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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2012

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning 8/01, 2012, and ending 7/31, 2013

RORD FOUNDATION
3938 STATE STREET #200
SANTA BARBARA, CA 93105A Employer identification number
22-2848972B Telephone number (see the instructions)
805 560-6552C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name changeH Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 261,271.
J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

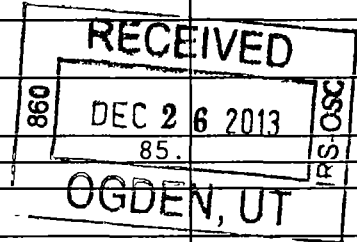
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE	1	Contributions, gifts, grants, etc., received (att sch)				
	2	Cl ☒ if the foundn is not req to att Sch B				
	3	Interest on savings and temporary cash investments	50.	50.	50.	
	4	Dividends and interest from securities	8,643.	8,643.	8,643.	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain/(loss) from sale of assets not on line 10	34,030.			
	b	Gross sales price for all assets on line 6a	158,913.			
	7	Capital gain net income (from Part IV, line 2)		34,030.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
b	Less Cost of goods sold					
c	Gross profit/(loss) (att sch)					
11	Other income (attach schedule)					
12	Total. Add lines 1 through 11	42,723.	42,723.	8,778.		
ADMINISTRATIVE AND EXPENSES	13	Compensation of officers, directors, trustees, etc	26,038.			26,038.
	14	Other employee salaries and wages	8,511.	7,684.	7,684.	827.
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach sch) SEE ST 1	4,500.	2,250.	2,250.	2,250.
	c	Other prof fees (attach sch)				
	17	Interest				
	18	Taxes (attach schedule)(see instrs) SEE STM 2	3,076.	684.	684.	2,392.
	19	Depreciation (attach sch) and depletion	243.	243.	243.	
	20	Occupancy	5,580.	2,790.	2,790.	2,790.
	21	Travel, conferences, and meetings				
	22	Printing and publications				
23	Other expenses (attach schedule) SEE STATEMENT 3	14,817.	2,365.	2,365.	12,452.	
24	Total operating and administrative expenses. Add lines 13 through 23	62,765.	16,016.	16,016.	46,749.	
25	Contributions, gifts, grants paid PART XV	48,430.			48,430.	
26	Total expenses and disbursements. Add lines 24 and 25	111,195.	16,016.	16,016.	95,179.	
27	Subtract line 26 from line 12:					
a	Excess of revenue over expenses and disbursements	-68,472.				
b	Net investment income (if negative, enter -0-)		26,707.			
c	Adjusted net income (if negative, enter -0-)			0.		



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing		5,550.	7,299.	7,299.
	2	Savings and temporary cash investments		40,798.	32,849.	32,849.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule)				
	c	Investments — corporate bonds (attach schedule)				
	LIABILITIES	11	Investments — land, buildings, and equipment basis			
		Less: accumulated depreciation (attach schedule)				
12		Investments — mortgage loans				
13		Investments — other (attach schedule)		247,079.	185,050.	220,108.
14		Land, buildings, and equipment basis	2,439.			
		Less: accumulated depreciation (attach schedule)	2,439.	243.		
15		Other assets (describe SEE STATEMENT 5)		1,015.	1,015.	1,015.
16		Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)		294,685.	226,213.	261,271.
17		Accounts payable and accrued expenses				
18		Grants payable				
FUND ASSETS	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
	24	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.				
	25	Unrestricted				
	26	Temporarily restricted				
	27	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	X			
	28	Capital stock, trust principal, or current funds		294,685.	226,213.	
BALANCE SHEETS	29	Paid-in or capital surplus, or land, building, and equipment fund				
	30	Total net assets or fund balances (see instructions)		294,685.	226,213.	
	31	Total liabilities and net assets/fund balances (see instructions)		294,685.	226,213.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	294,685.
2	Enter amount from Part I, line 27a	2	-68,472.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	226,213.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	226,213.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	176.105 SHARES ROYCE PREMIER FUND	P	VARIOUS	12/06/12
b	500 SHARES VERIZON COMMUNICATIONS	P	6/03/11	VARIOUS
c	100 SHARES APPLE	P	4/18/11	5/24/13
d	1000 SHARES BARCLAYS CAP HIGH YIELD	P	1/18/12	7/17/13
e	4.488 SHARES ROYCE PREMIER FUND	P	VARIOUS	12/06/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,316.			3,316.
b 70,633.		53,730.	16,903.
c 44,477.		32,422.	12,055.
d 40,402.		38,731.	1,671.
e 85.			85.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			3,316.
b			16,903.
c			12,055.
d			1,671.
e			85.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	34,030.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	85.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	92,333.	371,229.	0.248722
2010	89,598.	443,947.	0.201821
2009	92,039.	515,188.	0.178651
2008	121,939.	560,430.	0.217581
2007	132,519.	1,055,638.	0.125535

