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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 07/01/12 **, and ending** 06/30/13

Name of foundation: YAO YUAN SZE FOUNDATION

A Employer identification number: 91-2161365

Number and street (or P O box number if mail is not delivered to street address): 14409 NE 10TH SE

Room/suite:

B Telephone number (see instructions): 425-865-9999

City or town, state, and ZIP code: BELLEVUE WA 98007-4123

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 757,416

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	144,432			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	1	1	0	
	4	Dividends and interest from securities	19,570	14,244	0	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10 Stmt 1	41,490			
	b	Gross sales price for all assets on line 6a 476,879				
	7	Capital gain net income (from Part IV, line 2)		3,567		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	205,493	17,812	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages	11,000	11,000		
	15	Pension plans, employee benefits	1,142	1,142		
	16a	Legal fees (attach schedule) See Stmt 2	30	30		
	b	Accounting fees (attach schedule) Stmt 3	1,740	1,740		
	c	Other professional fees (attach schedule) Stmt 4	11,018	11,018		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) Stmt 5	11	11		
	19	Depreciation (attach schedule) and depletion Stmt 6	142			
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	25,083	24,941	0	0
	25	Contributions, gifts, grants paid See Statement 7	31,000			31,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	56,083	24,941	0	31,000
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	149,410			
	b	**Net investment income** (if negative, enter -0-)		0		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash – non-interest-bearing	30,830	30,895	30,895
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule) Stmt 8	187,987		
	b	Investments – corporate stock (attach schedule) See Stmt 9	329,595	684,615	726,521
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule) See Statement 10	17,546		
	14	Land, buildings, and equipment basis ▶ 1,416			
		Less accumulated depreciation (attach sch) ▶ Stmt 11 1,416	142		
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	566,100	715,510	757,416
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	566,100	715,510	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	566,100	715,510	
	31	**Total liabilities and net assets/fund balances** (see instructions)	566,100	715,510	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	566,100
2	Enter amount from Part I, line 27a	2	149,410
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	715,510
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	715,510

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	CAPITAL GAIN DISTRIBUTION			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,567			3,567
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				3,567
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	3,567
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	13,000	578,991	0.022453
2010	23,000	442,244	0.052007
2009	20,000	292,144	0.068459
2008	8,500	262,382	0.032396
2007	3,000	128,819	0.023288

2 **Total** of line 1, column (d)	2	0.198603
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.039721
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	668,012
5 Multiply line 4 by line 3	5	26,534
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	26,534
8 Enter qualifying distributions from Part XII, line 4	8	31,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	0
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ Refunded ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ WA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses Stmt 12	10	X	

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JOAN SUN Telephone no ▶ 425-865-9999

14409 NE 10TH ST

Located at ▶ BELLEVUE WA ZIP+4 ▶ 98007

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address		(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
YAO YUAN SZE PO BOX 452	MERCER ISLAND WA 98040	PRESIDENT/DI 1.00	0	0	0
JOAN SUN 14409 NE 10TH ST	BELLEVUE WA 98007	SECRETARY/TR 2.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	650,366
b	Average of monthly cash balances		1b	27,819
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	678,185
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	678,185
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)		4	10,173
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	668,012
6	**Minimum investment return.** Enter 5% of line 5		6	33,401

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	33,401
2a	Tax on investment income for 2012 from Part VI, line 5	2a		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	
3	Distributable amount before adjustments Subtract line 2c from line 1		3	33,401
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	33,401
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	33,401

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	31,000
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	31,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	31,000

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					33,401
2 Undistributed income, if any, as of the end of 2012.					
a Enter amount for 2011 only				20,252	
b Total for prior years 20____ , 20____ , 20____					
3 Excess distributions carryover, if any, to 2012					
a From 2007					
b From 2008					
c From 2009					
d From 2010					
e From 2011					
f **Total** of lines 3a through e					
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 31,000					
a Applied to 2011, but not more than line 2a				20,252	
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2012 distributable amount					10,748
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5					
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions					
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount – see instructions					
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					22,653
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)					
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a					
10 Analysis of line 9					
a Excess from 2008					
b Excess from 2009					
c Excess from 2010					
d Excess from 2011					
e Excess from 2012					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2012	Prior 3 years (b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

YAI YUAN SZE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

JOAN SUN 425-865-9999
14409 NE 10TH ST BELLEVUE WA 98007

b The form in which applications should be submitted and information and materials they should include

NO PARTICULAR FORMAT

c Any submission deadlines.

NO DEADLINES

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

IRC 501 (C) 3 ORGANIZATIONS MEDICAL AND EDUCATION ONLY

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
OVERLAKE HOSPITAL 1199 116TH AVE NE BELLEVUE WA 98004		501 (C) 3	MEDICAL RESEARCH	1,000
WHITTIER COLLEGE 13406 E PHILDELPHIA ST WHITTIER CA 90608		501 (C) 3	EDUCATION	14,000
WHITTIER COLLEGE 13406 E PHILDELPHIA ST WHITTIER CA 90608		501 (C) 3	EDUCATION	10,000
THE INTEGRAL HEART FOUNDATION 1649 BLAINE AVE SALT LAKE CITY UT 84105		501 (C) 3	EDUCATION	3,000
BELLEVUE COLLEGE FOUNDATION 3000 LANDERHOLM CIRCLE SE BELLEVUE WA 98007		501 (C) 3	EDUCATION	1,000
FRED HUTCHINSON CANCER RESEARCH 1100 FARIVIEW AVE N SEATTLE WA 98109		501 (C) 3	MEDICAL RESEARCH	1,000
ST JUDE'S CHILDREN'S RESEARCH 501 ST JUDE PLACE MEMPHIS TN 38015		501)C) 3	MEDICAL RESEARCH	1,000
Total			▶ 3a	31,000
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1	
4 Dividends and interest from securities			14	19,570	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	523000				
8 Gain or (loss) from sales of assets other than inventory			18	3,567	37,923
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		23,138	37,923

13 **Total.** Add line 12, columns (b), (d), and (e) 13 61,061

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

DAA

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Signature of officer or trustee | Date 11/7/13 | Title DIRECTOR

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
Richard A. Cash, CPA		11/01/13	
Firm's name ▶ NORDBERG HAMMACK KOLP & CASH, PS		PTIN	P01044579
Firm's address ▶ 915 118TH AVE SE, SUITE 280 BELLEVUE, WA 98005		Firm's EIN ▶	91-1857114
		Phone no	425-450-9995

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization	Employer identification number
YAO YUAN SZE FOUNDATION	91-2161365

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year ▶ $

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization YAO YUAN SZE FOUNDATION

Employer identification number 91-2161365

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	YAO YUAN SZE P O BOX 452 MERCER ISLAND WA 98040	$ 144,432	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization	Employer identification number
YAO YUAN SZE FOUNDATION	91-2161365

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	1318 SHARES ANADARKO PETROLEUM	$ 96,333	09/24/12

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	670 SHARES SCHLEMBERGER	$ 48,099	06/21/13

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Description			How Received				
Whom Sold	**Date Acquired**	**Date Sold**	**Sale Price**	**Cost**	**Expense**	**Depreciation**	**Net Gain / Loss**
SCHEDULE ATTACHED			Purchase				
	Various	Various	$ 421,917	$ 389,194	$	$	$ 32,723
SCHEDULE ATTACHED			Purchase				
	Various	Various	51,395	46,195			5,200
Total			$ 473,312	$ 435,389	$ 0	$ 0	$ 37,923

Statement 2 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
LEGAL	$ 30	$ 30	$	$
Total	$ 30	$ 30	$ 0	$ 0

Statement 3 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTANT	$ 1,740	$ 1,740	$	$
Total	$ 1,740	$ 1,740	$ 0	$ 0

CHASE WEALTH MANAGEMENT

Account YAO YUAN SZE FOUNDATION 840-25929

SCHEDULE FOR STATEMENT 1 - FORM 990-PF, PART 1 LINE 6a - SALE OF ASSETS

Liq. Date	Acq. Date	Security ID	Description	Quantity	Proceeds	Cost	ST Gain/Loss	LT Gain/Loss
02-Jul-2012	05-May-2011	WMB	WILLIAMS COMPANIES INC	18.	520 67	451.41	0 00	69 26
02-Jul-2012	31-May-2011	WMB	WILLIAMS COMPANIES INC	2	57 85	51 15	0.00	6.70
02-Jul-2012	18-Jul-2011	WMB	WILLIAMS COMPANIES INC	6	173 56	147.17	26 39	0.00
09-Jul-2012	12-Oct-2010	EMC	EMC CORP-MASS	16	379 65	322.45	0.00	57 20
09-Jul-2012	19-Oct-2010	EMC	EMC CORP-MASS	12	284.74	253 31	0 00	31.43
09-Jul-2012	21-Oct-2010	EMC	EMC CORP-MASS	2	47.46	42 78	0.00	4.68
09-Jul-2012	01-Feb-2011	EMC	EMC CORP-MASS	8.	189.83	202.63	0 00	(12 80)
09-Jul-2012	22-Aug-2011	EMC	EMC CORP-MASS	2	47.45	41.40	6 05	0 00
09-Jul-2012	27-Feb-2012	EQT	EQT CORPORATION	6	326 28	326 24	0 04	0 00
09-Jul-2012	06-Mar-2012	EQT	EQT CORPORATION	7	380.67	360 57	20 10	0 00
10-Jul-2012	22-Aug-2011	CVS	CVS CAREMARK CORPORA	1.	47 21	32.72	14.49	0 00
10-Jul-2012	06-Jan-2012	CVS	CVS CAREMARK CORPORA	15	708 11	622 96	85 15	0 00
10-Jul-2012	25-May-2012	CVS	CVS CAREMARK CORPORA	1.	47.21	45.04	2 17	0 00
11-Jul-2012	19-May-2010	YUM	YUM BRANDS INC	6.	372 78	245.33	0.00	127.45
12-Jul-2012	19-Aug-2010	CSCO	CISCO SYSTEMS INC	11	176 19	244 47	0.00	(68 28)
16-Jul-2012	11-Apr-2011	HUM	HUMANA INC	4	298.51	277.33	0.00	21.18
17-Jul-2012	26-Jan-2012	MAR	MARRIOT INTERNATIONAL (	7	252 42	244.72	7.70	0.00
19-Jul-2012	28-Apr-2011	CAM	CAMERON INTERNATIONAL	4	181 82	209 75	0.00	(27 93)
19-Jul-2012	03-May-2011	CAM	CAMERON INTERNATIONAL	5	227.27	254 89	0 00	(27 62)
19-Jul-2012	22-Aug-2011	CAM	CAMERON INTERNATIONAL	1.	45 45	45.05	0 40	0.00
19-Jul-2012	13-Feb-2012	CAM	CAMERON INTERNATIONAL	5	227 27	283 79	(56 52)	0 00
19-Jul-2012	24-Jun-2010	DD	E I DU PONT DE NEMOURS	4	195 84	147.09	0 00	48 75
19-Jul-2012	19-Aug-2010	DD	E I DU PONT DE NEMOURS	11.	538 55	445.17	0.00	93.38
30-Jul-2012	01-Feb-2011	CL	COLGATE PALMOLIVE COM	2	215 37	153 35	0 00	62 02
30-Jul-2012	11-Jul-2011	CL	COLGATE PALMOLIVE COM	4	430.73	353 48	0.00	77.25
30-Jul-2012	19-Aug-2011	CL	COLGATE PALMOLIVE COM	3.	323 05	254 67	68 38	0 00
30-Jul-2012	22-Aug-2011	CL	COLGATE PALMOLIVE COM	1.	107 68	85.26	22 42	0.00
30-Jul-2012	25-May-2012	CL	COLGATE PALMOLIVE COM	1.	107 69	99 09	8 60	0 00
30-Jul-2012	10-Jul-2012	JNJ	JOHNSON & JOHNSON	13	903 13	882 22	20 91	0.00
30-Jul-2012	02-Nov-2010	XOM	EXXON MOBIL CORP	6	524 13	408 72	0.00	115.41
06-Aug-2012	19-Aug-2010	CTSH	COGNIZANT TECHNOLOGY	3	193 80	177.57	0.00	16.23
06-Aug-2012	21-Sep-2010	CTSH	COGNIZANT TECHNOLOGY	2	129.20	126 51	0 00	2.69
06-Aug-2012	21-Oct-2010	CTSH	COGNIZANT TECHNOLOGY	1.	64 59	66 98	0 00	(2 39)

08-Aug-2012	17-Aug-2011	AZO	AUTOZONE INC	1	364 38	287 95	76 43	0 00
13-Aug-2012	26-Jan-2012	MAR	MARRIOT INTERNATIONAL (	7	258 10	244 71	13 39	0 00
13-Aug-2012	01-Mar-2012	MAR	MARRIOT INTERNATIONAL (	14	516 21	498.04	18.17	0.00
10-Sep-2012	25-Jun-2012	WAG	WALGREEN CO	24.	844 21	696 53	147 68	0.00
10-Sep-2012	02-Nov-2010	XOM	EXXON MOBIL CORP	5	448 18	340 59	0 00	107 59
11-Sep-2012	04-Aug-2011	50075N104(	KRAFT FOODS INC CL A	5.	199 25	171 55	0.00	27 70
11-Sep-2012	19-May-2010	AAPL	APPLE INC	2	1,327.22	498.14	0 00	829 08
11-Sep-2012	30-Apr-2012	ABT	ABBOTT LABORATORIES	2	134 73	124 25	10.48	0 00
11-Sep-2012	19-May-2010	ACE	***ACE LIMITED	2	150 08	106 06	0 00	44 02
11-Sep-2012	19-Aug-2010	ACE	***ACE LIMITED	2.	150 07	106 90	0 00	43.17
11-Sep-2012	06-Aug-2012	ADBE	ADOBE SYSTEMS INC	2	65 04	64 41	0 63	0 00
11-Sep-2012	22-Dec-2011	AGN	ALLERGAN INC	1	89 68	86.40	3 28	0 00
11-Sep-2012	19-Apr-2012	ALTR	ALTERA CORP	4	150 46	153 67	(3 21)	0 00
11-Sep-2012	19-Aug-2010	AMTD	TD AMERITRADE HLDG COF	3	51.98	47.17	0.00	4 81
11-Sep-2012	19-Aug-2010	AMZN	AMAZON COM INC	1	255 17	127 69	0.00	127 48
11-Sep-2012	21-Sep-2011	APC	ANADARKO PETROLEUM C(	2.	142 69	151 19	(8 50)	0 00
11-Sep-2012	19-Aug-2010	BAC	BANK OF AMERICA CORP	12	105.35	156.54	0 00	(51 19)
11-Sep-2012	21-Sep-2010	BIDU	***BAIDU INC SPONSORED /	1	108 58	91.60	0 00	16.98
11-Sep-2012	19-May-2010	BIIB	BIOGEN IDEC INC	1	151 79	50 63	0 00	101 16
11-Sep-2012	13-Aug-2012	BRCM	BROADCOM CORP CL A	3	106 83	105.01	1 82	0 00
11-Sep-2012	10-Nov-2010	C	CITIGROUP INC COM	1 4	45 46	61.86	0 00	(16 40)
11-Sep-2012	07-Dec-2010	C	CITIGROUP INC COM	4 3	139 63	196 97	0.00	(57 34)
11-Sep-2012	01-Feb-2011	C	CITIGROUP INC COM	1 3	42 22	63.54	0 00	(21 32)
11-Sep-2012	19-May-2010	CAH	CARDINAL HEALTH INC	3	114 80	102 69	0.00	12.11
11-Sep-2012	07-Jun-2011	CBS	CBS CORP CLASS B	6	219.22	160 46	0 00	58 76
11-Sep-2012	19-May-2010	CELG	CELGENE CORP	1.	73 78	60 59	0.00	13.19
11-Sep-2012	19-May-2010	CELG	CELGENE CORP	1	73 78	58.65	0 00	15 13
11-Sep-2012	24-May-2010	CELG	CELGENE CORP	1.	73.77	55 34	0.00	18 43
11-Sep-2012	19-May-2010	CMCSA	COMCAST CORP CL A	8.	274 99	140 95	0 00	134 04
11-Sep-2012	15-Feb-2012	CME	CME GROUP INC	5	292 44	290.35	2 09	0 00
11-Sep-2012	15-Mar-2011	CNP	CENTERPOINT ENERGY INC	8	167 30	125 34	0 00	41 96
11-Sep-2012	10-Aug-2011	COF	CAPITAL ONE FINANCIAL C(	1.	56 12	41 55	0.00	14.57
11-Sep-2012	12-Aug-2011	COF	CAPITAL ONE FINANCIAL C(	2	112 24	90 24	0 00	22 00
11-Sep-2012	18-Jun-2012	COST	COSTCO WHOLESALE COR	1	99 63	91.69	7 94	0.00
11-Sep-2012	19-May-2010	COV	***COVIDIEN PLC	3	171.24	131 25	0.00	39 99
11-Sep-2012	19-Aug-2010	CSCO	CISCO SYSTEMS INC	10.	191.11	222 25	0 00	(31 14)
11-Sep-2012	21-Sep-2010	CSCO	CISCO SYSTEMS INC	7.	133 77	151 48	0.00	(17 71)
11-Sep-2012	14-Mar-2012	CSDIX	**COHEN & STEERS REALT	427 228	6,015 37	5,596 69	418 68	0 00
11-Sep-2012	30-May-2012	CSX	CSX CORP	9	204 09	188.71	15 38	0.00
11-Sep-2012	01-Feb-2011	CTSH	COGNIZANT TECHNOLOGY	3	201 35	225.68	0 00	(24 33)

11-Sep-2012	28-Sep-2011	CTXS	CITRIX SYSTEMS INC	1.	79 93	57.94	21 99	0.00
11-Sep-2012	21-Sep-2010	DD	E I DU PONT DE NEMOURS	3	153 76	133.74	0 00	20 02
11-Sep-2012	21-Sep-2010	DD	E I DU PONT DE NEMOURS	1	51 25	44 58	0 00	6.67
11-Sep-2012	20-Sep-2011	EL	ESTEE LAUDER COMPANIE:	1.	60 70	51 77	8.93	0 00
11-Sep-2012	18-May-2011	EMR	EMERSON ELECTRIC CO	3	148 86	162 12	0 00	(13 26)
11-Sep-2012	05-Jul-2012	ESV	***ENSCO PLC NEW CLASS	3.	172 26	144 36	27.90	0 00
11-Sep-2012	21-Sep-2010	FCX	FREEPORT MCMORAN COP	2.	80.01	81 57	0.00	(1 56)
11-Sep-2012	21-Oct-2010	FCX	FREEPORT MCMORAN COP	2	80.01	95.14	0 00	(15 13)
11-Sep-2012	01-Feb-2011	FCX	FREEPORT MCMORAN COP	1	40 00	56 75	0 00	(16 75)
11-Sep-2012	20-Jul-2012	FLR	FLUOR CORP NEW	2	113 57	97 31	16 26	0.00
11-Sep-2012	19-May-2010	GIS	GENERAL MILLS INC	4	157 02	147.28	0 00	9 74
11-Sep-2012	19-Aug-2010	GIS	GENERAL MILLS INC	1.	39 25	35 22	0 00	4.03
11-Sep-2012	18-Nov-2010	GM	GENERAL MOTORS COMPA	1.	23 19	35 35	0 00	(12 16)
11-Sep-2012	23-Nov-2010	GM	GENERAL MOTORS COMPA	3.	69.55	100 74	0 00	(31 19)
11-Sep-2012	19-May-2010	GS	GOLDMAN SACHS GROUP I	2.	234 34	279.62	0 00	(45 28)
11-Sep-2012	29-Sep-2011	HD	HOME DEPOT INC	3	170.92	100 35	70 57	0.00
11-Sep-2012	19-May-2010	HON	HONEYWELL INTL INC	4	240 00	175 86	0.00	64 14
11-Sep-2012	11-Apr-2011	HUM	HUMANA INC	2	140.86	138 67	0 00	2 19
11-Sep-2012	10-Aug-2011	ICE	INTERCONTINENTALEXCHA	1	135 51	112 73	0 00	22 78
11-Sep-2012	22-Jul-2010	IVZ	***INVESCO LTD	5	124 38	96 29	0 00	28 09
11-Sep-2012	19-May-2010	JCI	JOHNSON CONTROLS INC	8.	225 14	230 38	0 00	(5 24)
11-Sep-2012	26-Aug-2010	JEMSX	**JPMORGAN TR I EMERGIN	146 225	3,215 49	3,128 54	0 00	86 95
11-Sep-2012	21-May-2010	JIESX	**JPMORGAN TR I INTL VAL	109 941	1,350.07	1,330 34	0 00	19 73
11-Sep-2012	01-Feb-2011	JNPR	JUNIPER NETWORKS	6.	110 75	224 74	0.00	(113 99)
11-Sep-2012	02-Jul-2012	KMI	KINDER MORGAN INC	2	71.55	65 21	6.34	0 00
11-Sep-2012	29-Sep-2011	LEN	LENNAR CORP CL A	3	99 00	41 89	57 11	0 00
11-Sep-2012	10-Apr-2012	LNKD	LINKEDIN CORPORATION C	1	118 32	99 35	18 97	0.00
11-Sep-2012	19-May-2010	LOW	LOWES COMPANIES INC	1.	28.71	24 90	0 00	3.81
11-Sep-2012	19-Aug-2010	LOW	LOWES COMPANIES INC	6	172.23	122 43	0 00	49 80
11-Sep-2012	21-Sep-2010	LOW	LOWES COMPANIES INC	2	57 41	43 35	0 00	14.06
11-Sep-2012	27-Oct-2011	LRCX	LAM RESEARCH CORP	1	34.23	43 72	(9 49)	0 00
11-Sep-2012	28-Oct-2011	LRCX	LAM RESEARCH CORP	3	102 69	132.85	(30 16)	0 00
11-Sep-2012	31-Jul-2012	MAS	MASCO CORP	7.	101.00	86 84	14 16	0 00
11-Sep-2012	19-May-2010	MET	METLIFE INC	4	141.63	162 60	0 00	(20 97)
11-Sep-2012	09-Jun-2010	MET	METLIFE INC	3	106.22	120.19	0 00	(13 97)
11-Sep-2012	19-Aug-2010	MET	METLIFE INC	1	35 40	37 93	0 00	(2 53)
11-Sep-2012	19-Jul-2012	MPC	MARATHON PETE CORP CC	3	159 73	138 00	21 73	0 00
11-Sep-2012	21-Sep-2010	MRK	MERCK & CO INC	6	266 89	219 86	0 00	47 03
11-Sep-2012	21-Oct-2010	MRK	MERCK & CO INC	2.	88 96	73 51	0.00	15 45
11-Sep-2012	19-May-2010	MSFT	MICROSOFT CORP	24	740.71	678.70	0.00	62.01

