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Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

1

Briefly describe the organization's mission

SEE SCHEDULE O

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes

No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes

No

If "Yes," describe these changes on Schedule O

4

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 25,154,659 including grants of \$) (Revenue \$ 33,962,148)

SEE SCHEDULE O FOR INSTRUCTIONAL AND EDUCATIONAL PROGRAMSTHROUGH OUR ADMINISTRATION, FACULTY, AND STUDENT SUPPORT STAFF, WE ACCOMPLISH THE GOALS AS STATED IN THE SCHOOL'S MISSION STATEMENT. THIS INCLUDES SALARY AND BENEFITS FOR FACULTY AND DIRECT COSTS ASSOCIATED WITH EDUCATIONAL PROGRAMS. TOGETHER THESE DIRECT INSTRUCTIONAL COSTS ACCOUNT FOR 69% OF THE SCHOOL'S BUDGET. THE STUDENT SUPPORT COSTS, WHICH INCLUDE EVERY COST AND SALARY FROM PROVIDING A SECURE ENVIRONMENT TO MAINTAINING THE OFFICES OF THE DIVISION HEADS, AMOUNT TO A FURTHER 23% OF THE BUDGET.

4b

(Code) (Expenses \$ 6,942,981 including grants of \$ 6,942,981) (Revenue \$)

SEE SCHEDULE O FOR SCHOLARSHIPS AND OTHER ASSISTANCE TO STUDENTSFOR FISCAL YEAR 2013 WE BUDGETED \$3.6 MILLION FOR SCHOLARSHIPS. 182 STUDENTS OUT OF AN ENROLLMENT OF 1,086 (17%) RECEIVED SCHOLARSHIP AID ALLOWING THE SCHOOL TO MEET ITS OBJECTIVE OF MAINTAINING A DIVERSE STUDENT POPULATION.

4c

(Code) (Expenses \$ 214,269 including grants of \$) (Revenue \$ 1,574,936)

SEE SCHEDULE O FOR CO-CURRICULAR ACTIVITIESCO-CURRICULAR ACTIVITIES, SUCH AS TRIPS, MODEL CONGRESS, MAGAZINES, STUDENT PUBLICATIONS, AND STUDENT-GENERATED ENDEAVORS RANGING FROM THE ARTISTIC AND SCIENTIFIC TO RECREATIONAL ARTS, MAKE A SUBSTANTIAL CONTRIBUTION TO THE CULTURE OF THE SCHOOL AND ITS SUCCESS IN ACHIEVING ITS STATED MISSION.

4d

Other program services (Describe in Schedule O)

(Expenses \$ including grants of \$) (Revenue \$)

4e

Total program service expenses

32,311,909

Part IV

Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	No
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	No
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b	No
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a Yes	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b	No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	No
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV	15	No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV	16	No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21		No
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	40	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	2a	499
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b	Yes
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	No
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	No
b	If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Yes
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	No
d	If "Yes," indicate the number of Forms 8282 filed during the year.	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?	9a	
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	
10	Section 501(c)(7) organizations. Enter		
a	Initiation fees and capital contributions included on Part VIII, line 12.	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b	
11	Section 501(c)(12) organizations. Enter		
a	Gross income from members or shareholders.	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b	
c	Enter the amount of reserves on hand.	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b	

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	17	
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
1b	Enter the number of voting members included in line 1a, above, who are independent	16	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	No
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
8a	The governing body?	8a	Yes
8b	Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
10b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	No
11b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
12b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
12c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13	Did the organization have a written whistleblower policy?	13	Yes
14	Did the organization have a written document retention and destruction policy?	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	The organization's CEO, Executive Director, or top management official	15a	Yes
15b	Other officers or key employees of the organization	15b	Yes
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
16b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. ☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization MATTHEW BLOOM DIRECTOR OF FINANCE & ADMIN 129 PIERREPONT STREET BROOKLYN, NY (718) 522-1660

Part VII

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid

List all of the organization's **current** key employees, if any See instructions for definition of "key employee "

List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations

List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations

List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) MARC MAYER TREASURER	5 00	X		X				0	0	0
(2) ELIOT NOLEN VICE PRESIDENT	5 00	X		X				0	0	0
(3) JONATHAN WELD PRESIDENT	5 00	X		X				0	0	0
(4) HILLIARD FARBER ASSISTANT TREASURER THRU 6/30/13	1 00	X		X				0	0	0
(5) DAN BERGNER SECRETARY	1 00	X		X				0	0	0
(6) PETER DAVIDSON TRUSTEE	1 00	X						0	0	0
(7) PETER DARROW TRUSTEE THRU 6/30/13	5 00	X						0	0	0
(8) MARJORIE COLEMAN EXECUTIVE COMMITTEE MEMBER	5 00	X		X				0	0	0
(9) MICHAEL POLLACK TRUSTEE	1 00	X						0	0	0
(10) BAHIA RAMOS-SYNOTT TRUSTEE THRU 6/30/13	1 00	X						0	0	0
(11) LAURIE SACKLER TRUSTEE THRU 6/30/13	1 00	X						0	0	0
(12) DANIEL STONE TRUSTEE	1 00	X						0	0	0
(13) LAURA WALKER TRUSTEE	1 00	X						0	0	0
(14) MARY P WATSON TRUSTEE	1 00	X						0	0	0
(15) HAMILTON CHASE TRUSTEE	1 00	X						0	0	0
(16) MICHAEL HADDAD TRUSTEE	1 00	X						0	0	0
(17) JIDE ZEITLIN TRUSTEE	1 00	X						0	0	0

Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099- MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(18) PATTI GREANEY TRUSTEE	1 00	X						0	0	0
(19) STEPHEN CASSELL TRUSTEE	1 00	X						0	0	0
(20) ALEX GOOR TRUSTEE	1 00	X						0	0	0
(21) CARMINE CAPOSSELA TRUSTEE	1 00	X						0	0	0
(22) LINDA KAUFMAN ASSOCIATE HEAD	40 00			X				163,327	0	23,953
(23) VINCENT TOMPKINS HEAD OF SCHOOL	40 00			X				383,885	0	236,681
(24) MATTHEW BLOOM DIRECTOR OF FINANCE & ADMIN	40 00			X				165,958	0	28,104
(25) DANA OKESON HEAD OF HIGH SCHOOL	40 00					X		105,444	0	66,011
(26) ROBERT SWACKER DEAN OF FACULTY	40 00					X		112,794	0	16,406
(27) GABRIELLE HOWARD HEAD OF LOWER SCHOOL	40 00					X		145,167	0	16,586
(28) JOHN CONNOLLY DIRECTOR OF TECHNOLOGY	40 00					X		104,561	0	62,653
(29) ANTHONY SMITH DIR - BUILDING & GROUNDS	40 00					X		113,393	0	30,630
1b Sub-Total							▶			
c Total from continuation sheets to Part VII, Section A							▶			
d Total (add lines 1b and 1c)							▶	1,294,529	0	481,024
2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization▶21										

