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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 08-01-2012 , and ending 07-31-2013

Name of foundation J K MULLEN FOUNDATION		A Employer identification number 84-6002475	
Number and street (or P O box number if mail is not delivered to street address) 5310 DTC PARKWAY SUITE C		B Telephone number (see instructions) (303) 722-3557	
City or town, state, and ZIP code GREENWOOD VILLAGE, CO 80111		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,638,173		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <u> </u> (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	6,459	6,459	6,459	
	4 Dividends and interest from securities.	140,113	140,113	140,113	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	266,540			
	b Gross sales price for all assets on line 6a _____ 1,117,339				
	7 Capital gain net income (from Part IV, line 2)		28,320		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	240	240	240	
	12 Total. Add lines 1 through 11	413,352	175,132	146,812	
	13 Compensation of officers, directors, trustees, etc	20,000			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	10,452	1,175		9,277
	c Other professional fees (attach schedule)	37,863	37,325		538
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,435	1,921		514
	19 Depreciation (attach schedule) and depletion . . .	200			
	20 Occupancy	6,976	3,488		3,488
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	10,534	4,672		5,862
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	88,460	48,581		19,679
	25 Contributions, gifts, grants paid	279,020			279,020
	26 Total expenses and disbursements. Add lines 24 and 25	367,480	48,581		298,699
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	45,872			
	b Net investment income (if negative, enter -0-)		126,551		
	c Adjusted net income (if negative, enter -0-)			146,812	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	2,015	4,173	4,173
	2	Savings and temporary cash investments	73,079	175,431	175,431
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	55,053	53,258	53,258
	b	Investments—corporate stock (attach schedule)	829,950	795,454	795,454
	c	Investments—corporate bonds (attach schedule).	80,413	55,908	55,908
	11	Investments—land, buildings, and equipment basis ▶ _____ 9,980 Less accumulated depreciation (attach schedule) ▶ _____ 6,683		3,297	3,297
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	4,054,758	4,550,052	4,550,052
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)		600	600	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,095,268	5,638,173	5,638,173	
Liabilities	17	Accounts payable and accrued expenses	209		
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	209	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	5,095,059	5,638,173	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	5,095,059	5,638,173	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	5,095,268	5,638,173	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 5,095,059
2	Enter amount from Part I, line 27a	2 45,872
3	Other increases not included in line 2 (itemize) ▶ _____	3 497,242
4	Add lines 1, 2, and 3	4 5,638,173
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 5,638,173

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	28,320
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011			
2010			
2009			
2008			
2007			
2 Total of line 1, column (d).			2
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.			4
5 Multiply line 4 by line 3.			5
6 Enter 1% of net investment income (1% of Part I, line 27b).			6
7 Add lines 5 and 6.			7
8 Enter qualifying distributions from Part XII, line 4.			8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	2,531
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	2,531
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	2,531
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,472	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	1,472
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	1,059
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/>	Refunded <input type="checkbox"/>	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none">By language in the governing instrument, orBy state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CO _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶TIMOTHY O'CONNOR Telephone no ▶(303) 722-3557 Located at ▶5310 DTC PARKWAY SUITE C GREENWOOD VILLAGE CO ZIP +4 ▶80111			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1		
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	

Total. Add lines 1 through 3.

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,445,111
b	Average of monthly cash balances.	1b	3,094
c	Fair market value of all other assets (see instructions).	1c	3,897
d	Total (add lines 1a, b, and c).	1d	5,452,102
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	5,452,102
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	81,782
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,370,320
6	Minimum investment return. Enter 5% of line 5.	6	268,516

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	268,516
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	2,531
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,531
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	265,985
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	265,985
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	265,985