11-Sep-2012	19-Aug-2010	NKE	NIKE INC-CL B	1	99 82	71 07	0.00	28 75
11-Sep-2012	20-Sep-2011	NTAP	NETAPP INC	4	141 01	143.42	(2 41)	0 00
11-Sep-2012	13-Jul-2011	OAKMX	**OAKMARK FUND	33.547	1,642 46	1,465 18	0 00	177.28
11-Sep-2012	19-May-2010	ORCL	ORACLE CORPORATION	10	323 81	232 40	0.00	91 41
11-Sep-2012	19-Aug-2010	OXY	OCCIDENTAL PETE CORP	3	261 35	225 33	0 00	36.02
11-Sep-2012	19-May-2010	PCAR	PACCAR INC	4	166 88	168 64	0 00	(1 76)
11-Sep-2012	10-Aug-2012	PEP	PEPSICO INC	2.	143.59	144 10	(0 51)	0 00
11-Sep-2012	19-May-2010	PFE	PFIZER INC	10	241 45	158 99	0 00	82 46
11-Sep-2012	02-Jun-2010	PFE	PFIZER INC	5	120 73	77 30	0 00	43 43
11-Sep-2012	19-May-2010	PG	PROCTER & GAMBLE CO	1.	68 46	63.43	0 00	5 03
11-Sep-2012	19-Aug-2010	PG	PROCTER & GAMBLE CO	3.	205 39	180.17	0 00	25 22
11-Sep-2012	23-Feb-2012	PM	PHILIP MORRIS INTERNATI(	3	266 83	245 81	21 02	0.00
11-Sep-2012	19-May-2010	PRU	PRUDENTIAL FINANCIAL IN(	3	169.57	175 77	0 00	(6 20)
11-Sep-2012	09-Aug-2011	PXD	PIONEER NATURAL RESOU	1	104 93	72.52	0 00	32 41
11-Sep-2012	19-May-2010	QCOM	QUALCOMM INC	2	123 56	73.18	0.00	50 38
11-Sep-2012	19-Aug-2010	QCOM	QUALCOMM INC	3.	185 34	114 20	0.00	71 14
11-Sep-2012	27-Mar-2011	SLB	***SCHLUMBERGER LTD	2.	146 77	170 06	0 00	(23 29)
11-Sep-2012	13-May-2011	SLB	***SCHLUMBERGER LTD	2	146.77	167 55	0 00	(20 78)
11-Sep-2012	21-May-2010	SUIEX	**JPMORGAN TR II U S REAI	150 342	2,800 87	2,143 15	0 00	657.72
11-Sep-2012	27-May-2010	SUIEX	**JPMORGAN TR II U S REAI	5 277	98 31	75 22	0 00	23 09
11-Sep-2012	19-Aug-2010	SUIEX	**JPMORGAN TR II U S REAI	33.135	617 31	472 35	0 00	144.96
11-Sep-2012	19-May-2010	T	AT&T INC	5	188 62	128 09	0 00	60.53
11-Sep-2012	30-Jul-2012	TWC	TIME WARNER CABLE INC	1	91.77	85 13	6.64	0 00
11-Sep-2012	24-Jun-2010	TWX	TIME WARNER INC	4	172 03	124 76	0.00	47 27
11-Sep-2012	28-Jul-2010	TWX	TIME WARNER INC	3.	129 02	94 28	0 00	34 74
11-Sep-2012	19-Aug-2010	TWX	TIME WARNER INC	2	86.01	61 42	0.00	24 59
11-Sep-2012	02-Jun-2010	TYC	***TYCO INTERNATIONAL LT	3.	167 70	108 14	0 00	59 56
11-Sep-2012	19-Aug-2010	TYC	***TYCO INTERNATIONAL LT	1	55 90	36 71	0.00	19 19
11-Sep-2012	02-Dec-2010	UNH	UNITEDHEALTH GROUP INC	4	213 55	152.00	0 00	61 55
11-Sep-2012	19-May-2010	UTX	UNITED TECHNOLOGIES CC	5	394 82	346.69	0 00	48 13
11-Sep-2012	16-Dec-2011	VRTX	VERTEX PHARMACEUTICAL	1	55 89	33 81	22 08	0 00
11-Sep-2012	19-May-2010	VZ	VERIZON COMMUNICATION	5	221.33	134 20	0 00	87 13
11-Sep-2012	19-May-2010	WFC	WELLS FARGO & CO	17	585 03	513 37	0 00	71 66
11-Sep-2012	03-Feb-2012	WLT	WALTER ENERGY INC NEW	2.	71 14	152.01	(80 87)	0 00
11-Sep-2012	18-Jul-2011	WMB	WILLIAMS COMPANIES INC	3	101 29	73 59	0 00	27 70
11-Sep-2012	02-Nov-2010	XOM	EXXON MOBIL CORP	4.	359.18	272 48	0 00	86 70
11-Sep-2012	04-Nov-2010	XOM	EXXON MOBIL CORP	1	89 80	69 41	0 00	20 39
11-Sep-2012	19-May-2010	YUM	YUM BRANDS INC	5	335 89	204 44	0 00	131 45
14-Sep-2012	04-Nov-2010	XOM	EXXON MOBIL CORP	2	184 58	138 82	0 00	45.76
14-Sep-2012	28-Jan-2011	XOM	EXXON MOBIL CORP	2	184 58	158 61	0 00	25.97

14-Sep-2012	01-Feb-2011	XOM	EXXON MOBIL CORP	1.	92.29	83.65	0 00	8 64
19-Sep-2012	16-Jun-2010	AAPL	APPLE INC	1	701 39	266 17	0 00	435.22
20-Sep-2012	11-Oct-2001	APC	ANADARKO PETROLEUM C(	1,300	93,185 30	95,017.00	0 00	(1,831 70)
20-Sep-2012	23-Sep-2009	APC	ANADARKO PETROLEUM C(	2 893	207 37	211.45	0 00	(4.08)
20-Sep-2012	23-Dec-2009	APC	ANADARKO PETROLEUM C(	2.901	207.95	212 03	0 00	(4 08)
20-Sep-2012	24-Mar-2010	APC	ANADARKO PETROLEUM C(	2 528	181.21	184 77	0 00	(3 56)
20-Sep-2012	23-Jun-2010	APC	ANADARKO PETROLEUM C(	4 332	310 52	316 63	0 00	(6.11)
20-Sep-2012	22-Sep-2010	APC	ANADARKO PETROLEUM C(	2 346	168 16	171 47	0 00	(3.31)
25-Sep-2012	04-Aug-2011	50075N104(	KRAFT FOODS INC CL A	1	41 61	34 31	0.00	7.30
25-Sep-2012	19-Aug-2010	AAPL	APPLE INC	1	683 44	250 10	0.00	433 34
25-Sep-2012	30-Apr-2012	ABT	ABBOTT LABORATORIES	1	69 73	62.12	7 61	0.00
25-Sep-2012	06-Aug-2012	ADBE	ADOBE SYSTEMS INC	1	33.18	32 20	0 98	0 00
25-Sep-2012	19-Apr-2012	ALTR	ALTERA CORP	1	35 51	38 42	(2 91)	0 00
25-Sep-2012	19-Aug-2010	AMTD	TD AMERITRADE HLDG COF	1	15 80	15 72	0.00	0 08
25-Sep-2012	21-Sep-2010	AMZN	AMAZON.COM INC	1	253.91	152 53	0 00	101 38
25-Sep-2012	22-Sep-2010	APC	ANADARKO PETROLEUM C(	0 992	69 31	72 51	0.00	(3 20)
25-Sep-2012	22-Dec-2010	APC	ANADARKO PETROLEUM C(	0.008	0 56	0.58	0 00	(0 02)
25-Sep-2012	13-Jul-2011	AXBIX	**AMERICAN CENTURY INTE	177 803	2,083.85	1,995 47	0 00	88.38
25-Sep-2012	19-Aug-2010	BAC	BANK OF AMERICA CORP	3	27.00	39 14	0 00	(12 14)
25-Sep-2012	21-Sep-2010	BIDU	***BAIDU INC SPONSORED /	1.	113 84	91 60	0.00	22 24
25-Sep-2012	01-Feb-2011	C	CITIGROUP INC COM	2	66 63	97 76	0 00	(31 13)
25-Sep-2012	19-May-2010	CAH	CARDINAL HEALTH INC	1	38.95	34 23	0.00	4 72
25-Sep-2012	07-Jun-2011	CBS	CBS CORP CLASS B	1	36 48	26.74	0 00	9 74
25-Sep-2012	11-Sep-2012	CCL	***CARNIVAL CORP COMMC	1.	37 36	36 99	0.37	0.00
25-Sep-2012	27-May-2010	CELG	CELGENE CORP	1	76 53	61.24	0 00	15 29
25-Sep-2012	02-May-2012	CFN	CAREFUSION CORP	1.	28.24	26 13	2.11	0 00
25-Sep-2012	19-May-2010	CMCSA	COMCAST CORP CL A	2	72 40	35 23	0 00	37.17
25-Sep-2012	15-Feb-2012	CME	CME GROUP INC	1	57.20	58 07	(0 87)	0 00
25-Sep-2012	15-Mar-2011	CNP	CENTERPOINT ENERGY INC	2.	42.76	31 33	0.00	11 43
25-Sep-2012	12-Aug-2011	COF	CAPITAL ONE FINANCIAL C(	1	56 55	45 12	0 00	11 43
25-Sep-2012	21-Sep-2010	CSCO	CISCO SYSTEMS INC	2.	37 54	43 28	0 00	(5 74)
25-Sep-2012	21-Oct-2010	CSCO	CISCO SYSTEMS INC	2	37 53	46 29	0.00	(8 76)
25-Sep-2012	30-May-2012	CSX	CSX CORP	1.	21 27	20.97	0.30	0.00
25-Sep-2012	11-Aug-2011	CTSH	COGNIZANT TECHNOLOGY	1	68.14	61.92	0 00	6.22
25-Sep-2012	21-Sep-2010	DD	E I DU PONT DE NEMOURS	2	101 49	89.15	0.00	12.34
25-Sep-2012	20-Oct-2010	EOG	EOG RES INC	1	113 20	100 28	0.00	12 92
25-Sep-2012	01-Feb-2011	FCX	FREEPORT MCMORAN COP	2	79 41	113 50	0 00	(34 09)
25-Sep-2012	19-Aug-2010	GIS	GENERAL MILLS INC	1	40 14	35.22	0.00	4.92
25-Sep-2012	23-Nov-2010	GM	GENERAL MOTORS COMPA	3	71.33	100 74	0 00	(29 41)
25-Sep-2012	19-May-2010	GS	GOLDMAN SACHS GROUP I	1	115 54	139.81	0.00	(24 27)

25-Sep-2012	29-Sep-2011	HD	HOME DEPOT INC	1	59.99	33.45	26 54	0 00
25-Sep-2012	19-May-2010	HON	HONEYWELL INTL INC	1.	59 60	43.97	0 00	15 63
25-Sep-2012	22-Jul-2010	IVZ	***INVESCO LTD	2	50 15	38 52	0 00	11 63
25-Sep-2012	19-May-2010	JCI	JOHNSON CONTROLS INC	1.	27.66	28.80	0 00	(1 14)
25-Sep-2012	21-May-2010	JIESX	**JPMORGAN TR I INTL VAL	72 136	893 04	876 09	0 00	16.95
25-Sep-2012	01-Feb-2011	JNPR	JUNIPER NETWORKS	1	18 03	37.46	0.00	(19 43)
25-Sep-2012	29-Sep-2011	LEN	LENNAR CORP CL A	1.	36 65	13 96	22.69	0.00
25-Sep-2012	21-Sep-2010	LOW	LOWES COMPANIES INC	1	29 99	21 67	0 00	8.32
25-Sep-2012	21-Oct-2010	LOW	LOWES COMPANIES INC	1	29 99	21.92	0 00	8 07
25-Sep-2012	28-Jan-2011	LOW	LOWES COMPANIES INC	3	89 99	77 62	0 00	12.37
25-Sep-2012	28-Oct-2011	LRCX	LAM RESEARCH CORP	1.	32.12	44 29	(12 17)	0 00
25-Sep-2012	31-Jul-2012	MAS	MASCO CORP	2	31 35	24 81	6 54	0 00
25-Sep-2012	19-Jul-2012	MPC	MARATHON PETE CORP CC	1	54 08	46 00	8 08	0 00
25-Sep-2012	21-Oct-2010	MRK	MERCK & CO INC	2	90.77	73 50	0.00	17.27
25-Sep-2012	19-May-2010	MSFT	MICROSOFT CORP	8	245 55	226 23	0 00	19 32
25-Sep-2012	21-Sep-2010	NKE	NIKE INC-CL B	1	95.65	77 56	0.00	18 09
25-Sep-2012	01-Feb-2011	NKE	NIKE INC-CL B	3.	286 94	250 05	0 00	36.89
25-Sep-2012	01-Apr-2011	NKE	NIKE INC-CL B	3	286 94	230 37	0 00	56.57
25-Sep-2012	22-Aug-2011	NKE	NIKE INC-CL B	1.	95 65	79 69	0 00	15 96
25-Sep-2012	13-Jul-2011	OAKMX	**OAKMARK FUND	32 841	1,608 90	1,459 75	0 00	149 15
25-Sep-2012	19-May-2010	ORCL	ORACLE CORPORATION	2	62 88	46 48	0.00	16 40
25-Sep-2012	01-Feb-2011	PATAX	**ROWE PRICE TAX FREE IN	112 022	1,181 83	1,124.61	0 00	57 22
25-Sep-2012	19-May-2010	PCAR	PACCAR INC	1	40 82	42 16	0 00	(1 34)
25-Sep-2012	10-Jun-2010	PFE	PFIZER INC	1	24.96	14 90	0 00	10 06
25-Sep-2012	19-Aug-2010	PFE	PFIZER INC	4.	99 85	64 24	0 00	35 61
25-Sep-2012	19-Aug-2010	PG	PROCTER & GAMBLE CO	1	69 76	60.06	0.00	9 70
25-Sep-2012	19-May-2010	PRU	PRUDENTIAL FINANCIAL INC	1.	55.96	58.59	0 00	(2 63)
25-Sep-2012	09-Aug-2011	PXD	PIONEER NATURAL RESOU	1	104 24	72 51	0 00	31 73
25-Sep-2012	19-Aug-2010	QCOM	QUALCOMM INC	2	126 49	76 14	0.00	50 35
25-Sep-2012	19-May-2010	T	AT&T INC	1	38 20	25 62	0.00	12.58
25-Sep-2012	21-May-2010	TGVIX	**THORNBURG INTL VALUE	38 22	1,031 93	967.33	0 00	64.60
25-Sep-2012	19-Aug-2010	TWX	TIME WARNER INC	2	90 90	61 41	0 00	29 49
25-Sep-2012	19-Aug-2010	TYC	***TYCO INTERNATIONAL LT	1	55 39	36 71	0 00	18 68
25-Sep-2012	02-Dec-2010	UNH	UNITEDHEALTH GROUP INC	1.	56 33	38.00	0 00	18 33
25-Sep-2012	19-May-2010	UTX	UNITED TECHNOLOGIES CC	1.	79 13	69 34	0.00	9 79
25-Sep-2012	21-May-2010	VSITX	**JPMORGAN TR I INTER TA	182 96	2,083 92	2,012.57	0.00	71.35
25-Sep-2012	19-May-2010	VZ	VERIZON COMMUNICATION	1	45 82	26.84	0.00	18 98
25-Sep-2012	19-Aug-2010	VZ	VERIZON COMMUNICATION	1	45 81	29 59	0 00	16 22
25-Sep-2012	19-May-2010	WFC	WELLS FARGO & CO	2	70 19	60.40	0 00	9.79
25-Sep-2012	19-May-2010	WFC	WELLS FARGO & CO	2.	70 18	59 15	0.00	11 03

25-Sep-2012	18-Jul-2011	WMB	WILLIAMS COMPANIES INC	1	34 93	24 53	0.00	10 40
25-Sep-2012	01-Feb-2011	XOM	EXXON MOBIL CORP	1.	91 93	83 65	0 00	8 28
25-Sep-2012	19-May-2010	YUM	YUM BRANDS INC	1	67 39	40 89	0 00	26 50
27-Sep-2012	07-Jun-2011	CBS	CBS CORP CLASS B	7	252 54	187 21	0 00	65.33
27-Sep-2012	06-Jul-2011	CBS	CBS CORP CLASS B	9	324 70	256 25	0 00	68 45
27-Sep-2012	25-Jul-2011	CBS	CBS CORP CLASS B	15	541 16	438 75	0.00	102 41
27-Sep-2012	22-Aug-2011	CBS	CBS CORP CLASS B	1.	36 08	22.74	0 00	13.34
27-Sep-2012	06-Oct-2011	CBS	CBS CORP CLASS B	12	432 93	257 60	175.33	0.00
27-Sep-2012	25-May-2012	CBS	CBS CORP CLASS B	2	72.15	63 18	8 97	0.00
27-Sep-2012	24-Sep-2012	CBS	CBS CORP CLASS B	6	216 47	223 56	(7 09)	0.00
02-Oct-2012	04-Aug-2011	50075N104(	KRAFT FOODS INC CL A	7.	240.18	240 18	0 00	0 00
02-Oct-2012	16-Aug-2011	50075N104(	KRAFT FOODS INC CL A	11.	375 34	375 34	0.00	0 00
02-Oct-2012	19-Aug-2011	50075N104(	KRAFT FOODS INC CL A	7.	235.85	235 85	0 00	0.00
02-Oct-2012	22-Aug-2011	50075N104(	KRAFT FOODS INC CL A	1	33 65	33 65	0.00	0.00
02-Oct-2012	12-Sep-2011	50075N104(	KRAFT FOODS INC CL A	9	307 57	307.57	0.00	0.00
02-Oct-2012	19-Sep-2011	50075N104(	KRAFT FOODS INC CL A	8	278 10	278 10	0 00	0 00
02-Oct-2012	25-May-2012	50075N104(	KRAFT FOODS INC CL A	1.	38.68	38 68	0.00	0 00
02-Oct-2012	24-Sep-2012	50075N104(	KRAFT FOODS INC CL A	5	208 03	208 03	0 00	0 00
11-Oct-2012	21-Sep-2010	ACE	***ACE LIMITED	1	77 88	58 63	0 00	19.25
11-Oct-2012	01-Feb-2011	ACTDX	**INVESCO HIGH YIELD MUI	190 295	1,921 98	1,753.00	0 00	168 98
11-Oct-2012	19-Aug-2010	ADT	ADT CORPORATION COM	1	36.10	11 93	0 00	24 17
11-Oct-2012	21-Oct-2010	ADT	ADT CORPORATION COM	1	36.10	12 41	0 00	23.69
11-Oct-2012	10-Nov-2010	ADT	ADT CORPORATION COM	3	108 32	88 83	0 00	19 49
11-Oct-2012	13-Jan-2011	ADT	ADT CORPORATION COM	2	72 21	72 76	0 00	(0 55)
11-Oct-2012	01-Feb-2011	ADT	ADT CORPORATION COM	3	108 25	88 75	0.00	19.50
11-Oct-2012	22-Aug-2011	ADT	ADT CORPORATION COM	1	36 10	24 67	0.00	11 43
11-Oct-2012	25-May-2012	ADT	ADT CORPORATION COM	1.	36.10	17 89	18.21	0 00
11-Oct-2012	18-Jun-2012	ADT	ADT CORPORATION COM	2.	72 21	86 83	(14 62)	0.00
11-Oct-2012	24-Sep-2012	ADT	ADT CORPORATION COM	2	72 21	91 03	(18 82)	0 00
11-Oct-2012	21-Sep-2010	ADT	ADT CORPORATION COM	1	36 20	12 68	0 00	23 52
11-Oct-2012	19-Apr-2012	ALTR	ALTERA CORP	2	64 14	76.84	(12 70)	0 00
11-Oct-2012	19-Aug-2010	AMTD	TD AMERITRADE HLDG COF	2	31 91	31 44	0 00	0 47
11-Oct-2012	13-Jul-2011	AXBIX	**AMERICAN CENTURY INTE	238 288	2,802.27	2,674 29	0.00	127 98
11-Oct-2012	19-Aug-2010	BAC	BANK OF AMERICA CORP	1	9 30	13 04	0 00	(3 74)
11-Oct-2012	21-Sep-2010	BAC	BANK OF AMERICA CORP	3	27.90	41 42	0 00	(13 52)
11-Oct-2012	13-Aug-2012	BRCM	BROADCOM CORP CL A	1	32 90	35 01	(2 11)	0 00
11-Oct-2012	01-Feb-2011	C	CITIGROUP INC COM	2.	70 77	97 76	0 00	(26 99)
11-Oct-2012	19-May-2010	CAH	CARDINAL HEALTH INC	1	40 94	34 23	0 00	6.71
11-Oct-2012	11-Sep-2012	CCL	***CARNIVAL CORP COMMC	1	37 05	36 99	0 06	0.00
11-Oct-2012	02-May-2012	CFN	CAREFUSION CORP	1	28 14	26.13	2.01	0 00

