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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

For calendar year 2012 or tax year beginning APR 23, 2013, and ending AUG 31, 2013

Name of foundation DOHERTY FAMILY CHARITABLE FOUNDATION C/O PNC BANK, N. A. - TRUSTEE		A Employer identification number 46-2608635
Number and street (or P.O. box number if mail is not delivered to street address) 1600 MARKET STREET - TAX DEPARTMENT	Room/suite	B Telephone number 215-585-5597
City or town, state, and ZIP code PHILADELPHIA, PA 19103-7240		C If exemption application is pending, check here ☒
G Check all that apply ☒ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 13,386,374. (Part I, column (d) must be on cash basis.)	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	8,560,911.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	88,591.	88,591.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	8,649,502.	8,649,502.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	10,291.	8,233.		2,058.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	10,291.	8,233.		2,058.
	25 Contributions, gifts, grants paid	0.			0.
26 Total expenses and disbursements. Add lines 24 and 25	10,291.	8,233.		2,058.	
27 Subtract line 26 from line 12	8,639,211.				
a Excess of revenue over expenses and disbursements		80,358.			
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶					
	Less allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U S and state government obligations					
	b Investments - corporate stock STMT 2	0.	8,016,569.	12,538,000.		
	c Investments - corporate bonds					
Liabilities	11 Investments - land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other STMT 3	0.	622,641.	848,374.		
	14 Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶					
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers)	0.	8,639,210.	13,386,374.		
	17 Accounts payable and accrued expenses					
	18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)	0.	0.				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	27 Capital stock, trust principal, or current funds	0.	8,639,210.			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.			
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.			
30 Total net assets or fund balances	0.	8,639,210.				
31 Total liabilities and net assets/fund balances	0.	8,639,210.				

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	0.
2 Enter amount from Part I, line 27a	2	8,639,211.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	8,639,211.
5 Decreases not included in line 2 (itemize) ▶ ADJUSTMENT TO BOOK CARRYING VALUE	5	1.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,639,210.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b NONE					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

N/A

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011			
2010			
2009			
2008			
2007			
2 Total of line 1, column (d)			2
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4
5 Multiply line 4 by line 3			5
6 Enter 1% of net investment income (1% of Part I, line 27b)			6
7 Add lines 5 and 6			7
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	1,607.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,607.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,607.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012		6a	
b Exempt foreign organizations - tax withheld at source		6b	
c Tax paid with application for extension of time to file (Form 8868)		6c	2,400.
d Backup withholding erroneously withheld		6d	
7 Total credits and payments. Add lines 6a through 6d		7	2,400.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	793.
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ 793. Refunded ☐		11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	N/A		
14	The books are in care of ► PNC BANK, N. A. - TRUSTEE Telephone no ► 215-585-5597 Located at ► 1600 MARKET STREET - TAX DEPT., PHILADELPHIA, PA ZIP+4 ► 19103-7240			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►		Yes X	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No **7b** N/A

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No **6b** X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PNC BANK, N. A. 1600 MARKET STREET PHILADELPHIA, PA 19103-7240	TRUSTEE 0.00	10,291.	0.	0.
RICHARD WAYNE RAFFETY C/O PNC BANK, N. A. - 1600 MARKET STR PHILADELPHIA, PA 19103-7240	TRUSTEE 2.00	0.	0.	0.
VERNON C. WALKER C/O PNC BANK, N. A. - 1600 MARKET STR PHILADELPHIA, PA 19103-7240	TRUSTEE 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	13,575,949.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	13,575,949.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	13,575,949.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	203,639.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,372,310.
6	Minimum investment return. Enter 5% of line 5 ADJUSTED FOR SHORT TAX PERIOD	6	239,966.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	239,966.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,607.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,607.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	238,359.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	238,359.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	238,359.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,058.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,058.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,058.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				238,359.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 2,058.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				2,058.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				236,301.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

DOHERTY FAMILY CHARITABLE FOUNDATION

Form 990-PF (2012)

C/O PNC BANK, N. A. - TRUSTEE

46-2608635

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year

(a) 2012

(b) 2011

Prior 3 years

(c) 2010

(d) 2009

(e) Total

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

DOHERTY FAMILY CHARITABLE FOUNDATION

Form 990-PF (2012)

C/O PNC BANK, N. A. - TRUSTEE

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Part XV Supplementary Information (continued)**3. Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
NONE				
Total			3a	0.
b Approved for future payment				
NONE				
Total			3b	0.