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1	Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year		
	(A) Name and business address	(B) Description of services	(C) Compensation
	JC FOOD CONSULTANTS INC 636 KENWOOD RD RIDGEWOOD NJ 07450	FOOD SERVICES	585,143
	CITYWIDE BUILDING RESTORATION 844 CASTLETON AVE STATEN ISLAND NY 10310	CONSTRUCTION	326,808
	PROMENET INC 42 BROADWAY 16TH FLOOR NEW YORK NY 100043808	CONSULTING	261,401
	SCHOOL BUS BY SUPERIOR INC 431 NORTH COURTLAND STREET EAST STROUDSBURG PA 18301	TRANSPORTATION	124,373
	CONCEPT TOURS 155 W 72ND ST 302 NEW YORK NY 10023	TRAVEL AGENCY FEES	120,406
2	Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶6		

Part VIII

Statement of Revenue

Check if Schedule O contains a response to any question in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns . . .	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c	337,908			
	d	Related organizations	1d				
	e	Government grants (contributions)	1e				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	15,396,148			
	g	Noncash contributions included in lines 1a-1f \$		1,647,958			
	h	Total. Add lines 1a-1f		15,734,056			
Program Service Revenue	2a	TUITION INCOME	Business Code 611600	33,962,148	33,962,148		
	b	AUXILLARY PROGRAMS	611600	721,837	721,837		
	c	EDU SCHOOL TRIPS PROGRAM	611600	423,831	423,831		
	d	SUMMER SCHOOL	611600	291,649	291,649		
	e	AFTER SCHOOL INCOME	611600	137,619	137,619		
	f	All other program service revenue					
	g	Total. Add lines 2a-2f		35,537,084			
	Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		263,338		263,338
4		Income from investment of tax-exempt bond proceeds . . .					
5		Royalties					
6a		Gross rents	(i) Real	(ii) Personal			
		b	Less rental expenses				
		c	Rental income or (loss)				
		d	Net rental income or (loss)				
7a		Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other			
		b	Less cost or other basis and sales expenses				
		c	Gain or (loss)				
		d	Net gain or (loss)		100,549		100,549
8a		Gross income from fundraising events (not including \$ 337,908 of contributions reported on line 1c) See Part IV, line 18	a	98,847			
		b	Less direct expenses	b	140,132		
		c	Net income or (loss) from fundraising events . . .		-41,285		-41,285
9a		Gross income from gaming activities See Part IV, line 19	a				
		b	Less direct expenses	b			
		c	Net income or (loss) from gaming activities . . .				
10a		Gross sales of inventory, less returns and allowances	a				
		b	Less cost of goods sold	b			
		c	Net income or (loss) from sales of inventory . . .				
		Miscellaneous Revenue		Business Code			
11a		INSURANCE DIVIDENDS	900099	63,793		63,793	
	b	REIMBURSEMENTS	900099	18,497		18,497	
	c	MISCELLANEOUS	900099	7,177		7,177	
	d	All other revenue					
	e	Total. Add lines 11a-11d		89,467			
12	Total revenue. See Instructions		51,683,209	35,537,084	0	412,069	

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX

☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21.				
2	Grants and other assistance to individuals in the United States. See Part IV, line 22.	6,942,981	6,942,981		
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.				
4	Benefits paid to or for members.				
5	Compensation of current officers, directors, trustees, and key employees.	1,015,654	429,572	522,962	63,120
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).				
7	Other salaries and wages.	19,156,081	16,395,661	2,360,026	400,394
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	743,208	644,382	83,879	14,947
9	Other employee benefits.	2,175,548	1,904,756	240,576	30,216
10	Payroll taxes.	1,720,063	1,445,223	236,820	38,020
11	Fees for services (non-employees):				
a	Management.				
b	Legal.	80,201		80,201	
c	Accounting.	77,069		77,069	
d	Lobbying.				
e	Professional fundraising services. See Part IV, line 17.	30,000			30,000
f	Investment management fees.				
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	222,952	145,179	74,220	3,553
12	Advertising and promotion.	39,385		39,385	
13	Office expenses.	1,412,775	1,138,332	189,930	84,513
14	Information technology.	157,093	78,467	70,779	7,847
15	Royalties.				
16	Occupancy.	583,282	482,719	81,423	19,140
17	Travel.	464,836	454,900	9,788	148
18	Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19	Conferences, conventions, and meetings.	4,959	4,959		
20	Interest.				
21	Payments to affiliates.				
22	Depreciation, depletion, and amortization.	1,759,069	1,460,027	246,270	52,772
23	Insurance.	225,424	197,726	22,810	4,888
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O):				
a	BAD DEBT	273,166		273,166	
b	REPAIRS AND MAINTENANCE	272,080	226,011	37,958	8,111
c	STUDENT ACT & AUX SVCS	214,269	214,269		
d	INSTRUCTIONAL COSTS	92,192	92,192		
e	All other expenses	212,372	54,553	144,787	13,032
25	Total functional expenses. Add lines 1 through 24e.	37,874,659	32,311,909	4,792,049	770,701
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☐ if following SOP 98-2 (ASC 958-720).				

Part X

Balance Sheet

Check if Schedule O contains a response to any question in this Part X

☐

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing			10,020,956	1	9,920,308
	2	Savings and temporary cash investments			1,998,869	2	1,597,933
	3	Pledges and grants receivable, net			3,511,828	3	10,151,478
	4	Accounts receivable, net			1,491,279	4	1,451,939
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L				5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L				6	
	7	Notes and loans receivable, net				7	
	8	Inventories for sale or use				8	
	9	Prepaid expenses and deferred charges			459,967	9	347,316
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	45,079,180			
	b	Less accumulated depreciation	10b	17,752,563	27,965,814	10c	27,326,617
	11	Investments—publicly traded securities			12,327,690	11	22,742,056
	12	Investments—other securities See Part IV, line 11				12	
	13	Investments—program-related See Part IV, line 11				13	
	14	Intangible assets				14	
	15	Other assets See Part IV, line 11				15	
	16	Total assets. Add lines 1 through 15 (must equal line 34)			57,776,403	16	73,537,647
Liabilities	17	Accounts payable and accrued expenses			1,677,149	17	1,518,558
	18	Grants payable				18	
	19	Deferred revenue			15,288,016	19	16,132,124
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D				21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrelated third parties				23	
	24	Unsecured notes and loans payable to unrelated third parties				24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D			140,799	25	91,007
	26	Total liabilities. Add lines 17 through 25			17,105,964	26	17,741,689
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here ☒ and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets			26,137,837	27	32,604,323
	28	Temporarily restricted net assets			8,367,850	28	16,770,651
	29	Permanently restricted net assets			6,164,752	29	6,420,984
	Organizations that do not follow SFAS 117 (ASC 958), check here ☐ and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			40,670,439	33	55,795,958
	34	Total liabilities and net assets/fund balances			57,776,403	34	73,537,647

Part XI

Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	51,683,209
2	Total expenses (must equal Part IX, column (A), line 25)	2	37,874,659
3	Revenue less expenses Subtract line 2 from line 1	3	13,808,550
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . .	4	40,670,439
5	Net unrealized gains (losses) on investments	5	1,316,969
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	55,795,958

Part XII

Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII ☒

		Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
SAINT ANN'S SCHOOL

Employer identification number
11-2606681

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An organization that normally receives (1) more than 33¹/₃% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33¹/₃% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Non-functionally integrated
- e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
- f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?

(ii)

A family member of a person described in (i) above?

