EXTENSION GRANTED UNTIL JULY 15, 2014

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to public inspection

For calendar year 2012 or tax year beginning SEP 1, 2012 , and ending AUG 31, 2013

Name of foundation
THE SCHWARTZ FOUNDATION, INC.
C/O ROBERT SCHWARTZ

A Employer identification number
23-2267403

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
821 EAST GATE DRIVE

ORIGINAL
For Taxing Authorities

B Telephone number
856-778-9200

City or town, state, and ZIP code
MT. LAUREL, NJ 08054

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 24,522,419.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	300,000.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	111,668.	111,668.		STATEMENT 1
	4 Dividends and interest from securities	252,786.	252,786.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	363,803.			
	b Gross sales price for all assets on line 6a 2,858,496.				
	7 Capital gain net income (from Part IV, line 2)		363,803.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	3,521.	3,521.		STATEMENT 3
	12 **Total** Add lines 1 through 11	1,031,778.	731,778.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	14,200.	7,100.		7,100.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 5	7,300.	1,200.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	44,069.	44,069.		0.
	24 **Total operating and administrative expenses** Add lines 13 through 23	65,569.	52,369.		7,100.
	25 Contributions, gifts, grants paid	1,432,367.			1,432,367.
	26 **Total expenses and disbursements** Add lines 24 and 25	1,497,936.	52,369.		1,439,467.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-466,158.			
	b **Net investment income** (if negative, enter -0-)		679,409.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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12-05-12 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2012)

13360617 759120 3962.700 2012.05090 THE SCHWARTZ FOUNDATION, IN 3962_701

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	150,446.	224,695.	224,695.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	165,723.	83,776.	95,535.
	b Investments - corporate stock STMT 8	2,977,424.	3,034,993.	4,044,533.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans STMT 9	1,651,822.	1,442,827.	1,448,624.
	13 Investments - other STMT 10	16,581,029.	16,273,995.	18,709,032.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	21,526,444.	21,060,286.	24,522,419.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	23,720,181.	23,720,181.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	-2,193,737.	-2,659,895.	
	30 **Total net assets or fund balances**	21,526,444.	21,060,286.	
	31 **Total liabilities and net assets/fund balances**	21,526,444.	21,060,286.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	21,526,444.
2	Enter amount from Part I, line 27a	2	-466,158.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	21,060,286.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	21,060,286.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	2,858,496.		2,494,693.	363,803.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				363,803.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	363,803.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	1,284,390.	22,462,914.	.057178
2010	1,417,787.	23,317,701.	.060803
2009	1,351,492.	21,611,214.	.062537
2008	3,186,002.	22,186,814.	.143599
2007	1,527,058.	24,549,311.	.062204

2 **Total** of line 1, column (d)	2	.386321
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.077264
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	23,884,137.
5 Multiply line 4 by line 3	5	1,845,384.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,794.
7 Add lines 5 and 6	7	1,852,178.
8 Enter qualifying distributions from Part XII, line 4	8	1,439,467.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1				
Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	13,588.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	13,588.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-			5	13,588.
6 Credits/Payments:				
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	10,700.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	6,000.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	16,700.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	7.
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	3,105.
11 Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶	3,105.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
(1) On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
• By language in the governing instrument, or			
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year?	7	X	
If "Yes," complete Part II, col (c), and Part XV			
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ PA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? N/A	13		

Website address ▶ N/A

14 The books are in care of ▶ OFFICER Telephone no. ▶856-778-9200
Located at ▶821 EAST GATE DRIVE, MT LAUREL, NJ ZIP+4 ▶08054

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to.
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:		
a	Average monthly fair market value of securities	1a	24,023,518.
b	Average of monthly cash balances	1b	224,337.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	24,247,855.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	24,247,855.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	363,718.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	23,884,137.
6	**Minimum investment return** Enter 5% of line 5	6	1,194,207.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,194,207.
2a	Tax on investment income for 2012 from Part VI, line 5 — 2a: 13,588.		
b	Income tax for 2012. (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	13,588.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,180,619.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,180,619.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,180,619.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,439,467.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,439,467.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	1,439,467.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,180,619.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years: ___ , ___ , ___		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007 356,364.				
b From 2008 2,088,319.				
c From 2009 281,577.				
d From 2010 263,835.				
e From 2011 170,109.				
f **Total** of lines 3a through e	3,160,204.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶$ 1,439,467.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				1,180,619.
e Remaining amount distributed out of corpus	258,848.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,419,052.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	356,364.			
9 **Excess distributions carryover to 2013** Subtract lines 7 and 8 from line 6a	3,062,688.			
10 Analysis of line 9.				
a Excess from 2008 2,088,319.				
b Excess from 2009 281,577.				
c Excess from 2010 263,835.				
d Excess from 2011 170,109.				
e Excess from 2012 258,848.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

ROBERT SCHWARTZ

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ABRAMSON CENTER FOR JEWISH LIFE 1425 HORSHAM ROAD NORTH WALES, PA 19454	NONE	PUBLIC	CHARITABLE	1,800.
ADULTS WITH DEVELOPMENTAL DISABILITIES 261 OLD YORK ROAD #A-50 JENKINTOWN, PA 19046	NONE	PUBLIC	CHARITABLE	5,730.
ADL 1617 JFK BLVD #1160 PHILADELPHIA, PA 19103	NONE	PUBLIC	CHARITABLE	5,000.
BETHESDA PROJECT 1630 SOUTH STREET PHILADELPHIA, PA 19146	NONE	PUBLIC	CHARITABLE	30,000.
CHABAD 625 MONTGOMERY AVENUE MERION STATION, PA 19066	NONE	PUBLIC	CHARITABLE	1,800.
Total SEE CONTINUATION SHEET(S)			▶ 3a	1,432,367.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Form **990-PF** (2012)

223611
12-05-12

13360617 759120 3962.700 2012.05090 THE SCHWARTZ FOUNDATION, IN 3962_701

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	111,668.	
4 Dividends and interest from securities			14	252,786.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	3,521.	
8 Gain or (loss) from sales of assets other than inventory			18	363,803.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		731,778.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 731,778.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Signature of officer or trustee	Date	Title
[signature]	6/25/14	PRESIDENT

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
ALLISON SHOEMAKER	Allison Shoemaker	6/23/14		P00468621
Firm's name ▶ KREISCHER MILLER			Firm's EIN ▶ 23-1980475	
Firm's address ▶ 100 WITMER ROAD, SUITE 350 HORSHAM, PA 19044-2369			Phone no (215)441-4600	

Form 990-PF (2012)

Allison Shoemaker
P00468621

223622
12-05-12

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g , real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo , day, yr.)
1a	UBS #27735 - SEE ATTACHED	P	VARIOUS	08/31/13
b	UBS #27735 - SEE ATTACHED	P	VARIOUS	08/31/13
c	UBS #27736 - SEE ATTACHED	P	VARIOUS	08/31/13
d	UBS #27736 - SEE ATTACHED	P	VARIOUS	08/31/13
e	UBS #32919 - SEE ATTACHED	P	VARIOUS	08/31/13
f	UBS #32919 - SEE ATTACHED	P	VARIOUS	08/31/13
g	STATE STREET #0570 - SEE ATTACHED	P	VARIOUS	08/31/13
h			VARIOUS	08/31/13
i	CAPITAL GAINS DIVIDENDS			
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,577,239.		1,552,377.	24,862.
b	484,562.		284,627.	199,935.
c	58,146.		44,494.	13,652.
d	228,102.		157,417.	70,685.
e	155,140.		152,821.	2,319.
f	159,698.		148,156.	11,542.
g	157,373.		154,801.	2,572.
h				0.
i	38,236.			38,236.
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				24,862.
b				199,935.
c				13,652.
d				70,685.
e				2,319.
f				11,542.
g				2,572.
h				0.
i				38,236.
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	363,803.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

223591
05-01-12

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHEVRA 2002 LUDLOW STREET PHILADELPHIA, PA 19103	NONE	PUBLIC	CHARITABLE	3,600.
CONGRETION RODEPH SHALOM 615 N BROAD STREET PHILADELPHIA, PA 19123	NONE	PUBLIC	CHARITABLE	500.
CORA 1110 SHAWNEE ROAD LIMA, OH 45802	NONE	PUBLIC	CHARITABLE	750.
FEDERATION EARLY LEARNING SERVICES 10700 JAMISON AVENUE PHILADELPHIA, PA 19116	NONE	PUBLIC	CHARITABLE	6,000.
FOUNDATIONS, INC. 701 EAST GATE DRIVE MT. LAUREL, NJ 08054	NONE	PUBLIC	CHARITABLE	500,000.
FRATERNAL ORDER OF POLICE SURVIVORS FUND 11630 CAROLINE ROAD PHILADELPHIA, PA 19154	NONE	PUBLIC	CHARITABLE	5,000.
FRIENDS OF OFANIM INC 308 E. LANCASTER AVE SUITE 300 WYNNEWOOD, PA 19096	NONE	PUBLIC	CHARITABLE	40,000.
GABRIEL'S ANGELS 1550 E MARYLAND AVENUE SUITE 1 PHOENIX, AZ 85014	NONE	PUBLIC	CHARITABLE	10,000.
GOLDEN SLIPPER CLUB & CHARITIES 215 N. PRESIDENTIAL BLVD.- 1ST FLOOR BALA CYNWYD, PA 19004	NONE	PUBLIC	CHARITABLE	3,600.
INSTITUTE FOR ADVANCED EDUCATION - JAFFA 171-06 76TH AVENUE FLUSHING, NY 11366	NONE	PUBLIC	CHARITABLE	300,000.
Total from continuation sheets				1,388,037.

223631
05-01-12

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
J'CHAI 21 BALA AVENUE BALA CYNWYD, PA 19004	NONE	PUBLIC	CHARITABLE	85,000.
JEWISH EMPLOYMENT & VOCATIONAL SERVICES 1845 WALNUT STREET PHILADELPHIA, PA 19103	NONE	PUBLIC	CHARITABLE	250.
JEWISH FEDERATION OF GREATER PHILADELPHIA 2100 ARCH STREET #5A PHILADELPHIA, PA 19103	NONE	PUBLIC	CHARITABLE	200,000.
JEWISH FEDERATION OF SOUTHERN NEW JERSEY 1301 SPRINGDALE ROAD CHERRY HILL, NJ 08003	NONE	PUBLIC	CHARITABLE	5,000.
JEWISH RELIEF AGENCY 125 MONTGOMERY AVENUE, #A3 BALA CYNWYD, PA 19004	NONE	PUBLIC	CHARITABLE	1,000.
KLEIN JCC 10100 JAMISON AVENUE PHILADELPHIA, PA 19116	NONE	PUBLIC	CHARITABLE	93,670.
KOMEN RACE FOR THE CURE 5005 LBJ FREEWAY SUITE 250 DALLAS, TX 75244	NONE	PUBLIC	CHARITABLE	1,000.
LINDA CREED BREAST CANCER FOUNDATION PO BOX 40607 PHILADELPHIA, PA 19107	NONE	PUBLIC	CHARITABLE	1,050.
MISSION MONTESSORI PTO 12990 E SHEA BLVD SCOTTSDALE, AZ 85259	NONE	PUBLIC	CHARITABLE	3,750.
NATIONAL ASSOCIATION FOR THE DEVELOPMENT OF THE DISABLED 1825 K STREET NW SUITE 600 WASHINGTON, DC 20006	NONE	PUBLIC	CHARITABLE	7,500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL MUSEUM OF AMERICAN JEWISH HISTORY 101 S INDEPENDENCE MALL EAST PHILADELPHIA, PA 19106	NONE	PUBLIC	CHARITABLE	18,000.
OROT 604 HARPER AVENUE JENKINTOWN, PA 19046	NONE	PUBLIC	CHARITABLE	1,000.
THE BARNES FOUNDATION 300 NORTH LATCHS LANE LOWER MERION, PA 19066	NONE	PUBLIC	CHARITABLE	1,000.
THE ROWAN UNIVERSITY FOUNDATION 201 MULLICA HILL ROAD GLASSBORO, NJ 08028	NONE	PUBLIC	CHARITABLE	5,000.
THE UNITED SYNAGOGUE OF CONSERVATIVE JUDAISM 820 SECOND AVENUE NEW YORK, NY 10017	NONE	PUBLIC	CHARITABLE	66,667.
THOMAS JEFFERSON UNIVERSITY 925 CHESTNUT STREET PHILADELPHIA, PA 19107	NONE	PUBLIC	CHARITABLE	17,500.
UNITED 2 CURE 3515 GLEN HAVEN BLVD HOUSTON, TX 77025	NONE	PUBLIC	CHARITABLE	10,000.
WHYY 150 N. 6TH STREET PHILADELPHIA, PA 19106	NONE	PUBLIC	CHARITABLE	1,200.
Total from continuation sheets				

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization	Employer identification number
THE SCHWARTZ FOUNDATION, INC. C/O ROBERT SCHWARTZ	23-2267403

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE SCHWARTZ FOUNDATION, INC.
C/O ROBERT SCHWARTZ

Employer identification number
23-2267403

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERT SCHWARTZ 821 EAST GATE DRIVE MT. LAUREL, NJ 08054	$ 300,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

Name of organization	Employer identification number
THE SCHWARTZ FOUNDATION, INC. C/O ROBERT SCHWARTZ	23-2267403

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization: THE SCHWARTZ FOUNDATION, INC. C/O ROBERT SCHWARTZ

Employer identification number: 23-2267403

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once.) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST INCOME	101,686.
INTEREST INCOME - MUNICIPAL	9,982.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	111,668.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDEND INCOME	291,022.	38,236.	252,786.
TOTAL TO FM 990-PF, PART I, LN 4	291,022.	38,236.	252,786.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
MISC INCOME	3,521.	3,521.	
TOTAL TO FORM 990-PF, PART I, LINE 11	3,521.	3,521.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	14,200.	7,100.		7,100.
TO FORM 990-PF, PG 1, LN 16B	14,200.	7,100.		7,100.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	6,100.	0.		0.
FOREIGN TAXES PAID	1,185.	1,185.		0.
PA FILING FEE	15.	15.		0.
TO FORM 990-PF, PG 1, LN 18	7,300.	1,200.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	44,069.	44,069.		0.
TO FORM 990-PF, PG 1, LN 23	44,069.	44,069.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
UBS ACCOUNT # 27735	X		83,776.	95,535.
TOTAL U.S. GOVERNMENT OBLIGATIONS			83,776.	95,535.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			83,776.	95,535.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS ACCOUNT # 27736	1,124,178.	1,455,306.
UBS ACCOUNT # 27735	658,014.	1,271,840.
UBS ACCOUNT # 32919	835,212.	797,860.
STATE STREET	417,589.	519,527.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,034,993.	4,044,533.

FORM 990-PF MORTGAGE LOANS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS ACCOUNT # 27735	1,442,827.	1,448,624.
TOTAL TO FORM 990-PF, PART II, LINE 12	1,442,827.	1,448,624.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
DEV. CORP FOR ISRAEL BONDS	COST	1,222,942.	1,222,942.
UBS ACCOUNT # 27735	COST	14,926,586.	17,361,511.
STATE STREET	COST	124,467.	124,579.
TOTAL TO FORM 990-PF, PART II, LINE 13		16,273,995.	18,709,032.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROBERT SCHWARTZ 821 EAST GATE DRIVE MT. LAUREL, NJ 08054	CHAIRMAN 0.00	0.	0.	0.
RHONDA H. LAUER 126 RUE DUBOIS CHERRY HILL, NJ 08003	DIRECTOR 1.00	0.	0.	0.
EDWARD GLICKMAN 650 ARCH STREET PHILADELPHIA, PA 191032097	DIRECTOR 0.00	0.	0.	0.
LOIS SCHWARTZ 191 PRESIDENTIAL BLVD BALA CYNWYD, PA 19004	DIRECTOR 0.00	0.	0.	0.
MICHAEL SCHWARTZ 16356 N THOMPSON PEAK PARKWAY 2172 SCOTTSDALE, AZ 85260	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Your Financial Advisor
RICK S. KIRKINIS
973-360-4200/800-631-8179

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Aug 1 ($)	Closing balance on Aug 30 ($)	Price per share on Aug 30 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
Cash	-38,398 96	1,125 01					
UBS BANK USA BUS ACCT	0 00	70,683 63					250,000 00
Total	**-$38,398.96**	**$71,808.64**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
ALCATEL-LUCENT SPON ADR								
Symbol ALU Exchange NYSE	Sep 25, 00	390 000	122 420	47,743 80	2 580	1,006 20	-46,737 60	LT
BOEING COMPANY								
Symbol BA Exchange NYSE								
EAI $5,820 Current yield 1 87%	Sep 19, 01	3,000 000	32 571	97,714 00	103 920	311,760 00	214,046 00	LT
CITIGROUP INC								
Symbol C Exchange. NYSE								
EAI $201 Current yield 0 08%	Dec 21, 93	30 000	269 716	8,091 50	48 330	1,449 90	-6,641 60	LT
	May 21, 09	2,499 000	37.517	93,755 25	48.330	120,776 67	27,021 42	LT
	Dec 11, 09	2,501 000	39 476	98,731 57	48 330	120,873 33	22,141 76	LT
Security total		5,030 000	39 876	200,578 32		243,099 90	42,521 58	

continued next page

Account name: SCHWARTZ FOUNDATION
Account number:

Your Financial Advisor:
RICK S KIRKINIS
973-360-4200/800-631-8179

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug'30 ($)	Unrealized gain or loss ($)	Holding period
COMCAST CORP NEW CL A								
Symbol CMCSA Exchange OTC								
EAI $757 Current yield 1 85%	May 3, 00	485 000	39 290	19,055 65	42 090	20,413 65	1,358 00	LT
	Jul 28, 00	485 000	31 459	15,258 10	42 090	20,413 65	5,155 55	LT
Security total		970 000	35 375	34,313 75		40,827 30	6,513 55	
FORD MOTOR CO COM NEW								
Symbol F Exchange NYSE								
EAI $3,585 Current yield 2 47%	Dec 22, 11	5,963 000	15 456	92,169 54	16 190	96,540 97	4,371 43	LT
	May 14, 12	3,000 000	10 507	31,521 15	16 190	48,570 00	17,048 85	LT
Security total		8,963 000	13 800	123,690 69		145,110 97	21,420 28	
GENL ELECTRIC CO								
Symbol GE Exchange NYSE								
EAI $11,400 Current yield 3 28%	Mar 3, 09	15,000 000	7 060	105,905 25	23 140	347,100 00	241,194 75	LT
KRAFT FOODS GROUP INC								
Symbol KRFT Exchange OTC								
EAI $1,384 Current yield 3 86%	Oct 30, 00	692 000	13 942	9,648 34	51 770	35,824 84	26,176 50	LT
MONDELEZ INTL INC								
Symbol MDLZ Exchange OTC								
EAI $1,163 Current yield 1 83%	Oct 30, 00	2,076 000	8 658	17,975 30	30 670	63,670 92	45,695 62	LT
PHILIP MORRIS INTL INC								
Symbol PM Exchange NYSE								
EAI $3,400 Current yield 4 07%	Oct 30, 00	1,000 000	20 444	20,444 68	83 440	83,440 00	62,995 32	LT
Total				**$658,014.13**		**$1,271,840.13**	**$613,826.00**	

Total estimated annual income: $27,710

Your assets › **Equities** (continued)

Structured products

There may be little or no secondary market for structured products Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions The value at which you would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors, such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity

Holding	Trade date	Quantity	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
ROYAL BANK OF CANADA AIRBAG AYON IP 6/30/2014 9 00% Exchange OTC EAI $13,500 Current yield 8 94%	Jun 26, 13	150 000	1,000.000	150,000 00	1,006 720	151,008 00	1,008 00	ST
UBS AG I/O TPAOS SX5E & RTY 08/15/2023 Exchange OTC	Aug 9, 13	20,000 000	10 000	200,000 00	10 000	200,000 00		ST
Total				**$350,000.00**		**$351,008.00**	**$1,008.00**	

Total estimated annual income: $13,500

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
BLACKROCK U S OPPORTUNITIES C Symbol BMECX									
Trade date Aug 16, 07	3,370 408	29 669	100,000 00	100,000 00	36 400	122,682.84	22,682 84		LT
Total reinvested	566 023	28 890		16,352 56	36 400	20,603 24	4,250 68		
Security total	3,936 431	29 558	100,000 00	116,352 56		143,286 08	26,933 52	43,286 08	
CLEARBRIDGE LARGE CAP VALUE FUND CLASS C									

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Your Financial Advisor:
RICK S KIRKINIS
973-360-4200/800-631-8179

