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(2012)

Form 990-PF (2012) ROSEMARY HANCOCK SMURR CHARITABLE TRUST

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	3,000		
	2	Savings and temporary cash investments	30,058	78,161	78,161
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule)	18,333	567,120	1
	b	Investments—corporate stock (attach schedule)	169,765	285,962	533,261
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	1,805,185	1,633,699	1,848,539	
14	Land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)				
15	Other assets (describe)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item i)	2,026,341	2,564,942	2,459,962	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	2,026,341	2,564,942	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	2,026,341	2,564,942	
31	Total liabilities and net assets/fund balances (see instructions)	2,026,341	2,564,942		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,026,341
2	Enter amount from Part I, line 27a	2	118,940
3	Other increases not included in line 2 (itemize) See Attached Statement	3	421,839
4	Add lines 1, 2, and 3	4	2,567,120
5	Decreases not included in line 2 (itemize) See Attached Statement	5	2,178
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,564,942

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Page **3****Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	98,831
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	176,506	2,371,033	0.074443
2010	87,386	2,703,317	0.032325
2009	101,172	2,440,878	0.041449
2008	61,680	1,784,802	0.034558
2007	31,728	494,502	0.064162

2 Total of line 1, column (d)	2	0.246937
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049387
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	2,219,119
5 Multiply line 4 by line 3	5	109,596
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,895
7 Add lines 5 and 6	7	116,491
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	570,967

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	6,895	
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0	
3 Add lines 1 and 2		3	6,895	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4		
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	6,895	
6 Credits/Payments				
a 2012 estimated tax payments and 2011 overpayment credited to 2012		6a		
b Exempt foreign organizations—tax withheld at source		6b		
c Tax paid with application for extension of time to file (Form 8868)		6c		
d Backup withholding erroneously withheld		6d		
7 Total credits and payments Add lines 6a through 6d		7	0	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	6,895	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	0	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax Refunded		11	0	

Part VII-A Statements Regarding Activities

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1a			X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			X
1b			X
c Did the foundation file Form 1120-POL for this year?			X
1c			X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities			X
2			X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			X
3			X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?			X
4a			X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A	
4b		N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T			X
5			X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X	
6		X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		X	
7		X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA			
8a			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X	
8b		X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV			X
9			X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses			X
10			X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► WELLS FARGO BANK N.A. Telephone no ► 888-730-4933 Located at ► 1 W 4th St 4th Floor MAC D4000-041 Winston Salem NC ZIP+4 ► 27101-3818			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? ► ☐	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ► 20____, 20____, 20____, 20____ ☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N.A. 1 W 4th St 4th Floor MAC D4000-041 Winston S	Trustee 4.00	27,717		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,149,987
b	Average of monthly cash balances	1b	102,926
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	2,252,913
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,252,913
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	33,794
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,219,119
6	Minimum investment return. Enter 5% of line 5	6	110,956

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	110,956
2a	Tax on investment income for 2012 from Part VI, line 5	2a	6,895
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	6,895
3	Distributable amount before adjustments Subtract line 2c from line 1	3	104,061
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	104,061
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	104,061

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	570,967
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	570,967
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	6,895
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	564,072

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				104,061
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			55,728	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 570,967				
a Applied to 2011, but not more than line 2a			55,728	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2012 distributable amount				104,061
e Remaining amount distributed out of corpus	411,178			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	411,178			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	411,178			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012	411,178			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling .
- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon

- a "Assets" alternative test—enter**
- (1) Value of all assets**

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test—enter**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)**

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

[illegible]

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	563,018
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	48,592	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	98,831	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a <u>OTHER INCOME</u>			01	678,071	
b						
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)		0		825,494	0
13	Total. Add line 12, columns (b), (d), and (e)				13	825,494

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash			X
(2) Other assets			X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization			X
(2) Purchases of assets from a noncharitable exempt organization			X
(3) Rental of facilities, equipment, or other assets			X
(4) Reimbursement arrangements			X
(5) Loans or loan guarantees			X
(6) Performance of services or membership or fundraising solicitations			X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees			X

[illegible]

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	SVP Wells Fargo Bank N.A.	10/25/2013	SVP Wells Fargo Bank N.A.
	Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
	JOSEPH J CASTRIANO		10/25/2013		P01251603
	Firm's name ▶ PricewaterhouseCoopers, LLP			Firm's EIN ▶	13-4008324
	Firm's address ▶ 600 GRANT STREET, PITTSBURGH, PA 15219-2777			Phone no	412-355-6000