(iii)

A 35% controlled entity of a person described in (i) or (ii) above?
- h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage						
14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))		14				
15 Public support percentage for 2011 Schedule A, Part II, line 14		15				
16a 33 1/3% support test—2012. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization						▶
b 33 1/3% support test—2011. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization						▶
17a 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization						▶
b 10%-facts-and-circumstances test—2011. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization						▶
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions						▶

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2012. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support tests—2011. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶		

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
SAINT ANN'S SCHOOL

Employer identification number
11-2606681

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		☐ Yes ☐ No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

a Total number of conservation easements

b Total acreage restricted by conservation easements

c Number of conservation easements on a certified historic structure included in (a)

d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

	Held at the End of the Year
2a	
2b	
2c	
2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

(ii) Assets included in Form 990, Part X

▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

b Assets included in Form 990, Part X

▶ \$ _____

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐ Public exhibition

b

☐ Scholarly research

c

☐ Preservation for future generations

d

☐ Loan or exchange programs

e

☐ Other
- 4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII
- 5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No
- b

If "Yes," explain the arrangement in Part XIII and complete the following table

	Amount
1c	
1d	
1e	
1f	
- 2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No
- b

If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☐

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	b (c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance	6,848,332	5,636,842	5,349,525	5,165,548	5,016,035
b Contributions	1,042,236	1,211,490	287,317	221,247	149,513
c Net investment earnings, gains, and losses	374,705	399,399		-37,270	
d Grants or scholarships					
e Other expenditures for facilities and programs	240,819	399,399			
f Administrative expenses					
g End of year balance	8,024,454	6,848,332	5,636,842	5,349,525	5,165,548

- 2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

17 510 %

b

Permanent endowment

80 020 %

c

Temporarily restricted endowment

2 470 %

The percentages in lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

3a(i)

☐ Yes

☐ No

(ii) related organizations

3a(ii)

☐ Yes

☐ No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

☐ Yes

☐ No
- 4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		179,400		179,400
b Buildings		41,096,267	15,650,611	25,445,656
c Leasehold improvements		609,854	452,928	156,926
d Equipment		2,142,030	1,611,947	530,083
e Other		1,051,629	37,077	1,014,552
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				27,326,617

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return				
1	Total revenue, gains, and other support per audited financial statements	1	45,912,001	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12	2e		
a	Net unrealized gains on investments		2a	1,316,969
b	Donated services and use of facilities		2b	
c	Recoveries of prior year grants		2c	
d	Other (Describe in Part XIII)		2d	38,250
e	Add lines 2a through 2d	2e	1,355,219	
3	Subtract line 2e from line 1	3	44,556,782	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1	4c		
a	Investment expenses not included on Form 990, Part VIII, line 7b		4a	
b	Other (Describe in Part XIII)		4b	7,126,427
c	Add lines 4a and 4b		4c	7,126,427
5	Total revenue Add lines 3 and 4c . (This must equal Form 990, Part I, line 12)	5	51,683,209	
Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return				
1	Total expenses and losses per audited financial statements	1	30,786,482	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25	2e		
a	Donated services and use of facilities		2a	
b	Prior year adjustments		2b	
c	Other losses		2c	
d	Other (Describe in Part XIII)		2d	38,250
e	Add lines 2a through 2d	2e	38,250	
3	Subtract line 2e from line 1	3	30,748,232	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :	4c		
a	Investment expenses not included on Form 990, Part VIII, line 7b		4a	
b	Other (Describe in Part XIII)		4b	7,126,427
c	Add lines 4a and 4b		4c	7,126,427
5	Total expenses Add lines 3 and 4c . (This must equal Form 990, Part I, line 18)	5	37,874,659	

Part XIII Supplemental Information
--

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
DESCRIPTION OF INTENDED USE OF ENDOWMENT FUNDS	PART V, LINE 4	THE SCHOOL CLASSIFIES AS PERMANENTLY RESTRICTED NET ASSETS (A) THE ORIGINAL VALUE OF ALL GIFTS DONATED TO THE PERMANENT ENDOWMENT, AND (B) ACCUMULATIONS TO THE PERMANENT ENDOWMENT MADE IN ACCORDANCE WITH THE DIRECTION OF THE APPLICABLE DONOR GIFT INSTRUMENT AT THE TIME THE ACCUMULATION IS ADDED TO THE FUND OBJECTIVES FOR DONOR RESTRICTIVE ENDOWMENTS FUNDS ARE GENERATING INCOME TO SUPPORT THE SCHOOLS ACTIVITIES AND PRESERVATION OF CAPITAL INVESTMENT EARNINGS ARE TO BE USED FOR THEIR TEMPORARILY RESTRICTED PURPOSES
DESCRIPTION OF UNCERTAIN TAX POSITIONS UNDER FIN 48	PART X, LINE 2	THE SCHOOL RECOGNIZES THE EFFECT OF INCOME TAX POSITIONS ONLY IF THOSE POSITIONS ARE MORE LIKELY THAN NOT TO BE SUSTAINED. MANAGEMENT HAS DETERMINED THAT THE SCHOOL HAD NO UNCERTAIN TAX POSITIONS THAT WOULD REQUIRE FINANCIAL STATEMENT RECOGNITION OR DISCLOSURE. THE SCHOOL IS NO LONGER SUBJECT TO EXAMINATION BY THE APPLICABLE TAXING JURISDICTIONS FOR TAX YEARS PRIOR TO JULY 31, 2010.
PART XI, LINE 2D - OTHER ADJUSTMENTS		ADDITIONAL SPECIAL EVENT EXPENSES REPORTED ON PART VIII LINE 8B 38,250
PART XI, LINE 4B - OTHER ADJUSTMENTS		SCHOLARSHIPS NETTED AGAINST REVENUE 6,853,261 BAD DEBT EXPENSE NETTED AGAINST REVENUE 273,166
PART XII, LINE 2D - OTHER ADJUSTMENTS		ADDITIONAL SPECIAL EVENT EXPENSES REPORTED ON PART VIII LINE 8B 38,250
PART XII, LINE 4B - OTHER ADJUSTMENTS		SCHOLARSHIPS NETTED AGAINST REVENUE 6,853,261 BAD DEBT EXPENSE NETTED AGAINST REVENUE 273,166

SCHEDULE E
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13,
or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
SAINT ANN'S SCHOOL

Employer identification number
11-2606681

Part I		YES	NO
1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	1	Yes	
	2	Yes	
	3	Yes	
	4a	Yes	
2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	4b	Yes	
	4c	Yes	
	4d	Yes	
	5a		No
3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II	5b		No
	5c		No
	5d		No
	5e		No
4 Does the organization maintain the following? a Records indicating the racial composition of the student body, faculty, and administrative staff? b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain If you need more space, use Part II	5f		No
	5g		No
	5h		No
	6a		No
5 Does the organization discriminate by race in any way with respect to a Students' rights or privileges? b Admissions policies? c Employment of faculty or administrative staff? d Scholarships or other financial assistance? e Educational policies? f Use of facilities? g Athletic programs? h Other extracurricular activities? If you answered "Yes" to any of the above, please explain If you need more space, use Part II	6b		No
	7	Yes	

Part III

Supplemental Information.

Complete this part to provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions).