Your assets › Equities › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Symbol SINOX									
Trade date Oct 13, 00	4,640 371	21 550	100,000 00	100,000 00	22 720	105,429 22	5,429 22		LT
Total reinvested	633 766	19 871		12,593 58	22 720	14,399 16	1,805 58		
EAI $923 Current yield 0 77%									
Security total	5,274 137	21 348	100,000 00	112,593 58		119,828 39	7,234 80	19,828 38	
COLUMBIA MARSICO 21ST CENTURY FUND CLASS C									
Symbol NMYCX									
Trade date Aug 16, 07	10,775 862	13 920	150,000 00	150,000 00	14 780	159,267 24	9,267 24		LT
Total reinvested	213 093	15 999		3,409 48	14 780	3,149 51	-259 97		
Security total	10,988 955	13 960	150,000 00	153,409 48		162,416 75	9,007 27	12,416 75	
DAVIS NEW YORK VENTURE FD CL C									
Symbol NYVCX									
Trade date Oct 13, 00	3,485 535	28 690	100,000 00	100,000 00	36 490	127,187 17	27,187 17		LT
Total reinvested	905 241	31 530		28,542 54	36 490	33,032 24	4,489 70		
EAI $838 Current yield 0 52%									
Security total	4,390 776	29 276	100,000 00	128,542 54		160,219 41	31,676 87	60,219 41	
FIDELITY ADVISOR LEVERAGED COMPANY STOCK FUND CLASS C									
Symbol. FLSCX									
Trade date Aug 16, 07	5,875 441	34 039	200,000 01	200,000 01	44 260	260,047 01	60,047 00		LT
Total reinvested	230 581	32 780		7,558 55	44 260	10,205 51	2,646 96		
Security total	6,106 022	33 992	200,000 01	207,558 56		270,252 53	62,693 96	70,252 51	
FIDELITY ADVISOR NEW INSIGHTS FUND CLASS C									
Symbol FNICX									
Trade date Nov 8, 05	6,333 122	15 790	100,000 00	100,000 00	24 730	156,618 10	56,618 10		LT
Total reinvested	187 266	19 934		3,732 97	24 730	4,631 09	898 12		
Security total	6,520 388	15 909	100,000 00	103,732 97		161,249 19	57,516 22	61,249 19	

continued next page

Your assets › Equities › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
INVESCO DIVERSIFIED									
DIVIDEND FUND CLASS C									
Symbol LCEVX									
Trade date Apr 22, 08	5,955 045	11 940	71,103 24	71,103 24	15 360	91,469 49	20,366 25		LT
Trade date Jun 9, 08	6,718 075	11 830	79,474 83	79,474 83	15 360	103,189 63	23,714 80		LT
Total reinvested	3,811 215	9 782		37,282 90	15 360	58,540 26	21,257 36		
EAI· $2,407 Current yield 0 95%									
Security total	16,484 335	11 396	150,578 07	187,860 97		253,199 38	65,338 41	102,621 31	
NUVEEN NWQ MULTI-CAP									
VALUE FUND CLASS C									
Symbol NQVCX									
Trade date Dec 1, 04	3,742 515	20 039	75,000 00	75,000 00	21 470	80,351 79	5,351 79		LT
Trade date Dec 17, 04	7,537 688	19 900	150,000 00	150,000 00	21 470	161,834 15	11,834 15		LT
Trade date Jul 18, 05	2,401 537	20 819	50,000 00	50,000 00	21 470	51,561 00	1,561 00		LT
Total reinvested	1,350 790	22 587		30,510 81	21 470	29,001 46	-1,509 35		
Security total	15,032 530	20 323	275,000 00	305,510.81		322,748 41	17,237 59	47,748.40	
OLSTEIN ALL CAP VALUE									
FUND CLASS C									
Symbol OFALX									
Trade date Nov 30, 00	6,535 948	15 299	100,000 00	100,000 00	16 600	108,496 74	8,496 74		LT
Trade date Mar 21, 01	3,649 635	13 700	50,000 00	50,000 00	16 600	60,583 94	10,583 94		LT
Trade date Sep 19, 01	7,722 008	12 949	100,000 00	100,000 00	16.600	128,185 33	28,185 33		LT
Trade date Feb 28, 02	6,715 917	14 889	100,000 00	100,000 00	16 600	111,484 22	11,484 22		LT
Trade date Jul 15, 02	7,698 229	12 990	100,000 00	100,000 00	16 600	127,790 60	27,790 60		LT
Total reinvested	19,452 494	15 523		301,977 52	16 600	322,911 40	20,933 88		
Security total	51,774 231	14 524	450,000 00	751,977.52		859,452 23	107,474.71	409,452 23	
OPPENHEIMER EQUITY									
INCOME FUND INC CL C									
Symbol OCEIX									
Trade date Jun 19, 13	3,738 837	25 270	94,480 42	94,480 42	25 240	94,368 24	-112 18		ST
Total reinvested	20 512	24 519		502 95	25 240	517 72	14 77		

continued next page

Your assets › **Equities** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EAI $2,334 Current yield 2 46%									
Security total	3,759 349	25 266	94,480 42	94,983 37		94,885 96	-97 41	405 54	
THORNBURG CORE GROWTH									
FUND CLASS C									
Symbol TCGCX									
Trade date Aug 2, 07	9,789 075	18 490	181,000 00	181,000 00	21 080	206,353 69	25,353 69		LT
Trade date Aug 16, 07	8,547 009	17 549	150,000 00	150,000 00	21 080	180,170 94	30,170 94		LT
Total reinvested	11 295	18 489		208 84	21 080	238 10	29 26		
Security total	18,347 379	18 052	331,000 00	331,208 84		386,762 74	55,553 89	55,762 73	
WELLS FARGO ADVANTAGE									
GROWTH FUND CLASS C									
Symbol WGFCX									
Trade date Sep 22, 11	1,653 728	30 223	49,980 92	49,980 92	42 990	71,093 77	21,112 85		LT
Trade date Mar 8, 12	4,987 802	36 891	184,005 25	184,005 25	42 990	214,425 61	30,420 36		LT
Total reinvested	24 257	33 049		801 69	42 990	1,042 81	241 12		
Security total	6,665 787	35 223	233,986 17	234,787 86		286,562 18	51,774 33	52,576 02	
Total			**$2,285,044.67**	**$2,728,519.06** √		**$3,220,863.25**	**$492,344.16**	**$935,818.58**	

Total estimated annual income: $6,502

Warrants

Holding	Trade date	Number of warrants	Purchase price per warrant ($)	Cost basis ($)	Price per warrant on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
WARRANTS VISTEON CORP								
Expires Oct 01, 15	Dec 21, 93	1 000	672 880	672 88	17 150	17 15	-655 73	LT

Should be 2,878,941.

Your assets (continued)

Fixed income

Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected The cost basis for asset backed securities has been adjusted automatically for return of principal payments and if issued at a discount, accreted original issue discount (OID)

Holding	Trade date	Quantity	Purchase price($)	Adjusted cost basis ($)	Price on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
FHR 1085 1085-G RATE 08 5000% MATURES 05/15/21 CURRENT PAR VALUE 2,032 ACCRUED INTEREST $13 90 CUSIP 312905E40 EAI $173 Current yield 7 77%	Oct 25, 99	150,000 000	104 220	2,118 14	109 355	2,222 09	103 95	LT
FHR 1474 E RATE 07 0000% MATURES 02/15/23 CURRENT PAR VALUE 2,165 ACCRUED INTEREST $12 20 CUSIP 312914VY7 EAI $152 Current yield 6 36%	Sep 24, 98	100,000 000	103 200	2,234 28	110 006	2,381 62	147 34	LT
GNR 2008-36 AY RATE 05 0000% MATURES 04/16/23 CURRENT PAR VALUE 100,000 ACCRUED INTEREST $402 77 CUSIP 38374D2P3 EAI $5,000 Current yield 4 45%	Mar 31, 10	100,000 000	105.375	105,380 25	112 292	112,292.00	6,911 75	LT
GNR 2005-13 PC RATE 05 0000% MATURES 01/20/35 CURRENT PAR VALUE 100,000 ACCRUED INTEREST $402 77 CUSIP 38374KUW1 EAI $5,000 Current yield 4 61%	Aug 26, 10	100,000 000	106 875	106,880 25	108 365	108,365.00	1,484 75	LT
CSFB SER 2005-2 CL V-A-2 RATE 05 7500% MATURES 03/25/35 CURRENT PAR VALUE 4,252 ACCRUED INTEREST $19 69 CUSIP 225458FK9 EAI $244 Current yield 5 75%	Mar 26, 07	40,000 000	100 750	4,284 33	99 980	4,251 14	-33 19	LT

continued next page

Your assets › **Fixed income** › **Asset backed securities** (continued)

Holding	Trade date	Quantity	Purchase price($)	Adjusted cost basis ($)	Price on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
GNR 2008-60 PB RATE 05 5000% MATURES 02/16/37 CURRENT PAR VALUE 150,000 ACCRUED INTEREST $664 57 CUSIP 38375DAF5 EAI $8,250 Current yield 5 05%	May 28, 10	150,000 000	107 750	161,630 25	108 957	163,435 50	1,805 25	LT
GNR 2010-6 PC RATE 05 0000% MATURES 03/16/37 CURRENT PAR VALUE 150,000 ACCRUED INTEREST $604 15 CUSIP 38376TSV5 EAI $7,500 Current yield 4 71%	Mar 18, 10	105,000 000	107 125	112,486 50	106 263	111,576 15	-910 35	LT
	Dec 16, 11	45,000 000	109 750	49,392 75	106 263	47,818 35	-1,574 40	LT
Security total		150,000 000		161,879.25		159,394 50	-2,484 75	
GNR 2008-65 PD RATE 05 7500% MATURES 09/20/37 CURRENT PAR VALUE 293,360 ACCRUED INTEREST $1,358 82 CUSIP 38375X4E1 EAI $16,868 Current yield 5 52%	Dec 02, 10	300,000 000	108 750	319,034 74	104 142	305,510 97	-13,523 77	LT
GNR 2009-76 LB RATE 04 5000% MATURES 06/16/39 CURRENT PAR VALUE 300,000 ACCRUED INTEREST $1,087 50 CUSIP 38376CA41 EAI $13,500 Current yield 4 08%	Sep 22, 09	300,000 000	103 750	311,255 25	110 252	330,756 00	19,500 75	LT
GNR 2009-88 PM RATE 05 0000% MATURES 10/20/39 CURRENT PAR VALUE 250,000 ACCRUED INTEREST $1,006 92 CUSIP 38376EAR6 EAI $12,500 Current yield 4 81%	Mar 16, 10	250,000 000	107 250	268,130 25	104 006	260,015 00	-8,115 25	LT
Total		**1,640,000.000**		**$1,442,826.99**		**$1,448,623.82**	**$5,796.83**	

Total accrued interest: $5,573.29

Total estimated annual income: $69,187

Your assets › **Fixed income** (continued)

Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities and for accreted original issue discount for securities issued at a discount When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method

Holding	Trade date	Total face value at maturity ($)	Purchase price($)	Cost basis ($)	Price on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
WESTMORELAND CO PA MUN RF SR C FGIC CAV 5 70/R/ RATE 00 000% MATURES 08/15/16 DATED DATE 11/09/93 CUSIP 961017GS3 Moody A1 S&P Not rated Original cost basis $38,891 00	Mar 23, 00	100,000 000	83 775	83,775 71	95 535	95,535 00	11,759.29	LT

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
DOUBLELINE INCOME SOLUTIONS FD Symbol DSL Trade date Apr 25, 13 EAI $14,400 Current yield 8 51%	8,000 000	25 000	200,000 00	200,000 00	21 140	169,120 00	-30,880.00	-30,880 00	ST
PIMCO DYNAMIC CR INCOME FD Symbol PCI Trade date Jan 28, 13 EAI $7,500 Current yield 8 76%	4,000 000	25 000	100,000 00	100,000 00	21 410	85,640 00	-14,360 00	-14,360 00	ST
Total			**$300,000.00**	**$300,000.00**		**$254,760.00**	**-$45,240.00**	**-$45,240.00**	

Total estimated annual income: $21,900

Your assets › **Fixed income** (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ALLIANCEBERNSTEIN									
GLOBAL BOND FUND INC									
FD CL C									
Symbol ANACX									
Trade date Apr 21, 06	19,281 915	7 519	145,000 00	145,000 00	8 230	158,690 16	13,690 16		LT
Total reinvested	6,414 215	7 901		50,679 29	8 230	52,788 99	2,109 70		
EAI $3,649 Current yield 1 73%									
Security total	25,696 130	7 615	145,000 00	195,679 29		211,479 14	15,799 86	66,479 15	
ANGEL OAK MULTI STRATEGY									
INCOME FUND CLASS C									
Symbol ANGCX									
Trade date Nov 21, 12	16,515 277	12 109	200,000 00	200,000 00	11 810	195,045 40	-4,954 60		ST
Total reinvested	552 231	12 129		6,698 19	11 810	6,521 85	-176 34		
EAI $7,595 Current yield 3 77%									
Security total	17,067 508	12 111	200,000 00	206,698 19		201,567 26	-5,130 94	1,567 25	
FIDELITY ADVISOR HIGH									
INCOME ADVANTAGE FUND									
CLASS C									
Symbol FAHEX									
Trade date Dec 23, 04	9,251 355	10 180	94,178 80	94,178 80	10 350	95,751 52	1,572 72		LT
Trade date. Feb 22, 07	7,352 941	10 880	80,000 00	80,000 00	10 350	76,102 94	-3,897 06		LT
Total reinvested	10,071 036	9 351		94,180 52	10 350	104,235 22	10,054 70		
EAI $10,750 Current yield 3 89%									
Security total	26,675 332	10 060	174,178 80	268,359 32		276,089 68	7,730 36	101,910 88	
HARTFORD FLOATING RATE									

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Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
FUND CLASS C									
Symbol HFLCX									
Trade date Apr 21, 09	21,008 403	7 140	150,005 25	150,005 25	8 960	188,235 29	38,230 04		LT
Total reinvested	4,095 357	8 663		35,479 23	8 960	36,694 40	1,215 17		
EAI $7,907 Current yield 3 52%									
Security total	25,103 760	7 389	150,005 25	185,484 48		224,929 68	39,445 21	74,924 44	
IVY HIGH INCOME FUND									
CLASS C									
Symbol WRHIX									
Trade date Aug 29, 12	23,696 682	8 440	200,005 25	200,005 25	8 600	203,791 47	3,786 22		LT
Total reinvested	1,886 815	8 610		16,245 99	8 600	16,226 61	-19 38		
EAI. $14,582 Current yield 6 63%									
Security total	25,583 497	8 453	200,005 25	216,251 24		220,018.07	3,766 84	20,012 83	
OPPENHEIMER INTL BOND FD CL C									
Symbol OIBCX									
Trade date Mar 18, 05	17,033 637	5 870	99,987 45	99,987 45	5 940	101,179 80	1,192 35		LT
Trade date Jul 18, 05	8,474 576	5 900	50,000 00	50,000 00	5 940	50,338 98	338 98		LT
Total reinvested	12,137 720	6 223		75,543 58	5 940	72,098 06	-3,445 52		
EAI $7,416 Current yield 3 32%									
Security total	37,645 933	5 991	149,987 45	225,531 03		223,616 84	-1,914 19	73,629 39	
PIMCO DIVERSIFIED INC									
FUND CLASS C									
Symbol PDICX									
Trade date Apr 21, 06	12,762 078	10 970	140,000 00	140,000 00	11 360	144,977.21	4,977 21		LT
Trade date Feb 8, 07	18,826 673	11 110	209,164 34	209,164 34	11 360	213,871 01	4,706 67		LT
Total reinvested	13,464 855	10 755		144,826 81	11 360	152,960 75	8,133 94		
EAI $19,283 Current yield 3 77%									
Security total	45,053 606	10 965	349,164 34	493,991 15		511,808 96	17,817 82	162,644 63	
PIONEER HIGH YIELD FUND									
CLASS C									
Symbol PYICX									

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Your Financial Advisor
RICK S KIRKINIS
973-360-4200/800-631-8179

Your assets › Fixed income › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date. Apr 1, 03	4,651 126	10 489	48,790 31	48,790 31	10 880	50,604 25	1,813.94		LT
Trade date May 19, 04	4,278 926	11 510	49,250 44	49,250 44	10 880	46,554 71	-2,695 73		LT
Total reinvested	16,065 678	10 443		167,788 10	10 880	174,794 58	7,006 48		
EAI $11,623 Current yield 4 27%									
Security total	24,995 730	10 635	98,040 75	265,828 85		271,953 54	6,124 69	173,912 79	
PIONEER GLOBAL HIGH									
YIELD FD CL C									
Symbol PGYCX									
Trade date Nov 8, 05	8,467.401	11 809	100,000 00	100,000 00	9 850	83,403 90	-16,596 10		LT
Total reinvested	7,499 925	9 942		74,571 64	9 850	73,874 26	-697 38		
EAI $10,251 Current yield 6 52%									
Security total	15,967 326	10 933	100,000 00	174,571 64		157,278 16	-17,293 48	57,278 16	
Total			**$1,566,381.84**	**$2,232,395.19**		**$2,298,741.33**	**$66,346.17**	**$732,359.49**	

Total estimated annual income: $93,056

Your assets (continued)

Non-traditional

Private equity funds, Hedge funds, and Other investments are held in your UBS Financial Services Inc (UBS FS) brokerage account The positions reported below reflect the records of the issuers, and UBS FS does not guarantee the accuracy of the information The value shown is not necessarily the value you would receive from the issuer if you sold the assets Funds actively sold by UBS FS are subject to ongoing due diligence, although the level performed may vary A closed fund may be subject to no ongoing diligence A fund that you purchased elsewhere may never have been subject to UBS FS diligence, although UBS FS may receive a fee from the fund's manager, which may constitute a majority of the management/incentive fee Please contact your Financial Advisor with questions "Est value per unit" is an estimate of value supplied by an independent valuation firm and reflects adjustments for factors such as the liquidity of the units

Estimates of value supplied by issuers of certain programs (which are generally "net asset value" estimates) are shown as "issuer est value per unit" For Private equity funds, "Est value per unit" is a value updated quarterly, based on the Net Asset Value ("NAV") in the fund as of the date specified, adjusted by adding capital calls and subtracting distributions since the NAV date The NAV is primarily based on estimated portfolio values provided by the underlying fund sponsor These two values may vary because of differences in the way they were estimated and because the "Third party est value per unit" may have been prepared on the basis of financial information other than year-end Reported estimates may not reflect resale, liquidation or repurchase value, if any, and may not reflect distributions of capital until the next valuation is reported, generally on an annual or semi-annual basis See *Important information about your statement* at the end of this statement

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
TOUCHSTONE MERGER									
ARBITRAGE FUND C									
Symbol TMGCX									
Trade date· Apr 1, 13	18,709 074	10 690	200,005 25	200,005 25	10 830	202,619 27	2,614 02	2,614 02	ST

Hedge funds

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share ($)	Value ($)	Unrealized gain or loss ($)	Holding period
UBS PAULSON ADVANTAGE								
II LLC								
AS OF JULY 31, 2013	Feb 22, 11			500,000 00	1 000	284,652 00	-215,348 00	LT

Your assets (continued)

Other

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
BLACKROCK GLOBAL									
ALLOCATION FUND INC C									
Symbol MCLOX									
Trade date Apr 3, 08	8,121 278	18 469	150,000 00	150,000 00	19 290	156,659 45	6,659 45		LT
Total reinvested	811 453	15 658		12,706 51	19 290	15,652 93	2,946 42		
EAI $956 Current yield 0 55%									
Security total	8,932 731	18 215	150,000 00	162,706 51		172,312 38	9,605 87	22,312 38	
FT-FRANKLIN INCOME C									
Symbol FCISX									
Trade date Feb 8, 07	73,800 738	2 710	200,000 00	200,000 00	2 320	171,217 71	-28,782 29		LT
Total reinvested	39,630 233	2 162		85,701 16	2 320	91,942 14	6,240 98		
EAI $13,725 Current yield 5 22%									
Security total	113,430 971	2 519	200,000 00	285,701 16		263,159 85	-22,541 31	63,159 85	
PIMCO ALL ASSET FUND									
CLASS C									
Symbol PASCX									
Trade date Nov 8, 05	7,830 854	12 769	100,000 00	100,000 00	11 890	93,108.85	-6,891 15		LT
Total reinvested	4,161 187	11 815		49,164 47	11 890	49,476 51	312 04		
EAI $4,017 Current yield 2 82%									
Security total	11,992 041	12 439	100,000 00	149,164 47		142,585 36	-6,579 11	42,585 36	
Total			**$450,000.00**	**$597,572.14**		**$578,057.59**	**-$19,514.55**	**$128,057.59**	

Total estimated annual income: $18,698

Your assets › **Other** (continued)

Assets held outside UBS Financial Services Inc.

