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**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year **2012** or tax year beginning **10/01, 2012**, and ending **09/30, 2013**

Name of foundation <b>THE EDWARD E. FORD FOUNDATION P63042009</b>		<b>A</b> Employer identification number <b>13-6047243</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>10 S DEARBORN IL1-0117</b>		<b>B</b> Telephone number (see instructions) <b>866- 888-5157</b>
Room/suite		
City or town, state, and ZIP code <b>CHICAGO, IL 60603</b>		
<b>G</b> Check all that apply:		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<b>D 1</b> Foreign organizations, check here <input type="checkbox"/>
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<b>D 2</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust		
<input type="checkbox"/> Other taxable private foundation		
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 64,064,564.</b>		
<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual		
<input type="checkbox"/> Other (specify) _____		
(Part I, column (d) must be on cash basis.)		

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b> Contributions, gifts, grants, etc. received (attach schedule)					
<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
<b>3</b> Interest on savings and temporary cash investments					
<b>4</b> Dividends and interest from securities		1,080,778.	1,051,844.		
<b>5a</b> Gross rents					
<b>b</b> Net rental income or (loss)					
<b>6a</b> Net gain or (loss) from sale of assets not on line 10		1,628,068.			
<b>b</b> Gross sales price for all assets on line 6a <b>39,612,826.</b>					
<b>7</b> Capital gain net income (from Part IV, line 2)			1,628,068.		
<b>8</b> Net short-term capital gain					
<b>9</b> Income modifications					
<b>10a</b> Gross sales less returns and allowances					
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)		46,007.	600.		STMT 1
<b>12</b> Total. Add lines 1 through 11		2,754,853.	2,680,512.		
<b>13</b> Compensation of officers, directors, trustees, etc.		418,664.	190,044.		228,620.
<b>14</b> Other employee salaries and wages		38,000.	NONE	NONE	38,000.
<b>15</b> Pension plans, employee benefits		117,451.	NONE	NONE	117,451.
<b>16a</b> Legal fees (attach schedule) <b>STMT 2</b>		9,500.	NONE	NONE	9,500.
<b>b</b> Accounting fees (attach schedule)					
<b>c</b> Other professional fees (attach schedule)					
<b>17</b> Interest <b>STMT 3</b>		43.	43.		
<b>18</b> Taxes (attach schedule) (see instructions) <b>STMT 4</b>		79,594.	9,594.		
<b>19</b> Depreciation (attach schedule) and depletion					
<b>20</b> Occupancy		31,523.			31,523.
<b>21</b> Travel, conferences, and meetings		65,869.	NONE	NONE	65,869.
<b>22</b> Printing and publications			NONE	NONE	
<b>23</b> Other expenses (attach schedule) <b>STMT 5</b>		58,080.			58,080.
<b>24</b> Total operating and administrative expenses. Add lines 13 through 23		818,724.	199,681.	NONE	549,043.
<b>25</b> Contributions, gifts, grants paid		2,498,977.			2,498,977.
<b>26</b> Total expenses and disbursements. Add lines 24 and 25		3,317,701.	199,681.	NONE	3,048,020.
<b>27</b> Subtract line 26 from line 12					
<b>a</b> Excess of revenue over expenses and disbursements		-562,848.			
<b>b</b> Net investment income (if negative, enter -0-)			2,480,831.		
<b>c</b> Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	3,562,596.	2,342,379.	2,342,379.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶	NONE		
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10 a	Investments - U S. and state government obligations (attach schedule) . . . . .			
	b	Investments - corporate stock (attach schedule) . . . . .	25,929,480.	35,055,360.	42,662,906.
	c	Investments - corporate bonds (attach schedule) . . . . .	20,466,306.	13,379,995.	13,303,565.
	11	Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans . . . . .				
13	Investments - other (attach schedule) . . . . .	6,219,330.	4,839,469.	5,755,714.	
14	Land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶) . . . . .				
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	56,177,712.	55,617,203.	64,064,564.	
Liabilities	17	Accounts payable and accrued expenses . . . . .		4,620.	
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶) . . . . .			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		4,620.		
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/></b> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/></b>				
	27	Capital stock, trust principal, or current funds . . . . .	56,177,712.	55,612,583.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	56,177,712.	55,612,583.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	56,177,712.	55,617,203.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1 56,177,712.
2	Enter amount from Part I, line 27a . . . . .	2 -562,848.
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3 . . . . .	4 55,614,864.
5	Decreases not included in line 2 (itemize) ▶ <b>PRIOR PERIOD ADJUSTMENT</b>	5 2,281.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6 55,612,583.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)			
<b>a</b> 39,612,826.		37,984,758.	1,628,068.			
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69						
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))		
<b>a</b>				1,628,068.		
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
<b>2</b> Capital gain net income or (net capital loss) <span style="font-size: 2em;">{</span> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 <span style="font-size: 2em;">}</span>				<b>2</b>	1,628,068.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 <span style="font-size: 2em;">}</span>				<b>3</b>		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	3,107,214.	58,953,256.	0.052706
2010	3,062,563.	61,630,169.	0.049693
2009	2,585,930.	59,100,914.	0.043754
2008	2,888,859.	52,869,240.	0.054642
2007	3,566,528.	68,916,591.	0.051751
<b>2</b> Total of line 1, column (d)			0.252546
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.050509
<b>4</b> Enter the net value of noncharitable-use assets for 2012 from Part X, line 5		61,331,460.	
<b>5</b> Multiply line 4 by line 3			3,097,791.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			24,808.
<b>7</b> Add lines 5 and 6			3,122,599.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			3,048,020.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	}	1	49,617.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		2	
3	Add lines 1 and 2 . . . . .		3	49,617.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		4	NONE
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	49,617.
6	<b>Credits/Payments</b>			
a	2012 estimated tax payments and 2011 overpayment credited to 2012 . . . . .	6a		72,215.
b	Exempt foreign organizations - tax withheld at source . . . . .	6b		NONE
c	Tax paid with application for extension of time to file (Form 8868) . . . . .	6c		48,000.
d	Backup withholding erroneously withheld . . . . .	6d		
7	Total credits and payments. Add lines 6a through 6d . . . . .		7	120,215.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . . . .		8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .		9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .		10	70,598.
11	Enter the amount of line 10 to be <b>Credited to 2013 estimated tax</b> <input type="checkbox"/> <b>70,598. Refunded</b> <input checked="" type="checkbox"/> <b>70,598. Refunded</b> <input type="checkbox"/>		11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . . Website address ▶ <u>WWW.EEFORD.ORG</u>	13	X	
14	The books are in care of ▶ <u>JPMORGAN CHASE BANK, NA</u> Telephone no. ▶ <u>(866)888-5157</u> Located at ▶ <u>10 S DEARBORN IL1-0117, CHICAGO, IL</u> ZIP+4 ▶ <u>60603</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? . . . . .	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) . . . . .	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) . . . . .	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? . . . . .	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		418,664.	30,900.	-0-

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

**Total number of other employees paid over \$50,000**  NONE

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
-----		
-----		
-----		
-----		
-----		

**Total** number of others receiving over \$50,000 for professional services . . . . . **NONE**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
-----	
-----	
2	
-----	
-----	
3	
-----	
-----	
4	
-----	
-----	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
-----	
-----	
2	
-----	
-----	
All other program-related investments See instructions	
3 NONE	
-----	
-----	
<b>Total.</b> Add lines 1 through 3 . . . . .	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	60,214,058.
b	Average of monthly cash balances	1b	2,051,384.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	<b>Total</b> (add lines 1a, b, and c)	1d	62,265,442.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	62,265,442.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	933,982.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	61,331,460.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	3,066,573.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,066,573.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	49,617.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	49,617.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,016,956.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	3,016,956.
6	Deduction from distributable amount (see instructions)	6	NONE
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,016,956.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,048,020.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,048,020.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	3,048,020.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7 . . . . .				3,016,956.
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only . . . . .			NONE	
b Total for prior years 20 <u>10</u> , 20 <u>  </u> , 20 <u>  </u> . . . . .		NONE		
3 Excess distributions carryover, if any, to 2012:				
a From 2007 . . . . .	NONE			
b From 2008 . . . . .	271,981.			
c From 2009 . . . . .	NONE			
d From 2010 . . . . .	45,925.			
e From 2011 . . . . .	209,085.			
f <b>Total</b> of lines 3a through e . . . . .	526,991.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>3,048,020.</u>				
a Applied to 2011, but not more than line 2a . . . . .			NONE	
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
c Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
d Applied to 2012 distributable amount . . . . .				3,016,956.
e Remaining amount distributed out of corpus . . . . .	31,064.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a) ) . . . . .		NONE		NONE
6 <b>Enter the net total of each column as indicated below:</b>				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	558,055.			
b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .		NONE		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .			NONE	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013 . . . . .				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .	NONE			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . . . .	NONE			
9 <b>Excess distributions carryover to 2013.</b> Subtract lines 7 and 8 from line 6a . . . . .	558,055.			
10 Analysis of line 9:				
a Excess from 2008 . . . . .	271,981.			
b Excess from 2009 . . . . .	NONE			
c Excess from 2010 . . . . .	45,925.			
d Excess from 2011 . . . . .	209,085.			
e Excess from 2012 . . . . .	31,064.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

SEE STATEMENT 11

**b** The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

**c** Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>  SEE ATTACHED	NONE	PUBLIC	GENERAL	2,498,977.
<b>Total</b> ..... ▶ <b>3a</b>				2,498,977.
b <i>Approved for future payment</i>				
<b>Total</b> ..... ▶ <b>3b</b>				





FORM 990PF, PART I - OTHER INCOME

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FEDERAL EXCISE TAX REFUND	44,065.	
DEFERRED REVENUE	1,342.	
OTHER INCOME	600.	600.
	-----	-----
TOTALS	46,007.	600.
	=====	=====

FORM 990PF, PART I - LEGAL FEES  
=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES - INCOME (ALLOCABLE)	9,500.			9,500.
TOTALS	9,500.	NONE	NONE	9,500.

FORM 990PF, PART I - INTEREST EXPENSE  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
INVESTMENT EXPENSE	43.	43.
TOTALS	43.	43.

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FEDERAL TAX PAYMENT - PRIOR YE	12,000.	
FEDERAL ESTIMATES - INCOME	58,000.	
FOREIGN TAXES ON QUALIFIED FOR	7,497.	7,497.
FOREIGN TAXES ON NONQUALIFIED	2,097.	2,097.
	-----	-----
TOTALS	79,594.	9,594.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
ATTORNEY GENERAL FILING FEE	1,500.	1,500.
OFFICE EXPENSES	25,803.	25,803.
OTHER EXPENSES	1,517.	1,517.
EXECUTIVE DIRECTOR SEARCH	29,260.	29,260.
TOTALS	58,080.	58,080.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:

ROBERT W. HALLETT

ADDRESS:

66 PEARL ST., SUITE 322

PORTLAND, ME 04101

TITLE:

EXECUTIVE DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION ..... 207,504.

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS..... 30,900.

OFFICER NAME:

JPMORGAN CHASE BANK, NA

ADDRESS:

270 PARK AVE.

NEW YORK, NY 10017

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 15

COMPENSATION ..... 211,160.