11-Oct-2012	19-May-2010	CMCSA	COMCAST CORP CL A	1	35 27	17 62	0.00	17 65
11-Oct-2012	19-Aug-2010	CMCSA	COMCAST CORP CL A	1	35.27	17 78	0 00	17.49
11-Oct-2012	15-Mar-2011	CNP	CENTERPOINT ENERGY INC	1	21 15	15 67	0.00	5 48
11-Oct-2012	12-Aug-2011	COF	CAPITAL ONE FINANCIAL C(	1	58 61	45 11	0.00	13 50
11-Oct-2012	19-Aug-2010	COV	***COVIDIEN PLC	1.	57.70	38 35	0 00	19 35
11-Oct-2012	21-Oct-2010	CSCO	CISCO SYSTEMS INC	1.	18 23	23.14	0 00	(4 91)
11-Oct-2012	14-Mar-2012	CSDIX	**COHEN & STEERS REALT'	36.981	505 16	488 82	16 34	0 00
11-Oct-2012	30-May-2012	CSX	CSX CORP	2.	42 46	41.94	0 52	0.00
11-Oct-2012	24-Mar-2011	DEMIX	**DELAWARE GROUP EMEF	77 922	1,055 84	1,235 25	0.00	(179 41)
11-Oct-2012	20-Sep-2011	EL	ESTEE LAUDER COMPANIE:	1	63 05	51 77	0.00	11.28
11-Oct-2012	18-May-2011	EMR	EMERSON ELECTRIC CO	2	96 77	108.08	0 00	(11 31)
11-Oct-2012	05-Jul-2012	ESV	***ENSCO PLC NEW CLASS	1.	55 21	48.12	7 09	0.00
11-Oct-2012	01-Feb-2011	FCX	FREEPORT MCMORAN COP	2	81 75	113 49	0 00	(31 74)
11-Oct-2012	20-Jul-2012	FLR	FLUOR CORP NEW	1	56 64	48 65	7.99	0.00
11-Oct-2012	19-Aug-2010	GIS	GENERAL MILLS INC	1	39 57	35.21	0.00	4 36
11-Oct-2012	02-Dec-2010	GM	GENERAL MOTORS COMPA	3	74.13	103 86	0 00	(29 73)
11-Oct-2012	19-Aug-2010	HON	HONEYWELL INTL INC	1.	60 39	40 78	0 00	19 61
11-Oct-2012	10-Aug-2011	ICE	INTERCONTINENTALEXCHA	1	129 10	112 73	0 00	16 37
11-Oct-2012	22-Jul-2010	IVZ	***INVESCO LTD	1	24 92	19 26	0.00	5.66
11-Oct-2012	19-May-2010	JCI	JOHNSON CONTROLS INC	3	78 39	86 39	0 00	(8 00)
11-Oct-2012	26-Aug-2010	JEMSX	**JPMORGAN TR I EMERGIN	41 976	938 59	903 35	0 00	35 24
11-Oct-2012	01-Feb-2011	JNPR	JUNIPER NETWORKS	1	16.40	37.46	0 00	(21 06)
11-Oct-2012	10-Apr-2012	LNKD	LINKEDIN CORPORATION C	1.	114 16	99.35	14.81	0.00
11-Oct-2012	28-Oct-2011	LRCX	LAM RESEARCH CORP	1	32 57	44 28	(11 71)	0 00
11-Oct-2012	31-Jul-2012	MAS	MASCO CORP	1	14 34	12 41	1 93	0 00
11-Oct-2012	04-Aug-2011	MDLZ	MONDELEZ INTERNATIONAI	1	27 29	22 27	0 00	5 02
11-Oct-2012	19-Aug-2010	MET	METLIFE INC	3	106 37	113 78	0.00	(7 41)
11-Oct-2012	13-Jul-2011	MMHYX	**MFS MUNICIPAL HIGH INC	235.262	1,940 91	1,793 25	0 00	147.66
11-Oct-2012	01-Feb-2011	MRK	MERCK & CO INC	1	45.40	33.85	0 00	11.55
11-Oct-2012	19-May-2010	MSFT	MICROSOFT CORP	4	115 62	113 12	0 00	2 50
11-Oct-2012	20-Sep-2011	NTAP	NETAPP INC	1	29 15	35 86	0 00	(6 71)
11-Oct-2012	19-May-2010	ORCL	ORACLE CORPORATION	2.	61.48	46 48	0 00	15 00
11-Oct-2012	19-Aug-2010	ORCL	ORACLE CORPORATION	2	61 47	45.98	0 00	15.49
11-Oct-2012	19-Aug-2010	OXY	OCCIDENTAL PETE CORP	2	165 99	150 22	0 00	15 77
11-Oct-2012	01-Feb-2011	PATAX	**ROWE PRICE TAX FREE II	144 161	1,528 11	1,447.26	0 00	80 85
11-Oct-2012	19-May-2010	PCAR	PACCAR INC	1	39 94	42 16	0 00	(2 22)
11-Oct-2012	10-Aug-2012	PEP	PEPSICO INC	1	69 99	72 05	(2 06)	0 00
11-Oct-2012	19-Aug-2010	PG	PROCTER & GAMBLE CO	1	68 26	60.06	0 00	8 20
11-Oct-2012	23-Feb-2012	PM	PHILIP MORRIS INTERNATI(	1	90 93	81.94	8 99	0.00
11-Oct-2012	19-May-2010	PRU	PRUDENTIAL FINANCIAL IN(	1	56 68	58.59	0.00	(1 91)

11-Oct-2012	19-Aug-2010	QCOM	QUALCOMM INC	1.	59.27	38.07	0 00	21.20
11-Oct-2012	13-May-2011	SLB	***SCHLUMBERGER LTD	2	144 94	167 55	0 00	(22 61)
11-Oct-2012	19-Aug-2010	SUIEX	**JPMORGAN TR II U S REAI	37 343	671 80	555 73	0 00	116 07
11-Oct-2012	19-Aug-2010	TYC	***TYCO INTERNATIONAL LT	1	27 64	18 25	0.00	9 39
11-Oct-2012	02-Dec-2010	UNH	UNITEDHEALTH GROUP INC	1	57.63	38 00	0 00	19 63
11-Oct-2012	19-May-2010	UTX	UNITED TECHNOLOGIES CC	2.	152.45	138 68	0.00	13 77
11-Oct-2012	12-Sep-2012	VNQ	VANGUARD SECTOR INDEX	9	586 00	603 80	(17 80)	0 00
11-Oct-2012	16-Dec-2011	VRTX	VERTEX PHARMACEUTICAL	1.	57 08	33 81	23 27	0.00
11-Oct-2012	21-May-2010	VSITX	**JPMORGAN TR I INTER TA	240 898	2,751.05	2,649.89	0.00	101.16
11-Oct-2012	19-May-2010	WFC	WELLS FARGO & CO	2.	70 26	59 15	0.00	11.11
11-Oct-2012	03-Feb-2012	WLT	WALTER ENERGY INC NEW	2	73 49	152 01	(78 52)	0 00
11-Oct-2012	01-Feb-2011	XOM	EXXON MOBIL CORP	1	91 30	83.65	0 00	7 65
17-Oct-2012	04-Aug-2011	KRFT	KRAFT FOODS GROUP INC	2	93 77	84 28	0 00	9 49
17-Oct-2012	16-Aug-2011	KRFT	KRAFT FOODS GROUP INC	3.	140 65	131 71	0 00	8 94
17-Oct-2012	19-Aug-2011	KRFT	KRAFT FOODS GROUP INC	2.	93.77	82.76	0 00	11 01
17-Oct-2012	22-Aug-2001	KRFT	KRAFT FOODS GROUP INC	1.	46 88	11 81	0 00	35 07
17-Oct-2012	12-Sep-2011	KRFT	KRAFT FOODS GROUP INC	3	140 65	107 93	0 00	32 72
17-Oct-2012	19-Sep-2011	KRFT	KRAFT FOODS GROUP INC	3	140 65	97 59	0 00	43 06
17-Oct-2012	25-May-2012	KRFT	KRAFT FOODS GROUP INC	1	46 88	13 57	33 31	0 00
17-Oct-2012	24-Sep-2012	KRFT	KRAFT FOODS GROUP INC	1	46 89	73 00	(26 11)	0 00
18-Oct-2012	30-Apr-2012	ABT	ABBOTT LABORATORIES	11.	723 61	683 36	40 25	0 00
18-Oct-2012	25-May-2012	ABT	ABBOTT LABORATORIES	1	65 78	62 53	3 25	0 00
18-Oct-2012	24-Sep-2012	ABT	ABBOTT LABORATORIES	9	592 04	627 72	(35 68)	0 00
18-Oct-2012	19-Jul-2012	MPC	MARATHON PETE CORP CC	6	330.36	276.00	54 36	0 00
18-Oct-2012	01-Aug-2012	MPC	MARATHON PETE CORP CC	13.	715 77	612 21	103 56	0.00
18-Oct-2012	24-Sep-2012	MPC	MARATHON PETE CORP CC	2.	110 12	107.14	2.98	0.00
19-Oct-2012	21-Sep-2010	DD	E I DU PONT DE NEMOURS	3	148 25	133 72	0.00	14 53
19-Oct-2012	21-Oct-2010	DD	E I DU PONT DE NEMOURS	3	148 25	141 02	0 00	7 23
19-Oct-2012	01-Feb-2011	DD	E I DU PONT DE NEMOURS	6	296 51	308 70	0 00	(12 19)
23-Oct-2012	15-Mar-2011	CNP	CENTERPOINT ENERGY INC	32	670 54	501.35	0 00	169 19
23-Oct-2012	18-Jul-2011	CNP	CENTERPOINT ENERGY INC	3	62 86	57 70	0 00	5 16
24-Oct-2012	02-Dec-2011	COF	CAPITAL ONE FINANCIAL C(	15	901 81	684 29	217 52	0 00
24-Oct-2012	25-May-2012	COF	CAPITAL ONE FINANCIAL C(	2	120 24	103.02	17 22	0 00
24-Oct-2012	24-Sep-2012	COF	CAPITAL ONE FINANCIAL C(	3	180 36	172 71	7 65	0 00
26-Oct-2012	28-Jan-2011	LOW	LOWES COMPANIES INC	5	156 80	129 36	0.00	27.44
26-Oct-2012	01-Feb-2011	LOW	LOWES COMPANIES INC	9	282 24	225 07	0 00	57 17
26-Oct-2012	04-Aug-2011	LOW	LOWES COMPANIES INC	8	250 88	161.94	0 00	88.94
05-Nov-2012	09-Aug-2011	PXD	PIONEER NATURAL RESOU	2.	214.01	145 03	0 00	68.98
05-Nov-2012	19-May-2010	T	AT&T INC	3	103 98	76 86	0.00	27 12
05-Nov-2012	19-Aug-2010	T	AT&T INC	3	103 98	80 73	0.00	23.25

06-Nov-2012	20-Sep-2011	EL	ESTEE LAUDER COMPANIE:	5.	298 24	258 87	0 00	39.37
06-Nov-2012	27-Oct-2011	EL	ESTEE LAUDER COMPANIE:	4.	238 59	203 90	0 00	34.69
06-Nov-2012	28-Oct-2011	EL	ESTEE LAUDER COMPANIE:	2	119 30	101 11	0.00	18.19
06-Nov-2012	24-Sep-2012	EL	ESTEE LAUDER COMPANIE:	1	59 64	61.69	(2 05)	0 00
06-Nov-2012	21-Oct-2010	EOG	EOG RES INC	1	122 06	98 38	0.00	23.68
06-Nov-2012	01-Feb-2011	EOG	EOG RES INC	1	122 05	107 29	0.00	14 76
07-Nov-2012	19-Apr-2012	ALTR	ALTERA CORP	18	555.11	691 52	(136 41)	0.00
07-Nov-2012	21-Oct-2010	COV	***COVIDIEN PLC	1	54.56	39 89	0 00	14.67
07-Nov-2012	19-Aug-2010	COV	***COVIDIEN PLC	2	109 13	76.70	0.00	32.43
07-Nov-2012	21-Sep-2010	COV	***COVIDIEN PLC	2.	109.13	78.29	0.00	30 84
09-Nov-2012	18-Jul-2011	CNP	CENTERPOINT ENERGY INC	17	344 25	326.94	0.00	17 31
09-Nov-2012	10-Aug-2011	CNP	CENTERPOINT ENERGY INC	1	20 25	18 45	0.00	1 80
09-Nov-2012	10-Aug-2011	CNP	CENTERPOINT ENERGY INC	7.	141 75	129 13	0.00	12.62
09-Nov-2012	22-Aug-2011	CNP	CENTERPOINT ENERGY INC	4	81 00	74.92	0.00	6 08
09-Nov-2012	24-Sep-2012	CNP	CENTERPOINT ENERGY INC	8	161 99	170 16	(8 17)	0.00
09-Nov-2012	19-Aug-2010	GS	GOLDMAN SACHS GROUP I	2.	233.89	294 80	0 00	(60 91)
09-Nov-2012	21-Sep-2010	GS	GOLDMAN SACHS GROUP I	1	116 94	152 47	0 00	(35 53)
09-Nov-2012	21-Oct-2010	GS	GOLDMAN SACHS GROUP I	1	116 94	158.98	0 00	(42 04)
19-Nov-2012	19-May-2010	JCI	JOHNSON CONTROLS INC	3	77.52	86.39	0 00	(8 87)
19-Nov-2012	29-Jul-2010	JCI	JOHNSON CONTROLS INC	3	77 52	87.29	0 00	(9 77)
19-Nov-2012	09-Aug-2010	JCI	JOHNSON CONTROLS INC	5	129 20	150 62	0 00	(21 42)
19-Nov-2012	19-Aug-2010	JCI	JOHNSON CONTROLS INC	10	258.39	283.70	0 00	(25 31)
20-Nov-2012	05-Jan-2012	LRCX	LAM RESEARCH CORP	7	241.01	261 72	(20 71)	0 00
20-Nov-2012	09-Jul-2012	LRCX	LAM RESEARCH CORP	10.	344 30	352 57	(8 27)	0 00
20-Nov-2012	24-Sep-2012	LRCX	LAM RESEARCH CORP	3	103 29	97.56	5 73	0 00
29-Nov-2012	31-Oct-2012	N07059186	***ASML HOLDING N V NEW	10	549 87	549 87	0.00	0 00
29-Nov-2012	16-Nov-2012	N07059186	***ASML HOLDING N V NEW	6.	328 58	328 58	0.00	0 00
03-Dec-2012	31-Oct-2012	N07ESC89	***ASML HOLDINGS N V ESC	10	118 62	126.48	(7 86)	0 00
03-Dec-2012	16-Nov-2012	N07ESC89	***ASML HOLDINGS N V ESC	6	71.17	75.58	(4 41)	0.00
05-Dec-2012	01-Feb-2011	DD	E I DU PONT DE NEMOURS	6	255 56	308 69	0 00	(53 13)
05-Dec-2012	08-Aug-2011	DD	E I DU PONT DE NEMOURS	7.	298.15	319 21	0.00	(21 06)
05-Dec-2012	09-Aug-2011	DD	E I DU PONT DE NEMOURS	4	170 37	181 81	0 00	(11 44)
05-Dec-2012	22-Aug-2011	DD	E I DU PONT DE NEMOURS	3	127 78	132.29	0 00	(4 51)
05-Dec-2012	25-May-2012	DD	E I DU PONT DE NEMOURS	1	42 59	48.41	(5 82)	0.00
05-Dec-2012	24-Sep-2012	DD	E I DU PONT DE NEMOURS	5	212 96	256.95	(43 99)	0.00
06-Dec-2012	30-May-2012	CSX	CSX CORP	14	281 41	293 55	(12 14)	0 00
07-Dec-2012	01-Feb-2011	FCX	FREEPORT MCMORAN COP	5	160.19	283 74	0.00	(123 55)
07-Dec-2012	24-Mar-2011	FCX	FREEPORT MCMORAN COP	1	32 04	54.30	0 00	(22 26)
07-Dec-2012	08-Nov-2011	FCX	FREEPORT MCMORAN COP	6	192 23	251.16	0.00	(58 93)
07-Dec-2012	19-Jan-2012	FCX	FREEPORT MCMORAN COP	10	320 38	445 96	(125 58)	0 00

07-Dec-2012	26-Jan-2012	FCX	FREEPORT MCMORAN COP	5	160.18	235 53	(75 35)	0 00
17-Dec-2012	19-Apr-2012	ALTR	ALTERA CORP	14	464 47	537 85	(73 38)	0.00
17-Dec-2012	24-Sep-2012	ALTR	ALTERA CORP	5.	165 88	180.35	(14 47)	0.00
17-Dec-2012	19-Aug-2010	T	AT&T INC	3	102 15	80.73	0 00	21 42
17-Dec-2012	21-Sep-2010	T	AT&T INC	3	102 15	85 77	0 00	16 38
17-Dec-2012	21-Oct-2010	T	AT&T INC	2	68 10	56 55	0 00	11 55
17-Dec-2012	01-Feb-2011	T	AT&T INC	7	238.35	194 66	0 00	43 69
17-Dec-2012	11-Jul-2011	T	AT&T INC	1	34 04	30 76	0 00	3.28
19-Dec-2012	10-Aug-2011	ICE	INTERCONTINENTALEXCHA	1	127 84	112.72	0 00	15 12
19-Dec-2012	22-Aug-2011	ICE	INTERCONTINENTALEXCHA	1.	127.84	107 36	0 00	20.48
19-Dec-2012	24-Jan-2012	ICE	INTERCONTINENTALEXCHA	2	255 68	236 61	19 07	0 00
19-Dec-2012	24-Sep-2012	ICE	INTERCONTINENTALEXCHA	1	127 84	137.95	(10 11)	0.00
27-Dec-2012	11-Sep-2012	CCL	***CARNIVAL CORP COMMC	15	544 26	554.84	(10 58)	0.00
27-Dec-2012	01-Oct-2012	CCL	***CARNIVAL CORP COMMC	9	326 55	326 29	0 26	0 00
27-Dec-2012	11-Jul-2011	T	AT&T INC	10	334 52	307 61	0 00	26.91
27-Dec-2012	12-Jul-2011	T	AT&T INC	10.	334 52	309.02	0 00	25 50
27-Dec-2012	22-Aug-2011	T	AT&T INC	2	66.90	56 95	0 00	9 95
27-Dec-2012	24-Sep-2012	T	AT&T INC	5	167 26	191 45	(24 19)	0 00
10-Jan-2013	29-Sep-2011	LEN	LENNAR CORP CL A	9	368 79	125 66	0 00	243 13
10-Jan-2013	20-Oct-2011	LEN	LENNAR CORP CL A	4	163 91	63 90	0 00	100.01
16-Jan-2013	10-Apr-2012	LNKD	LINKEDIN CORPORATION C	5	590 55	496 75	93 80	0 00
16-Jan-2013	24-Sep-2012	LNKD	LINKEDIN CORPORATION C	1	118 11	123.55	(5 44)	0.00
16-Jan-2013	19-Aug-2010	PG	PROCTER & GAMBLE CO	4	277 33	240 23	0 00	37.10
16-Jan-2013	21-Sep-2010	PG	PROCTER & GAMBLE CO	2.	138.66	123 12	0.00	15 54
17-Jan-2013	24-Jan-2011	GS	GOLDMAN SACHS GROUP II	1	140 66	166.27	0 00	(25 61)
17-Jan-2013	01-Feb-2011	GS	GOLDMAN SACHS GROUP II	3	421 98	496 01	0 00	(74 03)
17-Jan-2013	22-Aug-2011	GS	GOLDMAN SACHS GROUP II	1	140.66	112.29	0 00	28.37
18-Jan-2013	19-Aug-2010	ORCL	ORACLE CORPORATION	6	207 33	137 92	0 00	69 41
18-Jan-2013	10-Sep-2010	ORCL	ORACLE CORPORATION	7	241 89	173 66	0 00	68 23
22-Jan-2013	01-Feb-2011	EOG	EOG RES INC	2	251 67	214 57	0 00	37 10
22-Jan-2013	19-Aug-2010	JCI	JOHNSON CONTROLS INC	3	92 64	85 11	0 00	7 53
22-Jan-2013	21-Sep-2010	JCI	JOHNSON CONTROLS INC	7	216.15	205 46	0 00	10.69
22-Jan-2013	21-Oct-2010	JCI	JOHNSON CONTROLS INC	2	61 75	67.37	0 00	(5 62)
29-Jan-2013	02-Jul-2012	KMI	KINDER MORGAN INC	20.	747.75	652 13	95 62	0.00
29-Jan-2013	24-Sep-2012	KMI	KINDER MORGAN INC	2	74.77	71 76	3 01	0 00
04-Feb-2013	22-Jan-2013	PPG	PPG INDUSTRIES INC	6	854 23	854 23	0 00	0 00
06-Feb-2013	19-Aug-2010	AAPL	APPLE INC	2.	927 74	500.19	0.00	427.55
06-Feb-2013	21-Sep-2010	ACE	***ACE LIMITED	1	85 23	58 63	0.00	26 60
06-Feb-2013	01-Feb-2011	ACE	***ACE LIMITED	1.	85 22	62.01	0 00	23.21
06-Feb-2013	01-Feb-2011	ACTDX	**INVESCO HIGH YIELD MUI	231.813	2,359 86	2,135.46	0.00	224.40

