Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 08/01 , 2012, and ending 07/31, 20 13

Name of foundation: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE HAMEL, STEPHEN B BOIES, JOAN LAVELLA P961570

A Employer identification number: 51-6593287

Number and street (or P.O box number if mail is not delivered to street address): 10 S DEARBORN IL1-0117

Room/suite:

B Telephone number (see instructions): - -

City or town, state, and ZIP code: CHICAGO, IL 60603

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 34,184,662.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) ____________ *(Part I, column (d) must be on cash basis.)*

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ▶ [ ] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	572,269.	572,269.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,298,331.			
	b Gross sales price for all assets on line 6a 17,353,637.				
	7 Capital gain net income (from Part IV, line 2)		1,298,331.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	13,964.			STMT 1
	12 **Total.** Add lines 1 through 11	1,884,564.	1,870,600.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	246,176.	123,088.		123,088.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 2	67,112.	7,312.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 3	750.			750.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	314,038.	130,400.	NONE	123,838.
	25 Contributions, gifts, grants paid	1,515,000.			1,515,000.
	26 **Total expenses and disbursements** Add lines 24 and 25	1,829,038.	130,400.	NONE	1,638,838.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	55,526.			
	b **Net investment income** (if negative, enter -0-)		1,740,200.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets			
1 Cash - non-interest-bearing			
2 Savings and temporary cash investments	1,357,311.	700,856.	700,856.
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less allowance for doubtful accounts ▶ NONE			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10 a Investments - U S and state government obligations (attach schedule)			
b Investments - corporate stock (attach schedule)	19,892,582.	20,884,019.	26,801,733.
c Investments - corporate bonds (attach schedule)	4,585,883.	4,385,883.	4,408,730.
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
12 Investments - mortgage loans			
13 Investments - other (attach schedule)	2,416,936.	2,343,019.	2,273,343.
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	28,252,712.	28,313,777.	34,184,662.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
27 Capital stock, trust principal, or current funds	28,252,712.	28,313,777.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see instructions)	28,252,712.	28,313,777.	
31 **Total liabilities and net assets/fund balances** (see instructions)	28,252,712.	28,313,777.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,252,712.
2 Enter amount from Part I, line 27a	2	55,526.
3 Other increases not included in line 2 (itemize) ▶ DEFERRED INCOME - MUTUAL FUND TIMING DIFFERENCE	3	6,350.
4 Add lines 1, 2, and 3	4	28,314,588.
5 Decreases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	5	811.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	28,313,777.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	17,353,637.		16,055,288.	1,298,349.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				1,298,349.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — **2** — 1,298,349.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 — **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	1,579,577.	30,465,532.	0.051848
2010	1,321,477.	32,136,945.	0.041120
2009	907,441.	27,042,844.	0.033556
2008	743,447.	19,701,102.	0.037736
2007	65,486.	16,812,646.	0.003895

2	**Total** of line 1, column (d)	2	0.168155
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.033631
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	32,579,079.
5	Multiply line 4 by line 3	5	1,095,667.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	17,402.
7	Add lines 5 and 6	7	1,113,069.
8	Enter qualifying distributions from Part XII, line 4	8	1,638,838.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	17,402.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	17,402.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	17,402.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 . . . . 6a 61,537.		
b	Exempt foreign organizations - tax withheld at source . . . . 6b NONE		
c	Tax paid with application for extension of time to file (Form 8868) . . . . 6c NONE		
d	Backup withholding erroneously withheld 6d		
7	Total credits and payments Add lines 6a through 6d	7	61,537.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	44,135.
11	Enter the amount of line 10 to be. **Credited to 2013 estimated tax** ▶ 17,404. **Refunded** ▶	11	26,731.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JPMORGAN CHASE BANK, N.A. Telephone no. ▶ (866)888-5157

Located at ▶ 10 S DEARBORNST., MC: IL1-0117, CHICAGO, IL ZIP+4 ▶ 60603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)		2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945-5(d)*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*	6b		X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JPMORGAN CHASE BANK N.A. 10 S DEARBORN ST· MC· IL1-01117, CHICAGO, IL 60603	TRUSTEE 4	212,051.	-0-	-0-
RODOLPHE HAMEL 10 S DEARBORN ST· MC: IL1-01117, CHICAGO, IL 60603	TRUSTEE 2	11,375.		
STEPHEN BOIES 10 S DEARBORN ST. MC: IL1-01117, CHICAGO, IL 60603	TRUSTEE 2	11,375.		
JOAN LAVELLA 10 S DEARBORN ST: MC: IL1-01117, CHICAGO, IL 60603	TRUSTEE 2	11,375.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	31,788,436.
b	Average of monthly cash balances	1b	1,286,771.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	33,075,207.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	33,075,207.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	496,128.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	32,579,079.
6	**Minimum investment return.** Enter 5% of line 5	6	1,628,954.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	1,628,954.
2a	Tax on investment income for 2012 from Part VI, line 5	2a 17,402.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	17,402.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	1,611,552.
4	Recoveries of amounts treated as qualifying distributions		4	NONE
5	Add lines 3 and 4		5	1,611,552.
6	Deduction from distributable amount (see instructions)		6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	1,611,552.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,638,838.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,638,838.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	17,402.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,621,436.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				1,611,552.
2	Undistributed income, if any, as of the end of 2012				
a	Enter amount for 2011 only			1,511,318.	
b	Total for prior years 20 10 ,20____,20____		NONE		
3	Excess distributions carryover, if any, to 2012				
a	From 2007 ...... NONE				
b	From 2008 ...... NONE				
c	From 2009 ...... NONE				
d	From 2010 ...... NONE				
e	From 2011 ...... NONE				
f	**Total** of lines 3a through e	NONE			
4	Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 1,638,838.				
a	Applied to 2011, but not more than line 2a			1,511,318.	
b	Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see instructions)	NONE			
d	Applied to 2012 distributable amount				127,520.
e	Remaining amount distributed out of corpus	NONE			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b	Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				1,484,032.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	NONE			
10	Analysis of line 9.				
a	Excess from 2008 ... NONE				
b	Excess from 2009 ... NONE				
c	Excess from 2010 ... NONE				
d	Excess from 2011 ... NONE				
e	Excess from 2012 ... NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 8				1,515,000.
Total . . . . . ▶ **3a**				1,515,000.
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	572,269.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	1,298,331.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b NONDIVIDEND			18	13,964.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				1,884,564.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 1,884,564.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Anthony Ward | 04/03/2014 | ▶

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2012

Name of estate or trust	Employer identification number
E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE	51-6593287

Note: *Form 5227 filers need to complete only Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a						

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	376,350.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	376,350.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a	LONG-TERM CAPITAL GAIN DIVIDENDS					76,364.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	845,635.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	921,999.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D (Form 1041) 2012**

Part III **Summary of Parts I and II**
Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			376,350.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			921,999.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			1,298,349.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet,** as necessary*

Part IV **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 .... 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20 If zero or less, enter -0-			22			
23	Subtract line 22 from line 17. If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,400			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)
Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 44. AUTOZONE INC	VAR	08/03/2012	16,171.00	12,718.00	3,453.00
210. COGNIZANT TECHNOLOGY A	VAR	08/03/2012	12,079.00	12,624.00	-545.00
61. COGNIZANT TECHNOLOGY S A	05/07/2012	08/03/2012	3,491.00	3,559.00	-68.00
216. MARRIOTT INTL INC NEW	02/28/2012	08/13/2012	7,964.00	7,641.00	323.00
609. MARRIOTT INTL INC NEW	VAR	08/14/2012	22,495.00	21,253.00	1,242.00
224. EXXON MOBIL CORP	VAR	09/07/2012	20,104.00	19,395.00	709.00
1041. WALGREEN CO	VAR	09/07/2012	36,301.00	31,057.00	5,244.00
317000. DB QARN SPX 03/13/	02/24/2012	09/13/2012	334,435.00	313,830.00	20,605.00
63900. ISHARES INC MSCI JA	03/09/2012	09/18/2012	596,180.00	644,649.00	-48,469.00
2200. CBS CORP	02/02/2012	09/26/2012	78,420.00	63,382.00	15,038.00
75. ADT CORPORATION	06/15/2012	10/04/2012	2,888.00	2,608.00	280.00
82. ADT CORPORATION	06/15/2012	10/15/2012	2,992.00	517.00	2,475.00
121. ADT CORPORATION	06/15/2012	10/16/2012	4,516.00	762.00	3,754.00
50. MARATHON PETROLEUM COR	VAR	10/16/2012	2,759.00	2,391.00	368.00
59. MARATHON PETROLEUM COR	VAR	10/16/2012	3,249.00	2,815.00	434.00
16. MARATHON PETROLEUM COR	VAR	10/16/2012	882.00	760.00	122.00
60. MARATHON PETROLEUM COR	VAR	10/16/2012	3,316.00	2,841.00	475.00
280. MARATHON PETROLEUM CO	VAR	10/16/2012	15,332.00	13,172.00	2,160.00
150. MARATHON PETROLEUM CO	VAR	10/17/2012	8,345.00	7,032.00	1,313.00
213. MARATHON PETROLEUM CO	07/18/2012	10/17/2012	11,855.00	9,975.00	1,880.00
3. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	165.00	140.00	25.00
26. MARATHON PETROLEUM COR	07/18/2012	10/17/2012	1,454.00	1,218.00	236.00
63. MARATHON PETROLEUM COR	07/18/2012	10/17/2012	3,474.00	2,950.00	524.00
92. ABBOTT LABS	VAR	10/18/2012	6,069.00	6,391.00	-322.00

1b Total Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 378. ABBOTT LABS	VAR	10/18/2012	24,735.00	24,765.00	-30.00
62. ABBOTT LABS	VAR	10/18/2012	4,064.00	3,838.00	226.00
388. ABBOTT LABS	VAR	10/18/2012	25,478.00	23,671.00	1,807.00
2. CAPITAL ONE FINL CORP	02/02/2012	10/22/2012	120.00	95.00	25.00
73. CAPITAL ONE FINL CORP	02/02/2012	10/22/2012	4,379.00	3,457.00	922.00
200. CAPITAL ONE FINL CORP	02/02/2012	10/22/2012	11,988.00	9,471.00	2,517.00
27. CAPITAL ONE FINL CORP	02/02/2012	10/23/2012	1,610.00	1,279.00	331.00
54. CAPITAL ONE FINL CORP	02/02/2012	10/23/2012	3,216.00	2,557.00	659.00
59. CAPITAL ONE FINL CORP	02/02/2012	10/23/2012	3,487.00	2,794.00	693.00
44. CAPITAL ONE FINL CORP	02/02/2012	10/23/2012	2,619.00	2,084.00	535.00
7. CAPITAL ONE FINL CORP	02/02/2012	10/23/2012	416.00	331.00	85.00
273. CAPITAL ONE FINL CORP	02/02/2012	10/23/2012	16,233.00	12,928.00	3,305.00
47. CAPITAL ONE FINL CORP	02/02/2012	10/23/2012	2,820.00	2,226.00	594.00
9. CAPITAL ONE FINL CORP	02/02/2012	10/23/2012	541.00	426.00	115.00
19. CAPITAL ONE FINL CORP	02/02/2012	10/23/2012	1,141.00	900.00	241.00
5. CAPITAL ONE FINL CORP	02/02/2012	10/23/2012	300.00	237.00	63.00
81. CAPITAL ONE FINL CORP	02/02/2012	10/24/2012	4,874.00	3,836.00	1,038.00
802. ALTERA CORP	VAR	10/26/2012	24,332.00	30,736.00	-6,404.00
62. COVIDIEN PLC NEW	07/27/2012	10/26/2012	3,403.00	3,399.00	4.00
41. COVIDIEN PLC NEW	07/27/2012	10/31/2012	2,252.00	2,248.00	4.00
26. COVIDIEN PLC NEW	07/27/2012	11/01/2012	1,438.00	1,425.00	13.00
1. COVIDIEN PLC NEW	07/27/2012	11/01/2012	55.00	55.00	
11. COVIDIEN PLC NEW	07/27/2012	11/01/2012	611.00	603.00	8.00
73. COVIDIEN PLC NEW	07/27/2012	11/02/2012	4,082.00	4,002.00	80.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 368. AT&T INC	02/02/2012	11/05/2012	12,723.00	11,007.00	1,716.00
55. EOG RESOURCES INC	VAR	11/05/2012	6,394.00	6,244.00	150.00
30. EOG RESOURCES INC	09/07/2012	11/05/2012	3,485.00	3,379.00	106.00
91. PIONEER NAT RES CO	04/19/2012	11/05/2012	9,699.00	9,634.00	65.00
16. COVIDIEN PLC NEW	07/27/2012	11/05/2012	889.00	877.00	12.00
908. LAM RESEARCH CORPORAT	VAR	11/28/2012	32,086.00	37,548.00	-5,462.00
88. CSX CORP	05/29/2012	12/04/2012	1,720.00	1,900.00	-180.00
398. CSX CORP	VAR	12/04/2012	7,813.00	8,572.00	-759.00
145. CSX CORP	VAR	12/05/2012	2,873.00	3,114.00	-241.00
710. FREEPORT-MCMORAN COPP B	VAR	12/05/2012	23,287.00	30,920.00	-7,633.00
81. FREEPORT-MCMORAN COPPE B	03/20/2012	12/05/2012	2,665.00	3,160.00	-495.00
3. CARNIVAL CORPORATION	09/07/2012	12/12/2012	114.00	111.00	3.00
69. CARNIVAL CORPORATION	09/07/2012	12/12/2012	2,620.00	2,549.00	71.00
5. CARNIVAL CORPORATION	09/07/2012	12/12/2012	189.00	185.00	4.00
4. CARNIVAL CORPORATION	09/07/2012	12/12/2012	152.00	148.00	4.00
60. CARNIVAL CORPORATION	09/07/2012	12/13/2012	2,272.00	2,217.00	55.00
1. CARNIVAL CORPORATION	09/07/2012	12/13/2012	38.00	37.00	1.00
163. AT&T INC	02/02/2012	12/14/2012	5,553.00	4,876.00	677.00
491. AT&T INC	02/02/2012	12/14/2012	16,743.00	14,686.00	2,057.00
500. ALTERA CORP	VAR	12/14/2012	16,446.00	18,942.00	-2,496.00
340. ALTERA CORP	VAR	12/14/2012	11,250.00	12,835.00	-1,585.00
35. CARNIVAL CORPORATION	09/07/2012	12/14/2012	1,323.00	1,293.00	30.00
11. INTERCONTINENTAL EXCHA	01/20/2012	12/14/2012	1,419.00	1,289.00	130.00
39. INTERCONTINENTAL EXCHA	01/20/2012	12/14/2012	4,995.00	4,570.00	425.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 33. CARNIVAL CORPORATION	09/07/2012	12/17/2012	1,255.00	1,219.00	36.00
46. CARNIVAL CORPORATION	09/07/2012	12/17/2012	1,773.00	1,700.00	73.00
104. CARNIVAL CORPORATION	VAR	12/17/2012	3,961.00	3,840.00	121.00
118. CARNIVAL CORPORATION	09/26/2012	12/17/2012	4,541.00	4,354.00	187.00
225. CARNIVAL CORPORATION	VAR	12/18/2012	8,757.00	8,295.00	462.00
58. CARNIVAL CORPORATION	09/10/2012	12/18/2012	2,273.00	2,135.00	138.00
54. CARNIVAL CORPORATION	09/10/2012	12/18/2012	2,111.00	1,988.00	123.00
273. CARNIVAL CORPORATION	VAR	12/18/2012	10,712.00	10,049.00	663.00
580. AT&T INC	02/02/2012	12/20/2012	19,689.00	17,348.00	2,341.00
598. AT&T INC	02/02/2012	12/21/2012	20,205.00	17,887.00	2,318.00
15. KINDER MORGAN INC	07/05/2012	01/10/2013	556.00	499.00	57.00
13. KINDER MORGAN INC	07/05/2012	01/10/2013	482.00	432.00	50.00
20. KINDER MORGAN INC	07/05/2012	01/10/2013	742.00	665.00	77.00
99. KINDER MORGAN INC	07/05/2012	01/10/2013	3,671.00	3,293.00	378.00
7. KINDER MORGAN INC	07/05/2012	01/11/2013	259.00	233.00	26.00
5. KINDER MORGAN INC	07/05/2012	01/11/2013	185.00	166.00	19.00
16. KINDER MORGAN INC	07/05/2012	01/11/2013	593.00	532.00	61.00
8. KINDER MORGAN INC	07/05/2012	01/11/2013	296.00	266.00	30.00
14. KINDER MORGAN INC	07/05/2012	01/11/2013	518.00	466.00	52.00
17. KINDER MORGAN INC	07/05/2012	01/14/2013	628.00	565.00	63.00
2. LINKEDIN CORP - A	04/09/2012	01/14/2013	235.00	198.00	37.00
22. LINKEDIN CORP - A	04/09/2012	01/14/2013	2,586.00	2,178.00	408.00
16. LINKEDIN CORP - A	04/09/2012	01/14/2013	1,880.00	1,584.00	296.00
3. KINDER MORGAN INC	07/05/2012	01/15/2013	110.00	100.00	10.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

