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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning AUG 1, 2012, and ending JUL 31, 2013

Name of foundation JOHN SAGE FOUNDATION		A Employer identification number 01-0469155
Number and street (or P O box number if mail is not delivered to street address) 538 MALBONS MILLS ROAD	Room/suite	B Telephone number 207-722-3543
City or town, state, and ZIP code SKOWHEGAN, ME 04976		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 714,390. (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	15,254.	15,254.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	49,288.			
	b Gross sales price for all assets on line 6a	355,887.			
	7 Capital gain net income (from Part IV, line 2)		49,288.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	11.	11.		STATEMENT 2	
12 Total. Add lines 1 through 11	64,553.	64,553.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 3 945.	473.		472.
	c Other professional fees	STMT 4 2,209.	2,209.		0.
	17 Interest				
	18 Taxes	STMT 5 1,022.	72.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 6 475.	0.		475.
	24 Total operating and administrative expenses. Add lines 13 through 23	4,651.	2,754.		947.
	25 Contributions, gifts, grants paid	35,800.			35,800.
26 Total expenses and disbursements. Add lines 24 and 25	40,451.	2,754.		36,747.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	24,102.				
b Net investment income (if negative, enter -0-)		61,799.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	30,588.	9,242.	9,242.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	526,625.	572,073.	705,148.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	557,213.	581,315.	714,390.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	557,213.	581,315.	
	30 Total net assets or fund balances	557,213.	581,315.	
	31 Total liabilities and net assets/fund balances	557,213.	581,315.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	557,213.
2 Enter amount from Part I, line 27a	2	24,102.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	581,315.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	581,315.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES		P		
b PUBLICLY TRADED SECURITIES		P		
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 219,048.		198,137.	20,911.
b 136,839.		108,462.	28,377.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			20,911.
b			28,377.
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	49,288.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	26,945.	607,154.	.044379
2010	25,918.	589,991.	.043929
2009	25,702.	529,108.	.048576
2008	28,259.	453,331.	.062336
2007	31,647.	646,013.	.048988

2 Total of line 1, column (d)	2	.248208
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049642
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	654,977.
5 Multiply line 4 by line 3	5	32,514.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	618.
7 Add lines 5 and 6	7	33,132.
8 Enter qualifying distributions from Part XII, line 4	8	36,747.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	618.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	618.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	618.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,200.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,200.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	582.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input checked="" type="checkbox"/> Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> ME		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.MEGRANTS.ORG/JOHNSAGEFRONT.HTML	13	X	
14	The books are in care of ► JAMES P. HASTINGS, JR. Telephone no. ► 207-474-3949 Located at ► SKOWHEGAN, MAINE ZIP+4 ► 04976			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ► _____, _____, _____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Part IX-A Summary of Direct Charitable Activities

Expenses

0.

Amount

0.

0.

0.

Form **990-PF** (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	638,698.
b	Average of monthly cash balances	1b	26,253.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	664,951.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	664,951.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,974.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	654,977.
6	Minimum investment return. Enter 5% of line 5	6	32,749.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	32,749.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	618.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	618.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	32,131.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	32,131.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	32,131.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	36,747.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	36,747.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	618.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	36,129.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				32,131.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008	2,139.			
c From 2009	632.			
d From 2010				
e From 2011				
f Total of lines 3a through e	2,771.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 36,747.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				32,131.
e Remaining amount distributed out of corpus	4,616.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	7,387.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	7,387.			
10 Analysis of line 9:				
a Excess from 2008	2,139.			
b Excess from 2009	632.			
c Excess from 2010				
d Excess from 2011				
e Excess from 2012	4,616.			

N/A

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

	4942(i)(3) or		4942(i)(5)
--	---------------	--	------------

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.**

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(i)(3)(B)(i)**

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed**

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

[illegible]

