235.00	3.40
5,000	EMC CORP SR UNSECURED DATED DATE 08/08/13 FIRST COUPON 12/01/2013 DUE 08/01/2018 1.875% JD 01	268648AP7	99.9430	4,997.15	98.8240	4,981.20	0.30	93.75	1.96
5,000	ENTERPRISE PRODS OPER LLC DATED DATE 03/18/13 PAR CALL 09/15/2043 DUE 03/15/2044 4.850% MS 15	29379VBA0	104.9540	5,247.70	93.2060	4,860.30	0.28	242.50	6.30

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Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - FIXED INCOME

NEUBERGER BERMAN

JPMCC Account: 541-12530 T08
 Base Currency: USD
 NB Account Number: 593-00189

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total Cost (1)(10)(9)	Price	Mkt. Value	% Portfolio (12)	Annual Income (6)	Yield (10)
CORPORATE BONDS (Continued)									
10.000	EXELON GENERATION CO LLC SR NT DATED DATE 09/28/07 DUE 10/01/2017 5.200% AO 01	30161MAE3	101.3778	10,137.76	114.6400	11,464.00	0.69	620.00	2.34
15.000	GENERAL ELEC CAP CORP MEDIUM TERM NTS DATED DATE 01/14/08 DUE 01/14/2038 5.875% JJ 14	36962G3P7	96.1200	14,418.00	110.3880	16,558.20	1.00	881.25	5.12
20.000	GENERAL ELEC CAP CORP MEDIUM TERM NOTES DATED DATE 05/13/09 DUE 05/13/2014 5.900% MN 13	36962G4C5	100.9871	20,193.42	103.4410	20,688.20	1.25	1,180.00	0.28
5.000	GLAXOSMITHKLINE CAP INC DATED DATE 03/18/13 DUE 03/18/2043 4.200% MS 18	377372AJ6	98.8720	4,933.60	92.9410	4,647.05	0.28	210.00	4.64
15.000	GOLDMAN SACHS GROUP INC NT DATED DATE 01/18/08 DUE 01/18/2018 5.950% JJ 18	38141GFG4	93.3488	14,002.28	113.0400	16,958.00	1.03	892.50	2.71
15.000	HEWLETT PACKARD CO DATED DATE 12/09/11 DUE 12/09/2021 4.650% JD 09	428236SV4	102.8420	15,398.30	98.2110	14,731.65	0.89	697.50	4.92
15.000	HOME DEPOT INC SR NT DATED DATE 09/10/10 PAR CALL 08/15/2020 DUE 09/15/2020 3.950% MS 15	437076AT9	100.3200	15,048.00	107.6270	16,144.05	0.98	592.50	2.74
10.000	INTEL CORP SR UNSECURED DATED DATE 12/11/12 DUE 12/15/2017 1.350% JD 15	458140AL4	99.8940	9,989.40	98.7080	9,870.80	0.60	135.00	1.67

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - FIXED INCOME

NEUBERGER BERMAN

JPMCC Account: 541-12530 T08
 Base Currency: USD
 NB Account Number: 593-00189

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt. Value	% Portfolio (12)	Annual Income (8)	Yield (10)
CORPORATE BONDS (Continued)									
25,000	J P MORGAN CHASE & CO SR UNSECURED DATED DATE 05/15/13 FIRST COUPON 11/15/2013 DUE 05/15/2018 1.625% MN 15	46625HJL5	99.8380	24,959.50	96.8460	24,211.50	1.47	406.25	2.35
10,000	JOHN DEERE CAP CORP DATED DATE 12/02/11 DUE 12/02/2014 1.250% JD 02	24422ERK7	99.8710	9,987.10	101.0200	10,102.00	0.61	125.00	0.37
15,000	***KONINKLUKE PHILLIPS ELECTRS N V SR UNSECURED DATED DATE 03/09/12 ORIGINAL ISSUE DISCOUNT DUE 03/15/2022 3.750% MS 15	500472AF2	100.1240	15,018.80	99.7310	14,959.65	0.91	562.50	3.79
10,000	KRAFT FOODS GROUP INC SR NT 5%42 DATED DATE 01/08/13 DUE 08/04/2042 5.000% JD 04	50076QAE6	103.1410	10,314.10	99.0610	9,906.10	0.60	500.00	5.06
5,000	LOWES COS INC D/ TED DATE 04/23/12 PAR CALL 01/15/2022 DUE 04/15/2022 3.120% AO 15	548661CW5	99.9580	4,997.90	97.4530	4,872.65	0.30	156.00	3.47
20,000	MORGAN STANLEY DATED DATE 04/25/13 FIRST COUPON 10/25/2013 DUE 04/25/2018 2.125% AO 25	6174467U7	99.9290	19,985.80	97.4650	19,493.00	1.18	425.00	2.72
20,000	PFIZER INC NT DATED DATE 03/24/09 DUE 03/15/2019 6.200% MS 15	717081D56	107.6411	21,528.21	120.4390	24,087.80	1.46	1,240.00	2.20
5,000	***RIO TINTO FIN USA PLC DATED DATE 08/19/13 FIRST COUPON 12/17/2013 DUE 08/17/2018 1.375% JD 17	76720AAL0	99.7080	4,985.40	100.0290	5,001.45	0.30	68.75	1.36

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Client Statement

For the period 08/31/2013 to 09/30/2013

ILL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - FIXED INCOME

NEUBERGER BERMAN

JPMCC Account: 541-12530 T08
Base Currency: USD
NB Account Number: 593-00189

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost ⁽¹⁾⁽⁴⁾⁽⁹⁾	Total ⁽¹⁾⁽⁴⁾⁽⁹⁾ Cost	Price	Mkt Value	% Portfolio ⁽¹⁾⁽²⁾	Annual ⁽⁶⁾ Income	Yield ⁽¹⁰⁾
CORPORATE BONDS (Continued)									
5,000	***SANOFI S/A SR UNSECURED DATED DATE 04/10/13 FIRST COUPON 10/10/2013 DUE 04/10/2018 1.250% AO 10	801060AB0	99.5610	4,978.05	97.6240	4,881.20	0.30	62.50	1.80
10,000	***SHELL INTL FIN B V DATED DATE 08/12/13 FIRST COUPON 02/10/2014 DUE 08/10/2018 1.900% FA 10	822582AW2	99.9340	9,993.40	100.3250	10,032.50	0.61	190.00	1.83
10,000	SIMON PPTY GROUP LP NT 5.75%15 DATED DATE 04/11/06 ORIGINAL ISSUE DISCOUNT PAR CALL 09/02/2015 DUE 12/01/2015 5.750% JD 01	828807BP1	99.6850	9,968.50	109.4140	10,941.40	0.66	575.00	0.79
5,000	SOUTHERN CO DATED DATE 08/27/13 FIRST COUPON 03/01/2014 DUE 09/01/2018 2.450% MS 01	842587CJ4	99.7980	4,989.90	100.9720	5,048.80	0.31	122.50	2.24
5,000	SPECTRA ENERGY PARTNERS LP SR UNSECURED PAR CALL 08/25/2018 FIRST COUPON 03/25/2014 DUE 09/25/2018 2.950% MS 25	84756NAC3	99.8290	4,991.45	101.6480	5,082.40	0.31	147.50	2.60
5,000	***STATOIL ASA SR UNSECURED DATED DATE 11/21/12 DUE 01/17/2018 1.200% JJ 17	85771PAH5	99.8850	4,994.25	98.0230	4,901.15	0.30	60.00	1.68
5,000	***SUNCOR ENERGY INC DATED DATE 06/29/07 DUE 08/15/2038 6.500% JD 15	867229AE6	127.3600	6,368.00	116.5350	5,826.75	0.35	325.00	5.29
15,000	TIME WARNER INC NEW DATED DATE 03/11/10 DUE 03/15/2020 4.875% MS 15	887317AF2	106.1730	15,925.95	108.7950	16,319.25	0.99	731.25	3.35