These assets are held outside UBS Financial Services Inc and are included in your statement as a service to you Information about these assets, including their value, is provided by the issuer and UBS Financial Services Inc does not guarantee the accuracy of the information and is not responsible for it The value shown is not necessarily the value you would receive from the issuer if you sold the assets These assets are not covered by SIPC

Annuities

Holding	Death benefit ($)	Living benefit ($)	Surrender value ($)	Surrender charge Expiration date	Total premium ($)	Total withdrawal ($)	Value ($)
PACIFIC LIFE PACIFIC ONE SELECT - STD DB AS OF 08/29/2013 CONTRACT NBR VR13414596 RATE - VARIABLE ISSUE DATE 04/15/2013	460,124 67		460,124 67		444,266 00	0 00	460,124 67
PORTFOLIOS Port Opt Aggressive-Grth - 50 40% / Port Opt Growth - 49 60%							
TRANSAMERICA LIFE INS CO TRANSAMERICA LIBERTY 2008 AS OF 08/29/2013 CONTRACT NBR 115807LB8 RATE - VARIABLE ISSUE DATE 02/04/2011	3,200,670 96		2,987,367 21	Feb 4 2015	2,905,264 00	0 00	3,200,670.96
PORTFOLIOS TA VANGUARD ETF GRW - 100 00%							
ING USA ANNUITY & LIFE INS CO GOLDEN SELECT ACCESS AS OF 08/29/2013 CONTRACT NBR C034292-0Y RATE - VARIABLE ISSUE DATE 07/17/2008	5,849,322 94		5,543,420 70	Jul 18 2017	4,167,000 00	0 00	5,543,420 70
PORTFOLIOS ING Global Value Advantage Portfolio - C - 16 30% / ING Russell Mid Cap Index Portfolio (S) - 12 40% / ING Russell Small Cap Index Portfolio (S - 11 00% / ING Large Cap Growth Portfolio (S) - 11 00% / ING Large Cap Growth Portfolio - Class A - 10 40% / ING Invesco Growth and Income Portfolio - 10 30% / ING Growth and Income Portfolio - 10 10% / ING Growth and Income Portfolio - Class - 10 00% / BlackRock Global Allocation V I Fund Cl - 8 50%							
PACIFIC LIFE PACIFIC ONE SELECT - STD DB AS OF 08/29/2013 CONTRACT NBR VR03411173 RATE - VARIABLE ISSUE DATE 10/21/2003	310,028 12		310,028 12		250,000 00	0 00	310,028 12
PORTFOLIOS Port Opt Growth - 100 00%							

continued next page

Your assets › **Other** › **Annuities** (continued)

Holding	Death benefit ($)	Living benefit ($)	Surrender value ($)	Surrender charge Expiration date	Total premium ($)	Total withdrawal ($)	Value ($)
AXA EQUITABLE LIFE INS COMPANY ACCUMULATOR ELITE 02 AS OF 08/29/2013 CONTRACT NBR 303692213 RATE - VARIABLE ISSUE DATE 03/07/2003 PORTFOLIOS AXA/AGGRESSIVE - 100 00%	863,150 54		656,547 45	Jul 9 2008	600,000 00	0 00	656,547.45
Total					**$8,366,530.00**		**$10,170,791.90**

Your total assets

		Value on Aug 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**71,808.64**	**0.35%**	**71,808.64**		
Equities	Common stock	1,271,840 13		658,014 13	27,710 00	613,826 00
	Structured products	351,008 00		350,000 00	13,500 00	1,008 00
	Mutual funds	3,220,863 25		2,728,519 06	6,502 00	492,344 16
	Warrants	17 15		672 88		-655 73
	Total equities	**4,843,728 53**	**23.91%**	**3,737,206.07**	**47,712.00**	**1,106,522.43**
Fixed income	Asset backed securities	1,448,623 82		1,442,826 99	69,187 00	5,796 83
	Municipal securities	95,535 00		83,775 71		11,759 29
	Closed end funds & Exchange traded products	254,760 00		300,000 00	21,900 00	-45,240 00
	Mutual funds	2,298,741 33		2,232,395 19	93,056 00	66,346 17
	Total accrued interest	5,573 29				
	Total fixed income	**4,103,233.44**	**20.26%**	**4,058,997.89**	**184,143.00**	**38,662.29**
Non-traditional	Mutual funds	202,619 27		200,005 25		2,614 02
	Hedge funds	284,652 00		500,000 00		-215,348.00
	Total non-traditional	**487,271.27**	**2.41%**	**700,005.25**		**-212,733.98**
Other	Mutual funds	578,057 59		597,572.14	18,698.00	-19,514 55
	Annuities	10,170,791 90				
	Total other	**10,748,849.49**	**53.07%**	**597,572.14**	**18,698.00**	**-19,514.55**
Total		**$20,254,891.37**	**100.00%**	**$9,165,589.99**	**$250,553.00**	**$912,936.19**

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Aug 1 ($)	Closing balance on Aug 30 ($)	Price per share on Aug 30 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
RMA MONEY MKT PORTFOLIO	48,914 25	48,914 62	1 00	0 01%	Jul 25 to Aug 25	32	
UBS BANK USA BUS ACCT	2,914 75	11,571.39					250,000 00
Total	**$51,829.00**	**$60,486.01**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
ALLSTATE CORP								
Symbol ALL Exchange NYSE								
EAI. $635 Current yield 2 09%	Mar 25, 09	635 000	19 448	12,349 87	47 920	30,429 20	18,079 33	LT
AMER ELECTRIC POWER CO								
Symbol AEP Exchange NYSE								
EAI $1,125 Current yield 4 58%	Jan 6, 12	117 000	40 830	4,777 11	42 800	5,007 60	230 49	LT
	Jan 10, 12	457 000	41 410	18,924 51	42 800	19,559 60	635 09	LT
Security total		574 000	41 292	23,701 62		24,567 20	865 58	
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange NYSE								
EAI $844 Current yield 2 41%	Aug 30, 10	264 000	43 340	11,441 82	86 150	22,743 60	11,301 78	LT
	Oct 19, 11	142 000	41 620	5,910 04	86 150	12,233 30	6,323 26	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
Security total		406 000	42.739	17,351 86		34,976 90	17,625 04	
ANNALY CAPITAL MANAGEMENT INC REIT								
Symbol NLY Exchange NYSE								
EAI $3,298 Current yield 13 71%	Mar 25, 09	141.000	14 068	1,983 67	11 670	1,645 47	-338 20	LT
	Apr 3, 09	300 000	14 349	4,304 94	11 670	3,501 00	-803 94	LT
	May 17, 10	415 000	16 100	6,681 50	11.670	4,843 05	-1,838 45	LT
	Feb 10, 11	405 000	17 906	7,251 93	11 670	4,726.35	-2,525 58	LT
	Oct 18, 12	350 000	16 145	5,651 00	11 670	4,084 50	-1,566 50	ST
	Jun 11, 13	450 000	13 401	6,030 81	11 670	5,251 50	-779 31	ST
Security total		2,061 000	15 480	31,903 85		24,051 87	-7,851 98	
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange NYSE								
EAI $1,728 Current yield. 5 69%	Jul 6, 12	25 000	45 244	1,131 11	49 210	1,230 25	99 14	LT
	Jul 18, 12	192 000	46 629	8,952 90	49 210	9,448 32	495 42	LT
	Jul 23, 12	70 000	45 817	3,207 20	49 210	3,444 70	237 50	LT
	Aug 9, 12	20 000	46 901	938 02	49 210	984 20	46 18	LT
	Sep 17, 12	45 000	46 950	2,112 79	49 210	2,214 45	101 66	ST
	Sep 28, 12	240 000	47 893	11,494 49	49 210	11,810 40	315 91	ST
	Oct 12, 12	25 000	46 107	1,152 68	49 210	1,230 25	77.57	ST
Security total		617 000	46 984	28,989 19		30,362 57	1,373 38	
AT&T INC								
Symbol. T Exchange NYSE								
EAI $1,210 Current yield 5 32%	Aug 19, 05	42 000	20 382	856 05	33 830	1,420 86	564 81	LT
	Dec 13, 05	20 000	24 740	494 80	33 830	676 60	181 80	LT
	Dec 14, 05	20 000	24 761	495 22	33 830	676 60	181 38	LT
	Dec 15, 05	60 000	24 750	1,485 00	33 830	2,029 80	544 80	LT
	Jan 20, 06	110 000	24 670	2,713 70	33 830	3,721 30	1,007 60	LT
	Jan 23, 06	66 000	24 633	1,625 82	33 830	2,232 78	606 96	LT
	Jan 25, 07	89 000	36 895	3,283 69	33 830	3,010 87	-272 82	LT
	Mar 25, 09	120 000	25 768	3,092 16	33 830	4,059 60	967 44	LT
	Sep 24, 10	145 000	28 709	4,162 86	33 830	4,905 35	742 49	LT
Security total		672 000	27 097	18,209 30		22,733 76	4,524 46	

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Your Financial Advisor:
RICK S KIRKINIS
973-360-4200/800-631-8179

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
BARRICK GOLD CORP CAD								
Symbol ABX Exchange NYSE								
EAI $305 Current yield 1 05%								
CAD Exchange rate 1 05490	Jan 26, 12	486 000	48 894	23,762 78	19 150	9,306 90	-14,455.88	LT
	Feb 3, 12	2 000	49 170	98 34	19 150	38 30	-60 04	LT
	Apr 12, 12	76 000	42 099	3,199 56	19 150	1,455 40	-1,744 16	LT
	Sep 12, 12	75 000	39 603	2,970 23	19 150	1,436 25	-1,533 98	ST
	Mar 8, 13	265 000	29 120	7,717 06	19 150	5,074 75	-2,642 31	ST
	Apr 26, 13	620 000	18 540	11,494 86	19 150	11,873 00	378 14	ST
Security total		1,524 000	32 312	49,242 83		29,184.60	-20,058.23	
CA INC								
Symbol CA Exchange OTC								
EAI $903 Current yield 3 42%	May 7, 12	266 000	26 141	6,953 56	29 250	7,780.50	826 94	LT
	May 8, 12	410 000	26 095	10,699 20	29 250	11,992 50	1,293 30	LT
	May 9, 12	227 000	26 307	5,971 76	29 250	6,639 75	667 99	LT
Security total		903 000	26 162	23,624 52		26,412 75	2,788 23	
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI $856 Current yield. 3.32%	Jun 26, 07	26 000	82 807	2,152 99	120 430	3,131.18	978 19	LT
	Jul 12, 07	30 000	93 004	2,790 13	120 430	3,612 90	822 77	LT
	Jul 16, 07	123 000	92 697	11,401.83	120 430	14,812 89	3,411.06	LT
	Mar 25, 09	35 000	68 136	2,384 76	120 430	4,215 05	1,830.29	LT
Security total		214 000	87 522	18,729 71		25,772 02	7,042 31	
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
EAI $941 Current yield 2 92%	May 18, 12	369 000	16 545	6,105 40	23 310	8,601 39	2,495 99	LT
	May 30, 12	1,015 000	16 448	16,695 43	23 310	23,659 65	6,964 22	LT
Security total		1,384 000	16.475	22,800 83		32,261 04	9,460 21	
CONOCOPHILLIPS								
Symbol COP Exchange NYSE								
EAI $2,448 Current yield 4 16%	Mar 25, 09	219 000	29 693	6,502 98	66 300	14,519 70	8,016 72	LT
	Nov 11, 09	360 000	40 647	14,633 11	66 300	23,868 00	9,234 89	LT
	Nov 13, 09	15 000	39 924	598 87	66 300	994 50	395 63	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
	Nov 20, 09	5 000	39 524	197 62	66 300	331 50	133 88	LT
	May 11, 12	288 000	53 667	15,456 24	66 300	19,094 40	3,638.16	LT
Security total		887 000	42 152	37,388 82		58,808 10	21,419 28	
DU PONT DE NEMOURS Symbol DD Exchange NYSE EAI $862 Current yield 3 18%	Mar 13, 12	295.000	52 263	15,417 59	56 620	16,702 90	1,285 31	LT
	Mar 14, 12	184 000	52 933	9,739 75	56 620	10,418 08	678 33	LT
Security total		479 000	52 521	25,157 34		27,120 98	1,963.64	
ENSCO PLC CL A Symbol ESV Exchange NYSE EAI $806 Current yield 3 37%	Nov 23, 11	51 000	47 802	2,437 95	55 560	2,833 56	395 61	LT
	Nov 30, 11	330 000	52 310	17,262 56	55 560	18,334 80	1,072 24	LT
	Dec 1, 11	49 000	52 010	2,548 49	55 560	2,722 44	173 95	LT
Security total		430 000	51 742	22,249 00		23,890 80	1,641 80	
FIFTH THIRD BANCORP Symbol FITB Exchange OTC EAI $807 Current yield 2 62%	Aug 30, 12	650 000	15 035	9,772 95	18.290	11,888 50	2,115 55	ST
	Sep 5, 12	1,032 000	14 947	15,425 92	18 290	18,875 28	3,449 36	ST
Security total		1,682 000	14 981	25,198 87		30,763 78	5,564 91	
FORD MOTOR CO COM NEW Symbol F Exchange NYSE EAI $1,404 Current yield 2 47%	Mar 14, 13	2,089 000	13 398	27,989 05	16 190	33,820 91	5,831 86	ST
	Mar 19, 13	23 000	13 296	305 81	16 190	372 37	66 56	ST
	Jun 24, 13	528 000	14 664	7,742 70	16 190	8,548 32	805 62	ST
	Jun 26, 13	268 000	15 157	4,062 29	16 190	4,338 92	276 63	ST
	Jul 3, 13	431 000	16 300	7,025 30	16 190	6,977 89	-47 41	ST
	Jul 8, 13	172 000	16 788	2,887 57	16 190	2,784 68	-102 89	ST
Security total		3,511 000	14 245	50,012 72		56,843 09	6,830 37	
GENL ELECTRIC CO Symbol GE Exchange. NYSE EAI $954 Current yield 3 29%	Jul 28, 10	315 000	16 021	5,046 65	23 140	7,289 10	2,242 45	LT
	Jul 29, 10	930 000	16 127	14,998 48	23 140	21,520 20	6,521 72	LT
	Aug 11, 10	10 000	15 963	159 63	23 140	231 40	71 77	LT

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Account name: SCHWARTZ FOUNDATION
Account number:

Your Financial Advisor:
RICK S KIRKINIS
973-360-4200/800-631-8179

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
Security total		1,255 000	16 099	20,204 76		29,040 70	8,835 94	
HARRIS CORP DELA								
Symbol HRS Exchange NYSE								
EAI· $1,070 Current yield 2 97%	Mar 25, 09	520 000	28 086	14,604 98	56 630	29,447 60	14,842 62	LT
	Dec 8, 11	68 000	35 153	2,390 44	56 630	3,850 84	1,460 40	LT
	Dec 9, 11	49 000	35 466	1,737 84	56 630	2,774 87	1,037 03	LT
Security total		637 000	29 409	18,733 26		36,073 31	17,340 05	
HOLLYFRONTIER CORP COM								
Symbol· HFC Exchange NYSE								
EAI $672 Current yield 2 70%	Apr 17, 13	341 000	46 777	15,951 09	44 480	15,167.68	-783 41	ST
	Apr 23, 13	37 000	50 474	1,867 56	44 480	1,645 76	-221 80	ST
	Apr 24, 13	74 000	52 042	3,851 16	44 480	3,291 52	-559 64	ST
	Apr 26, 13	108 000	49 842	5,382 95	44 480	4,803 84	-579 11	ST
Security total		560 000	48 309	27,052 76		24,908 80	-2,143 96	
INTEL CORP								
Symbol INTC Exchange. OTC								
EAI $1,971 Current yield· 4 09%	Nov 11, 09	570 000	19 824	11,299 91	21 980	12,528.60	1,228 69	LT
	Nov 30, 09	15 000	19 094	286 41	21 980	329 70	43 29	LT
	Aug 27, 10	1,090 000	18 226	19,867 10	21 980	23,958 20	4,091 10	LT
	Sep 1, 10	10 000	18 170	181 70	21 980	219 80	38 10	LT
	Mar 21, 11	175 000	20 329	3,557 70	21 980	3,846 50	288 80	LT
	Sep 12, 12	330 000	23 227	7,665 21	21 980	7,253 40	-411 81	ST
Security total		2,190 000	19 570	42,858 03		48,136 20	5,278 17	
INTL PAPER CO								
Symbol IP Exchange NYSE								
EAI $746 Current yield 2 54%	Jun 23, 11	238 000	28 829	6,861 47	47 210	11,235 98	4,374 51	LT
	Jun 24, 11	384 000	28 787	11,054 40	47 210	18,128 64	7,074 24	LT
Security total		622 000	28 804	17,915 87		29,364 62	11,448 75	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI $1,024 Current yield 3 05%	May 4, 09	105 000	53 663	5,634 68	86 410	9,073 05	3,438 37	LT
	May 15, 09	190 000	55 273	10,502.02	86 410	16,417 90	5,915 88	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
	Feb 10, 11	93 000	60 941	5,667 54	86 410	8,036 13	2,368 59	LT
Security total		388 000	56 196	21,804 24		33,527 08	11,722 84	
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI $1,900 Current yield 3.01%	May 3, 11	245 000	45 994	11,268 53	50 530	12,379 85	1,111 32	LT
	Nov 4, 11	494 000	33 954	16,773 67	50 530	24,961 82	8,188 15	LT
	Nov 7, 11	291 000	34 104	9,924 38	50 530	14,704 23	4,779 85	LT
	Jun 8, 12	220 000	33 573	7,386 15	50 530	11,116 60	3,730 45	LT
Security total		1,250 000	36 282	45,352 73		63,162 50	17,809 77	
LOCKHEED MARTIN CORP								
Symbol LMT Exchange NYSE								
EAI $1,334 Current yield 3 76%	Mar 16, 10	245 000	84 616	20,730 99	122 420	29,992 90	9,261 91	LT
	Sep 24, 10	45 000	72 112	3,245 04	122 420	5,508 90	2,263 86	LT
Security total		290 000	82 676	23,976 03		35,501 80	11,525 77	
MARATHON OIL CORP								
Symbol MRO Exchange. NYSE								
EAI $684 Current yield 2 21%	Mar 25, 09	460 000	16 044	7,380 30	34 430	15,837 80	8,457 50	LT
	Jul 14, 11	290 000	31 915	9,255 58	34 430	9,984 70	729 12	LT
	Aug 8, 12	150 000	27 482	4,122 30	34 430	5,164 50	1,042 20	LT
Security total		900 000	23 065	20,758 18		30,987 00	10,228 82	
MATTEL INC								
Symbol MAT Exchange OTC								
EAI $1,135 Current yield 3 56%	Aug 30, 11	199 000	27 300	5,432 76	40 500	8,059 50	2,626 74	LT
	Sep 1, 11	59 000	26 854	1,584 44	40 500	2,389 50	805 06	LT
	Sep 2, 11	144 000	26.264	3,782 12	40 500	5,832 00	2,049 88	LT
	Sep 23, 11	303 000	25 537	7,737 80	40 500	12,271.50	4,533 70	LT
	Sep 28, 11	83 000	26 757	2,220 91	40 500	3,361 50	1,140 59	LT
Security total		788 000	26 343	20,758 03		31,914 00	11,155 97	
MEDTRONIC INC								
Symbol MDT Exchange NYSE								
EAI $638 Current yield 2 16%	Mar 25, 09	500 000	28 099	14,049 55	51 750	25,875 00	11,825 45	LT
	Aug 20, 10	70 000	34 690	2,428 30	51 750	3,622 50	1,194 20	LT
Security total		570 000	28 909	16,477 85		29,497 50	13,019 65	

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Your Financial Advisor:
RICK S KIRKINIS
973-360-4200/800-631-8179

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI $1,111 Current yield 3 64%	Apr 3, 12	229 000	38 585	8,836 15	47 290	10,829 41	1,993 26	LT
	Apr 4, 12	56 000	38 857	2,176 02	47 290	2,648 24	472 22	LT
	Apr 5, 12	361 000	38 726	13,980 30	47 290	17,071 69	3,091 39	LT
Security total		646 000	38 688	24,992 47		30,549 34	5,556 87	
METLIFE INC								
Symbol MET Exchange NYSE								
EAI $777 Current yield 2 38%	Apr 23, 09	130 000	27 439	3,567 07	46 190	6,004 70	2,437 63	LT
	May 5, 09	450 000	28 518	12,833 15	46 190	20,785 50	7,952.35	LT
	Aug 24, 11	81 000	32 000	2,592 06	46 190	3,741 39	1,149 33	LT
	Dec 8, 11	45 000	31 347	1,410 65	46 190	2,078 55	667 90	LT
Security total		706 000	28 899	20,402 93		32,610 14	12,207 21	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI $769 Current yield 2 75%	Aug 15, 12	836 000	30 137	25,195 03	33 400	27,922 40	2,727 37	LT
MOLSON COORS BREWING CO CL B								
Symbol TAP Exchange NYSE								
EAI $762 Current yield 2 62%	Aug 22, 12	106 000	44 047	4,668.99	48 790	5,171 74	502 75	LT
	Aug 23, 12	33 000	44 095	1,455 15	48 790	1,610 07	154 92	LT
	Aug 27, 12	25 000	44 225	1,105 63	48 790	1,219 75	114 12	LT
	Aug 29, 12	69 000	44 099	3,042 86	48 790	3,366 51	323 65	LT
	Aug 30, 12	50 000	43 521	2,176 05	48 790	2,439 50	263 45	ST
	Oct 4, 12	7 000	44 404	310 83	48 790	341 53	30 70	ST
	Oct 10, 12	111 000	44 226	4,909 17	48 790	5,415 69	506 52	ST
	Oct 11, 12	40 000	44 412	1,776.48	48 790	1,951 60	175 12	ST
	Oct 19, 12	154 000	44 082	6,788 64	48 790	7,513 66	725 02	ST
Security total		595 000	44 090	26,233 80		29,030 05	2,796 25	
NEWELL RUBBERMAID INC								
Symbol NWL Exchange NYSE								
EAI $667 Current yield 2 37%	Mar 13, 13	12 000	25 191	302 30	25 300	303 60	1 30	ST
	Mar 14, 13	433 000	25 633	11,099 39	25 300	10,954 90	-144 49	ST