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OMB No 1545-0092

2012

Name of estate or trust: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. KINDER MORGAN INC	07/05/2012	01/15/2013	184.00	166.00	18.00
20. KINDER MORGAN INC	07/05/2012	01/15/2013	736.00	665.00	71.00
12. KINDER MORGAN INC	07/05/2012	01/15/2013	442.00	399.00	43.00
9. LINKEDIN CORP - A	04/09/2012	01/15/2013	1,060.00	891.00	169.00
25. LINKEDIN CORP - A	04/09/2012	01/15/2013	2,947.00	2,475.00	472.00
85. LINKEDIN CORP - A	04/09/2012	01/15/2013	10,013.00	8,416.00	1,597.00
7. KINDER MORGAN INC	07/05/2012	01/16/2013	256.00	233.00	23.00
9. KINDER MORGAN INC	07/05/2012	01/16/2013	330.00	299.00	31.00
6. KINDER MORGAN INC	07/05/2012	01/16/2013	220.00	200.00	20.00
36. KINDER MORGAN INC	07/05/2012	01/16/2013	1,316.00	1,197.00	119.00
6. KINDER MORGAN INC	07/05/2012	01/16/2013	220.00	200.00	20.00
19. KINDER MORGAN INC	07/05/2012	01/16/2013	697.00	632.00	65.00
16. KINDER MORGAN INC	07/05/2012	01/16/2013	586.00	532.00	54.00
16. LINKEDIN CORP - A	04/09/2012	01/16/2013	1,875.00	1,584.00	291.00
9. LINKEDIN CORP - A	04/09/2012	01/16/2013	1,064.00	891.00	173.00
48. LINKEDIN CORP - A	04/09/2012	01/16/2013	5,633.00	4,753.00	880.00
18. LINKEDIN CORP - A	04/09/2012	01/16/2013	2,125.00	1,782.00	343.00
35. ORACLE CORP	04/12/2012	01/16/2013	1,215.00	1,002.00	213.00
41. ORACLE CORP	04/12/2012	01/16/2013	1,427.00	1,174.00	253.00
82. ORACLE CORP	04/12/2012	01/16/2013	2,854.00	2,347.00	507.00
14. ORACLE CORP	04/12/2012	01/16/2013	488.00	401.00	87.00
18. ORACLE CORP	04/12/2012	01/16/2013	626.00	515.00	111.00
3. KINDER MORGAN INC	07/05/2012	01/17/2013	112.00	100.00	12.00
11. KINDER MORGAN INC	07/05/2012	01/17/2013	411.00	366.00	45.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 70. KINDER MORGAN INC	07/05/2012	01/17/2013	2,602.00	2,328.00	274.00
4. KINDER MORGAN INC	07/05/2012	01/17/2013	149.00	133.00	16.00
17. KINDER MORGAN INC	07/05/2012	01/17/2013	632.00	565.00	67.00
2. KINDER MORGAN INC	07/05/2012	01/17/2013	74.00	67.00	7.00
20. KINDER MORGAN INC	07/05/2012	01/17/2013	744.00	665.00	79.00
14. KINDER MORGAN INC	07/05/2012	01/17/2013	521.00	466.00	55.00
3. KINDER MORGAN INC	07/05/2012	01/17/2013	112.00	100.00	12.00
2. LINKEDIN CORP - A	04/09/2012	01/17/2013	236.00	198.00	38.00
3. LINKEDIN CORP - A	04/09/2012	01/17/2013	355.00	297.00	58.00
12. LINKEDIN CORP - A	04/09/2012	01/17/2013	1,421.00	1,188.00	233.00
3. LINKEDIN CORP - A	04/09/2012	01/17/2013	355.00	297.00	58.00
18. LINKEDIN CORP - A	04/09/2012	01/17/2013	2,131.00	1,782.00	349.00
53. ORACLE CORP	04/12/2012	01/17/2013	1,838.00	1,517.00	321.00
1. ORACLE CORP	04/12/2012	01/17/2013	35.00	29.00	6.00
317. ORACLE CORP	04/12/2012	01/17/2013	11,006.00	9,074.00	1,932.00
86. EOG RESOURCES INC	VAR	01/18/2013	10,853.00	9,085.00	1,768.00
22. EOG RESOURCES INC	04/24/2012	01/18/2013	2,762.00	2,296.00	466.00
7. KINDER MORGAN INC	07/05/2012	01/18/2013	260.00	233.00	27.00
7. KINDER MORGAN INC	07/05/2012	01/18/2013	261.00	233.00	28.00
49. KINDER MORGAN INC	07/05/2012	01/18/2013	1,823.00	1,630.00	193.00
24. KINDER MORGAN INC	07/05/2012	01/18/2013	896.00	798.00	98.00
4. KINDER MORGAN INC	07/05/2012	01/18/2013	149.00	133.00	16.00
34. KINDER MORGAN INC	07/05/2012	01/18/2013	1,265.00	1,131.00	134.00
3. KINDER MORGAN INC	07/05/2012	01/22/2013	113.00	100.00	13.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2012

Name of estate or trust: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 10. KINDER MORGAN INC	07/05/2012	01/22/2013	376.00	333.00	43.00
19. KINDER MORGAN INC	07/05/2012	01/22/2013	716.00	632.00	84.00
14. KINDER MORGAN INC	07/05/2012	01/22/2013	524.00	466.00	58.00
34. KINDER MORGAN INC	07/05/2012	01/22/2013	1,277.00	1,131.00	146.00
30. KINDER MORGAN INC	07/05/2012	01/22/2013	1,130.00	998.00	132.00
13. KINDER MORGAN INC	07/05/2012	01/22/2013	488.00	432.00	56.00
99405.802 JPM VALUE ADVANT	VAR	01/23/2013	2,210,785.00	1,934,700.00	276,085.00
10. KINDER MORGAN INC	07/05/2012	01/23/2013	377.00	333.00	44.00
8. KINDER MORGAN INC	07/05/2012	01/23/2013	302.00	266.00	36.00
17. KINDER MORGAN INC	07/05/2012	01/23/2013	641.00	565.00	76.00
15. KINDER MORGAN INC	07/05/2012	01/23/2013	564.00	499.00	65.00
11. KINDER MORGAN INC	07/05/2012	01/24/2013	409.00	366.00	43.00
9. KINDER MORGAN INC	07/05/2012	01/24/2013	337.00	299.00	38.00
6. KINDER MORGAN INC	07/05/2012	01/24/2013	226.00	200.00	26.00
3. KINDER MORGAN INC	07/05/2012	01/25/2013	112.00	100.00	12.00
9. KINDER MORGAN INC	07/05/2012	01/25/2013	335.00	299.00	36.00
35. KINDER MORGAN INC	07/05/2012	01/25/2013	1,304.00	1,164.00	140.00
7. KINDER MORGAN INC	07/05/2012	01/25/2013	261.00	233.00	28.00
4430. SPDR TR UNIT SER 1	VAR	01/25/2013	662,915.00	619,230.00	43,685.00
47. KINDER MORGAN INC	07/05/2012	01/28/2013	1,762.00	1,563.00	199.00
6. KINDER MORGAN INC	07/05/2012	01/28/2013	225.00	200.00	25.00
2. KINDER MORGAN INC	07/05/2012	01/28/2013	75.00	67.00	8.00
14. KINDER MORGAN INC	07/05/2012	01/29/2013	522.00	466.00	56.00
9. AMERICAN EXPRESS CO	10/22/2012	02/01/2013	539.00	510.00	29.00

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SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

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Employer identification number: 51-6593287

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 46. AMERICAN EXPRESS CO	10/22/2012	02/01/2013	2,755.00	2,604.00	151.00
36. AMERICAN EXPRESS CO	VAR	02/01/2013	2,155.00	2,034.00	121.00
2. AMERICAN EXPRESS CO	10/23/2012	02/01/2013	120.00	112.00	8.00
52. AMERICAN EXPRESS CO	VAR	02/01/2013	3,116.00	2,911.00	205.00
7. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	417.00	391.00	26.00
35. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	2,075.00	1,954.00	121.00
52. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	3,092.00	2,903.00	189.00
28. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	1,667.00	1,563.00	104.00
8. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	476.00	447.00	29.00
20. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	1,190.00	1,117.00	73.00
51. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	3,035.00	2,847.00	188.00
9. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	535.00	502.00	33.00
24. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	1,442.00	1,340.00	102.00
48. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	2,897.00	2,680.00	217.00
14. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	844.00	782.00	62.00
2. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	121.00	112.00	9.00
20. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	1,209.00	1,117.00	92.00
42. AMERICAN EXPRESS CO	VAR	02/05/2013	2,532.00	2,343.00	189.00
15. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	904.00	835.00	69.00
205. PPG INDS INC	01/18/2013	02/07/2013	27,902.00	29,008.00	-1,106.00
32. TIME WARNER CABLE INC	07/27/2012	02/07/2013	2,805.00	2,706.00	99.00
268. TIME WARNER CABLE INC	07/27/2012	02/07/2013	23,499.00	22,663.00	836.00
299. NETAPP INC	03/20/2012	02/08/2013	10,746.00	13,575.00	-2,829.00
31. NETAPP INC	03/20/2012	02/08/2013	1,107.00	1,407.00	-300.00

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SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

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2012

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Employer identification number: 51-6593287

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 32. NETAPP INC	03/20/2012	02/08/2013	1,151.00	1,453.00	-302.00
57. TIME WARNER CABLE INC	07/27/2012	02/08/2013	4,991.00	4,820.00	171.00
394. NETAPP INC	07/06/2012	02/11/2013	13,985.00	11,696.00	2,289.00
58. GENERAL MLS INC	04/13/2012	02/13/2013	2,487.00	2,254.00	233.00
633. GENERAL MLS INC	VAR	02/13/2013	27,166.00	24,520.00	2,646.00
106. HALLIBURTON CO	01/07/2013	02/13/2013	4,344.00	3,884.00	460.00
630. HALLIBURTON CO	VAR	02/13/2013	25,713.00	23,048.00	2,665.00
12100. ISHARES TR RUSSELL INDEX	12/13/2012	02/15/2013	837,820.00	793,783.00	44,037.00
76. PIONEER NAT RES CO	04/19/2012	02/26/2013	9,192.00	8,046.00	1,146.00
18. PIONEER NAT RES CO	04/19/2012	02/26/2013	2,183.00	1,906.00	277.00
295. FREEPORT-MCMORAN COPP B	03/20/2012	03/01/2013	9,257.00	11,510.00	-2,253.00
75. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	2,658.00	2,547.00	111.00
30. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	1,064.00	1,019.00	45.00
50. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	1,786.00	1,698.00	88.00
50. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	1,780.00	1,698.00	82.00
30. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	1,083.00	1,019.00	64.00
29. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	1,050.00	985.00	65.00
18. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	649.00	611.00	38.00
60. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	2,156.00	2,038.00	118.00
47. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	1,686.00	1,596.00	90.00
10. PRUDENTIAL FINL INC	06/05/2012	03/06/2013	569.00	459.00	110.00
346. QUALCOMM INC	VAR	03/06/2013	23,046.00	21,290.00	1,756.00
83. QUALCOMM INC	12/14/2012	03/06/2013	5,539.00	5,003.00	536.00
19. WELLS FARGO & CO NEW	03/16/2012	03/06/2013	684.00	645.00	39.00

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SCHEDULE D-1 (Form 1041)
Department of the Treasury Internal Revenue Service

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2012

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 92. WELLS FARGO & CO NEW	VAR	03/06/2013	3,309.00	3,003.00	306.00
31. QUALCOMM INC	12/14/2012	03/07/2013	2,063.00	1,869.00	194.00
124. WELLS FARGO & CO NEW	06/05/2012	03/12/2013	4,554.00	3,769.00	785.00
234. WELLS FARGO & CO NEW	06/05/2012	03/12/2013	8,585.00	7,112.00	1,473.00
17. WELLS FARGO & CO NEW	06/05/2012	03/13/2013	622.00	517.00	105.00
108. ISHARES TR RUSSELL 10 INDEX	12/13/2012	03/18/2013	7,586.00	7,085.00	501.00
3952. ISHARES TR RUSSELL 1 INDEX	12/13/2012	03/18/2013	278,517.00	259,259.00	19,258.00
38. CBS CORP	12/03/2012	03/19/2013	1,774.00	1,373.00	401.00
59. CBS CORP	12/03/2012	03/19/2013	2,698.00	2,132.00	566.00
36. CBS CORP	12/03/2012	03/19/2013	1,675.00	1,301.00	374.00
82. CBS CORP	12/03/2012	03/19/2013	3,755.00	2,963.00	792.00
22. CBS CORP	12/03/2012	03/19/2013	1,005.00	795.00	210.00
55. CBS CORP	12/03/2012	03/19/2013	2,509.00	1,988.00	521.00
31. CBS CORP	12/03/2012	03/19/2013	1,413.00	1,120.00	293.00
66. CBS CORP	VAR	03/20/2013	3,061.00	2,378.00	683.00
18. CBS CORP	12/04/2012	03/20/2013	834.00	643.00	191.00
69. CBS CORP	12/04/2012	03/20/2013	3,198.00	2,465.00	733.00
66. CME GROUP INC	06/05/2012	03/20/2013	4,093.00	3,539.00	554.00
17. CME GROUP INC	06/05/2012	03/20/2013	1,052.00	911.00	141.00
13. CME GROUP INC	06/05/2012	03/20/2013	805.00	697.00	108.00
22. CME GROUP INC	06/05/2012	03/20/2013	1,356.00	1,180.00	176.00
83. CBS CORP	12/04/2012	03/25/2013	3,770.00	2,965.00	805.00
178. CBS CORP	12/04/2012	03/25/2013	8,096.00	6,359.00	1,737.00
54. CBS CORP	12/04/2012	03/25/2013	2,450.00	1,929.00	521.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 56. CBS CORP	12/04/2012	03/26/2013	2,562.00	2,000.00	562.00
61. CBS CORP	12/04/2012	03/26/2013	2,777.00	2,179.00	598.00
43. CBS CORP	VAR	03/26/2013	1,951.00	1,535.00	416.00
67. CBS CORP	12/05/2012	03/28/2013	3,128.00	2,392.00	736.00
44. CBS CORP	12/05/2012	03/28/2013	2,042.00	1,571.00	471.00
43. CBS CORP	12/05/2012	04/01/2013	2,002.00	1,535.00	467.00
34. CBS CORP	12/05/2012	04/01/2013	1,553.00	1,214.00	339.00
26. CBS CORP	12/05/2012	04/01/2013	1,189.00	928.00	261.00
33. CBS CORP	VAR	04/01/2013	1,506.00	1,178.00	328.00
39. CBS CORP	12/05/2012	04/02/2013	1,770.00	1,388.00	382.00
58. CBS CORP	12/05/2012	04/03/2013	2,602.00	2,065.00	537.00
79. BAIDU.COM-ADR	09/26/2012	04/04/2013	6,727.00	8,926.00	-2,199.00
98. CBS CORP	12/05/2012	04/04/2013	4,414.00	3,489.00	925.00
251. CAMERON INTERNATIONAL	VAR	04/04/2013	15,370.00	16,143.00	-773.00
502. CHENIERE ENERGY INC	VAR	04/04/2013	12,720.00	10,791.00	1,929.00
99. CAMERON INTERNATIONAL	VAR	04/05/2013	6,016.00	5,729.00	287.00
331. CHENIERE ENERGY INC	VAR	04/05/2013	8,511.00	5,644.00	2,867.00
11. CAREFUSION CORPORATION	VAR	04/08/2013	377.00	315.00	62.00
8. CAREFUSION CORPORATION	VAR	04/08/2013	274.00	228.00	46.00
1. CAREFUSION CORPORATION	09/19/2012	04/08/2013	34.00	28.00	6.00
302. CAREFUSION CORPORATIO	VAR	04/08/2013	10,350.00	8,417.00	1,933.00
40. CAREFUSION CORPORATION	VAR	04/08/2013	1,371.00	1,112.00	259.00
8. PRUDENTIAL FINL INC	06/05/2012	04/08/2013	443.00	367.00	76.00
137. PRUDENTIAL FINL INC	06/05/2012	04/08/2013	7,607.00	6,292.00	1,315.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 70. CAREFUSION CORPORATION	VAR	04/09/2013	2,425.00	1,940.00	485.00
125. CAREFUSION CORPORATIO	VAR	04/09/2013	4,322.00	3,373.00	949.00
70. CAREFUSION CORPORATION	VAR	04/09/2013	2,416.00	1,825.00	591.00
33. CAREFUSION CORPORATION	04/30/2012	04/10/2013	1,144.00	859.00	285.00
161. CAREFUSION CORPORATIO	VAR	04/10/2013	5,585.00	4,177.00	1,408.00
14. CAREFUSION CORPORATION	VAR	04/10/2013	486.00	363.00	123.00
47. COGNIZANT TECHNOLOGY S A	05/07/2012	04/16/2013	3,447.00	2,742.00	705.00
227. EMERSON ELEC CO	VAR	04/16/2013	12,287.00	12,477.00	-190.00
92. CME GROUP INC	06/05/2012	04/19/2013	5,412.00	4,933.00	479.00
392. 3M CO	VAR	04/22/2013	41,425.00	38,014.00	3,411.00
232. CISCO SYS INC	02/08/2013	04/25/2013	4,779.00	4,923.00	-144.00
100. CISCO SYS INC	02/08/2013	04/25/2013	2,067.00	2,122.00	-55.00
418. CISCO SYS INC	VAR	04/25/2013	8,638.00	8,864.00	-226.00
718. CISCO SYS INC	01/16/2013	04/25/2013	14,823.00	15,162.00	-339.00
164. CISCO SYS INC	01/16/2013	04/26/2013	3,375.00	3,463.00	-88.00
236. MERCK & CO INC	03/12/2013	05/01/2013	10,799.00	10,666.00	133.00
175. MERCK & CO INC	03/12/2013	05/01/2013	7,939.00	7,909.00	30.00
216. AMERICAN INTL GROUP I	VAR	05/07/2013	9,662.00	8,464.00	1,198.00
624. AMERICAN INTL GROUP I	VAR	05/07/2013	27,770.00	24,221.00	3,549.00
103. VMWARE INC-CLASS A	VAR	05/07/2013	7,899.00	8,745.00	-846.00
85. CME GROUP INC	12/14/2012	05/10/2013	5,235.00	4,354.00	881.00
98. CME GROUP INC	VAR	05/10/2013	5,951.00	5,000.00	951.00
31. TEXAS INSTRS INC	03/07/2013	05/14/2013	1,132.00	1,090.00	42.00
24. TEXAS INSTRS INC	VAR	05/15/2013	881.00	844.00	37.00

