Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 08/01 ,2012, and ending 07/31,2013

Name of foundation: ANNE S RICHARDSON FUND P60833004

A Employer identification number: 13-6192516

Number and street (or P O box number if mail is not delivered to street address) / Room/suite: 10 S DEARBORN IL1-0117

B Telephone number (see instructions): 866- 888-5157

City or town, state, and ZIP code: CHICAGO, IL 60603

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 12,556,904.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) ____ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	191,366.	191,257.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	433,337.			
	b Gross sales price for all assets on line 6a 4,373,937.				
	7 Capital gain net income (from Part IV, line 2)		433,337.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	624,703.	624,594.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	109,759.	82,320.		27,440.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 1	13,965.	4,965.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 2	1.	1.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	123,725.	87,286.	NONE	27,440.
	25 Contributions, gifts, grants paid	570,000.			570,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	693,725.	87,286.	NONE	597,440.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-69,022.			
	b **Net investment income** (if negative, enter -0-)		537,308.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	6,510.	5,204.	5,204.
	2 Savings and temporary cash investments	376,462.	647,573.	647,573.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ NONE			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)	7,507,180.	7,544,402.	9,779,246.
	c Investments - corporate bonds (attach schedule)	2,474,026.	2,097,895.	2,124,881.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	10,364,178.	10,295,074.	12,556,904.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
	27 Capital stock, trust principal, or current funds	10,364,178.	10,295,074.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	10,364,178.	10,295,074.	
	31 Total liabilities and net assets/fund balances (see instructions)	10,364,178.	10,295,074.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,364,178.
2 Enter amount from Part I, line 27a	2	-69,022.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	10,295,156.
5 Decreases not included in line 2 (itemize) ▶ PRIOR PEROIOD ADJUSTMENT	5	82.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,295,074.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,373,937.		3,940,570.	433,367.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				433,367.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	433,367.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	581,572.	11,401,671.	0.051008
2010	565,394.	12,225,084.	0.046249
2009	545,488.	11,250,643.	0.048485
2008	647,344.	10,228,817.	0.063286
2007	726,905.	13,914,009.	0.052243

2	**Total** of line 1, column (d)	2	0.261271
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.052254
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	12,004,018.
5	Multiply line 4 by line 3	5	627,258.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	5,373.
7	Add lines 5 and 6	7	632,631.
8	Enter qualifying distributions from Part XII, line 4	8	597,440.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	10,746.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	10,746.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	10,746.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 11,750.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 5,000.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	16,750.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	6,004.
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 6,004. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JPMORGAN CHASE BANK, NA Telephone no ▶ (866)888-5157
Located at ▶ 10 S DEARBORN ST IL1-0111, CHICAGO, IL ZIP+4 ▶ 60603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JPMORGAN CHASE BANK, NA 10 S DEARBORN ST IL1-0111, CHICAGO,, IL 60603	TRUSTEE 2	109,759.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	11,598,114.
b	Average of monthly cash balances	1b	588,706.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	12,186,820.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	12,186,820.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	182,802.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,004,018.
6	**Minimum investment return.** Enter 5% of line 5	6	600,201.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	600,201.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	10,746.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	10,746.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	589,455.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	589,455.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	589,455.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	597,440.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	597,440.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	597,440.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					589,455.
2 Undistributed income, if any, as of the end of 2012:					
a Enter amount for 2011 only				565,970.	
b Total for prior years 20____,20____,20____			NONE		
3 Excess distributions carryover, if any, to 2012.					
a From 2007	NONE				
b From 2008	NONE				
c From 2009	NONE				
d From 2010	NONE				
e From 2011	NONE				
f **Total** of lines 3a through e		NONE			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 597,440.					
a Applied to 2011, but not more than line 2a				565,970.	
b Applied to undistributed income of prior years (Election required - see instructions)			NONE		
c Treated as distributions out of corpus (Election required - see instructions)		NONE			
d Applied to 2012 distributable amount					31,470.
e Remaining amount distributed out of corpus		NONE			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		NONE			NONE
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		NONE			
b Prior years' undistributed income Subtract line 4b from line 2b			NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions			NONE		
e Undistributed income for 2011. Subtract line 4a from line 2a Taxable amount - see instructions					
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					557,985.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		NONE			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		NONE			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		NONE			
10 Analysis of line 9					
a Excess from 2008	NONE				
b Excess from 2009	NONE				
c Excess from 2010	NONE				
d Excess from 2011	NONE				
e Excess from 2012	NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE STATEMENT 3

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 10				570,000.
Total . . . . . . ▶ **3a**				570,000.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	191,366.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	433,337.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				624,703.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 624,703.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Anthony Ward | 05/06/2014 | ▶

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no	

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2012

Name of estate or trust	Employer identification number
ANNE S RICHARDSON FUND P60833004	13-6192516

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a						

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-28,904.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	-28,904.

Part II — Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a	LONG-TERM CAPITAL GAIN DIVIDENDS					84,407.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	377,864.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	462,271.

Part III **Summary of Parts I and II**
Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			-28,904.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			462,271.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			433,367.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