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
	Mar 22, 13	319 000	25 275	8,063 04	25 300	8,070 70	7 66	ST
	Mar 25, 13	246 000	25 210	6,201 76	25 300	6,223 80	22 04	ST
	Apr 12, 13	26 000	25 641	666 67	25 300	657 80	-8 87	ST
	Apr 15, 13	76 000	25 380	1,928 95	25 300	1,922 80	-6 15	ST
Security total		1,112 000	25 416	28,262 11		28,133 60	-128 51	
NORTHROP GRUMMAN CORP Symbol NOC Exchange NYSE EAI $883 Current yield 2 64%	Oct 23, 09	147 000	44 800	6,585 69	92 270	13,563 69	6,978 00	LT
	Oct 26, 09	65 000	45 819	2,978 29	92 270	5,997 55	3,019 26	LT
	Oct 28, 09	145 000	45 879	6,652 46	92 270	13,379 15	6,726 69	LT
	Oct 30, 09	5 000	45 792	228 96	92 270	461 35	232 39	LT
Security total		362 000	45 429	16,445 40		33,401 74	16,956 34	
PFIZER INC Symbol PFE Exchange NYSE EAI $1,149 Current yield 3 40%	Mar 25, 09	767 000	14 038	10,767 91	28 210	21,637 07	10,869 16	LT
	Apr 14, 10	380 000	17 134	6,511 07	28 210	10,719 80	4,208 73	LT
	Jun 15, 10	50 000	15 449	772 47	28 210	1,410 50	638 03	LT
Security total		1,197 000	15 081	18,051 45		33,767 37	15,715 92	
PNC FINANCIAL SERVICES GROUP Symbol PNC Exchange NYSE EAI $660 Current yield 2 44%	Nov 11, 10	295 000	57 827	17,059 05	72 270	21,319 65	4,260 60	LT
	Nov 18, 10	80 000	56 302	4,504 22	72 270	5,781 60	1,277 38	LT
Security total		375 000	57 502	21,563 27		27,101 25	5,537 98	
REYNOLDS AMERN INC (HOLDING CO) Symbol RAI Exchange NYSE EAI $1,373 Current yield 5 29%	Mar 25, 09	545 000	18 866	10,282 22	47 630	25,958 35	15,676 13	LT
ROYAL DUTCH SHELL PLC CL A SPON ADR Symbol RDS A Exchange NYSE EAI $964 Current yield 4 74%	Mar 25, 09	315 000	46 748	14,725 78	64 590	20,345 85	5,620 07	LT
SLM CORP VTG Symbol SLM Exchange OTC EAI $757 Current yield 2 50%	May 15, 13	281 000	21 989	6,178 94	23 990	6,741 19	562 25	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
	May 23, 13	351 000	22 677	7,959 87	23 990	8,420 49	460 62	ST
	May 28, 13	143 000	22 949	3,281.79	23 990	3,430 57	148 78	ST
	May 30, 13	14 000	23 977	335 69	23 990	335 86	0 17	ST
	Jun 3, 13	316 000	23 715	7,493 97	23 990	7,580 84	86 87	ST
	Jun 5, 13	18 000	23 519	423 35	23 990	431 82	8 47	ST
	Jun 6, 13	64 000	23 480	1,502 77	23 990	1,535 36	32 59	ST
	Jun 10, 13	75 000	23 500	1,762 50	23 990	1,799 25	36.75	ST
Security total		1,262 000	22 931	28,938 88		30,275 38	1,336 50	
STAPLES INC Symbol SPLS Exchange OTC EAI $968 Current yield 3 45%	Jul 20, 12	798 000	12 538	10,006 04	13 910	11,100 18	1,094 14	LT
	Jul 23, 12	25 000	12 301	307 53	13 910	347 75	40.22	LT
	Aug 15, 12	1,194 000	11 426	13,642 88	13 910	16,608 54	2,965 66	LT
Security total		2,017 000	11 877	23,956.45		28,056 47	4,100 02	
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR Symbol TEVA Exchange OTC EAI $752 Current yield 2 64%	Jun 12, 13	428.000	39 300	16,820 61	38 220	16,358 16	-462 45	ST
	Jun 20, 13	178 000	38.663	6,882 05	38 220	6,803 16	-78 89	ST
	Jun 25, 13	138 000	38 439	5,304 68	38 220	5,274 36	-30 32	ST
Security total		744 000	38 988	29,007 34		28,435 68	-571 66	
TOTAL S A FRANCE SPON ADR Symbol TOT Exchange NYSE EAI $2,712 Current yield 4 74%	Mar 25, 09	285 000	51 914	14,795 66	55 310	15,763 35	967 69	LT
	Feb 11, 10	395 000	57 018	22,522 39	55 310	21,847 45	-674 94	LT
	Feb 16, 10	5 000	57 870	289 35	55 310	276 55	-12 80	LT
	Feb 23, 10	5 000	57 402	287 01	55 310	276 55	-10 46	LT
	May 17, 10	150 000	47 861	7,179 20	55 310	8,296 50	1,117 30	LT
	Apr 9, 12	150 000	49 739	7,460 91	55 310	8,296 50	835 59	LT
	Apr 30, 13	44 000	50 199	2,208 77	55 310	2,433 64	224 87	ST
Security total		1,034 000	52 943	54,743 29		57,190 54	2,447 25	

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Account name: SCHWARTZ FOUNDATION
Account number:

Your Financial Advisor:
RICK S KIRKINIS
973-360-4200/800-631-8179

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
TRAVELERS COS INC/THE								
Symbol TRV Exchange NYSE								
EAI $740 Current yield 2 50%	Mar 25, 09	370 000	39 269	14,529 53	79 900	29,563 00	15,033 47	LT
WAL MART STORES INC								
Symbol WMT Exchange NYSE								
EAI $650 Current yield 2 57%	Jul 13, 11	346 000	54 029	18,694.07	72 980	25,251 08	6,557 01	LT
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI $1,844 Current yield 2 92%	Aug 26, 10	295 000	23 497	6,931 68	41 080	12,118 60	5,186 92	LT
	Sep 1, 10	480 000	24 491	11,755 82	41 080	19,718 40	7,962 58	LT
	Dec 5, 12	457 000	33 010	15,085 89	41 080	18,773 56	3,687 67	ST
	Dec 10, 12	305 000	33 097	10,094 80	41 080	12,529 40	2,434 60	ST
Security total		1,537 000	28 541	43,868 19		63,139 96	19,271 77	
XEROX CORP								
Symbol XRX Exchange NYSE								
EAI $790 Current yield 2.30%	Mar 25, 09	2,025 000	4 820	9,760.50	9 980	20,209 50	10,449.00	LT
	Apr 26, 11	370 000	10 041	3,715 21	9 980	3,692 60	-22 61	LT
	Feb 9, 12	640 000	8 036	5,143 17	9 980	6,387 20	1,244 03	LT
	Aug 9, 12	400 000	7 162	2,865 00	9 980	3,992 00	1,127 00	LT
Security total		3,435 000	6 254	21,483 88		34,281.30	12,797.42	
Total				**$1,124,178.16**		**$1,455,305.67**	**$331,127.51**	

Total estimated annual income: $48,628

Your total assets

		Value on Aug 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	60,486 01	3.99%	60,486.01		
Equities	**Common stock**	1,455,305.67	96.01%	1,124,178.16	48,628.00	331,127.51
Total		**$1,515,791.68**	**100.00%**	**$1,184,664.17**	**$48,628.00**	**$331,127.51**

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Aug 1 ($)	Closing balance on Aug 30 ($)	Price per share on Aug 30 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
RMA MONEY MKT PORTFOLIO	28,060 98	17,512 96	1 00	0 01%	Jul 25 to Aug 25	32	
UBS BANK USA BUS ACCT	1,484 75	21,814 48					250,000 00
Total	**$29,545.73**	**$39,327.44**					

Equities

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
TAUBMAN CENTERS INC CUML SER K 6 250% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol TCO PRK Exchange· NYSE EAI $570 Current yield 7 13%	Mar 13, 13	274 000	24 882	6,817 67	21 890	5,997 86	-819.81	ST
	Mar 20, 13	91 000	24 850	2,261 35	21 890	1,991 99	-269 36	ST
Security total		365 000		9,079 02		7,989 85	-1,089 17	

Your assets (continued)

Fixed income

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
AEGON N V								
6 500%								
CALLABLE 12/15/10								
Symbol AED Exchange NYSE								
EAI $426 Current yield 6 93%	Jun 11, 09	187 000	14 770	2,761 99	23 470	4,388 89	1,626 90	LT
	Jun 7, 10	75 000	16 600	1,245 00	23 470	1,760.25	515 25	LT
Security total		262 000		4,006 99		6,149.14	2,142 15	
AEGON N V PREFERRED CLBL PAR								
VALUE - 25 00 USD								
Symbol AEB Exchange NYSE								
EAI $399 Current yield 4 99%	Jun 24, 11	325 000	22 190	7,211 75	20 250	6,581.25	-630 50	LT
	Feb 7, 12	70 000	20 040	1,402 80	20 250	1,417 50	14 70	LT
Security total		395 000		8,614 55		7,998 75	-615 80	
AEGON NV SHS SPON ADR								
6 375% PREFERRED								
CALLABLE 6/15/15								
Symbol AEH Exchange NYSE								
EAI $419 Current yield 6 90%	May 15, 13	128 000	26 700	3,417 60	23 090	2,955 52	-462 08	ST
	May 20, 13	98 000	26 679	2,614 60	23 090	2,262.82	-351 78	ST
	May 22, 13	37 000	26 700	987 90	23 090	854 33	-133 57	ST
Security total		263 000		7,020 10		6,072 67	-947 43	
AEGON NV 6 875% PREFERRED CLBL								
PAR VALUE - 25 00 USD								
Symbol AEV Exchange NYSE								
EAI $309 Current yield 7 03%	Jan 6, 12	180 000	22 190	3,994 20	24 430	4,397 40	403 20	LT
AEGON NV								
8 000%								
DUE 02/15/42 CALLABLE								
Symbol AEK Exchange NYSE								
EAI $480 Current yield 7 36%	Jul 3, 13	240 000	27 380	6,571 20	27 180	6,523 20	-48 00	ST

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Your Financial Advisor:
KIRKINIS WEALTH MANAGEMENT
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Your assets › Fixed income › Preferred securities (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
AFFILIATED MANAGERS GROUP SR 6 375% DUE 08/15/42 CALLABLE Symbol MGR Exchange NYSE EAI $550 Current yield 6 80%	Sep 7, 12	324 000	25 620	8,300 88	23 450	7,597 80	-703 08	ST
	Sep 7, 12	21 000	25 648	538 61	23 450	492 45	-46 16	ST
Security total		345 000		8,839 49		8,090 25	-749 24	
AFLAC INC 5 500% DUE 09/15/52 CALLABLE Symbol AFSD Exchange NYSE EAI $736 Current yield 6 11%	Sep 24, 12	535 000	24 830	13,284 48	22 510	12,042.85	-1,241 63	ST
ALLIED CAPITAL CORP NEW 6 875% DUE 04/15/47 CALLABLE Symbol AFC Exchange NYSE EAI $155 Current yield 7 38%	Apr 21, 11	90 000	23 350	2,101 50	23 330	2,099 70	-1 80	LT
AMERICAN FINANCIAL GROUP 7 000% DUE 09/30/50 CALLABLE Symbol AFQ Exchange NYSE EAI $306 Current yield 6 85%	Feb 6, 13	85 000	26 550	2,256 75	25 530	2,170 05	-86 70	ST
	Jul 10, 13	24 000	25 920	622 08	25 530	612 72	-9 36	ST
	Jul 11, 13	24 000	25 948	622 77	25 530	612 72	-10 05	ST
	Jul 12, 13	42 000	25 950	1,089 90	25 530	1,072 26	-17 64	ST
Security total		175 000		4,591 50		4,467 75	-123 75	
AMERICAN FINANCIAL GROUP (AFG) 6 375% DUE 06/12/42 CALLABLE Symbol AFW Exchange NYSE EAI $271 Current yield 6 65%	Jun 11, 12	170 000	24 844	4,223 53	23 960	4,073 20	-150 33	LT

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Account name: SCHWARTZ FOUNDATION
Account number

Your Financial Advisor
KIRKINIS WEALTH MANAGEMENT
973-360-4200/800-631-8179

Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
APOLLO INVMT CORP 6 625% DUE 10/15/42 CALLABLE Symbol AIB Exchange NYSE EAI $149 Current yield 7 44%	Oct 12, 12	90 000	24 660	2,219 40	22 250	2,002 50	-216 90	ST
ARCH CAPITAL GROUP LTD (ACGL) 6 750% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol ARH PRC Exchange NYSE EAI $1,097 Current yield 7 01%	Apr 9, 12	650 000	25 290	16,438 76	24 070	15,645 50	-793 26	LT
ARES CAPITAL CORP 7 750% DUE 10/15/40 Symbol ARY Exchange NYSE EAI $921 Current yield 7 67%	Feb 10, 11	150 000	24 330	3,649 50	25 280	3,792 00	142 50	LT
	Apr 27, 11	151 000	24 830	3,749 33	25 280	3,817 28	67 95	LT
	Apr 28, 11	174 000	24 950	4,341 30	25 280	4,398 72	57 42	LT
Security total		475 000		11,740 13		12,008 00	267 87	
ARES CAPITAL (ARCC) RATE 7 000% QUARTERLY MATURITY 02/15/2022 Symbol ARN Exchange NYSE EAI $140 Current yield 6 75%	Jul 24, 13	80 000	25 900	2,072 00	25 930	2,074 40	2 40	ST
ASPEN INSURANCE HOLDINGS LTD 7.401% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol. AHL PRA Exchange NYSE EAI $601 Current yield 7 06%	Sep 15, 09	325 000	19 580	6,363 50	26 181	8,508 82	2,145 32	LT
ASPEN INSURANCE HOLDINGS LIMITED (AHL) 7 250% VALUE - 25 00 USD Symbol AHL PRB Exchange NYSE EAI $299 Current yield 7 26%	Apr 4, 12	165 000	24 800	4,092 00	24 970	4,120 05	28 05	LT

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
ASPEN INSURANCE HOLDINGS 5 950% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol AHL.PRC Exchange NYSE EAI· $402 Current yield 6 18%	May 1, 13	90 000	25 920	2,332 80	24 080	2,167 20	-165 60	ST
	May 7, 13	90 000	26 000	2,340 00	24 080	2,167 20	-172 80	ST
	Jun 25, 13	90 000	24 110	2,169 90	24 080	2,167 20	-2 70	ST
Security total		270 000		6,842 70		6,501 60	-341.10	
ASSURED-GUARNTY MUNI HLDGS INC 6 250% DUE 11/01/02 CALLABLE Symbol AGO PRE Exchange· NYSE EAI $430 Current yield 6 95%	Feb 22, 12	189 000	24 455	4,622.00	22 498	4,252 12	-369 88	LT
	Feb 23, 12	86 000	24 499	2,106 99	22 498	1,934 83	-172 16	LT
Security total		275 000		6,728 99		6,186 95	-542 04	
AVIVA PLC 8 250% DUE 12/01/41 CALLABLE Symbol AVV Exchange NYSE EAI $970 Current yield 7 73%	Nov 21, 11	160 000	24 700	3,952 00	26 700	4,272 00	320 00	LT
	Dec 20, 11	155 000	24 845	3,851 11	26 700	4,138 50	287 39	LT
	Jan 27, 12	155 000	25 977	4,026 47	26 700	4,138 50	112 03	LT
Security total		470 000		11,829 58		12,549 00	719 42	
AXIS CAPITAL HOLDINGS LTD 5 500% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol AXS PRD Exchange NYSE EAI $261 Current yield 6.91%	May 14, 13	95 000	25 070	2,381 65	19 880	1,888 60	-493 05	ST
	May 24, 13	95 000	24 795	2,355 56	19 880	1,888 60	-466 96	ST
Security total		190 000		4,737 21		3,777 20	-960 01	

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
BARCLAYS BK PLC SER 2 6 625% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol BCS PR Exchange. NYSE EAI. $571 Current yield 6 81%	May 19, 11	265 000	24 910	6,601 15	24 300	6,439 50	-161 65	LT
	Jan 9, 12	80 000	20 620	1,649 60	24 300	1,944 00	294 40	LT
Security total		345 000		8,250 75		8,383 50	132 75	
BB&T CORPORATION (BBT) SER E 5 625% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol BBT PRE Exchange NYSE EAI $246 Current yield 6 54%	Oct 10, 12	175 000	26 050	4,558 75	21 490	3,760 75	-798 00	ST
CAPITAL ONE FINANCIAL CORP (COF) SER B 6 000% PREFERRED PAR VALUE - 25 00 USD Symbol COF PRP Exchange NYSE EAI $660 Current yield 6 74%	Sep 6, 12	332 000	24 939	8,280 01	22 270	7,393 64	-886 37	ST
	Sep 7, 12	108 000	24 970	2,696 76	22 270	2,405 16	-291 60	ST
Security total		440 000		10,976 77		9,798 80	-1,177 97	
CHARLES SCHWAB CORP (SCHW) 6 000% PFD CLBL PAR VALUE - 25 00 USD Symbol SCHW PRB Exchange NYSE EAI $495 Current yield 6 37%	May 31, 12	330 000	24 744	8,165 52	23 550	7,771 50	-394 02	LT
CITIGROUP CAPITAL IX 6 0000% DUE 02/14/2033 CALLABLE 02/13/08@$25 00 Symbol C PRS Exchange NYSE EAI $278 Current yield 5 99%	Mar 6, 12	57 000	23 737	1,353 06	25 080	1,429.56	76 50	LT
	Mar 8, 12	128 000	24 000	3,072 00	25 080	3,210 24	138 24	LT
Security total		185 000		4,425 06		4,639 80	214 74	

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Your assets › Fixed income › Preferred securities (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
CITIGROUP 6 350% DUE 03/15/67 CALLABLE Symbol C PRE Exchange NYSE EAI $127 Current yield 6 30%	Aug 22, 13	80 000	24 750	1,980 00	25 200	2,016 00	36 00	ST
CITIGROUP CAPITAL XIII FXD/FLT TRUST PFD 7 875% DUE 10/30/2040 Symbol C PRN Exchange NYSE EAI $315 Current yield 7 16%	Jun 28, 13	160 000	27 920	4,467 20	27 490	4,398 40	-68 80	ST
COMMONWEALTH REIT (CWH) 5 750% DUE 08/01/42 CALLABLE Symbol CWHO Exchange NYSE EAI $546 Current yield 7 45%	Jul 23, 12	260 000	24 680	6,416 80	19 290	5,015 40	-1,401 40	LT
	Feb 21, 13	34 000	24 577	835 62	19 290	655.86	-179 76	ST
	Feb 28, 13	86 000	24 550	2,111.30	19 290	1,658 94	-452.36	ST
Security total		380 000		9,363 72		7,330 20	-2,033 52	
DB CAPITAL FUNDING VIII CALL 10/18/11@25 00 6.375% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol DUA Exchange NYSE EAI $438 Current yield 6 58%	Apr 29, 11	245 000	24 310	5,955 95	24 190	5,926 55	-29 40	LT
	Sep 5, 12	30 000	24 920	747 60	24 190	725 70	-21 90	ST
Security total		275 000		6,703 55		6,652 25	-51 30	
DEUTSCHE BK CAP FD TR IX 6 625% PERPETUAL CALL 08/20/12 Symbol DTT Exchange NYSE EAI $290 Current yield 6 69%	Jun 27, 13	175 000	25 060	4,385.50	24 760	4,333 00	-52 50	ST
DIGITAL REALTY TRUST INC SER E 7 000% PREFERRED CLBL Symbol DLR PRE Exchange OTC EAI $753 Current yield 7 39%	Sep 12, 11	150 000	24 950	3,742 50	23 700	3,555 00	-187 50	LT