Security Type	Account #	EIN	CUSIP	Asset Name	FMV	Fed Cost
Savings & Temp Inv	3308383-000	95-6670586	VPO528308	FEDERATED TREAS OBL FD 68	70003.35	70003.35
Savings & Temp Inv	3308383-000	95-6670586	VPO528308	FEDERATED TREAS OBL FD 68	8157.47	8157.47
Savings & Temp Inv	3308383-000	95-6670586	VPO528308	TOTAL SAVINGS & TEMP INV	78160.82	78160.82
Invest - Corp Stock	3308383-000	95-6670586	001055102	AFILAC INC	8253.04	4581.47
Invest - Corp Stock	3308383-000	95-6670586	008252108	APPLICANT MANAGERS GROUP, INC.COM	7705.18	3601.77
Invest - Corp Stock	3308383-000	95-6670586	037411105	APACHE CORP	8215.34	6530.87
Invest - Corp Stock	3308383-000	95-6670586	037833100	APPLE INC	13878.55	8935.29
Invest - Corp Stock	3308383-000	95-6670586	097023105	BOEING CO	8502.52	6242.51
Invest - Corp Stock	3308383-000	95-6670586	126650105	CVS/CAREMARK CORPORATION	8748.54	5471.07
Invest - Corp Stock	3308383-000	95-6670586	146049105	CAPITAL ONE FINANCIAL CORP	7788.44	3678.23
Invest - Corp Stock	3308383-000	95-6670586	172791102	CISCO SYSTEMS INC	11972.82	8853.61
Invest - Corp Stock	3308383-000	95-6670586	252410205	DIAGEO PLC ADR	7471.75	4664.68
Invest - Corp Stock	3308383-000	95-6670586	254687106	WALT DISNEY CO	11682.75	5720.85
Invest - Corp Stock	3308383-000	95-6670586	268648102	E M C CORP MASS	4912.96	2571.4
Invest - Corp Stock	3308383-000	95-6670586	375558103	GILEAD SCIENCES INC	7793.04	3837.58
Invest - Corp Stock	3308383-000	95-6670586	452001101	INTERNATIONAL BUSINESS MACHS CORP	6115.57	6519.51
Invest - Corp Stock	3308383-000	95-6670586	478365107	JOHNSON CONTROLS INC	10271.73	10179.89
Invest - Corp Stock	3308383-000	95-6670586	594781104	MICROSOFT CORP	10271.73	10179.89
Invest - Corp Stock	3308383-000	95-6670586	641069406	NESTLE S.A. REGISTERED SHARES ADR	6114.46	3382.33
Invest - Corp Stock	3308383-000	95-6670586	683892105	ORACLE CORPORATION	7564.7	5265.36
Invest - Corp Stock	3308383-000	95-6670586	806857108	SCHLUMBERGER LTD	6940.46	5837.66
Invest - Corp Stock	3308383-000	95-6670586	877540109	TIA COS INC NEW	8240.9	6561.79
Invest - Corp Stock	3308383-000	95-6670586	881624209	TEVA PHARMACEUTICAL INDUSTRIES ADR	8139.78	5358.45
Invest - Corp Stock	3308383-000	95-6670586	883556102	THERMO FISHER SCIENTIFIC INC	6350.4	7437.87
Invest - Corp Stock	3308383-000	95-6670586	89151E109	TOTAL S.A. ADR	9563.19	5788.99
Invest - Corp Stock	3308383-000	95-6670586	907818108	UNION PACIFIC CORP	6136.7	5863.4
Invest - Corp Stock	3308383-000	95-6670586	981552103	MOORESON CORP	14348.04	8314.3
Invest - Corp Stock	3308383-000	95-6670586	98141G104	GOLDMAN SACHS GROUP INC	8129.5	7035.21
Invest - Corp Stock	3308383-000	95-6670586	404280406	HSBC ADR	6957.5	7021.81
Invest - Corp Stock	3308383-000	95-6670586	911312106	UNITED PARCEL SERVICE CL B	4203.9	4339.48
Invest - Corp Stock	3308383-000	95-6670586	87612E106	TARGET CORP	7177.84	5911.69
Invest - Corp Stock	3308383-000	95-6670586	91324P102	UNITEDHEALTH GROUP INC	9640.4	5803.64
Invest - US & State	3308383-000	95-6670586	REX40234	SEC 14.8 & 23 TEN, SANTA BARBARA, CA	11000.64	6706.87
Invest - Corp Stock	3308383-000	95-6670586	189754104	COACH INC	166398.91	18333.33
Invest - Corp Stock	3308383-000	95-6670586	088606108	BHP BILLITON LIMITED - ADR	7535.88	7231.67
Invest - Corp Stock	3308383-000	95-6670586	44625H100	JP MORGAN CHASE & CO	3920.88	5651.45
Invest - Corp Stock	3308383-000	95-6670586	903733104	US BANCORP DEL NEW	11613.8	6446.19
Invest - Corp Stock	3308383-000	95-6670586	03524A108	ANHEUSER BUSCH INBEV SPN ADR	8855.75	7074.97
Invest - Corp Stock	3308383-000	95-6670586	166764100	CHEVRON CORP	8484.44	6481.88
Invest - Corp Stock	3308383-000	95-6670586	885797101	3M CO	11242.3	7691.53
Invest - Corp Stock	3308383-000	95-6670586	20030N101	BERKSHIRE HATHAWAY INC.	8748.73	7379.71
Invest - Corp Stock	3308383-000	95-6670586	865797101	COMCAST CORP CLASS A	13094.64	9220.77
Invest - Corp Stock	3308383-000	95-6670586	382599508	GOOGLE INC	10270.5	5408.68
Invest - Corp Stock	3308383-000	95-6670586	130870103	CELANESE CORP	10564.44	7888.71
Invest - Corp Stock	3308383-000	95-6670586	611740101	MONSTER BEVERAGE CORP	4883.2	4550.27
Invest - Corp Stock	3308383-000	95-6670586	629183103	EATON CORP PLC	6811.96	5657.39
Invest - Corp Stock	3308383-000	95-6670586	12572Q105	CNE GROUP INC	6515.19	5195.71
Invest - Other	3308383-000	95-6670586	78462X863	SPDR DI WILSHIRE INTERNATIONAL REAL	10481.1	7674.4
Invest - Other	3308383-000	95-6670586	921917819	VANGUARD INTERMEDIATE TERM B	533261.08	285982.24
Invest - Other	3308383-000	95-6670586	921943858	VANGUARD FTSE DEVELOPED ETF	82433.4	65508.7
Invest - Other	3308383-000	95-6670586	255445833	DIAMOND HILL LONG SHORT FD CL #11	63955.18	59417.24
Invest - Other	3308383-000	95-6670586	7662ET645	RIDGEWORTH SEX HY BD I #5855	25394	258924.11
Invest - Other	3308383-000	95-6670586	367829884	GATEWAY FUND - Y #1986	96796.65	79425.19
Invest - Other	3308383-000	95-6670586	225448305	CREDIT SUISSE COMM RET STI #2156	90120.84	78158.06
Invest - Other	3308383-000	95-6670586	589509108	MERGER FD SH BEN INT #250	91344.24	90467.17
Invest - Other	3308383-000	95-6670586	693390082	PIMCO FOREIGN BD FD USD H INST #103	111039.44	112829.22
Invest - Other	3308383-000	95-6670586	464287804	ISHARES CORE S&P SMALL-CAP E	14549.83	14702.11
Invest - Other	3308383-000	95-6670586	464287705	ISHARES S&P MIDCAP 400 VALUE	68240.65	67656.91
Invest - Other	3308383-000	95-6670586	464287200	ISHARES CORE S & P 500 ETF	121376.64	68428.39
Invest - Other	3308383-000	95-6670586	518550655	LAUDUS MORNIRAN INTL FI INST #2940	76965.2	56677.39
Invest - Other	3308383-000	95-6670586	464287606	ISHARES S&P MIDCAP 400 GROWTH	96688.88	56812.16
Invest - Other	3308383-000	95-6670586	261980494	DREYUS EMG MKT DEBT LOC C I #6083	13648.59	14577.42
Invest - Other	3308383-000	95-6670586	922908553	VANGUARD REIT VIPER	84779.89	90629.21
Invest - Other	3308383-000	95-6670586	922042858	VANGUARD FTSE EMERGING MARKETS ETF	90366.6	50718.28
Invest - Other	3308383-000	95-6670586	921937817	VANGUARD SHORT TERM BOND ETF	23390.72	220194.25
Invest - Other	3308383-000	95-6670586	921937817	TOTAL OTHER INVESTMENTS	157257.48	154580.56
Other Assets	3308383-000	95-6670586	MIN006768	SANTA BARBARA CO, CA LINK MI	1	507120.32
Other Assets	3308383-000	95-6670586	MIN006768	TOTAL OTHER ASSETS	1	507120.32

ROSEMARY HANCOCK SMURR CHARITABLE TRUST

95-6670586 Page 1 of 1

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

SEE ATTACHED

Street

City	State	Zip Code	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution			Amount 563,018

Name

Street

City	State	Zip Code	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution			Amount

Name

Street

City	State	Zip Code	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution			Amount

Name

Street

City	State	Zip Code	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution			Amount

Name

Street

City	State	Zip Code	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution			Amount

Name

Street

City	State	Zip Code	Foreign Country
Relationship	Foundation Status		
Purpose of grant/contribution			Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		Totals										Capital Gains/Losses		Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss									
Short Term CG Distributions										1,880		Capital Gains/Losses										Other sales		597,456		498,627		98,831		0							
Check "X" to include in Part IV										Description		CUSIP #		Purchaser		Check "X" if Purchaser is a Business		Date Acquired		Acquisition Method		Date Sold		Gross Sales Price		Cost or Other Basis		Valuation Method		Expense of Sale and Cost of Improve-ments		Depreciation		Adjustments		Net Gain or Loss	
1	X	X															4/1/2011				7/5/2012	648	506									142					
2	X																11/7/2012				11/7/2012	3,681	2,886									795					
3	X																2/3/2009				8/9/2012	272	144									128					
4	X																2/3/2009				7/5/2012	2,721	2,347									374					
5	X																7/2/2010				8/9/2012	234	121									113					
6	X																7/2/2010				2/25/2013	2,007	850									1,157					
7	X																12/1/2011				7/5/2012	928	479									149					
8	X																9/2/2011				7/5/2012	708	490									216					
9	X																9/2/2011				8/9/2012	327	218									109					
10	X																9/2/2011				11/16/2012	1,898	1,252									646					
11	X																4/16/2012				8/9/2012	528	556									28					
12	X																2/3/2009				8/9/2012	1,853	276									1,577					
13	X																4/1/2012				8/9/2012	872	633									44					
14	X																4/1/2011				8/9/2012	692	1,013									321					
15	X																3/18/2011				8/9/2012	298	277									21					
16	X																2/3/2009				8/9/2012	546	324									222					
17	X																6/13/2011				8/9/2012	240	277									37					
18	X																10/21/2008				8/9/2012	224	133									91					
19	X																2/17/2011				8/9/2012	212	224									12					
20	X																2/17/2011				8/9/2012	229	242									13					
21	X																2/3/2009				8/16/2012	447	192									255					
22	X																2/3/2009				8/16/2012	580	251									329					
23	X																9/1/2011				8/9/2012	5,396	5,991									595					
24	X																9/1/2011				9/25/2012	1,441	157									13					
25	X																8/1/2011				9/25/2012	1,658	1,795									535					
26	X																4/15/2009				11/16/2012	917	382									48					
27	X																8/13/2012				9/25/2012	1,761	1,713									300					
28	X																2/3/2009				8/9/2012	503	203									329					
29	X																2/3/2009				8/16/2012	553	224									91					
30	X																10/29/2010				9/25/2012	1,834	1,925									344					
31	X																10/29/2010				2/8/2013	3,166	3,193									427					
32	X																10/8/2010				2/8/2013	15,085	14,658									559					
33	X																10/21/2008				8/9/2012	891	332									6					
34	X																8/13/2012				9/25/2012	1,758	1,752									71					
35	X																12/8/2011				8/9/2012	227	156									401					
36	X																12/8/2011				9/25/2012	946	545									2023					
37	X																12/8/2011				4/2/2013	578	234									311					
38	X																2/4/2011				8/9/2012	514	825									59					
39	X																																				