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - FIXED INCOME

NFUBERGER BERMAN

JPMCC Account: 541-12530 T08
Base Currency: USD
NB Account Number: 593-00189

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt. Value	% Portfolio (12)	Annual Income (6)	Yield (10)
CORPORATE BONDS (Continued)									
15,000	TOYOTA MTR CR CORP DATED DATE 10/05/12 DUE 10/05/2017 1.250% AO 05	89233P6S0	99.9420	14,991.30	98.5870	14,785.05	0.90	187.50	1.82
15,000	VERIZON COMMUNICATIONS INC SR UNSECURED DATED DATE 09/18/13 FIRST COUPON 03/15/2014 DUE 09/15/2043 6.550% MS 15	92343VB70	102.3907	15,358.60	112.8940	16,934.10	1.03	982.50	5.85
5,000	VIACOM INC NEW SR UNSECURED DATED DATE 08/19/13 FIRST COUPON 03/01/2014 DUE 09/01/2018 2.500% MS 01	92553PAS1	99.4230	4,971.15	99.8160	4,990.80	0.30	125.00	2.54
20,000	WAL MART STORES INC DATED DATE 04/11/13 FIRST COUPON 10/11/2013 DUE 04/11/2018 1.125% AO 11	931142DF7	100.5050	20,101.00	97.7660	19,553.20	1.19	225.00	1.84
25,000	XEROX CORPORATION SENIOR NOTES DATED DATE 05/11/09 DUE 05/19/2014 8.250% MN 15	984121BY8	104.1090	26,027.28	104.5240	26,131.00	1.58	2,062.50	0.88
5,000	XEROX CORPORATION SR UNSECURED DATED DATE 03/15/12 DUE 03/15/2017 2.950% MS 15	984121CF8	99.8750	4,993.75	102.5490	5,127.45	0.31	147.50	2.18
TOTAL CORPORATE BONDS				610,003.66		631,497.20	38.27	25,419.75	2.71

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Client Statement

For the period 08/31/2013 to 09/30/2013

J L & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - FIXED INCOME

NEUBERGER BERMAN

JPMCC Account: 541-12530 T08
 Base Currency: USD
 NB Account Number: 593-00189

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost	Total ⁽¹⁾⁽⁴⁾⁽⁹⁾ Cost	Price	Mkt. Value	% Portfolio ⁽¹²⁾	Annual ⁽⁶⁾ Income	Yield ⁽¹⁰⁾
US GOVERNMENT & AGENCY									
40,000	FEDL HOME LOAN MTG CORP DATED DATE 01/17/13 DUE 03/07/2018 875% MS 17	3137EADP1	99.7969	39,918.75	97.7524	39,100.94	2.37	350.00	1.42
145,000	FEDL HOME LOAN MTG CORP#A95861 DATED DATE 12/01/10 DUE 12/01/2040 4.000% AMORTIZED AMOUNT = 73,367 FACTOR = .30597977	312944QN4	101.4063	74,398.77	104.5859	76,731.64	4.65	2,934.68	3.12
80,000	FEDL HOME LOAN MTG CORP#C09042 DATED DATE 05/01/13 DUE 05/01/2043 3.500% AMORTIZED AMOUNT = 78,416 FACTOR = .98020062	31292SBK5	105.2813	82,557.40	101.6172	79,684.19	4.83	2,744.56	3.27
85,000	FEDL HOME LOAN MTG CORP#G05646 DATED DATE 09/01/09 DUE 10/01/2039 4.500% AMORTIZED AMOUNT = 27,155 FACTOR = .31947046	3128MTT82	105.3281	28,601.86	106.4548	28,910.52	1.75	1,221.97	3.10
20,000	FEDL HOME LOAN MTG CORP#Q17586 DATED DATE 04/01/13 DUE 04/01/2043 3.500% AMORTIZED AMOUNT = 19,844 FACTOR = .99221751	3132J82U3	106.1563	21,066.02	101.6172	20,165.27	1.22	894.55	3.27
20,000	FNMA GTD PASS THRU POOL#990289 DATED DATE 02/01/11 DUE 02/01/2041 4.500% AMORTIZED AMOUNT = 9,605 FACTOR = .46027031	31410LC28	107.9219	10,366.32	106.8633	10,264.65	0.62	432.24	2.86

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - FIXED INCOME

NEUBERGER BERMAN

JPMCC Account: 541-12530 T08
 Base Currency: USD
 NB Account Number: 593-00189

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt. Value	% Portfolio (12)	Annual Income (8)	Yield (10)
US GOVERNMENT & AGENCY (Continued)									
35,000	FNMA GTD PASS THRU POOL#AB1051 DATED DATE 04/01/10 DUE 05/01/2040 4.500% AMORTIZED AMOUNT = 15,789 FACTOR = .4511884	31416WEZ1	108.0000	17,052.22	106.9414	16,885.07	1.02	710.51	2.86
15,000	FNMA GTD PASS THRU POOL#AB7733 DATED DATE 12/01/12 DUE 01/01/2043 3.000% AMORTIZED AMOUNT = 14,460 FACTOR = .98401213	31417ESX0	100.3672	14,513.27	97.8125	14,143.87	0.86	433.81	3.32
123,365	FNMA GTD PASS THRU POOL#AES147 DATED DATE 11/01/10 DUE 11/01/2040 4.000% AMORTIZED AMOUNT = 42,538 FACTOR = .34481377	31419FWH5	104.9063	44,624.97	104.9414	44,639.93	2.71	1,701.52	3.04
30,000	FNMA GTD PASS THRU POOL#AH8932 DATED DATE 03/01/11 DUE 04/01/2041 4.500% AMORTIZED AMOUNT = 15,052 FACTOR = .50174098	3138AA4S7	108.0000	16,258.41	106.8789	16,087.66	0.98	677.35	2.88
20,000	FNMA GTD PASS THRU POOL#AJ1059 DATED DATE 06/01/11 DUE 06/01/2041 4.500% AMORTIZED AMOUNT = 12,080 FACTOR = .80401422	3138AEE90	107.8594	13,029.72	106.8789	12,911.28	0.78	543.81	2.88
20,000	FNMA GTD PASS THRU POOL#AK3408 DATED DATE 01/01/12 DUE 01/01/2042 4.500% AMORTIZED AMOUNT = 14,058 FACTOR = .70287538	3138ETYC2	107.9219	15,171.13	107.0977	15,055.26	0.91	632.59	2.88

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Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - FIXED INCOME

NEUBERGER BERMAN

JPMCC Account: 541-12530 T08
 Base Currency: USD
 NB Account Number: 593-00189

Positions In Your Account

Quantity	Description	Ticker/Cusp	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt. Value	% Portfolio (12)	Annual Income (6)	Yield (10)
US GOVERNMENT & AGENCY (Continued)									
40,000	FNMA GTD PASS THRU POOL#AK4827 DATED DATE 04/01/12 DUE 04/01/2042 3.500% AMORTIZED AMOUNT = 36.412 FACTOR = .91030952	3138E9LH1	103.6406	37,738.02	101.9180	37,110.76	2.25	1,274.43	3.21
20,000	FNMA GTD PASS THRU POOL#AP0525 DATED DATE 07/01/12 DUE 07/01/2042 3.500% AMORTIZED AMOUNT = 15.447 FACTOR = .77233356	3138M3SP0	106.6563	16,474.85	101.9180	15,742.93	0.95	540.83	3.21
20,000	FNMA GTD PASS THRU POOL#AP4981 DATED DATE 09/01/12 DUE 09/01/2042 3.000% AMORTIZED AMOUNT = 18.850 FACTOR = .94248556	3138M8OP1	103.1563	19,444.63	97.8125	18,437.37	1.12	565.49	3.32
10,000	FNMA GTD PASS THRU POOL#AQ0546 DATED DATE 11/01/12 DUE 11/01/2042 3.500% AMORTIZED AMOUNT = 9.174 FACTOR = .91738585	3138MFTC1	106.3438	9,766.51	101.9180	9,349.81	0.57	321.09	3.21
20,000	FNMA GTD PASS THRU POOL#AQ4577 DATED DATE 11/01/12 DUE 12/01/2042 3.500% AMORTIZED AMOUNT = 18.582 FACTOR = .92910954	3138MLCP7	106.3438	19,782.67	101.9180	18,938.59	1.15	650.36	3.21
35,000	FNMA GTD PASS THRU POOL#AQ6445 DATED DATE 11/01/12 DUE 12/01/2042 3.000% AMORTIZED AMOUNT = 32.347 FACTOR = .92421267	3138MNET3	104.8594	33,919.35	97.8125	31,639.84	1.92	970.42	3.32

