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Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private FoundationDepartment of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning

10/01, 2012, and ending

09/30, 2013

Name of foundation BRIDGE OF ALLEN FOUNDATION		A Employer identification number 13-4148389
Number and street (or P O box number if mail is not delivered to street address) C/O PIONEER SQUARE ADVISORS, LLC 1221 SECOND AVENUE		B Telephone number (see instructions) (206) 838-4880
Room/suite 320		
City or town, state, and ZIP code SEATTLE, WA 98101		C If exemption application is pending, check here ☐
G Check all that apply	Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change ☐	D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,828,188.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)		805,595.			
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		94,267.	94,267.		ATCH 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		3,103,051.			
b Gross sales price for all assets on line 6a 4,905,320.					
7 Capital gain net income (from Part IV, line 2)			3,103,051.		
8 Net short-term capital gain					
9 Income modifications					
10 a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		4,002,913.	3,197,318.		
13 Compensation of officers, directors, trustees, etc.		0			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)					
c Other professional fees (attach schedule)					
17 Interest					
18 Taxes (attach schedule) (see instructions) ATCH 2		827.	827.		
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH 3		250.			
24 Total operating and administrative expenses. Add lines 13 through 23		1,077.	827.		
25 Contributions, gifts, grants paid		100,000.			100,000.
26 Total expenses and disbursements. Add lines 24 and 25		101,077.	827.		100,000.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		3,901,836.			
b Net investment income (if negative, enter -0-)			3,196,491.		
c Adjusted net income (if negative, enter -0-)					

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SCANNED NOV 27 2013

Revenue

Operating and Administrative Expenses

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		114,576.	136,472.	136,472.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 4		1,454,619.	1,856,845.	2,156,193.
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 5			3,515,000.	3,535,523.	
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,569,195.	5,508,317.	5,828,188.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		1,569,195.	5,508,317.	
	30	Total net assets or fund balances (see instructions)		1,569,195.	5,508,317.	
31	Total liabilities and net assets/fund balances (see instructions)		1,569,195.	5,508,317.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,569,195.
2	Enter amount from Part I, line 27a	2	3,901,836.
3	Other increases not included in line 2 (itemize) ▶ ATCH 6	3	37,286.
4	Add lines 1, 2, and 3	4	5,508,317.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,508,317.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	3,103,051.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011		2,547,001.	
2010		1,273,659.	
2009		999,520.	
2008	186,703.	575,866.	0.324213
2007	135,000.	1,000,117.	0.134984
2 Total of line 1, column (d)			2 0.459197
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.091839
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 4,884,829.
5 Multiply line 4 by line 3			5 448,618.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 31,965.
7 Add lines 5 and 6			7 480,583.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 100,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	63,930.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	63,930.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	63,930.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	3,125.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,125.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	60,805.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of PIONEER SQUARE ADVISORS, LLC Telephone no 206-838-4880			
Located at 1221 SECOND AVENUE, SUITE 320 SEATTLE, WA ZIP+4 98101				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

6b

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 7		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,836,440.
b	Average of monthly cash balances	1b	122,777.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	4,959,217.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4,959,217.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	74,388.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,884,829.
6	Minimum investment return. Enter 5% of line 5	6	244,241.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	244,241.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	63,930.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	63,930.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	180,311.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	180,311.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	180,311.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	100,000.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	100,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	100,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				180,311.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only				
b Total for prior years 20 <u>10</u> , 20 <u>09</u> , 20 <u>08</u>				
3 Excess distributions carryover, if any, to 2012				
a From 2007 26,703.				
b From 2008 158,494.				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	185,197.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>100,000.</u>				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				100,000.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 . (If an amount appears in column (d), the same amount must be shown in column (a))	80,311.			80,311.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	104,886.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	104,886.			
10 Analysis of line 9				
a Excess from 2008 104,886.				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Form **990-PF** (2012)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

- b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b** 85% of line 2a

- C** Qualifying distributions from Part XII, line 4 for each year listed .

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon

- a** "Assets" alternative test - enter

- (1) Value of all assets . . .**

- (2) Value of assets qualifying under section

- b** "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .

- C** "Support" alternative test - enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income .

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

- ## 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ANNA MANN

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include

NONE

- c Any submission deadlines**

NONE

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT B				100,000.
Total			▶ 3a	100,000.
b Approved for future payment				
Total			▶ 3b	NONE

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.

▼

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Anna Gustafson Date 11/17/13

Date 11/17/13 Title _____

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name KENNETH RAGSDALE	Preparer's signature 	Date 11/6/13	Check ☐ if self-employed	PTIN P00295413
Firm's name ▶ PIONEER SQUARE ADVISORS, LLC			Firm's EIN ▶ 20-8379993	
Firm's address ▶ 1221 SECOND AVENUE, SUITE 320 SEATTLE, WA 98101			Phone no 206-838-4880	

Form **990-PF** (2012)

Schedule of Contributors

OMB No 1545-0047

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

2012

Name of the organization

BRIDGE OF ALLEN FOUNDATION

Employer identification number

13-4148389

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **BRIDGE OF ALLEN FOUNDATION**Employer identification number
13-4148389**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ANNA M. MANN 1211 AVENUE OF AMERICAS, 2ND FLOOR NEW YORK, NY 10036	\$ 805,345.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution .)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution .)

Employer identification number

13-4148389

Part II

[illegible]

Name of organization BRIDGE OF ALLEN FOUNDATION

Employer identification number

13-4148389

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
4,905,320.		LONG TERM (SEE ATTACHMENT D-1) PROPERTY TYPE: SECURITIES 1,802,269.				D	VARIOUS 3,103,051.	VARIOUS
TOTAL GAIN (LOSS)							<u>3,103,051.</u>	