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Amount										Totals		Net Gain or Loss			
Long Term CG Distributions										Capital Gains/Losses		Basis and Expenses		Net Gain or Loss	
Short Term CG Distributions										Other sales		498,627		98,831	
1,880										0		597,458		0	
0															
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss	
69	X	ISHARES S&P MIDCAP 400 VA	464287705		2/3/2010		9/25/2012	1,371	1,051				0	320	
70	X	ISHARES S&P MIDCAP 400 VA	464287705		10/21/2011		11/16/2012	1,703	1,536				0	167	
71	X	ISHARES TR SMALLCAP 600 I	464287804		8/9/2011		8/9/2012	1,040	839				0	201	
72	X	ISHARES TR SMALLCAP 600 I	464287804		8/9/2011		9/25/2012	155	120				0	35	
73	X	ISHARES TR SMALLCAP 600 I	464287804		10/21/2008		9/25/2012	2,253	1,389				0	864	
74	X	ISHARES CORE S&P SMALL-C	464287804		10/21/2008		11/16/2012	2,861	1,915				0	964	
75	X	ISHARES CORE S&P SMALL-C	464287804		7/21/2009		11/16/2012	572	373				0	199	
76	X	ISHARES CORE S&P SMALL-C	464287804		7/21/2009		2/8/2013	30,313	16,825				0	13,488	
77	X	JPMORGAN CHASE & CO	46625H100		10/12/2011		8/9/2012	405	368				0	37	
78	X	JOHNSON CONTROLS INC	478368107		7/8/2011		8/9/2012	459	758				0	-299	
79	X	LAUDUS MONDRIAN INTL F.H.	518550655		2/13/2012		8/9/2012	3,198	3,201				0	-3	
80	X	MERGER FD SH BEN INT 260	595091108		5/13/2010		8/9/2012	986	972				0	14	
81	X	MICROSOFT CORP	594918104		2/3/2009		8/9/2012	304	179				0	125	
82	X	NESTLE S.A. REGISTERED SH	641069406		2/3/2009		8/9/2012	378	208				0	170	
83	X	NOVARTIS AG - ADR	66987Y109		2/3/2009		8/9/2012	705	507				0	198	
84	X	NOVARTIS AG - ADR	66987Y109		2/3/2009		1/29/2013	3,862	2,407				0	1,455	
85	X	ORACLE CORPORATION	68389X105		6/4/2010		8/9/2012	375	270				0	105	
86	X	PIMCO FOREIGN BD FD USD	693390882		5/11/2012		8/9/2012	1,398	1,371				0	27	
87	X	PIMCO FOREIGN BD FD USD	693390882		8/11/2011		8/9/2012	14,975	14,366				0	609	
88	X	RIDGEWORTH SEIX HY BD-I	766281645		8/13/2012		9/25/2012	1,946	1,917				0	29	
89	X	RIDGEWORTH SEIX HY BD-I	766281645		8/13/2012		11/16/2012	5,762	5,721				0	41	
90	X	RIDGEWORTH SEIX HY BD-I	766281645		8/13/2012		2/8/2013	8,281	7,998				0	293	
91	X	RIDGEWORTH SEIX HY BD-I	766281645		7/23/2009		2/8/2013	10,021	8,487				0	1,534	
92	X	SPDR DJ WILSHIRE INTERNA	78463X863		2/4/2011		8/9/2012	2,581	2,629				0	-48	
93	X	SPDR DJ WILSHIRE INTERNA	78463X863		2/4/2011		9/25/2012	1,773	1,766				0	7	
94	X	SPDR DJ WILSHIRE INTERNA	78463X863		2/4/2011		11/16/2012	4,312	4,277				0	35	
95	X	SPDR DJ WILSHIRE INTERNA	78463X863		4/19/2013		5/6/2013	5,349	5,195				0	154	
96	X	LAUDUS MONDRIAN INTL F.H.	518550655		7/23/2010		8/9/2012	12,632	12,056				0	576	
97	X	MERGER FD SH BEN INT 260	595091108		6/3/2010		8/9/2012	477	473				0	4	
98	X	SPDR DJ WILSHIRE INTERNA	78463X863		4/18/2013		5/6/2013	186	178				0	8	
99	X	TJX COS INC NEW	872540109		6/29/2011		8/9/2012	496	286				0	210	
100	X	TARGET CORP	87612E106		2/3/2009		8/9/2012	440	214				0	226	
101	X	TEVA PHARMACEUTICAL IND	881624209		11/4/2009		8/9/2012	323	404				0	-81	
102	X	THERMO FISHER SCIENTIF	883556102		4/12/2011		8/9/2012	342	335				0	7	
103	X	3M CO	88579Y101		12/15/2010		8/9/2012	367	346				0	21	
104	X	TOTAL S.A. - ADR	89151E109		7/5/2012		9/25/2012	888	767				0	121	
105	X	US BANCORP DEL NEW	902973304		2/4/2011		8/9/2012	426	357				0	69	
106	X	UNION PACIFIC CORP	907818108		5/14/2010		8/9/2012	730	450				0	280	
107	X	UNITEDHEALTH GROUP INC	91324P102		10/21/2008		8/9/2012	209	101				0	108	
108	X	VANGUARD INTERMEDIATE T	921937819		2/9/2012		8/9/2012	5,433	5,337				0	96	
109	X	VANGUARD INTERMEDIATE T	921937819		2/9/2012		9/25/2012	270	262				0	8	
110	X	VANGUARD INTERMEDIATE T	921937819		2/4/2011		9/25/2012	1,529	1,387				0	142	
111	X	VANGUARD INTERMEDIATE T	921937819		11/16/2012		2/8/2013	6,721	6,969				0	-248	
112	X	VANGUARD INTERMEDIATE T	921937819		2/4/2011		2/8/2013	1,746	1,632				0	114	
113	X	VANGUARD INTERMEDIATE T	921937819		4/16/2013		5/6/2013	5,455	5,489				22	-12	
114	X	VANGUARD INTERMEDIATE T	921937819		4/19/2013		5/6/2013	3,695	3,715				0	-20	
115	X	VANGUARD SHORT TERM BO	921937827		7/21/2009		8/9/2012	1,054	1,032				0	22	
116	X	VANGUARD SHORT TERM BO	921937827		5/9/2012		8/9/2012	3,406	3,411				0	-5	
117	X	VANGUARD SHORT TERM BO	921937827		9/10/2008		8/9/2012	811	778				0	33	
118	X	VANGUARD SHORT TERM BO	921937827		9/10/2008		9/25/2012	2,684	2,569				0	115	
119	X	VANGUARD SHORT TERM BO	921937827		11/16/2012		2/8/2013	7,359	7,407				0	-48	
120	X	VANGUARD SHORT TERM BO	921937827		9/10/2008		2/8/2013	18,034	17,361				0	673	
121	X	VANGUARD MSCI EMERGING	922042858		8/9/2011		9/25/2012	3,168	3,128				0	40	
122	X	VANGUARD MSCI EMERGING	922042858		8/9/2012		11/16/2012	7,954	8,190				0	-236	
123	X	VANGUARD REIT VIPER	922908553		2/3/2009		8/9/2012	3,550	1,593				0	1,957	
124	X	VANGUARD REIT VIPER	922908553		2/3/2009		9/25/2012	1,759	797				0	962	
125	X	VODAFONE GROUP PLC NEW	92857W209		10/21/2008		8/9/2012	801	512				0	289	
126	X	VODAFONE GROUP PLC NEW	92857W209		10/21/2008		1/9/2013	3,448	2,482				0	966	
127	X	COOPER INDUSTRIES PLC NE	G24140108		2/3/2009		8/9/2012	807	290				0	517	
128	X	COOPER INDUSTRIES PLC NE	G24140108		2/3/2009		11/30/2012	4,127	1,370				0	2,757	
129	X	EATON CORP PLC	G29183103		11/30/2012		12/17/2012	15	15				0	0	