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - FIXED INCOME

NEUBERGER BERMAN

JPMCC Account: 541-12530 T08
Base Currency: USD
NB Account Number: 593-00189

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt. Value	% Portfolio (12)	Annual Income (6)	Yield (10)
US GOVERNMENT & AGENCY (Continued)									
40,000	FNMA GTD PASS THRU POOL#AQ7887 DATED DATE 11/01/12 DUE 12/01/2042 3.000% AMORTIZED AMOUNT = 37,814 FACTOR = .94035115	3138MPXR1	105.2188	39,577.01	97.8125	36,791.24	2.23	1,128.42	3.32
25,000	FNMA GTD PASS THRU POOL#AQ8181 DATED DATE 01/01/13 DUE 01/01/2043 3.500% AMORTIZED AMOUNT = 23,219 FACTOR = .92875780	3138MOCT8	106.3750	24,726.24	101.9180	23,684.28	1.43	812.66	3.21
5,000	FNMA GTD PASS THRU POOL#AT1714 DATED DATE 03/01/13 DUE 04/01/2043 3.500% AMORTIZED AMOUNT = 4,958 FACTOR = .99165781	3138WN3Y3	106.3750	5,280.17	101.9180	5,053.39	0.31	173.54	3.21
20,000	FNMA GTD PASS THRU POOL#MA1204 DATED DATE 09/01/12 DUE 10/01/2042 3.500% AMORTIZED AMOUNT = 17,577 FACTOR = .87885943	3141BAKS6	105.6406	18,744.43	101.9180	17,914.31	1.09	815.20	3.21
85,000	UNITED STATES TREASURY NOTE DATED DATE 12/31/08 DUE 12/31/2013 1.500% JD 30	912828JW1	100.5863	85,496.33	100.3633	85,308.79	5.17	1,275.00	0.05
75,000	UNITED STATES TREASURY NOTE DATED DATE 08/15/13 FIRST COUPON 02/15/2014 DUE 08/15/2016 .625% FA 15	912828VR8	99.6097	74,707.28	100.0664	75,049.80	4.55	468.75	0.60
20,000	UNITED STATES TREASURY NOTE DATED DATE 04/30/12 DUE 04/30/2017 8.75% AO 30	912828SS0	100.3089	20,061.78	99.9258	19,985.18	1.21	175.00	0.90
45,000	UNITED STATES TREASURY NOTE DATED DATE 07/02/12 DUE 06/30/2017 .750% JD 30	912828TB6	100.2490	45,112.07	99.2070	44,843.16	2.71	337.50	0.97

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Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - FIXED INCOME

NEUBERGER BERMAN

JPMCC Account: 541-12530 T08
 Base Currency: USD
 NB Account Number: 593-00189

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)/(4)/(9)	Total Cost (1)/(4)/(9)	Price	Mkt Value	% Portfolio (12)	Annual Income (6)	Yield (10)
US GOVERNMENT & AGENCY (Continued)									
5,000	UNITED STATES TREASURY NOTE DATED DATE 07/31/12 DUE 07/31/2017 5.00% JJ 31	912828TG5	99.4808	4,974.04	98.1367	4,908.84	0.30	25.00	1.00
35,000	UNITED STATES TREASURY NOTE DATED DATE 10/01/12 ORIGINAL ISSUE DISCOUNT DUE 09/30/2017 6.25% MS 31	912828TS9	99.9808	34,983.28	98.3008	34,405.27	2.09	218.75	1.06
15,000	UNITED STATES TREASURY NOTE INTEREST FROM DATE 08/30/13 DATED DATE 07/01/13 FIRST COUPON 12/31/2013 DUE 06/30/2018 1.375% JD 30	912828VK3	100.0042	15,000.84	100.2695	15,040.43	0.91	208.25	1.32
5,000	UNITED STATES TREASURY NOTE DATED DATE 07/31/13 FIRST COUPON 07/31/2014 DUE 07/31/2018 1.375% JJ 31	912828VQ0	99.5567	4,978.34	100.1836	5,009.18	0.30	68.75	1.34
5,000	UNITED STATES TREASURY NOTE DATED DATE 05/16/11 DUE 05/15/2021 3.125% MN 15	912828QN3	99.7699	4,988.50	108.8945	5,344.73	0.32	156.25	2.14
70,000	UNITED STATES TREASURY NOTE 10 YEAR INFLATION INDEX BOND DATED DATE 07/29/11 ORIGINAL ISSUE DISCOUNT DUE 07/15/2021 6.25% JJ 15	912828QV5	101.7978	73,853.86	104.1345	75,549.02	4.58	453.43	0.10
15,000	UNITED STATES TREASURY NOTE DATED DATE 08/15/11 DUE 08/15/2021 2.125% FA 15	912828RC8	99.8845	14,982.68	99.1992	14,879.88	0.80	318.75	2.24
25,000	UNITED STATES TREASURY NOTE DATED DATE 05/15/13 FIRST COUPON 11/15/2013 DUE 05/15/2023 1.750% MN 15	912828VB3	96.6840	24,171.00	92.6680	23,168.99	1.40	437.50	2.62
TOTAL US GOVERNMENT & AGENCY				1,006,332.32		992,512.05	60.16	24,270.58	2.10

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - FIXED INCOME

NEUBERGER BERMAN

JPMCC Account: 541-12530 T08
 Base Currency: USD
 NB Account Number: 593-00189

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt. Value	% Portfolio (12)	Annual Income (6)	Yield (10)
GRAND TOTAL ⁽⁹⁾				1,642,036.34		1,649,709.61	100.00	49,890.33	3.01

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Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - KSE VALUE

NEUBERGER BERMAN

JPMCC Account: 541-12539 F53
Base Currency: USD
NB Account Number: 593-00198

Account Summary

	Opening Balance ⁽⁵⁾⁽¹⁴⁾	Closing Balance ⁽⁵⁾	Cost Basis ⁽¹⁾⁽⁴⁾⁽⁹⁾	Estimated Annual Income ⁽⁶⁾ Amount	% Yield ⁽¹⁰⁾
CASH EQUIVALENT	18,469.79	18,702.97	18,702.97	0.00	0.00
EQUITIES	659,616.73	676,234.85	527,680.02	18,045.50	2.67
ACCRUED DIVIDEND	2,630.73	780.38	780.38	0.00	0.00
TOTAL VALUE	680,717.25	695,718.20	547,163.37	18,045.50	2.59

Account Composition

	% of Portfolio
CASH EQUIVALENT	2.69
EQUITIES	97.31

Y-T-D Market Value Change Summary⁽¹³⁾

PREVIOUS YEAR ENDING VALUE	608,871.40
NET DEPOSITS AND WITHDRAWALS	0.00
INCOME AND EXPENSE ITEMS (NET)	6,101.09
NET CHANGE IN ASSET VALUE	80,745.71
CURRENT PERIOD ENDING VALUE	695,718.20

Income Summary⁽⁷⁾

	This Month	Year-To-Date
TAXABLE DIVIDENDS	2,794.73	12,548.68
FOREIGN TAX	(7.57)	(315.66)

Y-T-D Net Realized Gains/Losses⁽²⁾⁽³⁾⁽⁴⁾

TOTAL LONG TERM	15,244.09
TOTAL SHORT TERM	(5,206.00)

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Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
DTD 11/24/70 SSCHLESINGER &
NBTC NA CO-TTEE - KSE VALUE

