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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2012**

Open to Public Inspection

For calendar year 2012 or tax year beginning **07/01/12**, and ending **06/30/13**

Name of foundation <b>THE AGOURON INSTITUTE</b>		A Employer identification number <b>95-3248387</b>
Number and street (or P O box number if mail is not delivered to street address) <b>1055 E. COLORADO BLVD.</b>	Room/suite <b>250</b>	B Telephone number (see instructions) <b>626-744-5100</b>
City or town, state, and ZIP code <b>PASADENA CA 91106</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 34,061,692</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Schedule B				
	3 Interest on savings and temporary cash investments	1,552	1,552		
	4 Dividends and interest from securities	667,820	667,820		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-140,608			
	b Gross sales price for all assets on line 6a <b>7,831,050</b>				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) <b>STMT 1</b>	49,647	49,647		
	12 Total. Add lines 1 through 11	578,411	719,019	0	
	13 Compensation of officers, directors, trustees, etc	373,500	27,875		345,625
	14 Other employee salaries and wages	234,282	23,429		210,853
	15 Pension plans, employee benefits	23,428	2,343		21,085
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) <b>STMT 2</b>	97,344	48,672		48,672
	c Other professional fees (attach schedule) <b>STMT 3</b>	209,563	209,563		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) <b>STMT 4</b>	35,997	19,097		16,900
	19 Depreciation (attach schedule) and depletion <b>STMT 5</b>	2,947			
	20 Occupancy	51,568			51,568
	21 Travel, conferences, and meetings	193,030	9,651		183,379
	22 Printing and publications	1,350			1,350
	23 Other expenses (attach schedule) <b>STMT 6</b>	55,845	10,170		45,675
	24 Total operating and administrative expenses. Add lines 13 through 23	1,278,854	350,800	0	925,107
	25 Contributions, gifts, grants paid	1,831,337			1,831,337
	26 Total expenses and disbursements. Add lines 24 and 25	3,110,191	350,800	0	2,756,444
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-2,531,780			
	b Net investment income (if negative, enter -0-)		368,219		
	c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2012)

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SCANNED MAY 28 2014

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	<b>1,921,718</b>	<b>1,210,832</b>	<b>1,210,832</b>
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att. schedule) ▶ Less: allowance for doubtful accounts ▶	<b>0</b>		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) <b>SEE STMT 7</b>	<b>22,034,312</b>	<b>22,197,928</b>	<b>24,547,794</b>
	c Investments – corporate bonds (attach schedule) <b>SEE STMT 8</b>	<b>10,258,497</b>	<b>8,276,934</b>	<b>8,293,405</b>
	11 Investments – land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach sch.) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
<b>Liabilities</b>	14 Land, buildings, and equipment basis ▶ <b>137,620</b> Less: accumulated depreciation (attach sch.) ▶ <b>STMT 9 134,704</b>	<b>5,863</b>	<b>2,916</b>	<b>2,916</b>
	15 Other assets (describe ▶ <b>SEE STATEMENT 10</b> )	<b>6,745</b>	<b>6,745</b>	<b>6,745</b>
	16 <b>Total assets</b> (to be completed by all filers – see the instructions. Also, see page 1, item I)	<b>34,227,135</b>	<b>31,695,355</b>	<b>34,061,692</b>
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
<b>Net Assets or Fund Balances</b>	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ )			
	23 <b>Total liabilities</b> (add lines 17 through 22)	<b>0</b>	<b>0</b>	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> ▶ <input checked="" type="checkbox"/>			
	24 Unrestricted	<b>34,227,135</b>	<b>31,695,355</b>	
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 <b>Total net assets or fund balances</b> (see instructions)	<b>34,227,135</b>	<b>31,695,355</b>	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions)	<b>34,227,135</b>	<b>31,695,355</b>	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	<b>34,227,135</b>
2 Enter amount from Part I, line 27a	2	<b>-2,531,780</b>
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	<b>31,695,355</b>
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	<b>31,695,355</b>