Identifier	Return Reference	Explanation
EXPLANATION OF NONDISCRIMINATORY POLICY PUBLICATION	SCHEDULE E, PART I, LINE 3	THE SCHOOL'S NON DISCRIMINATORY POLICIES ARE AVAILABLE ON ITS WEBSITE, INCLUDED IN THE SCHOOL'S CATALOGUE, CURRICULUM BOOK, APPLICATIONS MATERIALS, THE FACULTY AND STAFF HANDBOOK AND ADVERTISED ANNUALLY IN THE LOCAL PRESS

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a. Form 990-EZ filers are not required to complete this part.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
SAINT ANN'S SCHOOL

Employer identification number
11-2606681

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- | | | | | | |
|----------|-------------------------------------|----------------------------------|----------|-------------------------------------|---------------------------------------|
| a | ☒ | Mail solicitations | e | ☒ | Solicitation of non-government grants |
| b | ☒ | Internet and email solicitations | f | ☐ | Solicitation of government grants |
| c | ☒ | Phone solicitations | g | ☒ | Special fundraising events |
| d | ☒ | In-person solicitations | | | |

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☒ Yes ☐ No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
COMMUNITY COUNSELING SERVICE CO LLC 461 FIFTH AVENUE - 3RD FLOOR NEW YORK, NY 10017	CAMPAIGN MANAGEMENT SERVICES		No	0	30,000	-30,000
Total					30,000	-30,000

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

Part II Fundraising Events.

Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue			(a) Event #1	(b) Event #2	(c) Other events	(d) Total events	
			<u>WINTER GALA</u>	<u>SHORTS IN WINTER</u>	<u>2</u>	(add col (a) through	
			(event type)	(event type)	(total number)	col (c))	
1	Gross receipts	. . .	415,433	8,305	13,017	436,755	
2	Less Contributions	. .	337,908			337,908	
3	Gross income (line 1 minus line 2)	. . .	77,525	8,305	13,017	98,847	
Direct Expenses	4	Cash prizes	. . .				
	5	Noncash prizes	. .				
	6	Rent/facility costs	. .	77,619		77,619	
	7	Food and beverages	.	72	6,231	6,303	
	8	Entertainment	. . .	500	299	799	
	9	Other direct expenses	.	49,187	6,224	55,411	
	10	Direct expense summary Add lines 4 through 9 in column (d) ►					(140,132)
	11	Net income summary Combine line 3, column (d), and line 10 ►					-41,285

Part III Gaming.

Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1	Gross revenue			
	2	Cash prizes			
	3	Non-cash prizes			
	4	Rent/facility costs			
	5	Other direct expenses . . .			
Direct Expenses	6	Volunteer labor			
	7	Direct expense summary Add lines 2 through 5 in column (d) ▶			
	8	Net gaming income summary Combine lines 1 and 7 in column (d) ▶			

9 Enter the state(s) in which the organization operates gaming activities _____

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain _____

Does the organization operate gaming activities with nonmembers? ☒ **Yes** ☐ **No**

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ **Yes** ☐ **No**

13 Indicate the percentage of gaming activity operated in

a The organization's facility	13a	
b An outside facility	13b	

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name 

Address 

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ **Yes** ☐ **No**

b If "Yes," enter the amount of gaming revenue received by the organization  \$ _____ and the amount of gaming revenue retained by the third party  \$ _____

c If "Yes," enter name and address of the third party

Name 

Address 

16 Gaming manager information

Name 

Gaming manager compensation  \$

Description of services provided 

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ **Yes** ☐ **No**

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year  \$ _____

Part IV Supplemental Information. Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Identifier	Return Reference	Explanation
EXPLANATION OF FUNDRAISING PAYMENTS	SCHEDULE G, PART I, LINE 2B, COLUMN (V)	COMMUNITY COUNSELLING SERVICE CO , LLC ("CCS") AND SAINT ANN'S SCHOOL HAVE AN AGREEMENT FOR CAMPAIGN MANAGEMENT SERVICES FEES WILL BE BILLED DURING THE MONTH IN WHICH THE SERVICES ARE PROVIDED SAINT ANN'S SCHOOL SHALL PAY CCS ON THE 15TH DAY OF EACH MONTH SAINT ANN'S SCHOOL SHALL PAY CCS A TOTAL FEE OF \$30,000 FOR THEIR TERM OF THIS AGREEMENT FEES WILL BE BILLED DURING THE MONTH IN WHICH THE SERVICES ARE PROVIDED SAINT ANN'S SCHOOL SHALL PAY CCS \$7,500 ON THE 15TH OF EACH MONTH BEGINING SEPTEMBER THROUGH DECEMBER THE OBLIGATION OF SAIN ANN'S SCHOOL TO PAY THESE PROFESSIONAL FEES IS NOT DEPENDENT ON THE AMOUNT OF FUNDS RAISED, FEES ARE FOR SERVICES PRESENTED IN THIS AGREEMENT

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
SAINT ANN'S SCHOOL

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2012

Open to Public
Inspection

Employer identification number
11-2606681

Part I General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

▶

3

Enter total number of other organizations listed in the line 1 table

▶

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) SCHOLARSHIPS-RETURNING STUDENTS	182	3,583,096			
(2) TUITION REMISSION	116	3,270,165			
(3) SUMMER SCHOOL SCHOLARSHIPS	18	25,480			
(4) TRIP SCHOLARSHIPS	27	64,240			

Part IV

Supplemental Information.

Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information

Identifier	Return Reference	Explanation
PROCEDURE FOR MONITORING GRANTS IN THE U S	PART I, LINE 2	SCHEDULE I, PART I, LINE 2 SAINT ANN'S SCHOOL AWARDS GRANTS TO STUDENTS IN THE FORM OF SCHOLARSHIPS THE SCHOLARSHIP IS A REDUCTION OF THE TUITION CHARGE FOR THE ACADEMIC YEAR
		FAMILIES APPLYING FOR FINANCIAL AID SUBMIT AN APPLICATION TO THE SCHOOL SCHOLARSHIP SERVICE (SSS) OF THE NATIONAL ASSOCIATION OF INDEPENDENT SCHOOLS THE SSS PERFORMS AN ANALYSIS OF INCOME, ASSETS, AND LIABILITIES IN ORDER TO ARRIVE AT AN ESTIMATED AMOUNT WHICH THEY CONSIDER THE FAMILY CAN AFFORD FOR INDEPENDENT EDUCATION THESE DATA ARE SENT TO SAINT ANN'S AND USED BY ADMINISTRATORS IN THEIR DECISION MAKING THE ADMINISTRATION'S OVERALL DECISIONS ARE REVIEWED BY A BOARD COMMITTEE TO WHOM THE BOARD HAS DELEGATED AUTHORITY TO APPROVE FINANCIAL AID AWARDS EACH YEAR THE SCHOOL ACCEPTS AT LEAST FOUR STUDENTS FROM PREP FOR PREP, AN ORGANIZATION THAT PREPARES AND SUPPORTS ECONOMICALLY DISADVANTAGED MINORITY STUDENTS SEEKING AN INDEPENDENT SCHOOL EDUCATION THESE STUDENTS ARE GRANTED SUBSTANTIAL NEED-BASED FINANCIAL AID, WHICH REDUCES THE FAMILY'S CONTRIBUTION OFTEN TO \$500 ANNUALLY FOR FISCAL YEAR 2013 WE BUDGETED \$3 6 MILLION FOR SCHOLARSHIPS AND OTHER ASSISTANCE TO STUDENTS 182 STUDENTS OUT OF AN ENROLLMENT OF 1,086 (17%) RECEIVED SCHOLARSHIP AID ALLOWING THE SCHOOL TO PROGRESS IN ITS OBJECTIVE OF MAINTAINING A DIVERSE STUDENT POPULATION