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SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

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OMB No 1545-0092

2012

Name of estate or trust: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 25. TEXAS INSTRS INC	03/07/2013	05/15/2013	918.00	879.00	39.00
24. TEXAS INSTRS INC	03/07/2013	05/15/2013	882.00	844.00	38.00
12. TEXAS INSTRS INC	03/07/2013	05/16/2013	442.00	422.00	20.00
30. TEXAS INSTRS INC	03/07/2013	05/16/2013	1,105.00	1,055.00	50.00
34. TEXAS INSTRS INC	03/07/2013	05/16/2013	1,245.00	1,196.00	49.00
36. TEXAS INSTRS INC	03/07/2013	05/16/2013	1,321.00	1,266.00	55.00
13. CHENIERE ENERGY INC	12/14/2012	05/17/2013	398.00	222.00	176.00
8. CHENIERE ENERGY INC	12/14/2012	05/17/2013	245.00	136.00	109.00
60. CHENIERE ENERGY INC	12/14/2012	05/17/2013	1,841.00	1,023.00	818.00
24. CHENIERE ENERGY INC	12/14/2012	05/17/2013	735.00	409.00	326.00
18. CHENIERE ENERGY INC	12/14/2012	05/17/2013	551.00	307.00	244.00
100. TEXAS INSTRS INC	VAR	05/17/2013	3,663.00	3,515.00	148.00
4. CHENIERE ENERGY INC	12/14/2012	05/20/2013	124.00	68.00	56.00
20. CHENIERE ENERGY INC	12/14/2012	05/20/2013	619.00	341.00	278.00
6. CHENIERE ENERGY INC	12/14/2012	05/20/2013	185.00	102.00	83.00
12. CHENIERE ENERGY INC	12/14/2012	05/20/2013	369.00	205.00	164.00
3. CHENIERE ENERGY INC	12/14/2012	05/20/2013	92.00	51.00	41.00
1. CHENIERE ENERGY INC	12/14/2012	05/20/2013	31.00	17.00	14.00
10. CHENIERE ENERGY INC	12/14/2012	05/20/2013	307.00	170.00	137.00
7. CHENIERE ENERGY INC	12/14/2012	05/20/2013	215.00	119.00	96.00
91. TEXAS INSTRS INC	03/06/2013	05/20/2013	3,341.00	3,194.00	147.00
14. CHENIERE ENERGY INC	12/14/2012	05/21/2013	428.00	239.00	189.00
45. CHENIERE ENERGY INC	VAR	05/21/2013	1,377.00	741.00	636.00
7. CHENIERE ENERGY INC	VAR	05/21/2013	214.00	112.00	102.00

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2012

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Employer identification number: 51-6593287

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 46. TEXAS INSTRS INC	03/06/2013	05/21/2013	1,686.00	1,615.00	71.00
8. CHENIERE ENERGY INC	VAR	05/22/2013	240.00	127.00	113.00
7. CHENIERE ENERGY INC	10/22/2012	05/22/2013	207.00	112.00	95.00
5. CHENIERE ENERGY INC	10/22/2012	05/22/2013	153.00	80.00	73.00
37. CHENIERE ENERGY INC	10/22/2012	05/22/2013	1,138.00	589.00	549.00
9. CHENIERE ENERGY INC	10/22/2012	05/22/2013	276.00	143.00	133.00
77. TEXAS INSTRS INC	03/06/2013	05/22/2013	2,763.00	2,703.00	60.00
36. CHENIERE ENERGY INC	10/22/2012	05/23/2013	1,050.00	573.00	477.00
17. CHENIERE ENERGY INC	10/22/2012	05/23/2013	494.00	271.00	223.00
6. CHENIERE ENERGY INC	10/22/2012	05/23/2013	174.00	96.00	78.00
491. TEXAS INSTRS INC	VAR	05/23/2013	17,628.00	17,228.00	400.00
200. TEXAS INSTRS INC	VAR	05/23/2013	7,187.00	7,002.00	185.00
343. VMWARE INC-CLASS A	VAR	05/30/2013	24,516.00	28,931.00	-4,415.00
2. WILLIAMS COS INC	01/11/2013	06/07/2013	70.00	68.00	2.00
97. WILLIAMS COS INC	01/11/2013	06/07/2013	3,416.00	3,298.00	118.00
17. WILLIAMS COS INC	01/11/2013	06/07/2013	599.00	578.00	21.00
39. WILLIAMS COS INC	01/11/2013	06/10/2013	1,364.00	1,326.00	38.00
34. WILLIAMS COS INC	VAR	06/10/2013	1,197.00	1,149.00	48.00
54. WILLIAMS COS INC	01/10/2013	06/10/2013	1,892.00	1,823.00	69.00
42. WILLIAMS COS INC	01/10/2013	06/10/2013	1,471.00	1,418.00	53.00
370. METLIFE INC	VAR	06/13/2013	16,515.00	13,442.00	3,073.00
158. WILLIAMS COS INC	01/10/2013	06/13/2013	5,308.00	5,333.00	-25.00
154. WILLIAMS COS INC	VAR	06/13/2013	5,160.00	5,193.00	-33.00
126. WILLIAMS COS INC	VAR	06/13/2013	4,200.00	4,237.00	-37.00

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 10441.527 JOHN HANCOCK III	01/23/2013	06/18/2013	175,000.00	154,013.00	20,987.00
25918.944 PIMCO COMMODITYP	12/13/2012	06/18/2013	275,000.00	279,147.00	-4,147.00
161. OCCIDENTAL PETE CORP	VAR	06/21/2013	14,470.00	14,906.00	-436.00
33. ALLERGAN INC	05/07/2013	06/24/2013	2,786.00	3,449.00	-663.00
18. ALLERGAN INC	05/07/2013	06/24/2013	1,513.00	1,881.00	-368.00
45. ALLERGAN INC	05/07/2013	06/24/2013	3,760.00	4,703.00	-943.00
32. ALLERGAN INC	05/07/2013	06/24/2013	2,743.00	3,344.00	-601.00
27. ALLERGAN INC	05/07/2013	06/24/2013	2,258.00	2,822.00	-564.00
8. ALLERGAN INC	05/07/2013	06/24/2013	677.00	836.00	-159.00
23. ALLERGAN INC	VAR	06/24/2013	1,889.00	2,351.00	-462.00
16. ALLERGAN INC	05/22/2013	06/24/2013	1,374.00	1,578.00	-204.00
22. ALLERGAN INC	05/22/2013	06/25/2013	1,869.00	2,170.00	-301.00
66. ALLERGAN INC	05/22/2013	06/25/2013	5,551.00	6,511.00	-960.00
7. INVESCO LTD SHS	12/14/2012	06/28/2013	223.00	178.00	45.00
25. INVESCO LTD SHS	12/14/2012	06/28/2013	795.00	637.00	158.00
18. INVESCO LTD SHS	12/14/2012	06/28/2013	574.00	459.00	115.00
43. INVESCO LTD SHS	12/14/2012	06/28/2013	1,368.00	1,096.00	272.00
25. INVESCO LTD SHS	12/14/2012	06/28/2013	797.00	637.00	160.00
32. ONYX PHARMACEUTICALS I	04/01/2013	07/01/2013	4,196.00	2,919.00	1,277.00
51. ONYX PHARMACEUTICALS I	VAR	07/01/2013	6,688.00	4,596.00	2,092.00
45. ONYX PHARMACEUTICALS I	03/28/2013	07/01/2013	5,929.00	4,016.00	1,913.00
12. ONYX PHARMACEUTICALS I	03/28/2013	07/01/2013	1,576.00	1,071.00	505.00
5. INVESCO LTD SHS	12/14/2012	07/01/2013	161.00	127.00	34.00
13. INVESCO LTD SHS	12/14/2012	07/01/2013	418.00	331.00	87.00

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(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2. INVESCO LTD SHS	12/14/2012	07/01/2013	64.00	51.00	13.00
3. INVESCO LTD SHS	12/14/2012	07/01/2013	96.00	76.00	20.00
195. PHILLIPS 66	VAR	07/02/2013	11,125.00	10,414.00	711.00
9. INVESCO LTD SHS	12/14/2012	07/02/2013	284.00	229.00	55.00
36. APACHE CORP	05/20/2013	07/03/2013	2,904.00	3,024.00	-120.00
12. APACHE CORP	05/20/2013	07/03/2013	967.00	1,008.00	-41.00
1. APACHE CORP	05/20/2013	07/03/2013	81.00	84.00	-3.00
16. APACHE CORP	05/20/2013	07/03/2013	1,281.00	1,344.00	-63.00
8. INVESCO LTD SHS	12/14/2012	07/03/2013	252.00	204.00	48.00
1. INVESCO LTD SHS	12/14/2012	07/05/2013	32.00	25.00	7.00
12. INVESCO LTD SHS	12/14/2012	07/05/2013	382.00	306.00	76.00
1. INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00	7.00
2. INVESCO LTD SHS	12/14/2012	07/08/2013	64.00	51.00	13.00
2. INVESCO LTD SHS	12/14/2012	07/08/2013	64.00	51.00	13.00
13. INVESCO LTD SHS	12/14/2012	07/08/2013	415.00	331.00	84.00
2. INVESCO LTD SHS	12/14/2012	07/08/2013	64.00	51.00	13.00
356000. CS REN HSCEI 02/	02/01/2013	07/09/2013	260,414.00	352,440.00	-92,026.00
1. INVESCO LTD SHS	12/14/2012	07/09/2013	32.00	25.00	7.00
1. INVESCO LTD SHS	12/14/2012	07/09/2013	32.00	25.00	7.00
4. INVESCO LTD SHS	12/14/2012	07/09/2013	127.00	102.00	25.00
2. INVESCO LTD SHS	12/14/2012	07/09/2013	64.00	51.00	13.00
1. INVESCO LTD SHS	12/14/2012	07/09/2013	32.00	25.00	7.00
29. INVESCO LTD SHS	12/14/2012	07/09/2013	928.00	739.00	189.00
3. INVESCO LTD SHS	12/14/2012	07/10/2013	95.00	76.00	19.00

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1a 1. INVESCO LTD SHS	12/14/2012	07/10/2013	32.00	25.00	7.00
2. INVESCO LTD SHS	12/14/2012	07/10/2013	63.00	51.00	12.00
26. INVESCO LTD SHS	12/14/2012	07/10/2013	817.00	663.00	154.00
1. INVESCO LTD SHS	12/14/2012	07/10/2013	32.00	25.00	7.00
2. INVESCO LTD SHS	12/14/2012	07/11/2013	64.00	51.00	13.00
55. INVESCO LTD SHS	12/14/2012	07/11/2013	1,763.00	1,402.00	361.00
20. INVESCO LTD SHS	12/14/2012	07/11/2013	641.00	510.00	131.00
61. PHILIP MORRIS INTERNAT	01/15/2013	07/12/2013	5,451.00	5,434.00	17.00
11. PHILIP MORRIS INTERNAT	01/15/2013	07/12/2013	989.00	980.00	9.00
239. TIME WARNER INC	VAR	07/12/2013	14,658.00	12,899.00	1,759.00
33. TIME WARNER INC	09/26/2012	07/12/2013	2,030.00	1,478.00	552.00
9. INVESCO LTD SHS	12/14/2012	07/12/2013	288.00	229.00	59.00
1. INVESCO LTD SHS	12/14/2012	07/12/2013	32.00	25.00	7.00
2. INVESCO LTD SHS	12/14/2012	07/12/2013	64.00	51.00	13.00
88. INVESCO LTD SHS	VAR	07/12/2013	2,807.00	2,242.00	565.00
120. PHILIP MORRIS INTERNA	01/15/2013	07/15/2013	10,772.00	10,689.00	83.00
145. TIME WARNER INC	09/26/2012	07/15/2013	8,909.00	6,493.00	2,416.00
17. TIME WARNER INC	09/26/2012	07/15/2013	1,046.00	761.00	285.00
13. INVESCO LTD SHS	12/14/2012	07/15/2013	415.00	331.00	84.00
22. INVESCO LTD SHS	12/14/2012	07/15/2013	701.00	561.00	140.00
1. INVESCO LTD SHS	12/14/2012	07/15/2013	32.00	25.00	7.00
2. INVESCO LTD SHS	12/14/2012	07/15/2013	64.00	51.00	13.00
6. INVESCO LTD SHS	12/14/2012	07/15/2013	191.00	153.00	38.00
16. GOOGLE INC	VAR	07/16/2013	14,664.00	14,493.00	171.00

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SCHEDULE D-1 (Form 1041)

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Employer identification number: 51-6593287

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 19. GOOGLE INC	VAR	07/16/2013	17,440.00	17,178.00	262.00
140. TIME WARNER INC	09/26/2012	07/16/2013	8,567.00	6,269.00	2,298.00
1. INVESCO LTD SHS	12/14/2012	07/16/2013	32.00	25.00	7.00
3. INVESCO LTD SHS	12/14/2012	07/16/2013	96.00	76.00	20.00
2. INVESCO LTD SHS	12/14/2012	07/16/2013	64.00	51.00	13.00
14. INVESCO LTD SHS	12/14/2012	07/16/2013	447.00	357.00	90.00
12. INVESCO LTD SHS	12/14/2012	07/16/2013	383.00	306.00	77.00
4. INVESCO LTD SHS	12/14/2012	07/16/2013	128.00	102.00	26.00
8. GOOGLE INC	04/04/2013	07/17/2013	7,353.00	6,353.00	1,000.00
7. GOOGLE INC	VAR	07/17/2013	6,426.00	5,555.00	871.00
6. GOOGLE INC	04/16/2013	07/17/2013	5,511.00	4,758.00	753.00
9. INVESCO LTD SHS	12/14/2012	07/17/2013	288.00	229.00	59.00
1. INVESCO LTD SHS	12/14/2012	07/17/2013	32.00	25.00	7.00
16. INVESCO LTD SHS	12/14/2012	07/18/2013	520.00	408.00	112.00
24. INVESCO LTD SHS	12/14/2012	07/18/2013	782.00	612.00	170.00
16. INVESCO LTD SHS	12/14/2012	07/18/2013	521.00	408.00	113.00
5. INVESCO LTD SHS	12/14/2012	07/18/2013	163.00	127.00	36.00
3. INVESCO LTD SHS	12/14/2012	07/19/2013	98.00	76.00	22.00
5. INVESCO LTD SHS	12/14/2012	07/19/2013	161.00	127.00	34.00
30. INVESCO LTD SHS	12/14/2012	07/19/2013	972.00	764.00	208.00
8. INVESCO LTD SHS	VAR	07/19/2013	258.00	204.00	54.00
7. INVESCO LTD SHS	12/14/2012	07/19/2013	226.00	178.00	48.00
98. ADOBE SYS INC	05/09/2013	07/22/2013	4,719.00	4,330.00	389.00
6. ALLERGAN INC	05/22/2013	07/22/2013	546.00	592.00	-46.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041) Department of the Treasury Internal Revenue Service	Continuation Sheet for Schedule D (Form 1041) ▶ Attach to Schedule D to list additional transactions for lines 1a and 6a. ▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs gov/form1041*	OMB No 1545-0092 2012