NEUBERGER BERMAN

JPMCC Account: 541-12539 F53
Base Currency: USD
NB Account Number: 593-00198

Performance Summary (a)(c)(9)

	Latest 3 Months	Year To Date	Latest 12 Months	Latest 2 Years	Annualized	Latest 5 Years	Since Inception 11/13/2001 Cumulative	Annualized
TOTAL PORTFOLIO (Net of Fee)	3.79 %	14.29 %	12.88 %	19.49 %		7.45 %	109.16 %	6.41 %
EQUITY SEGMENT	4.28 %	15.69 %	14.58 %	21.64 %		9.06 %	149.94 %	8.02 %

Comparative Indices (b)

	Latest 3 Months	Year To Date	Latest 12 Months	Latest 2 Years	Annualized	Latest 5 Years	Since Inception 11/13/2001 Cumulative	Annualized
S&P 500 INDEX (11/13/2001 - 09/30/2013)	5.24 %	19.79 %	19.34 %	24.65 %		10.02 %	87.13 %	5.42 %
US CONSUMER PRICE INDEX (11/13/2001 - 09/30/2013)	0.16 %	1.86 %	1.07 %	1.53 %		1.34 %	31.61 %	2.34 %

(a) Portfolio performance is calculated utilizing a total return methodology. The total return calculation is the combination of the change in price of the investments plus any income (or other distributions); it is expressed as a percentage gain or loss in the investment's value. Segment level performance is also calculated employing a total return calculation but focuses exclusively on the investments in that particular segment of the portfolio being measured. For instance, the equity segment performance represents the total return of the segment of the manager's portfolio allocated to equity securities, excluding fixed income or cash instruments. Equity segment performance excludes preferred issues and includes convertible issues effective 1/1/00. Fixed income segment performance includes preferred issues and excludes convertible issues effective 1/1/00 and excludes mutual funds effective 1/1/00. Segment returns are gross of fee. Non discretionary holdings are not included in the performance calculations. Performance returns are displayed on a Net of Fee or Gross of Fee basis. Net of Fee performance is calculated after the deduction of advisory fees and commissions. Gross of Fee performance is calculated before the reduction of advisory fees and after commissions.

(b) Index returns represent values that are available at the calendar month end. In the case of delayed index information, estimated values are used. Once the actual values are available the indices are updated appropriately to ensure accurate year to date returns.

(c) Performance information supplied is based on a trade and ex date basis and has not been annualized unless where noted. The figures include dividend reinvestment and interest income as well as capital gains and are net of commissions. Returns do not include non-discretionary holdings. Investment advisory fees are treated as capital withdrawals.

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - KSE VALUE

NFURBERGER | BERMAN

JPMCC Account: 541-12539 F53
 Base Currency: USD
 NB Account Number: 593-00198

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt. Value	% Portfolio (12)	Annual Income (8)	Yield (10)
CASH EQUIVALENT									
18,702.97	JPMORGAN TR 1 100% U S TREAS SECS MONEY MKT AGENCY SHARE CL SECURITY SYMBOL IS VPIX	VPIX	1.0000	18,702.97	1.0000	18,702.97	2.69	0.00	0.00
TOTAL CASH EQUIVALENT				18,702.97		18,702.97	2.69	0.00	0.00
EQUITIES									
755	AMEREN CORP	AEE	35.8321	27,053.24	34.8400	26,304.20	3.79	1,208.00	4.59
860	***ASTRAZENECA PLC SPONSORED ADR	AZN	47.2957	40,674.33	51.9300	44,659.80	6.43	2,408.00	5.39
870	***BCE INC NEW	BCE	20.0344	13,423.05	42.7244	28,625.33	4.12	1,561.10	5.45
440	***BUNGE LTD	BG	61.7198	27,156.63	75.9100	33,400.40	4.81	528.00	1.58
700	CABOT OIL & GAS CORP	COG	18.0971	11,267.97	37.3200	26,124.00	3.76	56.00	0.21
150	***CANADIAN PACIFIC RAILWAY LTD	CP	68.5523	10,282.85	123.3494	18,502.41	2.66	210.00	1.13
775	CONAGRA FOODS INC	CAG	38.7975	28,518.08	30.3400	23,513.50	3.38	775.00	3.30
680	GANNETT CO INC	GC	13.8540	9,420.72	26.7900	18,217.20	2.82	544.00	2.89
485	GENERAL DYNAMICS CORP	GD	77.8228	38,094.50	87.5200	40,696.80	5.86	1,041.60	2.56
1,010	***GOLD CORP INC	GG	28.1212	28,402.44	26.0100	26,270.10	3.78	608.00	2.31
28	GOOGLE INC CL A	GOOG	723.1988	18,803.11	875.9100	22,773.88	3.28	0.00	0.00
1,390	GREAT PLAINS ENERGY INC	GXP	20.3849	28,307.25	22.2000	30,848.00	4.44	1,208.30	3.82
1,465	HERTZ GLOBAL HLDGS INC	HTZ	24.7606	36,274.24	22.1500	32,464.40	4.87	0.00	0.00

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - KSE VALUE

NEUBERGER BERMAN

JPMCC Account: 541-12539 F53
 Base Currency: USD
 NB Account Number: 593-00198

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt Value	% Portfolio (12)	Annual Income (8)	Yield (10)
EQUITIES (Continued)									
250	HOME DEPOT INC	HD	62.1746	15,543.65	75.8500	18,962.50	2.73	390.00	2.06
490	MEDTRONIC INC	MDT	38.3521	17,812.52	53.2500	28,092.50	3.75	548.80	2.10
740	MICROSOFT CORP	MSFT	25.5629	18,990.51	33.2800	24,627.20	3.54	828.80	3.37
240	MONSANTO CO	MON	59.0581	14,173.46	104.3700	25,048.80	3.60	412.80	1.65
370	NEXTERA ENERGY INC	NEE	57.7435	21,365.10	80.1600	29,659.20	4.27	978.80	3.29
1,030	PFIZER INC	PFE	18.5334	19,089.43	28.7250	29,586.75	4.26	988.80	3.34
2,580	PITNEY BOWES INC	PBI	14.7364	39,493.62	18.1800	48,749.20	7.01	2,010.00	4.12
400	RANGE RESOURCES CORP	RRC	66.8621	26,744.86	75.8900	30,356.00	4.37	64.00	0.21
290	SANDISK CORP	SNDK	35.2754	10,229.86	59.5100	17,257.90	2.48	261.00	1.51
320	SEMPRA ENERGY	SRE	45.3109	14,499.49	85.6000	27,392.00	3.94	806.40	2.94
485	WALGREEN CO	WAG	28.9879	14,059.13	53.8000	25,093.00	3.75	611.10	2.34
TOTAL EQUITIES				527,680.02		676,234.85	97.30	18,045.50	2.67
GRAND TOTAL (5)				546,382.99		694,937.82	100.00	18,045.50	2.60

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - AFFIL MIF

NEUBERGER BERMAN

JPMCC Account: 541-12542 A17
Base Currency: USD
NB Account Number: 593-00201

Account Summary

	Opening Balance ⁽⁵⁾⁽¹⁴⁾	Closing Balance ⁽⁵⁾	Cost Basis ⁽¹⁾⁽⁴⁾⁽⁹⁾	Estimated Annual Income ⁽⁶⁾ Amount	% Yield ⁽¹⁰⁾
CASH EQUIVALENT	1,567.47	3,797.59	3,797.59	0.00	0.00
EQUITY MUTUAL FUNDS	941,958.34	988,346.11	769,436.66	13,112.67	1.33
FIXED INCOME TAXABLE FUNDS	636,418.52	638,371.41	636,801.18	22,215.32	3.48
TOTAL VALUE	1,579,944.33	1,630,515.11	1,410,035.43	35,327.99	2.17

