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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 08-01-2012 , and ending 07-31-2013

Name of foundation ANIMAL ASSISTANCE FOUNDATION		A Employer identification number 84-0715412	
Number and street (or P O box number if mail is not delivered to street address) 405 Urban Street No 340		B Telephone number (see instructions) (303) 744-8396	
City or town, state, and ZIP code Lakewood, CO 80228		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 27,668,355	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	11,750			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,455	1,455		
	4 Dividends and interest from securities.	390,204	390,204		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	435,792			
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV, line 2)		435,792		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 41,012	41,012		
	12 Total. Add lines 1 through 11	880,213	868,463		
	13 Compensation of officers, directors, trustees, etc	254,946	14,226		240,720
	14 Other employee salaries and wages	45,023	2,774		42,249
	15 Pension plans, employee benefits	42,560	3,312		39,248
	16a Legal fees (attach schedule)	 324	0		324
	b Accounting fees (attach schedule)	 38,327	9,581		28,746
	c Other professional fees (attach schedule)	 63,019	57,773		5,246
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	 815	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	26,129	1,306		24,823
	21 Travel, conferences, and meetings	25,358	857		24,501
	22 Printing and publications				
	23 Other expenses (attach schedule)	 22,475	1,371		21,104
	24 Total operating and administrative expenses. Add lines 13 through 23	518,976	91,200		426,961
	25 Contributions, gifts, grants paid	769,422			770,278
	26 Total expenses and disbursements. Add lines 24 and 25	1,288,398	91,200		1,197,239
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-408,185			
	b Net investment income (if negative, enter -0-)		777,263		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	39,155	25,494	25,494
	2	Savings and temporary cash investments	187,883	87,012	87,012
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	78	1,314	1,314
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	12,710,674	 12,371,096	16,446,304
	c	Investments—corporate bonds (attach schedule).	3,426,849	 3,601,859	3,622,482
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	5,944,204	 5,813,777	7,483,648
	14	Land, buildings, and equipment basis ▶ _____ 27,648 Less accumulated depreciation (attach schedule) ▶ _____ 27,648			
15	Other assets (describe ▶ _____)	 1,995	 2,101	 2,101	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	22,310,838	21,902,653	27,668,355	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	22,310,838	21,902,653	
	30	Total net assets or fund balances (see page 17 of the instructions)	22,310,838	21,902,653	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	22,310,838	21,902,653	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	22,310,838
2	Enter amount from Part I, line 27a	2	-408,185
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	21,902,653
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	21,902,653

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Publicly traded securities			
b	Grosvenor Institutional Partners, L P	P		
c	GAM US Institutional Trading II LP	P		
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			531,775
b			-102,792
c			6,809
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			531,775
b			-102,792
c			6,809
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	435,792
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	1,077,964	24,163,638	0 044611
2010	1,104,583	24,893,504	0 044372
2009	1,165,394	23,415,341	0 049771
2008	1,089,945	22,840,195	0 047720
2007	1,168,935	30,961,975	0 037754

2	Total of line 1, column (d).	2	0 224228
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 044846
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	25,935,291
5	Multiply line 4 by line 3.	5	1,163,094
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	7,773
7	Add lines 5 and 6.	7	1,170,867
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,197,239

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	7,773
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	7,773
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	7,773
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	4,101	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	4,101
8		Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	3,672
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2013 estimated tax	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ 0 (2) On foundation managers \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) CO _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No		
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.aaf-fd.org</u>	13	Yes			
14	The books are in care of ▶ <u>Roger Haston</u> Telephone no ▶ <u>(303) 744-8396</u> Located at ▶ <u>405 Urban Street No 340 Lakewood CO</u> ZIP +4 ▶ <u>80228</u>					
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ <table><tr><td>15</td><td></td></tr></table>	15				
15						
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No		
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶						

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes No

5b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes No

6b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

No

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes No

7b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	26,151,557
b	Average of monthly cash balances.	1b	178,688
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	26,330,245
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	26,330,245
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	394,954
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	25,935,291
6	Minimum investment return. Enter 5% of line 5.	6	1,296,765