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	298,699
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	298,699
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	298,699
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1		Distributable amount for 2012 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2012			
a		Enter amount for 2011 only.			
b		Total for prior years 20____, 20____, 20____			
3		Excess distributions carryover, if any, to 2012			
a		From 2007. 94,430			
b		From 2008. 85,979			
c		From 2009. 34,872			
d		From 2010. 50,040			
e		From 2011. 36,947			
f		Total of lines 3a through e. 302,268			
4		Qualifying distributions for 2012 from Part XII, line 4 \$ 298,699			
a		Applied to 2011, but not more than line 2a			
b		Applied to undistributed income of prior years (Election required—see instructions).			
c		Treated as distributions out of corpus (Election required—see instructions).			
d		Applied to 2012 distributable amount. 265,985			
e		Remaining amount distributed out of corpus 32,714			
5		Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5 334,982			
b		Prior years' undistributed income Subtract line 4b from line 2b.			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see instructions.			
e		Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions.			
f		Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013. 0			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).			
8		Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). 94,430			
9		Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a. 240,552			
10		Analysis of line 9			
a		Excess from 2008. 85,979			
b		Excess from 2009. 34,872			
c		Excess from 2010. 50,040			
d		Excess from 2011. 36,947			
e		Excess from 2012. 32,714			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

J K MULLEN FOUNDATION
5310 DTC PARKWAY SUITE C
GREENWOOD VILLAGE, CO 80111
(303) 722-3557

b

The form in which applications should be submitted and information and materials they should include

COMMON GRANT APPLICATION FORM OR LETTER STATING DATE & BRIEF HISTORY OF THE ORGANIZATION, AMOUNT & PURPOSE OF REQUEST, CURRENT FINANCIAL STATEMENTS AND COPY OF FEDERAL EXEMPTION LETTER

c

Any submission deadlines

APPLICATIONS FOR GRANTS ARE ACCEPTED ANYTIME PRIOR TO JUNE 1ST OF THE CURRENT YEAR

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO GRANTS ARE CONSIDERED TO INDIVIDUALS OR ORGANIZATIONS THAT DO NOT HAVE A FEDERALLY APPROVED TAX EXEMPT STATUS

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2012)

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments.					
3	Interest on savings and temporary cash investments			14	6,459	
4	Dividends and interest from securities.			14	140,113	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.			14	240	
8	Gain or (loss) from sales of assets other than inventory			18	28,320	238,220
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. . .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e).				175,132	238,220
13	Total. Add line 12, columns (b), (d), and (e).				175,132	413,352

[illegible]

<p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of</p> <p>(1) Cash.</p> <p>(2) Other assets.</p> <p>b Other transactions</p> <p>(1) Sales of assets to a noncharitable exempt organization.</p> <p>(2) Purchases of assets from a noncharitable exempt organization.</p> <p>(3) Rental of facilities, equipment, or other assets.</p> <p>(4) Reimbursement arrangements.</p> <p>(5) Loans or loan guarantees.</p> <p>(6) Performance of services or membership or fundraising solicitations.</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received</p>		Yes	No
1a(1)			No
1a(2)			No
1b(1)			No
1b(2)			No
1b(3)			No
1b(4)			No
1b(5)			No
1b(6)			No
1c			No

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

* * * * *

2013-10-22

* * * * *

Signature of officer or trustee

Date










Title

May the IRS discuss this return with the preparer shown below (see instr. 7)? ☐ Yes ☒ No