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
SAINT ANN'S SCHOOL

Employer identification number
11-2606681

Part I	Questions Regarding Compensation		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items			
	☐ First-class or charter travel	☒ Housing allowance or residence for personal use		
	☐ Travel for companions	☐ Payments for business use of personal residence		
	☐ Tax idemnification and gross-up payments	☐ Health or social club dues or initiation fees		
	☐ Discretionary spending account	☐ Personal services (e g , maid, chauffeur, chef)		
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b	Yes	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	Yes	
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III			
	☒ Compensation committee	☒ Written employment contract		
	☐ Independent compensation consultant	☒ Compensation survey or study		
	☐ Form 990 of other organizations	☒ Approval by the board or compensation committee		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	4a		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		No
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III			
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	5a		No
b	Any related organization?	5b		No
	If "Yes," to line 5a or 5b, describe in Part III			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	6a		No
b	Any related organization?	6b		No
	If "Yes," to line 6a or 6b, describe in Part III			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	Yes	
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1)LINDA KAUFMAN ASSOCIATE HEAD	(i) (ii)	158,707 0	0 0	4,620 0	11,482 0	12,471 0	187,280 0	0 0
(2)VINCENT TOMPKINS HEAD OF SCHOOL	(i) (ii)	379,265 0	0 0	4,620 0	10,102 0	226,579 0	620,566 0	0 0
(3)MATTHEW BLOOM DIRECTOR OF FINANCE & ADMIN	(i) (ii)	165,958 0	0 0	0 0	1,840 0	26,264 0	194,062 0	0 0
(4)DANA OKESON HEAD OF HIGH SCHOOL	(i) (ii)	105,444 0	0 0	0 0	6,142 0	59,869 0	171,455 0	0 0
(5)GABRIELLE HOWARD HEAD OF LOWER SCHOOL	(i) (ii)	140,547 0	0 0	4,620 0	9,245 0	7,341 0	161,753 0	0 0
(6)JOHN CONNOLLY DIRECTOR OF TECHNOLOGY	(i) (ii)	104,561 0	0 0	0 0	6,685 0	55,968 0	167,214 0	0 0

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
	PART I, LINE 1A	AS A CONDITION OF HIS EMPLOYMENT, IN ORDER TO FULFILL THE CONTRACTUAL OBLIGATIONS OF HIS EMPLOYMENT, VINCENT TOMPKINS IS PROVIDED WITH HOUSING BY THE ORGANIZATION. THE VALUE OF THE HOUSING PROVIDED IN CALENDAR YEAR 2012 WAS \$142,400.
	PART I, LINE 7	THE FOLLOWING EMPLOYEES RECEIVED NON-FIXED PAYMENTS FROM THE ORGANIZATION: LINDA KAUFMAN, GABRIELLE HOWARD, AND VINCENT TOMPKINS. THE PAYMENTS WERE FOR TAXABLE FRINGE BENEFITS SUCH AS PARKING THAT WERE PAID BY THE ORGANIZATION, AND NOT INCLUDED IN THE EMPLOYEE'S CONTRACT NOR DETERMINED BY A FIXED AMOUNT.
SUPPLEMENTAL INFORMATION	PART III	SCHEDULE J, PART II, COLUMN D: VINCENT TOMPKINS, DANA OKESON, AND JOHN CONNOLLY RECEIVED TUITION REMISSION FROM THE ORGANIZATION FOR THEIR CHILDREN THAT ATTEND SAINT ANN'S SCHOOL, WHICH IS ALSO BEING REPORTED IN COLUMN D. IN 2012, VINCENT TOMPKINS RECEIVED \$57,915, DANA OKESON RECEIVED \$31,725, AND JOHN CONNOLLY RECEIVED \$27,000 IN TUITION REMISSION.

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons
▶ Complete if the organization answered
"Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c,
or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047
2012
Open to Public Inspection

Name of the organization
SAINT ANN'S SCHOOL

Employer identification number
11-2606681

Part I

Excess Benefit Transactions (section 501(c)(3) and section 501(c)(4) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II

Loans to and/or From Interested Persons.
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?	(i) Written agreement?	
			To	From			Yes	No		Yes	No
Total ▶ \$											

Part III

Grants or Assistance Benefitting Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) HANA SACKLER	DAUGHTER OF LAURIE SACKLER, TRUSTEE OF THE ORGANIZATION	41,354	HANA IS A PRESCHOOL TEACHER		No
(2) KATHERINE DREW MCGHEE	WIFE OF PETER DAVIDSON, TRUSTEE OF THE ORGANIZATION	83,449	KATHERINE IS A TEACHER AT THE SCHOOL		No
(3) LISA DEWHURST	DAUGHTER OF LINDA KAUFMAN, ASSOCIATE HEAD OF THE ORGANIZATION	162,499	LISA IS A TEACHER AT THE SCHOOL		No
(4) PHILIP DEWHURST	SON-IN-LAW OF LINDA KAUFMAN, ASSOCIATE HEAD OF THE ORGANIZATION	107,771	PHILIP IS A SECURITY GUARD AT THE SCHOOL		No

Part V Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

Identifier	Return Reference	Explanation
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SCHEDULE M
(Form 990)

Noncash Contributions

OMB No 1545-0047

2012

Open to Public Inspection

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.

Department of the Treasury
Internal Revenue Service

Name of the organization
SAINT ANN'S SCHOOL

Employer identification number
11-2606681

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	10	8,140	FAIR MARKET VALUE
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications	X		1,675	FAIR MARKET VALUE
5 Clothing and household goods	X		51,895	FAIR MARKET VALUE
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	11	1,487,700	FAIR MARKET VALUE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles	X	2	2,625	FAIR MARKET VALUE
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► (PIANO)	X	1	26,000	FAIR MARKET VALUE
26 Other ► (DINING)	X	32	14,920	FAIR MARKET VALUE
TICKETS & GIFT				
27 Other ► (CERTIFICATES)	X	23	11,402	FAIR MARKET VALUE
28 Other ► (VACATIONS)	X	16	24,455	FAIR MARKET VALUE
Other ► (JEWELRY)	X	5	4,235	FAIR MARKET VALUE
BEHIND THE SCENES				
Other ► (TOURS)	X	10	7,600	FAIR MARKET VALUE
UNIQUE				
Other ► (EXPERIENCES)	X	3	5,541	FAIR MARKET VALUE
PHOTO				
Other ► (SESSIONS)	X	5	1,770	FAIR MARKET VALUE
29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement	29			1
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a			No
b If "Yes," describe the arrangement in Part II				
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	Yes		
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a			No
b If "Yes," describe in Part II				
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II				

Part II

Supplemental Information.

