Form **990-PF** | Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 09/01 **, 2012, and ending** 08/31 **, 2013**

Name of foundation: FRANK & JANINA PETSCHEK FOUNDATION, INC

A Employer identification number: 13-6062709

Number and street (or P O box number if mail is not delivered to street address): 6541 BROOKS PLACE

Room/suite:

B Telephone number (see instructions): (212) 422-1000

City or town, state, and ZIP code: FALLS CHURCH, VA 22044

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 604,023

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) ______ *(Part I, column (d) must be on cash basis)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts grants, etc , received (attach schedule)	44,258			
	2 Check ▶ [ ] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	2,964	2,964.		
	4 Dividends and interest from securities	17,130	17,130.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,898			
	b Gross sales price for all assets on line 6a 162,572				
	7 Capital gain net income (from Part IV, line 2)		1,898		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 1	536	536		
	12 **Total.** Add lines 1 through 11	66,786	22,528		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) ATCH 2	1,396.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 3	525	425		100
	24 **Total operating and administrative expenses** Add lines 13 through 23	1,921	425		100
	25 Contributions, gifts, grants paid	44,500			44,500
	26 Total expenses and disbursements Add lines 24 and 25	46,421	425		44,600
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	20,365			
	b **Net investment income** (if negative, enter -0-)		22,103		
	c **Adjusted net income** (if negative, enter -0-)				

JSA **For Paperwork Reduction Act Notice, see instructions.**
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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	236,758	239,683	240,090
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 4	120,372	200,113	216,381
	c	Investments - corporate bonds (attach schedule) ATCH 5	229,618	167,317	147,552
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	586,748.	607,113	604,023
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	120,825	120,825	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	465,923	486,288	
	30	**Total net assets or fund balances** (see instructions)	586,748	607,113	
	31	**Total liabilities and net assets/fund balances** (see instructions)	586,748	607,113	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	586,748
2	Enter amount from Part I, line 27a	2	20,365
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	607,113
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	607,113

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	1,898
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	52,950	533,758	0 099202
2010	43,650	468,379	0 093194
2009	40,743	389,535	0 104594
2008	35,951	357,987	0 100425
2007	37,217	428,639	0 086826

2	**Total** of line 1, column (d)	2	0 484241
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 096848
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	591,516.
5	Multiply line 4 by line 3	5	57,287
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	221
7	Add lines 5 and 6	7	57,508
8	Enter qualifying distributions from Part XII, line 4	8	44,600

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	442
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	442
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-	5	442
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 864		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	864
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	422
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 422 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MARIA P SMITH Telephone no ▶

Located at ▶ SAME AS PAGE ONE ZIP+4 ▶

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 6		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions) If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	449,494
b	Average of monthly cash balances	1b	151,030
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	600,524
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	600,524
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	9,008
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	591,516
6	**Minimum investment return.** Enter 5% of line 5	6	29,576

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	29,576
2a	Tax on investment income for 2012 from Part VI, line 5	2a	442		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	442
3	Distributable amount before adjustments Subtract line 2c from line 1			3	29,134
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	29,134
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	29,134

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	44,600
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	44,600
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	44,600

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				29,134
2	Undistributed income, if any, as of the end of 2012				
a	Enter amount for 2011 only				
b	Total for prior years 20 10 ,20 09 ,20 08				
3	Excess distributions carryover, if any, to 2012				
a	From 2007 17,395				
b	From 2008 18,546				
c	From 2009 21,560				
d	From 2010 20,562				
e	From 2011 27,126				
f	**Total** of lines 3a through e	105,189			
4	Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 44,600.				
a	Applied to 2011, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see instructions)				
c	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2012 distributable amount				29,134
e	Remaining amount distributed out of corpus	15,466			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	120,655			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see instructions				
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	17,395			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	103,260			
10	Analysis of line 9				
a	Excess from 2008 ... 18,546				
b	Excess from 2009 ... 21,560				
c	Excess from 2010 ... 20,562				
d	Excess from 2011 ... 27,126				
e	Excess from 2012 ... 15,466				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

ATTACHMENT 7

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHMENT 7A				44,500
Total ▶ **3a**				44,500
b *Approved for future payment*				
Total ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2,964	
4 Dividends and interest from securities			14	17,130	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	1,898	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 8				536	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				22,528	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 22,528