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 9

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE STATEMENT 10

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
FRIENDS OF BLUE HILL BAY PO BOX 1633 BLUE HILL, ME 04614		PUBLIC	WATER MONITORING	4,000.
FRIENDS OF MAINE'S SEABIRD ISLANDS 9 WATER STREET ROCKLAND, ME 04841		PUBLIC	MUSEUM EQUIPMENT	2,000.
COASTAL MOUNTAINS LAND TRUST 101 MT. BATTIE STREET CAMDEN, ME 04843		PUBLIC	LAND ACQUISITION	7,000.
TRUST FOR PUBLIC LAND 30 DANFORTH ST #106 PORTLAND, ME 04841		PUBLIC	LAND ACQUISITION	7,000.
GEORGES RIVER LAND TRUST 8 N MAIN STREET ROCKLAND, ME 04841		PUBLIC	NATURE TRAIL CONSTRUCTION	2,000.
Total	SEE CONTINUATION SHEET(S)			35,800.
b Approved for future payment				
NONE				
Total				0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SOMERSET WOODS TRUSTEES PO BOX 833 SKOWHEGAN, ME 04976		PUBLIC	LAND ACQUISITION	3,000.
FERRY BEACH ECOLOGY SCHOOL 8 MORRIS AVE SACO, ME 04072		PUBLIC	SCIENCE AND ECOLOGY PROGRAM	2,500.
FRIENDS OF CASCO BAY 43 SLOCUM DRIVE SOUTH PORTLAND, ME 04106		PUBLIC	WATER ACIDIFICATION MONITORING	2,500.
AVIAN HAVEN 418 N PALERMO ROAD FREEDOM, ME 04941		PUBLIC	WATERFALL HABITAT CONSTRUCTION	4,300.
FRIENDS OF MERRYMEETING BAY 42 STEVENS ROAD BOWDOINHAM, ME 04008		PUBLIC	EAGLE EGG ASSESSMENT	1,500.
Total from continuation sheets				13,800.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS ON INVESTMENTS	15,254.	0.	15,254.
TOTAL TO FM 990-PF, PART I, LN 4	15,254.	0.	15,254.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	11.	11.	
TOTAL TO FORM 990-PF, PART I, LINE 11	11.	11.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	945.	473.		472.
TO FORM 990-PF, PG 1, LN 16B	945.	473.		472.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	2,209.	2,209.		0.
TO FORM 990-PF, PG 1, LN 16C	2,209.	2,209.		0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL TAX PAYMENTS	950.	0.		0.	
FOREIGN TAXES	72.	72.		0.	
TO FORM 990-PF, PG 1, LN 18	1,022.	72.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ASSOCIATION DUES	475.	0.		475.	
TO FORM 990-PF, PG 1, LN 23	475.	0.		475.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
SEE STATEMENT A	572,073.		705,148.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	572,073.		705,148.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	8
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CORRILLA D. HASTINGS 538 MALBONS MILLS ROAD SKOWHEGAN, ME 04976	PRESIDENT 1.00	0.	0.	0.
JAMES P. HASTINGS, JR. 538 MALBONS MILLS ROAD SKOWHEGAN, ME 04976	TREASURER 1.00	0.	0.	0.
DONALD A. BRACKETT 630 OLD COUNTY ROAD KNOX, ME 04986	SECRETARY 1.00	0.	0.	0.
JANE H. BRACKETT 630 OLD COUNTY ROAD KNOX, ME 04986	V.P. 1.00	0.	0.	0.
THOMAS M. PIERCE C/O H.M. PAYSON & CO, 1 PORTLAND SQUARE, P.O. BOX 31 PORTLAND, ME 04112	DIRECTOR 1.00	0.	0.	0.
MARTHA BOLSTER 44 GARDNER STREET PORTSMOUTH, NH 03801	DIRECTOR 1.00	0.	0.	0.
CAROLINE NORDEN 23 BOWDEN LANE FREEPORT, ME 04032	DIRECTOR 1.00	0.	0.	0.
ERIN LLOYD 76 CENTRAL STREET HALLOWELL, ME 04347	DIRECTOR 1.00	0.	0.	0.
IAN JAMES BRACKETT 630 OLD COUNTY ROAD KNOX, ME 04986	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 9

NAME OF MANAGER

CORRILLA D. HASTINGS
JAMES P. HASTINGS, JR.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

DONALD A. BRACKETT
SEE ATTACHED STATEMENT B

TELEPHONE NUMBER

207-722-3543

FORM AND CONTENT OF APPLICATIONS

AN OUTLINE OF THE SPECIFIC PROJECT FOR WHICH FUNDS ARE BEING REQUESTED SHOULD BE COVERED IN THE LETTER TO THE FOUNDATION. THE OUTLINE SHOULD INCLUDE PLANS FOR ACCOMPLISHING GOALS, EXPECTED TOTAL COST, OTHER SOURCES OF INCOME, WORKERS INVOLVED, AND OTHER RELEVANT INFORMATION. IN ADDITION TO THE LETTER, AN APPLICATION FORM MUST BE COMPLETED (SEE ATTACHED COPY). INFORMATION SUCH AS STAFF MEMBERS, FINANCIAL INFORMATION, AND THE ORGANIZATION'S OBJECTIVES MUST BE INCLUDED. THE FOUNDATION REQUIRES THAT SEVEN COPIES OF THE APPLICATION BE SUBMITTED.

ANY SUBMISSION DEADLINES

FEBRUARY 15 AND AUGUST 15

RESTRICTIONS AND LIMITATIONS ON AWARDS

THERE ARE NO SPECIFIC RESTRICTIONS OTHER THAN FUNDS ARE GRANTED TO 501(C)(3) ORGANIZATIONS LOCATED IN THE STATE OF MAINE. THE PRIMARY FOCUS OF THE FOUNDATION IS TO PROMOTE AWARENESS OF THE NEED FOR AND ENCOURAGE THE DEVELOPMENT OF A HARMONIOUS RELATIONSHIP BETWEEN MAN AND THE NATURAL ENVIRONMENT.

