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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning AUG 1, 2012, and ending JUL 31, 2013

Name of foundation BRODY BROTHERS FOUNDATION		A Employer identification number 56-0858144
Number and street (or P O box number if mail is not delivered to street address) P O BOX 1046	Room/suite 200	B Telephone number 252-353-2141
City or town, state, and ZIP code KINSTON, NC 28503		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,889,868. (Part I, column (d) must be on cash basis)		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				
2 Check ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	61,374.	61,374.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	105,206.			
b Gross sales price for all assets on line 6a	1,436,984.			
7 Capital gain net income (from Part IV, line 2)		105,206.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales, less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	<1,202.>	<1,202.>	0.	STATEMENT 2
12 Total Add lines 1 through 11	165,378.	165,378.	0.	
13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees	STMT 3 5,007.	5,007.	0.	0.
c Other professional fees	STMT 4 23,303.	23,303.	0.	0.
17 Interest				
18 Taxes	STMT 5 2,239.	2,905.	0.	0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses	STMT 6 59.	59.	0.	0.
24 Total operating and administrative expenses Add lines 13 through 23	30,608.	31,274.	0.	0.
25 Contributions, gifts, grants paid	21,190.			21,190.
26 Total expenses and disbursements. Add lines 24 and 25	51,798.	31,274.	0.	21,190.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	113,580.			
b Net investment income (if negative, enter -0-)		134,104.		
c Adjusted net income (if negative, enter -0-)			0.	

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Operating and Administrative Expenses

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	17,167.	11,602.	11,602.
	3 Accounts receivable ▶ 2,474.			
	Less: allowance for doubtful accounts ▶	809.	2,474.	2,474.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	3,221,925.	3,338,150.	3,875,792.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	3,239,901.	3,352,226.	3,889,868.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Liabilities	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	407,356.	407,356.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	2,832,545.	2,944,870.		
30 Total net assets or fund balances	3,239,901.	3,352,226.		
31 Total liabilities and net assets/fund balances	3,239,901.	3,352,226.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,239,901.
2 Enter amount from Part I, line 27a	2	113,580.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,353,481.
5 Decreases not included in line 2 (itemize) ▶ PRIOR YEAR ADJUSTMENTS	5	1,255.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,352,226.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,436,984.		1,331,778.	105,206.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			105,206.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	105,206.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	25,850.	3,410,445.	.007580
2010	14,850.	3,375,668.	.004399
2009	478,900.	3,382,162.	.141596
2008	441,326.	3,412,133.	.129340
2007	427,055.	5,261,596.	.081165

2 Total of line 1, column (d)	2	.364080
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.072816
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	3,638,473.
5 Multiply line 4 by line 3	5	264,939.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,341.
7 Add lines 5 and 6	7	266,280.
8 Enter qualifying distributions from Part XII, line 4	8	21,190.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	2,682.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,682.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	2,682.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	2,800.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,800.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	11.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	107.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ 0. Refunded ☐	11	107.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► N/A				
14	The books are in care of ► HYMAN BRODY Telephone no. ► 252-353-2141 Located at ► 530 SE GREENVILLE BLVD, SUITE 200, GREENVILLE, NC ZIP+4 ► 27858			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID BRODY	PRESIDENT			
1200 SWEETBRIAR CIRCLE				
KINSTON, NC 28501	1.00	0.	0.	0.
HYMAN J. BRODY	VICE PRESIDENT			
530 GREENVILLE BLVD SE SUITE				
GREENVILLE, NC 27858	1.00	0.	0.	0.
STACY C. BRODY	TREASURER			
530 GREENVILLE BLVD SE SUITE				
GREENVILLE, NC 27858	1.00	0.	0.	0.
LAURA C. BRODY	SECRETARY			
1200 SWEETBRIAR CIRCLE				
KINSTON, NC 27858	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a Average monthly fair market value of securities	1a	3,205,352.	
b Average of monthly cash balances	1b	488,529.	
c Fair market value of all other assets	1c		
d Total (add lines 1a, b, and c)	1d	3,693,881.	
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.	
2 Acquisition indebtedness applicable to line 1 assets	2	0.	
3 Subtract line 2 from line 1d	3	3,693,881.	
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	55,408.	
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,638,473.	
6 Minimum investment return. Enter 5% of line 5	6	181,924.	

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	181,924.
2a Tax on investment income for 2012 from Part VI, line 5	2a	2,682.
b Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	2,682.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	179,242.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	179,242.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	179,242.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	21,190.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	21,190.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	21,190.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				179,242.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	60,171.			
b From 2008	271,667.			
c From 2009	310,155.			
d From 2010	786,191.			
e From 2011				
f Total of lines 3a through e	1,428,184.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$	21,190.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				21,190.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	158,052.			158,052.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,270,132.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	1,270,132.			
10 Analysis of line 9:				
a Excess from 2008	173,786.			
b Excess from 2009	310,155.			
c Excess from 2010	786,191.			
d Excess from 2011				
e Excess from 2012				

Part XV Supplementary Information (continued)**3 . Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BOY SCOUTS OF AMERICA 400 NORTH QUEEN STREET KINSTON, NC 28501		EXEMPT	GENERAL PURPOSE	1,000.
COMMUNITY COUNCIL FOR THE ARTS		EXEMPT	GENERAL PURPOSE	
CRYSTAL COAST HOSPICE HOUSE		EXEMPT	MEDICAL	
ECU FOUNDATION GREENVILLE, NC 27858		EXEMPT	EDUCATIONAL	1,000.
ECU MEDICAL FOUNDATION		EXEMPT	EDUCATIONAL	
Total	SEE CONTINUATION SHEET(S)			21,190.
b Approved for future payment				
NONE				
Total				0.