Complete this part to provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
METHOD FOR DETERMINING NUMBER OF CONTRIBUTIONS	PART I, COLUMN (B)	THE ORGANIZATION IS REPORTING THE NUMBER OF CONTRIBUTORS IN PART I COLUMN (B)

SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on

Form 990 or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2012

Open to Public

Inspection

Name of the organization

SAINT ANN'S SCHOOL

Employer identification number

11-2606681

Identifier	Return Reference	Explanation
SIGNIFICANT ACTIVITIES OF THE SCHOOL	FORM 990, PAGE 1, PART I, LINE 1	AT SAINT ANN'S SCHOOL WE EDUCATE CHILDREN IN THE ACADEMIC DISCIPLINES AND THE ARTS FROM PRESCHOOL THROUGH 12TH GRADE. THROUGH THE EFFORTS OF MORE THAN TWO HUNDRED AND FIFTY FACULTY AND STAFF, WE ENGAGE CURIOUS, SELF-MOTIVATED STUDENTS IN AN EDUCATIONAL JOURNEY, INSTILLING A LOVE OF LEARNING THAT REMAINS WITH THEM THROUGHOUT THEIR LIVES. THE ACCOMPLISHMENTS OF OUR STUDENTS ARE ROUTINELY RECOGNIZED BY NATIONAL AND INTERNATIONAL INSTITUTIONS. EIGHTY-TWO GRADUATES OF THE CLASS OF 2013 GAINED ACCEPTANCE AT MORE THAN ONE HUNDRED SELECTIVE COLLEGES AND UNIVERSITIES. THIRTY-ONE MEMBERS OF THE CLASS OF 2013 WERE RECOGNIZED BY THE COLLEGE BOARD FOR HAVING ACHIEVED OUTSTANDING ACADEMIC PERFORMANCE ON ADVANCED PLACEMENT EXAMS. ONE STUDENT WAS NAMED A NATIONAL AP SCHOLAR, ELEVEN STUDENTS WERE NAMED AP SCHOLARS WITH DISTINCTION, TEN WERE NAMED AP SCHOLARS WITH HONOR, AND NINE WERE NAMED AP SCHOLARS. (NB: AP COURSES, AS SUCH, ARE NOT OFFERED AT SAINT ANN'S.) THIRTY-TWO PERCENT OF THE STUDENTS IN THE CLASS OF 2013 WERE NATIONAL MERIT, NATIONAL ACHIEVEMENT, OR NATIONAL HISPANIC SCHOLARS, FINALISTS, SEMIFINALISTS, COMMENDED STUDENTS OR OUTSTANDING PARTICIPANTS. ONE NATIONAL MERIT SCHOLARSHIP WAS AWARDED TO A MEMBER OF THIS CLASS.) AT THE NATIONAL LEVEL OF THE SCHOLASTIC AWARDS PROGRAMS, 1 GOLD MEDAL AND 1 SILVER MEDAL FOR ART WERE AWARDED TO MEMBERS OF THIS CLASS, ALONG WITH 6 GOLD MEDALS, 1 SILVER MEDAL WITH DISTINCTION AND 4 SILVER MEDALS FOR WRITING. REGIONAL SCHOLASTIC AWARDS INCLUDED 10 GOLD KEYS AND 24 SILVER KEYS FOR ART, ALONG WITH 45 GOLD KEYS AND 38 SILVER KEYS FOR WRITING. ONE STUDENT IN THE CLASS OF 2013 WON A GOLD MEDAL AND ANOTHER WON A SILVER MEDAL IN THE 2013 GREATER METROPOLITAN NEW YORK MATH FAIR, AND ONE STUDENT WAS INVITED TO BE A PART OF THE NEW YORK CITY MATH TEAM BY THE AMERICAN REGIONS MATHEMATICS LEAGUE. TWO STUDENTS WERE MEMBERS OF THE 2ND PLACE TEAM IN THE NEW YORK CITY INTERSCHOLASTIC MATHEMATICS LEAGUE. TWO MEMBERS OF THIS CLASS RECEIVED HONORABLE MENTIONS AND ONE WAS A FINALIST IN THE JAPANESE LANGUAGE CATEGORY IN THE 2013 UNIS HAIKU CONTEST. THREE STUDENTS IN THE CLASS OF 2013 WERE AWARDED GOLD REMIS AT THE WORLDFEST HOUSTON INTERNATIONAL FILM AND VIDEO FESTIVAL. ONE STUDENT RECEIVED A SCHOLARSHIP FROM THE YOUTH FOR UNDERSTANDING PROGRAM TO SPEND A SUMMER IN JAPAN.

Identifier	Return Reference	Explanation
ORGANIZATION'S MISSION STATEMENT	FORM 990, PAGE 2, PART III, LINE 1	SAINT ANN'S SCHOOL IS AN INDEPENDENT, NON-SECTARIAN DAY SCHOOL OFFERING INSTRUCTION TO OVER A THOUSAND STUDENTS IN PRESCHOOL THROUGH 12TH GRADE. WE BELIEVE EDUCATION IS A RICH AND SUBTLE EXPLORATION AND QUESTIONING OF THE WORLD, NOT A MEANS TO AN END. SINCE 1965 SAINT ANN'S SCHOOL HAS BROUGHT TOGETHER GIFTED CHILDREN AND PASSIONATE TEACHERS IN A FIERCE PURSUIT OF KNOWLEDGE, SKILL AND ARTISTRY. WE CULTIVATE A JOY FOR LEARNING THROUGH A DEEP AND RIGOROUS CURRICULUM, IN WHICH THE ARTS ARE AN ESSENTIAL PRESENCE. SO THAT EVERY CHILD WILL FLOURISH, WE ESCHEW GRADES AND RANKINGS IN FAVOR OF ONGOING DIALOGUE AND TEACHER REPORTS. AT SAINT ANNS WE OFFER OUR DREAMS TO THOSE WHO WILL SHARE THEIRS WITH US. SAINT ANN'S EDUCATION IMPARTS THE BEST OF HUMAN TRADITIONS AND DISCOVERIES WHILE NURTURING INTELLECTUAL ADVENTURE. OUR STUDENTS ARE GIVEN GREAT FREEDOM - CERTAINLY TO ACHIEVE, ALSO TO TRUST THEMSELVES AND TO FIND DEEP SATISFACTION IN LEARNING FOR ITS OWN SAKE. IN ANECDOTAL REPORTS, THE CLASSROOM, AT SPORTS EVENTS, OR ON STAGE, WE HOLD CENTRAL THE CELEBRATION OF OUR STUDENTS. TEACHERS AT SAINT ANN'S ARE CHOSEN FOR THEIR STRONG INTELLECTUAL AND ARTISTIC INTERESTS AND THEIR COMMITMENT TO THE EDUCATION OF OUR CHILDREN. FACULTY ARE GIVEN THE OPPORTUNITY TO COMMUNICATE THEIR ENTHUSIASM, TO USE WHATEVER THEY FIND PRACTICAL IN AN EFFORT TO DRAW OUT THE MOST AUTHENTIC AND EXCEPTIONAL WORK FROM THEIR STUDENTS. OUR TEACHERS' LEADERSHIP IN THE CLASSROOM AND OUT ARISES FROM THEIR INTELLECT, ACCOMPLISHMENT, AND PROFOUND SENSE OF HUMANITY. OUR CURRICULUM IS A REALM OF POSSIBILITIES WHERE WE MEET OUR STUDENTS. IT IS A SUBSTANTIVE AND DYNAMIC MEANS TO ENGAGE EVERY CHILD. IT IS FLEXIBLE AND RESPONSIVE, SO THAT WHENEVER FEASIBLE, OUR STUDENTS UNDERTAKE ACCELERATED OR SPECIALIZED WORK IN SUBJECT AREAS RANGING FROM MUSIC TO CALCULUS TO CHINESE, BASED UPON TALENTS AND INTERESTS RATHER THAN AGE OR GRADE. WE ARE A GENUINE COMMUNITY, OPERATING SEVEN DAYS A WEEK, CONSTANTLY REINVENTED AS OUR MEMBERS MOVE FROM ROLE TO ROLE, SUSTAINED BY A COMMITMENT TO EACH OTHER AND TO THE ONGOING SYMPOSIUM THAT IS OUR SCHOOL. THE GROWTH AND SUCCESS OF SAINT ANN'S OWE MUCH TO THE FAITHFULNESS WITH WHICH WE ADHERE TO OUR EDUCATIONAL PHILOSOPHY. THAT EDUCATION IS, IN THE LAST ANALYSIS, A CELEBRATION OF LIFE AND THAT LIFE IS WONDROUS, EPHEMERAL, AND FOR THOSE REASONS, SACRED.