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a ATALANTA SOSNOFF</b>	<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>b FIRST WILSHIRE</b>	<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>c FUNDING</b>	<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>d THIRD AVENUE MANAGEMENT</b>	<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 4,031,630</b>		<b>3,649,988</b>	<b>381,642</b>
<b>b 837,602</b>		<b>1,188,111</b>	<b>-350,509</b>
<b>c 2,250,200</b>		<b>2,237,591</b>	<b>12,609</b>
<b>d 711,618</b>		<b>895,968</b>	<b>-184,350</b>
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			<b>381,642</b>
<b>b</b>			<b>-350,509</b>
<b>c</b>			<b>12,609</b>
<b>d</b>			<b>-184,350</b>
<b>e</b>			

  

<b>2 Capital gain net income or (net capital loss)</b> <span style="font-size: small;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>	<b>2</b>	<b>-140,608</b>
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b> If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	<b>2,804,095</b>	<b>20,402,469</b>	<b>0.137439</b>
2010	<b>5,397,687</b>	<b>38,942,713</b>	<b>0.138606</b>
2009	<b>4,200,816</b>	<b>42,016,951</b>	<b>0.099979</b>
2008	<b>5,138,904</b>	<b>55,153,480</b>	<b>0.093175</b>
2007	<b>7,789,631</b>	<b>92,075,955</b>	<b>0.084600</b>

  

<b>2 Total of line 1, column (d)</b>	<b>2</b>	<b>0.553799</b>
<b>3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>	<b>3</b>	<b>0.110760</b>
<b>4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5</b>	<b>4</b>	<b>31,370,338</b>
<b>5 Multiply line 4 by line 3</b>	<b>5</b>	<b>3,474,579</b>
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>	<b>6</b>	<b>3,682</b>
<b>7 Add lines 5 and 6</b>	<b>7</b>	<b>3,478,261</b>
<b>8 Enter qualifying distributions from Part XII, line 4</b> If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	<b>2,756,444</b>

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)		
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	<b>7,364</b>
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>	<b>0</b>
<b>3</b> Add lines 1 and 2	<b>3</b>	<b>7,364</b>
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>	<b>0</b>
<b>5</b> <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	<b>7,364</b>
<b>6</b> Credits/Payments:		
<b>a</b> 2012 estimated tax payments and 2011 overpayment credited to 2012	<b>6a</b>	<b>15,449</b>
<b>b</b> Exempt foreign organizations – tax withheld at source	<b>6b</b>	
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b> Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b> Total credits and payments. Add lines 6a through 6d	<b>7</b>	<b>15,449</b>
<b>8</b> Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b> <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	
<b>10</b> <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	<b>8,085</b>
<b>11</b> Enter the amount of line 10 to be <b>Credited to 2013 estimated tax</b> <b>8,085</b> <b>Refunded</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>X</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		<b>X</b>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		<b>X</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <b>\$</b> _____ (2) On foundation managers <b>\$</b> _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <b>\$</b> _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		<b>X</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<b>X</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		<b>X</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		<b>X</b>
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<b>X</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>CA</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<b>X</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		<b>X</b>
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<b>X</b>

N/A

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		<b>X</b>
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		<b>X</b>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	13	<b>X</b>	
14	The books are in care of ► <b>LUCAS HORSFALL CPAS</b> <b>100 E CORSON STREET #200</b> Located at ► <b>PASADENA</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year ► <b>15</b>			
	Telephone no ► <b>626-744-5100</b> CA ZIP+4 ► <b>91103</b>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No <b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <b>N/A</b>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	<b>X</b>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ► 20 , 20 , 20 , 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions ) <b>N/A</b>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012 ) <b>N/A</b>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	<b>X</b>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**N/A****5b**

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?**N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

If "Yes" to 6b, file Form 8870.

**X****7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**N/A****7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		373,500		

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOAN KOBORI PASADENA 1055 E. COLORADO BLVD., #250 CA 91106	SCIENTIST 50.00	165,736	16,575	0
JOYCE KATO PASADENA 1055 E. COLORADO BLVD., #250 CA 91106	EXEC ASSIST 40.00	68,546	6,855	0

Total number of other employees paid over \$50,000

**0**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>NONE</b>		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1 N/A</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1 N/A</b>	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span>	



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	<b>30,557,940</b>
<b>b</b>	Average of monthly cash balances	<b>1b</b>	<b>1,290,119</b>
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	<b>0</b>
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	<b>31,848,059</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	<b>0</b>
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	<b>0</b>
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	<b>31,848,059</b>
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	<b>4</b>	<b>477,721</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	<b>31,370,338</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	<b>1,568,517</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	<b>1,568,517</b>
<b>2a</b>	Tax on investment income for 2012 from Part VI, line 5	<b>2a</b>	<b>7,364</b>
<b>b</b>	Income tax for 2012 (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	<b>7,364</b>
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	<b>1,561,153</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	<b>1,561,153</b>
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	<b>1,561,153</b>