Form 990-PF (2012)

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Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012**Name of the organization**DOHERTY FAMILY CHARITABLE FOUNDATION
C/O PNC BANK, N. A. - TRUSTEE**Employer identification number**

46-2608635

Organization type (check one):**Filers of:****Section:**

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)**

Name of organization
DOHERTY FAMILY CHARITABLE FOUNDATION
C/O PNC BANK, N. A. - TRUSTEE

Employer identification number

46-2608635**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	CAROLINE M. DOHERTY REVOCABLE TRUST - DEATH OF MS. DOHERTY C/O PNC BANK, N. A. - 1600 MARKET STREET PHILADELPHIA, PA 19103-7240	\$ <u>193,804.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
<u>2</u>	CAROLINE M. DOHERTY REVOCABLE TRUST - DEATH OF MS. DOHERTY C/O PNC BANK, N. A. - 1600 MARKET STREET PHILADELPHIA, PA 19103-7240	\$ <u>8,367,107.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Employer identification number

46-2608635

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

[illegible]

Name of organization

Employer identification number

DOHERTY FAMILY CHARITABLE FOUNDATION
C/O PNC BANK, N. A. - TRUSTEE

46-2608635

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DOMESTIC DIVIDENDS	88,155.	0.	88,155.
DOMESTIC DIVIDENDS	436.	0.	436.
TOTAL TO FM 990-PF, PART I, LN 4	88,591.	0.	88,591.

FORM 990-PF

CORPORATE STOCK

STATEMENT 2

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCK	7,992,250.	12,512,640.
PREFERRED STOCK	24,319.	25,360.
TOTAL TO FORM 990-PF, PART II, LINE 10B	8,016,569.	12,538,000.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	3
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CASH EQUIVALENTS	COST	272,103.	272,103.
MUTUAL FUNDS - FIXED	COST	119,499.	119,732.
MUTUAL FUNDS - EQUITY	COST	231,039.	456,539.
TOTAL TO FORM 990-PF, PART II, LINE 13		622,641.	848,374.

SUMMARY OF INVESTMENTS

AS OF 08/31/13

ACCOUNT
21-35-001-4769416

ROBERT H DOHERTY MEMORIAL FDN

PAGE 1

	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET
PRINCIPAL CASH						
FIXED INCOME MUTUAL FUNDS - FIXED	119,498.90	120,200.65	119,731.50	.913	933.95	.780
EQUITIES						
COMMON STOCK	7,992,249.78	11,244,297.99	12,512,640.47	95.412	340,083.86	2.718
PREFERRED STOCK	24,319.00	25,115.00	25,360.00	.193	1,525.00	6.013
MUTUAL FUNDS - EQUITY	231,039.42	395,004.78	456,538.51	3.481	10,409.16	2.280
TOTAL EQUITIES	8,247,608.20	11,664,417.77	12,994,538.98	99.087	352,018.02	2.709
TOTAL PRINCIPAL ASSETS	8,367,107.10	11,784,618.42	13,114,270.48	100.000	352,951.97	2.691
CASH EQUIVALENTS	272,103.26	272,103.26	272,103.26	100.000	27.21	.010
ACCOUNT TOTAL	8,639,210.36	12,056,721.68	13,386,373.74		352,979.18	2.637