OFFICER NAME:

MISS GILLIAN ATTFIELD

ADDRESS:

79 EAST 79TH STREET

NEW YORK, NY 10021

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

MS. GILLIAN R. CHRISTENSEN

ADDRESS:

1260 PITMAN AVENUE

PALO ALTO, CA 94301

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:  
MR. GEORGE J. GILLESPIE, III  
ADDRESS:  
WORLDWIDE PLAZA, 825 EIGHTH AVENUE  
NEW YORK, NY 10019  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:  
MR. PHILIP V. HAVENS  
ADDRESS:  
37D TAYLORS LANE  
LITTLE COMPTON, RI 02837  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:  
MR. EDWARD FORD MENARD  
ADDRESS:  
145 COQUINA AVENUE  
ORMOND BEACH, FL 32174-3303  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:  
NANCY R. CAVANAUGH  
ADDRESS:  
74 LAUREL ROAD  
NEW CANAAN, CT 06840  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:  
MR. & MRS. LYMAN W. MENARD  
ADDRESS:  
463 NORTH BEACH STREET  
ORMOND BEACH, FL 32174  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:  
MR. H. WARD REIGHLEY  
ADDRESS:  
4 WAHACKME LANE  
NEW CANAAN, CT 06840  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:  
MR. PHILIP SMITH  
ADDRESS:  
32 JOPPA ROAD; PO BOX 90  
WEST SOUTHPORT, ME 04576  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:  
WILLIAM L. MENARD, ESQ.  
ADDRESS:  
3223 CHESAPEAKE STREET, NW  
WASHINGTON, DC 20008  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:  
JOHN K. PRENTISS, DVM  
ADDRESS:  
366 SALEM STREET  
ANDOVER, MA 01810  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:  
WALTER BURGIN  
ADDRESS:  
2153 CALIFORNIA STREET, NW, #402  
WASHINGTON, DC 20008  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:  
MR. CHRISTOPHER BROOKS  
ADDRESS:  
11 HERSHEY STREET  
HINGHAM, MA 02043  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:  
MR. DAVID HUBBARD  
ADDRESS:  
315 MADISON AVENUE, ROOM 900  
NEW YORK, NY 10017-5405  
TITLE:  
ADVISORY COMMITTEE  
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:

MR. TYLER TINGLEY

ADDRESS:

11 EAST 26TH STREET, 17TH FLOOR  
NEW YORK, NY 10010-1420

TITLE:

ADVISORY COMMITTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

TOTAL COMPENSATION:

418,664.

=====

TOTAL CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS:

30,900.

=====

RECIPIENT NAME:

JOHN C. GULLA, EXEC DIR

ADDRESS:

26 COURT STREET, SUITE 2200

BROOKLYN, NY 11242-1122

RECIPIENT'S PHONE NUMBER: 718-596-1950

FORM, INFORMATION AND MATERIALS:

SEE WWW.EEFORD.ORG FOR APPLICATION  
REQUIREMENTS.

SUBMISSION DEADLINES:

SEE WW.EEFORD.ORG FOR SUBMISSION  
DEADLINES.

RESTRICTIONS OR LIMITATIONS ON AWARDS:

SEE WWW.EEFORD.ORG FOR RESTRICTIONS &  
LIMITATIONS ON AWARDS.

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.  
▶ Information about Schedule D (Form 1041) and its separate instructions is at  
[www.irs.gov/form1041](http://www.irs.gov/form1041)

OMB No. 1545-0092

**2012**

Name of estate or trust

THE EDWARD E. FORD FOUNDATION P63042009

Employer identification number

13-6047243

Note: Form 5227 filers need to complete only Parts I and II.

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					
b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b					1b 538,887.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824					2
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts					3
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet					4 ( )
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back					5 538,887.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					150,303.
b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b					6b 938,878.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824					7
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts					8
9 Capital gain distributions					9
10 Gain from Form 4797, Part I					10
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet					11 ( )
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back					12 1,089,181.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2012

<b>Part III Summary of Parts I and II</b>		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
<b>Caution: Read the instructions before completing this part.</b>				
<b>13</b>	Net short-term gain or (loss)			538,887.
<b>14</b>	Net long-term gain or (loss):			
a	Total for year	<b>14a</b>		1,089,181.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	<b>14b</b>		
c	28% rate gain	<b>14c</b>		
<b>15</b>	Total net gain or (loss). Combine lines 13 and 14a	<b>15</b>		1,628,068.

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

<b>16</b>	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	<b>16</b>	( )
a	The loss on line 15, column (3) or b \$3,000		

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

<b>17</b>	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	<b>17</b>	
<b>18</b>	Enter the smaller of line 14a or 15 in column (2) but not less than zero	<b>18</b>	
<b>19</b>	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	<b>19</b>	
<b>20</b>	Add lines 18 and 19	<b>20</b>	
<b>21</b>	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	<b>21</b>	
<b>22</b>	Subtract line 21 from line 20. If zero or less, enter -0-	<b>22</b>	
<b>23</b>	Subtract line 22 from line 17. If zero or less, enter -0-	<b>23</b>	
<b>24</b>	Enter the smaller of the amount on line 17 or \$2,400	<b>24</b>	
<b>25</b>	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box. <input type="checkbox"/> No. Enter the amount from line 23.	<b>25</b>	
<b>26</b>	Subtract line 25 from line 24	<b>26</b>	
<b>27</b>	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	<b>27</b>	
<b>28</b>	Enter the amount from line 26 (if line 26 is blank, enter -0-)	<b>28</b>	
<b>29</b>	Subtract line 28 from line 27	<b>29</b>	
<b>30</b>	Multiply line 29 by 15% (.15)	<b>30</b>	
<b>31</b>	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	<b>31</b>	
<b>32</b>	Add lines 30 and 31	<b>32</b>	
<b>33</b>	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	<b>33</b>	
<b>34</b>	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	<b>34</b>	

**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No. 1545-0092

**2012**

Department of the Treasury  
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.  
▶ Information about Schedule D (Form 1041) and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041)

Name of estate or trust

THE EDWARD E. FORD FOUNDATION P63042009

Employer identification number

13-6047243

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 35000. U S A TREAS NOTES	09/04/2012	10/02/2012	35,057.00	35,012.00	45.00
50000. U S A NOTES	08/31/2012	10/02/2012	49,953.00	49,984.00	-31.00
100000. U S A TREAS NOTES	09/04/2012	10/11/2012	99,922.00	100,035.00	-113.00
3377.84 FHLMC	02/01/2012	10/15/2012	3,378.00	3,678.00	-300.00
2642.84 GNMA	03/02/2012	10/16/2012	2,643.00	2,899.00	-256.00
725.58 GNMA	07/12/2012	10/16/2012	726.00	791.00	-65.00
15000. USA TREAS NOTES	09/28/2012	10/17/2012	14,789.00	14,988.00	-199.00
20000. LOWE'S COMPANIES INC	04/17/2012	10/18/2012	20,506.00	20,031.00	475.00
20000. SHELL INTERNATIONAL	08/14/2012	10/18/2012	20,325.00	19,913.00	412.00
30000. USA TREAS NOTES	09/28/2012	10/18/2012	29,440.00	29,977.00	-537.00
20000. U S A NOTES	10/18/2012	10/18/2012	19,848.00	19,855.00	-7.00
10000. VODAFONE GROUP PLC	09/19/2012	10/18/2012	10,154.00	9,870.00	284.00
10000. USA TREAS NOTES	10/18/2012	10/19/2012	9,874.00	9,831.00	43.00
75000. USA TREAS NOTES	09/04/2012	10/19/2012	74,719.00	74,897.00	-178.00
2043.44 GNMA	02/15/2012	10/22/2012	2,043.00	2,134.00	-91.00
1847.13 GNMA	05/31/2012	10/22/2012	1,847.00	1,924.00	-77.00
10000. CITIGROUP INC	01/03/2012	10/23/2012	11,003.00	9,986.00	1,017.00
25000. U S A NOTES	09/18/2012	10/23/2012	24,696.00	24,718.00	-22.00
535000. USA TREAS NOTES	VAR	10/24/2012	533,537.00	533,653.00	-116.00
1976.25 FEDERAL NATL MTG A PASS	02/08/2012	10/25/2012	1,976.00	2,145.00	-169.00
5550.41 FNMA	VAR	10/25/2012	5,550.00	5,925.00	-375.00
5438.46 FNMA	03/09/2012	10/25/2012	5,438.00	5,729.00	-291.00
2374.28 FNMA REMIC 2010-45	09/19/2012	10/25/2012	2,374.00	2,637.00	-263.00
3375.44 FNMA POOL NUM 8897	02/08/2012	10/25/2012	3,375.00	3,681.00	-306.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No. 1545-0092

**2012**

Department of the Treasury  
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.  
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Name of estate or trust: **THE EDWARD E. FORD FOUNDATION P63042009**  
Employer identification number: **13-6047243**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 95000. USA TREAS NOTES	07/27/2012	11/01/2012	94,187.00	94,339.00	-152.00
305000. USA TREAS NOTES	VAR	11/07/2012	305,500.00	303,822.00	1,678.00
105000. USA TREAS NOTES	06/29/2012	11/08/2012	109,003.00	108,757.00	246.00
20000. USA TREAS NOTES	10/31/2012	11/09/2012	20,070.00	19,880.00	190.00
10000. USA TREAS NOTES	10/31/2012	11/09/2012	10,033.00	9,940.00	93.00
25000. USA TREAS NOTES	VAR	11/09/2012	25,087.00	24,632.00	455.00
55000. USA TREAS NOTES	09/14/2012	11/13/2012	55,365.00	53,805.00	1,560.00
75000. U S A TREAS NOTES	10/31/2012	11/14/2012	74,845.00	74,716.00	129.00
3644.97 FHLMC	02/01/2012	11/15/2012	3,645.00	3,968.00	-323.00
1526.43 FHLMC 3790 CL AP	09/27/2012	11/15/2012	1,526.00	1,692.00	-166.00
745.93 FHLMC 4100 CL PA	10/18/2012	11/15/2012	746.00	786.00	-40.00
2629.14 GNMA	03/02/2012	11/16/2012	2,629.00	2,884.00	-255.00
745.63 GNMA	07/12/2012	11/16/2012	746.00	813.00	-67.00
2030.15 GNMA	02/15/2012	11/20/2012	2,030.00	2,120.00	-90.00
1896.61 GNMA	05/31/2012	11/20/2012	1,897.00	1,975.00	-78.00
2356.2 FEDERAL NATL MTG AS PASS	02/08/2012	11/26/2012	2,356.00	2,558.00	-202.00
6733.39 FNMA	VAR	11/26/2012	6,733.00	7,188.00	-455.00
5874.79 FNMA	03/09/2012	11/26/2012	5,875.00	6,189.00	-314.00
2391.29 FNMA REMIC 2010-45	09/19/2012	11/26/2012	2,391.00	2,656.00	-265.00
4970.45 FNMA POOL NUM 8897	02/08/2012	11/26/2012	4,970.00	5,421.00	-451.00
20000. USA TREAS NOTES	VAR	11/26/2012	20,802.00	19,650.00	1,152.00
15000. KOHL'S CORPORATION	09/18/2012	11/29/2012	14,961.00	15,069.00	-108.00
560000. USA TREAS NOTES	VAR	11/29/2012	559,934.00	559,735.00	199.00
10000. USA TREAS NOTES	11/30/2012	12/06/2012	10,038.00	10,015.00	23.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2012

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**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No 1545-0092

**2012**

Department of the Treasury  
Internal Revenue Service

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Name of estate or trust

THE EDWARD E. FORD FOUNDATION P63042009

Employer identification number

13-6047243

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 25000. HEWLETT-PACKARD CO	03/07/2012	12/10/2012	24,204.00	24,996.00	-792.00
10000. USA TREAS NOTES	11/30/2012	12/10/2012	10,010.00	10,015.00	-5.00
3505.82 FHLMC	02/01/2012	12/17/2012	3,506.00	3,817.00	-311.00
1572.2 FHLMC 3790 CL AP	09/27/2012	12/17/2012	1,572.00	1,743.00	-171.00
776.89 FHLMC 4100 CL PA	10/18/2012	12/17/2012	777.00	819.00	-42.00
2615.5 GNMA	03/02/2012	12/17/2012	2,616.00	2,869.00	-253.00
765.27 GNMA	07/12/2012	12/17/2012	765.00	834.00	-69.00
3042.04 GNMA	02/15/2012	12/20/2012	3,042.00	3,177.00	-135.00
1944.74 GNMA	05/31/2012	12/20/2012	1,945.00	2,026.00	-81.00
1930.28 FEDERAL NATL MTG A PASS	02/08/2012	12/26/2012	1,930.00	2,095.00	-165.00
5396.59 FNMA	VAR	12/26/2012	5,397.00	5,761.00	-364.00
5243.07 FNMA	03/09/2012	12/26/2012	5,243.00	5,523.00	-280.00
1999.01 FNMA REMIC 2010-45	09/19/2012	12/26/2012	1,999.00	2,220.00	-221.00
3594.97 FNMA POOL NUM 8897	02/08/2012	12/26/2012	3,595.00	3,921.00	-326.00
20000. CVS CAREMARK CORP	VAR	12/27/2012	23,638.00	23,877.00	-239.00
150000. USA TREAS NOTES	09/04/2012	01/02/2013	150,041.00	150,064.00	-23.00
10000. U S A TREAS NOTES	09/04/2012	01/08/2013	9,959.00	10,004.00	-45.00
10000. U S A NOTES	10/23/2012	01/08/2013	9,948.00	9,934.00	14.00
65000. USA TREAS NOTES	VAR	01/08/2013	64,992.00	65,214.00	-222.00
25000. BANK OF AMERICA COR	09/19/2012	01/10/2013	29,298.00	28,648.00	650.00
45000. U S A NOTES	11/29/2012	01/11/2013	45,009.00	44,993.00	16.00
25000. USA TREAS NOTES	11/30/2012	01/14/2013	24,477.00	25,038.00	-561.00
3636.28 FHLMC	02/01/2012	01/15/2013	3,636.00	3,959.00	-323.00
1617.28 FHLMC 3790 CL AP	09/27/2012	01/15/2013	1,617.00	1,793.00	-176.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2012