Part XVII

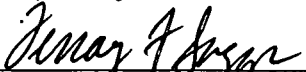
Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No	
	Signature of officer or trustee 		Date 3/13/14		Title Vice-president	
Paid Preparer Use Only	Print/Type preparer's name TERRAY F. SUGGS, CPA		Preparer's signature 		Date 3-11-14	
	Check ☐ if self-employed		PTIN P00848512			
	Firm's name ▶ TERRAY SUGGS, P.A.				Firm's EIN ▶ 56-2163457	
	Firm's address ▶ 609 S. FRANKLIN ST. WHITEVILLE, NC 28472				Phone no. (910) 641-0105	

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ACCENTURE PLC IRELAND CLASS SHS ISIN#IE00B4BNMY34	P	08/14/09	02/05/13
b	ACCENTURE PLC IRELAND CLASS SHS ISIN#IE00B4BNMY34	P	08/14/09	10/18/12
c	AFFILIATED MANAGERS GROUP INC COM	P	12/23/08	07/01/13
d	AFFILIATED MANAGERS GROUP INC COM	P	10/24/07	07/01/13
e	AFFILIATED MANAGERS GROUP INC COM	P	10/24/07	02/05/13
f	ANSYS INC COM	P	08/10/11	02/05/13
g	ROCKWELL COLLINS INC DEL COM STK	P	05/26/11	08/17/12
h	ROCKWELL COLLINS INC DEL COM STK	P	02/01/11	08/17/12
i	ROCKWELL COLLINS INC DEL COM STK	P	06/04/08	08/17/12
j	ROCKWELL COLLINS INC DEL COM STK	P	06/04/08	08/16/12
k	ROCKWELL COLLINS INC DEL COM STK	P	01/30/08	08/16/12
l	ROCKWELL COLLINS INC DEL COM STK	P	01/29/08	08/16/12
m	EMC CORP COM	P	08/19/08	02/05/13
n	EXPRESS SCRIPTS HLDG CO COM	P	11/30/10	02/05/13
o	EXPRESS SCRIPTS HLDG CO COM	P	11/30/10	08/15/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,742.		4,778.	4,964.
b 15,441.		8,050.	7,391.
c 8,933.		1,948.	6,985.
d 3,573.		2,780.	793.
e 13,803.		12,132.	1,671.
f 10,357.		6,764.	3,593.
g 3,347.		4,043.	<696.>
h 10,290.		13,380.	<3,090.>
i 3,447.		4,049.	<602.>
j 1,094.		1,291.	<197.>
k 10,694.		13,505.	<2,811.>
l 5,173.		6,557.	<1,384.>
m 14,220.		8,660.	5,560.
n 8,188.		7,753.	435.
o 4,019.		3,458.	561.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			4,964.
b			7,391.
c			6,985.
d			793.
e			1,671.
f			3,593.
g			<696.>
h			<3,090.>
i			<602.>
j			<197.>
k			<2,811.>
l			<1,384.>
m			5,560.
n			435.
o			561.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	EXPRESS SCRIPTS HLDG CO COM	P	11/29/10	08/15/12
b	EXPEDITORS INTL WASH INC	P	08/10/11	04/02/13
c	EXPEDITORS INTL WASH INC	P	06/11/09	04/02/13
d	EXPEDITORS INTL WASH INC	P	06/11/09	02/05/13
e	EXPEDITORS INTL WASH INC	P	08/14/08	02/05/13
f	STARWOOD HOTELS & RESORTS WORLDWIDE INC COM	P	07/15/11	07/01/13
g	STARWOOD HOTELS & RESORTS WORLDWIDE INC COM	P	06/03/11	07/01/13
h	STARWOOD HOTELS & RESORTS WORLDWIDE INC COM	P	06/03/11	02/05/13
i	STARWOOD HOTELS & RESORTS WORLDWIDE INC COM	P	06/02/11	02/05/13
j	HOSPIRA INC COM	P	06/13/12	02/05/13
k	MASTERCARD INC CL A COM	P	05/26/11	02/05/13
l	MASTERCARD INC CL A COM	P	04/20/09	02/05/13
m	QUALCOMM INC	P	06/22/10	07/11/13
n	QUALCOMM INC	P	01/28/09	07/11/13
o	QUALCOMM INC	P	01/28/09	02/05/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 15,528.		13,451.	2,077.
b 13,307.		15,623.	<2,316.>
c 3,052.		3,056.	<4.>
d 6,449.		5,294.	1,155.
e 1,515.		1,274.	241.
f 575.		499.	76.
g 5,047.		4,556.	491.
h 4,569.		4,268.	301.
i 9,756.		9,253.	503.
j 10,702.		10,045.	657.
k 2,073.		1,103.	970.
l 9,849.		3,040.	6,809.
m 32,696.		19,229.	13,467.
n 9,595.		5,737.	3,858.
o 18,413.		10,086.	8,327.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,077.
b			<2,316.>
c			<4.>
d			1,155.
e			241.
f			76.
g			491.
h			301.
i			503.
j			657.
k			970.
l			6,809.
m			13,467.
n			3,858.
o			8,327.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ROBERT HALF INTL INC	P	06/22/10	02/05/13
b SCRIPPS NETWORKS INTERACTIVE INC CL A	P	09/18/08	02/05/13
c SUNCOR ENERGY INC NEW COM ISIN#CA8672241079	P	04/16/12	06/25/13
d SUNCOR ENERGY INC NEW COM ISIN#CA8672241079	P	09/28/11	06/25/13
e SUNCOR ENERGY INC NEW COM ISIN#CA8672241079	P	09/28/11	02/05/13
f SUNCOR ENERGY INC NEW COM ISIN#CA8672241079	P	08/25/10	02/05/13
g SUNCOR ENERGY INC NEW COM ISIN#CA8672241079	P	08/25/10	10/01/12
h SUNCOR ENERGY INC NEW COM ISIN#CA8672241079	P	03/26/10	10/01/12
i SUNCOR ENERGY INC NEW COM ISIN#CA8672241079	P	03/26/09	10/01/12
j STANLEY BLACK & DECKER INC COM	P	10/01/12	02/05/13
k WATERS CORP COM	P	09/24/12	02/05/13
l WYNN RESORTS LTD COM	P	07/09/12	02/05/13
m NOVO NORDISK A.S. ADR FORMERLY NOVO INDUSTRIE A.S	P	04/12/12	08/07/12
n BAIDU COM INC SPONS ADR REPSTG ORD SHS CL A	P	04/12/12	09/10/12
o COCA COLA FEMSA SAB DE CV SPON ADR REPSTG 10 SHS	P	04/12/12	09/10/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 15,386.		10,850.	4,536.
b 12,243.		8,045.	4,198.
c 16,379.		17,439.	<1,060.>
d 12,659.		11,962.	697.
e 3,441.		2,725.	716.
f 10,357.		9,011.	1,346.
g 233.		210.	23.
h 16,047.		14,397.	1,650.
i 7,025.		5,125.	1,900.
j 14,534.		14,300.	234.
k 10,342.		9,559.	783.
l 14,978.		11,231.	3,747.
m 2,631.		2,475.	156.
n 1,638.		2,276.	<638.>
o 742.		620.	122.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			4,536.
b			4,198.
c			<1,060.>
d			697.
e			716.
f			1,346.
g			23.
h			1,650.
i			1,900.
j			234.
k			783.
l			3,747.
m			156.
n			<638.>
o			122.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	COCA COLA FEMSA SAB DE CV SPON ADR REPSTG 10 SHS	P	04/12/12	09/11/12
b	LI & FUNG LTD PLC ADR ISIN#US5018971029	P	04/12/12	09/14/12
c	COMPANHIA DE BEBIDAS DAS AMERS AMBEV SPONSORED AD	P	04/12/12	10/24/12
d	BAIDU COM INC SPONS ADR REPSTG ORD SHS CL A	P	04/12/12	10/31/12
e	COCA COLA FEMSA SAB DE CV SPON ADR REPSTG 10 SHS	P	04/12/12	11/06/12
f	ASML HLDG N V N Y REGISTRY SHS NEW 2012	P	09/10/12	11/29/12
g	ESCROW ASML HOLDING N V HOLDING N V NY REGISTERED	P	09/10/12	12/03/12
h	ESSILOR INTL S A SPONSORED ADR	P	04/12/12	01/14/13
i	ARM HLDGS PLC SPONSORED ADR	P	04/12/12	02/21/13
j	ESSILOR INTL S A SPONSORED ADR	P	04/12/12	02/22/13
k	LOREAL CO ADR ISIN#US5021172037	P	04/12/12	02/22/13
l	NOVO NORDISK A.S. ADR FORMERLY NOVO INDUSTRIE A.S	P	04/12/12	03/01/13
m	COCA COLA ENTERPRISES INC NEW COM	P	04/12/12	04/29/13
n	LOREAL CO ADR ISIN#US5021172037	P	04/12/12	04/29/13
o	SYSMEX CORP ADR ISIN#US87184P1093	P	08/09/12	05/08/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,360.		1,964.	396.
b 12,553.		17,004.	<4,451.>
c 6,941.		7,302.	<361.>
d 5,686.		8,043.	<2,357.>
e 4,765.		3,824.	941.
f 59.		68.	<9.>
g 1,447.		1,284.	163.
h 6,563.		5,748.	815.
i 2,304.		1,548.	756.
j 4,440.		3,949.	491.
k 3,811.		3,182.	629.
l 2,637.		2,184.	453.
m 2,770.		2,094.	676.
n 2,468.		1,738.	730.
o 1,387.		994.	393.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			396.
b			<4,451.>
c			<361.>
d			<2,357.>
e			941.
f			<9.>
g			163.
h			815.
i			756.
j			491.
k			629.
l			453.
m			676.
n			730.