STATEMENT OF INVESTMENTS

AS OF 08/31/13

ACCOUNT
21-35-001-4769416

ROBERT H DOHERTY MEMORIAL FDN

PAGE 2

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
CASH EQUIVALENTS								
272,103.260	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 (INCOME INVESTMENT)	272,103.26	272,103.26	272,103.26	2.033	27.21	.010	1.000
TOTAL CASH EQUIVALENTS								
		272,103.26	272,103.26	272,103.26	2.033	27.21	.010	
COMMON STOCK								
2,300.000	AFLAC INC	116,105.61	116,105.61	132,917.00	.993	3,220.00	2.423	57.790
3,312.000	AT&T INC	59,641.00	124,084.08	112,044.96	.837	5,961.60	5.321	33.830
11,000.000	ABBOTT LABORATORIES INC	220,302.66	361,888.44	366,630.00	2.739	6,160.00	1.680	33.330
11,000.000	ABBVIE INC-WHEN ISSUED	238,899.42	392,437.11	468,710.00	3.501	17,600.00	3.755	42.610
6,095.000	AGILENT TECHNOLOGIES (IPO)	151,624.67	239,350.65	284,270.80	2.124	2,925.60	1.029	46.640
1,500.000	AIR PRODUCTS & CHEMICALS INC	118,721.40	118,721.40	153,210.00	1.145	4,260.00	2.780	102.140
8,000.000	ALTRIA GROUP INC	75,540.71	266,400.00	271,040.00	2.025	15,360.00	5.667	33.880
6,500.000	AMERICAN WATER WORKS CO INC	203,049.60	237,120.00	264,810.00	1.978	7,280.00	2.749	40.740
9,370.000	AQUA AMERICA INC	130,640.50	235,045.98	284,566.90	2.126	5,696.96	2.002	30.370
1,150.000	BERKSHIRE HATHAWAY INC CLASS B	92,759.00	101,976.25	127,903.00	.955			111.220
3,374.000	BOEING CO	147,190.75	241,966.41	350,626.08	2.619	6,545.56	1.867	103.920

STATEMENT OF INVESTMENTS

AS OF 08/31/13

ACCOUNT

21-35-001-4769416

ROBERT H DOHERTY MEMORIAL FDN

PAGE 3

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
7,000.000	BRISTOL MYERS SQUIBB CO	453,045.85	234,115.00	291,830.00	2.180	9,800.00	3.358	41.690
3,100.000	CENTURYLINK INC	112,699.96	124,332.46	102,672.00	.767	6,696.00	6.522	33.120
1,200.000	CHEVRON CORPORATION	58,350.00	140,820.00	144,516.00	1.080	4,800.00	3.321	120.430
4,000.000	CISCO SYSTEMS INC	76,415.00	78,060.00	93,240.00	.697	2,720.00	2.917	23.310
4,000.000	COCA COLA CO	85,300.00	153,120.00	152,720.00	1.141	4,480.00	2.933	38.180
1,900.000	CONOCOPHILLIPS	66,364.21	110,950.50	125,970.00	.941	5,244.00	4.163	66.300
3,200.000	DANAHER CORP	122,497.28	176,688.00	209,664.00	1.566	320.00	.153	65.520
3,000.000	DOMINION RESOURCES INC VA	94,440.00	159,480.00	175,050.00	1.308	6,750.00	3.856	58.350
3,550.000	DOVER CORP	142,099.50	215,485.00	301,927.50	2.255	5,325.00	1.764	85.050
1,666.000	DUKE ENERGY HOLDING CORP	70,160.65	107,645.33	109,289.60	.816	5,197.92	4.756	65.600
2,400.000	EMERSON ELECTRIC CO	121,062.96	121,062.96	144,888.00	1.082	3,936.00	2.717	60.370
6,825.000	EXXON MOBIL CORP	304,925.93	626,705.63	594,867.00	4.444	17,199.00	2.891	87.160
15,000.000	GENERAL ELECTRIC CO	542,190.00	332,625.00	347,100.00	2.593	11,400.00	3.284	23.140
9,000.000	HEWLETT-PACKARD CO	140,704.60	133,249.60	201,060.00	1.502	5,229.00	2.601	22.340
3,000.000	HOLLYFRONTIER CORP	119,715.00	119,715.00	133,440.00	.997	3,600.00	2.698	44.480
1,900.000	ILLINOIS TOOL WORKS INC	117,111.63	117,111.63	135,793.00	1.014	3,192.00	2.351	71.470
1,996.000	INTERNATIONAL BUSINESS MACHS CORP	277,021.25	412,872.60	363,810.92	2.718	7,584.80	2.085	182.270