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**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No. 1545-0092

**2012**

Department of the Treasury  
Internal Revenue Service

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Name of estate or trust

THE EDWARD E. FORD FOUNDATION P63042009

Employer identification number

13-6047243

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example, 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 807.35 FHLMC 4100 CL PA	10/18/2012	01/15/2013	807.00	851.00	-44.00
15000. GOLDMAN SACHS GROUP	VAR	01/16/2013	17,496.00	17,765.00	-269.00
2601.92 GNMA	03/02/2012	01/16/2013	2,602.00	2,854.00	-252.00
784.48 GNMA	07/12/2012	01/16/2013	784.00	855.00	-71.00
35000. USA TREAS NOTES	07/27/2012	01/16/2013	34,762.00	34,757.00	5.00
5226.98 GNMA	02/15/2012	01/22/2013	5,227.00	5,459.00	-232.00
1991.47 GNMA	05/31/2012	01/22/2013	1,991.00	2,074.00	-83.00
66645.193 JPM VALUE ADVANT	03/29/2012	01/23/2013	1,482,189.00	1,344,900.00	137,289.00
2093.16 FEDERAL NATL MTG A PASS	02/08/2012	01/25/2013	2,093.00	2,272.00	-179.00
5491.83 FNMA	VAR	01/25/2013	5,492.00	5,863.00	-371.00
5991.79 FNMA	03/09/2012	01/25/2013	5,992.00	6,312.00	-320.00
1688.41 FNMA REMIC 2010-45	09/19/2012	01/25/2013	1,688.00	1,875.00	-187.00
4152.4 FNMA POOL NUM 88971	02/08/2012	01/25/2013	4,152.00	4,529.00	-377.00
8240. SPDR TR UNIT SER 1	11/21/2012	01/25/2013	1,233,052.00	1,148,620.00	84,432.00
25000. USA TREAS NOTES	09/04/2012	01/25/2013	24,999.00	25,011.00	-12.00
490000. U S A NOTES	11/29/2012	01/25/2013	489,809.00	489,923.00	-114.00
6000. DEVON ENERGY CORPORA	11/09/2012	01/29/2013	6,082.00	6,272.00	-190.00
13000. DEVON ENERGY CORPORA	11/09/2012	01/29/2013	13,102.00	13,589.00	-487.00
6000. DEVON ENERGY CORPORA	11/09/2012	01/29/2013	6,082.00	6,272.00	-190.00
30000. U S A TREAS NOTES	01/16/2013	01/29/2013	29,815.00	30,015.00	-200.00
120000. USA TREAS NOTES	VAR	01/31/2013	116,039.00	119,257.00	-3,218.00
35000. USA TREAS NOTES	11/14/2012	02/07/2013	35,027.00	35,046.00	-19.00
15000. USA TREAS NOTES	12/13/2012	02/11/2013	14,549.00	14,863.00	-314.00
20000. U S A TREAS NOTES	01/16/2013	02/11/2013	19,937.00	20,010.00	-73.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2012

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**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No 1545-0092

**2012**

Department of the Treasury  
Internal Revenue Service

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Name of estate or trust

THE EDWARD E. FORD FOUNDATION P63042009

Employer identification number

13-6047243

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1661.65 FHLMC 3790 CL AP	09/27/2012	02/15/2013	1,662.00	1,842.00	-180.00
837.3 FHLMC 4100 CL PA	10/18/2012	02/15/2013	837.00	883.00	-46.00
60285.714 JPMORGAN EQUITY	04/05/2012	02/15/2013	659,526.00	611,900.00	47,626.00
17000. SPDR TR UNIT SER 1	VAR	02/15/2013	2,587,014.00	2,366,418.00	220,596.00
4248.92 GNMA	03/02/2012	02/19/2013	4,249.00	4,661.00	-412.00
803.27 GNMA	07/12/2012	02/19/2013	803.00	876.00	-73.00
1060.74 GNMA	01/11/2013	02/20/2013	1,061.00	1,124.00	-63.00
2036.78 GNMA	05/31/2012	02/20/2013	2,037.00	2,121.00	-84.00
5000. USA TREAS NOTES	12/13/2012	02/20/2013	4,841.00	4,954.00	-113.00
20000. ONEOK PARTNERS LP	11/09/2012	02/21/2013	19,884.00	20,638.00	-754.00
35000. U S A NOTES	03/27/2012	02/21/2013	37,406.00	37,538.00	-132.00
45000. USA TREAS NOTES	11/08/2012	02/22/2013	45,504.00	45,661.00	-157.00
5359.26 FNMA	VAR	02/25/2013	5,359.00	5,721.00	-362.00
5597.32 FNMA	03/09/2012	02/25/2013	5,597.00	5,896.00	-299.00
1671. FNMA REMIC 2010-45 C	09/19/2012	02/25/2013	1,671.00	1,856.00	-185.00
30000. USA TREAS NOTES	03/14/2012	02/25/2013	31,430.00	31,406.00	24.00
185000. U S A TREAS NOTES	VAR	02/27/2013	185,094.00	185,045.00	49.00
210000. USA TREAS NOTES	10/24/2012	02/27/2013	210,098.00	209,852.00	246.00
265000. USA TREAS NOTES	VAR	02/27/2013	265,393.00	265,180.00	213.00
105000. U S A NOTES	01/25/2013	02/28/2013	104,824.00	104,716.00	108.00
55000. USA TREAS NOTES	01/31/2013	03/04/2013	55,352.00	54,970.00	382.00
45000. U S A TREAS NOTES	02/28/2013	03/05/2013	45,032.00	45,037.00	-5.00
5000. USA TREAS NOTES	12/13/2012	03/11/2013	4,824.00	4,954.00	-130.00
125000. USA TREAS NOTES	VAR	03/12/2013	120,859.00	122,713.00	-1,854.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2012

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**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
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OMB No. 1545-0092

**2012**

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**THE EDWARD E. FORD FOUNDATION P63042009**

Employer identification number  
**13-6047243**

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(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1705.28 FHLMC 3790 CL AP	09/27/2012	03/15/2013	1,705.00	1,891.00	-186.00
866.72 FHLMC 4100 CL PA	10/18/2012	03/15/2013	867.00	914.00	-47.00
821.62 GNMA	07/12/2012	03/18/2013	822.00	896.00	-74.00
1053.81 GNMA	01/11/2013	03/20/2013	1,054.00	1,117.00	-63.00
2080.62 GNMA	05/31/2012	03/20/2013	2,081.00	2,167.00	-86.00
20000. U S A TREAS NOTES	02/28/2013	03/22/2013	20,003.00	20,016.00	-13.00
1653.78 FNMA REMIC 2010-45	09/19/2012	03/25/2013	1,654.00	1,837.00	-183.00
40000. USA TREAS NOTES	11/08/2012	04/01/2013	40,564.00	40,588.00	-24.00
30000. BERKSHIRE HATHAWAY	01/29/2013	04/03/2013	30,451.00	29,958.00	493.00
5000. U S A TREAS NOTES	03/28/2013	04/03/2013	5,087.00	5,065.00	22.00
85000. U S A NOTES	02/28/2013	04/04/2013	86,544.00	85,757.00	787.00
75000. U S A TREAS NOTES	VAR	04/04/2013	75,636.00	74,796.00	840.00
170000. U S A TREAS NOTES	VAR	04/10/2013	170,073.00	170,009.00	64.00
365000. U S A NOTES	01/25/2013	04/11/2013	364,444.00	364,074.00	370.00
110000. USA TREAS NOTES	01/31/2013	04/11/2013	110,924.00	109,940.00	984.00
1748.16 FHLMC 3790 CL AP	09/27/2012	04/15/2013	1,748.00	1,938.00	-190.00
895.58 FHLMC 4100 CL PA	10/18/2012	04/15/2013	896.00	944.00	-48.00
20000. USA TREAS NOTES	04/03/2013	04/15/2013	20,394.00	20,376.00	18.00
839.5 GNMA	07/12/2012	04/16/2013	840.00	915.00	-75.00
85000. BANK OF AMERICA COR	01/10/2013	04/18/2013	85,067.00	84,952.00	115.00
30000. BOSTON PROPERTIES L	05/31/2012	04/18/2013	32,315.00	30,256.00	2,059.00
15000. BURLINGTN NORTH SAN	08/16/2012	04/18/2013	15,511.00	14,937.00	574.00
20000. CITIGROUP INC	10/23/2012	04/18/2013	23,834.00	23,182.00	652.00
5000. DCP MIDSTREAM OPERAT	03/11/2013	04/18/2013	5,100.00	4,936.00	164.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2012

JSA  
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**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
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OMB No 1545-0092

**2012**

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 30000. DISCOVERY COMMUNICA	05/10/2012	04/18/2013	31,144.00	29,869.00	1,275.00
25000. DUKE ENERGY CORP	08/13/2012	04/18/2013	25,803.00	24,904.00	899.00
5000. ERP OPERATING LP	04/03/2013	04/18/2013	5,029.00	4,956.00	73.00
25000. ENERGY TRANSFER PAR	01/14/2013	04/18/2013	25,430.00	24,975.00	455.00
45000. GENERAL ELECTRIC CA	10/02/2012	04/18/2013	54,089.00	53,366.00	723.00
15000. GOLDMAN SACHS GROUP	01/16/2013	04/18/2013	15,423.00	14,953.00	470.00
5000. MORGAN STANLEY	02/20/2013	04/18/2013	5,168.00	4,982.00	186.00
10000. MORGAN STANLEY	10/19/2012	04/18/2013	11,695.00	11,118.00	577.00
25000. NOVARTIS CAPITAL CO	09/18/2012	04/18/2013	25,170.00	24,934.00	236.00
15000. SEMPRA ENERGY	09/19/2012	04/18/2013	15,141.00	14,995.00	146.00
10000. SIMON PROPERTY GROU	12/10/2012	04/18/2013	10,043.00	9,945.00	98.00
50000. U S A TREAS NOTES T	10/18/2012	04/18/2013	54,692.00	53,995.00	697.00
15000. USA TREAS NOTES	11/29/2012	04/18/2013	15,033.00	15,043.00	-10.00
68900. USA TREAS NOTES	VAR	04/18/2013	68,733.00	66,782.00	1,951.00
40000. U S A NOTES	VAR	04/18/2013	40,791.00	40,209.00	582.00
55000. U S A TREAS NOTES	VAR	04/18/2013	56,543.00	55,394.00	1,149.00
10000. VERIZON COMMUNICATI	11/09/2012	04/18/2013	9,742.00	10,029.00	-287.00
20000. VODAFONE GROUP PLC	02/11/2013	04/18/2013	20,125.00	19,892.00	233.00
10000. WPP FINANCE 2010	09/04/2012	04/18/2013	10,163.00	9,916.00	247.00
1046.92 GNMA	01/11/2013	04/22/2013	1,047.00	1,110.00	-63.00
2122.96 GNMA	05/31/2012	04/22/2013	2,123.00	2,211.00	-88.00
20000. AMAZON.COM INC	11/26/2012	04/23/2013	19,670.00	19,823.00	-153.00
25000. AMERICAN INTL GROUP	08/03/2012	04/23/2013	31,308.00	29,420.00	1,888.00
10000. BARRICK NA FINANCE	05/10/2012	04/23/2013	10,481.00	10,853.00	-372.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2012