o			393.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LOREAL CO ADR ISIN#US5021172037	P	04/12/12	05/13/13
b	ARM HLDGS PLC SPONSORED ADR	P	04/12/12	06/04/13
c	CORE LABORATORIES NV	P	04/12/12	06/04/13
d	KUENE & NAGEL INTL AG ADR ISIN#US5011871085	P	07/24/12	06/07/13
e	NOVOZYMES A/S SPONSORED ADR	P	04/12/12	06/07/13
f	MALLINCKRODT PUB LTD CO SHS ISIN#IE00BBGT3753	P	01/14/13	07/05/13
g	LIBERTY MEDIA CORP NEW SER A N/C EFF 01/14/13	P	06/21/10	10/03/12
h	LIBERTY MEDIA CORP NEW SER A N/C EFF 01/14/13	P	06/08/10	10/03/12
i	LIBERTY MEDIA CORP NEW SER A N/C EFF 01/14/13 1	P	06/07/10	10/03/12
j	BEIJING CAPITAL INTERNATIONAL AIRPORT CO LTD	P	02/21/07	05/16/13
k	BEIJING CAPITAL INTERNATIONAL AIRPORT CO LTD	P	01/17/07	05/16/13
l	BEIJING CAPITAL INTERNATIONAL AIRPORT CO LTD	P	03/28/07	05/20/13
m	BEIJING CAPITAL INTERNATIONAL AIRPORT CO LTD	P	02/21/07	05/20/13
n	BEIJING CAPITAL INTERNATIONAL AIRPORT CO LTD	P	03/28/07	05/21/13
o	BEIJING CAPITAL INTERNATIONAL AIRPORT CO LTD	P	03/28/07	05/22/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,783.		1,960.	823.
b 3,121.		2,083.	1,038.
c 2,579.		2,567.	12.
d 4,353.		4,151.	202.
e 3,828.		3,236.	592.
f 5.		5.	0.
g 3,033.		1,242.	1,791.
h 865.		314.	551.
i 100.		37.	63.
j 337.		562.	<225.>
k 997.		1,260.	<263.>
l 791.		1,189.	<398.>
m 993.		1,643.	<650.>
n 1,073.		1,617.	<544.>
o 1,300.		1,975.	<675.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			823.
b			1,038.
c			12.
d			202.
e			592.
f			0.
g			1,791.
h			551.
i			63.
j			<225.>
k			<263.>
l			<398.>
m			<650.>
n			<544.>
o			<675.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BEIJING CAPITAL INTERNATIONAL AIRPORT CO LTD	P	03/28/07	05/23/13
b	MARKET VECTORS ETF TR GAMING ETF	P	05/08/09	01/11/13
c	MARKET VECTORS ETF TR GAMING ETF	P	05/21/09	01/23/13
d	MARKET VECTORS ETF TR GAMING ETF	P	05/08/09	01/23/13
e	MARKET VECTORS ETF TR GAMING ETF	P	05/21/09	02/06/13
f	MARKET VECTORS ETF TR GAMING ETF	P	03/25/11	05/21/13
g	MARKET VECTORS ETF TR GAMING ETF	P	05/21/09	05/21/13
h	BOK FINANCIAL CORP NEW	P	03/26/10	01/25/13
i	BOK FINANCIAL CORP NEW	P	03/25/10	01/25/13
j	BROOKFIELD PPTY PARTNERS L P UNIT LP ISIN#BMG1624	P	04/18/13	04/18/13
k	BERKSHIRE HATHAWAY INC DEL CL B NEW	P	06/07/06	05/29/13
l	CME GROUP INC COM	P	03/04/09	10/24/12
m	CME GROUP INC COM	P	03/04/09	11/21/12
n	CME GROUP INC COM	P	03/05/09	02/14/13
o	CME GROUP INC COM	P	03/04/09	02/14/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,087.		3,231.	<1,144.>
b 1,995.		1,178.	817.
c 343.		191.	152.
d 1,599.		934.	665.
e 1,985.		1,104.	881.
f 2,094.		1,547.	547.
g 2,138.		1,040.	1,098.
h 391.		369.	22.
i 1,230.		1,162.	68.
j 3.		3.	0.
k 2,692.		1,451.	1,241.
l 510.		340.	170.
m 1,793.		1,248.	545.
n 1,612.		1,053.	559.
o 461.		302.	159.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,144.>
b			817.
c			152.
d			665.
e			881.
f			547.
g			1,098.
h			22.
i			68.
j			0.
k			1,241.
l			170.
m			545.
n			559.
o			159.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CME GROUP INC COM	P	03/05/09	05/14/13
b	CRIMSON WINE GROUP LTD COM	P	06/07/06	03/01/13
c	FRANCO NEV CORP COM ISIN#CA3518581051	P	12/20/10	06/05/13
d	FRANCO NEV CORP COM ISIN#CA3518581051	P	11/10/10	06/05/13
e	FRANCO NEV CORP COM ISIN#CA3518581051	P	03/08/11	06/27/13
f	FRANCO NEV CORP COM ISIN#CA3518581051	P	02/08/11	06/27/13
g	FRANCO NEV CORP COM ISIN#CA3518581051	P	12/20/10	06/27/13
h	GREENLIGHT CAPITAL RE LTD CL A ISIN#KYG4095J1094	P	04/19/10	08/24/12
i	GREENLIGHT CAPITAL RE LTD CL A ISIN#KYG4095J1094	P	03/17/10	08/24/12
j	GREENLIGHT CAPITAL RE LTD CL A ISIN#KYG4095J1094	P	03/16/10	08/24/12
k	GREENLIGHT CAPITAL RE LTD CL A ISIN#KYG4095J1094	P	06/21/10	11/14/12
l	GREENLIGHT CAPITAL RE LTD CL A ISIN#KYG4095J1094	P	04/20/10	11/14/12
m	GREENLIGHT CAPITAL RE LTD CL A ISIN#KYG4095J1094	P	04/19/10	11/14/12
n	GREENLIGHT CAPITAL RE LTD CL A ISIN#KYG4095J1094	P	06/22/10	11/15/12
o	GREENLIGHT CAPITAL RE LTD CL A ISIN#KYG4095J1094	P	06/21/10	11/15/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,989.		1,768.	1,221.
b 5.		5.	0.
c 258.		196.	62.
d 1,889.		1,447.	442.
e 1,050.		1,156.	<106.>
f 1,805.		1,635.	170.
g 1,346.		1,340.	6.
h 96.		105.	<9.>
i 432.		457.	<25.>
j 288.		300.	<12.>
k 297.		341.	<44.>
l 319.		371.	<52.>
m 251.		288.	<37.>
n 158.		186.	<28.>
o 608.		709.	<101.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,221.
b			0.
c			62.
d			442.
e			<106.>
f			170.
g			6.
h			<9.>
i			<25.>
j			<12.>
k			<44.>
l			<52.>
m			<37.>
n			<28.>
o			<101.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.						(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	10/19/10	12/10/12
b	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	06/22/10	12/10/12
c	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	10/22/10	12/11/12
d	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	10/21/10	12/11/12
e	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	10/20/10	12/11/12
f	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	10/19/10	12/11/12
g	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	04/05/11	12/12/12
h	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	10/22/10	12/12/12
i	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	05/02/11	02/20/13
j	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	04/06/11	02/20/13
k	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	04/05/11	02/20/13
l	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	05/03/11	05/10/13
m	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	05/02/11	05/10/13
n	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	06/29/11	05/13/13
o	GREENLIGHT CAPITAL RE LTD CL A	ISIN#KYG4095J1094				P	05/05/11	05/13/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 314.		388.	<74.>
b 135.		159.	<24.>
c 45.		56.	<11.>
d 426.		533.	<107.>
e 135.		168.	<33.>
f 22.		28.	<6.>
g 406.		510.	<104.>
h 180.		224.	<44.>
i 615.		687.	<72.>
j 492.		567.	<75.>
k 984.		1,132.	<148.>
l 245.		271.	<26.>
m 123.		137.	<14.>
n 194.		210.	<16.>
o 146.		158.	<12.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<74.>
b			<24.>
c			<11.>
d			<107.>
e			<33.>
f			<6.>
g			<104.>
h			<44.>
i			<72.>
j			<75.>
k			<148.>
l			<26.>
m			<14.>
n			<16.>
o			<12.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GREENLIGHT CAPITAL RE LTD CL A ISIN#KYG4095J1094	P	05/04/11	05/13/13
b	GREENLIGHT CAPITAL RE LTD CL A ISIN#KYG4095J1094	P	05/03/11	05/13/13
c	GREENLIGHT CAPITAL RE LTD CL A ISIN#KYG4095J1094	P	06/29/11	05/14/13
d	HENDERSON LAND DEVELOPMENT CO LTD SHS ISIN#HK0012	P	09/25/08	07/09/13
e	IMPERIAL OIL LTD COM	P	05/22/07	01/23/13