STATEMENT OF INVESTMENTS

AS OF 08/31/13

ACCOUNT
21-35-001-4769416

ROBERT H DOHERTY MEMORIAL FDN

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PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
1,700.000	JOHNSON & JOHNSON	111,904.93	117,599.93	146,897.00	1.097	4,488.00	3.055	86.410
2,345.000	KRAFT FOODS GROUP INC	46,351.61	98,164.02	121,400.65	.907	4,924.50	4.056	51.770
400.000	LILLY ELI & CO	16,508.00	18,704.00	20,560.00	.154	784.00	3.813	51.400
11,665.000	M&T BK CORP	770,434.89	1,101,409.30	1,322,111.10	9.877	32,662.00	2.470	113.340
1,400.000	MCDONALD'S CORP	121,798.04	121,798.04	132,104.00	.987	4,536.00	3.434	94.360
6,000.000	MICROSOFT CORP	137,302.50	186,180.00	200,400.00	1.497	6,720.00	3.353	33.400
7,036.000	MONDELEZ INTERNATIONAL	86,367.55	182,910.28	215,794.12	1.612	3,940.16	1.826	30.670
5,000.000	NATIONAL PENN BANCSHARES INC	42,800.00	48,325.00	50,200.00	.375	2,000.00	3.984	10.040
10,800.000	PEPSICO INC	392,180.40	762,966.00	861,084.00	6.433	24,516.00	2.847	79.730
5,000.000	PFIZER INC	115,462.50	119,525.00	141,050.00	1.054	4,800.00	3.403	28.210
8,000.000	PHILIP MORRIS INTERNAT-W/I	173,544.95	715,560.00	667,520.00	4.987	30,080.00	4.506	83.440
950.000	PHILLIPS 66	20,925.76	44,759.73	54,245.00	.405	1,482.00	2.732	57.100
6,000.000	ST JOE COMPANY	132,081.60	133,290.00	115,860.00	.866	3,840.00	3.314	19.310
1,500.000	SPECTRA ENERGY CORP	31,211.47	43,342.50	49,665.00	.371	1,830.00	3.685	33.110
4,000.000	SYSCO CORP	118,078.60	121,738.60	128,080.00	.957	4,480.00	3.498	32.020
2,300.000	TARGET CORP	79,760.00	148,867.50	145,613.00	1.088	3,956.00	2.717	63.310
7,000.000	TEXAS INDUSTRIES INC	173,755.14	311,920.00	410,900.00	3.070	2,100.00	.511	58.700

S T A T E M E N T O F I N V E S T M E N T S

AS OF 08/31/13

ACCOUNT
21-35-001-4769416

ROBERT H DOHERTY MEMORIAL FDN

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PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
1,480.000	3M COMPANY	129,412.43	135,084.90	168,098.40	1.256	3,759.20	2.236	113.580
2,000.000	TRIUMPH GROUP INC NEW	117,660.00	124,350.00	143,940.00	1.075	320.00	.222	71.970
1,750.000	UNITED PARCEL SERVICE CL B	129,412.41	128,310.81	149,765.00	1.119	4,340.00	2.898	85.580
4,800.000	URBAN OUTFITTERS INC	131,184.00	190,248.00	201,264.00	1.503			41.930
5,288.000	VERIZON COMMUNICATIONS INC	169,734.42	236,717.32	250,545.44	1.872	11,210.56	4.474	47.380
2,200.000	VULCAN MATERIALS CO	121,657.37	106,733.00	105,160.00	.786	88.00	.084	47.800
1,800.000	WAL-MART STORES INC	110,217.92	133,192.92	131,364.00	.981	3,384.00	2.576	72.980
1,700.000	ZIMMER HOLDINGS INC	53,924.15	113,466.50	134,453.00	1.004	1,360.00	1.012	79.090
	TOTAL COMMON STOCK	7,992,249.78	11,244,297.99	12,512,640.47	93.476	340,083.86	2.718	
	PREFERRED STOCK							
1,000.000	CITIGROUP CAPITAL X CALLABLE 09/30/2008 06.100% DUE 09/30/2033	24,319.00	25,115.00	25,360.00	.189	1,525.00	6.013	25.360
	TOTAL PREFERRED STOCK	24,319.00	25,115.00	25,360.00	.189	1,525.00	6.013	
	MUTUAL FUNDS - FIXED							
710.000	ISHARES 1-3 YEAR TREASURY BOND ETF	59,945.30	59,963.05	59,853.00	.447	160.46	.268	84.300
570.000	ISHARES 1-3 YEAR CREDIT BOND ETF	59,553.60	60,237.60	59,878.50	.447	773.49	1.292	105.050