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**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No. 1545-0092

**2012**

Department of the Treasury  
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.  
▶ Information about Schedule D (Form 1041) and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041)

Name of estate or trust

THE EDWARD E. FORD FOUNDATION P63042009

Employer identification number

13-6047243

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 40000. DIRECTV HOLDINGS/FI	05/21/2012	04/23/2013	41,811.00	39,690.00	2,121.00
175000. FNMA ZERO CPN	VAR	04/23/2013	169,834.00	168,565.00	1,269.00
130000. FNMA	VAR	04/23/2013	130,906.00	129,374.00	1,532.00
160000. FHLMC	07/25/2012	04/23/2013	162,123.00	161,578.00	545.00
155000. FHLMC	04/04/2013	04/23/2013	155,259.00	154,891.00	368.00
25000. GLAXOSMITHKLINE CAP	05/02/2012	04/23/2013	25,944.00	24,961.00	983.00
35000. U S A TREAS NOTES T	04/11/2013	04/23/2013	38,042.00	38,211.00	-169.00
145000. USA TREAS NOTES	VAR	04/23/2013	146,688.00	145,677.00	1,011.00
150000. USA TREAS NOTES	07/12/2012	04/23/2013	150,434.00	150,322.00	112.00
135000. USA TREAS NOTES	VAR	04/23/2013	134,204.00	133,612.00	592.00
25000. U S A NOTES	09/18/2012	04/23/2013	25,010.00	24,718.00	292.00
65000. USA TREAS NOTES	VAR	04/23/2013	65,960.00	65,806.00	154.00
20000. CITIGROUP INC	03/22/2013	04/24/2013	20,082.00	19,976.00	106.00
15000. USA TREAS NOTES	04/03/2013	04/24/2013	15,291.00	15,282.00	9.00
15000. AT&T INC	12/06/2012	04/25/2013	14,840.00	14,988.00	-148.00
1636.77 FNMA REMIC 2010-45	09/19/2012	04/25/2013	1,637.00	1,818.00	-181.00
145000. U S A TREAS NOTES	VAR	04/25/2013	145,215.00	145,101.00	114.00
145000. U S A TREAS NOTES	04/10/2013	04/25/2013	145,187.00	145,136.00	51.00
132862.82 FHLMC 3790 CL AP	09/27/2012	05/13/2013	144,156.00	147,312.00	-3,156.00
97693.44 FHLMC 4100 CL PA	10/18/2012	05/13/2013	103,250.00	103,006.00	244.00
82105.25 FNMA REMIC 2010-4	09/19/2012	05/13/2013	89,136.00	91,188.00	-2,052.00
69318.39 GNMA	01/11/2013	05/13/2013	73,304.00	73,477.00	-173.00
68449.48 GNMA	07/12/2012	05/13/2013	73,241.00	74,610.00	-1,369.00
128350.84 GNMA	05/31/2012	05/13/2013	132,843.00	133,685.00	-842.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No. 1545-0092

**2012**

Department of the Treasury  
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.  
▶ Information about Schedule D (Form 1041) and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041)

Name of estate or trust: **THE EDWARD E. FORD FOUNDATION P63042009**  
Employer identification number: **13-6047243**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1790.26 FHLMC 3790 CL AP	09/27/2012	05/15/2013	1,790.00	1,985.00	-195.00
923.86 FHLMC 4100 CL PA	10/18/2012	05/15/2013	924.00	974.00	-50.00
833.63 GNMA	07/12/2012	05/16/2013	834.00	909.00	-75.00
1040.08 GNMA	01/11/2013	05/20/2013	1,040.00	1,102.00	-62.00
2103.42 GNMA	05/31/2012	05/20/2013	2,103.00	2,191.00	-88.00
1619.94 FNMA REMIC 2010-45	09/19/2012	05/28/2013	1,620.00	1,799.00	-179.00
105000. U S A TREAS NOTES	01/31/2013	06/04/2013	105,012.00	104,971.00	41.00
215000. U S A TREAS NOTES	02/27/2013	06/04/2013	214,966.00	215,008.00	-42.00
55000. BANK OF NEW YORK ME	03/04/2013	06/07/2013	54,418.00	54,984.00	-566.00
15000. WI TREASURY SEC.	06/07/2013	06/10/2013	14,910.00	14,941.00	-31.00
380000. U S A TREAS NOTES	VAR	06/12/2013	377,091.00	379,016.00	-1,925.00
15000. CITIGROUP INC	04/24/2013	06/19/2013	14,600.00	14,989.00	-389.00
100000. USA TREAS NOTES	06/04/2013	06/19/2013	99,941.00	99,902.00	39.00
10000. APPLE INC	05/01/2013	06/20/2013	9,996.00	10,040.00	-44.00
5000. BP CAPITAL MARKETS P	05/07/2013	06/20/2013	5,000.00	5,000.00	
30000. BANK OF AMERICA COR	VAR	06/20/2013	28,926.00	29,974.00	-1,048.00
20000. BANK OF MONTREAL	04/15/2013	06/20/2013	20,504.00	20,991.00	-487.00
20000. BANK OF NOVA SCOTIA	04/01/2013	06/20/2013	20,545.00	20,990.00	-445.00
15000. RIO TINTO FIN USA P	08/16/2012	06/20/2013	14,655.00	14,918.00	-263.00
15000. TOTAL CAPITAL INTL	06/21/2012	06/20/2013	14,875.00	14,972.00	-97.00
290000. U S A TREAS NOTES	04/11/2013	06/20/2013	289,773.00	290,113.00	-340.00
200000. USA TREAS NOTES	VAR	06/20/2013	199,719.00	199,804.00	-85.00
35000. WI TREASURY SEC.	06/07/2013	06/20/2013	34,482.00	34,862.00	-380.00
195000. U S A NOTES	06/12/2013	06/20/2013	194,284.00	194,672.00	-388.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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**SCHEDULE D-1  
(Form 1041)**

**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No. 1545-0092

**2012**

Department of the Treasury  
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.  
▶ Information about Schedule D (Form 1041) and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041)

Name of estate or trust  
**THE EDWARD E. FORD FOUNDATION P63042009**

Employer identification number  
**13-6047243**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example, 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5000. VENTAS REALTY LP/CAP	04/23/2013	06/20/2013	4,847.00	5,063.00	-216.00
32450. ISHARES TR RUSSELL INDEX	12/13/2012	06/28/2013	2,368,059.00	2,128,782.00	239,277.00
10000. BANK OF AMERICA COR	01/08/2013	07/02/2013	9,716.00	9,985.00	-269.00
245000. USA TREAS NOTES	05/13/2013	07/02/2013	244,091.00	244,445.00	-354.00
20000. U S A NOTES	05/13/2013	07/09/2013	19,767.00	19,915.00	-148.00
13000. ISHARES MSCI CHINA	VAR	07/10/2013	525,781.00	632,809.00	-107,028.00
10000. TIME WARNER CABLE I	VAR	07/12/2013	10,861.00	11,617.00	-756.00
25000. VERIZON COMMUNICAT	04/23/2013	07/12/2013	25,616.00	25,855.00	-239.00
35000. AT&T INC	02/07/2013	07/15/2013	34,813.00	34,977.00	-164.00
25000. MORGAN STANLEY	06/20/2013	07/23/2013	26,993.00	26,685.00	308.00
7000. VANGUARD EMERGING MA	02/15/2013	07/31/2013	273,942.00	311,211.00	-37,269.00
60460.653 VIRTUS EMERGING	02/15/2013	07/31/2013	593,119.00	630,000.00	-36,881.00
10000. BARRICK GOLD CORP	04/23/2013	08/01/2013	10,045.00	10,458.00	-413.00
10000. TIME WARNER CABLE I	VAR	08/01/2013	10,948.00	11,614.00	-666.00
5000. APPLE INC	05/01/2013	08/13/2013	4,995.00	5,020.00	-25.00
20000. GENERAL ELEC CAP CO	07/09/2013	08/13/2013	20,106.00	19,994.00	112.00
10000. BARRICK GOLD CORP	04/23/2013	08/28/2013	10,061.00	10,458.00	-397.00
60000. USA TREAS NOTES	VAR	08/30/2013	59,796.00	59,970.00	-174.00
65000. U S A TREAS NOTES	VAR	08/30/2013	64,815.00	65,046.00	-231.00
10000. BARRICK GOLD CORP	04/23/2013	09/06/2013	10,033.00	10,458.00	-425.00
55000. USA TREAS NOTES	VAR	09/06/2013	54,579.00	54,941.00	-362.00
25000. U S A TREAS NOTES	08/30/2013	09/09/2013	24,298.00	24,378.00	-80.00
115000. U S A TREAS NOTES	VAR	09/17/2013	114,721.00	114,905.00	-184.00
85000. U S A TREAS NOTES T	04/11/2013	09/18/2013	90,456.00	92,798.00	-2,342.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . **538,887.00**

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side  
**THE EDWARD E. FORD FOUNDATION P63042009** Employer identification number  
**13-6047243**

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2403.44 FREDDIE MAC	08/12/2009	10/15/2012	2,403.00	2,545.00	-142.00
899.54 FHLMC MULTI 3378-ME 1/15/23	10/17/2007	10/15/2012	900.00	902.00	-2.00
55000. USA TREAS NOTES	05/05/2011	10/18/2012	58,966.00	54,298.00	4,668.00
530000. DB CONT BUFF EQ SP	10/07/2011	10/24/2012	636,000.00	524,700.00	111,300.00
. DORAL FINL CORP		10/24/2012	1,061.00		1,061.00
530000. GS BREN EAFE 10/2	10/07/2011	10/24/2012	606,834.00	524,700.00	82,134.00
530000. DB BREN SPX 11/07/	10/21/2011	11/07/2012	622,750.00	524,700.00	98,050.00
160000. U S A NOTES	06/29/2011	11/07/2012	167,306.00	165,656.00	1,650.00
60000. USA TREAS NOTES	09/27/2011	11/08/2012	61,212.00	60,110.00	1,102.00
530000. GS BREN EEM 11/07/	10/21/2011	11/09/2012	607,433.00	524,700.00	82,733.00
600000. GS BREN GOLD NOTE	10/28/2011	11/09/2012	600,000.00	594,000.00	6,000.00
2675.29 FREDDIE MAC	08/12/2009	11/15/2012	2,675.00	2,833.00	-158.00
814.91 FHLMC MULTI 3378-ME 1/15/23	10/17/2007	11/15/2012	815.00	817.00	-2.00
90000. VIRGINIA ELECTRIC P	08/07/2008	11/30/2012	90,000.00	90,320.00	-320.00
. GILEAD SCIENCES INC		12/10/2012	833.00		833.00
3052.61 BANC OF AMERICA CO MORTGAGE	11/13/2003	12/12/2012	3,053.00	3,068.00	-15.00
58744.491 HIGHBRIDGE DYNAM COMMODITIES	VAR	12/13/2012	845,333.00	1,180,000.00	-334,667.00
88978.203 JPMORGAN LARGE C FUND	VAR	12/13/2012	2,124,799.00	1,900,000.00	224,799.00
2914.47 FREDDIE MAC	08/12/2009	12/17/2012	2,914.00	3,087.00	-173.00
507.69 FHLMC MULTI 3378-ME 1/15/23	10/17/2007	12/17/2012	508.00	509.00	-1.00
. DORAL FINL CORP		12/24/2012	12.00		12.00
25000. USA TREAS NOTES	08/31/2010	01/08/2013	26,335.00	24,914.00	1,421.00
120000. USA TREAS NOTES	05/05/2011	01/08/2013	128,564.00	118,468.00	10,096.00
65000. BANK OF AMERICA COR	04/29/2008	01/10/2013	76,175.00	64,652.00	11,523.00
40000. USA TREAS NOTES	08/31/2010	01/11/2013	42,113.00	39,863.00	2,250.00

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side.