Name of estate or trust	Employer identification number
E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE	51-6593287

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 15. ALLIANCE DATA SYSTEMS	VAR	07/22/2013	2,830.00	2,657.00	173.00
40. APACHE CORP	05/20/2013	07/22/2013	3,352.00	3,360.00	-8.00
254. APPLIED MATLS INC	VAR	07/22/2013	4,145.00	3,745.00	400.00
127. ARCHER DANIELS MIDLAN	VAR	07/22/2013	4,579.00	4,422.00	157.00
16. AUTOZONE INC	09/19/2012	07/22/2013	6,997.00	5,953.00	1,044.00
95. AXIALL CORPORATION	01/18/2013	07/22/2013	4,210.00	13,468.00	-9,258.00
850. BANK OF AMERICA CORPO	VAR	07/22/2013	12,654.00	9,854.00	2,800.00
223. BRISTOL MYERS SQUIBB	04/15/2013	07/22/2013	10,009.00	9,140.00	869.00
109. BROADCOM CORP CL A	08/13/2012	07/22/2013	3,617.00	3,796.00	-179.00
216. CSX CORP	07/02/2013	07/22/2013	5,463.00	4,988.00	475.00
112. CVS CORP	VAR	07/22/2013	6,909.00	6,497.00	412.00
65. CAMERON INTERNATIONAL	10/19/2012	07/22/2013	4,256.00	3,595.00	661.00
84. CAPITAL ONE FINL CORP	03/13/2013	07/22/2013	5,803.00	4,605.00	1,198.00
88. CERNER CORP	01/14/2013	07/22/2013	4,435.00	3,610.00	825.00
95. CHENIERE ENERGY INC	VAR	07/22/2013	2,863.00	1,508.00	1,355.00
377. CISCO SYS INC	05/30/2013	07/22/2013	9,674.00	9,179.00	495.00
240. CITIGROUP INC NEW	VAR	07/22/2013	12,684.00	11,008.00	1,676.00
45. CITRIX SYS INC	12/12/2012	07/22/2013	2,966.00	2,910.00	56.00
267. COMCAST CORP NEW CL A	VAR	07/22/2013	11,957.00	10,312.00	1,645.00
29. DAVITA INC	11/07/2012	07/22/2013	3,439.00	3,289.00	150.00
104. DISH NETWORK CORP	05/17/2013	07/22/2013	4,738.00	4,060.00	678.00
213. DOW CHEM CO	VAR	07/22/2013	7,359.00	7,096.00	263.00
43. EDISON INTL	06/17/2013	07/22/2013	2,135.00	2,054.00	81.00
117. FLUOR CORP	12/21/2012	07/22/2013	7,355.00	6,888.00	467.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 265. GENERAL MOTORS CO	VAR	07/22/2013	9,737.00	7,197.00	2,540.00
18. GOOGLE INC	VAR	07/22/2013	16,343.00	14,157.00	2,186.00
95. HARTFORD FINL SVCS GRO	05/07/2013	07/22/2013	3,043.00	2,779.00	264.00
143. HONEYWELL INTL INC	04/16/2013	07/22/2013	11,861.00	10,446.00	1,415.00
26. INTERCONTINENTAL EXCHA	06/21/2013	07/22/2013	4,605.00	4,469.00	136.00
8. INTUITIVE SURGICAL INC	03/01/2013	07/22/2013	3,165.00	4,427.00	-1,262.00
210. JOHNSON & JOHNSON	VAR	07/22/2013	19,381.00	17,981.00	1,400.00
155. JOHNSON CTLS INC	05/20/2013	07/22/2013	6,339.00	5,845.00	494.00
99. LAM RESEARCH CORPORATI	VAR	07/22/2013	4,934.00	4,219.00	715.00
70. LINKEDIN CORP - A	05/07/2013	07/22/2013	13,764.00	12,552.00	1,212.00
264. MASCO CORP	VAR	07/22/2013	5,481.00	4,373.00	1,108.00
14. MASTERCARD INC - CLASS	05/09/2013	07/22/2013	8,426.00	7,730.00	696.00
56. METLIFE INC	04/08/2013	07/22/2013	2,754.00	2,033.00	721.00
15. METTLER TOLEDO INTERNA	VAR	07/22/2013	3,270.00	3,205.00	65.00
228. MONDELEZ INTERNATIONA	VAR	07/22/2013	6,976.00	6,247.00	729.00
246. MORGAN STANLEY DEAN W NEW	01/16/2013	07/22/2013	6,787.00	5,075.00	1,712.00
45. NEXTERA ENERGY INC	VAR	07/22/2013	3,822.00	3,552.00	270.00
148. NISOURCE INC	04/05/2013	07/22/2013	4,583.00	4,403.00	180.00
76. OCCIDENTAL PETE CORP	09/13/2012	07/22/2013	6,976.00	6,872.00	104.00
162. PACCAR INC	VAR	07/22/2013	9,356.00	6,983.00	2,373.00
78. PEPSICO INC	07/02/2013	07/22/2013	6,738.00	6,367.00	371.00
79. PHILLIPS 66	VAR	07/22/2013	4,592.00	4,208.00	384.00
5. PRICELINE.COM INC	04/02/2013	07/22/2013	4,495.00	3,523.00	972.00
84. STATE STR CORP	VAR	07/22/2013	5,860.00	5,086.00	774.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 104. TARGET CORP	VAR	07/22/2013	7,574.00	7,246.00	328.00
112. UNITED TECHNOLOGIES C	01/10/2013	07/22/2013	11,449.00	9,469.00	1,980.00
149. UNITEDHEALTH GROUP IN	VAR	07/22/2013	10,768.00	9,614.00	1,154.00
131. WILLIAMS COS INC	VAR	07/22/2013	4,507.00	4,399.00	108.00
68. XILINX INC	05/08/2013	07/22/2013	3,108.00	2,621.00	487.00
11. INVESCO LTD SHS	12/14/2012	07/22/2013	361.00	280.00	81.00
6. INVESCO LTD SHS	12/14/2012	07/22/2013	196.00	153.00	43.00
12. INVESCO LTD SHS	12/14/2012	07/22/2013	395.00	305.00	90.00
10. INVESCO LTD SHS	12/14/2012	07/22/2013	329.00	254.00	75.00
5. INVESCO LTD SHS	12/14/2012	07/22/2013	165.00	127.00	38.00
9. INVESCO LTD SHS	12/14/2012	07/22/2013	297.00	229.00	68.00
2. INVESCO LTD SHS	12/14/2012	07/22/2013	65.00	51.00	14.00
6. INVESCO LTD SHS	12/14/2012	07/22/2013	196.00	153.00	43.00
43. PENTAIR LTD	02/08/2013	07/22/2013	2,596.00	2,192.00	404.00
59. ASML HOLDING N.V.	10/26/2012	07/22/2013	5,230.00	4,207.00	1,023.00
272. AVAGO TECHNOLOGIES LT	VAR	07/22/2013	10,269.00	10,348.00	-79.00
3. WALTER INDS INC	09/07/2012	07/24/2013	36.00	104.00	-68.00
17. WALTER INDS INC	09/07/2012	07/25/2013	197.00	587.00	-390.00
86. LINKEDIN CORP - A	VAR	07/29/2013	17,430.00	15,120.00	2,310.00
34. JOHNSON CTLS INC	05/20/2013	07/30/2013	1,400.00	1,282.00	118.00
9. JOHNSON CTLS INC	05/20/2013	07/30/2013	371.00	339.00	32.00
5. JOHNSON CTLS INC	05/20/2013	07/30/2013	203.00	189.00	14.00
6. JOHNSON CTLS INC	05/20/2013	07/30/2013	243.00	226.00	17.00
23. JOHNSON CTLS INC	05/20/2013	07/30/2013	934.00	867.00	67.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 52. JOHNSON CTLS INC	05/20/2013	07/31/2013	2,103.00	1,961.00	142.00
5. JOHNSON CTLS INC	05/20/2013	07/31/2013	202.00	189.00	13.00
10. JOHNSON CTLS INC	05/20/2013	07/31/2013	403.00	377.00	26.00
3. JOHNSON CTLS INC	05/20/2013	07/31/2013	121.00	113.00	8.00
4. NISOURCE INC	04/05/2013	07/31/2013	124.00	119.00	5.00
8. ONYX PHARMACEUTICALS IN	03/28/2013	07/31/2013	1,059.00	714.00	345.00
5. ONYX PHARMACEUTICALS IN	03/28/2013	07/31/2013	660.00	446.00	214.00
46. ONYX PHARMACEUTICALS I	VAR	07/31/2013	6,065.00	4,045.00	2,020.00
1. ONYX PHARMACEUTICALS IN	04/04/2013	07/31/2013	132.00	87.00	45.00
4. ONYX PHARMACEUTICALS IN	04/04/2013	07/31/2013	527.00	346.00	181.00
11500. VANGUARD EMERGING M	02/15/2013	07/31/2013	450,047.00	511,275.00	-61,228.00
35. WALTER INDS INC	09/07/2012	07/31/2013	406.00	1,208.00	-802.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 376,350.00

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE	51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 320000. BARC MKT PLUS COMM 8/9/12	08/02/2011	08/09/2012	282,970.00	320,000.00	-37,030.00
2. EXXON MOBIL CORP	06/19/2006	09/07/2012	180.00	116.00	64.00
224. EXXON MOBIL CORP	06/19/2006	09/13/2012	20,447.00	12,994.00	7,453.00
9. NIKE INC	10/08/2008	09/18/2012	879.00	506.00	373.00
9. NIKE INC	10/08/2008	09/18/2012	875.00	506.00	369.00
123. NIKE INC	10/08/2008	09/18/2012	12,021.00	6,911.00	5,110.00
88. APPLE COMPUTER INC	VAR	09/19/2012	61,714.00	17,163.00	44,551.00
59. NIKE INC	10/08/2008	09/19/2012	5,893.00	3,315.00	2,578.00
47. NIKE INC	10/08/2008	09/19/2012	4,655.00	2,641.00	2,014.00
64. NIKE INC	10/08/2008	09/20/2012	6,219.00	3,596.00	2,623.00
14. NIKE INC	10/08/2008	09/20/2012	1,371.00	787.00	584.00
4. NIKE INC	10/08/2008	09/21/2012	386.00	225.00	161.00
21. NIKE INC	10/08/2008	09/25/2012	2,017.00	1,180.00	837.00
7. NIKE INC	10/08/2008	09/26/2012	671.00	393.00	278.00
6. NIKE INC	10/08/2008	09/27/2012	577.00	337.00	240.00
315000. GS MARKET PLUS SPX	03/25/2011	09/28/2012	345,639.00	311,063:00	34,576.00
12. ADT CORPORATION	11/10/2010	10/04/2012	462.00	304.00	158.00
17. ADT CORPORATION	11/10/2010	10/05/2012	656.00	900.00	-244.00
200. ADT CORPORATION	VAR	10/05/2012	7,548.00	5,066.00	2,482.00
218. ADT CORPORATION	VAR	10/10/2012	8,171.00	5,201.00	2,970.00
. PENTAIR LTD		10/11/2012	41.00		41.00
188. KRAFT FOODS GROUP INC	VAR	10/15/2012	8,868.00	6,873.00	1,995.00
54. KRAFT FOODS GROUP INC	VAR	10/15/2012	2,546.00	1,970.00	576.00
15. KRAFT FOODS GROUP INC	09/09/2011	10/15/2012	706.00	545.00	161.00
128. KRAFT FOODS GROUP INC	VAR	10/15/2012	6,062.00	4,620.00	1,442.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

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E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number

51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 346. KRAFT FOODS GROUP INC	VAR	10/16/2012	16,210.00	12,556.00	3,654.00
196. DU PONT E I DE NEMOUR	VAR	10/17/2012	9,752.00	7,190.00	2,562.00
149. DU PONT E I DE NEMOUR	06/09/2010	10/18/2012	7,482.00	5,445.00	2,037.00
146. DU PONT E I DE NEMOUR	VAR	10/18/2012	7,280.00	5,289.00	1,991.00
79. CENTERPOINT ENERGY INC	07/15/2011	10/19/2012	1,691.00	1,524.00	167.00
342. CENTERPOINT ENERGY IN	07/15/2011	10/19/2012	7,314.00	6,599.00	715.00
7. CENTERPOINT ENERGY INC	07/15/2011	10/22/2012	149.00	135.00	14.00
283. CENTERPOINT ENERGY IN	VAR	10/22/2012	6,008.00	5,283.00	725.00
912. CENTERPOINT ENERGY IN	VAR	10/22/2012	19,347.00	15,184.00	4,163.00
15. CENTERPOINT ENERGY INC	VAR	10/22/2012	319.00	241.00	78.00
20. CENTERPOINT ENERGY INC	03/10/2011	10/22/2012	424.00	322.00	102.00
. KRAFT FOODS GROUP INC		10/22/2012	31.00		31.00
300000. DB CONT BUFF EQ SP	10/07/2011	10/24/2012	360,000.00	297,000.00	63,000.00
300000. GS BREN EAFE 10/2	10/07/2011	10/24/2012	343,491.00	297,000.00	46,491.00
942. LOWES COS INC	VAR	10/24/2012	30,585.00	21,729.00	8,856.00
140. LOWES COS INC	02/19/2010	10/24/2012	4,516.00	3,227.00	1,289.00
88. LOWES COS INC	02/19/2010	10/25/2012	2,801.00	2,029.00	772.00
101. LOWES COS INC	02/19/2010	10/25/2012	3,267.00	2,328.00	939.00
180. LAUDER ESTEE COS INC	09/19/2011	11/05/2012	10,693.00	9,070.00	1,623.00
8. COVIDIEN PLC NEW	07/25/2011	11/05/2012	444.00	401.00	43.00
9. COVIDIEN PLC NEW	07/25/2011	11/06/2012	503.00	452.00	51.00
111. CENTERPOINT ENERGY IN	03/10/2011	11/07/2012	2,306.00	1,784.00	522.00
225. CENTERPOINT ENERGY IN	03/10/2011	11/07/2012	4,700.00	3,617.00	1,083.00
300000. DB BREN SPX 11/07/	10/21/2011	11/07/2012	352,500.00	297,000.00	55,500.00
29. CENTERPOINT ENERGY INC	03/10/2011	11/08/2012	600.00	466.00	134.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