S T A T E M E N T O F I N V E S T M E N T S

AS OF 08/31/13

ACCOUNT
21-35-001-4769416

ROBERT H DOHERTY MEMORIAL FDN

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PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
	TOTAL MUTUAL FUNDS - FIXED	119,498.90	120,200.65	119,731.50	.894	933.95	.780	
	MUTUAL FUNDS - EQUITY							
2,329.000	ISHARES RUSSELL 2000 VALUE ETF	98,213.93	178,727.46	202,459.97	1.512	4,425.10	2.186	86.931
1,526.000	ISHARES RUSSELL 2000 GROWTH ETF	73,614.24	150,608.57	179,366.04	1.340	2,234.06	1.246	117.541
1,250.000	SOURCE CAPITAL INC	59,211.25	65,668.75	74,712.50	.558	3,750.00	5.019	59.771
	TOTAL MUTUAL FUNDS - EQUITY	231,039.42	395,004.78	456,538.51	3.410	10,409.16	2.280	
	TOTAL INVESTMENTS	8,639,210.36	12,056,721.68	13,386,373.74	100.000	352,979.18	2.636	

BANK 21
REGION 35
OFFICE 001

- A C C O U N T T R A N S A C T I O N L E D G E R -
01/01/13 THROUGH 08/31/13
ACCOUNT 4769416 ROBERT H DOHERTY MEMORIAL FDN

DATE 12/06/13
TIME 13:55
PAGE 0001

- TRANSACTION -		- C O D E S -		- C A S H -	
DATE	DESCRIPTION	TRAN TAX BKR/PAYEE	ENTRY	INCOME	PRINCIPAL
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 2,300.000 SHARES PREFUND PVT FDN PER SECTION SECOND AFLAC INC	083 802 9998 41924-00001 BATCH ATA ASSET # 001055102		.00	116,105.61
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 3,312.000 SHARES PREFUND PVT FDN PER SECTION SECOND AT&T INC	083 802 9998 41924-00002 BATCH ATA ASSET # 00206R102		.00	59,641.00
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 11,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND ABBOTT LABORATORIES INC	083 802 9998 41924-00003 BATCH ATA ASSET # 002824100		.00	220,302.66
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 11,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND ABBVIE INC-WHEN ISSUED	083 802 9998 41924-00004 BATCH ATA ASSET # 00287Y109		.00	238,899.42
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 6,095.000 SHARES PREFUND PVT FDN PER SECTION SECOND AGILENT TECHNOLOGIES (IPO)	083 802 9998 41924-00005 BATCH ATA ASSET # 00846U101		.00	151,624.67
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,500.000 SHARES PREFUND PVT FDN PER SECTION SECOND AIR PRODUCTS & CHEMICALS INC	083 802 9998 41924-00006 BATCH ATA ASSET # 009158106		.00	118,721.40
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 8,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND ALTRIA GROUP INC	083 802 9998 41924-00007 BATCH ATA ASSET # 02209S103		.00	75,540.71
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 6,500.000 SHARES PREFUND PVT FDN PER SECTION SECOND AMERICAN WATER WORKS CO INC	083 802 9998 41924-00008 BATCH ATA ASSET # 030420103		.00	203,049.60
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 9,370.000 SHARES PREFUND PVT FDN PER SECTION SECOND AQUA AMERICA INC	083 802 9998 41924-00009 BATCH ATA ASSET # 03836W103		.00	130,640.50