2 Total of line 1, column (d)	2	0.972310
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.194462
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	290,126.
5 Multiply line 4 by line 3	5	56,418.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	267.
7 Add lines 5 and 6	7	56,685.
8 Enter qualifying distributions from Part XII, line 4	8	95,179.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	267.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	267.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	267.
6 Credits/Payments:			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a		
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		267.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>	X	
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes', attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>JANET DOWLING SANDS</u> Telephone no <u>805 560-6552</u> Located at <u>3938 STATE STREET #200 SANTA BARBARA CA</u> ZIP + 4 <u>93105</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years <u>20 __ , 20 __ , 20 __ , 20 __</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20 __ , 20 __ , 20 __ , 20 __</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JANET DOWLING SANDS 3938 STATE ST., #200 SANTA BARBARA, CA 93105	TRUSTEE-MGR 10.00	26,038.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 6	
	54,327.
2 SEE STATEMENT 7	
	8,081.
3 SEE STATEMENT 8	
	1,010.
4 SEE STATEMENT 9	
	12,212.

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	1 a	264,826.
a Average monthly fair market value of securities	1 b	29,718.
b Average of monthly cash balances	1 c	
c Fair market value of all other assets (see instructions)	1 d	294,544.
d Total (add lines 1a, b, and c)		
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	294,544.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	4,418.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	290,126.
6 Minimum investment return. Enter 5% of line 5	6	14,506.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1 Minimum investment return from Part X, line 6	N/A	1	
2 a Tax on investment income for 2012 from Part VI, line 5	2 a		
b Income tax for 2012 (This does not include the tax from Part VI)	2 b		
c Add lines 2a and 2b	2 c		
3 Distributable amount before adjustments. Subtract line 2c from line 1	3		
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5		
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7		

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	1 a	95,179.
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 b	
b Program-related investments — total from Part IX-B	2	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes		
3 Amounts set aside for specific charitable projects that satisfy the:	3 a	
a Suitability test (prior IRS approval required)	3 b	
b Cash distribution test (attach the required schedule)	4	95,179.
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4		
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	267.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	94,912.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only				
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e				
4 Qualifying distributions for 2012 from Part XII, line 4. ▶ \$				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2012 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions.				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount — see instructions.				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

BAA

Form 990-PF (2012)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2012	(b) 2011	(c) 2010	(d) 2009	
0.		7,954.		7,954.
		6,761.		6,761.

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

95,179.	92,333.	90,026.	92,039.	369,577.
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d Amounts included in line 2c not used directly for active conduct of exempt activities

19,548.	56,008.	48,853.	41,448.	165,857.
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e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

75,631.	36,325.	41,173.	50,591.	203,720.
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3 Complete 3a, b, or c for the alternative test relied upon:

a 'Assets' alternative test — enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

9,671.	12,374.	14,798.	17,173.	54,016.
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c 'Support' alternative test — enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV. Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 10				
Total			▶ 3 a	48,430.
b Approved for future payment				
Total			▶ 3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	50.	
4	Dividends and interest from securities			14	8,643.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	34,030.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue.					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				42,723.	
13	Total. Add line 12, columns (b), (d), and (e)				13	42,723.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form **4562**Department of the Treasury
Internal Revenue Service (99)**Depreciation and Amortization**
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2012Attachment
Sequence No **179**

Name(s) shown on return

RORD FOUNDATION

Business or activity to which this form relates

Identifying number

22-2848972

FORM 990/990-PF

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I

1	Maximum amount (see instructions)	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2011 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instrs)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2013 Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property) (See instructions)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2012	17	243.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ☐		

Section B – Assets Placed in Service During 2012 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only – see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27.5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	

Section C – Assets Placed in Service During 2012 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions)

21	Listed property Enter amount from line 28	21	
22	Total Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations – see instructions	22	243.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

RORD FOUNDATION

22-2848972

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 4,500.	\$ 2,250.	\$ 2,250.	\$ 2,250.
TOTAL	\$ 4,500.	\$ 2,250.	\$ 2,250.	\$ 2,250.