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ [signature] | 1 Jan 4 '14 | ▶ Vice President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MIRIAM GREENBERG	[signature]	12/2/13		P00440927
Firm's name ▶ GRANT THORNTON LLP			Firm's EIN ▶ 36-6055558	
Firm's address ▶ 60 BROAD STREET NEW YORK, NY 10004-2306			Phone no 212-422-1000	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization	Employer identification number
FRANK & JANINA PETSCHEK FOUNDATION, INC	13-6062709

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ► $ ______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization FRANK & JANINA PETSCHEK FOUNDATION, INC

Employer identification number 13-6062709

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DEREK AND KAREN SMITH 6545 OAKWOOD DRIVE FALLS CHURCG, VA 22041	$ 12,538	Person [X] Payroll [ ] Noncash [X] (Complete Part II if there is a noncash contribution)
2	ELISABETH DEPICCIOTTO 300 CENTRAL PARK WEST APT 4E NEW YORK, NY 10024	$ 21,720	Person [X] Payroll [ ] Noncash [X] (Complete Part II if there is a noncash contribution)
3	RAYMOND SMITH 98 YORKSHIRE LN BRENTWOOD, MO 63144	$ 10,000	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

Name of organization FRANK & JANINA PETSCHEK FOUNDATION, INC

Employer identification number 13-6062709

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	200 SHS CAPITAL ONE FINANCIAL CORP	$ 12,538	06/27/2013
2	500 SHS SANOFI ADR	$ 21,720	11/09/2012
		$	
		$	
		$	
		$	

Name of organization FRANK & JANINA PETSCHEK FOUNDATION, INC

Employer identification number 13-6062709

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry.

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year. (Enter this information once See instructions.) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MISC INCOME	533	533.
INTEREST ON TAX OVERPAYMENT	3.	3.
TOTALS	536.	536.

ATTACHMENT 2

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
US TREASURY		1,396
	TOTALS	1,396

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
NYS DEPARTMENT OF LAW	100.		100
ACCOUNT MAINTENANCE FEE	150.	150.	
SERVICE FEE	6.	6.	
FOREIGN TAX PAYMENT	269.	269.	
TOTALS	525.	425	100

ATTACHMENT 4

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
200 MICROSOFT CORP	5,552	6,680
1000 SHS AT&T	30,912.	33,830.
1000 SHS PPL CORP	28,287.	30,700.
700 SHS SEADRILL LTD	27,248.	32,382
30 SHS MOTOR LIQ CO GUC TR		903.
200 SHS AMEREN CORP (HLDG CO)	7,069.	6,762
200 SHS CAPITAL ONE FINANCIAL	12,538	12,910.
200 SHS CENTURYLINK INC	7,085.	6,624
500 SHS FREEPORT MCMORAN	13,845.	15,110
100 SHS MARATHON PETROLEUM COR	7,050.	7,251.
100 SHS SEMPRA ENERGRY	8,146.	8,442.
400 SHS TEXTAINER GROUP HDLGS	14,125.	13,944.
300 SHS VODAFONE GP PLC ADS	8,906.	9,705.
200 SHS WILLIAMS CO INC	7,630.	7,248
500 SHS SANOFI ADR	21,720.	23,890
TOTALS	200,113.	216,381.

ATTACHMENT 5

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
35,000 WACHOVIA CORP	37,797	36,455
1,400 BANK OF AMERICA 6.375	29,631.	34,160
106 144 GM CO WTS 071016	9,162.	2,713
106.144 GM CO WTS 071019	7,106.	1,889.
116.758 GENERAL MOTORS	13,621.	4,124.
20,000 MS CONTINGENT 8%	20,000.	18,211.
4980 08 SHS PIONEER MLTI AST	50,000.	50,000.
TOTALS	167,317	147,552.

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		30,000 SHS DISCOVER BANK CD 2 35 PROPERTY TYPE SECURITIES				P	12/03/2009	12/10/2012
30,000		30,000						
		2,000 SHS CITIGROUP CAP XVI 6 450 PROPERTY TYPE SECURITIES				P	11/15/2006	07/15/2013
50,000		50,000.						
		30,000 SHS GOLDMAN SACHS 5 1/4 PROPERTY TYPE. SECURITIES				P	12/09/2010	04/01/2013
30,000		32,301					-2,301	
		50,000 SHS MS STEP UP 6 000 PROPERTY TYPE SECURITIES				P	07/27/2010	07/30/2013
50,000		50,000						
		2,200 SHS SUPERVAU INC PROPERTY TYPE SECURITIES				P	08/15/2013	02/10/2012
16,286		14,952.					1,334	
		2,200 SHS SUPERVAU INC PROPERTY TYPE: SECURITIES				P	03/23/2012	08/15/2013
16,286		13,421					2,865.	
TOTAL GAIN(LOSS)							1,898	