Bridge of Allen Foundation
Contributions Received
FYE: 9/30/2013

EIN 13-4148389

Ticker	Cusip	Shares	Purchase Date	Cost Basis	Cost	Contribution Date	High	Low	Avg. High/Low	Total High/Low
AAPL	037833100	141 0	2/11/2010	\$199 28	\$28,098 20	12/20/2012	530 2	\$518 88	524 54	73,960 14
AAPL	037833100	7 0	5/20/2010	\$239 74	\$1,678 18	12/20/2012	530 2	\$518 88	524 54	3,671 78
AAPL	037833100	58 0	7/1/2010	\$249 25	\$14,456 50	12/20/2012	530 2	\$518 88	524 54	30,423 32
ABC	03073E105	480 0	4/17/2009	\$17 44	\$8,371 58	12/20/2012	43 81	\$43 47	43 64	20,947 20
ABT	002824100	600 0	7/17/2002	\$32 37	\$19,420 98	12/20/2012	65 58	\$65 10	65 34	39,204 00
ACN	G1151C101	100 0	5/7/2009	\$29 98	\$2,998 47	12/20/2012	69 19	\$66 00	67 60	6,759 50
ACN	G1151C101	100 0	11/10/2008	\$30 14	\$3,013 50	12/20/2012	69 19	\$66 00	67 60	6,759 50
ADM	039483102	400 0	8/27/2003	\$13 51	\$5,404 00	12/20/2012	28 04	\$27 85	27 95	11,178 00
AGN	018490102	100 0	5/24/2005	\$39 11	\$3,911 25	12/20/2012	93 37	\$92 25	92 81	9,281 00
AMG	008252108	100 0	7/6/2009	\$57 14	\$5,713 50	12/20/2012	132 33	\$130 82	131 58	13,157 50
AMGN	031162100	284 0	7/2/2001	\$32 17	\$9,136 28	12/20/2012	88 82	\$88 00	88 41	25,108 44
AMGN	031162100	240 0	4/17/2009	\$47 06	\$11,293 70	12/20/2012	88 82	\$88 00	88 41	21,218 40
AMP	03076C106	200 0	1/30/2002	\$21 52	\$4,303 38	12/20/2012	63 52	\$62 83	63 18	12,635 00
AOL	00184X105	39 0	7/25/2002	\$14 69	\$572 80	12/20/2012	30 79	\$30 17	30 48	1,188 72
APD	009158106	200 0	2/23/2001	\$37 38	\$7,476 00	12/20/2012	85 05	\$83 84	84 45	16,889 00
APH	032095101	240 0	4/17/2009	\$30 44	\$7,304 98	12/20/2012	65 19	\$64 28	64 74	15,536 40
BAX	071813109	400 0	6/27/2003	\$25 38	\$10,152 84	12/20/2012	67 8	\$66 53	67 17	26,866 00
BDX	075887109	100 0	12/16/2003	\$40 40	\$4,040 00	12/20/2012	79 17	\$78 57	78 87	7,887 00
BMC	055921100	200 0	7/6/2005	\$18 37	\$3,673 04	12/20/2012	42 04	\$41 79	41 92	8,383 00
BWC	05615F102	150 0	3/2/2009	\$10 08	\$1,511 46	12/20/2012	25 99	\$25 59	25 79	3,868 50
BXP	101121101	200 0	7/6/2009	\$45 96	\$9,191 00	12/20/2012	106 7	\$105 02	105 86	21,172 00
CA	12673P105	500 0	8/28/2002	\$11 06	\$5,529 78	12/20/2012	22 66	\$22 32	22 49	11,245 00
CB	171232101	200 0	3/2/2009	\$39 11	\$7,821 00	12/20/2012	76 21	\$75 51	75 86	15,172 00
CBST	229678107	233 0	2/11/2010	\$20 61	\$4,801 66	12/20/2012	43 48	\$42 19	42 84	9,980 56
CCE	19122T109	240 0	4/17/2009	\$14 67	\$3,520 61	12/20/2012	31 99	\$31 60	31 80	7,630 80
CCL	143658300	200 0	3/2/2009	\$18 75	\$3,749 60	12/20/2012	37 7	\$36 57	37 14	7,427 00
CERN	156782104	88 0	7/1/2010	\$37 75	\$3,321 56	12/20/2012	80 37	\$79 04	79 71	7,014 04
CHS	168615102	100 0	5/7/2009	\$8 31	\$830 50	12/20/2012	18 99	\$18 68	18 84	1,883 50
CLX	189054109	100 0	2/23/2001	\$35 71	\$3,571 00	12/20/2012	75 96	\$75 04	75 50	7,550 00
CMCSA	20030N101	1,238 0	7/25/2002	\$11 12	\$13,766 93	12/20/2012	38 22	\$37 85	38 04	47,087 31
CMCSA	20030N101	0 3	7/17/2002	\$13 50	\$4 15	12/20/2012	38 22	\$37 85	38 04	11 70
CMCSA	20030N101	0 2	10/3/2002	\$14 72	\$2 84	12/20/2012	38 22	\$37 85	38 04	7 34
CMCSA	20030N101	0 5	1/27/2003	\$17 16	\$8 58	12/20/2012	38 22	\$37 85	38 04	19 02
CMCSK	20030N200	200 0	7/25/2002	\$11 86	\$2,371 04	12/20/2012	36 86	\$36 49	36 68	7,335 00
COST	22160K105	48 0	8/10/2009	\$49 14	\$2,358 72	12/20/2012	99 43	\$98 14	98 79	4,741 68
CPWR	205638109	300 0	7/21/2004	\$5 00	\$1,500 00	12/20/2012	10 93	\$10 73	10 83	3,249 00
CRM	79466L302	31 0	7/1/2010	\$86 35	\$2,676 70	12/20/2012	170 24	\$168 52	169 38	5,250 78
CSX	126408103	720 0	4/17/2009	\$10 39	\$7,482 53	12/20/2012	20 19	\$20 00	20 10	14,468 40
CTL	156700106	182 0	10/24/2003	\$18 03	\$3,282 24	12/20/2012	39 89	\$39 50	39 70	7,224 49
CVD	222816100	100 0	12/16/2003	\$26 32	\$2,632 00	12/20/2012	59 32	\$58 33	58 83	5,882 50
CYBX	23251P102	137 0	10/19/2011	\$27 19	\$3,724 58	12/20/2012	52 72	\$51 73	52 23	7,154 83
CYMI	232572107	100 0	4/5/2001	\$21 44	\$2,144 00	12/20/2012	90 25	\$89 27	89 76	8,976 00
DFS	254709108	78 0	3/2/2011	\$21 26	\$1,658 48	12/20/2012	38 98	\$37 57	38 28	2,985 45
DHI	23331A109	400 0	3/2/2009	\$8 26	\$3,305 08	12/20/2012	20 3	\$19 75	20 03	8,010 00
DHI	23331A109	22 0	5/7/2009	\$9 79	\$215 27	12/20/2012	20 3	\$19 75	20 03	440 55
DLX	248019101	100 0	12/15/2008	\$11 17	\$1,116 50	12/20/2012	32 58	\$31 86	32 22	3,222 00
DOW	260543103	360 0	4/17/2009	\$12 35	\$4,447 15	12/20/2012	32 5	\$31 99	32 25	11,608 20
DPS	26138E109	120 0	4/17/2009	\$19 91	\$2,388 60	12/20/2012	44 91	\$44 52	44 72	5,365 80
DUK	26441C204	266 0	4/23/2003	\$29 18	\$7,762 89	12/20/2012	65 24	\$64 35	64 80	17,235 47
EBAY	278642103	200 0	5/7/2009	\$16 70	\$3,340 00	12/20/2012	52 18	\$50 91	51 55	10,309 00
EBAY	278642103	254 0	8/10/2010	\$21 91	\$5,564 89	12/20/2012	52 18	\$50 91	51 55	13,092 43
EGOV	62914B100	454 0	7/1/2010	\$6 42	\$2,914 48	12/20/2012	16 76	\$16 16	16 46	7,472 84
EMR	291011104	500 0	2/14/2000	\$23 39	\$11,693 75	12/20/2012	53 26	\$52 72	52 99	26,495 00
EOG	26875P101	240 0	4/17/2009	\$60 03	\$14,406 60	12/20/2012	124 5	\$122 40	123 45	29,628 00
EQIX	29444U502	105 0	3/2/2011	\$84 38	\$8,859 50	12/20/2012	205 6	\$200 21	202 91	21,305 03
EQY	294752100	510 0	2/23/2001	\$8 85	\$4,512 58	12/20/2012	21 33	\$20 88	21 11	10,763 55
EXP	26969P108	200 0	10/24/2003	\$16 89	\$3,377 34	12/20/2012	58 79	\$56 80	57 80	11,559 00
EXP	26969P108	423 0	10/19/2011	\$18 10	\$7,654 99	12/20/2012	58 79	\$56 80	57 80	24,447 29