Part I, Line 11 (990-PF) - Other Income

		678,071	678,071	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	OMG ROYALTY INCOME	677,591	677,591	
2	RENTAL INCOME	480	480	

Part I, Line 16b (990-PF) - Accounting Fees

		1,010	0	0	1,010
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	1,010	0		1,010

Part I, Line 18 (990-PF) - Taxes

		1,020	1,020	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	783	783		
2	RENTAL PROPERTY TAX	237	237		

Part I, Line 23 (990-PF) - Other Expenses

		113,789	113,779	0	10
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ADR FEES	9	9		
2	RENTAL EXPENSES	39,281	39,281		
3	OMG ROYALTY EXPENSES	73,950	73,950		
4	OTHER MISC EXPENSES	539	539		
5	STATE AG FEES	10			10

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	COST BASIS ADJUSTMENT	1	324
2	RECOVERIES OF AMOUNTS TREATED AS QUALIFYING DISTRIBUTIONS	2	420,555
3	FEDERAL EXCISE TAX REFUND	3	960
4	Total	4	421,839

Line 5 - Decreases not included in Part III, Line 2

1	ENVIRONMENTAL CLEAN UP	1	132
2	PY RETURN OF CAPITAL ADJUSTMENT	2	2,046
3	Total	3	2,178

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions	Short Term CG Distributions	Amount	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	0	98,951	Gain Minus Excess of FMV Over Adjusted Basis or Losses
1			002824100		4/12/2011	7/15/2012	648			506	142						142
2			002824100		4/12/2011	11/7/2012	3,661			2,896	795						795
3			001055102		2/3/2009	8/9/2012	272			144	128						128
4			007824100		2/3/2009	7/15/2012	2,721			2,347	374						374
5			008232108		7/2/2010	8/9/2012	234			121	113						113
6			008232108		7/2/2010	2/25/2013	2,007			850	1,157						1,157
7			03244108		12/2/2011	7/15/2012	628			479	149						149
8			03244108		9/2/2011	8/9/2012	706			327	216						216
9			03244108		9/2/2011	8/9/2012	1,998			218	109						109
10			03244108		4/16/2012	8/9/2012	1,853			556	-28						-28
11			037411105		2/3/2009	8/9/2012	1,853			276	1,577						1,577
12			068608108		4/11/2012	8/9/2012	677			633	44						44
13			068608108		4/11/2012	8/9/2012	692			1,013	-321						-321
14			097023105		3/16/2011	8/9/2012	298			277	21						21
15			126550100		2/3/2009	8/9/2012	546			324	222						222
16			150870103		6/13/2011	8/9/2012	240			277	-37						-37
17			16764100		10/21/2008	8/9/2012	224			133	91						91
18			1775R102		2/17/2011	8/9/2012	212			224	-12						-12
19			20030N01		2/17/2011	8/9/2012	229			242	-13						-13
20			20030N01		2/3/2009	8/9/2012	447			192	255						255
21			20030N01		2/3/2009	8/9/2012	580			251	329						329
22			21562244R305		9/1/2011	8/9/2012	5,396			5,991	-595						-595
23			21562244R305		9/1/2011	9/25/2012	144			157	-13						-13
24			21562244R305		8/11/2011	9/25/2012	1,658			1,795	-137						-137
25			257432005		4/15/2009	11/6/2012	917			382	535						535
26			257432005		8/13/2012	9/25/2012	1,761			1,713	48						48
27			254687108		2/3/2009	8/9/2012	503			203	300						300
28			254687108		2/3/2009	8/9/2012	553			224	329						329
29			261980434		10/29/2010	9/25/2012	1,834			1,925	-91						-91
30			261980434		10/29/2010	9/25/2012	3,166			3,193	-27						-27
31			261980434		10/29/2010	2/8/2013	15,085			14,658	427						427
32			261980434		10/29/2010	2/8/2013	891			332	559						559
33			315558103		8/13/2012	9/25/2012	1,752			1,752	6						6
34			315558103		12/8/2011	8/9/2012	227			156	71						71
35			315558103		12/8/2011	9/25/2012	946			545	401						401
36			315558103		12/8/2011	9/25/2012	578			234	344						344
37			38141G104		2/4/2011	8/9/2012	514			826	-311						-311
38			38141G104		12/8/2010	8/9/2012	103			182	-79						-79
39			423238103		2/3/2009	8/9/2012	1,894			3,917	-2,023						-2,023
40			423238103		2/3/2009	8/9/2012	3,823			4,765	-942						-942
41			438108100		2/10/2009	8/9/2012	1,126			13,024	-11,748						-11,748
42			438108100		2/10/2009	8/9/2012	3,283			2,870	468						468
43			438108100		2/13/2012	8/9/2012	2,439			2,881	-442						-442
44			438108100		8/13/2009	8/9/2012	1,301			1,478	-175						-175
45			438108100		2/3/2009	8/9/2012	695			774	-79						-79
46			438108100		2/3/2009	9/25/2012	1,778			2,015	-237						-237
47			438108100		2/3/2009	9/25/2012	3,140			3,746	-596						-596
48			438108100		2/8/2011	2/8/2013	3,514			4,000	-486						-486
49			438108100		4/28/2011	2/8/2013	1,474			1,716	-242						-242
50			438108100		11/20/2012	2/8/2013	3,453			3,748	-295						-295
51			438108100		2/3/2009	8/9/2012	468			1,047	-579						-579
52			438108100		2/3/2009	8/9/2012	1,204			1,142	138						138
53			438108100		4/9/2013	6/17/2013	1,280			1,042	238						238
54			438108100		2/10/2009	9/25/2012	1,745			1,021	724						724
55			438108100		10/21/2008	9/25/2012	9,256			8,203	1,053						1,053
56			438108100		10/21/2008	9/25/2012	4,555			3,850	705						705
57			438108100		10/21/2008	9/25/2012	16,267			14,288	1,969						1,969
58			438108100		10/21/2008	11/16/2012	66,351			52,015	14,336						14,336
59			438108100		10/21/2008	2/8/2013	121,010			80,378	40,632						40,632
60			438108100		2/3/2009	2/8/2013	25,722			17,497	8,225						8,225
61			438108100		2/10/2009	9/25/2012	1,124			1,086	38						38
62			438108100		9/9/2012	9/25/2012	674			650	24						24
63			438108100		5/9/2012	9/25/2012	2,368			2,363	-5						-5
64			438108100		5/9/2012	11/16/2012	428			415	13						13
65			438108100		5/9/2012	9/25/2012	1,703			1,536	167						167
66			438108100		8/9/2011	8/9/2012	1,040			839	201						201
67			438108100		10/21/2008	9/25/2012	2,253			1,200	35						35
68			438108100		10/21/2008	9/25/2012	2,861			1,389	864						864
69			438108100		7/21/2009	11/16/2012	572			1,915	946						946
70			438108100		7/21/2009	2/8/2013	30,313			16,825	13,488						13,488
71			438108100		10/21/2011	8/9/2012	459			368	37						37
72			438108100		7/8/2011	8/9/2012	3,198			3,201	-3						-3
73			438108100		5/13/2010	8/9/2012	986			972	14						14
74			438108100		2/3/2009	8/9/2012	304			179	125						125
75			438108100		2/3/2009	8/9/2012	378			208	170						170
76			438108100		2/3/2009	8/9/2012	705			507	198						198
77			438108100		2/3/2009	12/9/2013	3,862			2,407	1,455						1,455