06-Feb-2013	06-Aug-2012	ADBE	ADOBE SYSTEMS INC	1	38 49	32 20	6 29	0.00
06-Feb-2013	22-Dec-2011	AGN	ALLERGAN INC	2	214 65	172 81	0 00	41.84
06-Feb-2013	19-Aug-2010	AMTD	TD AMERITRADE HLDG COF	2	39 21	31 44	0 00	7 77
06-Feb-2013	28-Sep-2010	AMZN	AMAZON COM INC	1	263 67	159 94	0 00	103 73
06-Feb-2013	22-Dec-2010	APC	ANADARKO PETROLEUM C(	2	167 22	132 88	0 00	34.34
06-Feb-2013	31-Oct-2012	ASML	***ASML HOLDING N V N Y F	2	152 10	105 85	46.25	0 00
06-Feb-2013	24-Oct-2012	AXP	AMERICAN EXPRESS COMF	12	721 91	667.59	54.32	0 00
06-Feb-2013	21-Sep-2010	BAC	BANK OF AMERICA CORP	11.	131 01	151.86	0 00	(20 85)
06-Feb-2013	21-Sep-2010	BIDU	***BAIDU INC SPONSORED /	1	97 46	91 61	0 00	5 85
06-Feb-2013	19-May-2010	BIIB	BIOGEN IDEC INC	1	161 90	50.63	0 00	111 27
06-Feb-2013	21-Jun-2010	BIIB	BIOGEN IDEC INC	1.	161 90	49 76	0.00	112 14
06-Feb-2013	19-Aug-2010	BMCIX	**BLACKROCK FDS II U S OI	12.157	473 62	422 57	0 00	51 05
06-Feb-2013	13-Aug-2012	BRCM	BROADCOM CORP CL A	3	98 25	105.02	(6 77)	0.00
06-Feb-2013	01-Feb-2011	C	CITIGROUP INC COM	2.	85 63	97 76	0 00	(12 13)
06-Feb-2013	13-Jun-2011	C	CITIGROUP INC COM	2.	85 62	77 91	0 00	7 71
06-Feb-2013	01-Jul-2010	CAH	CARDINAL HEALTH INC	3	133 00	100 31	0 00	32 69
06-Feb-2013	17-Oct-2012	CAM	CAMERON INTERNATIONAL	1	65 35	55 43	9 92	0.00
06-Feb-2013	07-Dec-2012	CBS	CBS CORP CLASS B	3	126 72	107.23	19 49	0.00
06-Feb-2013	19-Aug-2010	CELG	CELGENE CORP	2.	196 15	107.81	0 00	88.34
06-Feb-2013	09-Nov-2012	CERN	CERNER CORP	1	85.11	78 82	6 29	0 00
06-Feb-2013	02-May-2012	CFN	CAREFUSION CORP	3	93 98	78 40	15 58	0 00
06-Feb-2013	19-Aug-2010	CMCSA	COMCAST CORP CL A	5	194 06	88 87	0 00	105 19
06-Feb-2013	15-Feb-2012	CME	CME GROUP INC	2.	113 62	116 14	(2 52)	0 00
06-Feb-2013	18-Jun-2012	COST	COSTCO WHOLESALE COR	1	102 54	91 69	10 85	0.00
06-Feb-2013	11-Feb-2011	COV	***COVIDIEN PLC	1	62 24	50 55	0 00	11 69
06-Feb-2013	01-Feb-2011	COV	***COVIDIEN PLC	2	124 49	99 04	0 00	25 45
06-Feb-2013	21-Oct-2010	CSCO	CISCO SYSTEMS INC	4	84 71	92 58	0 00	(7 87)
06-Feb-2013	12-Nov-2010	CSCO	CISCO SYSTEMS INC	13	275 32	261.67	0.00	13.65
06-Feb-2013	14-Mar-2012	CSDIX	**COHEN & STEERS REALT'	9 279	135.10	122.65	12 45	0 00
06-Feb-2013	30-May-2012	CSX	CSX CORP	4	86.92	83 87	3 05	0 00
06-Feb-2013	11-Aug-2011	CTSH	COGNIZANT TECHNOLOGY	2	155.16	123 83	0 00	31 33
06-Feb-2013	28-Sep-2011	CTXS	CITRIX SYSTEMS INC	1	73 20	57 94	0.00	15 26
06-Feb-2013	27-Dec-2012	DISH	DISH NETWORK CORP CL A	1	37 21	35 40	1.81	0 00
06-Feb-2013	19-Oct-2012	DOW	DOW CHEMICAL CO	2	64.54	60.14	4 40	0.00
06-Feb-2013	06-Nov-2012	DVA	DAVITA HEALTHCARE PART	1	116 73	115 13	1 60	0 00
06-Feb-2013	14-Jul-2011	EMR	EMERSON ELECTRIC CO	5	286 43	277.71	0 00	8.72
06-Feb-2013	01-Feb-2011	EOG	EOG RES INC	1	129 63	107 29	0 00	22.34
06-Feb-2013	05-Jul-2012	ESV	***ENSCO PLC NEW CLASS	2	126 35	96.24	30 11	0 00
06-Feb-2013	26-Jan-2012	FCX	FREEPORT MCMORAN COP	1	35 96	47 10	0.00	(11 14)
06-Feb-2013	20-Jul-2012	FLR	FLUOR CORP NEW	2	130 23	97 31	32 92	0 00

06-Feb-2013	10-Sep-2010	GIS	GENERAL MILLS INC	4	168 59	147 83	0 00	20 76
06-Feb-2013	02-Dec-2010	GM	GENERAL MOTORS COMPA	3	85.47	103 86	0.00	(18 39)
06-Feb-2013	07-Dec-2010	GM	GENERAL MOTORS COMPA	3	85.46	103.68	0.00	(18 22)
06-Feb-2013	13-Jul-2012	GOOG	GOOGLE INC CL A	1	772.05	576 83	195 22	0 00
06-Feb-2013	14-Mar-2012	GSDIX	**GOLDMAN SACHS EMERG	502 833	6,783.22	6,608 52	174 70	0.00
06-Feb-2013	25-Oct-2010	GSSIX	**GOLDMAN SACHS SMALL	10 945	521 86	435.97	0.00	85.89
06-Feb-2013	08-Jan-2013	HAL	HALLIBURTON COMPANY	2	80 49	71 98	8 51	0.00
06-Feb-2013	29-Sep-2011	HD	HOME DEPOT INC	3	199 63	100 34	0.00	99 29
06-Feb-2013	19-Aug-2010	HON	HONEYWELL INTL INC	3	209.51	122.34	0 00	87.17
06-Feb-2013	23-May-2011	HUM	HUMANA INC	2	162 28	158 87	0.00	3.41
06-Feb-2013	19-Aug-2010	IVZ	***INVESCO LTD	4	109 53	73.18	0 00	36 35
06-Feb-2013	21-Oct-2010	JCI	JOHNSON CONTROLS INC	1	31.08	33.68	0.00	(2 60)
06-Feb-2013	01-Feb-2011	JCI	JOHNSON CONTROLS INC	3	93 25	115 41	0.00	(22 16)
06-Feb-2013	01-Feb-2011	JNPR	JUNIPER NETWORKS	1	22.42	37.45	0 00	(15 03)
06-Feb-2013	25-May-2011	JNPR	JUNIPER NETWORKS	3.	67 26	109.92	0 00	(42 66)
06-Feb-2013	20-Oct-2011	LEN	LENNAR CORP CL A	3	122.99	47.92	0.00	75.07
06-Feb-2013	06-Nov-2012	LNG	CHENIERE ENERGY INC CO	2	43.60	31 92	11.68	0 00
06-Feb-2013	04-Aug-2011	LOW	LOWES COMPANIES INC	4.	153 42	80 97	0 00	72 45
06-Feb-2013	31-Jul-2012	MAS	MASCO CORP	6.	109 77	74 43	35 34	0.00
06-Feb-2013	04-Aug-2011	MDLZ	MONDELEZ INTERNATIONAI	5	139 91	111 36	0 00	28 55
06-Feb-2013	25-May-2012	MEDIX	**MFS SER TR X EMERGING	9 084	145.89	135 96	9 93	0 00
06-Feb-2013	19-Aug-2010	MET	METLIFE INC	2.	74 85	75 85	0 00	(1 00)
06-Feb-2013	21-Sep-2010	MET	METLIFE INC	1.	37 42	41 30	0 00	(3 88)
06-Feb-2013	13-Jul-2011	MMHYX	**MFS MUNICIPAL HIGH INC	708 145	5,898 85	5,397.72	0.00	501.13
06-Feb-2013	10-Jan-2013	MMM	3M COMPANY	1	102 92	96.80	6 12	0 00
06-Feb-2013	17-Jan-2013	MS	MORGAN STANLEY	3	70 39	61 60	8.79	0 00
06-Feb-2013	19-May-2010	MSFT	MICROSOFT CORP	16.	440 23	452 46	0.00	(12 23)
06-Feb-2013	20-Sep-2011	NTAP	NETAPP INC	3	108 28	107.57	0.00	0.71
06-Feb-2013	13-Jul-2011	OAKMX	**OAKMARK FUND	33 902	1,756 48	1,506.91	0 00	249 57
06-Feb-2013	10-Sep-2010	ORCL	ORACLE CORPORATION	4.	140 61	99 24	0.00	41 37
06-Feb-2013	21-Sep-2010	ORCL	ORACLE CORPORATION	3	105 45	80 42	0 00	25.03
06-Feb-2013	21-Sep-2010	OXY	OCCIDENTAL PETE CORP	2	176.16	152.71	0 00	23 45
06-Feb-2013	19-May-2010	PCAR	PACCAR INC	3	143 26	126 47	0 00	16 79
06-Feb-2013	19-Aug-2010	PCAR	PACCAR INC	1.	47 75	42 57	0.00	5.18
06-Feb-2013	10-Aug-2012	PEP	PEPSICO INC	1	72 87	72 05	0 82	0.00
06-Feb-2013	21-Sep-2010	PG	PROCTER & GAMBLE CO	3	226 29	184 67	0 00	41.62
06-Feb-2013	23-Feb-2012	PM	PHILIP MORRIS INTERNATI(	3.	262 67	245.81	16.86	0 00
06-Feb-2013	21-Sep-2010	PNR	***PENTAIR LTD SHS	1	51 08	13 72	0.00	37 36
06-Feb-2013	19-May-2010	PRU	PRUDENTIAL FINANCIAL IN(	2	117 17	117 18	0 00	(0 01)
06-Feb-2013	18-Oct-2012	PSX	PHILLIPS 66 COM	3.	186 64	137 88	48.76	0.00

06-Feb-2013	20-Jan-2012	PXD	PIONEER NATURAL RESOU	1	122 70	98.18	0 00	24 52
06-Feb-2013	19-Aug-2010	QCOM	QUALCOMM INC	4	267.77	152 27	0 00	115.50
06-Feb-2013	21-Sep-2010	QCOM	QUALCOMM INC	1	66 94	43 50	0 00	23 44
06-Feb-2013	19-Aug-2010	SGRKX	**WELLS FARGO ADVANTA(	15 281	675 59	581 21	0 00	94 38
06-Feb-2013	13-May-2011	SLB	***SCHLUMBERGER LTD	3	238 06	251.32	0 00	(13 26)
06-Feb-2013	24-Sep-2012	TGT	TARGET CORP	2	125 14	130.52	(5 38)	0.00
06-Feb-2013	30-Jul-2012	TWC	TIME WARNER CABLE INC	1	87.78	85 13	2 65	0 00
06-Feb-2013	19-Aug-2010	TWX	TIME WARNER INC	11.	570.42	337 78	0 00	232.64
06-Feb-2013	21-Sep-2010	TYC	***TYCO INTERNATIONAL LT	1	30 43	19 39	0 00	11 04
06-Feb-2013	21-Oct-2010	TYC	***TYCO INTERNATIONAL LT	1	30 43	18 98	0 00	11.45
06-Feb-2013	10-Nov-2010	TYC	***TYCO INTERNATIONAL LT	1	30.42	19.40	0.00	11 02
06-Feb-2013	02-Dec-2010	UNH	UNITEDHEALTH GROUP INC	2	114 46	75.99	0.00	38 47
06-Feb-2013	19-May-2010	UTX	UNITED TECHNOLOGIES CC	3	268.09	208 01	0 00	60 08
06-Feb-2013	19-Aug-2010	UTX	UNITED TECHNOLOGIES CC	2.	178.72	136.68	0.00	42 04
06-Feb-2013	16-Dec-2011	VRTX	VERTEX PHARMACEUTICAL	1.	46.00	33.81	0 00	12.19
06-Feb-2013	21-May-2010	VSEIX	**JPMORGAN TR I SMALL C/	27 438	1,131 82	923.07	0 00	208 75
06-Feb-2013	19-Aug-2010	VZ	VERIZON COMMUNICATION	5	222.83	147 93	0 00	74.90
06-Feb-2013	19-May-2010	WFC	WELLS FARGO & CO	3.	104.68	88.73	0 00	15.95
06-Feb-2013	19-Aug-2010	WFC	WELLS FARGO & CO	9	314.03	221 48	0 00	92 55
06-Feb-2013	03-Feb-2012	WLT	WALTER ENERGY INC NEW	1	36 70	76.00	0.00	(39 30)
06-Feb-2013	18-Jul-2011	WMB	WILLIAMS COMPANIES INC	2	70 93	49.05	0 00	21.88
06-Feb-2013	16-Aug-2011	WMB	WILLIAMS COMPANIES INC	3	106 39	69 69	0 00	36 70
06-Feb-2013	01-Feb-2011	XOM	EXXON MOBIL CORP	3	268.97	250.95	0 00	18.02
06-Feb-2013	19-May-2010	YUM	YUM BRANDS INC	3	187 17	122 66	0 00	64 51
07-Feb-2013	01-Feb-2011	JCI	JOHNSON CONTROLS INC	8	245 29	307 75	0 00	(62 46)
07-Feb-2013	30-Jul-2012	TWC	TIME WARNER CABLE INC	7.	613 89	595.90	17.99	0 00
11-Feb-2013	01-Feb-2011	MRK	MERCK & CO INC	1	41 26	33.85	0 00	7 41
11-Feb-2013	15-Apr-2011	MRK	MERCK & CO INC	17.	701 37	589 22	0 00	112 15
11-Feb-2013	18-May-2011	MRK	MERCK & CO INC	7	288 80	261.73	0 00	27 07
12-Feb-2013	20-Sep-2011	NTAP	NETAPP INC	3.	106.76	107 56	0.00	(0 80)
12-Feb-2013	21-Mar-2012	NTAP	NETAPP INC	9	320 29	412 87	(92 58)	0.00
12-Feb-2013	25-May-2012	NTAP	NETAPP INC	2	71 18	57.51	13 67	0 00
12-Feb-2013	09-Jul-2012	NTAP	NETAPP INC	10	355 88	291.55	64 33	0 00
12-Feb-2013	24-Sep-2012	NTAP	NETAPP INC	3	106 77	106 56	0.21	0 00
14-Feb-2013	21-Sep-2010	GIS	GENERAL MILLS INC	2	87 76	71 49	0 00	16.27
14-Feb-2013	21-Oct-2010	GIS	GENERAL MILLS INC	1	43.88	37 15	0.00	6 73
14-Feb-2013	10-Jan-2011	GIS	GENERAL MILLS INC	4	175 53	144 04	0.00	31.49
14-Feb-2013	01-Feb-2011	GIS	GENERAL MILLS INC	6	263 29	207 42	0 00	55.87
14-Feb-2013	22-Aug-2011	GIS	GENERAL MILLS INC	2.	87 76	72.25	0 00	15 51
14-Feb-2013	13-Apr-2012	GIS	GENERAL MILLS INC	1	43 89	38 84	5 05	0 00

14-Feb-2013	08-Jan-2013	HAL	HALLIBURTON COMPANY	15	631.71	539 88	91 83	0.00
14-Feb-2013	19-Aug-2010	PFE	PFIZER INC	25	675.57	401 48	0 00	274 09
14-Feb-2013	21-Sep-2010	PFE	PFIZER INC	18.	486 41	308.03	0.00	178 38
14-Feb-2013	21-Oct-2010	PFE	PFIZER INC	9	243 21	158 93	0 00	84 28
14-Feb-2013	02-Nov-2010	PFE	PFIZER INC	6	162.14	104 77	0 00	57 37
27-Feb-2013	20-Jan-2012	PXD	PIONEER NATURAL RESOU	3.	377 81	294 54	0 00	83.27
27-Feb-2013	20-Apr-2012	PXD	PIONEER NATURAL RESOU	3.	377 81	315.93	61 88	0 00
27-Feb-2013	24-Sep-2012	PXD	PIONEER NATURAL RESOU	2.	251 86	209 23	42.63	0.00
01-Mar-2013	26-Jan-2012	FCX	FREEPORT MCMORAN COP	2	62 85	94 21	0 00	(31 36)
01-Mar-2013	20-Mar-2012	FCX	FREEPORT MCMORAN COP	10	314 23	390 55	(76 32)	0 00
01-Mar-2013	24-Sep-2012	FCX	FREEPORT MCMORAN COP	6.	188 54	243 24	(54 70)	0.00
01-Mar-2013	19-Aug-2010	PRU	PRUDENTIAL FINANCIAL IN(	4.	219 88	211 95	0.00	7 93
01-Mar-2013	21-Sep-2010	PRU	PRUDENTIAL FINANCIAL IN(	2	109 94	111 81	0 00	(1 87)
01-Mar-2013	19-Aug-2010	WFC	WELLS FARGO & CO	6	212.40	147.66	0.00	64 74
01-Mar-2013	21-Sep-2010	WFC	WELLS FARGO & CO	4	141 60	106 08	0.00	35.52
11-Mar-2013	21-Sep-2010	QCOM	QUALCOMM INC	4.	266 21	173 99	0.00	92 22
11-Mar-2013	21-Oct-2010	QCOM	QUALCOMM INC	3	199 65	130 61	0 00	69 04
11-Mar-2013	01-Feb-2011	QCOM	QUALCOMM INC	7	465 86	385 06	0 00	80 80
14-Mar-2013	21-Sep-2010	WFC	WELLS FARGO & CO	4	147 48	106 08	0 00	41 40
14-Mar-2013	21-Oct-2010	WFC	WELLS FARGO & CO	3	110 61	77.98	0.00	32 63
14-Mar-2013	01-Feb-2011	WFC	WELLS FARGO & CO	4.	147 48	133 20	0 00	14 28
18-Mar-2013	25-May-2011	JNPR	JUNIPER NETWORKS	4	79 10	146 56	0 00	(67 46)
18-Mar-2013	14-Jun-2011	JNPR	JUNIPER NETWORKS	10	197 74	306 52	0.00	(108 78)
18-Mar-2013	22-Aug-2011	JNPR	JUNIPER NETWORKS	3	59 32	59 49	0 00	(0.17)
18-Mar-2013	04-Oct-2011	JNPR	JUNIPER NETWORKS	15	296 61	266 19	0 00	30 42
18-Mar-2013	24-Sep-2012	JNPR	JUNIPER NETWORKS	5	98 87	91 60	7 27	0 00
18-Mar-2013	19-May-2010	MSFT	MICROSOFT CORP	2	56 35	56 56	0.00	(0 21)
18-Mar-2013	19-Aug-2010	MSFT	MICROSOFT CORP	10	281 77	244 10	0 00	37 67
19-Mar-2013	07-Dec-2012	CBS	CBS CORP CLASS B	2	91 70	71 49	20.21	0 00
19-Mar-2013	15-Feb-2012	CME	CME GROUP INC	2	122 76	116 14	0 00	6 62
19-Mar-2013	02-Nov-2010	PFE	PFIZER INC	13	364 39	226 99	0 00	137 40
19-Mar-2013	01-Feb-2011	PFE	PFIZER INC	10	280 30	191 98	0 00	88 32
21-Mar-2013	07-Dec-2012	CBS	CBS CORP CLASS B	8	370 63	285 96	84.67	0.00
21-Mar-2013	15-Feb-2012	CME	CME GROUP INC	10.	612.77	580.70	0 00	32.07
21-Mar-2013	05-Jun-2012	CME	CME GROUP INC	1	61 28	53 76	7 52	0.00
27-Mar-2013	07-Dec-2012	CBS	CBS CORP CLASS B	10	458 04	357 45	100 59	0 00
27-Mar-2013	01-Feb-2011	PFE	PFIZER INC	14	399.88	268 78	0 00	131 10
27-Mar-2013	24-Mar-2011	PFE	PFIZER INC	6	171 38	121 50	0 00	49.88
27-Mar-2013	19-Aug-2011	PFE	PFIZER INC	14	399 88	247 82	0 00	152.06
27-Mar-2013	22-Aug-2011	PFE	PFIZER INC	8	228 50	142 70	0.00	85 80