ATTACHMENT A

Bridge of Allen Foundation
Contributions Received
FYE: 9/30/2013

EIN 13-4148389

Ticker	Cusip	Shares	Purchase Date	Cost Basis	Cost	Contribution Date	High	Low	Avg. High/Low	Total High/Low
EXPE	30212P303	120 0	4/17/2009	\$10 87	\$1,304 48	12/20/2012	61 2	\$59 96	60 58	7,269 60
EXPE	30212P303	32 0	3/2/2011	\$19 04	\$609 19	12/20/2012	61 2	\$59 96	60 58	1,938 56
F	345370860	300 0	7/6/2009	\$5 77	\$1,729 50	12/20/2012	11 8	\$11 58	11 69	3,507 00
FAF	31847R102	69 0	4/17/2009	\$11 34	\$782 27	12/20/2012	24 7	\$23 81	24 26	1,673 60
FBHS	34964C106	509 0	10/19/2011	\$14 94	\$7,602 22	12/20/2012	30 35	\$29 49	29 92	15,229 28
FDX	31428X106	200 0	2/22/2001	\$42 00	\$8,400 00	12/20/2012	93 63	\$91 54	92 59	18,517 00
FISV	337738108	120 0	4/17/2009	\$36 65	\$4,397 40	12/20/2012	80 37	\$79 34	79 86	9,582 60
FITB	316773100	500 0	6/1/2009	\$6 92	\$3,460 00	12/20/2012	15 17	\$14 93	15 05	7,525 00
FNF	31620R105	321 0	2/23/2001	\$8 82	\$2,829 94	12/20/2012	23 89	\$23 07	23 48	7,537 08
GILD	375558103	340 0	8/4/2011	\$38 23	\$12,998 88	12/20/2012	75 05	\$73 49	74 27	25,251 80
GOOG	38259P508	100 0	7/6/2005	\$294 02	\$29,401 64	12/20/2012	724 65	\$716 97	720 81	72,081 00
GPS	364760108	360 0	7/25/2002	\$11 81	\$4,251 60	12/20/2012	32 19	\$31 25	31 72	11,419 20
GT	382550101	400 0	8/27/2003	\$6 53	\$2,612 00	12/20/2012	13 33	\$13 03	13 18	5,272 00
HAR	413086109	200 0	7/6/2009	\$17 94	\$3,588 00	12/20/2012	44 09	\$43 33	43 71	8,742 00
HCP	40414L109	100 0	12/15/2008	\$22 31	\$2,230 90	12/20/2012	45 04	\$44 55	44 80	4,479 50
HD	437076102	600 0	3/11/2003	\$22 01	\$13,206 00	12/20/2012	61 98	\$61 23	61 61	36,963 00
HD	437076102	284 0	7/1/2010	\$28 02	\$7,957 11	12/20/2012	61 98	\$61 23	61 61	17,495 82
HII	446413106	24 0	1/3/2002	\$19 23	\$461 55	12/20/2012	43 29	\$41 86	42 58	1,021 80
HSNI	404303109	97 0	12/29/2009	\$19 79	\$1,920 02	12/20/2012	55 24	\$54 38	54 81	5,316 57
HTZ	42805T105	120 0	4/17/2009	\$7 51	\$900 60	12/20/2012	16 73	\$16 53	16 63	1,995 60
IBM	459200101	200 0	3/2/2009	\$90 15	\$18,029 00	12/20/2012	194 95	\$193 16	194 06	38,811 00
INTU	461202103	162 0	2/11/2010	\$29 58	\$4,791 80	12/20/2012	62 13	\$61 44	61 79	10,009 17
IP	460146103	200 0	5/7/2009	\$13 13	\$2,625 00	12/20/2012	39 76	\$38 80	39 28	7,856 00
IR	G47791101	200 0	11/10/2008	\$16 76	\$3,352 00	12/20/2012	48 9	\$48 41	48 66	9,731 00
ITT	450911201	100 0	1/3/2002	\$9 45	\$945 43	12/20/2012	23 2	\$22 94	23 07	2,307 00
ITW	452308109	200 0	3/10/2003	\$28 23	\$5,645 00	12/20/2012	61 89	\$61 28	61 59	12,317 00
IVZ	G491BT108	200 0	11/10/2008	\$12 88	\$2,575 78	12/20/2012	26 36	\$25 92	26 14	5,228 00
JDSU	46612J507	99 0	7/6/2009	\$5 06	\$501 43	12/20/2012	13 36	\$13 07	13 22	1,308 29
JNJ	478160104	700 0	6/3/1997	\$29 98	\$20,986 28	12/20/2012	70 9	\$70 49	70 70	49,486 50
JPM	46625H100	1,600 0	11/12/2002	\$20 54	\$32,857 60	12/20/2012	44 54	\$43 41	43 98	70,360 00
LBTYA	530555101	198 0	2/11/2010	\$24 26	\$4,803 28	12/20/2012	63 94	\$62 63	63 29	12,530 43
LMT	539830109	200 0	2/28/2001	\$36 95	\$7,390 00	12/20/2012	92 97	\$91 90	92 44	18,487 00
LOW	548661107	600 0	2/28/2001	\$13 65	\$8,187 00	12/20/2012	35 39	\$34 89	35 14	21,084 00
MA	57636Q104	18 0	7/1/2010	\$203 45	\$3,662 10	12/20/2012	498 62	\$489 47	494 05	8,892 81
MA	57636Q104	4 0	8/10/2009	\$203 78	\$815 12	12/20/2012	498 62	\$489 47	494 05	1,976 18
MA	57636Q104	15 0	3/2/2011	\$246 97	\$3,704 60	12/20/2012	498 62	\$489 47	494 05	7,410 68
MAR	571903202	200 0	4/23/2003	\$16 71	\$3,342 28	12/20/2012	37 86	\$37 12	37 49	7,498 00
MAT	577081102	200 0	2/23/2001	\$16 83	\$3,366 00	12/20/2012	37 59	\$37 09	37 34	7,468 00
MDF	592142103	1,789 0	5/17/2011	\$4 79	\$8,562 51	12/20/2012	11 25	\$11 24	11 25	20,117 31
MHP	580645109	100 0	8/16/1999	\$25 36	\$2,535 62	12/20/2012	54 93	\$54 49	54 71	5,471 00
MON	61166W101	200 0	7/25/2006	\$43 16	\$8,631 50	12/20/2012	92 57	\$90 95	91 76	18,352 00
MON	61166W101	171 0	7/1/2010	\$46 41	\$7,935 77	12/20/2012	92 57	\$90 95	91 76	15,690 96
MPC	56585A102	60 0	4/17/2009	\$24 10	\$1,446 06	12/20/2012	62 64	\$61 95	62 30	3,737 70
MPC	56585A102	100 0	12/28/2005	\$24 71	\$2,470 63	12/20/2012	62 64	\$61 95	62 30	6,229 50
MWIV	55402X105	25 0	7/1/2010	\$49 20	\$1,229 98	12/20/2012	114 36	\$112 79	113 58	2,839 38
MYL	628530107	200 0	11/10/2008	\$9 50	\$1,900 70	12/20/2012	27 9	\$27 68	27 79	5,558 00
NBL	655044105	100 0	12/15/2008	\$48 87	\$4,886 50	12/20/2012	102 7	\$101 14	101 92	10,192 00
NOC	666807102	148 0	1/3/2002	\$31 33	\$4,636 20	12/20/2012	69 11	\$68 44	68 78	10,178 70
NOV	637071101	258 0	7/1/2010	\$33 20	\$8,565 08	12/20/2012	69 62	\$68 58	69 10	17,827 80
NWSA	65248E104	500 0	7/6/2009	\$8 53	\$4,263 45	12/20/2012	25 49	\$25 24	25 37	12,682 50
NWSA	65248E104	660 0	7/25/2002	\$8 71	\$5,745 89	12/20/2012	25 49	\$25 24	25 37	16,740 90
OMC	681919106	100 0	3/10/2003	\$24 95	\$2,494 50	12/20/2012	50 89	\$50 16	50 53	5,052 50
OPLK	68375Q403	100 0	12/15/2008	\$7 63	\$763 00	12/20/2012	15 09	\$14 94	15 02	1,501 50
PG	742718109	975 0	8/21/2000	\$30 54	\$29,775 04	12/20/2012	70 25	\$69 30	69 78	68,030 63
PG	742718109	200 0	6/2/2000	\$32 51	\$6,501 20	12/20/2012	70 25	\$69 30	69 78	13,955 00
PLD	74340W103	89 0	12/15/2008	\$16 68	\$1,484 33	12/20/2012	36 8	\$35 65	36 23	3,224 03
PM	718172109	35 0	7/1/2010	\$46 23	\$1,618 05	12/20/2012	85 57	\$83 79	84 68	2,963 80
PNC	693475105	24 0	1/23/2009	\$29 60	\$710 28	12/20/2012	59 21	\$58 55	58 88	1,413 12

