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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

For calendar year 2012 or tax year beginning SEP 1, 2012, and ending AUG 31, 2013

Name of foundation

DAVID M.C. JU FOUNDATION

A Employer identification number

13-6165238

Number and street (or P.O. box number if mail is not delivered to street address)

435 BERNARDSVILLE ROAD

Room/suite

B Telephone number

908-766-1704

City or town, state, and ZIP code

MENDHAM, NJ 07945-2927

C If exemption application is pending, check here ☐

G Check all that apply

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐

Section 4947(a)(1) nonexempt charitable trust

☐

Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method:

☒

Cash

☐

Accrual

(from Part II, col. (c), line 16)

☐

Other (specify)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

>\$ 2,029,185. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

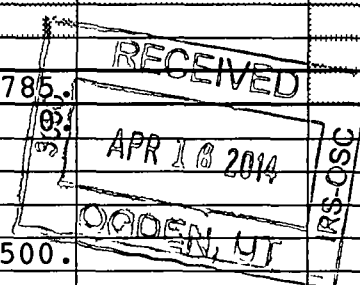
(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	60,344.	60,344.		STATEMENT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	133,441.			
	b	Gross sales price for all assets on line 6a	646,219.			
	7	Capital gain net income (from Part IV, line 2)		133,441.		
	8	Net short-term capital gain				
Operating and Administrative Expenses	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	Total. Add lines 1 through 11	193,785.	193,785.		
	13	Compensation of officers, directors, trustees, etc	0.			0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees	2,000.	500.		1,500.
	c	Other professional fees				
	17	Interest				
	18	Taxes	506.	506.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses	26,120.	12,764.		13,106.
	24	Total operating and administrative expenses. Add lines 13 through 23	28,626.	13,770.		14,606.
	25	Contributions, gifts, grants paid	302,850.			302,850.
	26	Total expenses and disbursements. Add lines 24 and 25	331,476.	13,770.		317,456.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	-137,691.			
	b	Net investment income (if negative, enter -0-)		180,015.		
	c	Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			66,338.	50,104.	50,104.
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶					
	Less allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 5	1,247,781.	1,128,632.	1,403,004.		
	c Investments - corporate bonds STMT 6	581,017.	578,970.	576,077.		
11 Investments - land, buildings, and equipment basis ▶						
Less accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other						
14 Land, buildings, and equipment basis ▶ 7,249.						
Less accumulated depreciation STMT 7 ▶ 7,249.						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers)			1,895,136.	1,757,706.	2,029,185.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
22 Other liabilities (describe ▶ STATEMENT 8)			639.	900.		
23 Total liabilities (add lines 17 through 22)			639.	900.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	27 Capital stock, trust principal, or current funds	2,148,709.	2,148,709.			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.			
	29 Retained earnings, accumulated income, endowment, or other funds	-254,212.	-391,903.			
30 Total net assets or fund balances	1,894,497.	1,756,806.				
31 Total liabilities and net assets/fund balances			1,895,136.	1,757,706.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,894,497.
2 Enter amount from Part I, line 27a	2	-137,691.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,756,806.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,756,806.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 750 SH WASHINGTON REAL ESTATE I	P	06/01/92	01/29/13
b CHARLES SCHWAB (9023) SEE ATTACHED DETAIL	P	VARIOUS	VARIOUS
c CHARLES SCHWAB (9023) SEE ATTACHED DETAIL	P	VARIOUS	VARIOUS
d LEHMAN BROTHERS HOLDINGS - CASH LIQUIDATION	P	VARIOUS	10/01/12
e LEHMAN BROTHERS HOLDINGS - CASH LIQUIDATION	P	VARIOUS	04/04/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 21,228.		6,955.	14,273.
b 126,924.		109,276.	17,648.
c 495,069.		393,556.	101,513.
d 1,301.		1,294.	7.
e 1,697.		1,697.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			14,273.
b			17,648.
c			101,513.
d			7.
e			0.