27-Mar-2013	25-May-2012	PFE	PFIZER INC	2	57 13	44 43	12 70	0 00
27-Mar-2013	24-Sep-2012	PFE	PFIZER INC	15.	428 43	372.08	56.35	0.00
28-Mar-2013	07-Dec-2012	CBS	CBS CORP CLASS B	9	420 21	321.70	98 51	0.00
04-Apr-2013	21-Sep-2010	BIDU	***BAIDU INC SPONSORED /	1	85 18	91 60	0 00	(6 42)
04-Apr-2013	01-Feb-2011	BIDU	***BAIDU INC SPONSORED /	1	85 18	118 85	0 00	(33 67)
04-Apr-2013	22-Aug-2011	BIDU	***BAIDU INC SPONSORED /	1	85 18	129 03	0 00	(43 85)
04-Apr-2013	24-Sep-2012	BIDU	***BAIDU INC SPONSORED /	1	85 18	113 33	(28 15)	0 00
04-Apr-2013	01-Oct-2012	BIDU	***BAIDU INC SPONSORED /	3	255 51	337 68	(82 17)	0 00
04-Apr-2013	17-Oct-2012	CAM	CAMERON INTERNATIONAL	7	428.80	388 00	40 80	0 00
04-Apr-2013	06-Nov-2012	LNG	CHENIERE ENERGY INC CO	17.	429 39	271 28	158 11	0.00
05-Apr-2013	16-Dec-2011	VRTX	VERTEX PHARMACEUTICAL	6	316 87	202.86	0 00	114.01
09-Apr-2013	09-Aug-2010	CAH	CARDINAL HEALTH INC	4	171 10	132 12	0 00	38 98
09-Apr-2013	19-Aug-2010	CAH	CARDINAL HEALTH INC	5.	213 87	156 28	0 00	57 59
09-Apr-2013	21-Sep-2010	CAH	CARDINAL HEALTH INC	2	85 55	66 00	0 00	19.55
09-Apr-2013	21-Sep-2010	PRU	PRUDENTIAL FINANCIAL IN(	1	56.27	55.90	0.00	0.37
09-Apr-2013	21-Oct-2010	PRU	PRUDENTIAL FINANCIAL IN(	1	56 27	54 30	0 00	1 97
09-Apr-2013	01-Feb-2011	PRU	PRUDENTIAL FINANCIAL IN(	4.	225 08	252.63	0 00	(27 55)
09-Apr-2013	12-Aug-2011	PRU	PRUDENTIAL FINANCIAL IN(	3	168 81	154.02	0.00	14 79
09-Apr-2013	22-Aug-2011	PRU	PRUDENTIAL FINANCIAL IN(	2	112 54	93 39	0.00	19.15
09-Apr-2013	05-Jun-2012	PRU	PRUDENTIAL FINANCIAL IN(	6	337 61	275.89	61.72	0 00
09-Apr-2013	24-Sep-2012	PRU	PRUDENTIAL FINANCIAL IN(	3	168 80	172.23	(3 43)	0 00
11-Apr-2013	02-May-2012	CFN	CAREFUSION CORP	17	600 61	444 28	156 33	0 00
16-Apr-2013	21-Jun-2010	BIIB	BIOGEN IDEC INC	1	199 50	49.75	0 00	149.75
17-Apr-2013	11-Aug-2011	CTSH	COGNIZANT TECHNOLOGY	3	213 94	185 74	0.00	28.20
17-Apr-2013	08-May-2012	CTSH	COGNIZANT TECHNOLOGY	4.	285 26	238.90	46.36	0 00
17-Apr-2013	14-Jul-2011	EMR	EMERSON ELECTRIC CO	3	160 62	166 63	0 00	(6 01)
17-Apr-2013	29-Jul-2011	EMR	EMERSON ELECTRIC CO	7	374.78	345 75	0 00	29.03
17-Apr-2013	29-Feb-2012	EMR	EMERSON ELECTRIC CO	3.	160 62	151.92	0.00	8 70
18-Apr-2013	14-Oct-2011	GS	GOLDMAN SACHS GROUP I	3	419 30	287 84	0 00	131 46
19-Apr-2013	05-Jun-2012	CME	CME GROUP INC	5	293 77	268 79	24.98	0 00
19-Apr-2013	11-Feb-2011	COV	***COVIDIEN PLC	4.	265 62	202.18	0 00	63 44
19-Apr-2013	25-Jul-2011	COV	***COVIDIEN PLC	4	265 62	202 07	0 00	63 55
22-Apr-2013	14-Oct-2011	GS	GOLDMAN SACHS GROUP I	1	139.16	95 95	0 00	43.21
22-Apr-2013	27-Jan-2012	GS	GOLDMAN SACHS GROUP I	3	417 49	329 61	0 00	87 88
22-Apr-2013	24-Sep-2012	GS	GOLDMAN SACHS GROUP I	2	278 33	234 52	43 81	0 00
22-Apr-2013	10-Jan-2013	MMM	3M COMPANY	4.	423 14	387.19	35 95	0 00
22-Apr-2013	15-Jan-2013	MMM	3M COMPANY	4	423 14	388 41	34 73	0 00
23-Apr-2013	05-Apr-2013	683399109(	ONYX PHARMACEUTICALS I	1	99 64	89.04	10 60	0 00
23-Apr-2013	21-Sep-2010	AAPL	APPLE INC	1.	407 02	284 68	0 00	122 34
23-Apr-2013	01-Feb-2011	ACE	***ACE LIMITED	2	180 72	124 01	0 00	56 71

23-Apr-2013	01-Feb-2011	ACTDX	**INVESCO HIGH YIELD MUI	999 941	10,189.40	9,211 45	0 00	977 95
23-Apr-2013	06-Aug-2012	ADBE	ADOBE SYSTEMS INC	2	89 95	64 41	25 54	0 00
23-Apr-2013	21-Feb-2013	ADM	ARCHER-DANIELS-MIDLANE	2	64 78	64 29	0 49	0 00
23-Apr-2013	09-Apr-2013	AIG	AMERICAN INTERNATIONAL	1	40 33	39 83	0 50	0.00
23-Apr-2013	19-Aug-2010	AMTD	TD AMERITRADE HLDG COF	3	58 25	47 17	0 00	11 08
23-Apr-2013	28-Jan-2011	AMZN	AMAZON COM INC	1	269 42	169 62	0 00	99 80
23-Apr-2013	04-Apr-2013	APA	APACHE CORP	1	70 48	74 64	(4 16)	0 00
23-Apr-2013	21-Sep-2011	APC	ANADARKO PETROLEUM C(	1	81 34	75 59	0.00	5 75
23-Apr-2013	31-Oct-2012	ASML	***ASML HOLDING N V N Y F	1	72 59	52.92	19 67	0 00
23-Apr-2013	22-Jan-2013	AXLL	AXIALL CORPORATION	1	54 37	44 96	9.41	0.00
23-Apr-2013	17-Aug-2011	AZO	AUTOZONE INC	1.	380 46	287 94	0.00	92 52
23-Apr-2013	21-Oct-2010	BAC	BANK OF AMERICA CORP	13	157.45	147 89	0.00	9 56
23-Apr-2013	10-Nov-2010	BAC	BANK OF AMERICA CORP	4	48 45	50 13	0.00	(1 68)
23-Apr-2013	01-Feb-2011	BAC	BANK OF AMERICA CORP	8.	96 89	114.30	0 00	(17 41)
23-Apr-2013	19-Aug-2010	BIIB	BIOGEN IDEC INC	1	215 09	54 88	0 00	160.21
23-Apr-2013	19-Aug-2010	BMCIX	**BLACKROCK FDS II U S OI	24 332	975 47	845 76	0.00	129 71
23-Apr-2013	01-Feb-2011	BMCIX	**BLACKROCK FDS II U S OI	58 406	2,341 50	2,030 15	0 00	311.35
23-Apr-2013	22-Aug-2011	BMCIX	**BLACKROCK FDS II U S OI	36.748	1,473 23	1,277 33	0 00	195 90
23-Apr-2013	13-Dec-2011	BMCIX	**BLACKROCK FDS II U S OI	24 46	980 60	850 21	0 00	130 39
23-Apr-2013	24-Sep-2012	BMCIX	**BLACKROCK FDS II U S OI	32 806	1,315 19	1,140 31	174 88	0 00
23-Apr-2013	28-Mar-2013	BMY	BRISTOL MYERS SQUIBB C(	3.	127 33	123 18	4.15	0.00
23-Apr-2013	13-Aug-2012	BRCM	BROADCOM CORP CL A	3.	98 49	105.01	(6 52)	0.00
23-Apr-2013	13-Jun-2011	C	CITIGROUP INC COM	6	278 90	233.72	0 00	45.18
23-Apr-2013	21-Sep-2010	CAH	CARDINAL HEALTH INC	2.	87.77	66.00	0 00	21 77
23-Apr-2013	19-Oct-2012	CAM	CAMERON INTERNATIONAL	2	121 39	111 29	10 10	0.00
23-Apr-2013	19-Aug-2010	CELG	CELGENE CORP	1	126 81	53 91	0 00	72.90
23-Apr-2013	09-Nov-2012	CERN	CERNER CORP	1	92 60	78.82	13.78	0 00
23-Apr-2013	02-May-2012	CFN	CAREFUSION CORP	1	34.32	26 13	8.19	0 00
23-Apr-2013	21-Sep-2010	CMCSA	COMCAST CORP CL A	3	122 34	54 21	0.00	68 13
23-Apr-2013	21-Oct-2010	CMCSA	COMCAST CORP CL A	2	81 56	39 30	0.00	42 26
23-Apr-2013	01-Feb-2011	CMCSA	COMCAST CORP CL A	2	81 56	46 70	0 00	34 86
23-Apr-2013	05-Jun-2012	CME	CME GROUP INC	1	60 14	53 76	6 38	0.00
23-Apr-2013	08-Mar-2013	COF	CAPITAL ONE FINANCIAL C(	2	112 20	108 32	3.88	0 00
23-Apr-2013	25-Jul-2011	COV	***COVIDIEN PLC	1	66 82	50 52	0 00	16 30
23-Apr-2013	12-Nov-2010	CSCO	CISCO SYSTEMS INC	2.	42 12	40 26	0 00	1 86
23-Apr-2013	28-Jul-2011	CSCO	CISCO SYSTEMS INC	13	273 75	211 27	0 00	62 48
23-Apr-2013	30-May-2012	CSX	CSX CORP	4	95 59	83 87	11.72	0 00
23-Apr-2013	08-May-2012	CTSH	COGNIZANT TECHNOLOGY	1	66.66	59.72	6 94	0 00
23-Apr-2013	28-Sep-2011	CTXS	CITRIX SYSTEMS INC	1	68 05	57 94	0 00	10.11
23-Apr-2013	24-Mar-2011	DEMIX	**DELAWARE GROUP EMEF	666 977	9,764 55	10,486 55	0 00	(722 00)

23-Apr-2013	27-Dec-2012	DISH	DISH NETWORK CORP CL A	2	79 83	70 80	9 03	0.00
23-Apr-2013	19-Oct-2012	DOW	DOW CHEMICAL CO	4	126.33	120 28	6.05	0 00
23-Apr-2013	29-Feb-2012	EMR	EMERSON ELECTRIC CO	2	108 82	101.28	0 00	7 54
23-Apr-2013	20-Jan-2012	EOG	EOG RES INC	1	116 75	103 03	0 00	13 72
23-Apr-2013	05-Jul-2012	ESV	***ENSCO PLC NEW CLASS	2	108 62	96 24	12 38	0 00
23-Apr-2013	20-Jul-2012	FLR	FLUOR CORP NEW	2	109 39	97.31	12 08	0 00
23-Apr-2013	13-Apr-2012	GIS	GENERAL MILLS INC	2	101 33	77 67	0 00	23 66
23-Apr-2013	07-Dec-2010	GM	GENERAL MOTORS COMPA	2	59 57	69.12	0 00	(9 55)
23-Apr-2013	01-Feb-2011	GM	GENERAL MOTORS COMPA	4	119.14	146 42	0 00	(27 28)
23-Apr-2013	13-Jul-2012	GOOG	GOOGLE INC CL A	1.	814.38	576 84	237.54	0.00
23-Apr-2013	25-Oct-2010	GSSIX	**GOLDMAN SACHS SMALL	1 441	70 91	57.40	0.00	13 51
23-Apr-2013	29-Sep-2011	HD	HOME DEPOT INC	2	147 37	66 90	0 00	80 47
23-Apr-2013	19-Aug-2010	HON	HONEYWELL INTL INC	1	74 58	40.78	0 00	33 80
23-Apr-2013	21-Sep-2010	HON	HONEYWELL INTL INC	1.	74 58	44 08	0.00	30 50
23-Apr-2013	23-May-2011	HUM	HUMANA INC	1.	73 14	79 44	0 00	(6 30)
23-Apr-2013	15-Feb-2013	ICE	INTERCONTINENTALEXCHA	1.	157.30	157.34	(0 04)	0.00
23-Apr-2013	21-Sep-2010	IVZ	***INVESCO LTD	3	89 31	64 62	0.00	24 69
23-Apr-2013	21-Oct-2010	IVZ	***INVESCO LTD	1	29 77	22 48	0.00	7.29
23-Apr-2013	01-Feb-2011	IVZ	***INVESCO LTD	2.	59 53	50 34	0.00	9.19
23-Apr-2013	06-Feb-2013	IWM	ISHARES RUSSELL 2000 ET	39.	3,584 62	3,513 24	71 38	0 00
23-Apr-2013	01-Feb-2011	JCI	JOHNSON CONTROLS INC	3.	99 96	115 40	0 00	(15 44)
23-Apr-2013	21-May-2010	JIESX	**JPMORGAN TR I INTL VALI	925 246	12,509 32	11,433.54	0 00	1,075.78
23-Apr-2013	19-Aug-2010	JIESX	**JPMORGAN TR I INTL VALI	72 334	977 96	893 85	0 00	84 11
23-Apr-2013	18-Oct-2012	JNJ	JOHNSON & JOHNSON	3	255 52	216.43	39 09	0.00
23-Apr-2013	20-Oct-2011	LEN	LENNAR CORP CL A	2	82 34	31 95	0 00	50 39
23-Apr-2013	06-Nov-2012	LNG	CHENIERE ENERGY INC CO	4.	109 79	63 83	45.96	0 00
23-Apr-2013	21-Mar-2013	LNKD	LINKEDIN CORPORATION C	1.	185 16	174 92	10 24	0.00
23-Apr-2013	04-Aug-2011	LOW	LOWES COMPANIES INC	5	190 58	101.21	0 00	89.37
23-Apr-2013	07-Feb-2013	LRCX	LAM RESEARCH CORP	4	171 30	168.98	2 32	0.00
23-Apr-2013	31-Jul-2012	MAS	MASCO CORP	7	139 46	86 84	52 62	0.00
23-Apr-2013	04-Aug-2011	MDLZ	MONDELEZ INTERNATIONAI	1	31 93	22.27	0 00	9 66
23-Apr-2013	16-Aug-2011	MDLZ	MONDELEZ INTERNATIONAI	4	127.72	88 59	0.00	39.13
23-Apr-2013	21-Sep-2010	MET	METLIFE INC	1	38 15	41 30	0 00	(3 15)
23-Apr-2013	21-Oct-2010	MET	METLIFE INC	2	76 30	80 39	0 00	(4 09)
23-Apr-2013	01-Feb-2011	MET	METLIFE INC	5	190 76	234 83	0 00	(44 07)
23-Apr-2013	03-Mar-2011	MET	METLIFE INC	2	76 31	89 19	0 00	(12 88)
23-Apr-2013	13-Jul-2011	MMHYX	**MFS MUNICIPAL HIGH INC	1,219 462	10,158 12	9,295 14	0.00	862 98
23-Apr-2013	18-May-2011	MRK	MERCK & CO INC	5	242 21	186.95	0 00	55.26
23-Apr-2013	17-Jan-2013	MS	MORGAN STANLEY	6.	129 84	123.20	6.64	0.00
23-Apr-2013	19-Aug-2010	MSFT	MICROSOFT CORP	10	307 99	244 10	0.00	63.89

23-Apr-2013	08-Mar-2013	NI	NISOURCE INC COM	3	92 89	84 39	8 50	0 00
23-Apr-2013	13-Jul-2011	OAKMX	**OAKMARK FUND	0 386	20 50	17 16	0 00	3.34
23-Apr-2013	21-Sep-2010	ORCL	ORACLE CORPORATION	4	131 54	107 23	0.00	24.31
23-Apr-2013	21-Oct-2010	ORCL	ORACLE CORPORATION	2	65 77	57 60	0.00	8 17
23-Apr-2013	21-Sep-2010	OXY	OCCIDENTAL PETE CORP	2	164 33	152 71	0 00	11.62
23-Apr-2013	28-Jan-2011	OXY	OCCIDENTAL PETE CORP	1	82 17	95.64	0.00	(13 47)
23-Apr-2013	19-Aug-2010	PCAR	PACCAR INC	2	97.18	85 13	0.00	12 05
23-Apr-2013	10-Aug-2012	PEP	PEPSICO INC	2	168 21	144.10	24 11	0.00
23-Apr-2013	21-Oct-2010	PG	PROCTER & GAMBLE CO	2	164 14	127 14	0 00	37.00
23-Apr-2013	01-Feb-2011	PG	PROCTER & GAMBLE CO	1	82 07	62.85	0.00	19 22
23-Apr-2013	23-Feb-2012	PM	PHILIP MORRIS INTERNATIC	2.	186 49	163 87	0.00	22 62
23-Apr-2013	10-Nov-2010	PNR	***PENTAIR LTD SHS	1	52 92	48 58	0.00	4 34
23-Apr-2013	13-Jan-2011	PNR	***PENTAIR LTD SHS	1	52 92	39 79	0 00	13 13
23-Apr-2013	18-Oct-2012	PSX	PHILLIPS 66 COM	2	121.32	91 92	29 40	0 00
23-Apr-2013	01-Feb-2011	QCOM	QUALCOMM INC	3.	196.17	165 03	0 00	31 14
23-Apr-2013	13-May-2011	SLB	***SCHLUMBERGER LTD	3.	214 83	251.33	0 00	(36 50)
23-Apr-2013	27-Mar-2013	STT	STATE STREET CORP	2	116 23	118 19	(1 96)	0 00
23-Apr-2013	19-Aug-2010	SUIEX	**JPMORGAN TR II U S REAI	247 108	4,368 87	3,723 08	0 00	645.79
23-Apr-2013	01-Feb-2011	SUIEX	**JPMORGAN TR II U S REAI	285 517	5,047 94	4,301 77	0.00	746 17
23-Apr-2013	24-Mar-2011	SUIEX	**JPMORGAN TR II U S REAI	23 821	421.16	358 90	0 00	62.26
23-Apr-2013	22-Aug-2011	SUIEX	**JPMORGAN TR II U S REAI	52 161	922 21	785 89	0 00	136.32
23-Apr-2013	24-Sep-2012	SUIEX	**JPMORGAN TR II U S REAI	118 042	2,086 98	1,778 49	308.49	0 00
23-Apr-2013	21-Dec-2012	SUIEX	**JPMORGAN TR II U S REAI	32 875	581 23	495 31	85.92	0 00
23-Apr-2013	06-Feb-2013	SUIEX	**JPMORGAN TR II U S REAI	77 399	1,368 41	1,166 14	202.27	0.00
23-Apr-2013	21-May-2010	TGVIX	**THORNBURG INTL VALUE	425 62	12,189 77	10,792 18	0.00	1,397 59
23-Apr-2013	19-Aug-2010	TWX	TIME WARNER INC	1	60 49	30 71	0.00	29 78
23-Apr-2013	21-Sep-2010	TWX	TIME WARNER INC	5	302 42	159 01	0 00	143 41
23-Apr-2013	14-Mar-2013	TXN	TEXAS INSTRUMENTS INCC	3.	107.35	106 80	0 55	0.00
23-Apr-2013	10-Nov-2010	TYC	***TYCO INTERNATIONAL LT	3.	93 40	58 22	0 00	35 18
23-Apr-2013	02-Dec-2010	UNH	UNITEDHEALTH GROUP INC	1	58 95	38 00	0.00	20.95
23-Apr-2013	01-Feb-2011	UNH	UNITEDHEALTH GROUP INC	1	58.95	42 01	0 00	16 94
23-Apr-2013	19-Aug-2010	UTX	UNITED TECHNOLOGIES CC	3	274 69	205 02	0.00	69 67
23-Apr-2013	18-Mar-2013	VMW	VMWARE INC CL A	1	75 07	83 13	(8 06)	0 00
23-Apr-2013	21-May-2010	VSEIX	**JPMORGAN TR I SMALL C/	80 284	3,445.79	2,700 92	0.00	744.87
23-Apr-2013	19-Aug-2010	VSEIX	**JPMORGAN TR I SMALL C/	7 903	339 20	265 87	0 00	73 33
23-Apr-2013	21-May-2010	VSNGX	**JPMORGAN TR I MID CAP	164 977	6,114.05	4,608.80	0 00	1,505.25
23-Apr-2013	19-Aug-2010	VSNGX	**JPMORGAN TR I MID CAP	89 766	3,326 73	2,507 70	0.00	819.03
23-Apr-2013	01-Feb-2011	VSNGX	**JPMORGAN TR I MID CAP	79 423	2,943.42	2,218.76	0.00	724 66
23-Apr-2013	22-Aug-2011	VSNGX	**JPMORGAN TR I MID CAP	44 818	1,660 96	1,252 04	0.00	408 92
23-Apr-2013	25-May-2012	VSNGX	**JPMORGAN TR I MID CAP	7 558	280 09	211 14	68 95	0 00