**Paid
Preparer
Use Only**

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN
JAMES S WARD	JAMES S WARD	2013-11-04		
Firm's name <input type="checkbox"/>	JAMES S WARD CPA PC		Firm's EIN <input type="checkbox"/>	
	3375 SO AKRON STREET			
Firm's address <input type="checkbox"/>	DENVER, CO 80231		Phone no (303) 306-2366	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN F MALO  2020 SO MONROE ST 703 DENVER, CO 80210	TRUSTEE 10 00	7,000	0	0
JOHN WECKBAUGH  4021 HUDSON WAY ENGLEWOOD, CO 80110	VP/TRUSTEE 0 50	1,000	0	0
WALTER WECKBAUGH  2364 E WEATHERSTONE CIRCLE HIGHLANDS RANCH, CO 80126	TREAS/TRUSTE 0 50	1,000	0	0
TIMOTHY O'CONNOR  7159 S POPLAR LANE CENTENNIAL, CO 80112	PRES/TRUSTEE 10 00	6,000	0	0
J KENNETH MALO JR  39 DAHLIA ST DENVER, CO 80220	TRUSTEE 0 50	1,000	0	0
SHEILA SEVIER  3955 S UINTA ST DENVER, CO 80237	SEC/TRUSTEE 0 50	1,000	0	0
MARCUS SEVIER  6117 W 38TH AVE WHEAT RIDGE, CO 80033	TRUSTEE 0 50	1,000	0	0
KATHLEEN MALO  835 AGUSTA DR MORAGA, CA 94556	TRUSTEE 0 50	1,000	0	0
HEATHER WHITMAN  2231 S EMERSON ST DENVER, CO 80210	TRUSTEE 0 50	1,000	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ADAPTIVE ADVENTURES 4840 PEARL E CIRCLE BOULDER, CO 80301		EXEMPT	CHARITABLE	2,000
ACE SCHOLARSHIPS 1201 E COLFAX AVE 302 DENVER, CO 80218		EXEMPT	CHARITABLE	2,000
ADAMS CAMP 6767 S SPRUCE ST CENTENNIAL, CO 80112		EXEMPT	CHARITABLE	1,000
ARAPAHOE HOUSE 8801 LIPAN ST THORNTON, CO 80260		EXEMPT	CHARITABLE	1,500
ARAPAHOE PHILHARMONIC 2100 W LITTLETON BLVD LITTLETON, CO 80120		EXEMPT	CHARITABLE	2,000
ARUPE JESUIT HIGH SCHOOL 4343 UTICA ST DENVER, CO 80212		EXEMPT	CHARITABLE	2,500
BAYAUD INDUSTRIES 333 W BAYAUD AVE DENVER, CO 80203		EXEMPT	CHARITABLE	7,500
BOYS HOPE 7060 E HAMPDEN 203 DENVER, CO 80237		EXEMPT	CHARITABLE	1,000
BRAIN INJURY ALLIANCE 4200 W CONEJOS PL DENVER, CO 80204		EXEMPT	CHARITABLE	1,000
BROTHERS REDEVELOPMENT 2250 EATON ST B DENVER, CO 80214		EXEMPT	CHARITABLE	1,000
CANCER LEAGUE P O BOX 5373 ENGLEWOOD, CO 80155		EXEMPT	CHARITABLE	700
CARMEL OF THE MONASTARY 6138 S GALLUP ST LITTLETON, CO 80120		EXEMPT	CHARITABLE	500
CATHOLIC CHARITIES 4045 PECOS ST DENVER, CO 80211		EXEMPT	CHARITABLE	1,000
CENTRAL CITY OPERA 400 S COLORADO BLVD DENVER, CO 80246		EXEMPT	CHARITABLE	1,500
CHILDRENS MUSEUM 2121 MUSEUM DR DENVER, CO 80211		EXEMPT	CHARITABLE	2,720

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLORADO COALITION FOR HOMELESS 2111 CHAMPA ST DENVER,CO 80205		EXEMPT	CHARITABLE	500
COLO DOMINICAN VOCATION 3005 WEST 29TH AVE DENVER,CO 80211		EXEMPT	CHARITABLE	3,800
COLO THERAPEUTIC CTR 11968 MINERAL RD LONGMONT,CO 80504		EXEMPT	CHARITABLE	7,000
COLORADO ACADEMY 3800 S PIERCE ST DENVER,CO 80235		EXEMPT	CHARITABLE	500
DENVER ACADEMY 4400 EAST ILIFF AVE DENVER,CO 80222		EXEMPT	CHARITABLE	34,000
DENVER BRASS 2253 DOWNING ST DENVER,CO 80205		EXEMPT	CHARITABLE	1,000
DUMB FRIENDS LEAGUE 2080 S QUEBEC ST DENVER,CO 80231		EXEMPT	CHARITABLE	5,500
DENVER RESCUE MISSION PO BOX 5206 DENVER,CO 80217		EXEMPT	CHARITABLE	2,500
DENVER HOSPICE 501 CHERRY ST DENVER,CO 80246		EXEMPT	CHARITABLE	2,500
DENVER ZOO PO BOX 17745 DENVER,CO 80217		EXEMPT	CHARITABLE	700
ENGLISH SPRINGER RESCUE 33291 GREENWOOD DR LAKE ELSINORE,CA 92530		EXEMPT	CHARITABLE	500
ESCUELA DE GUADALUPE 3401 PECOS ST DENVER,CO 80211		EXEMPT	CHARITABLE	9,000
EXEMPLA LUTHERAN HOSPICE 3210 N LUTHERAN PKWY WHEAT RIDGE,CO 80033		EXEMPT	CHARITABLE	1,000
FATHER WOODY'S HAVEN 1101 WEST 7TH AVE DENVER,CO 80204		EXEMPT	CHARITABLE	1,000
FOOTHILLS ANIMAL CENTER 580 MCINTYRE ST GOLDEN,CO 80401		EXEMPT	CHARITABLE	2,000