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	<b>1a</b>	<b>2,756,444</b>
<b>b</b>	Program-related investments – total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	<b>2,756,444</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	<b>0</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	<b>2,756,444</b>

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
<b>1</b> Distributable amount for 2012 from Part XI, line 7				<b>1,561,153</b>
<b>2</b> Undistributed income, if any, as of the end of 2012:				
<b>a</b> Enter amount for 2011 only				
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2012:				
<b>a</b> From 2007	<b>3,296,825</b>			
<b>b</b> From 2008	<b>2,381,230</b>			
<b>c</b> From 2009	<b>2,129,758</b>			
<b>d</b> From 2010	<b>3,497,167</b>			
<b>e</b> From 2011	<b>1,787,868</b>			
<b>f</b> Total of lines 3a through e	<b>13,092,848</b>			
<b>4</b> Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <b>2,756,444</b>				
<b>a</b> Applied to 2011, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)				
<b>d</b> Applied to 2012 distributable amount				<b>1,561,153</b>
<b>e</b> Remaining amount distributed out of corpus	<b>1,195,291</b>			
<b>5</b> Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e. Subtract line 5	<b>14,288,139</b>			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount – see instructions				
<b>e</b> Undistributed income for 2011 Subtract line 4a from line 2a. Taxable amount – see instructions				
<b>f</b> Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				<b>0</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	<b>3,296,825</b>			
<b>9</b> Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	<b>10,991,314</b>			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2008	<b>2,381,230</b>			
<b>b</b> Excess from 2009	<b>2,129,758</b>			
<b>c</b> Excess from 2010	<b>3,497,167</b>			
<b>d</b> Excess from 2011	<b>1,787,868</b>			
<b>e</b> Excess from 2012	<b>1,195,291</b>			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2012	(b) 2011	(c) 2010	(d) 2009	
<b>b</b> 85% of line 2a				
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed				
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities				
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
<b>3</b> Complete 3a, b, or c for the alternative test relied upon.				
<b>a</b> "Assets" alternative test – enter.				
<b>(1)</b> Value of all assets				
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)				
<b>b</b> "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
<b>c</b> "Support" alternative test – enter				
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
<b>(3)</b> Largest amount of support from an exempt organization				
<b>(4)</b> Gross investment income				

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
**N/A**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
**N/A**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:  
**N/A**

**b** The form in which applications should be submitted and information and materials they should include.  
**N/A**

**c** Any submission deadlines  
**N/A**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
**N/A**

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year <b>SEE STATEMENT 12</b>				<b>1,831,337</b>
<b>Total</b>			<b>► 3a</b>	<b>1,831,337</b>
<b>b</b> Approved for future payment <b>N/A</b>				
<b>Total</b>			<b>► 3b</b>	



## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash
- (2) Other assets
- b** Other transactions:
- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Signature of officer or trustee

Date \_\_\_\_\_

CPA  
Title

**Paid**  
**Preparer**  
**Use Only**

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check ☐ if self-employed

**MICHAEL H. SOVIK**

Firm's name ▶ **LUCAS, HORSFALL, MURPHY & PINDROH LLP**

PTIN P00942164

Firm's address ▶ 100 E CORSON ST STE 200

Firm's EIN ▶ **95-4659692**

PASADENA, CA 91103-3841

Phone no **626-744-5100**

Form **990-PF** (2012)

## Federal Statements

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### Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	\$ 49,647	\$ 49,647	\$
TOTAL	\$ 49,647	\$ 49,647	\$ 0

### Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTING, AUDIT & TAX PREP FEE	\$ 97,344	\$ 48,672	\$	\$ 48,672
TOTAL	\$ 97,344	\$ 48,672	\$ 0	\$ 48,672

### Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT MGMT FEES	\$ 209,563	\$ 209,563	\$	\$
TOTAL	\$ 209,563	\$ 209,563	\$ 0	\$ 0

### Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
LICENSES & DUES	\$ 748	\$	\$	\$ 748
FOREIGN TAXES	16,697	16,697		16,152
PAYROLL TAXES	18,552	2,400		
TOTAL	\$ 35,997	\$ 19,097	\$ 0	\$ 16,900