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,296,765
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	7,773
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	7,773
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,288,992
4	Recoveries of amounts treated as qualifying distributions.	4	856
5	Add lines 3 and 4.	5	1,289,848
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,289,848

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,197,239
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,197,239
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	7,773
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,189,466
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,289,848
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			1,159,450	
b Total for prior years 20__, 20__, 20__		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 1,197,239				
a Applied to 2011, but not more than line 2a			1,159,450	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				37,789
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				1,252,059
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . .				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

infoaaf-fdorg
405 Urban Street Ste 340
Lakewood, CO 80228
(303) 744-8396

b

The form in which applications should be submitted and information and materials they should include

Before submitting a formal application for a grant, the applicant organization must register with AAF, and fill out the online Letter of Inquiry form. If the LOI is accepted, the organization will be notified to complete an on-line application

c

Any submission deadlines

AAF's grant application deadlines are generally the last Friday in September and April

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Applicants must be a nonprofit organization or government entity located in Colorado or that has a direct impact on animals and their owners in Colorado. Requests must be for funding which is compatible with the Foundation's focus areas: Companion Animal Population Control, Operational Optimization, Permanent Homes, Cruelty Prevention and Intervention, and Innovation

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	770,278
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14	1,455	
4	Dividends and interest from securities. . . .			14	390,204	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.			14	41,012	
8	Gain or (loss) from sales of assets other than inventory			18	435,792	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e). .		0		868,463	0
13	Total. Add line 12, columns (b), (d), and (e).			13	868,463	

[illegible]

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.			No
(2) Other assets.			No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.			No
(2) Purchases of assets from a noncharitable exempt organization.			No
(3) Rental of facilities, equipment, or other assets.			No
(4) Reimbursement arrangements.			No
(5) Loans or loan guarantees.			No
(6) Performance of services or membership or fundraising solicitations.			No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.			No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below (see instr)? ☒ **Yes** ☐ **No**

Form **990-PF** (2012)