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E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number 51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 884. CENTERPOINT ENERGY IN	VAR	11/08/2012	18,312.00	14,194.00	4,118.00
152. CENTERPOINT ENERGY IN	03/14/2011	11/08/2012	3,111.00	2,412.00	699.00
265. CENTERPOINT ENERGY IN	03/14/2011	11/08/2012	5,504.00	4,206.00	1,298.00
11. GOLDMAN SACHS GROUP IN	10/14/2008	11/08/2012	1,278.00	1,351.00	-73.00
8. GOLDMAN SACHS GROUP INC	10/14/2008	11/08/2012	929.00	982.00	-53.00
2. GOLDMAN SACHS GROUP INC	10/14/2008	11/08/2012	233.00	246.00	-13.00
17. GOLDMAN SACHS GROUP IN	10/14/2008	11/08/2012	1,974.00	2,087.00	-113.00
7. GOLDMAN SACHS GROUP INC	10/14/2008	11/08/2012	810.00	859.00	-49.00
13. GOLDMAN SACHS GROUP IN	10/14/2008	11/09/2012	1,496.00	1,596.00	-100.00
27. GOLDMAN SACHS GROUP IN	VAR	11/09/2012	3,134.00	3,194.00	-60.00
300000. GS BREN EEM 11/07/	10/21/2011	11/09/2012	343,830.00	297,000.00	46,830.00
1000. JOHNSON CTLS INC	VAR	11/28/2012	27,446.00	28,435.00	-989.00
277. DU PONT E I DE NEMOUR	05/21/2010	12/03/2012	11,864.00	9,919.00	1,945.00
235. DU PONT E I DE NEMOUR	VAR	12/03/2012	10,037.00	8,413.00	1,624.00
384. DU PONT E I DE NEMOUR	06/01/2010	12/03/2012	16,340.00	13,741.00	2,599.00
24. DU PONT E I DE NEMOURS	06/01/2010	12/04/2012	1,015.00	859.00	156.00
216. DU PONT E I DE NEMOUR	06/01/2010	12/04/2012	9,186.00	7,729.00	1,457.00
. BANK OF AMERICA CORPORAT		12/05/2012	98.00		98.00
230. FREEPORT-MCMORAN COPP B	11/08/2011	12/05/2012	7,544.00	9,578.00	-2,034.00
. ASML HOLDING N.V.		12/10/2012	51.00		51.00
44458.647 HIGHBRIDGE DYNAM COMMODITIES	VAR	12/13/2012	639,760.00	900,000.00	-260,240.00
44322.855 JPMORGAN LARGE C FUND	VAR	12/13/2012	1,058,430.00	930,000.00	128,430.00
18. INTERCONTINENTAL EXCHA	VAR	12/14/2012	2,306.00	2,021.00	285.00
51. INTERCONTINENTAL EXCHA	08/10/2011	12/17/2012	6,521.00	5,687.00	834.00
44. INTERCONTINENTAL EXCHA	08/10/2011	12/17/2012	5,618.00	4,907.00	711.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number 51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 49. INTERCONTINENTAL EXCHA	08/10/2011	12/18/2012	6,273.00	5,464.00	809.00
536. LENNAR CORP	VAR	01/10/2013	21,966.00	8,788.00	13,178.00
140. LENNAR CORP	10/20/2011	01/10/2013	5,730.00	2,251.00	3,479.00
247. PROCTER & GAMBLE CO	06/19/2006	01/15/2013	17,235.00	13,671.00	3,564.00
7. GOLDMAN SACHS GROUP INC	09/18/2008	01/16/2013	984.00	775.00	209.00
47. GOLDMAN SACHS GROUP IN	09/18/2008	01/16/2013	6,564.00	5,201.00	1,363.00
2. GOLDMAN SACHS GROUP INC	09/18/2008	01/16/2013	282.00	221.00	61.00
53. GOLDMAN SACHS GROUP IN	VAR	01/16/2013	7,473.00	5,597.00	1,876.00
48. GOLDMAN SACHS GROUP IN	10/17/2011	01/16/2013	6,765.00	4,635.00	2,130.00
13. GOLDMAN SACHS GROUP IN	10/17/2011	01/16/2013	1,815.00	1,255.00	560.00
28. GOLDMAN SACHS GROUP IN	10/17/2011	01/16/2013	3,899.00	2,704.00	1,195.00
500. JOHNSON CTLS INC	06/19/2006	01/18/2013	15,367.00	13,302.00	2,065.00
83. JOHNSON CTLS INC	06/19/2006	01/18/2013	2,552.00	2,208.00	344.00
102. MERCK & CO INC	09/14/2009	02/05/2013	4,186.00	3,340.00	846.00
143. MERCK & CO INC	09/14/2009	02/05/2013	5,905.00	4,682.00	1,223.00
86. MERCK & CO INC	09/14/2009	02/05/2013	3,556.00	2,816.00	740.00
53. PFIZER INC	09/14/2009	02/05/2013	1,455.00	865.00	590.00
364. PFIZER INC	09/14/2009	02/05/2013	9,988.00	5,944.00	4,044.00
64. PFIZER INC	VAR	02/05/2013	1,756.00	1,026.00	730.00
90. MERCK & CO INC	09/14/2009	02/06/2013	3,694.00	2,947.00	747.00
80. MERCK & CO INC	09/14/2009	02/06/2013	3,277.00	2,619.00	658.00
314. PFIZER INC	02/18/2009	02/06/2013	8,588.00	4,470.00	4,118.00
55. PFIZER INC	02/18/2009	02/06/2013	1,502.00	783.00	719.00
345. JOHNSON CTLS INC	06/19/2006	02/07/2013	10,567.00	9,178.00	1,389.00
83. MERCK & CO INC	09/14/2009	02/07/2013	3,401.00	2,718.00	683.00

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E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number 51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	13. PFIZER INC	02/18/2009	02/07/2013	352.00	185.00	167.00
	89. PFIZER INC	02/18/2009	02/07/2013	2,400.00	1,267.00	1,133.00
	114. JOHNSON CTLS INC	06/19/2006	02/08/2013	3,531.00	3,033.00	498.00
	252. MERCK & CO INC	09/14/2009	02/08/2013	10,341.00	8,251.00	2,090.00
	12. NETAPP INC	09/20/2011	02/08/2013	432.00	428.00	4.00
	147. NETAPP INC	09/20/2011	02/08/2013	5,230.00	5,239.00	-9.00
	40. PFIZER INC	02/18/2009	02/08/2013	1,071.00	569.00	502.00
	58. PFIZER INC	02/18/2009	02/08/2013	1,554.00	826.00	728.00
	92. PFIZER INC	02/18/2009	02/08/2013	2,471.00	1,310.00	1,161.00
	133. PFIZER INC	02/18/2009	02/08/2013	3,572.00	1,893.00	1,679.00
	6. PFIZER INC	02/18/2009	02/08/2013	162.00	85.00	77.00
	9. PFIZER INC	02/18/2009	02/08/2013	242.00	128.00	114.00
	361. NETAPP INC	09/20/2011	02/11/2013	12,814.00	12,866.00	-52.00
	104. PFIZER INC	02/18/2009	02/11/2013	2,816.00	1,481.00	1,335.00
	151. PFIZER INC	02/18/2009	02/11/2013	4,088.00	2,150.00	1,938.00
	17. PFIZER INC	02/18/2009	02/11/2013	462.00	242.00	220.00
	25. PFIZER INC	02/18/2009	02/11/2013	679.00	356.00	323.00
	14. PFIZER INC	02/18/2009	02/11/2013	380.00	199.00	181.00
	21. PFIZER INC	02/18/2009	02/11/2013	570.00	299.00	271.00
	10. PFIZER INC	02/18/2009	02/11/2013	271.00	142.00	129.00
	14. PFIZER INC	02/18/2009	02/11/2013	380.00	199.00	181.00
	77. PFIZER INC	02/18/2009	02/12/2013	2,084.00	1,096.00	988.00
	111. PFIZER INC	02/18/2009	02/12/2013	3,004.00	1,580.00	1,424.00
	9. PFIZER INC	02/18/2009	02/12/2013	243.00	128.00	115.00
	13. PFIZER INC	02/18/2009	02/12/2013	352.00	185.00	167.00

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Employer identification number 51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 19. PFIZER INC	02/18/2009	02/12/2013	515.00	270.00	245.00
27. PFIZER INC	02/18/2009	02/12/2013	732.00	384.00	348.00
42. PFIZER INC	02/18/2009	02/12/2013	1,134.00	598.00	536.00
61. PFIZER INC	02/18/2009	02/12/2013	1,646.00	868.00	778.00
14. PFIZER INC	02/18/2009	02/12/2013	379.00	199.00	180.00
20. PFIZER INC	02/18/2009	02/12/2013	541.00	285.00	256.00
30. GENERAL MLS INC	01/10/2011	02/13/2013	1,288.00	1,077.00	211.00
43. PFIZER INC	02/18/2009	02/13/2013	1,161.00	612.00	549.00
65. PFIZER INC	02/18/2009	02/13/2013	1,754.00	925.00	829.00
109. PFIZER INC	02/18/2009	02/13/2013	2,931.00	1,552.00	1,379.00
157. PFIZER INC	02/18/2009	02/13/2013	4,222.00	2,235.00	1,987.00
. AXIALL CORPORATION		02/14/2013	12.00		12.00
180. PIONEER NAT RES CO	VAR	02/26/2013	21,832.00	14,453.00	7,379.00
4. PIONEER NAT RES CO	08/10/2011	02/26/2013	490.00	298.00	192.00
38. PIONEER NAT RES CO	08/10/2011	02/26/2013	4,645.00	2,828.00	1,817.00
24. PIONEER NAT RES CO	08/10/2011	02/26/2013	2,964.00	1,786.00	1,178.00
44. PIONEER NAT RES CO	08/10/2011	02/26/2013	5,438.00	3,274.00	2,164.00
719. FREEPORT-MCMORAN COPP B	02/09/2009	03/01/2013	22,562.00	10,736.00	11,826.00
59. PRUDENTIAL FINL INC	12/11/2009	03/04/2013	3,257.00	2,871.00	386.00
7. PRUDENTIAL FINL INC	12/11/2009	03/04/2013	384.00	341.00	43.00
31. PRUDENTIAL FINL INC	12/11/2009	03/04/2013	1,714.00	1,509.00	205.00
29. PRUDENTIAL FINL INC	12/11/2009	03/04/2013	1,587.00	1,411.00	176.00
39. PRUDENTIAL FINL INC	12/11/2009	03/05/2013	2,222.00	1,898.00	324.00
17. PRUDENTIAL FINL INC	12/11/2009	03/05/2013	957.00	827.00	130.00
36. PRUDENTIAL FINL INC	12/11/2009	03/05/2013	2,058.00	1,752.00	306.00

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Employer identification number: 51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 21. PRUDENTIAL FINL INC	12/11/2009	03/05/2013	1,197.00	1,022.00	175.00
22. PRUDENTIAL FINL INC	12/11/2009	03/05/2013	1,259.00	1,071.00	188.00
5. PRUDENTIAL FINL INC	12/11/2009	03/05/2013	284.00	243.00	41.00
11. PRUDENTIAL FINL INC	12/11/2009	03/06/2013	630.00	535.00	95.00
11. PRUDENTIAL FINL INC	12/11/2009	03/06/2013	627.00	535.00	92.00
5. PRUDENTIAL FINL INC	12/11/2009	03/06/2013	285.00	243.00	42.00
7. PRUDENTIAL FINL INC	12/11/2009	03/06/2013	398.00	341.00	57.00
70. QUALCOMM INC	09/29/2011	03/07/2013	4,659.00	3,559.00	1,100.00
101. QUALCOMM INC	VAR	03/08/2013	6,755.00	4,434.00	2,321.00
1245. PFIZER INC	02/18/2009	03/12/2013	34,966.00	17,724.00	17,242.00
161. PFIZER INC	VAR	03/13/2013	4,511.00	2,290.00	2,221.00
259. PFIZER INC	02/18/2009	03/13/2013	7,251.00	3,681.00	3,570.00
84. PFIZER INC	02/18/2009	03/13/2013	2,356.00	1,194.00	1,162.00
68. WELLS FARGO & CO NEW	06/13/2011	03/13/2013	2,487.00	1,819.00	668.00
64. WELLS FARGO & CO NEW	06/13/2011	03/13/2013	2,352.00	1,712.00	640.00
244. JUNIPER NETWORKS INC	VAR	03/14/2013	4,982.00	4,179.00	803.00
151. JUNIPER NETWORKS INC	03/23/2009	03/14/2013	3,088.00	2,450.00	638.00
285. JUNIPER NETWORKS INC	03/23/2009	03/14/2013	5,839.00	4,625.00	1,214.00
332. PFIZER INC	VAR	03/14/2013	9,308.00	4,710.00	4,598.00
44. PFIZER INC	02/20/2009	03/14/2013	1,230.00	608.00	622.00
66. PFIZER INC	02/20/2009	03/14/2013	1,839.00	912.00	927.00
268. JUNIPER NETWORKS INC	VAR	03/15/2013	5,448.00	4,294.00	1,154.00
849. JUNIPER NETWORKS INC	04/01/2009	03/15/2013	17,141.00	13,472.00	3,669.00
471. MICROSOFT CORP	06/19/2006	03/15/2013	13,202.00	10,532.00	2,670.00
123. PFIZER INC	02/20/2009	03/15/2013	3,436.00	1,700.00	1,736.00

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Employer identification number: 51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 136. PFIZER INC	02/20/2009	03/15/2013	3,782.00	1,880.00	1,902.00
49. CME GROUP INC	02/14/2012	03/18/2013	3,069.00	2,838.00	231.00
98. CME GROUP INC	02/14/2012	03/18/2013	6,150.00	5,676.00	474.00
26. CME GROUP INC	02/14/2012	03/18/2013	1,625.00	1,506.00	119.00
180. PFIZER INC	02/20/2009	03/18/2013	5,053.00	2,488.00	2,565.00
36. CME GROUP INC	02/14/2012	03/19/2013	2,240.00	2,085.00	155.00
105. CME GROUP INC	VAR	03/19/2013	6,452.00	6,082.00	370.00
126. PFIZER INC	02/20/2009	03/19/2013	3,521.00	1,741.00	1,780.00
130. PFIZER INC	VAR	03/19/2013	3,644.00	1,748.00	1,896.00
1. CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00	4.00
54. CME GROUP INC	02/14/2012	03/20/2013	3,330.00	3,128.00	202.00
10. CME GROUP INC	02/14/2012	03/20/2013	620.00	579.00	41.00
8. CME GROUP INC	02/14/2012	03/20/2013	497.00	463.00	34.00
60. CME GROUP INC	02/14/2012	03/20/2013	3,711.00	3,475.00	236.00
3. CME GROUP INC	02/14/2012	03/20/2013	186.00	174.00	12.00
234. PFIZER INC	03/03/2009	03/20/2013	6,598.00	2,815.00	3,783.00
168. PFIZER INC	03/03/2009	03/20/2013	4,745.00	2,021.00	2,724.00
333. PFIZER INC	03/03/2009	03/21/2013	9,377.00	4,006.00	5,371.00
169. PFIZER INC	03/03/2009	03/22/2013	4,772.00	2,033.00	2,739.00
77. PFIZER INC	03/03/2009	03/22/2013	2,178.00	926.00	1,252.00
92. PFIZER INC	03/03/2009	03/22/2013	2,604.00	1,107.00	1,497.00
1. VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	55.00	31.00	24.00
9. VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	496.00	282.00	214.00
50. VERTEX PHARMACEUTICALS	11/28/2011	03/28/2013	2,748.00	1,364.00	1,384.00
9. VERTEX PHARMACEUTICALS	11/28/2011	03/28/2013	495.00	246.00	249.00

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 18. VERTEX PHARMACEUTICALS	11/28/2011	03/28/2013	987.00	491.00	496.00
11. VERTEX PHARMACEUTICALS	11/28/2011	04/01/2013	601.00	300.00	301.00
30. VERTEX PHARMACEUTICALS	11/28/2011	04/01/2013	1,614.00	818.00	796.00
19. VERTEX PHARMACEUTICALS	11/28/2011	04/01/2013	1,030.00	518.00	512.00
9. VERTEX PHARMACEUTICALS	11/28/2011	04/02/2013	484.00	246.00	238.00
25. VERTEX PHARMACEUTICALS	11/28/2011	04/02/2013	1,366.00	682.00	684.00
84. VERTEX PHARMACEUTICALS	VAR	04/03/2013	4,445.00	2,290.00	2,155.00
250. BAIDU.COM-ADR	09/21/2010	04/04/2013	21,287.00	23,012.00	-1,725.00
33. VERTEX PHARMACEUTICALS	11/28/2011	04/04/2013	1,739.00	900.00	839.00
1. VERTEX PHARMACEUTICALS	11/28/2011	04/04/2013	53.00	27.00	26.00
6. CARDINAL HEALTH INC	07/01/2010	04/08/2013	256.00	201.00	55.00
111. CARDINAL HEALTH INC	VAR	04/08/2013	4,721.00	3,705.00	1,016.00
1. CARDINAL HEALTH INC	08/09/2010	04/08/2013	42.00	33.00	9.00
17. CARDINAL HEALTH INC	08/09/2010	04/08/2013	721.00	564.00	157.00
426. CARDINAL HEALTH INC	VAR	04/08/2013	18,115.00	14,110.00	4,005.00
374. PRUDENTIAL FINL INC	VAR	04/08/2013	20,765.00	12,307.00	8,458.00
47. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	2,604.00	1,053.00	1,551.00
37. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	2,050.00	829.00	1,221.00
298. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	16,513.00	6,674.00	9,839.00
92. COVIDIEN PLC NEW	VAR	04/08/2013	6,160.00	4,598.00	1,562.00
44. PRUDENTIAL FINL INC	04/02/2009	04/09/2013	2,460.00	985.00	1,475.00
27. COVIDIEN PLC NEW	08/16/2011	04/09/2013	1,820.00	1,346.00	474.00
7. COVIDIEN PLC NEW	08/16/2011	04/10/2013	475.00	349.00	126.00
35. COVIDIEN PLC NEW	08/16/2011	04/10/2013	2,381.00	1,745.00	636.00
9. COVIDIEN PLC NEW	08/16/2011	04/11/2013	617.00	449.00	168.00