23-Apr-2013	19-Aug-2010	VZ	VERIZON COMMUNICATION	3	156 51	88 76	0 00	67.75
23-Apr-2013	01-Feb-2011	WFC	WELLS FARGO & CO	9	333 76	299.69	0.00	34 07
23-Apr-2013	03-Feb-2012	WLT	WALTER ENERGY INC NEW	1	16 71	76 01	0.00	(59 30)
23-Apr-2013	16-Aug-2011	WMB	WILLIAMS COMPANIES INC	3	113 70	69 68	0 00	44 02
23-Apr-2013	22-Aug-2011	WMB	WILLIAMS COMPANIES INC	1.	37 90	19.80	0 00	18.10
23-Apr-2013	05-Jan-2012	WMB	WILLIAMS COMPANIES INC	1	37 90	27.50	0.00	10 40
23-Apr-2013	01-Feb-2011	XOM	EXXON MOBIL CORP	3	266 61	250 95	0 00	15 66
23-Apr-2013	19-Aug-2010	YUM	YUM BRANDS INC	2	127 29	83 64	0 00	43 65
30-Apr-2013	08-May-2012	CTSH	COGNIZANT TECHNOLOGY	9.	570 17	537 52	32 65	0 00
30-Apr-2013	24-Sep-2012	CTSH	COGNIZANT TECHNOLOGY	3	190 05	206 28	(16 23)	0.00
02-May-2013	28-Jul-2011	CSCO	CISCO SYSTEMS INC	3	62 02	48.75	0 00	13 27
02-May-2013	16-Aug-2011	CSCO	CISCO SYSTEMS INC	14	289 44	221.04	0.00	68.40
02-May-2013	22-Aug-2011	CSCO	CISCO SYSTEMS INC	3	62 02	45.28	0.00	16.74
02-May-2013	28-Sep-2011	CSCO	CISCO SYSTEMS INC	15	310.12	243.65	0.00	66 47
02-May-2013	15-Dec-2011	CSCO	CISCO SYSTEMS INC	7.	144 73	126.30	0.00	18 43
06-May-2013	19-Aug-2010	BIIB	BIOGEN IDEC INC	1.	217.09	54 88	0 00	162 21
06-May-2013	18-Mar-2013	VMW	VMWARE INC CL A	2	154 67	166 25	(11 58)	0 00
08-May-2013	09-Apr-2013	AIG	AMERICAN INTERNATIONAL	16.	712 25	637 21	75.04	0 00
08-May-2013	05-Jun-2012	CME	CME GROUP INC	3.	181 75	161 28	20 47	0.00
08-May-2013	24-Sep-2012	CME	CME GROUP INC	3.	181 75	174.15	7 60	0 00
08-May-2013	17-Dec-2012	CME	CME GROUP INC	4	242 33	205 70	36.63	0.00
08-May-2013	18-May-2011	MRK	MERCK & CO INC	6	272 41	224 33	0 00	48 08
08-May-2013	16-Aug-2011	MRK	MERCK & CO INC	9	408 62	287 48	0 00	121.14
08-May-2013	22-Aug-2011	MRK	MERCK & CO INC	3	136 21	93 95	0.00	42.26
09-May-2013	19-Aug-2010	CELG	CELGENE CORP	2.	245 56	107.81	0.00	137 75
09-May-2013	21-Sep-2010	CELG	CELGENE CORP	2	245 56	113 63	0 00	131.93
10-May-2013	15-Dec-2011	CSCO	CISCO SYSTEMS INC	10.	209 67	180.43	0 00	29.24
10-May-2013	25-May-2012	CSCO	CISCO SYSTEMS INC	2	41 93	32 86	9.07	0 00
10-May-2013	24-Sep-2012	CSCO	CISCO SYSTEMS INC	12	251 61	225 56	26 05	0 00
10-May-2013	06-Dec-2012	CSCO	CISCO SYSTEMS INC	3.	62 91	58 15	4 76	0 00
14-May-2013	19-Aug-2010	MSFT	MICROSOFT CORP	12	396 90	292 91	0 00	103 99
14-May-2013	21-Sep-2010	MSFT	MICROSOFT CORP	9	297.68	227.15	0 00	70 53
15-May-2013	22-Aug-2011	MRK	MERCK & CO INC	3	140 01	93.95	0.00	46 06
15-May-2013	06-Jan-2012	MRK	MERCK & CO INC	7	326 69	269 75	0.00	56.94
17-May-2013	20-Jan-2012	EOG	EOG RES INC	2	269 65	206 07	0 00	63 58
17-May-2013	14-Mar-2013	TXN	TEXAS INSTRUMENTS INCC	23	842 93	818 78	24.15	0.00
20-May-2013	01-Feb-2011	ACTDX	**INVESCO HIGH YIELD MUI	374 14	3,816 23	3,446 58	0 00	369 65
20-May-2013	14-Mar-2012	ACTDX	**INVESCO HIGH YIELD MUI	481 995	4,916 35	4,440 14	0.00	476 21
20-May-2013	24-Sep-2012	ACTDX	**INVESCO HIGH YIELD MUI	505 241	5,153.46	4,654 28	499 18	0 00
20-May-2013	21-Sep-2010	BIIB	BIOGEN IDEC INC	1	225 28	57.80	0 00	167 48

20-May-2013	19-Aug-2010	JIESX	**JPMORGAN TR I INTL VALI	268 818	3,862 92	3,321 86	0 00	541.06
20-May-2013	01-Feb-2011	JIESX	**JPMORGAN TR I INTL VALI	521 756	7,497 63	6,447 49	0 00	1,050.14
20-May-2013	13-Jul-2011	MMHYX	**MFS MUNICIPAL HIGH INC	68.578	571 25	522.72	0.00	48 53
20-May-2013	14-Mar-2012	MMHYX	**MFS MUNICIPAL HIGH INC	567 469	4,727.02	4,325 44	0 00	401.58
20-May-2013	24-Sep-2012	MMHYX	**MFS MUNICIPAL HIGH INC	615 102	5,123 80	4,688 51	435 29	0 00
20-May-2013	21-May-2010	TGVIX	**THORNBURG INTL VALUE	128 9	3,973 99	3,268 44	0.00	705 55
20-May-2013	27-May-2010	TGVIX	**THORNBURG INTL VALUE	1 852	57 10	46 96	0.00	10 14
20-May-2013	19-Aug-2010	TGVIX	**THORNBURG INTL VALUE	116 734	3,598 91	2,959 95	0.00	638 96
20-May-2013	01-Feb-2011	XOM	EXXON MOBIL CORP	4	369.57	334 60	0.00	34 97
22-May-2013	28-Jan-2011	AMZN	AMAZON COM INC	1	261 60	169 62	0.00	91.98
22-May-2013	01-Feb-2011	AMZN	AMAZON COM INC	1	261 60	171 66	0 00	89 94
24-May-2013	06-Nov-2012	LNG	CHENIERE ENERGY INC CO	6	176 48	95 74	80 74	0.00
24-May-2013	17-Dec-2012	LNG	CHENIERE ENERGY INC CO	1	29 41	17 92	11.49	0 00
28-May-2013	22-Aug-2011	QCOM	QUALCOMM INC	1	64 47	46.75	0 00	17.72
28-May-2013	29-Sep-2011	QCOM	QUALCOMM INC	3	193 40	152.57	0 00	40.83
30-May-2013	18-Mar-2013	VMW	VMWARE INC CL A	6	429 81	498 76	(68 95)	0 00
06-Jun-2013	21-Sep-2010	MSFT	MICROSOFT CORP	10	349 51	252 39	0.00	97.12
11-Jun-2013	05-Jan-2012	WMB	WILLIAMS COMPANIES INC	5.	171 67	137 52	0 00	34 15
14-Jun-2013	03-Mar-2011	MET	METLIFE INC	5	221.93	222.98	0 00	(1 05)
14-Jun-2013	05-Jan-2012	WMB	WILLIAMS COMPANIES INC	5	167 04	137 51	0 00	29 53
14-Jun-2013	25-May-2012	WMB	WILLIAMS COMPANIES INC	1	33 41	30 90	0.00	2.51
14-Jun-2013	24-Sep-2012	WMB	WILLIAMS COMPANIES INC	3.	100 23	103.74	(3 51)	0 00
17-Jun-2013	18-Nov-1988	SLB	***SCHLUMBERGER LTD	670	48,659 81	48,099 30	0 00	560 51
24-Jun-2013	22-Dec-2011	AGN	ALLERGAN INC	5	422 20	432.02	0.00	(9 82)
24-Jun-2013	19-Jan-2012	AGN	ALLERGAN INC	1	84 44	87 06	0 00	(2 62)
24-Jun-2013	28-Jan-2011	OXY	OCCIDENTAL PETE CORP	1	88 72	95 64	0 00	(6 92)
24-Jun-2013	01-Feb-2011	OXY	OCCIDENTAL PETE CORP	2	177 45	197 67	0 00	(20 22)
			Grand Total		**$473,312.34**	**$435,389.38**	**$5,739.78**	**$32,183.18**
			Total Realized G/L:					**$37,922.96**

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
BROKERAGE MANAGEMENT FEES	$ 11,018	$ 11,018	$	$
Total	$ 11,018	$ 11,018	$ 0	$ 0

Statement 5 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
LICENSE	$ 10	$ 10	$	$
TAXES	1	1		
Total	$ 11	$ 11	$ 0	$ 0

Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation

Description							
Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
COMPUTER							
3/02/08	$ 1,416	$ 1,274	S/L	5	$ 142	$	$
Total	$ 1,416	$ 1,274			$ 142	$ 0	$ 0

Statement 7 - Form 990-PF, Part I, Line 25 - Noncash Contributions, Gifts, Grants

Amount	Noncash Description	FMV Explanation	Book Value Amount	Book Value Explanation	Date
1,000					9/17/12
14,000					10/14/12
10,000					2/26/13
3,000					11/23/12
1,000					12/12/12
1,000					12/18/12
1,000					12/18/12

Federal Statements

Statement 8 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
BONDS	$ 187,987	$	Cost	$
Total	$ 187,987	$ 0		$ 0

Statement 9 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
STOCKS SCHEDULE ATTACHED	$ 173,686	$ 144,636	Cost	$ 166,833
MUTUAL FUNDS SCHEDULE ATTACHED	155,909	539,979	Cost	559,688
Total	$ 329,595	$ 684,615		$ 726,521

Statement 10 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
JPM US REAL ESTATE	$ 11,907	$	Cost	$
MFS SERS TR X	5,639		Cost	
Total	$ 17,546	$ 0		$ 0

Statement 11 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
COMPUTER	$ 142	$ 1,416	$ 1,416	$
Total	$ 142	$ 1,416	$ 1,416	$ 0

J.P.Morgan

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J.P. Morgan Clearing Corp.
Three Chase Metrotech Center
Brooklyn, New York 11245-0001

YAO YUAN SZEFOUNDATION

STATEMENT PERIOD
June 1 - June 28, 2013

ACCOUNT NUMBER
840-25929

LAST STATEMENT May 31, 2013

Your Portfolio Holdings

The total cost basis for each security position and the unrealized gain/loss are provided solely for your convenience and may not be used for tax purposes or otherwise relied upon. If you have questions related to tax consequences, please consult your tax advisor. Unrealized gain/loss total reflects only those positions for which a cost basis is available or has been provided to J.P. Morgan Clearing Corp. ("JPMCC"). Unless the tax basis provided relates to a position purchased on the books of JPMCC, JPMCC has not and cannot validate the basis provided. Information on this statement related to cost and gain/loss calculations does not include adjustments for wash sales that may have occurred on the last business day of this statement period. These wash sale adjustments, if any, will be reflected on your next statement.

CASH & MONEY MARKET FUNDS

DESCRIPTION	TYPE	QUANTITY	PRICE	MARKET VALUE	COST	UNREALIZED GAIN/LOSS
JPMORGAN TR II LIQUID ASSETS MONEY MKT FD MORGAN SHARE CL SECURITY SYMBOL IS MJLXX SYMBOL QAARQ	CASH	14,335.46	1.00	14,335	14,335	
TOTAL CASH & MONEY MARKET FUNDS				$14,335	$14,335	$0

EQUITIES

Equities & Options

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
ALEXION PHARMACEUTICALS INC SYMBOL ALXN	CASH	05/06/13	4	92.24	369	97.34	389	-20 ST		
AMAZON.COM INC SYMBOL AMZN	CASH		6	277 69	1,666	211.82	1,271	395		
		02/01/11	1		278	171.65	172	106 LT		
		06/30/11	1		278	204.04	204	74 LT		
		08/09/11	1		278	204.44	204	73 LT		
		08/22/11	1		278	180.28	180	97 LT		
		09/24/12	2		555	255 25	511	45 ST		
ALLIANCE DATA SYSTEM CORP SYMBOL ADS	CASH	05/14/13	3	181.03	543	177.43	532	11 ST		

Your Portfolio Holdings (continued)

Equities & Options (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
ACE LIMITED	**CASH**		**23**	**89.48**	**2,058**	**70 09**	**1,612**	**446**	**47**	**2 28**
SYMBOL ACE		05/23/11	2		179	67.91	136	43 LT		
		05/24/11	4		358	67.98	272	86 LT		
		08/22/11	1		89	60.74	61	29 LT		
		01/06/12	7		626	70.20	491	135 LT		
		05/25/12	1		89	73.58	74	16 LT		
		06/04/12	5		447	69.99	350	97 LT		
		09/24/12	3		268	76.22	229	40 ST		
AVAGO TECHNOLOGIES LTD	**CASH**		**83**	**37 38**	**3,103**	**36 91**	**3,064**	**39**	**70**	**2 26**
US LISTED		05/02/13	21		785	32 05	673	112 ST		
SYMBOL AVGO		06/18/13	55		2,056	38 55	2,120	-64 ST		
		06/19/13	7		262	38 64	270	-9 ST		
ASML HOLDING N V	**CASH**		**14**	**79 11**	**1,108**	**59 65**	**835**	**273**	**8**	**0 72**
N Y REGISTRY SHS 2012		10/31/12	5		396	52.92	265	131 ST		
SYMBOL ASML		11/16/12	4		317	63 25	253	64 ST		
		12/17/12	5		396	63.50	318	78 ST		
AXIALL CORPORATION	**CASH**		**19**	**42 58**	**809**	**45.34**	**862**	**-53**	**6**	**0 74**
SYMBOL AXLL		01/22/13	18		766	44 96	809	-43 ST		
		04/15/13	1		43	52 28	52	-10 ST		
ADOBE SYSTEMS INC	**CASH**		**20**	**45 56**	**911**	**36 41**	**728**	**183**		
SYMBOL ADBE		08/06/12	6		273	32 20	193	80 ST		
		09/24/12	2		91	33.42	67	24 ST		
		11/06/12	7		319	34 54	242	77 ST		
		05/08/13	5		228	45 26	226	1 ST		
ALLERGAN INC	**CASH**		**9**	**84.24**	**758**	**93 41**	**841**	**-83**	**2**	**0.26**
SYMBOL AGN		01/19/12	4		337	87.06	348	-11 LT		
		09/24/12	1		84	91.82	92	-8 ST		
		05/06/13	1		84	104.78	105	-21 ST		

J.P.Morgan

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YAO YUAN SZEFOUNDATION

STATEMENT PERIOD
June 1 - June 28, 2013

Your Portfolio Holdings (continued)

ACCOUNT NUMBER
840-25929

LAST STATEMENT May 31, 2013

Equities & Options (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS		ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
ALLERGAN INC		05/23/13	2		168	97 46	195	-26	ST		
		06/19/13	1		84	100.92	101	-17	ST		
ANADARKO PETROLEUM CORP	CASH		**16**	**85.93**	**1,375**	**71.66**	**1,146**	**229**		**6**	**0.44**
SYMBOL APC		09/21/11	1		86	75 59	76	10	LT		
		09/22/11	4		344	68.64	275	69	LT		
		10/06/11	5		430	66.75	334	96	LT		
		10/27/11	3		258	82.44	247	10	LT		
		05/25/12	1		86	63 26	63	23	LT		
		09/14/12	2		172	76.00	152	20	ST		
APACHE CORP	CASH		**10**	**83 83**	**838**	**76.57**	**766**	**72**		**8**	**0.95**
SYMBOL APA		04/04/13	7		587	74.64	522	64	ST		
		05/23/13	3		251	81.07	243	8	ST		
APPLE INC	CASH		**13**	**396 53**	**5,155**	**420.48**	**5,466**	**-311**		**159**	**3.08**
SYMBOL AAPL		09/21/10	1		397	284.68	285	112	LT		
		02/01/11	4		1,586	345.10	1,380	206	LT		
		05/04/11	2		793	349.87	700	93	LT		
		08/09/11	1		397	372.52	373	24	LT		
		08/22/11	1		397	359.35	359	37	LT		
		05/25/12	1		397	559.82	560	-163	LT		
		09/24/12	2		793	690 85	1,382	-589	ST		
		06/19/13	1		397	428.00	428	-31	ST		
APPLIED MATERIALS INC	CASH		**63**	**14 92**	**940**	**14.99**	**945**	**-5**		**25**	**2.66**
SYMBOL AMAT		05/03/13	60		895	14 95	897	-2	ST		
		06/19/13	3		45	15 94	48	-3	ST		
ARCHER-DANIELS-MIDLAND CO	CASH		**29**	**33.91**	**983**	**32 78**	**951**	**32**		**22**	**2.24**
SYMBOL ADM		02/21/13	22		746	32.15	707	39	ST		
		05/22/13	6		203	34.92	210	-6	ST		

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ARCHER-DANIELS-MIDLAND CO		06/19/13	1		34	34 02	34	ST		
AUTOZONE INC	**CASH**		**3**	**423 69**	**1,271**	**362 12**	**1,086**	**185**		
SYMBOL AZO		08/19/11	1		424	291 33	291	132 LT		
		09/24/12	1		424	370.52	371	53 ST		
		06/19/13	1		424	424.50	425	-1 ST		
BROADCOM CORP	**CASH**		**30**	**33.80**	**1,014**	**33 22**	**996**	**18**	**13**	**1.28**
CL A		08/13/12	12		406	35 01	420	-14 ST		
SYMBOL BRCM		09/24/12	3		101	35.70	107	-6 ST		
		11/20/12	14		473	31.06	435	38 ST		
		06/19/13	1		34	34 45	34	-1 ST		
BANK OF AMERICA CORP	**CASH**		**212**	**12 86**	**2,726**	**11 01**	**2,334**	**393**	**8**	**0 29**
SYMBOL BAC		02/01/11	20		257	14 29	286	-29 LT		
		02/11/11	29		373	14.78	429	-56 LT		
		08/22/11	12		154	6 64	80	75 LT		
		05/25/12	6		77	7 18	43	34 LT		
		09/24/12	13		167	9 16	119	48 ST		
		10/24/12	29		373	9 42	273	100 ST		
		11/09/12	48		617	9 50	456	161 ST		
		04/18/13	22		283	11 31	249	34 ST		
		04/19/13	22		283	11 54	254	29 ST		
		06/19/13	11		141	13.25	146	-4 ST		
BIOGEN IDEC INC	**CASH**		**9**	**215.20**	**1,937**	**98.95**	**891**	**1,046**		
SYMBOL BIIB		10/21/10	1		215	58 01	58	157 LT		
		02/01/11	3		646	65 80	197	448 LT		
		08/16/11	3		646	90 84	273	373 LT		
		09/24/12	1		215	153.97	154	61 ST		
		06/19/13	1		215	208 63	209	7 ST		

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BRISTOL MYERS SQUIBB CO	CASH		**52**	**44.69**	**2,324**	**41.05**	**2,135**	**189**	**73**	**3.14**
SYMBOL BMY		03/28/13	28		1,251	41.06	1,150	102 ST		
		04/17/13	8		358	40.64	325	32 ST		
		05/08/13	10		447	39 92	399	48 ST		
		05/14/13	4		179	41.71	167	12 ST		
		06/19/13	2		89	46 89	94	-4 ST		
CITRIX SYSTEMS INC	CASH		**11**	**60.36**	**664**	**61.58**	**677**	**-13**		
SYMBOL CTXS		09/28/11	3		181	57.93	174	7 LT		
		09/29/11	3		181	56.98	171	10 LT		
		09/24/12	1		60	76.65	77	-16 ST		
		12/13/12	4		241	64 01	256	-15 ST		
CVS CAREMARK CORPORATION	CASH		**27**	**57.18**	**1,544**	**58.82**	**1,588**	**-44**	**24**	**1.55**
SYMBOL CVS		05/01/13	26		1,487	58.77	1,528	-41 ST		
		06/19/13	1		57	60.18	60	-3 ST		
COSTCO WHOLESALE CORP-NEW	CASH		**6**	**110.57**	**663**	**93.56**	**561**	**102**	**7**	**1 06**
SYMBOL COST		06/18/12	5		553	91 69	458	94 LT		
		09/24/12	1		111	102.88	103	8 ST		
CSX CORP	CASH		**42**	**23.19**	**974**	**21.14**	**888**	**86**	**25**	**2 57**
SYMBOL CSX		05/30/12	34		788	20.97	713	76 LT		
		09/24/12	7		162	21 46	150	12 ST		
		06/19/13	1		23	24.92	25	-2 ST		
CHENIERE ENERGY INC	CASH		**21**	**27.76**	**583**	**20.26**	**425**	**158**		
COM		12/17/12	10		278	17.93	179	98 ST		
SYMBOL LNG		02/14/13	10		278	21.83	218	59 ST		
		06/19/13	1		28	27.81	28	ST		

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Your Portfolio Holdings (continued)

Equities & Options (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS		ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
COMCAST CORP	**CASH**		**65**	**41 75**	**2,714**	**29 30**	**1,905**	**809**		**51**	**1 88**
NEW CL A		02/01/11	4		167	23 35	93	74	LT		
SYMBOL CMCSA		03/24/11	1		42	24.73	25	17	LT		
		08/22/11	3		125	20.01	60	65	LT		
		09/29/11	13		543	21.92	285	258	LT		
		05/25/12	12		501	28 95	347	154	LT		
		06/04/12	13		543	28.89	376	167	LT		
		09/24/12	8		334	36 53	292	42	ST		
		02/07/13	10		418	38 56	386	32	ST		
		06/19/13	1		42	40 54	41	1	ST		
CAMERON INTERNATIONAL	**CASH**		**14**	**61.16**	**856**	**58 82**	**823**	**33**			
CORPORATION		10/19/12	4		245	55.65	223	22	ST		
SYMBOL CAM		11/06/12	4		245	52.59	210	34	ST		
		02/14/13	6		367	65 09	391	-24	ST		
CAREFUSION CORP	**CASH**		**17**	**36 85**	**626**	**27 57**	**469**	**157**			
SYMBOL CFN		05/02/12	5		184	26 13	131	53	LT		
		05/25/12	1		37	24.92	25	12	LT		
		09/24/12	11		405	28.47	313	92	ST		
COVIDIEN PLC	**CASH**		**15**	**62 84**	**943**	**54.59**	**819**	**124**		**16**	**1.70**
SYMBOL COV		07/25/11	1		63	50 51	51	12	LT		
		08/16/11	3		189	49.07	147	41	LT		
		08/22/11	2		126	48.60	97	29	LT		
		05/25/12	1		63	53.58	54	9	LT		
		07/30/12	4		251	55 95	224	28	ST		
		09/24/12	3		189	60.43	181	7	ST		
		06/19/13	1		63	65 32	65	-2	ST		