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
	Feb 29, 12	30 000	26 353	790 61	23 700	711 00	-79 61	LT
	Mar 1, 12	95 000	26 281	2,496 77	23 700	2,251 50	-245 27	LT
	Mar 2, 12	35 000	26 370	922 95	23 700	829 50	-93 45	LT
	May 15, 13	120 000	27 160	3,259 20	23 700	2,844 00	-415 20	ST
Security total		430 000		11,212 03		10,191 00	-1,021 03	
DISCOVER FINANCIAL SERVICES (DFS) 6.500% PRF CLBL VALUE - 25 00 USD Symbol DFS PRB Exchange NYSE EAI $845 Current yield 6 88%	Oct 22, 12	520 000	25 398	13,206 96	23 630	12,287 60	-919 36	ST
DOMINION RESOURCES INC 8 3750% DUE 06/15/64 Symbol DRU Exchange NYSE EAI $168 Current yield 7 95%	Aug 21, 13	80 000	26 250	2,100 00	26 430	2,114 40	14 40	ST
DTE ENERGY CORP 6 500% DUE 12/01/61 CALLABLE Symbol DTZ Exchange NYSE EAI $1,089 Current yield 6 66%	Nov 29, 11	310 000	24 952	7,735 12	24 390	7,560 90	-174 22	LT
	Feb 2, 12	244 000	27 090	6,609 96	24 390	5,951 16	-658 80	LT
	Feb 3, 12	51 000	27 119	1,383 08	24 390	1,243 89	-139 19	LT
	Apr 23, 13	65 000	27 497	1,787 34	24 390	1,585 35	-201 99	ST
Security total		670 000		17,515 50		16,341 30	-1,174 20	
ENDURANCE SPECIALTY PFD-B SER B 7 500% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol ENHPRB Exchange NYSE EAI $928 Current yield 7 51%	May 25, 11	275 000	25 200	6,930 00	24 950	6,861 25	-68 75	LT
	May 25, 11	115 000	25 000	2,875 00	24 950	2,869 25	-5 75	LT
	Aug 3, 12	105 000	26 850	2,819 25	24 950	2,619 75	-199 50	LT
Security total		495 000		12,624 25		12,350 25	-274 00	

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
ENDURANCE SPECIALITY HLDG CALL 12/15/15@25 00 SER A 7 75% PREFERRED CLBL Symbol ENH PRA Exchange· NYSE EAI· $959 Current yield 7 65%	Jun 11, 09	445 000	17 550	7,809 75	25 320	11,267 40	3,457 65	LT
	Oct 1, 10	50 000	25 890	1,294 50	25 320	1,266 00	-28.50	LT
Security total		495 000		9,104 25		12,533 40	3,429 15	
ENTERGY MISS INC 1ST MTG BD 6 000% DUE 05/01/51 CALLABLE Symbol EMZ Exchange NYSE EAI $128 Current yield 6 16%	Apr 20, 11	85 000	24 626	2,093 27	24 460	2,079 10	-14 17	LT
ENTERGY LOUISIANA LLC 5 250% DUE 07/01/52 CALLABLE Symbol ELJ Exchange NYSE EAI $263 Current yield 6 16%	Jun 27, 12	200 000	25 150	5,030 00	21 340	4,268 00	-762 00	LT
EPR PROPERTIES CONV CUML SER F 6 625% PREFERRED CLBL PAR VALUE - 25 00 USD REIT Symbol EPR PRF Exchange NYSE EAI $149 Current yield. 7 50%	Feb 4, 13	90 000	25 119	2,260 71	22 062	1,985 58	-275 13	ST
FIRST NIAGARA FINL GROUP INC 8 625% PREFERRED CLBL PAR VALUE - 25.00 USD Symbol. FNFG PRB Exchange NYSE EAI $162 Current yield 7 76%	Jun 28, 13	75.000	28 650	2,148 75	27 850	2,088 75	-60 00	ST
FIRST REP BANK PFD-B 6 200% DUE 12/30/49 CALLABLE SER B Symbol FRC PRB Exchange NYSE EAI $256 Current yield 6 76%	Jun 25, 12	165 000	25 250	4,166 25	22 960	3,788 40	-377 85	LT

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
FIRST REP BANK CUML SER A 6 700% PREFERRED CLBL Symbol FRC PRA Exchange NYSE EAI $536 Current yield 6 85%	Jan 17, 12	155 000	24 990	3,873 45	24 470	3,792 85	-80 60	LT
	Feb 28, 12	21 000	25 746	540 68	24 470	513 87	-26 81	LT
	Feb 29, 12	106 000	25 649	2,718 84	24 470	2,593 82	-125 02	LT
	Mar 5, 12	38 000	25 594	972 58	24 470	929 86	-42 72	LT
Security total		320 000		8,105 55		7,830 40	-275 15	
GOLDMAN SACHS GROUP INC 6.5% DUE 11/01/2061 CALLABLE Symbol GSJ Exchange NYSE EAI $1,316 Current yield 6.44%	Oct 25, 11	103 000	24 750	2,549 25	25 240	2,599 72	50 47	LT
	Oct 26, 11	297 000	24 960	7,413 12	25 240	7,496 28	83 16	LT
	Oct 28, 11	375 000	[illegible] 798	9,299 36	25 240	9,465 00	165 64	LT
	Sep 4, 12	35 000	[illegible] 170	950 95	25 240	883 40	-67 55	ST
Security total		810 000		20,212 68		20,444 40	231 72	
GOLDMAN SACHS GROUP INC SER D PREFERRED CLBL PAR VALUE - 25 00 USD Symbol GS PRD Exchange NYSE EAI $527 Current yield 5 07%	Mar 16, 11	405 000	22 079	8,942 04	20 570	8,330 85	-611 19	LT
	Jun 23, 11	25 000	21 820	545 50	20 570	514 25	-31 25	LT
	Feb 23, 12	75 000	20 020	1,501 50	20 570	1,542 75	41 25	LT
Security total		505 000		10,989 04		10,387 85	-601 19	
HARTFORD FINL SVCS GRP DEB 7 875% DUE 04/15/42 CALLABLE Symbol HGH Exchange NYSE EAI $158 Current yield 7 08%	Apr 22, 13	80 000	30 380	2,430 40	27 900	2,232 00	-198 40	ST
HEALTH CARE REIT INC 6 500% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol HCN PRJ Exchange NYSE EAI $536 Current yield 6 84%	Mar 2, 12	330 000	25 337	8,361 28	23 750	7,837 50	-523 78	LT

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Your assets › Fixed income › Preferred securities (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
HOSPITALITY PROPERTIES TRUST 7 125% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol HPT PRD Exchange NYSE EAI $285 Current yield 7 20%	Jan 13, 12	160 000	24.840	3,974 40	24 750	3,960 00	-14 40	LT
HSBC HOLDINGS PLC 8 000% PERPETUAL Symbol· HCS PRB Exchange NYSE EAI $320 Current yield 7 37%	Feb 19, 13	160 000	27 930	4,468 80	27 120	4,339 20	-129 60	ST
HSBC USA INC NEW SER F PREFERRED CLBL PAR VALUE - 25 00 USD Symbol· HBA PRF Exchange· NYSE EAI $174 Current yield 4 75%	Jun 27, 11	175 000	22 549	3,946 23	18 770	3,284 75	-661 48	LT
	Sep 4, 12	20 000	22 360	447 20	18 770	375 40	-71 80	ST
Security total		195 000		4,393 43		3,660 15	-733 28	
ING GROEP N V 7 050% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol IND Exchange NYSE EAI $476 Current yield 7 20%	Apr 23, 12	270 000	22 716	6,133 51	24 500	6,615 00	481 49	LT
ING GROEP N V 6 20% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol ISP Exchange NYSE EAI $457 Current yield 6 68%	Mar 8, 12	41 000	21 298	873 24	23 200	951 20	77 96	LT
	Mar 12, 12	95 000	21 539	2,046.21	23 200	2,204 00	157 79	LT
	Mar 20, 12	114 000	21 877	2,494 06	23 200	2,644 80	150 74	LT
	Apr 23, 12	45 000	20 567	925 54	23 200	1,044 00	118 46	LT
Security total		295 000		6,339 05		6,844 00	504 95	
INTEGRYS ENERGY GROUP INC 6 000% DUE 08/01/73 CALLABLE Symbol. IEH Exchange NYSE EAI $518 Current yield 6 13%	Aug 14, 13	345 000	24 890	8,587 05	24.500	8,452 50	-134 55	ST

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
JPMORGAN CHASE & CO SER J 8 625% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol JPM PRICL Exchange NYSE EAI $377 Current yield 8 62%	Jun 28, 13	175 000	25 410	4,446 75	24 990	4,373 25	-73 50	ST
KIMCO REALTY CORPORATION (KIM) 5 500% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol KIM PRJ Exchange NYSE EAI $536 Current yield 6 67%	Aug 3, 12	140 000	24 649	3,450 94	20 600	2,884 00	-566 94	LT
	Aug 10, 12	70 000	24 549	1,718 47	20 600	1,442 00	-276 47	LT
	Sep 17, 12	180 000	24 860	4,474 80	20 600	3,708 00	-766 80	ST
Security total		390 000		9,644 21		8,034 00	-1,610 21	
KKR FINANCIAL HOLDINGS 8 375% DUE 11/01/41 CALLABLE Symbol KFH Exchange NYSE EAI $995 Current yield 7 81%	Nov 9, 11	315 000	24 870	7,834 05	26 830	8,451 45	617 40	LT
	Jan 13, 12	160 000	25 670	4,107 20	26 830	4,292 80	185 60	LT
Security total		475 000		11,941 25		12,744 25	803 00	
LLOYDS BANKING GROUP PLC 7 750% DUE 07/15/50 CALLABLE Symbol LYG PRA Exchange NYSE EAI $1,415 Current yield 7 18%	Jun 30, 10	205 000	24 670	5,057 35	26 990	5,532 95	475 60	LT
	Jul 7, 10	425 000	24 850	10,561 25	26 990	11,470 75	909 50	LT
	Jun 23, 11	50 000	25 970	1,298 50	26 990	1,349 50	51 00	LT
	May 7, 12	50 000	26 460	1,323 00	26 990	1,349 50	26 50	LT
Security total		730 000		18,240 10		19,702 70	1,462 60	
MORGAN STANLEY CALL 7/15/11@$25 00 SER A PREFERRED CLBL Symbol MS PRA Exchange NYSE EAI $455 Current yield 5 19%	Mar 2, 11	100 000	20 660	2,066 00	19 490	1,949 00	-117 00	LT
	Mar 16, 11	275 000	20 960	5,764 00	19 490	5,359 75	-404.25	LT

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
	Feb 23, 12	75 000	18 850	1,413 75	19 490	1,461 75	48 00	LT
Security total		450 000		9,243 75		8,770 50	-473 25	
MS CAPITAL TRUST III 6 250% DUE 3/01/33 CALLABLE 3/1/08@25 00 Symbol MWR Exchange NYSE EAI $820 Current yield 6 40%	Sep 28, 11	388 000	22 005	8,538 25	24 420	9,474 96	936 71	LT
	Sep 30, 11	137 000	21 570	2,955 09	24 420	3,345 54	390 45	LT
Security total		525 000		11,493 34		12,820 50	1,327 16	
NATIONAL RETAIL PROPERTIES CUML SER D 6 625% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol NNN PRD Exchange OTC EAI $811 Current yield 7 14%	Feb 17, 12	325 000	24 990	8,121.75	23 170	7,530 25	-591 50	LT
	Feb 21, 12	165 000	25 035	4,130 78	23 170	3,823 05	-307 73	LT
Security total		490 000		12,252 53		11,353 30	-899 23	
NEXTERA ENERGY CAP HLDGS INC 5 700% DUE 03/01/72 CALLABLE Symbol NEE PRG Exchange NYSE EAI $1,204 Current yield 6 49%	Mar 20, 12	780 000	25 000	19,500 00	21 950	17,121 00	-2,379 00	LT
	Sep 7, 12	20 000	26 990	539 80	21 950	439 00	-100 80	ST
	Sep 21, 12	10 000	26 661	266 61	21 950	219 50	-47 11	ST
	Dec 28, 12	35 000	25 990	909 65	21 950	768 25	-141 40	ST
Security total		845 000		21,216 06		18,547 75	-2,668 31	
NEXTERA ENERGY CAPITAL HOLDINGS (NEE) 5 625% DUE 06/15/72 CALLABLE Symbol NEE PRH Exchange NYSE EAI $471 Current yield 6 47%	Jun 15, 12	335 000	24 960	8,361 60	21 720	7,276 20	-1,085 40	LT

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Your assets › Fixed income › Preferred securities (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
PARTNERRE PERPNC5 SER E 7 250% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol PRE PRE Exchange NYSE EAI $861 Current yield 7 16%		475 000	—This information was unavailable—		25 300	12,017 50		
PNC FINL SERV SER P 6 125% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol PNC PRP Exchange NYSE EAI $1,638 Current yield 6 00%	Apr 19, 12	640 000	25 378	16,241 92	25 510	16,326 40	84.48	LT
	Mar 4, 13	340 000	27 630	9,394 20	25 510	8,673 40	-720 80	ST
	May 14, 13	90 000	28 430	2,558 70	25 510	2,295 90	-262 80	ST
Security total		1,070 000		28,194 82		27,295 70	-899 12	
PPL CAPITAL FUNDING INC (PPL) 5 900% DUE 04/30/73 CALLABLE Symbol PPX Exchange NYSE EAI $266 Current yield 6 47%	Mar 13, 13	180 000	25 000	4,500 00	22 850	4,113 00	-387 00	ST
PRUDENTIAL FINANCIAL INC (PRU) 5 750% DUE 12/15/52 CALLABLE Symbol PJH Exchange NYSE EAI $503 Current yield 6 44%	Jan 24, 13	180 000	25 740	4,633 20	22 320	4,017 60	-615 60	ST
	Jan 30, 13	30 000	25 124	753 74	22 320	669 60	-84 14	ST
	Feb 4, 13	140 000	25 469	3,565 69	22 320	3,124 80	-440 89	ST
Security total		350 000		8,952 63		7,812 00	-1,140 63	
PS BUSINESS PARKS INC CUML SER T 6 000% PREFERRED CLBL Symbol PSB PRT Exchange NYSE EAI $1,133 Current yield 6 96%	Jun 6, 12	85 000	24 800	2,108 00	21 550	1,831 75	-276 25	LT
	Jun 12, 12	65 000	24 780	1,610 70	21 550	1,400 75	-209 95	LT
	Jun 13, 12	20 000	24 817	496 34	21 550	431 00	-65 34	LT
	Jun 21, 12	282 000	25 036	7,060 35	21 550	6,077 10	-983 25	LT
	Jun 22, 12	51 000	25 080	1,279 08	21 550	1,099 05	-180 03	LT
	Jul 6, 12	97 000	25 492	2,472 81	21 550	2,090 35	-382 46	LT

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Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
	Jul 9, 12	155 000	25 519	3,955 54	21 550	3,340 25	-615 29	LT
Security total		755 000		18,982 82		16,270.25	-2,712 57	
PUBLIC STORAGE PFD-S RT 5 900% QUARTERLY MAT PERPTUAL FIXED RATE Symbol PSA PRS Exchange NYSE EAI $472 Current yield 6 46%	Jan 6, 12	320 000	24 920	7,974 40	22 830	7,305 60	-668 80	LT
PUBLIC STORAGE SER T 5 750% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol PSA PRT Exchange NYSE EAI $712 Current yield 6 49%	Mar 7, 12	495 000	24 814	12,283 02	22 160	10,969 20	-1,313 82	LT
QWEST CORP $25 PAR 40NC5 SR NOTES 7 375% DUE 06/01/51 CALLABLE Symbol CTQ Exchange NYSE EAI $1,235 Current yield 7 41%	Jun 2, 11	315 000	24 800	7,812 00	24 880	7,837 20	25 20	LT
	Jun 6, 11	300 000	24 920	7,476 00	24 880	7,464 00	-12 00	LT
	Jan 2, 13	55 000	26 830	1,475 65	24 880	1,368 40	-107.25	ST
Security total		670 000		16,763 65		16,669 60	-94 05	
QWEST CORP 7 500% DUE 09/15/51 CALLABLE Symbol CTW Exchange NYSE EAI $600 Current yield 7 32%	Sep 15, 11	300 000	24 890	7,467 00	25 600	7,680 00	213.00	LT
	Sep 4, 12	20 000	27 600	552 00	25 600	512 00	-40 00	ST
Security total		320 000		8,019 00		8,192 00	173.00	
QWEST CORPORATION (CTL) 7 000% DUE 07/01/52 CALLABLE Symbol CTU Exchange: NYSE EAI $438 Current yield 7 13%	Aug 24, 12	180 000	26 402	4,752 49	24 560	4,420 80	-331 69	LT
	Aug 29, 12	70 000	26 600	1,862 00	24 560	1,719 20	-142 80	LT
Security total		250 000		6,614 49		6,140 00	-474 49	

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
RAYMOND JAMES FINANCIAL 6 900% DUE 03/15/42 CALLABLE Symbol RJD Exchange NYSE EAI $1,397 Current yield 6 72%	Mar 1, 12	660 000	25 000	16,500 00	25 665	16,938 90	438 90	LT
	May 23, 12	65 000	25 591	1,663 44	25 665	1,668 23	4 79	LT
	Jun 11, 12	85.000	26 090	2,217 65	25 665	2,181 53	-36 12	LT
Security total		810 000		20,381 09		20,788 65	407 57	
REGENCY CENTERS CORP SER 6 6 625% PREFERRED CLBL Symbol REG PRF Exchange NYSE EAI $538 Current yield 7 07%	Feb 28, 12	172 000	25 356	4,361 27	23 400	4,024 80	-336 47	LT
	Feb 29, 12	153 000	25 292	3,869 75	23 400	3,580 20	-289 55	LT
Security total		325 000		8,231 02		7,605 00	-626 02	
REGIONS FINANCIAL CORPORATION (RF) 6 375% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol RF PRA Exchange NYSE EAI $287 Current yield 6 91%	Oct 26, 12	180 000	24 800	4,464 00	23 070	4,152 60	-311 40	ST
REINSURANCE GROUP OF AMERICA (RGA) 6 200% DUE 09/15/42 CALLABLE Symbol RZA Exchange NYSE EAI $775 Current yield 6 44%	Dec 11, 12	109 000	26 654	2,905 30	24 060	2,622 54	-282 76	ST
	Dec 20, 12	221 000	27 000	5,967 00	24 060	5,317 26	-649 74	ST
	Mar 8, 13	170 000	27 250	4,632 50	24 060	4,090 20	-542 30	ST
Security total		500 000		13,504 80		12,030 00	-1,474 80	
RENAISSANCERE HOLDINGS LTD SER E 5 375% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol RNR PRE Exchange NYSE EAI $262 Current yield 6 75%	May 21, 13	95 000	24 900	2,365 50	19 900	1,890 50	-475 00	ST
	Jun 13, 13	100 000	22 770	2,277 00	19 900	1,990 00	-287 00	ST
Security total		195 000		4,642 50		3,880 50	-762 00	

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
SANTANDER FINANCE PREF SA UNI SER 10 10 500% PREFERRED CLBL Symbol SAN PRE Exchange NYSE EAI $1,273 Current yield 9 78%	Jun 10, 11	405 000	28 300	11,461 50	26 840	10,870 20	-591 30	LT
	Jun 21, 11	25 000	28 124	703 11	26 840	671 00	-32 11	LT
	Aug 8, 12	55 000	26 530	1,459 15	26 840	1,476 20	17.05	LT
Security total		485 000		13,623 76		13,017 40	-606 36	
SANTANDER FIN PFD SA GTD PFD PREFERRED CLBL PAR VALUE - 25 00 USD Symbol SAN PRB Exchange NYSE EAI $261 Current yield 5 33%	Dec 23, 10	200 000	17 820	3,564 00	19 200	3,840 00	276 00	LT
	May 7, 12	55 000	16 703	918 68	19 200	1,056 00	137 32	LT
Security total		255 000		4,482 68		4,896 00	413 32	
SCE TRUST I 5 625% PREFERRED (SOUTHERN CA EDISON-EIX) CLBL PAR VALUE - 25 00 USD Symbol SCE PRF Exchange NYSE EAI $1,715 Current yield 6 43%	May 14, 12	335 000	24 990	8,371 65	21 870	7,326 45	-1,045 20	LT
	May 17, 12	170 000	24 375	4,143 75	21 870	3,717 90	-425 85	LT
	May 22, 12	90 000	24 228	2,180 55	21 870	1,968 30	-212 25	LT
	May 23, 12	85 000	24 250	2,061 25	21 870	1,858 95	-202 30	LT
	May 24, 12	170 000	24 600	4,182 00	21 870	3,717 90	-464 10	LT
	Sep 6, 12	158 000	26 913	4,252 30	21 870	3,455 46	-796 84	ST
	Sep 10, 12	142 000	27 019	3,836 83	21 870	3,105 54	-731 29	ST
	Jan 15, 13	70 000	26 505	1,855 36	21 870	1,530 90	-324 46	ST
Security total		1,220 000		30,883 69		26,681 40	-4,202 29	
SELECTIVE INS GROUP INC 5.875% DUE 02/09/43 CALLABLE Symbol SGZA Exchange NYSE EAI $272 Current yield 6 90%	Feb 7, 13	115 000	24 600	2,829 00	21 299	2,449 38	-379 62	ST
	Feb 7, 13	70 000	24.660	1,726 20	21 299	1,490 93	-235 27	ST
Security total		185 000		4,555 20		3,940.31	-614 89	