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Apryl Steele DMV	Immediate Past President 4 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
Aubrey Lavizzo DVM	Member 2 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
Craig Mills	Member 4 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
Dan Figliola	Secretary 4 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
Diane Balkin	Member 2 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
Mark Lacy	President 4 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
Ted J Cohn DVM	Treasurer 4 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
Todd L Towell DVM	Vice Presidnet 3 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
Tory G Bond	Member 2 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
Rosa De la Cruz	Member 2 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
Martha Smith	Member 2 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
W Bruce Joss	Member 2 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
Dean Vicksman	Member 2 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
Ern Epperly	Member 2 00	0	0	0
405 Urban St Ste 340 Lakewood, CO 80228				
Roger Haston	Executive Director 40 00	94,840	6,804	0
405 Urban St Ste 340 Lakewood, CO 80228				
David Gies	ED through 9/30/12 32 00	160,106	1,883	0
405 Urban St Ste 340 Lakewood, CO 80228				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALMOST HOME ADOPTIONS 6010 WEST 88TH AVE WESTMINSTER,CO 80031		Public Charity	Spay/Neuter program	6,000
ANIMAL ASSISTANCE LEAGUE OF NORTHEST CO PO BOX 776298 STEAMBOAT SPRINGS,CO 80477		Public Charity	Spay/Neuter program	7,500
ARK VALLEY ANIMAL HOSPITAL PLLC 3 RODGERS RD LA JUNTA,CO 81050		Public Charity	Medical reimbursement	855
ARKANSAS VALLEY HUMANE SOCIETY PO BOX 1335 BUENA VISTA,CO 81211		Public Charity	Special needs/medical	5,000
ARKANSAS VALLEY SPAY NEUTER ALLIANCE 27526 CR 1875 ROCKY FORD,CO 81067		Public Charity	Spay/Neuter program	5,000
BLUE ROSE RANCH 30997 US HIGHWAY 287 SPRINGFIELD,CO 81073		Public Charity	Horse shelter	4,900
CAACO 1805 33rd St BOULDER,CO 80301		Public Charity	Sponsorship	1,000
CFAWA PO Box 22603 DENVER,CO 80222		Public Charity	Scholarships/sponsorship	22,500
CITY OF AURORA ANIMAL CARE DIVISION 15750 E 32ND AVE AURORA,CO 80011		Public Charity	Spay/neuter program	10,000
COLORADO COALITION FOR THE HOMELESS 2111 CHAMPA STREET DENVER,CO 80205		Public Charity	Spay/neuter/veterniary care	2,500
COLORADO HORSE RESCUE 10386 NORTH 65TH STRET LONGMONT,CO 80503		Public Charity	Hay storage	7,500
CVMA 191 YUMA STREET DENVER,CO 80223		Public Charity	Sponsorship	2,500
DELTA COUNTY CITIZINS FOR ANIMAL WELFARE PO Box 1736 PAONIA,CO 80428		Public Charity	Special needs/medical	10,000
DELTA COUNTY HUMANE SOCIETY PO BOX 1111 DELTA,CO 81416		Public Charity	Spay/neuter program	3,000
DENVER ANIMAL SHELTER 1241 W Bayaud Avenue DENVER,CO 80223		Public Charity	Community outreach program	30,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DUMB FRIENDS LEAGUE 2080 SOUTH QUEBEC DENVER,CO 80231		Public Charity	Impounded horse care	10,000
EAGLE VALLEY HUMANE SOC PO BOX 4105 EAGLE,CO 81631		Public Charity	Spay/neuter program	3,000
ELDER PET CARE PO Box 48 FT COLLINS,CO 80522		Public Charity	Special needs/medical	5,000
FELINE ALLIANCE 9193 EVERETT ST WESTMINSTER,CO 80021		Public Charity	Spay/Neuter education	4,025
FELINE FRIENDS OF PIKES PEAK REGION PO BOX 5696 WOODLAND PARK,CO 80866		Public Charity	Spay/neuter program	3,000
FOOTHILLS ANIMAL SHELTER 580 MC INTYRE ST GOLDEN,CO 80401		Public Charity	Foster program	12,000
FRIENDS OF CEDAREdge ANIMAL CONTROL PO BOX 583 CEDAREdge,CO 81413		Public Charity	Cat kennels	7,000
FRONT RANGE EQUINE RESCUE 2200 TWYLBY RD LARKSPUR,CO 80118		Public Charity	Hay - emergency grant	3,000
FT COLLINS CAT RESCUE 2321 E MULBERRY ST 1 FT COLLINS,CO 80524		Public Charity	Spay/Neuter program	3,000