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION B, LINE 11	THE DIRECTOR OF FINANCE AND ADMINISTRATION AND THE CONTROLLER, ALONG WITH OTHER SCHOOL ADMINISTRATORS AND THE SCHOOL'S AUDITORS, ARE RESPONSIBLE FOR THE PREPARATION AND SUBMISSION OF THE DRAFT FORM 990. THIS FIRST DRAFT IS EMAILED TO A TEAM CONSISTING OF THE HEAD OF SCHOOL, THE AUDITORS, THE PRESIDENT OF THE BOARD, THE CHAIR OF THE AUDIT COMMITTEE AND THE TREASURER (THE ONLY PARTICIPANT WHO IS NOT A MEMBER OF THE AUDIT COMMITTEE) FOR REVIEW AND COMMENT. THE CONTROLLER COORDINATES THE FLOW OF INFORMATION BETWEEN THE SCHOOL AND ITS AUDITORS. THE AUDITORS RESPOND WITH AN OPEN ITEMS LIST FOR THE SCHOOL'S ADMINISTRATION TO ADDRESS. THE SCHOOL ADDRESSES THE OPEN ITEMS AND THE NEXT DRAFT, IS SENT TO THE AUDITORS, PRESIDENT OF THE BOARD, MEMBERS OF THE AUDIT COMMITTEE AND THE TREASURER. ONCE ALL OF THE AUDITORS' OPEN ITEMS AND ANY ISSUES RAISED BY SCHOOL OFFICIALS HAVE BEEN ADDRESSED, THE DRAFT IS RETURNED TO THE AUDITORS FOR REVIEW BY THEIR EXEMPT ORGANIZATION TAX AND ADVISORY SERVICES GROUP. THIS GROUP IN TURN GENERATES A LIST OF OPEN ITEMS THAT THE SCHOOL'S ADMINISTRATION RESPONDS TO. ONCE THIS FINAL DRAFT IS COMPLETE, THE AUDITORS PRESENT IT TO A MEETING OF THE FULL AUDIT COMMITTEE. A REDACTED DRAFT OF THE 990 IS MAILED TO THE BOARD OF DIRECTORS FOR REVIEW AND DISCUSSION PRIOR TO FILING. THE DIRECTOR OF FINANCE RECEIVES AUTHORITY FROM THE AUDIT COMMITTEE ACTING ON THE AUTHORITY ASSIGNED TO THEM BY THE BOARD OF TRUSTEES TO SUBMIT THE FORM 990. A FULL COPY IS MADE AVAILABLE TO THE BOARD UPON COMPLETION OF THE RETURN.

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION B, LINE 12C	THE CONFLICT OF INTEREST POLICY APPLIES AS FOLLOWS ANY TRUSTEE, OFFICER, MEMBER OF THE ADMINISTRATION, OR MEMBER OF A COMMITTEE WITH POWERS DELEGATED BY THE GOVERNING BOARD, WHO HAS A DIRECT OR INDIRECT FINANCIAL INTEREST, AS DEFINED BY THE SCHOOL'S CONFLICT OF INTEREST POLICY IS AN INTERESTED PERSON IF A PERSON IS AN INTERESTED PERSON WITH RESPECT TO ANY AFFILIATE OF THE ORGANIZATION, HE OR SHE IS AN INTERESTED PERSON WITH RESPECT TO ALL AFFILIATES DUTY TO DISCLOSE IN CONNECTION WITH ANY ACTUAL OR POSSIBLE CONFLICT OF INTEREST, AN INTERESTED PERSON MUST DISCLOSE THE EXISTENCE OF THE FINANCIAL INTEREST AND BE GIVEN THE OPPORTUNITY TO DISCLOSE ALL MATERIAL FACTS TO THE TRUSTEES AND MEMBERS OF COMMITTEES WITH POWERS DELEGATED BY THE GOVERNING BOARD, OR TO OTHERS CONSIDERING THE PROPOSED TRANSACTION OR ARRANGEMENT DETERMINING WHETHER A CONFLICT OF INTEREST EXISTS AFTER DISCLOSURE OF THE FINANCIAL INTEREST AND ALL MATERIAL FACTS, AND AFTER ANY DISCUSSION WITH THE INTERESTED PERSON, HE/SHE SHALL LEAVE THE GOVERNING BOARD OR COMMITTEE MEETING WHILE THE DETERMINATION OF CONFLICT OF INTEREST IS DISCUSSED AND VOTED UPON THE REMAINING BOARD OR COMMITTEE MEMBERS SHALL DECIDE IF A CONFLICT OF INTEREST EXISTS PROCEDURES FOR ADDRESSING THE CONFLICT OF INTEREST THE CHAIRPERSON OF THE GOVERNING BOARD OR COMMITTEE SHALL, IF APPROPRIATE, APPOINT A DISINTERESTED PERSON OR COMMITTEE TO INVESTIGATE ALTERNATIVES TO THE PROPOSED TRANSACTION OR ARRANGEMENT AFTER EXERCISING DUE DILIGENCE THE GOVERNING BOARD OR COMMITTEE SHALL DETERMINE WHETHER THE SCHOOL CAN OBTAIN WITH REASONABLE EFFORTS A MORE ADVANTAGEOUS TRANSACTION OR ARRANGEMENT FROM A PERSON OR ENTITY THAT WOULD NOT GIVE RISE TO A CONFLICT OF INTEREST IF A MORE ADVANTAGEOUS TRANSACTION OR ARRANGEMENT IS NOT REASONABLY POSSIBLE UNDER THE CIRCUMSTANCES NOT PRODUCING A CONFLICT OF INTEREST, THE GOVERNING BOARD OR COMMITTEE SHALL DETERMINE BY A MAJORITY VOTE OF THE DISINTERESTED TRUSTEES WHETHER THE TRANSACTION OR ARRANGEMENT IS IN THE SCHOOL'S BEST INTEREST, FOR ITS OWN BENEFIT AND WHETHER IT IS FAIR AND REASONABLE IN CONFORMITY WITH THE ABOVE DETERMINATION, IT SHALL MAKE ITS DECISION AS TO WHETHER TO ENTER INTO THE TRANSACTION OR ARRANGEMENT ANNUAL STATEMENTS EACH TRUSTEE, OFFICER AND MEMBER OF A COMMITTEE WITH POWERS DELEGATED BY THE GOVERNING BOARD, AS WELL AS MEMBER OF ADMINISTRATION (AS APPLICABLE) SHALL ANNUALLY SIGN A STATEMENT THAT AFFIRMS SUCH PERSON - HAS RECEIVED A COPY OF THE CONFLICTS-OF-INTEREST POLICY, - HAS READ AND UNDERSTANDS THE POLICY - HAS AGREED TO COMPLY WITH THE POLICY, AND - UNDERSTANDS THE SCHOOL IS CHARITABLE AND, IN ORDER TO MAINTAIN ITS FEDERAL TAX EXEMPTION, IT MUST ENGAGE PRIMARILY IN ACTIVITIES THAT ACCOMPLISH ONE OR MORE OF ITS TAX-EXEMPT PURPOSES PERIODIC REVIEWS TO ENSURE THE SCHOOL OPERATES IN A MANNER CONSISTENT WITH CHARITABLE PURPOSE AND DOES NOT ENGAGE IN ACTIVITIES THAT COULD JEOPARDIZE ITS TAX-EXEMPT STATUS, PERIODIC REVIEWS SHALL BE CONDUCTED SUCH PERIODIC REVIEWS SHALL, AT A MINIMUM, INCLUDE THE FOLLOWING SUBJECTS - WHETHER COMPENSATION ARRANGEMENTS AND BENEFITS ARE REASONABLE, BASED ON COMPETENT SURVEY INFORMATION, AND THE RESULT OF ARM'S LENGTH BARGAINING, AND - WHETHER PARTNERSHIPS, JOINT VENTURES, AND ARRANGEMENTS WITH MANAGEMENT ORGANIZATIONS CONFORM TO THE SCHOOL'S WRITTEN POLICIES, ARE PROPERLY RECORDED, REFLECT REASONABLE INVESTMENT OR PAYMENTS FOR GOODS AND SERVICES, FURTHER CHARITABLE PURPOSES, AND DO NOT RESULT IN INURNMENT, IMPERMISSIBLE PRIVATE BENEFIT, OR IN AN EXCESS-BENEFIT TRANSACTION TRUSTEES' ANNUAL DISCLOSURE FORMS ARE REVIEWED BY THE AUDIT COMMITTEE TO DETERMINE IF A CONFLICT EXISTS AND IF SO, HOW IT IS TO BE MANAGED TRUSTEES ARE REQUIRED TO RECUES THEMSELVES FROM ANY DECISION AS TO WHICH THEY HAVE A CONFLICT