Part IV **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20. If zero or less, enter -0-			22			
23	Subtract line 22 from line 17. If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,400			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (15)					30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. AUTOZONE INC	08/19/2011	08/03/2012	368.00	290.00	78.00
9. COGNIZANT TECHNOLOGY SO	08/11/2011	08/03/2012	518.00	558.00	-40.00
3. COGNIZANT TECHNOLOGY SO	08/11/2011	08/03/2012	172.00	186.00	-14.00
9. MARRIOTT INTL INC NEW C	VAR	08/13/2012	332.00	317.00	15.00
27. MARRIOTT INTL INC NEW	01/26/2012	08/14/2012	997.00	942.00	55.00
10. EXXON MOBIL CORP	01/19/2012	09/07/2012	897.00	867.00	30.00
45. WALGREEN CO	VAR	09/07/2012	1,569.00	1,343.00	226.00
5. EXXON MOBIL CORP	01/19/2012	09/13/2012	456.00	434.00	22.00
23750. ISHARES INC MSCI JA	03/12/2012	09/14/2012	223,285.00	237,488.00	-14,203.00
20. CBS CORP	10/06/2011	09/26/2012	713.00	432.00	281.00
3193.387 JPMORGAN HIGH YIE	01/23/2012	09/27/2012	25,930.00	24,845.00	1,085.00
3.937 ADT CORPORATION	06/15/2012	10/04/2012	152.00	139.00	13.00
4. ADT CORPORATION	06/15/2012	10/15/2012	146.00	31.00	115.00
5. ADT CORPORATION	06/15/2012	10/16/2012	187.00	39.00	148.00
2. MARATHON PETROLEUM CORP	VAR	10/16/2012	110.00	96.00	14.00
2. MARATHON PETROLEUM CORP	VAR	10/16/2012	110.00	96.00	14.00
1. MARATHON PETROLEUM CORP	07/31/2012	10/16/2012	55.00	48.00	7.00
3. MARATHON PETROLEUM CORP	VAR	10/16/2012	166.00	142.00	24.00
12. MARATHON PETROLEUM COR	VAR	10/16/2012	657.00	564.00	93.00
7. MARATHON PETROLEUM CORP	VAR	10/17/2012	389.00	328.00	61.00
9. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	501.00	421.00	80.00
1. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	56.00	47.00	9.00
3. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	165.00	140.00	25.00
4. ABBOTT LABS	VAR	10/18/2012	264.00	278.00	-14.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 16. ABBOTT LABS	VAR	10/18/2012	1,047.00	1,052.00	-5.00
3. ABBOTT LABS	04/26/2012	10/18/2012	197.00	186.00	11.00
17. ABBOTT LABS	VAR	10/18/2012	1,116.00	1,037.00	79.00
3. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	180.00	141.00	39.00
9. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	539.00	423.00	116.00
1. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	60.00	47.00	13.00
2. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	119.00	94.00	25.00
3. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	177.00	141.00	36.00
2. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	119.00	94.00	25.00
4. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	238.00	188.00	50.00
125000. DB BRENT CBEN 10/2	04/20/2012	10/25/2012	133,938.00	124,375.00	9,563.00
34. ALTERA CORP	VAR	10/26/2012	1,032.00	1,303.00	-271.00
3. COVIDIEN PLC NEW	07/27/2012	10/26/2012	165.00	164.00	1.00
2. COVIDIEN PLC NEW	07/27/2012	10/31/2012	110.00	110.00	
1. COVIDIEN PLC NEW	07/27/2012	11/01/2012	55.00	55.00	
1. COVIDIEN PLC NEW	07/27/2012	11/01/2012	55.00	55.00	
3. COVIDIEN PLC NEW	07/27/2012	11/02/2012	168.00	164.00	4.00
3. EOG RESOURCES INC	VAR	11/05/2012	349.00	340.00	9.00
1. EOG RESOURCES INC	09/07/2012	11/05/2012	116.00	113.00	3.00
4. PIONEER NAT RES CO	04/19/2012	11/05/2012	426.00	423.00	3.00
1. GOLDMAN SACHS GROUP INC	01/26/2012	11/08/2012	116.00	109.00	7.00
2. GOLDMAN SACHS GROUP INC	01/26/2012	11/08/2012	232.00	217.00	15.00
1. GOLDMAN SACHS GROUP INC	01/26/2012	11/08/2012	116.00	109.00	7.00
1. GOLDMAN SACHS GROUP INC	01/26/2012	11/09/2012	115.00	109.00	6.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2. GOLDMAN SACHS GROUP INC	01/26/2012	11/09/2012	232.00	217.00	15.00
3. LAM RESEARCH CORPORATIO	01/06/2012	11/16/2012	103.00	113.00	-10.00
9. LAM RESEARCH CORPORATIO	VAR	11/19/2012	312.00	333.00	-21.00
8. LAM RESEARCH CORPORATIO	07/06/2012	11/19/2012	277.00	283.00	-6.00
4. LAM RESEARCH CORPORATIO	07/06/2012	11/20/2012	137.00	141.00	-4.00
4. CSX CORP	05/29/2012	12/04/2012	78.00	86.00	-8.00
17. CSX CORP	VAR	12/04/2012	334.00	366.00	-32.00
6. CSX CORP	VAR	12/05/2012	119.00	129.00	-10.00
29. FREEPORT-MCMORAN COPPE B	VAR	12/05/2012	951.00	1,336.00	-385.00
4. FREEPORT-MCMORAN COPPER	03/20/2012	12/05/2012	132.00	156.00	-24.00
3. CARNIVAL CORPORATION	09/07/2012	12/12/2012	114.00	111.00	3.00
1. CARNIVAL CORPORATION	09/07/2012	12/12/2012	38.00	37.00	1.00
2. CARNIVAL CORPORATION	09/07/2012	12/13/2012	76.00	74.00	2.00
22. ALTERA CORP	VAR	12/14/2012	724.00	834.00	-110.00
15. ALTERA CORP	VAR	12/14/2012	496.00	566.00	-70.00
2. CARNIVAL CORPORATION	09/07/2012	12/14/2012	76.00	74.00	2.00
1. INTERCONTINENTAL EXCHAN	01/23/2012	12/14/2012	129.00	119.00	10.00
2. INTERCONTINENTAL EXCHAN	01/23/2012	12/14/2012	256.00	237.00	19.00
1. CARNIVAL CORPORATION	09/07/2012	12/17/2012	38.00	37.00	1.00
2. CARNIVAL CORPORATION	09/07/2012	12/17/2012	77.00	74.00	3.00
4. CARNIVAL CORPORATION	VAR	12/17/2012	152.00	148.00	4.00
5. CARNIVAL CORPORATION	09/26/2012	12/17/2012	192.00	184.00	8.00
1. INTERCONTINENTAL EXCHAN	01/23/2012	12/17/2012	128.00	119.00	9.00
10. CARNIVAL CORPORATION	VAR	12/18/2012	389.00	369.00	20.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 3. CARNIVAL CORPORATION	09/10/2012	12/18/2012	118.00	110.00	8.00
2. CARNIVAL CORPORATION	09/10/2012	12/18/2012	78.00	74.00	4.00
12. CARNIVAL CORPORATION	VAR	12/18/2012	471.00	442.00	29.00
1. KINDER MORGAN INC	06/29/2012	01/10/2013	37.00	32.00	5.00
1. KINDER MORGAN INC	06/29/2012	01/10/2013	37.00	32.00	5.00
1. KINDER MORGAN INC	06/29/2012	01/10/2013	37.00	32.00	5.00
4. KINDER MORGAN INC	VAR	01/10/2013	148.00	127.00	21.00
1. KINDER MORGAN INC	06/22/2012	01/11/2013	37.00	32.00	5.00
1. KINDER MORGAN INC	06/22/2012	01/11/2013	37.00	32.00	5.00
1. KINDER MORGAN INC	06/22/2012	01/11/2013	37.00	32.00	5.00
1. KINDER MORGAN INC	06/28/2012	01/14/2013	37.00	31.00	6.00
1. LINKEDIN CORP - A	04/09/2012	01/14/2013	118.00	99.00	19.00
1. LINKEDIN CORP - A	04/09/2012	01/14/2013	118.00	99.00	19.00
1. KINDER MORGAN INC	06/28/2012	01/15/2013	37.00	31.00	6.00
1. LINKEDIN CORP - A	04/09/2012	01/15/2013	118.00	99.00	19.00
4. LINKEDIN CORP - A	04/09/2012	01/15/2013	471.00	396.00	75.00
1. PROCTER & GAMBLE CO	02/01/2012	01/15/2013	70.00	63.00	7.00
1. KINDER MORGAN INC	06/28/2012	01/16/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/27/2012	01/16/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/27/2012	01/16/2013	37.00	31.00	6.00
1. LINKEDIN CORP - A	04/09/2012	01/16/2013	117.00	99.00	18.00
1. LINKEDIN CORP - A	04/09/2012	01/16/2013	118.00	99.00	19.00
2. LINKEDIN CORP - A	04/09/2012	01/16/2013	235.00	198.00	37.00
1. LINKEDIN CORP - A	04/09/2012	01/16/2013	118.00	99.00	19.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. KINDER MORGAN INC	06/25/2012	01/17/2013	37.00	31.00	6.00
3. KINDER MORGAN INC	VAR	01/17/2013	112.00	93.00	19.00
1. KINDER MORGAN INC	06/25/2012	01/17/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/28/2012	01/17/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/28/2012	01/17/2013	37.00	31.00	6.00
1. LINKEDIN CORP - A	04/09/2012	01/17/2013	118.00	99.00	19.00
6. ORACLE CORP	04/12/2012	01/17/2013	208.00	172.00	36.00
4. EOG RESOURCES INC	01/19/2012	01/18/2013	505.00	423.00	82.00
1. EOG RESOURCES INC	01/19/2012	01/18/2013	126.00	106.00	20.00
1. KINDER MORGAN INC	06/27/2012	01/18/2013	37.00	31.00	6.00
2. KINDER MORGAN INC	VAR	01/18/2013	74.00	62.00	12.00
1. KINDER MORGAN INC	06/27/2012	01/18/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/27/2012	01/18/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/27/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/22/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/26/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/23/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/23/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/25/2012	01/24/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/25/2012	01/24/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/25/2012	01/25/2013	37.00	31.00	6.00
2. KINDER MORGAN INC	VAR	01/28/2013	75.00	62.00	13.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
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OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. KINDER MORGAN INC	06/26/2012	01/28/2013	38.00	31.00	7.00
2. AMERICAN EXPRESS CO	10/22/2012	02/01/2013	120.00	113.00	7.00
1. AMERICAN EXPRESS CO	10/22/2012	02/01/2013	60.00	57.00	3.00
1. AMERICAN EXPRESS CO	10/22/2012	02/01/2013	60.00	57.00	3.00
2. AMERICAN EXPRESS CO	10/23/2012	02/01/2013	120.00	112.00	8.00
1. AMERICAN EXPRESS CO	10/23/2012	02/01/2013	60.00	56.00	4.00
2. AMERICAN EXPRESS CO	10/23/2012	02/01/2013	120.00	112.00	8.00
1. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	59.00	56.00	3.00
2. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	119.00	112.00	7.00
1. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	60.00	56.00	4.00
1. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	60.00	56.00	4.00
2. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	119.00	112.00	7.00
1. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	59.00	56.00	3.00
1. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	60.00	56.00	4.00
2. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	121.00	112.00	9.00
1. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	60.00	56.00	4.00
2. AMERICAN EXPRESS CO	VAR	02/05/2013	121.00	112.00	9.00
1. TIME WARNER CABLE INC	07/27/2012	02/07/2013	88.00	85.00	3.00
11. TIME WARNER CABLE INC	07/27/2012	02/07/2013	965.00	930.00	35.00
14. NETAPP INC	03/20/2012	02/08/2013	503.00	636.00	-133.00
1. NETAPP INC	03/20/2012	02/08/2013	36.00	45.00	-9.00
2. NETAPP INC	03/20/2012	02/08/2013	72.00	91.00	-19.00
2. NETAPP INC	03/20/2012	02/08/2013	71.00	91.00	-20.00
3. TIME WARNER CABLE INC	07/27/2012	02/08/2013	263.00	254.00	9.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)
Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
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OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 17. NETAPP INC	07/06/2012	02/11/2013	603.00	505.00	98.00
559. SPDR TR UNIT SER 1	12/13/2012	02/11/2013	84,831.00	80,191.00	4,640.00
2. GENERAL MLS INC	04/13/2012	02/13/2013	86.00	78.00	8.00
29. GENERAL MLS INC	VAR	02/13/2013	1,245.00	1,123.00	122.00
5. HALLIBURTON CO	01/07/2013	02/13/2013	205.00	183.00	22.00
27. HALLIBURTON CO	VAR	02/13/2013	1,102.00	988.00	114.00
3. PIONEER NAT RES CO	04/19/2012	02/26/2013	363.00	318.00	45.00
16. FREEPORT-MCMORAN COPPE B	03/20/2012	03/01/2013	502.00	624.00	-122.00
1. PRUDENTIAL FINL INC	06/05/2012	03/04/2013	55.00	46.00	9.00
1. PRUDENTIAL FINL INC	06/05/2012	03/04/2013	55.00	46.00	9.00
3. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	106.00	102.00	4.00
1. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	35.00	34.00	1.00
2. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	71.00	68.00	3.00
2. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	71.00	68.00	3.00
2. PRUDENTIAL FINL INC	06/05/2012	03/05/2013	114.00	92.00	22.00
1. PRUDENTIAL FINL INC	06/05/2012	03/05/2013	56.00	46.00	10.00
2. PRUDENTIAL FINL INC	06/05/2012	03/05/2013	114.00	92.00	22.00
1. PRUDENTIAL FINL INC	06/05/2012	03/05/2013	57.00	46.00	11.00
1. PRUDENTIAL FINL INC	06/05/2012	03/05/2013	57.00	46.00	11.00
1. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	36.00	34.00	2.00
2. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	72.00	68.00	4.00
1. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	36.00	34.00	2.00
3. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	108.00	102.00	6.00
2. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	72.00	68.00	4.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
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OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. PRUDENTIAL FINL INC	06/05/2012	03/06/2013	57.00	46.00	11.00
1. PRUDENTIAL FINL INC	06/05/2012	03/06/2013	57.00	46.00	11.00
15. QUALCOMM INC	05/07/2012	03/06/2013	999.00	929.00	70.00
4. QUALCOMM INC	VAR	03/06/2013	267.00	244.00	23.00
1. WELLS FARGO & CO NEW	03/16/2012	03/06/2013	36.00	34.00	2.00
4. WELLS FARGO & CO NEW	03/16/2012	03/06/2013	144.00	136.00	8.00
5. QUALCOMM INC	12/14/2012	03/07/2013	333.00	301.00	32.00
2. QUALCOMM INC	12/14/2012	03/08/2013	134.00	121.00	13.00
4. WELLS FARGO & CO NEW	03/16/2012	03/12/2013	147.00	136.00	11.00
3. WELLS FARGO & CO NEW	06/05/2012	03/13/2013	110.00	91.00	19.00
21. MICROSOFT CORP	07/06/2012	03/15/2013	589.00	632.00	-43.00
2. CBS CORP	12/03/2012	03/19/2013	93.00	72.00	21.00
3. CBS CORP	12/03/2012	03/19/2013	137.00	108.00	29.00
1. CBS CORP	12/03/2012	03/19/2013	47.00	36.00	11.00
3. CBS CORP	12/03/2012	03/19/2013	137.00	108.00	29.00
1. CBS CORP	12/03/2012	03/19/2013	46.00	36.00	10.00
2. CBS CORP	12/03/2012	03/19/2013	91.00	72.00	19.00
1. CBS CORP	12/03/2012	03/19/2013	46.00	36.00	10.00
3. CBS CORP	VAR	03/20/2013	139.00	108.00	31.00
1. CBS CORP	12/04/2012	03/20/2013	46.00	36.00	10.00
3. CBS CORP	12/04/2012	03/20/2013	139.00	107.00	32.00
4. CBS CORP	12/04/2012	03/25/2013	182.00	143.00	39.00
8. CBS CORP	12/04/2012	03/25/2013	364.00	286.00	78.00
2. CBS CORP	12/04/2012	03/25/2013	91.00	71.00	20.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2. CBS CORP	12/04/2012	03/26/2013	92.00	71.00	21.00
3. CBS CORP	12/04/2012	03/26/2013	137.00	107.00	30.00
2. CBS CORP	12/05/2012	03/26/2013	91.00	71.00	20.00
3. CBS CORP	12/05/2012	03/28/2013	140.00	107.00	33.00
1. CBS CORP	12/05/2012	03/28/2013	46.00	36.00	10.00
2. CBS CORP	12/05/2012	04/01/2013	93.00	71.00	22.00
1. CBS CORP	12/05/2012	04/01/2013	46.00	36.00	10.00
1. CBS CORP	12/05/2012	04/01/2013	46.00	36.00	10.00
2. CBS CORP	12/05/2012	04/01/2013	91.00	71.00	20.00
2. CBS CORP	12/05/2012	04/02/2013	91.00	71.00	20.00
3. CBS CORP	12/05/2012	04/03/2013	135.00	107.00	28.00
5. BAIDU.COM-ADR	09/26/2012	04/04/2013	426.00	565.00	-139.00
4. CBS CORP	12/05/2012	04/04/2013	180.00	142.00	38.00
11. CAMERON INTERNATIONAL	VAR	04/04/2013	674.00	707.00	-33.00
22. CHENIERE ENERGY INC	02/13/2013	04/04/2013	557.00	476.00	81.00
4. CAMERON INTERNATIONAL C	VAR	04/05/2013	243.00	230.00	13.00
14. CHENIERE ENERGY INC	VAR	04/05/2013	360.00	239.00	121.00
1. CAREFUSION CORPORATION	09/18/2012	04/08/2013	34.00	28.00	6.00
13. CAREFUSION CORPORATION	VAR	04/08/2013	446.00	362.00	84.00
2. CAREFUSION CORPORATION	VAR	04/08/2013	69.00	56.00	13.00
1. PRUDENTIAL FINL INC	06/05/2012	04/08/2013	55.00	46.00	9.00
3. CAREFUSION CORPORATION	09/10/2012	04/09/2013	104.00	83.00	21.00
5. CAREFUSION CORPORATION	VAR	04/09/2013	173.00	134.00	39.00
2. CAREFUSION CORPORATION	VAR	04/09/2013	69.00	52.00	17.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. CAREFUSION CORPORATION	04/30/2012	04/10/2013	35.00	26.00	9.00
9. CAREFUSION CORPORATION	VAR	04/10/2013	312.00	233.00	79.00
4. EMERSON ELEC CO	VAR	04/12/2013	224.00	221.00	3.00
5. EMERSON ELEC CO	VAR	04/12/2013	279.00	274.00	5.00
9. COGNIZANT TECHNOLOGY SO	05/07/2012	04/16/2013	660.00	525.00	135.00
17. 3M CO	VAR	04/22/2013	1,797.00	1,649.00	148.00
10. CISCO SYS INC	02/08/2013	04/25/2013	206.00	212.00	-6.00
4. CISCO SYS INC	02/08/2013	04/25/2013	83.00	85.00	-2.00
18. CISCO SYS INC	VAR	04/25/2013	372.00	382.00	-10.00
31. CISCO SYS INC	01/16/2013	04/25/2013	640.00	655.00	-15.00
3. COGNIZANT TECHNOLOGY SO	05/07/2012	04/25/2013	187.00	175.00	12.00
11. CISCO SYS INC	VAR	04/26/2013	226.00	225.00	1.00
4. CISCO SYS INC	12/05/2012	04/26/2013	82.00	78.00	4.00
1. CISCO SYS INC	12/05/2012	04/26/2013	21.00	19.00	2.00
10. CISCO SYS INC	12/05/2012	04/26/2013	206.00	194.00	12.00
6. CISCO SYS INC	12/05/2012	04/29/2013	125.00	116.00	9.00
10. MERCK & CO INC	03/12/2013	05/01/2013	458.00	452.00	6.00
8. MERCK & CO INC	03/12/2013	05/01/2013	363.00	362.00	1.00
9. AMERICAN INTL GROUP INC	VAR	05/07/2013	403.00	353.00	50.00
27. AMERICAN INTL GROUP IN	VAR	05/07/2013	1,202.00	1,048.00	154.00
11. CISCO SYS INC	VAR	05/07/2013	224.00	213.00	11.00
4. VMWARE INC-CLASS A	03/14/2013	05/07/2013	307.00	340.00	-33.00
7. CISCO SYS INC	12/05/2012	05/08/2013	144.00	135.00	9.00
10. CME GROUP INC	06/05/2012	05/09/2013	608.00	536.00	72.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4. CME GROUP INC	12/14/2012	05/10/2013	246.00	205.00	41.00
4. CME GROUP INC	VAR	05/10/2013	243.00	204.00	39.00
1. TEXAS INSTRS INC	03/07/2013	05/14/2013	37.00	35.00	2.00
1. TEXAS INSTRS INC	03/07/2013	05/15/2013	37.00	35.00	2.00
1. TEXAS INSTRS INC	03/07/2013	05/15/2013	37.00	35.00	2.00
1. TEXAS INSTRS INC	03/07/2013	05/15/2013	37.00	35.00	2.00
1. TEXAS INSTRS INC	03/07/2013	05/16/2013	37.00	35.00	2.00
2. TEXAS INSTRS INC	03/07/2013	05/16/2013	74.00	70.00	4.00
1. TEXAS INSTRS INC	03/07/2013	05/16/2013	37.00	35.00	2.00
2. TEXAS INSTRS INC	03/07/2013	05/16/2013	73.00	70.00	3.00
1. CHENIERE ENERGY INC	12/14/2012	05/17/2013	31.00	17.00	14.00
3. CHENIERE ENERGY INC	12/14/2012	05/17/2013	92.00	51.00	41.00
1. CHENIERE ENERGY INC	12/14/2012	05/17/2013	31.00	17.00	14.00
1. CHENIERE ENERGY INC	12/14/2012	05/17/2013	31.00	17.00	14.00
4. TEXAS INSTRS INC	VAR	05/17/2013	147.00	141.00	6.00
1. CHENIERE ENERGY INC	12/14/2012	05/20/2013	31.00	17.00	14.00
1. CHENIERE ENERGY INC	12/14/2012	05/20/2013	31.00	17.00	14.00
4. TEXAS INSTRS INC	03/06/2013	05/20/2013	147.00	140.00	7.00
1. CHENIERE ENERGY INC	12/14/2012	05/21/2013	31.00	17.00	14.00
2. CHENIERE ENERGY INC	VAR	05/21/2013	61.00	33.00	28.00
2. TEXAS INSTRS INC	03/06/2013	05/21/2013	73.00	70.00	3.00
2. CHENIERE ENERGY INC	VAR	05/22/2013	62.00	32.00	30.00
3. TEXAS INSTRS INC	03/06/2013	05/22/2013	108.00	105.00	3.00
3. CHENIERE ENERGY INC	10/22/2012	05/23/2013	88.00	48.00	40.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2012**