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DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
CITIGROUP INC	**CASH**		**58**	**47.97**	**2,782**	**32 93**	**1,910**	**872**	**2**	**0.07**
COM NEW		06/13/11	2		96	38.95	78	18 LT		
SYMBOL C		08/09/11	9		432	30.38	273	158 LT		
		08/22/11	7		336	27.02	189	147 LT		
		10/14/11	8		384	27.74	222	162 LT		
		01/24/12	11		528	29.50	325	203 LT		
		09/24/12	7		336	33.67	236	100 ST		
		10/24/12	8		384	37.37	299	85 ST		
		04/16/13	3		144	46.30	139	5 ST		
		06/19/13	3		144	49.77	149	-5 ST		
CARDINAL HEALTH INC	**CASH**		**20**	**47.20**	**944**	**40 74**	**815**	**129**	**24**	**2 54**
SYMBOL CAH		10/21/10	2		94	31.97	64	30 LT		
		02/01/11	6		283	41.88	251	32 LT		
		08/22/11	2		94	40 09	80	14 LT		
		09/16/11	5		236	43.03	215	21 LT		
		09/24/12	4		189	38.91	156	33 ST		
		06/19/13	1		47	48.59	49	-1 ST		
CAPITAL ONE FINANCIAL CORP	**CASH**		**20**	**62.81**	**1,256**	**54 66**	**1,093**	**163**	**24**	**1 91**
SYMBOL COF		03/08/13	12		754	54.16	650	104 ST		
		03/14/13	7		440	54.49	381	58 ST		
		06/19/13	1		63	61.94	62	1 ST		
CELGENE CORP	**CASH**		**13**	**116.98**	**1,521**	**62 71**	**815**	**706**		
SYMBOL CELG		09/21/10	1		117	56.81	57	60 LT		
		10/21/10	2		234	58.49	117	117 LT		
		04/01/11	4		468	57.60	230	238 LT		
		08/22/11	2		234	55 48	111	123 LT		
		05/25/12	1		117	69 30	69	48 LT		
		09/24/12	3		351	76 91	231	120 ST		

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Your Portfolio Holdings (continued)

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DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS		ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
CERNER CORP	**CASH**		**10**	**96.09**	**961**	**80 82**	**808**	**153**			
SYMBOL CERN		11/09/12	5		481	78 82	394	86	ST		
		01/16/13	4		384	78.94	316	69	ST		
		06/19/13	1		96	98.34	98	-2	ST		
CISCO SYSTEMS INC	**CASH**		**86**	**24 34**	**2,093**	**21 52**	**1,851**	**242**		**58**	**2.77**
SYMBOL CSCO		12/06/12	21		511	19.38	407	104	ST		
		01/17/13	27		657	20 98	567	91	ST		
		02/11/13	15		365	21 22	318	47	ST		
		05/30/13	19		462	24 21	460	2	ST		
		06/19/13	4		97	24 80	99	-2	ST		
DAVITA HEALTHCARE PARTNERS INC	**CASH**		**8**	**120 80**	**966**	**114.69**	**918**	**48**			
SYMBOL DVA		11/06/12	6		725	115 13	691	34	ST		
		11/07/12	2		242	113 38	227	15	ST		
DISH NETWORK CORP	**CASH**		**24**	**42.52**	**1,020**	**36.80**	**883**	**137**			
CL A		12/27/12	10		425	35.40	354	71	ST		
SYMBOL DISH		02/07/13	8		340	37 02	296	44	ST		
		05/20/13	5		213	38 61	193	19	ST		
		06/19/13	1		43	40 11	40	2	ST		
DOW CHEMICAL CO	**CASH**		**50**	**32.17**	**1,609**	**31 53**	**1,577**	**32**		**64**	**3 98**
SYMBOL DOW		10/19/12	14		451	30 07	421	30	ST		
		12/13/12	7		225	31 21	219	7	ST		
		03/01/13	10		322	31.77	318	4	ST		
		03/14/13	9		290	33 63	303	-13	ST		
		04/22/13	8		257	30.98	248	10	ST		
		06/19/13	2		64	34.53	69	-5	ST		
EDISON INTERNATIONAL	**CASH**	**06/19/13**	**10**	**48.16**	**482**	**47.52**	**475**	**7**	**ST**	**14**	**2.90**
SYMBOL EIX											

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Your Portfolio Holdings (continued)

Equities & Options (Continued)

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EOG RES INC	**CASH**		**6**	**131.68**	**790**	**108.93**	**654**	**136**	**5**	**0.63**
SYMBOL EOG		04/25/12	3		395	105 43	316	79 LT		
		09/11/12	3		395	112.43	337	58 ST		
EXXON MOBIL CORP	**CASH**		**27**	**90.35**	**2,439**	**86 37**	**2,332**	**107**	**68**	**2 79**
SYMBOL XOM		03/24/11	1		90	82.75	83	8 LT		
		08/22/11	2		181	70.27	141	40 LT		
		01/24/12	20		1,807	87 00	1,740	67 LT		
		09/24/12	4		361	92.16	369	-7 ST		
ENSCO PLC NEW	**CASH**		**19**	**58 12**	**1,104**	**51.35**	**976**	**128**	**33**	**2.99**
CLASS A ORD		07/05/12	7		407	48 12	337	70 ST		
SYMBOL ESV		07/19/12	8		465	51 14	409	56 ST		
		09/24/12	3		174	56.77	170	4 ST		
		06/19/13	1		58	59 45	59	-1 ST		
EMERSON ELECTRIC CO	**CASH**		**24**	**54.54**	**1,309**	**50.03**	**1,201**	**108**	**39**	**2 98**
SYMBOL EMR		02/29/12	6		327	50.64	304	23 LT		
		05/25/12	1		55	47 43	47	7 LT		
		06/22/12	7		382	45.61	319	63 LT		
		09/24/12	4		218	50.14	201	18 ST		
		01/16/13	5		273	54.51	273	ST		
		06/19/13	1		55	57.17	57	-3 ST		
FLUOR CORP NEW	**CASH**		**24**	**59.31**	**1,423**	**51 83**	**1,244**	**179**	**15**	**1.05**
SYMBOL FLR		07/20/12	8		474	48.65	389	85 ST		
		09/24/12	2		119	58.88	118	1 ST		
		11/15/12	12		712	51.79	621	90 ST		
		12/27/12	2		119	57.75	115	3 ST		
GOOGLE INC	**CASH**		**5**	**880.37**	**4,402**	**762 99**	**3,815**	**587**		
CL A		07/13/12	1		880	576 83	577	304 ST		
SYMBOL GOOG		10/01/12	1		880	760.00	760	120 ST		

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GOOGLE INC		02/08/13	1		880	784 93	785	95 ST		
		04/16/13	1		880	788.84	789	92 ST		
		05/22/13	1		880	904.37	904	-24 ST		
GENERAL MOTORS COMPANY	CASH		**61**	**33.31**	**2,032**	**26.72**	**1,630**	**402**		
SYMBOL GM		02/01/11	2		67	36 61	73	-7 LT		
		05/18/11	11		366	31 48	346	20 LT		
		08/22/11	4		133	21 68	87	47 LT		
		05/25/12	1		33	22.34	22	11 LT		
		09/21/12	22		733	24 66	543	190 ST		
		12/07/12	13		433	24.99	325	108 ST		
		12/27/12	6		200	27 65	166	34 ST		
		06/19/13	2		67	34.02	68	-1 ST		
GENERAL MILLS INC	CASH		**23**	**48 53**	**1,116**	**39 65**	**912**	**204**	**35**	**3.14**
SYMBOL GIS		04/13/12	16		776	38 84	621	155 LT		
		05/25/12	1		49	39.00	39	10 LT		
		09/24/12	5		243	40.31	202	41 ST		
		06/19/13	1		49	50 00	50	-1 ST		
HARTFORD FINANCIAL SERVICES	CASH		**21**	**30 92**	**649**	**29 52**	**620**	**29**	**8**	**1.23**
GROUP INC		05/08/13	19		587	29.50	561	27 ST		
SYMBOL HIG		06/19/13	2		62	29.72	59	2 ST		
HONEYWELL INTL INC	CASH		**33**	**79.34**	**2,618**	**55 62**	**1,836**	**782**	**54**	**2 06**
SYMBOL HON		09/21/10	1		79	44 08	44	35 LT		
		10/21/10	1		79	46 65	47	33 LT		
		10/22/10	7		555	47.24	331	225 LT		
		02/01/11	6		476	56 68	340	136 LT		
		08/22/11	1		79	42.29	42	37 LT		
		05/25/12	1		79	56.95	57	22 LT		
		06/18/12	8		635	55.78	446	188 LT		

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DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
HONEYWELL INTL INC		09/24/12	4		317	60.26	241	76 ST		
		04/17/13	4		317	71.88	288	30 ST		
ISHARES TRUST RUSSELL 2000 INDEX FD SYMBOL IWM	CASH	02/06/13	38	97 00	3,686	90.08A	3,423	263 ST	65	1.76
INTUITIVE SURGICAL INC COM SYMBOL ISRG	CASH	03/04/13	1	506.13	506	541.24	541	-35 ST		
INTERCONTINENTALEXCHANGE INC SYMBOL ICE	CASH		6	177.76	1,067	161.91	971	96		
		02/15/13	4		711	157 34	629	82 ST		
		06/24/13	2		356	171.06	342	14 ST		
INVESCO LTD SYMBOL IVZ	CASH		49	31.80	1,558	22.47	1,101	457	44	2 82
		02/01/11	3		95	25.17	76	20 LT		
		08/22/11	2		64	16.03	32	32 LT		
		09/21/11	13		413	17.85	232	181 LT		
		10/27/11	12		382	20.75	249	133 LT		
		09/24/12	6		191	25.50	153	38 ST		
		12/17/12	10		318	25.59	256	62 ST		
		06/19/13	3		95	34.46	103	-8 ST		
HOME DEPOT INC SYMBOL HD	CASH		26	77.47	2,014	45.26	1,177	837	41	2.04
		09/29/11	9		697	33.45	301	396 LT		
		03/21/12	14		1,084	49.82	698	387 LT		
		09/24/12	3		232	59 42	178	54 ST		
HUMANA INC SYMBOL HUM	CASH		12	84 38	1,013	80.80	970	43	13	1 28
		05/23/11	1		84	79.43	79	5 LT		
		08/22/11	1		84	70.00	70	14 LT		
		11/01/11	7		591	83.96	588	3 LT		
		05/25/12	1		84	77 55	78	7 LT		

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HUMANA INC		09/24/12	1		84	70 10	70	14	ST		
		06/19/13	1		84	84 83	85		ST		
JOHNSON & JOHNSON	**CASH**		**57**	**85.86**	**4,894**	**77 52**	**4,419**	**475**		**150**	**3.06**
SYMBOL JNJ		10/18/12	18		1,545	72 14	1,299	247	ST		
		02/15/13	16		1,374	75 92	1,215	159	ST		
		03/18/13	9		773	79 08	712	61	ST		
		05/08/13	4		343	85 12	340	3	ST		
		05/14/13	4		343	86 83	347	-4	ST		
		06/19/13	2		172	86 21	172	-1	ST		
		06/24/13	4		343	83 42	334	10	ST		
JOHNSON CONTROLS INC	**CASH**		**36**	**35.79**	**1,288**	**32 69**	**1,177**	**111**		**27**	**2 10**
SYMBOL JCI		02/01/11	2		72	38.47	77	-5	LT		
		08/22/11	5		179	29.06	145	34	LT		
		10/27/11	10		358	33 18	332	26	LT		
		10/28/11	1		36	33.44	33	2	LT		
		05/25/12	1		36	30.71	31	5	LT		
		09/24/12	9		322	28 49	256	66	ST		
		05/22/13	7		250	37.84	265	-14	ST		
		06/19/13	1		36	37 23	37	-1	ST		
LINKEDIN CORPORATION	**CASH**		**6**	**178 30**	**1,070**	**174 86**	**1,049**	**21**			
COM CL A		03/21/13	2		357	174 93	350	7	ST		
SYMBOL LNKD		03/26/13	1		178	176 84	177	1	ST		
		04/04/13	1		178	170.67	171	8	ST		
		05/06/13	2		357	175 91	352	5	ST		
LAM RESEARCH CORP	**CASH**		**22**	**44.34**	**975**	**41.69**	**917**	**58**			
SYMBOL LRCX		02/07/13	14		620	42 25	591	29	ST		
		03/19/13	8		355	40.72	326	29	ST		

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DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS		ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
LENNAR CORP	**CASH**		**16**	**36.04**	**577**	**23.42**	**375**	**202**		**3**	**0.52**
CL A		10/20/11	10		361	15.98	160	201	LT		
SYMBOL LEN		05/25/12	1		36	28.31	28	8	LT		
		09/24/12	4		144	36.91	148	-3	ST		
		06/19/13	1		36	39.00	39	-3	ST		
LOWES COMPANIES INC	**CASH**		**50**	**40.90**	**2,045**	**23.03**	**1,151**	**894**		**36**	**1.76**
SYMBOL LOW		08/04/11	1		41	20.24	20	21	LT		
		09/22/11	16		654	19.02	304	350	LT		
		09/28/11	18		736	20.07	361	375	LT		
		05/25/12	2		82	27 23	54	27	LT		
		09/24/12	11		450	29.84	328	122	ST		
		06/19/13	2		82	41 40	83	-1	ST		
METTLER-TOLEDO INTERNATIONAL	**CASH**		**4**	**201.20**	**805**	**218.17**	**873**	**-68**			
INC		03/11/13	3		604	218.88	657	-53	ST		
SYMBOL MTD		06/19/13	1		201	216.04	216	-15	ST		
MORGAN STANLEY	**CASH**		**60**	**24.43**	**1,466**	**20.93**	**1,256**	**210**		**12**	**0.82**
SYMBOL MS		01/17/13	22		538	20 53	452	86	ST		
		04/22/13	35		855	20 72	725	130	ST		
		06/19/13	3		73	26.28	79	-6	ST		
METLIFE INC	**CASH**		**56**	**45.76**	**2,563**	**34.29**	**1,920**	**643**		**62**	**2.42**
SYMBOL MET		03/03/11	1		46	44.60	45	1	LT		
		07/26/11	7		320	41.45	290	30	LT		
		08/22/11	1		46	31 18	31	15	LT		
		09/21/11	8		366	30.74	246	120	LT		
		09/29/11	7		320	29.35	205	115	LT		
		06/05/12	11		503	28.39	312	191	LT		
		09/24/12	6		275	35.56	213	61	ST		
		04/09/13	12		549	36 91	443	106	ST		

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METLIFE INC		06/19/13	3		137	44 78	134	3 ST		
MASTERCARD INC	**CASH**		**3**	**574 50**	**1,724**	**307 03**	**921**	**803**	**7**	**0 41**
SYMBOL MA		08/19/10	1		575	209 22	209	365 LT		
		02/01/11	1		575	237 16	237	338 LT		
		10/15/12	1		575	474 71	475	100 ST		
MERCK & CO INC	**CASH**		**24**	**46 45**	**1,115**	**43.28**	**1,039**	**76**	**41**	**3.68**
SYMBOL MRK		01/06/12	6		279	38.54	231	48 LT		
		09/24/12	8		372	45 22	362	10 ST		
		03/14/13	9		418	44 18	398	20 ST		
		06/19/13	1		46	48 10	48	-2 ST		
MONDELEZ INTERNATIONAL INC	**CASH**		**53**	**28.53**	**1,512**	**24 28**	**1,287**	**225**	**28**	**1 85**
COM		08/16/11	7		200	22 15	155	45 LT		
SYMBOL MDLZ		08/19/11	7		200	21 87	153	47 LT		
		08/22/11	1		29	21.84	22	7 LT		
		09/12/11	9		257	22.18	200	57 LT		
		09/19/11	8		228	22 56	181	48 LT		
		05/25/12	1		29	25 11	25	3 LT		
		09/24/12	5		143	27.01	135	8 ST		
		10/17/12	13		371	27 40	356	15 ST		
		06/19/13	2		57	30.34	61	-4 ST		
MASCO CORP	**CASH**		**64**	**19.49**	**1,247**	**14 19**	**908**	**339**	**19**	**1.52**
SYMBOL MAS		07/31/12	19		370	12.41	236	134 ST		
		08/06/12	21		409	12 58	264	145 ST		
		09/24/12	8		156	16.07	129	27 ST		
		12/20/12	13		253	16 58	216	38 ST		
		06/19/13	3		58	21.29	64	-5 ST		
MICROSOFT CORP	**CASH**		**112**	**34.55**	**3,869**	**28.23**	**3,162**	**707**	**103**	**2.66**
SYMBOL MSFT		10/21/10	8		276	25.25	202	74 LT		

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DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS		ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
MICROSOFT CORP		02/01/11	34		1,175	27.92	949	225	LT		
		08/11/11	18		622	24.94	449	173	LT		
		08/22/11	7		242	24 00	168	74	LT		
		05/25/12	1		35	29.18	29	5	LT		
		07/09/12	15		518	29.97	449	69	ST		
		09/24/12	24		829	30.86	741	89	ST		
		06/19/13	5		173	34.99	175	-2	ST		
NISOURCE INC	**CASH**		**35**	**28.64**	**1,002**	**28.55**	**999**	**3**		**35**	**3.49**
COM		03/08/13	24		687	28 13	675	12	ST		
SYMBOL NI		04/04/13	10		286	29.48	295	-9	ST		
		06/19/13	1		29	29.40	29	-1	ST		
NEXTERA ENERGY INC	**CASH**		**11**	**81.48**	**896**	**78 66**	**865**	**31**		**29**	**3.24**
SYMBOL NEE		06/13/13	10		815	78.42	784	30	ST		
		06/19/13	1		81	81.14	81		ST		
ONYX PHARMACEUTICALS INC	**CASH**		**6**	**86.82**	**521**	**88 71**	**532**	**-11**			
SYMBOL ONXX		04/05/13	5		434	89.04	445	-11	ST		
		06/19/13	1		87	87 04	87		ST		
OCCIDENTAL PETE CORP	**CASH**		**28**	**89.23**	**2,498**	**87.80**	**2,458**	**40**		**72**	**2.88**
SYMBOL OXY		02/01/11	4		357	98.83	395	-38	LT		
		08/08/11	3		268	82.85	249	19	LT		
		08/22/11	1		89	80 51	81	9	LT		
		10/06/11	3		268	79.13	237	30	LT		
		10/18/11	4		357	85.02	340	17	LT		
		04/25/12	3		268	88.97	267	1	LT		
		05/25/12	1		89	81 20	81	8	LT		
		09/13/12	2		178	90.15	180	-2	ST		
		09/24/12	4		357	87.12	348	8	ST		
		06/07/13	2		178	93.37	187	-8	ST		

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OCCIDENTAL PETE CORP		06/19/13	1		89	92 80	93	-4	ST		
PRICELINE COM INC COM NEW	CASH	04/09/13	1	826 67	827	696.12	696	131	ST		
SYMBOL PCLN											
PHILIP MORRIS INTERNATIONAL	CASH		22	86 62	1,906	86.22	1,897	9		75	3.93
INC		02/23/12	8		693	81.94	655	38	LT		
SYMBOL PM		06/22/12	6		520	86 43	519	1	LT		
		09/24/12	2		173	92 15	184	-11	ST		
		01/18/13	5		433	89.22	446	-13	ST		
		06/19/13	1		87	92 48	92	-6	ST		
PHILLIPS 66	CASH		22	58.91	1,296	49.56	1,090	206		28	2.16
COM		10/18/12	12		707	45 96	552	155	ST		
SYMBOL PSX		12/13/12	4		236	51 62	206	29	ST		
		12/17/12	5		295	53 58	268	27	ST		
		06/19/13	1		59	64.38	64	-5	ST		
PENTAIR LTD	CASH		12	57 69	692	45 28	543	149		11	1.59
SHS		02/01/11	1		58	48.54	49	9	LT		
SYMBOL PNR		08/22/11	1		58	13 49	13	44	LT		
		05/25/12	1		58	16 31	16	41	LT		
		06/18/12	1		58	47 49	47	10	LT		
		09/24/12	1		58	49.78	50	8	ST		
		02/11/13	6		346	51 34	308	38	ST		
		06/19/13	1		58	59 69	60	-2	ST		
ORACLE CORP	CASH		66	30 71	2,027	29 78	1,965	62		32	1.58
SYMBOL ORCL		12/07/10	11		338	29 10	320	18	LT		
		02/01/11	12		369	33 18	398	-30	LT		
		03/24/11	1		31	31 82	32	-1	LT		
		08/22/11	3		92	25 04	75	17	LT		
		01/05/12	10		307	26.57	266	41	LT		