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	133,441.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div> <div>If gain, also enter in Part I, line 8, column (c)</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	196,985.	2,066,069.	.095343
2010	158,827.	2,255,377.	.070421
2009	130,658.	2,147,274.	.060848
2008	141,297.	1,953,841.	.072318
2007	175,475.	2,783,040.	.063052

2 Total of line 1, column (d)	2	.361982
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.072396
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	2,014,600.
5 Multiply line 4 by line 3	5	145,849.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,800.
7 Add lines 5 and 6	7	147,649.
8 Enter qualifying distributions from Part XII, line 4	8	317,456.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	1,800.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		3	1,800.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	1,800.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a 1,758.	7	2,258.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c 500.	9	
d Backup withholding erroneously withheld	6d	10	458.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	458. Refunded		
11 Enter the amount of line 10 to be Credited to 2013 estimated tax			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► WILLIAM JU Telephone no ► 908-766-1704 Located at ► 435 BERNARDSVILLE ROAD, MENDHAM, NJ ZIP+4 ► 07945-2927			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM JU, MD 435 BERNARDSVILLE ROAD MENDHAM, NJ 079452927	TRUSTEE 0.00	0.	0.	0.
DORIS JU 435 BERNARDSVILLE ROAD MENDHAM, NJ 079452927	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,983,350.
b	Average of monthly cash balances	1b	61,929.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,045,279.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,045,279.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	30,679.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,014,600.
6	Minimum investment return. Enter 5% of line 5	6	100,730.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	100,730.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,800.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,800.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	98,930.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	98,930.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	98,930.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	317,456.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	317,456.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,800.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	315,656.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				98,930.
2 Undistributed Income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	42,995.			
b From 2008	44,643.			
c From 2009	25,452.			
d From 2010	50,370.			
e From 2011	94,486.			
f Total of lines 3a through e	257,946.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 317,456.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				98,930.
e Remaining amount distributed out of corpus	218,526.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	476,472.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	42,995.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	433,477.			
10 Analysis of line 9				
a Excess from 2008	44,643.			
b Excess from 2009	25,452.			
c Excess from 2010	50,370.			
d Excess from 2011	94,486.			
e Excess from 2012	218,526.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year

(a) 2012

(b) 2011

Prior 3 years

(c) 2010

(d) 2009

(e) Total

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

SEE STATEMENT 9

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ADVANCING INNOVATION IN DERMATOLOGY 435 BERNARDSVILLE ROAD MENDHAM, NJ 07945	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	30,000.
COLGATE UNIVERSITY 13 OAK DRIVE HAMILTON, NY 13346	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	20,000.
DERMATOLOGY FOUNDATION 26 EASTMANS ROAD PARSIPPANY, NJ 07054	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	1,500.
LAFAYETTE COLLEGE QUAD DRIVE EASTON, PA 18042	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	25,000.
PRINCETON UNIVERSITY BOX 46 PRINCETON, NJ 085440046	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	25,000.
Total	SEE CONTINUATION SHEET(S)			302,850.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|-------|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

3/16/2014
Date

► Trustee

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

STEVEN C. MOERSDORF
CPA

Preparer's signature

[Signature]

Date _____

1/29/14

Check ☐ self-employed

PTIN

P00349288

Firm's name ► **MAFFEI, MASIELLO & COMPANY, P.A.**

Firm's EIN ► 22-3453068

Firm's address ► P.O. BOX 210
MENDHAM, NJ 07945-0210

Phone no 973-543-6055

Form **990-PF** (2012)

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THIRTEEN/WNET NEW YORK 450 WEST 33RD STREET NEW YORK, NY 100012605	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	1,500.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 1 COLLEGE HALL #211 PHILADELPHIA, PA 19104	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	8,500.
THE PECK SCHOOL 247 SOUTH STREET MORRISTOWN, NJ 07960-7381	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	16,250.
RUTGERS UNIVERSITY FOUNDATION 7 COLLEGE AVENUE NEW BRUNSWICK, NJ 08901	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	100,000.
SOCIETY FOR INVESTIGATIVE DERMATOLOGY 526 SUPERIOR AVENUE EAST, SUITE 540 CLEVELAND, OH 44114	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	25,000.
MASSACHUSETTS GENERAL HOSPITAL 175 CAMBRIDGE STREET BOSTON, MA 02114	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	25,000.
OSU FOUNDATION 850 SW 35TH STREET CORVALLIS, OR 97333	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	25,000.
NATIONAL PSORIASIS FOUNDATION 6600 SW 92ND AVENUE PORTLAND, OR 97233	NO RELATIONSHIP	PUBLIC	GENERAL CHARITABLE CONTRIBUTION	100.
Total from continuation sheets				201,350.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CHARLES SCHWAB - 2356	456.	0.	456.
CHARLES SCHWAB - 9023	59,888.	0.	59,888.
TOTAL TO FM 990-PF, PART I, LN 4	60,344.	0.	60,344.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MAFFEI MASIELLO & CO, PA	2,000.	500.		1,500.
TO FORM 990-PF, PG 1, LN 16B	2,000.	500.		1,500.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX ON FOREIGN DIVIDENDS	506.	506.		0.
TO FORM 990-PF, PG 1, LN 18	506.	506.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEE	11,138.	8,354.		2,784.
NEW YORK STATE FILING FEE	250.	0.		0.
POSTAGE	58.	14.		44.
RESEARCH	972.	972.		0.
STORAGE FEES	2,794.	698.		2,096.
SUPPLIES	758.	189.		569.
TELEPHONE	3,421.	855.		2,566.