Employer identification number

THE EDWARD E. FORD FOUNDATION P63042009

13-6047243

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2198.2 BANC OF AMERICA COM MORTGAGE	11/13/2003	01/14/2013	2,198.00	2,209.00	-11.00
2696.77 FREDDIE MAC	08/12/2009	01/15/2013	2,697.00	2,856.00	-159.00
460.49 FHLMC MULTI 3378-ME 1/15/23	10/17/2007	01/15/2013	460.00	462.00	-2.00
. DELL INC		01/16/2013	8.00		8.00
20000. USA TREAS NOTES	08/31/2010	01/16/2013	21,100.00	19,931.00	1,169.00
25000. AT&T INC	08/16/2011	01/17/2013	26,125.00	25,127.00	998.00
20930.25 BANC OF AMERICA C MORTGAGE	11/13/2003	02/11/2013	20,930.00	21,035.00	-105.00
15000. KRAFT FOODS INC	10/19/2011	02/14/2013	17,764.00	17,174.00	590.00
10000. KRAFT FOODS INC	10/19/2011	02/14/2013	11,853.00	11,449.00	404.00
1702.68 FREDDIE MAC	08/12/2009	02/15/2013	1,703.00	1,803.00	-100.00
3138.44 FHLMC	02/01/2012	02/15/2013	3,138.00	3,417.00	-279.00
298.29 FHLMC MULTI 3378-ME 1/15/23	10/17/2007	02/15/2013	298.00	299.00	-1.00
69605.568 JPMORGAN EQUITY	08/04/2011	02/15/2013	761,485.00	600,000.00	161,485.00
15000. VF CORP	08/18/2011	02/19/2013	15,822.00	15,034.00	788.00
4763.92 GNMA	02/15/2012	02/20/2013	4,764.00	4,975.00	-211.00
10000. HEWLETT PACKARD CO	05/26/2011	02/22/2013	10,058.00	10,044.00	14.00
2655.56 FEDERAL NATL MTG A PASS	02/08/2012	02/25/2013	2,656.00	2,883.00	-227.00
3696.96 FNMA POOL NUM 8897	02/08/2012	02/25/2013	3,697.00	4,032.00	-335.00
25000. COVIDIEN INTL FINAN	06/21/2010	03/08/2013	27,803.00	24,970.00	2,833.00
. TELLABS INC		03/08/2013	640.00		640.00
19211.6 BANC OF AMERICA CO MORTGAGE	11/13/2003	03/11/2013	19,212.00	19,308.00	-96.00
338.699 GRACIE INTERNATION	07/31/2008	03/12/2013	610,628.00	467,862.00	142,766.00
2260.46 FREDDIE MAC	08/12/2009	03/15/2013	2,260.00	2,394.00	-134.00
4220. FHLMC	02/01/2012	03/15/2013	4,220.00	4,595.00	-375.00
457.8 FHLMC MULTI 3378-ME 1/15/23	10/17/2007	03/15/2013	458.00	459.00	-1.00
<b>6b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .					

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side.

Employer identification number

THE EDWARD E. FORD FOUNDATION P63042009

13-6047243

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 5310.02 GNMA	03/02/2012	03/18/2013	5,310.00	5,825.00	-515.00
5339.58 GNMA	02/15/2012	03/20/2013	5,340.00	5,577.00	-237.00
2030.55 FEDERAL NATL MTG A PASS	02/08/2012	03/25/2013	2,031.00	2,204.00	-173.00
5395.62 FNMA	VAR	03/25/2013	5,396.00	5,760.00	-364.00
5131.21 FNMA	03/09/2012	03/25/2013	5,131.00	5,405.00	-274.00
2845.65 FNMA POOL NUM 8897	02/08/2012	03/25/2013	2,846.00	3,104.00	-258.00
19920.96 BANC OF AMERICA C MORTGAGE	11/13/2003	04/11/2013	19,921.00	20,021.00	-100.00
3188.86 FREDDIE MAC	08/12/2009	04/15/2013	3,189.00	3,377.00	-188.00
2712.05 FHLMC	02/01/2012	04/15/2013	2,712.00	2,953.00	-241.00
384.32 FHLMC MULTI 3378-ME 1/15/23	10/17/2007	04/15/2013	384.00	385.00	-1.00
5342.49 GNMA	03/02/2012	04/16/2013	5,342.00	5,861.00	-519.00
20000. ALTRIA GROUP INC	05/02/2011	04/18/2013	22,960.00	20,046.00	2,914.00
15000. APACHE CORP	04/03/2012	04/18/2013	15,739.00	15,032.00	707.00
15000. CAMDEN PROPERTY TRU	05/31/2011	04/18/2013	17,022.00	14,907.00	2,115.00
25000. CITIGROUP INC	08/08/2007	04/18/2013	29,326.00	24,911.00	4,415.00
10000. ERP OPERATING LP	12/07/2011	04/18/2013	11,368.00	9,962.00	1,406.00
20000. FHLMC	01/12/2012	04/18/2013	21,033.00	19,884.00	1,149.00
20000. GILEAD SCIENCES INC	05/06/2011	04/18/2013	22,871.00	20,270.00	2,601.00
15000. GOLDMAN SACHS GROUP	07/21/2010	04/18/2013	18,009.00	15,872.00	2,137.00
40000. HSBC HOLDINGS PLC	03/29/2011	04/18/2013	47,018.00	39,913.00	7,105.00
15000. HARTFORD FINL SVCS	04/03/2012	04/18/2013	17,712.00	15,088.00	2,624.00
10000. HEWLETT PACKARD CO	05/26/2011	04/18/2013	10,178.00	10,044.00	134.00
20000. INTL PAPER CO	11/08/2011	04/18/2013	22,722.00	19,984.00	2,738.00
10000. USA TREAS NOTES	07/15/2010	04/18/2013	11,698.00	10,504.00	1,194.00
160000. USA TREAS NOTES	VAR	04/18/2013	186,106.00	167,958.00	18,148.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side.

Employer identification number

THE EDWARD E. FORD FOUNDATION P63042009

13-6047243

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 60000. USA TREAS NOTES	09/13/2010	04/18/2013	66,129.00	59,086.00	7,043.00
15000. USA TREAS NOTES	03/30/2012	04/18/2013	15,624.00	14,713.00	911.00
5152.71 GNMA	02/15/2012	04/22/2013	5,153.00	5,381.00	-228.00
25000. BARRICK NA FINANCE	03/23/2012	04/23/2013	26,201.00	26,757.00	-556.00
35000. KINDER MORGAN ENER	03/08/2012	04/23/2013	37,900.00	35,063.00	2,837.00
15000. TEVA PHARM FIN IV B	11/08/2011	04/23/2013	16,187.00	14,867.00	1,320.00
100000. USA TREAS NOTES	VAR	04/23/2013	109,336.00	99,611.00	9,725.00
150000. USA TREAS NOTES	VAR	04/23/2013	162,551.00	148,957.00	13,594.00
165000. USA TREAS NOTES	07/29/2011	04/23/2013	177,813.00	166,005.00	11,808.00
215000. USA TREAS NOTES	VAR	04/23/2013	223,272.00	215,276.00	7,996.00
15000. UNITEDHEALTH GROUP	05/06/2011	04/23/2013	16,628.00	14,789.00	1,839.00
30000. VENTAS REALTY LP/CA	VAR	04/23/2013	33,921.00	30,260.00	3,661.00
30000. VERIZON COMMUNICATI	05/06/2011	04/23/2013	34,516.00	31,058.00	3,458.00
25000. WAL MART STORES INC	08/17/2011	04/23/2013	28,868.00	27,554.00	1,314.00
10000. U S A NOTES	03/27/2012	04/24/2013	10,681.00	10,725.00	-44.00
15000. CR BARD INC	05/06/2011	04/25/2013	16,878.00	15,565.00	1,313.00
10000. CAPITAL ONE FINANCI	07/14/2011	04/25/2013	11,404.00	9,954.00	1,450.00
35000. CISCO SYSTEMS INC	08/17/2011	04/25/2013	40,675.00	38,970.00	1,705.00
25000. ENTERGY ARKANSAS IN	11/09/2010	04/25/2013	27,308.00	24,993.00	2,315.00
1818.75 FEDERAL NATL MTG A PASS	02/08/2012	04/25/2013	1,819.00	1,974.00	-155.00
5018.84 FNMA	VAR	04/25/2013	5,019.00	5,358.00	-339.00
5334.07 FNMA	03/09/2012	04/25/2013	5,334.00	5,619.00	-285.00
3667.49 FNMA POOL NUM 8897	02/08/2012	04/25/2013	3,667.00	4,000.00	-333.00
30000. PNC FUNDING CORP	09/22/2010	04/25/2013	34,202.00	30,746.00	3,456.00
25000. WILLIAMS PARTNERS L	05/06/2011	04/25/2013	28,989.00	26,998.00	1,991.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side.

Employer identification number

THE EDWARD E. FORD FOUNDATION P63042009

13-6047243

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 25000. XCEL ENERGY INC	05/10/2010	04/25/2013	29,163.00	24,919.00	4,244.00
118666.852 RS INTERNATIONAL FUND	VAR	05/07/2013	2,312,817.00	2,011,900.00	300,917.00
25679.35 BANC OF AMERICA C MORTGAGE	11/13/2003	05/13/2013	25,679.00	25,808.00	-129.00
100000. CREDIT SUISSE NEW	06/26/2009	05/15/2013	100,000.00	102,654.00	-2,654.00
3002.19 FREDDIE MAC	08/12/2009	05/15/2013	3,002.00	3,180.00	-178.00
3998.95 FHLMC	02/01/2012	05/15/2013	3,999.00	4,354.00	-355.00
602.87 FHLMC MULTI 3378-ME 1/15/23	10/17/2007	05/15/2013	603.00	605.00	-2.00
5834.77 GNMA	03/02/2012	05/16/2013	5,835.00	6,401.00	-566.00
6502.81 GNMA	02/15/2012	05/20/2013	6,503.00	6,791.00	-288.00
2549.09 FEDERAL NATL MTG A PASS	02/08/2012	05/28/2013	2,549.00	2,767.00	-218.00
5010.55 FNMA	VAR	05/28/2013	5,011.00	5,349.00	-338.00
5195.98 FNMA	03/09/2012	05/28/2013	5,196.00	5,474.00	-278.00
3747.21 FNMA POOL NUM 8897	02/08/2012	05/28/2013	3,747.00	4,087.00	-340.00
25000. VALE OVERSEAS LIMIT	05/06/2011	06/06/2013	27,612.00	26,770.00	842.00
5000. VALE OVERSEAS LIMITE	05/06/2011	06/06/2013	5,537.00	5,354.00	183.00
36701.53 BANC OF AMERICA C MORTGAGE	11/13/2003	06/11/2013	36,702.00	36,885.00	-183.00
99415.27 GNMA	02/15/2012	06/13/2013	101,590.00	103,827.00	-2,237.00
46501.89 FEDERAL NATL MTG PASS	02/08/2012	06/14/2013	50,237.00	50,476.00	-239.00
2812.13 FREDDIE MAC	08/12/2009	06/17/2013	2,812.00	2,978.00	-166.00
2982.29 FHLMC	02/01/2012	06/17/2013	2,982.00	3,247.00	-265.00
446.22 FHLMC MULTI 3378-ME 1/15/23	10/17/2007	06/17/2013	446.00	448.00	-2.00
3900.8 GNMA	03/02/2012	06/17/2013	3,901.00	4,279.00	-378.00
125000. BEAR STEARNS COMME MORTGAGE	12/08/2004	06/19/2013	130,508.00	125,682.00	4,826.00
50000. CITIGROUP DEUTSCHE MGTE	10/28/2005	06/19/2013	54,129.00	50,043.00	4,086.00
15000. ANHEUSER BUSCH INBE	01/24/2011	06/20/2013	17,389.00	16,176.00	1,213.00

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2012

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Employer identification number