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SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at www irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a	1. CHENIERE ENERGY INC	10/22/2012	05/23/2013	29.00	16.00	13.00
	21. TEXAS INSTRS INC	VAR	05/23/2013	754.00	737.00	17.00
	9. TEXAS INSTRS INC	VAR	05/23/2013	323.00	315.00	8.00
	15. VMWARE INC-CLASS A	VAR	05/30/2013	1,072.00	1,265.00	-193.00
	4. WILLIAMS COS INC	01/11/2013	06/07/2013	141.00	136.00	5.00
	1. WILLIAMS COS INC	01/11/2013	06/07/2013	35.00	34.00	1.00
	2. WILLIAMS COS INC	01/11/2013	06/10/2013	70.00	68.00	2.00
	1. WILLIAMS COS INC	01/10/2013	06/10/2013	35.00	34.00	1.00
	2. WILLIAMS COS INC	01/10/2013	06/10/2013	70.00	68.00	2.00
	2. WILLIAMS COS INC	01/10/2013	06/10/2013	70.00	68.00	2.00
	6. WILLIAMS COS INC	01/10/2013	06/13/2013	202.00	203.00	-1.00
	7. WILLIAMS COS INC	VAR	06/13/2013	235.00	236.00	-1.00
	5. WILLIAMS COS INC	VAR	06/13/2013	167.00	168.00	-1.00
	7. OCCIDENTAL PETE CORP	VAR	06/21/2013	629.00	647.00	-18.00
	1. ALLERGAN INC	05/07/2013	06/24/2013	84.00	105.00	-21.00
	1. ALLERGAN INC	05/07/2013	06/24/2013	84.00	105.00	-21.00
	2. ALLERGAN INC	05/07/2013	06/24/2013	167.00	209.00	-42.00
	1. ALLERGAN INC	05/07/2013	06/24/2013	86.00	105.00	-19.00
	1. ALLERGAN INC	05/22/2013	06/24/2013	84.00	99.00	-15.00
	1. ALLERGAN INC	05/22/2013	06/24/2013	82.00	99.00	-17.00
	1. ALLERGAN INC	05/22/2013	06/24/2013	86.00	99.00	-13.00
	1. ALLERGAN INC	05/22/2013	06/25/2013	85.00	99.00	-14.00
	1. ALLERGAN INC	05/22/2013	06/25/2013	84.00	99.00	-15.00
	1. INVESCO LTD SHS	12/14/2012	06/28/2013	32.00	25.00	7.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a	1. INVESCO LTD SHS	12/14/2012	06/28/2013	32.00	25.00	7.00
	2. INVESCO LTD SHS	12/14/2012	06/28/2013	64.00	51.00	13.00
	1. INVESCO LTD SHS	12/14/2012	06/28/2013	32.00	25.00	7.00
	1. ONYX PHARMACEUTICALS IN	04/01/2013	07/01/2013	131.00	91.00	40.00
	2. ONYX PHARMACEUTICALS IN	VAR	07/01/2013	262.00	181.00	81.00
	2. ONYX PHARMACEUTICALS IN	03/28/2013	07/01/2013	264.00	178.00	86.00
	1. ONYX PHARMACEUTICALS IN	03/28/2013	07/01/2013	131.00	89.00	42.00
	1. INVESCO LTD SHS	12/14/2012	07/01/2013	32.00	25.00	7.00
	8. PHILLIPS 66	VAR	07/02/2013	456.00	427.00	29.00
	2. APACHE CORP	05/20/2013	07/03/2013	161.00	168.00	-7.00
	1. APACHE CORP	05/20/2013	07/03/2013	80.00	84.00	-4.00
	1. INVESCO LTD SHS	12/14/2012	07/03/2013	32.00	25.00	7.00
	1. INVESCO LTD SHS	12/14/2012	07/05/2013	32.00	25.00	7.00
	1. INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00	7.00
	1. INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00	7.00
	125000. CS REN HSCEI 02/	02/01/2013	07/09/2013	91,438.00	123,750.00	-32,312.00
	1. INVESCO LTD SHS	12/14/2012	07/09/2013	32.00	25.00	7.00
	1. INVESCO LTD SHS	12/14/2012	07/10/2013	32.00	25.00	7.00
	1. INVESCO LTD SHS	12/14/2012	07/10/2013	31.00	25.00	6.00
	1. INVESCO LTD SHS	12/14/2012	07/11/2013	32.00	25.00	7.00
	2. INVESCO LTD SHS	12/14/2012	07/11/2013	64.00	51.00	13.00
	3. PHILIP MORRIS INTERNATI	01/15/2013	07/12/2013	268.00	267.00	1.00
	10. TIME WARNER INC	VAR	07/12/2013	613.00	566.00	47.00
	5. PHILIP MORRIS INTERNATI	01/15/2013	07/15/2013	449.00	445.00	4.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. GOOGLE INC	05/22/2013	07/16/2013	917.00	904.00	13.00
1. GOOGLE INC	04/16/2013	07/17/2013	918.00	793.00	125.00
1. WALTER INDS INC	09/07/2012	07/24/2013	12.00	35.00	-23.00
1. WALTER INDS INC	09/07/2012	07/25/2013	12.00	35.00	-23.00
6. LINKEDIN CORP - A	VAR	07/29/2013	1,216.00	1,069.00	147.00
2. JOHNSON CTLS INC	05/20/2013	07/30/2013	82.00	75.00	7.00
1. JOHNSON CTLS INC	05/20/2013	07/30/2013	41.00	38.00	3.00
6831.742 DELAWARE EMERGING FUND	02/11/2013	07/31/2013	99,607.00	100,358.00	-751.00
3859.943 EATON VANCE MUT F	02/11/2013	07/31/2013	35,434.00	35,357.00	77.00
3. JOHNSON CTLS INC	05/20/2013	07/31/2013	121.00	113.00	8.00
7478.849 JPMORGAN INTERNAT CURRENCY	02/11/2013	07/31/2013	82,791.00	84,100.00	-1,309.00
1. ONYX PHARMACEUTICALS IN	03/28/2013	07/31/2013	132.00	89.00	43.00
2. ONYX PHARMACEUTICALS IN	04/03/2013	07/31/2013	264.00	176.00	88.00
1. WALTER INDS INC	09/07/2012	07/31/2013	12.00	35.00	-23.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . -28,904.00

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

ANNE S RICHARDSON FUND P60833004

Employer identification number 13-6192516

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 5. EXXON MOBIL CORP	10/18/2010	09/13/2012	456.00	329.00	127.00
1. NIKE INC	10/08/2008	09/18/2012	97.00	56.00	41.00
5. NIKE INC	10/08/2008	09/18/2012	489.00	281.00	208.00
2. APPLE COMPUTER INC	VAR	09/19/2012	1,403.00	715.00	688.00
175000. HSBC CONT BUFF EQ	09/02/2011	09/19/2012	210,000.00	173,250.00	36,750.00
3. NIKE INC	10/08/2008	09/19/2012	300.00	169.00	131.00
2. NIKE INC	10/08/2008	09/19/2012	198.00	112.00	86.00
3. NIKE INC	10/08/2008	09/20/2012	292.00	169.00	123.00
1. NIKE INC	10/08/2008	09/20/2012	98.00	56.00	42.00
1. NIKE INC	10/08/2008	09/25/2012	96.00	56.00	40.00
80. CBS CORP	VAR	09/26/2012	2,852.00	2,261.00	591.00
15082.38 JPMORGAN HIGH YIE	VAR	09/27/2012	122,469.00	116,027.00	6,442.00
10769.873 JPMORGAN SHORT D FUND	04/29/2011	09/27/2012	118,792.00	118,361.00	431.00
.063 ADT CORPORATION	01/13/2011	10/04/2012	2.00	2.00	
1. ADT CORPORATION	11/10/2010	10/05/2012	39.00	53.00	-14.00
8. ADT CORPORATION	VAR	10/05/2012	302.00	230.00	72.00
9. ADT CORPORATION	VAR	10/10/2012	337.00	226.00	111.00
. ADT CORPORATION		10/11/2012	19.00		19.00
. PENTAIR LTD		10/11/2012	5.00		5.00
8. KRAFT FOODS GROUP INC	VAR	10/15/2012	377.00	299.00	78.00
2. KRAFT FOODS GROUP INC	VAR	10/15/2012	94.00	75.00	19.00
1. KRAFT FOODS GROUP INC	08/04/2011	10/15/2012	47.00	37.00	10.00
5. KRAFT FOODS GROUP INC	VAR	10/15/2012	237.00	184.00	53.00
14. KRAFT FOODS GROUP INC	VAR	10/16/2012	656.00	519.00	137.00
9. DU PONT E I DE NEMOURS	05/12/2010	10/17/2012	448.00	348.00	100.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