Account Composition

	% of Portfolio
CASH EQUIVALENT	0.23
EQUITY MUTUAL FUNDS	60.62
FIXED INCOME TAXABLE FUNDS	39.15

Y-T-D Market Value Change Summary⁽¹³⁾

PREVIOUS YEAR ENDING VALUE	1,356,420.00
NET DEPOSITS AND WITHDRAWALS	122,532.61
INCOME AND EXPENSE ITEMS (NET)	15,257.92
NET CHANGE IN ASSET VALUE	136,304.58
CURRENT PERIOD ENDING VALUE	1,630,515.11

Income Summary⁽⁷⁾

	This Month	Year-To-Date
TAXABLE DIVIDENDS	4,183.01	20,958.91

Y-T-D Net Realized Gains/Losses⁽²⁾⁽³⁾⁽⁴⁾

TOTAL LONG TERM	39,287.84
TOTAL SHORT TERM	11,712.52

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Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A SCROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - AFFIL MIF

NEUBERGER BERMAN

JPMCC Account: 541-12542 A17
Base Currency: USD
NB Account Number: 593-00201

Performance Summary (a)(c)(9)

	Latest 3 Months	Year To Date	Latest 12 Months	Latest 2 Years	Annualized	Latest 5 Years	Since Inception 11/13/2001 Cumulative	Annualized
TOTAL PORTFOLIO (Net of Fee)	4.00 %	10.56 %	11.71 %	14.64 %		8.69 %	184.92 %	9.21 %
EQUITIES INCL MUTUAL FUNDS	6.33 %	15.56 %	16.96 %	18.29 %		9.67 %	249.77 %	11.12 %
FIXED INCL MUTUAL FUNDS	0.91 %	2.63 %	3.97 %	8.46 %		N/A	17.49 %	5.56 %

Comparative Indices (b)

	Latest 3 Months	Year To Date	Latest 12 Months	Latest 2 Years	Annualized	Latest 5 Years	Since Inception 11/13/2001 Cumulative	Annualized
S&P 500 INDEX (11/13/2001 - 09/30/2013)	5.24 %	19.79 %	19.34 %	24.65 %		10.02 %	87.13 %	5.42 %
BARCLAYS US INT GOV/CREDIT (10/07/2010 - 09/30/2013)	0.62 %	(0.84) %	(0.50) %	1.92 %		N/A	6.79 %	2.23 %
US CONSUMER PRICE INDEX (11/13/2001 - 09/30/2013)	0.16 %	1.86 %	1.07 %	1.53 %		1.34 %	31.61 %	2.34 %

(a) Portfolio performance is calculated utilizing a total return methodology. The total return calculation is the combination of the change in price of the investments plus any income (or other distributions). It is expressed as a percentage gain or loss in the investment's value. Segment level performance is also calculated employing a total return calculation but focuses exclusively on the investments in that particular segment of the portfolio being measured. For instance, the equity segment performance represents the total return of the segment of the manager's portfolio allocated to equity securities, excluding fixed income or cash instruments. Equity segment performance excludes preferred issues and includes convertible issues effective 1/1/99 and excludes mutual funds effective 1/1/00. Fixed income segment performance includes preferred issues and excludes convertible issues effective 1/1/99 and excludes mutual funds effective 1/1/00. Segment returns are not included in the performance calculations. Performance returns are displayed on a Net of Fee or Gross of Fee basis. Net of Fee performance is calculated after the deduction of advisory fees and commissions. Gross of Fee performance is calculated before the reduction of advisory fees and after commissions.

(b) Index returns represent values that are available at the calendar month end. In the case of delayed index information, estimated values are used. Once the actual values are available the indices are updated appropriately to ensure accurate year to date returns.

(c) Performance information supplied is based on a trade and ex date basis and has not been annualized unless where noted. The figures include dividend reinvestment and interest income as well as capital gains and are net of commissions. Returns do not include non-discretionary holdings. Investment advisory fees are treated as capital withdrawals.

Client Statement

For the period 08/31/2013 to 09/30/2013

ILL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - AFFIL MIF

NEUBERGER BERMAN

JPMCC Account: 541-12542 A17
Base Currency: USD
NB Account Number: 593-00201

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt Value	% Portfolio (12)	Annual Income (8)	Yield (10)
CASH EQUIVALENT									
3,797.59	JPMORGAN TRI 100% U S TREAS SECS MONEY MKT AGENCY SHARE CL SECURITY SYMBOL IS VPIX	VPIX	1.0000	3,797.59	1.0000	3,797.59	0.23	0.00	0.00
TOTAL CASH EQUIVALENT				3,797.59		3,797.59	0.23	0.00	0.00
EQUITY MUTUAL FUNDS									
14,136.689	**NEUBERGER & BERMAN EQUITY FDS EQUITY INCOME FD INSTL CL	NBHX	10.2500	144,901.06	12.0500	170,347.10	10.45	8,220.14	3.85
14,851.494	**NEUBERGER & BERMAN EQUITY FD REAL ESTATE FD INSTL CL	NBRX	14.0600	208,000.00	13.3800	198,038.99	12.02	4,322.19	2.20
10,159.458	**NEUBERGER BERMAN GENESIS FD INSTITUTIONAL CLASS	NBGX	41.1966	418,535.60	61.2200	621,982.02	38.15	2,570.34	0.41
TOTAL EQUITY MUTUAL FUNDS				769,436.66		988,346.11	60.62	13,112.67	1.33
FIXED INCOME TAXABLE FUNDS									
62,401.897	**NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL	NFIX	10.2048	638,801.18	10.2300	638,371.41	39.15	22,215.32	3.48
TOTAL FIXED INCOME TAXABLE FUNDS				636,801.18		638,371.41	39.15	22,215.32	3.48
GRAND TOTAL (5)				1,410,035.43		1,630,515.11	100.00	35,327.99	2.17



Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - HARDING LOEV

NEUBERGER BERMAN

JPMCC Account: 541-14248 D52
 Base Currency: USD
 NB Account Number: 593-03056

Account Summary

	Opening Balance ⁽⁵⁾⁽¹⁴⁾	Closing Balance ⁽⁵⁾	Cost Basis ⁽¹⁾⁽⁴⁾⁽⁹⁾	Estimated Annual Income ⁽⁶⁾ Amount	% Yield ⁽¹⁰⁾
CASH	0.00	33.31	33.31	0.00	0.00
CASH EQUIVALENT	8,943.89	24,411.55	24,411.55	0.00	0.00
EQUITIES	459,062.90	478,480.28	366,652.58	8,471.11	1.77
ACCRUED DIVIDEND	565.68	537.98	537.98	0.00	0.00
TOTAL VALUE	468,572.47	503,463.12	391,635.42	8,471.11	1.68

Account Composition

	This Month	Year-To-Date
CASH	0.01	0.01
CASH EQUIVALENT	4.85	4.85
EQUITIES	95.14	95.14

Y-T-D Market Value Change Summary⁽¹³⁾

PREVIOUS YEAR ENDING VALUE	331,293.32
NET DEPOSITS AND WITHDRAWALS	135,000.00
INCOME AND EXPENSE ITEMS (NET)	2,960.64
NET CHANGE IN ASSET VALUE	34,209.16
CURRENT PERIOD ENDING VALUE	503,463.12

Income Summary⁽⁷⁾

TAXABLE DIVIDENDS	655.69	8,902.78
FOREIGN TAX	(67.50)	(1,744.69)

Y-T-D Net Realized Gains/Losses⁽²⁾⁽³⁾⁽⁴⁾

TOTAL LONG TERM	(147.72)
TOTAL SHORT TERM	(2,776.32)

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Client Statement

For the period 08/31/2013 to 09/30/2013
 I L & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - HARDING LOEV

NEUBERGER BERMAN

JPMCC Account: 541-14248 D52
 Base Currency: USD
 NB Account Number: 593-03056

Positions In Your Account

CASH		Total Cost	Mkt Value
CASH	(USD Exchange Rate 1.0000000000)		
TOTAL CASH		33.31	33.31