THE EDWARD E. FORD FOUNDATION P63042009

13-6047243

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 15000. CITIGROUP INC	08/08/2007	06/20/2013	16,851.00	14,947.00	1,904.00
30000. COMCAST CORPORATION	04/07/2009	06/20/2013	35,557.00	29,529.00	6,028.00
25000. DCP MIDSTREAM OPERA	09/23/2010	06/20/2013	25,819.00	24,981.00	838.00
35000. EXPRESS SCRIPTS INC	VAR	06/20/2013	36,629.00	36,281.00	348.00
10000. GENERAL ELEC CAP CO	12/08/2009	06/20/2013	11,647.00	10,526.00	1,121.00
15000. GOLDMAN SACHS GROUP	07/16/2009	06/20/2013	18,033.00	16,643.00	1,390.00
4814.66 GNMA	02/15/2012	06/20/2013	4,815.00	5,028.00	-213.00
80000. KFW	08/08/2008	06/20/2013	90,518.00	79,280.00	11,238.00
15000. MERCK & CO INC	07/10/2009	06/20/2013	17,261.00	15,574.00	1,687.00
10000. MIDAMERICAN ENERGY	06/26/2007	06/20/2013	11,545.00	9,979.00	1,566.00
100000. MORGAN STANLEY	01/04/2007	06/20/2013	108,739.00	99,680.00	9,059.00
20000. ORACLE CORP	08/17/2011	06/20/2013	22,945.00	23,287.00	-342.00
20000. PHILIP MORRIS INTL	07/14/2009	06/20/2013	23,234.00	21,082.00	2,152.00
25000. PLAINS ALL AMER PIP	VAR	06/20/2013	29,795.00	29,109.00	686.00
30000. PRUDENTIAL FINL MTN 1/15/15	06/02/2009	06/20/2013	32,308.00	29,981.00	2,327.00
25000. TIME WARNER CABLE I	04/07/2009	06/20/2013	31,728.00	26,606.00	5,122.00
30000. WELLS FARGO & COMPA	VAR	06/20/2013	32,007.00	30,415.00	1,592.00
2387.02 FEDERAL NATL MTG A PASS	02/08/2012	06/25/2013	2,387.00	2,591.00	-204.00
5323.99 FNMA	VAR	06/25/2013	5,324.00	5,683.00	-359.00
5503.93 FNMA	03/09/2012	06/25/2013	5,504.00	5,798.00	-294.00
3447.92 FNMA POOL NUM 8897	02/08/2012	06/25/2013	3,448.00	3,760.00	-312.00
47984.645 JPM INFLATION MG SEL	05/04/2011	06/27/2013	500,000.00	507,678.00	-7,678.00
49164.208 TRP INST FLOATIN	05/04/2011	06/27/2013	500,000.00	511,799.00	-11,799.00
. TYCO INTL LTD NEW		07/02/2013	997.00		997.00
. WELLS FARGO MORTGAGE BAN		07/10/2013	2,708.00		2,708.00
<b>6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .</b>					

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Employer identification number

THE EDWARD E. FORD FOUNDATION P63042009

13-6047243

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 32305.5 BANC OF AMERICA CO MORTGAGE	11/13/2003	07/15/2013	32,306.00	32,467.00	-161.00
2209.09 FREDDIE MAC	08/12/2009	07/15/2013	2,209.00	2,340.00	-131.00
3427.74 FHLMC	02/01/2012	07/15/2013	3,428.00	3,732.00	-304.00
116.61 FHLMC MULTI 3378-ME 1/15/23	10/17/2007	07/15/2013	117.00	117.00	
65000. SBC COMMUNICATIONS	02/01/2007	07/15/2013	72,969.00	64,515.00	8,454.00
3991.57 GNMA	03/02/2012	07/16/2013	3,992.00	4,379.00	-387.00
20000. RIO TINTO FIN USA L	09/14/2011	07/22/2013	20,519.00	19,880.00	639.00
21111.893 DREYFUS/LAUREL F	05/04/2011	07/24/2013	300,000.00	323,223.00	-23,223.00
57361.377 JPM INFLATION MG SEL	05/04/2011	07/24/2013	600,000.00	606,883.00	-6,883.00
138875.843 JPMORGAN HIGH Y FUND	VAR	07/24/2013	1,140,171.00	1,081,507.00	58,664.00
4441.59 FNMA	VAR	07/25/2013	4,442.00	4,741.00	-299.00
4780.33 FNMA	03/09/2012	07/25/2013	4,780.00	5,036.00	-256.00
2107.82 FNMA POOL NUM 8897	02/08/2012	07/25/2013	2,108.00	2,299.00	-191.00
18000. VANGUARD EMERGING M	VAR	07/31/2013	704,421.00	790,866.00	-86,445.00
14564.831 VIRTUS EMERGING	03/26/2012	07/31/2013	142,881.00	142,444.00	437.00
30000. XEROX CORP 6.4% MAR	VAR	08/05/2013	33,543.00	33,763.00	-220.00
30000. NBCUNIVERSAL MEDIA	05/11/2012	08/12/2013	31,462.00	31,484.00	-22.00
135000. USA TREAS NOTES	07/20/2012	08/14/2013	134,810.00	134,826.00	-16.00
2488.08 FREDDIE MAC	08/12/2009	08/15/2013	2,488.00	2,635.00	-147.00
2706.3 FHLMC	02/01/2012	08/15/2013	2,706.00	2,946.00	-240.00
209.9 FHLMC MULTI 3378-ME 1/15/23	10/17/2007	08/15/2013	210.00	211.00	-1.00
5578.42 GNMA	03/02/2012	08/16/2013	5,578.00	6,120.00	-542.00
50000. AMER EXPRESS CREDIT	09/09/2009	08/20/2013	50,000.00	55,079.00	-5,079.00
4941.1 FNMA	VAR	08/26/2013	4,941.00	5,275.00	-334.00
4981.78 FNMA	03/09/2012	08/26/2013	4,982.00	5,248.00	-266.00

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .



The Edward E. Ford Foundation P63042009

FEIN: 13-6047243

FYE 09/30/2013

Grants Paid

Organization	City	State	Total Grants Paid
ATLANTA GIRLS' SCHOOL	ATLANTA	GA	7,500.00
BUCKLEY SCHOOL, THE	SHERMAN OAKS	CA	15,425.59
BUFFALO SEMINARY	BUFFALO	NY	50,000.00
BURR AND BURTON ACADEMY	MANCHESTER	VT	50,000.00
CAMBRIDGE SCHOOL OF WESTON, THE	WESTON	MA	226,318.40
CANTERBURY SCHOOL	FORT MYERS	FL	50,000.00
ELLIS SCHOOL, THE	PITTSBURGH	PA	50,000.00
EPISCOPAL ACADEMY, THE	NEWTOWN SQUARE	PA	50,000.00
FLINTRIDGE PREPARATORY SCHOOL	LA CANADA FLINTRIDGE	CA	250,000.00
FLORIDA COUNCIL OF INDEPENDENT SCHOOLS	TAMPA	FL	50,000.00
HARLEY SCHOOL, THE	ROCHESTER	NY	38,050.00
HAWKEN SCHOOL	GATES MILLS	OH	50,000.00
HUDSON SCHOOL, THE	HOBOKEN	NJ	50,000.00
HUTCHISON SCHOOL	MEMPHIS	TN	21,500.00
INTERLOCHEN CENTER FOR THE ARTS	INTERLOCHEN	MI	50,000.00
KISKI SCHOOL, THE	SALTSBURG	PA	50,000.00
LAUSANNE COLLEGIATE SCHOOL	MEMPHIS	TN	50,000.00
LAWRENCEVILLE SCHOOL, THE	LAWRENCEVILLE	NJ	50,000.00
METAIRIE PARK COUNTRY DAY SCHOOL	METAIRIE	LA	250,000.00
NEWARK ACADEMY	LIVINGSTON	NJ	32,500.00
RIVERDALE COUNTRY SCHOOL	BRONX	NY	125,269.91
ROLAND PARK COUNTRY DAY SCHOOL	BALTIMORE	MD	50,000.00
SAINT ANN'S SCHOOL	BROOKLY	NY	50,000.00
SAINT EDWARDS SCHOOL	VERO BEACH	FL	50,000.00
SANTA FE PREPARATORY SCHOOL	SANTA FE	NM	125,000.00
SCHOOL OF THE HOLY CHILD	RYE	NY	50,000.00
SHATTUCK-ST. MARY'S SCHOOL	FARIBAULT	MN	50,000.00
ST AGNES ACADEMY - ST COMINIC SCHOOL	MEMPHIS	TN	50,000.00
ST. ANDREW'S PRIORY SCHOOL	HONOLULU	HI	50,000.00
ST. CHRISTOPHER'S SCHOOL	RICHMOND	VA	50,000.00
TABOR ACADEMY	MARION	MA	250,000.00
TRINITY PREPARATORY SCHOOL	WINTER PARK	FL	22,380.00
WASHINGTON INTERNATIONAL SCHOOL	WASHINGTON	DC	35,033.00
WESTMINSTER SCHOOL	SIMSBURY	CT	50,000.00
WESTOVER SCHOOL	MIDDLEBURY	CT	50,000.00
			<u>2,498,976.90</u>



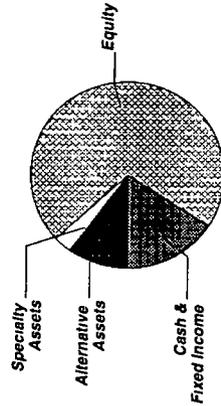
TR U/A FOR THE EDWARD E FORD FDN ACCT. P63042009  
For the Period 7/1/13 to 9/30/13

## Account Summary

### PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	38,602,944.75	42,662,906.29	4,059,961.54	432,315.41	73%
Alternative Assets	5,709,484.06	5,755,714.30	46,230.24	59,854.23	10%
Cash & Fixed Income	11,064,419.64	9,170,528.20	(1,893,891.44)	269,736.55	16%
Specialty Assets	1.00	1.00	0.00		1%
<b>Market Value</b>	<b>\$55,376,849.45</b>	<b>\$57,589,149.79</b>	<b>\$2,212,300.34</b>	<b>\$761,906.19</b>	<b>100%</b>

### Asset Allocation



### INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	26,101.34	34,717.84	8,616.50
Accruals	47,445.65	36,713.41	(10,732.24)
<b>Market Value</b>	<b>\$73,546.99</b>	<b>\$71,431.25</b>	<b>(\$2,115.74)</b>

J.P.Morgan



TR U/A FOR THE EDWARD E FORD FDN ACCT. P63042009  
For the Period 7/1/13 to 9/30/13

**Account Summary** CONTINUED

Portfolio Activity	PRINCIPAL		INCOME	
	Current Period Value	Year-to-Date Value	Current Period Value	Year-to-Date Value
<b>Beginning Market Value</b>	<b>55,376,849.45</b>	<b>49,687,704.60</b>	<b>26,101.34</b>	<b>11,557.39</b>
Additions		4,144,599.00	28,740.65	266,440.56
Withdrawals & Fees	(460,780.43)	(2,257,369.14)	(184,106.67)	(1,061,374.06)
Securities Transferred In	2,942.51	129,245.90	--	--
Securities Transferred Out	(2,942.81)	(132,476.77)	--	--
<b>Net Additions/Withdrawals</b>	<b>(\$460,780.73)</b>	<b>\$1,883,998.99</b>	<b>(\$155,366.02)</b>	<b>(\$794,933.50)</b>
Income			163,982.52	818,093.95
Change In Investment Value	2,673,081.07	6,017,446.20		
<b>Ending Market Value</b>	<b>\$57,589,149.79</b>	<b>\$57,589,149.79</b>	<b>\$34,717.84</b>	<b>\$34,717.84</b>
Accruals	--	--	36,713.41	36,713.41
<b>Market Value with Accruals</b>	<b>--</b>	<b>--</b>	<b>\$71,431.25</b>	<b>\$71,431.25</b>

J.P.Morgan



TR U/A FOR THE EDWARD E FORD FDN ACCT P63042009  
For the Period 7/1/13 to 9/30/13

**Account Summary** CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	159,106.72	798,884.72
Interest Income	113.99	638.70
<b>Taxable Income</b>	<b>\$159,220.11</b>	<b>\$799,523.42</b>
Tax-Exempt Income	4,762.41	18,570.53
<b>Tax-Exempt Income</b>	<b>\$4,762.41</b>	<b>\$18,570.53</b>

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions		143,986.12
ST Realized Gain/Loss	(181,178.14)	548,042.20
LT Realized Gain/Loss	(135,259.55)	580,569.23
<b>Realized Gain/Loss</b>	<b>(\$316,437.69)</b>	<b>\$1,272,597.55</b>

	To-Date Value
<b>Unrealized Gain/Loss</b>	<b>\$7,354,298.02</b>

Cost Summary	Cost
Equity	35,055,360.43
Cash & Fixed Income	9,287,240.34
<b>Total</b>	<b>\$44,342,600.77</b>