PRINT PUBLICATIONS	41.	10.	31.
MEALS & ENTERTAINMENT	384.	96.	288.
TRAVEL	6,296.	1,574.	4,722.
BANK CHARGES	8.	2.	6.
TO FORM 990-PF, PG 1, LN 23	26,120.	12,764.	13,106.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SCHWAB - 9023	1,128,204.	1,403,004.
SCHWAB - 2356	428.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,128,632.	1,403,004.

FORM 990-PF	CORPORATE BONDS	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SCHWAB - 9023	578,970.	576,077.
TOTAL TO FORM 990-PF, PART II, LINE 10C	578,970.	576,077.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	7
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER EQUIPMENT	3,476.	3,476.	0.
COMPUTER EQUIPMENT	2,232.	2,232.	0.
COMPUTER EQUIPMENT	1,541.	1,541.	0.
TOTAL TO FM 990-PF, PART II, LN 14	7,249.	7,249.	0.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	8
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
AMERICAN EXPRESS BUSINESS CHARGE CARD	639.	900.	
TOTAL TO FORM 990-PF, PART II, LINE 22	639.	900.	

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 9

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

WILLIAM JU, M.D.
435 BERNARDSVILLE ROAD
MENDHAM, NJ 079452927

TELEPHONE NUMBER

908-766-1704

FORM AND CONTENT OF APPLICATIONS

WRITTEN APPLICATIONS ONLY, STATING NAME, GOAL AND NATURE OF PROJECT.

ANY SUBMISSION DEADLINES

BETWEEN SEPT. 1 AND DEC 1. FOR THE FOLLOWING YEAR.

RESTRICTIONS AND LIMITATIONS ON AWARDS

DIRECT APPLICATIONS FROM INDIVIDUALS WILL NOT BE ACCEPTED; ONLY
RECOMMENDATIONS BY THE ORIGINAL SPONSOR ORGANIZATION. ONLY SHORT TERM
GRANTS WILL BE MADE.

2012 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	COMPUTER EQUIPMENT	030999SL		5.00	16	3,476.			3,476.	3,476.		0.
2	COMPUTER EQUIPMENT	031002SL		5.00	16	2,232.			2,232.	2,232.		0.
3	COMPUTER EQUIPMENT	081205SL		5.00	16	1,541.			1,541.	1,541.		0.
	* TOTAL 990-PF PG 1					7,249.		0.	7,249.	7,249.	0.	0.
	DEPR											

228102
06-01-12

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction



David M. Ju Foundation

As of August 31, 2013

Inception Date November 5, 2002

Realized Gains/Losses

From August 31, 2012 to August 31, 2013

Close Date	Open Date	Symbol	Description	Quantity	Cost Basis	Net Proceeds	Short Term Gain/Loss	Long Term Gain/Loss	Total Gain/Loss
1/29/2013	6/1/1992	WRE	Washington Real Estate I	750.00	\$6,955	\$21,228		\$14,273	\$14,273
Total Realized Gain/Loss					\$6,955	\$21,228		\$14,273	\$14,273