ATTACHMENT A

Bridge of Allen Foundation
Contributions Received
FYE: 9/30/2013

EIN 13-4148389

Ticker	Cusip	Shares	Purchase Date	Cost Basis	Cost	Contribution Date	High	Low	Avg. High/Low	Total High/Low
PRU	744320102	120 0	4/17/2009	\$26 63	\$3,195 00	12/20/2012	54 5	\$53 26	53 88	6,465 60
PSB	69360J107	100 0	2/23/2001	\$26 52	\$2,652 00	12/20/2012	65 12	\$64 23	64 68	6,467 50
PXP	726505100	100 0	3/2/2009	\$17 40	\$1,739 50	12/20/2012	46 65	\$46 07	46 36	4,636 00
RF	7591EP100	700 0	3/2/2009	\$3 51	\$2,458 54	12/20/2012	7 19	\$7 04	7 12	4,980 50
ROST	778296103	100 0	5/7/2009	\$18 35	\$1,835 25	12/20/2012	54 22	\$52 93	53 58	5,357 50
RRC	75281A109	100 0	3/2/2009	\$33 04	\$3,303 67	12/20/2012	64 14	\$62 99	63 57	6,356 50
S	852061100	1,000 0	12/15/2008	\$1 83	\$1,834 80	12/20/2012	5 51	\$5 45	5 48	5,480 00
SBUX	855244109	212 0	2/11/2010	\$22 63	\$4,797 35	12/20/2012	54 61	\$53 70	54 16	11,480 86
SCI	817565104	400 0	10/24/2003	\$4 73	\$1,890 00	12/20/2012	14 57	\$14 43	14 50	5,800 00
SE	847560109	250 0	4/23/2003	\$13 74	\$3,435 18	12/20/2012	27 85	\$27 60	27 73	6,931 25
SNTS	802817304	500 0	10/16/2007	\$2 02	\$1,007 50	12/20/2012	11 76	10 84	11 30	5,650 00
SNTS	802817304	372 0	3/2/2011	\$3 15	\$1,172 36	12/20/2012	11 76	\$10 84	11 30	4,203 60
STI	867914103	100 0	1/23/2009	\$14 08	\$1,408 17	12/20/2012	28 97	\$28 54	28 76	2,875 50
STT	857477103	200 0	3/2/2009	\$22 35	\$4,470 86	12/20/2012	46 6	\$46 24	46 42	9,284 00
TEL	H84989104	240 0	4/17/2009	\$16 75	\$4,019 21	12/20/2012	38	\$37 15	37 58	9,018 00
TGT	87612E106	400 0	8/21/2000	\$26 46	\$10,585 00	12/20/2012	61 03	\$59 86	60 45	24,178 00
TPGI	884453101	1,000 0	3/2/2009	\$1 52	\$1,519 50	12/20/2012	5 6	\$5 36	5 48	5,480 00
TRIP	896945201	120 0	4/17/2009	\$12 18	\$1,461 50	12/20/2012	43 74	\$42 89	43 32	5,197 80
TRIP	896945201	32 0	3/2/2011	\$21 33	\$682 53	12/20/2012	43 74	\$42 89	43 32	1,386 08
TRV	89417E109	99 0	3/11/2003	\$30 17	\$2,986 82	12/20/2012	73 95	\$73 20	73 58	7,283 93
TWX	887317303	433 0	7/25/2002	\$18 13	\$7,850 25	12/20/2012	48 29	\$47 53	47 91	20,745 03
TXI	882491103	100 0	4/23/2003	\$14 60	\$1,460 15	12/20/2012	51 55	\$50 48	51 02	5,101 50
TXN	882508104	400 0	10/3/2002	\$15 35	\$6,141 00	12/20/2012	31 36	\$30 97	31 17	12,466 00
UAL	910047109	105 0	7/21/2004	\$9 15	\$961 02	12/20/2012	24 19	\$23 56	23 88	2,506 88
UMBF	902788108	140 0	2/23/2001	\$18 10	\$2,533 33	12/20/2012	44 69	\$44 10	44 40	6,215 30
UNP	907818108	177 0	2/11/2010	\$63 83	\$11,297 56	12/20/2012	126 15	\$125 07	125 61	22,232 97
USB	902973304	700 0	3/2/2009	\$13 36	\$9,350 11	12/20/2012	32 45	\$32 03	32 24	22,568 00
V	92826C839	100 0	12/15/2008	\$53 15	\$5,314 50	12/20/2012	152 51	\$149 32	150 92	15,091 50
V	92826C839	16 0	7/1/2010	\$72 70	\$1,163 20	12/20/2012	152 51	\$149 32	150 92	2,414 64
V	92826C839	15 0	3/2/2011	\$74 33	\$1,115 00	12/20/2012	152 51	\$149 32	150 92	2,263 73
VNO	929042109	100 0	7/25/2002	\$37 72	\$3,771 54	12/20/2012	25 46	\$25 33	25 40	2,539 50
VRSN	92343E102	100 0	7/21/2004	\$17 52	\$1,752 00	12/20/2012	38 76	\$37 47	38 12	3,811 50
VTR	92276F100	100 0	5/7/2009	\$27 36	\$2,735 50	12/20/2012	64 69	\$63 67	64 18	6,418 00
WMT	931142103	800 0	8/26/1999	\$27 11	\$21,685 04	12/20/2012	69 06	\$68 17	68 62	54,892 00
WYN	98310W108	47 0	12/29/2009	\$20 55	\$965 85	12/20/2012	53 61	\$52 21	52 91	2,486 77
XLNX	983919101	100 0	7/25/2002	\$17 97	\$1,797 00	12/20/2012	36 44	\$35 79	36 12	3,611 50
XLS	30162A108	200 0	1/3/2002	\$6 09	\$1,218 86	12/20/2012	11 77	\$11 54	11 66	2,331 00
ZMH	98956P102	70 0	7/2/2001	\$25 78	\$1,804 94	12/20/2012	68 86	\$67 91	68 39	4,786 95
ZMH	98956P102	50 0	3/17/1999	\$31 09	\$1,554 29	12/20/2012	68 86	\$67 91	68 39	3,419 25
TOTAL CONTRIBUTIONS					<u>\$805,344.93</u>					<u>1,891,096.93</u>

Bridge of Allen Foundation
 EIN: 13-4148389
 SCHEDULE OF GRANTS PAID
 FYE 9/30/13

EIN: 13-4148389

Date	Charity Name	Charity Address	City	State	Zip	Purpose	Is the Recipient a 501(c)(3)?	Gross Contrbs	Less: Meetings	Net Contrbs
<u>CASH</u>										
3/21/2013	St Vladimir's Orthodox Theological Seminary	575 Scarsdale Road	Yonkers	NY	10707	General Support	YES	\$ 25,000	\$ -	\$ 25,000
3/27/2013	St. Vladimir's Orthodox Theological Seminary	575 Scarsdale Road	Yonkers	NY	10707	General Support	YES	\$ 25,000	\$ -	\$ 25,000
4/23/2013	St. Luke Institute	8901 New Hampshire Avenue	Silver Spring	MD	20903	General Support	YES	\$ 50,000	\$ -	\$ 50,000
TOTAL GRANTS PAID								\$ 100,000	\$ -	\$ 100,000

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
PERSHING	94,267.	94,267.
TOTAL	<u>94,267.</u>	<u>94,267.</u>

ATTACHMENT 2

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES PAID	827.	827.
TOTALS	<u>827.</u>	<u>827.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER EXPENSESDESCRIPTION

NEW YORK STATE FILING FEE

REVENUE
AND
EXPENSES
PER BOOKS

250.