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FREEDOM SERVICE DOGS 2000 WEST UNION ENGLEWOOD,CO 80110		EXEMPT	CHARITABLE	1,000
GATEWAY - BATTERED WOMEN PO BOX 914 AURORA,CO 80040		EXEMPT	CHARITABLE	2,500
GEORGE WASHINGTON UNIV 2121 EYE ST NW WASHINGTON,DC 20052		EXEMPT	CHARITABLE	2,000
GRALAND SCHOOL 55 CLERMONT ST DENVER,CO 80220		EXEMPT	CHARITABLE	500
HAVERN CENTER 4000 S WADSWORTH BLVD LITTLETON,CO 80123		EXEMPT	CHARITABLE	15,000
HAVERN SCHOOL 4000 S WADSWORTH BLVD LITTLETON,CO 80123		EXEMPT	CHARITABLE	2,900
HILLSDALE COLLEGE 33 E COLLEGE ST HILLSDALE,MI 49242		EXEMPT	CHARITABLE	1,000
HOME HEALTH CARE 3680 S GALAPAGO ST ENGLEWOOD,CO 80110		EXEMPT	CHARITABLE	2,000
HOSPICE OF ST JOHN 1320 EVERETT COURT LAKEWOOD,CO 80215		EXEMPT	CHARITABLE	4,750
J K MULLEN HIGH SCHOOL 3601 S LOWELL BLVD DENVER,CO 80236		EXEMPT	CHARITABLE	47,450
JUNIOR ACHIEVEMENT 1445 MARKET ST DENVER,CO 80202		EXEMPT	CHARITABLE	2,500
LITTLE SISTERS OF POOR 36209 WEST 39TH AVE DENVER,CO 80211		EXEMPT	CHARITABLE	17,420
LOYOLA UNIVERSITY 7214 ST CHARLES AVE NEWORLEANS,LA 70118		EXEMPT	CHARITABLE	1,000
NATL SPORTS CENTER FOR DISABLED 1801 MILE HIGH STADIUM CR DENVER,CO 80204		EXEMPT	CHARITABLE	1,000
NATL TRUST HISTORIC PRESERVATION 535 16TH ST DENVER,CO 80202		EXEMPT	CHARITABLE	1,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PARK PEOPLE 715 S FRANKLIN ST DENVER, CO 80209		EXEMPT	CHARITABLE	10,000
PRAYING HANDS RANCH 4825 DALEY CIRCLE PARKER, CO 80138		EXEMPT	CHARITABLE	1,000
RAYMOND WENTZ FOUNDATION 7780 S BROADWAY LITTLETON, CO 80122		EXEMPT	CHARITABLE	2,000
RECORDING FOR THE BLIND 1355 S COLO BLVD DENVER, CO 80222		EXEMPT	CHARITABLE	2,500
REGIS JESUIT HIGH SCHOOL 6300 S LEWISTON WAY AURORA, CO 80016		EXEMPT	CHARITABLE	6,950
REGIS UNIVERSITY 3333 REGIS BLVD DENVER, CO 80221		EXEMPT	CHARITABLE	10,000
RCKY MTN MS CENTER 701 E HAMPDEN AVE ENGLEWOOD, CO 80113		EXEMPT	CHARITABLE	7,630
SACRED HEART PARISH 2760 LARIMER ST DENVER, CO 80205		EXEMPT	CHARITABLE	1,500
SACRED HEART HOUSE 2844 LAWRENCE ST DENVER, CO 80205		EXEMPT	CHARITABLE	6,000
SAFEHOUSE DENVER 1649 DOWNING ST DENVER, CO 80218		EXEMPT	CHARITABLE	2,500
SAMARITAN HOUSE 4045 PECOS ST DENVER, CO 80205		EXEMPT	CHARITABLE	5,000
SENIOR RESOURCE CTR 3227 CHASE ST DENVER, CO 80212		EXEMPT	CHARITABLE	2,000
SEWALL CHILD DEVELOPMENT 1360 VINE ST DENVER, CO 80206		EXEMPT	CHARITABLE	2,000
SISTERS OF LORETTO 4000 S WADSWORTH BLVD LITTLETON, CO 80123		EXEMPT	CHARITABLE	3,000
ST ANNE'S SCHOOL 2701 S YORK ST DENVER, CO 80210		EXEMPT	CHARITABLE	500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST DOMINIC'S PARISH 3053 W 29TH AVE DENVER,CO 80211		EXEMPT	CHARITABLE	3,000
ST JOSEPH HOSP FND 1835 FRANKLIN ST DENVER,CO 80218		EXEMPT	CHARITABLE	5,000
ST JOSEPH PARISH 101 N HOWEST ST FT COLLINS,CO 80521		EXEMPT	CHARITABLE	1,000
STEP 13 2029 LARIMER ST DENVER,CO 80205		EXEMPT	CHARITABLE	3,000
WOMENS BEAN PROJECT 3201 CURTIS ST DENVER,CO 80205		EXEMPT	CHARITABLE	2,500
Total  3a				279,020