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-TRANSACTION- DATE DESCRIPTION		- CODES - TRAN TAX BKR/PAYEE ENTRY		- C A S H - INCOME PRINCIPAL		INVESTMENT
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,150.000 SHARES PREFUND PVT FDN PER SECTION SECOND BERKSHIRE HATHAWAY INC CLASS B	083 802 9998 41924-00010 BATCH ATA ASSET # 084670702		.00	.00	92,759.00
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 3,374.000 SHARES PREFUND PVT FDN PER SECTION SECOND BOEING CO	083 802 9998 41924-00011 BATCH ATA ASSET # 097023105		.00	.00	147,190.75
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 7,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND BRISTOL MYERS SQUIBB CO	083 802 9998 41924-00012 BATCH ATA ASSET # 110122108		.00	.00	453,045.85
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,500.000 SHARES PREFUND PVT FDN PER SECTION SECOND CENTURYLINK INC	083 802 9998 41924-00013 BATCH ATA ASSET # 156700106		.00	.00	54,532.25
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,600.000 SHARES PREFUND PVT FDN PER SECTION SECOND CENTURYLINK INC	083 802 9998 41924-00014 BATCH ATA ASSET # 156700106		.00	.00	58,167.71
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,200.000 SHARES PREFUND PVT FDN PER SECTION SECOND CHEVRON CORPORATION	083 802 9998 41924-00015 BATCH ATA ASSET # 166764100		.00	.00	58,350.00
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 4,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND CISCO SYSTEMS INC	083 802 9998 41924-00016 BATCH ATA ASSET # 17275R102		.00	.00	76,415.00
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND CITIGROUP CAPITAL X CALLABLE 09/30/2008 06.100% DUE 09/30/2033	083 802 9998 41924-00017 BATCH ATA ASSET # 173064205		.00	.00	24,319.00
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 4,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND COCA COLA CO	083 802 9998 41924-00018 BATCH ATA ASSET # 191216100		.00	.00	85,300.00

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04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,900.000 SHARES PREFUND PVT FDN PER SECTION SECOND CONOCOPHILLIPS	083 802 9998 41924-00019 BATCH ATA ASSET # 20825C104		.00	.00	66,364.21
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 3,200.000 SHARES PREFUND PVT FDN PER SECTION SECOND DANAHER CORP	083 802 9998 41924-00020 BATCH ATA ASSET # 235851102		.00	.00	122,497.28
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 3,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND DOMINION RESOURCES INC VA	083 802 9998 41924-00021 BATCH ATA ASSET # 25746U109		.00	.00	94,440.00
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 3,550.000 SHARES PREFUND PVT FDN PER SECTION SECOND DOVER CORP	083 802 9998 41924-00022 BATCH ATA ASSET # 260003108		.00	.00	142,099.50
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,666.000 SHARES PREFUND PVT FDN PER SECTION SECOND DUKE ENERGY HOLDING CORP	083 802 9998 41924-00023 BATCH ATA ASSET # 26441C204		.00	.00	70,160.65
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 2,400.000 SHARES PREFUND PVT FDN PER SECTION SECOND EMERSON ELECTRIC CO	083 802 9998 41924-00024 BATCH ATA ASSET # 291011104		.00	.00	121,062.96
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 6,825.000 SHARES PREFUND PVT FDN PER SECTION SECOND EXXON MOBIL CORP	083 802 9998 41924-00025 BATCH ATA ASSET # 30231G102		.00	.00	304,925.93
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 15,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND GENERAL ELECTRIC CO	083 802 9998 41924-00026 BATCH ATA ASSET # 369604103		.00	.00	542,190.00
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 2,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND HEWLETT-PACKARD CO	083 802 9998 41924-00027 BATCH ATA ASSET # 428236103		.00	.00	31,267.68
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 7,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND HEWLETT-PACKARD CO	083 802 9998 41924-00028 BATCH ATA ASSET # 428236103		.00	.00	109,436.92