GREENWOOD WILDLIFE REHAB SANCTUARY PO Box 18987 BOULDER,CO 80308		Public Charity	Wildlife cruelty prevention, rehabilitation	5,000
HUMANE SOCIETY OF PIKES PEAK REGION 610 Abbott Lane COLORADO SPRINGS,CO 80905		Public Charity	Spay/Neuter program	25,000
HUMANE SOCIETY OF BOULDER VALLEY 2323 55TH ST BOULDER,CO 80301		Public Charity	Cat adoption center	32,500
HUMANE SOCIETY OF MOFFAT COUNTY PO Box 1587 CRAIG,CO 81626		Public Charity	Veterinary care for transfer animals	5,000
HUMANE SOCIETY OF PAGOSA SPRINGS PO BOX 2230 PAGOSA SPRINGS,CO 81147		Public Charity	Dog training program	7,500
HUMANE SOCIETY OF THE PIKES PEAK REGION 610 ABBOTT LANE COLORADO SPRINGS,CO 80905		Public Charity	ACO training	45,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INTERMOUNTAIN HUMANE SOCIETY PO BOX 1250 CONIFER,CO 80433		Public Charity	Transfer veterinary care program	9,000
KRUGMAN SMALL ANIMAL CLINIC 502 E 1ST ST LA JUNTA,CO 81050		Public Charity	Medical reimbursement	4,455
LARIMER HUMANE SOCIETY 5137 College Ave FORT COLLINS,CO 80525		Public Charity	Behavior/foster program	25,000
LITTLE ANIMAL CHARITY 400 S Layfayette St1206 DENVER,CO 80209		Public Charity	Research project	14,412
LONGMONT HUMANE SOCIETY 9595 NELSON RD LONGMONT,CO 80501		Public Charity	Foster program	5,000
LOS HUERFANOS ANIMAL WELFARE ASSOC PO BOX 409 WALSENBURG,CO 81089		Public Charity	Spay/Neuter program	3,500
MESA COUNTY ANIMAL SERVICES P O BOX 20000-5002 GRAND JUNCTION,CO 815025002		Public Charity	Spay/Neuter program	22,500
METRO DENVER SHELTER ALLIANCE 191 Yuma St DENVER,CO 80223		Public Charity	Collaborative lost and found database	11,000
MONTROSE ANIMAL PROTECTION AGENCY P O BOX 2009 MONTROSE,CO 81402		Public Charity	Spay/Neuter program	10,000
MONTROSE COMMUNITY FOUNDATION PO BOX 2525 TELLURIDE,CO 81435		Public Charity	Sponsorship	500
NORTHERN COLORADO FRIENDS OF FERALS 718 MATHEWS ST FORT COLLINS,CO 80524		Public Charity	Spay/Neuter program	7,500
PEAK VIEW ANIMAL HOSPITALDR LEONE DVM 1050 COUNTY RD JJ FOWLER,CO 81039		Public Charity	Medical reimbursement	540
PETAID COLORADO 191 YUMA ST DENVER,CO 80223		Public Charity	General operating support	234,950
RIFLE ANIMAL SHELTER PO BOX 1375 RIFLE,CO 81650		Public Charity	Spay/Neuter program	10,000
ROICE-HURST HUMANE SOCIETY PO Box 4040 GRAND JUNCTION,CO 81502		Public Charity	Spay/Neuter program	5,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SAFE HARBOR LAB RESCUE 601 16TH STREET C-522 GOLDEN,CO 80401		Public Charity	Dog training program	5,000
SAVMA Symposium 2014 300 W Drake Rd FORT COLLINS,CO 805231678		Public Charity	Sponsorship	750
SECOND CHANCE ANIMAL RESCUE FDN P O BOX 44 LAMAR,CO 81052		Public Charity	Spay/Neuter program, voucher program	63,130
SPIRIT WIND HORSE RESCUE PO BOX 27 HOTCHKISS,CO 81419		Public Charity	Horse care	4,000
SUMMIT COUNTY ANIMAL SHELTER PO BOX 5225191 CR 1004 FRISCO,CO 80443		Public Charity	Veterinary care for shelter pets	3,000
TLC VETERINARY CLINIC 505 JACKSON ST LA JUNTA,CO 81050		Public Charity	Medical reimbursement	1,345
TELLER COUNTY REGIONAL ANIM SHELTER 308 WEAVERVILLE ROAD P O BOX 904 DIVIDE,CO 80814		Public Charity	Spay/Neuter program	5,000
THE FELINE FIX 2600 WEST 2ND AVE 8 DENVER,CO 80219		Public Charity	Spay/Neuter program	14,000
WESTIE RESCUE MS MARTHA SMITH 2884 SOUTH URSULA COURT AURORA,CO 80014		Public Charity	Veterinary care for rescue pets	2,500
WILD BLUE ANIMAL RESCUE PO Box 88252 COLORADO SPRINGS,CO 80908		Public Charity	Spay/Neuter program	9,000
ZUMA'S RESCUE RANCH 7745 N MOORE RD LITTLETON,CO 80125		Public Charity	Horse care	4,000
COLORADO PET CHECK-OFF FUND 405 URBAN ST STE 340 LAKEWOOD,CO 80228		GOVT	In-kind administrative support	5,883
COLORADO UNWANTED HORSE ALLIANCE 405 URBAN ST STE 340 LAKEWOOD,CO 80228		Public Charity	In-kind administrative support	1,033
Total  3a				770,278