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	\$ 3,076.	\$ 684.	\$ 684.	\$ 2,392.
TOTAL	\$ 3,076.	\$ 684.	\$ 684.	\$ 2,392.

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	\$ 396.	\$ 198.	\$ 198.	\$ 198.
DIRECT CHARITABLE ACTIVITIES	6,998.			6,998.
DONOR RELATIONS AND DEVELOPMENT	3,090.			3,090.
OFFICE SUPPLIES	708.	354.	354.	354.
ONLINE SERVICE	470.	235.	235.	235.
STATE FILING FEES	10.	5.	5.	5.
STORAGE	1,836.	918.	918.	918.
TELEPHONE EXPENSE	873.	437.	437.	436.
TRAVEL	436.	218.	218.	218.
TOTAL	\$ 14,817.	\$ 2,365.	\$ 2,365.	\$ 12,452.

STATEMENT 4
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
MACHINERY AND EQUIPMENT	\$ 2,439.	\$ 2,439.	\$ 0.	\$ 0.
TOTAL	\$ 2,439.	\$ 2,439.	\$ 0.	\$ 0.

RORD FOUNDATION

22-2848972

STATEMENT 5
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
SECURITY DEPOSIT	\$ 1,015.	\$ 1,015.
TOTAL	<u><u>\$ 1,015.</u></u>	<u><u>\$ 1,015.</u></u>

STATEMENT 6
FORM 990-PF, PART IX-A, LINE 1
SUMMARY OF DIRECT CHARITABLE ACTIVITIES

<u>DIRECT CHARITABLE ACTIVITIES</u>	<u>EXPENSES</u>
SCIENCE MATTERS: LEADERSHIP PARTNER PROVIDING MANAGEMENT, ADMINISTRATIVE AND FINANCIAL SUPPORT OF MULTI-YEAR INITIATIVE TO PROVIDE INQUIRY-BASED SCIENCE CURRICULUM FOR STUDENTS IN CARPINTERIA AND SANTA BARBARA ELEMENTARY SCHOOLS THROUGH PROFESSIONAL DEVELOPMENT FOR CLASSROOM TEACHERS AND RELATED ENRICHMENT ACTIVITIES. THE COLLECTIVE GOAL IS ENGAGEMENT OF ALL TEACHERS AND ALL STUDENTS IN GRADE LEVELS K-6 BY 2013. ACTIVITIES ESSENTIAL TO THIS EFFORT INCLUDE DIRECT SUPPORT OF TEACHER LEADERS, DONOR DEVELOPMENT OF LOCAL PRIVATE AND CORPORATE FOUNDATIONS, GRANT-WRITING, HOSTING/SPONSORSHIP OF PARTNER AND TEACHER LEADER MEETINGS, WORKING WITH DISTRICT ADMINISTRATION, AND COORDINATED PUBLIC RELATIONS OUTREACH THROUGH NEWSLETTERS AND LOCAL MEDIA.	\$ 54,327.

STATEMENT 7
FORM 990-PF, PART IX-A, LINE 2
SUMMARY OF DIRECT CHARITABLE ACTIVITIES

<u>DIRECT CHARITABLE ACTIVITIES</u>	<u>EXPENSES</u>
SANTA BARBARA MUSEUM OF NATURAL HISTORY: TARGETED GRANTS TO SUPPORT EXPANDED EDUCATIONAL STAFFING, OUTREACH, AND ON-SITE SCIENCE PROGRAMS RELATED TO THE ABOVE SCIENCE INITIATIVE, WITH GOAL OF PROVIDING ACCESS TO ELEMENTARY STUDENTS IN ALL LOCAL SCHOOLS. FINANCIAL AND PLANNING SUPPORT OF LECTURE SERIES HIGHLIGHTING NOTED SCIENTISTS. THE RORD FOUNDATION DIRECTOR SERVES ON MUSEUM BOARD AS AN ACTIVE MEMBER OF THE EXECUTIVE, FINANCE, AND MASTER PLANNING COMMITTEES.	\$ 8,081.