ANNE S RICHARDSON FUND P60833004

Employer identification number 13-6192516

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 7. DU PONT E I DE NEMOURS	05/12/2010	10/18/2012	351.00	271.00	80.00
6. DU PONT E I DE NEMOURS	VAR	10/18/2012	299.00	229.00	70.00
. TIME WARNER INC		10/18/2012	12.00		12.00
3. CENTERPOINT ENERGY INC	07/15/2011	10/19/2012	64.00	58.00	6.00
14. CENTERPOINT ENERGY INC	07/15/2011	10/19/2012	299.00	271.00	28.00
11. CENTERPOINT ENERGY INC	VAR	10/22/2012	234.00	207.00	27.00
36. CENTERPOINT ENERGY INC	VAR	10/22/2012	764.00	603.00	161.00
1. CENTERPOINT ENERGY INC	03/10/2011	10/22/2012	21.00	16.00	5.00
1. CENTERPOINT ENERGY INC	03/10/2011	10/22/2012	21.00	16.00	5.00
. KRAFT FOODS GROUP INC		10/22/2012	31.00		31.00
. APPLE COMPUTER INC		10/23/2012	24.00		24.00
9. CAPITAL ONE FINL CORP	VAR	10/23/2012	535.00	400.00	135.00
2. CAPITAL ONE FINL CORP	VAR	10/23/2012	120.00	87.00	33.00
1. CAPITAL ONE FINL CORP	08/10/2011	10/23/2012	60.00	42.00	18.00
1. CAPITAL ONE FINL CORP	08/10/2011	10/23/2012	60.00	42.00	18.00
3. CAPITAL ONE FINL CORP	VAR	10/24/2012	181.00	123.00	58.00
30. LOWES COS INC	VAR	10/24/2012	974.00	753.00	221.00
4. LOWES COS INC	02/19/2010	10/24/2012	129.00	92.00	37.00
3. LOWES COS INC	02/19/2010	10/25/2012	95.00	69.00	26.00
3. LOWES COS INC	02/19/2010	10/25/2012	97.00	69.00	28.00
12. AT&T INC	12/05/2007	11/05/2012	415.00	459.00	-44.00
24. LAUDER ESTEE COS INC C	VAR	11/05/2012	1,426.00	1,216.00	210.00
1. COVIDIEN PLC NEW	02/11/2011	11/06/2012	56.00	50.00	6.00
5. CENTERPOINT ENERGY INC	03/10/2011	11/07/2012	104.00	80.00	24.00
10. CENTERPOINT ENERGY INC	03/10/2011	11/07/2012	209.00	161.00	48.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

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ANNE S RICHARDSON FUND P60833004

Employer identification number 13-6192516

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 125000. GS BREN EEM 11/05/	10/19/2011	11/07/2012	144,042.00	123,750.00	20,292.00
1. CENTERPOINT ENERGY INC	03/10/2011	11/08/2012	21.00	16.00	5.00
38. CENTERPOINT ENERGY INC	03/10/2011	11/08/2012	787.00	611.00	176.00
7. CENTERPOINT ENERGY INC	03/10/2011	11/08/2012	143.00	113.00	30.00
11. CENTERPOINT ENERGY INC	03/10/2011	11/08/2012	228.00	177.00	51.00
1. GOLDMAN SACHS GROUP INC	10/17/2011	11/09/2012	116.00	96.00	20.00
4. JOHNSON CTLS INC	10/28/2011	11/15/2012	100.00	134.00	-34.00
11. JOHNSON CTLS INC	VAR	11/15/2012	276.00	364.00	-88.00
4. JOHNSON CTLS INC	08/09/2010	11/15/2012	100.00	121.00	-21.00
3. LAM RESEARCH CORPORATIO	10/28/2011	11/15/2012	104.00	132.00	-28.00
20. JOHNSON CTLS INC	VAR	11/16/2012	501.00	592.00	-91.00
7. LAM RESEARCH CORPORATIO	10/28/2011	11/16/2012	241.00	307.00	-66.00
5. LAM RESEARCH CORPORATIO	10/28/2011	11/16/2012	171.00	219.00	-48.00
12. DU PONT E I DE NEMOURS	05/21/2010	12/03/2012	514.00	430.00	84.00
10. DU PONT E I DE NEMOURS	05/21/2010	12/03/2012	427.00	358.00	69.00
17. DU PONT E I DE NEMOURS	VAR	12/03/2012	723.00	608.00	115.00
1. DU PONT E I DE NEMOURS	06/01/2010	12/04/2012	42.00	36.00	6.00
9. DU PONT E I DE NEMOURS	06/01/2010	12/04/2012	383.00	322.00	61.00
. BANK OF AMERICA CORPORAT		12/05/2012	11.00		11.00
12. FREEPORT-MCMORAN COPPE B	11/08/2011	12/05/2012	394.00	500.00	-106.00
225000. GS CONT BUFF EQ SP	11/18/2011	12/05/2012	262,116.00	222,750.00	39,366.00
. ASML HOLDING N.V.		12/10/2012	6.00		6.00
7. AT&T INC	12/05/2007	12/14/2012	238.00	268.00	-30.00
22. AT&T INC	12/05/2007	12/14/2012	750.00	842.00	-92.00
2. INTERCONTINENTAL EXCHAN	VAR	12/17/2012	256.00	224.00	32.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

ANNE S RICHARDSON FUND P60833004

Employer identification number

13-6192516

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2. INTERCONTINENTAL EXCHAN	08/10/2011	12/17/2012	255.00	223.00	32.00
2. INTERCONTINENTAL EXCHAN	08/10/2011	12/18/2012	256.00	223.00	33.00
25. AT&T INC	VAR	12/20/2012	849.00	842.00	7.00
26. AT&T INC	VAR	12/21/2012	878.00	801.00	77.00
2887.705 HIGHBRIDGE DYNAMI COMMODITIES	04/29/2011	12/27/2012	40,486.00	65,002.00	-24,516.00
21. LENNAR CORP	10/21/2011	01/10/2013	861.00	349.00	512.00
5. LENNAR CORP	10/21/2011	01/10/2013	205.00	83.00	122.00
10. PROCTER & GAMBLE CO	01/30/2001	01/15/2013	698.00	347.00	351.00
2. GOLDMAN SACHS GROUP INC	10/17/2011	01/16/2013	279.00	192.00	87.00
1. GOLDMAN SACHS GROUP INC	10/17/2011	01/16/2013	141.00	96.00	45.00
2. GOLDMAN SACHS GROUP INC	10/17/2011	01/16/2013	282.00	192.00	90.00
1. GOLDMAN SACHS GROUP INC	12/16/2008	01/16/2013	141.00	74.00	67.00
1. GOLDMAN SACHS GROUP INC	12/16/2008	01/16/2013	140.00	74.00	66.00
1. GOLDMAN SACHS GROUP INC	12/16/2008	01/16/2013	139.00	74.00	65.00
2. ORACLE CORP	12/07/2010	01/16/2013	69.00	58.00	11.00
2. ORACLE CORP	12/07/2010	01/16/2013	70.00	58.00	12.00
4. ORACLE CORP	12/07/2010	01/16/2013	139.00	117.00	22.00
1. ORACLE CORP	12/07/2010	01/16/2013	35.00	29.00	6.00
2. ORACLE CORP	12/07/2010	01/17/2013	69.00	58.00	11.00
9. ORACLE CORP	12/07/2010	01/17/2013	312.00	262.00	50.00
21. JOHNSON CTLS INC	VAR	01/18/2013	645.00	327.00	318.00
3. JOHNSON CTLS INC	03/19/2009	01/18/2013	92.00	34.00	58.00
120000. GS BREN GOLD NOTE	01/20/2012	02/01/2013	120,813.00	118,800.00	2,013.00
5. MERCK & CO INC	04/18/2011	02/05/2013	205.00	170.00	35.00
6. MERCK & CO INC	04/18/2011	02/05/2013	248.00	204.00	44.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 4. MERCK & CO INC	04/18/2011	02/05/2013	165.00	136.00	29.00
1. PFIZER INC	02/18/2009	02/05/2013	27.00	14.00	13.00
8. PFIZER INC	02/18/2009	02/05/2013	220.00	114.00	106.00
1. PFIZER INC	02/18/2009	02/05/2013	27.00	14.00	13.00
4. MERCK & CO INC	VAR	02/06/2013	164.00	128.00	36.00
4. MERCK & CO INC	08/16/2011	02/06/2013	164.00	128.00	36.00
7. PFIZER INC	02/18/2009	02/06/2013	191.00	100.00	91.00
1. PFIZER INC	02/18/2009	02/06/2013	27.00	14.00	13.00
14. JOHNSON CTLS INC	03/19/2009	02/07/2013	429.00	159.00	270.00
3. MERCK & CO INC	08/16/2011	02/07/2013	123.00	96.00	27.00
2. PFIZER INC	02/18/2009	02/07/2013	54.00	28.00	26.00
5. JOHNSON CTLS INC	03/19/2009	02/08/2013	155.00	57.00	98.00
11. MERCK & CO INC	VAR	02/08/2013	451.00	303.00	148.00
4. NETAPP INC	09/20/2011	02/08/2013	142.00	143.00	-1.00
1. PFIZER INC	02/18/2009	02/08/2013	27.00	14.00	13.00
2. PFIZER INC	02/18/2009	02/08/2013	54.00	28.00	26.00
1. PFIZER INC	02/18/2009	02/08/2013	27.00	14.00	13.00
5. PFIZER INC	02/18/2009	02/08/2013	134.00	71.00	63.00
1. PFIZER INC	02/18/2009	02/08/2013	27.00	14.00	13.00
631. ISHARES TR RUSSELL MI FD	04/23/2009	02/11/2013	77,370.00	37,635.00	39,735.00
16525.986 JPMORGAN GROWTH FUND	01/07/2011	02/11/2013	177,159.00	147,908.00	29,251.00
15. NETAPP INC	09/20/2011	02/11/2013	532.00	535.00	-3.00
2. PFIZER INC	02/18/2009	02/11/2013	54.00	28.00	26.00
7. PFIZER INC	02/18/2009	02/11/2013	190.00	100.00	90.00
1. PFIZER INC	02/18/2009	02/11/2013	27.00	14.00	13.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: ANNE S RICHARDSON FUND P60833004

Employer identification number 13-6192516

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1. PFIZER INC	02/18/2009	02/11/2013	27.00	14.00	13.00
1. PFIZER INC	02/20/2009	02/11/2013	27.00	14.00	13.00
1. PFIZER INC	02/20/2009	02/11/2013	27.00	14.00	13.00
2. PFIZER INC	02/20/2009	02/12/2013	54.00	28.00	26.00
5. PFIZER INC	02/20/2009	02/12/2013	135.00	69.00	66.00
1. PFIZER INC	02/20/2009	02/12/2013	27.00	14.00	13.00
1. PFIZER INC	02/20/2009	02/12/2013	27.00	14.00	13.00
1. PFIZER INC	02/20/2009	02/12/2013	27.00	14.00	13.00
3. PFIZER INC	02/20/2009	02/12/2013	81.00	41.00	40.00
1. PFIZER INC	02/20/2009	02/12/2013	27.00	14.00	13.00
1. PFIZER INC	02/20/2009	02/12/2013	27.00	14.00	13.00
1. PFIZER INC	02/20/2009	02/13/2013	27.00	14.00	13.00
3. PFIZER INC	02/20/2009	02/13/2013	81.00	41.00	40.00
2. PFIZER INC	02/20/2009	02/13/2013	54.00	28.00	26.00
6. PFIZER INC	02/20/2009	02/13/2013	161.00	83.00	78.00
. AXIALL CORPORATION		02/15/2013	18.00		18.00
9. PIONEER NAT RES CO	VAR	02/26/2013	1,092.00	802.00	290.00
1. PIONEER NAT RES CO	08/10/2011	02/26/2013	122.00	74.00	48.00
2. PIONEER NAT RES CO	08/10/2011	02/26/2013	244.00	149.00	95.00
1. PIONEER NAT RES CO	08/10/2011	02/26/2013	124.00	74.00	50.00
1. PIONEER NAT RES CO	08/10/2011	02/26/2013	124.00	74.00	50.00
28. FREEPORT-MCMORAN COPPE B	02/09/2009	03/01/2013	879.00	423.00	456.00
3. PRUDENTIAL FINL INC	08/12/2011	03/04/2013	166.00	155.00	11.00
120000. HSBC BREN EAFE 03/	02/17/2012	03/06/2013	127,246.00	118,800.00	8,446.00
2. QUALCOMM INC	09/29/2011	03/08/2013	134.00	102.00	32.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
ANNE S RICHARDSON FUND P60833004	13-6192516