f	IMPERIAL OIL LTD COM	P	05/22/07	01/24/13
g	IMPERIAL OIL LTD COM	P	05/22/07	01/31/13
h	JARDEN CORP COM	P	03/09/10	11/23/12
i	JARDEN CORP COM	P	06/22/10	11/26/12
j	JARDEN CORP COM	P	03/09/10	11/26/12
k	JARDEN CORP COM	P	06/22/10	03/22/13
l	LENNAR CORP CL A COM STK	P	02/29/12	12/18/12
m	LENNAR CORP CL A COM STK	P	04/04/12	01/04/13
n	LENNAR CORP CL A COM STK	P	02/29/12	01/04/13
o	LIBERTY INTERACTIVE CORP LIBERTY VENTURE COM SER	P	06/07/12	08/15/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 389.		423.	<34.>
b 146.		163.	<17.>
c 804.		866.	<62.>
d 1,802.		1,337.	465.
e 1,462.		1,617.	<155.>
f 933.		1,029.	<96.>
g 2,013.		2,254.	<241.>
h 2,479.		1,628.	851.
i 785.		448.	337.
j 994.		658.	336.
k 22.		10.	12.
l 2,097.		1,247.	850.
m 1,117.		741.	376.
n 798.		471.	327.
o 4.		4.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<34.>
b			<17.>
c			<62.>
d			465.
e			<155.>
f			<96.>
g			<241.>
h			851.
i			337.
j			336.
k			12.
l			850.
m			376.
n			327.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MASTERCARD INC CL A COM	P	02/25/09	08/28/12
b	MASTERCARD INC CL A COM	P	07/23/08	08/28/12
c	MASTERCARD INC CL A COM	P	02/25/09	09/11/12
d	MASTERCARD INC CL A COM	P	02/25/09	10/23/12
e	MASTERCARD INC CL A COM	P	02/25/09	11/06/12
f	NYSE EURONEXT COM	P	06/12/07	08/24/12
g	NYSE EURONEXT COM	P	11/03/06	08/24/12
h	NYSE EURONEXT COM	P	09/29/06	08/24/12
i	SEARS CDA INC COM	P	11/13/12	11/13/12
j	VORNADO RLTY TR SBI	P	03/24/09	10/02/12
k	VORNADO RLTY TR SBI	P	03/24/09	11/07/12
l	PRIMARIS RETAIL REAL ESTATE INVT TR C/A EFF 04/10	P	06/04/08	04/08/13
m	PRIMARIS RETAIL REAL ESTATE INVT TR C/A EFF 04/10	P	12/07/07	04/08/13
n	BHP BILLITON LTD SPONSORED ADR ISIN#US0886061086	P	06/11/10	04/02/13
o	CHUNGHWA TELECOM CO LTD SPONS ADR NEW 2011 ISIN#U	P	05/05/10	07/23/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 425.		164.	261.
b 1,274.		823.	451.
c 2,221.		818.	1,403.
d 1,837.		654.	1,183.
e 1,880.		654.	1,226.
f 1,260.		4,029.	<2,769.>
g 2,026.		8,657.	<6,631.>
h 67.		193.	<126.>
i 3.		3.	0.
j 2,248.		1,025.	1,223.
k 2,763.		1,287.	1,476.
l 474.		355.	119.
m 3,318.		2,414.	904.
n 684.		647.	37.
o 259.		190.	69.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			261.
b			451.
c			1,403.
d			1,183.
e			1,226.
f			<2,769.>
g			<6,631.>
h			<126.>
i			0.
j			1,223.
k			1,476.
l			119.
m			904.
n			37.
o			69.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CHUNGHWA TELECOM CO LTD SPONS ADR NEW 2011 ISIN#U	P	05/04/10	07/23/13
b	CHUNGHWA TELECOM CO LTD SPONS ADR NEW 2011 ISIN#U	P	06/04/08	07/23/13
c	COMPANHIA ENERGETICA DE MINAS GERAIS ADR ISIN#US2	P	01/09/12	07/05/13
d	COMPANHIA ENERGETICA DE MINAS GERAIS ADR ISIN#US2	P	06/04/08	07/05/13
e	COMPANHIA ENERGETICA DE MINAS GERAIS ADR ISIN#US2	P	12/07/07	07/05/13
f	COMPANHIA ENERGETICA DE MINAS GERAIS ADR ISIN#US2	P	12/07/07	05/14/13
g	DIAGEO PLC SPONSORED ADR NEW ISIN#US25243Q2057	P	06/04/08	04/02/13
h	DIAGEO PLC SPONSORED ADR NEW ISIN#US25243Q2057	P	06/04/08	01/11/13
i	DIAGEO PLC SPONSORED ADR NEW ISIN#US25243Q2057	P	12/07/07	01/11/13
j	FRANCE TELECOM SPONSORED ADR	P	06/04/08	11/08/12
k	FRANCE TELECOM SPONSORED ADR	P	12/07/07	11/08/12
l	H&R REAL ESTATE INVT TR H&R FIN TR STAPLE UNIT IS	P	04/08/13	04/24/13
m	H&R REAL ESTATE INVT TR H&R FIN TR STAPLE UNIT IS	P	04/08/13	04/08/13
n	NITTO DENKO CORP ADR	P	06/08/11	10/04/12
o	NITTO DENKO CORP ADR	P	06/07/11	10/04/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,072.		1,547.	525.
b 453.		427.	26.
c 1,418.		2,131.	<713.>
d 409.		597.	<188.>
e 1,308.		1,749.	<441.>
f 6.		6.	0.
g 5,047.		3,106.	1,941.
h 1,156.		777.	379.
i 2,313.		1,730.	583.
j 735.		2,088.	<1,353.>
k 1,050.		3,659.	<2,609.>
l 1,935.		1,720.	215.
m 5.		5.	0.
n 1,768.		2,105.	<337.>
o 1,768.		2,068.	<300.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			525.
b			26.
c			<713.>
d			<188.>
e			<441.>
f			0.
g			1,941.
h			379.
i			583.
j			<1,353.>
k			<2,609.>
l			215.
m			0.
n			<337.>
o			<300.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NITTO DENKO CORP ADR	P	03/16/11	10/04/12
b	PETROCHINA CO LTD SPONS ADR ISIN#US71646E1001	P	12/07/07	04/02/13
c	QBE INS GROUP LTD SPONS ADR ISIN#US74728G6052	P	04/27/11	12/14/12
d	COMPANHIA SIDERURGICA NACIONAL SPONSORED ADR	P	11/16/11	01/31/13
e	COMPANHIA SIDERURGICA NACIONAL SPONSORED ADR	P	03/19/09	01/31/13
f	COMPANHIA SIDERURGICA NACIONAL SPONSORED ADR	P	01/27/09	01/31/13
g	STATOIL ASA SPONSORED ADR ISIN#US85771P1021	P	01/19/12	04/02/13
h	TAIWAN SEMICONDUCTOR MFG CO SPONSORED ADR ISIN#US	P	12/07/07	01/11/13
i	TREASURY WINE ESTATES LTD SPONS ADR ISIN#US89465J	P	03/22/12	01/31/13
j	TREASURY WINE ESTATES LTD SPONS ADR ISIN#US89465J	P	12/01/11	01/31/13
k	TREASURY WINE ESTATES LTD SPONS ADR ISIN#US89465J	P	11/30/11	01/31/13
l	TREASURY WINE ESTATES LTD SPONS ADR ISIN#US89465J	P	09/23/09	01/31/13
m	TREASURY WINE ESTATES LTD SPONS ADR ISIN#US89465J	P	09/22/09	01/31/13
n	MERCK & CO INC NEW COM	P	02/03/11	07/30/13
o	MERCK & CO INC NEW COM	P	12/14/10	07/30/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,756.		4,374.	<618.>
b 1,981.		3,018.	<1,037.>
c 2,362.		4,518.	<2,156.>
d 1,558.		2,673.	<1,115.>
e 1,074.		1,517.	<443.>
f 1,074.		1,542.	<468.>
g 2,912.		3,059.	<147.>
h 1,329.		757.	572.
i 4,560.		4,135.	425.
j 1,383.		1,172.	211.
k 1,407.		1,159.	248.
l 1,083.		609.	474.
m 969.		536.	433.
n 3,129.		2,144.	985.
o 6,258.		4,769.	1,489.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<618.>
b			<1,037.>
c			<2,156.>
d			<1,115.>
e			<443.>
f			<468.>
g			<147.>
h			572.
i			425.
j			211.
k			248.
l			474.
m			433.
n			985.
o			1,489.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NUANCE COMMUNICATIONS INC COM	P	02/08/13	07/15/13
b	NUANCE COMMUNICATIONS INC COM	P	12/28/12	07/15/13
c	NUANCE COMMUNICATIONS INC COM	P	12/27/12	07/15/13
d	NUANCE COMMUNICATIONS INC COM	P	12/26/12	07/15/13
e	FEDEX CORP COM	P	09/23/11	07/09/13
f	FORD MOTOR CO DEL COM PAR	P	07/28/11	07/03/13
g	SCHWAB CHARLES CORP NEW COM	P	10/04/11	06/20/13
h	SCHWAB CHARLES CORP NEW COM	P	10/04/11	06/20/13
i	CME GROUP INC COM	P	02/15/11	06/18/13
j	SCHWAB CHARLES CORP NEW COM	P	10/04/11	06/17/13
k	CME GROUP INC COM	P	02/15/11	06/10/13
l	MERCK & CO INC NEW COM	P	12/14/10	06/05/13
m	MERCK & CO INC NEW COM	P	12/14/10	06/03/13
n	SCHWAB CHARLES CORP NEW COM	P	10/04/11	05/29/13
o	YUM BRANDS INC COM	P	01/08/13	05/15/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,616.	3,873.	<257.>
b	761.	880.	<119.>
c	952.	1,099.	<147.>
d	7,802.	9,105.	<1,303.>
e	1,900.	1,222.	678.
f	2,650.	2,013.	637.
g	9,836.	5,416.	4,420.
h	2,546.	1,410.	1,136.