## Federal Statements

## Statement 5 - Form 990-PF, Part I, Line 19 - Depreciation

Description						
Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Adjusted Net Income
CREDENZA, BOOKCASE, KEYBR 8/01/99 \$	1,854 \$	1,854	S/L	7	\$	\$
LASER PRINTER HP-5SI 8/01/99	2,382	2,382	S/L	5		
MAS-90 S/W (AP & GL) 8/01/99	2,098	2,098	S/L	3		
DELL COMPUTER WORKSTATIO 8/01/99	4,075	4,075	S/L	5		
FOUNDATION SOFTWARE 10/01/99	1,200	1,200	S/L	3		
FOUNDATION SOFTWARE 4/01/00	1,000	1,000	S/L	3		
COMPUTER EQUIP (KPMG) 2/01/98	11,900	11,900	S/L	5		
DESK, CDZA, BKCS, CHR 11/01/01	9,486	9,486	S/L	7		
MEDIA BOARD 11/01/01	2,838	2,838	S/L	5		
CONFERENCE TABLE 11/01/01	6,914	6,914	S/L	7		
LEASEHOLD IMPROVEMENTS 11/01/01	48,095	48,095	S/L	10		
STYLUS C80 PRINTER 3/01/02	314	314	S/L	5		
IMAC POWERBOOK G4 3/01/02	4,218	4,218	S/L	5		
NETWORK HARDWARE 3/01/02	1,675	1,675	S/L	5		
LCD PROJECTOR 7/16/02	3,567	3,567	S/L	5		
POLYCOM CONF PHONE (#2) 4/01/03	680	680	S/L	5		
CTOPOWERBOOK G4/15 +MEM 6/17/04	3,565	3,565	S/L	5		



# Federal Statements

## Statement 5 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

Description		Date		Cost		Prior Year		Method		Life		Current Year		Net Investment		Adjusted Net	
		Acquired		Basis		Depreciation						Depreciation		Income		Income	
SOFTWARE CTOPOWERBOOK		6/17/04	\$	1,897	\$	1,897	S/L			3	\$	\$		\$		\$	
COMPUTER		12/01/04		1,710		1,710	S/L			5							
COMPUTER		4/30/06		1,355		1,355	S/L			5							
DREAMWEAVER MAC CMPTR		2/01/07		863		863	S/L			5							
IMAC DESKTOP CMPTR-GARY		11/01/07		2,104		1,965	S/L			5		139					
MACBOOK PRO LAPTOP-GARY		11/01/07		3,659		3,416	S/L			5		243					
HPL7680 COLOR PRNTR-GARY		11/01/07		569		532	S/L			5		37					
ADOBE BACK UP HARD DRIVES		5/06/08		3,390		2,825	S/L			5		565					
IMAC LAPTOP (KATO)		5/06/08		2,099		1,750	S/L			5		349					
TIME CAPSULE B/U S/W (GF)		5/01/08		598		598	S/L			3							
XEROX 8560 PRINTER		5/22/08		981		800	S/L			5		181					
MACBOOK PRO LAPTOP		5/22/08		2,164		1,768	S/L			5		396					
FUJITSU SCANNER		4/02/09		503		328	S/L			5		101					
APPLE LAPTOP		11/01/10		1,850		617	S/L			5		370					
APPLE CMPTR - KOBORI		11/21/11		1,952		228	S/L			5		390					
LAPTOP-FRIEDMAN		3/12/12		880		59	S/L			5		176					

# Federal Statements

## Statement 5 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

Description		Prior Year		Method		Life		Current Year		Net Investment		Adjusted Net	
Date	Cost	Basis	Depreciation					Depreciation		Income		Income	
Acquired													
TOTAL	\$	132,435	\$	126,572				\$	2,947	\$	0	\$	0

## Form 990-PF, Part I, Line 23 - Amortization

Description		Cost		Prior Year		Life		Current Year		Net Investment		Adjusted Net	
Date	Acquired	Basis		Amortization				Amortization		Income		Income	COGS
LEASE ACQUISITION COSTS													
	11/01/01	\$	5,185	\$	5,185	10	\$		\$		\$		
TOTAL		\$	5,185	\$	5,185		\$	0	\$	0	\$	0	0