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 53. PFIZER INC	VAR	03/12/2013	1,489.00	720.00	769.00
1. WELLS FARGO & CO NEW	03/07/2011	03/12/2013	37.00	32.00	5.00
10. WELLS FARGO & CO NEW	03/07/2011	03/12/2013	367.00	318.00	49.00
175000. BARC BREN SPX 03/1	02/24/2012	03/13/2013	196,875.00	173,250.00	23,625.00
125000. CS BREN ASIA BASKE	02/24/2012	03/13/2013	131,368.00	123,750.00	7,618.00
7. PFIZER INC	03/03/2009	03/13/2013	196.00	84.00	112.00
11. PFIZER INC	03/03/2009	03/13/2013	308.00	132.00	176.00
4. PFIZER INC	03/03/2009	03/13/2013	112.00	48.00	64.00
4. WELLS FARGO & CO NEW	03/07/2011	03/13/2013	146.00	127.00	19.00
11. JUNIPER NETWORKS INC	10/05/2011	03/14/2013	225.00	203.00	22.00
7. JUNIPER NETWORKS INC	VAR	03/14/2013	143.00	116.00	27.00
12. JUNIPER NETWORKS INC	04/01/2009	03/14/2013	246.00	190.00	56.00
14. PFIZER INC	03/03/2009	03/14/2013	392.00	168.00	224.00
2. PFIZER INC	03/03/2009	03/14/2013	56.00	24.00	32.00
3. PFIZER INC	03/03/2009	03/14/2013	84.00	36.00	48.00
12. JUNIPER NETWORKS INC	04/01/2009	03/15/2013	244.00	190.00	54.00
36. JUNIPER NETWORKS INC	04/01/2009	03/15/2013	727.00	571.00	156.00
2. MICROSOFT CORP	08/11/2011	03/15/2013	56.00	49.00	7.00
5. PFIZER INC	03/03/2009	03/15/2013	140.00	60.00	80.00
6. PFIZER INC	03/03/2009	03/15/2013	167.00	72.00	95.00
2. CME GROUP INC	02/14/2012	03/18/2013	125.00	116.00	9.00
4. CME GROUP INC	02/14/2012	03/18/2013	251.00	231.00	20.00
1. CME GROUP INC	02/14/2012	03/18/2013	63.00	58.00	5.00
8. PFIZER INC	03/03/2009	03/18/2013	225.00	96.00	129.00
2. CME GROUP INC	02/14/2012	03/19/2013	124.00	116.00	8.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

ANNE S RICHARDSON FUND P60833004

Employer identification number

13-6192516

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 4. CME GROUP INC	02/14/2012	03/19/2013	246.00	231.00	15.00
6. PFIZER INC	03/03/2009	03/19/2013	168.00	72.00	96.00
6. PFIZER INC	03/03/2009	03/19/2013	168.00	72.00	96.00
2. CME GROUP INC	02/14/2012	03/20/2013	123.00	116.00	7.00
1. CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00	4.00
3. CME GROUP INC	02/14/2012	03/20/2013	186.00	173.00	13.00
3. CME GROUP INC	02/14/2012	03/20/2013	186.00	173.00	13.00
1. CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00	4.00
1. CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00	4.00
10. PFIZER INC	03/03/2009	03/20/2013	282.00	120.00	162.00
6. PFIZER INC	03/03/2009	03/20/2013	169.00	72.00	97.00
15. PFIZER INC	03/03/2009	03/21/2013	422.00	180.00	242.00
7. PFIZER INC	03/03/2009	03/22/2013	198.00	84.00	114.00
4. PFIZER INC	03/03/2009	03/22/2013	113.00	48.00	65.00
4. PFIZER INC	03/03/2009	03/22/2013	113.00	48.00	65.00
2. VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	110.00	63.00	47.00
1. VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	55.00	31.00	24.00
1. VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	55.00	31.00	24.00
1. VERTEX PHARMACEUTICALS	12/15/2011	04/01/2013	54.00	31.00	23.00
1. VERTEX PHARMACEUTICALS	12/15/2011	04/02/2013	55.00	31.00	24.00
4. VERTEX PHARMACEUTICALS	12/15/2011	04/03/2013	212.00	125.00	87.00
9. BAIDU.COM-ADR	09/21/2010	04/04/2013	766.00	828.00	-62.00
125000. HSBC BREN EAFE 0 0	03/16/2012	04/04/2013	129,902.00	123,750.00	6,152.00
2. VERTEX PHARMACEUTICALS	12/15/2011	04/04/2013	105.00	63.00	42.00
4. CARDINAL HEALTH INC	09/16/2011	04/08/2013	170.00	173.00	-3.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

ANNE S RICHARDSON FUND P60833004

Employer identification number 13-6192516

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	1. CARDINAL HEALTH INC	09/16/2011	04/08/2013	42.00	43.00	-1.00
	1. CARDINAL HEALTH INC	09/16/2011	04/08/2013	42.00	43.00	-1.00
	16. CARDINAL HEALTH INC	VAR	04/08/2013	680.00	535.00	145.00
	22. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	1,222.00	493.00	729.00
	2. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	111.00	45.00	66.00
	2. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	111.00	45.00	66.00
	13. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	720.00	291.00	429.00
	4. COVIDIEN PLC NEW	02/11/2011	04/08/2013	268.00	201.00	67.00
	1. PRUDENTIAL FINL INC	04/02/2009	04/09/2013	56.00	22.00	34.00
	1. COVIDIEN PLC NEW	02/11/2011	04/09/2013	67.00	50.00	17.00
	2. COVIDIEN PLC NEW	02/11/2011	04/10/2013	136.00	101.00	35.00
	1. COVIDIEN PLC NEW	02/11/2011	04/11/2013	69.00	50.00	19.00
	14. EMERSON ELEC CO	07/13/2011	04/12/2013	783.00	793.00	-10.00
	4. EMERSON ELEC CO	05/18/2011	04/12/2013	223.00	216.00	7.00
	2. COVIDIEN PLC NEW	VAR	04/12/2013	136.00	101.00	35.00
	2. BIOGEN IDEC INC	08/16/2011	04/15/2013	401.00	182.00	219.00
	4. COGNIZANT TECHNOLOGY SO	08/11/2011	04/16/2013	293.00	248.00	45.00
	21471.484 JPMORGAN HIGH YI FUND	12/02/2009	04/16/2013	178,428.00	162,754.00	15,674.00
	2. COVIDIEN PLC NEW	07/25/2011	04/16/2013	133.00	100.00	33.00
	1. COVIDIEN PLC NEW	07/25/2011	04/16/2013	67.00	50.00	17.00
	1. COVIDIEN PLC NEW	07/25/2011	04/16/2013	66.00	50.00	16.00
	1. COVIDIEN PLC NEW	07/25/2011	04/17/2013	66.00	50.00	16.00
	5. GOLDMAN SACHS GROUP INC	12/16/2008	04/18/2013	697.00	370.00	327.00
	1. COVIDIEN PLC NEW	07/25/2011	04/18/2013	66.00	50.00	16.00
	11. CME GROUP INC	VAR	04/19/2013	647.00	636.00	11.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
ANNE S RICHARDSON FUND P60833004	13-6192516

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr.)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 13. GOLDMAN SACHS GROUP IN	12/16/2008	04/19/2013	1,802.00	963.00	839.00
3. COGNIZANT TECHNOLOGY SO	02/26/2010	04/25/2013	184.00	145.00	39.00
2. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	124.00	96.00	28.00
8. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	496.00	385.00	111.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	62.00	48.00	14.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	62.00	48.00	14.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	62.00	48.00	14.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
2. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	125.00	96.00	29.00
2. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	126.00	96.00	30.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
2. MERCK & CO INC	10/10/2008	05/01/2013	91.00	50.00	41.00
3. MERCK & CO INC	10/10/2008	05/01/2013	137.00	75.00	62.00
5. MERCK & CO INC	10/10/2008	05/01/2013	225.00	125.00	100.00
4. MERCK & CO INC	10/10/2008	05/01/2013	184.00	100.00	84.00
1. MERCK & CO INC	10/10/2008	05/01/2013	46.00	25.00	21.00
1. MERCK & CO INC	10/10/2008	05/01/2013	46.00	25.00	21.00
2. MERCK & CO INC	10/10/2008	05/01/2013	91.00	50.00	41.00
1. MERCK & CO INC	10/10/2008	05/01/2013	45.00	25.00	20.00
1. MERCK & CO INC	10/10/2008	05/01/2013	46.00	25.00	21.00
1. MERCK & CO INC	10/10/2008	05/01/2013	46.00	25.00	21.00
2. MERCK & CO INC	10/10/2008	05/01/2013	90.00	50.00	40.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

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Employer identification number: 13-6192516

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	2. BIOGEN IDEC INC	08/16/2011	05/07/2013	427.00	182.00	245.00
	1. BIOGEN IDEC INC	08/16/2011	05/07/2013	215.00	91.00	124.00
	9. CELGENE CORP.	03/30/2011	05/07/2013	1,103.00	511.00	592.00
	20. CISCO SYS INC	VAR	05/08/2013	412.00	326.00	86.00
	18. CISCO SYS INC	07/28/2011	05/08/2013	372.00	292.00	80.00
	4. CME GROUP INC	02/14/2012	05/09/2013	244.00	231.00	13.00
	1. CME GROUP INC	02/14/2012	05/09/2013	61.00	58.00	3.00
	2. MICROSOFT CORP	08/11/2011	05/09/2013	65.00	49.00	16.00
	4. MICROSOFT CORP	08/11/2011	05/09/2013	131.00	99.00	32.00
	1. MICROSOFT CORP	08/11/2011	05/10/2013	33.00	25.00	8.00
	11. MICROSOFT CORP	VAR	05/10/2013	358.00	241.00	117.00
	22. MERCK & CO INC	10/10/2008	05/13/2013	1,015.00	551.00	464.00
	21. MICROSOFT CORP	01/15/2009	05/13/2013	689.00	391.00	298.00
	4. EOG RESOURCES INC	04/24/2012	05/17/2013	539.00	417.00	122.00
	1. QUALCOMM INC	09/29/2011	05/17/2013	66.00	51.00	15.00
	2. BIOGEN IDEC INC	08/16/2011	05/20/2013	451.00	182.00	269.00
	7. EXXON MOBIL CORP	09/18/2002	05/20/2013	647.00	234.00	413.00
	1. QUALCOMM INC	09/29/2011	05/20/2013	66.00	51.00	15.00
	3. AMAZON COM INC	09/28/2010	05/22/2013	799.00	477.00	322.00
	2. AMAZON COM INC	VAR	05/22/2013	530.00	285.00	245.00
	1. AMAZON COM INC	08/13/2010	05/22/2013	266.00	126.00	140.00
	1. QUALCOMM INC	09/29/2011	05/22/2013	65.00	51.00	14.00
	6. QUALCOMM INC	VAR	05/24/2013	385.00	252.00	133.00
	6. EXXON MOBIL CORP	09/18/2002	05/31/2013	550.00	200.00	350.00
	26. MICROSOFT CORP	01/15/2009	06/06/2013	904.00	484.00	420.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side: ANNE S RICHARDSON FUND P60833004