i	10,458.	7,888.	2,570.
j	1,721.	959.	762.
k	733.	584.	149.
l	3,683.	2,752.	931.
m	2,908.	2,201.	707.
n	2,486.	1,410.	1,076.
o	3,938.	3,622.	316.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<257.>
b			<119.>
c			<147.>
d			<1,303.>
e			678.
f			637.
g			4,420.
h			1,136.
i			2,570.
j			762.
k			149.
l			931.
m			707.
n			1,076.
o			316.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a YUM BRANDS INC COM	P	05/26/09	05/15/13
b DOLE FOOD CO INC NEW	P	05/05/10	05/10/13
c DOLE FOOD CO INC NEW	P	02/08/10	05/10/13
d FORD MOTOR CO DEL COM PAR	P	07/28/11	04/24/13
e ACTIVISION BLIZZARD INC COM	P	12/16/09	04/24/13
f ACTIVISION BLIZZARD INC COM	P	10/29/09	04/24/13
g ACTIVISION BLIZZARD INC COM	P	09/15/09	04/24/13
h ACTIVISION BLIZZARD INC COM	P	05/26/09	04/24/13
i RYDER SYS INC COM	P	03/06/12	04/10/13
j RYDER SYS INC COM	P	03/05/12	04/10/13
k RYDER SYS INC COM	P	03/05/12	03/13/13
l ACTIVISION BLIZZARD INC COM	P	05/26/09	03/11/13
m RYDER SYS INC COM	P	03/05/12	03/08/13
n XYLEM INC COM	P	08/02/12	03/06/13
o EOG RES INC COM	P	11/03/10	02/19/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,439.		4,194.	4,245.
b 4,976.		5,002.	<26.>
c 1,802.		1,776.	26.
d 3,750.		3,479.	271.
e 1,473.		1,095.	378.
f 3,389.		2,535.	854.
g 6,041.		4,730.	1,311.
h 368.		287.	81.
i 430.		363.	67.
j 2,397.		2,056.	341.
k 2,171.		1,898.	273.
l 4,051.		3,154.	897.
m 3,830.		3,427.	403.
n 1,113.		892.	221.
o 1,183.		788.	395.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			4,245.
b			<26.>
c			26.
d			271.
e			378.
f			854.
g			1,311.
h			81.
i			67.
j			341.
k			273.
l			897.
m			403.
n			221.
o			395.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ACTIVISION BLIZZARD INC COM		P	05/26/09	02/19/13
b ACTIVISION BLIZZARD INC COM		P	05/26/09	02/08/13
c DELL INC COM		P	05/26/09	01/15/13
d DELL INC COM		P	05/26/09	01/14/13
e HARRIS CORP DEL		P	05/26/09	01/03/13
f POTASH CORP OF SASKATCHEWAN INC COM ISIN#CA73755L		P	03/14/12	12/31/12
g ADOBE SYS INC COM		P	09/28/10	12/14/12
h ADOBE SYS INC COM		P	06/23/10	12/14/12
i ADOBE SYS INC COM		P	06/23/10	12/14/12
j ADOBE SYS INC COM		P	06/23/10	12/06/12
k GILEAD SCIENCES INC		P	04/21/10	11/13/12
l GILEAD SCIENCES INC		P	12/28/09	11/13/12
m GILEAD SCIENCES INC		P	10/02/09	11/13/12
n GILEAD SCIENCES INC		P	10/02/09	11/12/12
o YAMANA GOLD INC COM ISIN#CA98462Y1007		P	05/04/11	11/08/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,158.		918.	240.
b 1,213.		1,032.	181.
c 7,268.		6,183.	1,085.
d 3,298.		3,008.	290.
e 8,229.		5,179.	3,050.
f 8,331.		8,737.	<406.>
g 3,376.		2,380.	996.
h 9,752.		7,888.	1,864.
i 3,760.		3,034.	726.
j 2,311.		1,972.	339.
k 3,729.		2,022.	1,707.
l 2,984.		1,720.	1,264.
m 2,984.		1,789.	1,195.
n 2,908.		1,789.	1,119.
o 2,249.		1,328.	921.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			240.
b			181.
c			1,085.
d			290.
e			3,050.
f			<406.>
g			996.
h			1,864.
i			726.
j			339.
k			1,707.
l			1,264.
m			1,195.
n			1,119.
o			921.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HARRIS CORP DEL	P	05/26/09	10/05/12
b	GILEAD SCIENCES INC	P	10/02/09	10/02/12
c	YAMANA GOLD INC COM ISIN#CA98462Y1007	P	05/04/11	09/21/12
d	HARRIS CORP DEL	P	05/26/09	09/20/12
e	DOLE FOOD CO INC NEW	P	02/08/10	09/20/12
f	DOLE FOOD CO INC NEW	P	12/10/09	09/20/12
g	GILEAD SCIENCES INC	P	10/02/09	09/17/12
h	GILEAD SCIENCES INC	P	08/14/09	09/17/12
i	GILEAD SCIENCES INC	P	08/14/09	09/14/12
j	EOG RES INC COM	P	11/03/10	09/06/12
k	YAMANA GOLD INC COM ISIN#CA98462Y1007	P	05/04/11	08/23/12
l	EBAY INC COM	P	05/26/09	08/22/12
m	DOLE FOOD CO INC NEW	P	12/10/09	08/03/12
n	GILEAD SCIENCES INC	P	08/14/09	08/02/12
o	DOLE FOOD CO INC NEW	P	12/10/09	08/02/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,672.		2,825.	1,847.
b 2,070.		1,341.	729.
c 1,951.		1,208.	743.
d 1,520.		942.	578.
e 750.		567.	183.
f 2,207.		1,820.	387.
g 973.		671.	302.
h 973.		667.	306.
i 624.		445.	179.
j 3,365.		2,628.	737.
k 2,679.		1,932.	747.
l 1,914.		702.	1,212.
m 2,591.		2,558.	33.
n 1,744.		1,334.	410.
o 385.		381.	4.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,847.
b			729.
c			743.
d			578.
e			183.
f			387.
g			302.
h			306.
i			179.
j			737.
k			747.
l			1,212.
m			33.
n			410.
o			4.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a COMCAST CORP CL A	P	05/26/09	08/02/12
b ISHARES TR MSCI EMERGING MKTS INDEX FD	P	05/01/13	05/31/13
c ISHARES TR MSCI EMERGING MKTS INDEX FD	P	11/02/12	01/25/13
d ISHARES TR MSCI EMERGING MKTS INDEX FD	P	08/16/12	01/25/13
e ISHARES TR RUSSELL 2000 INDEX FD	P	09/10/12	10/04/12
f ISHARES TR RUSSELL 2000 INDEX FD	P	09/05/12	10/04/12
g POWERSHARES QQQ TR UNIT SER 1	P	07/11/13	07/19/13
h POWERSHARES QQQ TR UNIT SER 1	P	11/30/12	01/14/13
i POWERSHARES QQQ TR UNIT SER 1	P	11/29/12	01/14/13
j PROSHARES TR PROSHARES ULTRASHORT S&P 500 NEW	P	11/09/12	11/26/12
k SPDR S&P 500 ETF TR TR UNIT	P	01/14/13	07/24/13
l SPDR S&P 500 ETF TR TR UNIT	P	01/14/13	06/20/13
m SPDR S&P 500 ETF TR TR UNIT	P	01/02/13	06/20/13
n SPDR S&P 500 ETF TR TR UNIT	P	01/02/13	06/13/13
o SPDR S&P 500 ETF TR TR UNIT	P	01/02/13	05/31/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,705.		731.	974.
b 20,469.		21,276.	<807.>
c 10,396.		9,902.	494.
d 11,057.		10,212.	845.
e 10,147.		10,200.	<53.>
f 10,399.		10,173.	226.
g 32,661.		32,726.	<65.>
h 20,380.		20,004.	376.
i 30,772.		30,314.	458.
j 9,612.		10,086.	<474.>
k 33,044.		28,669.	4,375.
l 23,852.		21,759.	2,093.
m 8,380.		7,553.	827.
n 20,689.		18,591.	2,098.
o 15,447.		13,653.	1,794.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			974.
b			<807.>
c			494.
d			845.
e			<53.>
f			226.
g			<65.>
h			376.
i			458.
j			<474.>
k			4,375.
l			2,093.
m			827.
n			2,098.
o			1,794.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.						(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SPDR	S&P	500	ETF	TR TR UNIT	P	11/26/12	05/31/13
b	SPDR	S&P	500	ETF	TR TR UNIT	P	11/26/12	04/11/13
c	SPDR	S&P	500	ETF	TR TR UNIT	P	11/26/12	02/08/13
d	SPDR	S&P	500	ETF	TR TR UNIT	P	11/26/12	02/08/13
e	SPDR	S&P	500	ETF	TR TR UNIT	P	11/26/12	02/08/13
f	SPDR	S&P	500	ETF	TR TR UNIT	P	11/26/12	01/24/13
g	SPDR	S&P	500	ETF	TR TR UNIT	P	10/05/12	11/09/12
h	SPDR	S&P	500	ETF	TR TR UNIT	P	10/05/12	11/09/12
i	SPDR	S&P	500	ETF	TR TR UNIT	P	10/05/12	11/09/12
j	SPDR	S&P	500	ETF	TR TR UNIT	P	08/13/12	11/09/12
k	SPDR	S&P	500	ETF	TR TR UNIT	P	08/07/12	11/09/12
l	SPDR	S&P	500	ETF	TR TR UNIT	P	08/07/12	11/07/12
m	SPDR	S&P	500	ETF	TR TR UNIT	P	07/02/12	11/07/12
n	SPDR	S&P	500	ETF	TR TR UNIT	P	07/02/12	11/07/12
o	SPDR	S&P	500	ETF	TR TR UNIT	P	06/29/12	11/07/12