J.P.Morgan

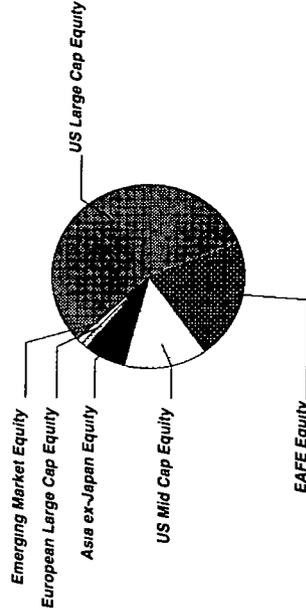


TR U/A FOR THE EDWARD E FORD FDN ACCT. P63042009  
For the Period 7/1/13 to 9/30/13

## Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	21,381,478.52	23,900,368.64	2,518,890.12	41%
US Mid Cap Equity	5,436,854.33	5,813,337.07	376,482.74	10%
EAFE Equity	5,631,268.99	8,920,691.71	3,289,422.72	15%
European Large Cap Equity	684,892.00	738,367.50	53,475.50	1%
Asia ex-Japan Equity	2,602,487.76	2,665,993.62	63,505.86	5%
Emerging Market Equity	2,865,963.15	624,147.75	(2,241,815.40)	1%
<b>Total Value</b>	<b>\$38,602,944.75</b>	<b>\$42,662,906.29</b>	<b>\$4,059,961.54</b>	<b>73%</b>

### Asset Categories



Equity as a percentage of your portfolio - 73 %

### Market Value/Cost

	Current Period Value
Market Value	42,662,906.29
Tax Cost	35,055,360.43
Unrealized Gain/Loss	7,607,545.86
Estimated Annual Income	432,315.41
Accrued Dividends	31,338.70
Yield	1.01%

J.P.Morgan



TR U/A FOR THE EDWARD E FORD FDN ACCT. P63042009  
For the Period 7/1/13 to 9/30/13

## Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
<b>US Large Cap Equity</b>							
BBH CORE SELECT FUND-N 05528X-60-4 BBTE X	20.50	114,229 456	2,341,703 85	2,000,000 00	341,703 85	10,966 02	0 47%
EDGEWOOD GROWTH FUND-INS 0075W0-75-9 EGFI X	16.71	218,464.118	3,650,535 41	2,998,000 00	652,535 41	5,680.06	0 16%
GS REN SPX 12/04/13 2 XLEV- 9.7%CAP- 19 4%MAXPYMT INITIAL LEVEL-11/16/12 SPX.1359 88 38141G-JF-2	118 56	600,000 000	711,372 00	594,000.00	117,372.00		
JOHN HANCOCK III-DISCIPLN V-I 47803U-64-0 JVLI X	17 22	173,511 703	2,987,871 53	2,682,000 00	305,871.53	29,323.47	0 98%
JPM US EQUITY FD - INSTL FUND 1382 4812A1-14-2 JMUE X	13.64	163,058 410	2,224,116.71	1,380,340.21	843,776 50	26,741 57 5,821.19	1 20%
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002 4812A2-38-9 JLPS X	27 21	140,259 453	3,816,459 72	3,057,242 63	759,217 09	23,703.84	0 62%
NEUBERGER BER MU/C OPP-INS 64122Q-30-9 NMUL X	14 01	231,184 584	3,238,896 02	2,786,000 00	452,896 02	31,209.91	0 96%
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	168 01	29,340 000	4,929,413.40	4,136,489.82	792,923 58	99,550 62 24,585 45	2 02%
<b>Total US Large Cap Equity</b>			<b>\$23,900,368.64</b>	<b>\$19,634,072.66</b>	<b>\$4,266,295.98</b>	<b>\$227,175.49</b> <b>\$30,406.64</b>	<b>0 95%</b>

J.P. Morgan



TR U/A FOR THE EDWARD E FORD FDN ACCT. P63042009  
For the Period 7/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
<b>US Mid Cap Equity</b>							
ISHARES RUSSELL MIDCAP VALUE INDEX FUND 464287-47-3 IWS	60.91	34,000,000	2,070,940.00	1,925,134.00	145,806.00	37,740.00	1.82%
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	124.14	17,395,000	2,159,415.30	1,183,430.75	975,984.55	31,850.24	1.47%
JPM MID CAP EQ FD - SEL FUND 689 4812A1-26-6 VSNG X	41.44	38,199,367	1,582,981.77	1,207,100.00	375,881.77	7,601.67 932.06	0.48%
<b>Total US Mid Cap Equity</b>			<b>\$5,813,337.07</b>	<b>\$4,315,664.75</b>	<b>\$1,497,672.32</b>	<b>\$77,191.91</b> <b>\$932.06</b>	<b>1.33%</b>
<b>EAFE Equity</b>							
ARTISAN INTL VALUE FUND-INV 04314H-88-1 ARTK X	37.01	83,394,994	3,086,448.73	2,009,334.62	1,077,114.11	24,518.12	0.79%
DB REN MXEA 08/06/14 2 XLEV- 11 15%CAP- 22 30%MAXPYMT INITIAL LEVEL-07/19/13 MXEA 1733 470 25152R-DW-0	105.49	647,000,000	682,533.24	640,530.00	42,003.24		
DODGE & COX INTL STOCK FUND 256206-10-3 DODF X	40.58	16,929,643	687,004.91	654,500.00	32,504.91	12,646.44	1.84%
GS BREN EAFE 12/04/13 10%BUFFER-2 XLEV- 8 37%CAP 16 74%MAXRTRN INITIAL LEVEL-11/16/12 SX5E 2427 32 UKX 5605 59 TPX 751 34 38141G-JG-0	116.03	604,000,000	700,809.12	597,960.00	102,849.12		

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TR U/A FOR THE EDWARD E FORD FDN ACCT. P63042009  
For the Period 7/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
<b>EAFE Equity</b>							
OAKMARK INTERNATIONAL FD-I 413838-20-2 OAKI X	25 89	145,380.290	3,763,895 71	3,498,500.00	265,395.71	63,821 94	1.70%
<b>Total EAFE Equity</b>			<b>\$8,920,691.71</b>	<b>\$7,400,824.62</b>	<b>\$1,519,867.09</b>	<b>\$100,986.50</b>	<b>1.13%</b>
<b>European Large Cap Equity</b>							
DB MARKET PLUS SX5E 03/19/14 80% CONTIN BARRIER- 7 6%CPN .UNCAPPED INITIAL LEVEL-09/14/12 SX5E-2594 56 2515A1-LR-0	113 60	650,000.000	738,367.50	641,875 00	96,492.50		
<b>Asia ex-Japan Equity</b>							
MATTHEWS PACIFIC TIGER FD-IS 577130-83-4 MIPT X	24 58	50,312.297	1,236,676 26	914,817.86	321,858 40	10,163 08	0.82%
T FOWE PRICE NEW ASIA 77956H-50-0 PRAS X	16 24	88,012 153	1,429,317 36	1,515,549 59	(86,232 23)	14,081 94	0 99%
<b>Total Asia ex-Japan Equity</b>			<b>\$2,665,993.62</b>	<b>\$2,430,367.45</b>	<b>\$235,626.17</b>	<b>\$24,245.02</b>	<b>0.91%</b>
<b>Emerging Market Equity</b>							
VIRTUS EMERGING MKTS OPPOR-I 92828T-88-9 HIEM X	9 65	64,678.523	624,147 75	632,555 95	(8,408 20)	2,716.49	0 44%

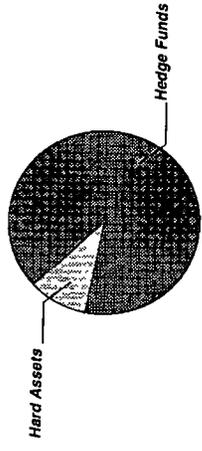


TR U/A FOR THE EDWARD E FORD FDN ACCT P63042009  
For the Period 7/1/13 to 9/30/13

### Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	4,896,306.72	4,900,117.27	3,810.55	9%
Hard Assets	813,177.34	855,597.03	42,419.69	1%
<b>Total Value</b>	<b>\$5,709,484.06</b>	<b>\$5,755,714.30</b>	<b>\$46,230.24</b>	<b>10%</b>

### Asset Categories



Alternative Assets as a percentage of your portfolio - 10 %



TR U/A FOR THE EDWARD E FORD FDN ACCT P63042009  
For the Period 7/1/13 to 9/30/13

## Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
<b>Hedge Funds</b>				
AVENUE INTERNATIONAL, LTD. - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 053592-92-9	13,419.13 8/30/13	53.052	711,911.88	500,000.00
BLACKSTONE PARTNERS OFFSHORE FUND LTD CLASS H2 - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 092911-96-5	1,283.56 8/30/13	937.830	1,203,761.07	1,000,000.00
EATON VANCE GLOBAL MACRO-1 277923-72-8 EIGM X	9.42	139,617.537	1,315,197.20	1,445,329.93
GOLDENTREE OFFSHORE LTD. (NON-SELF DEALING FIDUCIARY INVESTORS - NEW ISSUE INELIGIBLE) SP 1-4 SIDE POCKET N/O Client D98991-92-6	5,570.25 8/30/13	0.015	83.55	13.61
GOLDENTREE OFFSHORE LTD (NON-SELF DEALING FIDUCIARY INVESTORS - NEW ISSUE INELIGIBLE) SP 8-1 SIDE POCKET N/O Client G82981-99-7	1,258.72 8/30/13	122.778	154,543.31	75,255.46

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TR U/A FOR THE EDWARD E FORD FDN ACCT. P63042009  
For the Period 7/1/13 to 9/30/13

	Price	Quantity	Estimated Value	Cost
<b>Hedge Funds</b>				
<b>GOLDENTREE OFFSHORE LTD.</b> (NON-SELF DEALING FIDUCIARY INVESTORS - NEW ISSUE INELIGIBLE) SP 1-5 SIDE POCKET N/O Client G82982-92-0	1,256.30 8/30/13	13.689	17,197.47	10,660.96
<b>GOLDENTREE OFFSHORE LTD.</b> (NON-SELF DEALING FIDUCIARY INVESTORS - NEW ISSUE INELIGIBLE) SP 4-1 SIDE POCKET N/O Client G82982-94-6	425.25 8/30/13	27.623	11,746.82	21,419.17
<b>GOLDENTREE OFFSHORE LTD.</b> (NON-SELF DEALING FIDUCIARY INVESTORS - NEW ISSUE INELIGIBLE) SP 6-1 SIDE POCKET N/O Client G82982-95-3	740.77 8/30/13	26.956	19,968.18	18,240.86
<b>GOLDENTREE OFFSHORE LTD. (NON-SELF DEALING FIDUCIARY INVESTORS - NEW ISSUE INELIGIBLE) SP 7-1 SIDE POCKET</b> N/O Client G82982-99-5	989.53 8/30/13	8.463	8,374.35	5,739.81
<b>GOLDENTREE OFFSHORE LTD</b> (NON-SELF-DEALING FIDUCIARY INVESTORS-NEW ISSUES INELIGIBLE) CLASS SP 1-1 SIDE POCKET N/O Client 382891-96-8	1,186.71 8/30/13	62.291	73,921.20	93,965.71

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TR U/A FOR THE EDWARD E FORD FDN ACCT P63042009  
For the Period 7/1/13 to 9/30/13

	Price	Quantity	Estimated Value	Cost
<b>Hedge Funds</b>				
<b>GOLDENTREE OFFSHORE LTD.</b> (NON-SELF DEALING FIDUCIARY INVESTORS) CLASS G-R SERIES 50 N/O Client 387993-99-1	2,889.96 8/30/13	461.678	1,334,229.24	764,235.06
<b>GOLDENTREE OFFSHORE LTD.</b> (NON-SELF DEALING FIDUCIARY INVESTORS - NEW ISSUE INELIGIBLE) SP 1-3 SIDE POCKET N/O Client 387997-91-9	1,995.41 8/30/13	2,978	5,048.93	2,757.23
<b>GOLDENTREE OFFSHORE LTD.</b> (NON-SELF DEALING FIDUCIARY INVESTORS - NEW ISSUE INELIGIBLE) SP 2-1 SIDE POCKET N/O Client 387997-92-7	2,770.02 8/30/13	1,429	3,958.36	1,302.24
<b>GOLDENTREE OFFSHORE LTD.</b> (NON-SELF DEALING FIDUCIARY INVESTORS - NEW ISSUE INELIGIBLE) SP 3-1 SIDE POCKET N/O Client 387997-96-8	989.70 8/30/13	8.121	8,037.39	6,410.53
<b>GRACIE INTERNATIONAL CREDIT OPPORTUNITIES FUND, LTD - 5% HOLDBACK</b> N/O Client 384495-91-7	1.00 8/30/13	32,138.320	32,138.32	32,138.32
<b>Total Hedge Funds</b>			<b>\$4,900,117.27</b>	<b>\$3,977,468.89</b>