Employer identification number: 13-6192516

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 16. METLIFE INC	03/03/2011	06/13/2013	714.00	717.00	-3.00
170000. BNP DELTA ONE MSCI 6/14/13	05/25/2012	06/14/2013	214,198.00	168,300.00	45,898.00
175000. DB DELTA ONE MSCI 6/19/13	06/01/2012	06/19/2013	227,307.00	173,250.00	54,057.00
2. ALLERGAN INC	01/19/2012	06/25/2013	168.00	174.00	-6.00
115000. HSBC BREN EAFE 0	06/15/2012	07/03/2013	140,421.00	113,850.00	26,571.00
5123.307 JPM INFLATION MGD SEL	07/22/2011	07/05/2013	53,231.00	54,563.00	-1,332.00
11014.107 VANGUARD FXD INC TM CORP*	06/04/2012	07/05/2013	106,617.00	112,124.00	-5,507.00
. TYCO INTL LTD NEW		07/10/2013	12.00		12.00
1. INVESCO LTD SHS	10/28/2011	07/11/2013	32.00	21.00	11.00
1. TIME WARNER INC	12/17/2010	07/12/2013	61.00	32.00	29.00
1. TIME WARNER INC	12/17/2010	07/12/2013	62.00	31.00	31.00
1. INVESCO LTD SHS	10/28/2011	07/12/2013	32.00	21.00	11.00
1. INVESCO LTD SHS	10/28/2011	07/12/2013	32.00	21.00	11.00
3. INVESCO LTD SHS	10/28/2011	07/12/2013	96.00	63.00	33.00
1. PHILIP MORRIS INTERNATI	06/21/2012	07/15/2013	90.00	86.00	4.00
6. TIME WARNER INC	VAR	07/15/2013	369.00	189.00	180.00
1. TIME WARNER INC	07/28/2010	07/15/2013	62.00	31.00	31.00
1. INVESCO LTD SHS	10/28/2011	07/15/2013	32.00	21.00	11.00
7. TIME WARNER INC	07/28/2010	07/16/2013	428.00	220.00	208.00
1. INVESCO LTD SHS	10/28/2011	07/16/2013	32.00	21.00	11.00
3. AMAZON COM INC	08/13/2010	07/18/2013	913.00	378.00	535.00
1. INVESCO LTD SHS	10/28/2011	07/18/2013	32.00	21.00	11.00
1. INVESCO LTD SHS	10/28/2011	07/18/2013	33.00	21.00	12.00
1. INVESCO LTD SHS	10/28/2011	07/18/2013	33.00	21.00	12.00
1. INVESCO LTD SHS	10/28/2011	07/19/2013	32.00	21.00	11.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	3.	3.
FEDERAL ESTIMATES - INCOME	9,000.	
FOREIGN TAXES ON QUALIFIED FOR	4,261.	4,261.
FOREIGN TAXES ON NONQUALIFIED	701.	701.
TOTALS	13,965.	4,965.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER NONALLOCABLE EXPENSES -	1.	1.
TOTALS	1.	1.

FORM 990PF, PART XV - LINES 2a - 2d
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RECIPIENT NAME:
CASEY BURGESS-JP MORGAN CHASE BANK
ADDRESS:
270 PARK AVENUE FL 14
NEW YORK,, NY 10017
RECIPIENT'S PHONE NUMBER: (212) 464-03
FORM, INFORMATION AND MATERIALS:
WWWFOUNDATIONCENTER ORG/GRANTMAKER/RICHARDSON
SUBMISSION DEADLINES:
NONE
RESTRICTIONS OR LIMITATIONS ON AWARDS:
FUNDING INT.24 ORG.RECOMMENDED BY MS.RICHARDSON,ORG THAT ASSIST LOWER INCOME PEOPLE OR ARE OF GEN.INT.TO THE COMMUNITY IN RIDGEFIELD & FAIRFIELD CT PROG SUPPORTING INDEPENDENCE OF WOMEN,GAY COMM., ETC
RESTRICTIONS OR LIMITATIONS ON AWARDS:
FUNDING INT.24 ORG.RECOMMENDED BY MS.RICHARD
FAIRFIELD

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
THE RIDGEFIELD LIBRARY AND HISTORIC
ADDRESS:
472 MAIN ST
Ridgefield, CT 06877
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 100,000.

RECIPIENT NAME:
SAINT STEPHENS EPISCOPAL CHURCH
ADDRESS:
351 MAIN STREET
RIDGEFIELD, CT 06877
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 65,000.

RECIPIENT NAME:
THE NATURE CONSERVANCY CONNECTICUT
ADDRESS:
55 CHURCH STREET, FLOOR 3
NEW HAVEN, CT 06510-3029
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 45,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
RIDGEFIELD VISITING NURSE ASSOCIAT
ADDRESS:
90 EAST RIDGE
Ridgefield, CT 06877
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 40,000.

RECIPIENT NAME:
FOUNDERS HALL FOUNDATION, INC
ADDRESS:
193 DANBURYR ROAD
Ridgefield, CT 06877
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 30,000.

RECIPIENT NAME:
KEELER TAVERN PRESERVATION SOCIETY,
ADDRESS:
P.O.BOX 204
RIDGEFIELD, CT 06877
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 30,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
AGING IN AMERICA INC
ADDRESS:
1500 PELHAM PARKWAY,
BRONX, NY 10461
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 30,000.

RECIPIENT NAME:
TRUST FOR PUBLIC LAND
ADDRESS:
101 WHITNEY AVE,
NEW HAVEN, CT 06510
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 30,000.

RECIPIENT NAME:
PLANNED PARENTHOOD OF SOUTHERN NEW
ADDRESS:
345 WHITNEY AVE.
New Haven, CT 06511
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 25,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
HARTFORD GAY AND LESBIAN HEALTH COL
ADDRESS:
P.O. BOX 2094
HARTFORD, CT 06145
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 25,000.

RECIPIENT NAME:
THE RIDGEFIELD SYMPHONY ORCHESTRA
ADDRESS:
90 EAST RIDGE
Ridgefield, CT 06877
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
FAMILY CENTERS, INC.
ADDRESS:
520 BRIDGE ST
GREENWICH, CT 06830
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 15,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
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RECIPIENT NAME:
CONNECTICUT COLLEGE
ADDRESS:
270 MONHEGAN AVENUE
NEW LONDON, CT 06320
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 15,000.

RECIPIENT NAME:
MEMORIAL SLOAN KETTERING CANCER CEN
ADDRESS:
1275 YORK AVENUE
NEW YORK, NY 10021
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 15,000.

RECIPIENT NAME:
NEW YORK-PRESBYTERIAN HOSPITAL
ADDRESS:
L525 EAST 68TH STREET
NEW YORK, NY 10065
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 15,000.

RECIPIENT NAME:
NORWALK HOSPITAL FOUNDATION,INC.
ADDRESS:
34 MAPLE STREET
NORWALK, CT 06850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 15,000.

RECIPIENT NAME:
RIDGEFIELD BOYS CLUB
ADDRESS:
41 GOVERNOR STREET
RIDGEFIELD, CT 06877
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 15,000.

RECIPIENT NAME:
YALE UNIVERSITY
ADDRESS:
P.O. BOX 2038,
NEW HAVEN, CT 06521
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 15,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
MEALS ON WHEELS, INC
ADDRESS:
25 GILBERT STREET
RIDGEFIELD, CT 06877
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 10,000.

RECIPIENT NAME:
RIDGEFIELD PLAYHOUSE FOR MOVIES AND
ADDRESS:
PO BOX 655
Ridgefield, CT 06877
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 10,000.

RECIPIENT NAME:
MAMANASCO LAKE IMPROVEMENT FUND, IN
ADDRESS:
P.O.BOX246,
RIDGEFIELD, CT 06877
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 5,000.

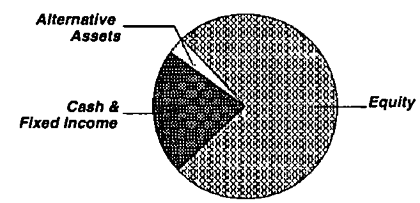
TOTAL GRANTS PAID: 570,000.

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	9,609,473 92	9,633,552.75	24,078.83	117,187.77	76%
Alternative Assets	143,244 63	145,693 35	2,448.72	2,442.71	2%
Cash & Fixed Income	2,353,092.85	2,772,453.55	419,360 70	54,198 80	22%
Market Value	**$12,105,811.40**	**$12,551,699.65**	**$445,888.25**	**$173,829.28**	**100%**

Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	5,873.72	5,204.43	(669.29)
Accruals	6,660.52	1,699.33	(4,961 19)
Market Value	**$12,534.24**	**$6,903.76**	**($5,630.48)**

Account Summary CONTINUED

	PRINCIPAL		INCOME	
Portfolio Activity	Current Period Value	Year-to-Date Value	Current Period Value	Year-to-Date Value
Beginning Market Value	**12,105,811.40**	**11,269,648.72**	**5,873.72**	**6,509.93**
Additions			10,000.00	10,000.00
Withdrawals & Fees	(10,000.00)	(505,000.23)	(27,539.51)	(193,763.02)
Securities Transferred In		1,436 58	--	--
Securities Transferred Out		(1,777.50)	--	--
Net Additions/Withdrawals	**($10,000.00)**	**($505,341.15)**	**($17,539.51)**	**($183,763.02)**
Income	383.99	4,500.47	16,870.22	182,457 52
Change In Investment Value	455,504.26	1,782,891.61		
Ending Market Value	**$12,551,699.65**	**$12,551,699.65**	**$5,204.43**	**$5,204.43**
Accruals	--	--	1,699 33	1,699 33
Market Value with Accruals	**--**	**--**	**$6,903.76**	**$6,903.76**

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	13,947.00	175,950.40
Foreign Dividends	50 43	869.73
Interest Income	13 25	194.84
Original Issue Discount	383 99	4,144 38
Taxable Income	**$14,394.67**	**$181,159.35**
Tax-Exempt Income	2,859.54	5,798 64
Tax-Exempt Income	**$2,859.54**	**$5,798.64**

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions		83,852 82
ST Realized Gain/Loss	(33,580 12)	(29,217 83)
LT Realized Gain/Loss	46,010 03	377,987 25
Realized Gain/Loss	**$12,429.91**	**$432,622.24**

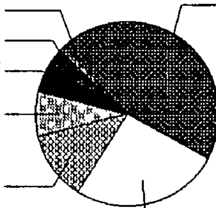
	To-Date Value
Unrealized Gain/Loss	**$2,261,830.63**

Cost Summary	Cost
Equity	7,429,642 08
Cash & Fixed Income	2,745,467 25
Total	**$10,175,109.33**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	4,371,500 37	4,296,182 71	(75,317 66)	34%
US Mid Cap Equity	1,052,522 58	1,115,971.02	63,448 44	9%
EAFE Equity	2,203,626 67	2,501,840.51	298,213.84	20%
European Large Cap Equity	132,262 50	138,212 50	5,950 00	1%
Asia ex-Japan Equity	797,789 54	809,570.91	11,781 37	6%
Emerging Market Equity	555,448.72	249,531.38	(305,917.34)	2%
Global Equity	496,323 54	522,243.72	25,920 18	4%
Total Value	**$9,609,473.92**	**$9,633,552.75**	**$24,078.83**	**76%**

Asset Categories



Equity as a percentage of your portfolio - 76 %

Market Value/Cost

Market Value/Cost	Current Period Value
Market Value	9,633,552 75
Tax Cost	7,429,642.08
Unrealized Gain/Loss	2,203,910.67
Estimated Annual Income	117,187.77
Accrued Dividends	311.16
Yield	1 21%