## Federal Statements

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Statement 6 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES	\$	\$	\$	\$
TELEPHONE	2,162	1,081		1,081
BANK CHARGES	4,439	4,439		
SUPPLIES	1,019	713		306
COMPUTER & INTERNET	-76	-38		-38
POSTAGE & DELIVERY	582	407		175
HEALTH INSURANCE	16,081	1,608		14,473
OFFICE EXPENSES	1,485	1,040		445
WORKERS COMPENSATION INSURANC	2,719	408		2,311
PAYROLL PROCESSING FEES	1,487	223		1,264
PARKING	2,886	289		2,597
INSURANCE	19,118			19,118
INTERNET	3,943			3,943
TOTAL	\$ 55,845	\$ 10,170	\$ 0	\$ 45,675

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CORPORATE STOCKS	\$ 22,034,312	\$ 22,197,928	MARKET	\$ 24,547,794
TOTAL	\$ 22,034,312	\$ 22,197,928		\$ 24,547,794

Statement 8 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
DEBT SECURITIES	\$ 10,258,497	\$ 8,276,934	MARKET	\$ 8,293,405
TOTAL	\$ 10,258,497	\$ 8,276,934		\$ 8,293,405

## Federal Statements

Statement 9 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
FURNITURE AND FIXTURES	\$ 5,863	\$ 137,620	\$ 134,704	\$ 2,916
MACHINERY AND EQUIPMENT IMPROVEMENTS	\$ 5,863	\$ 137,620	\$ 134,704	\$ 2,916
TOTAL				

**Federal Statements****Statement 10 - Form 990-PF, Part II, Line 15 - Other Assets**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Fair Market Value</u>
DEPOSITS	\$ <u>6,745</u>	\$ <u>6,745</u>	\$ <u>6,745</u>
TOTAL	\$ <u>6,745</u>	\$ <u>6,745</u>	\$ <u>6,745</u>

# Federal Statements

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## Statement 11 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
DR. MELVIN SIMON 1055 E. COLORADO BLVD., # 250 PASADENA CA 91106	CHAIRMAN	30.00	115,000	0	0
EDWARD STOLPER 1055 E. COLORADO BLVD., # 250 PASADENA CA 91106	DIRECTOR	5.00	7,500	0	0
DR. WILLIS WOOD 1055 E. COLORADO BLVD., # 250 PASADENA CA 91106	VP & TREASUR	40.00	46,000	0	0
DR. THEODORE FRIEDMANN 1055 E. COLORADO BLVD., # 250 PASADENA CA 91106	DIRECTOR	5.00	7,500	0	0
DR. GORDON GILL 1055 E. COLORADO BLVD., # 250 PASADENA CA 91106	DIRECTOR	5.00	7,500	0	0
DR. DAVID HIRSCH 1055 E. COLORADO BLVD., # 250 PASADENA CA 91106	DIRECTOR	5.00	7,500	0	0
DR. DEBORAH SPECTOR 1055 E. COLORADO BLVD., # 250 PASADENA CA 91106	DIRECTOR	5.00	7,500	0	0
DR. GUSTAF ARRHENIUS 1055 E. COLORADO BLVD., # 250 PASADENA CA 91106	DIRECTOR	5.00	7,500	0	0
DR. JOHN ABELSON 1055 E. COLORADO BLVD., # 250 PASADENA CA 91106	PRESIDENT	30.00	115,000	0	0

# Federal Statements

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## Statement 11 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc. (continued)

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
GARY FRIEDMAN 1055 E. COLORADO BLVD., # 250 PASADENA CA 91106	EXEC VP & SE	15.00	22,500	0	0
PETER JOHNSON 1055 E. COLORADO BLVD., # 250 PASADENA CA 91106	DIRECTOR	15.00	22,500	0	0
DR. JOHN GROTZINGER 1055 E. COLORADO BLVD., #250 PASADENA CA 91106	DIRECTOR	5.00	7,500	0	0