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
b <i>Approved for future payment</i>				
LITTLE SISTERS OF POOR36209 W 39TH AVE DENVER,CO 80211		EXEMPT	CHARITABLE	10,000
FOOTHILLS ANIMAL FOUNDATION 580 MCINTYRE ST GOLDEN,CO 80401		EXEMPT	CHARITABLE	2,000
J K MULLEN HIGH SCHOOL3601 S LOWELL BLVD DENVER,CO 80236		EXEMPT	CHARITABLE	35,000
DENVER ACADEMY4400 E ILIFF AVE DENVER,CO 80222		EXEMPT	CHARITABLE	30,000
Total ▶ 3b				77,000

TY 2012 Accounting Fees Schedule

Name: J K MULLEN FOUNDATION

EIN: 84-6002475

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING NECESSARY FOR				
INVESTMENTS & EXEMPT FUNCTIONS	10,452	1,175		9,277

TY 2012 Compensation Explanation**Name:** J K MULLEN FOUNDATION**EIN:** 84-6002475

Person Name	Explanation
JOHN F MALO	
JOHN WECKBAUGH	
WALTER WECKBAUGH	
TIMOTHY O'CONNOR	
J KENNETH MALO JR	
SHEILA SEVIER	
MARCUS SEVIER	
KATHLEEN MALO	
HEATHER WHITMAN	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: J K MULLEN FOUNDATION

EIN: 84-6002475

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER	2013-01-31	1,325		STRAIGHT LINE	5 0000	132			
FURNITURE	2013-04-30	1,341		STRAIGHT LINE	5 0000	68			
FURNITURE	2013-07-31	832		STRAIGHT LINE	5 0000				

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Gain/Loss from Sale of Other Assets Schedule