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ORACLE CORP		04/16/12	15		461	28.46	427	34	LT		
		05/25/12	1		31	26.16	26	5	LT		
		09/24/12	12		369	32.27	387	-19	ST		
		06/19/13	1		31	34.35	34	-4	ST		
PACCAR INC	CASH		**36**	**53.66**	**1,932**	**45.08**	**1,623**	**309**		**29**	**1.50**
SYMBOL PCAR		08/19/10	5		268	42.57	213	55	LT		
		09/21/10	4		215	46.94	188	27	LT		
		10/21/10	2		107	50.85	102	6	LT		
		02/01/11	10		537	51.29	513	24	LT		
		08/22/11	3		161	34 04	102	59	LT		
		05/25/12	1		54	38.59	39	15	LT		
		09/24/12	5		268	41.54	208	61	ST		
		10/31/12	6		322	43.23	259	63	ST		
PEPSICO INC	CASH		**16**	**81.79**	**1,309**	**72.55**	**1,161**	**148**		**36**	**2.75**
SYMBOL PEP		08/10/12	13		1,064	72.05	937	127	ST		
		09/24/12	2		164	70.80	142	22	ST		
		06/19/13	1		82	82 45	82	-1	ST		
PROCTER & GAMBLE CO	CASH		**27**	**76.99**	**2,079**	**64 79**	**1,749**	**330**		**65**	**3.13**
SYMBOL PG		02/01/11	10		770	62.85	628	142	LT		
		08/22/11	3		231	61.66	185	46	LT		
		02/02/12	8		616	63.43	507	109	LT		
		09/24/12	5		385	69.83	349	36	ST		
		06/19/13	1		77	79 26	79	-2	ST		
QUALCOMM INC	CASH		**25**	**61.09**	**1,527**	**60.83**	**1,521**	**6**		**35**	**2.29**
SYMBOL QCOM		09/29/11	3		183	50.85	153	31	LT		
		05/08/12	9		550	61.20	551	-1	LT		
		05/25/12	1		61	57.35	57	4	LT		
		09/24/12	6		366	63.89	383	-17	ST		

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QUALCOMM INC		12/19/12	5		305	62 89	314	-9 ST		
		06/19/13	1		61	62 30	62	-1 ST		
STATE STREET CORP	CASH		**19**	**65 21**	**1,239**	**58 74**	**1,116**	**123**	**20**	**1 61**
SYMBOL STT		03/27/13	11		717	59 09	650	67 ST		
		04/19/13	4		261	56.39	226	35 ST		
		05/08/13	4		261	60.13	241	20 ST		
SCHLUMBERGER LTD	CASH		**33**	**71.66**	**2,365**	**79 61**	**2,627**	**-262**	**41**	**1 73**
SYMBOL SLB		05/13/11	20		1,433	83.78	1,676	-242 LT		
		08/08/11	3		215	76 86	231	-16 LT		
		08/22/11	1		72	73.67	74	-2 LT		
		05/25/12	1		72	65 51	66	6 LT		
		09/24/12	4		287	74.43	298	-11 ST		
		11/07/12	3		215	70.05	210	5 ST		
		06/19/13	1		72	74.05	74	-2 ST		
TARGET CORP	CASH		**24**	**68.86**	**1,653**	**65.20**	**1,565**	**88**	**41**	**2 48**
SYMBOL TGT		09/24/12	11		758	65.26	718	40 ST		
		10/31/12	10		689	63.63	636	52 ST		
		04/25/13	2		138	70.50	141	-3 ST		
		06/19/13	1		69	69 62	70	-1 ST		
TD AMERITRADE HLDG CORP	CASH		**28**	**24 29**	**680**	**16.62**	**465**	**215**	**10**	**1 47**
SYMBOL AMTD		09/21/10	4		97	16 30	65	32 LT		
		10/21/10	2		49	16 72	33	15 LT		
		02/01/11	5		121	20 85	104	17 LT		
		08/12/11	10		243	14.57	146	97 LT		
		08/22/11	2		49	14.13	28	20 LT		
		09/24/12	4		97	16.14	65	33 ST		
		06/19/13	1		24	23.97	24	ST		

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YAO YUAN SZEFOUNDATION

STATEMENT PERIOD
June 1 - June 28, 2013

ACCOUNT NUMBER
840-25929

LAST STATEMENT May 31, 2013

Your Portfolio Holdings (continued)

Equities & Options (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS		ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
TIME WARNER INC	CASH		81	57.82	4,683	38.26	3,099	1,584		93	1.99
NEW		09/21/10	4		231	31.80	127	104	LT		
SYMBOL TWX		10/21/10	4		231	31.78	127	104	LT		
		12/17/10	11		636	31 50	347	289	LT		
		02/01/11	18		1,041	32 16	579	462	LT		
		05/04/11	13		752	36.37	473	279	LT		
		08/22/11	3		173	28.45	85	88	LT		
		09/24/12	9		520	45 97	414	107	ST		
		10/01/12	12		694	45 71	548	145	ST		
		03/27/13	5		289	56 40	282	7	ST		
		06/19/13	2		116	58 59	117	-2	ST		
TYCO INTERNATIONAL LTD	CASH		28	32.95	923	24 14	676	247		18	1.95
SYMBOL TYC		11/10/10	3		99	19.40	58	41	LT		
		01/13/11	5		165	22.25	111	54	LT		
		02/01/11	6		198	22.62	136	62	LT		
		08/22/11	2		66	18 86	38	28	LT		
		05/25/12	1		33	27.35	27	6	LT		
		06/18/12	5		165	26.55	133	32	LT		
		09/24/12	5		165	27.84	139	26	ST		
		06/19/13	1		33	33.68	34	-1	ST		
UNITEDHEALTH GROUP INC	CASH		35	65 48	2,292	54.59	1,911	381		39	1.70
SYMBOL UNH		02/01/11	2		131	42 01	84	47	LT		
		08/22/11	1		65	43 75	44	22	LT		
		09/15/11	9		589	50.27	452	137	LT		
		01/19/12	9		589	52 07	469	121	LT		
		05/25/12	1		65	56.19	56	9	LT		
		09/24/12	4		262	56.23	225	37	ST		
		06/14/13	3		196	63 95	192	5	ST		
		06/19/13	2		131	65.78	132	-1	ST		

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LAST STATEMENT May 31, 2013

Your Portfolio Holdings (continued)

Equities & Options (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS		ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
UNITEDHEALTH GROUP INC		06/24/13	4		262	64 34	257	5	ST		
UNITED TECHNOLOGIES CORP	CASH		**39**	**92 94**	**3,625**	**77 09**	**3,007**	**618**		**83**	**2 29**
SYMBOL UTX		08/19/10	1		93	68 34	68	25	LT		
		09/21/10	3		279	70.76	212	67	LT		
		10/21/10	2		186	75.51	151	35	LT		
		02/01/11	8		744	81 88	655	89	LT		
		08/22/11	3		279	67.65	203	76	LT		
		12/02/11	6		558	76 72	460	97	LT		
		05/25/12	1		93	73 11	73	20	LT		
		06/18/12	6		558	74 76	449	109	LT		
		09/24/12	6		558	80.25	482	76	ST		
		01/10/13	3		279	84 49	253	25	ST		
VANGUARD SECTOR INDEX FDS	CASH		**215**	**68.72**	**14,775**	**68 71A**	**14,772**	**3**		**535**	**3 62**
VANGUARD REIT ETF		09/12/12	73		5,017	68 71A	5,016	1	ST		
SYMBOL VNQ		09/13/12	52		3,573	68 71A	3,573	1	ST		
		09/24/12	25		1,718	68 71A	1,718		ST		
		02/06/13	1		69	68 71A	69		ST		
		04/23/13	37		2,543	68.71A	2,542		ST		
		06/19/13	27		1,855	68.71A	1,855		ST		
VERIZON COMMUNICATIONS	CASH		**39**	**50 34**	**1,963**	**36 42**	**1,420**	**543**		**80**	**4 08**
SYMBOL VZ		08/19/10	3		151	29 58	89	62	LT		
		09/21/10	6		302	32 25	194	109	LT		
		10/21/10	4		201	32 33	129	72	LT		
		02/01/11	13		654	36 22	471	183	LT		
		08/22/11	5		252	35.05	175	76	LT		
		05/25/12	2		101	41.60	83	17	LT		
		09/24/12	5		252	45.69	228	23	ST		
		06/19/13	1		50	50.98	51	-1	ST		

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June 1 - June 28, 2013

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LAST STATEMENT May 31, 2013

Your Portfolio Holdings (continued)

Equities & Options (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS		ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
VERTEX PHARMACEUTICALS INC	**CASH**		**8**	**80.06**	**640**	**36 90**	**295**	**345**			
SYMBOL VRTX		12/16/11	7		560	33.81	237	323	LT		
		09/24/12	1		80	58.56	59	21	ST		
WELLS FARGO & CO	**CASH**		**96**	**41.27**	**3,962**	**30.07**	**2,886**	**1,076**		**115**	**2.90**
SYMBOL WFC		02/01/11	3		124	33.30	100	24	LT		
		03/07/11	16		660	31.85	510	151	LT		
		06/13/11	5		206	26 86	134	72	LT		
		08/09/11	14		578	24 08	337	241	LT		
		08/12/11	9		371	24.37	219	152	LT		
		08/22/11	7		289	23 52	165	124	LT		
		03/19/12	12		495	34.27	411	84	LT		
		05/25/12	2		83	32 04	64	18	LT		
		06/06/12	11		454	30.77	338	116	LT		
		09/24/12	15		619	35 09	526	93	ST		
		06/19/13	2		83	40.73	81	1	ST		
WALTER ENERGY INC NEW	**CASH**		**17**	**10 40**	**177**	**46 45**	**790**	**-613**		**9**	**5 08**
SYMBOL WLT		02/03/12	1		10	76.00	76	-66	LT		
		02/15/12	5		52	66 70	334	-281	LT		
		09/10/12	9		94	34.64	312	-218	ST		
		09/24/12	2		21	34.24	68	-48	ST		
WILLIAMS COMPANIES INC	**CASH**		**29**	**32.47**	**942**	**33.19**	**963**	**-21**		**41**	**4.35**
SYMBOL WMB		12/27/12	4		130	31.92	128	2	ST		
		01/14/13	24		780	33.41	802	-22	ST		
		06/19/13	1		32	33.23	33	-1	ST		
YUM BRANDS INC	**CASH**		**26**	**69.34**	**1,803**	**50.75**	**1,319**	**484**		**35**	**1.94**
SYMBOL YUM		08/19/10	5		347	41 82	209	138	LT		
		09/21/10	4		277	46.43	186	92	LT		
		10/21/10	2		139	48.81	98	41	LT		

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LAST STATEMENT May 31, 2013

Your Portfolio Holdings (continued)

Equities & Options (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
YUM BRANDS INC		02/01/11	8		555	47.65	381	174 LT		
		08/22/11	2		139	50 79	102	37 LT		
		05/25/12	1		69	70 72	71	-1 LT		
		09/24/12	4		277	68 37	273	4 ST		
XILINX INC	**CASH**		**16**	**39.61**	**634**	**38 73**	**620**	**14**	**16**	**2 52**
SYMBOL XLNX		05/09/13	15		594	38.63	579	15 ST		
		06/19/13	1		40	40 19	40	-1 ST		
Total Equities & Options					**$166,833**		**$144,637**	**$22,197**	**$3,287**	
TOTAL EQUITIES					**$166,833**		**$144,637**	**$22,197**	**$3,287**	

MUTUAL FUNDS

A maximum of two tax lots per position will be reported in the mutual funds section. These will be the aggregate totals of the long-term holdings and the short-term holdings respectively. Funds tracked with the Average Cost methodology are denoted with an 'A' next to the Unit Cost number.

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
ASTON/FAIRPOINTE MID CAP FUND CL I SYMBOL ABMIX	**CASH**		**91 559**	**41.44**	**3,794**	**38 33A**	**3,509**	**285**	**36**	**0.95**
AMERICAN CENTURY INTER-TERM	**CASH**		**6,941 11**	**11.32**	**78,573**	**11.30A**	**78,408**	**165**	**2,159**	**2.75**
TAX FREE BD FD INSTL CL			4,435 226		50,207	11 30A	50,101	106 LT		
SYMBOL AXBIX			2,505 884		28,366	11 30A	28,307	60 ST		
BLACKROCK FDS HIGH YIELD BD PORTFOLIO INSTL SYMBOL BHYIX	**CASH**		**1,396.647**	**7 98**	**11,145**	**8 28A**	**11,561**	**-416**	**705**	**6.33**

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Your Portfolio Holdings (continued)

MUTUAL FUNDS (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
COHEN & STEERS REALTY	CASH		1,005.594	14 70	14,782	13.83A	13,909	873	289	1.96
INCOME FUND CL I			594.683		8,742	13 83A	8,225	516 LT		
SYMBOL CSDIX			410.911		6,040	13 83A	5,683	357 ST		
CAUSEWAY CAP MGMT TR	CASH		1,871.836	13 69	25,625	13.87A	25,968	-343	517	2.02
INTL VALUE FD INSTL CL										
SYMBOL CIVIX										
DELAWARE GROUP EMERGING	CASH		793.598	13 96	11,079	15.60A	12,380	-1,301	113	1 02
MARKETS FUND-INSTL CL			422.447		5,898	15 60A	6,590	-692 LT		
SYMBOL DEMIX			371 151		5,181	15.60A	5,790	-608 ST		
DREYFUS EMERGING MARKETS	CASH		526.953	14.01	7,383	15.27A	8,046	-663	198	2.68
DEBT LOCAL CURRENCY FUND CL I										
SYMBOL DDBIX										
GOLDMAN SACHS SMALL CAP	CASH		143.119	51.58	7,382	39.85A	5,703	1,679	95	1 29
VALUE FUND-INSTL CL			115.797		5,973	39.85A	4,614	1,359 LT		
SYMBOL GSSIX			27.322		1,409	39 85A	1,089	321 ST		
GOLDMAN SACHS EMERGING MKTS	CASH		599 031	12.30	7,368	13.06A	7,825	-457	414	5.62
DEBT FD INSTL CL			401.329		4,936	13 06A	5,243	-306 LT		
SYMBOL GSDIX			197.702		2,432	13.06A	2,583	-151 ST		
OAKMARK FUND	CASH		1,060.298	56.03	59,408	44.45A	47,133	12,275	400	0 67
SYMBOL OAKMX			914 798		51,256	44.45A	40,666	10,590 LT		
			145.50		8,152	44 45A	6,468	1,684 ST		
HARBOR INTERNATIONAL FUND	CASH		346 917	62.35	21,630	65.03A	22,559	-929	436	2 02
INSTITUTIONAL										
SYMBOL HAINX										
JPMORGAN TR I	CASH		6,846.793	10 92	74,767	11.03A	75,489	-722	1,986	2 66
INTER TAX FREE BD FD SELECT CL			4,538 668		49,562	11.03A	50,041	-479 LT		
SYMBOL VSITX			2,308.125		25,205	11.03A	25,448	-243 ST		

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Your Portfolio Holdings (continued)

MUTUAL FUNDS (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
JPMORGAN TR I	CASH		194 358	38 18	7,421	29 50A	5,733	1,688	38	0.51
MID CAP EQUITY FD SELECT CL			69 46		2,652	29.50A	2,049	603 LT		
SYMBOL VSNGX			124 898		4,769	29 50A	3,684	1,085 ST		
JPMORGAN TR I	CASH		246 096	44 14	10,863	33.64A	8,278	2,585	113	1 04
SMALL CAP EQUITY FD SELECT CL			198 354		8,756	33.64A	6,672	2,083 LT		
SYMBOL VSEIX			47 742		2,107	33 64A	1,606	501 ST		
JPMORGAN TR II	CASH		2,317.948	8 03	18,613	8 35A	19,354	-741	1,212	6 51
HIGH YIELD FD SELECT CL										
SYMBOL OHYFX										
JP MORGAN VALUE ADVANTAGE FD	CASH		902 817	24 74	22,336	23.95A	21,626	710	229	1 03
INSTL CL										
SYMBOL JVAIX										
JPMORGAN TR I	CASH		1,653 198	13 13	21,706	12 45A	20,587	1,119	524	2.41
INTL VALUE FD SELECT CL			610 994		8,022	12 45A	7,609	414 LT		
SYMBOL JIESX			1,042 204		13,684	12.45A	12,978	705 ST		
JPMORGAN TR I	CASH		1,027 932	21.90	22,512	21 88A	22,494	18	122	0 54
EMERGING MKTS EQUITY FD SELECT			646 741		14,164	21 88A	14,153	11 LT		
SYMBOL JEMSX			381 191		8,348	21.88A	8,342	7 ST		
MFS SER TR X EMERGING MARKET	CASH		498 713	14 76	7,361	15 00A	7,480	-119	392	5 33
DEBT FUND CLASS I			371.643		5,485	15.00A	5,574	-89 LT		
SYMBOL MEDIX			127 07		1,876	15.00A	1,906	-30 ST		
MAINSTAY HIGH YIELD	CASH		3,715 082	5 99	22,253	6.23A	23,133	-880	1,620	7 28
CORPORATE BOND FUND CL I										
SYMBOL MHYIX										
ROWE PRICE TAX FREE INCOME	CASH		4,069 609	10 03	40,818	10 09A	41,047	-229	1,502	3 68
FUND ADVISOR CLASS			2,448 825		24,562	10.09A	24,699	-138 LT		
SYMBOL PATAX			1,620 784		16,256	10 09A	16,348	-91 ST		

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Your Portfolio Holdings (continued)

MUTUAL FUNDS (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
THORNBURG INTL VALUE FUND	CASH		912.108	28.08	25,612	25 58A	23,332	2,280	349	1.36
CL I			663 999		18,645	25.58A	16,986	1,659 LT		
SYMBOL TGVIX			248.109		6,967	25.58A	6,347	620 ST		
WELLS FARGO ADVANTAGE	CASH		486.956	45.68	22,244	38.31A	18,654	3,590		
GROWTH FUND ADMIN CL			147.662		6,745	38 31A	5,657	1,088 LT		
SYMBOL SGRKX			339.294		15,499	38 31A	12,998	2,501 ST		
WELLS FARGO ADVANTAGE FDS	CASH		720.374	20 84	15,013	21.89A	15,771	-758	72	0.48
EMERGING MRKT EQTY FD ADMIN CL										
SYMBOL EMGYX										
TOTAL MUTUAL FUNDS					$559,688		$539,979	$19,709	$13,521	

YOUR PORTFOLIO HOLDINGS ESTIMATED ANNUAL INCOME	$16,808
YOUR PRICED PORTFOLIO HOLDINGS	$740,856

Federal Statements

Statement 12 - Form 990-PF, Part VII-A, Line 10 - Substantial Contributors

Name	Address	City, State, Zip
YAO YUAN SZE	PO BOX 452	MERCER ISLAND WA 98040

Federal Statements

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or $5,000

Name of Manager	Amount
YAI YUAN SZE	$
Total	$ 0

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description
NO PARTICULAR FORMAT

Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description
NO DEADLINES

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description
IRC 501 (C) 3 ORGANIZATIONS MEDICAL AND EDUCATION ONLY

28900 11/01/2013 11 20 AM

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2012

Attachment Sequence No **179**

Name(s) shown on return: YAO YUAN SZE FOUNDATION

Identifying number: 91-2161365

Business or activity to which this form relates: Indirect Depreciation

Part I Election To Expense Certain Property Under Section 179
Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	139,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	560,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property Enter the amount from line 29	7	

8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2011 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2013 Add lines 9 and 10, less line 12 ▶	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	142

Part III MACRS Depreciation (Do not include listed property) (See instructions)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2012	17	0
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B—Assets Placed in Service During 2012 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only–see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2012 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	142
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions. Form **4562** (2012)

DAA

There are no amounts for Page 2

28900 YAO YUAN SZE FOUNDATION
91-2161365
FYE: 6/30/2013

11/01/2013 11:19 AM

Federal Asset Report
Form 990, Page 1

Asset	Description	Date In Service	Cost	Bus %	Sec 179	Bonus	Basis for Depr	Per	Conv	Meth	Prior	Current
Other Depreciation:												
1	COMPUTER	3/02/08	1,416				1,416	5	MO	S/L	1,274	142
	Total Other Depreciation		1,416				1,416				1,274	142
	Total ACRS and Other Depreciation		1,416				1,416				1,274	142
	Grand Totals		1,416				1,416				1,274	142
	Less: Dispositions and Transfers		0				0				0	0
	Less: Start-up/Org Expense		0				0				0	0
	Net Grand Totals		1,416				1,416				1,274	142

28900 YAO YUAN SZE FOUNDATION
91-2161365
FYE: 6/30/2013

11/01/2013 11:19 AM

AMT Asset Report
Form 990, Page 1

Asset	Description	Date In Service	Cost	Bus %	Sec 179	Bonus	Basis for Depr	Per	Conv	Meth	Prior	Current
Other Depreciation:												
1	COMPUTER	3/02/08	1,416				1,416	5	MO	S/L	1,274	142
	Total Other Depreciation		1,416				1,416				1,274	142
	Total ACRS and Other Depreciation		1,416				1,416				1,274	142
	Grand Totals		1,416				1,416				1,274	142
	Less: Dispositions and Transfers		0				0				0	0
	Net Grand Totals		1,416				1,416				1,274	142

28900 YAO YUAN SZE FOUNDATION

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Depreciation Adjustment Report

All Business Activities

Form	Unit	Asset	Description	Tax	AMT	AMT Adjustments/ Preferences

There are no assets that meet the criteria of this report

Future Depreciation Report FYE: 6/30/14

FYE: 6/30/2013

Form 990, Page 1

Asset	Description	Date In Service	Cost	Tax	AMT
Other Depreciation:					
1	COMPUTER	3/02/08	1,416	0	0
	Total Other Depreciation		1,416	0	0
	Total ACRS and Other Depreciation		1,416	0	0
	Grand Totals		1,416	0	0

Federal Statements

Taxable Interest on Investments

Description	Amount	Unrelated Business Code	Exclusion Code	Postal Code	US Obs ($ or %)
INTEREST	$ 1		14		
Total	$ 1				

Tax-Exempt Dividends from Securities

Description	Amount	Unrelated Business Code	Exclusion Code	Postal Code	InState Muni ($ or %)
MUNICIPAL INTEREST	$ 5,326		14		
Total	$ 5,326				

Taxable Dividends from Securities

Description	Amount	Unrelated Business Code	Exclusion Code	Postal Code	US Obs ($ or %)
DIVIDENDS	$ 14,244		14		
Total	$ 14,244				