TOTALS

250.

ATTACHMENT 4FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PERSHING		
ISHARES TR MSCI ACWI EX US ETF	633,983.	654,372.
PARAMETRIC COMMODITY STRATEGY	346,025.	343,768.
ISHARES TR RUSSELL 3000 ETF	876,837.	1,158,053.
TOTALS	<u>1,856,845.</u>	<u>2,156,193.</u>

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 5

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
RIMROCK HIGH INCOME PLUS	1,615,000.	1,625,620.
MAGNITUDE INTERNATIONAL	1,900,000.	1,909,903.
TOTALS	<u>3,515,000.</u>	<u>3,535,523.</u>

ATTACHMENT 6FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
PERSHING CUMULATIVE BASIS ADJUSTMENT	37,286.
TOTAL	<u>37,286.</u>

BRIDGE OF ALLEN FOUNDATION

13-4148389

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 7

TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

ANNA MANN
C/O PIONEER SQUARE ADVISORS, LLC
1221 SECOND AVENUE, SUITE 320
SEATTLE, WA 98101

TRUSTEE

0

0

0

GRAND TOTALS

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at
www.irs.gov/form1041.

OMB No 1545-0092

2012

Name of estate or trust

BRIDGE OF ALLEN FOUNDATION

Employer identification number

13-4148389

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	3,103,051.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	3,103,051.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2012

Part III Summary of Parts I and II**Caution:** Read the instructions *before* completing this part.

	(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		
14 Net long-term gain or (loss):			
a Total for year	14a		3,103,051.
b Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14a	15		3,103,051.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	16	()
a The loss on line 15, column (3) or		
b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20 Add lines 18 and 19	20		
21 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-	21		
22 Subtract line 21 from line 20. If zero or less, enter -0-	22		
23 Subtract line 22 from line 17. If zero or less, enter -0-	23		
24 Enter the smaller of the amount on line 17 or \$2,400	24		
25 Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23	25		
26 Subtract line 25 from line 24	26		
27 Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29 Subtract line 28 from line 27	29		
30 Multiply line 29 by 15% (15)	30		
31 Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32 Add lines 30 and 31	32		
33 Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Schedule D (Form 1041) 2012

Employer identification number

13-4148389

[illegible]

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b 3,103,051.

Bridge of Allen Foundation
REALIZED GAINS (LOSSES)
FYE: 9/30/2013

EIN: 13-4148389

Ticker	Description	Shares	Date Sold	Date Acquired	Cost	Proceeds	Gain/Loss
278058102	EATON CORP	400	12/3/2012	Various	8,712.32	20,762.24	12,049.92
KRET	KRAFT FOODS GROUP	0.6663	10/12/2012	3/10/2003	9.00	31.00	22.00
ABBV	ABBVIE INC COM	530.00	07/11/2013	07/17/2002	14.87	22,997.07	22,982.20
ABBV	ABBVIE INC COM	40.00	07/11/2013	08/10/2009	23.14	1,735.63	1,712.49
ABBV	ABBVIE INC COM	30.00	07/11/2013	07/01/2010	24.03	1,301.72	1,277.69
ABMD	ABIOMED INC COM	156.00	07/11/2013	08/10/2009	1,166.57	3,549.06	2,382.49
ACIW	ACI WORLDWIDE INC COM	10.00	07/11/2013	03/09/2012	355.85	469.89	114.04
ADTN	ADTRAN INC COM	200.00	07/11/2013	03/28/2002	2,380.00	4,801.05	2,421.05
A	AGILENT TECHNOLOGIES INC COM	300.00	07/11/2013	04/23/2003	4,572.67	13,640.56	9,067.89
MO	ALTRIA GROUP INC COM	850.00	07/11/2013	03/10/2003	6,875.46	31,411.70	24,536.24
AMCX	AMC NETWORKS INC CL A	25.00	07/11/2013	03/01/2006	370.32	1,709.97	1,339.65
AMT	AMERICAN TOWER REIT COM	200.00	07/11/2013	05/24/2005	3,466.00	15,412.93	11,946.93
AON	AON PLC SHS CL A ISIN#GB00B5BT0K07	21.00	07/11/2013	10/03/2002	1,030.68	1,391.20	360.52
AAPL	APPLE INC COM	118.00	07/11/2013	08/04/2011	45,307.96	50,416.46	5,108.50
AAPL	APPLE INC COM	2.00	07/11/2013	06/12/2012	1,049.08	854.52	(194.56)
ASML	ASML HLDG N V N Y REGISTRY SHS NEW 2012	115.00	07/11/2013	04/05/2001	2,143.63	9,936.11	7,792.48
ASML	ASML HLDG N V N Y REGISTRY SHS NEW 2012	0.02	05/31/2013	04/05/2001	0.37	1.63	1.26
ASTE	ASTEC INDS INC COM	100.00	07/11/2013	03/02/2004	13.52	3,724.93	3,711.41
BEAM	BEAM INC COM	200.00	07/11/2013	03/02/2009	3,550.88	12,809.79	9,258.91
BIIB	BIODGEN IDEC INC COM	100.00	07/11/2013	10/24/2003	3,281.00	22,291.81	19,010.81
BA	BOEING CO COM	400.00	07/11/2013	04/23/2003	11,185.56	42,587.29	31,401.73
BWA	BORGWARNER INC COM	52.00	07/11/2013	04/17/2009	11.34	4,578.70	4,567.36
BWA	BORGWARNER INC COM	68.00	07/11/2013	07/01/2010	38.07	5,987.52	5,949.45
EAT	BRINKER INTL INC COM	300.00	07/11/2013	12/15/2008	2,346.00	12,350.49	10,004.49
COG	CABOT OIL & GAS CORP COM	56.00	07/11/2013	06/20/2006	2.85	3,943.60	3,940.75
COG	CABOT OIL & GAS CORP COM	344.00	07/11/2013	06/20/2006	17.53	24,224.94	24,207.41
COG	CABOT OIL & GAS CORP COM	56.00	07/11/2013	11/04/2010	31.62	3,943.59	3,911.97
CAM	CAMERON INTL CORP COM	56.00	07/11/2013	06/20/2006	1,201.98	3,585.62	2,383.64
CAM	CAMERON INTL CORP COM	144.00	07/11/2013	10/19/2011	7,049.28	9,220.17	2,170.89
CVX	CHEVRON CORP NEW COM	570.00	07/11/2013	03/11/2003	18,402.45	69,906.43	51,503.98
CVX	CHEVRON CORP NEW COM	330.00	07/11/2013	05/17/2011	32,957.61	40,472.15	7,514.54
CTXS	CITRIX SYSTEMS INC	41.00	07/11/2013	07/06/2009	12.41	2,728.75	2,716.34
CTXS	CITRIX SYSTEMS INC	59.00	07/11/2013	07/01/2010	42.73	3,926.73	3,884.00
CMS	CMS ENERGY CORP	400.00	07/11/2013	12/16/2003	3,040.00	11,106.20	8,066.20
COP	CONOCOPHILLIPS COM	577.12	07/11/2013	07/25/2002	10,422.57	36,926.21	26,503.64
COP	CONOCOPHILLIPS COM	200.88	07/11/2013	10/03/2002	3,673.24	12,853.03	9,179.79
COST	COSTCO WHOLESALE CORP NEW COM	252.00	07/11/2013	01/27/2003	24.24	28,995.37	28,971.13
COST	COSTCO WHOLESALE CORP NEW COM	48.00	07/11/2013	01/27/2003	4.62	5,522.93	5,518.31
CCI	CROWN CASTLE INTL CORP COM	100.00	07/11/2013	12/16/2004	1,634.00	7,611.87	5,977.87
CCI	CROWN CASTLE INTL CORP COM	100.00	07/11/2013	12/16/2004	1,638.00	7,611.88	5,973.88
CBST	CUBIST PHARMACEUTICALS INC COM	100.00	07/11/2013	07/06/2005	13.16	5,381.71	5,368.55
DHR	DANAHER CORP COM	400.00	07/11/2013	07/25/2002	5,753.00	27,121.92	21,368.92
DTV	DIRECTV COM	51.00	07/11/2013	02/11/2010	10.44	3,244.36	3,233.92
DTV	DIRECTV COM	149.00	07/11/2013	03/02/2011	6,788.77	9,478.61	2,689.84
DFS	DISCOVER FINL SVCS COM INC	122.00	07/11/2013	05/07/2009	1,272.97	6,114.20	4,841.23
DFS	DISCOVER FINL SVCS COM INC	78.00	07/11/2013	05/07/2009	813.87	3,909.07	3,095.20
DD	DU PONT E I DE NEMOURS & CO COM	291.00	07/11/2013	03/02/2009	5,151.69	15,812.37	10,660.68
DD	DU PONT E I DE NEMOURS & CO COM	209.00	07/11/2013	10/19/2011	9,222.06	11,356.65	2,134.59
ETN	EATON CORP PLC SHS ISIN#IE00B8KQ827	400.00	07/11/2013	12/03/2012	20,762.24	27,201.92	6,439.68
EBAY	EBAY INC COM	254.00	07/11/2013	12/15/2008	8.85	14,159.05	14,150.20
EBAY	EBAY INC COM	146.00	07/11/2013	12/15/2008	5.09	8,138.66	8,133.57
ECL	ECOLAB INC	169.00	07/11/2013	02/23/2001	17.45	15,120.18	15,102.73
ECL	ECOLAB INC	31.00	07/11/2013	07/01/2010	45.14	2,773.52	2,728.38
EIX	EDISON INTERNATIONAL	100.00	07/11/2013	06/27/2003	1,615.00	4,798.51	3,183.51
ENR	ENERGIZER HLDGS INC COM	100.00	07/11/2013	03/02/2011	6,677.99	10,379.81	3,701.82
XOM	EXXON MOBIL CORP COM	1,406.00	07/11/2013	01/27/2003	27.91	131,205.65	131,177.74
XOM	EXXON MOBIL CORP COM	107.00	07/11/2013	08/10/2010	61.99	9,985.07	9,923.08
XOM	EXXON MOBIL CORP COM	70.904	07/11/2013	12/15/2008	50.28	6,616.65	6,566.37
XOM	EXXON MOBIL CORP COM	17.687	07/11/2013	11/10/2008	51.27	1,650.52	1,599.25
XOM	EXXON MOBIL CORP COM	0.83	07/11/2013	11/12/2002	34.04	77.45	43.41
XOM	EXXON MOBIL CORP COM	0.488	07/11/2013	02/11/2010	64.18	45.54	(18.64)
XOM	EXXON MOBIL CORP COM	0.091	07/11/2013	06/03/1997	30.59	8.49	(22.10)
FITB	FIFTH THIRD BANCORP COM	100.00	07/11/2013	10/19/2011	1,080.59	1,872.20	791.61
FBHS	FORTUNE BRANDS HOMES & SEC INC COM	200.00	07/11/2013	03/02/2009	987.38	8,355.67	7,368.29
FELE	FRANKLIN ELEC INC	400.00	07/11/2013	07/02/2001	3,845.00	15,080.57	11,235.57
BEN	FRANKLIN RESOURCES INC	100.00	07/11/2013	03/02/2009	4,343.50	13,991.76	9,648.26
FCX	FREEMONT-MCMORAN COPPER & GOLD INC CL B	0.7488	06/05/2013	03/02/2009	17.40	23.20	5.80
FURX	FURIEX PHARMACEUTICALS INC COM	8.00	07/11/2013	02/11/2010	116.55	304.07	187.52
GD	GENERAL DYNAMICS CORP COM	400.00	07/11/2013	03/11/2003	10,904.00	32,847.46	21,943.46
GWG	GRAINGER WW INC	42.00	07/11/2013	03/11/2003	1,824.90	11,118.05	9,293.15
GWG	GRAINGER WW INC	58.00	07/11/2013	03/02/2011	7,838.00	15,353.49	7,515.49
HON	HONEYWELL INTL INC COM	400.00	07/11/2013	10/03/2002	8,652.00	32,953.82	24,301.82
HRL	HORMEL FOODS CORP COM	400.00	07/11/2013	08/27/2003	4,282.00	16,099.75	11,817.75
HSNI	HSN INC NEW COM	0.70	07/11/2013	04/22/2008	14.03	40.67	26.64
HSNI	HSN INC NEW COM	0.30	07/11/2013	07/17/2002	11.50	17.43	5.93
IHC	INDEPENDENCE HLDG CO COM NEW	550.00	07/11/2013	03/02/2009	1,803.50	7,065.64	5,262.14
IBM	INTERNATIONAL BUSINESS MACHS CORP	75.00	07/11/2013	06/12/2002	13.65	14,473.77	14,460.12
IBM	INTERNATIONAL BUSINESS MACHS CORP	162.00	07/11/2013	06/12/2002	29.50	31,263.35	31,233.85
IBM	INTERNATIONAL BUSINESS MACHS CORP	48.00	07/11/2013	05/20/2010	125.48	9,263.21	9,137.73
IBM	INTERNATIONAL BUSINESS MACHS CORP	98.00	07/11/2013	12/28/2011	18,015.50	18,912.40	896.90
IBM	INTERNATIONAL BUSINESS MACHS CORP	27.00	07/11/2013	06/12/2012	5,239.41	5,210.56	(28.85)