Long Term CG Distributions										Amount			
Short Term CG Distributions										1,880	0		
Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F.M.V. as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of F.M.V. Over Adjusted Basis	Gain Minus Excess of F.M.V. Over Adjusted Basis or Loss
85 ORACLE CORPORATION	68338X105		5/4/2012	8/9/2012	375		0	1,371	105		0	0	86,951
86 PIMCO FOREIGN BD FD USD H-INST 10	693390882		5/11/2012	8/9/2012	1,398		0	27	27		0	0	56,951
87 PIMCO FOREIGN BD FD USD H-INST 10	693390882		8/11/2011	8/9/2012	14,975		0	609	609		0	0	27
88 RIDGEWORTH SEIX HY BDL 5855	766218145		8/13/2012	9/25/2012	1,946		0	1,917	29		0	0	609
89 RIDGEWORTH SEIX HY BDL 5855	766218145		8/13/2012	11/6/2012	5,782		0	5,721	41		0	0	29
90 RIDGEWORTH SEIX HY BDL 5855	766218145		8/13/2012	2/8/2013	2,588		0	2,588	293		0	0	41
91 RIDGEWORTH SEIX HY BDL 5855	766218145		7/23/2009	2/8/2013	10,021		0	8,387	293		0	0	293
92 RIDGEWORTH SEIX HY BDL 5855	766218145		7/23/2009	2/8/2013	2,581		0	1,534	1,534		0	0	1,534
93 SPDR DJ WIL SHIRE INTERNATIONAL R	76463X963		2/4/2011	8/9/2012	2,561		0	2,530	48		0	0	48
94 SPDR DJ WIL SHIRE INTERNATIONAL R	76463X963		2/4/2011	9/25/2012	1,731		0	1,731	7		0	0	7
95 SPDR DJ WIL SHIRE INTERNATIONAL R	76463X963		2/4/2011	11/15/2012	4,312		0	4,297	35		0	0	35
96 SPDR DJ WIL SHIRE INTERNATIONAL R	76463X963		4/19/2013	5/6/2013	5,345		0	5,155	154		0	0	154
97 AUDIUS MONDRIAN INT'L F-INST 2940	515550555		7/23/2010	8/9/2012	12,833		0	12,095	576		0	0	576
98 MERGER FID SH BEN INT 260	599509108		6/3/2010	8/9/2012	477		0	477	4		0	0	4
99 SPDR DJ WIL SHIRE INTERNATIONAL R	76463X963		4/18/2013	5/6/2013	166		0	173	4		0	0	4
99 TUX COS INC NEW	872540109		8/9/2012	8/9/2012	458		0	288	210		0	0	210
100 TARGET CORP	878175106		8/29/2011	8/9/2012	486		0	288	210		0	0	210
101 TEVA PHARMACEUTICAL INDUSTRIES	891824209		2/3/2009	8/9/2012	323		0	214	226		0	0	226
102 TEVA PHARMACEUTICAL INDUSTRIES	891824209		11/4/2009	8/9/2012	323		0	214	226		0	0	226
103 THERMO FISHER SCIENTIFIC INC	893555102		4/12/2011	8/9/2012	342		0	335	81		0	0	81
104 THERMO FISHER SCIENTIFIC INC	893555102		12/15/2010	8/9/2012	342		0	335	81		0	0	81
105 THERMO FISHER SCIENTIFIC INC	893555102		7/5/2012	9/25/2012	888		0	787	121		0	0	121
106 US BANK CORP DEL NEW	802973304		2/4/2011	8/9/2012	428		0	357	69		0	0	69
107 UNION PACIFIC CORP	807316108		5/14/2010	8/9/2012	730		0	450	280		0	0	280
108 UNITEDHEALTH GROUP INC	91324P102		10/21/2008	8/9/2012	209		0	101	108		0	0	108
109 VANGUARD INTERMEDIATE TERM B	921937819		2/6/2012	8/9/2012	5,433		0	5,337	96		0	0	96
110 VANGUARD INTERMEDIATE TERM B	921937819		2/6/2012	8/9/2012	270		0	262	8		0	0	8
111 VANGUARD INTERMEDIATE TERM B	921937819		2/4/2011	9/25/2012	1,529		0	1,387	142		0	0	142
112 VANGUARD INTERMEDIATE TERM B	921937819		11/16/2012	2/6/2013	6,721		0	6,969	-248		0	0	-248
113 VANGUARD INTERMEDIATE TERM B	921937819		2/4/2011	2/6/2013	1,746		0	1,632	114		0	0	114
114 VANGUARD INTERMEDIATE TERM B	921937819		4/18/2013	5/6/2013	5,455		22	5,489	-12		0	0	-12
115 VANGUARD INTERMEDIATE TERM B	921937819		4/19/2013	5/6/2013	3,695		0	3,715	-20		0	0	-20
116 VANGUARD SHORT TERM BOND ETF	921937827		7/21/2009	8/9/2012	1,054		0	1,032	22		0	0	22
117 VANGUARD SHORT TERM BOND ETF	921937827		5/9/2012	8/9/2012	3,406		0	3,411	-5		0	0	-5
118 VANGUARD SHORT TERM BOND ETF	921937827		9/10/2008	8/9/2012	811		0	778	33		0	0	33
119 VANGUARD SHORT TERM BOND ETF	921937827		9/10/2008	9/25/2012	2,694		0	2,569	115		0	0	115
120 VANGUARD SHORT TERM BOND ETF	921937827		2/6/2012	2/6/2013	7,359		0	7,407	-48		0	0	-48
121 VANGUARD SHORT TERM BOND ETF	921937827		11/16/2012	2/6/2013	18,034		0	17,351	673		0	0	673
122 VANGUARD MSCI EMERGING MARKETS	922042858		8/9/2011	9/25/2012	3,168		0	3,128	40		0	0	40
123 VANGUARD MSCI EMERGING MARKETS	922042858		8/9/2012	11/16/2012	7,954		0	8,190	-236		0	0	-236
124 VANGUARD REIT VIPER	92208553		2/3/2009	8/9/2012	3,550		0	1,593	1,957		0	0	1,957
125 VANGUARD REIT VIPER	92208553		2/3/2009	9/25/2012	1,759		0	737	962		0	0	962
126 VODAFONE GROUP PLC NEW ADR	92857W209		10/21/2008	8/9/2012	801		0	512	289		0	0	289
127 VODAFONE GROUP PLC NEW ADR	92857W209		10/21/2008	8/9/2012	3,448		0	2,482	966		0	0	966
128 COOPER INDUSTRIES PLC NEW IRLAND	624140108		2/3/2009	8/9/2012	807		0	290	517		0	0	517
129 COOPER INDUSTRIES PLC NEW IRLAND	624140108		2/3/2009	11/30/2012	4,127		0	1,370	2,757		0	0	2,757
130 EATON CORP PLC	629163103		11/30/2012	12/11/2012	15		0	15	0		0	0	0

[illegible]

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2011 that remained undistributed at the beginning of the 2012 tax year	1	55,728
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	55,728

Tax Transaction Detail (Distributions + Beneficiary)

10/16/2013 18:25:46

Main Account Id:

SMURR, ROSEMARY HANCOCK T/U/W

Account Id: 3308383-000

Trust Year 7/1/2012 - 6/30/2013

Tax Code/Desc			Paid For	Transactions			Income	Principal
149	BENEFICIARY DISTRIBUTIONS							
			951641426	BRAILLE INSTITUTE OF AMERICA				
07/27/12				0 Units	Reg Code	000	-301 47	0 00
Added:	FYE	04/08/13		DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BRAILLE INSTITUTE OF AMERICA ANTHONY J TAKETA, SECRETARY 741 N VERMONT AVE LOS ANGELES CA 90029				
08/27/12				0 Units	Reg Code	000	-20 92	0 00
Added:	FYE	04/08/13		DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BRAILLE INSTITUTE OF AMERICA ANTHONY J TAKETA, SECRETARY 741 N VERMONT AVE LOS ANGELES CA 90029				
09/27/12				0 Units	Reg Code	000	-56 87	0 00
Added:	FYE	04/08/13		DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BRAILLE INSTITUTE OF AMERICA ANTHONY J TAKETA, SECRETARY 741 N VERMONT AVE LOS ANGELES CA 90029				
10/29/12				0 Units	Reg Code	000	-2,260 11	0 00
Added:	FYE	04/08/13		DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BRAILLE INSTITUTE OF AMERICA ANTHONY J TAKETA, SECRETARY 741 N VERMONT AVE LOS ANGELES CA 90029				
11/27/12				0 Units	Reg Code	000	-146 90	0 00
Added:	FYE	04/08/13		DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BRAILLE INSTITUTE OF AMERICA ANTHONY J TAKETA, SECRETARY 741 N VERMONT AVE LOS ANGELES CA 90029				
12/27/12				0 Units	Reg Code	000	-483 62	0 00
Added:	FYE	04/08/13		DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BRAILLE INSTITUTE OF AMERICA ANTHONY J TAKETA, SECRETARY 741 N VERMONT AVE LOS ANGELES CA 90029				
01/28/13				0 Units	Reg Code	000	-31,136 55	0 00
Added:	013	02/22/13		CASH DISBURSEMENT TRAN # ZY002177006218 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BRAILLE INSTITUTE OF AMERICA ANTHONY J TAKETA, SECRETARY 741 N VERMONT AVE LOS ANGELES CA 90029				