David M. C. Ju Foundation

As of August 31, 2013

Inception Date, May 4, 1998

Realized Gains/Losses

From August 31, 2012 to August 31, 2013									
Close Date	Open Date	Symbol	Description	Quantity	Cost Basis	Net Proceeds	Short Term Gain/Loss	Long Term Gain/Loss	Total Gain/Loss
8/12/2013	1/13/2003	DFISX	DFA International Small Company Fund	337.066	\$4,356	\$6,000		\$1,644	\$1,644
8/12/2013	12/8/2008	RMVIX	RBC Micro Cap Value Fund	497.000	\$8,127	\$12,117		\$3,989	\$3,989
8/12/2013	3/5/2009	TFSMX	TFS Market Neutral Fund	1,644.995	\$22,478	\$25,932		\$3,454	\$3,454
	5/19/2011			798.528	\$10,912	\$12,588		\$1,677	\$1,677
	3/5/2009			2,443.523	\$33,390	\$38,520		\$5,131	\$5,131
5/17/2013	11/19/2008	DVN	Devon Energy Corp	130.00	\$9,534	\$7,634		(\$1,900)	(\$1,900)
5/17/2013	1/10/2008	DEMSX	DFA Emerging Markets Small Cap Fund	313.533	\$5,341	\$7,000		\$1,659	\$1,659
5/17/2013	11/19/2008	GD	General Dynamics Corp	95.00	\$5,054	\$7,411		\$2,356	\$2,356
5/17/2013	3/14/2003	HPQ	Hewlett-Packard Company	725.00	\$3,557	\$4,762		\$1,205	\$1,205
	3/14/2011			105.00	\$4,352	\$2,222		(\$2,129)	(\$2,129)
	3/14/2003			330.00	\$7,909	\$6,985		(\$924)	(\$924)
5/17/2013	7/20/2012	SYMC	Symantec Corp	15.00	\$204	\$364	\$160		\$160
	7/20/2012			135.00	\$1,838	\$3,276	\$1,438		\$1,438
	7/20/2012			185.00	\$2,519	\$4,489	\$1,970		\$1,970
	7/20/2012			200.00	\$2,723	\$4,853	\$2,130		\$2,130
	7/20/2012			535.00	\$7,284	\$12,982	\$5,698		\$5,698
5/17/2013	10/3/2012	TYC	Tyco Intl Ltd	430.00	\$12,368	\$14,711	\$2,343		\$2,343
4/26/2013	1/7/2003	COP	Conoco Phillips	45.00	\$843	\$2,641		\$1,798	\$1,798
	5/9/2012			165.00	\$8,847	\$9,685	\$838		\$838
	1/7/2003			210.00	\$9,690	\$12,327	\$838	\$1,798	\$2,636
4/26/2013	10/19/2011	FRX	Forest Laboratories	20.00	\$625	\$737		\$112	\$112
4/26/2013	10/19/2011	FRX	Forest Laboratories	100.00	\$3,124	\$3,686		\$562	\$562
4/26/2013	10/19/2011	FRX	Forest Laboratories	100.00	\$3,124	\$3,686		\$562	\$562
4/26/2013	11/19/2008	INTC	Intel	420.00	\$5,560	\$9,777		\$4,217	\$4,217
4/26/2013	6/29/2010	TWX	Time Warner Incorporated	125.00	\$3,732	\$7,447		\$3,715	\$3,715
3/19/2013	5/10/2012	AEP	American Electric Power	205.00	\$7,878	\$9,766	\$1,888		\$1,888
3/19/2013	6/16/2009	CMCSA	Comcast Cable Class A	325.00	\$4,394	\$13,043		\$8,649	\$8,649
3/19/2013	1/13/2003	DFISX	DFA International Small Company Fund	297.570	\$3,846	\$5,000		\$1,154	\$1,154
3/19/2013	7/20/2012	ETN	Eaton Corporation	275.00	\$10,744	\$16,872	\$6,128		\$6,128
3/19/2013	11/19/2008	KSS	Kohl's Corp	70.00	\$1,976	\$3,254		\$1,277	\$1,277
	8/23/2010			80.00	\$3,715	\$3,719		\$4	\$4
	11/19/2008			150.00	\$5,691	\$6,972		\$1,282	\$1,282

This data is gathered from what is believed to be reliable sources, but we cannot guarantee its accuracy. Please use your brokerage statements as an accurate reflection of your portfolio.