Bridge of Allen Foundation
REALIZED GAINS (LOSSES)
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EIN 13-4148389

Ticker	Description	Shares	Date Sold	Date Acquired	Cost	Proceeds	Gain/Loss
IPG	INTERPUBLIC GROUP COS INC COM	600.00	07/11/2013	10/19/2011	4,862.85	9,318.83	4,455.98
IILG	INTERVAL LEISURE GROUP INC COM	200.00	07/11/2013	12/15/2008	5.38	4,316.34	4,310.96
INTU	INTUIT INCORPORATED COM	100.00	07/11/2013	07/01/2010	28.02	6,414.43	6,386.41
IRM	IRON MTN INC PA COM	24.00	07/11/2013	11/21/2012	788.88	679.91	(108.97)
IRM	IRON MTN INC PA COM	200.00	07/11/2013	07/25/2002	2,224.78	5,665.95	3,441.17
JCI	JOHNSON CTLS INC COM	200.00	07/11/2013	10/19/2011	6,398.33	7,289.07	890.74
KMPR	KEMPER CORP DEL COM	300.00	07/11/2013	03/02/2009	3,130.50	10,633.44	7,502.94
KMI	KINDER MORGAN INC DEL COM	251.00	07/11/2013	05/17/2011	8,060.86	9,925.32	1,864.46
KMI WS	KINDER MORGAN INC DEL WT EXP PUR CL P COM EXP 05/25/17	384.00	07/23/2013	05/17/2011	731.52	2,007.19	1,275.67
KRFT	KRAFT FOODS GROUP INC COM	359.482	07/11/2013	03/10/2003	4,855.03	20,351.17	15,496.14
KRFT	KRAFT FOODS GROUP INC COM	0.113	07/11/2013	10/24/2003	1.95	6.40	4.45
KRFT	KRAFT FOODS GROUP INC COM	0.135	07/11/2013	10/24/2003	2.33	7.64	5.31
KRFT	KRAFT FOODS GROUP INC COM	0.135	07/11/2013	10/24/2003	2.33	7.64	5.31
KRFT	KRAFT FOODS GROUP INC COM	0.135	07/11/2013	10/24/2003	2.33	7.64	5.31
LBTYA	LIBERTY GLOBAL PLC SHS CL A ISIN#GB00B8W67662	10.00	07/11/2013	08/26/2004	4.07	784.56	780.49
LYV	LIVE NATION ENTMT INC COM	292.648	07/11/2013	12/15/2008	1,122.46	4,681.84	3,559.38
LYV	LIVE NATION ENTMT INC COM	0.328	07/11/2013	07/17/2002	4.34	5.25	0.91
LYV	LIVE NATION ENTMT INC COM	1.024	07/11/2013	04/22/2008	16.54	16.38	(0.16)
M	MACYS INC COM	200.00	07/11/2013	03/02/2011	4,667.14	9,895.02	5,227.88
MSG	MADISON SQUARE GARDEN CO CL A	25.00	07/11/2013	03/01/2006	277.40	1,546.97	1,269.57
MCK	MCKESSON CORP COM	200.00	07/11/2013	11/04/2004	5,482.00	23,663.60	18,181.60
MRK	MERCK & CO INC NEW COM	165.00	07/11/2013	07/06/2009	4,566.10	7,945.96	3,379.86
MRK	MERCK & CO INC NEW COM	123.00	07/11/2013	03/02/2011	4,012.80	5,923.36	1,910.56
MDLZ	MONDELEZ INTL INC CL A	0.606	07/11/2013	10/24/2003	4.32	18.05	13.73
MDLZ	MONDELEZ INTL INC CL A	1,079.84	07/11/2013	03/10/2003	8,992.51	32,175.65	23,183.14
MDLZ	MONDELEZ INTL INC CL A	0.339	07/11/2013	10/24/2003	3.61	10.10	6.49
MDLZ	MONDELEZ INTL INC CL A	0.405	07/11/2013	10/24/2003	4.32	12.07	7.75
MDLZ	MONDELEZ INTL INC CL A	0.405	07/11/2013	10/24/2003	4.32	12.07	7.75
MDLZ	MONDELEZ INTL INC CL A	0.405	07/11/2013	10/24/2003	4.32	12.07	7.75
MSI	MOTOROLA SOLUTIONS INC COM NEW	114.00	07/11/2013	12/15/2008	2.44	6,751.62	6,749.18
NOV	NATIONAL OILWELL VARCO INC	100.00	07/11/2013	11/10/2008	27.37	7,295.72	7,268.35
NOV	NATIONAL OILWELL VARCO INC	100.00	07/11/2013	06/20/2006	28.81	7,295.72	7,266.91
NNN	NATIONAL RETAIL PPTYS INC COM ISIN#US6374171063	300.00	07/11/2013	02/23/2001	3,338.88	10,897.44	7,558.56
NCR	NCR CORP COM	700.00	07/11/2013	04/23/2003	3,691.34	24,326.44	20,635.10
NTAP	NETAPP INC COM	75.00	07/11/2013	04/17/2009	10.93	2,924.89	2,913.96
NTAP	NETAPP INC COM	45.00	07/11/2013	07/01/2010	37.88	1,754.94	1,717.06
NEE	NEXTERA ENERGY INC COM	400.00	07/11/2013	07/25/2002	10,094.00	33,003.46	22,909.46
NKE	NIKE INC CL B	366.00	07/11/2013	10/24/2003	5,666.60	23,272.06	17,605.46
NKE	NIKE INC CL B	34.00	07/11/2013	03/02/2011	1,515.56	2,161.89	646.33
NI	NISOURCE INC COM	700.00	07/11/2013	03/02/2009	5,958.68	20,939.13	14,980.45
JWN	NORDSTROM INC	120.00	07/11/2013	03/02/2011	5,306.22	7,488.98	2,182.76
NVE	NV ENERGY INC COM SHS ISIN#US67073Y1064	300.00	07/11/2013	08/27/2003	1,575.00	7,070.58	5,495.58
PH	PARKER HANNIFIN CORP COM	150.00	07/11/2013	10/19/2011	11,449.02	14,936.25	3,487.23
PNNT	PENNANTPARK INVT CORP COM	34.00	07/11/2013	12/19/2008	4.12	384.01	379.89
PEP	PEPSICO INC COM	25.00	07/11/2013	06/01/2009	1,325.00	2,096.21	771.21
PETM	PETSMART INC	300.00	07/11/2013	03/28/2002	4,110.00	21,416.33	17,306.33
PSX	PHILLIPS 66 COM	288.56	07/11/2013	07/25/2002	3,097.43	16,351.00	13,253.57
PSX	PHILLIPS 66 COM	100.44	07/11/2013	10/03/2002	1,091.63	5,691.35	4,599.72
TROW	PRICE T ROWE GROUP INC COM	100.00	07/11/2013	03/02/2009	2,207.50	7,737.86	5,530.36
PRGS	PROGRESS SOFTWARE CORP	150.00	07/11/2013	07/25/2002	1,375.00	3,767.75	2,392.75
PGR	PROGRESSIVE CORP OH COM	800.00	07/11/2013	02/23/2001	6,356.00	20,499.64	14,143.64
QCOM	QUALCOMM INC	200.00	07/11/2013	08/27/2003	4,069.00	12,224.70	8,155.70
QCOM	QUALCOMM INC	196.00	07/11/2013	08/27/2003	3,987.62	11,980.21	7,992.59
QCOM	QUALCOMM INC	100.00	07/11/2013	08/27/2003	2,038.95	6,112.35	4,073.40
QCOM	QUALCOMM INC	204.00	07/11/2013	08/04/2011	10,650.37	12,469.19	1,818.82
PWR	QUANTA SVCS INC COM	122.00	07/11/2013	07/06/2005	1,076.35	3,329.37	2,253.02
RJF	RAYMOND JAMES FINL INC COM	375.00	07/11/2013	07/25/2002	4,133.33	16,593.75	12,460.42
RYN	RAYONIER INC COM	262.00	07/11/2013	02/23/2001	3,119.37	14,873.89	11,754.52
RHT	RED HAT INC COM	120.00	07/11/2013	04/17/2009	2,183.40	6,002.21	3,818.81
RPM	RPM INTL INC	500.00	07/11/2013	02/23/2001	4,510.00	16,835.00	12,325.00
SPG	SIMON PPTY GROUP INC NEW COM	2.321	07/11/2013	02/10/2009	77.62	380.29	302.67
SPG	SIMON PPTY GROUP INC NEW COM	109.731	07/11/2013	10/15/2004	4,537.67	17,979.45	13,441.78
SPG	SIMON PPTY GROUP INC NEW COM	0.424	07/11/2013	10/15/2004	20.48	69.47	48.99
SPG	SIMON PPTY GROUP INC NEW COM	355.524	07/11/2013	10/15/2004	17,182.18	58,252.68	41,070.50
SPG	SIMON PPTY GROUP INC NEW COM	1.00	07/11/2013	05/12/2009	52.88	163.85	110.97
SLM	SLM CORP COM	100.00	07/11/2013	05/07/2009	568.50	2,319.95	1,751.45
SJM	SMUCKER J M CO COM NEW	21.00	07/11/2013	03/02/2011	1,437.27	2,200.95	763.68
SO	SOUTHERN CO COM	300.00	07/11/2013	02/23/2001	5,529.26	13,391.56	7,862.30
S	SPRINT CORP COM SER 1	58.1484	07/23/2013	06/12/2012	329.70	331.11	1.41
S	SPRINT CORP COM SER 1	143.9592	07/23/2013	06/12/2012	816.25	819.74	3.49
S	SPRINT CORP COM SER 1	58.8924	07/23/2013	12/15/2008	333.92	335.35	1.43
S	SPRINT CORP COM SER 1	0.744	07/11/2013	06/12/2012	4.22	4.98	0.76
TMO	THERMO FISHER SCIENTIFIC INC COM	100.00	07/11/2013	12/16/2003	17.82	8,823.87	8,806.05
TMO	THERMO FISHER SCIENTIFIC INC COM	112.00	07/11/2013	12/16/2003	20.07	9,882.74	9,862.67
TMO	THERMO FISHER SCIENTIFIC INC COM	12.00	07/11/2013	07/01/2010	48.27	1,058.86	1,010.59
TWC	TIME WARNER CABLE INC COM	108.00	07/11/2013	12/01/2010	42.10	12,366.34	12,324.24
TJX	TJX COMPANIES INC (NEW)	600.00	07/11/2013	02/23/2001	4,600.50	31,224.45	26,623.95
TMK	TORCHMARK CORP	138.00	07/11/2013	02/11/2010	40.88	9,467.57	9,426.69
TMK	TORCHMARK CORP	12.00	07/11/2013	02/11/2010	44.43	823.27	778.84
TRV	TRAVELERS COS INC COM	38.364	07/11/2013	06/03/1997	26.42	3,167.07	3,140.65
TRV	TRAVELERS COS INC COM	0.08	07/11/2013	06/03/1997	0.06	6.60	6.54