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04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 3,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND HOLLYFRONTIER CORP	083 802 9998 41924-00029 BATCH ATA ASSET # 436106108	.00 119,715.00
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,900.000 SHARES PREFUND PVT FDN PER SECTION SECOND ILLINOIS TOOL WORKS INC	083 802 9998 41924-00030 BATCH ATA ASSET # 452308109	.00 117,111.63
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,996.000 SHARES PREFUND PVT FDN PER SECTION SECOND INTERNATIONAL BUSINESS MACHS CORP	083 802 9998 41924-00031 BATCH ATA ASSET # 459200101	.00 277,021.25
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 710.000 SHARES PREFUND PVT FDN PER SECTION SECOND ISHARES BARCLAYS 1-3 YR TREAS INDEX FD ETF	083 802 9998 41924-00032 BATCH ATA ASSET # 464287457	.00 59,945.30
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 2,329.000 SHARES PREFUND PVT FDN PER SECTION SECOND ISHARES RUSSELL 2000 VALUE INDEX FUND ETF	083 802 9998 41924-00033 BATCH ATA ASSET # 464287630	.00 98,213.93
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,526.000 SHARES PREFUND PVT FDN PER SECTION SECOND ISHARES RUSSELL 2000 GROWTH INDEX FUND ETF	083 802 9998 41924-00034 BATCH ATA ASSET # 464287648	.00 73,614.24
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 570.000 SHARES PREFUND PVT FDN PER SECTION SECOND ISHARES BARCLAYS 1-3 YEAR CRED ETF	083 802 9998 41924-00035 BATCH ATA ASSET # 464288646	.00 59,553.60
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND JOHNSON & JOHNSON	083 802 9998 41924-00036 BATCH ATA ASSET # 478160104	.00 65,826.43

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04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 700.000 SHARES PREFUND PVT FDN PER SECTION SECOND JOHNSON & JOHNSON	083 802 9998 41924-00037 BATCH ATA ASSET # 478160104		.00		46,078.50
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 2,345.000 SHARES PREFUND PVT FDN PER SECTION SECOND KRAFT FOODS GROUP INC	083 802 9998 41924-00038 BATCH ATA ASSET # 50076Q106		.00		46,351.61
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 400.000 SHARES PREFUND PVT FDN PER SECTION SECOND LILLY ELI & CO	083 802 9998 41924-00039 BATCH ATA ASSET # 532457108		.00		16,508.00
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 11,665.000 SHARES PREFUND PVT FDN PER SECTION SECOND M&T BK CORP	083 802 9998 41924-00040 BATCH ATA ASSET # 55261F104		.00		770,434.89
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,400.000 SHARES PREFUND PVT FDN PER SECTION SECOND MCDONALD'S CORP	083 802 9998 41924-00041 BATCH ATA ASSET # 580135101		.00		121,798.04
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 6,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND MICROSOFT CORP	083 802 9998 41924-00042 BATCH ATA ASSET # 594918104		.00		137,302.50
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 7,036.000 SHARES PREFUND PVT FDN PER SECTION SECOND MONDELEZ INTERNATIONAL	083 802 9998 41924-00043 BATCH ATA ASSET # 609207105		.00		86,367.55
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 5,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND NATIONAL PENN BANCSHARES INC	083 802 9998 41924-00044 BATCH ATA ASSET # 637138108		.00		42,800.00
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 10,800.000 SHARES PREFUND PVT FDN PER SECTION SECOND PEPSICO INC	083 802 9998 41924-00045 BATCH ATA ASSET # 713448108		.00		392,180.40
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 5,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND PFIZER INC	083 802 9998 41924-00046 BATCH ATA ASSET # 717081103		.00		115,462.50