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10,024.		8,768.	1,256.
b	1,117.		1,006.	111.
c	2,275.		2,156.	119.
d	1,971.		1,866.	105.
e	16,984.		15,821.	1,163.
f	749.		706.	43.
g	5,642.		6,366.	<724.>
h	4,128.		4,538.	<410.>
i	7,844.		8,531.	<687.>
j	20,229.		20,419.	<190.>
k	1,789.		1,789.	0.
l	16,372.		16,372.	0.
m	3,498.		3,396.	102.
n	16,849.		16,299.	550.
o	21,061.		20,269.	792.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,256.
b			111.
c			119.
d			105.
e			1,163.
f			43.
g			<724.>
h			<410.>
i			<687.>
j			<190.>
k			0.
l			0.
m			102.
n			550.
o			792.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

BRODY BROTHERS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SPDR S&P 500 ETF TR TR UNIT	P	06/19/12	11/07/12
b	SPDR S&P 500 ETF TR TR UNIT	P	06/19/12	10/23/12
c	SPDR S&P 500 ETF TR TR UNIT	P	06/19/12	10/23/12
d	SPDR S&P 500 ETF TR TR UNIT	P	06/19/12	10/23/12
e	SPDR S&P 500 ETF TR TR UNIT	P	06/19/12	10/23/12
f	SPDR S&P 500 ETF TR TR UNIT	P	06/06/12	10/23/12
g	SPDR S&P 500 ETF TR TR UNIT	P	06/06/12	10/23/12
h	SPDR S&P 500 ETF TR TR UNIT	P	06/06/12	10/23/12
i	SPDR S&P 500 ETF TR TR UNIT	P	06/06/12	10/23/12
j	SPDR S&P 500 ETF TR TR UNIT	P	06/06/12	10/23/12
k	CREDIT SUISSE NASSAU BRH EXCHANGE TRADED NTS	P	10/27/11	03/11/13
l	PAULSON ADVANTAGE	P	11/01/08	08/21/12
m	MCVEAN TRADING & INVESTMENT, LLC	P	VARIOUS	VARIOUS
n	CAPITAL GAINS DIVIDENDS			
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,825.		1,765.	60.
b 9,185.		8,827.	358.
c 565.		552.	13.
d 1,554.		1,587.	<33.>
e 7,490.		7,591.	<101.>
f 4,239.		4,242.	<3.>
g 8,055.		8,055.	0.
h 141.		140.	1.
i 283.		277.	6.
j 8,196.		8,027.	169.
k 111,603.		111,452.	151.
l 77,493.		112,375.	<34,882.>
m		7,782.	<7,782.>
n 2,963.			2,963.
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			60.
b			358.
c			13.
d			<33.>
e			<101.>
f			<3.>
g			0.
h			1.
i			6.
j			169.
k			151.
l			<34,882.>
m			<7,782.>
n			2,963.
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	105,206.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FOOD BANK OF EASTERN NC		EXEMPT	GENERAL PURPOSE	1,000.
KENNEDY DALTON SCHOLARSHIP FUND		EXEMPT	EDUCATIONAL	
KINSTON HIGH SCHOOL ATHLETIC KINSTON, NC		EXEMPT	EDUCATIONAL	250.
KINSTON/LENOIR CHAMBER OF COM		EXEMPT	GENERAL PURPOSE	390.
LENOIR COMM COLLEGE FOUNDATION		EXEMPT	EDUCATIONAL	
LENOIR COMMITTEE OF 100 PO BOX 2022 KINSTON, NC 28502			GENERAL PURPOSE	
LENOIR/GREENE UNITED WAY 327 N. QUEEN STREET KINSTON, NC 28501		EXEMPT	GENERAL PURPOSE	1,000.
NC HILLEL		EXEMPT	GENERAL PURPOSE	1,000.
NCMA FOUNDATION		EXEMPT	GENERAL PURPOSE	
PENLAND SCHOOL		EXEMPT	EDUCATIONAL	500.
Total from continuation sheets				19,190.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE NATURE CONSERVANCY 4705 UNIVERSITY DRIVE DURHAM, NC 27707		EXEMPT	GENERAL PURPOSE	1,250.
THE SPENCER HAMPTON FUND		EXEMPT		
UNIVERSITY OF PA		EXEMPT	EDUCATIONAL	
JCC FOUNDATION		EXEMPT		500.
WOODMAN FOUNDATION		EXEMPT		1,000.
NC STATE UNIVERSITY		EXEMPT	EDUCATIONAL	5,000.
THE HUN SCHOOL		EXEMPT	EDUCATIONAL	500.
CAROLINA PHONE & DATE SERVICES		EXEMPT	GENERAL PURPOSE	500.
NC MUSEUM OF ART		EXEMPT	GENERAL PURPOSE	1,000.
LENOIR COUNTY TAX DEPARTMENT		EXEMPT	GENERAL PURPOSE	1,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KINSTON COMMUNITY ARTS COUNCIL		EXEMPT		1,000.
LCC FOUNDATION		EXEMPT		500.
PAMILCO-TAR RIVER FOUNDATION		EXEMPT		500.
BOYS & GIRLS CLUB OF LENOIR COUNTY		EXEMPT		1,500.
AMERICAN DIABETES ASSOCIATION		EXEMPT		500.
WAKE MED FOUNDATION		EXEMPT	MEDICAL	300.
Total from continuation sheets				