FRANK & JANINA PETSCHEK FOUNDATION, INC 13-6062709

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION			
ELISABETH DE PICCIOTTO 300 CENTRAL PARK WEST APT 4E NEW YORK, NY 10024	PRESIDENT	0	0	0
MARIA P SMITH 6541 BROOKS PLACE FALLS CHURCH, VA 22044	VICE PRES/SECRETARY	0	0	0
PHILIP DEPICCIOTTO 1110 SAVILE LANE MCLEAN, VA 22101	DIRECTOR/BOARD MEMBER	0	0	0
DEREK SMITH 6545 OAKWOOD DRIVE FALLS CHURCH, VA 22041	TREASURER	0	0	0
WILLIAM Y SMITH 6541 BROOKS PLACE FALLS CHURCH, VA 22044	DIRECTOR/BOARD MEMBER	0	0	0
	GRAND TOTALS			

ATTACHMENT 7

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

DEREK SMITH
ELISABETH DEPICCIOTTO
RAYMOND SMITH

FRANK AND JANINA PETSCHEK FOUNDATION, INC
EIN 13-6062709
Y/E 8/31/13

CHARITABLE CONTRIBUTIONS

DATE	RECIPIENT	ADDRESS	AMOUNT
12/18/2012	WAMU	4400 Massachusetts Avenue NW, Washington DC 20016	500 00
12/18/2012	United Negro College Fund	1805 7th Street NW, Washington, DC 20001	300 00
12/18/2012	Capital Area Food Bank	4900 Puerto Rico Avenue NE, Washington DC 20017	700 00
12/18/2012	ACCA Inc	7200 Columbia Pike Annadale, VA 22003	200 00
12/18/2012	Coopers Cove Conservative Fund	3700 Burgundy Road Alexandria, VA 22303	200 00
12/18/2012	Bread for the City	1640 Good Hope Rd SE, Washington, DC 20020	1,500 00
12/18/2012	Jeb Stuart Ed Foundation	PO Box 4612 Falls Church, VA 22044	1,000 00
12/18/2012	Massachusetts River Alliance	14 Beacon Street Boston, MA 02108	100 00
12/18/2012	Amherst College Alumni Fund	PO Box 5000 Amherst, MA 01002	1,000 00
7/18/2013	Southern Proverty Law Center	400 Washington Ave Montgomery, Al 36104	5,000 00
7/18/2013	Legal Aid and Justice Center	6400 Arlington Blvd Ste 600 Falls Church, VA 22042	2,000 00
7/18/2013	The Fresh Air Fund	633 3rd Ave New York, NY 10017	2,000 00
7/18/2013	Amnesty International	5 Penn Plaza 16th Floor New York, NY 10001	3,000 00
7/18/2013	UNICEF	125 Maiden Lane, 11th Floor New York, NY 10038	2,000 00
7/18/2013	CARE	151 Ellis Street NE Atlanta, GA 30303	5,000 00
7/18/2013	ACLU of Virginia	701 East Franklin Street Ste 1412 Richmond, VA 23219	3,000 00
7/18/2013	WETA	3939 Campbell Avenue Arlington, VA 22206	5,000 00
7/19/2013	Doctors Without Borders	333 Seventh Avenue New York, NY 10001	2,000 00
7/19/2013	SOME	60 O Street NW, Washington, DC 20001	3,000 00
7/24/2013	Jewish Guild Healthcare	15 W 65th Street New York, NY 10023	1,000 00
7/25/2013	City Meals on wheels	355 Lexington Ave #3 New York, NY 10017	2,000 00
8/2/2013	US Holocaust Memorial Museum	100 15th Street SW, Washing DC 20024	1,000 00
8/2/2013	Prep for Prep	328 West 71st Street New York, NY 10023	1,500 00
8/2/2013	Reading Reform Foundation	333 W 57th Street New York, NY 10019	1,500 00
TOTAL			44,500 00

THE PURPOSE OF THESE CONTRIBUTIONS IS TO AID THE DONEE ORGANIZATIONS
IN CARRYING OUT THEIR EXEMPT FUNCTIONS

FRANK & JANINA PETSCHEK FOUNDATION, INC 13-6062709

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 8

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
MISC INCOME			14	533	
INTEREST ON TAX OVERPAYMENT			14	3	
TOTALS				536	