Asset Statement

Units	Description	Unit Cost	Total Cost	Mkt Price	Mkt Value	Unrealized Gain/Loss	% of Account	Annual Income	Yield at Mkt
Core Equity									
Consumer Discretionary									
349	COACH INC	49.31	17,208.11	53.13	18,542.37	1,334.26	2.60	471.15	2.54
301	DIRECTV CLASS A	64.26	19,343.39	63.28	19,047.28	-296.11	2.67	0.00	0.00
445	HASBRO INC	35.96	16,001.24	46.00	20,470.00	4,468.76	2.87	712.00	3.48
220	MCDONALDS CORP	88.11	19,384.17	98.08	21,577.60	2,193.43	3.02	677.60	3.14
399	WEIGHT WATCHERS INTL INC	52.52	20,955.22	47.45	18,932.55	-2,022.67	2.65	279.30	1.48
510	WILEY JOHN & SONS INC CLASS A	38.10	19,429.49	45.13	23,016.30	3,586.81	3.22	510.00	2.22
	Total Consumer Discretionary		112,321.62		121,586.10	9,264.48	17.02	2,650.05	2.18
Consumer Staples									
214	PEPSICO INC	62.87	13,454.12	83.54	17,877.56	4,423.44	2.50	485.78	2.72
Energy									
1,490	ALPHA NATURAL RESOURCES INC	7.99	11,910.71	5.44	8,105.60	-3,805.11	1.13	0.00	0.00
528	BP PLC SPONS ADR (ISIN #US0556221044 SEDOL #2142621)	45.01	23,766.07	41.44	21,880.32	-1,885.75	3.06	1,140.48	5.21
376	CONOCOPHILLIPS	40.54	15,243.97	64.86	24,387.36	9,143.39	3.41	1,037.76	4.26
607	PEABODY ENERGY CORP	25.53	15,494.82	16.56	10,051.92	-5,442.90	1.41	206.38	2.05
580	WILLIAMS COMPANIES INC	27.85	16,154.37	34.17	19,818.60	3,664.23	2.77	817.80	4.13
	Total Energy		82,569.94		84,243.80	1,673.86	11.79	3,202.42	3.80

Asset Statement (Cont'd)

Units	Description	Unit Cost	Total Cost	Mkt Price	Mkt Value	Unrealized Gain/Loss	% of Account	Annual Income	Yield at Mkt
Financials									
349	AFLAC INC	34.82	12,153.32	61.68	21,526.32	9,373.00	3.01	488.60	2.27
619	AMERICAN INTERNATIONAL GROUP INC	32.73	20,257.14	45.51	28,170.69	7,913.55	3.94	247.60	0.88
1,836	BANK OF AMERICA CORP	9.07	16,643.69	14.60	26,805.60	10,161.91	3.75	73.44	0.27
220	BERKSHIRE HATHAWAY INC B	78.59	17,289.97	115.87	25,491.40	8,201.43	3.57	0.00	0.00
430	HCC INS HOLDINGS COM	27.12	11,661.73	44.53	19,147.90	7,486.17	2.68	283.80	1.48
Total Financials			78,005.85		121,141.91	43,136.06	16.95	1,093.44	0.90
Health Care									
466	ABBOTT LABORATORIES	29.33	13,668.04	36.63	17,069.58	3,401.54	2.39	260.96	1.53
280	JOHNSON AND JOHNSON	55.70	15,595.14	93.50	26,180.00	10,584.86	3.66	739.20	2.82
175	LABORATORY CORP OF AMERICA HOLDINGS	87.49	15,311.45	96.74	16,929.50	1,618.05	2.37	0.00	0.00
490	TEVA PHARMACEUTICAL INDUSTRIES LTD SP ADR (ISIN #US8816242098 SEDOL #2883878)	40.65	19,919.88	39.70	19,453.00	-466.88	2.72	495.39	2.55
Total Health Care			64,494.51		79,632.08	15,137.57	11.15	1,495.55	1.88
Industrials									
290	GENERAL DYNAMICS CORP	40.54	11,755.76	85.34	24,748.60	12,992.84	3.46	649.60	2.62
283	JOY GLOBAL INC	56.28	15,926.41	49.50	14,008.50	-1,917.91	1.96	198.10	1.41
254	PARKER HANNIFIN CORPORATION	81.92	20,807.17	103.28	26,233.12	5,425.95	3.67	457.20	1.74
746	SPIRIT AEROSYSTEMS HLDS INC CL A	18.94	14,128.39	25.36	18,918.56	4,790.17	2.65	0.00	0.00
190	UNITED TECHNOLOGIES CORP	78.77	14,966.24	105.57	20,058.30	5,092.06	2.81	406.60	2.03
Total Industrials			77,593.97		103,967.08	26,383.11	14.55	1,711.50	1.65
Information Technology									
40	APPLE INC	378.59	15,143.53	452.53	18,101.20	2,957.67	2.53	488.00	2.70
865	CISCO SYSTEMS INC	20.87	18,051.04	25.59	22,135.35	4,084.31	3.10	588.20	2.66
459	HARRIS CORPORATION	36.71	16,848.73	57.07	26,195.13	9,346.40	3.67	679.32	2.59
959	INTEL CORP	21.91	21,010.14	23.34	22,378.27	1,368.13	3.13	863.10	3.86
100	INTERNATIONAL BUSINESS MACHINES	189.80	18,979.74	195.04	19,504.00	524.26	2.73	380.00	1.95