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CSFB 2A7-011238	10,201.	0.	10,201.
CSFB 2A7-013820	4,628.	0.	4,628.
CSFB 2A7-014703	6,521.	0.	6,521.
CSFB 2A7-022458	12,534.	0.	12,534.
CSFB 2A7-026061	6,274.	0.	6,274.
CSFB 2A7-030352	2,240.	0.	2,240.
CSFB 2A7-814029	21,651.	2,963.	18,688.
LAZARD,LTD	271.	0.	271.
MERRILL LYNCH 6AP-02273	17.	0.	17.
TOTAL TO FM 990-PF, PART I, LN 4	64,337.	2,963.	61,374.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MARSH & MCLENNAN CO	25.	25.	0.
OTHER INCOME	417.	417.	0.
ML WINTON K-1	<1,141.>	<1,141.>	0.
ML TRANSTREND K-1	<503.>	<503.>	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	<1,202.>	<1,202.>	0.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BRODYCO FEES	1,000.	1,000.	0.	0.
TERRAY SUGGS	4,007.	4,007.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	5,007.	5,007.	0.	0.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT

4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MGMT FEES CSFB 2A7-022458	2,701.	2,701.	0.	0.
MGMT FEES CSFB 2A7-013820	2,277.	2,277.	0.	0.
MGMT FEES CSFB 2A7-014703	4,089.	4,089.	0.	0.
MGMT FEES CSFB 2A7-026061	4,128.	4,128.	0.	0.
MGMT FEES CSFB 2A7-030352	4,172.	4,172.	0.	0.
MGMT FEES CSFB 2A7-011238	5,634.	5,634.	0.	0.
MGMT FEES MERRILL LYNCH 6AP-02273	300.	300.	0.	0.
LAZARD,LTD K-1	2.	2.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	23,303.	23,303.	0.	0.