STATEMENT 8
FORM 990-PF, PART IX-A, LINE 3
SUMMARY OF DIRECT CHARITABLE ACTIVITIES

<u>DIRECT CHARITABLE ACTIVITIES</u>	<u>EXPENSES</u>
SANTA BARBARA FOUNDATION: THROUGH THE SANTA BARBARA FOUNDATION ROUNDTABLE, PROVIDE SUPPORT FOR YEARLY PARTNERSHIP FOR EXCELLENCE CONFERENCE (ATTENDANCE APPROXIMATELY 800) TO CONNECT NONPROFITS WITH FUNDING ORGANIZATIONS. CONFERENCE SUPPORT ALSO TARGETS COLLABORATION OF FOUNDATIONS, PUBLIC	\$ 1,010.

RORD FOUNDATION

22-2848972

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART IX-A, LINE 3
SUMMARY OF DIRECT CHARITABLE ACTIVITIES

<u>DIRECT CHARITABLE ACTIVITIES</u>	<u>EXPENSES</u>
ENTITIES, AND NON-PROFIT ORGANIZATIONS TO MORE EFFECTIVELY DEAL WITH "BIG ISSUE" CHALLENGES IN EDUCATION AND SOCIAL SERVICES.	

STATEMENT 9
FORM 990-PF, PART IX-A, LINE 4
SUMMARY OF DIRECT CHARITABLE ACTIVITIES

<u>DIRECT CHARITABLE ACTIVITIES</u>	<u>EXPENSES</u>
INSTITUTE OF HUMAN ORIGINS AT ARIZONA STATE UNIVERSITY: SUPPORT MAY BE ALLOCATED TO GRADUATE STUDENTS, POST-DOCTORAL CANDIDATES, AND OUTREACH PROGRAMS. IHO IS AN INTERNATIONALLY-RECOGNIZED SCIENCE RESEARCH INSTITUTE AT ASU'S SCHOOL OF HUMAN EVOLUTION AND SOCIAL CHANGE. RORD FOUNDATION DIRECTOR SERVES ON IHO'S BOARD OF DIRECTORS.	\$ 12,212.

STATEMENT 10
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
SANTA BARBARA MUSEUM OF NAT HISTORY 2559 PUESTA DEL SOL SANTA BARBARA, CA 93105	NONE	501C3	TO SUPPORT ELEMENTARY SCHOOL SCIENCE EDUCATION	\$ 10,150.
SANTA BARBARA FOUNDATION 15 E. CARRILLO ST SANTA BARBARA, CA 93101	NONE	501C3	TO SUPPORT A SCHOLARSHIP FUND FOR LOCAL HIGH SCHOOL STUDENTS	1,000.
THE FOUNDATION ROUNDTABLE 15 E CARRILLO ST SANTA BARBARA, CA 93101	NONE	501C3	TO SUPPORT ANNUAL "PARTNERSHIP FOR EXCELLENCE" CONFERENCE WHICH BRINGS TOGETHER GRANTMAKERS AND NONPROFIT ORGANIZATIONS IN SANTA BARBARA COUNTY	1,000.