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
SENIOR HOUSING PROPERTIES TRUST(SNH) 5 625% DUE 08/01/42 CALLABLE Symbol SNHN Exchange NYSE EAI $766 Current yield 6 68%	Jul 18, 12	350 000	24 962	8,736 84	21 050	7,367 50	-1,369 34	LT
	Sep 5, 12	195 000	24 400	4,758 00	21 050	4,104 75	-653 25	ST
Security total		545 000		13,494 84		11,472 25	-2,022 59	
SOLAR CAP LTD 6 750% DUE 11/15/42 CALLABLE Symbol SLRA Exchange NYSE EAI $160 Current yield 7 25%	Jan 9, 13	62 000	24 100	1,494 20	23 220	1,439 64	-54 56	ST
	Jan 15, 13	33 000	24 353	803 67	23 220	766 26	-37 41	ST
Security total		95 000		2,297 87		2,205 90	-91 97	
STANLEY BLACK & DECKER INC 5 750% DUE 07/25/52 CALLABLE Symbol SWJ Exchange NYSE EAI $503 Current yield 6 30%	Jul 19, 12	350 000	25.042	8,764 84	22 810	7,983 50	-781 34	LT
SUNTRUST BANKS INC 5 875% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol STI PRE Exchange NYSE EAI $264 Current yield 6 70%	Jan 22, 13	76 000	25.059	1,904 55	21 880	1,662 88	-241 67	ST
	Jan 24, 13	67 000	25 051	1,678 46	21 880	1,465 96	-212 50	ST
	Jan 25, 13	37 000	24.990	924 63	21 880	809.56	-115.07	ST
Security total		180 000		4,507 64		3,938 40	-569 24	
TELEPHONE & DATA SYSTEMS 6 875% DUE 11/15/59 Symbol TDE Exchange NYSE EAI $885 Current yield 6 99%	Aug 25, 11	475 000	24 850	11,803 75	24 580	11,675.50	-128.25	LT
	Jan 16, 13	17 000	26 680	453 56	24 580	417 86	-35 70	ST
	Jan 18, 13	23 000	26 720	614 56	24 580	565 34	-49 22	ST
Security total		515 000		12,871 87		12,658 70	-213 17	

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Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
TELEPHONE & DATA SYSTEMS (TDS) 5 875% DUE 12/01/61 CALLABLE Symbol TDA Exchange NYSE								
EAI $132 Current yield 6 80%	Feb 19, 13	62 000	25.280	1,567 36	21 570	1,337 34	-230 02	ST
	Feb 20, 13	28 000	25 300	708 40	21 570	603 96	-104 44	ST
Security total		90 000		2,275 76		1,941 30	-334 46	
THE GOLDMAN SACHS GROUP (GS) 5 500% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol GS PRJ Exchange NYSE								
EAI $495 Current yield 6 07%	Apr 29, 13	180 000	25 550	4,599 00	22 650	4,077 00	-522 00	ST
	May 9, 13	180 000	26.100	4,698 00	22 650	4,077 00	-621 00	ST
Security total		360 000		9,297 00		8,154 00	-1,143 00	
U S CELLULAR CORP 6 950% DUE 05/15/60 CALLABLE Symbol UZA Exchange NYSE								
EAI $391 Current yield 7.08%	May 23, 11	225.000	24 950	5,613 75	24 530	5,519 25	-94 50	LT
US BANCORP DEL SER F PREFERRED CLBL PAR VALUE - 25 00 USD Symbol USB PRM Exchange NYSE								
EAI $1,146 Current yield 6 21%	Jan 19, 12	595 000	25 176	14,980 20	26 160	15,565 20	585 00	LT
	Jun 3, 13	110 000	28 760	3,163 60	26 160	2,877 60	-286 00	ST
Security total		705 000		18,143 80		18,442 80	299 00	
US BANCORP DEL SER G PREFERRED CLBL PAR VALUE - 25 00 USD Symbol USB PRN Exchange NYSE								
EAI $495 Current yield 5 59%	Mar 12, 13	330 000	27 400	9,042 00	26 820	8,850.60	-191 40	ST
VORNADO REALTY L P 7 875% DUE 10/01/2039 Symbol VNOD Exchange NYSE								
EAI $148 Current yield 7 43%	Aug 26, 13	75 000	26 149	1,961 24	26.550	1,991 25	30 01	ST

continued next page

Your assets › **Fixed income** › **Preferred securities** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Aug 30 ($)	Value on Aug 30 ($)	Unrealized gain or loss ($)	Holding period
WEBSTER FINANCIAL CORP DEP SHS SER E 6 400% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol WBS PRE Exchange NYSE EAI $144 Current yield 6 88%	Jan 24, 13	90 000	25 400	2,286 00	23 260	2,093 40	-192 60	ST
WELLS FARGO & CO (WFC) 5 850% PREFERRED CLBL PAR VALUE - 25 00 USD Symbol WFC PRQ Exchange NYSE EAI $519 Current yield 6 05%	Jul 16, 13	355 000	24 968	8,863 96	24 160	8,576 80	-287 16	ST
WELLS FARGO & CO NEW 8.000% PREFERRED CLBL Symbol WFC PRJ Exchange NYSE EAI $900 Current yield 7 05%	Mar 5, 13	450 000	28 950	13,027 50	28 380	12,771 00	-256 50	ST
ZIONS BANCORP 9 500% PREFERRED CLBL Symbol ZB PRCCL Exchange NYSE EAI $862 Current yield 9 72%	Jan 15, 13	85 000	26 120	2,220 20	24 980	2,123 30	-96 90	ST
	Mar 8, 13	180 000	25 830	4,649 40	24 980	4,496 40	-153 00	ST
	Mar 22, 13	90 000	25 850	2,326 50	24 980	2,248 20	-78 30	ST
Security total		355 000		9,196 10		8,867 90	-328 20	
Total				**$810,583.12**		**$789,870.12**	**-$32,730.49**	

Total estimated annual income: $53,822

Your total assets

		Value on Aug 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**39,327.44**	**4.70%**	**39,327.44**		
Equities	**Preferred securities**	**7,989 85**	**0.95%**	**9,079.02**	**570.00**	**-1,089.17**
Fixed income	*** Preferred securities**	**789,870.12**	**94.35%**	**810,583.12**	**53,822.00**	**-32,730.49**
Total		**$837,187.41**	**100.00%**	**$858,989.58**	**$54,392.00**	**-$33,819.66**

* Missing cost basis information

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
INVESCO HIGH YIELD FUND CLASS C	FIFO	125 623	Aug 31, 11	Aug 29, 12	538.89	503 75			35.14
	FIFO	131 473	Sep 30, 11	Aug 29, 12	563.99	508.80			55 19
	FIFO	126 599	Oct 31, 11	Aug 29, 12	543 08	513 99			29 09
	FIFO	117 863	Nov 30, 11	Aug 29, 12	505 61	463 20			42 41
	FIFO	114.778	Dec 30, 11	Aug 29, 12	492 37	459 11			33 26
	FIFO	111 692	Jan 31, 12	Aug 29, 12	479 13	461 29			17 84
	FIFO	100 194	Feb 29, 12	Aug 29, 12	429.81	422 82			6 99
	FIFO	100 055	Mar 30, 12	Aug 29, 12	429 21	422 23			6 98
	FIFO	99 716	Apr 30, 12	Aug 29, 12	427.76	421 80			5 96
	FIFO	102 113	May 31, 12	Aug 29, 12	438 05	423 77			14 28
	FIFO	101 357	Jun 29, 12	Aug 29, 12	434 79	425 70			9 09
	FIFO	100 862	Jul 31, 12	Aug 29, 12	432 68	429 67			3 01
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	FIFO	8,299 844	Aug 23, 12	Sep 12, 12	40,000 00	40,088 16	-0 24	-88 16	
Total					**$45,715.37**	**$45,544.29**		**-$88.16**	**$259.24**
Net short-term capital gains and losses									**$171.08**

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
DWS HIGH INCOME FUND CLASS C	FIFO	5,875 441	Jun 11, 98	Aug 29, 12	28,552 16	50,000 00		-21,447 84	
	FIFO	40 521	Jun 25, 98	Aug 29, 12	196 92	340 78		-143 86	
	FIFO	6,527 415	Nov 10, 98	Aug 29, 12	31,720 48	50,000 00		-18,279 52	
INVESCO HIGH YIELD FUND CLASS C	FIFO	5,574 136	Sep 24, 98	Aug 29, 12	23,911 79	50,000 00		-26,088 21	
	FIFO	2 832	Oct 01, 98	Aug 29, 12	12 15	25 26		-13 11	
	FIFO	44 297	Nov 02, 98	Aug 29, 12	190 02	379 18		-189 16	
	FIFO	5,747 126	Nov 10, 98	Aug 29, 12	24,653 88	50,000 00		-25,346 12	
	FIFO	64 902	Dec 01, 98	Aug 29, 12	278 41	577 63		-299 22	
	FIFO	120.887	Jan 04, 99	Aug 29, 12	518 58	1,056 55		-537 97	
	FIFO	89 476	Feb 01, 99	Aug 29, 12	383 83	785 60		-401 77	
	FIFO	91 116	Mar 01, 99	Aug 29, 12	390 87	791 80		-400 93	
	FIFO	91 297	Apr 01, 99	Aug 29, 12	391 65	797 94		-406 29	
	FIFO	90 876	May 03, 99	Aug 29, 12	389 83	804 25		-414 42	
	FIFO	94 116	Jun 01, 99	Aug 29, 12	403 74	810.34		-406 60	
	FIFO	96 076	Jul 01, 99	Aug 29, 12	412 14	816 65		-404 51	
	FIFO	97 319	Aug 02, 99	Aug 29, 12	417 48	823 32		-405 84	
	FIFO	100 469	Sep 01, 99	Aug 29, 12	430 99	829 87		-398 88	
	FIFO	103 552	Oct 01, 99	Aug 29, 12	444 21	836 70		-392 49	
	FIFO	106 543	Nov 01, 99	Aug 29, 12	457 05	843 82		-386 77	
	FIFO	106 381	Dec 01, 99	Aug 29, 12	456 35	851 05		-394 70	
	FIFO	106 626	Jan 03, 00	Aug 29, 12	457 40	858 34		-400 94	
	FIFO	109 145	Feb 01, 00	Aug 29, 12	468 21	865 52		-397 31	
	FIFO	109 523	Mar 01, 00	Aug 29, 12	469 83	872 90		-403 07	
	FIFO	115 995	Apr 03, 00	Aug 29, 12	497 59	880 40		-382 81	
	FIFO	121 187	May 01, 00	Aug 29, 12	519 87	888 30		-368 43	
	FIFO	126 806	Jun 01, 00	Aug 29, 12	543 97	896 52		-352 55	
	FIFO	76 589	Jul 01, 04	Aug 29, 12	328 54	330 09		-1 55	
	FIFO	77 652	Aug 02, 04	Aug 29, 12	333 11	334 68		-1 57	

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Account name: SCHWARTZ FOUNDATION
Account number:

Your Financial Advisor:
RICK S KIRKINIS
973-360-4200/800-631-8179

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	76 883	Sep 01, 04	Aug 29, 12	329 82	335 20		-5 38	
	FIFO	72 634	Oct 01, 04	Aug 29, 12	311 58	319 58		-8 00	
	FIFO	69 755	Nov 01, 04	Aug 29, 12	299 23	310 40		-11 17	
	FIFO	63 087	Dec 01, 04	Aug 29, 12	270 63	283 26		-12 63	
	FIFO	52 270	Jan 03, 05	Aug 29, 12	224 23	237 82		-13 59	
	FIFO	52 803	Feb 01, 05	Aug 29, 12	226 51	238 66		-12.15	
	FIFO	54 441	Mar 01, 05	Aug 29, 12	233 54	249.33		-15 79	
	FIFO	65 923	Apr 01, 05	Aug 29, 12	282 79	292 03		-9 24	
	FIFO	77 270	May 02, 05	Aug 29, 12	331 48	335 35		-3 87	
	FIFO	74 891	Jun 01, 05	Aug 29, 12	321 26	328 77		-7 51	
	FIFO	76 813	Jul 01, 05	Aug 29, 12	329 51	341 81		-12.30	
	FIFO	71 282	Aug 01, 05	Aug 29, 12	305 79	320 76		-14.97	
	FIFO	71 205	Sep 01, 05	Aug 29, 12	305 45	319 71		-14 26	
	FIFO	72 859	Oct 03, 05	Aug 29, 12	312 55	321 30		-8 75	
	FIFO	69 942	Nov 01, 05	Aug 29, 12	300 03	302 84		-2 81	
	FIFO	4 970	Nov 18, 05	Aug 29, 12	21 32	21 52		-0 20	
	FIFO	73 447	Dec 01, 05	Aug 29, 12	315 07	318 75		-3 68	
	FIFO	73 830	Jan 03, 06	Aug 29, 12	316 72	321.89		-5 17	
	FIFO	68 608	Feb 01, 06	Aug 29, 12	294 31	301 18		-6 87	
	FIFO	73 372	Mar 01, 06	Aug 29, 12	314 75	323 57		-8 82	
	FIFO	73 907	Apr 03, 06	Aug 29, 12	317 05	325 19		-8 14	
	FIFO	70 861	May 01, 06	Aug 29, 12	303 97	311 07		-7 10	
	FIFO	77 025	Jun 01, 06	Aug 29, 12	330 42	335 82		-5.40	
	FIFO	78 156	Jul 03, 06	Aug 29, 12	335 27	336 07		-0 80	
	FIFO	78 703	Aug 01, 06	Aug 29, 12	337 62	339 20		-1 58	
	FIFO	78 414	Sep 01, 06	Aug 29, 12	336 38	341 10		-4 72	
	FIFO	78 279	Oct 02, 06	Aug 29, 12	335 80	342 86		-7.06	
	FIFO	82 462	Nov 01, 06	Aug 29, 12	353 74	364 48		-10 74	
	FIFO	82 175	Dec 01, 06	Aug 29, 12	352 52	366 50		-13.98	

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Account name: SCHWARTZ FOUNDATION
Account number:

Your Financial Advisor:
RICK S KIRKINIS
973-360-4200/800-631-8179

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	81 353	Jan 18, 07	Aug 29, 12	348 98	366.90		-17 92	
	FIFO	82 716	Feb 01, 07	Aug 29, 12	354 84	375 53		-20 69	
	FIFO	83 031	Mar 01, 07	Aug 29, 12	356 18	378 62		-22 44	
	FIFO	81 290	Apr 02, 07	Aug 29, 12	348 72	369 86		-21 14	
	FIFO	83.270	May 01, 07	Aug 29, 12	357 20	382 20		-25.00	
	FIFO	80 500	Jun 01, 07	Aug 29, 12	345 33	370 30		-24 97	
	FIFO	82 891	Jul 02, 07	Aug 29, 12	355 59	372 18		-16 59	
	FIFO	86 988	Aug 01, 07	Aug 29, 12	373.15	374 04		-0.89	
	FIFO	93 856	Sep 04, 07	Aug 29, 12	402 63	403 58		-0 95	
	FIFO	86 668	Oct 01, 07	Aug 29, 12	371.78	381.33		-9.55	
	FIFO	92 588	Nov 01, 07	Aug 29, 12	397 18	406 46		-9 28	
	FIFO	95 570	Dec 03, 07	Aug 29, 12	409 98	407 12			2 86
	FIFO	42 837	Jan 02, 08	Aug 29, 12	183 76	181 62			2 14
	FIFO	104 357	Feb 01, 08	Aug 29, 12	447 67	432 03			15 64
	FIFO	107 385	Mar 03, 08	Aug 29, 12	460 65	438 13			22 52
	FIFO	109 642	Apr 01, 08	Aug 29, 12	470.34	440 76			29 58
	FIFO	106 842	May 01, 08	Aug 29, 12	458 33	445 53			12 80
	FIFO	109 699	Jun 02, 08	Aug 29, 12	470 59	458 54			12 05
	FIFO	112 805	Jul 01, 08	Aug 29, 12	483 90	457 98			25 92
	FIFO	116 149	Aug 01, 08	Aug 29, 12	498 26	461 11			37 15
	FIFO	118 841	Sep 02, 08	Aug 29, 12	509 80	469 42			40 38
	FIFO	127 711	Oct 01, 08	Aug 29, 12	547 85	472 53			75 32
	FIFO	157 129	Nov 03, 08	Aug 29, 12	674 05	476 10			197 95
	FIFO	179 741	Dec 01, 08	Aug 29, 12	771 05	492 49			278 56
	FIFO	174 076	Jan 02, 09	Aug 29, 12	746 74	501 34			245 40
	FIFO	171 097	Feb 02, 09	Aug 29, 12	733 97	513 29			220 68
	FIFO	113 645	Feb 27, 09	Aug 29, 12	487 51	326 16			161 35
	FIFO	177 281	Mar 31, 09	Aug 29, 12	760 50	510 57			249 93
	FIFO	207 547	Apr 30, 09	Aug 29, 12	890 33	660 00			230 33
	FIFO	152 424	May 29, 09	Aug 29, 12	653 86	513 67			140 19

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	148 438	Jun 30, 09	Aug 29, 12	636 77	512 11			124.66
	FIFO	128 258	Jul 31, 09	Aug 29, 12	550 20	466 86			83 34
	FIFO	127 122	Aug 31, 09	Aug 29, 12	545 32	467 81			77 51
	FIFO	126 719	Sep 30, 09	Aug 29, 12	543 60	486 60			57 00
	FIFO	124 714	Oct 30, 09	Aug 29, 12	534 99	487 63			47 36
	FIFO	122 589	Nov 30, 09	Aug 29, 12	525 88	483 00			42 88
	FIFO	120 753	Dec 31, 09	Aug 29, 12	518 00	484 22			33 78
	FIFO	118 795	Jan 29, 10	Aug 29, 12	509 61	481 12			28 49
	FIFO	116 458	Feb 26, 10	Aug 29, 12	499 58	468 16			31 42
	FIFO	122 044	Mar 31, 10	Aug 29, 12	523 54	502 82			20 72
	FIFO	122 302	Apr 30, 10	Aug 29, 12	524 65	510 00			14.65
	FIFO	107 221	May 28, 10	Aug 29, 12	459 95	427 81			32 14
	FIFO	121 496	Jun 30, 10	Aug 29, 12	521 19	487 20			33 99
	FIFO	115 913	Jul 30, 10	Aug 29, 12	497 24	479 88			17.36
	FIFO	117 153	Aug 31, 10	Aug 29, 12	502 56	482 67			19 89
	FIFO	113 302	Sep 30, 10	Aug 29, 12	486 04	477 00			9 04
	FIFO	112 575	Oct 29, 10	Aug 29, 12	482 92	479 57			3 35
	FIFO	115 060	Nov 30, 10	Aug 29, 12	493 58	482 10			11.48
	FIFO	113 837	Dec 31, 10	Aug 29, 12	488 34	482 67			5 67
	FIFO	112 898	Jan 31, 11	Aug 29, 12	484 31	485 46		-1 15	
	FIFO	112.972	Feb 28, 11	Aug 29, 12	484 62	488 04		-3 42	
	FIFO	114 051	Mar 31, 11	Aug 29, 12	489 25	490 42		-1 17	
	FIFO	113 157	Apr 30, 11	Aug 29, 12	485 42	491 10		-5 68	
	FIFO	115 009	May 31, 11	Aug 29, 12	493 36	495 69		-2 33	
	FIFO	117 804	Jun 30, 11	Aug 29, 12	505 36	498 31			7 05
	FIFO	117 873	Jul 31, 11	Aug 29, 12	505 65	500 96			4 69
Total					**$154,843.04**	**$251,622.11**		**-$99,486.29**	**$2,707.22**
Net long-term capital gains or losses								**-$96,779.07**	
Net capital gains/losses:								**-$96,607.99**	

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ROYAL BANK OF CANADA AIRBAG YON MT 10/12/2012 9 40%	FIFO	100 000	Apr 05, 12	Oct 12, 12	100,000 00	100,000.00			

Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis If the adjustment is a result of a wash sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	Adjustment	8,299 844	Aug 23, 12	Sep 12, 12	40,000 00	40,087 30	-1 10	-87 30	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	FIFO	20,705 021	Aug 23, 12	Nov 21, 12	100,000 00	100,005 60	-0 02	-5 60	
	FIFO	10,767 133	Aug 23, 12	Nov 26, 12	52,000 00	52,005 45		-5 45	
Total					**$152,000.00**	**$152,011.05**		**-$11.05**	
Net short-term capital gains and losses								**-$11.05**	
Net capital gains/losses								**-$11.05**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CALL FORD MOTOR CO COM N DUE 03/16/13 10 000	FIFO	-100 000	Dec 27, 12	Dec 26, 12	23,444 22	6,505 25			16,938 97
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	FIFO	2,071 480	Aug 23, 12	Dec 05, 12	10,000 00	10,005 29		-5 29	
	FIFO	8,282 660	Aug 23, 12	Dec 10, 12	40,000 00	40,005 39		-5 39	
Total					**$73,444.22**	**$56,515.93**		**-$10.68**	**$16,938.97**
Net short-term capital gains and losses									**$16,928.29**
Net capital gains/losses:									**$16,928.29**

Gains and losses not calculated

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CSFB 2003-17 III-A2 DUE 06/25/33 05 0000	FIFO	56,000 000	Mar 26, 07	Dec 26, 12	2,457 42	0 00	---This information was unavailable---		

Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis If the adjustment is a result of a wash sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	Adjustment	20,705 021	Aug 23, 12	Nov 21, 12	100,000 00	100,005 58	-0 04	-5 58	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	FIFO	1,243 323	Aug 23, 12	Jan 28, 13	6,000 00	6,005 28		-5 28	

Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis If the adjustment is a result of a wash sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	Adjustment	2,071 480	Aug 23, 12	Dec 05, 12	10,000 00	10,005 16	-0 13	-5 16	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CSFB 2003-17 III-A2 DUE 06/25/33 05 0000	Adjustment	56,000 000	Mar 26, 07	Dec 26, 12	2,457 42	2,402 13 *			55 29

Asterisk * indicates a UBS Financial Services adjustment to cost basis

Account name: SCHWARTZ FOUNDATION
Account number: '735 KR

Your Financial Advisor:
RICK S. KIRKINIS
973-360-4200/800-631-8179

Realized gains and losses

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Prior month or year activity adjustments

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	Adjustment	1,243 323	Aug 23, 12	Jan 28, 13	6,000 00	6,005 09	-0 19	-5 09	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	FIFO	41,408 954	Aug 23, 12	Apr 01, 13	200,000 00	200,005 99	-0 01	-5 99	
	FIFO	34,845 807	Aug 23, 12	Apr 25, 13	168,300 00	168,305 87		-5 87	
Total					**$368,300.00**	**$368,311.86**		**-$11.86**	
Net short-term capital gains and losses								**-$11.86**	
Net capital gains/losses:								**-$11.86**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	FIFO	62,112 888	Aug 23, 12	May 14, 13	300,000 00	300,006 38		-6 38	
	FIFO	14,493 841	Aug 23, 12	May 28, 13	70,000 00	70,005 51		-5 51	
Total					**$370,000.00**	**$370,011.89**		**-$11.89**	
Net short-term capital gains and losses								**-$11.89**	
Net capital gains/losses:								**-$11.89**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	FIFO	26,972 044	Aug 23, 12	Jun 25, 13	130,000 00	130,275 47		-275 47	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
OPPENHEIMER SELECT VALUE FUNDS CLASS C	FIFO	5,246 590	Aug 16, 07	Jun 19, 13	90,818 47	100,000 00		-9,181 53	
	FIFO	161 577	Dec 19, 07	Jun 19, 13	2,796 90	2,984 33		-187 43	
	FIFO	31 400	Dec 15, 08	Jun 19, 13	543 53	292 96			250 57
	FIFO	18 574	Dec 14, 09	Jun 19, 13	321 52	250 01			71 51
TRAVELERS COS INC/THE	FIFO	16 000	Dec 21, 93	Jun 19, 13	1,265 91	502 85			763 06
Total					**$95,746.33**	**$104,030.15**		**-$9,368.96**	**$1,085.14**
Net long-term capital gains or losses								**-$8,283.82**	
Net capital gains/losses:								**-$8,559.29**	

End of statement for account number MW 27735 KR

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	FIFO	8,507.313	Aug 23, 12	Jun 27, 13	41,000.00	41,090 47		-90 47	
	FIFO	7,262 500	Aug 23, 12	Jul 17, 13	35,000 00	35,078 01		-78 01	
	FIFO	8,299.844	Aug 23, 12	Jul 18, 13	40,000 00	40,088 39		-88 39	
	FIFO	208 558	Aug 23, 12	Jul 22, 13	1,000 00	1,007 34		-7 34	
Total					**$117,000.00**	**$117,264.21**		**-$264.21**	
Net short-term capital gains and losses								**-$264.21**	
Net capital gains/losses:								**-$264.21**	

continued next page

Realized gains and losses (continued)

Prior month or year activity adjustments

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	Adjustment	26,972 044	Aug 23, 12	Jun 25, 13	130,000 00	130,275 30	-0 17	-275 30	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	FIFO	10,374 533	Aug 23, 12	Jul 30, 13	50,000 00	50,109 19		-109 19	
	FIFO	23,999 329	Aug 23, 12	Aug 08, 13	115,671 63	115,917 19		-245 56	
	FIFO	22 422	Aug 31, 12	Aug 08, 13	108 07	108 54	0 24	-0 47	
	FIFO	80 921	Sep 28, 12	Aug 08, 13	390 03	391 71	0 86	-1 68	
	FIFO	82 354	Nov 30, 12	Aug 08, 13	396 93	397 79	0 02	-0 86	
	FIFO	52 160	Dec 31, 12	Aug 08, 13	251 40	251 54	0 13	-0 14	
	FIFO	45 437	Jan 31, 13	Aug 08, 13	219 00	219 65	0 19	-0 65	
	FIFO	53 754	Feb 28, 13	Aug 08, 13	259 08	259 63		-0 55	
	FIFO	42 675	Mar 28, 13	Aug 08, 13	205 69	206 13	0 01	-0 44	
	FIFO	50 126	Apr 30, 13	Aug 08, 13	241 59	242 11		-0 52	
	FIFO	33 849	May 31, 13	Aug 08, 13	163 15	163 49		-0 34	
	FIFO	16 759	Jun 28, 13	Aug 08, 13	80 77	80 95	0 17	-0 18	
	FIFO	10 357	Jul 31, 13	Aug 08, 13	49 92	50 03	0 11	-0 11	
Total					**$168,037.26**	**$168,397.95**		**-$360.69**	
Net short-term capital gains and losses								**-$360.69**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALTRIA GROUP INC	FIFO	3,000 000	Oct 30, 00	Aug 14, 13	104,848 92	26,916 37			77,932 55
AT&T INC	FIFO	155 500	May 03, 00	Aug 14, 13	5,354 48	11,385 71		-6,031 23	
	FIFO	155 500	Jul 28, 00	Aug 14, 13	5,354 48	9,116 97		-3,762 49	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
HAMILTON SOESTN IN AMBAC CAV 7 20 /R/ 000 010115 DTD 050791 Original cost basis $42,704 00	FIFO	100,000 000	Mar 23, 00	Aug 20, 13	96,683 75	91,928 94 [1]			4,754 81
PHILIP MORRIS INTL INC	FIFO	2,000 000	Oct 30, 00	Aug 14, 13	176,215 08	40,889 35			135,325 73
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	FIFO	74 482	Oct 31, 12	Aug 08, 13	358 98	359.77	0 02	-0 79	
Total					**$388,815.69**	**$180,597.11**		**-$9,794.51**	**$218,013.09**
Net long-term capital gains or losses									**$208,218.58**
Net capital gains/losses:									**$207,857.89**

Prior month or year activity adjustments

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO ADVANTAGE ULTRA SHORT TERM MUNI INCOME FUND CLASS A	Adjustment	7,262 500	Aug 23, 12	Jul 17, 13	35,000 00	35,077 90	-0 11	-77 90	

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Account name: SCHWARTZ FOUNDATION
Account number: 736 KR

Your Financial Advisor:
RICK S KIRKINIS
973-360-4200/800-631-8179

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMEREN CORP	FIFO	156 000	Mar 25, 09	Aug 30, 12	5,150 97	3,575 38			1,575 59
	FIFO	221 000	Mar 25, 09	Sep 04, 12	7,239 92	5,065.12			2,174 80
	FIFO	170 000	Mar 25, 09	Sep 05, 12	5,601 95	3,896 25			1,705 70
	FIFO	140 000	Apr 13, 10	Sep 05, 12	4,613 37	3,718 01			895 36
	FIFO	30 000	Apr 14, 10	Sep 05, 12	988 58	795 24			193 34
Total					**$23,594.79**	**$17,050.00**			**$6,544.79**
Net long-term capital gains or losses									**$6,544.79**
Net capital gains/losses:									**$6,544.79**

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
INTL PAPER CO	FIFO	25 000	Mar 18, 11	Oct 17, 12	939 48	670 28			269 20
JPMORGAN CHASE & CO	FIFO	115 000	May 03, 11	Oct 17, 12	4,986 13	5,289 31		-303 18	
PEPSICO INC	FIFO	39 000	Mar 11, 11	Oct 03, 12	2,761 61	2,515 63			245 98
	FIFO	130.000	Mar 11, 11	Oct 04, 12	9,207 57	8,385 44			822 13
Total					**$17,894.79**	**$16,860.66**		**-$303.18**	**$1,337.31**
Net long-term capital gains or losses									**$1,034.13**
Net capital gains/losses:									**$1,034.13**

Account name. SCHWARTZ FOUNDATION
Account number: 736 KR

Your Financial Advisor
RICK S KIRKINIS
973-360-4200/800-631-8179

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALLSTATE CORP	FIFO	30 000	Mar 25, 09	Dec 04, 12	1,221 39	583 46			637 93
	FIFO	60 000	Mar 25, 09	Dec 05, 12	2,487 58	1,166 91			1,320 67
PHILLIPS 66	FIFO	14 000	Mar 25, 09	Dec 03, 12	717 37	262 16			455 21
	FIFO	126 000	Nov 11, 09	Dec 03, 12	6,456 30	3,229 85			3,226 45
TIME WARNER INC NEW	FIFO	416 000	Dec 07, 10	Dec 06, 12	19,287 19	13,078 96			6,208 23
	FIFO	234 000	Dec 07, 10	Dec 10, 12	10,962 40	7,356 91			3,605 49
	FIFO	35 000	Jan 06, 11	Dec 10, 12	1,639 67	1,165 59			474 08
WHIRLPOOL CORP	FIFO	95 000	Aug 05, 11	Dec 06, 12	9,262 61	6,241 76			3,020 85
Total					**$52,034 51**	**$33,085.60**			**$18,948.91**
Net long-term capital gains or losses									**$18,948.91**
Net capital gains/losses									**$18,948.91**

Account name: SCHWARTZ FOUNDATION
Account number: 736 KR

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Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMERIPRISE FINANCIAL INC	FIFO	80 000	Aug 30, 10	Jan 18, 13	5,254 74	3,467 22			1,787 52
INTL PAPER CO	FIFO	100 000	Mar 18, 11	Jan 17, 13	4,155 09	2,681 13			1,473 96
Total					**$9,409.83**	**$6,148.35**			**$3,261.48**
Net long-term capital gains or losses									**$3,261.48**
Net capital gains/losses:									**$3,261.48**

End of statement for account number MW 27736 KR

Your Financial Advisor
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973-360-4200/800-631-8179

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
INTL PAPER CO	FIFO	80 000	Mar 18, 11	Mar 07, 13	3,679 86	2,144 90			1,534 96
	FIFO	310 000	Mar 18, 11	Mar 18, 13	14,094 91	8,311 51			5,783 40
	FIFO	162 000	Mar 18, 11	Mar 19, 13	7,360 18	4,343 43			3,016 75
	FIFO	34 000	Mar 18, 11	Mar 20, 13	1,544 84	911 58			633 26
	FIFO	123 000	Jun 22, 11	Mar 20, 13	5,588 68	3,535 66			2,053 02
	FIFO	10 000	Jun 23, 11	Mar 20, 13	454 36	288 30			166 06
WHIRLPOOL CORP	FIFO	75 000	Aug 05, 11	Mar 11, 13	8,937 08	4,927 70			4,009 38
	FIFO	93 000	Aug 12, 11	Mar 11, 13	11,081 99	5,829 06			5,252 93
	FIFO	74 000	Aug 12, 11	Mar 12, 13	8,818 51	4,638 17			4,180 34
	FIFO	11 000	Aug 22, 11	Mar 12, 13	1,310 86	617 18			693 68
	FIFO	17 000	Aug 22, 11	Mar 15, 13	1,967 15	953 83			1,013 32
Total					**$64,838.42**	**$36,501.32**			**$28,337.10**
Net long-term capital gains or losses									**$28,337.10**
Net capital gains/losses:									**$28,337.10**

End of statement for account number MW 27736 KR

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PHILLIPS 66	FIFO	81 000	May 14, 12	Apr 17, 13	4,696 87	2,517 42			2,179 45
	FIFO	145 000	May 14, 12	Apr 18, 13	8,403 17	4,506 50			3,896 67
	FIFO	123 000	May 14, 12	Apr 19, 13	7,000 51	3,822 76			3,177 75
	FIFO	89 000	May 16, 12	Apr 19, 13	5,065 41	2,839 46			2,225 95
	FIFO	10 000	May 30, 12	Apr 19, 13	569 15	304 77			264 38
Total					**$25,735.11**	**$13,990.91**			**$11,744.20**
Net short-term capital gains and losses									**$11,744 20**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PHILLIPS 66	FIFO	54 000	Nov 11, 09	Apr 17, 13	3,131 25	1,384 22			1,747 03
	FIFO	7 500	Nov 13, 09	Apr 17, 13	434 89	188 84			246 05

continued next page

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	2 500	Nov 20, 09	Apr 17, 13	144 97	62 32			82 65
Total					**$3,711.11**	**$1,635.38**			**$2,075.73**
Net long-term capital gains or losses									**$2,075.73**
Net capital gains/losses:									**$13,819.93**

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
KIMBERLY CLARK CORP	FIFO	245 000	Mar 25, 09	May 15, 13	25,622 07	11,304 18			14,317 89
	FIFO	47 000	Mar 18, 11	May 15, 13	4,915 25	3,012 34			1,902 91
Total					**$30,537.32**	**$14,316.52**			**$16,220.80**
Net long-term capital gains or losses									**$16,220.80**
Net capital gains/losses:									**$16,220.80**

End of statement for account number MW 27736 KR

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ASTRAZENECA PLC SPON ADR	FIFO	76 000	Jun 27, 12	Jun 12, 13	3,882 73	3,321 84			560 89
	FIFO	45 000	Jun 27, 12	Jun 13, 13	2,295 66	1,966 88			328 78
	FIFO	188 000	Jul 02, 12	Jun 13, 13	9,590 76	8,531.46			1,059 30
	FIFO	52 000	Jul 02, 12	Jun 20, 13	2,547 17	2,359 77			187 40
Total					**$18,316.32**	**$16,179.95**			**$2,136.37**
Net short-term capital gains and losses									**$2,136.37**

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
FREEPORT-MCMORAN COPPER & GOLD INC	FIFO	50 000	Jan 11, 10	Jun 24, 13	1,329 23	2,233 26		-904 03	
	FIFO	355 000	Jan 13, 10	Jun 24, 13	9,437 51	15,052 16		-5,614 65	
Total					**$10,766.74**	**$17,285.42**		**-$6,518.68**	
Net long-term capital gains or losses								**-$6,518.68**	
Net capital gains/losses								**-$4,382 31**	

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ASTRAZENECA PLC SPON ADR	FIFO	118 000	Jul 02, 12	Jul 01, 13	5,663 91	5,354 85			309 06
	FIFO	23 000	Jul 06, 12	Jul 01, 13	1,103 98	1,040 62			63 36
FREEPORT-MCMORAN COPPER & GOLD INC	FIFO	265 000	Apr 29, 13	Jul 03, 13	7,327 15	7,927 85		-600 70	
Total					**$14,095.04**	**$14,323.32**		**-$600.70**	**$372.42**
Net short-term capital gains and losses								**-$228.28**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
FREEPORT-MCMORAN COPPER & GOLD INC	FIFO	85 000	Jan 13, 10	Jul 03, 13	2,350 22	3,604 04		-1,253 82	
	FIFO	126 000	Oct 19, 11	Jul 03, 13	3,483 85	4,452 18		-968 33	
	FIFO	55 000	Jun 08, 12	Jul 03, 13	1,520 72	1,853 45		-332 73	
Total					**$7,354.79**	**$9,909.67**		**-$2,554.88**	
Net long-term capital gains or losses								**-$2,554.88**	
Net capital gains/losses								**-$2,783 16**	

Account name: SCHWARTZ FOUNDATION
Account number: 736 KR

Your Financial Advisor:
RICK S KIRKINS
973-360-4200/800-631-8179

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMERIPRISE FINANCIAL INC	FIFO	36 000	Aug 30, 10	Aug 16, 13	3,168 85	1,560 24			1,608 61
NORTHROP GRUMMAN CORP	FIFO	18 000	Oct 23, 09	Aug 19, 13	1,700 36	806 41			893 95
STAPLES INC	FIFO	180 000	Jul 20, 12	Aug 14, 13	3,090 19	2,257 00			833 19
Total					**$7,959.40**	**$4,623.65**			**$3,335.75**
Net long-term capital gains or losses									**$3,335.75**
Net capital gains/losses:									**$3,335.75**

End of statement for account number MW 27736 KR

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AEGON N V 6 500% CALLABLE 12/15/10	FIFO	25 000	Jun 11, 09	Sep 04, 12	615 12	369 25			245 87
AEGON N V PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	25 000	Jun 24, 11	Sep 04, 12	558 23	554.75			3 48
COMMONWEALTH REIT CUML 7 250% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	150 000	May 26, 11	Aug 31, 12	3,964 41	3,708 00			256 41
	FIFO	175 000	Jun 07, 11	Aug 31, 12	4,625 15	4,336.50			288 65
DOMINION RESOURCES INC 8 3750% DUE 06/15/64	FIFO	144 000	Jun 12, 09	Aug 30, 12	4,099 80	3,585 60			514 20
	FIFO	41 000	Jun 12, 09	Aug 31, 12	1,169 75	1,020 90			148 85
	FIFO	50 000	Mar 17, 10	Aug 31, 12	1,426 52	1,390 50			36 02
	FIFO	50 000	Dec 22, 10	Aug 31, 12	1,426 52	1,380 50			46 02
HSBC FIN CORP CALL 6/24/10@$25 00 SER B 6 36% PREFERRED CLBL	FIFO	135 000	Jul 28, 11	Sep 05, 12	3,396 52	3,204 31			192.21
HSBC HLDGS PLC SER A 6 200% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	68 000	Aug 04, 11	Sep 11, 12	1,707.97	1,646 22			61 75
	FIFO	257 000	Aug 04, 11	Sep 14, 12	6,461 78	6,221 74			240 04
PLC CAPITAL TRUST V 6.1250% DUE 01/27/34 CALLABLE 1/27/09@25 00	FIFO	47 000	Jun 28, 11	Sep 07, 12	1,193 77	1,139 75			54 02
RENAISSANCERE HOLDINGS LTD SER C 6 08% PREFERRED CLBL	FIFO	114 000	Jul 22, 09	Sep 12, 12	2,863 61	2,072 52			791 09
SELECTIVE INS GROUP INC 7 500% DUE 09/27/66 CALLABLE	FIFO	35 000	Oct 25, 10	Aug 30, 12	894 63	872.20			22 43
	FIFO	78 000	Oct 25, 10	Sep 07, 12	1,992 85	1,943.76			49 09
	FIFO	50 000	Jun 23, 11	Sep 07, 12	1,277 47	1,253 90			23 57
Total					**$37,674.10**	**$34,700.40**			**$2,973.70**
Net long-term capital gains or losses									**$2,973.70**
Net capital gains/losses:									**$4,592.22**

End of statement for account number MW 32919 KB

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AIG SERIES A-5 7 7000% DUE 12/18/2062,NC5	FIFO	48 000	Feb 14, 12	Sep 21, 12	1,223 35	1,199.52			23 83
	FIFO	26 000	Mar 05, 12	Sep 21, 12	662 65	662 13			0 52
AXIS CAPITAL HOLDINGS LT SER C 6 875% PREFERRED CLBL PAR VALUE - 25 00	FIFO	20 000	Mar 12, 12	Sep 04, 12	551 18	500.00			51 18
COMMONWEALTH REIT CUML 7 250% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	315 000	Dec 07, 11	Aug 31, 12	8,325 26	7,679 70			645 56
HSBC HLDGS PLC SER A 6 200% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	20 000	Aug 21, 12	Sep 14, 12	502 86	506 80		-3 94	
PNC FINL SERV SER P 6 125% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	152 000	Apr 19, 12	Sep 14, 12	4,200 89	3,857 46			343 43
	FIFO	158 000	Apr 19, 12	Sep 20, 12	4,348 23	4,009 72			338 51
US BANCORP DEL SER F PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	50 000	Jan 19, 12	Sep 04, 12	1,478 27	1,258 84			219 43
Total					**$21,292.69**	**$19,674.17**		**-$3.94**	**$1,622.46**
Net short-term capital gains and losses									**$1,618.52**