Name: J K MULLEN FOUNDATION
EIN: 84-6002475

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
DUPONT	2012-01	PURCHASE	2012-11		25,859	28,962			-3,103	
HUSSMAN STRATEGIC GROWTH	2011-11	PURCHASE	2012-08		15,787	18,000			-2,213	
JUNIPER NETWORKS	2012-03	PURCHASE	2012-08		16,791	19,075			-2,284	
TOWER GROUP	2013-02	PURCHASE	2013-03		8,026	7,911			115	
VANGUARD ST INVESTMENT	2012-08	PURCHASE	2012-08		145	145				
VANGUARD ST INVESTMENT	2011-12	PURCHASE	2012-08		4,855	4,773			82	
VANGUARD ST INVESTMENT	2012-11	PURCHASE	2012-11		362	362				
VANGUARD ST INVESTMENT	2011-12	PURCHASE	2012-11		65,701	64,250			1,451	
VANGUARD ST INVESTMENT	2012-12	PURCHASE	2012-12		97	97				
TOWER GROUP	2013-03	PURCHASE	2012-03		15	15				
WILLIS GROUP HOLDINGS	2012-02	PURCHASE	2012-12		6,771	7,885			-1,114	
WILLIS GROUP HOLDINGS	2012-02	PURCHASE	2012-12		6,732	7,835			-1,103	
APPLE COMPUTER	2011-04	PURCHASE	2013-05		23,324	18,005			5,319	
APPLE COMPUTER	2011-04	PURCHASE	2013-07		19,767	16,023			3,744	
WM BLAIR INTL GROWTH	2003-06	PURCHASE	2012-11		15,000	9,755			5,245	
CALAMOS MKT NEUT	2010-10	PURCHASE	2013-03		15,000	13,674			1,326	
CELGENE CORP	2001-05	PURCHASE	2013-01		108,085	6,705			101,380	
CELGENE CORP	2002-04	PURCHASE	2013-04		97,150	4,720			92,430	
CREDIT SUISSE COMM RET	2007-05	PURCHASE	2012-11		25,000	35,182			-10,182	
DIAMOND HILL	2011-11	PURCHASE	2013-03		15,000	12,291			2,709	
EQT CORP	2008-02	PURCHASE	2013-07		16,372	11,663			4,709	
EMERITUS CORP	2007-06	PURCHASE	2013-05		10,669	12,400			-1,731	
EMERITUS CORP	2007-06	PURCHASE	2013-05		8,007	9,300			-1,293	
EMERITUS CORP	2007-06	PURCHASE	2013-05		2,610	3,100			-490	
EMERITUS CORP	2007-06	PURCHASE	2013-05		2,601	3,100			-499	
EMERITUS CORP	2007-06	PURCHASE	2013-05		5,028	6,200			-1,172	
EMERITUS CORP	2007-06	PURCHASE	2013-05		4,919	6,200			-1,281	
FIDELITY LEVERAGED STK	2010-10	PURCHASE	2012-11		16,000	12,650			3,350	
HARBOR INTL	2010-10	PURCHASE	2012-11		15,000	14,424			576	
HUSSMAN STRATEGIC GROWTH	2010-10	PURCHASE	2012-08		44,797	52,500			-7,703	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
ISHARES RUSSELL MIDCAP	2003-12	PURCHASE	2012-11		10,967	6,368			4,599	
ISHARES S & P MIDCAP	2006-08	PURCHASE	2012-11		24,771	21,570			3,201	
MASTERCARD INC	2009-07	PURCHASE	2012-11		24,478	9,658			14,820	
MERGER FUND	2011-11	PURCHASE	2013-03		50,000	50,243			-243	
MORGAN STANLEY	2008-02	PURCHASE	2013-03		25,000	25,534			-534	
NEWFIELD EXPL	2008-02	PURCHASE	2012-11		9,676	20,674			-10,998	
OMNICARE INC	2011-02	PURCHASE	2013-03		18,882	13,785			5,097	
OMNICARE INC	2011-02	PURCHASE	2013-03		26,499	19,298			7,201	
PEABODY ENERGY	2004-04	PURCHASE	2012-12		4,914	2,114			2,800	
STANLEY BLACK & DECKER	2011-07	PURCHASE	2013-07		16,199	15,106			1,093	
STANLEY BLACK & DECKER	2012-02	PURCHASE	2013-07		24,552	22,533			2,019	
STILLWATER MNG	2011-04	PURCHASE	2013-07		12,576	24,072			-11,496	
STILLWATER MNG	2010-12	PURCHASE	2013-07		2,287	4,222			-1,935	
STILLWATER MNG	2010-12	PURCHASE	2013-07		1,149	2,111			-962	
STILLWATER MNG	2010-12	PURCHASE	2013-07		7,996	14,778			-6,782	
STILLWATER MNG	2010-12	PURCHASE	2013-07		5,978	10,492			-4,514	
TOWER GROUP	2009-12	PURCHASE	2013-03		24,079	29,023			-4,944	
VANGUARD DEV MKTS	2001-10	PURCHASE	2012-08		50,000	38,636			11,364	
VANGUARD INSTITUTIONAL INDEX	2002-02	PURCHASE	2012-11		10,000	7,862			2,138	
VANGUARD SMALLCAP VALUE	2009-04	PURCHASE	2012-11		12,000	6,492			5,508	
VANGUARD MIDCAP INDX	2001-04	PURCHASE	2012-11		12,000	6,313			5,687	
VANGUARD INDEX GRTH	2002-02	PURCHASE	2012-11		11,000	7,869			3,131	
VANGUARD SMALLCAP INDX	2011-03	PURCHASE	2012-11		16,000	9,327			6,673	
VERIZON	2010-12	PURCHASE	2012-12		4,371	3,398			973	
VERIZON	2010-12	PURCHASE	2013-01		61,068	41,284			19,784	
WILLIS GROUP	2011-02	PURCHASE	2012-12		20,336	23,156			-2,820	
WILLIS GROUP	2011-02	PURCHASE	2012-12		6,771	7,679			-908	