Bridge of Allen Foundation
REALIZED GAINS (LOSSES)
FYE 9/30/2013

EIN 13-4148389

Ticker	Description	Shares	Date Sold	Date Acquired	Cost	Proceeds	Gain/Loss
TRV	TRAVELERS COS INC COM	18 636	07/11/2013	06/03/1997	24 54	1,538 46	1,513.92
FOXA	TWENTY-FIRST CENTY FOX INC CL A	500 00	07/11/2013	07/06/2009	3,775 28	14,942 69	11,167.41
FOXA	TWENTY-FIRST CENTY FOX INC CL A	500 00	07/11/2013	07/25/2002	3,854 53	14,942.69	11,088 16
FOXA	TWENTY-FIRST CENTY FOX INC CL A	160 00	07/11/2013	07/25/2002	1,233 45	4,781 66	3,548 21
UNP	UNION PACIFIC CORP COM	100 00	07/11/2013	10/19/2011	9,155 06	15,836 84	6,681 78
UTX	UNITED TECHNOLOGIES CORP COM	400 00	07/11/2013	12/14/2001	12,292 00	39,809 70	27,517.70
UNH	UNITEDHEALTH GROUP INC COM	555 00	07/11/2013	02/23/2001	7,538 28	37,912 28	30,374.00
UNH	UNITEDHEALTH GROUP INC COM	43 00	07/11/2013	03/02/2011	1,882.37	2,937 35	1,054.98
VFC	V F CORP	100.00	07/11/2013	03/02/2011	9,422 50	19,896 66	10,474 16
VDMIX	VANGUARD DEVELOPED FOREIGN MARKETS INDEX FUND	2,289 256	07/11/2013	12/20/2012	22,251.57	24,265 28	2,013 71
VDMIX	VANGUARD DEVELOPED FOREIGN MARKETS INDEX FUND	57,236 446	07/11/2013	10/14/2002	316,517 55	606,685 32	290,167.77
VDMIX	VANGUARD DEVELOPED FOREIGN MARKETS INDEX FUND	3,848 534	07/11/2013	12/31/2008	28,633 09	40,793 05	12,159 96
VDMIX	VANGUARD DEVELOPED FOREIGN MARKETS INDEX FUND	2,312 566	07/11/2013	12/30/2011	19,564 31	24,512 35	4,948 04
VDMIX	VANGUARD DEVELOPED FOREIGN MARKETS INDEX FUND	694 292	07/11/2013	12/31/2009	6,658 26	7,359 24	700 98
VDMIX	VANGUARD DEVELOPED FOREIGN MARKETS INDEX FUND	1,741 222	07/11/2013	12/29/2010	17,359 98	18,456.31	1,096 33
VIAB	VIACOM INC NEW CL B	100 00	07/11/2013	02/11/2010	16 97	7,051.87	7,034.90
V	VISA INC COM CL A	51 00	07/11/2013	01/23/2009	22 09	9,601 89	9,579.80
V	VISA INC COM CL A	16 00	07/11/2013	06/12/2012	1,865.17	3,012 35	1,147.18
V	VISA INC COM CL A	15.00	07/11/2013	06/12/2012	1,748.60	2,824 08	1,075 48
V	VISA INC COM CL A	18.00	07/11/2013	06/12/2012	2,098.32	3,388 90	1,290.58
WFC	WELLS FARGO & CO NEW COM	800 00	07/11/2013	10/19/2011	20,274 88	33,499 41	13,224.53
WMB	WILLIAMS COS INC COM	360.00	07/11/2013	04/17/2009	4,054 55	12,064 74	8,010 19
WPX	WPX ENERGY INC COM	120 00	07/11/2013	04/17/2009	919 14	2,351 87	1,432 73
WYN	WYNDHAM WORLDWIDE CORP COM	53 00	07/11/2013	05/07/2009	6 53	3,172 82	3,166 29
WYN	WYNDHAM WORLDWIDE CORP COM	47 00	07/11/2013	12/29/2009	20 55	2,813 63	2,793 08
AAPL	APPLE INC	206 0	7/11/2013	VARIOUS	44,232 88	88,015.16	43,782 28
ABC	AMERISOURCE BERGEN CORP COM	480 0	7/11/2013	4/17/2009	8,371.58	27,714.39	19,342 81
ABT	ABBOTT LABS COM	600 0	7/11/2013	VARIOUS	19,420 98	21,204 63	1,783 65
ACN	ACCENTURE PLC IRELAND	100 0	7/11/2013	5/7/2009	2,998.47	7,527 46	4,528 99
ACN	ACCENTURE PLC IRELAND	100 0	7/11/2013	11/10/2008	3,013.50	7,527 47	4,513 97
ADM	ARCHER DANIELS MIDLAND CO L COM	400.0	7/11/2013	8/27/2003	5,404.00	14,466 14	9,062 14
AGN	ALLERGAN INC COM	100.0	7/11/2013	VARIOUS	3,911.25	8,961 44	5,050.19
AMG	AFFILIATED MANAGERS GROUP INC COM	100 0	7/11/2013	7/6/2009	5,713.50	16,940 70	11,227.20
AMGN	AMGEN INC COM	284.0	7/11/2013	7/2/2001	9,136 28	29,125 60	19,989.32
AMGN	AMGEN INC COM	240 0	7/11/2013	4/17/2009	11,293 70	24,613 19	13,319 49
AMP	AMERIPRISE FINL INC COM	200 0	7/11/2013	1/30/2002	4,303 38	17,181 72	12,878.34
AOL	AOL INC	39 0	7/11/2013	7/25/2002	572 80	1,432 63	859 83
APD	AIR PRODS & CHEMS INC COM	200 0	7/11/2013	2/23/2001	7,476 00	19,343 68	11,867 68
APH	AMPHENOL CORP NEW CL A	240 0	7/11/2013	4/17/2009	7,304 98	20,295 66	12,990 68
BAX	BAXTER INTL INC COM	400 0	7/11/2013	6/27/2003	10,152 84	28,899 53	18,746 69
BDX	BECTON DICKINSON & CO	100 0	7/11/2013	12/16/2003	4,040 00	9,957 83	5,917 83
BMC	BMC SOFTWARE INC COM	200 0	7/11/2013	VARIOUS	3,673 04	9,159.04	5,486 00
BWC	BABCOCK & WILCOX CO NEW COM	150 0	7/11/2013	3/2/2009	1,511 46	4,657 23	3,145 77
BXP	BOSTON PPTYS INC COM	200 0	7/11/2013	7/6/2009	9,191 00	21,945 63	12,754 63
CA	CA INC COM	500 0	7/11/2013	VARIOUS	5,529 78	14,844.74	9,314 97
CB	CHUBB CORP	200 0	7/11/2013	VARIOUS	7,821 00	17,261.71	9,440 71
CBST	CUBIST PHARMACEUTICALS INC COM	233 0	7/11/2013	2/11/2010	4,801 66	12,539.39	7,737 73
CCE	COCA COLA ENTERPRISES INC NEW COM	240 0	7/11/2013	4/17/2009	3,520 61	8,774.88	5,254 27
CCL	CARNIVAL CORP PAIRED CTF 1 COM	200 0	7/11/2013	3/2/2009	3,749 60	7,151 07	3,401 47
CERN	CERNER CORP	176 0	7/11/2013	7/1/2010	3,321 56	8,597.55	5,275 99
CHS	CHICOS FAS INC COM	100 0	7/11/2013	5/7/2009	830 50	1,699.97	869 47
CLX	CLOROX CO COM	100 0	7/11/2013	2/23/2001	3,571 00	8,511.86	4,940 86
CMCSA	COMCAST CORP CL A	1,238 0	7/11/2013	7/25/2002	13,766 93	55,015 19	41,248 26
CMCSA	COMCAST CORP CL A	0 3	7/11/2013	7/17/2002	4 15	13.29	9 14
CMCSA	COMCAST CORP CL A	0 2	7/11/2013	10/3/2002	2.84	8.89	6 05
CMCSA	COMCAST CORP CL A	0 5	7/11/2013	1/27/2003	8 58	22 26	13 68
CMCSK	COMCAST CORP NEW CL A SPL	200 0	7/11/2013	7/25/2002	2,371 04	8,488 99	6,117 95
COST	COSTCO WHOLESALE CORP NEW COM	48 0	7/11/2013	8/10/2009	2,358 72	5,522 93	3,164 21
CPWR	COMPUWARE CORP	300.0	7/11/2013	7/21/2004	1,500 00	3,197 65	1,697 65
CRM	SALESFORCE.COM INC COM STOCK	124.0	7/11/2013	7/1/2010	2,676.70	5,095 25	2,418 55
CSX	CSX CORPORATION	720 0	7/11/2013	VARIOUS	7,482 53	17,484 09	10,001 56
CTL	CENTURYLINK INC COM	182 0	7/11/2013	10/24/2003	3,282.24	6,517 67	3,235 43
CVD	COVANCE INC	100 0	7/11/2013	12/16/2003	2,632 00	7,971 86	5,339 86
CYBX	CYBERONICS INC COM	137 0	7/11/2013	10/19/2011	3,724.58	7,217 54	3,492 96
	CYMER INC COM C/A EFF 05/31/13 1						
CYMI	OLD/ 1 1502	100 0	7/11/2013	4/5/2001	2,144 00	2,000 00	(144.00)
DFS	DISCOVER FINL SVCS COM INC	78 0	7/11/2013	3/2/2011	1,658.48	3,909 07	2,250.59
DHI	DR HORTON INC	400 0	7/11/2013	VARIOUS	3,305 08	9,026 65	5,721 57
DHI	DR HORTON INC	22 0	7/11/2013	5/7/2009	215.27	496 47	281 20
DLX	DELUXE CORP	100 0	7/11/2013	12/15/2008	1,116 50	3,693.93	2,577 43
DOW	DOW CHEM CO	360 0	7/11/2013	4/17/2009	4,447 15	12,287 94	7,840 79
DPS	DR PEPPER SNAPPLE GROUP INC COM	120 0	7/11/2013	4/17/2009	2,388 60	5,696 22	3,307 62
DUK	DUKE ENERGY CORP	266.0	7/11/2013	4/23/2003	7,762 89	18,478 70	10,715 81
EBAY	EBAY INC COM	200 0	7/11/2013	5/7/2009	3,340 00	11,148 85	7,808.85
EBAY	EBAY INC COM	254.0	7/11/2013	8/10/2010	5,564 89	14,159 04	8,594.15
EGOV	NIC INC COM	454 0	7/11/2013	7/1/2010	2,914 48	8,102 74	5,188.26
EMR	EMERSON ELEC CO COM	500.0	7/11/2013	2/14/2000	11,693 75	28,828 99	17,135 24
EOG	EOG RES INC COM	240 0	7/11/2013	4/17/2009	14,406 60	34,532 21	20,125 61