Form CHAR500

SECURITIES SCHEDULE
TAX BASIS

FRANK AND JANINA PETSCHEK FOUNDATION, INC
FOR THE FISCAL YEAR ENDED 8/31/13

		INVENTORY BEGINNING OF PERIOD		PURCHASES OR OTHER ACQUISITIONS			SALES OR OTHER DISPOSITION					INVENTORY END OF PERIOD			
Date Acquired	TYPE AND NAME OF SECURITY	Shares of Principal	Cost or Acquisition Value	Number of Shares	Cost Per Share	Gross Cost	No of Shares	Price Per Share	Gross Selling Price	Date Sold	Amount of Gain or Loss	No of Shares or Principal	Cost or Acquisition Value	Market Value	Income Received
04/02/13	AMEREN CORP (HLDG CO)			200		7,069 04						200	7,069	6,762 00	80 00
02/10/12	AT&T	500	15,014 60									500	15,015	16,915 00	1 790
03/23/12		500	15,897 08									500	15 897	16,915 00	
06/01/92	CAPITAL ONE FINANCIAL CORP			200		-						200	0	12,910 00	60
04/02/13	CENTURYLINK INC			200		7,085 42						200	7,085	6,624 00	108
07/02/03	GENERAL MOTORS CO WTS 071016	106	9 161 55									106	9,162	2,712 60	
07/28/11		2	-									2	0	-	
10/28/11		2	-									2	0	-	
07/02/03	GENERAL MOTORS CO WTS 071019	106	7,106 35									106	7,106	1,888 70	
07/28/11		2	-									2	0		
10/28/11		2	-									2	0		
07/02/03	GENERAL MOTORS CO	116	13,620 99									116	13,621	4,123 68	
07/28/11		3	-									3	0	-	
10/28/11		2	-									2	0	-	
07/02/03	GENERAL MOTORS ESC 6250 33JL15	1,200	-			-						1,200	0	-	
07/08/13	FREEPORT MCMORAN CP&GLD			500		13,845 49						500	13,845	15,110 00	156
12/09/10	GOLDMAN SACHS 5250 13APO1	30 000	32,301 00				30,000		30,000	04/01/13	(2,301)	0	0	0	
12/09/10	BANK OF AMERICA 6 375	1,400	29,631 07									1 400	29,631	34,160	2,231
11/22/06	CITIGROUP CAP XVI 6 45% DUE 12/31/66	2,000	50,000 00				2,000		50 000	07/15/13	-	0	0	0	3,359
07/08/13	MARATHON PETROLEUM CORP			100		7050 42						100	7,050	7,251	
12/21/09	MICROSOFT CORP	200	540 00									200	540	6,680	178
03/28/13	MS CONTINGENT 8 0% INCOME LINKED TO THE S&P 500 INDEX			20,000		20000						20,000	20 000	18 211	
06/12/12	MOTOR LIQ CO GUC TR UBI	30										30	0	903	
07/27/10	10NC3Y STEP UP CALLABLE NOTES DUE 7/30/20	50,000	50,000 00				50,000		50,000	07/30/13	-	0	0	0	2,000
02/04/11	WACHOVIA CORP	35,000	37,796 90									35,000	37,797	36 454 60	1,838
02/10/12	PPL CORPORATION	500	14,324 89									500	14,325	15,350	1,455
03/23/12		500	13,961 77									500	13,962	15 350	
12/16/70	SANOFI ADR			500		1 296 51						500	1,297	23,890	633
02/10/12	SEADRILL LTD	350	13,969 97									350	13,970	16,191	2,394
03/23/12		350	13,278 21									350	13,278	16,191	
07/08/13	SEMPRA ENERGY			100		8,146 17						100	8,146	8,442	
02/10/12	SUPERVALU INC	2,200	14,952 00				2 200		16,286	08/15/13	1,334	0	0	0	
03/23/12		2,200	13,421 00				2,200		16 286	08/15/13	2,865	0	0	0	
07/08/13	TEXTAINER GROUP HOLDINGS LTD			400		14,125 41						400	14,125	13,944	188
04/02/13	VODAFONE GP PLC ADS NEW			300		8,906 44						300	8,906	9,705	319
04/02/13	WILLIAMS CO INC			200		7,629 68						200	7,630	7,248	71
07/25/13	PIONEER MLTI AST ULT SHT INC C			4980 08		50000						4,980	50,000	50,000	1
Subtotals		127,271	344,977	27,680	-	145,155	86,400	-	162,572		1,898	68,551	329,458	363,932	16,861