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6a 17. COVIDIEN PLC NEW	08/16/2011	04/11/2013	1,161.00	848.00	313.00
22. COVIDIEN PLC NEW	08/16/2011	04/11/2013	1,508.00	1,097.00	411.00
9. COVIDIEN PLC NEW	VAR	04/11/2013	616.00	348.00	268.00
33. COVIDIEN PLC NEW	11/18/2008	04/12/2013	2,238.00	1,230.00	1,008.00
150. BIOGEN IDEC INC	VAR	04/15/2013	30,048.00	11,931.00	18,117.00
6. COVIDIEN PLC NEW	11/18/2008	04/15/2013	398.00	224.00	174.00
246. COGNIZANT TECHNOLOGY A	VAR	04/16/2013	18,044.00	13,100.00	4,944.00
7. COGNIZANT TECHNOLOGY SO	05/13/2010	04/16/2013	515.00	369.00	146.00
415. EMERSON ELEC CO	02/02/2012	04/16/2013	22,463.00	21,586.00	877.00
29. COVIDIEN PLC NEW	11/18/2008	04/16/2013	1,930.00	1,081.00	849.00
25. COVIDIEN PLC NEW	VAR	04/16/2013	1,665.00	932.00	733.00
12. COVIDIEN PLC NEW	12/17/2008	04/16/2013	797.00	441.00	356.00
323000. BARC PALLADIUM CBE	04/05/2012	04/17/2013	363,947.00	319,770.00	44,177.00
7. COVIDIEN PLC NEW	12/17/2008	04/17/2013	462.00	257.00	205.00
10. COVIDIEN PLC NEW	12/17/2008	04/17/2013	661.00	367.00	294.00
7. COVIDIEN PLC NEW	12/17/2008	04/17/2013	462.00	257.00	205.00
101. GOLDMAN SACHS GROUP I	VAR	04/18/2013	14,080.00	7,860.00	6,220.00
19. COVIDIEN PLC NEW	12/17/2008	04/18/2013	1,255.00	698.00	557.00
161. CME GROUP INC	02/02/2012	04/19/2013	9,471.00	8,267.00	1,204.00
311. GOLDMAN SACHS GROUP I	VAR	04/19/2013	43,103.00	23,174.00	19,929.00
71. COGNIZANT TECHNOLOGY S A	05/13/2010	04/25/2013	4,419.00	3,744.00	675.00
60. COGNIZANT TECHNOLOGY S A	05/13/2010	04/25/2013	3,681.00	3,164.00	517.00
4. COGNIZANT TECHNOLOGY SO	05/13/2010	04/25/2013	253.00	211.00	42.00
89. CISCO SYS INC	02/02/2012	04/26/2013	1,832.00	1,777.00	55.00
91. CISCO SYS INC	02/02/2012	04/26/2013	1,870.00	1,816.00	54.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number 51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 29. CISCO SYS INC	02/02/2012	04/26/2013	596.00	579.00	17.00
11. CISCO SYS INC	02/02/2012	04/26/2013	226.00	220.00	6.00
237. CISCO SYS INC	02/02/2012	04/26/2013	4,879.00	4,731.00	148.00
50. COGNIZANT TECHNOLOGY S A	VAR	04/26/2013	3,093.00	2,464.00	629.00
188. COGNIZANT TECHNOLOGY A	02/26/2010	04/26/2013	11,659.00	9,059.00	2,600.00
7. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	433.00	337.00	96.00
15. COGNIZANT TECHNOLOGY S A	02/26/2010	04/26/2013	929.00	723.00	206.00
29. COGNIZANT TECHNOLOGY S A	02/26/2010	04/26/2013	1,803.00	1,397.00	406.00
18. COGNIZANT TECHNOLOGY S A	02/26/2010	04/26/2013	1,114.00	867.00	247.00
11. COGNIZANT TECHNOLOGY S A	02/26/2010	04/26/2013	681.00	530.00	151.00
71605.48 RS INTERNATIONAL	VAR	04/26/2013	1,359,788.00	1,300,000.00	59,788.00
127. CISCO SYS INC	02/02/2012	04/29/2013	2,644.00	2,535.00	109.00
25. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	1,572.00	1,205.00	367.00
3. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	189.00	145.00	44.00
20. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	1,251.00	964.00	287.00
30. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	1,880.00	1,446.00	434.00
5. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	313.00	241.00	72.00
2. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	125.00	96.00	29.00
16. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	1,006.00	771.00	235.00
37. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	2,317.00	1,783.00	534.00
33. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	2,075.00	1,590.00	485.00
17. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	1,064.00	819.00	245.00
6. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	376.00	289.00	87.00
47. MERCK & CO INC	09/14/2009	05/01/2013	2,132.00	1,539.00	593.00
78. MERCK & CO INC	09/14/2009	05/01/2013	3,560.00	2,554.00	1,006.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number 51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 109. MERCK & CO INC	09/14/2009	05/01/2013	4,909.00	3,569.00	1,340.00
104. MERCK & CO INC	09/14/2009	05/01/2013	4,782.00	3,405.00	1,377.00
15. MERCK & CO INC	09/14/2009	05/01/2013	691.00	491.00	200.00
20. MERCK & CO INC	09/14/2009	05/01/2013	914.00	655.00	259.00
40. MERCK & CO INC	09/14/2009	05/01/2013	1,826.00	1,310.00	516.00
24. MERCK & CO INC	09/14/2009	05/01/2013	1,082.00	786.00	296.00
14. MERCK & CO INC	09/14/2009	05/01/2013	643.00	458.00	185.00
22. MERCK & CO INC	09/14/2009	05/01/2013	1,002.00	720.00	282.00
37. MERCK & CO INC	09/14/2009	05/01/2013	1,669.00	1,211.00	458.00
14. MERCK & CO INC	09/14/2009	05/01/2013	639.00	458.00	181.00
. CARDINAL HEALTH INC		05/02/2013	17.00		17.00
34. BIOGEN IDEC INC	03/19/2010	05/07/2013	7,267.00	2,039.00	5,228.00
8. BIOGEN IDEC INC	03/19/2010	05/07/2013	1,700.00	480.00	1,220.00
11. BIOGEN IDEC INC	03/19/2010	05/07/2013	2,364.00	660.00	1,704.00
288. CELGENE CORP.	VAR	05/07/2013	35,307.00	15,750.00	19,557.00
248. CISCO SYS INC	02/02/2012	05/07/2013	5,041.00	4,950.00	91.00
643. CISCO SYS INC	02/02/2012	05/08/2013	13,241.00	12,835.00	406.00
416. CISCO SYS INC	02/02/2012	05/08/2013	8,608.00	8,304.00	304.00
82. CME GROUP INC	02/02/2012	05/09/2013	5,012.00	4,211.00	801.00
243. CME GROUP INC	02/02/2012	05/09/2013	14,785.00	12,478.00	2,307.00
45. MICROSOFT CORP	06/19/2006	05/09/2013	1,473.00	1,006.00	467.00
83. MICROSOFT CORP	06/19/2006	05/09/2013	2,721.00	1,856.00	865.00
14. CME GROUP INC	02/02/2012	05/10/2013	862.00	719.00	143.00
29. MICROSOFT CORP	06/19/2006	05/10/2013	947.00	648.00	299.00
242. MICROSOFT CORP	06/19/2006	05/10/2013	7,874.00	5,411.00	2,463.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE	51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 510. MERCK & CO INC	09/14/2009	05/13/2013	23,531.00	16,699.00	6,832.00
486. MICROSOFT CORP	06/19/2006	05/13/2013	15,935.00	10,867.00	5,068.00
9. QUALCOMM INC	03/04/2008	05/14/2013	587.00	369.00	218.00
9. QUALCOMM INC	03/04/2008	05/15/2013	589.00	369.00	220.00
4. QUALCOMM INC	03/04/2008	05/16/2013	263.00	164.00	99.00
10. QUALCOMM INC	03/04/2008	05/16/2013	659.00	410.00	249.00
6. QUALCOMM INC	03/04/2008	05/16/2013	394.00	246.00	148.00
90. EOG RESOURCES INC	04/24/2012	05/17/2013	12,129.00	9,392.00	2,737.00
19. QUALCOMM INC	03/04/2008	05/17/2013	1,250.00	778.00	472.00
53. BIOGEN IDEC INC	03/19/2010	05/20/2013	11,940.00	3,179.00	8,761.00
181. EXXON MOBIL CORP	06/19/2006	05/20/2013	16,734.00	10,500.00	6,234.00
18. QUALCOMM INC	03/04/2008	05/20/2013	1,194.00	737.00	457.00
9. QUALCOMM INC	03/04/2008	05/21/2013	593.00	369.00	224.00
60. AMAZON COM INC	VAR	05/22/2013	15,980.00	7,611.00	8,369.00
34. AMAZON COM INC	08/13/2010	05/22/2013	9,014.00	4,284.00	4,730.00
53. AMAZON COM INC	08/13/2010	05/22/2013	14,079.00	6,678.00	7,401.00
14. QUALCOMM INC	03/04/2008	05/22/2013	913.00	574.00	339.00
131. QUALCOMM INC	03/04/2008	05/24/2013	8,405.00	5,367.00	3,038.00
128. EXXON MOBIL CORP	06/19/2006	05/31/2013	11,742.00	7,425.00	4,317.00
619. MICROSOFT CORP	06/19/2006	06/06/2013	21,515.00	13,841.00	7,674.00
2950. ISHARES TR S & P MID INDEX FD	01/25/2012	06/18/2013	348,641.00	274,267.00	74,374.00
75901.328 JPMORGAN TOTAL R SELECT	06/16/2011	06/28/2013	765,085.00	800,000.00	-34,915.00
. TYCO INTL LTD NEW		07/02/2013	114.00		114.00
20. PHILIP MORRIS INTERNAT	06/21/2012	07/15/2013	1,795.00	1,715.00	80.00
12. TIME WARNER INC	01/28/2010	07/16/2013	734.00	325.00	409.00
6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b					

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side: E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number: 51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	53. AMAZON COM INC	08/13/2010	07/18/2013	16,125.00	6,678.00	9,447.00
	1. WALTER INDS INC	02/02/2012	07/19/2013	14.00	72.00	-58.00
	53. ALLERGAN INC	01/19/2012	07/22/2013	4,820.00	4,611.00	209.00
	21. AMAZON COM INC	VAR	07/22/2013	6,376.00	2,390.00	3,986.00
	85. ANADARKO PETE CORP	VAR	07/22/2013	7,743.00	6,498.00	1,245.00
	64. APPLE COMPUTER INC	06/19/2006	07/22/2013	27,296.00	3,686.00	23,610.00
	38. BIOGEN IDEC INC	03/19/2010	07/22/2013	8,777.00	2,279.00	6,498.00
	90. CARDINAL HEALTH INC	08/09/2010	07/22/2013	4,538.00	2,978.00	1,560.00
	79. CAREFUSION CORPORATION	VAR	07/22/2013	3,064.00	2,045.00	1,019.00
	72. CELGENE CORP.	10/22/2008	07/22/2013	9,929.00	3,814.00	6,115.00
	31. COSTCO WHSL CORP NEW	06/15/2012	07/22/2013	3,654.00	2,824.00	830.00
	28. EOG RESOURCES INC	VAR	07/22/2013	4,176.00	2,408.00	1,768.00
	102. EMERSON ELEC CO	02/02/2012	07/22/2013	6,149.00	5,306.00	843.00
	114. EXXON MOBIL CORP	06/19/2006	07/22/2013	10,808.00	6,613.00	4,195.00
	105. GENERAL MLS INC	01/10/2011	07/22/2013	5,405.00	3,770.00	1,635.00
	117. HOME DEPOT INC	03/20/2012	07/22/2013	9,355.00	5,782.00	3,573.00
	76. LENNAR CORP	VAR	07/22/2013	2,635.00	1,104.00	1,531.00
	306. LOWES COS INC	VAR	07/22/2013	13,660.00	7,052.00	6,608.00
	108. MERCK & CO INC	09/14/2009	07/22/2013	5,162.00	3,536.00	1,626.00
	191. METLIFE INC	02/02/2012	07/22/2013	9,394.00	6,914.00	2,480.00
	458. MICROSOFT CORP	06/19/2006	07/22/2013	14,582.00	10,241.00	4,341.00
	50. OCCIDENTAL PETE CORP	04/24/2012	07/22/2013	4,589.00	4,408.00	181.00
	306. ORACLE CORP	VAR	07/22/2013	9,734.00	8,499.00	1,235.00
	79. PHILIP MORRIS INTERNAT	06/21/2012	07/22/2013	7,053.00	6,773.00	280.00
	123. PROCTER & GAMBLE CO	06/19/2006	07/22/2013	9,969.00	6,808.00	3,161.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side	Employer identification number
E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE	51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 99. QUALCOMM INC	03/04/2008	07/22/2013	6,143.00	4,056.00	2,087.00
128. SCHLUMBERGER LTD	02/02/2012	07/22/2013	10,756.00	9,847.00	909.00
307. TIME WARNER INC	VAR	07/22/2013	19,089.00	6,408.00	12,681.00
56. UNITED TECHNOLOGIES CO	12/05/2011	07/22/2013	5,725.00	4,344.00	1,381.00
261. VERIZON COMMUNICATION	06/19/2006	07/22/2013	13,090.00	7,617.00	5,473.00
36. VERTEX PHARMACEUTICALS	VAR	07/22/2013	3,177.00	981.00	2,196.00
29. WALTER INDS INC	02/02/2012	07/22/2013	395.00	2,096.00	-1,701.00
7. WALTER INDS INC	02/02/2012	07/22/2013	95.00	506.00	-411.00
26. WALTER INDS INC	02/02/2012	07/22/2013	348.00	1,879.00	-1,531.00
17. WALTER INDS INC	02/02/2012	07/22/2013	231.00	1,229.00	-998.00
3. WALTER INDS INC	02/02/2012	07/22/2013	40.00	217.00	-177.00
435. WELLS FARGO & CO NEW	06/13/2011	07/22/2013	19,381.00	11,636.00	7,745.00
120. YUM BRANDS INC	09/10/2009	07/22/2013	8,559.00	4,027.00	4,532.00
61. COVIDIEN PLC NEW	06/05/2009	07/22/2013	3,729.00	2,199.00	1,530.00
98. ENSCO PLC	VAR	07/22/2013	5,989.00	4,898.00	1,091.00
77. MALLINCKRODT PUB LTD C	VAR	07/22/2013	3,355.00	1,952.00	1,403.00
104. ACE LTD NEW	07/05/2012	07/22/2013	9,918.00	7,689.00	2,229.00
138. TYCO INTERNATIONAL LT	06/15/2012	07/22/2013	4,834.00	3,669.00	1,165.00
98. WALTER INDS INC	VAR	07/23/2013	1,398.00	7,030.00	-5,632.00
4. WALTER INDS INC	02/10/2012	07/23/2013	56.00	286.00	-230.00
12. WALTER INDS INC	02/10/2012	07/23/2013	168.00	859.00	-691.00
22. WALTER INDS INC	02/10/2012	07/23/2013	318.00	1,576.00	-1,258.00
62. WALTER INDS INC	02/10/2012	07/23/2013	888.00	4,440.00	-3,552.00
48. WALTER INDS INC	VAR	07/23/2013	666.00	3,430.00	-2,764.00
37. WALTER INDS INC	02/01/2012	07/23/2013	531.00	2,635.00	-2,104.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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E SCHWARTZ CHARITABLE FOUNDATION RODOLPHE

Employer identification number
51-6593287

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 12. WALTER INDS INC	02/01/2012	07/23/2013	173.00	855.00	-682.00
29. WALTER INDS INC	02/01/2012	07/24/2013	355.00	2,065.00	-1,710.00
103. WALTER INDS INC	02/01/2012	07/24/2013	1,239.00	7,335.00	-6,096.00
7100. VANGUARD EMERGING MA	01/25/2012	07/31/2013	277,855.00	296,629.00	-18,774.00
39806.32 VIRTUS EMERGING M	01/13/2011	07/31/2013	390,500.00	355,072.00	35,428.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b 845,635.00

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
NONDIVIDEND DISTRIBUTIONS		13,964.
	TOTALS	13,964.