Note: **P** indicates position adjusted for Pending Trade Activity

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div.	Yield
US Large Cap Equity							
ACE LTD NEW H0023R-10-5 ACE	91 38	52.000	4,751.76	2,941.24	1,810.52	106 08 26 52	2.23%
ADOBE SYSTEMS INC 00724F-10-1 ADBE	47 28	46.000	2,174 88	1,642 72	532.16		
ALEXION PHARMACEUTICALS INC 015351-10-9 ALXN	116 19	10.000	1,161 90	966 52	195.38		
ALLERGAN INC 018490-10-2 AGN	91.12	21.000	1,913 52	1,814.47	99.05	4 20	0.22%
ALLIANCE DATA SYSTEMS CORP 018581-10-8 ADS	197.78	8.000	1,582.24	1,413 31	168 93		
AMAZON COM INC 023135-10-6 AMZN	301.22	10.000	3,012.20	833.76	2,178 44		
ANADARKO PETROLEUM CORP 032511-10-7 APC	88.52	36 000	3,186.72	2,665.80	520 92	12 96	0 41%
APACHE CORP 037411-10-5 APA	80 25	20.000	1,605.00	1,520.37	84 63	16 00 4 00	1.00%
APPLE INC. 037833-10-0 AAPL	452.53	28.000	12,670.84	2,907.35	9,763.49	341.60	2.70%
APPLIED MATERIALS INC 038222-10-5 AMAT	16 32	140 000	2,284 10	2,004 92	279 18	56.00	2 45%
ARCHER DANIELS MIDLAND CO 039483-10-2 ADM	36.47	66.000	2,407 02	2,157.42	249 60	50 16	2.08%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
ASML HOLDING N.V. N07059-21-0 ASML	89.95	32.000	2,878.40	2,207 14	671.26	18.78	0 65%
AUTOZONE INC 053332-10-2 AZO	448 58	6 000	2,691.48	1,889 58	801.90		
AVAGO TECHNOLOGIES LTD Y0486S-10-4 AVGO	36.68	145 000	5,318 60	5,057.58	261 02	121.80	2 29%
AXIALL CORPORATION 05463D-10-0 AXLL	44.08	42.000	1,851 36	1,987.53	(136 17)	13 44	0 73%
BANK OF AMERICA CORP 060505-10-4 BAC	14.60	468 000	6,832.80	3,770 78	3,062.02	18 72	0 27%
BIOGEN IDEC INC 09062X-10-3 BIIB	218 13	19 000	4,144.47	1,263 07	2,881 40		
BRISTOL MYERS SQUIBB CO 110122-10-8 BMY	43.24	114 000	4,929.36	4,528 96	400.40	159 60 39 90	3.24%
BROADCOM CORP CL A 111320-10-7 BRCM	27 57	65.000	1,792.05	2,168 61	(376 56)	28 60	1 60%
CAMERON INTERNATIONAL CORPORATION 13342B-10-5 CAM	59 30	32 000	1,897.60	1,732 69	164.91		
CAPITAL ONE FINANCIAL CORP 14040H-10-5 COF	69.02	44.000	3,036 88	2,374.36	662 52	52.80	1 74%
CARDINAL HEALTH INC 14149Y-10-8 CAH	50 09	44.000	2,203.96	1,120.34	1,083 62	53 24	2.42%
CAREFUSION CORPORATION 14170T-10-1 CFN	38 57	38.000	1,465.66	973.46	492 20		

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
US Large Cap Equity							
CBS CORP CLASS B W/I 124857-20-2 CBS	52 84	47 000	2,483 48	2,479 12	4 36	22 56	0 91 %
CELGENE CORPORATION 151020-10-4 CELG	146 86	30.000	4,405 80	1,180 24	3,225 56		
CERNER CORP 156782-10-4 CERN	49.00	42.000	2,058 00	1,676 42	381 58		
CHENIERE ENERGY INC 16411R-20-8 LNG	28 57	47 000	1,342 79	738 93	603 86		
CISCO SYSTEMS INC 17275R-10-2 CSCO	25 59	191.000	4,887.69	2,829 64	2,058 05	129 88	2 66 %
CITIGROUP INC NEW 172967-42-4 C	52.14	128.000	6,673.92	4,668 38	2,005 54	5 12	0 08 %
CITRIX SYSTEMS INC 177376-10-0 CTXS	72 02	24 000	1,728 48	1,448 67	279.81		
COMCAST CORP CL A 20030N-10-1 CMCS A	45 08	144.000	6,491.52	3,487.16	3,004.36	112 32	1 73 %
COSTCO WHOLESALE CORP 22160K-10-5 COST	117.42	13 000	1,526 46	1,184 16	342 30	16.12	1 06 %
COVIDIEN PLC G2554F-11-3 COV	61 63	32 000	1,972 16	1,220 07	752 09	33.28 8.32	1.69 %
CSX CORP 126408-10-3 CSX	24 81	114 000	2,828 34	2,470.99	357 35	68.40	2.42 %
CVS CAREMARK CORPORATION 126650-10-0 CVS	61.49	59 000	3,627 91	3,366.56	261 35	53 10 13.28	1.46 %

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
DAVITA HEALTHCARE PARTNERS, INC 23918K-10-8 DVA	116 41	17 000	1,978 97	1,878 86	100 11		
DISH NETWORK CORP CL A 25470M-10-9 DISH	44.65	54.000	2,411.10	2,020 64	390 46		
DOW CHEMICAL CO 260543-10-3 DOW	35 04	110.000	3,854 40	3,437 27	417 13	140 80	3 65%
P **DTE ENERGY CO** 233331-10-7 DTE	70 70	1.000	70.70	70 51	0 19	2 62	3.71%
EBAY INC 278642-10-3 EBAY	51.69	52.000	2,687.88	2,915.70	(227 82)		
EDGEWOOD GROWTH FUND-INS 0075W0-75-9 EGFI X	16.18	21,532.138	348,389 99	247,619.59	100,770 40	559.83	0 16%
EDISON INTERNATIONAL 281020-10-7 EIX	49.85	23.000	1,146.55	1,083.25	63 30	31 05	2.71%
EMERSON ELECTRIC CO 291011-10-4 EMR	61 37	53 000	3,252 61	2,635 99	616 62	86.92	2.67%
ENSCO PLC G3157S-10-6 ESV	57.34	42.000	2,408 28	2,037.03	371.25	73.50	3 05%
EOG RESOURCES INC 26875P-10-1 EOG	145 49	14 000	2,036 86	901.92	1,134.94	10.50	0 52%
EXXON MOBIL CORP 30231G-10-2 XOM	93 75	57 000	5,343 75	1,902 94	3,440 81	143 64	2.69%
FLUOR CORP 343412-10-2 FLR	62.56	62.000	3,878.72	3,235.39	643.33	39.68	1 02%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div.	Yield

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div.	Yield
FMI LARGE CAP FUND LARGE CAP FD 302933-20-5 FMIH X	20 29	24,735 971	501,892.85	286,957.67	214,935 18	4,848.25	0.97%
GENERAL MILLS INC 370334-10-4 GIS	52.00	51 000	2,652.00	1,689.75	962 25	77 52 19 38	2.92%
GENERAL MOTORS CO 37045V-10-0 GM	35.87	135 000	4,842 45	4,065.74	776 71		
GOOGLE INC CL A 38259P-50-8 GOOG	887 75	9.000	7,989.75	6,009 88	1,979 87		
HARTFORD FINANCIAL SERVICES GROUP INC 416515-10-4 HIG	30 86	45.000	1,388 70	1,314.72	73.98	27.00	1.94%
HARTFORD CAPITAL APPREC-I 416649-30-9 ITHI X	42 91	11,732.979	503,462.13	346,381 00	157,081 13	4,059.61	0 81%
HOME DEPOT INC 437076-10-2 HD	79.03	59 000	4,662 77	2,368 54	2,294 23	92 04	1 97%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	82 98	74.000	6,140 52	3,612 19	2,528.33	121.36	1 98%
HUMANA INC 444859-10-2 HUM	91.26	27 000	2,464.02	2,026.01	438.01	29.16	1 18%
INTERCONTINENTAL EXCHANGE INC 45865V-10-0 ICE	182.45	13 000	2,371.85	1,955.54	416 31		
INTUITIVE SURGICAL INC 46120E-60-2 ISRG	388 00	3.000	1,164 00	1,635.82	(471.82)		
INVESCO LTD G491BT-10-8 IVZ	32.19	77.000	2,478.63	1,487.52	991.11	69.30	2 80%

		Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div.	Yield
	US Large Cap Equity							
	JOHNSON & JOHNSON 478160-10-4 JNJ	93.50	125.000	11,687 50	9,605.63	2,081.87	330.00	2 82 %
P	**JOHNSON CONTROLS INC** 478366-10-7 JCI	40.21	74.000	2,975.54	1,156 41	1,819 13	56 24	1 89 %
	JPM GROWTH ADVANTAGE FD - SEL FUND 1567 4812A3-71-8 JGAS X	12.40	27,726.055	343,803.08	208,684 08	135,119 00	665.42	0 19 %
	JPM INTREPID AMERICA FD - SEL FUND 1206 4812A2-10-8 JPIA X	31.59	11,228.624	354,712.23	227,941 07	126,771 16	5,558.16	1 57 %
	JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002 4812A2-38-9 JLPS X	26 97	18,198.858	490,823.20	291,690 63	199,132 57	3,075.60	0.63 %
	LAM RESEARCH CORP 512807-10-8 LRCX	49 22	51 000	2,510 22	2,150.30	359.92		
	LENNAR CORP 526057-10-4 LEN	33.87	36 000	1,219 32	499 89	719.43	5.76	0 47 %
P	**LINKEDIN CORP - A** 53578A-10-8 LNKD	203.79	8.000	1,630.32	1,386 61	243 71		
	LOWES COMPANIES INC 548661-10-7 LOW	44.58	115 000	5,126 70	2,400 07	2,726 63	82 80 20.70	1.62 %
	MALLINCKRODT PUB LTD CO SHS G5785G-10-7 MNK	45.89	4 000	183 56	109.34	74 22		
	MASCO CORP 574599-10-6 MAS	20 52	141 000	2,893 32	1,931.32	962 00	42.30 10 58	1 46 %

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
MASTERCARD INC - CLASS A 57636Q-10-4 MA	610 61	7.000	4,274 27	2,229.66	2,044.61	16 80 4.20	0 39%
MERCK AND CO INC 58933Y-10-5 MRK	48 17	53.000	2,553.01	1,327 42	1,225.59	91 16	3.57%
METLIFE INC 59156R-10-8 MET	48.42	123.000	5,955 66	3,803.75	2,151.91	135.30	2 27%
METTLER TOLEDO INTERNATIONAL INC 592688-10-5 MTD	220.60	8.000	1,764 80	1,682 47	82 33		
MICROSOFT CORP 594918-10-4 MSFT	31 84	248.000	7,896 32	4,501 74	3,394 58	228 16	2 89%
MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	31 27	118.000	3,689 86	2,769.95	919 91	61 36	1 66%
MORGAN STANLEY 617446-44-8 MS	27.21	133 000	3,618.93	2,735 39	883.54	26 60 6.65	0 74%
NEXTERA ENERGY INC 65339F-10-1 NEE	86.61	24 000	2,078 64	1,858 54	220.10	63 36	3.05%
NISOURCE INC 65473P-10-5 NI	30 72	77 000	2,365 44	2,143 93	221.51	77 00 19.25	3 26%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	89.05	62 000	5,521.10	3,244 77	2,276.33	158.72	2 87%
P **ONYX PHARMACEUTICALS INC** 683399-10-9 ONXX	131 38	3.000	394 15	259 54	134 61		
ORACLE CORP 68389X-10-5 ORCL	32 35	148.000	4,787.80	3,485.51	1,302.29	71 04 17 76	1.48%
PACCAR INC 693718-10-8 PCAR	56 27	81 000	4,557 87	2,274 47	2,283 40	64.80	1 42%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div.	Yield
US Large Cap Equity							
PARNASSUS EQTY INCOME FD-INS 701769-40-8 PRIL X	34.87	13,213 220	460,744 98	353,189 38	107,555 60	10,795 20	2 34 %
PENTAIR LTD SHS H6169Q-10-8 PNR	61.08	27.000	1,649.16	1,068 86	580 30	27 00 6.75	1 64 %
PEPSICO INC 713448-10-8 PEP	83 54	41 000	3,425.14	3,019.94	405 20	93 07	2.72 %
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	89.18	39 000	3,478 02	3,231 73	246 29	132 60	3 81 %
PHILLIPS 66 718546-10-4 PSX	61.50	40.000	2,460.00	1,907 62	552.38	50.00	2 03 %
PRICELINE.COM INC 741503-40-3 PCLN	875 67	2.000	1,751 34	1,406 25	345 09		
PROCTER & GAMBLE CO 742718-10-9 PG	80 30	60 000	4,818 00	2,079 50	2,738 50	144.36 36 09	3 00 %
QUALCOMM INC 747525-10-3 QCOM	64.56	56.000	3,615.47	1,852 95	1,762 52	78 40	2.17 %
SCHLUMBERGER LTD 806857-10-8 SLB	81.33	72.000	5,855.76	5,938.10	(82.34)	90.00	1 54 %
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	168.71	5,614.000	947,137.94	742,435.56	204,702 38	18,717.07	1.98 %
STATE STREET CORP 857477-10-3 STT	69.67	43.000	2,995.81	2,545 95	449 86	44 72	1 49 %
TARGET CORP 87612E-10-6 TGT	71.25	54.000	3,847.50	3,514 81	332 69	92 88	2.41 %
TD AMERITRADE HOLDING CORPORATION 87236Y-10-8 AMTD	27 03	61.000	1,648 83	939 36	709 47	21 96 5.49	1 33 %