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Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AIG SERIES A-5 7 7000% DUE 12/18/2062,NC5	FIFO	85 000	Mar 05, 12	Oct 18, 12	2,159 38	2,164 66		-5 28	
	FIFO	51 000	Mar 08, 12	Oct 18, 12	1,295 63	1,303 96		-8 33	
	FIFO	33 000	Mar 09, 12	Oct 18, 12	838 35	846 49		-8 14	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AXIS CAPITAL HOLDINGS LT SER C 6 875% PREFERRED CLBL PAR VALUE - 25 00	FIFO	370 000	Mar 12, 12	Oct 04, 12	10,034 17	9,250 00			784 17
	FIFO	270 000	Mar 14, 12	Oct 04, 12	7,322 24	6,839 10			483 14
DEUTSCHE BK CONTGNT CAP 7 600% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	95 000	Mar 02, 12	Oct 15, 12	2,555 44	2,398 75			156 69
	FIFO	235 000	Mar 08, 12	Oct 15, 12	6,321 36	5,959 53			361 83
Total					**$30,526.57**	**$28,762.49**		**-$21.75**	**$1,785.83**
Net short-term capital gains and losses									**$1,764.08**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
HSBC FIN CORP CALL 6/24/10@$25 00 SER B 6 36% PREFERRED CLBL	FIFO	190 000	Jul 28, 11	Oct 09, 12	4,750 44	4,509 76			240 68
RENAISSANCERE HOLDINGS LTD SER C 6.08% PREFERRED CLBL	FIFO	154 000	Jul 22, 09	Oct 15, 12	3,857 61	2,799 72			1,057 89
	FIFO	75 000	Jul 22, 09	Oct 18, 12	1,880 95	1,363 50			517 45
	FIFO	32 000	Jul 22, 09	Oct 19, 12	801 58	581 76			219 82
	FIFO	50 000	Jun 29, 10	Oct 19, 12	1,252 47	984 00			268 47
Total					**$12,543.05**	**$10,238.74**			**$2,304.31**
Net long-term capital gains or losses									**$2,304.31**
Net capital gains/losses:									**$4,068.39**

End of statement for account number MW 32919 KB

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment ($)	Loss ($)	Gain ($)
STIFEL FINANCIAL CORP 6 700% DUE 01/15/22 CALLABLE	FIFO	72 000	Jan 24, 12	Nov 08, 12	1,925 95	1,810 80			115 15

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment ($)	Loss ($)	Gain ($)
SANTANDER FINANCE PREF S SER 10 10 500% PREFERRED CLBL	FIFO	175 000	Jun 10, 11	Nov 26, 12	4,791 06	4,952 50		-161 44	
Net capital gains/losses								**-$46.29**	

End of statement for account number MW 32919 KB

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
STIFEL FINANCIAL CORP 6 700% DUE 01/15/22 CALLABLE	FIFO	88 000	Jan 24, 12	Dec 27, 12	2,311 66	2,213 20			98 46
	FIFO	5 000	Feb 08, 12	Dec 27, 12	131 34	128 29			3 05
	FIFO	76 000	Feb 08, 12	Dec 31, 12	2,003 53	1,949 98			53 55
WACHOVIA PREFERRED FNDNG 7 25%NONCUM EXCHANGEABLE PERPETUAL SER A PFD SEC	FIFO	70 000	Jun 18, 12	Dec 13, 12	1,866 92	1,885 80		-18 88	
	FIFO	29 000	Jun 18, 12	Dec 14, 12	771 59	781 26		-9 67	
	FIFO	61 000	Jun 18, 12	Dec 17, 12	1,631 12	1,643 34		-12 22	
Total					**$8,716.16**	**$8,601 87**		**-$40.77**	**$155 06**
Net short-term capital gains and losses									**$114 29**

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973-360-4200/800-631-8179

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ENTERGY MISS INC 1ST MTG 6 000% DUE 05/01/51 CALLABLE	FIFO	125 000	Apr 19, 11	Nov 29, 12	3,312 42	3,077 32			235 10
	FIFO	90 000	Apr 20, 11	Nov 29, 12	2,384 95	2,216 40			168 55
Total					**$5,697.37**	**$5,293.72**			**$403.65**
Net long-term capital gains or losses									**$403.65**
Net capital gains/losses.									**$517 94**

End of statement for account number MW 32919 KB

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Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
STIFEL FINANCIAL CORP 6 700% DUE 01/15/22 CALLABLE	FIFO	19 000	Feb 08, 12	Jan 07, 13	504 82	487 49			17 33
	FIFO	60 000	Feb 08, 12	Jan 08, 13	1,591 76	1,539 46			52 30
WACHOVIA PREFERRED FNDNG 7 25%NONCUM EXCHANGEABLE PERPETUAL SER A PFD SEC	FIFO	305 000	Jun 18, 12	Jan 08, 13	8,112 82	8,216 70		-103 88	
	FIFO	20 000	Sep 04, 12	Jan 08, 13	531 99	542 79		-10 80	
Total					**$10,741.39**	**$10,786.44**		**-$114.68**	**$69.63**
Net short-term capital gains and losses								**-$45.05**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AEGON NV 6 875% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	100 000	Jan 06, 12	Jan 18, 13	2,530 28	2,219 00			311 28
COUNTRYWIDE CAP V 7 000% DUE 11/01/36 CALLABLE	FIFO	90 000	Sep 26, 11	Jan 11, 13	2,286 86	1,766 61			520 25
	FIFO	80 000	Sep 26, 11	Jan 17, 13	2,034 35	1,570 32			464 03
MORGAN STANLEY CAP 6 600% DUE 10/15/66 CALLABLE 10/15/11@25.00	FIFO	80 000	Sep 30, 11	Jan 07, 13	2,007 79	1,723 62			284 17
	FIFO	90 000	Sep 30, 11	Jan 14, 13	2,262 56	1,939 07			323 49

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	90 000	Sep 30, 11	Jan 16, 13	2,261 65	1,939 06			322 59
	FIFO	90 000	Sep 30, 11	Jan 23, 13	2,269 77	1,939 07			330 70
Total					**$15,653.26**	**$13,096.75**			**$2,556.51**
Net long-term capital gains or losses									**$2,556.51**
Net capital gains/losses									**$2,511.46**

Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis If the adjustment is a result of a wash sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
STIFEL FINANCIAL CORP 6 700% DUE 01/15/22 CALLABLE	FIFO	88 000	Jan 24, 12	Dec 27, 12	2,311 66	2,213 20			98 46
	FIFO	5 000	Feb 08, 12	Dec 27, 12	131 34	128 29			3 05
	FIFO	76 000	Feb 08, 12	Dec 31, 12	2,003 53	1,949 98			53 55
Total					**$4,446.53**	**$4,291.47**			**$155.06**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
COUNTRYWIDE CAP V 7 000% DUE 11/01/36 CALLABLE	FIFO	78 000	Feb 22, 12	Jan 30, 13	1,956 80	1,829.88			126 92
	FIFO	2 000	Feb 22, 12	Jan 30, 13	50 18	46 92			3 26
	FIFO	88 000	Nov 12, 12	Jan 30, 13	2,207 88	2,203 52			4 36
	FIFO	33 000	Nov 12, 12	Feb 07, 13	827 95	826 32			1 63
	FIFO	64 000	Nov 12, 12	Feb 14, 13	1,610 78	1,602 56			8 22

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
MORGAN STANLEY CAP 6 600% DUE 10/15/66 CALLABLE 10/15/11@25 00	FIFO	25 000	Sep 04, 12	Feb 08, 13	630 69	626 50			4 19
NEXTERA ENERGY CAP HLDGS 5 700% DUE 03/01/72 CALLABLE	FIFO	175 000	Mar 20, 12	Feb 20, 13	4,663 65	4,375 00			288 65
Total					**$11,947.93**	**$11,510.70**			**$437.23**
Net short-term capital gains and losses									**$437.23**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
COUNTRYWIDE CAP V 7 000% DUE 11/01/36 CALLABLE	FIFO	90 000	Sep 26, 11	Jan 29, 13	2,257 02	1,766 61			490 41
	FIFO	5 000	Sep 26, 11	Jan 30, 13	125 44	98 15			27 29
LLOYDS BANKING GROUP PLC 7 750% DUE 07/15/50 CALLABLE	FIFO	40 000	Jun 30, 10	Feb 06, 13	1,111 58	986 80			124 78
	FIFO	30 000	Jun 30, 10	Feb 15, 13	830 45	740 10			90 35
MORGAN STANLEY CAP 6 600% DUE 10/15/66 CALLABLE 10/15/11@25 00	FIFO	55 000	Sep 30, 11	Feb 05, 13	1,390 60	1,184 99			205 61
	FIFO	62 000	Oct 03, 11	Feb 05, 13	1,567 58	1,338 58			229 00
	FIFO	33 000	Oct 03, 11	Feb 08, 13	832 52	712 47			120 05
SCANA CORP NEW 7 700% DUE 01/30/65 CALLABLE	FIFO	78 000	Nov 17, 09	Feb 05, 13	2,119 21	1,950 00			169 21
Total					**$10,234.40**	**$8,777.70**			**$1,456.70**
Net long-term capital gains or losses									**$1,456.70**
Net capital gains/losses.									**$1,893.93**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BANK OF NEW YORK MELLON 5 200% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	355 000	Sep 13, 12	Mar 04, 13	8,917 40	8,853 70			63 70
BB&T CORPORATION (BBT) SER E 5 625% PREFERRED CLBL PAR VALUE - 25 00	FIFO	175 000	Jul 25, 12	Mar 12, 13	4,467 65	4,357 22			110 43
	FIFO	102 000	Aug 16, 12	Mar 12, 13	2,604 00	2,569 91			34 09
	FIFO	68 000	Aug 17, 12	Mar 12, 13	1,736 00	1,714 90			21 10
	FIFO	165 000	Oct 10, 12	Mar 12, 13	4,212 36	4,298 25		-85 89	
STATE STREET CORPORATION (STT) 5 250% PREFERRED PAR VALUE - 25 00 USD	FIFO	350 000	Aug 15, 12	Mar 08, 13	8,819 80	8,732 50			87 30
	FIFO	210 000	Sep 05, 12	Mar 08, 13	5,291 88	5,264 70			27 18
	FIFO	35 000	Sep 06, 12	Mar 08, 13	881 98	879 90			2 08
	FIFO	105 000	Oct 08, 12	Mar 08, 13	2,645 94	2,698 50		-52 56	
Total					**$39,577.01**	**$39,369.58**		**-$138.45**	**$345.88**
Net short-term capital gains and losses									**$207.43**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ENDURANCE SPECIALTY HLDG CALL 12/15/15@25 00 SER A	FIFO	60 000	Jun 11, 09	Feb 28, 13	1,629 56	1,053 00			576 56

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
SCANA CORP NEW 7 700% DUE 01/30/65 CALLABLE	FIFO	172 000	Nov 17, 09	Mar 07, 13	4,697 22	4,300 00			397 22
	FIFO	25 000	Jun 23, 11	Mar 07, 13	682 73	704 75		-22 02	
Total					**$7,009.51**	**$6,057.75**		**-$22.02**	**$973.78**
Net long-term capital gains or losses									**$951.76**
Net capital gains/losses									**$1,159.19**

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CITIGROUP CAP XV 6 500% DUE 09/15/66 CALLABLE 04/16/13@25	FIFO	25 000	Sep 04, 12	Apr 16, 13	625 00	630 00		-5 00	
KKR FINANCIAL HOLDINGS LLC (KFN) 7 375% PREF CLBL PAR VAL - 25 00	FIFO	180 000	Jan 14, 13	Apr 01, 13	4,679 90	4,500 00			179 90
VORNADO REALTY TRUST (VNO) 5 700% PREF CLBL PAR VALUE - 25 00 USD	FIFO	168 000	Jul 12, 12	Apr 18, 13	4,317 50	4,215 24			102 26
	FIFO	2 000	Jul 12, 12	Apr 18, 13	51 51	50 18			1 33
Total					**$9,673.91**	**$9,395.42**		**-$5.00**	**$283.49**
Net short-term capital gains and losses									**$278.49**

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CITIGROUP CAP XV 6 500% DUE 09/15/66 CALLABLE 04/16/13@25	FIFO	325 000	Sep 21, 11	Apr 16, 13	8,125 00	7,461 94			663 06
	FIFO	147 000	Feb 27, 12	Apr 16, 13	3,675 00	3,629 84			45 16
	FIFO	28 000	Feb 28, 12	Apr 16, 13	700 00	691 60			8 40
ENDURANCE SPECIALTY PFD-SER B 7 500% PREFERRED CLBL PAR VALUE - 25 00	FIFO	63 000	May 25, 11	Apr 24, 13	1,715 45	1,575 00			140 45
Total					**$14,215.45**	**$13,358.38**			**$857.07**
Net long-term capital gains or losses									**$857.07**
Net capital gains/losses:									**$1,135.56**

End of statement for account number MW 32919 KB

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities	**Apr 30**	**Balance forward**		**$44,729.87**
	May 2	Sold	RMA MONEY MKT PORTFOLIO	-3,139 73
	May 6	Sold	RMA MONEY MKT PORTFOLIO	-2,332 80
	May 8	Bought	RMA MONEY MKT PORTFOLIO	6,764 11
	May 9	Bought	RMA MONEY MKT PORTFOLIO	1,227 81
	May 10	Sold	RMA MONEY MKT PORTFOLIO	-654 71
	May 13	Bought	RMA MONEY MKT PORTFOLIO	152 78
	May 14	Sold	RMA MONEY MKT PORTFOLIO	-3,061 93
	May 16	Bought	RMA MONEY MKT PORTFOLIO	1,179 12
	May 17	Sold	RMA MONEY MKT PORTFOLIO	-4,940 35
	May 20	Sold	RMA MONEY MKT PORTFOLIO	-6,676 80
	May 23	Sold	RMA MONEY MKT PORTFOLIO	-341 61
	May 24	Bought	RMA MONEY MKT PORTFOLIO AS OF 05/23/13	0 32
	May 28	Sold	RMA MONEY MKT PORTFOLIO	-2,730 01
	May 30	Bought	RMA MONEY MKT PORTFOLIO	758 21
	May 31	**Closing RMA Money Mkt. Portfolio**		**$30,934.28**

The RMA Money Market Portfolio is your primary sweep option

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
WELLS FARGO & CO NEW 8 000% PREFERRED CLBL	FIFO	20 000	Mar 05, 13	May 21, 13	623 39	579 00			44 39

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AEGON N V 6 500% CALLABLE 12/15/10	FIFO	8 000	Jun 11, 09	Apr 29, 13	202 63	118 16			84 47
ALLIED CAPITAL CORP NEW 6 875% DUE 04/15/47 CALLABLE	FIFO	42 000	Apr 21, 11	May 07, 13	1,070 98	980 70			90 28
	FIFO	43 000	Apr 21, 11	May 23, 13	1,090 03	1,004 05			85 98
ASSURED GUARNTY MUNI HLD 6 250% DUE 11/01/02 CALLABLE	FIFO	30 000	Feb 08, 12	May 08, 13	764 09	726 00			38 09
	FIFO	12 000	Feb 22, 12	May 08, 13	305 63	293 46			12 17
	FIFO	18 000	Feb 22, 12	May 10, 13	458 45	440 19			18 26
CITIGROUP CAPITAL IX 6 0000% DUE 02/14/2033 CALLABLE 02/13/08@$25 00	FIFO	160 000	Feb 22, 12	May 02, 13	4,085 01	3,761 95			323 06
	FIFO	48 000	Feb 22, 12	May 03, 13	1,227 81	1,128 59			99 22
	FIFO	24 000	Mar 06, 12	May 06, 13	614 31	569 71			44 60
	FIFO	18 000	Mar 06, 12	May 08, 13	456 47	427 28			29 19
	FIFO	90 000	Mar 06, 12	May 17, 13	2,272 99	2,136 41			136 58
DB CAPITAL FUNDING VIII CALL 10/18/11@25 00 6 375% PREFERRED CLBL	FIFO	47 000	Apr 28, 11	May 24, 13	1,188 95	1,138 81			50 14
	FIFO	33 000	Apr 29, 11	May 24, 13	834 79	802 23			32 56
ENDURANCE SPECIALTY PFD-SER B 7 500% PREFERRED CLBL PAR VALUE - 25 00	FIFO	97 000	May 25, 11	May 02, 13	2,679 10	2,425 00			254 10
Total					**$17,251.24**	**$15,952.54**			**$1,298.70**
Net long-term capital gains or losses									**$1,298.70**
Net capital gains/losses:									**$1,343.09**

End of statement for account number MW 32919 KB

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALLIANZ SE 8 375% PERPETUAL, CALLABLE	FIFO	70 000	Sep 05, 12	Jun 15, 13	1,750 00	1,825 60		-75 60	
	FIFO	190 000	Jan 04, 13	Jun 15, 13	4,750 00	4,873 50		-123 50	
Total					**$6,500.00**	**$6,699.10**		**-$199.10**	
Net short-term capital gains and losses								**-$199.10**	

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Your Financial Advisor:
KIRKINIS WEALTH MANAGEMENT
973-360-4200/800-631-8179

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALLIANZ SE 8 375% PERPETUAL, CALLABLE	FIFO	650 000	Jun 03, 08	Jun 15, 13	16,250 00	16,250 00			
	FIFO	25 000	Apr 29, 10	Jun 15, 13	625 00	638 75		-13 75	
	FIFO	25 000	Jul 08, 10	Jun 15, 13	625 00	621 88			3 12
	FIFO	25 000	Apr 11, 11	Jun 15, 13	625 00	662 00		-37 00	
	FIFO	50 000	Jun 28, 11	Jun 15, 13	1,250 00	1,311 00		-61 00	
	FIFO	10 000	Mar 01, 12	Jun 15, 13	250 00	261 00		-11 00	
AMERICAN INTL GROUP INC 6 450% SERIES A-4 DUE 06/15/2077 CALLABLE	FIFO	41 000	Feb 15, 12	Jun 17, 13	1,025 00	932 82			92 18
	FIFO	114 000	Mar 09, 12	Jun 17, 13	2,850 00	2,708 75			141 25
	FIFO	116 000	Mar 12, 12	Jun 17, 13	2,900 00	2,719 64			180 36
Total					**$26,400.00**	**$26,105.84**		**-$122.75**	**$416.91**
Net long-term capital gains or losses									**$294.16**
Net capital gains/losses									**$95.06**

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
DUKE ENERGY CORPORATION (DUK) 5 125% DUE 01/15/73 CALL	FIFO	90 000	Jan 10, 13	Aug 22, 13	1,844 07	2,277 90		-433 83	
	FIFO	90 000	Jan 16, 13	Aug 22, 13	1,844 07	2,262 60		-418 53	
JPMORGAN CHASE & CO SER O 5 500% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	90 000	Jan 24, 13	Aug 22, 13	1,921 47	2,297 93		-376 46	
PNC FINL SERV GRP INC SER Q 5 375% PREFERRED CLBL PAR VALUE - 25 00	FIFO	355 000	Sep 19, 12	Aug 12, 13	8,005 11	8,793 35		-788 24	
Total					**$13,614.72**	**$15,631.78**		**-$2,017.06**	
Net short-term capital gains and losses								**-$2,017.06**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ENTERGY LOUISIANA LLC 5 250% DUE 07/01/52 CALLABLE	FIFO	140 000	Jun 27, 12	Aug 16, 13	2,960 95	3,521 00		-560.05	
GOLDMAN SACHS GROUP INC SER D PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	120 000	Mar 16, 11	Aug 22, 13	2,438 36	2,649 49		-211 13	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
KIMCO REALTY CORPORATION (KIM) 5 500% PREF CLBL PAR VALUE - 25 00 USD	FIFO	140 000	Aug 03, 12	Aug 21, 13	2,829 35	3,450 95		-621 60	
Total					**$8,228.66**	**$9,621.44**		**-$1,392.78**	
Net long-term capital gains or losses								**-$1,392.78**	
Net capital gains/losses:								**-$3,409.84**	

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print. File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions THE SCHWARTZ FOUNDATION, INC. C/O ROBERT SCHWARTZ	Employer identification number (EIN) or 23-2267403
	Number, street, and room or suite no If a P O box, see instructions 821 EAST GATE DRIVE	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions MT. LAUREL, NJ 08054	

Enter the Return code for the return that this application is for (file a separate application for each return) [0][4]

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ OFFICER 821 EAST GATE DRIVE - MT LAUREL, NJ 08054
  Telephone No ▶ 856-778-9200 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until JULY 15, 2014

5 For calendar year ______ , or other tax year beginning SEP 1, 2012 , and ending AUG 31, 2013

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a	If this application is for Form 990 BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	$ 15,200.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 15,200.
c	**Balance due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Date ▶