David M. C. Ju Foundation

As of August 31, 2013

Inception Date May 4, 1998

Realized Gains/Losses

From August 31, 2012 to August 31, 2013

Close Date	Open Date	Symbol	Description	Quantity	Cost Basis	Net Proceeds	Short Term Gain/Loss	Long Term Gain/Loss	Total Gain/Loss
3/19/2013	4/7/2010	MLPYX	Steelpath MLP Select 40 Fund	431.642	\$4,115	\$5,000		\$885	\$885
3/19/2013	11/19/2008	DIS	Walt Disney Co	160.00	\$3,351	\$9,004		\$5,653	\$5,653
3/11/2013	3/1/2010	949748XP7	Wells Fargo EM Currency CD	15,000.00	\$15,000	\$15,000			\$0
3/8/2013	6/22/2011	LCMAX	Driehaus Active Income Fund	8,030.088	\$88,652	\$86,564		(\$2,088)	(\$2,088)
2/13/2013	10/19/2011	FRX	Forest Laboratories	255.00	\$7,965	\$9,120		\$1,155	\$1,155
2/13/2013	3/19/2009	JNJ	Johnson & Johnson	50.00	\$2,491	\$3,773		\$1,282	\$1,282
2/13/2013	3/19/2009	JNJ	Johnson & Johnson	100.00	\$4,982	\$7,546		\$2,564	\$2,564
2/13/2013	6/29/2010	MWV	MeadWestVaco Corp	300.00	\$6,032	\$9,435		\$3,403	\$3,403
2/13/2013	2/11/2009	UNP	Union Pacific Corp	55.00	\$2,505	\$7,419		\$4,914	\$4,914
11/30/2012	5/9/2012	COP	Conoco Phillips	10.00	\$536	\$568	\$32		\$32
	5/10/2012			115.00	\$6,214	\$6,535	\$321		\$321
	5/9/2012			125.00	\$6,750	\$7,104	\$354		\$354
11/30/2012	11/7/2008	DISVX	DFA International Small Cap Value Fund	1,107.352	\$18,495	\$17,000		(\$1,495)	(\$1,495)
11/30/2012	12/8/2008	DFSCX	DFA US Micro Cap Fund	177.879	\$1,817	\$2,659		\$842	\$842
	7/7/2009			491.013	\$5,017	\$7,341		\$2,324	\$2,324
	12/8/2008			668.892	\$6,834	\$10,000		\$3,166	\$3,166
11/30/2012	6/22/2011	LCMAX	Driehaus Active Income Fund	756.144	\$8,348	\$8,000		(\$348)	(\$348)
11/30/2012	6/17/2010	FRNMX	Forward Frontier Markets Fund	386.538	\$4,427	\$4,000		(\$427)	(\$427)
11/30/2012	6/29/2010	GME	GameStop Corporation	465.00	\$8,539	\$12,207		\$3,668	\$3,668
11/30/2012	11/19/2008	IBM	International Business Machines Corp	30.00	\$2,413	\$5,694		\$3,280	\$3,280
11/30/2012	8/13/2010	JRBSX	JPMorgan Inflation Mgd Bond Fund	736.455	\$7,568	\$8,000		\$432	\$432
11/30/2012	6/16/2009	MDLZ	Mondelez International Inc	385.00	\$6,221	\$9,962		\$3,742	\$3,742
11/30/2012	5/10/2012	PVH	PVH Corp	95.00	\$7,665	\$10,860	\$3,195		\$3,195
11/30/2012	5/9/2012	SPLS	Staples Inc.	53.00	\$793	\$619	(\$174)		(\$174)
11/30/2012	5/9/2012	SPLS	Staples Inc	47.00	\$703	\$549	(\$155)		(\$155)
11/30/2012	5/9/2012	SPLS	Staples Inc.	100.00	\$1,496	\$1,167	(\$329)		(\$329)
11/30/2012	5/9/2012	SPLS	Staples Inc	53.00	\$793	\$619	(\$174)		(\$174)
11/30/2012	5/9/2012	SPLS	Staples Inc	47.00	\$703	\$549	(\$155)		(\$155)
11/30/2012	5/9/2012	SPLS	Staples Inc	100.00	\$1,496	\$1,167	(\$329)		(\$329)
	5/9/2012			150.00	\$2,244	\$1,751	(\$494)		(\$494)
	5/9/2012			250.00	\$3,740	\$2,918	(\$823)		(\$823)
11/30/2012	5/9/2012	SPLS	Staples Inc	100.00	\$1,496	\$1,167	(\$329)		(\$329)
11/30/2012	5/10/2012	SPLS	Staples Inc	11.00	\$165	\$128	(\$36)		(\$36)

This data is gathered from what is believed to be reliable sources, but we cannot guarantee its accuracy. Please use your brokerage statements as an accurate reflection of your portfolio.