Bridge of Allen Foundation
REALIZED GAINS (LOSSES)
FYE 9/30/2013

ETN 13-4148389

<u>Ticker</u>	<u>Description</u>	<u>Shares</u>	<u>Date Sold</u>	<u>Date Acquired</u>	<u>Cost</u>	<u>Proceeds</u>	<u>Gain/Loss</u>
EQIX	EQUINIX INC COM NEW	105.0	7/11/2013	3/2/2011	8,859.50	20,758.75	11,899.25
EQY	EQUITY ONE INC COM	510.0	7/11/2013	2/23/2001	4,512.58	11,973.48	7,460.90
EXP	EAGLE MATERIALS INC COM	200.0	7/11/2013	10/24/2003	3,377.34	14,031.50	10,654.16
EXP	EAGLE MATERIALS INC COM	423.0	7/11/2013	10/19/2011	7,654.99	29,676.64	22,021.65
EXPE	EXPEDIA INC DEL COM NEW	120.0	7/11/2013	4/17/2009	1,304.48	7,622.67	6,318.19
EXPE	EXPEDIA INC DEL COM NEW	32.0	7/11/2013	3/2/2011	609.19	2,032.71	1,423.52
F	FORD MOTOR COMPANY	300.0	7/11/2013	7/6/2009	1,729.50	5,072.71	3,343.21
FAF	FIRST AMERN FINL CORP COM	69.0	7/11/2013	4/17/2009	782.27	1,504.45	722.18
FBHS	FORTUNE BRANDS HOMES & SEC INC COM	509.0	7/11/2013	10/19/2011	7,602.22	21,265.20	13,662.98
FDX	FEDEX CORP COM	200.0	7/11/2013	VARIOUS	8,400.00	20,947.65	12,547.65
FISV	FISERV INC COM	120.0	7/11/2013	VARIOUS	4,397.40	10,904.85	6,507.45
FITB	FIFTH THIRD BANCORP COM	500.0	7/11/2013	6/1/2009	3,460.00	9,361.02	5,901.02
FNF	FIDELITY NATL FINL INC CL A COM	321.0	7/11/2013	VARIOUS	2,829.94	7,614.23	4,784.29
GILD	GILEAD SCIENCES INC	680.0	7/11/2013	8/4/2011	12,998.88	37,796.62	24,797.74
GOOG	GOOGLE INC CL A	100.0	7/11/2013	7/6/2005	29,401.64	91,502.60	62,100.96
GPS	GAP INC	360.0	7/11/2013	7/25/2002	4,251.60	16,085.87	11,834.27
GT	GOODYEAR TIRE & RUBR CO COM	400.0	7/11/2013	VARIOUS	2,612.00	6,614.28	4,002.28
HAR	HARMAN INTL INDS INC NEW COM	200.0	7/11/2013	7/6/2009	3,588.00	10,959.82	7,371.82
HCP	HCP INC	100.0	7/11/2013	12/15/2008	2,230.90	4,663.51	2,432.61
HD	HOME DEPOT INC	600.0	7/11/2013	3/11/2003	13,206.00	47,834.74	34,628.74
HD	HOME DEPOT INC	284.0	7/11/2013	7/1/2010	7,957.11	22,641.77	14,684.66
HII	HUNTINGTON INGALLS INDS INC COM	24.0	7/11/2013	1/3/2002	461.55	1,446.13	984.58
HSNI	HSN INC	97.0	7/11/2013	12/29/2009	1,920.02	5,635.44	3,715.42
HTZ	HERTZ GLOBAL HOLDINGS INC	120.0	7/11/2013	4/17/2009	900.60	3,207.42	2,306.82
IBM	INTERNATIONAL BUSINESS MACHINES CORP	200.0	7/11/2013	3/2/2009	18,029.00	38,596.72	20,567.72
INTU	INTUIT INCORPORATED COM	162.0	7/11/2013	VARIOUS	4,791.80	10,391.38	5,599.58
IP	INTERNATIONAL PAPER CO	200.0	7/11/2013	5/7/2009	2,625.00	9,443.03	6,818.03
IR	INGERSOLL RAND PLC	200.0	7/11/2013	11/10/2008	3,352.00	11,816.99	8,464.99
ITT	ITT CORP NEW	100.0	7/11/2013	1/3/2002	945.43	3,104.94	2,159.51
ITW	ILLINOIS TOOLWORKS INC	200.0	7/11/2013	3/10/2003	5,645.00	14,382.94	8,737.94
IVZ	INVESCO LTD	200.0	7/11/2013	11/10/2008	2,575.78	6,415.08	3,839.30
JDSU	JDS UNIPHASE CORP COM	99.0	7/11/2013	7/6/2009	501.43	1,456.18	954.75
JNJ	JOHNSON & JOHNSON COM	700.0	7/11/2013	VARIOUS	20,986.28	62,441.41	41,455.13
JPM	JP MORGAN CHASE & CO	1,600.0	7/11/2013	VARIOUS	32,857.60	87,790.47	54,932.87
LBTYA	LIBERTY GLOBAL PLC	198.0	7/11/2013	2/11/2010	4,803.28	15,534.26	10,730.98
LMT	LOCKHEED MARTIN CORP	200.0	7/11/2013	2/28/2001	7,390.00	22,505.62	15,115.62
LOW	LOWES COS INC	600.0	7/11/2013	VARIOUS	8,187.00	26,118.54	17,931.54
MA	MASTERCARD INC CL A	18.0	7/11/2013	7/1/2010	3,662.10	10,753.80	7,091.70
MA	MASTERCARD INC CL A	4.0	7/11/2013	8/10/2009	815.12	2,389.73	1,574.61
MA	MASTERCARD INC CL A	15.0	7/11/2013	3/2/2011	3,704.60	8,961.50	5,256.90
MAR	MARRIOTT INTL INC	200.0	7/11/2013	4/23/2003	3,342.28	8,441.05	5,098.77
MAT	MATTEL INC	200.0	7/11/2013	2/23/2001	3,366.00	9,275.03	5,909.03
MDF	METROPOLITAN HEALTH NETWORKS INC COM						
MDF	C/A EFF 12/21/12 1 OLD/USD 11 25 P/S	1,789.0	7/11/2013	5/17/2011	8,562.51	20,126.25	11,563.74
MHFI	MCGRAW HILL FINL INC	100.0	7/11/2013	8/16/1999	2,535.62	5,724.90	3,189.28
MON	MONSANTO CO NEW	200.0	7/11/2013	7/25/2006	8,631.50	20,529.35	11,897.85
MON	MONSANTO CO NEW	171.0	7/11/2013	7/1/2010	7,935.77	17,552.59	9,616.82
MPC	MARATHON PETROLEUM CORP	60.0	7/11/2013	VARIOUS	1,446.06	4,169.69	2,723.63
MPC	MARATHON PETROLEUM CORP	100.0	7/11/2013	VARIOUS	2,470.63	7,042.93	4,572.30
MWIV	MWI VETERINARY SPLY INC COM	25.0	7/11/2013	7/1/2010	1,229.98	3,237.94	2,007.96
MYL	MYLAN INC	200.0	7/11/2013	11/10/2008	1,900.70	6,305.09	4,404.39
NBL	NOBLE ENERGY INC	200.0	7/11/2013	VARIOUS	4,886.50	12,729.79	7,843.29
NOC	NORTHROP GRUMMAN CORP	148.0	7/11/2013	1/3/2002	4,636.20	12,955.10	8,318.90
NOV	NATIONAL OILWELL VARCO INC	258.0	7/11/2013	7/1/2010	8,565.08	18,822.98	10,257.90
NWSA	NEWS CORP NEW CL A	125.0	7/11/2013	7/6/2009	4,263.45	1,897.26	(2,366.19)
NWSA	NEWS CORP NEW CL A	165.0	7/11/2013	7/25/2002	5,745.89	2,504.40	(3,241.49)
OMC	OMNICON GROUP INC	100.0	7/11/2013	3/10/2003	2,494.50	6,562.48	4,067.98
OPLK	OPLINK COMM INC COM NEW	100.0	7/11/2013	12/15/2008	763.00	1,902.96	1,139.96
PG	PROCTOR & GAMBLE CO	975.0	7/11/2013	VARIOUS	29,775.04	78,650.12	48,875.08
PG	PROCTOR & GAMBLE CO	200.0	7/11/2013	6/2/2000	6,501.20	16,133.36	9,632.16
PLD	PROLOGIS INC	89.0	7/11/2013	12/1/2010	1,484.33	3,463.82	1,979.49
PM	PHILIP MORRIS INTL INC COM	35.0	7/11/2013	7/1/2010	1,618.05	3,175.89	1,557.84
PNC	PNC FINL SVCS GROUP INC	24.0	7/11/2013	1/23/2009	710.28	1,771.56	1,061.28
PRU	PRUDENTIAL FINANCIAL INC	120.0	7/11/2013	4/17/2009	3,195.00	9,254.64	6,059.64
PSB	PS BUSINESS PKS INC	100.0	7/11/2013	2/23/2001	2,652.00	7,451.87	4,799.87
PXP	PLAINS EXPL & PRODTN CO	100.0	7/11/2013	3/2/2009	1,739.50	4,576.89	2,837.39
RF	REGIONS FINL CORP	700.0	7/11/2013	3/2/2009	2,458.54	6,862.38	4,403.84
ROST	ROSS STORES INC	200.0	7/11/2013	5/7/2009	1,835.25	13,450.96	11,615.71
RRC	RANGE RES CORP	100.0	7/11/2013	6/12/2012	3,303.67	7,802.46	4,498.79
S	SPRINT NEXTECH CORP FON C/A	1,000.0	7/11/2013	6/12/2012	1,834.80	5,647.65	3,812.85
SBUX	STARBUCKS CORP	212.0	7/11/2013	2/11/2010	4,797.35	14,614.65	9,817.30
SCI	SERVICE CORP INTL	400.0	7/11/2013	10/24/2003	1,890.00	7,370.15	5,480.15
SE	SPECTRA ENERGY CORP	250.0	7/11/2013	4/23/2003	3,435.18	8,885.84	5,450.67
SNTS	SANTARUS INC	500.0	7/11/2013	10/16/2007	1,007.50	12,029.95	11,022.45
SNTS	SANTARUS INC	372.0	7/11/2013	3/2/2011	1,172.36	8,950.28	7,777.92
STI	SUNTRUST BKS INC	100.0	7/11/2013	1/23/2009	1,408.17	3,323.54	1,915.37
STT	STATE STR CORP	200.0	7/11/2013	3/2/2009	4,470.86	13,725.78	9,254.92
TEL	TE CONNECTIVITY LTD	240.0	7/11/2013	4/17/2009	4,019.21	11,263.64	7,244.43
TGT	TARGET CORP	400.0	7/11/2013	8/21/2000	10,585.00	28,945.89	18,360.89
TPGI	THOMAS PPTY GROUP	1,000.0	7/11/2013	3/2/2009	1,519.50	5,473.50	3,954.00
TRIP	TRIPADVISOR INC COM	120.0	7/11/2013	4/17/2009	1,461.50	7,254.24	5,792.74
TRIP	TRIPADVISOR INC COM	32.0	7/11/2013	3/2/2011	682.53	1,934.46	1,251.93
TRV	TRAVELERS COS INC	99.0	7/11/2013	3/11/2003	2,986.82	8,166.18	5,179.36
TWX	TIME WARNER INC	433.0	7/11/2013	7/25/2002	7,850.25	26,731.88	18,881.63
TXI	TEXAS INDS INC	100.0	7/11/2013	4/23/2003	1,460.15	6,206.89	4,746.74

Bridge of Allen Foundation
REALIZED GAINS (LOSSES)
FYE 9/30/2013

EIN- 13-4148389

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TXN	TEXAS INSTRUMENTS INC	400.0	7/11/2013	VARIOUS	6,141.00	14,886.02	8,745.02
UAL	UNITED CONTL HLDGS INC	105.0	7/11/2013	7/21/2004	961.02	3,177.19	2,216.17
UMBF	UMB FINL CORP	140.0	7/11/2013	2/23/2001	2,533.33	8,207.34	5,674.01
UNP	UNION PACIFIC CORP	177.0	7/11/2013	2/11/2010	11,297.56	28,031.22	16,733.66
USB	US BANCORP DEL COM	700.0	7/11/2013	10/19/2011	9,350.11	25,888.04	16,537.93
V	VISA INC	100.0	7/11/2013	12/15/2008	5,314.50	18,827.22	13,512.72
V	VISA INC	16.0	7/11/2013	7/1/2010	1,163.20	3,012.35	1,849.15
V	VISA INC	15.0	7/11/2013	3/2/2011	1,115.00	2,824.08	1,709.08
VNO	VORNADO RLTY TR COM	100.0	7/11/2013	VARIOUS	3,771.54	8,672.85	4,901.31
VRSN	VERISIGN INC	100.0	7/11/2013	7/21/2004	1,752.00	4,552.52	2,800.52
VTR	VENTAS INC	100.0	7/11/2013	5/7/2009	2,735.50	7,109.88	4,374.38
WMT	WAL MART STORES INC	800.0	7/11/2013	8/26/1999	21,685.04	62,018.92	40,333.88
WYN	WINDHAM WORLDWIDE CORP	47.0	7/11/2013	12/29/2009	965.85	2,813.64	1,847.79
XLNX	XILINX INC	100.0	7/11/2013	7/25/2002	1,797.00	4,167.52	2,370.52
XLS	EXELIS INC	200.0	7/11/2013	1/3/2002	1,218.86	2,829.97	1,611.11
ZMH	ZIMMER HLDGS INC	70.0	7/11/2013	7/2/2001	1,804.94	5,472.04	3,667.10
ZMH	ZIMMER HLDGS INC	50.0	7/11/2013	3/17/1999	1,554.29	3,908.60	2,354.31
TOTAL LONG-TERM CAPITAL GAIN					1,802,269.46	4,905,320.20	3,103,050.74