Tax Transaction Detail (Distributions + Beneficiary)

10/16/2013 18:25:46

Main Account Id:

SMURR, ROSEMARY HANCOCK T/U/W

Account Id: 3308383-000

Trust Year 7/1/2012 - 6/30/2013

Tax Code/Desc	Paid For	Transactions	Income	Principal
149	BENEFICIARY DISTRIBUTIONS			
	951641426	BRAILLE INSTITUTE OF AMERICA		
02/27/13		0 Units		
		Reg Code 000	-11 66	0 00
Added:	023 03/04/13	CASH DISBURSEMENT TRAN # ZY002215001259 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BRAILLE INSTITUTE OF AMERICA ANTHONY J TAKETA, SECRETARY 741 N VERMONT AVE LOS ANGELES CA 90029		
03/27/13		0 Units		
		Reg Code 000	-8,928 66	0 00
Added:	031 04/03/13	CASH DISBURSEMENT TRAN # ZY002299001734 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BRAILLE INSTITUTE OF AMERICA ANTHONY J TAKETA, SECRETARY 741 N VERMONT AVE LOS ANGELES CA 90029		
Total For: BRAILLE INSTITUTE OF AMERICA			-43,346 76	0 00
	951643367	ST VINCENTS INSTITUTION		
07/27/12		0 Units		
		Reg Code 000	-1,808 84	0 00
Added:	FYE 04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME ST VINCENTS INSTITUTION MICHAEL PADDEN-RUBIN, VP DEVELOPMENT 4200 CALLE REAL SANTA BARBARA CA 93110		
08/27/12		0 Units		
		Reg Code 000	-125 54	0 00
Added:	FYE 04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME ST VINCENTS INSTITUTION MICHAEL PADDEN-RUBIN, VP DEVELOPMENT 4200 CALLE REAL SANTA BARBARA CA 93110		
09/27/12		0 Units		
		Reg Code 000	-341 23	0 00
Added:	FYE 04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME ST VINCENTS INSTITUTION MICHAEL PADDEN-RUBIN, VP DEVELOPMENT 4200 CALLE REAL SANTA BARBARA CA 93110		
10/29/12		0 Units		
		Reg Code 000	-13,560 65	0 00
Added:	FYE 04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME ST VINCENTS INSTITUTION MICHAEL PADDEN-RUBIN, VP DEVELOPMENT 4200 CALLE REAL SANTA BARBARA CA 93110		

Tax Transaction Detail (Distributions + Beneficiary)

10/16/2013 18:25:46

Main Account Id:

SMURR, ROSEMARY HANCOCK T/U/W

Account Id: 3308383-000

Trust Year 7/1/2012 - 6/30/2013

Tax Code/Desc	Paid For	Transactions	Income	Principal
149	BENEFICIARY DISTRIBUTIONS			
	951643367	ST VINCENTS INSTITUTION		
11/27/12		0 Units		
		Reg Code 000	-881 39	0 00
Added:	FYE 04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME ST VINCENTS INSTITUTION MICHAEL PADDEN-RUBIN, VP DEVELOPMENT 4200 CALLE REAL SANTA BARBARA CA 93110		
12/27/12		0 Units		
		Reg Code 000	-2,901 71	0 00
Added:	FYE 04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME ST VINCENTS INSTITUTION MICHAEL PADDEN-RUBIN, VP DEVELOPMENT 4200 CALLE REAL SANTA BARBARA CA 93110		
02/27/13		0 Units		
		Reg Code 000	-69 95	0 00
Added:	023 03/04/13	CASH DISBURSEMENT TRAN # ZY002215001257 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME ST VINCENTS INSTITUTION MICHAEL PADDEN-RUBIN, VP DEVELOPMENT 4200 CALLE REAL SANTA BARBARA CA 93110		
03/20/13		0 Units		
		Reg Code 000	-9,119 95	0 00
Added:	031 04/03/13	CASH DISBURSEMENT TRAN # EA025732000001 DIST TO/FOR BENEFICIARY/CLIENT 30% OF NET INCOME FOR JANUARY 2013 ST VINCENTS INSTITUTION MICHAEL PADDEN-RUBIN, VP DEVELOPMENT 4200 CALLE REAL SANTA BARBARA CA 93110 REPLACES CHECK ISSUED IN ERROR ON 01/28/13		
04/29/13		0 Units		
		Reg Code 000	-2,119 24	0 00
Added:	042 05/08/13	CASH DISBURSEMENT TRAN # ZY002537006960 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME ST VINCENTS INSTITUTION MICHAEL PADDEN-RUBIN, VP DEVELOPMENT 4200 CALLE REAL SANTA BARBARA CA 93110		
05/28/13		0 Units		
		Reg Code 000	-95 85	0 00
Added:	051 06/04/13	CASH DISBURSEMENT TRAN # ZY002290016060 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME ST VINCENTS INSTITUTION MICHAEL PADDEN-RUBIN, VP DEVELOPMENT 4200 CALLE REAL SANTA BARBARA CA 93110		

Tax Transaction Detail (Distributions + Beneficiary)

10/16/2013 18:25:46

Main Account Id:

SMURR, ROSEMARY HANCOCK T/U/W

Account Id: 3308383-000

Trust Year 7/1/2012 - 6/30/2013

Tax Code/Desc	Paid For	Transactions	Income	Principal
149	BENEFICIARY DISTRIBUTIONS			
	951643367	ST VINCENTS INSTITUTION		
06/27/13		0 Units		
		Reg Code 000	-220 47	0 00
Added: 061 07/02/13		CASH DISBURSEMENT TRAN # ZY002285001822 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME ST VINCENTS INSTITUTION MICHAEL PADDEN-RUBIN, VP DEVELOPMENT 4200 CALLE REAL SANTA BARBARA CA 93110		
		Total For: ST VINCENTS INSTITUTION	-31,244 82	0 00
	951691005	HATHAWAY SYCAMORES CHILD AND FAM S		
07/27/12		0 Units		
		Reg Code 000	-1,205 90	0 00
Added: FYE 04/08/13		DIST TO/FOR BENEFICIARY/CLIENT DIST OF 20% OF NET INCOME HATHAWAY SYCAMORES CHILD AND FAM SVC ATTN MANNY ASHRAFI 210 S DELACEY AVE SUITE 110 PASADENA CA 91105		
08/27/12		0 Units		
		Reg Code 000	-83 69	0 00
Added: FYE 04/08/13		DIST TO/FOR BENEFICIARY/CLIENT DIST OF 20% OF NET INCOME HATHAWAY SYCAMORES CHILD AND FAM SVC ATTN MANNY ASHRAFI 210 S DELACEY AVE SUITE 110 PASADENA CA 91105		
09/27/12		0 Units		
		Reg Code 000	-227 49	0 00
Added: FYE 04/08/13		DIST TO/FOR BENEFICIARY/CLIENT DIST OF 20% OF NET INCOME HATHAWAY SYCAMORES CHILD AND FAM SVC ATTN MANNY ASHRAFI 210 S DELACEY AVE SUITE 110 PASADENA CA 91105		
10/29/12		0 Units		
		Reg Code 000	-9,040 43	0 00
Added: FYE 04/08/13		DIST TO/FOR BENEFICIARY/CLIENT DIST OF 20% OF NET INCOME HATHAWAY SYCAMORES CHILD AND FAM SVC ATTN MANNY ASHRAFI 210 S DELACEY AVE SUITE 110 PASADENA CA 91105		
11/27/12		0 Units		
		Reg Code 000	-587 59	0 00
Added: FYE 04/08/13		DIST TO/FOR BENEFICIARY/CLIENT DIST OF 20% OF NET INCOME HATHAWAY SYCAMORES CHILD AND FAM SVC ATTN MANNY ASHRAFI 210 S DELACEY AVE SUITE 110 PASADENA CA 91105		
12/27/12		0 Units		
		Reg Code 000	-1,934 47	0 00
Added: FYE 04/08/13		DIST TO/FOR BENEFICIARY/CLIENT DIST OF 20% OF NET INCOME HATHAWAY SYCAMORES CHILD AND FAM SVC ATTN GLEN VIRAY CONTROLLER 210 S DELACEY AVE SUITE 110 PASADENA CA 91105		