Quantity	Description	Ticker/Cusip	Average (1)/(4)(9) Unit Cost	Total (1)/(4)(9) Cost	Price	Mkt. Value	Unrealized (2) P/L	Annual (6) Income	(10) Yield
24,411.55	JPMORGAN TR 100% U S TREAS SECS MONEY MKT AGENCY SHARE CL SECURITY SYMBOL IS VPIXX	VPIXZ	1.0000	24,411.55	1.0000	24,411.55	0.00	0.00	0.00
TOTAL CASH EQUIVALENT				24,411.55		24,411.55	0.00	0.00	0.00

EQUITIES

735	***AIA GROUP LTD SPONSORED ADR	AAGIY	14.9185	10,965.11	18.8000	13,818.00	2,852.89	128.63	0.83
575	***AIR LIQUIDE-ADR	AIQUY	19.4787	11,207.52	27.8720	16,026.40	4,818.88	294.98	1.84
997	***ALLIANZ SE SPONSORED ADR REPSTG 1/10 SH	AZSEY	9.9912	9,961.20	15.7300	15,682.81	5,721.61	433.70	2.77
112	***ANHEUSER-BUSCH INBEV SA SPONSORED ADR	BUD	81.2399	9,098.87	99.2000	11,110.40	2,011.53	207.09	1.86
247	***ARM HOLDINGS PLC SPONSORED ADR	ARMH	14.9597	3,695.08	48.1200	11,885.84	8,190.58	48.86	0.41
308	***ATLAS COPCO AB-SPONSORED ADR REPSTG SER A	ATLKY	19.3713	5,968.38	29.3010	9,024.71	3,058.33	181.41	2.01

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A SCROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - HARDING LOEV

NEUBERGER BERMAN

JPMCC Account: 541-14248 D52
Base Currency: USD
NB Account Number: 593-03056

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt Value	Unrealized ⁽²⁾ P/L	Annual ⁽⁶⁾ Income	Yield ⁽¹⁰⁾
EQUITIES (Continued)									
77	***BAIDU INC SPONSORED ADR REPSTG ORD SHS CL A	BIDU	99.1538	7,557.84	155.1800	11,948.86	4,391.02	0.00	0.00
798	***BANCO BILBAO VIZCAYA ARGENTARIA S A SPONSORED ADR	BBVA	9.6933	7,735.25	11.1800	8,921.64	1,186.38	347.13	3.89
263	***BAYERISCHE MOTOREN WERKE AG UNSPONSORED ADR	BAMXY	31.9848	8,412.00	35.8580	9,430.65	1,018.65	194.36	2.08
447	***BG GROUP PLC ADR FINAL INSTALLMENT	BRGY	14.4952	8,479.36	19.1170	8,545.30	2,065.94	122.03	1.43
133	***BUNGE LTD	BG	75.5797	10,052.10	75.9100	10,095.03	43.93	159.60	1.58
94	***CANADIAN NATIONAL RAILWAY CO	CNI	78.1709	7,348.06	101.2981	9,522.02	2,173.96	181.68	1.70
177	***COCHLEAR ORD PLC A\$ 0.10 PAR UNSPONSORED ADR	CHEOY	33.6076	5,948.54	28.2760	5,004.85	(943.69)	135.41	2.71
294	***CSL LTD UNSPONSORED ADR	CMXHY	18.7309	5,506.88	29.9220	8,797.07	3,280.19	135.24	1.54
143	***DASSAULT SYSTEMS S A SPONSORED ADR	DASTY	70.4584	10,085.17	133.5790	19,101.80	9,016.63	103.98	0.54
148	***DBS GROUP HOLDINGS LTD SPONSORED ADR	DBSDY	39.9269	5,909.19	52.3450	7,747.08	1,837.87	256.63	3.31
481	***FANUC CORPORATION UNSPONSORED ADR	FANUY	15.2880	7,047.78	27.5330	12,692.71	5,644.93	127.70	1.01
438	***FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR	FMS	28.0124	12,289.43	31.3800	14,182.44	1,913.01	383.99	2.71
558	***GAZPROM O A O SPONSORED ADR	OGZPY	11.8009	6,584.90	8.8200	4,921.56	(1,663.34)	159.59	3.24
382	***HONG KONG EXCHANGES & CLEARING LTD UNSPONSORED ADR	HKXCY	15.7701	5,708.78	16.0280	5,802.14	93.36	138.28	2.38

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Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - HARDING LOEV

NEUBERGER BERMAN

JPMCC Account: 541-14248 D52
Base Currency: USD
NB Account Number: 593-03056

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost ⁽¹⁾⁽⁴⁾⁽⁹⁾	Total ⁽¹⁾⁽⁴⁾⁽⁹⁾ Cost	Price	Mkt. Value	Unrealized ⁽²⁾ P/L	Annual ⁽⁶⁾ Income	Yield ⁽¹⁰⁾
EQUITIES (Continued)									
251	***ICICI BANK LTD SPONSORED ADR	IBN	40.3355	10,124.21	30.4800	7,550.48	(2,473.73)	187.42	2.19
167	***IMPERIAL OIL LTD NEW	IMO	36.6868	6,126.70	43.8988	7,331.09	1,204.39	80.16	1.09
800	***ITAU UNIBANCO BANCO HOLDING S A SPONSORED ADR REPSTG 500 PFD	ITUB	15.7798	12,623.81	14.1200	11,296.00	(1,327.81)	63.20	0.56
188	***J.G.C CORP UNSPONSORED ADR	JGCCY	57.7688	10,864.30	72.1530	13,564.76	2,700.48	150.21	1.11
397	***L OREAL CO-ADR	LRLCY	23.3128	9,261.83	34.3680	13,644.49	4,382.66	186.19	1.36
485	***LONZA GROUP AG ZUERICH UNSPONSORED ADR	LZAGY	6.0530	2,935.69	8.1840	3,969.24	1,033.55	0.00	0.00
172	***LVMH MOET HENNESSY LOUIS VUITTON SA UNSPONSORED ADR	LMVUY	19.0009	3,268.16	39.4190	6,780.07	3,511.91	95.29	1.41
244	***MTN GROUP LTD SPONS ADR	MTNOY	17.0208	4,153.08	19.4760	4,752.14	599.06	179.34	3.77
274	***NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	NSRGY	47.4431	12,999.40	69.9480	19,165.75	6,166.35	578.18	3.02
334	***NOKIAN TYRES OYJ UNSPONSORED ADR	NKRKY	21.4727	7,171.87	25.4080	8,486.27	1,314.40	249.18	2.94
35	***NOVO NORDISK A/S-ADR REPSTG 1/2 CL B SH	NVO	165.7196	5,800.19	169.2200	5,922.70	122.51	79.24	1.34
249	***PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR	PBR	20.5897	5,126.83	15.4900	3,857.01	(1,269.82)	0.00	0.00
244	***ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD	RHHBY	48.2872	11,782.08	87.4320	18,453.41	4,671.33	396.26	2.41
254	***SAP AG SPONSORED ADR	SAP	58.2611	14,788.31	73.9200	18,775.58	3,977.37	202.69	1.08
94	***SASOL LTD-SPONSORED ADR	SSL	35.0508	3,294.78	47.7900	4,482.26	1,197.48	156.32	3.48