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2012

Name of the organization ANIMAL ASSISTANCE FOUNDATION	Employer identification number 84-0715412
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization ANIMAL ASSISTANCE FOUNDATION	Employer identification number 84-0715412
---	---

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	Dorothy L Broncucia Living Trust 4620 E 72nd Ave Commerce City, CO 80022	\$ 11,650	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization ANIMAL ASSISTANCE FOUNDATION	Employer identification number 84-0715412
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization ANIMAL ASSISTANCE FOUNDATION	Employer identification number 84-0715412
---	---

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

TY 2012 Accounting Fees Schedule**Name:** ANIMAL ASSISTANCE FOUNDATION**EIN:** 84-0715412

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Lisa M Ernst, Inc	2,243	1,122		1,121
Ellen Smalley	1,118	106		1,012
AMW Studio, Inc	1,552	0		1,552
Account Temps	15,669	3,917		11,752
Kundinger, Corder & Engle, P C	16,600	4,150		12,450
Retirement Plan administrator	1,145	286		859

**TY 2012 Investments Corporate
Bonds Schedule****Name:** ANIMAL ASSISTANCE FOUNDATION**EIN:** 84-0715412

Name of Bond	End of Year Book Value	End of Year Fair Market Value
PIMCO Total Return Fund	2,583,878	2,610,260
PIMCO Short Term Fund	1,017,981	1,012,222

**TY 2012 Investments Corporate
Stock Schedule****Name:** ANIMAL ASSISTANCE FOUNDATION**EIN:** 84-0715412

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Vanguard Institutional Index Fund	6,044,284	8,379,063
Vanguard Small Cap Fund	1,828,701	2,111,476
William Blair Emerging Markets	492,239	609,276
Lazard International Strategy	1,989,909	2,764,591
T Rowe Price Overseas Fund	2,015,963	2,581,898

TY 2012 Investments - Other Schedule**Name:** ANIMAL ASSISTANCE FOUNDATION**EIN:** 84-0715412

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Grosvenor Institutional Partnership	AT COST	1,571,030	2,920,403
Clarion Lion Properties Fund	AT COST	2,279,644	2,594,963
GAM US Institutional Trading II L.P.	AT COST	963,103	968,282
Babson Capital Floating Rate Income Offshore Fund	AT COST	1,000,000	1,000,000

TY 2012 Legal Fees Schedule

Name: ANIMAL ASSISTANCE FOUNDATION

EIN: 84-0715412

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Employers Council Services, Inc	324	0		324

TY 2012 Other Assets Schedule

Name: ANIMAL ASSISTANCE FOUNDATION

EIN: 84-0715412

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Lease deposit	1,995	2,101	2,101

TY 2012 Other Expenses Schedule

Name: ANIMAL ASSISTANCE FOUNDATION

EIN: 84-0715412

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Postage and supplies	13,707	1,371		12,336
Dues, memberships, miscellaneous	8,768	0		8,768

TY 2012 Other Income Schedule

Name: ANIMAL ASSISTANCE FOUNDATION

EIN: 84-0715412

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
GAM US Institutional Trading II LP	3,136	3,136	3,136
Clarion Lion Properties Fund	43,533	43,533	43,533
Grosvenor Institutional Equity Fund II	-5,657	-5,657	-5,657

TY 2012 Other Professional Fees Schedule**Name:** ANIMAL ASSISTANCE FOUNDATION**EIN:** 84-0715412

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Thompson Siegel Wamsley	6,845	6,845		0
US Bank	9,113	9,113		0
Watershed Consulting	41,815	41,815		0
Annette Williams	3,150	0		3,150
Randall Consulting	1,000	0		1,000
Other	1,096	0		1,096

TY 2012 Taxes Schedule**Name:** ANIMAL ASSISTANCE FOUNDATION**EIN:** 84-0715412

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2013 Estimated excise tax	499	0		0
Tax penalties	316	0		0