STATEMENT 1

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	313.	313.
FEDERAL ESTIMATES - INCOME	59,800.	
FOREIGN TAXES ON QUALIFIED FOR	5,089.	5,089.
FOREIGN TAXES ON NONQUALIFIED	1,910.	1,910.
TOTALS	67,112.	7,312.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
ATTORNEY GENERAL FEE	750.	750.
TOTALS	750.	750.

RECIPIENT NAME:
CROSSROADS COMMUNITY SERVICES, INC.
ADDRESS:
.
NEW YORK, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
THE HILLTOWN COMMUNITY DEVELOPMENT
ADDRESS:
.
CHESTERFIELD, MA
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 75,000.

RECIPIENT NAME:
WHITEHEAD INSTITUTE
RESEARCH
ADDRESS:
.
CAMBRIDGE, MA
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 300,000.

RECIPIENT NAME:
COLD SPRING HARBOR LABORATORY
ADDRESS:
.
NEW YORK, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 200,000.

RECIPIENT NAME:
THE DOE FUND, INC.
ADDRESS:
.
NEW YORK, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
THE ROCKEFELLER UNIVERSITY
ADDRESS:
.
NEW YORK, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 250,000.

RECIPIENT NAME:
HOUSE RESEARCH INSTITUTE
ADDRESS:
.
LOS ANGELES, CA
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 150,000.

RECIPIENT NAME:
JOHNS HOPKINS MEDICINE
ADDRESS:
.
BALTIMORE, MD
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 140,000.

RECIPIENT NAME:
CENTER FOR HEARING AND COMMUNICATIO
ADDRESS:
.
NEW YORK, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 75,000.

RECIPIENT NAME:
NONTRADITIONAL EMPLOYMENT FOR WOMEN
ADDRESS:
.
NEW YORK, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 75,000.

RECIPIENT NAME:
WOMEN IN NEED INC
ADDRESS:
.
NEW YORK, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
PARAPROFESSIONAL HEALTHCARE INSTIT
ADDRESS:
.
Bronx, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
PER SCHOLAS, INC.
ADDRESS:
.
BRONX, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

TOTAL GRANTS PAID: 1,515,000.

JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

E SCHWARTZ CHARITABLE FOUNDATION ACCT P96157006
For the Period 7/1/13 to 7/31/13

Fiduciary Account

J.P. Morgan Team		
Jessica Marshall	Banker	212/464-0326
Mary Berkery	T & E Officer	212/464-2595
Eugenie Marion	T & E Administrator	212/464-1682
Karen Shapiro	Portfolio Manager	212/464-2825
Kathleen Kwiatkowski	Client Service Team	800/657-1137
Nicola Melkun	Client Service Team	
Online access	**www.jpmorganonline.com**	

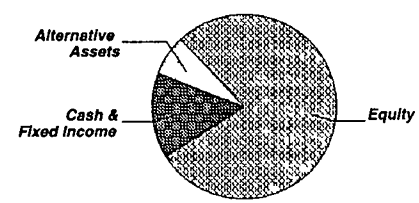
Please see disclosures located at the end of this statement package for important information relating to each J P Morgan account(s)

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	25,999,386 86	26,801,733 02	802,346 16	350,363 57	78%
Alternative Assets	2,220,085.77	2,273,342 76	53,256.99	44,791.78	7%
Cash & Fixed Income	5,054,201.20	5,081,879.67	27,678.47	119,703 48	15%
Market Value	**$33,273,673.83**	**$34,156,955.45**	**$883,281.62**	**$514,858.83**	**100%**

Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	31,674 66	27,705 68	(3,968 98)
Accruals	21,106 98	10,320 55	(10,786.43)
Market Value	**$52,781.64**	**$38,026.23**	**($14,755.41)**

Account Summary CONTINUED

Portfolio Activity	PRINCIPAL Current Period Value	PRINCIPAL Year-to-Date Value	INCOME Current Period Value	INCOME Year-to-Date Value
Beginning Market Value	**33,273,673.83**	**30,391,815.86**	**31,674.66**	**35,390.62**
Withdrawals & Fees	(525,000 00)	(1,240,003 59)	(50,000 00)	(582,045.89)
Securities Transferred In		22,360 68	--	--
Securities Transferred Out		(27,610 50)	--	--
Net Additions/Withdrawals	**($525,000.00)**	**($1,245,253.41)**	**($50,000.00)**	**($582,045.89)**
Income		5,531 30	46,031 02	574,360.95
Change In Investment Value	1,408,281 62	5,004,861 70		
Ending Market Value	**$34,156,955.45**	**$34,156,955.45**	**$27,705.68**	**$27,705.68**
Accruals	--	--	10,320.55	10,320 55
Market Value with Accruals	**--**	**--**	**$38,026.23**	**$38,026.23**

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	37,455.74	544,274.40
Foreign Dividends	1,163.76	17,208.69
Interest Income	14 95	408 62
Taxable Income	**$38,634.45**	**$561,891.71**
Tax-Exempt Income	7,396 57	18,000 54
Tax-Exempt Income	**$7,396.57**	**$18,000.54**

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions		72,531.25
ST Realized Gain/Loss	(96,609.96)	370,090 19
LT Realized Gain/Loss	120,059 11	848,789 16
Realized Gain/Loss	**$23,449.15**	**$1,291,410.60**

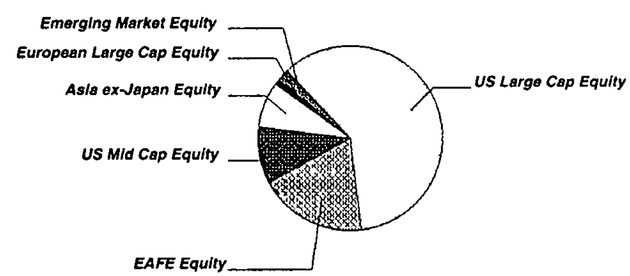
	To-Date Value
Unrealized Gain/Loss	**$5,870,883.11**

Cost Summary	Cost
Equity	20,884,019.88
Cash & Fixed Income	5,059,033 39
Total	**$25,943,053.27**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	15,657,463.94	15,835,828 58	178,364 64	47%
US Mid Cap Equity	2,688,543 50	2,858,988 90	170,445.40	8%
EAFE Equity	3,391,684 20	5,179,393 95	1,787,709.75	15%
European Large Cap Equity	365,626 96	382,109 46	16,482.50	1%
Asia ex-Japan Equity	2,177,264.07	2,204,561 23	27,297.16	6%
Emerging Market Equity	1,718,804 19	340,850 90	(1,377,953 29)	1%
Total Value	**$25,999,386.86**	**$26,801,733.02**	**$802,346.16**	**78%**

Asset Categories



Equity as a percentage of your portfolio - 78 %

Market Value/Cost	Current Period Value
Market Value	26,801,733 02
Tax Cost	20,884,019 88
Unrealized Gain/Loss	5,917,713.14
Estimated Annual Income	350,363.57
Accrued Dividends	7,113 42
Yield	1.30%

Note P indicates position adjusted for Pending Trade Activity

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div	Yield
US Large Cap Equity							
ACE LTD NEW H0023R-10-5 ACE	91.38	1,090 000	99,604.20	59,005.98	40,598 22	2,223 60 608.94	2 23%
ADOBE SYSTEMS INC 00724F-10-1 ADBE	47 28	957.000	45,246 96	33,260 61	11,986 35		
ALEXION PHARMACEUTICALS INC 015351-10-9 ALXN	116 19	230 000	26,723 70	22,229 89	4,493 81		
ALLERGAN INC 018490-10-2 AGN	91 12	417 000	37,997 04	35,135.98	2,861 06	83.40	0.22%
ALLIANCE DATA SYSTEMS CORP 018581-10-8 ADS	197 78	161 000	31,842 58	28,423 44	3,419.14		
AMAZON COM INC 023135-10-6 AMZN	301 22	219 000	65,967.18	18,259 47	47,707.71		
ANADARKO PETROLEUM CORP 032511-10-7 APC	88 52	755.000	66,832 60	54,071.76	12,760 84	271 80	0 41%
APACHE CORP 037411-10-5 APA	80 25	422 000	33,865 50	31,818 05	2,047.45	337.60 92.40	1.00%
APPLE INC. 037833-10-0 AAPL	452 53	589 000	266,540 17	33,920 51	232,619 66	7,185 80	2 70%
APPLIED MATERIALS INC 038222-10-5 AMAT	16 32	2,970 000	48,455 55	42,438 84	6,016 71	1,188 00	2 45%
ARCHER DANIELS MIDLAND CO 039483-10-2 ADM	36.47	1,382 000	50,401.54	44,911 16	5,490 38	1,050 32	2 08%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div	Yield
US Large Cap Equity							
ASML HOLDING N.V. N07059-21-0 ASML	89 95	676 000	60,806 20	45,289 24	15,516 96	396 81	0 65%
AUTOZONE INC 053332-10-2 AZO	448.58	118 000	52,932 44	36,533 24	16,399.20		
AVAGO TECHNOLOGIES LTD Y0486S-10-4 AVGO	36 68	3,081.000	113,011.08	106,563.24	6,447.84	2,588.04	2 29%
AXIALL CORPORATION 05463D-10-0 AXLL	44.08	881 000	38,834 48	50,217.13	(11,382 65)	281 92	0 73%
BANK OF AMERICA CORP 060505-10-4 BAC	14.60	9,948 000	145,240 80	86,416 16	58,824 64	397 92	0 27%
BIOGEN IDEC INC 09062X-10-3 BIIB	218 13	401 000	87,470 13	20,907 22	66,562 91		
BRISTOL MYERS SQUIBB CO 110122-10-8 BMY	43 24	2,407 000	104,078.68	95,333 43	8,745 25	3,369 80 / 920 50	3 24%
BROADCOM CORP CL A 111320-10-7 BRCM	27 57	1,391 000	38,349 87	46,955.13	(8,605 26)	612.04	1.60%
CAMERON INTERNATIONAL CORPORATION 13342B-10-5 CAM	59 30	670.000	39,731 00	36,236.59	3,494 41		
CAPITAL ONE FINANCIAL CORP 14040H-10-5 COF	69 02	931 000	64,257 62	50,154.30	14,103 32	1,117 20	1 74%
CARDINAL HEALTH INC 14149Y-10-8 CAH	50 09	929.000	46,533 61	20,671 98	25,861 63	1,124 09	2.42%
CAREFUSION CORPORATION 14170T-10-1 CFN	38.57	798 000	30,778.86	20,425 66	10,353 20		

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
US Large Cap Equity							
CBS CORP CLASS B W/I 124857-20-2 CBS	52 84	1,074 000	56,750 16	56,645 66	104 50	515 52	0 91 %
CELGENE CORPORATION 151020-10-4 CELG	146 86	615 000	90,318 90	27,588 91	62,729 99		
CERNER CORP 156782-10-4 CERN	49 00	904 000	44,296 00	36,039 34	8,256 66		
CHENIERE ENERGY INC 16411R-20-8 LNG	28.57	996 000	28,455 72	15,643 04	12,812 68		
CISCO SYSTEMS INC 17275R-10-2 CSCO	25.59	4,021 000	102,897 39	81,907 99	20,989 40	2,734 28	2.66 %
CITIGROUP INC NEW 172967-42-4 C	52.14	2,712.000	141,403.68	88,455.57	52,948 11	108 48	0 08 %
CITRIX SYSTEMS INC 177376-10-0 CTXS	72 02	512 000	36,874 24	30,274 42	6,599 82		
COMCAST CORP CL A 20030N-10-1 CMCS A	45 08	3,055 000	137,719 40	69,899 96	67,819 44	2,382 90	1 73 %
COSTCO WHOLESALE CORP 22160K-10-5 COST	117 42	272 000	31,938 24	24,776.21	7,162 03	337 28	1 06 %
COVIDIEN PLC G2554F-11-3 COV	61 63	683 000	42,093 29	22,702.70	19,390 59	710 32 177 58	1 69 %
CSX CORP 126408-10-3 CSX	24 81	2,417.000	59,965 77	52,084.13	7,881 64	1,450 20	2 42 %
CVS CAREMARK CORPORATION 126650-10-0 CVS	61 49	1,257 000	77,292 93	71,622 77	5,670 16	1,131 30 308 03	1 46 %

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
US Large Cap Equity							
DAVITA HEALTHCARE PARTNERS, INC 23918K-10-8 DVA	116 41	363 000	42,256 83	40,044 02	2,212 81		
DISH NETWORK CORP CL A 25470M-10-9 DISH	44 65	1,129 000	50,409 85	42,044 41	8,365 44		
DOW CHEMICAL CO 260543-10-3 DOW	35 04	2,331.000	81,678 24	72,438.74	9,239 50	2,983 68	3 65 %
P **DTE ENERGY CO** 233331-10-7 DTE	70.70	11 000	777 70	775 56	2 14	28 82	3 71 %
EBAY INC 278642-10-3 EBAY	51.69	1,196 000	61,821.24	67,054.18	(5,232 94)		
EDGEWOOD GROWTH FUND-INS 0075W0-75-9 EGFI X	16.18	81,114 794	1,312,437 37	930,000 00	382,437 37	2,108 98	0 16 %
EDISON INTERNATIONAL 281020-10-7 EIX	49 85	480 000	23,928.00	22,512.42	1,415 58	648 00	2 71 %
EMERSON ELECTRIC CO 291011-10-4 EMR	61 37	1,117 000	68,550 29	55,333 81	13,216 48	1,831 88	2 67 %
ENSCO PLC G3157S-10-6 ESV	57 34	872 000	50,000.48	42,157 17	7,843 31	1,526 00	3 05 %
EOG RESOURCES INC 26875P-10-1 EOG	145 49	301 000	43,792 49	19,738.45	24,054 04	225.75	0 52 %
EXXON MOBIL CORP 30231G-10-2 XOM	93.75	1,201 000	112,593 75	69,670.01	42,923.74	3,026 52	2 69 %
FLUOR CORP 343412-10-2 FLR	62.56	1,303 000	81,515 68	67,759.70	13,755 98	833 92	1 02 %
GENERAL MILLS INC 370334-10-4 GIS	52 00	1,065 000	55,380 00	26,914 97	28,465 03	1,618 80 444.60	2 92 %

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div.	Yield
GENERAL MOTORS CO 37045V-10-0 GM	35 87	2,861 000	102,624 07	71,006 76	31,617 31		
GOOGLE INC CL A 38259P-50-8 GOOG	887 75	183.000	162,458 25	117,342 37	45,115 88		
GS REN SPX 12/04/13 2 XLEV- 9.7%CAP- 19 4%MAXPYMT INITIAL LEVEL-11/16/12 SPX 1359.88 38141G-JF-2	117 66	313,000 000	368,260 15	309,870 00	58,390 15		
HARTFORD FINANCIAL SERVICES GROUP INC 416515-10-4 HIG	30 86	950 000	29,317 00	27,750.79	1,566 21	570 00	1 94%
HOME DEPOT INC 437076-10-2 HD	79 03	1,227 000	96,969 81	48,129.69	48,840 12	1,914.12	1 97%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	82 98	1,562.000	129,614 76	69,822 36	59,792 40	2,561 68	1 98%
HUMANA INC 444859-10-2 HUM	91.26	525 000	47,911 50	37,093.80	10,817.70	567 00	1 18%
INTERCONTINENTAL EXCHANGE INC 45865V-10-0 ICE	182 45	284 000	51,815 80	42,630 77	9,185 03		
INTUITIVE SURGICAL INC 46120E-60-2 ISRG	388 00	60 000	23,280 00	32,233 93	(8,953 93)		
INVESCO LTD G491BT-10-8 IVZ	32 19	1,763.000	56,750.97	34,211.97	22,539 00	1,586 70	2 80%
JOHN HANCOCK III-DISCPLN V-I 47803U-64-0 JVLI X	17 39	139,388 981	2,423,974.38	2,055,987.48	367,986 90	23,556 73	0 97%

		Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div	Yield
	US Large Cap Equity							
	JOHNSON & JOHNSON 478160-10-4 JNJ	93 50	2,666 000	249,271 00	203,028 21	46,242 79	7,038 24	2 82%
P	**JOHNSON CONTROLS INC** 478366-10-7 JCI	40 21	1,544 000	62,084 24	27,113 35	34,970 89	1,173 44	1 89%
	JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002 4812A2-38-9 JLPS X	26 97	35,180 023	948,805 22	744,031.09	204,774 13	14,247 90	1 50%
	LAM RESEARCH CORP 512807-10-8 LRCX	49 22	1,088.000	53,551 36	45,832 54	7,718 82		
	LENNAR CORP 526057-10-4 LEN	33 87	748.000	25,334 76	10,328 53	15,006 23	119.68	0 47%
P	**LINKEDIN CORP - A** 53578A-10-8 LNKD	203.79	165 000	33,625.35	28,513.17	5,112 18		
	LOWES COMPANIES INC 548661-10-7 LOW	44.58	2,340 000	104,317.20	47,149.58	57,167.62	1,684 80 476 28	1 62%
	MALLINCKRODT PUB LTD CO SHS G5785G-10-7 MNK	45 89	16 000	734 24	403 44	330 80		
	MASCO CORP 574599-10-6 MAS	20 52	2,988 000	61,313 76	40,164 24	21,149.52	896 40 243 90	1 46%
	MASTERCARD INC - CLASS A 57636Q-10-4 MA	610 61	152 000	92,812 72	41,783 90	51,028 82	364 80 99.60	0 39%
	MERCK AND CO INC 58933Y-10-5 MRK	48 17	1,117 000	53,805.89	31,728 52	22,077 37	1,921.24	3.57%
	METLIFE INC 59156R-10-8 MET	48.42	2,596 000	125,698.32	89,779 01	35,919 31	2,855 60	2 27%

		Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
	US Large Cap Equity							
	METTLER TOLEDO INTERNATIONAL INC 592688-10-5 MTD	220 60	168 000	37,060 80	35,111 33	1,949 47		
	MICROSOFT CORP 594918-10-4 MSFT	31.84	5,250 000	167,160.00	108,903.00	58,257 00	4,830 00	2.89%
	MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	31 27	2,483 000	77,643 41	56,951.37	20,692.04	1,291.16	1 66%
	MORGAN STANLEY 617446-44-8 MS	27 21	2,830 000	77,004 30	58,188 06	18,816 24	566 00 141.50	0 74%
	NEUBERGER BER MU/C OPP-INS 64122Q-30-9 NMUL X	13 85	56,340 426	780,314 90	662,000 00	118,314 90	7,605 95	0 97%
	NEXTERA ENERGY INC 65339F-10-1 NEE	86 61	505 000	43,738 05	39,112 93	4,625 12	1,333 20	3 05%
P	**NISOURCE INC** 65473P-10-5 NI	30.72	1,626 000	49,950 72	44,971.04	4,979.68	1,626 00 407.50	3 26%
	OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	89.05	1,311 000	116,744.55	93,792.42	22,952 13	3,356.16	2 87%
P	**ONYX PHARMACEUTICALS INC** 683399-10-9 ONXX	131.38	83 000	10,904 79	7,177.94	3,726.85		
	ORACLE CORP 68389X-10-5 ORCL	32 35	3,131 000	101,287.85	67,205 52	34,082.33	1,502 88 412.44	1 48%
	PACCAR INC 693718-10-8 PCAR	56 27	1,709 000	96,165 43	53,763.97	42,401.46	1,367 20	1.42%
	PENTAIR LTD SHS H6169Q-10-8 PNR	61 08	574.000	35,059 92	21,551 92	13,508 00	574 00 143 50	1.64%
	PEPSICO INC 713448-10-8 PEP	83 54	861 000	71,927 94	62,741.05	9,186 89	1,954.47	2 72%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div.	Yield
US Large Cap Equity							
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	89 18	826 000	73,662 68	68,233.52	5,429 16	2,808 40	3 81 %
PHILLIPS 66 718546-10-4 PSX	61.50	844 000	51,906 00	39,793.77	12,112 23	1,055 00	2.03 %
PRICELINE.COM INC 741503-40-3 PCLN	875 67	51 000	44,659 17	35,467.26	9,191.91		
PROCTER & GAMBLE CO 742718-10-9 PG	80 30	1,257 000	100,937.10	69,574.95	31,362 15	3,024 34 830 07	3 00 %
QUALCOMM INC 747525-10-3 QCOM	64.56	1,181 000	76,247 72	47,887 69	28,360.03	1,653.40	2 17 %
SCHLUMBERGER LTD 806857-10-8 SLB	81 33	1,535 000	124,841 55	116,950 47	7,891 08	1,918 75	1 54 %
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	168 71	16,070 000	2,711,169.70	2,272,144 84	439,024 86	53,577 38	1.98 %
STATE STREET CORP 857477-10-3 STT	69 67	905.000	63,051 35	53,480.15	9,571.20	941 20	1.49 %
TARGET CORP 87612E-10-6 TGT	71.25	1,135 000	80,868.75	73,469 84	7,398 91	1,952.20	2.41 %
TD AMERITRADE HOLDING CORPORATION 87236Y-10-8 AMTD	27.03	1,147.000	31,003.41	17,772 79	13,230 62	412 92 103.23	1 33 %
TIME WARNER INC NEW 887317-30-3 TWX	62 26	3,231 000	201,162 06	60,893.67	140,268.39	3,715 65	1 85 %
TIME WARNER CABLE INC 88732J-20-7 TWC	114 07	208.000	23,726 56	23,300 18	426.38	540 80	2.28 %
TYCO INTERNATIONAL LTD H89128-10-4 TYC	34 81	1,312 000	45,670 72	24,498.81	21,171 91	839 68 178 43	1 84 %

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div	Yield
US Large Cap Equity							
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	72 85	1,653 000	120,421 05	78,400 03	42,021 02	1,851 36	1 54%
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	105 57	1,849 000	195,198 93	116,214.85	78,984 08	3,956 86	2 03%
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	49 48	1,829 000	90,498.92	53,379 43	37,119 49	3,767 74 1,076 35	4 16%
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	79 80	375.000	29,925.00	10,199 59	19,725.41		
P **WALTER ENERGY INC** 93317Q-10-5 WLT	11 19	357 000	3,994 83	12,326 25	(8,331 42)	14 28	0 36%
WELLS FARGO & CO 949746-10-1 WFC	43 50	4,525 000	196,837 50	89,369 27	107,468 23	5,430 00	2 76%
WILLIAMS COMPANIES INC 969457-10-0 WMB	34 17	1,382 000	47,222 94	34,596.14	12,626 80	1,948 62	4 13%
XILINX CORP 983919-10-1 XLNX	46 69	728.000	33,990.32	27,924 37	6,065 95	728 00	2 14%
YUM BRANDS INC 988498-10-1 YUM	72.92	1,219 000	88,889.48	31,732.59	57,156 89	1,633.46 448 57	1 84%
Total US Large Cap Equity			**$15,835,828.58**	**$11,878,279.66**	**$3,957,548.92**	**$225,266.36** **$7,113.42**	**1.42%**
US Mid Cap Equity							
ISHARES RUSSELL MIDCAP VALUE INDEX FUND 464287-47-3 IWS	60.78	5,050 000	306,939.00	287,369 01	19,569 99	5,681 25	1 85%

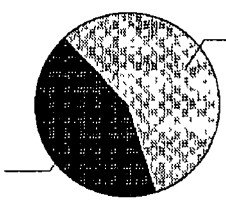
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div.	Yield
US Mid Cap Equity							
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	123 02	20,745 000	2,552,049 90	1,595,324 44	956,725 46	35,162 77	1 38%
Total US Mid Cap Equity			**$2,858,988.90**	**$1,882,693.45**	**$976,295.45**	**$40,844.02**	**1.43%**
EAFE Equity							
ARTISAN INTL VALUE FUND-INV 04314H-88-1 ARTK X	35 46	52,285 764	1,854,053 19	1,312,000 00	542,053 19	15,372 01	0 83%
DB REN MXEA 08/06/14 2 XLEV- 11 15%CAP- 22 30%MAXPYMT INITIAL LEVEL-07/19/13 MXEA 1733 470 25152R-DW-0	99.08	347,000.000	343,807.60	343,530 00	277.60		
P **DODGE & COX INTL STOCK FUND** 256206-10-3 DODF	38 66	9,079.152	351,000 02	351,000 00	0.02	6,782 12	1 93%
GS BREN EAFE 12/04/13 10%BUFFER-2 XLEV- 8 37%CAP 16 74%MAXRTRN INITIAL LEVEL-11/16/12·SX5E·2427 32 UKX.5605 59 TPX·751 34 38141G-JG-0	113 56	307,000 000	348,616 92	303,930 00	44,686 92		
P **OAKMARK INTERNATIONAL FD-I** 413838-20-2 OAKI X	24.64	92,610.236	2,281,916 22	2,203,600 00	78,316.22	40,655 89	1 78%
Total EAFE Equity			**$5,179,393.95**	**$4,514,060.00**	**$665,333.95**	**$62,810.02**	**1.21%**

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div.	Yield
European Large Cap Equity							
DB MARKET PLUS SX5E 03/19/14 80% CONTIN BARRIER- 7 6%CPN ,UNCAPPED INITIAL LEVEL-09/14/12 SX5E 2594 56 2515A1-LR-0	110 12	347,000 000	382,109 46	342,662.50	39,446 96		
Asia ex-Japan Equity							
MATTHEWS PACIFIC TIGER FD-IS 577130-83-4 MIPT X	24 40	49,534 158	1,208,633 46	990,459 47	218,173 99	10,005 89	0 83%
T ROWE PRICE NEW ASIA 77956H-50-0 PRAS X	15 97	62,362 415	995,927.77	965,937.17	29,990 60	9,977.98	1 00%
Total Asia ex-Japan Equity			**$2,204,561.23**	**$1,956,396.64**	**$248,164.59**	**$19,983.87**	**0.91%**
Emerging Market Equity							
P **VANGUARD FTSE EMERGING MARKETS ETF** 922042-85-8 VWO	39 06			0 00			3 94%
P **VIRTUS EMERGING MKTS OPPOR-I** 92828T-88-9 HIEM X	9 81	34,745 250	340,850.90	309,927.63	30,923 27	1,459 30	0 43%
Total Emerging Market Equity			**$340,850.90**	**$309,927.63**	**$30,923.27**	**$1,459.30**	**0.43%**

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	1,195,440.75	1,201,537 51	6,096.76	4%
Hard Assets	1,024,645 02	1,071,805 25	47,160.23	3%
Total Value	**$2,220,085.77**	**$2,273,342.76**	**$53,256.99**	**7%**

Asset Categories



Alternative Assets as a percentage of your portfolio - 7 %

Alternative Assets Detail

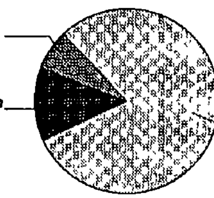
	Price	Quantity	Estimated Value	Cost
Hedge Funds				
EATON VANCE GLOBAL MACRO-I 277923-72-8 EIGM X	9 60	84,722 786	813,338 75	877,166 10
INV BALANCED-RISK ALLOC-Y 00141V-69-7 ABRY X	12.50	31,055 901	388,198 76	400,000.00
Total Hedge Funds			**$1,201,537.51**	**$1,277,166.10**

	Price	Quantity	Estimated Value	Cost
Hard Assets				
BARC PALLADIUM CBEN 05/01/14 LNKED TO PLDMLNPM 80%BARRIER-12% MXTRN- 3 10%CPN 04/12/13, INITIAL STRIKE. 715.50 06741T-SY-4	100 18	350,000 000	350,630 00	346,500 00
PIMCO COMMODITYPL STRAT-P 72201P-16-7 PCLP X	10 58	34,619 589	366,275.25	372,852 97
SG BRENT CBEN 3/24/14 LNKD TO CO1 80%BARRIER, 10.45% CPN,10 45% MAXRTN 3/8/13; INITIAL STRIKE:110.85 78423E-GB-4	101 40	350,000 000	354,900 00	346,500 00
Total Hard Assets			**$1,071,805.25**	**$1,065,852.97**

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	650,430 30	673,150 06	22,719.76	2%
US Fixed Income	4,117,463 00	4,122,626 07	5,163 07	12%
Foreign Exchange & Non-USD Fixed Income	286,307 90	286,103 54	(204 36)	1%
Total Value	**$5,054,201.20**	**$5,081,879.67**	**$27,678.47**	**15%**

Asset Categories



Cash & Fixed Income as a percentage of your portfolio - 15 %

Market Value/Cost	Current Period Value
Market Value	5,081,879 67
Tax Cost	5,059,033 39
Unrealized Gain/Loss	22,846 28
Estimated Annual Income	119,703 48
Accrued Interest	3,207 13
Yield	2 35 %

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months[1]	5,081,879 67	100%

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	673,150 06	10%
International Bonds	966,358.64	15%
Mutual Funds	3,442,370 97	75%
Total Value	**$5,081,879.67**	**100%**

J.P.Morgan

Note: [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1 00	726,640 50	726,640 50	726,640 50		217.99 15 10	0 03% [1]
COST OF PENDING PURCHASES	1 00	(1,204,275.56)	(1,204,275.56)	(1,204,275.56)			
PROCEEDS FROM PENDING SALES	1 00	1,150,785 12	1,150,785 12	1,150,785 12			
Total Cash			**$673,150.06**	**$673,150.06**	**$0.00**	**$217.99** **$15.10**	**0.03%**
US Fixed Income							
JPM STR INC OPP FD FUND 3844 4812A4-35-1	11 87	57,308.77	680,255 10	659,050 85	21,204 25	18,396.11 1,547 34	2 70%
DOUBLELINE TOTAL RET BD-I 258620-10-3	10.96	54,991 09	602,702 31	617,000 00	(14,297.69)	32,554.72	5.40%
JPM SHORT DURATION BOND FD - SEL FUND 3133 4812C1-33-0	10.91	205,585.68	2,242,939 79	2,212,522.57	30,417 22	31,249 02 1,644 69	1 39%
TRP INST FLOATING RATE-F 77958B-10-5	10 27	58,104 08	596,728 87	597,309 91	(581 04)	26,495 45	4 44%
Total US Fixed Income			**$4,122,626.07**	**$4,085,883.33**	**$36,742.74**	**$108,695.30** **$3,192.03**	**2.63%**

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield

Foreign Exchange & Non-USD Fixed Income

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
DREYFUS EMG MKT DEBT LOC C-I 261980-49-4	14 00	20,435 97	286,103 54	300,000 00	(13,896 46)	10,790 19	3 77%

Portfolio Activity Summary

Transactions	PRINCIPAL Current Period Value	PRINCIPAL Year-To-Date Value*	INCOME Current Period Value	INCOME Year-To-Date Value*
Beginning Cash Balance	**556,317.59**	--	**31,674.66**	–
INFLOWS				
Income		5,531 30	46,031 02	574,360 95
Total Inflows	**$0.00**	**$5,531.30**	**$46,031.02**	**$574,360.95**
OUTFLOWS **				
Withdrawals	(525,000.00)	(1,240,003.59)	(50,000.00)	(275,006 58)
Fees & Commissions				(246,926.48)
Tax Payments				(60,112 83)
Total Outflows	**($525,000.00)**	**($1,240,003.59)**	**($50,000.00)**	**($582,045.89)**
TRADE ACTIVITY				
Settled Sales/Maturities/Redemptions	1,893,644 88	16,294,499 18		
Settled Securities Purchased	(1,198,321.97)	(15,675,284.63)		
Total Trade Activity	**$695,322.91**	**$619,214.55**	**$0.00**	**$0.00**
Ending Cash Balance	**$726,640.50**	--	**$27,705.68**	--

Securities Transferred In/Out	Current Period Value	Year-To-Date Value*
Securities Transferred In		22,360 68
Securities Transferred Out		(27,610 50)

Portfolio Activity Summary

Cost Adjustments	Current Period Value	Year-To-Date Value*
Cost Adjustments		(26,564 47)
Total Cost Adjustments	**$0.00**	**($26,564.47)**

* Year to date information is calculated on a fiscal year basis, beginning Aug 1, 2012
** Your account's standing instructions use a HIGH COST method for relieving assets from your position

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
7/1	Div Domest	HARTFORD FINANCIAL SERVICES GROUP INC @ 0 10 PER SHARE (ID 416515-10-4)	1,045 000	0 10		104 50
7/1	Receipt of Assets	MALLINCKRODT PUB LTD CO SHS SPIN-OFF - HOLDERS RECEIVE 1 SHARE FOR EVERY 8 SHARES OF COVIDIEN PLC , CUSIP. G2554F113, HELD. (ID G5785G-10-7)	93 000 0 00			
7/1	Stock Split	CERNER CORP 2 FOR 1 STOCK SPLIT (ID· 156782-10-4)	496 000 0 00			
7/1	Interest Income	DEPOSIT SWEEP INTEREST FOR 06/01/13 - 06/30/13 @ 03% RATE ON AVG COLLECTED BALANCE OF $607,276.05 AS OF 07/01/13				14 95
7/1	Div Domest	JPM STR INC OPP FD FUND 3844 @ 0 02 PER SHARE (ID 4812A4-35-1)	57,308 770	0 02		1,146 18