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - HARDING LOEV

NEUBERGER BERMAN

JPMCC Account: 541-14248 D52
Base Currency: USD
NB Account Number: 593-03056

Positions In Your Account

Quantity	Description	Ticker/Cuslp	Average Unit Cost	Total ⁽¹⁾⁽⁴⁾⁽⁹⁾ Cost	Price	Mkt. Value	Unrealized ⁽²⁾ P/L	Annual ⁽⁶⁾ Income	Yield ⁽¹⁰⁾
EQUITIES (Continued)									
116	***SCHLUMBERGER LTD	SLB	66.4070	7,703.21	88.3600	10,249.76	2,546.55	145.00	1.41
753	***SCHNEIDER ELECTRIC SA UNSPONSORED ADR	SBSY	10.5834	7,975.81	16.9230	12,743.02	4,767.21	281.82	2.21
228	***SONOVA HOLDING AG UNSPONSORED ADR	SONVY	17.5949	3,976.43	24.8600	5,618.36	1,641.93	0.00	0.00
244	***SVENSKA HANDELSBANKEN UNSPONSORED ADR REPRESENTING A SHARES	SVNLY	18.2354	4,449.43	21.3990	5,221.36	771.93	157.38	3.01
235	***SWATCH GROUP AG (THE) UNSPONSORED ADR	SWGAY	18.3153	4,304.10	32.1810	7,552.54	3,258.44	48.88	0.65
344	***SYSMEX CORPORATION UNSPONSORED ADR	SSMXY	22.0424	7,582.57	31.8980	10,972.91	3,390.34	61.23	0.56
823	***TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM	TSM	14.2505	11,728.14	16.9600	13,958.08	2,229.94	408.90	2.93
352	***TESCO PLC-SPONSORED ADR	TSCDY	19.8507	6,987.48	17.4410	6,139.23	(848.23)	228.34	3.69
2,091	***TURKIYE GARANTI BANKASI A S SPONSORED ADR REPSTG 2000 SHS	TKGBY	5.2767	11,033.53	3.9350	8,228.09	(2,805.45)	123.37	1.50
1,015	***UNICHARM CORP SPONSORED ADR	UNICY	9.1437	9,280.89	11.8790	11,854.19	2,573.30	60.90	0.51
141	***UNILEVER PLC SPONSORED ADR	UL	30.1527	4,251.53	38.5800	5,439.78	1,188.25	189.50	3.48
186	***WPP PLC NEW ADR	WPPGY	44.8873	7,447.97	102.9400	17,088.04	9,640.07	379.97	2.22
163	***XINYI GLASS HOLDINGS LTD UNSPONSORED ADR	XYIGY	12.6432	2,080.84	18.4140	3,001.48	940.64	83.29	2.78
TOTAL EQUITIES				366,652.58		478,480.28	111,827.69	8,471.11	1.77



Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - HARDING LOEV

NEUBERGER BERMAN

JPMCC Account: 541-14248 D52
Base Currency: USD
NB Account Number: 593-03056

Positions In Your Account

GRAND TOTAL ⁽⁹⁾

391,097.44	502,925.14	111,827.69	8,471.11	1.68
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Client Statement

For the period 08/31/2013 to 09/30/2013

J.L. & A.S. CROLL CHARITABLE TR
 DTD 11/24/70 S.SCHLESINGER &
 NBTC NA CO-TTEE - BOLTON

NEUBERGER BERMAN

JPMCC Account: 541-14249 S87
 Base Currency: USD
 NB Account Number: 593-03057

Account Summary

	Opening Balance ⁽⁵⁾⁽¹⁴⁾	Closing Balance ⁽⁵⁾	Cost Basis ⁽¹⁾⁽⁴⁾⁽⁹⁾	Estimated Annual Income ⁽⁶⁾ Amount	% Yield ⁽¹⁰⁾
CASH	0.00	218.81	218.81	0.00	0.00
CASH EQUIVALENT	73,103.89	78,455.32	78,455.32	0.00	0.00
EQUITIES	1,156,679.50	1,201,640.50	851,128.37	15,808.06	1.32
ACCRUED DIVIDEND	1,236.80	431.38	431.38	0.00	0.00
TOTAL VALUE	1,231,020.19	1,280,746.01	930,233.88	15,808.06	1.23

Account Composition

	% of Portfolio
CASH	0.02
CASH EQUIVALENT	6.13
EQUITIES	93.86

Y-T-D Market Value Change Summary⁽¹³⁾

PREVIOUS YEAR ENDING VALUE	1,095,887.46
NET DEPOSITS AND WITHDRAWALS	(1,208.42)
INCOME AND EXPENSE ITEMS (NET)	451.12
NET CHANGE IN ASSET VALUE	185,615.85
CURRENT PERIOD ENDING VALUE	1,280,746.01

Income Summary⁽⁷⁾

	This Month	Year-To-Date
TAXABLE DIVIDENDS	1,512.52	12,270.37
FOREIGN TAX	(38.61)	(948.37)

Y-T-D Net Realized Gains/Losses⁽²⁾⁽³⁾⁽⁴⁾

TOTAL LONG TERM	93,469.49
TOTAL SHORT TERM	5,391.78

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Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - BOLTON

NEUBERGER BERMAN

JPMCC Account: 541-14249 S87
 Base Currency: USD
 NB Account Number: 593-03057

Positions In Your Account

CASH		Total Cost	Mkt. Value
CASH	(USD Exchange Rate 1.0000000000)	218.81	218.81
TOTAL CASH		218.81	218.81

Quantity	Description	Ticker/Cusip	Average Unit Cost	Total Cost ⁽¹⁾⁽⁴⁾⁽⁹⁾	Price	Mkt. Value	Unrealized ⁽²⁾ P/L	Annual ⁽⁶⁾ Income	Yield ⁽¹⁰⁾
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CASH EQUIVALENT

78,455.32	JPMORGAN TR 1 100% U S TREAS SECS MONEY MKT AGENCY SHARE CL SECURITY SYMBOL IS VPIXX	VPIXZ	1.0000	78,455.32	1.0000	78,455.32	0.00	0.00	0.00
TOTAL CASH EQUIVALENT				78,455.32		78,455.32	0.00	0.00	0.00

EQUITIES

325	AMERICAN EXPRESS COMPANY	AXP	37.9391	12,330.20	75.5200	24,544.00	12,213.80	299.00	1.22
250	***ANHEUSER-BUSCH INBEV SA SPONSORED ADR	BUD	95.4275	23,856.88	99.2000	24,800.00	943.12	482.25	1.86
78	APPLE INC	AAPL	252.9425	19,729.52	476.7500	37,186.50	17,456.98	951.60	2.56
1,150	ARIAD PHARMACEUTICALS INC-DEL	ARIA	20.1965	23,225.94	18.4000	21,160.00	(2,065.94)	0.00	0.00
575	BRISTOL MYERS SQUIBB CO	BMJ	34.0221	19,562.70	46.2800	26,811.00	7,048.30	805.00	3.03
225	C R BARD INC	BCR	102.4382	23,048.80	115.2000	25,920.00	2,871.40	189.00	0.73
800	CABOT OIL & GAS CORP	COG	13.6905	10,952.39	37.3200	29,856.00	18,903.61	84.00	0.21
1,100	***CENOVUS ENERGY INC	CVE	29.2047	32,125.18	29.8500	32,835.00	709.82	1,064.80	3.24
510	CHURCH & DWIGHT CO INC	CHD	39.8722	20,334.83	60.0500	30,625.50	10,290.67	571.20	1.87
375	DANAHER CORP	DHR	39.9513	14,981.73	89.3200	25,995.00	11,013.27	37.50	0.14