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION B, LINE 15	THE HEAD OF SCHOOL'S COMPENSATION IS DECIDED ACCORDING TO TERMS SPECIFIED IN HIS CONTRACT THE FULL BOARD (INDEPENDENT DIRECTORS) USING ITS INDEPENDENT RESEARCH APPROVES THE COMPENSATION OF THE HEAD OF SCHOOL THE HEAD OF SCHOOL SETS ALL OTHER COMPENSATION, INCLUDING SALARIES OF EVERY EMPLOYEE, SUBJECT TO THE REVIEW AND APPROVAL OF THE FINANCE COMMITTEE AND THE PRESIDENT OF THE BOARD WHEN THE ANNUAL BUDGET IS FIXED THE HEAD OF SCHOOL, THE PRESIDENT OF THE BOARD, TREASURER AND SENIOR ADMINISTRATORS RECEIVE NY SAIS AND GUILD OF INDEPENDENT SCHOOLS SURVEY DATA REGARDING SALARIES THE HEAD OF SCHOOL USES THESE DATA WHEN SETTING SALARY LEVELS THE COMPENSATION PROCESS OCCURS ANNUALLY THE COMPENSATION REVIEW PROCESS WAS LAST UNDERTAKEN IN MARCH 2013

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION C, LINE 19	THE SCHOOL MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS AVAILABLE UPON REQUEST OR FOR INSPECTION AT THE SCHOOL'S FINANCE OFFICE. IT ALSO MAKES ITS FORM 990 AVAILABLE FOR PUBLIC INSPECTION AS REQUIRED UNDER SECTION 6104 OF THE INTERNAL REVENUE CODE. THE FORM 990 IS ALSO AVAILABLE ON GUIDESTAR.ORG AND SIMILAR WEBSITES.

Identifier	Return Reference	Explanation
OVERSIGHT OF AUDIT AND SELECTION OF AN INDEPENDENT ACCOUNTANT	FORM 990, PART XII, LINE 2C	THE PRESIDENT AND TREASURER OF THE BOARD OF DIRECTORS AS WELL AS THE AUDIT COMMITTEE AND THE CONTROLLER OF SAINT ANN'S SCHOOL ASSUMES RESPONSIBILITY FOR THE OVERSIGHT OF THE AUDIT OF ITS FINANCIAL STATEMENTS AND THE SELECTION OF AN INDEPENDENT ACCOUNTANT THE PROCESS HAS NOT CHANGED SIGNIFICANTLY FROM THE PRIOR YEAR

Additional Data

Software ID:

Software Version:

EIN: 11-2606681

Name: SAINT ANN'S SCHOOL

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
MARC MAYER TREASURER	5 00	X		X				0	0	0
ELIOT NOLEN VICE PRESIDENT	5 00	X		X				0	0	0
JONATHAN WELD PRESIDENT	5 00	X		X				0	0	0
HILLIARD FARBER ASSISTANT TREASURER THRU 6/30/13	1 00	X		X				0	0	0
DAN BERGNER SECRETARY	1 00	X		X				0	0	0
PETER DAVIDSON TRUSTEE	1 00	X						0	0	0
PETER DARROW TRUSTEE THRU 6/30/13	5 00	X						0	0	0
MARJORIE COLEMAN EXECUTIVE COMMITTEE MEMBER	5 00	X		X				0	0	0
MICHAEL POLLACK TRUSTEE	1 00	X						0	0	0
BAHIA RAMOS-SYNOTT TRUSTEE THRU 6/30/13	1 00	X						0	0	0
LAURIE SACKLER TRUSTEE THRU 6/30/13	1 00	X						0	0	0
DANIEL STONE TRUSTEE	1 00	X						0	0	0
LAURA WALKER TRUSTEE	1 00	X						0	0	0
MARY P WATSON TRUSTEE	1 00	X						0	0	0
HAMILTON CHASE TRUSTEE	1 00	X						0	0	0
MICHAEL HADDAD TRUSTEE	1 00	X						0	0	0
JIDE ZEITLIN TRUSTEE	1 00	X						0	0	0
PATTI GREANEY TRUSTEE	1 00	X						0	0	0
STEPHEN CASSELL TRUSTEE	1 00	X						0	0	0
ALEX GOOR TRUSTEE	1 00	X						0	0	0
CARMINE CAPOSSELA TRUSTEE	1 00	X						0	0	0
LINDA KAUFMAN ASSOCIATE HEAD	40 00			X				163,327	0	23,953
VINCENT TOMPKINS HEAD OF SCHOOL	40 00			X				383,885	0	236,681
MATTHEW BLOOM DIRECTOR OF FINANCE & ADMIN	40 00			X				165,958	0	28,104
DANA OKESON HEAD OF HIGH SCHOOL	40 00					X		105,444	0	66,011

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
ROBERT SWACKER DEAN OF FACULTY	40 00					X		112,794	0	16,406
GABRIELLE HOWARD HEAD OF LOWER SCHOOL	40 00					X		145,167	0	16,586
JOHN CONNOLLY DIRECTOR OF TECHNOLOGY	40 00					X		104,561	0	62,653
ANTHONY SMITH DIR - BUILDING & GROUNDS	40 00					X		113,393	0	30,630