Realized Gains/Losses

From August 31, 2012 to August 31, 2013

Close Date	Open Date	Symbol	Description	Quantity	Cost Basis	Net Proceeds	Short Term Gain/Loss	Long Term Gain/Loss	Total Gain/Loss
11/30/2012	5/10/2012	SPLS	Staples Inc	53.00	\$793	\$619	(\$174)		(\$174)
	5/10/2012			136.00	\$2,034	\$1,587	(\$447)		(\$447)
	5/10/2012			200.00	\$2,991	\$2,334	(\$657)		(\$657)
11/30/2012	3/5/2009	TFSMX	TFS Market Neutral Fund	642.720	\$8,783	\$10,000		\$1,217	\$1,217
10/3/2012	10/13/2010	AET	Aetna Inc	390.00	\$12,346	\$16,134		\$3,788	\$3,788
10/5/2012	12/29/2003	T	AT&T New	408.00	\$8,607	\$15,602		\$6,995	\$6,995
10/3/2012	2/24/2011	DFEVX	DFA Emerging Markets Value Fund	147.250	\$4,918	\$4,224		(\$694)	(\$694)
	5/17/2011			201.393	\$6,726	\$5,776		(\$949)	(\$949)
	2/24/2011			348.643	\$11,644	\$10,000		(\$1,644)	(\$1,644)
10/3/2012	11/19/2008	EIX	Edison International	215.00	\$7,089	\$9,998		\$2,909	\$2,909
10/3/2012	6/17/2009	FMO	Fiduciary/Claymore MLP Opportunity Fund	320.00	\$4,499	\$7,233		\$2,734	\$2,734
10/3/2012	8/23/2010	GIB	Groupe C G I Inc	380.00	\$5,366	\$10,207		\$4,841	\$4,841
10/3/2012	2/9/2010	JSOSX	JPMorgan Strategic Income Opportunities Fund	1,705.281	\$19,954	\$20,000		\$46	\$46
10/3/2012	6/16/2009	KRFT	Kraft Foods Group Inc.	128.00	\$0	\$5,879		\$5,879	\$5,879
10/3/2012	3/20/2009	PTLDX	Pimco Low Duration Fund	1,876.289	\$18,091	\$20,000		\$1,909	\$1,909
10/2/2012	6/16/2009	KRFT	Kraft Foods Group Inc.	0.33	\$0	\$15		\$15	\$15
9/17/2012	1/31/2011	AMGN	Amgen	120.00	\$6,646	\$9,780		\$3,135	\$3,135
9/17/2012	1/31/2011	RDC	Rowan Companies Inc	50.00	\$1,729	\$1,849		\$120	\$120
9/17/2012	1/31/2011	RDC	Rowan Companies Inc	100.00	\$3,458	\$3,698		\$239	\$239
9/17/2012	5/13/2011	TFX	Teleflex Incorporated	45.00	\$2,802	\$3,160		\$358	\$358
	5/13/2011			165.00	\$10,274	\$11,585		\$1,311	\$1,311
	5/13/2011			210.00	\$13,076	\$14,744		\$1,668	\$1,668
9/17/2012	10/13/2010	WYN	Wyndham International Inc.	60.00	\$1,764	\$3,214		\$1,451	\$1,451
9/17/2012	10/13/2010	WYN	Wyndham International Inc.	100.00	\$2,940	\$5,357		\$2,418	\$2,418
Total Realized Gain/Loss					\$502,832	\$621,993	\$17,648	\$101,513	\$119,161

This data is gathered from what is believed to be reliable sources, but we cannot guarantee its accuracy. Please use your brokerage statements as an accurate reflection of your portfolio.

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Proceeds Cost Gain

ST 126,924 109,276 17,648

LT 495,069 393,556 101,513

621,993 502,832 119,161

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions. DAVID M.C. JU FOUNDATION	Employer identification number (EIN) or 13-6165238
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 435 BERNARDSVILLE ROAD	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. MENDHAM, NJ 07945-2927	

Enter the Return code for the return that this application is for (file a separate application for each return)

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Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

WILLIAM JU

- The books are in the care of ► **435 BERNARDSVILLE ROAD - MENDHAM, NJ 07945-2927**

Telephone No. ► **908-766-1704**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ► ☐ . If it is for part of the group, check this box ► ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **APRIL 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year _____ or
- ☒ tax year beginning **SEP 1, 2012**, and ending **AUG 31, 2013**.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	1,800.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	2,258.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

Form **8868** (Rev. 1-2013)