SECURITIES SCHEDULE
BOOK BASIS

Form CHAR500

FRANK AND JANINA PETSCHEK FOUNDATION, INC
FOR THE FISCAL YEAR ENDED 8/31/13

Date Acquired	TYPE AND NAME OF SECURITY	INVENTORY BEGINNING OF PERIOD		PURCHASES OR OTHER ACQUISITIONS			SALES OR OTHER DISPOSITION			Date Sold	Amount of Gain or Loss	INVENTORY END OF PERIOD			Income Received
		Shares of Principal	Cost or Acquisition Value	Number of Shares	Cost Per Share	Gross Cost	No of Shares	Price Per Share	Gross Selling Price			No of Shares or Principal	Cost or Acquisition Value	Market Value	
04/02/13	AMEREN CORP (HLDG CO)			200		7,069 04						200	7,069	6 762	80 00
02/10/12	AT&T	500	15,014 60									500	15,015	16,915	1,790
03/23/12		500	15,897 08									500	15,897	16,915	
06/27/13	CAPITAL ONE FINANCIAL CORP			200		12,538 00						200	12,538	12,910	60
04/02/13	CENTURYLINK INC			200		7,085 42						200	7,085	6,624	108
07/02/03	GENERAL MOTORS CO WTS 071016	106	9,161 55									106	9,162	2,713	
07/28/11		2	-									2	0	0	
10/28/11		2	-									2	0	0	
07/02/03	GENERAL MOTORS CO WTS 071019	106	7,106 35									106	7,106	1,889	
07/28/11		2	-									2	0	0	
10/28/11		2	-									2	0	0	
07/02/03	GENERAL MOTORS CO	116	13,620 99									116	13,621	4,124	
07/28/11		3	-									3	0	0	
10/28/11		2	-									2	0	0	
07/02/03	GENERAL MOTORS ESC 6250 33JL15	1,200	-			-						1,200	0	0	
07/08/13	FREEPORT MCMORAN CP&GLD			500		13,845 49						500	13,845	15,110	156
12/09/10	GOLDMAN SACHS 5250 13APO1	30,000	32 301 00				30,000		30,000	04/01/13	(2,301)	0	0	0	
12/09/10	BANK OF AMERICA 6 375	1 400	29,631 07									1,400	29 631	34,160	2,231
11/22/06	CITIGROUP CAP XVI 6 45% DUE 12/31/66	2 000	50 000 00				2,000		50,000	07/15/13	-	0	0	0	3,359
07/08/13	MARATHON PETROLEUM CORP			100		7,050 42						100	7,050	7,251	
12/21/09	MICROSOFT CORP	200	5,552 00									200	5,552	6,680	178
03/28/13	MS CONTINGENT 8 0% INCOME LINKED TO THE S&P 500 INDEX			20,000		20,000 00						20 000	20,000	18 211	
06/12/12	MOTOR LIQ CO GUC TR UBI	30										30	0	903	
07/27/10	10NC3Y STEP UP CALLABLE NOTES DUE 7/30/20	50,000	50,000 00				50,000		50,000	07/30/13	-	0	0	0	2,000
02/04/11	WACHOVIA CORP	35,000	37,796 90									35,000	37,797	36,455	1,838
02/10/12	PPL CORPORATION	500	14,324 89									500	14 325	15,350	1,455
03/23/12		500	13 961 77									500	13 962	15,350	
11/09/12	SANOFI ADR			500		21,720 00						500	21 720	23 890	633
02/10/12	SEADRILL LTD	350	13,969 97									350	13,970	16,191	2,394
03/23/12		350	13 278 21									350	13 278	16,191	
07/08/13	SEMPRA ENERGY			100		8,146 17						100	8,146	8 442	
02/10/12	SUPERVALU INC	2,200	14 952 00				2,200		16,286	08/15/13	1,334 10	0	0	0	
03/23/12		2,200	13,421 00				2,200		16,286	08/15/13	2,865 09	0	0	0	
07/08/13	TEXTAINER GROUP HOLDINGS LTD			400		14,125 41						400	14,125	13 944	188
04/02/13	VODAFONE GP PLC ADS NEW			300		8,906 44						300	8,906	9,705	319
04/02/13	WILLIAMS CO INC			200		7,629 68						200	7 630	7,248	71
07/25/13	PIONEER MLTI AST ULT SHT INC C			4980 08		50000						4,980	50,000	50,000	1
Subtotals		127,271	349,989	27,680	-	178,116	86,400	-	162,572	207,322	1,898	68,551	367,431	363,932	16,861

ATTACHMENT 10