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TR U/A FOR THE EDWARD E FORD FDN ACCT P63042009  
For the Period 7/1/13 to 9/30/13

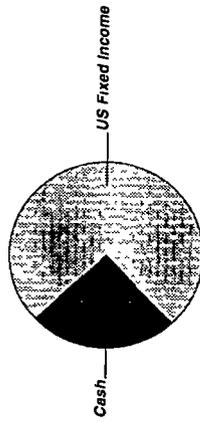
	Price	Quantity	Estimated Value	Cost
<b>Hard Assets</b>				
PIMCO COMMODITYPL STRAT-P 72201P-16-7 PCLP X	10.69	80,037.140	855,597.03	862,000.00



TR U/A FOR THE EDWARD E FORD FDN ACCT. P63042009  
For the Period 7/1/13 to 9/30/13

## Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	2,003,927.94	2,213,600.65	209,672.71	4%
US Fixed Income	8,066,081.26	6,956,927.55	(1,109,153.71)	12%
Foreign Exchange & Non-USD Fixed Income	994,410.44	0.00	(994,410.44)	
<b>Total Value</b>	<b>\$11,064,419.64</b>	<b>\$9,170,528.20</b>	<b>(\$1,893,891.44)</b>	<b>16%</b>



Market Value/Cost	Current Period Value
Market Value	9,170,528.20
Tax Cost	9,287,240.34
Unrealized Gain/Loss	(116,712.14)
Estimated Annual Income	269,736.55
Accrued Interest	5,374.71
Yield	2.94%

Cash & Fixed Income as a percentage of your portfolio - 16%

### SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months <sup>1</sup>	9,170,528.20	100%

<sup>1</sup> The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

### SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	2,213,600.65	24%
Mutual Funds	6,956,927.55	76%
<b>Total Value</b>	<b>\$9,170,528.20</b>	<b>100%</b>

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TR U/A FOR THE EDWARD E FORD FDN ACCT. P63042009  
For the Period 7/1/13 to 9/30/13

Note <sup>1</sup> This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

### Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
<b>Cash</b>							
US DOLLAR PRINCIPAL	1.00	2,213,600.65	2,213,600.65	2,213,600.65		664.08 51.05	0.03% <sup>1</sup>
<b>US Fixed Income</b>							
DOUBLELINE TOTAL RET BD-I 258620-10-3	10.96	107,238.61	1,175,335.12	1,200,000.00	(24,664.88)	61,018.76	5.19%
JPM SHORT DURATION HIGH YLD FD -SEL FUND 3463 46637K-45-5	9.92	60,180.54	596,990.98	600,000.00	(3,009.02)	21,664.99 1,504.51	3.63%
JPM STR INC OPP FD FUND 3844 4812A4-35-1	11.84	166,251.04	1,968,412.30	2,000,000.00	(31,587.70)	58,021.61 3,491.27	2.95%
JPM TR I INFL MANAGED BOND FD - SEL FUND 2037 48121A-56-3	10.45	36,430.92	380,703.07	385,439.09	(4,736.02)	4,626.72 327.88	1.22%
TRP INST FLOATING RATE-F 77958B-10-5	10.22	277,444.82	2,835,486.08	2,888,200.60	(52,714.52)	123,740.39	4.36%
<b>Total US Fixed Income</b>			<b>\$6,956,927.55</b>	<b>\$7,073,639.69</b>	<b>(\$116,712.14)</b>	<b>\$269,072.47</b> <b>\$5,323.66</b>	<b>3.87%</b>

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TR U/A FOR EDWARD E FORD FDN-FI ACCT P63042405  
For the Period 7/1/13 to 9/30/13

## Account Summary

### PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Cash & Fixed Income	6,417,539.54	6,435,422.16	17,882.62	132,405.15	100%
<b>Market Value</b>	<b>\$6,417,539.54</b>	<b>\$6,435,422.16</b>	<b>\$17,882.62</b>	<b>\$132,405.15</b>	<b>100%</b>

### INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	155.00	1,162.50	1,007.50
Accruals	27,335.36	23,384.99	(3,950.37)
<b>Market Value</b>	<b>\$27,490.36</b>	<b>\$24,547.49</b>	<b>(\$2,942.87)</b>

### PRINCIPAL

Portfolio Activity	Current Period Value	Year-to-Date Value
<b>Beginning Market Value</b>	<b>6,417,539.54</b>	<b>10,700,333.02</b>
Additions		
Withdrawals & Fees		(4,100,000.00)
Securities Transferred In		23,819.60
Securities Transferred Out		(23,819.60)
<b>Net Additions/Withdrawals</b>	<b>\$0.00</b>	<b>(\$4,100,000.00)</b>
Income		2,090.20
Change In Investment Value		(167,001.06)
<b>Ending Market Value</b>	<b>\$6,435,422.16</b>	<b>\$6,435,422.16</b>
Accruals	--	--
<b>Market Value with Accruals</b>	<b>--</b>	<b>--</b>

### INCOME

	Current Period Value	Year-to-Date Value
	<b>155.00</b>	<b>12.80</b>
	(25,251.49)	(205,699.83)
	(9,251.40)	(52,395.95)
	--	--
	--	--
	<b>(\$34,502.89)</b>	<b>(\$258,095.78)</b>
	35,510.39	259,260.67
		(15.19)
	<b>\$1,162.50</b>	<b>\$1,162.50</b>
	23,384.99	23,384.99
	<b>\$24,547.49</b>	<b>\$24,547.49</b>

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TR U/A FOR EDWARD E FORD FDN-FI ACCT P63042405  
For the Period 7/1/13 to 9/30/13

**Account Summary** CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Interest Income	36,137.43	268,581.16
Ordinary Income		791.42
Original Issue Discount		4,089.62
Acquisition Premium		(3,375.65)
Accrued Interest Current Year	(153.59)	(8,554.12)
Accrued Interest Subsequent Year	(473.45)	(781.56)
<b>Taxable Income</b>	<b>\$35,510.39</b>	<b>\$260,750.87</b>
Cash Receipts		600.00
<b>Other Income &amp; Receipts</b>		<b>\$600.00</b>
<b>Cost Summary</b>		<b>Cost</b>
Cash & Fixed Income		6,395,139.73
<b>Total</b>		<b>\$6,395,139.73</b>

	Current Period Value	Year-to-Date Value
ST Realized Gain/Loss	(6,807.29)	(9,166.84)
LT Realized Gain/Loss	4,659.22	215,535.79
<b>Realized Gain/Loss</b>	<b>(\$2,148.07)</b>	<b>\$206,368.95</b>
<b>Unrealized Gain/Loss</b>		<b>To-Date Value</b> <b>\$40,282.43</b>

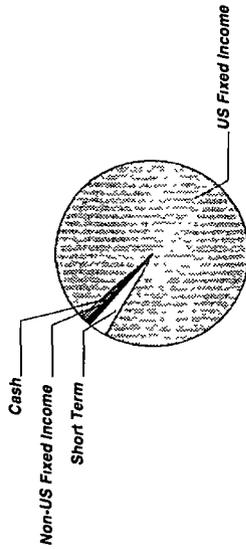
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TR U/A FOR EDWARD E FORD FDN-FI ACCT P63042405  
For the Period 7/1/13 to 9/30/13

## Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	181,835 39	88,784 60	(93,050 79)	1%
Short Term	159,249 50	210,798 65	51,549 15	3%
US Fixed Income	6,056,863 45	6,115,860 91	58,997 46	95%
Non-US Fixed Income	19,591 20	19,978 00	386 80	1%
<b>Total Value</b>	<b>\$6,417,539.54</b>	<b>\$6,435,422.16</b>	<b>\$17,882.62</b>	<b>100%</b>



Market Value/Cost	Current Period Value
Market Value	6,435,422 16
Tax Cost	6,395,139 73
Unrealized Gain/Loss	40,282 43
Estimated Annual Income	132,405 15
Accrued Interest	23,384 99
Yield	1 04 %

Cash & Fixed Income as a percentage of your portfolio - 100%



TR U/A FOR EDWARD E FORD FDN-FI ACCT P63042405  
For the Period 7/1/13 to 9/30/13

**SUMMARY BY MATURITY**

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months <sup>1</sup>	153,104.79	2%
6-12 months <sup>1</sup>	107,755.65	1%
1-5 years <sup>1</sup>	5,063,495.75	81%
5-10 years <sup>1</sup>	354,524.37	5%
10+ years <sup>1</sup>	756,541.60	11%
<b>Total Value</b>	<b>\$6,435,422.16</b>	<b>100%</b>

<sup>1</sup> The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Note: P indicates position adjusted for Pending Trade Activity.

<sup>1</sup> This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

**SUMMARY BY TYPE**

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	88,784.60	1%
Corporate Bonds	1,511,723.24	23%
Govt and Agency Bonds	3,413,744.45	55%
International Bonds	266,467.80	4%
Mortgage and Asset Backed Bonds	1,154,702.07	17%
<b>Total Value</b>	<b>\$6,435,422.16</b>	<b>100%</b>

**Cash & Fixed Income Detail**

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
<b>Cash</b>							
US DOLLAR PRINCIPAL	1.00	82,194.95	82,194.95	82,194.95		24.65	0.03% <sup>1</sup>
<b>COST OF PENDING PURCHASES</b>						6.08	
PROCEEDS FROM PENDING SALES	1.00	(98,324.22)	(98,324.22)	(98,324.22)			
PROCEEDS FROM PENDING SALES	1.00	104,913.87	104,913.87	104,913.87			
<b>Total Cash</b>			<b>\$88,784.60</b>	<b>\$88,784.60</b>	<b>\$0.00</b>	<b>\$24.65</b>	<b>0.03%</b>
						<b>\$6.08</b>	

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TR U/A FOR EDWARD E FORD FDN-FI ACCT P63042405  
For the Period 7/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
<b>Short Term</b>							
INTER AMERICAN DEVEL BK 3% APR 22 2014 DTD 04/22/2009 4581X0-BD-9 NR /AAA	101.38	60,000 00	60,829 20	59,923 80	905 40	1,800 00 795 00	0 53%
CAPITAL ONE FINANCIAL CO SR NOTES 7 3/8% MAY 23 2014 DTD 05/22/2009 14040H-AS-4 BBB /BAA	104 28	45,000 00	46,926 45	47,767 95	(841 50)	3,318.75 1,179 99	0 70%
GREATER ORLANDO AVIATION AUTHORITY FLORIDA REV SPL PURP FACS 4.16% OCT 01 2014 DTD 10/07/2009 FED TAXABLE HELD BY DTC BOOK ENTRY ONLY 392273-AJ-7 NR /A2	103 04	100,000 00	103,043 00	100,000 00	3,043 00	4,160 00 2,080 00	1 10%
<b>Total Short Term</b>			<b>\$210,798.65</b>	<b>\$207,691.75</b>	<b>\$3,106.90</b>	<b>\$9,278.75</b> <b>\$4,054.99</b>	<b>0.85%</b>
<b>US Fixed Income</b>							
BANK OF AMERICA AUTO TRUST SER 2012-1 CL A3 0 78% SEP 15 2013 DTD 04/18/2012 06052Y-AC-3 AAA /AAA	100 06	64,279 69	64,320 19	64,410 26	(90.07)	501 38 41 78	0 70%
PRUDENTIAL FINANCIAL INC SR NOTES 6 2% JAN 15 2015 DTD 06/08/2009 74432Q-BF-1 A /BAA	106 95	30,000 00	32,085 30	29,981 10	2,104 20	1,860 00 392 64	0 77%

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