## Statement 12 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name	Address	Relationship	Status	Purpose	Amount
23 AND ME MOUNTAIN VIEW CA 94043	1390 SHOREBIRD WAY EXEMPT	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	35,780
CALIFORNIA INSTITUTE OF TECHNOLOGY PASADENA CA 91125	1200 E CALIFORNIA BLVD EXEMPT	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	629,261
COLUMBIA UNIVERSITY NEW YORK NY 10032	100 HAVEN AVE, STE 29D EXEMPT	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	239,819
FND FOR THE NATIONAL INST OF HEALTH BETHESDA MD 20814	9650 ROCKVILLE PIKE EXEMPT	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	3,000
GONVILLE & CAIUS COLLEGE CAMBRIDGE CB21TA	CAMBRIDGE UNIVERSITY EXEMPT	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	15,000
GORDON RESEARCH CONFERENCES WEST KENSINGTON RI 02892	PO BOX 984 EXEMPT	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	21,000
INDIANA UNIVERSITY INDIANAPOLIS IN 46266	PO BOX 66057 EXEMPT	NONE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	7,970

## Federal Statements

Statement 12 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the  
Year (continued)

Name		Address		Relationship	Address		Purpose	Amount
Address			Status					
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	CAMBRIDGE MA 02139	NONE	EARTH RESOURCES LAB	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	10,000		
NORTHWESTERN UNIVERSITY	EVANSTON IL 60208	NONE	633 CLARK ST, #2-502	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	55,000		
PRESIDENT & FELLOWS OF HARVARD UNIV	CAMBRIDGE MA 02138	NONE	1350 MASSACHUSETTS AVE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	45,000		
PRINCETON UNIVERSITY	PRINCETON NJ 08544	NONE	701 CARNEGIE CENTER	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	55,000		
REGENTS OF THE UNIVERSITY OF CA, SD	LA JOLLA CA 92093	NONE	9500 GILMAN DRIVE, #0954	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	65,000		
ROYAL ONTARIO MUSEUM	TORONTO ON M5S2C6	NONE	100 QUEEN'S PARK CRES	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	10,000		
SCOR (HANSELMAN)	NEWARK DE 19716	NONE	SCOR, UNIVERSITY OF DELAW	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	42,632		
UNIVERSIDAD DE CONCEPCION	CONCEPCION, CHILI	NONE	CASILLA 160-C	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	10,000		
UNIVERSITY OF HAWAII	HONOLULU HI 96822	NONE	2444 DOLE ST	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	232,875		
UNIVERSITY OF MINNESOTA	MINNEAPOLIS MN 55485	NONE	NW 5957 PO BOX 1450	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	57,000		
UNIVERSITY OF NEW SOUTH WALES	NEW SOUTH WALES 2052	NONE	KENSINGTON	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	50,000		
UNIVERSITY OF SOUTHERN CALIFORNIA	LOS ANGELES CA 90089	NONE	3651 TROUSDALE PKWY ZHS	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	230,000		
UNIVERSITY OF SUSSEX	EAST SUSSEX BN19RY	NONE	FALMER BRIGHTON	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	10,000		
WASHINGTON UNIVERSITY	ST. LOUIS MO 63112	NONE	700 ROSEDALE AVE	EXEMPT	SCIENTIFIC RESEARCH & EDUCATION	7,000		
TOTAL						1,831,337		



Form **4562**Department of the Treasury  
Internal Revenue Service (99)**Depreciation and Amortization**  
(Including Information on Listed Property)

OMB No 1545-0172

**2012**Attachment  
Sequence No **179**

▶ See separate instructions.

▶ Attach to your tax return.

Name(s) shown on return

**THE AGOURON INSTITUTE**

Identifying number

**95-3248387**

Business or activity to which this form relates

**INDIRECT DEPRECIATION****Part I Election To Expense Certain Property Under Section 179****Note:** If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	<b>500,000</b>
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	<b>2,000,000</b>
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2011 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2013. Add lines 9 and 10, less line 12	13	

**Note:** Do not use Part II or Part III below for listed property. Instead, use Part V.**Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)**

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	<b>2,947</b>

**Part III MACRS Depreciation (Do not include listed property.) (See instructions.)****Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2012	17	<b>0</b>
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

**Section B—Assets Placed in Service During 2012 Tax Year Using the General Depreciation System**

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property			27.5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	

**Section C—Assets Placed in Service During 2012 Tax Year Using the Alternative Depreciation System**

20a Class life				S/L	
b 12-year			12 yrs.	S/L	
c 40-year			40 yrs.	MM	S/L

**Part IV Summary (See instructions.)**

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations—see instructions	22	<b>2,947</b>
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Form **4562** (2012)

DAA

**THERE ARE NO AMOUNTS FOR PAGE 2**