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04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 8,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND PHILIP MORRIS INTERNAT-W/I	083 802 9998 41924-00047 BATCH ATA ASSET # 718172109		.00		173,544.95	
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 950.000 SHARES PREFUND PVT FDN PER SECTION SECOND PHILLIPS 66	083 802 9998 41924-00048 BATCH ATA ASSET # 718546104		.00		20,925.76	
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 6,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND ST JOE COMPANY	083 802 9998 41924-00049 BATCH ATA ASSET # 790148100		.00		132,081.60	
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,250.000 SHARES PREFUND PVT FDN PER SECTION SECOND SOURCE CAPITAL INC	083 802 9998 41924-00050 BATCH ATA ASSET # 836144105		.00		59,211.25	
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,500.000 SHARES PREFUND PVT FDN PER SECTION SECOND SPECTRA ENERGY CORP	083 802 9998 41924-00051 BATCH ATA ASSET # 847560109		.00		31,211.47	
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 2,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND SYSCO CORP	083 802 9998 41924-00052 BATCH ATA ASSET # 871829107		.00		59,039.30	
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 2,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND SYSCO CORP	083 802 9998 41924-00053 BATCH ATA ASSET # 871829107		.00		59,039.30	
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 2,300.000 SHARES PREFUND PVT FDN PER SECTION SECOND TARGET CORP	083 802 9998 41924-00054 BATCH ATA ASSET # 87612E106		.00		79,760.00	
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 7,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND TEXAS INDUSTRIES INC	083 802 9998 41924-00055 BATCH ATA ASSET # 882491103		.00		173,755.14	
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 730.000 SHARES PREFUND PVT FDN PER SECTION SECOND 3M COMPANY	083 802 9998 41924-00056 BATCH ATA ASSET # 88579Y101		.00		63,831.81	

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04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 750.000 SHARES PREFUND PVT FDN PER SECTION SECOND 3M COMPANY	083 802 9998 41924-00057 BATCH ATA ASSET # 88579Y101		.00	.00	65,580.62
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 2,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND TRIUMPH GROUP INC NEW	083 802 9998 41924-00058 BATCH ATA ASSET # 896818101		.00	.00	117,660.00
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 800.000 SHARES PREFUND PVT FDN PER SECTION SECOND UNITED PARCEL SERVICE CL B	083 802 9998 41924-00059 BATCH ATA ASSET # 911312106		.00	.00	59,159.96
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 950.000 SHARES PREFUND PVT FDN PER SECTION SECOND UNITED PARCEL SERVICE CL B	083 802 9998 41924-00060 BATCH ATA ASSET # 911312106		.00	.00	70,252.45
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 4,800.000 SHARES PREFUND PVT FDN PER SECTION SECOND URBAN OUTFITTERS INC	083 802 9998 41924-00061 BATCH ATA ASSET # 917047102		.00	.00	131,184.00
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 5,288.000 SHARES PREFUND PVT FDN PER SECTION SECOND VERIZON COMMUNICATIONS INC	083 802 9998 41924-00062 BATCH ATA ASSET # 92343V104		.00	.00	169,734.42
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 2,200.000 SHARES PREFUND PVT FDN PER SECTION SECOND VULCAN MATERIALS CO	083 802 9998 41924-00063 BATCH ATA ASSET # 929160109		.00	.00	121,657.37
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,000.000 SHARES PREFUND PVT FDN PER SECTION SECOND WAL-MART STORES INC	083 802 9998 41924-00064 BATCH ATA ASSET # 931142103		.00	.00	61,232.18
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 800.000 SHARES PREFUND PVT FDN PER SECTION SECOND WAL-MART STORES INC	083 802 9998 41924-00065 BATCH ATA ASSET # 931142103		.00	.00	48,985.74
04-24-13	TRANSFER FROM 35-35-001-1089211 RECEIVED 1,700.000 SHARES PREFUND PVT FDN PER SECTION SECOND ZIMMER HOLDINGS INC	083 802 9998 41924-00066 BATCH ATA ASSET # 98956P102		.00	.00	53,924.15