Asset Statement (Cont'd)

Units	Description	Unit Cost	Total Cost	Mkt Price	Mkt Value	Unrealized Gain/Loss	% of Account	Annual Income	Yield at Mkt
799	MICROSOFT CORP	23.95	19,132.68	31.84	25,440.16	6,307.48	3.56	735.08	2.89
2,785	XEROX CORP	7.70	21,446.00	9.70	27,014.50	5,568.50	3.78	640.55	2.37
	Total Information Technology		130,611.86		160,768.61	30,156.75	22.50	4,374.25	2.72
	Telecommunication Services								
532	VODAFONE GROUP PLC SPONSORED ADR	24.49	13,030.78	29.95	15,930.74	2,899.96	2.23	825.13	5.18
	Total Core Equity		572,072.65		705,147.88	133,075.23	98.71	15,838.12	2.25

The John Sage Foundation is only funding projects based in the state of Maine.

Application Instructions and Foundation Requirements of the Applicant:

The applicant must email the following files as attachments in either Microsoft Word or Adobe PDF format:

- a. A completed application form; and
- b. Most recent 501(c)(3) ruling.

All application materials should be emailed to dbrackett353@gmail.com. This material must arrive no later than **March 31st** for consideration at our annual meeting in April. Please contact Don Brackett if you have any questions about the application or the application process, you can reach me at the above email or by phone at (207) 722-3543.

Please be as succinct as possible in your application. We admire conservation over elegance.

If applying for conservation easement support, please include the terms of the easement and a single copy of the appraisal.

Any funds given by John Sage Foundation must be used in the manner outlined in the grant request. If changes in the original grant proposal become desirable, amendments must be agreed upon by both John Sage Foundation and the grantee and presented in writing.

Grant Reporting Requirements: Interim grant reports are due at four months into the project, and a final report is due at the completion of the project. Grant report forms are available at <http://www.megrants.org/JohnSage/index.html>.

John Sage Foundation Evaluative Criteria for Proposals:

- Does the grant request meet the mission of the John Sage Foundation?
- Will the project benefit the relationship between many people and the environment, or reach a group which is underrepresented?
- Will John Sage Foundation's participation make a substantial difference to this project?

back to [John Sage Foundation homepage](#)

Application to John Sage Foundation
(Please refer to application instructions for more information)

1. **Applicant Organization:**

1. a. Name:
2. b. Year Organized:
3. c. Address:
4. d. Phone:
5. e. Contact Person and Title:
 1. i. Phone and email address of contact person:
 2. ii. Is contact person affiliated with any other organization?

2. **Names and Offices of Governing Board** (indicate if any are paid staff):

3. **Number of paid staff:**

1. a. Full time:
2. b. Part time:

4. **Number of volunteer workers:**

5. **Number of volunteer hours per year:**

6. **Amount Requested from John Sage Foundation:**

7. **Total Project Budget:**

Please give full financial disclosure, including the total cost of the project, how the grant money will be used, and identify all other sources of funds and whether they are in hand or pending.

8. **Describe the Project**, preferably in 3 pages or less. Clearly describe the **project goals**, the **methodology for attaining the project goals** (e.g., 40 volunteer hours organized and supervised by ____), and **evaluative measures** of the project's success.

9. **Organizational Financial Information** (from organization's most recent fiscal year) – please include:

1. a. Income
 1. i. From investments
 2. ii. From individuals/business
 3. iii. From foundations and corporations

STATEMENT B

4. iv. From government
5. v. Other
2. b. Expenditures
 1. i. For programs
 2. ii. For administration
 3. iii. For fundraising
 4. iv. Other
3. c. Brief Summary of Assets and Liabilities

10. Summarize briefly your organization's objectives in general.

Back to [John Sage Foundation homepage](#)