FORM 990-PF

TAXES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	2,905.	2,905.	0.	0.
SECTION 4949 TAX ON NET INVESTMENT INCOME	<666.>	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	2,239.	2,905.	0.	0.

FORM 990-PF

OTHER EXPENSES

STATEMENT

6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK SERVICE CHARGE	24.	24.	0.	0.
POSTAGE & SHIPPING	35.	35.	0.	0.
TO FORM 990-PF, PG 1, LN 23	59.	59.	0.	0.

FORM 990-PF

CORPORATE STOCK

STATEMENT

7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED DETAIL STATEMENT	3,338,150.	3,875,792.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,338,150.	3,875,792.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions BRODY BROTHERS FOUNDATION	Employer identification number (EIN) or 56-0858144
	Number, street, and room or suite no. If a P.O. box, see instructions. P O BOX 1046, NO. 200	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. KINSTON, NC 28503	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

HYMAN BRODY

- The books are in the care of ► **530 SE GREENVILLE BLVD, SUITE 200 - GREENVILLE, NC 27858**
Telephone No ► **252-353-2141** FAX No. ► **252-353-2143**
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **MARCH 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☐ calendar year _____ or
► ☒ tax year beginning **AUG 1, 2012**, and ending **JUL 31, 2013**

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	2,800.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	2,800.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2013)