**TY 2012 Investments Corporate
Bonds Schedule****Name:** J K MULLEN FOUNDATION**EIN:** 84-6002475

Name of Bond	End of Year Book Value	End of Year Fair Market Value
VARIOUS CORPORATE BONDS	55,908	55,908

**TY 2012 Investments Corporate
Stock Schedule**

Name: J K MULLEN FOUNDATION

EIN: 84-6002475

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VARIOUS CORPORATE STOCKS	795,454	795,454

**TY 2012 Investments Government
Obligations Schedule****Name:** J K MULLEN FOUNDATION**EIN:** 84-6002475**US Government Securities - End of
Year Book Value:**

53,258

**US Government Securities - End of
Year Fair Market Value:**

53,258

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2012 Investments - Land Schedule

Name: J K MULLEN FOUNDATION

EIN: 84-6002475

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
OFFICE FURNITURE & EQPT	9,980	6,683	3,297	3,297

TY 2012 Investments - Other Schedule

Name: J K MULLEN FOUNDATION

EIN: 84-6002475

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VARIOUS MUTUAL FUNDS	FMV	4,550,052	4,550,052

TY 2012 Other Assets Schedule

Name: J K MULLEN FOUNDATION

EIN: 84-6002475

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
RENT DEPOSIT		600	600

TY 2012 Other Expenses Schedule**Name:** J K MULLEN FOUNDATION**EIN:** 84-6002475

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INSURANCE	2,906	1,453		1,453
OFFICE SUPPLIES & EXPENSE	2,299	1,150		1,149
TELEPHONE	1,926	963		963
COMPUTER EXPENSE	2,212	1,106		1,106
DUES	1,191			1,191

TY 2012 Other Income Schedule

Name: J K MULLEN FOUNDATION

EIN: 84-6002475

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MISC INVESTMENT INCOME	240	240	240

TY 2012 Other Increases Schedule**Name:** J K MULLEN FOUNDATION**EIN:** 84-6002475

Description	Amount
UNREALIZED INCREASE IN ASSETS	493,181
EXCISE TAX REFUND	4,061

TY 2012 Other Professional Fees Schedule

Name: J K MULLEN FOUNDATION

EIN: 84-6002475

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK & INVESTMENT ADVISOR FEES	37,325	37,325		
LEGAL	538			538

TY 2012 Taxes Schedule**Name:** J K MULLEN FOUNDATION**EIN:** 84-6002475

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	1,028	514		514
FOREIGN TAXES	1,407	1,407		