RORD FOUNDATION

22-2848972

STATEMENT 10 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
THE ELEPHANTS UMBRELLA FUND PO BOX 4733 SANTA BARBARA, CA 93140	NONE	501C3	TO HELP SAVE ASIAN ELEPHANTS IN PERIL FROM ABUSE, MALNUTRITION, NEGLECT, OR OVERWORK.	\$ 100.
QUOGUE CEMETERY ASSOCIATION PO BOX 209 QUOGUE, NY 11959	NONE	501C3	TO MAINTAIN LOCAL CEMETERIES.	500.
CHURCH OF THE ATONEMENT 17 QUOGUE STREET QUOGUE, NY 11959	NONE	501C3	TO SUPPORT A RELIGIOUS ORGANIZATION	500.
QUOGUE HISTORICAL SOCIETY PO BOX 1207 QUOGUE, NY 11959	NONE	501C3	TO BRING TOGETHER PEOPLE INTERESTED IN THE HISTORY OF QUOGUE, AND TO PROVIDE FACILITIES, MATERIALS, AND ACTIVITIES FOR THESE PEOPLE TO FURTHER THEIR INTEREST IN LOCAL HISTORY.	500.
BOYS & GIRLS CLUB OF SANTA BARBARA 632 E. CANON PERDIDO STREET SANTA BARBARA, CA 93103	NONE	501C3	TO INSPIRE AND ENABLE ALL YOUNG PEOPLE, ESPECIALLY THOSE WHO NEED US MOST, TO REACH THEIR FULL POTENTIAL AS PRODUCTIVE, CARING, RESPONSIBLE CITIZENS.	1,000.

RORD FOUNDATION

22-2848972

STATEMENT 10 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
ASU FOUNDATION/INSTITUTE OF HUMAN ORIGIN PO BOX 874101 TEMPE, AZ 85287	NONE	501C3	STRATEGIC IMPLEMENTATION OF MULTIDISCIPLINAR Y FIELD AND LABORATORY RESEARCH ON THE FOSSIL EVIDENCE FOR HUMAN EVOLUTION. A SECOND GOAL IS THE INTERPRETATION OF THE RESULTS OF PALEOANTHROPOLOG ICAL RESEARCH FOR THE PUBLIC, THROUGH A WIDE ARRAY OF PRINT, FILM, AND SPOKEN MEDIA.	\$ 10,000.
MUSEUM OF NORTHERN ARIZONA 3101 NORTH FORT VALLEY ROAD FLAGSTAFF, AZ 86001	NONE	501C3	TO INSPIRE A SENSE OF LOVE AND RESPONSIBILITY FOR THE BEAUTY AND DIVERSITY OF THE COLORADO PLATEAU THROUGH COLLECTING, STUDYING, INTERPRETING, AND PRESERVING THE REGION'S NATURAL AND CULTURAL HERITAGE.	813.
SANTA BARBARA TRUST FOR HISTORIC PRESERV 123 E CANON PERDIDO ST SANTA BARBARA, CA 93101	NONE	501C3	TO PRESERVE, RESTORE, RECONSTRUCT AND INTERPRET HISTORIC SITES IN SANTA BARBARA COUNTY.	700.

RORD FOUNDATION

22-2848972

STATEMENT 10 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
UNITY SHOPPE 1219 STATE STREET SANTA BARBARA, CA 93101	NONE	501C3	TO PROVIDE A "CENTRAL DISTRIBUTION FACILITY" FOR THE USE OF ALL NON-PROFIT AGENCIES, SOCIAL SERVICE ORGANIZATIONS, CHURCHES, SCHOOLS AND HOSPITALS.	\$ 250.
FREE WHEELCHAIR MISSION 15279 ALTON PARKWAY, SUITE 300 IRVINE, CA 92618	NONE	501C3	TO PROVIDE WHEELCHAIRS FOR THE IMPOVERISHED DISABLED IN DEVELOPING NATIONS	767.
AMERICAN FRIENDS OF ROYAL NAVAL MUSEUM HM NAVAL BASE (PP 66) PORTSMOUTH, HAMPSHIRE PO1 3NH UNITED KINGDOM		501C3	TO PRESERVE AND PRESENT THE HISTORY OF THE 'FLEET' - THE SHIPS AND THE MEN AND WOMEN WHO MANNED THEM.	1,000.
WILDLING ART MUSEUM 1511-B MISSION DRIVE SOLVANG, CA 93463	NONE	501C3	TO INSPIRE OUR COMMUNITY AND VISITORS TO ENJOY, VALUE, AND CONSERVE WILDLIFE AND NATURAL AREAS THROUGH ART.	150.
CARPINTERIA UNIFIED SCHOOL DISTRICT 1400 LINDEN AVENUE CARPINTERIA, CA 93013	NONE	NONE	TO SUPPORT EDUCATION.	20,000.

TOTAL \$ 48,430.