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - BOLTON

NEUBERGER BERMAN

JPMCC Account: 541-14249 S87
Base Currency: USD
NB Account Number: 593-03057

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(2)(9)	Total Cost (1)(4)(9)	Price	Mkt. Value	Unrealized ⁽²⁾ P/L	Annual ⁽⁶⁾ Income	Yield ⁽¹⁰⁾
EQUITIES (Continued)									
280	DOVER CORP	DOV	61.9083	17,333.76	89.8300	25,152.40	7,818.64	420.00	1.87
525	DUNKIN BRANDS GROUP INC	DNKN	29.2836	15,373.92	45.2600	23,781.50	8,387.58	399.00	1.88
1,050	EMC CORP-MASS	EMC	25.2545	26,517.18	25.5800	26,838.00	320.82	420.00	1.56
180	EOG RES INC	EOG	70.5002	12,690.03	169.2800	30,470.40	17,780.37	135.00	0.44
450	ESTEE LAUDER COMPANIES INC CL A	EL	54.6561	24,595.24	69.9000	31,455.00	8,859.76	324.00	1.03
500	EXPRESS SCRIPTS HOLDING COMPANY	ESRX	42.7826	21,391.33	61.8000	30,900.00	9,508.67	0.00	0.00
300	FISERV INC	FISV	47.9848	14,395.44	101.0500	30,315.00	15,919.56	0.00	0.00
600	FMC TECHNOLOGIES INC	FTI	39.1337	23,480.20	55.4200	33,252.00	9,771.80	0.00	0.00
400	GENERAC HOLDINGS INC	GNRC	34.4859	13,794.36	42.6400	17,056.00	3,261.64	0.00	0.00
110	INTERNATIONAL BUSINESS MACHINES CORP	IBM	99.2799	10,920.79	185.1800	20,369.80	9,449.01	418.00	2.05
325	INTUIT INC	INTU	58.3742	18,971.63	66.3100	21,550.75	2,578.12	247.00	1.15
575	JPMORGAN CHASE & CO	JPM	49.1685	26,271.90	51.8900	29,721.75	1,449.85	874.00	2.94
425	L BRANDS INC	LTD	43.2827	18,386.63	61.1000	25,967.50	7,580.87	610.00	1.98
825	LENNAR CORP CL A	LEN	19.6741	16,231.14	35.4000	29,205.00	12,973.86	132.00	0.45
525	LUXOTTICA GROUP SPA SPONSORED ADR	LUX	30.3196	15,917.77	52.5700	27,599.25	11,681.48	316.00	1.14
225	M & T BANK CORP	MTB	106.6859	24,004.33	111.9200	25,182.00	1,177.67	830.00	2.50
350	MOODYS CORP	MCO	50.4848	17,689.88	70.3300	24,615.50	8,945.82	350.00	1.42
425	NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	NSRGY	35.3905	15,040.97	69.9480	29,727.90	14,686.93	898.36	3.02
350	NOVARTIS AG AMERICAN DEPOSITORY SHARES	NVS	55.3280	19,364.08	76.7100	26,848.50	7,484.42	887.90	3.23

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Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
 DTD 11/24/70 S SCHLESINGER &
 NBTC NA CO-TTEE - BOLTON

NEUBERGER

BERMAN

JPMCC Account: 541-14249 S87
 Base Currency: USD
 NB Account Number: 593-03057

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total Cost (1)(5)(9)	Price	Mkt. Value	Unrealized P/L (2)	Annual Income (8)	Yield (10)
EQUITIES (Continued)									
300	OCCIDENTAL PETE CORP	OXY	57.2651	17,179.53	93.5400	28,062.00	10,882.47	788.00	2.74
825	ORACLE CORPORATION	ORCL	21.5972	17,817.73	33.1700	27,385.25	9,547.52	398.00	1.45
750	PFIZER INC	PFE	19.8867	14,915.01	28.7250	21,543.75	6,628.74	720.00	3.34
210	PRAXAIR INC	PX	82.0846	17,233.57	120.2100	25,244.10	8,010.53	504.00	2.00
110	PRECISION CASTPARTS CORP	PCP	142.3353	15,856.88	227.2400	24,996.40	9,339.52	13.20	0.05
350	RANGE RESOURCES CORP	RRC	63.9879	22,395.78	75.8900	28,561.50	4,165.72	56.00	0.21
150	ROPER INDUSTRIES INC NEW	ROP	41.1109	6,166.63	132.8700	19,930.50	13,763.87	99.00	0.50
450	SANDISK CORP	SNDK	57.2124	25,745.59	59.5100	26,779.50	1,033.91	405.00	1.51
400	SCHLUMBERGER LTD	SLB	60.1828	24,073.14	88.3600	35,344.00	11,270.88	500.00	1.41
600	SIRONA DENTAL SYSTEMS INC	SIRO	54.8397	32,783.81	66.9300	40,158.00	7,374.19	0.00	0.00
275	SOTHEBYS	BID	31.2669	8,598.39	49.1300	13,510.75	4,912.38	110.00	0.81
1,000	TOLL BROTHERS INC	TOL	32.4921	32,492.08	32.4300	32,430.00	(62.08)	0.00	0.00
775	TYCO INTERNATIONAL LTD	TYC	23.0570	17,869.19	34.9800	27,109.50	9,240.31	486.00	1.83
475	WALT DISNEY CO	DIS	33.0003	15,875.13	64.4900	30,632.75	14,957.62	356.25	1.16
1,625	WHITEWAVE FOODS COMPANY (THE) COM CL A	WWAV	17.2477	28,027.58	19.9700	32,451.25	4,423.69	0.00	0.00
TOTAL EQUITIES				851,128.37		1,201,640.50	350,512.13	15,808.06	1.32
GRAND TOTAL (5)				929,802.50		1,280,314.63	350,512.13	15,808.06	1.23

Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - NON-AFFIL MF

NEUBERGER BERMAN

JPMCC Account: 541-14333 A17
Base Currency: USD
NB Account Number: 593-03142

Account Summary

	Opening Balance ⁽⁵⁾⁽¹⁴⁾	Closing Balance ⁽⁵⁾	Cost Basis ⁽¹⁾⁽⁴⁾⁽⁹⁾	Estimated Annual Income ⁽⁶⁾ Amount	% Yield ⁽¹⁰⁾
CASH EQUIVALENT	8,773.89	7,921.89	7,921.89	0.00	0.00
EQUITY MUTUAL FUNDS	906,095.73	946,945.33	645,172.21	54,801.10	5.79
TOTAL VALUE	914,869.62	954,867.22	653,094.10	54,801.10	5.74

Account Composition

	% of Portfolio
CASH EQUIVALENT	0.83
EQUITY MUTUAL FUNDS	99.17

Y-T-D Market Value Change Summary⁽¹³⁾

PREVIOUS YEAR ENDING VALUE	966,735.54
NET DEPOSITS AND WITHDRAWALS	(80,396.37)
INCOME AND EXPENSE ITEMS (NET)	(1,067.59)
NET CHANGE IN ASSET VALUE	69,595.64
CURRENT PERIOD ENDING VALUE	954,867.22

Income Summary⁽⁷⁾

	This Month	Year-To-Date
TAXABLE DIVIDENDS	351.17	2,410.05

Y-T-D Net Realized Gains/Losses⁽²⁾⁽³⁾⁽⁴⁾

TOTAL LONG TERM	(4,633.46)
TOTAL SHORT TERM	36.38

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Client Statement

For the period 08/31/2013 to 09/30/2013

IL & A S CROLL CHARITABLE TR
DTD 11/24/70 S SCHLESINGER &
NBTC NA CO-TTEE - NON-AFFIL MF

NEUBERGER BERMAN

JPMCC Account: 541-14333 A17
Base Currency: USD
NB Account Number: 593-03142

Positions In Your Account

Quantity	Description	Ticker/Cusip	Average Unit Cost (1)(4)(9)	Total (1)(4)(9) Cost	Price	Mkt. Value	Unrealized P/L	Annual Income (6)	Yield (10)
CASH EQUIVALENT									
7,921.89	JPMORGAN TR 100% U S TREAS SECS MONEY MKT AGENCY SHARE CL SECURITY SYMBOL IS VPIX	VPIX	1 0000	7,921.89	1 0000	7,921.89	0.00	0.00	0.00
TOTAL CASH EQUIVALENT				7,921.89		7,921.89	0.00	0.00	0.00
EQUITY MUTUAL FUNDS									
14,812.452	**ARTISAN INTERNATIONAL VALUE FUND INV SHS	ARTIX	16.7482	248,082.26	37.0100	548,208.85	300,128.59	4,354.88	0.79
9,404.075	**MATTHEWS INTL FDS PAC TIGER FD INSTL CL	MIPTX	18.3649	172,704.55	24.5800	231,152.18	58,447.61	1,899.82	0.82
29,349.268	**PIMCO COMMODITY REAL RETURN STRATEGY FUND INSTITUTIONAL CL	PCRIX	7.6453	224,385.40	5.7100	167,584.32	(56,801.08)	48,548.62	28.87
TOTAL EQUITY MUTUAL FUNDS				645,172.21		946,945.33	301,773.12	54,801.10	5.79
GRAND TOTAL (5)				653,094.10		954,867.22	301,773.12	54,801.10	5.74