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div.	Yield
US Large Cap Equity							
TIME WARNER INC NEW 887317-30-3 TWX	62 26	153.000	9,525 78	3,046.04	6,479 74	175.95	1 85 %
TIME WARNER CABLE INC 88732J-20-7 TWC	114.07	9.000	1,026 63	1,007.98	18.65	23 40	2.28 %
TYCO INTERNATIONAL LTD H89128-10-4 TYC	34.81	63.000	2,193.03	1,289 89	903.14	40 32 8 57	1.84 %
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	72.85	78.000	5,682 30	3,834 24	1,848 06	87.36	1 54 %
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	105 57	87.000	9,184 59	5,228.57	3,956.02	186 18	2 03 %
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	49 48	86 000	4,255 28	2,353 43	1,901 85	177 16 44.29	4 16 %
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	79 80	18 000	1,436.40	564.52	871 88		
P **WALTER ENERGY INC** 93317Q-10-5 WLT	11 19	15.000	167.85	517 90	(350 05)	0.60	0 36 %
WELLS FARGO & CO 949746-10-1 WFC	43 50	215.000	9,352 50	3,735 33	5,617.17	258.00	2 76 %
WILLIAMS COMPANIES INC 969457-10-0 WMB	34.17	66.000	2,255.22	1,795 98	459.24	93 06	4.13 %
XILINX CORP 983919-10-1 XLNX	46 69	35 000	1,634 15	1,343 02	291 13	35 00	2 14 %

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div.	Yield
US Large Cap Equity							
YUM BRANDS INC 988498-10-1 YUM	72 92	58 000	4,229 36	1,984.52	2,244.84	77 72 19 43	1 84 %
Total US Large Cap Equity			**$4,296,182.71**	**$2,935,639.71**	**$1,360,543.00**	**$54,160.13** **$311.16**	**1.26 %**
US Mid Cap Equity							
ASTON/FAIRPOINTE MID CAP-I 00078H-15-8 ABMI X	44 58	3,835 921	171,005.36	126,738 82	44,266 54	1,488 33	0.87 %
ISHARES RUSSELL MIDCAP INDEX FUND 464287-49-9 IWR	137.00	1,619.000	221,803 00	96,563.64	125,239.36	3,529 42	1 59 %
JPM MKT EXP ENH INDEX FD - SEL 4812C1-63-7 PGMI X	13 00	32,589.403	423,662.24	285,954 45	137,707.79	4,366.98	1.03 %
TIMESSQUARE MID CAP GROW-PRM 561709-83-3 TMDP X	18 02	16,620.445	299,500.42	192,132.35	107,368 07		
Total US Mid Cap Equity			**$1,115,971.02**	**$701,389.26**	**$414,581.76**	**$9,384.73**	**0.84 %**
EAFE Equity							
BARC BREN MXEA 04/16/14 10%BUFFER-1 50 XLEV- 6 60%CAP 9 9%MAXRTRN INITIAL LEVEL-03/28/13 MXEA:1674 60 06741T-RT-6	102 13	125,000 000	127,662.50	123,750 00	3,912 50		

EAFE Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div	Yield
CS BREN EAFE 8/7/13 10%BUFFER-2XLEV- 10.25%CAP 20.5%MAXRTRN INITIAL LEVEL-7/20/12 SX5E 2237 33 UKX 5651.77 TPX 733 82 22546T-WP-2	120.31	115,000.000	138,355 58	113,850.00	24,505 58		
DODGE & COX INTL STOCK FUND 256206-10-3 DODF X	38.66	17,753.119	686,335 58	408,028 38	278,307.20	13,261.57	1 93%
HSBC BREN MXEA 3/19/14 1.5XLEV- 7.05%CAP- 10.575%MAXPYMT 10%BUFFER INITIAL LEVEL-3/1/13 MXEA:1654.34 40432X-CC-3	101 90	125,000 000	127,375.00	123,750 00	3,625.00		
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	60.35	4,267 000	257,513.45	238,815 88	18,697.57	7,514 18	2 92%
JPMORGAN INTL VALUE-SEL FUND 1296 4812A0-56-5 JIES X	13.93	30,212 859	420,865 13	367,528 96	53,336.17	9,577.47	2 28%
MANNING & NAPIER WORLD OPPOR 563821-54-5 EXWA X	8 47	48,846.903	413,733 27	435,786 98	(22,053 71)	5,910.47	1.43%
P **OAKMARK INTERNATIONAL FD-I** 413838-20-2 OAKI	24.64	13,392.857	330,000 00	330,000 00		5,879.46	1 78%
Total EAFE Equity			**$2,501,840.51**	**$2,141,510.20**	**$360,330.31**	**$42,143.15**	**1.68%**

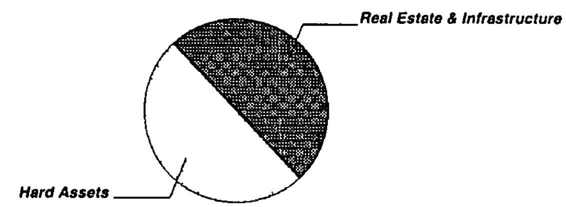
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div	Yield
European Large Cap Equity							
BARC MARKET PLUS SX5E 03/26/14 80% CONTIN BARRIER- 7 45%CPN ,UNCAPPED INITIAL LEVEL-09/21/12 SX5E 2577 08 06741T-GU-5	110 57	125,000 000	138,212 50	123,437.50	14,775.00		
Asia ex-Japan Equity							
COLUMBIA ASIA PAX X-JPN-R5 19763P-57-2 TAPR X	12.70	21,879.495	277,869 59	246,782.07	31,087 52	5,601 15	2 02%
CS REN MXASJ 03/26/14 2 XLEV- 9 75%CAP- 19.5%MAXPYMT INITIAL LEVEL-03/08/13 MXASJ:557.17 22546T-3R-0	92 86	125,000.000	116,073.13	123,750 00	(7,676.87)		
T ROWE PRICE NEW ASIA 77956H-50-0 PRAS X	15.97	26,025.560	415,628.19	411,679 09	3,949 10	4,164.08	1 00%
Total Asia ex-Japan Equity			**$809,570.91**	**$782,211.16**	**$27,359.75**	**$9,765.23**	**1.21%**
Emerging Market Equity							
P **DELAWARE EMERGING MARKETS-I** 245914-81-7 DEMI X	14.58	10,420.482	151,930 63	153,076 88	(1,146.25)	1,479 70	0.97%
JPM CHINA REGION FD - SEL FUND 3810 4812A3-52-8 JCHS X	19.15	5,096.645	97,600 75	94,186.00	3,414.75	254 83	0.26%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div.	Yield
Emerging Market Equity							
P **VANGUARD FTSE EMERGING MARKETS ETF** 922042-85-8 VWO	39 06			0.00			3 94%
Total Emerging Market Equity			**$249,531.38**	**$247,262.88**	**$2,268.50**	**$1,734.53**	**0.69%**
Global Equity							
JPM TR I GL RES ENH IDX FD FUND 3457 46637K-51-3 JEIT X	16 32	32,000 228	522,243.72	498,191 37	24,052 35		

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Real Estate & Infrastructure	105,508.15	106,396 90	888.75	1%
Hard Assets	37,736 48	39,296 45	1,559.97	1%
Total Value	**$143,244.63**	**$145,693.35**	**$2,448.72**	**2%**

Asset Categories



Alternative Assets as a percentage of your portfolio - 2 %

Alternative Assets Detail

	Quantity/Original Commitment Amount	Cost/Net Capital Called Since Inception	Net Distributions Since Inception	Estimated Value	Est. Annual Inc. / Accrued Div	Yield
Real Estate & Infrastructure						
JPM US REAL ESTATE FD - SEL FUND 3037 4812C0-61-3 SUIE X	6,348 26	(74,274.69)		106,396.90	2,190 15	2 06%

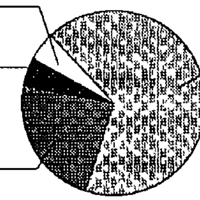
Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement. The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value" Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement. For additional information, please contact your J.P. Morgan representative.
For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market" Most of these underlying funds also present their audited financial statements on a US GAAP basis (i.e., "marked-to-market") However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes. Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund)

	Price	Quantity	Estimated Value	Cost
Hard Assets				
PIMCO COMMODITYPL STRAT-P 72201P-16-7 PCLP X	10 58	3,714 220	39,296 45	(40,485.00)

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	49,925.58	647,572.63	597,647.05	5%
US Fixed Income	1,930,261.66	1,833,662.29	(96,599.37)	15%
Complementary Structured Strategies	166,321.40	165,542.10	(779.30)	1%
Foreign Exchange & Non-USD Fixed Income	206,584.21	125,676.53	(80,907 68)	1%
Total Value	**$2,353,092.85**	**$2,772,453.55**	**$419,360.70**	**22%**

Asset Categories



Cash & Fixed Income as a percentage of your portfolio - 22 %

Market Value/Cost	Current Period Value
Market Value	2,772,453.55
Tax Cost	2,745,467.25
Unrealized Gain/Loss	26,986.30
Estimated Annual Income	54,198 80
Accrued Interest	1,388 17
Yield	1 95%

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months[1]	2,772,453 55	100%

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	647,572 63	20%
International Bonds	241,563 88	7%
Mutual Funds	1,717,774 94	68%
Complementary Structure	165,542 10	5%
Total Value	**$2,772,453.55**	**100%**

Note: **P** indicates position adjusted for Pending Trade Activity. **O** - Bonds purchased at a discount show accretion.
[1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1.00	451,514.38	451,514 38	451,514.38		135 45	0 03 % [1]
COST OF PENDING PURCHASES	1 00	(330,070 51)	(330,070.51)	(330,070.51)			
PROCEEDS FROM PENDING SALES	1 00	526,128.76	526,128 76	526,128 76			
Total Cash			**$647,572.63**	**$647,572.63**	**$0.00**	**$135.45**	**0.02 %**
US Fixed Income							
DODGE & COX INCOME FUND 256210-10-5	13.55	13,069.46	177,091.12	175,000 00	2,091.12	5,541.44	3.13 %
JPM STR INC OPP FD FUND 3844 4812A4-35-1	11 87	20,350 79	241,563 88	211,902.00	29,661 88	7,570 49 549 47	3 13 %
DOUBLELINE TOTAL RET BD-I 258620-10-3	10.96	21,517.92	235,836 45	242,366 04	(6,529 59)	12,738 61	5.40 %
P **EATON VANCE FLOATING RATE-I** 277911-49-1	9.18	41,009.22	376,464.64	359,438 36	17,026 28	16,034.60	4 26 %
JPM HIGH YIELD FD - SEL FUND 3580 4812C0-80-3	8.13	7,438 89	60,478 18	56,386 78	4,091.40	3,875 66 290 12	6.41 %

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
US Fixed Income							
JPM SHORT DURATION BOND FD - SEL FUND 3133 4812C1-33-0	10.91	55,465 76	605,131 43	609,176 11	(4,044 68)	6,489.49 443.73	1 07 %
JPM TR I INFL MANAGED BOND FD - SEL FUND 2037 48121A-56-3	10.46	13,106 75	137,096 59	139,035 69	(1,939.10)	1,756 30 104.85	1.28 %
Total US Fixed Income			**$1,833,662.29**	**$1,793,304.98**	**$40,357.31**	**$54,006.59** **$1,388.17**	**2.94 %**
Complementary Structured Strategies							
O **BARC 93% PPN CURRENCY BASKET 9/13/13** LNKED TO 3 CURRENCY BSKTS 50% 35% & 15% WEIGHT,UNCAPPED 9/9/11 06738K-UP-4	93.21	85,000.00	79,228 50	87,329.24 83,725 00	(8,100.74)		
O **GS 95% PP CMIT BASKET 11/18/13** LNKED TO CNY,MXN,IDR, TRY /USD 215% UPSIDE LEV, UNCAPPED 11/11/11 38143U-ZV-6	95 90	90,000 00	86,313.60	92,382 92 88,650 00	(6,069.32)		
Total Complementary Structured Strategies			**$165,542.10**	**$179,712.16** **$172,375.00**	**($14,170.06)**	**$0.00**	**0.00 %**

		Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield

Foreign Exchange & Non-USD Fixed Income

		Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
P	**JPM INTL CURRENCY INCOME FD** FUND 3831 4812A3-29-6	11 07	11,352 89	125,676.53	124,877 48	799.05	56 76	0.05 %