Tax Transaction Detail (Distributions + Beneficiary)

10/16/2013 18:25:46

Main Account Id:

SMURR, ROSEMARY HANCOCK T/U/W

Account Id: 3308383-000

Trust Year 7/1/2012 - 6/30/2013

Tax Code/Desc		Paid For	Transactions	Income	Principal	
149	BENEFICIARY DISTRIBUTIONS					
		951691005	HATHAWAY SYCAMORES CHILD AND FAM S			
01/28/13			0 Units	Reg Code 000	-124,546 18	0 00
Added:	013	02/22/13	CASH DISBURSEMENT TRAN # ZY002177006217 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 20% OF NET INCOME HATHAWAY SYCAMORES CHILD AND FAM SVC ATTN GLEN VIRAY CONTROLLER 210 S DELACEY AVE SUITE 110 PASADENA CA 91105			
02/27/13			0 Units	Reg Code 000	-46 63	0 00
Added:	023	03/04/13	CASH DISBURSEMENT TRAN # ZY002215001258 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 20% OF NET INCOME HATHAWAY SYCAMORES CHILD AND FAM SVC ATTN GLEN VIRAY CONTROLLER 210 S DELACEY AVE SUITE 110 PASADENA CA 91105			
03/27/13			0 Units	Reg Code 000	-35,714 63	0 00
Added:	031	04/03/13	CASH DISBURSEMENT TRAN # ZY002299001733 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 20% OF NET INCOME HATHAWAY SYCAMORES CHILD AND FAM SVC ATTN GLEN VIRAY CONTROLLER 210 S DELACEY AVE SUITE 110 PASADENA CA 91105			
05/03/13			0 Units	Reg Code 000	-1,412 83	0 00
Added:	051	06/04/13	CASH DISBURSEMENT TRAN # EA005175000001 DIST TO/FOR BENEFICIARY/CLIENT 20% OF NET INCOME FOR APRIL 2013 HATHAWAY SYCAMORES CHILD AND FAM SVC ATTN GLEN VIRAY CONTROLLER 210 S DELACEY AVE SUITE 110 PASADENA CA 91105			
05/28/13			0 Units	Reg Code 000	-63 90	0 00
Added:	051	06/04/13	CASH DISBURSEMENT TRAN # ZY002290016065 DIST TO/FOR BENEFICIARY/CLIENT DIST 20% OF NET INCOME HATHAWAY SYCAMORES CHILD AND FAM SVC ATTN GLEN VIRAY CONTROLLER 210 S DELACEY AVE SUITE 110 PASADENA CA 91105			

Tax Transaction Detail (Distributions + Beneficiary)

10/16/2013 18:25:46

Main Account Id:

SMURR, ROSEMARY HANCOCK T/U/W

Account Id: 3308383-000

Trust Year 7/1/2012 - 6/30/2013

Tax Code/Desc	Paid For	Transactions	Income	Principal
149	BENEFICIARY DISTRIBUTIONS			
	951691005	HATHAWAY SYCAMORES CHILD AND FAM S		
06/27/13		0 Units		
		Reg Code 000	-146 98	0 00
Added:	061 07/02/13	CASH DISBURSEMENT TRAN # ZY002285001827 DIST TO/FOR BENEFICIARY/CLIENT DIST 20% OF NET INCOME HATHAWAY SYCAMORES CHILD AND FAM SVC ATTN GLEN VIRAY CONTROLLER 210 S DELACEY AVE SUITE 110 PASADENA CA 91105		
Total For: HATHAWAY SYCAMORES CHILD AND F			-175,010 72	0 00
	951793704	SISTERS OF SOCIAL SERVICE		
07/27/12		0 Units		
		Reg Code. 000	-1,808 85	0 00
Added:	FYE 04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME SISTERS OF SOCIAL SERVICE 4316 LANAI RD ENCINO, CA 91436		
08/27/12		0 Units		
		Reg Code 000	-125 53	0 00
Added:	FYE 04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME SISTERS OF SOCIAL SERVICE 4316 LANAI RD ENCINO, CA 91436		
09/27/12		0 Units		
		Reg Code 000	-341 24	0 00
Added:	FYE 04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME SISTERS OF SOCIAL SERVICE 4316 LANAI RD ENCINO, CA 91436		
10/29/12		0 Units		
		Reg Code 000	-13,560 64	0 00
Added:	FYE 04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME SISTERS OF SOCIAL SERVICE 4316 LANAI RD ENCINO, CA 91436		
11/27/12		0 Units		
		Reg Code 000	-881 37	0 00
Added:	FYE 04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME SISTERS OF SOCIAL SERVICE 4316 LANAI RD ENCINO, CA 91436		
12/27/12		0 Units		
		Reg Code 000	-2,901 71	0 00
Added:	FYE 04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME SISTERS OF SOCIAL SERVICE 4316 LANAI RD ENCINO, CA 91436		

Tax Transaction Detail (Distributions + Beneficiary)

10/16/2013 18:25:46

Main Account Id:

SMURR, ROSEMARY HANCOCK T/U/W

Account Id: 3308383-000

Trust Year 7/1/2012 - 6/30/2013

Tax Code/Desc		Paid For	Transactions	Income	Principal	
149	BENEFICIARY DISTRIBUTIONS					
		951793704	SISTERS OF SOCIAL SERVICE			
01/28/13			0 Units	Reg Code 000	-186,819 26	0 00
Added:	013	02/22/13	CASH DISBURSEMENT TRAN # ZY002177006220 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME SISTERS OF SOCIAL SERVICE 4316 LANAI RD ENCINO, CA 91436			
02/27/13			0 Units	Reg Code 000	-69 95	0 00
Added:	023	03/04/13	CASH DISBURSEMENT TRAN # ZY002215001261 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME SISTERS OF SOCIAL SERVICE 4316 LANAI RD ENCINO, CA 91436			
04/29/13			0 Units	Reg Code 000	-2,119 24	0 00
Added:	042	05/08/13	CASH DISBURSEMENT TRAN # ZY002537006962 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME SISTERS OF SOCIAL SERVICE 4316 LANAI RD ENCINO, CA 91436			
05/28/13			0 Units	Reg Code 000	-95 85	0 00
Added:	051	06/04/13	CASH DISBURSEMENT TRAN # ZY002290016062 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME SISTERS OF SOCIAL SERVICE 4316 LANAI RD ENCINO, CA 91436			
06/27/13			0 Units	Reg Code 000	-220 47	0 00
Added:	061	07/02/13	CASH DISBURSEMENT TRAN # ZY002285001824 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 30% OF NET INCOME SISTERS OF SOCIAL SERVICE 4316 LANAI RD ENCINO, CA 91436			
Total For: SISTERS OF SOCIAL SERVICE				-208,944 11	0 00	
		953818027	MARIAN MEDICAL CENTER FOUNDATION			
07/27/12			0 Units	Reg Code 000	-602 95	0 00
Added:	FYE	04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 10% OF NET INCOME MARIAN MEDICAL CENTER FOUNDATION 1400 E CHURCH STREET SANTA MARIA CA 93454			

Tax Transaction Detail (Distributions + Beneficiary)

10/16/2013 18:25:46

Main Account Id:

SMURR, ROSEMARY HANCOCK T/U/W

Account Id: 3308383-000

Trust Year 7/1/2012 - 6/30/2013

Tax Code/Desc		Paid For	Transactions	Income	Principal	
149	BENEFICIARY DISTRIBUTIONS					
		953818027	MARIAN MEDICAL CENTER FOUNDATION			
08/27/12			0 Units	Reg Code 000	-41 85	0 00
Added:	FYE	04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 10% OF NET INCOME MARIAN MEDICAL CENTER FOUNDATION 1400 E CHURCH STREET SANTA MARIA CA 93454			
09/27/12			0 Units	Reg Code 000	-113 74	0 00
Added:	FYE	04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 10% OF NET INCOME MARIAN MEDICAL CENTER FOUNDATION 1400 E CHURCH STREET SANTA MARIA CA 93454			
10/29/12			0 Units	Reg Code 000	-4,520 22	0 00
Added:	FYE	04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 10% OF NET INCOME MARIAN MEDICAL CENTER FOUNDATION 1400 E CHURCH STREET SANTA MARIA CA 93454			
11/27/12			0 Units	Reg Code 000	-293 80	0 00
Added:	FYE	04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 10% OF NET INCOME MARIAN MEDICAL CENTER FOUNDATION 1400 E CHURCH STREET SANTA MARIA CA 93454			
12/27/12			0 Units	Reg Code 000	-967 24	0 00
Added:	FYE	04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 10% OF NET INCOME MARIAN MEDICAL CENTER FOUNDATION 1400 E CHURCH STREET SANTA MARIA CA 93454			
01/28/13			0 Units	Reg Code 000	-62,273 09	0 00
Added:	013	02/22/13	CASH DISBURSEMENT TRAN # ZY002177006219 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 10% OF NET INCOME MARIAN MEDICAL CENTER FOUNDATION 1400 E CHURCH STREET SANTA MARIA CA 93454			
02/27/13			0 Units	Reg Code 000	-23 32	0 00
Added:	023	03/04/13	CASH DISBURSEMENT TRAN # ZY002215001260 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 10% OF NET INCOME MARIAN MEDICAL CENTER FOUNDATION 1400 E CHURCH STREET SANTA MARIA CA 93454			
04/29/13			0 Units	Reg Code 000	-706 41	0 00
Added:	042	05/08/13	CASH DISBURSEMENT TRAN # ZY002537006961 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 10% OF NET INCOME MARIAN MEDICAL CENTER FOUNDATION 1400 E CHURCH STREET SANTA MARIA CA 93454			

Tax Transaction Detail (Distributions + Beneficiary)

10/16/2013 18:25:46

Main Account Id:

SMURR, ROSEMARY HANCOCK T/U/W

Account Id: 3308383-000

Trust Year 7/1/2012 - 6/30/2013

Tax Code/Desc		Paid For	Transactions	Income	Principal	
149 BENEFICIARY DISTRIBUTIONS						
		953818027	MARIAN MEDICAL CENTER FOUNDATION			
05/28/13			0 Units	Reg Code 000	-31.95	0.00
Added:	051	06/04/13	CASH DISBURSEMENT TRAN # ZY002290016061 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 10% OF NET INCOME MARIAN MEDICAL CENTER FOUNDATION 1400 E CHURCH STREET SANTA MARIA CA 93454			
06/27/13			0 Units	Reg Code 000	-73.49	0.00
Added:	061	07/02/13	CASH DISBURSEMENT TRAN # ZY002285001823 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 10% OF NET INCOME MARIAN MEDICAL CENTER FOUNDATION 1400 E CHURCH STREET SANTA MARIA CA 93454			
Total For: MARIAN MEDICAL CENTER FOUNDATION				-69,648.06	0.00	
		BROTHERSO	BROTHERS OF HOSPITALER ORDER OF			
07/27/12			0 Units	Reg Code 000	-301.47	0.00
Added:	FYE	04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BROTHERS OF HOSPITALER ORDER OF ST JOHN OF GOD - ATTN BR PATRICK 2035 WEST ADAMS BLVD LOS ANGELES CA 90018			
08/27/12			0 Units	Reg Code 000	-20.92	0.00
Added:	FYE	04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BROTHERS OF HOSPITALER ORDER OF ST JOHN OF GOD - ATTN BR PATRICK 2035 WEST ADAMS BLVD LOS ANGELES CA 90018			
09/27/12			0 Units	Reg Code 000	-56.87	0.00
Added:	FYE	04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BROTHERS OF HOSPITALER ORDER OF ST JOHN OF GOD - ATTN BR PATRICK 2035 WEST ADAMS BLVD LOS ANGELES CA 90018			
10/29/12			0 Units	Reg Code 000	-2,260.11	0.00
Added:	FYE	04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BROTHERS OF HOSPITALER ORDER OF ST JOHN OF GOD - ATTN BR PATRICK 2035 WEST ADAMS BLVD LOS ANGELES CA 90018			
11/27/12			0 Units	Reg Code 000	-146.90	0.00
Added:	FYE	04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BROTHERS OF HOSPITALER ORDER OF ST JOHN OF GOD - ATTN BR PATRICK 2035 WEST ADAMS BLVD LOS ANGELES CA 90018			

Tax Transaction Detail (Distributions + Beneficiary)

10/16/2013 18:25:46

Main Account Id:

SMURR, ROSEMARY HANCOCK T/U/W

Account Id: 3308383-000

Trust Year 7/1/2012 - 6/30/2013

Tax Code/Desc	Paid For	Transactions	Income	Principal
149	BENEFICIARY DISTRIBUTIONS			
		BROTHERSO BROTHERS OF HOSPITALER ORDER OF		
12/27/12		0 Units		
		Reg Code 000	-483 62	0 00
Added:	FYE 04/08/13	DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BROTHERS OF HOSPITALER ORDER OF ST JOHN OF GOD - ATTN BR PATRICK 2035 WEST ADAMS BLVD LOS ANGELES CA 90018		
01/28/13		0 Units		
		Reg Code 000	-31,136 55	0 00
Added:	013 02/22/13	CASH DISBURSEMENT TRAN # ZY002177006215 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BROTHERS OF HOSPITALER ORDER OF ST JOHN OF GOD - ATTN BR PATRICK 2035 WEST ADAMS BLVD LOS ANGELES CA 90018		
02/27/13		0 Units		
		Reg Code 000	-11 66	0 00
Added:	023 03/04/13	CASH DISBURSEMENT TRAN # ZY002215001256 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BROTHERS OF HOSPITALER ORDER OF ST JOHN OF GOD - ATTN BR PATRICK 2035 WEST ADAMS BLVD LOS ANGELES CA 90018		
04/29/13		0 Units		
		Reg Code 000	-353 21	0 00
Added:	042 05/08/13	CASH DISBURSEMENT TRAN # ZY002537006959 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BROTHERS OF HOSPITALER ORDER OF ST JOHN OF GOD - ATTN BR PATRICK 2035 WEST ADAMS BLVD LOS ANGELES CA 90018		
05/28/13		0 Units		
		Reg Code 000	-15 97	0 00
Added:	051 06/04/13	CASH DISBURSEMENT TRAN # ZY002290016059 DIST TO/FOR BENEFICIARY/CLIENT DIST OF 5% OF NET INCOME BROTHERS OF HOSPITALER ORDER OF ST JOHN OF GOD - ATTN BR PATRICK 2035 WEST ADAMS BLVD LOS ANGELES CA 90018		

Tax Transaction Detail (Distributions + Beneficiary)

10/16/2013 18:25:46

Main Account Id:

SMURR, ROSEMARY HANCOCK T/U/W

Account Id: 3308383-000

Trust Year 7/1/2012 - 6/30/2013

Tax Code/Desc	Paid For	Transactions	Income	Principal
149	BENEFICIARY DISTRIBUTIONS			
	BROTHERSO	BROTHERS OF HOSPITALER ORDER OF		
06/27/13		0 Units		
		Reg Code 000	-36 74	0 00
Added: 061	07/02/13	CASH DISBURSEMENT		
		TRAN # ZY002285001821		
		DIST TO/FOR BENEFICIARY/CLIENT		
		DIST OF 5% OF NET INCOME		
		BROTHERS OF HOSPITALER ORDER OF		
		ST JOHN OF GOD - ATTN BR PATRICK		
		2035 WEST ADAMS BLVD		
		LOS ANGELES CA 90018		
Total For: BROTHERS OF HOSPITALER ORDER			-34,824 02	0 00
Total for Taxcode: 149			-563,018 49	0 00
Total for Distributions:			-563,018 49	0 00
Total for all Tax Codes:			-1,039,436 13	952,522 60